

**STATE OF DELAWARE
DELAWARE AIR NATIONAL GUARD
DEANG # JLWS232070**

**SPECIFICATIONS
FOR**

BUILDING 2804 – HVAC REPLACEMENT

AT

**DELAWARE AIR NATIONAL GUARD
2804 SPRUANCE DRIVE
NEW CASTLE, DELAWARE, 19720**

PREPARED BY

DEDC

ENGINEERING | DESIGN | CONSULTING

**ISSUED FOR BID
AUGUST 25, 2025
DEDC PROJECT # 23P148**

NOT FOR BIDDING PURPOSES

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**SECTION 00 11 16
INVITATION TO BID**

Sealed bids for **DEANG Contract JLWS232070; Building 2804 - HVAC Replacement** will be received by the Delaware Air National Guard at the Security Officers desk in the Main Lobby of the Biden National Guard/Reserve Center, 1 Vavala Way, New Castle, Delaware, 19720 **September 10th, 2025 at 10:00 am** at which time they will be publicly opened and read aloud in the Multi-Purpose Room.

Bidders are required to show identification at the Guard Booth when entering the site and must sign in at the Security Officers desk once inside. Please allow sufficient time to comply with these requirements. No exceptions. Bidder bears the risk of late delivery. Any bids received after the stated time will be returned unopened.

Project consists of the replacement of the HVAC system serving the office area of the building including its ductwork as required. The scope also includes replacement of the hot water boiler in the mechanical room, the vehicle bay heating system, the vehicle exhaust system, and exhaust fans. Changes to the building include a new generator docking station, a new dedicated outdoor air system for the garage, and removal of various items.

Attention is called to the construction schedule as detailed in the Contract Documents.

A **MANDATORY** Pre-Bid Meeting will be held **August 25th, 2025 at 10:00am** at Building 2804, 2804 Spruance Drive, New Castle DE 19720, for the purpose of establishing the listing of subcontractors and to answer and gather questions. You will be required to show identification at the Guard Booth when entering the site. Representatives of each party to any Joint Venture must attend this meeting. **ATTENDANCE OF THIS MEETING IS A PREREQUISITE FOR BIDDING ON THIS CONTRACT.**

Sealed bids shall be addressed to the Delaware Air National Guard, Biden National Guard/Reserve Center, 1 Vavala Way, New Castle, Delaware, 19720, ATTN: Larry Shrock, Construction Project Manager. The outer envelope should clearly indicate: **DEANG Contract JLWS232070; Building 2804 - HVAC Replacement - SEALED BID - DO NOT OPEN.**

Contract Documents (one electronic USB drive) can be obtained at the Pre-Bid Meeting or before, by calling (302)738-7172 upon receipt of \$25.00 per set/ non-refundable. Checks are to be made payable to "DEDC LLC."

Minority Business Enterprises (MBE), Disadvantaged Business Enterprises (DBE), Women-Owned Business Enterprises (WBE) and Veteran-Owned Business Enterprises (VBE) will be afforded full opportunity to submit bids on this contract and will not be subject to discrimination on the basis of race, color, national origin or sex in consideration of this award.

Each bid must be accompanied by a bid security equivalent to ten percent of the bid amount and all additive alternates. The successful bidder must post a performance bond and payment bond in a sum equal to 100 percent of the contract price upon execution of the contract. The Owner reserves the right to reject any or all bids and to waive any informalities therein. The Owner

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may extend the time and place for the opening of the bids from that described in the advertisement, with not less than two calendar days notice by certified delivery, facsimile machine or other electronic means to those bidders receiving plans.

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ARTICLE 1: GENERAL

1.1 DEFINITIONS

1.1.1 Whenever the following terms are used, their intent and meaning shall be interpreted as follows:

1.2 STATE: The State of Delaware.

1.3 AGENCY: Contracting State Agency as noted on cover sheet.

1.4 DESIGNATED OFFICIAL: The agent authorized to act for the Agency.

1.5 BIDDING DOCUMENTS: Bidding Documents include the Bidding Requirements and the proposed Contract Documents. The Bidding Requirements consist of the Advertisement for Bid, Invitation to Bid, Instructions to Bidders, Supplementary Instructions to Bidders (if any), General Conditions, Supplementary General Conditions, General Requirements, Special Provisions (if any), the Bid Form (including the Non-collusion Statement), and other sample bidding and contract forms. The proposed Contract Documents consist of the form of Agreement between the Owner and Contractor, as well as the Drawings, Specifications (Project Manual) and all Addenda issued prior to execution of the Contract.

1.6 CONTRACT DOCUMENTS: The Contract Documents consist of the, Instructions to Bidders, Supplementary Instructions to Bidders (if any), General Conditions, Supplementary General Conditions, General Requirements, Special Provisions (if any), the form of agreement between the Owner and the Contractor, Drawings (if any), Specifications (Project Manual), and all addenda.

1.7 AGREEMENT: The form of the Agreement shall be AIA Document A101, Standard Form of Agreement between Owner and Contractor where the basis of payment is a STIPULATED SUM. In the case of conflict between the instructions contained therein and the General Requirements herein, these General Requirements shall prevail.

1.8 GENERAL REQUIREMENTS (or CONDITIONS): General Requirements (or conditions) are instructions pertaining to the Bidding Documents and to contracts in general. They contain, in summary, requirements of laws of the State; policies of the Agency and instructions to bidders.

1.9 SPECIAL PROVISIONS: Special Provisions are specific conditions or requirements peculiar to the bidding documents and to the contract under consideration and are supplemental to the General Requirements. Should the Special Provisions conflict with the General Requirements, the Special Provisions shall prevail.

1.10 ADDENDA: Written or graphic instruments issued by the Owner/Architect prior to the execution of the contract which modify or interpret the Bidding Documents by additions, deletions, clarifications or corrections.

1.11 BIDDER OR VENDOR: A person or entity who formally submits a Bid for the material or Work contemplated, acting directly or through a

duly authorized representative who meets the requirements set forth in the Bidding Documents.

- 1.12 SUB-BIDDER: A person or entity who submits a Bid to a Bidder for materials or labor, or both for a portion of the Work.
- 1.13 BID: A complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.
- 1.14 BASE BID: The sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents as the base, to which Work may be added or from which Work may be deleted for sums stated in Alternate Bids (if any are required to be stated in the bid).
- 1.15 ALTERNATE BID (or ALTERNATE): An amount stated in the Bid, where applicable, to be added to or deducted from the amount of the Base Bid if the corresponding change in the Work, as described in the Bidding Documents is accepted.
- 1.16 UNIT PRICE: An amount stated in the Bid, where applicable, as a price per unit of measurement for materials, equipment or services or a portion of the Work as described in the Bidding Documents.
- 1.17 SURETY: The corporate body which is bound with and for the Contract, or which is liable, and which engages to be responsible for the Contractor's payments of all debts pertaining to and for his acceptable performance of the Work for which he has contracted.
- 1.18 BIDDER'S DEPOSIT: The security designated in the Bid to be furnished by the Bidder as a guaranty of good faith to enter into a contract with the Agency if the Work to be performed or the material or equipment to be furnished is awarded to him.
- 1.19 CONTRACT: The written agreement covering the furnishing and delivery of material or work to be performed.
- 1.20 CONTRACTOR: Any individual, firm or corporation with whom a contract is made by the Agency.
- 1.21 SUBCONTRACTOR: An individual, partnership or corporation which has a direct contract with a contractor to furnish labor and materials at the job site, or to perform construction labor and furnish material in connection with such labor at the job site.
- 1.22 CONTRACT BOND: The approved form of security furnished by the contractor and his surety as a guaranty of good faith on the part of the contractor to execute the work in accordance with the terms of the contract.

ARTICLE 2: BIDDER'S REPRESENTATIONS

2.1 PRE-BID MEETING

- 2.1.1 A pre-bid meeting for this project will be held at the time and place designated. Attendance at this meeting is a pre-requisite for submitting a Bid, unless this requirement is specifically waived elsewhere in the Bid Documents.
- 2.2 By submitting a Bid, the Bidder represents that:
- 2.2.1 The Bidder has read and understands the Bidding Documents and that the Bid is made in accordance therewith.
- 2.2.2 The Bidder has visited the site, become familiar with existing conditions under which the Work is to be performed, and has correlated the Bidder's his personal observations with the requirements of the proposed Contract Documents.
- 2.2.3 The Bid is based upon the materials, equipment, and systems required by the Bidding Documents without exception.
- 2.3 JOINT VENTURE REQUIREMENTS
- 2.3.1 For Public Works Contracts, each Joint Venturer shall be qualified and capable to complete the Work with their own forces.
- 2.3.2 Included with the Bid submission, and as a requirement to bid, a copy of the executed Joint Venture Agreement shall be submitted and signed by all Joint Venturers involved.
- 2.3.3 All required Bid Bonds, Performance Bonds, Material and Labor Payment Bonds must be executed by both Joint Venturers and be placed in both of their names.
- 2.3.4 All required insurance certificates shall name both Joint Venturers.
- 2.3.5 Both Joint Venturers shall sign the Bid Form.
- 2.3.6 Both Joint Venturers shall include their Federal E.I. Number with the Bid.
- 2.3.7 In the event of a mandatory Pre-bid Meeting, each Joint Venturer shall have a representative in attendance.
- 2.3.8 Due to exceptional circumstances and for good cause shown, one or more of these provisions may be waived at the discretion of the State.
- 2.4 ASSIGNMENT OF ANTITRUST CLAIMS
- 2.4.1 As consideration for the award and execution by the Owner of this contract, the Contractor hereby grants, conveys, sells, assigns and transfers to the State of Delaware all of its right, title and interests in and to all known or unknown causes of action it presently has or may now or hereafter acquire under the antitrust laws of the United States and the State of Delaware, relating to the particular goods or services purchased or acquired by the Owner pursuant to this contract.

ARTICLE 3: BIDDING DOCUMENTS

3.1 COPIES OF BID DOCUMENTS

- 3.1.1 Bidders may obtain complete sets of the Bidding Documents from the Architectural/Engineering firm designated in the Advertisement or Invitation to Bid in the number and for the deposit sum, if any, stated therein.
- 3.1.2 Bidders shall use complete sets of Bidding Documents for preparation of Bids. The issuing Agency nor the Architect assumes no responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.
- 3.1.3 Any errors, inconsistencies or omissions discovered shall be reported to the Architect immediately.
- 3.1.4 The Agency and Architect may make copies of the Bidding Documents available on the above terms for the purpose of obtaining Bids on the Work. No license or grant of use is conferred by issuance of copies of the Bidding Documents.

3.2 INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS

- 3.2.1 The Bidder shall carefully study and compare the Bidding Documents with each other, and with other work being bid concurrently or presently under construction to the extent that it relates to the Work for which the Bid is submitted, shall examine the site and local conditions, and shall report any errors, inconsistencies, or ambiguities discovered to the Architect.
- 3.2.2 Bidders or Sub-bidders requiring clarification or interpretation of the Bidding Documents shall make a written request to the Architect at least seven days prior to the date for receipt of Bids. Interpretations, corrections and changes to the Bidding Documents will be made by written Addendum. Interpretations, corrections, or changes to the Bidding Documents made in any other manner shall not be binding.
- 3.2.3 The apparent silence of the specifications as to any detail, or the apparent omission from it of detailed description concerning any point, shall be regarded as meaning that only the best commercial practice is to prevail and only material and workmanship of the first quality are to be used. Proof of specification compliance will be the responsibility of the Bidder.
- 3.2.4 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for all permits, labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for the proper execution and completion of the Work.
- 3.2.5 The Owner will bear the costs for all impact and user fees associated with the project.

3.3 SUBSTITUTIONS

- 3.3.1 The materials, products and equipment described in the Bidding Documents establish a standard of quality, required function, dimension, and appearance to be met by any proposed substitution. The specification of a particular manufacturer or model number is not intended to be proprietary in any way. Substitutions of products for those named will be considered, providing that the Vendor certifies that the function, quality, and performance characteristics of the material offered is equal or superior to that specified. It shall be the Bidder's responsibility to assure that the proposed substitution will not affect the intent of the design, and to make any installation modifications required to accommodate the substitution.
- 3.3.2 Requests for substitutions shall be made in writing to the Architect at least ten days prior to the date of the Bid Opening. Such requests shall include a complete description of the proposed substitution, drawings, performance and test data, explanation of required installation modifications due the substitution, and any other information necessary for an evaluation. The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval shall be final. The Architect is to notify Owner prior to any approvals.
- 3.3.3 If the Architect approves a substitution prior to the receipt of Bids, such approval shall be set forth in an Addendum. Approvals made in any other manner shall not be binding.
- 3.3.4 The Architect shall have no obligation to consider any substitutions after the Contract award.

3.4 ADDENDA

- 3.4.1 Addenda will be mailed or delivered to all who are known by the Architect to have received a complete set of the Bidding Documents.
- 3.4.2 Copies of Addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.
- 3.4.3 No Addenda will be issued later than 2 calendar days prior to the date for receipt of Bids except an Addendum withdrawing the request for Bids or one which extends the time or changes the location for the opening of bids.
- 3.4.4 Each bidder shall ascertain prior to submitting his Bid that they have received all Addenda issued, and shall acknowledge their receipt in their Bid in the appropriate space. Not acknowledging an issued Addenda could be grounds for determining a bid to be non-responsive.

ARTICLE 4: BIDDING PROCEDURES

- 4.1 PREPARATION OF BIDS
- 4.1.1 Submit the bids on the Bid Forms included with the Bidding Documents.
- 4.1.2 Submit the original Bid Form for each bid. Bid Forms may be removed from the project manual for this purpose.
- 4.1.3 Execute all blanks on the Bid Form in a non-erasable medium (typewriter or manually in ink).
- 4.1.4 Where so indicated by the makeup on the Bid Form, express sums in both words and figures, in case of discrepancy between the two, the written amount shall govern.
- 4.1.5 Interlineations, alterations or erasures must be initialed by the signer of the Bid.
- 4.1.6 BID ALL REQUESTED ALTERNATES AND UNIT PRICES, IF ANY. If there is no change in the Base Bid for an Alternate, enter "No Change". The Contractor is responsible for verifying that they have received all addenda issued during the bidding period. Work required by Addenda shall automatically become part of the Contract.
- 4.1.7 Make no additional stipulations on the Bid Form and do not qualify the Bid in any other manner.
- 4.1.8 Each copy of the Bid shall include the legal name of the Bidder and a statement whether the Bidder is a sole proprietor, a partnership, a corporation, or any legal entity, and each copy shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current Power of Attorney attached, certifying agent's authority to bind the Bidder.
- 4.1.9 Bidder shall complete the Non-Collusion Statement form included with the Bid Forms and include it with their Bid.
- 4.1.10 In the construction of all Public Works projects for the State of Delaware or any agency thereof, preference in employment of laborers, workers or mechanics shall be given to bona fide legal citizens of the State who have established citizenship by residence of at least 90 days in the State.
- 4.1.11 Each bidder shall include a signed Affidavit for the Bidder certifying compliance with OMB Regulation 4104 - "Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on "Large Public Works Projects." "Large Public Works" is based upon the current threshold required for bidding Public Works as set by the Purchasing and Contracting Advisory Council.

4.2 BID SECURITY

4.2.1 All bids shall be accompanied by a deposit of either a good and sufficient bond to the agency for the benefit of the agency, with corporate surety authorized to do business in this State, the form of the bond and the surety to be approved by the agency, or a security of the bidder assigned to the agency, for a sum equal to at least 10% of the bid plus all add alternates, or in lieu of the bid bond a security deposit in the form of a certified check, bank treasurer's check, cashier's check, money order, or other prior approved secured deposit assigned to the State. The bid bond need not be for a specific sum, but may be stated to be for a sum equal to 10% of the bid plus all add alternates to which it relates and not to exceed a certain stated sum, if said sum is equal to at least 10% of the bid. The Bid Bond form used shall be the standard OMB form (attached).

4.2.2 The Agency has the right to retain the bid security of Bidders to whom an award is being considered until either a formal contract has been executed and bonds have been furnished or the specified time has elapsed so the Bids may be withdrawn or all Bids have been rejected.

4.2.3 In the event of any successful Bidder refusing or neglecting to execute a formal contract and bond within 20 days of the awarding of the contract, the bid bond or security deposited by the successful bidder shall be forfeited.

4.3 SUBCONTRACTOR LIST

4.3.1 In accordance with Title 29, Chapter 69, Section 6962(d)(10)b of the Delaware Code, each Bidder shall submit with their Bid a completed List of Sub-Contractors included with the Bid Form. NAME ONLY ONE SUBCONTRACTOR FOR EACH TRADE. The bidder must list in each category the full name and address (City & State) of the sub-contractor that the Bidder will be using to perform the work and provide material for that subcontractor category. Should the Bidder's listed subcontractor intend to provide any of their subcontractor category of work through a third-tier contractor, the Bidder shall list that third-tier contractor's full name and address (City & State). If the Bidder intends to perform any category of work itself, it must list its full name and address. For clarification, if the Bidder intends to perform the work themselves, the Bidder may not insert "not applicable", "N/A", "self" or anything other than its own full name and address (City & State). To do so shall cause the bid to be rejected. In addition, the failure to produce a completed subcontractor list with the bid submittal shall cause the bid to be rejected. If you have more than three (3) third-tier contractors to report in any subcontractor category, print out additional page(s) containing the appropriate category, complete the rest of your list of third-tier contractors for that category, notate the addition in parentheses as (CONTINUATION) next to the subcontractor category and an asterisk (*) next to any additional third-tier contractors, and submit it with your bid.

- 4.3.2 It is the responsibility of the Contractor to ensure that their Subcontractors are in compliance with the provisions of this law. Also, if a Contractor elects to list themselves as a Subcontractor for any category, they must specifically name themselves on the Bid Form and be able to document their capability to act as Subcontractor in that category in accordance with this law.

4.4 AFFIDAVIT OF CONTRACTOR QUALIFICATIONS

- 4.4.1 In accordance with Title 29, Chapter 69, Section 6962(d)(10)b.3 of the Delaware Code, each Bidder shall submit with their Bid the Affidavit of Contractor Qualifications certifying that the Bidder will abide by the contractor's qualifications outlined in the construction bid specifications for the duration of the contract term. After a contract has been awarded the successful bidder shall not substitute another subcontractor whose name was submitted on the Subcontractor Form except for the reasons in the statute and not without written consent from the awarding agency. Failure to utilize the subcontractors on the list will subject the successful bidder to penalties as outlined in the General Requirements Section 5.2 of the contract.

4.5 AFFIDAVIT OF CRAFT TRAINING COMPLIANCE

- 4.5.1 In accordance with Title 29, Chapter 69, Section 6962(c)(13) of the Delaware Code, contractors and subcontractors must provide craft training for journeyman and apprentice levels if all of the following apply:

- A. A project meets the prevailing wage requirement under Title 29, Chapter 69, Section 6960 of the Delaware Code.
- B. The contractor employs 10 or more total employees.
- C. The project is not a federal highway project

Failure to provide required craft training on the project may subject the successful contractor and/or subcontractor(s) to penalties as outlined in Title 29, Chapter 69, Section 6962(c)(13) of the Delaware Code.

Bidders shall submit the Affidavit of Craft Training Compliance prior to contract execution.

4.6 EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS

- 4.6.1 During the performance of this contract, the contractor agrees as follows:

- A. The Contractor will not discriminate against any employee or applicant for employment because of race, creed, sex, color, sexual orientation, gender identity or national origin. The Contractor will take affirmative action to ensure the applicants are employed, and that employees are treated during employment, without regard to their race, creed, sex, color, sexual orientation, gender identity or national origin. Such action shall include, but not be limited to,

the following: Employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places available to employees and applicants for employment notices to be provided by the contracting agency setting forth this nondiscrimination clause.

- B. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, creed, sex, color, sexual orientation, gender identity or national origin."

4.7 PREVAILING WAGE REQUIREMENT

4.7.1 Wage Provisions: For renovation and new construction projects whose costs exceed the thresholds contained in Delaware Code, Title 29, Section 6960, the minimum wage rates for various classes of laborers and mechanics shall be as determined by the Department of Labor, Division of Industrial Affairs of the State of Delaware.

4.7.2 The employer shall pay all mechanics and labors employed directly upon the site of work, unconditionally and not less often than once a week and without subsequent deduction or rebate on any account, the full amounts accrued at time of payment, computed at wage rates not less than those stated in the specifications, regardless of any contractual relationship which may be alleged to exist between the employer and such laborers and mechanics.

4.7.3 The scale of the wages to be paid shall be posted by the employer in a prominent and easily accessible place at the site of the work.

4.7.4 Every contract based upon these specifications shall contain a stipulation that sworn payroll information, as required by the Department of Labor, be furnished weekly. The Department of Labor shall keep and maintain the sworn payroll information for a period of 6 months from the last day of the work week covered by the payroll.

4.8 SUBMISSION OF BIDS

4.8.1 Enclose the Bid, the Bid Security, and any other documents required to be submitted with the Bid in a sealed opaque envelope. Address the envelope to the party receiving the Bids. Identify with the project name, project number, and the Bidder's name and address. If the Bid is sent by mail, enclose the sealed envelope in a separate mailing envelope with the notation "BID ENCLOSED" on the face thereof. The State is not responsible for the opening of bids prior to bid opening date and time that are not properly marked.

4.8.2 Deposit Bids at the designated location prior to the time and date for receipt of bids indicated in the Advertisement for Bids. Bids received after the time and date for receipt of bids will be marked "LATE BID" and returned.

4.8.3 Bidder assumes full responsibility for timely delivery at location designated for receipt of bids.

4.8.4 Oral, telephonic or telegraphic bids are invalid and will not receive consideration.

4.8.5 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids, provided that they are then fully in compliance with these Instructions to Bidders.

4.9 MODIFICATION OR WITHDRAW OF BIDS

4.9.1 Prior to the closing date for receipt of Bids, a Bidder may withdraw a Bid by personal request and by showing proper identification to the Architect. A request for withdraw by letter or fax, if the Architect is notified in writing prior to receipt of fax, is acceptable. A fax directing a modification in the bid price will render the Bid informal, causing it to be ineligible for consideration of award. Telephone directives for modification of the bid price shall not be permitted and will have no bearing on the submitted proposal in any manner.

4.9.2 Bidders submitting Bids that are late shall be notified as soon as practicable and the bid shall be returned.

4.9.3 A Bid may not be modified, withdrawn or canceled by the Bidder during a thirty (30) day period following the time and date designated for the receipt and opening of Bids, and Bidder so agrees in submitting their Bid. Bids shall be binding for 30 days after the date of the Bid opening.

ARTICLE 5: CONSIDERATION OF BIDS

5.1 OPENING/REJECTION OF BIDS

5.1.1 Unless otherwise stated, Bids received on time will be publicly opened and will be read aloud. An abstract of the Bids will be made available to Bidders.

5.1.2 The Agency shall have the right to reject any and all Bids. A Bid not accompanied by a required Bid Security or by other data required by the Bidding Documents, or a Bid which is in any way incomplete or irregular is subject to rejection.

5.1.3 If the Bids are rejected, it will be done within thirty (30) calendar day of the Bid opening.

5.2 COMPARISON OF BIDS

5.2.1 After the Bids have been opened and read, the bid prices will be compared and the result of such comparisons will be made available to the public. Comparisons of the Bids may be based on the Base

Bid plus desired Alternates. The Agency shall have the right to accept Alternates in any order or combination.

5.2.2 The Agency reserves the right to waive technicalities, to reject any or all Bids, or any portion thereof, to advertise for new Bids, to proceed to do the Work otherwise, or to abandon the Work, if in the judgment of the Agency or its agent(s), it is in the best interest of the State.

5.2.3 An increase or decrease in the quantity for any item is not sufficient grounds for an increase or decrease in the Unit Price.

5.2.4 The prices quoted are to be those for which the material will be furnished F.O.B. Job Site and include all charges that may be imposed during the period of the Contract.

5.2.5 No qualifying letter or statements in or attached to the Bid, or separate discounts will be considered in determining the low Bid except as may be otherwise herein noted. Cash or separate discounts should be computed and incorporated into Unit Bid Price(s).

5.3 DISQUALIFICATION OF BIDDERS

5.3.1 An agency shall determine that each Bidder on any Public Works Contract is responsible before awarding the Contract. Factors to be considered in determining the responsibility of a Bidder include:

- A. The Bidder's financial, physical, personnel or other resources including Subcontracts;
- B. The Bidder's record of performance on past public or private construction projects, including, but not limited to, defaults and/or final adjudication or admission of violations of the Prevailing Wage Laws in Delaware or any other state;
- C. The Bidder's written safety plan;
- D. Whether the Bidder is qualified legally to contract with the State;
- E. Whether the Bidder supplied all necessary information concerning its responsibility; and,
- F. Any other specific criteria for a particular procurement, which an agency may establish; provided however, that, the criteria be set forth in the Invitation to Bid and is otherwise in conformity with State and/or Federal law.

5.3.2 If an agency determines that a Bidder is nonresponsive and/or nonresponsible, the determination shall be in writing and set forth the basis for the determination. A copy of the determination shall be sent to the affected Bidder within five (5) working days of said determination.

- 5.3.3 In addition, any one or more of the following causes may be considered as sufficient for the disqualification of a Bidder and the rejection of their Bid or Bids.
- 5.3.3.1 More than one Bid for the same Contract from an individual, firm or corporation under the same or different names.
- 5.3.3.2 Evidence of collusion among Bidders.
- 5.3.3.3 Unsatisfactory performance record as evidenced by past experience.
- 5.3.3.4 If the Unit Prices are obviously unbalanced either in excess or below reasonable cost analysis values.
- 5.3.3.5 If there are any unauthorized additions, interlineation, conditional or alternate bids or irregularities of any kind which may tend to make the Bid incomplete, indefinite or ambiguous as to its meaning.
- 5.3.3.6 If the Bid is not accompanied by the required Bid Security and other data required by the Bidding Documents.
- 5.3.3.7 If any exceptions or qualifications of the Bid are noted on the Bid Form.
- 5.4 ACCEPTANCE OF BID AND AWARD OF CONTRACT
- 5.4.1 A formal Contract shall be executed with the successful Bidder within twenty (20) calendar days after the award of the Contract.
- 5.4.2 Per Section 6962(d)(13) a., Title 29, Delaware Code, "The contracting agency shall award any public works contract within thirty (30) days of the bid opening to the lowest responsive and responsible Bidder, unless the Agency elects to award on the basis of best value, in which case the election to award on the basis of best value shall be stated in the Invitation To Bid."
- 5.4.3 Each Bid on any Public Works Contract must be deemed responsive by the Agency to be considered for award. A responsive Bid shall conform in all material respects to the requirements and criteria set forth in the Contract Documents and specifications.
- 5.4.4 The Agency shall have the right to accept Alternates in any order or combination, and to determine the low Bidder on the basis of the sum of the Base Bid, plus accepted Alternates.
- 5.4.5 The successful Bidder shall execute a formal contract, submit the required Insurance Certificate, and furnish good and sufficient bonds, unless specifically waived in the General Requirements, in accordance with the General Requirement, within twenty (20) days of official notice of contract award. The successful Bidder shall provide, at least two business days prior to contract execution, copies of the Employee Drug Testing Program for the Bidder and all listed Subcontractors. Bonds shall be for the benefit of the Agency with surety in the amount of 100% of the total contract

award. Said Bonds shall be conditioned upon the faithful performance of the contract. Bonds shall remain in affect for period of one year after the date of substantial completion.

- 5.4.6 If the successful Bidder fails to execute the required Contract, Bond and all required information, as aforesaid, within twenty (20) calendar days after the date of official Notice of the Award of the Contract, their Bid guaranty shall immediately be taken and become the property of the State for the benefit of the Agency as liquidated damages, and not as a forfeiture or as a penalty. Award will then be made to the next lowest qualified Bidder of the Work or readvertised, as the Agency may decide.
- 5.4.7 Each bidder shall supply with its bid its taxpayer identification number (i.e., federal employer identification number or social security number) and should the vendor be awarded a contract, such vendor shall provide to the agency the taxpayer identification license numbers of such subcontractors. Such numbers shall be provided on the later of the date on which such subcontractor is required to be identified or the time the contract is executed. The successful Bidder shall provide to the agency to which it is contracting, within 30 days of entering into such public works contract, copies of all Delaware Business licenses of subcontractors and/or independent contractors that will perform work for such public works contract. However, if a subcontractor or independent contractor is hired or contracted more than 20 days after the Bidder entered the public works contract the Delaware Business license of such subcontractor or independent contractor shall be provided to the agency within 10 days of being contracted or hired.
- 5.4.8 The Bid Security shall be returned to the successful Bidder upon the execution of the formal contract. The Bid Securities of unsuccessful bidders shall be returned within thirty (30) calendar days after the opening of the Bids.

ARTICLE 6: POST-BID INFORMATION

6.1 CONTRACTOR'S QUALIFICATION STATEMENT

- 6.1.1 Bidders to whom an award of a Contract is under consideration shall, if requested by the Agency, submit a properly executed AIA Document A305, Contractor's Qualification Statement, unless such a statement has been previously required and submitted.

6.2 BUSINESS DESIGNATION FORM

- 6.2.1 Successful bidder shall be required to accurately complete an Office of Management and Budget Business Designation Form for Subcontractors.

- 6.3 Bidders to whom an award of a Contract has been made must produce their Delaware Business License before the Contract can be executed.

ARTICLE 7: PERFORMANCE BOND AND PAYMENT BOND

7.1 BOND REQUIREMENTS

7.1.1 The cost of furnishing the required Bonds, that are stipulated in the Bidding Documents, shall be included in the Bid.

7.1.2 If the Bidder is required by the Agency to secure a bond from other than the Bidder's usual sources, changes in cost will be adjusted as provide in the Contract Documents.

7.1.3 The Performance and Payment Bond forms used shall be the standard OMB forms (attached).

7.2 TIME OF DELIVERY AND FORM OF BONDS

7.2.1 The bonds shall be dated on or after the date of the Contract.

7.2.2 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix a certified and current copy of the power of attorney.

ARTICLE 8: FORM OF AGREEMENT BETWEEN AGENCY AND CONTRACTOR

8.1 Unless otherwise required in the Bidding Documents, the Agreement for the Work will be written on AIA Document A101, Standard Form of Agreement Between Owner and Contractor Where the Basis of Payment is a Stipulated Sum.

END OF INSTRUCTIONS TO BIDDERS

NOT FOR BIDDING PURPOSES

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SECTION 00 41 13

BID FORM

For Bids Due: September 10th, 2025 at 10:00am **To:** Delaware Air National Guard
Biden National Guard / Reserve Center
1 Vavala Way
New Castle, Delaware 19720

Name of Bidder: _____

Delaware Business License No.: _____ **Taxpayer ID No.:** _____
(A copy of Bidder's Delaware Business License must be attached to this form.)

(Other License Nos.): _____

Phone No.: () _____ - _____ **Fax**
No.: () _____

The undersigned, representing that he has read and understands the Bidding Documents and that this bid is made in accordance therewith, that he has visited the site and has familiarized himself with the local conditions under which the Work is to be performed, and that his bid is based upon the materials, systems and equipment described in the Bidding Documents without exception, hereby proposes and agrees to provide all labor, materials, plant, equipment, supplies, transport and other facilities required to execute the work described by the aforesaid documents for the lump sum itemized below:

\$ _____
(\$ _____)

ALLOWANCE

A \$75,000 seventy five thousand dollar allowance shall be provided as part of the base bid of this project to cover miscellaneous items found during construction. Contractor shall use Allowance Authorization Form to document any allowance change orders. At closeout of the Contract, funds remaining in the Contingency allowance will be credited to Owner by Change Order.

Confirmed: _____
(Signature)

BID FORM

I/We acknowledge Addendums numbered _____ and the price(s) submitted include any cost/schedule impact they may have.

This bid shall remain valid and cannot be withdrawn for thirty (30) days from the date of opening of bids (60 days for School Districts and Department of Education), and the undersigned shall abide by the Bid Security forfeiture provisions. Bid Security is attached to this Bid.

The Owner shall have the right to reject any or all bids, and to waive any informality or irregularity in any bid received.

This bid is based upon work being accomplished by the Sub-Contractors named on the list attached to this bid.

Should I/We be awarded this contract, I/We pledge to achieve substantial completion of all the work within ____ calendar days of the Notice to Proceed.

The undersigned represents and warrants that he has complied and shall comply with all requirements of local, state, and national laws; that no legal requirement has been or shall be violated in making or accepting this bid, in awarding the contract to him or in the prosecution of the work required; that the bid is legal and firm; that he has not, directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken action in restraint of free competitive bidding.

Upon receipt of written notice of the acceptance of this Bid, the Bidder shall, within twenty (20) calendar days, execute the agreement in the required form and deliver the Contract Bonds, and Insurance Certificates, required by the Contract Documents.

I am / We are an Individual / a Partnership / a Corporation

By _____ Trading as _____
(Individual's / General Partner's / Corporate Name)

(State of Corporation)

Business Address: _____

Witness: _____ By: _____
(SEAL) (Authorized Signature)

(Title)
Date: _____

ATTACHMENTS

Sub-Contractor List
Non-Collusion Statement
Affidavit(s) of Employee Drug Testing Program
Bid Security
(Others as Required by Project Manuals)

BID FORM

SUBCONTRACTOR LIST

In accordance with Title 29, Chapter 69, Section 6962(d)(10)b of the Delaware Code, the following subcontractor listing must accompany any bid submittal. The bidder must list **in each category** the full name and address (City & State) of the subcontractor that the bidder will be using to perform the work and provide material for that subcontractor category. Should the bidder's listed subcontractor intend to provide any of their subcontractor category of work through a third-tier contractor, the bidder shall list that third-tier contractor's full name and address (City & State). **If the bidder intends to perform any category of work itself, it must list its full name and address.** For clarification, if the bidder intends to perform the work themselves, the bidder **may not** insert "not applicable", "N/A", "self" or anything other than its own full name and address (City & State). To do so shall cause the bid to be rejected. In addition, the failure to produce a completed subcontractor list with the bid submittal shall cause the bid to be rejected.

<u>Subcontractor Category</u>	<u>Subcontractor</u>	<u>Address (City & State)</u>	<u>Subcontractors tax-payer ID # or Delaware Business license#</u>
1.			
A.			
B.			
C.			
2.			
A.			
B.			
C.			
3.			
A.			

B.	_____	_____	_____
C.	_____	_____	_____

BID FORM (Continued)

4.	_____	_____	_____
A.	_____	_____	_____
B.	_____	_____	_____
C.	_____	_____	_____
5.	_____	_____	_____
A.	_____	_____	_____
B.	_____	_____	_____
C.	_____	_____	_____

NOT FOR BIDDING PURPOSES

**AFFIDAVIT
OF
CONTRACTOR QUALIFICATIONS**

We hereby certify that we will abide by the contractor's qualifications outlined in the construction bid specifications for the duration of the contract term.

In accordance with Title 29, Chapter 69, Section 6962(d)(10)b.3 of the Delaware Code, after a contract has been awarded the successful bidder shall not substitute another subcontractor whose name was submitted on the Subcontractor Form except for the reasons in the statute and not without written consent from the awarding agency. Failure to utilize the subcontractors on the list will subject the successful bidder to penalties as outlined in the General Requirements Section 5.2 of the contract.

Contractor Name: _____

Contractor Address: _____

Authorized Representative (typed or printed): _____

Authorized Representative (signature): _____

Title: _____

Sworn to and Subscribed before me this _____ day of _____ 20____.

My Commission expires _____. NOTARY PUBLIC _____

THIS PAGE MUST BE SIGNED AND NOTARIZED FOR YOUR BID TO BE CONSIDERED.

BID FORM

NON-COLLUSION STATEMENT

This is to certify that the undersigned bidder has neither directly nor indirectly, entered into any agreement, participated in any collusion or otherwise taken any action in restraint of free competitive bidding in connection with this proposal submitted this date to the Delaware Air National Guard.

All the terms and conditions of DEANG #JLWS232070 have been thoroughly examined and are understood.

NAME OF BIDDER: _____

AUTHORIZED REPRESENTATIVE
(TYPED) : _____

AUTHORIZED REPRESENTATIVE
(SIGNATURE) : _____

TITLE: _____

ADDRESS OF BIDDER: _____

E-MAIL: _____

PHONE NUMBER: _____

Sworn to and Subscribed before me this _____ day of _____
_____ 20____.

My Commission expires _____. NOTARY PUBLIC _____.

THIS PAGE MUST BE SIGNED AND NOTARIZED FOR YOUR BID TO BE CONSIDERE
AFFIDAVIT
OF

EMPLOYEE DRUG TESTING PROGRAM

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors implement a program of mandatory drug testing for Employees who work on Large Public Works Contracts funded all or in part with public funds.

We hereby certify that we have in place or will implement during the entire term of the contract a Mandatory Drug Testing Program for our employees on the jobsite, including subcontractors that complies with this regulation:

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____

Authorized Representative (typed or printed): _____

Authorized Representative (signature): _____

Title: _____

Sworn to and Subscribed before me this _____ day of _____ 20____.

My Commission expires _____. NOTARY PUBLIC _____.

THIS PAGE MUST BE SIGNED AND NOTARIZED FOR YOUR BID TO BE CONSIDERED.

END OF BID FORM

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NOT FOR BIDDING PURPOSES

SECTION 00 43 13
BID BOND

TO ACCOMPANY PROPOSAL
(Not necessary if security is used)

KNOW ALL MEN BY THESE PRESENTS That: _____
_____ of _____ in the County of _____
_____ and State of _____ as **Principal**, and
_____ of _____ in the County
of _____ and State of _____ as **Surety**, legally authorized
to do business in the State of Delaware ("**State**"), are held and firmly unto the
State in the sum of _____ Dollars
(\$ _____), or _____ percent not to exceed _____
Dollars (\$ _____) of amount of
bid on Contract No. DEANG #JLWS232070, to be paid to the **State** for the use and
benefit of the Delaware Air National Guard for which payment well and truly to
be made, we do bind ourselves, our and each of our heirs, executors,
administrators, and successors, jointly and severally for and in the whole
firmly by these presents.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH That if the above bonded
Principal who has submitted to the Delaware Air National Guard a certain
proposal to enter into this contract for the furnishing of certain material
and/or services within the **State**, shall be awarded this Contract, and if said
Principal shall well and truly enter into and execute this Contract as may be
required by the terms of this Contract and approved by the Delaware Air National
Guard this Contract to be entered into within twenty days after the date of
official notice of the award thereof in accordance with the terms of said
proposal, then this obligation shall be void or else to be and remain in full
force and virtue.

Sealed with _____ seal and dated this _____ day of _____
in the year of our Lord two thousand and _____ (20__).

SEALED, AND DELIVERED IN THE
Presence of

Name of Bidder (Organization)

Corporate Seal By: _____
Signature _____ Authorized _____

Attest _____
_____ Title

Name of Surety

Witness: _____ By: _____

Title

END OF SECTION

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SECTION 00 52 13
STANDARD FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR A101-2017

The contract to be utilized on this project shall be the "Standard Form of Agreement Between Owner and Contractor" AIA Document A101-2017, including AIA Document A101 - 2017 Exhibit A, as well as Supplements to A101-2017 and Exhibit A and the State of Delaware's General Requirements.

END OF SECTION

NOT FOR BIDDING PURPOSES

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NOT FOR BIDDING PURPOSES

DRAFT AIA® Document A101™ – 2017

Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

AGREEMENT made as of the « » day of « » in the year « »
(In words, indicate day, month and year.)

BETWEEN the Owner:
(Name, legal status, address and other information)

« »
« »
« »
« »

and the Contractor:
(Name, legal status, address and other information)

« »
« »
« »
« »

for the following Project:
(Name, location and detailed description)

«testing»
«»
«»

The Architect:
(Name, legal status, address and other information)

« »
« »
« »
« »

The Owner and Contractor agree as follows.

ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A101™-2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201™-2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

ELECTRONIC COPYING of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

TABLE OF ARTICLES

- 1 THE CONTRACT DOCUMENTS
- 2 THE WORK OF THIS CONTRACT
- 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
- 4 CONTRACT SUM
- 5 PAYMENTS
- 6 DISPUTE RESOLUTION
- 7 TERMINATION OR SUSPENSION
- 8 MISCELLANEOUS PROVISIONS
- 9 ENUMERATION OF CONTRACT DOCUMENTS

EXHIBIT A INSURANCE AND BONDS

ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be:

(Check one of the following boxes.)

- ☐ [« »] The date of this Agreement.
- ☐ [« »] A date set forth in a notice to proceed issued by the Owner.
- ☐ [« »] Established as follows:
(Insert a date or a means to determine the date of commencement of the Work.)
- « »

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work.

§ 3.3 Substantial Completion

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work:

(Check one of the following boxes and complete the necessary information.)

- ☐ [« »] Not later than « » (« ») calendar days from the date of commencement of the Work.

[« »] By the following date: « »

§ 3.3.2 Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work are to be completed prior to Substantial Completion of the entire Work, the Contractor shall achieve Substantial Completion of such portions by the following dates:

Portion of Work	Substantial Completion Date

§ 3.3.3 If the Contractor fails to achieve Substantial Completion as provided in this Section 3.3, liquidated damages, if any, shall be assessed as set forth in Section 4.5.

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be «Zero Dollars and Zero Cents» (\$ «0.00»), subject to additions and deductions as provided in the Contract Documents.

§ 4.2 Alternates

§ 4.2.1 Alternates, if any, included in the Contract Sum:

Item	Price

§ 4.2.2 Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement. (Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.)

Item	Price	Conditions for Acceptance

§ 4.3 Allowances, if any, included in the Contract Sum: (Identify each allowance.)

Item	Price

§ 4.4 Unit prices, if any:

(Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.)

Item	Units and Limitations	Price per Unit (\$0.00)

§ 4.5 Liquidated damages, if any:

(Insert terms and conditions for liquidated damages, if any.)

« »

§ 4.6 Other:

(Insert provisions for bonus or other incentives, if any, that might result in a change to the Contract Sum.)

« »

ARTICLE 5 PAYMENTS

§ 5.1 Progress Payments

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

« »

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the « » day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the « » day of the « » month. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than « » (« ») days after the Architect receives the Application for Payment.

(Federal, state or local laws may require payment within a certain period of time.)

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 In accordance with AIA Document A201™-2017, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.6.1 The amount of each progress payment shall first include:

- .1 That portion of the Contract Sum properly allocable to completed Work;
- .2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing; and
- .3 That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified.

§ 5.1.6.2 The amount of each progress payment shall then be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;
- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201-2017;
- .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201-2017; and
- .5 Retainage withheld pursuant to Section 5.1.7.

§ 5.1.7 Retainage

§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)

« »

§ 5.1.7.1.1 The following items are not subject to retainage:
(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)

<< >>

§ 5.1.7.2 Reduction or limitation of retainage, if any, shall be as follows:
(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)

<< >>

§ 5.1.7.3 Except as set forth in this Section 5.1.7.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted at Substantial Completion shall not include retainage as follows:
(Insert any other conditions for release of retainage upon Substantial Completion.)

<< >>

§ 5.1.8 If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201–2017.

§ 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

§ 5.2 Final Payment

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Article 12 of AIA Document A201–2017, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the Architect.

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect's final Certificate for Payment, or as follows:

<< >>

§ 5.3 Interest

Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.
(Insert rate of interest agreed upon, if any.)

<< >> % << >>

ARTICLE 6 DISPUTE RESOLUTION

§ 6.1 Initial Decision Maker

The Architect will serve as the Initial Decision Maker pursuant to Article 15 of AIA Document A201–2017, unless the parties appoint below another individual, not a party to this Agreement, to serve as the Initial Decision Maker.
(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)

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§ 6.2 Binding Dispute Resolution

For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A201–2017, the method of binding dispute resolution shall be as follows:

(Check the appropriate box.)

☐ Arbitration pursuant to Section 15.4 of AIA Document A201–2017

☐ Litigation in a court of competent jurisdiction

☐ Other *(Specify)*

« »

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2017.

§ 7.1.1 If the Contract is terminated for the Owner’s convenience in accordance with Article 14 of AIA Document A201–2017, then the Owner shall pay the Contractor a termination fee as follows:

(Insert the amount of, or method for determining, the fee, if any, payable to the Contractor following a termination for the Owner’s convenience.)

« »

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2017.

ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner’s representative:

(Name, address, email address, and other information)

« »

« »

« »

« »

« »

« »

§ 8.3 The Contractor’s representative:

(Name, address, email address, and other information)

« »

« »

« »

« »

« »

« »

§ 8.4 Neither the Owner’s nor the Contractor’s representative shall be changed without ten days’ prior notice to the other party.

§ 8.5 Insurance and Bonds

§ 8.5.1 The Owner and the Contractor shall purchase and maintain insurance as set forth in AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum, Exhibit A, Insurance and Bonds, and elsewhere in the Contract Documents.

§ 8.5.2 The Contractor shall provide bonds as set forth in AIA Document A101™–2017 Exhibit A, and elsewhere in the Contract Documents.

§ 8.6 Notice in electronic format, pursuant to Article 1 of AIA Document A201–2017, may be given in accordance with AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, if completed, or as otherwise set forth below:

(If other than in accordance with AIA Document E203–2013, insert requirements for delivering notice in electronic format such as name, title, and email address of the recipient and whether and how the system will be required to generate a read receipt for the transmission.)

« »

§ 8.7 Other provisions:

« »

ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 This Agreement is comprised of the following documents:

- .1 AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor
- .2 AIA Document A101™–2017, Exhibit A, Insurance and Bonds
- .3 AIA Document A201™–2017, General Conditions of the Contract for Construction
- .4 AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:

(Insert the date of the E203-2013 incorporated into this Agreement.)

« »

- .5 Drawings

Number	Title	Date

- .6 Specifications

Section	Title	Date	Pages

- .7 Addenda, if any:

Number	Date	Pages

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9.

- .8 Other Exhibits:

(Check all boxes that apply and include appropriate information identifying the exhibit where required.)

[« »] AIA Document E204™–2017, Sustainable Projects Exhibit, dated as indicated below:
(Insert the date of the E204-2017 incorporated into this Agreement.)

« »

[« »] The Sustainability Plan:

Title	Date	Pages

[« »] Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages

.9 Other documents, if any, listed below:

(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201™-2017 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor's bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.)

« »

This Agreement entered into as of the day and year first written above.

OWNER (Signature)

« »« »

(Printed name and title)

CONTRACTOR (Signature)

« »« »

(Printed name and title)

DRAFT AIA® Document A101™ – 2017

Exhibit A

Insurance and Bonds

This Insurance and Bonds Exhibit is part of the Agreement, between the Owner and the Contractor, dated the « » day of « » in the year « »
(In words, indicate day, month and year.)

for the following **PROJECT**:
(Name and location or address)

« »
« »

THE OWNER:
(Name, legal status and address)

« »
« »

THE CONTRACTOR:
(Name, legal status and address)

« »
« »

TABLE OF ARTICLES

- A.1 GENERAL
- A.2 OWNER'S INSURANCE
- A.3 CONTRACTOR'S INSURANCE AND BONDS
- A.4 SPECIAL TERMS AND CONDITIONS

ARTICLE A.1 GENERAL

The Owner and Contractor shall purchase and maintain insurance, and provide bonds, as set forth in this Exhibit. As used in this Exhibit, the term General Conditions refers to AIA Document A201™-2017, General Conditions of the Contract for Construction.

ARTICLE A.2 OWNER'S INSURANCE

§ A.2.1 General

Prior to commencement of the Work, the Owner shall secure the insurance, and provide evidence of the coverage, required under this Article A.2 and, upon the Contractor's request, provide a copy of the property insurance policy or policies required by Section A.2.3. The copy of the policy or policies provided shall contain all applicable conditions, definitions, exclusions, and endorsements.

§ A.2.2 Liability Insurance

The Owner shall be responsible for purchasing and maintaining the Owner's usual general liability insurance.

§ A.2.3 Required Property Insurance

ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This document is intended to be used in conjunction with AIA Document A201™-2017, General Conditions of the Contract for Construction. Article 11 of A201™-2017 contains additional insurance provisions.

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§ A.2.3.1 Unless this obligation is placed on the Contractor pursuant to Section A.3.3.2.1, the Owner shall purchase and maintain, from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located, property insurance written on a builder's risk "all-risks" completed value or equivalent policy form and sufficient to cover the total value of the entire Project on a replacement cost basis. The Owner's property insurance coverage shall be no less than the amount of the initial Contract Sum, plus the value of subsequent Modifications and labor performed and materials or equipment supplied by others. The property insurance shall be maintained until Substantial Completion and thereafter as provided in Section A.2.3.1.3, unless otherwise provided in the Contract Documents or otherwise agreed in writing by the parties to this Agreement. This insurance shall include the interests of the Owner, Contractor, Subcontractors, and Sub-subcontractors in the Project as insureds. This insurance shall include the interests of mortgagees as loss payees.

§ A.2.3.1.1 Causes of Loss. The insurance required by this Section A.2.3.1 shall provide coverage for direct physical loss or damage, and shall not exclude the risks of fire, explosion, theft, vandalism, malicious mischief, collapse, earthquake, flood, or windstorm. The insurance shall also provide coverage for ensuing loss or resulting damage from error, omission, or deficiency in construction methods, design, specifications, workmanship, or materials. Sub-limits, if any, are as follows:

(Indicate below the cause of loss and any applicable sub-limit.)

Causes of Loss	Sub-Limit

§ A.2.3.1.2 Specific Required Coverages. The insurance required by this Section A.2.3.1 shall provide coverage for loss or damage to falsework and other temporary structures, and to building systems from testing and startup. The insurance shall also cover debris removal, including demolition occasioned by enforcement of any applicable legal requirements, and reasonable compensation for the Architect's and Contractor's services and expenses required as a result of such insured loss, including claim preparation expenses. Sub-limits, if any, are as follows:

(Indicate below type of coverage and any applicable sub-limit for specific required coverages.)

Coverage	Sub-Limit

§ A.2.3.1.3 Unless the parties agree otherwise, upon Substantial Completion, the Owner shall continue the insurance required by Section A.2.3.1 or, if necessary, replace the insurance policy required under Section A.2.3.1 with property insurance written for the total value of the Project that shall remain in effect until expiration of the period for correction of the Work set forth in Section 12.2.2 of the General Conditions.

§ A.2.3.1.4 Deductibles and Self-Insured Retentions. If the insurance required by this Section A.2.3 is subject to deductibles or self-insured retentions, the Owner shall be responsible for all loss not covered because of such deductibles or retentions.

§ A.2.3.2 Occupancy or Use Prior to Substantial Completion. The Owner's occupancy or use of any completed or partially completed portion of the Work prior to Substantial Completion shall not commence until the insurance company or companies providing the insurance under Section A.2.3.1 have consented in writing to the continuance of coverage. The Owner and the Contractor shall take no action with respect to partial occupancy or use that would cause cancellation, lapse, or reduction of insurance, unless they agree otherwise in writing.

§ A.2.3.3 Insurance for Existing Structures

If the Work involves remodeling an existing structure or constructing an addition to an existing structure, the Owner shall purchase and maintain, until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, "all-risks" property insurance, on a replacement cost basis, protecting the existing structure against direct physical loss or damage from the causes of loss identified in Section A.2.3.1, notwithstanding the undertaking of the Work. The Owner shall be responsible for all co-insurance penalties.

§ A.2.4 Optional Extended Property Insurance.

The Owner shall purchase and maintain the insurance selected and described below.

(Select the types of insurance the Owner is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance. For each type of insurance selected, indicate applicable limits of coverage or other conditions in the fill point below the selected item.)

- [☐] **§ A.2.4.1 Loss of Use, Business Interruption, and Delay in Completion Insurance**, to reimburse the Owner for loss of use of the Owner's property, or the inability to conduct normal operations due to a covered cause of loss.

☐ ☐

- [☐] **§ A.2.4.2 Ordinance or Law Insurance**, for the reasonable and necessary costs to satisfy the minimum requirements of the enforcement of any law or ordinance regulating the demolition, construction, repair, replacement or use of the Project.

☐ ☐

- [☐] **§ A.2.4.3 Expediting Cost Insurance**, for the reasonable and necessary costs for the temporary repair of damage to insured property, and to expedite the permanent repair or replacement of the damaged property.

☐ ☐

- [☐] **§ A.2.4.4 Extra Expense Insurance**, to provide reimbursement of the reasonable and necessary excess costs incurred during the period of restoration or repair of the damaged property that are over and above the total costs that would normally have been incurred during the same period of time had no loss or damage occurred.

☐ ☐

- [☐] **§ A.2.4.5 Civil Authority Insurance**, for losses or costs arising from an order of a civil authority prohibiting access to the Project, provided such order is the direct result of physical damage covered under the required property insurance.

☐ ☐

- [☐] **§ A.2.4.6 Ingress/Egress Insurance**, for loss due to the necessary interruption of the insured's business due to physical prevention of ingress to, or egress from, the Project as a direct result of physical damage.

☐ ☐

- [☐] **§ A.2.4.7 Soft Costs Insurance**, to reimburse the Owner for costs due to the delay of completion of the Work arising out of physical loss or damage covered by the required property insurance: including construction loan fees; leasing and marketing expenses; additional fees, including those of architects, engineers, consultants, attorneys and accountants, needed for the completion of the construction, repairs, or reconstruction; and carrying costs such as property taxes, building permits, additional interest on loans, realty taxes, and insurance premiums over and above normal expenses.

☐ ☐

§ A.2.5 Other Optional Insurance.

The Owner shall purchase and maintain the insurance selected below.

(Select the types of insurance the Owner is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance.)

- [« »] **§ A.2.5.1 Cyber Security Insurance** for loss to the Owner due to data security and privacy breach, including costs of investigating a potential or actual breach of confidential or private information. *(Indicate applicable limits of coverage or other conditions in the fill point below.)*

« »

- [« »] **§ A.2.5.2 Other Insurance**
(List below any other insurance coverage to be provided by the Owner and any applicable limits.)

Coverage

Limits

ARTICLE A.3 CONTRACTOR'S INSURANCE AND BONDS

§ A.3.1 General

§ A.3.1.1 Certificates of Insurance. The Contractor shall provide certificates of insurance acceptable to the Owner evidencing compliance with the requirements in this Article A.3 at the following times: (1) prior to commencement of the Work; (2) upon renewal or replacement of each required policy of insurance; and (3) upon the Owner's written request. An additional certificate evidencing continuation of commercial liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment and thereafter upon renewal or replacement of such coverage until the expiration of the periods required by Section A.3.2.1 and Section A.3.3.1. The certificates will show the Owner as an additional insured on the Contractor's Commercial General Liability and excess or umbrella liability policy or policies.

§ A.3.1.2 Deductibles and Self-Insured Retentions. The Contractor shall disclose to the Owner any deductible or self-insured retentions applicable to any insurance required to be provided by the Contractor.

§ A.3.1.3 Additional Insured Obligations. To the fullest extent permitted by law, the Contractor shall cause the commercial general liability coverage to include (1) the Owner, the Architect, and the Architect's consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions for which loss occurs during completed operations. The additional insured coverage shall be primary and non-contributory to any of the Owner's general liability insurance policies and shall apply to both ongoing and completed operations. To the extent commercially available, the additional insured coverage shall be no less than that provided by Insurance Services Office, Inc. (ISO) forms CG 20 10 07 04, CG 20 37 07 04, and, with respect to the Architect and the Architect's consultants, CG 20 32 07 04.

§ A.3.2 Contractor's Required Insurance Coverage

§ A.3.2.1 The Contractor shall purchase and maintain the following types and limits of insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, unless a different duration is stated below:

(If the Contractor is required to maintain insurance for a duration other than the expiration of the period for correction of Work, state the duration.)

« »

§ A.3.2.2 Commercial General Liability

§ A.3.2.2.1 Commercial General Liability insurance for the Project written on an occurrence form with policy limits of not less than « » (\$ « ») each occurrence, « » (\$ « ») general aggregate, and « » (\$ « ») aggregate for products-completed operations hazard, providing coverage for claims including

- .1 damages because of bodily injury, sickness or disease, including occupational sickness or disease, and death of any person;
- .2 personal injury and advertising injury;
- .3 damages because of physical damage to or destruction of tangible property, including the loss of use of such property;
- .4 bodily injury or property damage arising out of completed operations; and

.5 the Contractor's indemnity obligations under Section 3.18 of the General Conditions.

§ A.3.2.2.2 The Contractor's Commercial General Liability policy under this Section A.3.2.2 shall not contain an exclusion or restriction of coverage for the following:

- .1 Claims by one insured against another insured, if the exclusion or restriction is based solely on the fact that the claimant is an insured, and there would otherwise be coverage for the claim.
- .2 Claims for property damage to the Contractor's Work arising out of the products-completed operations hazard where the damaged Work or the Work out of which the damage arises was performed by a Subcontractor.
- .3 Claims for bodily injury other than to employees of the insured.
- .4 Claims for indemnity under Section 3.18 of the General Conditions arising out of injury to employees of the insured.
- .5 Claims or loss excluded under a prior work endorsement or other similar exclusionary language.
- .6 Claims or loss due to physical damage under a prior injury endorsement or similar exclusionary language.
- .7 Claims related to residential, multi-family, or other habitational projects, if the Work is to be performed on such a project.
- .8 Claims related to roofing, if the Work involves roofing.
- .9 Claims related to exterior insulation finish systems (EIFS), synthetic stucco or similar exterior coatings or surfaces, if the Work involves such coatings or surfaces.
- .10 Claims related to earth subsidence or movement, where the Work involves such hazards.
- .11 Claims related to explosion, collapse and underground hazards, where the Work involves such hazards.

§ A.3.2.3 Automobile Liability covering vehicles owned, and non-owned vehicles used, by the Contractor, with policy limits of not less than « » (\$ « ») per accident, for bodily injury, death of any person, and property damage arising out of the ownership, maintenance and use of those motor vehicles along with any other statutorily required automobile coverage.

§ A.3.2.4 The Contractor may achieve the required limits and coverage for Commercial General Liability and Automobile Liability through a combination of primary and excess or umbrella liability insurance, provided such primary and excess or umbrella insurance policies result in the same or greater coverage as the coverages required under Section A.3.2.2 and A.3.2.3, and in no event shall any excess or umbrella liability insurance provide narrower coverage than the primary policy. The excess policy shall not require the exhaustion of the underlying limits only through the actual payment by the underlying insurers.

§ A.3.2.5 Workers' Compensation at statutory limits.

§ A.3.2.6 Employers' Liability with policy limits not less than « » (\$ « ») each accident, « » (\$ « ») each employee, and « » (\$ « ») policy limit.

§ A.3.2.7 Jones Act, and the Longshore & Harbor Workers' Compensation Act, as required, if the Work involves hazards arising from work on or near navigable waterways, including vessels and docks

§ A.3.2.8 If the Contractor is required to furnish professional services as part of the Work, the Contractor shall procure Professional Liability insurance covering performance of the professional services, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate.

§ A.3.2.9 If the Work involves the transport, dissemination, use, or release of pollutants, the Contractor shall procure Pollution Liability insurance, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate.

§ A.3.2.10 Coverage under Sections A.3.2.8 and A.3.2.9 may be procured through a Combined Professional Liability and Pollution Liability insurance policy, with combined policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate.

§ A.3.2.11 Insurance for maritime liability risks associated with the operation of a vessel, if the Work requires such activities, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate.

§ A.3.2.12 Insurance for the use or operation of manned or unmanned aircraft, if the Work requires such activities, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate.

§ A.3.3 Contractor's Other Insurance Coverage

§ A.3.3.1 Insurance selected and described in this Section A.3.3 shall be purchased from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, unless a different duration is stated below:

(If the Contractor is required to maintain any of the types of insurance selected below for a duration other than the expiration of the period for correction of Work, state the duration.)

« »

§ A.3.3.2 The Contractor shall purchase and maintain the following types and limits of insurance in accordance with Section A.3.3.1.

(Select the types of insurance the Contractor is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance. Where policy limits are provided, include the policy limit in the appropriate fill point.)

- [« »] § A.3.3.2.1 Property insurance of the same type and scope satisfying the requirements identified in Section A.2.3, which, if selected in this section A.3.3.2.1, relieves the Owner of the responsibility to purchase and maintain such insurance except insurance required by Section A.2.3.1.3 and Section A.2.3.3. The Contractor shall comply with all obligations of the Owner under Section A.2.3 except to the extent provided below. The Contractor shall disclose to the Owner the amount of any deductible, and the Owner shall be responsible for losses within the deductible. Upon request, the Contractor shall provide the Owner with a copy of the property insurance policy or policies required. The Owner shall adjust and settle the loss with the insurer and be the trustee of the proceeds of the property insurance in accordance with Article 11 of the General Conditions unless otherwise set forth below:
- (Where the Contractor's obligation to provide property insurance differs from the Owner's obligations as described under Section A.2.3, indicate such differences in the space below. Additionally, if a party other than the Owner will be responsible for adjusting and settling a loss with the insurer and acting as the trustee of the proceeds of property insurance in accordance with Article 11 of the General Conditions, indicate the responsible party below.)*

« »

- [« »] § A.3.3.2.2 Railroad Protective Liability Insurance, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate, for Work within fifty (50) feet of railroad property.
- [« »] § A.3.3.2.3 Asbestos Abatement Liability Insurance, with policy limits of not less than « » (\$ « ») per claim and « » (\$ « ») in the aggregate, for liability arising from the encapsulation, removal, handling, storage, transportation, and disposal of asbestos-containing materials.
- [« »] § A.3.3.2.4 Insurance for physical damage to property while it is in storage and in transit to the construction site on an "all-risks" completed value form.
- [« »] § A.3.3.2.5 Property insurance on an "all-risks" completed value form, covering property owned by the Contractor and used on the Project, including scaffolding and other equipment.
- [« »] § A.3.3.2.6 Other Insurance
- (List below any other insurance coverage to be provided by the Contractor and any applicable limits.)*

Coverage

Limits

§ A.3.4 Performance Bond and Payment Bond

The Contractor shall provide surety bonds, from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located, as follows:

(Specify type and penal sum of bonds.)

Type	Penal Sum (\$0.00)
Payment Bond	
Performance Bond	

Payment and Performance Bonds shall be AIA Document A312™, Payment Bond and Performance Bond, or contain provisions identical to AIA Document A312™, current as of the date of this Agreement.

ARTICLE A.4 SPECIAL TERMS AND CONDITIONS

Special terms and conditions that modify this Insurance and Bonds Exhibit, if any, are as follows:

<< >>

NOT FOR BIDDING PURPOSES

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NOT FOR BIDDING PURPOSES

SECTION 00 54 13
SUPPLEMENT TO AGREEMENT BETWEEN OWNER AND CONTRACTOR A101-2017

The following supplements modify the "Standard Form of Agreement Between Owner and Contractor," AIA Document A101-2017. Where a portion of the Standard Form of Agreement is modified or deleted by the following, the unaltered portions of the Standard Form of Agreement shall remain in effect.

ARTICLE 3: DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

3.1 Delete paragraph 3.1 in its entirety and replace with the following:

"The date of Commencement of the Work shall be a date set forth in a notice to proceed issued by the Owner."

ARTICLE 5: PAYMENTS

5.1 PROGRESS PAYMENTS

5.1.3 Delete paragraph 5.1.3 in its entirety and replace with the following:

"Provided that a valid Application for Payment is received by the Architect that meets all requirements of the Contract, payment shall be made by the Owner not later than 30 days after the Owner receives the valid Application for Payment."

5.3 Insert the interest rate of "1% per month not to exceed 12% per annum."

ARTICLE 6: DISPUTE RESOLUTION

6.2 BINDING DISPUTE RESOLUTION

Check the box "Other" - and add the following sentence:

"Any remedies available in law or in equity."

ARTICLE 7: TERMINATION or SUSPENSION

7.1.1.1 Delete paragraph 7.1.1.1 in its entirety.

ARTICLE 8: MISCELLANEOUS PROVISIONS

8.4 Delete paragraph 8.4 in its entirety and replace with the following:

"The Contractor's representative shall not be changed without ten days written notice to the Owner."

END OF SECTION

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SECTION 00 54 14
SUPPLEMENT TO A101-2017 - EXHIBIT A INSURANCE AND BONDS

The following supplements modify the "Standard Form of Agreement Between Owner and Contractor," AIA Document A101-2017 Exhibit A Insurance and Bonds. Where a portion of the Standard Form of Agreement is modified or deleted by the following, the unaltered portions of the Standard Form of Agreement shall remain in effect.

ARTICLE A.2 OWNER'S INSURANCE

A.2.1 General

Delete paragraph A.2.1 in its entirety.

A.2.2 Liability Insurance

Delete paragraph A.2.2 in its entirety, except in the case of school projects this paragraph shall remain.

A.2.3 Required Property Insurance

Delete paragraph A.2.3 in its entirety.

A.2.4 Optional Extended Property Insurance

Delete paragraph A.2.4 in its entirety.

A.2.5 Other Optional Insurance

Delete paragraph A.2.5 in its entirety.

ARTICLE A.3 CONTRACTORS INSURANCE AND BONDS

A.3.1.1 Strike the last sentence of the paragraph.

A.3.1.3 Additional Insured Obligations

In the first sentence after "coverage to include (1)" delete "(1) the Owner,".

Strike the remainder of the first sentence beginning at the semicolon ";" and (2) the Owner" through the end of the sentence.

Delete the second sentence in its entirety.

A.3.2.2.1 Insert "\$1,000,000.00" in the blank for each occurrence.

Insert "\$3,000,000.00" in the blank for general aggregate.

Insert "\$3,000,000.00" in the blank for aggregate for products-completed operations hazard.

A.3.2.3 Insert "\$1,000,000.00" in the blank for per accident.

- A.3.2.6 Insert "\$500,000.00" in the blank for each accident.
Insert "\$500,000.00" in the blank for each employee.
Insert "\$500,000.00" in the blank for policy limit.
- A.3.2.8 Insert "\$1,000,000.00" in the blank for per claim.
Insert "\$3,000,000.00" in the blank for in the aggregate.
- A.3.2.9 Insert "\$1,000,000.00" in the blank for per claim.
Insert "\$3,000,000.00" in the blank for in the aggregate.
- A.3.2.10 Insert "\$2,000,000.00" in the blank for per claim.
Insert "\$4,000,000.00" in the blank for in the aggregate.
- A.3.2.11 Strike in its entirety.
- A.3.2.12 Strike in its entirety.
- A.3.3.2.1 Delete paragraph 3.3.2.1 in its entirety
- A.3.3.2.2 Strike in its entirety.
- A.3.3.2.3 Insert "\$1,000,000.00" in the blanks for per claim.
Insert "\$3,000,000.00" in the blanks for in the aggregate.
- A.3.4 Insert the actual contract price in both the Payment Bond and the Performance Bond Penal Sum blanks. It must be 100% of the contract price.

Strike the last sentence in this section and replace with "Payment and Performance Bonds shall be in the standard form issued by the Delaware Office of Management and Budget."

END OF SECTION

SECTION 00 61 13.13
PERFORMANCE BOND

Bond Number: _____

KNOW ALL PERSONS BY THESE PRESENTS, that we, _____, as principal ("**Principal**"), and _____, a _____ corporation, legally authorized to do business in the State of Delaware, as surety ("**Surety**"), are held and firmly bound unto the Delaware Air National Guard ("**Owner**"), in the amount of _____ (\$_____), to be paid to **Owner**, for which payment well and truly to be made, we do bind ourselves, our and each and every of our heirs, executors, administrations, successors and assigns, jointly and severally, for and in the whole, firmly by these presents.

Sealed with our seals and dated this _____ day of _____, 20__.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH, that if **Principal**, who has been awarded by **Owner** that certain contract known as Contract No. DEANG #JLWS232070 dated the _____ day of _____, 20__ (the "Contract"), which Contract is incorporated herein by reference, shall well and truly provide and furnish all materials, appliances and tools and perform all the work required under and pursuant to the terms and conditions of the Contract and the Contract Documents (as defined in the Contract) or any changes or modifications thereto made as therein provided, shall make good and reimburse **Owner** sufficient funds to pay the costs of completing the Contract that **Owner** may sustain by reason of any failure or default on the part of **Principal**, and shall also indemnify and save harmless **Owner** from all costs, damages and expenses arising out of or by reason of the performance of the Contract and for as long as provided by the Contract; then this obligation shall be void, otherwise to be and remain in full force and effect.

Surety, for value received, hereby stipulates and agrees, if requested to do so by **Owner**, to fully perform and complete the work to be performed under the Contract pursuant to the terms, conditions and covenants thereof, if for any cause **Principal** fails or neglects to so fully perform and complete such work.

Surety, for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of **Surety** and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition or change in or to the Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any work to be performed or any monies due or to become due thereunder; and **Surety** hereby waives notice of any and all such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to **Surety** as though done or omitted to be done by or in relation to **Principal**.

Surety hereby stipulates and agrees that no modifications, omissions or additions in or to the terms of the Contract shall in any way whatsoever affect the obligation of **Surety** and its bond.

Delaware Air National Guard
August 2025

Building 2804 - HVAC Replacement
DEANG # JLWS232070

Any proceeding, legal or equitable, under this Bond may be brought in any court of competent jurisdiction in the State of Delaware. Notices to **Surety** or Contractor may be mailed or delivered to them at their respective addresses shown below.

IN WITNESS WHEREOF, **Principal** and **Surety** have hereunto set their hand and seals, and such of them as are corporations have caused their corporate seal to be hereto affixed and these presents to be signed by their duly authorized officers, the day and year first above written.

PRINCIPAL

Name: _____

Witness or Attest: Address: _____

Name:

(Corporate Seal)

By: _____ (SEAL)
Name:
Title:

SURETY

Name: _____

Witness or Attest: Address: _____

Name:

(Corporate Seal)

By: _____ (SEAL)
Name:
Title:

END OF SECTION

SECTION 00 61 13.16
PAYMENT BOND

Bond Number: _____

KNOW ALL PERSONS BY THESE PRESENTS, that we, _____, as principal ("**Principal**"), and _____, a _____ corporation, legally authorized to do business in the State of Delaware, as surety ("**Surety**"), are held and firmly bound unto the Delaware Air National Guard ("**Owner**"), in the amount of _____ (\$_____), to be paid to **Owner**, for which payment well and truly to be made, we do bind ourselves, our and each and every of our heirs, executors, administrations, successors and assigns, jointly and severally, for and in the whole firmly by these presents.

Sealed with our seals and dated this _____ day of _____, 20__.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH, that if **Principal**, who has been awarded by **Owner** that certain contract known as Contract No. DEANG #JLWS232070 dated the _____ day of _____, 20__ (the "Contract"), which Contract is incorporated herein by reference, shall well and truly pay all and every person furnishing materials or performing labor or service in and about the performance of the work under the Contract, all and every sums of money due him, her, them or any of them, for all such materials, labor and service for which **Principal** is liable, shall make good and reimburse **Owner** sufficient funds to pay such costs in the completion of the Contract as **Owner** may sustain by reason of any failure or default on the part of **Principal**, and shall also indemnify and save harmless **Owner** from all costs, damages and expenses arising out of or by reason of the performance of the Contract and for as long as provided by the Contract; then this obligation shall be void, otherwise to be and remain in full force and effect.

Surety, for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of **Surety** and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition or change in or to the Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any work to be performed or any monies due or to become due thereunder; and **Surety** hereby waives notice of any and all such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to **Surety** as though done or omitted to be done by or in relation to **Principal**.

Surety hereby stipulates and agrees that no modifications, omission or additions in or to the terms of the Contract shall in any way whatsoever affect the obligation of **Surety** and its bond.

Any proceeding, legal or equitable, under this Bond may be brought in any court of competent jurisdiction in the State of Delaware. Notices to **Surety** or Contractor may be mailed or delivered to them at their respective addresses shown below.

IN WITNESS WHEREOF, **Principal** and **Surety** have hereunto set their hand and seals, and such of them as are corporations have caused their corporate seal to be hereto affixed and these presents to be signed by their duly authorized officers, the day and year first above written.

PRINCIPAL

Name: _____

Witness or Attest: Address: _____

Name: _____ (SEAL)
By: _____
Name: _____
Title: _____
(Corporate Seal)

SURETY

Name: _____

Witness or Attest: Address: _____

Name: _____ (SEAL)
By: _____
Name: _____
Title: _____
(Corporate Seal)

END OF SECTION

SECTION 00 62 76
APPLICATION AND CERTIFICATE FOR PAYMENT

The Application and Certificate for Payment are as stated in the American Institute of Architects Document AIA G702 & AIA G703 (1992 version) entitled Application and Certificate for Payment and is part of this project manual as if herein written in full. A draft sample has been included for reference.

END OF SECTION

NOT FOR BIDDING PURPOSES

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Application and Certificate for Payment

TO OWNER: State of Delaware

PROJECT:

APPLICATION NO: 001

Distribution to:OWNER: ☒FROM
CONTRACTOR:VIA
ARCHITECT: DEDC, LLC
315 S. Chapel Street
Newark, DE 19711

PERIOD TO:

CONTRACT FOR:

CONTRACT DATE:

PROJECT NOS: / /

ARCHITECT: ☐CONTRACTOR: ☒FIELD: ☐OTHER: ☐**CONTRACTOR'S APPLICATION FOR PAYMENT**

Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM \$ 0.00
2. Net change by Change Orders \$ 0.00
3. CONTRACT SUM TO DATE (Line 1 ± 2) \$ 0.00
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) \$ 0.00
5. RETAINAGE:
- a. 0 % of Completed Work
(Column D + E on G703) \$ 0.00
- b. 0 % of Stored Material
(Column F on G703) \$ 0.00
- Total Retainage (Lines 5a + 5b or Total in Column I of G703) \$ 0.00
6. TOTAL EARNED LESS RETAINAGE \$ 0.00
(Line 4 Less Line 5 Total)
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT \$ 0.00
(Line 6 from prior Certificate)
8. CURRENT PAYMENT DUE \$ 0.00
9. BALANCE TO FINISH, INCLUDING RETAINAGE
(Line 3 less Line 6) \$ 0.00

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$ 0.00	\$ 0.00
Total approved this Month	\$ 0.00	\$ 0.00
TOTALS	\$ 0.00	\$ 0.00
NET CHANGES by Change Order	\$	0.00

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR:

By: _____

Date: _____

State of: _____

County of: _____

Subscribed and sworn to before
me this _____ day of _____

Notary Public:

My Commission expires: _____

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$ 0.00

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____

Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract

DRAFT AIA® Document G703™ – 1992

Continuation Sheet

AIA Document G702, APPLICATION AND CERTIFICATION FOR PAYMENT, containing Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

APPLICATION NO: 001

APPLICATION DATE: --/--/--

PERIOD TO:

ARCHITECT'S PROJECT NO: N/A

A	B	C	D	E	F	G		H	I
ITEM NO.	DESCRIPTION OF WORK	SCHEDULED VALUE	WORK COMPLETED		MATERIALS PRESENTLY STORED (NOT IN D OR E)	TOTAL COMPLETED AND STORED TO DATE (D+E+F)	%(G ÷ C)	BALANCE TO FINISH (C - G)	RETAINAGE (IF VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD					
	GRAND TOTAL	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	0.00 %	\$ 0.00	\$ 0.00

ALLOWANCE AUTHORIZATION

Project:

Architect:

Project No.

Contractor:

AAA No.:

Initiation Date:

The Allowance is allocated as follows:

Total original Contract Allowance was: \$ 15,000.00
Amount of Contract Allowance Access previously authorized: \$
Adjusted Contract Allowance prior to this authorization is: \$
The amount of available Allowance will Decrease by this Access Authorization: \$
The remaining Contract Allowance, after this Access Authorization will be: \$

Recommended by:
Architect

By (Signature): _____
Date: _____

Accepted by:
Contractor

By (Signature): _____
Date: _____

Approved by:
Owner

By (Signature): _____
Date: _____

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NOT FOR BIDDING PURPOSES

SECTION 00 65 00
CLOSEOUT FORMS

The Contract Closeout Forms to be used for this Contract are listed below.
Draft samples of the AIA forms indicated have been included for reference.

00 65 16 Certificate of Substantial Completion Form (AIA G704-2017)

00 65 19.13 Affidavit of Payment of Debts and Claims Form (AIA G706-1994)

00 65 19.16 Affidavit of Release of Liens Form (AIA G706A-1994)

00 65 19.19 Consent of Surety to Final Payment Form (AIA G707-1994)

END OF SECTION

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DRAFT AIA® Document G704™ – 2017

Certificate of Substantial Completion

PROJECT: <i>(name and address)</i> testing	CONTRACT INFORMATION: Contract For: General Construction Date:	CERTIFICATE INFORMATION: Certificate Number: Date:
OWNER: <i>(name and address)</i>	ARCHITECT: <i>(name and address)</i>	CONTRACTOR: <i>(name and address)</i>

The Work identified below has been reviewed and found, to the Architect's best knowledge, information, and belief, to be substantially complete. Substantial Completion is the stage in the progress of the Work when the Work or designated portion is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use. The date of Substantial Completion of the Project or portion designated below is the date established by this Certificate.

(Identify the Work, or portion thereof, that is substantially complete.)

ARCHITECT <i>(Firm Name)</i>	SIGNATURE	PRINTED NAME AND TITLE	DATE OF SUBSTANTIAL COMPLETION

WARRANTIES

The date of Substantial Completion of the Project or portion designated above is also the date of commencement of applicable warranties required by the Contract Documents, except as stated below:

(Identify warranties that do not commence on the date of Substantial Completion, if any, and indicate their date of commencement.)

WORK TO BE COMPLETED OR CORRECTED

A list of items to be completed or corrected is attached hereto, or transmitted as agreed upon by the parties, and identified as follows:

(Identify the list of Work to be completed or corrected.)

The failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents. Unless otherwise agreed to in writing, the date of commencement of warranties for items on the attached list will be the date of issuance of the final Certificate of Payment or the date of final payment, whichever occurs first.

The Contractor will complete or correct the Work on the list of items attached hereto within () days from the above date of Substantial Completion.

Cost estimate of Work to be completed or corrected: \$

The responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work, insurance, and other items identified below shall be as follows:

(Note: Owner's and Contractor's legal and insurance counsel should review insurance requirements and coverage.)

The Owner and Contractor hereby accept the responsibilities assigned to them in this Certificate of Substantial Completion:

CONTRACTOR <i>(Firm Name)</i>	SIGNATURE	PRINTED NAME AND TITLE	DATE

OWNER <i>(Firm Name)</i>	SIGNATURE	PRINTED NAME AND TITLE	DATE

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DRAFT AIA[®] Document G706[™] – 1994

Contractor's Affidavit of Payment of Debts and Claims

PROJECT: (Name and address)
testing

ARCHITECT'S PROJECT NUMBER:

TO OWNER: (Name and address)

CONTRACT FOR: General Construction

CONTRACT DATED:

OWNER: ☐
ARCHITECT: ☐
CONTRACTOR: ☐
SURETY: ☐
OTHER: ☐

STATE OF:
COUNTY OF:

The undersigned hereby certifies that, except as listed below, payment has been made in full and all obligations have otherwise been satisfied for all materials and equipment furnished, for all work, labor, and services performed, and for all known indebtedness and claims against the Contractor for damages arising in any manner in connection with the performance of the Contract referenced above for which the Owner or Owner's property might in any way be held responsible or encumbered.

EXCEPTIONS:

SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Consent of Surety to Final Payment. Whenever Surety is involved, Consent of Surety is required. AIA Document G707, Consent of Surety, may be used for this purpose

Indicate Attachment ☐ Yes ☐ No

CONTRACTOR: (Name and address)

BY:

(Signature of authorized representative)

(Printed name and title)

The following supporting documents should be attached hereto if required by the Owner:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.
2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.
3. Contractor's Affidavit of Release of Liens (AIA Document G706A).

Subscribed and sworn to before me on this date:

Notary Public:

My Commission Expires:

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DRAFT AIA[®] Document G706A[™] - 1994

Contractor's Affidavit of Release of Liens

PROJECT: <i>(Name and address)</i> testing	ARCHITECT'S PROJECT NUMBER:	OWNER: ☐
	CONTRACT FOR: General Construction	ARCHITECT: ☐
TO OWNER: <i>(Name and address)</i>	CONTRACT DATED:	CONTRACTOR: ☐
		SURETY: ☐
		OTHER: ☐

STATE OF:
COUNTY OF:

The undersigned hereby certifies that to the best of the undersigned's knowledge, information and belief, except as listed below, the Releases or Waivers of Lien attached hereto include the Contractor, all Subcontractors, all suppliers of materials and equipment, and all performers of Work, labor or services who have or may have liens or encumbrances or the right to assert liens or encumbrances against any property of the Owner arising in any manner out of the performance of the Contract referenced above.

EXCEPTIONS:

SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.
2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.

CONTRACTOR: *(Name and address)*

BY:

(Signature of authorized representative)

(Printed name and title)

Subscribed and sworn to before me on this date:

Notary Public:

My Commission Expires:

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DRAFT AIA® Document G707™ – 1994

Consent Of Surety to Final Payment

PROJECT: (Name and address) testing	ARCHITECT'S PROJECT NUMBER: CONTRACT FOR: General Construction	OWNER: ☐ ARCHITECT: ☐ CONTRACTOR: ☐ SURETY: ☐ OTHER: ☐
TO OWNER: (Name and address)	CONTRACT DATED:	

In accordance with the provisions of the Contract between the Owner and the Contractor as indicated above, the
(Insert name and address of Surety)

on bond of
(Insert name and address of Contractor)

hereby approves of the final payment to the Contractor, and agrees that final payment to the Contractor shall not relieve the Surety of any of its obligations to
(Insert name and address of Owner)

as set forth in said Surety's bond.

IN WITNESS WHEREOF, the Surety has hereunto set its hand on this date:
(Insert in writing the month followed by the numeric date and year.)

Attest:
(Seal):

(Surety)

(Signature of authorized representative)

(Printed name and title)

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SECTION 00 72 13
GENERAL CONDITIONS TO THE CONTRACT FOR CONSTRUCTION
A201-2017

The General Conditions of this Contract are as stated in the American Institute of Architects Document AIA A201 (2017 Edition) entitled General Conditions of the Contract for Construction as revised by the Supplementary General Conditions (00 73 13) and is part of this project manual as if herein written in full.

END OF SECTION

NOT FOR BIDDING PURPOSES

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DRAFT AIA® Document A201™ – 2017

General Conditions of the Contract for Construction

for the following PROJECT:

(Name and location or address)

«testing»

«»

THE OWNER:

(Name, legal status and address)

« »« »

« »

THE ARCHITECT:

(Name, legal status and address)

« »« »

« »

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ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, Guide for Supplementary Conditions.

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ARTICLE 1 GENERAL PROVISIONS

§ 1.1 Basic Definitions

§ 1.1.1 The Contract Documents

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

§ 1.1.2 The Contract

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

§ 1.1.3 The Work

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

§ 1.1.4 The Project

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

§ 1.1.5 The Drawings

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

§ 1.1.6 The Specifications

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

§ 1.1.7 Instruments of Service

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

§ 1.1.8 Initial Decision Maker

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

§ 1.2 Correlation and Intent of the Contract Documents

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

§ 1.2.1.1 The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.3 Capitalization

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

§ 1.4 Interpretation

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

§ 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service

§ 1.5.1 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

§ 1.5.2 The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants.

§ 1.6 Notice

§ 1.6.1 Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

§ 1.6.2 Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

§ 1.7 Digital Data Use and Transmission

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

§ 1.8 Building Information Models Use and Reliance

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™-2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk

and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

ARTICLE 2 OWNER

§ 2.1 General

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

§ 2.1.2 The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

§ 2.2 Evidence of the Owner's Financial Arrangements

§ 2.2.1 Prior to commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract Time shall be extended appropriately.

§ 2.2.2 Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.

§ 2.2.3 After the Owner furnishes evidence of financial arrangements under this Section 2.2, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

§ 2.2.4 Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

§ 2.3 Information and Services Required of the Owner

§ 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

§ 2.3.2 The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

§ 2.3.3 If the employment of the Architect terminates, the Owner shall employ a successor to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

§ 2.3.4 The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

§ 2.3.5 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

§ 2.3.6 Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

§ 2.4 Owner's Right to Stop the Work

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

§ 2.5 Owner's Right to Carry Out the Work

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect and the Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

ARTICLE 3 CONTRACTOR

§ 3.1 General

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

§ 3.2 Review of Contract Documents and Field Conditions by Contractor

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These

obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

§ 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

§ 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

§ 3.3 Supervision and Construction Procedures

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

§ 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

§ 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

§ 3.4 Labor and Materials

§ 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

§ 3.4.2 Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

§ 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.5 Warranty

§ 3.5.1 The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

§ 3.5.2 All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

§ 3.6 Taxes

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

§ 3.7 Permits, Fees, Notices and Compliance with Laws

§ 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

§ 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

§ 3.7.3 If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

§ 3.7.4 Concealed or Unknown Conditions

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

§ 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

§ 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

§ 3.10 Contractor's Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.

§ 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's approval. The Architect's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

§ 3.11 Documents and Samples at the Site

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

§ 3.12 Shop Drawings, Product Data and Samples

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

§ 3.12.4 Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors.

§ 3.12.6 By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Architect.

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's approval thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's approval of a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

§ 3.12.10.1 If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or

certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.

§ 3.12.10.2 If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

§ 3.13 Use of Site

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

§ 3.14 Cutting and Patching

§ 3.14.1 The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

§ 3.15 Cleaning Up

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

§ 3.16 Access to Work

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

§ 3.17 Royalties, Patents and Copyrights

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

§ 3.18 Indemnification

§ 3.18.1 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for

whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

ARTICLE 4 ARCHITECT

§ 4.1 General

§ 4.1.1 The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

§ 4.1.2 Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner, Contractor, and Architect. Consent shall not be unreasonably withheld.

§ 4.2 Administration of the Contract

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

§ 4.2.4 Communications

The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

§ 4.2.7 The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ 4.2.9 The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ 4.2.10 If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

§ 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

ARTICLE 5 SUBCONTRACTORS

§ 5.1 Definitions

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

§ 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

§ 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

§ 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

§ 6.1 Owner's Right to Perform Construction and to Award Separate Contracts

§ 6.1.1 The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

§ 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

§ 6.2 Mutual Responsibility

§ 6.2.1 The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.

§ 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.

§ 6.2.5 The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

§ 6.3 Owner's Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

ARTICLE 7 CHANGES IN THE WORK

§ 7.1 General

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

§ 7.2 Change Orders

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.3 Construction Change Directives

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.

§ 7.3.4 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

§ 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

§ 7.3.6 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

§ 7.4 Minor Changes in the Work

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

ARTICLE 8 TIME

§ 8.1 Definitions

§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

§ 8.2 Progress and Completion

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

§ 8.3 Delays and Extensions of Time

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.

§ 8.3.3 This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

ARTICLE 9 PAYMENTS AND COMPLETION

§ 9.1 Contract Sum

§ 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.1.2 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

§ 9.2 Schedule of Values

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

§ 9.3 Applications for Payment

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

§ 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials

and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.

§ 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

§ 9.4 Certificates for Payment

§ 9.4.1 The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

§ 9.5 Decisions to Withhold Certification

§ 9.5.1 The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

§ 9.5.2 When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.

§ 9.5.3 When the reasons for withholding certification are removed, certification will be made for amounts previously withheld.

§ 9.5.4 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Contractor shall reflect such payment on its next Application for Payment.

§ 9.6 Progress Payments

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

§ 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

§ 9.6.5 The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

§ 9.7 Failure of Payment

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents.

§ 9.8 Substantial Completion

§ 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

§ 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.8.3 Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

§ 9.8.4 When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

§ 9.8.5 The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

§ 9.9 Partial Occupancy or Use

§ 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

§ 9.10 Final Completion and Final Payment

§ 9.10.1 Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

§ 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.

§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

§ 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;
- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

§ 10.2 Safety of Persons and Property

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

§ 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings

against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

§ 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

§ 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 Injury or Damage to Person or Property

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

§ 10.3 Hazardous Materials and Substances

§ 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

§ 10.3.2 Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.

§ 10.3.3 To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property

(other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity.

§ 10.3.4 The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

§ 10.3.6 If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

§ 10.4 Emergencies

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

ARTICLE 11 INSURANCE AND BONDS

§ 11.1 Contractor's Insurance and Bonds

§ 11.1.1 The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Owner, Architect, and Architect's consultants shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents.

§ 11.1.2 The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 11.1.3 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

§ 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance. Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

§ 11.2 Owner's Insurance

§ 11.2.1 The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

§ 11.2.2 Failure to Purchase Required Property Insurance. If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to

provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.

§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance. Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

§ 11.3 Waivers of Subrogation

§ 11.3.1 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

§ 11.3.2 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

§ 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner's property, due to fire or other hazards however caused.

§ 11.5 Adjustment and Settlement of Insured Loss

§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

§ 11.5.2 Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner

shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

§ 12.1 Uncovering of Work

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

§ 12.2 Correction of Work

§ 12.2.1 Before Substantial Completion

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

§ 12.2.2 After Substantial Completion

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

§ 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

§ 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

§ 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

§ 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for

correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

§ 12.3 Acceptance of Nonconforming Work

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

ARTICLE 13 MISCELLANEOUS PROVISIONS

§ 13.1 Governing Law

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

§ 13.2 Successors and Assigns

§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

§ 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

§ 13.3 Rights and Remedies

§ 13.3.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

§ 13.3.2 No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

§ 13.4 Tests and Inspections

§ 13.4.1 Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

§ 13.4.2 If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

§ 13.4.3 If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

§ 13.4.4 Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.4.5 If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.4.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

§ 13.5 Interest

Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at the rate the parties agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

§ 14.1 Termination by the Contractor

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;
- .3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or
- .4 The Owner has failed to furnish to the Contractor reasonable evidence as required by Section 2.2.

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed, as well as reasonable overhead and profit on Work not executed, and costs incurred by reason of such termination.

§ 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

§ 14.2 Termination by the Owner for Cause

§ 14.2.1 The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

§ 14.2.2 When any of the reasons described in Section 14.2.1 exist, and upon certification by the Architect that sufficient cause exists to justify such action, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

§ 14.3 Suspension by the Owner for Convenience

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

§ 14.4 Termination by the Owner for Convenience

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

§ 14.4.2 Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of Subcontracts; and the termination fee, if any, set forth in the Agreement.

ARTICLE 15 CLAIMS AND DISPUTES

§ 15.1 Claims

§ 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

§ 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

§ 15.1.3 Notice of Claims

§ 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

§ 15.1.4 Continuing Contract Performance

§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

§ 15.1.5 Claims for Additional Cost

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

§ 15.1.6 Claims for Additional Time

§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

§ 15.1.7 Waiver of Claims for Consequential Damages

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

§ 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker

and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ 15.2.6.1 Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ 15.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

§ 15.3 Mediation

§ 15.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.

§ 15.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 15.3.3 Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

§ 15.3.4 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 15.4 Arbitration

§ 15.4.1 If the parties have selected arbitration as the method for binding dispute resolution in the Agreement, any Claim subject to, but not resolved by, mediation shall be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. The Arbitration shall be conducted in the place where the Project is located, unless another location is mutually agreed upon. A demand for arbitration shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded.

§ 15.4.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

§ 15.4.2 The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

§ 15.4.3 The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement, shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

§ 15.4.4 Consolidation or Joinder

§ 15.4.4.1 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

§ 15.4.4.2 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

§ 15.4.4.3 The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as those of the Owner and Contractor under this Agreement.

SECTION 00 73 13
SUPPLEMENTARY GENERAL CONDITIONS A201-2017

The following supplements modify the "General Conditions of the Contract for Construction," AIA Document A201-2017. Where a portion of the General Conditions is modified or deleted by the Supplementary Conditions, the unaltered portions of the General Conditions shall remain in effect.

TABLE OF ARTICLES

1. GENERAL PROVISIONS
2. OWNER
3. CONTRACTOR
4. ADMINISTRATION OF THE CONTRACT
5. SUBCONTRACTORS
6. CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS
7. CHANGES IN THE WORK
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13. MISCELLANEOUS PROVISIONS
14. TERMINATION OR SUSPENSION OF THE CONTRACT
15. CLAIMS AND DISPUTES

ARTICLE 1: GENERAL PROVISIONS

1.1 BASIC DEFINITIONS

1.1.1 THE CONTRACT DOCUMENTS

Strike the last sentence of Section 1.1.1 in its entirety and replace with the following:

"The Contract Documents also include Advertisement for Bid, Instructions to Bidder, sample forms, the Bid Form, the Contractor's completed Bid and the Award Letter."

Add the following Section:

"1.1.1.1 In the event of conflict or discrepancies among the Contract Documents, the Documents prepared by the State of Delaware, Division of Facilities Management shall take precedence over all other documents."

1.1.8 INITIAL DECISION MAKER

Strike the last sentence of Section 1.1.8 in its entirety and add the following to the end of the remaining sentence:

" and certify termination of the Agreement under Section 14.2.2."

1.2 CORRELATION AND INTENT OF THE CONTRACT DOCUMENTS

1.2.1.1 Insert "if possible" at the end of the second sentence.

Add the following Sections:

"1.2.4 In the case of an inconsistency between the Drawings and the Specifications, or within either document not clarified by addendum, the better quality or greater quantity of work shall be provided in accordance with the Architect's interpretation."

"1.2.5 The word "PROVIDE" as used in the Contract Documents shall mean "FURNISH AND INSTALL" and shall include, without limitation, all labor, materials, equipment, transportation, services and other items required to complete the Work."

"1.2.6 The word "PRODUCT" as used in the Contract Documents means all materials, systems and equipment."

1.5 OWNERSHIP AND USE OF DRAWINGS, SPECIFICATIONS AND OTHER INSTRUMENTS OF SERVICE

Strike Section 1.5.1 in its entirety and replace with the following:

"All pre-design studies, drawings, specifications and other documents, including those in electronic form, prepared by the Architect under this Agreement are, and shall remain, the

property of the Owner whether the Project for which they are made is executed or not. Such documents may be used by the Owner to construct one or more like Projects without the approval of, or additional compensation to, the Architect. The Contractor, Subcontractors, Sub-subcontractors, and Material or Equipment Suppliers are authorized to use and reproduce applicable portions of the Drawings, Specifications and other documents prepared by the Architect and the Architect's consultants appropriate to and for use in the execution of their Work under the Contract Documents. They are not to be used by the Contractor or any Subcontractor, Sub-subcontractor or Material and Equipment Supplier on other Projects or for additions to this Project outside the scope of the Work without the specific written consent of the Owner, Architect and Architect's consultants.

The Architect shall not be liable for injury or damage resulting from the re-use of drawings and specifications if the Architect is not involved in the re-use Project. Prior to re-use of construction documents for a Project in which the Architect is not also involved, the Owner will remove from such documents all identification of the original Architect, including name, address and professional seal or stamp."

Strike Section 1.5.2 in its entirety.

1.7 DIGITAL DATA USE AND TRANSMISSION

Strike Section 1.7 in its entirety and replace with the following:

"The parties shall agree upon protocols governing transmission and use of Instruments of Service or any other information or documentation in digital form."

1.8 BUILDING INFORMATION MODELS USE AND RELIANCE

Strike Section 1.8 in its entirety.

ARTICLE 2: OWNER

2.2 EVIDENCE OF THE OWNERS FINANCIAL ARRANGEMENTS

Strike Section 2.2 in its entirety.

2.3 INFORMATION AND SERVICES REQUIRED OF THE OWNER

2.3.3 Strike 2.3.3 in its entirety.

2.3.4 Add the following sentence at the end of the paragraph:

"The Contractor, at their expense shall bear the costs to accurately identify the location of all underground utilities in the area of their excavation and shall bear all cost for any repairs required, out of failure to accurately identify said utilities."

Strike Section 2.3.6 in its entirety and replace with the following:

"2.3.6 The Contractor shall be furnished free of charge (1) electronic set of the Drawings and Project Manuals. Additional sets will be furnished at the cost of reproduction, postage and handling."

2.5 OWNER'S RIGHT TO CARRY OUT THE WORK

Add ", except as outlined in Section 3.15" after the reference to "Article 15" at the end of the last sentence of the Section.

ARTICLE 3: CONTRACTOR

3.2 REVIEW OF CONTRACT DOCUMENTS AND FIELD CONDITIONS BY CONTRACTOR

3.2.2 Add "and Owner" after "report to the Architect" in the second sentence.

3.2.4 Strike "subject to Section 15.1.7" in the second sentence.

3.2.4 Strike the third sentence.

3.3 SUPERVISION AND CONSTRUCTION PROCEDURES

Add the following Sections:

"3.3.2.1 The Contractor shall immediately remove from the Work, whenever requested to do so by the Owner, any person who is considered by the Owner or Architect to be incompetent or disposed to be so disorderly, or who for any reason is not satisfactory to the Owner, and that person shall not again be employed on the Work without the consent of the Owner or the Architect."

"3.3.4 The Contractor must provide suitable storage facilities at the Site for the proper protection and safe storage of their materials, or as otherwise identified by the specifications. Consult the Owner and the Architect before storing any materials."

"3.3.5 When any room is used as a shop, storeroom, office, etc., by the Contractor or Subcontractor(s) during the construction of the Work, the Contractor making use of these areas will be held responsible for any repairs, patching or cleaning arising from such use."

3.4 LABOR AND MATERIALS

Add the Following Sections:

"3.4.4 Before starting the Work, each Contractor shall carefully examine all preparatory Work that has been executed to receive their Work. Check carefully, by whatever means are

required, to insure that its Work and adjacent, related Work, will finish to proper contours, planes and levels. Promptly notify the Architect & Owner of any defects or imperfections in preparatory Work which will in any way affect satisfactory completion of its Work. Absence of such notification will be construed as an acceptance of preparatory Work and later claims of defects will not be recognized."

"3.4.5 Under no circumstances shall the Contractor's Work proceed prior to preparatory Work having been completely cured, dried and/or otherwise made satisfactory to receive this Work. Responsibility for timely installation of all materials rests solely with the Contractor responsible for that Work, who shall maintain coordination at all times."

3.5 WARRANTY

Add the following Sections:

"3.5.3 The Contractor will guarantee all materials and workmanship against original defects, except injury from proper and usual wear when used for the purpose intended, for two years after Acceptance by the Owner, and will maintain all items in perfect condition during the period of warranty."

"3.5.4 Defects appearing during the period of warranty will be made good by the Contractor at his expense upon demand of the Owner, it being required that all work will be in perfect condition when the period of warranty will have elapsed."

"3.5.5 Upon notification by the Owner of a defect covered by the Contractor's warranty, the Contractor shall respond within 4 hours of the notification."

"3.5.6 In addition to the General Warranty there are other warranties required for certain items for different periods of time than the two years as above, and are particularly so stated in that part of the specifications referring to same. The said warranties will commence at the same time as the General Warranty."

"3.5.7 If the Contractor fails to remedy any failure, defect or damage within a reasonable time after receipt of notice, the Owner will have the right to replace, repair, or otherwise remedy the failure, defect or damage at the Contractor's expense."

3.8 ALLOWANCES

Add the following Section:

"3.8.1.1 For costs to be covered under a project allowance, (included in the schedule of values) the Contractor shall submit a summary of those costs anticipated and an Allowance Access Authorization Form to the Architect and Owner, reflecting the projected costs. The Allowance Access Authorization Form must be signed by the Owner prior to initiating any work associated with the allowance."

3.10 CONTRACTOR'S CONSTRUCTION AND SUBMITTAL SCHEDULES

3.10.1 Add "estimated" after "and the" and before "date of" in the second sentence.

3.10.2 Strike "and thereafter as necessary to maintain a current submittal schedule" in the first sentence.

3.11 DOCUMENTS AND SAMPLES AT THE SITE

Add the following Sections:

"3.11.1 During the course of the Work, the Contractor shall maintain a record set of drawings on which the Contractor shall mark the actual physical location of all piping, valves, equipment, conduit, outlets, access panels, controls, actuators, including all appurtenances that will be concealed once construction is complete, etc., including all invert elevations."

"3.11.2 At the completion of the project, the Contractor shall obtain a set of the conformed contract drawings from the Architect, and neatly transfer all information outlined in 3.11.1 to provide a complete record of the as-built conditions."

"3.11.3 Upon completion of the work noted in 3.11.2 the contractor shall schedule a meeting with the Architect/Engineer and Owner to review the final record drawings and closeout documents prior to submission. After this meeting the Contractor shall make adjustments per the review, and submit one (1) original markup and (2) copies of the red line drawings (as-built conditions, to the Owner and one (1) print to the Architect. In addition, attach one complete set of the as-built documents to each of the Operating and Maintenance Instructions/Manuals. The Contractor will include (2) USB drives, each containing all "red line drawings (as-built) and Closeout Documents properly tabbed in accordance with closeout requirements as defined elsewhere in the contract documents."

3.12 SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

3.12.10.2 Strike "If the Contract Documents require" from the beginning of the sentence.

3.12.10.2 Strike "to" between "professional" and certify" and replace with "shall".

3.17 Insert "indemnify and" between "shall" and "hold" in the second sentence.

ARTICLE 4: ADMINISTRATION OF THE CONTRACT

4.2 ADMINISTRATION OF THE CONTRACT

4.2.7 Strike the first sentence and replace with the following:

"The Architect will review and approve or take other appropriate action upon the Contractor's submittals such as Shop Drawings,

Product Data and Samples for the purpose of checking for conformance with the Contract Documents."

- 4.2.7 Strike the second sentence and replace with the following:

"The Architect's action will be taken with such reasonable promptness as to cause no delay in the Work in the activities of the Owner, Contractor or separate Contractors, while allowing sufficient time in the Owner's professional judgment to permit adequate review."

Add the following Section:

"4.2.10.1 There will be no full-time Project Representative provided by the Owner or Architect on this project."

"4.2.13 Add "and in compliance with all local requirements." to the end of the sentence."

ARTICLE 5: SUBCONTRACTORS

- 5.2 AWARD OF SUBCONTRACTS AND OTHER CONTRACTS FOR PORTIONS OF THE WORK

- 5.2.3 Strike Section 5.2.3 in its entirety and replace with the following:

"If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection, subject to the statutory requirements of 29 Delaware Code § 6962(d)(10)b.3 and 4."

- 5.2.4 Strike Section 5.2.4 in its entirety and replace with the following:

"The Contractor may not substitute any Subcontractor listed in its Bid unless the Contractor complies with the requirements of 29 Delaware Code § 6962(d)(10)b.3 and 4. Failure to comply with this requirement shall subject the Contractor to a penalty as outlined in Section 5.2 of the Owner's General Requirements."

Add the following Section:

"5.2.5 The Contractor shall comply and shall ensure all Subcontractors comply with all requirements for drug testing as set forth in TITLE 19 LABOR DELAWARE ADMINISTRATIVE CODE 4000 Office of Management and Budget 4100 Division of Facilities Management **4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects.**"

ARTICLE 6: CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

- 6.1 OWNER'S RIGHT TO PERFORM CONSTRUCTION AND TO AWARD SEPARATE CONTRACTS

- 6.1.1 Strike "and waiver of subrogation" from the end of the second sentence.
- 6.1.4 Strike Section 6.1.4 in its entirety.
- 6.2 MUTUAL RESPONSIBILITY
- 6.2.3 Strike "shall" and replace with "may" in the second sentence.

ARTICLE 7: CHANGES IN THE WORK

(SEE ARTICLE 7: CHANGES IN WORK IN THE STATE OF DELAWARE DIVISION OF FACILITIES MANAGEMENT GENERAL REQUIREMENTS)

7.2 CHANGE ORDERS

7.2.2 In addition to the above:

7.2.2.1 The General Contractor is allowed a fifteen percent (15%) markup for overhead and profit for additional work performed by the General Contractor's own forces.

7.2.2.2 For additional subcontractor work, the Subcontractor is allowed a fifteen (15) percent overhead and profit on change order work above and beyond the direct costs stated previously. To this amount, the General Contractor will be allowed a mark-up not exceeding seven- and one-half percent (7.5%) on the subcontractor's work.

7.2.2.3 Where the Third Tier Contractor is going to be completing the additional work, the Third-Tier contractor will be allowed a markup of fifteen percent (15%) overhead and profit on changes order work above and beyond the direct costs stated previously. To this amount, the Subcontractor will be allowed a markup not to exceed seven and one-half percent (7.5%) on the Third-Tier Contractor's work and the General Contractor will be allowed a markup not to exceed seven and one-half percent (7.5%) of the amount of the Subcontractor's markup.

7.2.2.4 No additional costs shall be allowed for changes related to the Contractor's onsite superintendent/staff, or project manager, unless a change in the work changes the project duration and is identified by the CPM schedule. There will be no other costs associated with the change order.

7.2.2.5 These markups shall include all costs including, but not limited to: overhead, profit, bonds, insurance, supervision, etc."

- 7.3.4.1 Strike "and other employee costs approved by the Architect" after "worker's compensation insurance,"

- 7.3.4.4 Add "work attributable to the" before "change" at the end of the sentence.

7.4 MINOR CHANGES IN WORK
Add "unless such changes are approved" at the end of the third sentence.

ARTICLE 8: TIME

8.2 PROGRESS AND COMPLETION

8.2.1 Add the following Section:

"8.2.1.1 Refer to Project Specifications Section SUMMARY OF WORK for Contract time requirements."

8.2.2 After "by the Contractor" strike "and" and insert "to".

8.2.4 Add the following Section:

"8.2.4 If the Work falls behind the Progress Schedule as submitted by the Contractor, the Contractor shall employ additional labor and/or equipment necessary to bring the Work into compliance with the Progress Schedule at no additional cost to the Owner."

8.3 DELAYS AND EXTENSION OF TIME

8.3.1 Strike "binding dispute resolution" and insert "any and all remedies at law or in equity".

Add the following Section:

"8.3.2.1 The Contractor shall update the status of the suspension, delay, or interruption of the Work with each Application for Payment. (The Contractor shall report the termination of such cause immediately upon the termination thereof.) Failure to comply with this procedure shall constitute a waiver for any claim for adjustment of time or price based upon said cause."

Strike Section 8.3.3 in its entirety and replace with the following:

8.3.3 "Except in the case of a suspension of the Work directed by the Owner, an extension of time under the provisions of Section 8.3.1 shall be the Contractor's sole remedy in the progress of the Work and there shall be no payment or compensation to the Contractor for any expense or damage resulting from the delay."

Add the following Section:

"8.3.4 By permitting the Contractor to work after the expired time for completion of the project, the Owner does not waive their rights under the Contract."

ARTICLE 9: PAYMENTS AND COMPLETION

9.2 SCHEDULE OF VALUES

Add the following Sections:

"9.2.1 The Schedule of Values shall be submitted using AIA Document G703, Continuation Sheet to G702."

"9.2.2 The Schedule of Values is to include a line item for Project Closeout Document Submittal. The value of this item is to be no less than 1.5% of the initial contract amount."

9.3 APPLICATIONS FOR PAYMENT

9.3.1 Strike Section 9.3.1 in its entirety and replace with the following:

"At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values for completed portions of the Work. The application shall be notarized, and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage."

Add the following Sections:

"9.3.1.3 Application for Payment shall be submitted on AIA Document G702 "Application and Certificate for Payment", supported by AIA Document G703 "Continuation Sheet". Said Applications shall be fully executed and notarized."

"9.3.4 Until Closeout Documents have been received and outstanding items completed the Owner will pay 95% (ninety-five percent) of the amount due the Contractor on account of progress payments."

"9.3.5 The Contractor shall provide a current and updated Progress Schedule to the Architect with each Application for Payment. Failure to provide Schedule will be just cause for rejection of Application for Payment."

9.5 DECISIONS TO WITHHOLD CERTIFICATION

Add the following Subsections to 9.5.1:

- .8 failure to provide a current Progress Schedule;
- .9 a lien or attachment is filed;
- .10 failure to comply with mandatory requirements for maintaining Record Documents.

9.6 PROGRESS PAYMENTS

9.6.1 Strike Section 9.6.1 in its entirety and replace with the following:

"9.6.1 After the Architect has approved and issued a Certificate for Payment, payment shall be made by the Owner within 30 days after Owner's receipt of the Certificate for Payment."

9.6.8 Strike "Provided the Owner has fulfilled its payment obligations under the Contract Documents," in the first sentence.

9.7 FAILURE OF PAYMENT

Strike Section 9.7 in its entirety and replace with the following:

"If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within fourteen days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within thirty days after the date established in the Contract Documents, the amount certified by the Architect, then the Contractor may, upon thirty additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents."

9.8 SUBSTANTIAL COMPLETION

9.8.3 At the end of Section 9.8.3, add the following sentence:

"If the Architect is required to make more than 2 inspections of the same portion of work, the Contractor shall be responsible for all costs associated with subsequent inspections including but not limited to any Architect's fees."

9.8.5 Strike "shall" and insert "may" in the second sentence.

9.8.5 Insert "1/2 of the" after "make payment of" in the second sentence.

9.9 PARTIAL OCCUPANCY OR USE

9.9.1 Strike the the first sentence and replace with the following (the remainder of the Section remains as written):

"The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use authorized by public authorities having jurisdiction over the Project."

9.10.2 Strike "to remain in force after final payment is currently in effect" after "required by the Contract Documents" and replace with "shall remain in force until final payment is completed" in the first sentence.

9.10.4.4 Strike "if permitted by the Contract Documents,"

ARTICLE 10: PROTECTION OF PERSONS AND PROPERTY

10.1 SAFETY PRECAUTIONS AND PROGRAMS

Add the following Sections:

10.1.1 Each Contractor shall develop a safety program in accordance with the Occupational Safety and Health Act of 1970. A copy of said plan shall be furnished to the Owner and Architect prior to the commencement of that Contractor's Work.

10.1.2 Each Contractor shall appoint a Safety Representative. Safety Representatives shall be someone who is on site on a full time basis. If deemed necessary by the Owner or Architect, Contractor Safety meetings will be scheduled. The attendance of all Safety Representatives will be required. Minutes will be recorded of said meetings by the Contractor and will be distributed to all parties as well as posted in all job offices/trailers etc.

10.2 SAFETY OF PERSONS AND PROPERTY

Add the following Section:

10.2.4.1 As required in the Hazardous Chemical Act of June 1984, all vendors supplying any material that may be defined as hazardous must provide Material Safety Data Sheets for those products. Any chemical product should be considered hazardous if it has a caution warning on the label relating to a potential physical or health hazard, if it is known to be present in the work place, and if employees may be exposed under normal conditions or in foreseeable emergency situations. Material Safety Data Sheets shall be provided directly to the Owner, along with the shipping slips that include those products.

10.2.5 Strike the second sentence in its entirety.

10.3 HAZARDOUS MATERIALS AND SUBSTANCES

10.3.3 Strike Section 10.3.3 in its entirety.

10.3.4 Insert "hazardous" in the last sentence after "handling of such"

10.3.6 Strike Section 10.3.6 in its entirety.

ARTICLE 11: INSURANCE AND BONDS

11.1 CONTRACTOR'S INSURANCE AND BONDS

11.1.1 Strike "Owner" from the third sentence.

11.2 OWNER'S LIABILITY INSURANCE

Strike 11.2 in its entirety, except that in the case of school projects in which case Section 11.2 shall remain.

11.3 WAIVERS OF SUBROGATION

Delete Section 11.3 in its entirety

11.4 LOSS OF USE, BUSINESS INTERRUPTION, AND DELAY IN COMPLETION
INSURANCE

Delete Section 11.4 in its entirety

ARTICLE 12: UNCOVERING AND CORRECTION OF WORK

12.2.2 AFTER SUBSTANTIAL COMPLETION

Add the following Section:

"12.2.2.1.1 At any time during the progress of the Work, or in any case where the nature of the defects will be such that it is not expedient to have corrected, the Owner, at its option, will have the right to deduct such sum, or sums, of money from the amount of the Contract as it considers justified to adjust the difference in value between the non-conforming work and that required under contract including any damage to the structure."

12.2.2.1 Strike all references to "one year" or "one-year" and replace with "two years".

12.2.2.2 Strike "one-year" and replace with "two years".

12.2.2.3 Strike "one-year" and replace with "two years".

12.2.5 Strike "one-year" and replaced with "two years".

ARTICLE 13: MISCELLANEOUS PROVISIONS

13.1 GOVERNING LAW

Strike the last sentence.

13.4 TESTS AND INSPECTIONS

13.4.1 Strike the last sentence and replace with the following:

"The Owner shall pay for tests, inspections, or approvals where building codes or applicable laws or regulations prohibit the Owner from delegating their cost to the Contractor."

13.5 INTEREST

Strike "the date payment is due at such rate as the parties may agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located" and replace with "30 days of presentment of the authorized Certificate of Payment at the annual rate of 12% or 1% per month."

Insert the following Section:

"13.6 CONFLICTS WITH FEDERAL STATUTES OR REGULATIONS

13.6.1 If any provision, specifications or requirement of the Contract Documents conflict or is inconsistent with any statute, law or regulation of the government of the United State of America, the Contractor shall notify the Architect and Owner immediately upon discovery."

ARTICLE 14: TERMINATION OR SUSPENSION OF THE CONTRACT

14.1 TERMINATION BY THE CONTRACTOR

14.1.1.4 Insert ", upon the Contractors' request," after "furnish to the Contractor".

14.1.3 Strike "and profit on Work not executed, and" after "as well as reasonable overhead" and replace with ", profit, and reasonable"

14.3 SUSPENSION BY OWNER FOR CONVENIENCE

14.3.2 Strike "Adjustment of the Contract Sum shall include profit".

14.4 TERMINATION BY THE OWNER FOR CONVENIENCE

14.4.3 Strike Section 14.4.3 in its entirety and replace with the following:

"In case of such termination for the Owner's convenience, the Contractor shall be entitled to receive payment for Work executed, and reasonable costs incurred by reason of such termination along with reasonable overhead."

ARTICLE 15: CLAIMS AND DISPUTES

15.1 CLAIMS

15.1.2 TIME LIMITS ON CLAIMS

Strike the last sentence.

15.1.3 NOTICE OF CLAIM

Strike all references to "21" and replace with "45".

15.1.5 CLAIMS FOR ADDITIONAL COSTS

Strike the first sentence and replace with the following:

"Contractor shall not proceed to execute any portion of the Work that is subject to the Claim without prior approval of the costs or method of payment for the costs associated with the Claim as determined by the Architect and approved by the Owner."

15.1.7 WAIVER OF CLAIMS FOR CONSEQUENTIAL DAMAGES

Strike Section 15.1.7 in its entirety.

15.2 INITIAL DECISION

15.2.1 Strike "and binding dispute resolution" in the fourth sentence and replace with "or any and all remedies at law or in equity".

15.2.5 Strike Section 15.2.5 in its entirety and replace with the following:

"The Architect will approve or reject Claims by written decision, which shall state the reasons therefore and shall notify the parties of any change in the Contract Sum or Contract Time or both. The approval or rejection of a Claim by the Architect shall be subject to mediation and any or all remedies at law or in equity."

15.2.6 Strike Section 15.2.6 and its sub-Sections in their entirety.

15.3 MEDIATION

15.3.1 Strike "binding dispute resolution" and replace with "any or all remedies at law or in equity".

15.3.2 Strike ", shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedure in effect on the date of the Agreement," in the first sentence.

15.3.2 Strike all references to "binding dispute resolution" and replace with "any or all remedies at law and in equity".

15.3.3 Strike Section 15.3.3 in its entirety.

15.4 ARBITRATION

Strike Section 15.4 and its Subsections in their entirety.

END OF SECTION

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NOT FOR BIDDING PURPOSES

SECTION 00 73 46
WAGE DETERMINATION SCHEDULE

The Delaware Department of Labor Division of Industrial Affairs has established the category and associated prevailing wage rate for this project. The project approved prevailing wage rate determination schedule follows:

NOT FOR BIDDING PURPOSES

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NOT FOR BIDDING PURPOSES

STATE OF DELAWARE
DEPARTMENT OF LABOR
DIVISION OF INDUSTRIAL AFFAIRS OFFICE
CONSTRUCTION INDUSTRY ENFORCEMENT
PHONE: (302) 318-2769

Mailing Address:
252 Chapman Road
Suite 210
Newark, DE 19702

Located at:
252 Chapman Road
Suite 210
Newark, DE 19702

PREVAILING WAGES FOR BUILDING CONSTRUCTION
EFFECTIVE MARCH 15, 2024 - AMENDED JUNE 14, 2024

CLASSIFICATION	NEW CASTLE	KENT	SUSSEX
ASBESTOS WORKERS	29.03	35.74	52.03
BOILERMAKERS	86.90	44.09	64.81
BRICKLAYERS	65.24	65.24	65.24
CARPENTERS	61.06	61.06	49.30
CEMENT FINISHERS	91.66	65.19	50.55
ELECTRICAL LINE WORKERS	57.72	49.50	37.74
ELECTRICIANS	81.62	81.62	81.62
ELEVATOR CONSTRUCTORS	113.66	81.88	103.45
GLAZIERS	83.30	83.30	71.92
INSULATORS	67.20	67.20	67.20
IRON WORKERS	75.32	75.32	75.32
LABORERS	55.65	55.65	55.65
MILLWRIGHTS	85.36	85.36	68.57
PAINTERS	57.60	57.60	57.60
PILEDRIVERS	88.62	49.97	40.41
PLASTERERS	37.89	37.89	28.08
PLUMBERS/PIPEFITTERS/STEAMFITTERS	77.30	80.57	71.11
POWER EQUIPMENT OPERATORS	81.29	81.29	81.29
ROOFERS-COMPOSITION	29.45	29.41	31.82
ROOFERS-SHINGLE/SLATE/TILE	23.34	27.77	21.83
SHEET METAL WORKERS	84.53	84.53	84.53
SOFT FLOOR LAYERS	60.12	60.12	60.12
SPRINKLER FITTERS	70.52	70.52	70.52
TERRAZZO/MARBLE/TILE FNRS	70.79	70.79	79.54
TERRAZZO/MARBLE/TILE STRS	78.73	78.73	88.22
TRUCK DRIVERS	55.25	34.83	27.11

CERTIFIED: 10/2/2024

BY: For Fran Chudzik
ADMINISTRATOR, OFFICE OF LABOR LAW ENFORCEMENT

NOTE: THESE RATES ARE PROMULGATED AND ENFORCED PURSUANT TO THE PREVAILING WAGE REGULATIONS ADOPTED BY THE DEPARTMENT OF LABOR ON APRIL 3, 1992.

CLASSIFICATIONS OF WORKERS ARE DETERMINED BY THE DEPARTMENT OF LABOR. FOR ASSISTANCE IN CLASSIFYING WORKERS, OR FOR A COPY OF THE REGULATORY CLASSIFICATIONS, PHONE (302) 318-2769.

NON-REGISTERED APPRENTICES MUST BE PAID THE MECHANIC'S RATE.

PROJECT: Building 2804 - HVAC Replacement, New Castle County



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NOT FOR BIDDING PURPOSES

DEANG STATUTORY REQUIREMENTS

The Delaware Air National Guard (DEANG) has mandated adherence to all sections identified and defined in ARTICLE VIII - APPLICABLE LAWS AND REGULATIONS as part of the contractual conditions for this Project. A copy of this document is included herewith.

NOT FOR BIDDING PURPOSES

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SECTION 00 81 13
GENERAL REQUIREMENTS

TABLE OF ARTICLES

1. GENERAL
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ARTICLE 1: GENERAL

1.1 CONTRACT DOCUMENTS

1.1.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary and what is required by one shall be as binding as if required by all. Performance by the Contractor shall be required to an extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the intended results.

1.1.2 Work including material purchases shall not begin until the Contractor is in receipt of a bonafide State of Delaware Purchase Order. Any work performed or material purchases prior to the issuance of the Purchase Order is done at the Contractor's own risk and cost.

1.2 EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS

1.2.1 For Public Works Projects financed in whole or in part by state appropriation the Contractor agrees that during the performance of this contract:

1. The Contractor will not discriminate against any employee or applicant for employment because of race, creed, sex, color, sexual orientation, gender identity or national origin. The Contractor will take positive steps to ensure that applicants are employed and that employees are treated during employment without regard to their race, creed, sex, color, sexual orientation, gender identity or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places available to employees and applicants for employment notices to be provided by the contracting agency setting forth this nondiscrimination clause.
2. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, creed, sex, color, sexual orientation, gender identity or national origin."

ARTICLE 2: OWNER

(NO ADDITIONAL GENERAL REQUIREMENTS - SEE SUPPLEMENTARY GENERAL CONDITIONS)

ARTICLE 3: CONTRACTOR

- 3.1 Schedule of Values: The successful Bidder shall within twenty (20) days after receiving notice to proceed with the work, furnish to the Owner a complete schedule of values on the various items comprising the work.
- 3.2 Subcontracts: Upon approval of Subcontractors, the Contractor shall award their Subcontracts as soon as possible after the signing of their own contract and see that all material, their own and those of their Subcontractors, are promptly ordered so that the work will not be delayed by failure of materials to arrive on time.
- 3.3 Before commencing any work or construction, the General Contractor is to consult with the Owner as to matters in connection with access to the site and the allocation of Ground Areas for the various features of hauling, storage, etc.
- 3.4 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for and have control over construction means, methods, techniques, sequences and procedures and for coordinating

all portions of the Work under the Contract, unless the Contract Documents give other specific instructions.

- 3.5 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Contract. The Contractor shall not permit employment of unfit persons or persons not skilled in tasks assigned to them.
- 3.6 The Contractor warrants to the Owner that materials and equipment furnished will be new and of good quality, unless otherwise permitted, and that the work will be free from defects and in conformance with the Contract Documents. Work not conforming to these requirements, including substitutions not properly approved, may be considered defective. If required by the Owner, the Contractor shall furnish evidence as to the kind and quality of materials and equipment provided.
- 3.7 Unless otherwise provided, the Contractor shall pay all sales, consumer, use and other similar taxes, and shall secure and pay for required permits, fees, licenses, and inspections necessary for proper execution of the Work.
- 3.8 The Contractor shall comply with and give notices required by laws, ordinances, rules, regulations, and lawful orders of public authorities bearing on performance of the Work. The Contractor shall promptly notify the Owner if the Drawings and Specifications are observed to be at variance therewith.
- 3.9 The Contractor shall be responsible to the Owner for the acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons performing portions of the Work under contract with the Contractor.
- 3.10 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials or rubbish caused by operations under the Contract. At completion of the Work the Contractor shall remove from and about the Project all waste materials, rubbish, the Contractor's tools, construction equipment, machinery and surplus materials. The Contractor shall be responsible for returning all damaged areas to their original conditions.
- 3.11 STATE LICENSE AND TAX REQUIREMENTS
- 3.11.1 Each Contractor and Subcontractor shall be licensed to do business in the State of Delaware and shall pay all fees and taxes due under State laws. In conformance with Section 2503, Chapter 25, Title 30, Delaware Code, "the Contractor shall furnish the Delaware Department of Finance within ten (10) days after entering into any contract with a contractor or subcontractor not a resident of this State, a statement of total value of such contract or contracts together with the names and addresses of the contracting parties."
- 3.12 The Contractor shall comply with all requirements set forth in Section 6962, Chapter 69, Title 29 of the Delaware Code.

- 3.13 During the contract Work, the Contractor and each Subcontractor, shall implement an Employee Drug Testing Program in accordance with OMB Regulation 4104 - "Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on "Large Public Works Projects". "Large Public Works" is based upon the current threshold required for bidding Public Works as set by the Purchasing and Contracting Advisory Council.

ARTICLE 4: ADMINISTRATION OF THE CONTRACT

4.1 CONTRACT SURETY

4.1.1 PERFORMANCE BOND AND LABOR AND MATERIAL PAYMENT BOND

- 4.1.2 All bonds will be required as follows unless specifically waived elsewhere in the Bidding Documents.

- 4.1.3 Contents of Performance Bonds - The bond shall be in the form approved by the Office of Management and Budget. The bond shall be conditioned upon the faithful compliance and performance by the successful bidder of each and every term and condition of the contract and the proposal, plans, specifications, and bid documents thereof. Each term and condition shall be met at the time and in the manner prescribed by the Contract, Bid documents and the specifications, including the payment in full to every person furnishing materiel or performing labor in the performance of the Contract, of all sums of money due the person for such labor and materiel. (The bond shall also contain the successful bidder's guarantee to indemnify and save harmless the State and the agency from all costs, damages and expenses growing out of or by reason of the Contract in accordance with the Contract.)

- 4.1.4 Invoking a Performance Bond - The agency may, when it considers that the interest of the State so requires, cause judgement to be confessed upon the bond.

- 4.1.5 Within twenty (20) days after the date of notice of award of contract, the Bidder to whom the award is made shall furnish a Performance Bond and Labor and Material Payment Bond, each equal to the full amount of the Contract price to guarantee the faithful performance of all terms, covenants and conditions of the same. The bonds are to be issued by an acceptable Bonding Company licensed to do business in the State of Delaware and shall be issued in duplicate.

- 4.1.6 Performance and Payment Bonds shall be maintained in full force (warranty bond) for a period of two (2) years after the date of the Certificate for Final Payment. The Performance Bond shall guarantee the satisfactory completion of the Project and that the Contractor will make good any faults or defects in his work which may develop during the period of said guarantees as a result of improper or defective workmanship, material or apparatus, whether furnished by themselves or their Sub-Contractors. The Payment Bond shall guarantee that the Contractor shall pay in full all persons, firms or corporations who furnish labor or material or both labor and material for, or on account of, the work included herein. The bonds shall be paid for by this Contractor. The Owner shall have

the right to demand that the proof parties signing the bonds are duly authorized to do so.

4.2 FAILURE TO COMPLY WITH CONTRACT

4.2.1 If any firm entering into a contract with the State, or Agency that neglects or refuses to perform or fails to comply with the terms thereof, the Agency which signed the Contract may terminate the Contract and proceed to award a new contract in accordance with this Chapter 69, Title 29 of the Delaware Code or may require the Surety on the Performance Bond to complete the Contract in accordance with the terms of the Performance Bond. Nothing herein shall preclude the Agency from pursuing additional remedies as otherwise provided by law.

4.3 CONTRACT INSURANCE AND CONTRACT LIABILITY

4.3.1 In addition to the bond requirements stated in the Bid Documents, each successful Bidder shall purchase adequate insurance for the performance of the Contract and, by submission of a Bid, agrees to indemnify and save harmless and to defend all legal or equitable actions brought against the State, any Agency, officer and/or employee of the State, for and from all claims of liability which is or may be the result of the successful Bidder's actions during the performance of the Contract.

4.3.2 The purchase or nonpurchase of such insurance or the involvement of the successful Bidder in any legal or equitable defense of any action brought against the successful Bidder based upon work performed pursuant to the Contract will not waive any defense which the State, its agencies and their respective officers, employees and agents might otherwise have against such claims, specifically including the defense of sovereign immunity, where applicable, and by the terms of this section, the State and all agencies, officers and employees thereof shall not be financially responsible for the consequences of work performed, pursuant to said contract.

4.4 RIGHT TO AUDIT RECORDS

4.4.1 The Owner shall have the right to audit the books and records of a Contractor or any Subcontractor under any Contract or Subcontract to the extent that the books and records relate to the performance of the Contract or Subcontract.

4.4.2 Said books and records shall be maintained by the Contractor for a period of seven (7) years from the date of final payment under the Prime Contract and by the Subcontractor for a period of seven (7) years from the date of final payment under the Subcontract.

ARTICLE 5: SUBCONTRACTORS

5.1 SUBCONTRACTING REQUIREMENTS

5.1.1 All contracts for the construction, reconstruction, alteration or repair of any public building (not a road, street or highway) shall be subject to the following provisions:

1. A contract shall be awarded only to a Bidder whose Bid is accompanied by a statement containing, for each Subcontractor category, the name and address (city or town and State only - street number and P.O. Box addresses not required) of the subcontractor whose services the Bidder intends to use in performing the Work and providing the material for such Subcontractor category.
 2. A Bid will not be accepted nor will an award of any Contract be made to any Bidder which, as the Prime Contractor, has listed itself as the Subcontractor for any Subcontractor unless:
 - A. It has been established to the satisfaction of the awarding Agency that the Bidder has customarily performed the specialty work of such Subcontractor category by artisans regularly employed by the Bidder's firm;
 - B. That the Bidder is duly licensed by the State to engage in such specialty work, if the State requires licenses; and
 - C. That the Bidder is recognized in the industry as a bona fide Subcontractor or Contractor in such specialty work and Subcontractor category.
- 5.1.2 The decision of the awarding Agency as to whether a Bidder who list itself as the Subcontractor for a Subcontractor category shall be final and binding upon all Bidders, and no action of any nature shall lie against any awarding agency or its employees or officers because of its decision in this regard.
- 5.1.3 After such a Contract has been awarded, the successful Bidder shall not substitute another Subcontractor for any Subcontractor whose name was set forth in the statement which accompanied the Bid without the written consent of the awarding Agency.
- 5.1.4 No Agency shall consent to any substitution of Subcontractors unless the Agency is satisfied that the Subcontractor whose name is on the Bidders accompanying statement:
- A. Is unqualified to perform the work required;
 - B. Has failed to execute a timely reasonable Subcontract;
 - C. Has defaulted in the performance on the portion of the work covered by the Subcontract; or
 - D. Is no longer engaged in such business.
- 5.1.5 Should a Bidder be awarded a contract, such successful Bidder shall provide to the agency the taxpayer identification license numbers of such subcontractors. Such numbers shall be provided on the later of the date on which such subcontractor is required to be identified or the time the contract is executed. The successful Bidder shall provide to the agency to which it is contracting, within 30 days of entering into such public works contract, copies

of all Delaware Business licenses of subcontractors and/or independent contractors that will perform work for such public works contract. However, if a subcontractor or independent contractor is hired or contracted more than 20 days after the Bidder entered the public works contract the Delaware Business license of such subcontractor or independent contractor shall be provided to the agency within 10 days of being contracted or hired.

- 5.1.6 The Contractor may employ additional Subcontractors on the jobsite only after submitting a copy of the Subcontractor's Employee Drug Testing Program to the Owner for approval. A Contractor or Subcontractor shall not commence work until the Owner has concluded its review and determined that the submitted Employee Drug Testing Program complies with OMB Regulation 4104.

5.2 PENALTY FOR SUBSTITUTION OF SUBCONTRACTORS

- 5.2.1 Should the Contractor fail to utilize any or all of the Subcontractors in the Contractor's Bid statement in the performance of the Work on the public bidding, the Contractor shall be penalized in the amount of (project specific amount*). The Agency may determine to deduct payments of the penalty from the Contractor or have the amount paid directly to the Agency. Any penalty amount assessed against the Contractor may be remitted or refunded, in whole or in part, by the Agency awarding the Contract, only if it is established to the satisfaction of the Agency that the Subcontractor in question has defaulted or is no longer engaged in such business. No claim for the remission or refund of any penalty shall be granted unless an application is filed within one year after the liability of the successful Bidder accrues. All penalty amounts assessed and not refunded or remitted to the contractor shall be reverted to the State.

*one (1) percent of contract amount not to exceed \$10,000

5.3 ASBESTOS ABATEMENT

- 5.3.1 The selection of any Contractor to perform asbestos abatement for State-funded projects shall be approved by the Office of Management and Budget, Division of Facilities Management pursuant to Chapter 78 of Title 16.

5.4 STANDARDS OF CONSTRUCTION FOR THE PROTECTION OF THE PHYSICALLY HANDICAPPED

- 5.4.1 All Contracts shall conform with the standard established by the Delaware Architectural Accessibility Board unless otherwise exempted by the Board.

5.5 CONTRACT PERFORMANCE

- 5.5.1 Any firm entering into a Public Works Contract that neglects or refuses to perform or fails to comply with its terms, the Agency may terminate the Contract and proceed to award a new Contract or may require the Surety on the Performance Bond to complete the Contract in accordance with the terms of the Performance Bond.

ARTICLE 6: CONSTRUCTION BY OWNER OR SEPARATE CONTRACTORS

- 6.1 The Owner reserves the right to simultaneously perform other construction or operations related to the Project with the Owner's own forces, and to award separate contracts in connection with other portions of the Project or other Projects at the same site.
- 6.2 The Contractor shall afford the Owner and other Contractors reasonable opportunity for access and storage of materials and equipment, and for the performance of their activities, and shall connect and coordinate their activities with other forces as required by the Contract Documents.

ARTICLE 7: CHANGES IN THE WORK

- 7.1 The Owner, without invalidating the Contract, may order changes in the Work consisting of Additions, Deletions, Modifications or Substitutions, with the Contract Sum and Contract completion date being adjusted accordingly. Such changes in the Work shall be authorized by written Change Order signed by the Professional, as the duly authorized agent, the Contractor and the Owner.
- 7.2 The Contract Sum and Contract Completion Date shall be adjusted only by a fully executed Change Order.
- 7.3 The additional cost, or credit to the Owner resulting from a change in the Work shall be by mutual agreement of the Owner, Contractor and the Architect. In all cases, this cost or credit shall be based on the 'DPE' wages required and the "invoice price" of the materials/equipment needed.
- 7.3.1 "DPE" shall be defined to mean "direct personnel expense". Direct payroll expense includes prevailing wage rates plus a maximum multiplier of 1.35 times DPE. For example, if the prevailing wage rate is \$50/hour, the DPE would be \$67.50/hour (50 x 1.35).
- 7.3.2 "Invoice price" of materials/equipment shall be defined to mean the actual cost of materials and/or equipment that is paid by the Contractor, (or subcontractor), to a material distributor, direct factory vendor, store, material provider, or equipment leasing entity. Rates for equipment that is leased and/or owned by the Contractor or subcontractor(s) shall not exceed those listed in the latest version of the "Means Building Construction Cost Data" publication.
- 7.3.3 In addition to the above:
- 7.3.3.1 The General Contractor is allowed a fifteen percent (15%) markup for overhead and profit for additional work performed by the General Contractor's own forces.
- 7.3.3.2 For additional subcontractor work, the Subcontractor is allowed a fifteen (15) percent overhead and profit on change order work above and beyond the direct costs stated previously. To this amount, the General Contractor will be allowed a mark-up not exceeding seven- and one-half percent (7.5%) on the subcontractor's work.

- 7.3.3.3 Where the Third Tier Contractor is going to be completing the additional work, the Third-Tier contractor will be allowed a markup of fifteen percent (15%) overhead and profit on changes order work above and beyond the direct costs stated previously. To this amount, the Subcontractor will be allowed a markup not to exceed seven and one-half percent (7.5%) on the Third-Tier Contractor's work and the General Contractor will be allowed a markup not to exceed seven and one-half percent (7.5%) of the amount of the Subcontractor's markup.
- 7.3.3.4 No additional costs shall be allowed for changes related to the Contractor's onsite superintendent/staff, or project manager, unless a change in the work changes the project duration and is identified by the CPM schedule. There will be no other costs associated with the change order.
- 7.3.3.5 These markups shall include all costs including, but not limited to: overhead, profit, bonds, insurance, supervision, etc.

ARTICLE 8: TIME

- 8.1 Time limits, if any, are as stated in the Project Manual. By executing the Agreement, the Contractor confirms that the stipulated limits are reasonable, and that the Work will be completed within the anticipated time frame.
- 8.2 If progress of the Work is delayed at any time by changes ordered by the Owner, by labor disputes, fire, unusual delay in deliveries, abnormal adverse weather conditions, unavoidable casualties or other causes beyond the Contractor's control, the Contract Time shall be extended for such reasonable time as the Owner may determine.
- 8.3 Any extension of time beyond the date fixed for completion of the construction and acceptance of any part of the Work called for by the Contract, or the occupancy of the building by the Owner, in whole or in part, previous to the completion shall not be deemed a waiver by the Owner of his right to annul or terminate the Contract for abandonment or delay in the matter provided for, nor relieve the Contractor of full responsibility
- 8.4 **SUSPENSION AND DEBARMENT**
- 8.4.1 Per Section 6962(d)(14), Title 29, Delaware Code, "Any Contractor who fails to perform a public works contract or complete a public works project within the time schedule established by the Agency in the Invitation To Bid, may be subject to Suspension or Debarment for one or more of the following reasons: a) failure to supply the adequate labor supply ratio for the project; b) inadequate financial resources; or, c) poor performance on the Project."
- 8.4.2 "Upon such failure for any of the above stated reasons, the Agency that contracted for the public works project may petition the Director of the Office of Management and Budget for Suspension or Debarment of the Contractor. The Agency shall send a copy of the petition to the Contractor within three (3) working

days of filing with the Director. If the Director concludes that the petition has merit, the Director shall schedule and hold a hearing to determine whether to suspend the Contractor, debar the Contractor or deny the petition. The Agency shall have the burden of proving, by a preponderance of the evidence, that the Contractor failed to perform or complete the public works project within the time schedule established by the Agency and failed to do so for one or more of the following reasons: a) failure to supply the adequate labor supply ratio for the project; b) inadequate financial resources; or, c) poor performance on the project. Upon a finding in favor of the Agency, the Director may suspend a Contractor from Bidding on any project funded, in whole or in part, with public funds for up to 1 year for a first offense, up to 3 years for a second offense and permanently debar the Contractor for a third offense. The Director shall issue a written decision and shall send a copy to the Contractor and the Agency. Such decision may be appealed to the Superior Court within thirty (30) days for a review on the record."

8.5 RETAINAGE

8.5.1 Per Section 6962(d)(5) a.3, Title 29, Delaware Code: The Agency may at the beginning of each public works project establish a time schedule for the completion of the project. If the project is delayed beyond the completion date due to the Contractor's failure to meet their responsibilities, the Agency may forfeit, at its discretion, all or part of the Contractor's retainage.

8.5.2 This forfeiture of retainage also applies to the timely completion of the punchlist. A punchlist will only be prepared upon the mutual agreement of the Owner, Architect and Contractor. Once the punchlist is prepared, all three parties will by mutual agreement, establish a schedule for its completion. Should completion of the punchlist be delayed beyond the established date due to the Contractor's failure to meet their responsibilities, the Agency may hold permanently, at its discretion, all or part of the Contractor's retainage.

ARTICLE 9: PAYMENTS AND COMPLETION

9.1 APPLICATION FOR PAYMENT

9.1.1 Applications for payment shall be made upon AIA Document G702. There will be a five percent (5%) retainage on all Contractor's monthly invoices until completion of the project. This retainage may become payable upon receipt of all required closeout documentation, provided all other requirements of the Contract Documents have been met.

9.1.2 A date will be fixed for the taking of the monthly account of work done. Upon receipt of Contractor's itemized application for payment, such application will be audited, modified, if found necessary, and approved for the amount. Statement shall be submitted to the Owner.

9.1.3 Section 6516, Title 29 of the Delaware Code annualized interest is not to exceed 12% per annum beginning thirty (30) days after the "presentment" (as opposed to the date) of the invoice.

9.2 PARTIAL PAYMENTS

9.2.1 Any public works Contract executed by any Agency may provide for partial payments at the option of the Owner with respect to materials placed along or upon the sites or stored at secured locations, which are suitable for use in the performance of the contract.

9.2.2 When approved by the agency, partial payment may include the values of tested and acceptable materials of a nonperishable or noncontaminative nature which have been produced or furnished for incorporation as a permanent part of the work yet to be completed, provided acceptable provisions have been made for storage.

9.2.2.1 Any allowance made for materials on hand will not exceed the delivered cost of the materials as verified by invoices furnished by the Contractor, nor will it exceed the contract bid price for the material complete in place.

9.2.3 If requested by the Agency, receipted bills from all Contractors, Subcontractors, and material, men, etc., for the previous payment must accompany each application for payment. Following such a request, no payment will be made until these receipted bills have been received by the Owner.

9.3 SUBSTANTIAL COMPLETION

9.3.1 When the building has been made suitable for occupancy, but still requires small items of miscellaneous work, the Owner will determine the date when the project has been substantially completed.

9.3.2 If, after the Work has been substantially completed, full completion thereof is materially delayed through no fault of the Contractor, and without terminating the Contract, the Owner may make payment of the balance due for the portion of the Work fully completed and accepted. Such payment shall be made under the terms and conditions governing final payment that it shall not constitute a waiver of claims.

9.3.3 On projects where commissioning is included, the commissioning work as defined in the specifications must be complete prior to the issuance of substantial completion.

9.4 FINAL PAYMENT

9.4.1 Final payment, including the five percent (5%) retainage if determined appropriate, shall be made within thirty (30) days after the Work is fully completed and the Contract fully performed and provided that the Contractor has submitted the following closeout documentation (in addition to any other documentation required elsewhere in the Contract Documents):

9.4.1.1 Evidence satisfactory to the Owner that all payrolls, material bills, and other indebtedness connected with the work have been paid,

- 9.4.1.2 An acceptable RELEASE OF LIENS,
- 9.4.1.3 Copies of all applicable warranties,
- 9.4.1.4 As-built drawings,
- 9.4.1.5 Operations and Maintenance Manuals,
- 9.4.1.6 Instruction Manuals,
- 9.4.1.7 Consent of Surety to final payment.
- 9.4.1.8 The Owner reserves the right to retain payments, or parts thereof, for its protection until the foregoing conditions have been complied with, defective work corrected and all unsatisfactory conditions remedied.

ARTICLE 10: PROTECTION OF PERSONS AND PROPERTY

- 10.1 The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract. The Contractor shall take all reasonable precautions to prevent damage, injury or loss to: workers, persons nearby who may be affected, the Work, materials and equipment to be incorporated, and existing property at the site or adjacent thereto. The Contractor shall give notices and comply with applicable laws ordinances, rules regulations, and lawful orders of public authorities bearing on the safety of persons and property and their protection from injury, damage, or loss. The Contractor shall promptly remedy damage and loss to property at the site caused in whole or in part by the Contractor, a Subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable.
- 10.2 The Contractor shall notify the Owner in the event any existing hazardous material such as lead, PCBs, asbestos, etc. is encountered on the project. The Owner will arrange with a qualified specialist for the identification, testing, removal, handling and protection against exposure or environmental pollution, to comply with applicable regulation laws and ordinances. The Contractor and Architect will not be required to participate in or to perform this operation. Upon completion of this work, the Owner will notify the Contractor and Architect in writing the area has been cleared and approved by the authorities in order for the work to proceed. The Contractor shall attach documentation from the authorities of said approval.
- 10.3 As required in the Hazardous Chemical Information Act of June 1984, all vendors supplying any materials that may be defined as hazardous, must provide Material Safety Data Sheets for those products. Any chemical product should be considered hazardous if it has a warning caution on the label relating to a potential physical or health hazard, if it is known to be present in the work place, and if employees may be exposed under normal conditions or in any foreseeable emergency situation. Material Safety Data Sheets must be provided directly to the Owner along with the shipping slips that include those products.

- 10.4 The Contractor shall certify to the Owner that materials incorporated into the Work are free of all asbestos. This certification may be in the form of Material Safety Data Sheet (MSDS) provided by the product manufacturer for the materials used in construction, as specified or as provided by the Contractor.

ARTICLE 11: INSURANCE AND BONDS

- 11.1 The Contractor shall carry all insurance required by law, such as Unemployment Insurance, etc. The Contractor shall carry such insurance coverage as they desire on their own property such as a field office, storage sheds or other structures erected upon the project site that belong to them and for their own use. The Subcontractors involved with this project shall carry whatever insurance protection they consider necessary to cover the loss of any of their personal property, etc.
- 11.2 Upon being awarded the Contract, the Contractor shall obtain a minimum of two (2) copies of all required insurance certificates called for herein, and submit one (1) copy of each certificate, to the Owner, within 20 days of contract award.
- 11.3 Bodily Injury Liability and Property Damage Liability Insurance shall, in addition to the coverage included herein, include coverage for injury to or destruction of any property arising out of the collapse of or structural injury to any building or structure due to demolition work and evidence of these coverages shall be filed with and approved by the Owner.
- 11.4 The Contractor's Property Damage Liability Insurance shall, in addition to the coverage noted herein, include coverage on all real and personal property in their care, custody and control damaged in any way by the Contractor or their Subcontractors during the entire construction period on this project.
- 11.5 Builders Risk (including Standard Extended Coverage Insurance) on the existing building during the entire construction period, may be provided by the Contractor under this contract. The Owner shall insure the existing building and all of its contents and all this new alteration work under this contract during entire construction period for the full insurable value of the entire work at the site. Note, however, that the Contractor and their Subcontractors shall be responsible for insuring building materials (installed and stored) and their tools and equipment whenever in use on the project, against fire damage, theft, vandalism, etc.
- 11.6 Certificates of the insurance company or companies stating the amount and type of coverage, terms of policies, etc., shall be furnished to the Owner, within 20 days of contract award.
- 11.7 The Contractor shall, at their own expense, (in addition to the above) carry the following forms of insurance:
- 11.7.1 Contractor's Contractual Liability Insurance
- Minimum coverage to be:

Bodily Injury	\$1,000,000	for each occurrence
	\$3,000,000	aggregate
Property Damage	\$1,000,000	for each occurrence
	\$3,000,000	aggregate

11.7.2 Contractor's Protective Liability Insurance

Minimum coverage to be:

Bodily Injury	\$1,000,000	for each occurrence
	\$3,000,000	aggregate
Property Damage	\$1,000,000	for each occurrence
	\$3,000,000	aggregate

11.7.3 Automobile Liability Insurance

Minimum coverage to be:

Bodily Injury	\$1,000,000	for each person
	\$1,000,000	for each occurrence
Property Damage	\$500,000	per accident

11.7.4 Prime Contractor's and Subcontractors' policies shall include contingent and contractual liability coverage in the same minimum amounts as 11.7.1 above.

11.7.5 Workmen's Compensation (including Employer's Liability):

11.7.5.1 Minimum Limit on employer's liability to be as required by law.

11.7.5.2 Minimum Limit for all employees working at one site.

11.7.6 Certificates of Insurance must be filed with the Owner guaranteeing fifteen (15) days prior notice of cancellation, non-renewal, or any change in coverages and limits of liability shown as included on certificates.

11.7.7 Social Security Liability

11.7.7.1 With respect to all persons at any time employed by or on the payroll of the Contractor or performing any work for or on their behalf, or in connection with or arising out of the Contractor's business, the Contractor shall accept full and exclusive liability for the payment of any and all contributions or taxes or unemployment insurance, or old age retirement benefits, pensions or annuities now or hereafter imposed by the Government of the United States and the State or political subdivision thereof, whether the

same be measured by wages, salaries or other remuneration paid to such persons or otherwise.

- 11.7.7.2 Upon request, the Contractor shall furnish Owner such information on payrolls or employment records as may be necessary to enable it to fully comply with the law imposing the aforesaid contributions or taxes.
- 11.7.7.3 If the Owner is required by law to and does pay any and/or all of the aforesaid contributions or taxes, the Contractor shall forthwith reimburse the Owner for the entire amount so paid by the Owner.

ARTICLE 12: UNCOVERING AND CORRECTION OF WORK

- 12.1 The Contractor shall promptly correct Work rejected by the Owner or failing to conform to the requirements of the Contract Documents, whether observed before or after Substantial Completion and whether or not fabricated, installed or completed, and shall correct any Work found to be not in accordance with the requirements of the Contract Documents within a period of two years from the date of Substantial Completion, or by terms of an applicable special warranty required by the Contract Documents. The provisions of this Article apply to work done by Subcontractors as well as to Work done by direct employees of the Contractor.
- 12.2 At any time during the progress of the work, or in any case where the nature of the defects shall be such that it is not expedient to have them corrected, the Owner, at their option, shall have the right to deduct such sum, or sums, of money from the amount of the contract as they consider justified to adjust the difference in value between the defective work and that required under contract including any damage to the structure.

ARTICLE 13: MISCELLANEOUS PROVISIONS

- 13.1 CUTTING AND PATCHING
- 13.1.1 The Contractor shall be responsible for all cutting and patching. The Contractor shall coordinate the work of the various trades involved.
- 13.2 DIMENSIONS
- 13.2.1 All dimensions shown shall be verified by the Contractor by actual measurements at the project site. Any discrepancies between the drawings and specifications and the existing conditions shall be referred to the Owner for adjustment before any work affected thereby has been performed.
- 13.3 LABORATORY TESTS
- 13.3.1 Any specified laboratory tests of material and finished articles to be incorporated in the work shall be made by bureaus, laboratories or agencies approved by the Owner and reports of such tests shall

be submitted to the Owner. The cost of the testing shall be paid for by the Contractor.

13.3.2 The Contractor shall furnish all sample materials required for these tests and shall deliver same without charge to the testing laboratory or other designated agency when and where directed by the Owner.

13.4 ARCHAEOLOGICAL EVIDENCE

13.4.1 Whenever, in the course of construction, any archaeological evidence is encountered on the surface or below the surface of the ground, the Contractor shall notify the authorities of the State Historic Preservation Office and suspend work in the immediate area for a reasonable time to permit those authorities, or persons designated by them, to examine the area and ensure the proper removal of the archaeological evidence for suitable preservation by the Division of Historical and Cultural Affairs.

13.5 GLASS REPLACEMENT AND CLEANING

13.5.1 The General Contractor shall replace without expense to the Owner all glass broken during the construction of the project. If job conditions warrant, at completion of the job the General Contractor shall have all glass cleaned and polished.

13.6 WARRANTY

13.6.1 For a period of two (2) years from the date of substantial completion, as evidenced by the date of final acceptance of the work, the contractor warrants that work performed under this contract conforms to the contract requirements and is free of any defect of equipment, material or workmanship performed by the contractor or any of his subcontractors or suppliers. However, manufacturer's warranties and guarantees, if for a period longer than two (2) years, shall take precedence over the above warranties. The contractor shall remedy, at his own expense, any such failure to conform or any such defect. The protection of this warranty shall be included in the Contractor's Performance Bond.

ARTICLE 14: TERMINATION OR SUSPENSION OF THE CONTRACT

14.1 If the Contractor defaults or persistently fails or neglects to carry out the Work in accordance with the Contract Documents or fails to perform a provision of the Contract, the Owner, after seven days written notice to the Contractor, may make good such deficiencies and may deduct the cost thereof from the payment then or thereafter due the Contractor. Alternatively, at the Owner's option, and the Owner may terminate the Contract and take possession of the site and of all materials, equipment, tools, and machinery thereon owned by the Contractor and may finish the Work by whatever method the Owner may deem expedient. If the costs of finishing the Work exceed any unpaid compensation due the Contractor, the Contractor shall pay the difference to the Owner.

14.2 "If the continuation of this Agreement is contingent upon the appropriation of adequate state, or federal funds, this Agreement

may be terminated on the date beginning on the first fiscal year for which funds are not appropriated or at the exhaustion of the appropriation. The Owner may terminate this Agreement by providing written notice to the parties of such non-appropriation. All payment obligations of the Owner will cease upon the date of termination. Notwithstanding the foregoing, the Owner agrees that it will use its best efforts to obtain approval of necessary funds to continue the Agreement by taking appropriate action to request adequate funds to continue the Agreement."

END OF SECTION

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DRUG TESTING FORM

The Office of Management and Budget (OMB) has developed the 4014 regulations as part of the Delaware Code that requires Contractors and Subcontractors to implement a program of mandatory drug testing for Employees who work on Large Public Works Contracts funded all or in part with public funds pursuant to 29 **Del.C.** 6908(a))6). The regulations establish the mechanism, standards and requirements of a Mandatory Drug Testing Program that will be incorporated by reference into this Contract awarded pursuant to 29 **Del.C.** 6962. Sample copies of Testing report Forms maintained and/or submitted pursuant to the requirements of 4104 regulations for this Project are included herewith.

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EMPLOYEE DRUG TESTING REPORT FORM

Period Ending: _____

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds maintain testing data that includes but is not limited to the data elements below.

Project Number: _____

Project Name: _____

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____

Number of employees who worked on the jobsite during the report period: _____

Number of employees subject to random testing during the report period: _____

Number of Negative Results _____ Number of Positive Results _____

Action taken on employee(s) in response to a failed or positive random test: _____

Date: _____

This form is not required to be submitted to the Owner. Included as a reference to show information required to be maintained by the Contractor. The Owner shall have the right to periodically audit all Contractor and Subcontractor test results at the Contractor's or Subcontractor's offices (or by other means to make the data available for inspection by the Owner).

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**EMPLOYEE DRUG TESTING
REPORT OF POSITIVE RESULTS**

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds to notify the Owner in writing of a positive random drug test.

Project Number: _____

Project Name: _____

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____

Name of employee with positive test result: _____

Last 4 digits of employee SSN: _____

Date test results received: _____

Action taken on employee in response to a positive test result: _____

Authorized Representative of Contractor/Subcontractor: _____
(typed or printed)

Authorized Representative of Contractor/Subcontractor: _____
(signature)

Date: _____

This form shall be sent by mail to the Owner within 24 hours of receipt of test results.

Enclose this test results form in a sealed envelope with the notation "Drug Testing Form - DO NOT OPEN" on the face thereof and place in a separate mailing envelope.

END OF SECTION

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NOT FOR BIDDING PURPOSES

**AFFIDAVIT OF
CRAFT TRAINING COMPLIANCE**

We, the contractor, hereby certify that we and all applicable subcontractors will abide by the contractor and subcontractor craft training requirements outlined below for the duration of the contract. Craft training must be provided by a contractor and/or subcontractor for each craft on a project for which there are Delaware Department of Labor approved and registered training programs. A list of crafts for which there are approved and registered training programs is maintained by the Delaware Department of Labor and can be found at [https://det.delawareworks.com/apprenticeship/documents/Apprenticeship Occupation List for 29Del6962 Compliance.pdf](https://det.delawareworks.com/apprenticeship/documents/Apprenticeship%20Occupation%20List%20for%20Del6962%20Compliance.pdf)

If you have questions regarding craft training programs, please submit them in writing to the Delaware Department of Labor at: apprenticeship@delaware.gov. The Craft Training Compliance Affidavit must be submitted prior to contract execution.

In accordance with Title 29, Chapter 69, Section 6962(d)(13) of the Delaware Code, contractors and subcontractors must provide craft training for journeyman and apprentice levels if **all** of the following apply:

- A. A project meets the prevailing wage requirement under Title 29, Chapter 69, Section 6960 of the Delaware Code.
- B. The contractor employs 10 or more total employees.
- C. The project is not a federal highway project

Failure to provide required craft training on the project may subject the successful contractor and/or subcontractor(s) to penalties as outlined in Title 29, Chapter 69, Section 6962(d)(13) of the Delaware Code.

Craft(s) _____

Contractor Name: _____

Contractor Address: _____

**Contractor Program
Registration Number(s)** _____

On this line also indicate whether DE, Other State (identify) or US Registration Number

Or

☐ **Craft Training requirements are not applicable because:**

Authorized Representative (typed or printed): _____

Authorized Representative (signature): _____

Title: _____

Sworn to and Subscribed before me this _____ day of _____ 20__.

My Commission expires _____. NOTARY PUBLIC _____.

THIS PAGE MUST BE SIGNED AND NOTARIZED TO BE CONSIDERED.

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NOT FOR BIDDING PURPOSES

Appendix "A"

BUY AMERICA REQUIREMENT

The Build America, Buy America Act (BABA), enacted as part of the Bipartisan Infrastructure Law (BIL) requires iron, steel, manufactured products, and construction materials used in infrastructure projects funded by Federal financial assistance to be produced in the United States.

In accordance with 23 U.S.C. 313, and 23 CFR 635.410, all iron and steel materials permanently incorporated into this project will be produced in the United States and that all manufacturing processes involving these materials will occur in the U.S., except that a minimal amount of foreign steel or iron materials may be used, provided the cost of the foreign materials does not exceed 0.1 percent of the total Contract cost or \$2,500.00, whichever is greater. If such minimal amount of foreign steel is used, the Contractor shall maintain a record of the costs to ensure that the allowable limit is not exceeded.

Manufactured products are currently exempt from the Buy America requirements included in BABA in accordance with a waiver issued for such products by the FHWA in 1983. The 1983 waiver does not supersede 23 CFR 635.410 which requires all manufacturing processes involving the steel or iron used occur in the U.S., except that a minimal amount of foreign steel or iron materials may be used, provided the cost of the foreign materials does not exceed 0.1 percent of the total Contract cost or \$2,500.00, whichever is greater. If such minimal amount of foreign steel is used, the Contractor shall maintain a record of the costs to ensure that the allowable limit is not exceeded.

All construction materials permanently incorporated into this project are manufactured in the United States—this means that all manufacturing processes for the construction material occurred in the United States. Construction materials which are exempt from BABA requirements include cement and cementitious materials, aggregates such as stone, sand, or gravel, and aggregate binding agents or additives.

The DelDOT maintains a list of all pay items, the applicable BABA category designation, and additional guidance at the following address:
<https://deldot.gov/Business/prodlists/index.shtml?dc=buyAmerica>.

By signing and submitting this proposal, the bidder certifies that: "All materials that will be permanently incorporated into this project will meet the Buy America material requirements. At the Department's request, I/we will provide manufacturer's/supplier's documentation verifying domestic origin as defined in the Contract. All Materials accepted on the basis of such Certificate of Compliance may be sampled by the Department and tested at any time. Use of Material on the basis of Certificate of Compliance shall not relieve the Contractor of responsibility for incorporating Material in the Project conforming to the requirements of the Contract. Any Material not conforming to such requirements will be subject to rejection whether in place or not. The Department reserves the right to refuse to permit the use of Material on the basis of Certificate of Compliance."

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SECTION 01 11 00

SUMMARY OF WORK

PART 1 GENERAL

1.1 WORK COVERED BY CONTRACT DOCUMENTS

1.1.1 Project Description

The work includes providing a new vehicle garage DOAS system and replacing ducted heat pump systems, exhaust fans, infrared heaters, office space lighting, vehicle exhaust systems, and incidental related work.

1.1.2 Location

The work is located at the Building 2804 at the Delaware Air National Guard site in New Castle, DE, approximately as indicated. The exact location will be shown by the Contracting Officer.

1.2 OCCUPANCY OF PREMISES

Building will be occupied during performance of work under this Contract. Occupancy notifications will be posted in a prominent location in the work area.

Before work is started, arrange with the Contracting Officer a sequence of procedure, means of access, space for storage of materials and equipment, and use of approaches, corridors, and stairways. The contractor shall include in their base bid pricing all temporary heating and cooling necessary to keep the building freeze-proof and habitable for occupants. Contractor shall coordinate with DEANG and Engineer during pre-bid process, prior to bid submission, for more specific requirements.

1.3 EXISTING WORK

Remove or alter existing work in such a manner as to prevent injury or damage to any portions of the existing work which remain.

Repair or replace portions of existing work which have been altered during construction operations to match existing or adjoining work, as approved by the Contracting Officer. At the completion of operations, existing work must be in a condition equal to or better than that which existed before new work started.

1.4 LOCATION OF UNDERGROUND UTILITIES

Obtain digging permits prior to start of excavation, and comply with Installation requirements for locating and marking underground utilities. Contact local utility locating service a minimum of 48 hours prior to excavating, to mark utilities, and within sufficient time required if work occurs on a Monday or after a Holiday. Verify existing utility locations indicated on contract drawings, within area of work.

Identify and mark all other utilities not managed and located by the local utility companies. Scan the construction site with Ground Penetrating Radar (GPR), electromagnetic, or sonic equipment, and mark the surface of

the ground, pier deck or paved surface where existing underground utilities or utilities encased in pier structures are discovered. Verify the elevations of existing piping, utilities, and any type of underground or encased obstruction not indicated, or specified to be removed, that is indicated or discovered during scanning, in locations to be traversed by piping, ducts, and other work to be conducted or installed. Verify elevations before installing new work closer than nearest manhole or other structure at which an adjustment in grade can be made.

1.4.1 Notification Prior to Excavation

Notify the Contracting Officer at least 48 hours prior to starting excavation work.

1.5 SALVAGE MATERIAL AND EQUIPMENT

Items designated by the Contracting Officer to be salvaged remain the property of the Government. Segregate, itemize, deliver and off-load the salvaged property at the Government designated storage area located at a location to be determined during the preconstruction meeting.

Provide a salvage plan, listing material and equipment to be salvaged, and their storage location. Maintain property control records for material or equipment designated as salvage. Provide a system for property control in the salvage plan. Store and protect salvaged materials and equipment until disposition by the Contracting Officer.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

SECTION 01 14 00

WORK RESTRICTIONS

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval.. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Contact Personnel; G

1.2 SPECIAL SCHEDULING REQUIREMENTS

- a. The occupied areas of work within the facility must be ready for operation as approved by Contracting Officer before work is started on on other temporarily unoccupied areas of work which would interfere with normal operation.
- b. Have materials, equipment, and personnel required to perform the work at the site prior to the commencement of the work. Specific items of work to which this requirement applies include:
 - (1) preliminary surveys required
- c. The facility and/or portions of the facility will remain in operation during the entire construction period. The Contractor must conduct his operations so as to cause the least possible interference with normal operations of the activity.
- d. Permission to interrupt any Activity roads, railroads, or utility service must be requested in writing a minimum of 15 calendar days prior to the desired date of interruption.

1.3 CONTRACTOR ACCESS AND USE OF PREMISES

1.3.1 Activity Regulations

Ensure that Contractor personnel employed on the Activity become familiar with and obey Activity regulations including safety, fire, traffic and security regulations. Keep within the limits of the work and avenues of ingress and egress. Wear appropriate personal protective equipment (PPE) in designated areas. Do not enter any restricted areas unless required to do so and until cleared for such entry. Ensure all Contractor equipment, include delivery vehicles, are clearly identified with their company name.

1.3.1.1 Subcontractors and Personnel Contacts

Provide a list of contact personnel of the Contractor and subcontractors including addresses and telephone numbers for use in the event of an

emergency. As changes occur and additional information becomes available, correct and change the information contained in previous lists.

1.3.1.2 Installation Access Delaware Air National Guard is a closed Base, pursuant to Sec 21, Internal Security Act of 1950, 50 U.S.C. 797 and, as such, only those persons granted permission may enter. It is, therefore, required that control be exercised over Contractor personnel while working on the Base. To maintain this control, a listing of all Contractor personnel who will be working under the contract, must be submitted to the COR five working days prior to the start of work under the contract. The preferred method of providing this list is via email, to the contract administrator. The listing shall include, but not limited to: contract number, project number, employee name, and the estimated starting and ending date of each employee. Subsequent listings of all additions or deletions will be submitted as employees are hired or released.

During construction, the Contractor shall permit base personnel access to the facilities within the work area. The Contractor shall provide protection to persons and property throughout the progress of the work.

In the event of a declared National Emergency the COR may be required to stop work on this contract for security reasons. Contractor shall ensure the COR has a current "Off Duty" contact name and telephone number at all times to facilitate notification.

1.3.1.3 Employee List

The Contractor must provide to the Contracting officer, in writing, the names of two designated representatives authorized to request personnel and vehicle passes for employees and subcontractor's employees prior to commencement of work under this contract.

1.3.1.4 No Smoking Policy

Smoking is prohibited within and outside of all buildings on installation, except in designated smoking areas. This applies to existing buildings, buildings under construction and buildings under renovation. Discarding tobacco materials other than into designated tobacco receptacles is considered littering and is subject to fines. The Contracting Officer will identify designated smoking areas.

1.3.2 Working Hours

Regular working hours will consist of an 8 3/4 hour period established by the Contracting Officer, between 7 a.m. and :00 p.m., and Monday through Thursday, excluding Government holidays.

1.3.3 Work Outside Regular Hours

Work outside regular working hours requires Contracting Officer approval. Make application 3 calendar days prior to such work to allow arrangements to be made by the Government for inspecting the work in progress, giving the specific dates, hours, location, type of work to be performed, contract number and project title. Based on the justification provided, the Contracting Officer may approve work outside regular hours. During periods of darkness, the different parts of the work must be lighted in a manner approved by the Contracting Officer. Make utility cutovers after normal working hours or on Saturdays, Sundays, and Government holidays

unless directed otherwise.

1.3.4 Occupied and Existing Building

The Contractor shall be working in existing buildings and around existing buildings which are occupied. Do not enter the buildings without prior approval of the Contracting Officer.

The existing buildings and their contents must be kept secure at all times. Provide temporary closures as required to maintain security as directed by the Contracting Officer.

Provide dust covers or protective enclosures to protect existing work that remains, and Government material located in the areas of work during the construction period.

Relocate movable furniture approximately 6 feet away from the Contractor's working areas as required to perform the work, protect the furniture, and replace the furniture in their original locations upon completion of the work. Leave attached equipment in place, and protect them against damage, or temporarily disconnect, relocate, protect, and reinstall them at the completion of the work.

If required due to sensitivity of certain property coordinate with the Government on who will remove and relocate other Government property in the areas of the buildings scheduled to receive work. Confirm who will do this work to ensure it is done according to keep project schedule.

1.3.5 Utility Cutovers and Interruptions

- a. Make utility cutovers and interruptions after normal working hours or on Saturdays, Sundays, and Government holidays. Conform to procedures required in paragraph WORK OUTSIDE REGULAR HOURS.
- b. Ensure that new utility lines are complete, except for the connection, before interrupting existing service.
- c. Interruption to water, sanitary sewer, storm sewer, telephone service, electric service, air conditioning, heating, fire alarm, compressed air, and special garage utilities are considered utility cutovers pursuant to the paragraph WORK OUTSIDE REGULAR HOURS. Such interruptions are further limited to such hours to be coordinated with the Contracting Officer. This time limit includes time for deactivation and reactivation.
- d. Operation of Station Utilities: The Contractor must not operate nor disturb the setting of control devices in the station utilities system, including water, sewer, electrical, and steam services unless specifically instructed to do so by the Contracting Officer or other project entities. The Government or contractor if needed will operate control devices as required for normal conduct of the work. Contractor must notify the Contracting Officer giving reasonable advance notice when such operation is required.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

NOT FOR BIDDING PURPOSES

SECTION 01 20 00

PRICE AND PAYMENT PROCEDURES

PART 1 GENERAL

1.1 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Schedule of Prices; G

1.2 SCHEDULE OF PRICES

1.2.1 Data Required Within 15 calendar days of notice of award, prepare and deliver to the Contracting Officer a Schedule of Prices (construction Contract) as directed by the Contracting Officer. Schedule of Prices must have cost summarized and totals provided for each construction category. Provide a detailed breakdown of the Contract price, giving quantities for each of the various kinds of work, unit prices and extended prices. Contractor overhead and profit including salaries for field office personnel, if applicable, must be proportionately spread over all pay items and not included as individual pay items.

1.2.2 Payment Schedule Instructions

Payments will not be made until the Schedule of Prices has been submitted to and accepted by the Contracting Officer.

a. Primary Facilities Cost Breakdown:

Identify the cost for site work related to primary facilities, and include incidental work to the 5 ft line. Primary facilities shall be defined as work on the primary facilities out to the 5 foot line. Work out to the 5 foot line includes construction encompassed within a theoretical line 5 foot from the face of exterior walls and includes attendant construction, such as pad mounted HVAC cooling equipment, cooling towers, and transformers placed beyond the 5 foot line.

1.2.3 Schedule Requirements for HVAC TAB

The field work requirements in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC must be broken down in the Schedule of Prices and in the Construction Progress Documentation by separate line items which reflect measurable deliverables. The value for each pay item listed below will be established on a case by case basis for each Contract. The line items are as follows:

- a. Approval of Design Review Report: The TABS Agency is required to conduct a review of the project plans and specifications to identify any feature, or the lack thereof, that would preclude successful testing and balancing of the project HVAC systems. Submit the

resulting findings to the Government to allow correction of the design. The progress payment will not be issued until the report is reviewed and approved.

- b. Approval of the pre-field engineering report: The TABS Agency submits a report which outlines the scope of field work. The report must contain details of what systems will be tested, procedures to be used, sample report forms for reporting test results and a quality control checklist of work items that must be completed before TABS field work commences.
- c. Season I field work: Incremental payments are issued as the TABS field work progresses. The TABS Agency mobilizes to the project site and executes the field work as outlined in the pre-field engineering report. The HVAC water and air systems are balanced and operational data must be collected for one seasonal condition (either summer or winter depending on project timing).
- d. Approval of Season I report: On completion of the Season I field work, the data is compiled into a report and submitted to the Government. The report is reviewed, and approved, after ensuring compliance with the pre-field engineering report scope of work.
- e. Completion of Season I field QA check: Contract QC and Government representatives meet the TABS Agency at the jobsite to retest portions of the systems reported in the Season I report. The purpose of these tests are to validate the accuracy and completeness of the previously submitted Season I report.
- f. Approval of Season II report: The TABS Agency completes all Season II field work, which is normally comprised mainly of taking heat transfer temperature readings, in the season opposite of that under which Season I performance data was compiled. Compile this data into a report and submit to the Government. On completion of submittal review to ensure compliance with the pre-field engineering report scope, progress payment is issued. Progress payment is less than that issued for the Season I report since most of the water and air balancing work effort is completed under Season I.

1.3 CONTRACTOR'S INVOICE AND CONTRACT PERFORMANCE STATEMENT

1.3.1 Content of InvoiceRequests for payment will be processed in accordance with the Contract Clause FAR 52.232-27 Prompt Payment for Construction Contracts and FAR 52.232-5 Payments Under Fixed-Price Construction Contracts. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies. The requests for payment shall include the documents listed below.

- a. The Schedule of Prices, showing in detail: the estimated cost, percentage of completion, and value of completed performance for each of the construction categories stated in this contract.
- b. Updated Project Schedule and reports required by the contract.
- c. Contractor Safety Self Evaluation Checklist.
- d. Other supporting documents as requested.

- e. Updated copy of submittal register.
- f. Invoices not completed in accordance with contract requirements will be returned to the Contractor for correction of the deficiencies.

1.3.2 Final Invoice

- a. A final invoice must be accompanied by the certification required by DFARS 252.247.7023 Transportation of Supplies by Sea, and the Contractor's Final Release. If the Contractor is incorporated, the Final Release must contain the corporate seal. An officer of the corporation must sign and the corporate secretary must certify the Final Release.
- b. For final invoices being submitted via WAWF, the original Contractor's Final Release Form and required certification of Transportation of Supplies by Sea must be provided directly to the respective Contracting Officer prior to submission of the final invoice. Once receipt of the original Final Release Form and required certification of Transportation of Supplies by Sea has been confirmed by the Contracting Officer, the Contractor must then submit final invoice and attach a copy of the Final Release Form and required certification of Transportation of Supplies by Sea in WAWF.

1.4 PAYMENTS TO THE CONTRACTOR

Payments will be made on submission of itemized requests by the Contractor which comply with the requirements of this section, and will be subject to reduction for overpayments or increase for underpayments made on previous payments to the Contractor.

1.4.1 Obligation of Government Payments

The obligation of the Government to make payments required under the provisions of this Contract will, at the discretion of the Contracting Officer, be subject to reductions and suspensions permitted under the FAR and agency regulations including the following in accordance with FAR 32.103 Progress Payments Under Construction Contracts:

- a. Reasonable deductions due to defects in material or workmanship;
- b. Claims which the Government may have against the Contractor under or in connection with this Contract;
- c. Unless otherwise adjusted, repayment to the Government upon demand for overpayments made to the Contractor; and
- d. Failure to maintain accurate "as-built" or record drawings in accordance with FAR 52.236.21.

1.4.2 Payment for Onsite and Offsite Materials

Progress payments may be made to the Contractor for materials delivered on the site, for materials stored off construction sites, or materials that are in transit to the construction sites under the following conditions:

- a. FAR 52.232-5(b) Payments Under Fixed Price Construction Contracts.
- b. Materials delivered on the site but not installed, including completed

preparatory work, and off-site materials to be considered for progress payment must be major high cost, long lead, special order, or specialty items, not susceptible to deterioration or physical damage in storage or in transit to the construction site. Examples of materials acceptable for payment consideration include, but are not limited to, structural steel, non-magnetic steel, non-magnetic aggregate, equipment, machinery, large pipe and fittings, precast/prestressed concrete products, plastic lumber (e.g., fender piles/curbs), and high-voltage electrical cable. Materials not acceptable for payment include consumable materials such as nails, fasteners, conduits, gypsum board, glass, insulation, and wall coverings.

- c. Materials to be considered for progress payment prior to installation must be specifically and separately identified in the Contractor's estimates of work submitted for the Contracting Officer's approval in accordance with Schedule of Prices requirement of this Contract. Requests for progress payment consideration for such items must be supported by documents establishing their value and that the title requirements of the clause at FAR 52.232-5 Payments Under Fixed-Price Construction Contracts have been met.
- d. Materials are adequately insured and protected from theft and exposure.
- e. Provide a written consent from the surety company with each payment request for offsite materials.
- f. Materials to be considered for progress payments prior to installation must be stored either in Hawaii, Guam, Puerto Rico, or the Continental United States. Other locations are subject to written approval by the Contracting Officer.
- g. Materials in transit to the job site or storage site are not acceptable for payment.

1.5 SINGLE JOB PAYMENT ITEMS

Payment items for the work of this Contract for which Contract job payments will be made are listed in the BIDDING PROPOSAL SCHEDULE and described below. All costs for items of work, which are not specifically mentioned to be included in a particular job or unit price payment item, are included in the listed job item most closely associated with the work involved. The job price and payment made for each item listed constitutes full compensation for furnishing all plant, labor, materials, and equipment, and performing any associated Contractor quality control, environmental protection, meeting safety requirements, tests and reports, and for performing all work required for which separate payment is not otherwise provided.

1.6 UNIT PRICE PAYMENT ITEMS

Payment items for the work of this Contract on which the Contract unit price payments will be made are listed in the BIDDING SCHEDULE and described below. The unit price and payment made for each item listed constitutes full compensation for furnishing all plant, labor, materials, and equipment, and performing any associated Contractor quality control, environmental protection, meeting safety requirements, tests and reports, and for performing all work required for each of the unit price items.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

NOT FOR BIDDING PURPOSES

NOT FOR BIDDING PURPOSES

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SECTION 01 30 00

ADMINISTRATIVE REQUIREMENTS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1

(2024) Safety -- Safety and Health
Requirements Manual

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

View Location Map

Progress and Completion Pictures

1.3 PROGRESS AND COMPLETION PICTURES

Photographically document site conditions prior to start of construction operations. Provide monthly, and within one month of the completion of work, digital photographs, 1600x1200x24 bit true color minimum resolution in JPEG file format showing the sequence and progress of work. Take a minimum of 20 digital photographs each week throughout the entire project from a minimum of ten different viewpoints selected by the Contractor unless otherwise directed by the Contracting Officer. Submit with the monthly invoice two sets of digital photographs, each set on a separate compact disc (CD) or data versatile disc (DVD), cumulative of all photos to date. Indicate photographs demonstrating environmental procedures. Provide photographs for each month in a separate monthly directory and name each file to indicate its location on the view location sketch. Also provide the view location sketch on the CD or DVD as a digital file. Include a date designator in file names. Photographs provided are for unrestricted use by the Government.

1.4 MINIMUM INSURANCE REQUIREMENTS

Provide the minimum insurance coverage required by FAR 28.307-2 Liability, during the entire period of performance under this contract. Provide other insurance coverage as required by State law.

1.5 SUPERVISION

1.5.1 Superintendent Qualifications

Provide project superintendent with a minimum of 10 years experience in construction with at least 5 of those years as a superintendent on projects similar in size and complexity. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification and safety compliance. The individual must be capable of interpreting a critical path schedule and construction drawings. The qualification requirements for the alternate superintendent are the same as for the project superintendent. The Contracting Officer may request proof of the superintendent's qualifications at any point in the project if the performance of the superintendent is in question.

For projects where the superintendent is permitted to also serve as the Quality Control (QC) Manager as established in Section 01 45 00 QUALITY CONTROL, the superintendent must have qualifications in accordance with that section.

1.5.2 Minimum Communication Requirements

Have at least one qualified superintendent, or competent alternate, capable of reading, writing, and conversing fluently in the English language, on the job-site at all times during the performance of Contract work. In addition, if a Quality Control (QC) representative is required on the Contract, then that individual must also have fluent English communication skills.

1.5.3 Duties

The project superintendent is primarily responsible for managing subcontractors and coordinating day-to-day production and schedule adherence on the project. The superintendent is required to attend Red Zone meetings, partnering meetings, and quality control meetings. The superintendent or qualified alternative must be on-site at all times during the performance of this contract until the work is completed and accepted.

1.5.4 Non-Compliance Actions

The Project Superintendent is subject to removal by the Contracting Officer for non-compliance with requirements specified in the contract and for failure to manage the project to ensure timely completion. Furthermore, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders is acceptable as the subject of claim for extension of time for excess costs or damages by the Contractor.

1.6 PRECONSTRUCTION MEETING

After award of the contract but prior to commencing any work at the site, coordinate with the Contracting Officer a time and place to meet for the Preconstruction. The purpose of this is to discuss and develop a mutual understanding of the administrative requirements of the Contract including but not limited to: daily reporting, invoicing, value engineering, safety, base-access, outage requests, hot work permits, schedule requirements, quality control, schedule of prices or earned value report, shop drawings,

submittals, cybersecurity, prosecution of the work, government acceptance, final inspections and contract close-out. Contractor must present and discuss their basic approach to scheduling the construction work and any required phasing.

1.6.1 Attendees

Contractor attendees must include the Project Manager, Superintendent, Site Safety and Health Officer (SSHO), Quality Control Manager and major subcontractors.

1.7 FACILITY TURNOVER PLANNING MEETINGS (Red Zone Meetings)

Meet with the Government to identify strategies to ensure the project is carried to expeditious closure and turnover to the Client. Start planning the turnover process at the Pre-Construction Conference meeting with a discussion of the Red Zone process and convene at regularly scheduled NAVFAC Red Zone Meetings beginning at approximately 75 percent of project completion. Include the following in the facility Turnover effort:

1.7.1 Red Zone Checklist

- a. Contracting Officer's Technical Representative (COTR) will provide the Contractor a copy of the Red Zone Checklist template.
- b. Prior to 75 percent completion, modify the Red Zone Checklist template by adding or deleting critical activities applicable to the project and assign planned completion dates for each activity. Submit the modified Red Zone Checklist to the Contracting Officer. The Contracting Officer may request additional activities be added to the Red Zone Checklist at any time as necessary.

1.7.2 Meetings

- a. Conduct regular Red Zone Meetings beginning at approximately 75 percent project completion, or three to six months prior to Beneficial Occupancy Date (BOD), whichever comes first.
- b. The Contracting Officer will establish the frequency of the meetings, which is expected to increase as the project completion draws nearer. At the beginning, Red Zone meetings may be every two weeks then increase to weekly towards the final month of the project.
- c. Using the Red Zone Checklist as a Plan of Action and Milestones (POAM) and basis for discussion, review upcoming critical activities and strategies to ensure work is completed on time.
- d. During the Red Zone Meetings discuss with the COTR any upcoming activities that require Government involvement.
- e. Maintain the Red Zone Checklist by documenting the actual completion dates as work is completed and update the Red Zone Checklist with revised planned completion dates as necessary to match progress. Distribute copies of the current Red Zone Checklist to attendees at each Red Zone Meeting.

1.8 ELECTRONIC MAIL (E-MAIL) ADDRESS

Establish and maintain electronic mail (e-mail) capability along with the

capability to open various electronic attachments as text files, pdf files, and other similar formats. Within 10 days after contract award, provide the Contracting Officer a single (only one) e-mail address for electronic communications from the Contracting Officer related to this contract including, but not limited to contract documents, invoice information, request for proposals, and other correspondence. The Contracting Officer may also use email to notify the Contractor of base access conditions when emergency conditions warrant, such as hurricanes or terrorist threats. Multiple email addresses are not allowed. It is the Contractor's responsibility to make timely distribution of all Contracting Officer initiated e-mail with its own organization including field office(s). Promptly notify the Contracting Officer, in writing, of any changes to this email address.

1.9 PROJECT MANAGEMENT SOFTWARE REQUIREMENTS

The Contractor, at no additional cost to the Government will be required to provide at both the field office and home office location from where the project is managed, the computer software "Projectmates". The Contractor will be required to implement use of Projectmates to comply with data exchange and reporting requirements of this contract. The Contractor is required to provide computers/tablets and internet access for Contractor personnel that is configured to utilize the Projectmates software.

1.10 MOBILIZATION

Contractor shall mobilize to the jobsite within 60 calendar days after contract award. Mobilize is defined as having equipment AND having a physical presence of at least one person from the contractor's team on the jobsite.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

SECTION 01 33 00

SUBMITTAL PROCEDURES

PART 1 GENERAL

1.1 SUMMARY

1.1.1 Submittal Information

The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective sections. Each submittal is to be complete and in sufficient detail to allow ready determination of compliance with contract requirements.

Units of weights and measures used on all submittals are to be the same as those used in the contract drawings.

1.1.2 Project Type

The Contractor's Quality Control (CQC) System Manager are to check and approve all items before submittal and stamp, sign, and date indicating action taken. Proposed deviations from the contract requirements are to be clearly identified. Include within submittals items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals.

The Contractor's Quality Control (CQC) System Manager are to check and approve all items before submittal and stamp, sign, and date indicating action taken. Proposed deviations from the contract requirements are to be clearly identified. Include within submittals items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals.

The Contractor and the Designer of Record (DOR), if applicable, are to check and approve all items before submittal and stamp, sign, and date indicating action taken. Proposed deviations from the contract requirements are to be clearly identified. Include within submittals items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals.

1.1.3 Submission of Submittals

Schedule and provide submittals requiring Government approval before acquiring the material or equipment covered thereby. Pick up and dispose of samples not incorporated into the work in accordance with manufacturer's Safety Data Sheets (SDS) and in compliance with existing

laws and regulations.

1.2 DEFINITIONS

1.2.1 Submittal Descriptions (SD)

Submittal requirements are specified in the technical sections. Examples and descriptions of submittals identified by the Submittal Description (SD) numbers and titles follow:

SD-01 Preconstruction Submittals

Government approved Division 01 preconstruction submittals that are required prior to or commencing with the start of work must be submitted within 30 calendar days of contract award unless specified elsewhere in the specifications. Contractor approved Division 01 submittals that are required prior to or commencing with the start of work must be submitted within 45 calendar days of contract award unless specified elsewhere in the specifications.

Preconstruction Submittals include schedules and a tabular list of locations, features, and other pertinent information regarding products, materials, equipment, or components to be used in the work.

Certificates Of Insurance

Surety Bonds

List Of Proposed Subcontractors Submittal Register

Schedule Of Prices

SD-02 Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work.

Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project.

Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials, systems or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Design Data

Design calculations, mix designs, analyses or other data pertaining to a part of work.

SD-05 Certificates

Document required of Contractor, or of a manufacturer, supplier, installer or Subcontractor through Contractor. The document purpose is to further promote the orderly progression of a portion of the work by documenting procedures, acceptability of methods, or personnel qualifications.

SD-06 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and Safety Data Sheets(SDS)concerning impedances, hazards and safety precautions.

SD-07 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative at the job site, in the vicinity of the job site, or on a sample taken from the job site, on a portion of the work, during or after installation, to confirm compliance with manufacturer's standards or instructions. The documentation must be signed by an authorized official of a testing laboratory or agency and state the test results; and indicate whether the material, product, or system has passed or failed the test.

Factory test reports.

SD-08 Operation and Maintenance Data

Data provided by the manufacturer, or the system provider, including manufacturer's help and product line documentation, necessary to maintain and install equipment, for operating and maintenance use by facility personnel.

Data required by operating and maintenance personnel for the safe and efficient operation, maintenance and repair of the item.

Data incorporated in an operations and maintenance manual or control system.

SD-09 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

Special requirements necessary to properly close out a construction contract. For example, Record Drawings and as-built drawings. Also, submittal requirements necessary to properly close out a major phase of construction on a multi-phase contract.

1.2.2 Approving Authority

Office or designated person authorized to approve the submittal.

1.2.3 Work

As used in this section, on-site and off-site construction required by contract documents, including labor necessary to produce submittals, construction, materials, products, equipment, and systems incorporated or

to be incorporated in such construction. In exception, excludes work to produce SD-01 submittals.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Submittal Register; G

1.4 SUBMITTAL CLASSIFICATION

1.4.1 Government Approved (G)

Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, submittals are considered to be "shop drawings."

Government approval is required for critical materials, variations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Government.

Government approval is required for any variations from the Solicitation or the Accepted Proposal and for other items as designated by the Government.

1.4.2 For Information Only

Submittals not requiring Government approval will be for information only. Within the terms of the Contract Clause SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION, they are not considered to be "shop drawings."

1.5 PREPARATION

1.5.1 Transmittal Form

1.5.2 Identifying Submittals

The Contractor's Quality Control Manager must prepare, review and stamp submittals, including those provided by a subcontractor, before submittal to the Government.

Identify submittals, except sample installations and sample panels, with the following information permanently adhered to or noted on each separate component of each submittal and noted on transmittal form. Mark each copy of each submittal identically, with the following:

- a. Project title and location
- b. Construction contract number
- c. Dates of the drawings and revisions
- d. Name, address, and telephone number of Subcontractor, supplier, manufacturer, and any other Subcontractor associated with the submittal.
- e. Section number of the specification by which submittal is required

- f. Submittal description (SD) number of each component of submittal
- g. For a resubmission, add alphabetic suffix on submittal description, for example, submittal 18 would become 18A, to indicate resubmission
- h. Product identification and location in project.1.5.3 Submittal Format

1.5.3.1 Format of SD-01 Preconstruction Submittals

When the submittal includes a document that is to be used in the project, or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.3.2 Format for SD-02 Shop Drawings

Provide shop drawings not less than 8 1/2 by 11 inches nor more than 30 by 42 inches, except for full-size patterns or templates. Prepare drawings to accurate size, with scale indicated, unless another form is required. Ensure drawings are suitable for reproduction and of a quality to produce clear, distinct lines and letters, with dark lines on a white background.

- a. Include the nameplate data, size, and capacity on drawings. Also include applicable federal, military, industry, and technical society publication references.
- b. Dimension drawings, except diagrams and schematic drawings. Prepare drawings demonstrating interface with other trades to scale. Use the same unit of measure for shop drawings as indicated on the contract drawings. Identify materials and products for work shown.
- c. Provide data in the unit of measure used in the contract documents.

1.5.3.2.1 Drawing Identification

Include on each drawing the drawing title, number, date, and revision numbers and dates, in addition to information required in paragraph IDENTIFYING SUBMITTALS.

Number drawings in a logical sequence. Each drawing is to bear the number of the submittal in a uniform location next to the title block. Place the Government contract number in the margin, immediately below the title block, for each drawing.

Reserve a blank space, no smaller than 3 inches on the right-hand side of each sheet for the Government disposition stamp.

1.5.3.3 Format of SD-03 Product Data

Present product data submittals for each section. Include a table of contents, listing the page and catalog item numbers for product data.

Indicate, by prominent notation, each product that is being submitted; indicate the specification section number and paragraph number to which it pertains.

1.5.3.3.1 Product Information

Supplement product data with material prepared for the project to satisfy the submittal requirements where product data does not exist. Identify this material as developed specifically for the project, with information and format as required for submission of SD-07 Certificates.

Provide product data in units used in the Contract documents. Where product data are included in preprinted catalogs with another unit, submit the dimensions in contract document units, on a separate sheet.

1.5.3.3.2 Standards

Where equipment or materials are specified to conform to industry or technical-society reference standards of such organizations as the American National Standards Institute (ANSI), ASTM International (ASTM), National Electrical Manufacturer's Association (NEMA), Underwriters Laboratories (UL), or Association of Edison Illuminating Companies (AEIC), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance. In lieu of the label or listing, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Contracting Officer. State on the certificate that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

1.5.3.3.3 Data Submission

Collect required data submittals for each specific material, product, unit of work, or system into a single submittal that is marked for choices, options, and portions applicable to the submittal. Mark each copy of the product data identically. Partial submittals will not be accepted for expedition of the construction effort.

Submit the manufacturer's instructions before installation.

1.5.3.4 Format of SD-05 Design Data

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.5 Format of SD-06 Test Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.6 Format of SD-07 Certificates

Provide design data and certificates on 8 1/2 by 11 inch paper.

1.5.3.7 Format of SD-08 Manufacturer's Instructions

Present manufacturer's instructions submittals for each section. Include the manufacturer's name, trade name, place of manufacture, and catalog model or number on product data. Also include applicable federal, military, industry, and technical-society publication references. If supplemental information is needed to clarify the manufacturer's data, submit it as specified for SD-07 Certificates.

Submit the manufacturer's instructions before installation.

1.5.3.8 Format of SD-09 Manufacturer's Field Reports

By prominent notation, indicate each report in the submittal. Indicate the specification number and paragraph number to which each report pertains.

1.5.3.9 Format of SD-10 Operation and Maintenance Data (O&M)

Comply with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA for O&M Data format and in Section 01 78 -- CLOSEOUT SUBMITTALS for requirements.

1.5.3.10 Format of SD-11 Closeout Submittals

When the submittal includes a document that is to be used in the project or is to become part of the project record, other than as a submittal, do not apply the Contractor's approval stamp to the document itself, but to a separate sheet accompanying the document.

Provide data in the unit of measure used in the contract documents.

1.5.4 Source Drawings for Shop Drawings

1.5.4.1 Source Drawings

The entire set of source drawing files (DWG) will not be provided to the Contractor. Request the specific Drawing Number for the preparation of shop drawings. Only those drawings requested to prepare shop drawings will be provided. These drawings are provided only after award.

1.5.4.2 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim, and waives to the fullest extent permitted by law any claim or cause of action of any nature against the Government, its agents, or its subconsultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities, or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic source drawing files are not construction documents. Differences may exist between the source drawing files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic source drawing files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. The Contractor is responsible for determining if any conflict exists. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished source drawing files, the signed and sealed construction documents govern. Use of these source drawing files does not relieve the Contractor of the duty to fully comply

with the contract documents, including and without limitation the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction data related to this contract, remove all previous indication of ownership (seals, logos, signatures, initials and dates).

- 1.5.5 Electronic File Format Provide submittals in electronic format, with the exception of material samples required for SD-04 Samples items. Compile the submittal file as a single, complete document, to include the Transmittal Form described within, and also separately attach the native files which were used to create PDF. The attached files should include the original digital files used to create the submittal. Name the electronic submittal file specifically according to its contents, and coordinate the file naming convention with the Contracting Officer. Electronic files must be of sufficient quality that all information is legible. Use PDF as the electronic format, unless otherwise specified or directed by the Contracting Officer. Generate PDF files from original documents with bookmarks so that the text included in the PDF file is searchable and can be copied. If documents are scanned, optical character resolution (OCR) routines are required. Index and bookmark files exceeding 30 pages to allow efficient navigation of the file. When required, the electronic file must include a valid electronic signature or a scan of a signature.

1.6 QUANTITY OF SUBMITTALS

1.6.1 Number of SD-01 Preconstruction Submittal Copies

Unless otherwise specified, submit three sets of administrative submittals.

1.7 INFORMATION ONLY SUBMITTALS

Submittals without a "G" designation must be certified by the QC manager and submitted to the Contracting Officer for information-only. Provide information-only submittals to the Contracting Officer a minimum of 14 calendar days prior to the Preparatory Meeting for the associated Definable Feature of Work (DFOW). Approval of the Contracting Officer is not required on information only submittals. The Contracting Officer will mark "receipt acknowledged" on submittals for information and will return only the transmittal cover sheet to the Contractor. Normally, submittals for information only will not be returned. However, the Government reserves the right to return unsatisfactory submittals and require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.8 PROJECT SUBMITTAL REGISTER

A sample Project Submittal Register showing items of equipment and materials for when submittals are required by the specifications is provided as "Attachment A - Submittal Register."

1.8.1 Submittal Management

Prepare and maintain a submittal register, as the work progresses. Do not change data that is output in columns (c), (d), (e), and (f) as delivered by Government; retain data that is output in columns (a), (g), (h), and (i) as approved. As an attachment, provide a submittal register showing items of equipment and materials for which submittals are required by the specifications. This list may not be all-inclusive and additional submittals may be required.

Column (c): Lists specification section in which submittal is required.

Column (d): Lists each submittal description (SD Number, and type, e.g., SD-02 Shop Drawings) required in each specification section.

Column (e): Lists one principal paragraph in each specification section where a material or product is specified. This listing is only to facilitate locating submitted requirements. Do not consider entries in column (e) as limiting the project requirements.

Thereafter, the Contractor is to track all submittals by maintaining a complete list, including completion of all data columns and all dates on which submittals are received by and returned by the Government.

1.8.2 Preconstruction Use of Submittal Register

Submit the submittal register. Include the QC plan and the project schedule. Verify that all submittals required for the project are listed and add missing submittals. Coordinate and complete the following fields on the register submitted with the QC plan and the project schedule:

Column (a) Activity Number: Activity number from the project schedule.

Column (g) Contractor Submit Date: Scheduled date for the approving authority to receive submittals.

Column (h) Contractor Approval Date: Date that Contractor needs approval of submittal.

Column (i) Contractor Material: Date that Contractor needs material delivered to Contractor control.

1.8.3 Contractor Use of Submittal Register

Update the following fields with each submittal throughout the contract.

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (j) Action Code (k): Date of action used to record Contractor's review when forwarding submittals to QC.

Column (l) Date submittal transmitted.

Column (q) Date approval was received.

1.8.4 Approving Authority Use of Submittal Register

Update the following fields:

Column (b) Transmittal Number: List of consecutive, Contractor-assigned numbers.

Column (l) Date submittal was received.

Column (m) through (p) Dates of review actions.

Column (q) Date of return to Contractor.

1.8.5 Action Codes

1.8.5.1 Government Review Action Codes

"A" - "Approved as submitted"

"AN" - "Approved as noted"

"RR" - "Disapproved as submitted"; "Completed"

"NR" - "Not Reviewed"

"RA" - "Receipt Acknowledged"

1.8.6 Delivery of Copies

Submit an updated electronic copy of the submittal register to the Contracting Officer with each invoice request. Provide an updated Submittal Register monthly regardless of whether an invoice is submitted.

1.9 VARIATIONS

Variations from contract requirements require Contracting Officer approval pursuant to contract Clause FAR 52.236-21 Specifications and Drawings for Construction, and will be considered where advantageous to the Government.

1.9.1 Considering Variations

Discussion of variations with the Contracting Officer before submission of a variation submittal will help ensure that functional and quality requirements are met and minimize rejections and resubmittals. For variations that include design changes or some material or product substitutions, the Government may require an evaluation and analysis by a licensed professional engineer hired by the contractor.

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.9.2 Proposing Variations

When proposing variation, deliver a submittal, clearly marked as a "VARIATION" to the Contracting Officer, with documentation illustrating the nature and features of the variation including any necessary technical

submittals and why the variation is desirable and beneficial to Government. If lower cost is a benefit, also include an estimate of the cost savings. In addition to documentation required for variation, include the submittals required for the item. Clearly mark the proposed variation in all documentation.

The Contracting Officer will indicate an approval or disapproval of the variation request; and if not approved as submitted, will indicate the Government's reasons therefore. Any work done before such approval is received is performed at the Contractor's risk."

Specifically point out variations from contract requirements in a transmittal letter. Failure to point out variations may cause the Government to require rejection and removal of such work at no additional cost to the Government.

1.9.3 Warranting that Variations are Compatible

When delivering a variation for approval, the Contractor warrants that this contract has been reviewed to establish that the variation, if incorporated, will be compatible with other elements of work.

1.9.4 Review Schedule Extension

In addition to the normal submittal review period, a period of 14 days will be allowed for the Government to consider submittals with variations.

1.10 SCHEDULING

Schedule and submit concurrently product data and shop drawings covering component items forming a system or items that are interrelated. Submit pertinent certifications at the same time. No delay damages or time extensions will be allowed for time lost in late submittals. .

- a. Coordinate scheduling, sequencing, preparing, and processing of submittals with performance of work so that work will not be delayed by submittal processing. The Contractor is responsible for additional time required for Government reviews resulting from required resubmittals. The review period for each resubmittal is the same as for the initial submittal.
- b. Submittals required by the contract documents are listed on the submittal register. If a submittal is listed in the submittal register but does not pertain to the contract work, the Contractor is to include the submittal in the register and annotate it "N/A" with a brief explanation. Approval by the Contracting Officer does not relieve the Contractor of supplying submittals required by the contract documents but that have been omitted from the register or marked "N/A."
- c. Resubmit the submittal register and annotate it monthly with actual submission and approval dates. When all items on the register have been fully approved, no further resubmittal is required.

Contracting Officer review will be completed within a timelength to be determined during the construction kick-off period in terms of days after the date of submission.

1.11 GOVERNMENT APPROVING AUTHORITY

When the approving authority is the Contracting Officer, the Government will:

- a. Note the date on which the submittal was received.
- b. Review submittals for approval within the scheduling period specified and only for conformance with project design concepts and compliance with contract documents.
- c. Identify returned submittals with one of the actions defined in paragraph REVIEW NOTATIONS and with comments and markings appropriate for the action indicated.

Upon completion of review of submittals requiring Government approval, stamp and date submittals. Two copies of the submittal will be retained by the Contracting Officer and one copy of the submittal will be returned to the Contractor.

1.11.1 Review Notations

Submittals will be returned to the Contractor with the following notations:

- a. Submittals marked "approved" or "accepted" authorize proceeding with the work covered.
- b. Submittals marked "approved as noted" or "approved, except as noted, resubmittal not required," authorize proceeding with the work covered provided that the Contractor takes no exception to the corrections.
- c. Submittals marked "not approved," "disapproved," or "revise and resubmit" indicate incomplete submittal or noncompliance with the contract requirements or design concept. Resubmit with appropriate changes. Do not proceed with work for this item until the resubmittal is approved.
- d. Submittals marked "not reviewed" indicate that the submittal has been previously reviewed and approved, is not required, does not have evidence of being reviewed and approved by Contractor, or is not complete. A submittal marked "not reviewed" will be returned with an explanation of the reason it is not reviewed. Resubmit submittals returned for lack of review by Contractor or for being incomplete, with appropriate action, coordination, or change.
- e. Submittals marked "receipt acknowledged" indicate that submittals have been received by the Government. This applies only to "information-only submittals" as previously defined.

1.12 DISAPPROVED SUBMITTALS

Make corrections required by the Contracting Officer. If the Contractor considers any correction or notation on the returned submittals to constitute a change to the contract drawings or specifications, give notice to the Contracting Officer as required under the FAR clause titled CHANGES. The Contractor is responsible for the dimensions and design of connection details and the construction of work. Failure to point out variations may cause the Government to require rejection and removal of such work at the Contractor's expense.

If changes are necessary to submittals, make such revisions and resubmit in accordance with the procedures above. No item of work requiring a submittal change is to be accomplished until the changed submittals are approved.

1.13 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals is not to be construed as a complete check, and indicates only that

Approval or acceptance by the Government for a submittal does not relieve the Contractor of the responsibility for meeting the contract requirements or for any error that may exist, because under the Quality Control (QC) requirements of this contract, the Contractor is responsible for ensuring information contained within each submittal accurately conforms with the requirements of the contract documents.

After submittals have been approved or accepted by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.14 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained. No payment for materials incorporated in the work will be made unless all required DOR approvals or required Government approvals have been obtained. No payment will be made for any materials incorporated into the work for any conformance review submittals or information-only submittals found to contain errors or deviations from the Solicitation or Accepted Proposal. Payment for materials incorporated in the work will not be made if required approvals have not been obtained. No payment for materials incorporated in the work will be made unless all required Government approvals have been obtained. No payment will be made for any materials incorporated into the work for any conformance review submittals or information-only submittals found to contain errors or deviations from the Solicitation.

1.15 STAMPS AND/OR CERTIFICATION OF SUBMITTAL DATA

Certify the submittal data as follows on Form ENG 4025: "I certify that the above submitted items had been reviewed in detail and are correct and in strict conformance with the contract drawings and specifications except as otherwise stated.

____ NAME OF CONTRACTOR _____ SIGNATURE OF CONTRACTOR

Provide stamps on the submittal as required.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

-- End of Section --

NOT FOR BIDDING PURPOSES

SECTION 01 35 26

GOVERNMENTAL SAFETY REQUIREMENTS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF SAFETY PROFESSIONALS (ASSP)

ANSI/ASSP A10.34 (2021) Protection of the Public on or
Adjacent to Construction Sites

ANSI/ASSP A10.44 (2020) Control of Energy Sources
(Lockout/Tagout) for Construction and
Demolition Operations

ASTM INTERNATIONAL (ASTM)

ASTM F855 (2020) Standard Specifications for
Temporary Protective Grounds to Be Used on
De-energized Electric Power Lines and
Equipment

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1048 (2016) Guide for Protective Grounding of
Power Lines

IEEE C2 (2023) National Electrical Safety Code

INTERNATIONAL SAFETY EQUIPMENT ASSOCIATION (ISEA)

ANSI/ISEA Z89.1 (2014; R 2019) American National Standard
for Industrial Head Protection

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 51B (2024) Standard for Fire Prevention During
Welding, Cutting, and Other Hot Work

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National
Electrical Code

NFPA 70E (2024) Standard for Electrical Safety in
the Workplace

NFPA 241 (2022) Standard for Safeguarding
Construction, Alteration, and Demolition
Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2024) Safety -- Safety and Health
Requirements Manual

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910 Occupational Safety and Health Standards

29 CFR 1915 Confined and Enclosed Spaces and Other
Dangerous Atmospheres in Shipyard
Employment

29 CFR 1926 Safety and Health Regulations for
Construction

1.2 DEFINITIONS

The following definitions are for the convenience of the reader. If there is a referenced document in the text of this specification section, that is the document that should define terms for that paragraph. If further clarification is needed, contact the Contracting Officer.

1.2.1 Site Safety and Health Officer (SSHO)

A Contractor Employee that is responsible for overseeing and ensuring implementation of the prime Contractor's Safety and Occupational Health (SOH) program according to the Contract, EM 385-1-1, applicable federal, state, and local requirements.

1.2.1.1 Level One SSHO

A designated employee with full-time SOH responsibility that meets and follows the requirements of EM 385-1-1.

1.2.1.2 Level Two SSHO

A designated employee with Level Two SSHO responsibility that meets and follows the requirements of EM 385-1-1. Level Two SSHOs cannot be assigned to projects that have a residual Risk Assessment Code (RAC) of high or extremely high.

1.2.1.3 Level Three SSHO

A designated Qualified Person or Competent Person with SOH responsibility that meets and follows the requirements of EM 385-1-1. Level 3 SSHOs cannot be assigned to projects that have a residual RAC of high or extremely high.

1.2.1.4 Alternate SSHO

An employee that meets the definition of the contract-required level SSHO, but is not the primary SSHO.

1.2.2 Competent Person (CP)

The CP is a person designated in writing, who, through training, knowledge and experience, is capable of identifying, evaluating, and addressing existing and predictable hazards in the working environment or working

conditions that are unsanitary, hazardous, or dangerous to personnel, and who has authorization to take prompt corrective measures to eliminate them.

1.2.3 Qualified Person (QP)

The QP is a person designated in writing, who, by possession of a recognized degree, certificate, or professional standing, or extensive knowledge, training, and experience, has successfully demonstrated their ability to solve or resolve problems related to the subject matter, the work, or the project.

1.3 SUBMITTALS

Government Acceptance or Approval does not remove responsibility from the Contractors for their actions or liability.

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Accident Prevention Plan (APP); G

SD-02 Test Reports

Accident Reports; G

LHE Inspection Reports

SD-03 Certificates

Crane Operators/Riggers

Hot Work Permit

License Certificates

Standard Lift Plan; G

1.4 PUBLIC HEALTH EMERGENCIES

In the event of a declared public health emergency, follow safety precautions as required by the Occupational Safety and Health Administration (OSHA) www.osha.gov, the Centers for Disease Control and Prevention (CDC) www.cdc.gov, and as required by federal, state and local requirements.

1.5 REGULATORY REQUIREMENTS

In addition to the detailed requirements included in the provisions of this Contract, comply with the most recent edition of USACE EM 385-1-1, and the following federal, state, and local laws, ordinances, criteria, rules and regulations at the date of the Solicitation for this Contract. Submit matters of interpretation of standards to the appropriate administrative agency for resolution before starting work. Where the requirements of this specification, applicable laws, criteria, ordinances, regulations, and referenced documents vary, the most stringent

requirements govern.

1.6 SITE QUALIFICATIONS, DUTIES, AND MEETINGS

1.6.1 Site Safety and Health Officer (SSHO)

1.6.1.1 Qualifications of SSHO

All SSHOs will have met the training, experience requirements identified in the EM 385-1-1 and this Contract.

1.6.1.2 Duties of SSHO

All SSHOs will carry out the roles and responsibilities as identified in this Contract and the EM 385-1-1. All SSHOs will be designated on an ENG Form 6282, provided by the Contracting Officer. Superintendent, QC Manager, and SSHO are subject to dismissal if their required duties are not being effectively carried out. If either the Superintendent, QC Manager, or SSHO are dismissed, project work will be stopped and will not be allowed to resume until a suitable replacement is approved and the above duties are again being effectively carried out.

1.6.2 Roles and Responsibilities of Prime Contractor and SSHO

The Prime Contractor and SSHO must ensure that the requirements of all applicable OSHA and EM 385-1-1 are met for the project. The Prime Contractor must ensure an SSHO or an equally qualified Alternate SSHO(s) is at the worksite at all times to implement and administer the Contractor's safety program and Government accepted Accident Prevention Plan. If the required SSHO has to temporarily (that is, up to 24 hours / 1 day) leave the site of work due to unforeseen or emergency situations, a Level One, Two, or Three SSHO may be used in the interim and must be on the site of work at all times when work is being performed.

If the SSHO must be off-site for a period longer than 24 hours / 1 day, a qualified alternate that meets the contract requirements must be onsite.

a. Prime contractor must ensure all SSHOs will:

- (1) Are designated on an ENG Form 6282.
- (2) Meet minimum training and experience requirements identified in EM 385-1-1.
- (3) Execute roles and responsibilities identified in EM 385-1-1.

1.6.3 Contract Site Safety And Health Officer(s)(SSHOs) Minimum Requirements

Provide a minimum of one Level One SSHO that meets the requirements of EM 385-1-1 for this project.

1.7 ACCIDENT PREVENTION PLAN (APP)

1.7.1 Accident Prevention Plan (APP)

Submit the Accident Prevention Plan (APP) for review and acceptance by the Government at least 15 calendar days prior to the start, after being given Notice to Proceed. A competent person must prepare the written

site-specific APP. Prepare the APP in accordance with the format and requirements of EM 385-1-1, ENG Form 6293, and herein. The APP must be job-specific and address any unusual or unique aspects of the project or activity for which it is written. The APP must interface with the Contractor's overall safety and occupational health program referenced in the APP in the applicable APP element, and made site-specific. Describe the methods to evaluate past safety performance of potential subcontractors in the selection process. Also, describe innovative methods used to ensure and monitor safe work practices of subcontractors. The Government considers the Prime Contractor to be the "controlling employer" for all worksite safety and health of the subcontractors. Contractors are responsible for informing their subcontractors of the safety provisions under the terms of the Contract and the penalties for noncompliance, coordinating the work to prevent one craft from interfering with or creating hazardous working conditions for other crafts, and inspecting subcontractor operations to ensure that accident prevention responsibilities are being carried out. The APP must be signed in accordance with the APP and ENG Form 6293 Accident Prevention Plan Worksheet. The SSHO must provide and maintain the APP and a log of signatures by each subcontractor foreman, attesting that they have read and understand the APP, and make the APP and log available on-site to the Contracting Officer. If English is not the foreman's primary language, the Prime Contractor must provide an interpreter.

Submit the APP to the Contracting Officer within 30 calendar days of Contract award and not less than 10 calendar days prior to the date of the preconstruction conference for acceptance. Work cannot proceed without an accepted APP. Once reviewed and accepted by the Contracting Officer, the APP and attachments will be enforced as part of the Contract. Disregarding the provisions of this Contract or the accepted APP is cause for stopping of work, at the discretion of the Contracting Officer, until the matter has been rectified. Continuously review and amend the APP, as necessary, throughout the life of the Contract. Changes to the accepted APP must be made with the knowledge and concurrence of the Contracting Officer, project superintendent, SSHO and Quality Control Manager. Incorporate unusual or high-hazard activities not identified in the original APP as they are discovered. Should any severe hazard exposure (i.e., imminent danger) become evident, stop work in the area, secure the area, and develop a plan to remove the exposure and control the hazard. Notify the Contracting Officer within 24 hours of discovery. Eliminate and remove the hazard. In the interim, take all necessary action to restore and maintain safe working conditions in order to safeguard onsite personnel, visitors, the public (as defined by ANSI/ASSP A10.34), and the environment.

1.7.2 Names and Qualifications

Provide plans in accordance with the requirements outlined in EM 385-1-1, including the following:

- a. Names and qualifications (resumes including education, training, experience and certifications) of site safety and health personnel designated to perform work on this project to include the designated Site Safety and Health Officer and other competent and qualified personnel to be used. Specify the duties of each position.
- b. As a minimum, designate and submit qualifications of Competent Persons (CP) for each of the following major areas: excavation; scaffolding; fall protection; hazardous energy; confined space; health hazard

recognition, evaluation and control of chemical, physical and biological agents; and personal protective equipment and clothing to include selection, use and maintenance. Designate and submit qualifications for additional CPs as applicable to the work performed under this Contract.

1.7.3 Plans

Provide plans in the APP in accordance with the requirements outlined in EM 385-1-1, including the following:

1.7.3.1 Site Safety and Health Plan

Identify the safety and health aspects, and prepare in accordance with Section 01 35 29.13 HEALTH, SAFETY, AND EMERGENCY RESPONSE PROCEDURES FOR CONTAMINATED SITES.

1.7.3.2 Site Demolition Plan

Identify the safety and health aspects, and prepare in accordance with DEMOLITION and referenced sources.

1.8 EMERGENCY MEDICAL TREATMENT

Contractors must arrange for their own emergency medical treatment in accordance with EM 385-1-1. The Government has no responsibility to provide emergency medical treatment.

1.9 NOTIFICATIONS AND REPORTS

1.9.1 Accident Notification

Notify the Contracting Officer in accordance with the EM 385-1-1 Accident Reporting Timeline.

Table Accident Reporting Required Timeline		
Accident Type	Notify KO or COR	Complete Final Accident Report on ENG 3394 and provide to KO or COR
Fatality, in-patient hospitalization, amputation, eye loss, or property damage over \$600,000.	Immediately, no later than (NLT) 8 Hours	Within 7 Days
All other accidents and near misses	Immediately, no later than (NLT) 24 Hours	Within 7 Days

Within notification include Contractor name; Contract title; type of Contract; name of activity, installation or location where accident occurred; date and time of accident; names of personnel injured; extent of property damage, if any; extent of injury, if known, and brief description of accident (for example, type of construction equipment used and PPE used). Preserve the conditions and evidence on the accident site until

the Government investigation team arrives on-site and Government investigation is conducted. Assist and cooperate fully with the Government's investigation(s) of any accident or near miss.

1.9.2 Accident Reports

Near Misses: Near miss reports are considered positive and proactive Contractor safety management actions.

1.9.3 LHE Inspection Reports

Submit LHE inspection reports required in accordance with EM 385-1-1 and as specified herein with Daily Reports of Inspections.

1.9.4 Certificate of Compliance and Pre-lift Plan/Checklist for LHE and Rigging

Provide a Certificate of Compliance for LHE entering an activity under this Contract and in accordance with EM 385-1-1. Post certifications on the crane.

Develop a Standard Lift Plan (SLP) in accordance with EM 385-1-1 and using Standard Pre-Lift Crane Plan/Checklist for each lift planned. Submit SLP to the Contracting Officer for approval within 15 calendar days in advance of planned lift.

1.10 HOT WORK PERMIT

1.10.1 Permit and Personnel Requirements

Submit and obtain a written permit prior to performing "Hot Work" (i.e., welding or cutting) or operating other flame-producing/spark producing devices, from the Fire Division. A permit is required from the Explosives Safety Office for work in and around where explosives are processed, stored, or handled. Contractors are required to meet all criteria before a permit is issued. Provide at least two 20 pound 4A:20 BC rated extinguishers for normal "Hot Work". The extinguishers must be current inspection tagged, and contain an approved safety pin and tamper resistant seal. It is also mandatory to have a designated FIRE WATCH for any "Hot Work" done at this activity. The Fire Watch must be trained in accordance with NFPA 51B and remain on-site for a minimum of 1 hour after completion of the task or as specified on the hot work permit.

When starting work in the facility, require personnel to familiarize themselves with the location of the nearest fire alarm boxes and place in memory the emergency Fire Division phone number. Report any fire, no matter how small, to the responsible Fire Division immediately.

1.10.2 Work Around Flammable Materials

Obtain permit approval from a NFPA Certified Marine Chemist, or Certified Industrial Hygienist for "Hot Work" within or around flammable materials (such as fuel systems or welding/cutting on fuel pipes) or confined spaces (such as sewer wet wells, manholes, or vaults) that have the potential for flammable or explosive atmospheres.

Whenever these materials, except beryllium and chromium (VI), are encountered in indoor operations, local mechanical exhaust ventilation systems that are sufficient to reduce and maintain personal exposures to

within acceptable limits must be used and maintained in accordance with manufacturer's instruction and supplemented by exceptions noted in EM 385-1-1.

PART 2 PRODUCTS

PART 3 EXECUTION

3.1 CONSTRUCTION AND OTHER WORK

Comply with EM 385-1-1, NFPA 70, NFPA 70E, NFPA 241, the APP, the AHA, Federal and State OSHA regulations, and other related submittals and activity fire and safety regulations. The most stringent standard prevails.

PPE is governed in all areas by the nature of the work the employee is performing. Use personal hearing protection at all times in designated noise hazardous areas or when performing noise hazardous tasks. Safety glasses must be worn or carried/available on each person. Mandatory PPE includes:

- a. Head Protection that meets ANSI/ISEA Z89.1
- b. Long Pants
- c. Appropriate Safety Footwear
- d. Appropriate Class Reflective Vests

3.1.1 Worksite Communication

Employees working alone in a remote location or away from other workers must be provided an effective means of emergency communications (i.e., cellular phone, two-way radios, land-line telephones or other acceptable means). The selected communication must be readily available (easily within the immediate reach) of the employee and must be tested prior to the start of work to verify that it effectively operates in the area/environment. Develop an employee check-in/check-out communication procedure to ensure employee safety.

3.1.2 Unforeseen Hazardous Material

Contract documents identify materials such as PCB, lead paint, and friable and non-friable asbestos and other OSHA regulated chemicals (i.e., 29 CFR Part 1910.1000). If material(s) that may be hazardous to human health upon disturbance are encountered during demolition, repair, renovation, or construction operations. Stop that portion of work and notify the Contracting Officer immediately. Within 14 calendar days the Government will determine if the material is hazardous. If material is not hazardous or poses no danger, the Government will direct the Contractor to proceed without change. If material is hazardous and handling of the material is necessary to accomplish the work, the Government will issue a modification.

3.2 UTILITY OUTAGE REQUIREMENTS

Apply for utility outages in sufficient time as to not result in impacts or delays to the project schedule. At a minimum, the written request must include the location of the outage, utilities being affected, duration of outage, any necessary sketches, and a description of the means to fulfill

energy isolation requirements in accordance with EM 385-1-1. In accordance with EM 385-1-1, where outages involve Government or Utility personnel, coordinate with the Government on all activities involving the control of hazardous energy.

These activities include, but are not limited to, a review of Hazardous Energy Control Program (HECP) and HEC procedures, as well as applicable Activity Hazard Analyses (AHAs). In accordance with EM 385-1-1 and NFPA 70E, work on energized electrical circuits must not be performed without prior Government authorization. Government permission is considered through the permit process and submission of a detailed AHA. Energized work permits are considered only when de-energizing introduces additional or increased hazard or when de-energizing is infeasible.

3.3 CONTROL OF HAZARDOUS ENERGY (LOCKOUT/TAGOUT)

Provide and operate a Hazardous Energy Control Program (HECP) in accordance with EM 385-1-1, 29 CFR 1910, 29 CFR 1915, ANSI/ASSP A10.44, NFPA 70E.

3.3.1 Lockout/Tagout Isolation

Where the Government or Utility performs equipment isolation and lockout/tagout, the Contractor must place their own locks and tags on each energy-isolating device and proceed in accordance with the HECP. Before any work begins, both the Contractor and the Government or Utility must perform energy isolation verification testing while wearing required PPE detailed in the Contractor's AHA and required by EM 385-1-1. Install personal protective grounds, with tags, to eliminate the potential for induced voltage in accordance with EM 385-1-1.

3.3.2 Lockout/Tagout Removal

Upon completion of work, conduct lockout/tagout removal procedure in accordance with the HECP. In accordance with EM 385-1-1, each lock and tag must be removed from each energy isolating device by the authorized individual or systems operator who applied the device. Provide formal notification to the Government (by completing the Government form if provided by Contracting Officer's Representative), confirming that steps of de-energization and lockout/tagout removal procedure have been conducted and certified through inspection and verification. Government or Utility locks and tags used to support the Contractor's work will not be removed until the authorized Government employee receives the formal notification.

3.4 FALL PROTECTION PROGRAM

Establish a fall protection program, for the protection of all employees exposed to fall hazards. Within the program include company policy, identify roles and responsibilities, education and training requirements, fall hazard identification, prevention and control measures, inspection, storage, care and maintenance of fall protection equipment and rescue and evacuation procedures in accordance with EM 385-1-1.

3.4.1 Fall Protection Equipment and Systems

Enforce use of personal fall protection equipment and systems designated (to include fall arrest, restraint, and positioning) for each specific work activity in the Site Specific Fall Protection and Prevention Plan and

AHA at all times when an employee is exposed to a fall hazard. Protect employees from fall hazards as specified in EM 385-1-1.

Provide personal fall protection equipment, systems, subsystems, and components that comply with EM 385-1-1 and 29 CFR 1926.

3.4.1.1 Personal Fall Protection Equipment

Only a full-body harness with a shock-absorbing lanyard or self-retracting lanyard is an acceptable personal fall arrest body support device. The use of body belts is not acceptable. Harnesses must have a fall arrest attachment affixed to the body support (usually a Dorsal D-ring) and specifically designated for attachment to the rest of the system. Snap hooks and carabineers must be self-closing and self-locking, capable of being opened only by at least two consecutive deliberate actions and have a minimum gate strength of 3,600 lbs in all directions. Use webbing, straps, and ropes made of synthetic fiber. The maximum free fall distance when using fall arrest equipment must not exceed 6 feet, unless the proper energy absorbing lanyard is used. Always take into consideration the total fall distance and any swinging of the worker (pendulum-like motion), that can occur during a fall, when attaching a person to a fall arrest system. Equip all full body harnesses with Suspension Trauma Preventers such as stirrups, relief steps, or similar in order to provide short-term relief from the effects of orthostatic intolerance in accordance with EM 385-1-1.

3.5 EQUIPMENT

3.5.1 Use of Explosives

Explosives must not be used or brought to the project site without prior written approval from the Contracting Officer. Such approval does not relieve the Contractor of responsibility for injury to persons or for damage to property due to blasting operations.

Storage of explosives, when permitted on Government property, must be only where directed and in approved storage facilities. These facilities must be kept locked at all times except for inspection, delivery, and withdrawal of explosives.

3.6 ELECTRICAL

Perform electrical work in accordance with EM 385-1-1.

3.6.1 Electrical Work

As described in EM 385-1-1, electrical work is to be conducted in a de-energized state unless there is no alternative method for accomplishing the work. In those cases obtain an energized work permit from the Contracting Officer. The energized work permit application must be accompanied by the AHA and a summary of why the equipment/circuit needs to be worked energized. Underground electrical spaces must be certified safe for entry before entering to conduct work. Cables that will be cut must be positively identified and de-energized prior to performing each cut. Attach temporary grounds in accordance with ASTM F855 and IEEE 1048. Perform all high voltage cable cutting remotely using hydraulic cutting tool. When racking in or live switching of circuit breakers, no additional person other than the switch operator is allowed in the space during the actual operation. Plan so that work near energized parts is

minimized to the fullest extent possible. Use of electrical outages clear of any energized electrical sources is the preferred method.

When working in energized substations, only qualified electrical workers are permitted to enter. When work requires work near energized circuits as defined by NFPA 70, high voltage personnel must use personal protective equipment that includes, as a minimum, electrical hard hat, safety footwear, insulating gloves and electrical arc flash protection for personnel as required by NFPA 70E. Insulating blankets, hearing protection, and switching suits may also be required, depending on the specific job and as delineated in the Contractor's AHA. Ensure that each employee is familiar with and complies with these procedures and 29 CFR 1910.

3.6.2 Qualifications

Electrical work must be performed by QP with verifiable credentials who are familiar with applicable code requirements. Verifiable credentials consist of State, National and Local Certifications or Licenses that a Master or Journeyman Electrician may hold, depending on work being performed, and must be identified in the appropriate AHA. Journeyman/Apprentice ratio must be in accordance with State, Local requirements applicable to where work is being performed.

3.6.3 Arc Flash

Conduct a hazard analysis/arc flash hazard analysis whenever work on or near energized parts greater than 50 volts is necessary, in accordance with NFPA 70E.

All personnel entering the identified arc flash protection boundary must be QPs and properly trained in NFPA 70E requirements and procedures. Unless permitted by NFPA 70E, no Unqualified Person is permitted to approach nearer than the Limited Approach Boundary of energized conductors and circuit parts. Training must be administered by an electrically qualified source and documented.

3.6.4 Grounding

Ground electrical circuits, equipment and enclosures in accordance with NFPA 70 and IEEE C2 to provide a permanent, continuous and effective path to ground unless otherwise noted by EM 385-1-1.

3.6.5 Testing

Temporary electrical distribution systems and devices must be inspected, tested and found acceptable for Ground-Fault Circuit Interrupter (GFCI) protection, polarity, ground continuity, and ground resistance before initial use, before use after modification and at least monthly. Monthly inspections and tests must be maintained for each temporary electrical distribution system, and signed by the electrical CP or QP.

-- End of Section --

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SECTION 01 45 00

QUALITY CONTROL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C1077	(2024) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM E329	(2023) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection
ASTM E543	(2021) Standard Specification for Agencies Performing Non-Destructive Testing

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1	(2024) Safety -- Safety and Health Requirements Manual

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program. Include all associated costs in the applicable Schedule item.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES.

SD-01 Preconstruction Submittals

Submit prior to the start of construction a Contractor Quality Control (CQC) Plan;

SD-05 Design Data

Design Quality Control

Discipline-Specific Checklists

Design Quality Control Documentation (DQCD)

Indoor Air Quality (IAQ) Management Plan; G; S

SD-06 Test Reports

Verification Statement

1.4 GENERAL REQUIREMENTS

Establish and maintain an effective quality control (QC) system that complies with FAR 52.246-12 Inspection of Construction. QC is comprised of plans, procedures, and organization necessary to produce an end product that complies with the Contract requirements. The QC system covers all construction operations, both onsite and offsite, and must be keyed to the proposed construction sequence. The Quality Control Manager, Superintendent, Site Safety and Health Officer (SSHO), and all on-site supervisors are responsible for the quality of work and are subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the Contract. The Quality Control Manager must maintain a physical presence at the work site at all times and is the primary individual responsible for all quality control.

1.5 QUALITY CONTROL (QC) PROGRAM REQUIREMENTS

Establish and maintain a QC program as described in this section. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, QC certifications, and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations that comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

1.5.1 Meetings

1.5.1.1 Quality Control Plan Meeting

Prior to submission of the QC Plan, the Contractor may request a meeting with the Contracting Officer to discuss the QC Plan requirements of this Contract.

The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of Definable Feature of Work (DFOW).

1.5.1.2 Coordination and Mutual Understanding Meeting

1.5.1.2.1 Purpose

Cx Plan requirements in accordance with Section 01 91 00.15 BUILDING COMMISSIONING.

1.5.1.2.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation.

1.5.1.2.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, Assistant QC Manager, QC Specialists, Environmental Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities must have a principal of the firm at the meeting.

1.5.1.3 Quality Control (QC) Meetings

After the start of construction, conduct weekly QC meetings led by the QC Manager at the work site with the Project Superintendent, the QC Specialists, and the other personnel as necessary. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within 2 working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

- a. Review the minutes of the previous meeting.
- b. Review the schedule and the status of work and deficiencies/rework. Review the most current approved schedule (in accordance with schedule specification) and the status of work and deficiencies/rework.
- c. Review the status of submittals and Request For Information (RFIs).
- d. Review the work to be accomplished in the next 3 weeks as defined by the schedule section paragraph and all documentation required for that work.
- e. Review Testing Plan and Log including status of tests performed since last QC Meeting.
- f. Resolve QC and production problems. Discuss status of pending change orders.
- g. Address items that may require revising the QC Plan.
- h. Review Accident Prevention Plan (APP) and effectiveness of the safety program.
- i. Review environmental requirements and procedures.
- j. Review Environmental Management Plan.
- k. Review Waste Management Plan.
- l. Review the status of training completion.

1.5.2 Contractor Quality Control (CQC) Plan

Submit no later than 30 days, the CQC Plan proposed to implement the requirements FAR 52.246-12 Inspection of Construction. Construction will be permitted to begin only after acceptance of the CQC Plan and other Contract requirements

1.5.2.1 Content of Contractor Quality Control (CQC) Plan

Provide a CQC Plan, prior to start of construction that includes a table of contents, with major sections identified, pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing quality control during the construction of the project. The CQC Plan must at a minimum include the following sections:

- a. A description of the quality control organization and acknowledgment that the CQC staff will implement the three phase control system for all aspects of the work specified.
- b. An organizational chart showing the quality control organization with individual names and job titles and lines of authority up to an executive of the company at the home office.
- c. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, (including position titles and durations for qualifying experiences) for each person in the QC organization. Include the Construction Quality Management (CQM) for Contractors course certifications for the QC personnel as required by the paragraph CONSTRUCTION QUALITY MANAGEMENT TRAINING.
- d. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.
- e. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.
- f. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager, and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work that is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to the Assistant QC Manager and all other QC Specialists or quality control representatives outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.
- g. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, scheduling, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.

- h. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraph ACCREDITATION REQUIREMENTS, as applicable.
- i. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, associated feature of work required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test.
- j. Procedures to complete construction deficiencies from identification through acceptable corrective action. Establish verification procedures that identified deficiencies have been corrected. This phase is performed prior to beginning work on each definable feature of work, after all required plans, documents, materials are approved, and after copies are at the work site.
- k. Reporting procedures, including proposed reporting formats.
- l. Procedures for submitting and reviewing design changes/variations prior to submission to the Contracting Officer.
- m. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines, or it is work by the same trade in a different environment. A DFOW is by definition any item or activity on the construction schedule, and the schedule specification provides direction regarding how the DFOWs are to be structured. Include in the list of DFOWs for all activities on the Construction Schedule. Although each section of the specifications can generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. Identify the specification section number and schedule activity ID for each DFOW listed. The DFOW list will be reviewed in coordination with the construction schedule and agreed upon during the Coordination of Mutual Understanding Meeting.
- n. PROCEDURES FOR PERFORMING AND TRACKING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each Definable Feature of Work (DFOW), a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.
- o. TRAINING PROCEDURES AND TRAINING LOG: Procedures for coordinating and documenting the training of personnel required by the Contract.
- p. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications required for entities such as subcontractors, testing laboratories, suppliers, and personnel. The QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the Contract that the work is being performed.

1.5.3 Acceptance of the Quality Control (QC) Plan

The Contracting Officer's acceptance of the Contractor QC Plan is required prior to the start of construction. The Contracting Officer reserves the

right to require changes in the QC Plan and operations as necessary, including removal or addition of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

1.5.4 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying with specific prior approval of the Contracting Officer.

1.5.5 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel. Proposed changes are subject to acceptance by the Contracting Officer.

1.6 QUALITY CONTROL (QC) ORGANIZATION

1.6.1 Quality Control (QC) Manager

1.6.1.1 Duties

Provide a QC Manager at the work site to implement and manage the QC program. In addition to implementing and managing the QC program, the QC Manager may perform the duties of Project Superintendent. The only duties and responsibilities of the QC Manager are to manage and implement the QC program on this Contract. The QC Manager must attend the Coordination and Mutual Understanding Meeting, perform the three phases of control except for those phases of control designated to be performed by QC Specialists, perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by the QC Specialists, testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

1.6.1.2 Qualifications

The QC Manager must be an individual with a minimum of 10 years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction Contracts which included the major trades that are part of this Contract. The individual must have at least 2 years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1 and have experience in the areas of hazard identification, safety compliance, and sustainability.

1.6.1.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager and all members of the QC team must have completed the CQM for Contractors course. If the QC Manager does not have a current

certification, obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Systems Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

1.6.2 Submittal Reviewers Duties and Qualifications

Provide a Submittal Reviewers, other than the QC Manager, qualified in the disciplines being reviewed, to review and certify that the submittals meet the requirements of this Contract prior to certification or approval by the QC Manager.

Each submittal must be reviewed by an individual with 10 years of construction experience.

Each submittal must be reviewed by a registered architect or professional engineer.

1.7 SUBMITTAL AND DELIVERABLES REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES. Procedures must include field verification of relevant dimensions and component characteristics by the QC organization prior to submittal being sent to the Contracting Officer. The CQC organization is responsible for certifying that all submittals and deliverables are in compliance with the Contract.

1.8 THREE PHASES OF CONTROL

CQC enables the Contractor to ensure that the construction, including that of subcontractors and suppliers, complies with the requirements of the Contract. At least three phases of control must be conducted by the QC Manager to adequately cover both on-site and off-site work for each definable feature of the construction work as follows:

1.8.1 Preparatory Phase

Document the results of the preparatory phase actions by separate minutes prepared by the QC Manager and attach to the daily CQC report. Instruct applicable workers as to the acceptable level of workmanship required to meet Contract specifications.

Notify the Contracting Officer at least 2 business days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by the QC Specialists, the Project Superintendent, and the foreman responsible for the DFO. When the DFO will be accomplished by a subcontractor, that subcontractor's foreman must attend the preparatory phase meeting. This phase is performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. Perform the following prior to beginning work on each DFO:

- a. Review each paragraph of the applicable specification sections, reference codes, and standards. Make available during the preparatory inspection a copy of those sections of referenced codes and standards applicable to that portion of the work to be accomplished in the field. Maintain and make available in the field for use by Government personnel until final acceptance of the work.

- b. Review the Contract drawings.
- c. Verify that field measurements are as indicated on construction or shop drawings or both before confirming product orders, to minimize waste due to excessive materials.
- d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.
- e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.
- f. Examine the work area to ensure that the required preliminary work has been completed and complies with the Contract and ensure any deficiencies/rework items in the preliminary work have been corrected and confirmed by the Contracting Officer.
- g. Review coordination of product/material delivery to designated prepared areas to execute the work.
- h. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data and are properly stored.
- i. Check to assure that all materials and equipment have been tested, submitted, and approved.
- j. Discuss specific controls to be used, construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW. Ensure any portion of the plan requiring separate Contracting Officer acceptance has been approved.
- k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Safety Data Sheets (SDS) are submitted.
- l. Review the Cx requirements in accordance with Section 01 91 00.15 BUILDING COMMISSIONING and ensure all preliminary work items have been completed and documented.

1.8.2 Initial Phase

Notify the Contracting Officer at least 2 business days in advance of each initial phase. When construction crews are ready to start work on a DFOW, conduct the initial phase with the QC Specialists, the Project Superintendent, and the foreman responsible for that DFOW. Observe the initial segment of the DFOW to ensure that the work complies with Contract requirements. Document the results of the initial phase in the Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site when acceptable levels of specified quality are not being met. Indicate the exact location of initial phase for definable feature of work for future reference and comparison with follow-up phases. Perform the following for each DFOW:

- a. Check work to ensure that it is in full compliance with Contract requirements. Review minutes of the preparatory meeting.

- b. Verify adequacy of controls to ensure full Contract compliance. Verify required control inspection and testing comply with the Contract.
- c. Establish level of workmanship and verify that it meets the minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- d. Resolve any workmanship issues.
- e. Ensure that testing is performed by the approved laboratory.
- f. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.
- g. Review project specific work plans (i.e., HAZMAT Abatement, Stormwater Management) to ensure all preparatory work items have been completed and documented.

1.8.3 Follow-Up Phase

Perform the following for on-going DFW daily, or more frequently as necessary, until the completion of each DFW. The Final Follow-Up for any DFW will clearly note in the daily report the DFW is completed, and all deficiencies/rework items have been completed in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Each DFW that has completed the Initial Phase and has not completed the Final Follow-up must be included on each daily report. If no work was performed on that DFW for the period of that daily report, it must be so noted. Document all Follow-Up activities for DFWs in the daily CQC Report:

- a. Ensure the work including control testing complies with Contract requirements until completion of that particular work feature. Record checks in the CQC documentation.
- b. Maintain the quality of workmanship required.
- c. Ensure that testing is performed by the approved laboratory.
- d. Ensure that deficiencies/rework items are being corrected. Conduct final follow-up checks and correct all deficiencies prior to the start of additional features of work which may be affected by the deficient work.
- e. Do not build upon nor conceal non-conforming work.
- f. Assure manufacturers' representatives have performed necessary inspections if required and perform safety inspections.

1.8.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFW if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFW has not started within 45 days of the initial preparatory meeting or has resumed after 45 days of inactivity, or if other problems develop.

1.8.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least 2 weeks prior to the start of the preparatory and initial phases.

1.8.6 Deficiency/Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be corrected, the activity ID number associated with the item, the date the item was originally discovered, the date the item will be corrected by, and the date the item was corrected.

The QC Manager reviews the list at each weekly QC Meeting:

- a. There is no requirement to report a deficiency/rework item that is corrected the same day it is discovered.
- b. No successor task may be advanced beyond the preparatory phase meeting until all deficiencies/rework items have been cleared by the QC Manager and concurred with by the Contracting Officer. This must be confirmed as part of the Preparatory Phase activities.
- c. Attach a copy of the "Deficiency/Rework Items List" to the last daily CQC Report of each month.
- d. The Contractor is responsible for including those items identified by the Contracting Officer.
- e. All deficiencies/rework items must be confirmed as corrected by the QC Manager, and concurred by the Contracting Officer, prior to commencement of any completion inspections per paragraph COMPLETION INSPECTIONS unless specifically exempted by the Contracting Officer.
- f. Non-Compliance with these requirements is grounds for removal in accordance with paragraph ACCEPTANCE OF THE QUALITY CONTROL (QC) PLAN.
- g. All delays, concurrent or related to failure to manage, monitor, control, and correct deficiencies/rework items are entirely the responsibility of the Contractor and can not be made the subject, or any component of any request for additional time or compensation.

1.9 TESTING

Perform specified or required tests to verify that control measures are adequate to provide a product which conforms to Contract requirements. Upon request, furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and acceptance tests when specified. Procure the services of an U.S. Army Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site or within 50 miles. Perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with Contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.

- d. Verify that recording forms and test identification control number system, including all test documentation requirements, have been prepared.
- e. Record results of all tests taken, both passing and failing on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test. If approved by the Contracting Officer, actual test reports are submitted later with a reference to the test number and date taken. Provide an information copy of tests performed by an offsite or commercial test facility directly to the Contracting Officer. Failure to submit timely test reports as stated results in nonpayment for related work performed and disapproval of the test facility for this Contract.

1.9.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and must submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (ASTM E329, ASTM C1077, ASTM E543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing must meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

1.9.2 Laboratory Accreditation Authorities

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at <https://www.nist.gov/nvlap>, the American Association of State Highway and Transportation Officials (AASHTO) Accreditation Program at <http://www.aashtoresource.org/aap/overview>, International Accreditation Services, Inc. (IAS) at <https://www.iasonline.org/>, U.S. Army Corps of Engineers Materials Testing Center (MTC) at <https://www.erdc.usace.army.mil/Media/Fact-Sheets/Fact-Sheet-Article-View/Article/476661/materials-testing-center/>, the American Association for Laboratory Accreditation (A2LA) program at <https://a2la.org/>, the Washington Association of Building Officials (WABO) at <https://www.wabo.org/> (Approval authority for WABO is limited to projects within Washington State), and the Washington Area Council of Engineering Laboratories (WACEL) at <https://www.wacel.org/lab-accreditation-and-inspection-agency-audit-programs/laboratory-accreditation-program/> (Approval authority by WACEL is limited to projects within Facilities Engineering Command (FEC) Washington geographical area).

1.9.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract. Laboratories utilized for testing soils, concrete, asphalt, and steel must meet criteria detailed in and ASTM E329.

1.9.3.1 Capability Recheck

1.9.4 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager.

1.9.5 Test Reports and Monthly Summary Report of TestsFurnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily CQC Report of each month. Provide a copy of the signed test reports and certifications to the Operation and Maintenance Support Information (OMSI) preparer for inclusion into the OMSI documentation, in accordance with Sections 01 78 23 OPERATION AND MAINTENANCE DATA and 01 78 24.00 20 FACILITY DATA WORKBOOK (FDW).

1.10 COMPLETION INSPECTIONS

1.10.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications, and Contract. Include in the punch list any remaining items on the "Deficiency/Rework Items List", that were not corrected prior to the Punch-Out Inspection as approved by the Contracting Officer in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer.

The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. All punch list items must be confirmed as corrected by the QC Manager and concurred by the Contracting Officer. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

1.10.2 Pre-Final Inspection

The Government and QC Manager will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QC Manager as a result of this inspection. The QC Manager will ensure that all items on this list are corrected and concurred by the Contracting Officer prior to notifying the Government that a "Final" inspection with the Client can be scheduled. All items noted on the "Pre-Final" inspection must be corrected and concurred by the Contracting Officer in a timely manner and be accomplished before the Contract completion date for the work, or any increment thereof, if the project is divided into increments by separate

completion dates unless exceptions are directed by the Contracting Officer.

1.10.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other Government QA personnel, and personnel representing the Client. Failure of the Contractor to have all Contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

1.11 QUALITY CONTROL (QC) CERTIFICATIONS

1.11.1 Contractor Quality Control (CQC) Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used, and work performed during this reporting period is in compliance with the Contract drawings and specifications to the best of my knowledge, except as noted in this report."

1.11.2 Completion Certification

Upon completion of work under this Contract, the QC Manager must furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the preparer of the Operation & Maintenance (O&M) documentation.

1.11.3 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, complies with Contract requirements.

1.12 DOCUMENTATION AND INFORMATION FOR THE CONTRACTING OFFICER

Maintain current and complete records of on-site and off-site QC program operations and activities.

Contact the Contracting Officer for sample forms or print from RMS-QCS as needed. Prior to commencing work on construction, the Contractor must obtain a copy set of the current report forms. The report forms will consist of the Contractor Quality Control (CQC) Report, CQC Report (Continuation Sheet), Contractor Production Report, Contractor Production Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Testing Plan and Log, and Rework Items List. Unless otherwise provided by the Contracting Officer, Contractor may use the forms provided as related material located at <https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-45-00>.

1.12.1 Construction Documentation

Reports are required for each day that work is performed and must be attached to the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract.

The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The reporting of work must be identified by terminology consistent with the construction schedule. In the "Remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered, a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, and meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

1.12.2 Quality Control Activities

CQC and Contractor Production reports will be prepared daily to maintain current records providing factual evidence that required quality control activities and tests have been performed. Include in these records the work of subcontractors and suppliers on an acceptable form that includes, as a minimum, the following information:

- a. The name and area of responsibility of the Contractors and any subcontractors.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When a Network Analysis Schedule (NAS) is used, identify each item of work performed each day by NAS activity number.
- d. Control phase activities performed. Preparatory, and Initial phase Checklists associated with the DFOV referenced to the construction schedule. Follow-up phase activities identified to the DFOV. If testing or specific QC Specialist activities are associated with the Follow-up phase activities for a specific DFOV note this and include those reports.
- e. Test and control activities performed with results and references to specifications and drawings requirements. Identify the control phase (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action in accordance with the paragraph DEFICIENCY/REWORK ITEMS LIST.
- f. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications and drawings requirements.
- g. Submittals and deliverables reviewed, with Contract reference, by

whom, and action taken.

- h. Offsite surveillance activities, including actions taken.
- i. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
- j. Instructions given/received and conflicts in plans and specifications.

1.12.3 Verification Statement

Indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. Cover both conforming and deficient features and include a statement that equipment and materials incorporated in the work and workmanship comply with the Contract.

Furnish the original and one copy of these records in report form to the Government by 10:00 AM the next working day after the date covered by the report. As a minimum, prepare and submit one report for every 7 days of no work and on the last day of a no work period. All calendar days need to be accounted for throughout the life of the Contract. The first report following a day of no work will be for that day only. Reports need to be signed and dated by the QC Manager. Include copies of test reports and copies of reports prepared by all subordinate quality control personnel within the QC Manager Report.

1.12.4 Reports from the Quality Control (QC) Specialist(s)

Document inspection results on a QC specialist report prepared each day work is performed in their area of responsibility. The report must include a description of the visual inspection or observation performed, a written summary of findings, a conclusion on compliance with the Contract documents, and signature of the QC Specialist. In person inspections must be documented with Video/photographs. Video/photographic documentation of deficiencies must include before and after conditions and physical measurements, as necessary. Forward the QC daily report to the QC Manager who must include the report with the submission of their daily QC Report to the Government each day. Every site visit by the QC Specialist must be documented on a QC Specialist daily report.

1.12.5 Quality Control Validation

Establish and maintain the following in an electronic folder. Divide folder into a series of tabbed sections as shown below. Ensure folder is updated at each required progress meeting.

- a. CQC Meeting minutes in accordance with paragraph QUALITY CONTROL (QC) MEETINGS.
- b. All completed Preparatory and Initial Phase Checklists, arranged by specification section, further sorted by DFOV referenced to the construction schedule. Submit each individual Phase Checklist the day the phase event occurs as part of the CQC daily report.
- c. All milestone inspections, arranged by Activity Number referenced to the construction schedule.
- d. An up-to-date copy of the Testing Plan and Log with supporting field

test reports, arranged by specification section referenced to the DFOV to which individual reports results are associated. Individual field test reports will be submitted within 2 working days after the test is performed in accordance with the paragraph QUALITY CONTROL ACTIVITIES.

- e. Copies of all Contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.
- f. An up-to-date copy of the paragraph DEFICIENCY/REWORK ITEMS LIST.

1.12.6 Testing Plan and Log

As tests are performed, the QC Manager will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month. Provide a copy of the final "Testing Plan and Log" to the preparer of the Operation & Maintenance (O&M) documentation.

1.12.7 As-Built Drawings

The QC Manager must ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. The as-built drawings document commences with the QC Manager ensuring all amendments, or changes to the Contract prior to Contract award are accurately noted in the initial document set creating the accurate baseline of the Contract prior to any work starting. Ensure each deviation has been identified with the appropriate modifying documentation (e.g., PC No., Modification No., Request for Information No.). The QC Manager or QC Specialist assigned to an area of responsibility must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

1.13 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, is deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of a claim for extension of time for excess costs or damages by the Contractor.

1.14 DELIVERY, STORAGE, AND HANDLING

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 PREPARATION

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

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SECTION 01 50 00

TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National Electrical Code

NFPA 241 (2022) Standard for Safeguarding Construction, Alteration, and Demolition Operations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2024) Safety -- Safety and Health Requirements Manual

1.2 CONSTRUCTION SITE PLAN

Prior to the start of work, submit for Government approval a site plan showing the locations and dimensions of temporary facilities (including layouts and details, equipment and material storage area (onsite and offsite), and access and haul routes, avenues of ingress/egress to the fenced area and details of the fence installation. Identify any areas which may have to be graveled to prevent the tracking of mud. Indicate if the use of a supplemental or other staging area is desired. Show locations of safety and construction fences, site trailers, construction entrances, trash dumpsters, temporary sanitary facilities, and worker parking areas.

PART 2 PRODUCTS

2.1 TEMPORARY SIGNAGE

2.1.1 Bulletin Board

Prior to the commencement of work activities, provide a clear weatherproof covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the Contract, Wage Rate Information poster, Safety and Health Information as required by EM 385-1-1 and other information approved by the Contracting Officer. Coordinate requirements herein with 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Locate the bulletin board at the project site in a conspicuous place easily accessible to all employees, and in location as approved by the Contracting Officer.

2.1.2 Project Identification Signs

The requirements for the signs, their content, and location are as

indicated. Erect signs within 15 days after receipt of the notice to proceed. Correct the data required by the safety sign daily, with light colored metallic or non-metallic numerals.

2.1.3 Warning Signs

Post temporary signs, tags, and labels to give workers and the public adequate warning and caution of construction hazards according to the EM 385-1-1. Attach signs to the perimeter fencing every 150 feet warning the public of the presence of construction hazards. Signs must require unauthorized persons to keep out of the construction site. Correct the data required by safety signs daily. Post signs at all points of entry designating the construction site as a hard hat area.

2.2 TEMPORARY TRAFFIC CONTROL

2.2.1 Haul Roads

Construct access and haul roads necessary for proper prosecution of the work under this Contract in accordance with EM 385-1-1. Construct with suitable grades and widths; avoid sharp curves, blind corners, and dangerous cross traffic. Submit haul road plan for approval. Provide necessary lighting, signs, barricades, and distinctive markings for the safe movement of traffic. The method of dust control, although optional, must be adequate to ensure safe operation at all times. Location, grade, width, and alignment of construction and haul roads are subject to approval by the Contracting Officer. Lighting must be adequate to assure full and clear visibility for full width of haul road and work areas during any night work operations.

2.2.2 Barricades

Erect and maintain temporary barricades to limit public access to hazardous areas. Barricades are required whenever safe public access to paved areas such as roads, parking areas or sidewalks is prevented by construction activities or as otherwise necessary to ensure the safety of both pedestrian and vehicular traffic. Securely place barricades clearly visible with adequate illumination to provide sufficient visual warning of the hazard during both day and night.

2.3 FENCING

Provide fencing along the construction site and at all open excavations and tunnels to control access by unauthorized personnel. Safety fencing must be highly visible to be seen by pedestrians and vehicular traffic. All fencing must meet the requirements of EM 385-1-1. Remove the fence upon completion and acceptance of the work.

2.3.1 Polyethylene Mesh Safety Fencing

Temporary safety fencing must be a high visibility orange colored, high density polyethylene grid, a minimum of 48 inches high and maximum mesh size of 2 inches. Fencing must extend from the grade to a minimum of 48 inches above the grade and be tightly secured to T-posts spaced as necessary to maintain a rigid and taut fence. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.2 Chain Link Panel Fencing

Temporary panel fencing must be galvanized steel chain link panels a minimum of 6 feet high. Multiple fencing panels may be linked together at the bases to form long spans as needed. Each panel base must be weighted down using sand bags or other suitable materials in order for the fencing to withstand anticipated winds while remaining upright. Fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection.

2.3.3 Post-Driven Chain Link Fencing

Temporary post-driven fencing must be galvanized chain link fencing a minimum of 6 feet high supported by an tightly secured to galvanized steel posts driven below grade. Fence posts must be located on minimum 10 foot centers. Posts may be set in various surfaces such as sand, soil, asphalt or concrete as necessary. Chain link fencing must remain rigid and taut with a minimum of 200 pounds of force exerted on it from any direction with less than 4 inches of deflection. Completely remove fencing and posts at the completion of construction and restore surfaces disturbed or damaged to its original condition. Locate and identify underground utilities prior to setting fence posts. Equip fence with a lockable gate. Gate must remain locked when construction personnel are not present.

2.4 TEMPORARY WIRING

Provide temporary wiring in accordance with EM 385-1-1, NFPA 241 and NFPA 70. Include monthly inspection and testing of all equipment and apparatus.

PART 3 EXECUTION

3.1 EMPLOYEE PARKING

Construction Contract employees must park privately owned vehicles in an area designated by the Contracting Officer. Employee parking must not interfere with existing and established parking requirements of the Government installation.

3.2 AVAILABILITY AND USE OF UTILITY SERVICES

3.2.1 Temporary Utilities

Provide temporary utilities required for construction. Materials may be new or used, must be adequate for the required usage, not create unsafe conditions, and not violate applicable codes and standards.

3.2.2 Sanitation

Provide and maintain within the construction area minimum field-type sanitary facilities in accordance with EM 385-1-1. Locate the facilities behind the construction fence or out of the public view. Clean units and empty wastes at least once a week or more frequently into a municipal, district, or station sanitary sewage system, or remove waste to a commercial facility. Obtain approval from the system owner prior to discharge into a municipal, district, or commercial sanitary sewer system. Penalties or fines associated with improper discharge will be the responsibility of the Contractor. Coordinate with the Contracting Officer and follow station regulations and procedures when discharging into the

station sanitary sewer system. Maintain these conveniences at all times. Include provisions for pest control and elimination of odors. Government toilet facilities will not be available to Contractor's personnel.

3.2.3 Payment for Utility Services

The point at which the Government will deliver such utilities or services and the quantity available is as indicated. Pay all costs incurred in connecting, converting, and transferring the utilities to the work. Make connections, including providing backflow-preventing devices on connections to domestic water lines; providing meters; and providing transformers; and make disconnections. Contractor shall provide temporary electrical power as needed during the work to replace the building's main transformer and main distribution panel. Prior to bid the contractor shall estimate the time required to perform such work and factor into their bid price all costs associated with rentals and hookups of a temporary generator, cables, supports, barricades, panels, switches, etc.

3.3 TRAFFIC PROVISIONS

3.3.1 Maintenance of Traffic

Provide cones, signs, barricades, lights, or other traffic control devices and personnel required to control traffic on site.

3.4 CONTRACTOR'S TEMPORARY FACILITIES

Contractor is responsible for security of their property. Provide adequate outside security lighting at the temporary facilities. Trailers must be anchored to resist high winds and meet applicable state or local standards for anchoring mobile trailers. Coordinate anchoring with EM 385-1-1. The Contract Clause entitled "FAR 52.236-10, Operations and Storage Areas" and the following apply:

3.4.1 Quality Control Manager Records and Field Office

Provide on the jobsite an office with approximately 200 square feet of useful floor area for the exclusive use of the QC Manager. Provide a weathertight structure with adequate heating and cooling, toilet facilities, lighting, ventilation, a 4 by 8 foot plan table, a standard size office desk and chair, computer station, and working communications facilities. Provide either an appropriately sized radiant heater and a window-mounted air conditioner rated at an appropriate capacity or a window-mounted heat pump of the same minimum heating and cooling ratings. Provide a door with a cylinder lock and windows with locking hardware. Make utility connections. Locate as directed. File quality control records in the office and make available at all times to the Government. After completion of the work, remove the entire structure from the site.

3.5 TEMPORARY PROJECT SAFETY FENCING

As soon as practicable, but not later than 15 days after the date established for commencement of work, furnish and erect temporary project safety fencing at the work site. Maintain the safety fencing during the life of the Contract and, upon completion and acceptance of the work, remove from the work site.

3.6 CLEANUP

Remove construction debris, waste materials, packaging material and the like from the work site daily. Any dirt or mud which is tracked onto paved or surfaced roadways must be cleaned away. Store all salvageable materials resulting from demolition activities within the fenced area described above or at the supplemental storage area. Neatly stack stored materials not in trailers, whether new or salvaged.

3.7 RESTORATION OF STORAGE AREA

Upon completion of the project remove the bulletin board, signs, barricades, haul roads, and all other temporary products from the site. After removal of trailers, materials, and equipment from within the fenced area, remove the fence. Restore areas used during the performance of the Contract to the original or better condition. Remove gravel used to traverse grassed areas and restore the area to its original condition, including top soil and seeding as necessary.

-- End of Section --

NOT FOR BIDDING PURPOSES

NOT FOR BIDDING PURPOSES

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SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 273	Standards for Universal Waste Management
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

1.2 DEFINITIONS

1.2.1 Co-mingle

The practice of placing unrelated materials together in a single container, usually for benefits of convenience and speed.

1.2.2 Construction Waste

Waste generated by construction activities, such as scrap materials, damaged or spoiled materials, temporary and expendable construction materials, and other waste generated by the workforce during construction activities.

1.2.3 Demolition Debris/Waste

Waste generated from demolition activities, including minor incidental demolition waste materials generated as a result of intentional dismantling of all or portions of a building, to include clearing of building contents that have been destroyed or damaged.

1.2.4 Disposal

Depositing waste in a solid waste disposal facility, usually a managed landfill or incinerator, regulated in the US under the Resource Conservation and Recovery Act (RCRA).

1.2.5 Diversion

The practice of diverting waste from disposal in a landfill or incinerator, by means of eliminating or minimizing waste, or reuse of materials.

1.2.6 Final Construction Waste Diversion Report

A written assertion by a material recovery facility operator identifying

constituent materials diverted from disposal, usually including summary tabulations of materials, weight in short-ton.

1.2.7 Recycling

The series of activities, including collection, separation, and processing, by which products or other materials are diverted from the solid waste stream for use in the form of raw materials in the manufacture of new products sold or distributed in commerce, or the reuse of such materials as substitutes for goods made of virgin materials, other than fuel.

1.2.8 Reuse

The use of a product or materials again for the same purpose, in its original form or with little enhancement or change.

1.2.9 Salvage

Usable, salable items derived from buildings undergoing demolition or deconstruction, parts from vehicles, machinery, other equipment, or other components.

1.2.10 Source Separation

The practice of administering and implementing a management strategy to identify and segregate unrelated waste at the first opportunity.

1.3 CONSTRUCTION WASTE (INCLUDES DEMOLITION DEBRIS/WASTE)

Divert a minimum of 60 percent by weight of the project construction waste and demolition debris/waste from the landfill or incinerator. Follow applicable industry standards in the management of waste. Apply sound environmental principles in the management of waste. (1) Practice efficient waste management when sizing, cutting, and installing products and materials and (2) use all reasonable means to divert construction waste and demolition debris/waste from landfills and incinerators and to facilitate the recycling or reuse of excess construction materials.

1.4 CONSTRUCTION WASTE MANAGEMENT

Implement a Construction Waste Management Program for the project. Take a pro-active, responsible role in the management of construction construction waste, recycling process, disposal of demolition debris/waste, and require all subcontractors, vendors, and suppliers to participate in the Construction Waste Management Program. Establish a process for clear tracking, and documentation of construction waste and demolition debris/waste.

1.4.1 Implementation of Construction Waste Management Program

Develop and document how the Construction Waste Management Program will be implemented in a Construction Waste Management Plan. Submit a Construction Waste Management Plan to the Contracting Officer for approval. Construction waste and demolition debris/waste materials include un-used construction materials not incorporated in the final work, as well as demolition debris/waste materials from demolition activities or deconstruction activities. In the management of waste, consider the availability of viable markets, the condition of materials, the ability to

provide material in suitable condition and in a quantity acceptable to available markets, and time constraints imposed by internal project completion mandates.

1.4.2 Oversight

The Quality Control Manager, as specified in Section 01 45 00 QUALITY CONTROL, is responsible for overseeing and documenting results from executing the Construction Waste Management Plan for the project.

1.4.3 Special Programs

Implement special programs involving rebates or similar incentives related to recycling of construction waste and demolition debris/waste materials. Retain revenue or savings from salvaged or recycling, unless otherwise directed. Ensure firms and facilities used for recycling, reuse, and disposal are permitted for the intended use to the extent required by federal, state, and local regulations.

1.4.4 Special Instructions

Provide on-site instruction of appropriate separation, handling, recycling, salvage, reuse, and return methods to be used by all parties at the appropriate stages of the projects. Designation of single source separating or commingling will be clearly marked on the containers.

1.4.5 Waste Streams

Delineate waste streams and characterization, including estimated material types and quantities of waste, in the Construction Waste Management Plan. Manage all waste streams associated with the project. Typical waste streams are listed below. Include additional waste streams not listed:

- a. Land Clearing Debris
- b. Asphalt
- c. Masonry and CMU
- d. Concrete
- e. Metals (Includes, but is not limited to, banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized, stainless steel, aluminum, copper, zinc, bronze.)
- f. Wood (nails and staples allowed)
- g. Glass
- h. Paper
- i. Plastics (PET, HDPE, PVC, LDPE, PP, PS, Other)
- j. Gypsum
- k. Non-hazardous paint and paint cans
- l. Carpet

- m. Ceiling Tiles
- n. Insulation
- o. Beverage Containers

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Construction Waste Management Plan; G

1.6 MEETINGS

Conduct Construction Waste Management meetings. After award of the Contract and prior to commencement of work, schedule and conduct a meeting with the Contracting Officer to discuss the proposed Construction Waste Management Plan and to develop a mutual understanding relative to the management of the Construction Waste Management Program and how waste diversion requirements will be met.

The requirements of this meeting may be fulfilled during the coordination and mutual Understanding meeting outlined in Section 01 45 00 QUALITY CONTROL. At a minimum, discuss and document waste management goals at following meetings:

- a. Preconstruction meeting.

1.7 CONSTRUCTION WASTE MANAGEMENT PLAN

Submit Construction Waste Management Plan within 45 calendar days after notice to proceed. Revise and resubmit Construction Waste Management Plan as necessary, in order for construction to begin.. Submit Construction Waste Management Plan not less than 60 calendar days before scheduled final site or building design approval. Revise and resubmit Construction Waste Management Plan until it receives final approval from the Contracting Officer, in order for construction to begin. Execute demolition or deconstruction activities in accordance with Section 02 41 00 DEMOLITION AND DECONSTRUCTION. Manage demolition debris/waste or deconstruction materials in accordance with the approved construction waste management plan.

An approved Construction Waste Management Plan will not relieve the Contractor of responsibility for compliance with applicable environmental regulations or meeting project cumulative waste diversion requirement. Ensure all subcontractors receive a copy of the approved Construction Waste Management Plan. The plan demonstrates how to meet the project waste diversion requirement. Also, include the following in the plan:

- a. Identify the names of individuals responsible for waste management and waste management tracking, along with roles and responsibilities on the project.
- b. Actions that will be taken to reduce solid waste generation, including

coordination with subcontractors to ensure awareness and participation.

- c. Description of the regular meetings to be held to address waste management.
- d. Description of the specific approaches to be used in recycling/reuse of the various materials generated, including the areas on site and equipment to be used for processing, sorting, and temporary storage of materials.
- e. Name of landfill and incinerator to be used.
- f. Identification of local and regional re-use programs, including non-profit organizations such as schools, local housing agencies, and organization that accept used materials such as material exchange networks and resale stores. Include the name, location, phone number for each re-use facility identified, and provide a copy of the permit or license for each facility.
- g. List of specific materials, by type and quantity, that will be salvaged for resale, salvaged and reused on the current project, salvaged and stored for reuse on a future project, or recycled. Identify the recycling facilities by name, address, and phone number.
- h. Identification of materials that cannot be recycled or reused with an explanation or justification, to be approved by the Contracting Officer.
- i. Description of the means by which materials identified in item (g) above will be protected from contamination.
- j. Description of the means of transportation of the recyclable materials (whether materials will be site-separated and self-hauled to designated centers, or whether mixed materials will be collected by a waste hauler and removed from the site).
- k. Copy of training plan for subcontractors and other services to prevent contamination by co-mingling materials identified for diversion and waste materials.
- l. Identification of at least 5 construction or demolition material streams for diversion.
- m. Detailed plan and distribution of waste diversion between buildings, when project is a part of a campus.
- n. Facilities or subcontractors offering construction waste transport on-site or off-site must ensure that proper shipping orders, bill of lading, manifests, or other shipping documents containing waste diversion information meet requirements of 40 CFR 273 Universal Waste Management, 49 CFR 173 Shippers - General Requirements for Shipments and Packagings, and 49 CFR 178 Specifications for Packaging. Individuals signing manifests or other shipping documents should meet the minimum training requirements.
- o. List each supplier who deliver construction materials, in bulk, or package products in returnable containers or returnable packaging, or have take-back programs. List each program and the applicable material to actively monitor and track to assist in meeting waste

diversion requirements on the project.

- p. Identify local jurisdiction requirements for waste management. Include local requirements and points of contact.

Distribute copies of the waste management plan to each subcontractor, Quality Control Manager , and the Contracting Officer.

1.8 RECORDS (DOCUMENTATION)

1.8.1 General

Maintain records to document the types and quantities of waste generated and diverted through re-use, recycling and sale to third parties; through disposal to a landfill or incinerator facility. Provide explanations for materials not recycled, reused or sold. Collect and retain manifests, weight tickets, sales receipts, and invoices specifically identifying diverted project waste materials or disposed materials.

1.8.2 Accumulated

Maintain a running record of materials generated and diverted from landfill disposal, including accumulated diversion rates for the project. Make records available to the Contracting Officer during construction or incidental demolition activities. Provide a copy of the diversion records to the Contracting Officer upon completion of the construction, incidental demolitions or minor deconstruction activities.

1.9 REPORTS

1.9.1 General

Maintain current construction waste diversion information on site for periodic inspection by the Contracting Officer. Include in the quarterly reports, annual reports and final reports: the project name, contract information, information for waste generated, diverted and disposed of for the current reporting period and show cumulative totals for the project. Reports must identify quantities of waste by type and disposal method. Also include in each report, supporting documentation to include manifests, weigh tickets, receipts, and invoices specifically identifying the project and waste material type and weighted sum.

1.9.2 Quarterly Reporting

Provide cumulative reports at the end of each quarter (December, March, June, and September, corresponding with the federal fiscal year for reporting purposes). Submit quarterly reports not later than 15 calendar days after the preceding quarter has ended. Submit Quarterly Reports to the appropriate office or identified point of contact.

1.9.3 Annual Reporting

Provide a cumulative construction waste diversion report annually. Submit annual report not later than 30 calendar days after the preceding fourth quarter has ended. Provide copy of annual construction waste diversion report to the installation POC.

1.10 FINAL CONSTRUCTION WASTE DIVERSION REPORT

A Final Construction Waste Diversion Report is required at the end of the project. Provide Final Construction Waste Diversion Report 60 days prior to the Beneficial Occupancy Date (BOD). The final Construction Waste Diversion Report must be included in the Sustainability eNotebook in accordance with Section 01 33 29 SUSTAINABILITY REQUIREMENTS AND REPORTING.

1.11 COLLECTION

Collect, store, protect, and handle reusable and recyclable materials at the site in a manner which prevents contamination, and provides protection from the elements to preserve their usefulness and monetary value. Provide receptacles and storage areas designated specifically for recyclable and reusable materials and label them clearly and appropriately to prevent contamination from other waste materials. Keep receptacles or storage areas neat and clean.

Train subcontractors and other service providers to either separate waste streams or use the co-mingling method as described in the Construction Waste Management Plan. Handle hazardous waste and hazardous materials in accordance with applicable regulations. Separate materials by one of the following methods described herein:

1.11.1 Source Separation Method

Separate waste products and materials that are recyclable from trash and sort as described below into appropriately marked separate containers and then transport to the respective recycling facility for further processing. Deliver materials in accordance with recycling or reuse facility requirements (e.g., free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process). Separate materials into the category types as defined in the Construction Waste Management Plan.

1.11.2 Co-Mingled Method

Place waste products and recyclable materials into a single container and then transport to an authorized recycling facility, which meets all applicable requirements to accept and dispose of recyclable materials in accordance with all applicable local, state and federal regulations. The Co-mingled materials must be sorted and processed in accordance with the approved Construction Waste Management Plan.

1.11.3 Other Methods

Other methods proposed by the Contractor may be used when approved by the Contracting Officer.

1.12 DISPOSAL

Control accumulation of waste materials and trash. Recycle or dispose of collected materials off-site at intervals approved by the Contracting Officer and in compliance with waste management procedures as described in the waste management plan. Except as otherwise specified in other sections of the specifications, dispose of in accordance with the following:

1.12.1 Reuse

Give first consideration to reusing construction and demolition materials as a disposition strategy. Recover for reuse materials, products, and components as described in the approved Construction Waste Management Plan. Coordinate with the Contracting Officer to identify onsite reuse opportunities or material sales or donation available through Government resale or donation programs. Sale of recovered materials is not allowed on the Installation. Consider the use of surplus industrial supply broker services, who match entities with reusable or repurpose industrial materials with entities with need of such materials.

1.12.2 Recycle

Recycle non-hazardous construction and demolition/debris materials that are not suitable for reuse. Track rejection of contaminated recyclable materials by the recycling facility. Rejected recyclables materials will not be counted as a percentage of diversion calculation. Recycle all fluorescent lamps, HID lamps, mercury (Hg) -containing thermostats and ampoules, and PCBs-containing ballasts and electrical components as directed by the Contracting Officer. Do not crush lamps on site as this creates a hazardous waste stream with additional handling requirements.

1.12.3 Compost

Consider composting on site if a reasonable amount of compostable materials will be available and a utilization of compostable material can be determined and appropriately planned for. Compostable materials include plant materials, sawdust and certain food scraps. Composting as a strategy must be explicitly addressed in the Construction Waste Management Plan submitted for approval to ensure it is feasible.

1.12.4 Waste

Dispose by landfill or incineration only those waste materials with no practical use, economic benefit, or recycling opportunity.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used. -- End of Section --

SECTION 01 78 00

CLOSEOUT SUBMITTALS

PART 1 GENERAL

1.1 DEFINITIONS

1.1.1 As-Built Drawings

As-built drawings are the marked-up drawings, maintained by the Contractor on-site, that depict actual conditions and deviations from the Contract Documents. These deviations and additions may result from coordination required by, but not limited to: contract modifications; official responses to submitted Requests for Information (RFI's); direction from the Contracting Officer; design that is the responsibility of the Contractor, and differing site conditions. Maintain the as-builts throughout construction as red-lined hard copies on site. These files serve as the basis for the creation of the record drawings.

1.1.2 Record Drawings

The record drawings are the final compilation of actual conditions reflected in the as-built drawings.

1.2 SOURCE DRAWING FILES

Request the full set of electronic drawings, in the source format, for Record Drawing preparation, after award and at least 30 days prior to required use.

1.2.1 Terms and Conditions

Data contained on these electronic files must not be used for any purpose other than as a convenience in the preparation of construction drawings and data for the referenced project. Any other use or reuse is at the sole risk of the Contractor and without liability or legal exposure to the Government. The Contractor must make no claim and waives to the fullest extent permitted by law, any claim or cause of action of any nature against the Government, its agents or sub consultants that may arise out of or in connection with the use of these electronic files. The Contractor must, to the fullest extent permitted by law, indemnify and hold the Government harmless against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.

These electronic CAD drawing files are not construction documents. Differences may exist between the CAD files and the corresponding construction documents. The Government makes no representation regarding the accuracy or completeness of the electronic CAD files, nor does it make representation to the compatibility of these files with the Contractor hardware or software. In the event that a conflict arises between the signed and sealed construction documents prepared by the Government and the furnished Source drawing files, the signed and sealed construction documents govern. The Contractor is responsible for determining if any conflict exists. Use of these Source Drawing files does not relieve the Contractor of duty to fully comply with the contract documents, including

and without limitation, the need to check, confirm and coordinate the work of all contractors for the project. If the Contractor uses, duplicates or modifies these electronic source drawing files for use in producing construction drawings and data related to this contract, remove all previous indicia of ownership (seals, logos, signatures, initials and dates).

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Warranty Management Plan

Spare Parts Data

SD-08 Manufacturer's Instructions

Posted Instructions

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

SD-11 Closeout Submittals

As-Built Drawings; G

As-Built Record of Equipment and Materials

Warranted Equipment and Materials

1.4 SPARE PARTS DATA

Submit two copies of the Spare Parts Data list.

- a. Indicate manufacturer's name, part number, and stock level required for test and balance, pre-commissioning, maintenance and repair activities. List those items that may be standard to the normal maintenance of the system.

1.5 WARRANTY MANAGEMENT

1.5.1 Warranty Management Plan

Develop a warranty management plan which contains information relevant to FAR 52.246-21 Warranty of Construction. At least 30 days before the planned pre-warranty conference, submit one set of the warranty management plan. Include within the warranty management plan all required actions and documents to assure that the Government receives all warranties to which it is entitled. The plan narrative must contain sufficient detail to render it suitable for use by future maintenance and repair personnel, whether tradesmen, or of engineering background, not necessarily familiar with this contract. The term "status" as indicated below must include due date and whether item has been submitted or was accomplished. Submit

warranty information, made available during the construction phase, to the Contracting Officer for approval prior to each monthly pay estimate. Assemble approved information in a binder and turn over to the Government upon acceptance of the work. The construction warranty period must begin on the date of project acceptance and continue for the full product warranty period. Conduct a joint 4 month and 9 month warranty inspection, measured from time of acceptance; with the Contractor, Contracting Officer and the Customer Representative. The warranty management plan must include, but is not limited to, the following:

- a. Roles and responsibilities of personnel associated with the warranty process, including points of contact and telephone numbers within the organizations of the Contractors, subcontractors, manufacturers or suppliers involved.
- b. For each warranty, the name, address, telephone number, and e-mail of each of the guarantor's representatives nearest to the project location.
- c. A list and status of delivery of Certificates of Warranty for extended warranty items, including roofs, HVAC balancing, pumps, motors, transformers, and for commissioned systems, such as fire protection and alarm systems, sprinkler systems, and lightning protection systems.
- d. Warranted Equipment and Materials list for each warranted equipment, item, feature of construction or system indicating:
 - (1) Name of item.
 - (2) Model and serial numbers.
 - (3) Location where installed.
 - (4) Name and phone numbers of manufacturers or suppliers.
 - (5) Names, addresses and telephone numbers of sources of spare parts.
 - (6) Warranties and terms of warranty. Include one-year overall warranty of construction, including the starting date of warranty of construction. Items which have warranties longer than one year must be indicated with separate warranty expiration dates.
 - (7) Cross-reference to warranty certificates as applicable.
 - (8) Starting point and duration of warranty period.
 - (9) Summary of maintenance procedures required to continue the warranty in force.
 - (10) Cross-reference to specific pertinent Operation and Maintenance manuals.
 - (11) Organization, names and phone numbers of persons to call for warranty service.
 - (12) Typical response time and repair time expected for various warranted equipment.
- e. The plans for attendance at the 4 and 9 month post-construction warranty inspections conducted by the Government.
- f. Procedure and status of tagging of equipment covered by warranties longer than one year.
- g. Copies of instructions to be posted near selected pieces of equipment where operation is critical for warranty or safety reasons.

1.5.2 Pre-Warranty Conference

Prior to contract completion, and at a time designated by the Contracting

Officer, meet with the Contracting Officer to develop a mutual understanding with respect to the requirements of this section. At this meeting, establish and review communication procedures for Contractor notification of construction warranty defects, priorities with respect to the type of defect, reasonable time required for Contractor response, and other details deemed necessary by the Contracting Officer for the execution of the construction warranty. In connection with these requirements and at the time of the Contractor's quality control completion inspection, furnish the name, telephone number and address of a licensed and bonded company which is authorized to initiate and pursue construction warranty work action on behalf of the Contractor. This point of contact must be located within the local service area of the warranted construction, be continuously available, and be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any of its responsibilities in connection with other portions of this provision.

PART 2 PRODUCTS

2.1 CERTIFICATION OF EPA DESIGNATED ITEMS

Submit the Certification of EPA Designated Items as required by FAR 52.223-9 Estimate of Percentage of Recovered Material Content for EPA Designated Items and FAR 52-223-17 Affirmative Procurement of EPA designated items in Service and Construction Contracts. Include on the certification form the following information: project name, project number, Contractor name, license number, Contractor address, and certification.

Record each product used in the project that has a requirement or option of containing recycled content in accordance with SECTION 01 33 29 SUSTAINABILITY REQUIREMENTS AND REPORTING, noting total price, total value of post-industrial recycled content, total value of post-consumer recycled content, exemptions (a, b, c, or d, as indicated), and comments. Recycled content values may be determined by weight or volume percent, but must be consistent throughout.

PART 3 EXECUTION

3.1 AS-BUILT DRAWINGS

Provide and maintain two black line print copies of the PDF contract drawings for As-Built Drawings. Maintain the as-builts throughout construction as red-lined hard copies on site and or red-lined PDF files. Submit As-Built Drawings 30 days prior to Beneficial Occupancy Date (BOD).

3.1.1 Markup Guidelines

Make comments and markup the drawings complete without reference to letters, memos, or materials that are not part of the As-Built drawing. Show what was changed, how it was changed, where item(s) were relocated and change related details. These working as-built markup prints must be neat, legible and accurate as follows:

- a. Use base colors of red, green, and blue. Color code for changes as follows:

- (1) Special (Blue) - Items requiring special information, coordination, or special detailing or detailing notes.

(2) Deletions (Red) - Over-strike deleted graphic items (lines), lettering in notes and leaders.

(3) Additions (Green) - Added items, lettering in notes and leaders.

- b. Provide a legend if colors other than the "base" colors of red, green, and blue are used.
- c. Add and denote any additional equipment or material facilities, service lines, incorporated under As-Built Revisions if not already shown in legend.
- d. Use frequent written explanations on markup drawings to describe changes. Do not totally rely on graphic means to convey the revision.
- e. Use legible lettering and precise and clear digital values when marking prints. Clarify ambiguities concerning the nature and application of change involved.
- f. Wherever a revision is made, also make changes to related section views, details, legend, profiles, plans and elevation views, schedules, notes and call out designations, and mark accordingly to avoid conflicting data on all other sheets.
- g. For deletions, cross out all features, data and captions that relate to that revision.

3.1.2 As-Built Drawings Content

Show on the as-built drawings, but not limited to, the following information:

- a. The actual location, kinds and sizes of all sub-surface utility lines. In order that the location of these lines and appurtenances may be determined in the event the surface openings or indicators become covered over or obscured, show by offset dimensions to two permanently fixed surface features the end of each run including each change in direction on the record drawings. Locate valves, splice boxes and similar appurtenances by dimensioning along the utility run from a reference point. Also record the average depth below the surface of each run.
- b. The location and dimensions of any changes within the building structure.
- c. Layout and schematic drawings of electrical circuits and piping.
- d. Correct grade, elevations, cross section, or alignment of roads, earthwork, structures or utilities if any changes were made from contract plans.
- e. Changes in details of design or additional information obtained from working drawings specified to be prepared or furnished by the Contractor; including but not limited to shop drawings, fabrication, erection, installation plans and placing details, pipe sizes, insulation material, dimensions of equipment, and foundations.
- f. The topography, invert elevations and grades of drainage installed or

affected as part of the project construction.

- g. Changes or Revisions which result from the final inspection such as changes in location of equipment and architectural features..
- h. Systems designed or enhanced by the Contractor, such as HVAC controls, fire alarm, fire sprinkler, and irrigation systems.

3.2 RECORD DRAWINGS

3.3 OPERATION AND MAINTENANCE MANUALS

Provide project operation and maintenance manuals as specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Provide four electronic copies of the Operation and Maintenance Manual files and one hard copy of the Operation and Maintenance Manuals. Submit to the Contracting Officer for approval within 30 calendar days of the Beneficial Occupancy Date (BOD). Update and resubmit files for final approval at BOD.

3.4 CLEANUP

Leave premises "broom clean." Clean interior and exterior glass surfaces exposed to view; remove temporary labels, stains and foreign substances; polish transparent and glossy surfaces; vacuum carpeted and soft surfaces. Clean equipment and fixtures to a sanitary condition. For equipment running during construction replace filters of operating equipment. Clean debris from roofs, gutters, downspouts and drainage systems. Sweep paved areas and rake clean landscaped areas. Remove waste and surplus materials, rubbish and construction facilities from the site..

-- End of Section --

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE GUIDELINE 1.4 (2019) Preparing Systems Manuals for Facilities

ASTM INTERNATIONAL (ASTM)

ASTM E1971 (2005; R 2011) Standard Guide for Stewardship for the Cleaning of Commercial and Institutional Buildings

ASTM E2166 (2016; R 2023) Standard Practice for Organizing and Managing Building Data

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-10 Operation and Maintenance Data

Training Plan;

Operation And Maintenance Manual, Progress Submittal;

Operation And Maintenance Manual, Final Submittal; G

SD-11 Closeout Submittals

Training Video Recording; G

Validation of Training Completion; G

Record Drawings And Utility Systems; G

1.3 MEETINGS

To assure that Operation and Maintenance Manual and Facility Data Workbook requirements are being met through the duration of the project, organize the following meetings and discuss the subsequent topics:

1.3.1 Pre-Construction Meeting

At a minimum, discuss the following:

- a. The requirement for Operation and Maintenance Manuals and Facility Data deliverables under this contract including coordination meetings
- b. Processes and method of gathering Facility Data information during construction
- c. Primary roles and responsibilities associated with the development and delivery of the Operation and Maintenance Manuals and Facility Data deliverables, and
- d. Identify and agree upon a date and attendance list for the meetings described below:

1.3.2 Operation and Maintenance Manual and Facility Data Workbook Coordination Meeting

Facilitate a meeting after the Pre-Construction Meeting prior to the submission of the Operation and Maintenance Manual Progress Submittal. Meeting attendance must include the Contractor's Operation and Maintenance Manual and Facility Data Workbook Preparer, Designer of Record (DOR), Quality Control Manager, the Commissioning Authority (CxA), the Government's Design Manager (DM), Contracting Officer's Representative, and Government's facility data reviewer. Include any Mechanical, Electrical, and Fire Protection Sub-Contractors.

The purpose of this meeting is to reach a mutual understanding of the scope of work concerning the contract requirements for Operation and Maintenance Manual and coordinate the efforts necessary by both the Government and Contractor to ensure an accurate collection, preparation and timely Government review of Operation and Maintenance Manual.

1.3.3 Submittal Coordination Meeting

Facilitate a meeting following submission and Government review of each design or progress submittal of the Operation and Maintenance Manual and Facility Data Workbook.

- a. Include personnel from the Coordination meeting and any additional personnel identified.
- b. The purpose of this meeting is to demonstrate ongoing compliance with the requirements identified in this specification. Discuss Government review comments and unresolved items preventing completion and Government approval of the Operation and Maintenance Manuals and Facility Data Workbook (FDW).
- c. The applicable deliverables, along with Government remarks associated with review of these submittals serve as the primary guide and agenda for this meeting.

1.3.4 Facility Turnover Meeting

Include Operation and Maintenance Manual in NAVFAC Red Zone (NRZ) facility turnover meetings as specified in Section 01 30 00, ADMINISTRATIVE REQUIREMENTS.

1.4 FACILITY DATA WORKBOOK

Develop an editable, electronic spreadsheet based on the equipment in the Operation and Maintenance Manuals that contains the information required to start a preventive maintenance program. As a minimum, provide Facility Data Workbook as a list of system equipment, location installed, warranty expiration date, manufacturer, model, and serial number.

1.5 OPERATION AND MAINTENANCE MANUAL MEDIA

Assemble Operation and Maintenance Manual into an electronically bookmarked file using the most current version of Adobe Acrobat or similar software capable of producing PDF file format. Provide compact disks (CD) or data digital versatile disk (DVD) as appropriate, so that each one contains operation, maintenance and record files, project record documents, and training videos. Include a complete bookmarked operation and maintenance directory.

1.5.1 CD or DVD Label and Disk Holder or Case

Provide the following information on the disk label and disk holder or case:

- a. Building Number
- b. Project Title
- c. Activity and Location
- d. Construction Contract Number
- e. Prepared For: (Contracting Agency)
- f. Prepared By: (Name, title, phone number and email address)
- g. Include the disk content on the disk label
- h. Date
- i. Virus scanning program used

1.5.2 O&M Manual Tabbed Hard Copy

Provide a hard copy of the O&M manual upon completion of the project. Provide tabs for each section and subsection for ease of navigation by the user.

1.6 O&M MANUAL CONTENT

Organize the bookmarked Operation and Maintenance Manual into the following Parts in accordance with ASHRAE GUIDELINE 1.4, and as modified and detailed below. Word template for O&M Manual is available at: <https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-01-78-23>.

1.6.1 Part 1: Executive Summary

Provide a summary of the information found in the O&M manual including the

purpose of the manual and a description of the manual's organization.

1.6.2 Part 2: Facility Design and Construction

1.6.2.1 General Facility and Systems Description

Provide an overview of the intent for design and use of the facility. Provide a PDF of the Record Drawings prepared in accordance with and 01 78 00 CLOSEOUT SUBMITTALS and bookmarked using the sheet title and sheet number. Include uncluttered 11 by 17 inches floor plans with room numbers, type or function of space, and overall facility dimensions on the floor plans. Do not include items such as construction instructions, references, or frame numbers.

Detail the overall dimensions of the facility, number of floors, foundation type, expected number of occupants, and facility Category Code list and generally describe all the facility systems and any special building features (for example, HVAC Controls, Sprinkler Systems, Cranes, Elevators, and Generators). Include photographs marked up and labeled to show key operating components and the overall facility appearance.

1.6.2.2 Basis of Design

Provide a copy of the contract Basis of Design.

1.6.2.3 Contract Documents, RFP, Amendments, and Modifications

Provide the contract construction documents complete, to include specifications, drawings, Request for Proposal, amendments, and modifications.

1.6.2.4 Room Inventory of Real Property and Finishes

Provide a list of installed equipment furnished under this contract. Include all information usually listed on manufacturer's name plate. Include, as applicable, the following information for each piece of equipment installed: description of item, all dimensions, location by room number, model number, serial number, capacity, name and address of manufacturer, name and address of equipment supplier, condition, spare parts list, manufacturer's catalog, and warranty. Real property includes, but is not limited to, floor coverings, wall surfaces, ceiling surfaces, windows, roofing, HVAC filters, plumbing fixtures, and lighting fixtures. Submit the final list 30 days after transfer of the completed facility.

1.6.3 Part 3: Facilities, Systems, and Assemblies Information

1.6.3.1 Organization

Bookmark information in this section using the current version of ASTM E2166 Uniformat II, UFGS numbers, and document type as outlined in the example below. Bookmark/tab each item to the third level for easy navigation of the manual.

Example as shown in Table below:

PARTS AND SUBPART NUMBERING	
3.1 B20 EXTERIOR CLOSURE (System)	
3.1.1 B2030 EXTERIOR DOORS (Subsystem)	
3.1.1.1 B2030110 GLAZED DOORS (Component)	
3.1.1.1.1 Applicable specifications List in UFGS Format	
3.1.1.1.2 Manufacturer's Operations and Maintenance Data	
3.1.1.1.3 Approved Submittal	
3.1.1.1.4 Coordination/Shop Drawings	
3.1.1.1.5 Sequence of Operation for Operating Equipment	
3.1.1.1.6 Testing Equipment Information and Performance Data	
3.1.1.1.7 Routine Maintenance Requirements	
3.1.1.1.8 Repair Procedures	
3.1.1.1.9 Emergency Procedures & Locations of Applicable Controls	
3.1.1.1.10 Warranties	
3.1.1.1.11 Record Drawings and Utility Systems	
3.1.1.1.12 Contractor / Supplies Listing and Contact Information	

1.6.3.2 Related Specifications

Reference each specification related to the subsystem in this section, and locate the actual specification section in Part 2 of the O&M Manual. List specifications in table format as shown in the below example.

UFGS Number	Specification Title	Page Spec Begins in Part 2

1.6.3.3 Manufacturer's Operations and Maintenance Data

Provide a copy of all manufacturer specifications and cutsheets. Provide text-searchable, high-quality document files from the manufacturer's online or electronic documentation. Color documents are preferred. Provide documents specific to the product(s) installed under this Contract. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Provide Uniformat II Level 3 identification for D20, D30, D40 installed equipment. When possible, do not submit document files containing multiple product catalogs from the same manufacturer, or product data from multiple manufacturers in the same files. Provide documents directly from the manufacturer whenever possible. Do not provide scanned copies of hardcopy documents. Provide identification and coverage for the parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts.

Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing must show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Group the parts shown in the listings by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master part catalog.

1.6.3.4 Approved Submittals and Certificates

Provide a copy of all submittals documented with the required approval as applicable for each UFGS specification listed in the table outlined in applicable specifications. Include copies of SD-07 Certificates submittals documented with the required approval, SD-08 Manufacturer's Instructions submittals documented with the required approval, and SD-10 Operation and Maintenance Data submittals documents with the required approval.

1.6.3.5 Approved Coordination/Shop Drawings

Drawings, diagrams and schedules specifically prepared to illustrate some portion of the work. Diagrams and instructions from a manufacturer or fabricator for use in producing the product and as aids to the Contractor for integrating the product or system into the project. Drawings prepared by or for the Contractor to show how multiple systems and interdisciplinary work will be coordinated.

1.6.4 Sequence of Operation for Operating Equipment

Provide record one-line diagrams for each floor, delineating mechanical equipment location within the building. Provide specific instructions, procedures, and illustrations for the following phases of operation for the installed model and features of each system:

1.6.4.1 Safety Precautions and Hazards

List personnel hazards and equipment or product safety precautions for operating conditions. List all residual hazards identified in the Activity Hazard Analysis provided under Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS. Provide recommended safeguards for each identified hazard. Specify if any certifications or licenses are required to operate the equipment.

1.6.4.2 Operator Prestart

Provide procedures required to install, set up, and prepare each system for use.

1.6.4.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.6.4.4 Normal Operations

Provide Control Diagrams with data to explain operation and control of systems and specific equipment. Provide narrative description of Normal Operating Procedures.

1.6.4.5 Emergency Operations

Provide Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Provide Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.6.4.6 Operator Service Requirements

Provide instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gauge readings.

1.6.4.7 Environmental Conditions

Provide a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.6.4.8 Operating Log

Provide forms, sample logs, and instructions for maintaining necessary operating records.

1.6.4.9 Additional Requirements for Equipment Control Systems

Provide Data Package 5 and the following for all control systems:

- a. Provide a narrative description on how to perform and apply functions, features, modes, and other operations, including unoccupied operation, seasonal changeover, manual operation, and alarms. Include detailed technical manual for programming and customizing control loops and algorithms.
- b. Submit complete controls equipment schedules, full as-built sequence of operations, wiring and logic diagrams, Input/Output Tables, equipment schedules, copies of checkout tests and calibrations performed by the Contractor (not Cx tests), and all associates information.
- c. Full points list. Provide a listing of rooms with the following information for each room:
 - (1) Floor
 - (2) Room number
 - (3) Room name
 - (4) Air handler unit ID

- (5) Reference drawing number
- (6) Air terminal unit tag ID
- (7) Heating or cooling valve tag ID
- (8) Minimum cfm
- (9) Maximum cfm
- d. Full print out of all schedules and set points after testing and acceptance of the system.
- e. Full as-built print out of software program.
- f. Marking of system sensors and thermostats on the as-built floor plan and mechanical drawings with their control system designations.

1.6.4.10 Testing Equipment Information and Performance Data

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.5 Routine Maintenance Requirements

1.6.5.1 Preventive Maintenance Plan, Schedule, and Procedures

Provide manufacturer's schedule for routine preventive maintenance, inspections, condition monitoring (predictive tests) and adjustments required to ensure proper and economical operation and to minimize repairs. Provide instructions stating when the systems should be retested. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including requirements by type of activity. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

- a. Define the anticipated time required to perform each test (work-hours), test apparatus, number of personnel identified by responsibility, and a testing validation procedure permitting the record operation capability requirements within the schedule. Provide a remarks column for the testing validation procedure referencing operating limits of time, pressure, temperature, volume, voltage, current, acceleration, velocity, alignment, calibration, adjustments, cleaning, or special system notes. Delineate procedures for preventive maintenance, inspection, adjustment, lubrication and cleaning necessary to minimize repairs.
- b. Repair requirements must inform operators how to check out, troubleshoot, repair, and replace components of the system. Include electrical and mechanical schematics and diagrams and diagnostic techniques necessary to enable operation and troubleshooting of the system after acceptance.

1.6.5.2 Lubrication Data

Include the following preventive maintenance lubrication data, in addition to instructions for lubrication required under paragraph OPERATOR SERVICE REQUIREMENTS:

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities. Provide procedural instructions for Oil Sampling for all equipment.
- c. A Lubrication Schedule showing service interval frequency.

1.6.6 Repair Procedures

Provide instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards. Provide manufacturer's recommended procedures and instructions for correcting problems and making repairs for the installed model and features of each system. Include potential environmental and indoor air quality impacts of recommended maintenance procedures and materials. Specify if any certifications or licenses are required to repair the equipment.

1.6.6.1 Troubleshooting Guides and Diagnostic Techniques

Provide step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.6.6.2 Wiring Diagrams and Control Diagrams

Provide point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.6.6.3 Removal and Replacement Instructions

Provide step-by-step procedures and a list of required specialty tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Use a combination of text and illustrations.

1.6.6.4 Repair Work-Hours

Provide manufacturer's projection of repair work-hours including requirements by type of craft. Identify, and tabulate separately, repair that requires the equipment manufacturer to complete or to participate.

1.6.6.5 Warranty Information

List and explain the various warranties and clearly identify the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Identify if replacement of a subassembly, attachment or accessory requires the entire assembly to be replaced. Include warranty information for primary components of the system. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.6 Extended Warranty Information

List all warranties for products, equipment, components, and sub-components whose duration exceeds one year. For each warranty listed, indicate the applicable specification section, duration, start date, end date, and the point of contact for warranty fulfillment. Also, list or reference the specific operation and maintenance procedures that must be performed to keep the warranty valid. Provide copies of warranties required by Section 01 78 00 CLOSEOUT SUBMITTALS.

1.6.6.7 Record Drawings and Utility Systems

The record drawings are the final compilation of actual conditions reflected in the as-built drawings. Provide record drawings as outlined in 01 78 00 CLOSEOUT SUBMITTALS.

Using Record Source Drawings, show and document details of the actual installation of the utility systems, annotate and highlight the Operation and Maintenance information. Provide the following drawings at a large enough scale to differentiate designated isolation units from surrounding valves and switches.

1.6.6.8 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.6.6.9 Contractor / Supplier Listing and Contact Information

Provide a list that includes the name, address, telephone number, email and website of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization that can provide replacements most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.6.7 Part 4: Facility Operations

1.6.7.1 Completed Facility Operating Plan

Provide a plan that documents that procedures for the operation of systems and assemblies in the facility. The systems that should be included in the Operating Plan include, but are not limited to:

- a. Electrical systems and equipment
- b. Mechanical and Plumbing systems and equipment

c. Control Systems and equipment

1.6.7.2 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components. Provide final set points.

1.6.7.3 Testing and Performance Data

Include completed prefunctional checklists, functional performance test forms, and monitoring reports. Include recommended schedule for retesting and blank test forms. Provide final set points.

1.6.7.4 Approved Field Test Reports and Manufacturer's Field Reports

Compile and provide approved Field Test Reports (SD-06) and Manufacturer's Field Reports (SD-09) submittals.

1.6.7.5 Maintenance Plans, Procedures, Checklists, Records, and Spare Parts Inventory

1.6.7.5.1 Maintenance Schedules

Include recommended maintenance schedules for systems and equipment.

1.6.7.5.2 Ongoing Commissioning Operational and Maintenance Record Keeping

Include ongoing commissioning and optimization procedures and documentation to monitor and improve the performance of facility systems.

1.6.7.5.3 Janitorial and Cleaning Plans and Procedures

Include a copy of facility cleaning and janitorial plan with procedures and intended chemicals and equipment.

Provide environmentally friendly cleaning recommendations in accordance with ASTM E1971.

1.6.7.6 Utility Record Drawings

1.6.7.6.1 Utility Schematic Diagrams

Provide a one-line schematic diagram for each utility system such as power, water, wastewater, and gas/fuel. Schematic diagram must show from the point where the utility line is connected to the mainline up to the 5 foot connection point to the facility. Indicate location or area designation for route of transmission or distribution lines; locations of duct banks, manholes/handholes or poles; isolation units such as valves and switches; and utility facilities such as pump stations, lift stations, and substations.

1.6.7.6.2 Enlarged Connection and Cutoff Plans

Provide enlarged floor plans and provide information between the 5 foot utilities connection point and where utilities connect to facility distribution. Enlarge floor plans/elevations of the rooms where the utility enters the building and indicate on these plans the locations of

the main interiors and exterior connection and cutoff points for the utilities. Also enlarge floor plans/elevations of the rooms where equipment is located. Include enough information to enable someone unfamiliar with the facility to locate the connection and cutoff points. Indicate designations such as room number, panel number, circuit breaker, or valve number of each utility and equipment connection and cutoff point, and what that connection and cutoff point controls.

1.6.7.6.2.1 Description of Utility Metering and Monitoring Systems

Provide in narrative format a description of the utility metering and monitoring systems. Include locations, function, and related systems.

1.6.7.6.2.2 Procedures for Tracking Utility Use and Reporting

Procedures for usage reporting and tracking in support of establishing and monitoring utility budgets and costs, and in developing annual energy reports.

1.6.7.6.2.3 One-Line Diagrams and Meter Location of System

Provide one-line diagrams and design drawings that highlight meter locations on the site.

1.6.7.6.3 Spare Parts and Supply Lists

Provide lists of spare parts and supplies required for repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.6.8 Part 5: Training

Provide a copy of training plans used for each type of equipment along with training materials used, arranged in specification sequence. Provide a copy of training records, sign-in sheets, and agendas. Include training and documentation on the updating and continued use of the O&M Manual.

1.6.9 Part 6: Cx Project Report and TAB Report

Provide the final Cx Plan and complete Cx reports with evaluation and testing forms and records for each building system. Include relevant commissioned system assemblies test reports including installers checklists of assemblies. Provide all Cx Progress Reports, issues and resolutions logs with resolution or status of each item, and a list of any open items and seasonal or additional testing required.

1.6.10 Part 7: Regulatory Requirements

Provide information describing regulatory and policies compliance requirements or provide a reference to where it is stored.

1.6.11 Part 8: Permits

Provide information requiring frequently asked questions and associated answers or provide a reference to where it is stored.

1.6.12 Part 9: Operations and Maintenance Manual Approval

Provide a signed document stating that the project O&M Manual has been reviewed and confirming agreement with the approach it presents. Include contact information for the signer for coordination of any future changes.

1.7 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Provide the O&M data packages specified in individual technical sections. O&M Data Packages are one of the components of the O&M Manual. The information required in each type of data package follows:

1.7.1 Package Quality

Documents must be fully legible. Operation and Maintenance data must be consistent with the manufacturer's standard brochures, schematics, printed instructions, general operating procedures, and safety precautions.

1.7.2 Data Package

- a. Safety precautions and hazards
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Environmental conditions
- g. Operating log
- h. Lubrication data
- i. Preventive maintenance plan, schedule, and procedures
- j. Cleaning recommendations
- k. Troubleshooting guides and diagnostic techniques
- l. Wiring diagrams and control diagrams
- m. Maintenance and repair procedures
- n. Removal and replacement instructions
- o. Spare parts and supply list
- p. Product submittal data
- q. O&M submittal data
- r. Parts identification
- s. Warranty information
- t. Extended warranty information

- u. Testing equipment and special tool information
- v. Testing and performance data
- w. Contractor information
- x. Field test reports

1.7.3 Changes to Submittals

Provide manufacturer-originated changes or revisions to submitted data if a component of an item is so affected subsequent to acceptance of the O&M Data. Submit changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data within 30 calendar days of the notification of this change requirement.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 TRAINING

Prior to acceptance of the facility by the Contracting Officer for Beneficial Occupancy, provide comprehensive training for the systems and equipment specified in the technical specifications. The training must be targeted for the building maintenance personnel, and applicable building occupants. Instructors must be well-versed in the particular systems that they are presenting. Address aspects of the Operation and Maintenance Manual submitted in accordance with Section 01 78 00 CLOSEOUT SUBMITTALS. Training must include classroom or field lectures based on the system operating requirements. The location of classroom training requires approval by the Contracting Officer.

3.1.1 Training Plan

Submit a written training plan to the Contracting Officer for approval at least 60 calendar days prior to the scheduled training. Training plan must be approved by the Quality Control Manager (QC) prior to forwarding to the Contracting Officer. Also, coordinate the training schedule with the Contracting Officer and QC. Include within the plan the following elements:

- a. Equipment included in training
- b. Intended audience
- c. Location of training
- d. Dates of training
- e. Objectives
- f. Outline of the information to be presented and subjects covered including description
- g. Start and finish times and duration of training on each subject

- h. Methods (e.g. classroom lecture, video, site walk-through, actual operational demonstrations, written handouts)
- i. Instructor names and instructor qualifications for each subject
- j. List of texts and other materials to be furnished by the Contractor that are required to support training
- k. Description of proposed software to be used for video recording of training sessions.

3.1.2 Training Content

The core of this training must be based on manufacturer's recommendations and the operation and maintenance information. The CxA is responsible for overseeing and approving the content and adequacy of the training. Spend 95 percent of the instruction time during the presentation on the OPERATION AND MAINTENANCE DATA. Include the following for each system training presentation:

- a. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.
- b. Relevant health and safety issues.
- c. Discussion of how the feature or system is environmentally responsive. Advise adjustments and optimizing methods for energy conservation.
- d. Design intent.
- e. Use of O&M Manual Files.
- f. Review of control drawings and schematics.
- g. Interactions with other systems.
- h. Special maintenance and replacement sources.
- i. Tenant interaction issues.

3.1.3 Training Outline

Provide the Operation and Maintenance Manual Files (Bookmarked PDF) and a written course outline listing the major and minor topics to be discussed by the instructor on each day of the course to each trainee in the course. Provide the course outline 14 calendar days prior to the training.

3.1.4 Training Video Recording

Record classroom training session(s) on video. Provide to the Contracting Officer two copies of the training session(s) in DVD video recording format. Capture within the recording, in video and audio, the instructors' training presentations including question and answer periods with the attendees. The recording camera(s) must be attended by a person during the recording sessions to assure proper size of exhibits and projections during the recording are visible and readable when viewed as

training.

3.1.5 Unresolved Questions from Attendees

If, at the end of the training course, there are questions from attendees that remain unresolved, the instructor must send the answers, in writing, to the Contracting Officer for transmittal to the attendees, and the training video must be modified to include the appropriate clarifications.

3.1.6 Validation of Training Completion

Ensure that each attendee at each training session signs a class roster daily to confirm Government participation in the training. At the completion of training, submit a signed validation letter that includes a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed, and copies of the signed class rosters. Provide two copies of the validation to the Contracting Officer, and one copy to the Operation and Maintenance Manual Preparer for inclusion into the Manual's documentation.

3.1.7 Quality Control Coordination

Coordinate this training with the QCin accordance with Section 01 45 00 QUALITY CONTROL.

3.2 SUBMITTAL SCHEDULING

3.2.1 Operation and Maintenance Manual, Progress Submittal

3.2.2 Operation and Maintenance Manual, Prefinal Submittal

Submit the 100 percent submittal of the Operation and Maintenance Prefinal Submittal to the Contracting Officer for approval within 60 calendar days of the Beneficial Occupancy Date (BOD). This submittal must provide a complete, working document that can be used to operate and maintain the facility. Any portion of the submittal that is incomplete or inaccurate requires the entire submittal to be returned for correction. Any discrepancies discovered during the Government's review of Operation and Maintenance Progress submittal must be corrected prior to the Prefinal submission. The Prefinal Submittal must include Operation and Maintenance Manual Files (Bookmarked PDF).

3.2.3 Operation and Maintenance Manual, Final Submittal

Submit completed Operation and Maintenance Manual Files (Bookmarked PDF). The Final submittal is due at BOD. Any discrepancies discovered during the Government's review of the Prefinal submittal, including the Field Verification, must be corrected prior to the Final submission.

-- End of Section --

SECTION 01 91 00.15

BUILDING COMMISSIONING

PART 1 GENERAL

Building Commissioning is a systematic, quality-focused process for enhancing the delivery of a project that focuses on verifying and documenting that all of the commissioned systems and assemblies are planned, designed, installed, tested, operated, and maintained to meet the project requirements. The purpose is to reduce the cost and performance risks associated with delivering facilities projects, and to increase value to owners, occupants, and users.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING
ENGINEERS (ASHRAE)

ASHRAE 202

(2018) Commissioning Process for Buildings
and Systems

1.2 DEFINITIONS

Commissioning Process (Cx) - a quality-focused process for enhancing the delivery of a project. Refer to ASHRAE 202 for a comprehensive description of the commissioning process.

(Cx) - The entity who leads, plans, and coordinates the Commissioning Team. The terms Commissioning Provider, Commissioning Firm, Lead Commissioning Specialist, Commissioning Specialist, and Commissioning Authority (CA or CxA) when used by sustainable Third Party Certification (TPC) programs, are interchangeable.

Commissioning Authority - The Government retains the authority for oversight and assurance of the entire commissioning process, and final approval of all commissioning deliverables.

Owner's Project Requirements (OPR) - document that details the stakeholders' requirements for the project and the expectations for how it will be used and operated. The OPR is provided for commissioning review purposes only and is not a contract requirement.

1.3 COMMUNICATION WITH THE GOVERNMENT

1.4 SYSTEMS TO BE COMMISSIONED

Coordinate commissioning and quality control activities for the following systems, equipment, and associated controls. System-specific requirements are located in the associated specification sections. Commission the following systems, equipment, and associated controls in accordance with this section and the inspection, testing, and quality control requirements of their respective sections:

Heating, ventilating, air-conditioning, refrigeration systems (mechanical and passive), associated controls (HVAC), and associated plumbing (make-up water, etc.).

Lighting systems: interior and exterior, automatic shut-off controls, time switching, and other lighting control devices.

Building Control Systems

1.5 RELATED SECTIONS

Refer to the following technical sections for additional commissioning requirements for respective systems:

Section 23 08 00 COMMISSIONING OF MECHANICAL AND PLUMBING SYSTEMS

1.6 COMMISSIONING TEAM

The Commissioning team will include, but is not limited to the following team members.

Ensure all Construction Activities for systems to be commissioned are coordinated with the appropriate commissioning team members.

- a. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned
- b. Technical Commissioning Specialties for each system to be commissioned
- c. TAB Representative
- d. Equipment manufacturer representatives
- e. Government Contracting Officer
- f. Government Representatives
- g. Installation Maintenance Representative
- h. Facility End User

1.7 PROJECT SCHEDULE

Include the following tasks in the project schedule required by Section. Ensure sufficient time is scheduled to complete each item. The order of items listed below is not intended to imply a specified sequence:

- a. Commissioning Kickoff Meeting
- b. Regular Commissioning Coordination Meetings
- c. Installation of permanent utilities (gas, water, electric, steam)
- d. Manufacturer's Equipment Start-Up for each of the systems to be commissioned
- e. Submission and approval of the Completed Commissioning Observation Checklists

- f. Submission and approval of Certificate of Readiness for each system to be commissioned
- g. Commissioning Testing, including Functional Performance Testing, for each system to be commissioned
- h. Post-test deficiency correction for each system to be commissioned
- i. Re-Testing
- j. Training for each of the systems to be commissioned

1.8 PHASING

This project includes multiple phases. Commissioning activities for each project phase must be scheduled separately and must correspond to each completion milestone in the master schedule.

1.9 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section:

SD-06 Test Reports

Completed Construction Observation Checklists; G

SD-10 Operation and Maintenance Data

Systems Manual; G

1.10 ISSUES LOG

The Commissioning Specialist develops and maintains an Issues Log for the systems to be commissioned. The issues log documents and tracks resolution of deficiencies identified during submittal reviews, inspection, and testing. At any point during construction, any commissioning team member finding deficiencies may communicate those deficiencies in writing to the Commissioning Specialist for inclusion into the Issues Log. For each issue, the Issues Log includes, but is not limited to, a unique reference number, description of the issue with contract requirement referenced, location of or equipment name/tags exhibiting the issue, the initials of the individual's name whom reported the issue, the date of first observation, the proposed resolution of the issue and date proposed, the date of any subsequent observations with applicable additional information, and the date of implementation of the final resolution of the issue as confirmed by the Commissioning Specialist and Contracting Officer. Issues must not be deleted from the issues log.

1.11 CERTIFICATE OF READINESS

Prior to scheduling Commissioning Tests for each system, the Quality Control Manager must issue a Certificate of Readiness for each system, certifying that inspections have been completed, open issues have been resolved, and the system is ready for Commissioning Tests. Refer to each

related technical commissioning specification section for additional requirements.

Submit the Certificate of Readiness for each system prior to Commissioning Tests of that system. Do not schedule Commissioning Tests for a system until the Certificate of Readiness is approved by the Government.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 DESIGN REVIEW

3.2 CONSTRUCTION SUBMITTAL REVIEWS

Coordinate construction submittal document reviews for commissioned systems and assemblies with the CxC. The commissioning submittal review does not replace the Government submittal review, in accordance with Section.

3.3 COMMISSIONING KICKOFF MEETING

the commissioning process including contract requirements, lines of communication, roles and responsibilities, schedules, documentation requirements, inspection and test procedures, and logistics as specified in this section.

The Quality Control team, Designer of Record, and the Government must attend this meeting. Invite the User to attend this meeting.

3.4 REGULAR COMMISSIONING COORDINATION MEETINGS

The Quality Control team, Designer of Record, and the Government must attend this meeting. Sub-Contractor Representatives for each trade responsible for construction/installation of systems to be commissioned must attend this meeting as requested by the CxC. Invite the User to attend this meeting.

3.5 CONSTRUCTION PHASE COMMISSIONING PLANS

The Interim Construction Phase Commissioning Plan identifies the commissioning and testing standards and outline the overall commissioning process, the commissioning schedule, the commissioning team members and responsibilities, lines of communication, documentation requirements for the construction phase of the project.

The Final Construction Phase Commissioning Plan includes the information provided in the Interim Construction Phase Commissioning Plan as well as commissioning construction observation checklists and test procedures such as Pre-Functional Checklists and Functional Performance Test Checklists and other Commissioning Test Checklists for each building, for each system required to be commissioned, and for each component for inclusion in the Final Construction Phase Commissioning Plan. Refer to the related technical commissioning specification section for additional requirements for checklists.

3.5.1 Construction Observation Checklists

3.5.2 Test Procedures and Checklists

3.6 COMMISSIONING INSPECTIONS

Complete inspections using Construction Observation Checklists for each individual item of equipment or system for each system required to be commissioned in accordance with the commissioning plan. Indicate commissioning team member inspection and validation of each checklist item by initials. Validation of each checklist item by each team member indicates that item conforms to the contract documents and design in their area of responsibility. Commissioning Specialist validation of each checklist item indicates that each item has been installed correctly and in accordance with contract documents. Submit the initialed and completed Construction Observation Checklists no later than 7 calendar days after completion of inspection of all checklist items for each system.

3.7 COMMISSIONING TESTS

Demonstrate that all systems, equipment, and components have been installed correctly and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents. Perform tests as specified in related technical commissioning specifications. Provide all materials, services, and labor required to perform all commissioning tests.

Commissioning Specialist's duties include leading and documenting all tests for the systems to be commissioned with appropriate sub-contractors performing the Tests. The representatives listed in the paragraph COMMISSIONING TEAM must attend the tests with the exception of the.

3.7.1 Test Scheduling and Coordination

Schedule Commissioning Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Commissioning tests.

Commissioning Tests must be performed with the CxC present. Government reserves the right to witness all tests. Coordinate test schedule with Government representatives.

3.7.2 Testing Procedures

Commissioning tests include tests such as functional performance and integrated systems tests. For electrical systems testing, include testing of sensor calibrations, control responses, safeties, interlocks, operating modes, capacity, lighting levels, and verification of all other electrical system contract performance requirements. Perform test procedures in accordance with the commissioning standards specified. In addition, comply with the testing procedures specified in the sections listed in paragraph RELATED SECTIONS.

3.7.3 Sample Strategy

3.7.4 Aborted Tests and Re-Testing

Abort any test if any deficiency prevents successful completion of the

test or if any required commissioning team member is not present for the test. Re-test after all deficiencies identified during the original test have been corrected. Contracting Officer may withhold payment equivalent to lost time, re-testing, and aborted tests. These costs may include salary, travel costs, and per diem for Government team members.

3.8 TRAINING PLAN

CxC must review the training plan for training associated with the equipment and systems to be commissioned, checking that each plan has the trainer name, trainer contract information, training schedule and location. Submit review at least 30 days prior to the first training event. Incorporate CxC review comments prior to submitting training plan in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Update and resubmit the training plan based on any corrective action taken.

-- End of Section --

NOT FOR BIDDING PURPOSES

SECTION 03 30 00

CAST-IN-PLACE CONCRETE

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 117	(2010; R 2015) Specifications for Tolerances for Concrete Construction and Materials and Commentary
ACI 121R	(2008) Guide for Concrete Construction Quality Systems in Conformance with ISO 9001
ACI 213R	(2014; E2017) Guide for Structural Lightweight-Aggregate Concrete
ACI 301	(2020) Specifications for Structural Concrete
ACI 302.1R	(2015) Guide for Concrete Floor and Slab Construction
ACI 304.2R	(2017) Guide to Placing Concrete by Pumping Methods
ACI 304R	(2000; R 2009) Guide for Measuring, Mixing, Transporting, and Placing Concrete
ACI 305.1	(2014) Specification for Hot Weather Concreting
ACI 305R	(2020) Guide to Hot Weather Concreting
ACI 306.1	(1990; R 2002) Standard Specification for Cold Weather Concreting
ACI 306R	(2016) Guide to Cold Weather Concreting
ACI 308.1	(2011) Specification for Curing Concrete
ACI 347R	(2014; Errata 1 2017) Guide to Formwork for Concrete
ACI MNL-2	(2019; 11th Edition) ACI Manual of Concrete Inspection
ACI MNL-15	(2020) Field Reference Manual: Specifications for Concrete Construction

ACI 301-20 with Selected ACI References

AMERICAN HARDBOARD ASSOCIATION (AHA)

AHA A135.4 (1995; R 2004) Basic Hardboard

AMERICAN WELDING SOCIETY (AWS)

AWS D1.4/D1.4M (2018) Structural Welding Code -
Reinforcing Steel

ASTM INTERNATIONAL (ASTM)

ASTM A36/A36M (2019) Standard Specification for Carbon
Structural Steel

ASTM A53/A53M (2024) Standard Specification for Pipe,
Steel, Black and Hot-Dipped, Zinc-Coated,
Welded and Seamless

ASTM A184/A184M (2019) Standard Specification for Welded
Deformed Steel Bar Mats for Concrete
Reinforcement

ASTM A615/A615M (2022) Standard Specification for Deformed
and Plain Carbon-Steel Bars for Concrete
Reinforcement

ASTM A706/A706M (2022a) Standard Specification for
Low-Alloy Steel Deformed and Plain Bars
for Concrete Reinforcement

ASTM A767/A767M (2019) Standard Specification for
Zinc-Coated (Galvanized) Steel Bars for
Concrete Reinforcement

ASTM A775/A775M (2022) Standard Specification for
Epoxy-Coated Steel Reinforcing Bars

ASTM A780/A780M (2020) Standard Practice for Repair of
Damaged and Uncoated Areas of Hot-Dip
Galvanized Coatings

ASTM A820/A820M (2022) Standard Specification for Steel
Fibers for Fiber-Reinforced Concrete

ASTM A884/A884M (2019; Errata 1) Standard Specification
for Epoxy-Coated Steel Wire and Welded
Wire Reinforcement

ASTM A934/A934M (2022) Standard Specification for
Epoxy-Coated Prefabricated Steel
Reinforcing Bars

ASTM A955/A955M (2020c) Standard Specification for
Deformed and Plain Stainless-Steel Bars
for Concrete Reinforcement

ASTM A970/A970M (2018) Standard Specification for Headed

Steel Bars for Concrete Reinforcement

ASTM A996/A996M	(2016) Standard Specification for Rail-Steel and Axle-Steel Deformed Bars for Concrete Reinforcement
ASTM A1022/A1022M	(2016b) Standard Specification for Deformed and Plain Stainless Steel Wire and Welded Wire for Concrete Reinforcement
ASTM A1044/A1044M	(2016a; Errata 1) Standard Specification for Steel Stud Assemblies for Shear Reinforcement of Concrete
ASTM A1055/A1055M	(2022) Standard Specification for Zinc and Epoxy Dual Coated Steel Reinforcing Bars
ASTM A1060/A1060M	(2016b) Standard Specification for Zinc-Coated (Galvanized) Steel Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM A1064/A1064M	(2022) Standard Specification for Carbon-Steel Wire and Welded Wire Reinforcement, Plain and Deformed, for Concrete
ASTM C31/C31M	(2024) Standard Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C33/C33M	(2023) Standard Specification for Concrete Aggregates
ASTM C39/C39M	(2023) Standard Test Method for Compressive Strength of Cylindrical Concrete Specimens
ASTM C42/C42M	(2020) Standard Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
ASTM C78/C78M	(2022) Standard Test Method for Flexural Strength of Concrete (Using Simple Beam with Third-Point Loading)
ASTM C94/C94M	(2023) Standard Specification for Ready-Mixed Concrete
ASTM C136/C136M	(2019) Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates
ASTM C138/C138M	(2023) Standard Test Method for Density (Unit Weight), Yield, and Air Content (Gravimetric) of Concrete
ASTM C143/C143M	(2020) Standard Test Method for Slump of Hydraulic-Cement Concrete
ASTM C150/C150M	(2022) Standard Specification for Portland

Cement

ASTM C172/C172M	(2017) Standard Practice for Sampling Freshly Mixed Concrete
ASTM C173/C173M	(2024) Standard Test Method for Air Content of Freshly Mixed Concrete by the Volumetric Method
ASTM C231/C231M	(2024) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C260/C260M	(2010a; R 2016) Standard Specification for Air-Entraining Admixtures for Concrete
ASTM C311/C311M	(2024) Standard Test Methods for Sampling and Testing Fly Ash or Natural Pozzolans for Use in Portland-Cement Concrete
ASTM C330/C330M	(2017a) Standard Specification for Lightweight Aggregates for Structural Concrete
ASTM C494/C494M	(2019; E 2022) Standard Specification for Chemical Admixtures for Concrete
ASTM C567/C567M	(2019) Determining Density of Structural Lightweight Concrete
ASTM C595/C595M	(2023) Standard Specification for Blended Hydraulic Cements
ASTM C618	(2023; E 2023) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C803/C803M	(2023) Standard Test Method for Penetration Resistance of Hardened Concrete
ASTM C845/C845M	(2018) Standard Specification for Expansive Hydraulic Cement
ASTM C873/C873M	(2015) Standard Test Method for Compressive Strength of Concrete Cylinders Cast in Place in Cylindrical Molds
ASTM C900	(2015) Standard Test Method for Pullout Strength of Hardened Concrete
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C989/C989M	(2024) Standard Specification for Slag Cement for Use in Concrete and Mortars
ASTM C1012/C1012M	(2018b) Standard Test Method for Length Change of Hydraulic-Cement Mortars Exposed to a Sulfate Solution

ASTM C1074	(2019; E 2021) Standard Practice for Estimating Concrete Strength by the Maturity Method
ASTM C1077	(2024) Standard Practice for Agencies Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Testing Agency Evaluation
ASTM C1107/C1107M	(2020) Standard Specification for Packaged Dry, Hydraulic-Cement Grout (Nonshrink)
ASTM C1116/C1116M	(2023) Standard Specification for Fiber-Reinforced Concrete
ASTM C1157/C1157M	(2023) Standard Performance Specification for Hydraulic Cement
ASTM C1218/C1218M	(2020c) Standard Test Method for Water-Soluble Chloride in Mortar and Concrete
ASTM C1240	(2020) Standard Specification for Silica Fume Used in Cementitious Mixtures
ASTM C1260	(2023) Standard Test Method for Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C1293	(2008; R 2015) Standard Test Method for Determination of Length Change of Concrete Due to Alkali-Silica Reaction
ASTM C1567	(2023) Standard Test Method for Potential Alkali-Silica Reactivity of Combinations of Cementitious Materials and Aggregate (Accelerated Mortar-Bar Method)
ASTM C1602/C1602M	(2022) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM C1778	(2023) Standard Guide for Reducing the Risk of Deleterious Alkali-Aggregate Reaction in Concrete
ASTM D412	(2016; R 2021) Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers - Tension
ASTM D471	(2016a) Standard Test Method for Rubber Property - Effect of Liquids
ASTM D1751	(2018) Standard Specification for Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)

ASTM D1752	(2018) Standard Specification for Preformed Sponge Rubber, Cork and Recycled PVC Expansion Joint Fillers for Concrete Paving and Structural Construction
ASTM D2628	(1991; R 2016) Standard Specification for Preformed Polychloroprene Elastomeric Joint Seals for Concrete Pavements
ASTM D2835	(1989; R 2017) Standard Specification for Lubricant for Installation of Preformed Compression Seals in Concrete Pavements
ASTM D5759	(2012; R 2020) Characterization of Coal Fly Ash and Clean Coal Combustion Fly Ash for Potential Uses
ASTM D6690	(2021) Standard Specification for Joint and Crack Sealants, Hot Applied, for Concrete and Asphalt Pavements
ASTM E96/E96M	(2024) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials
ASTM E329	(2023) Standard Specification for Agencies Engaged in Construction Inspection, Testing, or Special Inspection
ASTM E1643	(2018a) Standard Practice for Selection, Design, Installation, and Inspection of Water Vapor Retarders Used in Contact with Earth or Granular Fill Under Concrete Slabs
ASTM E1745	(2017; R 2023) Standard Specification for Water Vapor Retarders Used in Contact with Soil or Granular Fill under Concrete Slabs
ASTM E1993/E1993M	(1998; R 2020) Standard Specification for Bituminous Water Vapor Retarders Used in Contact with Soil or Granular Fill Under Concrete Slabs

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)

CRSI 10MSP	(2018; Errata 2019) Manual of Standard Practice
CRSI RB4.1	(2016) Supports for Reinforcement Used in Concrete

FOREST STEWARDSHIP COUNCIL (FSC)

FSC STD 01 001	(2015) Principles and Criteria for Forest Stewardship

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST PS 1 (2009) DOC Voluntary Product Standard PS
1-07, Structural Plywood

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 104 (1980) Method of Calculation of the
Fineness Modulus of Aggregate

COE CRD-C 513 (1974) Corps of Engineers Specifications
for Rubber Waterstops

COE CRD-C 572 (1974) Corps of Engineers Specifications
for Polyvinylchloride Waterstops

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS SS-S-200 (Rev E; Notice 1; Notice 2) Sealant,
Joint, Two-Component, Jet-Blast-Resistant,
Cold-Applied, for Portland Cement Concrete
Pavement

1.2 DEFINITIONS

- a. "Cementitious material" as used herein must include all portland cement, pozzolan, fly ash, slag cement, and silica fume.
- b. "Exposed to public view" means situated so that it can be seen from eye level from a public location after completion of the building. A public location is accessible to persons not responsible for operation or maintenance of the building.
- c. "Chemical admixtures" are materials in the form of powder or fluids that are added to the concrete to give it certain characteristics not obtainable with plain concrete mixes.
- d. "Supplementary cementing materials" (SCM) include coal fly ash, silica fume, slag cement, natural or calcined pozzolans, and ultra-fine coal ash when used in such proportions to replace the portland cement that result in improvement to sustainability and durability and reduced cost.
- e. "Design strength" (f'_c) is the specified compressive strength of concrete at time(s) specified in this section to meet structural design criteria.
- f. "Mass Concrete" is any concrete system that approaches a maximum temperature of 158 degrees F within the first 72 hours of placement. In addition, it includes all concrete elements with a section thickness of 3 feet or more regardless of temperature.
- g. "Mixture proportioning" is the process of designing concrete mixture proportions to enable it to meet the strength, service life and constructability requirements of the project while minimizing the initial and life-cycle cost.
- h. "Mixture proportions" are the masses or volumes of individual ingredients used to make a unit measure (cubic meter or cubic yard) of

concrete.

- i. "Pozzolan" is a siliceous or siliceous and aluminous material, which in itself possesses little or no cementitious value but will, in finely divided form and in the presence of moisture, chemically react with calcium hydroxide at ordinary temperatures to form compounds possessing cementitious properties.
- j. "Workability (or consistence)" is the ability of a fresh (plastic) concrete mix to fill the form/mould properly with the desired work (vibration) and without reducing the concrete's quality. Workability depends on water content, chemical admixtures, aggregate (shape and size distribution), cementitious content and age (level of hydration).

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Concrete Curing Plan

Quality Control Program; G

SD-02 Shop Drawings

SD-03 Product Data

Formwork Materials

Recycled Aggregate Materials; S

Cementitious Materials; S

Vapor Retarder and Vapor Barrier

Concrete Curing Materials

Reinforcement; S

SD-05 Design Data

Concrete Mix Design;

SD-06 Test Reports

Concrete Mix Design;

Aggregates

Tolerance Report

Compressive Strength Tests; G

Unit Weight of Structural Concrete

Air Content

Slump Tests

Water

SD-07 Certificates

Reinforcing Bars

Welder Qualifications

1.4 MODIFICATION OF REFERENCES

Accomplish work in accordance with ACI publications except as modified herein. Consider the advisory or recommended provisions to be mandatory. Interpret reference to the "Building Official," the "Structural Engineer," and the "Architect/Engineer" to mean the Contracting Officer.

1.5 DELIVERY, STORAGE, AND HANDLING

Follow ACI 301, ACI 304R and ASTM A934/A934M requirements and recommendations. Do not deliver concrete until vapor retarder, vapor barrier, forms, reinforcement, embedded items, and chamfer strips are in place and ready for concrete placement. Do not store concrete curing compounds or sealers with materials that have a high capacity to adsorb volatile organic compound (VOC) emissions, including any specifically listed elsewhere in these specifications. Do not store concrete curing compounds or sealers in occupied spaces.

1.5.1 Reinforcement

Store reinforcement of different sizes and shapes in separate piles or racks raised above the ground to avoid excessive rusting. Protect from contaminants such as grease, oil, and dirt. Ensure bar sizes can be accurately identified after bundles are broken and tags removed.

1.5.1.1 Epoxy Coated Reinforcing Steel

Record coating lot on each shipping notice and carefully identify and re-tag bar bundles from bending plant. Provide systems for handling coated bars which have padded contact areas such as, nylon slings, all free of dirt and grit. Lift bundled coated bars with strong back, multiple supports, or platform bridge to prevent sagging and abrasion. Pad bundling bands where in contact with bars. Do not drop or drag bars or bundles. Store coated bars both in shop and in field, aboveground, on wooden or padded cribbing. Space the dunnage close enough to prevent excessive sags. Stack large quantities of straight bars with adequate protective blocking between layers. Schedule deliveries of epoxy coated bars to the job site to avoid the need for long term storage. Protect from direct sunlight and weather. Cover bars to be stored longer than 12 hours at the job site with opaque polyethylene sheeting or other suitable equivalent protective material.

1.6 QUALITY ASSURANCE

1.6.1 Design Data

1.6.1.1 Formwork Calculations

ACI 347R. Include design calculations indicating arrangement of forms, sizes and grades of supports (lumber), panels, and related components. Furnish drawings and calculations of shoring and re-shoring methods proposed for floor and roof slabs, spandrel beams, and other horizontal concrete members. Calculations must indicate concrete pressure with both live and dead loads, along with material types.

1.6.1.2 Concrete Mix Design

Sixty days minimum prior to concrete placement, submit a mix design for each strength and type of concrete. Submit all documentation required in ACI 301 Section 4 and as specified in this section. Submit a complete list of materials including type; brand; source and amount of cement, supplementary cementitious materials, fibers, and admixtures; and applicable reference specifications. Submit mill test and all other test for cement, supplementary cementitious materials, aggregates, and admixtures. Provide documentation of maximum nominal aggregate size, gradation analysis, percentage retained and passing sieve, and a graph of percentage retained verses sieve size. Provide mix proportion data using at least three different water-cementitious material ratios for each type of mixture, which produce a range of strength encompassing those required for each type of concrete required. If source material changes, resubmit mix proportion data using revised source material. Provide only materials that have been proven by trial mix studies to meet the requirements of this specification, unless otherwise approved in writing by the Contracting Officer. Indicate clearly in the submittal where each mix design is used when more than one mix design is submitted. Resubmit data on concrete components if the qualities or source of components changes. Required average strength can be documented by field experience if field strength test data are available and represent a single group of at least 10 consecutive strength tests for one mixture, using materials and conditions similar to those expected for work, and encompassing a period of not less than 45 days. The average of field strength tests shall equal or exceed f_{cr}' . Changes in materials, conditions, and proportions within the test record shall not have been more closely restricted than those for the proposed work. Test records shall not be more than 24 months old. Obtain mix design approval from the contracting officer prior to concrete placement.

1.6.2 Shop Drawings

1.6.2.1 Formwork

Drawings showing details of formwork including, but not limited to; joints, supports, studding and shoring, and sequence of form and shoring removal. Indicate placement schedule, construction, location and method of forming control joints. Include locations of inserts, conduit, sleeves and other embedded items. Reproductions of contract drawings are unacceptable. Submit form removal schedule indicating element and minimum length of time for form removal.

Design, fabricate, erect, support, brace, and maintain formwork so that it is able to support, without failure, all vertical and lateral loads that

may reasonably be anticipated to be applied to the formwork.

1.6.2.2 Reinforcing Steel

Indicate bending diagrams, assembly diagrams, splicing and laps of bars, shapes, dimensions, and details of bar reinforcing, accessories, and concrete cover. Do not scale dimensions from structural drawings to determine lengths of reinforcing bars. Reproductions of contract drawings are unacceptable.

1.6.3 Control Submittals

1.6.3.1 Concrete Curing Plan

Submit proposed materials, methods and duration for curing concrete elements in accordance with ACI 308.1.

1.6.3.2 Pumping Concrete

Submit proposed materials and methods for pumping concrete. Submittal must include mix designs, pumping equipment including type of pump and size and material for pipe, and maximum length and height concrete is to be pumped.

1.6.3.3 Silica Fume Manufacturer's Representative

The manufacturer's representative must be present at mix plant to ensure proper mix, including high range water reducer, and batching methods during the first 3 days of concrete mix preparation and placement. After which the manufacturer's representative must designate a representative at the concrete producer's plant to ensure the concrete mix procedures meet the silica fume manufacturer's recommendations. Representative to attend and advise at finishing of sample slab.

1.6.3.4 Finishing Plan

Submit proposed material and procedures to be used in obtaining the finish for the equipment floors. Include qualification of person to be used for obtaining floor tolerance measurement, description of measuring equipment to be used, and a sketch showing lines and locations the measuring equipment will follow.

1.6.3.5 VOC Content for form release agents, curing compounds, and concrete penetrating sealers

Submit certification for the form release agent, curing compounds, and concrete penetrating sealers that indicate the VOC content of each product.

1.6.3.6 Safety Data Sheets

Submit Safety Data Sheets (SDS) for all materials that are regulated for hazardous health effects. SDS must be readily accessible during each work shift to employees when they are at the construction site.

1.6.4 Test Reports

1.6.4.1 Fly Ash and Pozzolan

Submit test results in accordance with ASTM C618 for fly ash and

pozzolan. Submit test results performed within 6 months of submittal date.

1.6.4.2 Slag Cement

Submit test results in accordance with ASTM C989/C989M for slag cement.
Submit test results performed within 6 months of submittal date.

1.6.4.3 Aggregates

Submit test results in accordance with ASTM C33/C33M, or ASTM C330/C330M for lightweight aggregate, and ASTM C1293 or ASTM C1567 as required in the paragraph titled ALKALI-AGGREGATE REACTION.

1.6.4.4 Fiber-Reinforced Concrete

Test to determine flexural toughness index I5 in accordance with ASTM C1116/C1116M.

1.6.5 Field Samples

1.6.5.1 Slab Finish Sample

Install minimum of 10 foot by 10 foot slab. Slab finish sample must not be part of the final project. Finish as required by specification. Silica fume manufacturer's representative must attend and advise.

1.6.5.2 Surface Finish Samples

Provide a minimum of three sample concrete panels for each finish for each mix design, 3 feet by 3 feet, 3 inches thick. Use the approved concrete mix design(s). Provide sample panels on-site at locations directed. Once approved, each set of panels must be representative of each of the finishes specified and of the workmanship and finish(es) required. Do not remove or destroy samples until directed by the Contracting Officer.

1.6.6 Quality Control Program

Develop and submit for approval a concrete quality control program in accordance with the guidelines of ACI 121R and as specified herein. The plan must include approved laboratories. Provide direct oversight for the concrete qualification program inclusive of associated sampling and testing. All quality control reports must be provided to the Contracting Officer, Quality Manager and Concrete Supplier. Maintain a copy of ACI MNL-15 and CRSI 10MSP at project site.

1.6.7 Quality Control Personnel Certifications

The Contractor must submit for approval the responsibilities of the various quality control personnel, including the names and qualifications of the individuals in those positions and a quality control organizational chart defining the quality control hierarchy and the responsibility of the various positions. Quality control personnel must be employed by the Contractor.

Submit American Concrete Institute certification for the following:

- a. CQC personnel responsible for inspection of concrete operations.
- b. Lead Foreman or Journeyman of the Concrete Placing, Finishing, and

Curing Crews.

- c. Field Testing Technicians: ACI Concrete Field Testing Technician, Grade I.

1.6.7.1 Quality Manager Qualifications

The quality manager must hold a current license as a professional engineer in a U.S. state or territory with experience on at least five similar projects. Evidence of extraordinary proven experience may be considered by the Contracting Officer as sufficient to act as the Quality Manager.

1.6.7.2 Field Testing Technician and Testing Agency

Submit data on qualifications of proposed testing agency and technicians for approval by the Contracting Officer prior to performing testing on concrete.

- a. Work on concrete under this contract must be performed by an ACI Concrete Field Testing Technician Grade 1 qualified in accordance with ACI MNL-2 or equivalent. Equivalent certification programs must include requirements for written and performance examinations as stipulated in ACI MNL-2.
- b. Testing agencies that perform testing services on reinforcing steel must meet the requirements of ASTM E329.
- c. Testing agencies that perform testing services on concrete materials must meet the requirements of ASTM C1077.

1.6.8 Laboratory Qualifications for Concrete Qualification Testing

The concrete testing laboratory must have the necessary equipment and experience to accomplish required testing. The laboratory must meet the requirements of ASTM C1077 and be Cement and Concrete Reference Laboratory (CCRL) inspected.

1.6.9 Laboratory Accreditation

Laboratory and testing facilities must be provided by and at the expense of the Contractor. The laboratories performing the tests must be accredited in accordance with ASTM C1077, including ASTM C78/C78M and ASTM C1260. The accreditation must be current and must include the required test methods, as specified. Furthermore, the testing must comply with the following requirements:

- a. Aggregate Testing and Mix Proportioning: Aggregate testing and mixture proportioning studies must be performed by an accredited laboratory and under the direction of a registered professional engineer in a U.S. state or territory competent in concrete materials who is competent in concrete materials and must sign all reports and designs.
- b. Acceptance Testing: Furnish all materials, labor, and facilities required for molding, curing, testing, and protecting test specimens at the site and in the laboratory. Furnish and maintain boxes or other facilities suitable for storing and curing the specimens at the site while in the mold within the temperature range stipulated by ASTM C31/C31M.

- c. Contractor Quality Control: All sampling and testing must be performed by an approved, onsite, independent, accredited laboratory.

1.7 ENVIRONMENTAL REQUIREMENTS

Provide space ventilation according to material manufacturer recommendations, at a minimum, during and following installation of concrete curing compound and sealer. Maintain one of the following ventilation conditions during the curing period or for 72 hours after installation:

- a. Supply 100 percent outside air 24 hours a day.
- b. Supply airflow at a rate of 6 air changes per hour, when outside temperatures are between 55 degrees F and 84 degrees F and humidity is between 30 percent and 60 percent.
- c. Supply airflow at a rate of 1.5 air changes per hour, when outside air conditions are not within the range stipulated above.

1.7.1 Submittals for Environmental Performance

- a. Provide data indication the percentage of post-industrial pozzolan (fly ash, slag cement) cement substitution as a percentage of the full product composite by weight.
- b. Provide data indicating the percentage of post-industrial and post-consumer recycled content aggregate.
- c. Provide product data indicating the percentage of post-consumer recycled steel content in each type of steel reinforcement as a percentage of the full product composite by weight.
- d. Provide product data stating the location where all products were manufactured
- e. For projects using FSC certified formwork, provide chain-of-custody documentation for all certified wood products.
- f. For projects using reusable formwork, provide data showing how formwork is reused.
- g. Provide SDS product information data showing that form release agents meet any environmental performance goals such as using vegetable and soy based products.
- h. Provide SDS product information data showing that concrete adhesives meet any environmental performance goals including low emitting, low volatile organic compound products.

1.8 SUSTAINABLE DESIGN REQUIREMENTS

1.8.1 Local/Regional Materials

Use materials or products extracted, harvested, or recovered, as well as manufactured, within a 500 mile radius from the project site, if available from a minimum of three sources. See Section 01 33 29 SUSTAINABILITY REQUIREMENTS AND REPORTING for cumulative total local material requirements. Concrete materials may be locally available. Submit

documentation indicating distance between manufacturing facility and the project site. Indicate distance of raw material origin from the project site. Indicate relative dollar value of local/regional materials to total dollar value of products included in project.

1.8.2 Forest Stewardship Council (FSC) Certification

Use FSC-certified wood where specified. Provide letter of certification signed by lumber supplier. Indicate compliance with FSC STD 01 001 and identify certifying organization. Submit FSC certification numbers; identify each certified product on a line-item basis. Submit copies of invoices bearing the FSC certification numbers.

1.9 QUALIFICATIONS FOR WELDING WORK

Welding procedures must be in accordance with AWS D1.4/D1.4M.

Verify that Welder qualifications are in accordance with AWS D1.4/D1.4M for welding of reinforcement or under an equivalent qualification test approved in advance. Welders are permitted to do only the type of welding for which each is specifically qualified.

PART 2 PRODUCTS

2.1 FORMWORK MATERIALS

- a. Form-facing material in contact with concrete must be lumber, plywood, tempered concrete-form-grade hardboard, metal, plastic, or treated paper that creates specified appearance and texture of concrete surface. Submit product information on proposed form-facing materials if different from that specified herein.
- b. Design formwork, shores, reshores, and backshores to support loads transmitted to them and to comply with applicable building code requirements.
- c. Design formwork and shoring for load redistribution resulting from stressing of post-tensioned reinforcement. Ensure that formwork allows movement resulting from application of prestressing force.
- d. Design formwork to withstand pressure resulting from placement and vibration of concrete and to maintain specified tolerances.
- e. Design formwork to accommodate waterstop materials in joints at locations indicated in Contract Documents.
- f. Provide temporary openings in formwork if needed to facilitate cleaning and inspection.
- g. Design formwork joints to inhibit leakage of mortar.
- h. Limit deflection of facing materials for concrete surfaces exposed to view to 1/240, 1/400, or as needed and agreed by all project parties of center-to-center spacing of facing supports.
- i. Do not use earth cuts as forms for vertical or sloping surfaces.
- j. Submit product information on proposed form-facing materials if different from that specified herein.

- k. Submit shop drawings for formwork, shoring, reshoring, and backshoring. Shop drawings must be signed and sealed by a licensed design engineer.
- l. Submit design calculations for formwork, shoring, reshoring, and backshoring. Design calculations must be signed and sealed by a licensed design engineer.
- m. Submit procedure for reshoring and backshoring, including drawings signed and sealed by a licensed design engineer. Include on shop drawings the formwork removal procedure and magnitude of construction loads used for design of reshoring or backshoring system. Indicate in procedure the magnitude of live and dead loads assumed for required capacity of the structure at time of reshoring or backshoring.
- n. Submit manufacturer's product data on form liner proposed for use with each formed surface.

2.1.1.1 Wood Forms

Use lumber as specified in Section 06 10 00 ROUGH CARPENTRY and as follows. Provide lumber that is square edged or tongue-and-groove boards, free of raised grain, knotholes, or other surface defects. Provide plywood that complies with NIST PS 1, B-B concrete form panels or better or AHA A135.4, hardboard for smooth form lining. Submit data verifying that composite wood products contain no urea formaldehyde resins. Virgin wood used must be FSC-certified.

2.1.1.1.1 Concrete Form Plywood (Standard Rough)

Provide plywood that conforms to NIST PS 1, B-B, concrete form, not less than 5/8-inch thick.

2.1.1.1.2 Overlaid Concrete Form Plywood (Standard Smooth)

Provide plywood that conforms to NIST PS 1, B-B, high density form overlay, not less than 5/8-inch thick.

2.1.2 Plastic Forms

Plastic lumber as specified in Section 06 10 00 ROUGH CARPENTRY. Provide plastic forms that contain a minimum of 50 percent post-consumer recycled content, or a minimum of 50 percent post-industrial recycled content.

2.1.3 Carton Forms

Moisture resistant treated paper faces, biodegradable, structurally sufficient to support weight of wet concrete until initial set. Provide carton forms that contain a minimum of 5 percent post-consumer recycled content, or a minimum of 20 percent post-industrial recycled content.

2.1.4 Steel Forms

Provide steel form surfaces that do not contain irregularities, dents, or sags.

2.2 FORMWORK ACCESSORIES

- a. Use commercially manufactured formwork accessories, including ties and hangers.
- b. Form ties and accessories must not reduce the effective cover of the reinforcement.

2.2.1 Form Ties

- a. Use form ties with ends or end fasteners that can be removed without damage to concrete.
- b. Where indicated in Contract Documents, use form ties with integral water barrier plates or other acceptable positive water barriers in walls.
- c. The breakback distance for ferrous ties must be at least 2 in. or 3/4 in. (or as agreed upon by all project parties) for Surface Finish-2.0 or Surface Finish-3.0, as defined in ACI 301.
- d. If the breakback distance is less than 3/4 in., use coated or corrosion-resistant ties.
- e. Submit manufacturer's data sheet on form ties.

2.2.2 Waterstops

Submit manufacturer's data sheet on waterstop materials and splices.

2.2.2.1 PVC Waterstop

Polyvinylchloride waterstops must conform to COE CRD-C 572.

2.2.2.2 Rubber Waterstop

Rubber waterstops must conform to COE CRD-C 513.

2.2.2.3 Thermoplastic Elastomeric Rubber Waterstop

Thermoplastic elastomeric rubber waterstops must conform to ASTM D471.

2.2.2.4 Hydrophilic Waterstop

Swellable strip type compound of polymer modified chloroprene rubber that swells upon contact with water must conform to the following requirements when tested in accordance to ASTM D412: Tensile strength 350 psi minimum; ultimate elongation 600 percent minimum. Hardness must be 50 minimum on the type A durometer and the volumetric expansion ratio in distilled water at 70 degrees F must be 3 to 1 minimum.

2.2.3 Biodegradable Form Release Agent

- a. Provide form release agent that is colorless, biodegradable, and rapeseed oil-based, soy oil-based, or water-based as agreed upon by all project parties, with a low (maximum of 55 grams/liter (g/l)) or zero (coordinate with owner for final determination) VOC content. A minimum of 85 percent of the total product must be biobased material.

- b. Provide product that does not bond with, stain, or adversely affect concrete surfaces and does not impair subsequent treatments of concrete surfaces.
- c. Provide form release agent that reduces formwork moisture absorption, and does not contain diesel fuel, petroleum-based lubricating oils, waxes, or kerosene. Submit documentation indicating type of biobased material in product and biobased content. Indicate relative dollar value of biobased content products to total dollar value of products included in project.
- d. Submit manufacturer's product data on formwork release agent for use on each form-facing material.

2.2.4 Chamfer Materials

Use lumber materials with dimensions of 3/4 x 3/4 in.

2.2.5 Construction and movement joints

- a. Submit details and locations of construction joints in accordance with the requirements herein.
- b. Locate construction joints within middle one-third of spans of slabs, beams, and girders. If a beam intersects a girder within the middle one-third of girder span, the distance between the construction joint in the girder and the edge of the beam must be at least twice the width of the larger member.
- c. For members with post-tensioning tendons, locate construction joints where tendons pass through centroid of concrete section.
- d. Locate construction joints in walls and columns at underside of slabs, beams, or girders and at tops of footings or slabs.
- e. Make construction joints perpendicular to main reinforcement.
- f. Provide movement joints where indicated in Contract Documents or in accepted alternate locations.
- g. Submit location and detail of movement joints if different from those indicated in Contract Documents.
- h. Submit manufacturer's data sheet on expansion joint materials.
- i. Provide keyways where indicated in Contract Documents. Longitudinal keyways indicated in Contract Documents must be at least 1-1/2 in. deep, measured perpendicular to the plane of the joint.

2.2.6 Other Embedded items

Use sleeves, inserts, anchors, and other embedded items of material and design indicated in Contract Documents.

2.3 CONCRETE MATERIALS

2.3.1 Cementitious Materials

2.3.1.1 Portland Cement

- a. Unless otherwise specified, provide cement that conforms to ASTM C150/C150M Type I, II, II(MH), III, IV, or V as agreed upon by all project parties.
- b. Use one brand and type of cement for formed concrete having exposed-to-view finished surfaces.
- c. For portland cement manufactured in a kiln fueled by hazardous waste, maintain a record of source for each batch. Supplier must certify that no hazardous waste is used in the fuel mix or raw materials. Supplier must certify that the hazardous waste is neutralized by the manufacturing process and that no additional pollutants are discharged.
- d. Submit information along with evidence demonstrating compliance with referenced standards. Submittals must include types of cementitious materials, manufacturing locations, shipping locations, and certificates showing compliance.
- e. Cementitious materials must be stored and kept dry and free from contaminants.

2.3.1.2 Blended Cements

- a. Blended cements must conform to ASTM C595/C595M Type IP, IS, IT, IP(MS), IS(MS), IT(MS), IP(MH), IS(MH), IT(MH), IP(LH), IS(LH), IT(LH), or IL (to be agreed upon by all project parties) or ASTM C1157/C1157M Type GU, MS, MH, or HE (to be agreed upon by all project parties).
- b. Slag cement added to the Type IS or Type IT blended cements must meet ASTM C989/C989M.
- c. The pozzolan added to the Type IP or Type IT blended cements must meet ASTM C618 Class F, Class C, or Class N and must be interground with the cement clinker. The manufacturer must state in writing that the amount of pozzolan in the finished cement will not vary more than plus or minus 5 mass percent of the finished cement from lot-to-lot or within a lot. The percentage and type of pozzolan used in the blend must not change from that submitted for the aggregate evaluation and mixture proportioning.

2.3.1.3 Fly Ash

- a. ASTM C618, Class F or Class C (to be agreed upon by all project parties), except that the maximum allowable loss on ignition must not exceed 3 or 6 (to be agreed upon by all project parties) percent.
- b. If fly ash is used it shall range from 15 to 20, 30, 35, or 40 (to be agreed upon by all project parties) percent by weight of cementitious material, provided the fly ash does not reduce the amount of cement in the concrete mix below the minimum requirements of local building codes. Where the use of fly ash cannot meet the minimum level, it shall not be used. Report the chemical analysis of the fly ash in

accordance with ASTM C311/C311M. Evaluate and classify fly ash in accordance with ASTM D5759.

2.3.1.4 Slag Cement

ASTM C989/C989M, Grade 100 or 120 (to be agreed upon by all project parties).

2.3.1.5 Silica Fume

Silica fume must conform to ASTM C1240, including the optional limits on reactivity with cement alkalis. Silica fume may be furnished as a dry, densified material or as slurry. Proper mixing is essential to accomplish proper distribution of the silica fume and avoid agglomerated silica fume which can react with the alkali in the cement resulting in premature and extensive concrete damage. Supervision at the batch plant, finishing, and curing is essential. Provide at the Contractor's expense the services of a manufacturer's technical representative, experienced in mixing, proportioning, placement procedures, and curing of concrete containing silica fume. This representative must be present on the project prior to and during at least the first 4 days of concrete production and placement using silica fume. A High Range Water Reducing admixture (HRWRA) must be used when silica fume is the only pozzolan used.

2.3.1.6 Other Supplementary Cementitious Materials

Natural pozzolan must be raw or calcined and conform to ASTM C618, Class N, including the optional requirement for uniformity.

Ultra Fine Fly Ash (UFFA) and Ultra Fine Pozzolan (UFP) must conform to ASTM C618, Class F or N, and the following additional requirements:

- a. The strength activity index at 28 days of age must be at least 95 percent of the control specimens.
- b. The average particle size must not exceed 6 microns.
- c. The sum of SiO₂ plus Al₂O₃ plus Fe₂O₃ must be greater than 77 percent.

2.3.2 Water

- a. Water or ice must comply with the requirements of ASTM C1602/C1602M.
- b. Minimize the amount of water in the mix. Improve workability by adjusting the grading of the aggregate and using admixture rather than by adding water.
- c. Water must be potable, from rainwater collection, from graywater, or from recycled water (to be agreed upon by all project parties); free from injurious amounts of oils, acids, alkalis, salts, organic materials, or other substances deleterious to concrete.
- d. Protect mixing water and ice from contamination during storage and delivery.
- e. Submit test report showing water complies with ASTM C1602/C1602M.
- f. When nonpotable source is proposed for use, submit documentation on effects of water on strength and setting time in compliance with

ASTM C1602/C1602M.

2.3.3 Aggregate

2.3.3.1 Normal-Weight Aggregate

- a. Aggregates must conform to ASTM C33/C33M unless otherwise specified in the Contract Documents or approved by the contracting officer.
- b. Aggregates used in concrete must be obtained from the same sources and have the same size range as aggregates used in concrete represented by submitted field test records or used in trial mixtures.
- c. Provide sand that is at least 50 percent acid insoluble based on ASTM D3042 or provide sand that is at least 50 percent natural sand. (to be agreed upon by all project parties)
- d. Store and handle aggregate in a manner that will avoid segregation and prevents contamination by other materials or other sizes of aggregates. Store aggregates in locations that will permit them to drain freely. Do not use aggregates that contain frozen lumps.
- e. Submit types, pit or quarry locations, producers' names, aggregate supplier statement of compliance with ASTM C33/C33M, and ASTM C1293 expansion data not more than 18 months old.

2.3.3.2 Lightweight Aggregate

Lightweight aggregate in accordance with ASTM C330/C330M.

2.3.3.3 Recycled Aggregate Materials

Use a minimum of 25 percent recycled aggregate, depending on local availability and conforming to requirements of the mix design. Recycled aggregate to include: recovered glass, recovered concrete, recovered porcelain, and/or recovered stone (to be agreed upon by all project parties) that meets the aggregate requirements specified. Submit recycled material request with the aggregate certification submittals and do not use until approved by the Contracting Officer.

2.3.4 Admixtures

- a. Chemical admixtures must conform to ASTM C494/C494M.
- b. Air-entraining admixtures must conform to ASTM C260/C260M.
- c. Do not use calcium chloride admixtures unless approved by the contracting officer.
- e. Use a corrosion-inhibiting admixture for concrete classified under exposure category C1 or C2 (to be agreed upon by all project parties). Use an ASR-inhibiting admixture for concrete containing aggregate susceptible to ASR.
- f. Admixtures used in concrete must be the same as those used in the concrete represented by submitted field test records or used in trial mixtures.
- g. Protect stored admixtures against contamination, evaporation, or

damage.

- h. To ensure uniform distribution of constituents, provide agitating equipment for admixtures used in the form of suspensions or unstable solutions. Protect liquid admixtures from freezing and from temperature changes that would adversely affect their characteristics.
- i. Submit types, brand names, producers' names, manufacturer's technical data sheets, and certificates showing compliance with standards required herein.

2.4 MISCELLANEOUS MATERIALS

2.4.1 Concrete Curing Materials

Provide concrete curing material in accordance with ACI 301 Section 5 and ACI 308.1 Section 2. Submit product data for concrete curing compounds. Submit manufactures instructions for placement of curing compound.

2.4.2 Nonshrink Grout

Nonshrink grout in accordance with ASTM C1107/C1107M.

2.4.3 Floor Finish Materials

2.4.3.1 Liquid Chemical Floor Hardeners and Sealers

- a. Hardener must be a colorless aqueous solution containing a blend of inorganic silicate or silicate material and proprietary components combined with a wetting agent; that penetrates, hardens, and densifies concrete surfaces. Submit manufactures instructions for placement of liquid chemical floor hardener.
- b. Use concrete penetrating sealers with a low (maximum 100 grams/liter, less water and less exempt compounds) VOC content. Submit manufactures instructions for placement of sealers.

2.4.3.2 Abrasive Aggregate for Nonslip Aggregate Finish

Aggregate must be packaged, factory-graded fused aluminum oxide grits, or it may be crushed emery containing not less than 40-percent aluminum oxide and not less than 25-percent ferric oxide. Aggregate must be rust proof and nonglazing and must be unaffected by freezing, moisture, and cleaning materials.

Aggregate must be packaged, factory-graded, silicon carbide grits. Aggregate must be rust proof and must be unaffected by freezing, moisture, and cleaning materials.

Aggregate must be well-graded in size from particles retained on No. 30 sieve 0.0236 inch to particles passing No. 8 sieve 0.0929 inch.

2.4.3.3 Dry Materials for Colored Wear-Resistant Finish

Provide materials that are packaged, dry, and a combination of materials formulated for producing colored and wear-resistant monolithic surface treatments; they must include portland cement, graded-quartz aggregate, coloring pigments, and dispersing agents. Provide coloring pigments that are finely ground, nonfacing mineral oxides prepared especially for the

purpose and interground with the cement.

2.4.3.4 Aggregate for Heavy-Duty Wear-Resistant Finish

Provide aggregate that is traprock or emery, as follows:

Traprock must be packaged, crushed, natural, fine-to-medium-grained, igneous rock, such as diabase, basalt, or black granite. Traprock aggregate must be well-graded in size from particles retained on No. 4 sieve 0.187 inch to particles passing 3/8-inch sieve.

Emery must be packaged, factory-graded, crushed, natural-emery ore, cubical or polyhedral in form, containing not less than 35-percent aluminum oxide and not less than 24-percent ferric oxide. Emery aggregate must be well graded in size from particles retained on No. 50 sieve 0.0118 inch to particles passing No. 8 sieve 0.0929 inch.

Provide iron aggregate, as follows:

Iron must be packaged, ground and graded cubicle iron particles with dispersing agents, formulated to blend with portland cement for producing wear-resistant monolithic surface treatments. Provide aggregate that is free of nonferrous metals, oil, grease, soluble alkaline compounds, rust, and impurities and must be well-graded in size from particles retained on No. 50 sieve 0.0118 inch to particles passing No. 8 sieve 0.0929 inch.

2.4.3.5 Aggregate for Heavy-Duty Floor Topping

Provide emery (or may be traprock or traprock-screenings) fine aggregates, as specified.

Provide emery that is packaged, factory-graded, crushed natural emery ore containing not less than 35-percent aluminum oxide and not less than 24-percent ferric oxide. Provide aggregate that is cubical or polyhedral in form and does not change its physical or chemical nature in the presence of moisture. Grade aggregate to a fineness modulus of 3.9 to 4.0, with 100 percent passing 3/8-inch sieve and not less than 95 percent retained on No. 100 sieve. Deliver emery in moisture-resistant bags.

Provide traprock that is packaged, crushed, natural, fine- to medium-grained igneous rock such as diabase, basalt, or black granite. Uniformly grade coarse aggregate with 100 percent passing 1/2-inch sieve, 30 to 50 percent passing 3/8-inch sieve, 0 to 15 percent passing No. 4 sieve, and 0 to 5 percent passing No. 8 sieve.

Provide fine aggregate using traprock that conforms to ASTM C33/C33M, except gradation. Grade fine aggregate within the following limits:

SIEVE	PERCENT PASSING
3/8 in.	100
No. 4	95 to 100
No. 8	65 to 80
No. 16	45 to 65

<u>SIEVE</u>	<u>PERCENT PASSING</u>
No. 30	25 to 45
No. 50	5 to 15
No. 100	0 to 5

Deliver traprock coarse aggregate and fine aggregate in moisture-resistant bags.

2.4.4 Expansion/Contraction Joint Filler

ASTM D1751 or ASTM D1752 Type I or Type II____. Material must be 1/2 inch thick, unless otherwise indicated.

2.4.5 Joint Sealants

Submit manufacturer's product data, indicating VOC content.

2.4.5.1 Horizontal Surfaces, 3 Percent Slope, Maximum

ASTM D6690 or ASTM C920, Type M, Class 25, Use T.

2.4.5.2 Vertical Surfaces Greater Than 3 Percent Slope

ASTM C920, Type M, Grade NS, Class 25, Use T NT. FS SS-S-200, no sag.

2.4.5.3 Preformed Polychloroprene Elastomeric Type

ASTM D2628.

2.4.5.4 Lubricant for Preformed Compression Seals

ASTM D2835.

2.4.6 Vapor Retarder and Vapor Barrier

ASTM E1745 Class A B C polyethylene sheeting, minimum 10 mil 15 mil thickness or other equivalent material with a maximum permeance rating of 0.04 perms per ASTM E96/E96M.

ASTM E1745 Class A B C polyethylene sheeting, minimum 15 mil thickness or ASTM E1993/E1993M bituminous membrane or other equivalent material with a maximum permeance rating of 0.01 perms per ASTM E96/E96M.

Consider plastic vapor retarders and adhesives with a high recycled content, low toxicity low VOC (Volatile Organic Compounds) levels.

2.4.7 Dovetail Anchor Slot

Preformed metal slot approximately 1 inch by 1 inch of not less than 22 gage galvanized steel cast in concrete. Coordinate actual size and throat opening with dovetail anchors and provide with removable filler material.

2.5 CONCRETE MIX DESIGN

2.5.1 Properties and Requirements

- a. Use materials and material combinations listed in this section and the contract documents.
- b. Cementitious material content must be adequate for concrete to satisfy the specified requirements for strength, w/cm, durability, and finishability described in this section and the contract documents.

The minimum cementitious material content for concrete used in floors must meet the following requirements:

Nominal maximum size of aggregate, in.	Minimum cementitious material content, pounds per cubic yard
1-1/2	470
1	520
3/4	540
3/8	610

- c. Selected target slump must meet the requirements this section, the contract documents, and must not exceed 9 in. Concrete must not show visible signs of segregation.
- d. The target slump must be enforced for the duration of the project. Determine the slump by ASTM C143/C143M. Slump tolerances must meet the requirements of ACI 117.
- e. The nominal maximum size of coarse aggregate for a mixture must not exceed three-fourths of the minimum clear spacing between reinforcement, one-fifth of the narrowest dimension between sides of forms, or one-third of the thickness of slabs or toppings.
- f. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3. The total air content must be in accordance with the requirements of the paragraph titled DURABILITY.
- g. Measure air content at the point of delivery in accordance with ASTM C173/C173M or ASTM C231/C231M.
- h. Concrete for slabs to receive a hard-troweled finish must not contain an air-entraining admixture or have a total air content greater than 3 percent.

2.5.2 Durability

2.5.2.1 Alkali-Aggregate Reaction

Do not use any aggregate susceptible to alkali-carbonate reaction (ACR). Use one of the three options below for qualifying concrete mixtures to reduce the potential of alkali-silica reaction (ASR):

- a. For each aggregate used in concrete, the expansion result determined

in accordance with ASTM C1293 must not exceed 0.04 percent at one year.

- b. For each aggregate used in concrete, the expansion result of the aggregate and cementitious materials combination determined in accordance with ASTM C1567 must not exceed 0.10 percent at an age of 16 days.
- c. Alkali content in concrete (LBA) must not exceed 4 pounds per cubic yard for moderately reactive aggregate or 3 pounds per cubic yard for highly reactive aggregate. Reactivity must be determined by testing in accordance with ASTM C1293 and categorized in accordance with ASTM C1778. Alkali content is calculated as follows:

$$\text{LBA} = (\text{cement content, pounds per cubic yard}) \times (\text{equivalent alkali content of portland cement in percent}/100 \text{ percent})$$

2.5.2.2 Freezing and Thawing Resistance

- a. Provide concrete meeting the following requirements based on exposure class assigned to members for freezing-and-thawing exposure in Contract Documents:

Exposure class	Maximum w/cm^*	Minimum $f'c$, psi	Air content	Additional Requirements
F0	N/A	2500		N/A
F1	0.55	3500	Depends on aggregate size	N/A
F2	0.45	4500	Depends on aggregate size	See limits on maximum cementitious material by mass
F3	0.40	5000	Depends on aggregate size	See limits on maximum cementitious material by mass
F3 plain concrete	0.45	4500	Depends on aggregate size	See limits on maximum cementitious material by mass

*The maximum w/cm limits do not apply to lightweight concrete.

- b. Concrete must be air entrained for members assigned to Exposure Class F1, F2, or F3. The total air content must meet the requirements of the following table:

Nominal maximum aggregate size, in.	Total air content, percent* [^]	
	Exposure Class F2 and F3	Exposure Class F1
3/8	7.5	6.0
1/2	7.0	5.5
3/4	6.0	5.0
1	6.0	4.5
1-1/2	5.5	4.5
2	5.0	4.0
3	5.5	3.5

*Tolerance on air content as delivered must be plus/minus 1.5 percent.

[^]For f'c greater than 5000 psi, reducing air content by 1.0 percentage point is acceptable.

- c. Submit documentation verifying compliance with specified requirements.
- d. For sections of the structure that are assigned Exposure Class F3, submit certification on cement composition verifying that concrete mixture meets the requirements of the following table:

Cementitious material	Maximum percent of total cementitious material by mass*
Fly ash or other pozzolans conforming to ASTM C618	25
Slag cement conforming to ASTM C989/C989M	50
Silica fume conforming to ASTM C1240	10
Total of fly ash or other pozzolans, slag cement, and silica fume	50 [^]
Total of fly ash or other pozzolans and silica fume	35 [^]

*Total cementitious material also includes ASTM C150/C150M, ASTM C595/C595M, ASTM C845/C845M, and ASTM C1157/C1157M cement. The maximum percentages above must include:

- i. Fly ash or other pozzolans present in ASTM C1157/C1157M or ASTM C595/C595M Type IP blended cement.
- ii. Slag cement present in ASTM C1157/C1157M or ASTM C595/C595M Type IS blended cement.

iii. Silica fume conforming to ASTM C1240 present in ASTM C1157/C1157M or ASTM C595/C595M Type IP blended cement.

^Fly ash or other pozzolans and silica fume must constitute no more than 25 percent and 10 percent, respectively, of the total mass of the cementitious materials.

2.5.2.3 Corrosion and Chloride Content

- Provide concrete meeting the requirements of the following table based on the exposure class assigned to members requiring protection against reinforcement corrosion in Contract Documents.
- Submit documentation verifying compliance with specified requirements.
- Water-soluble chloride ion content contributed from constituents including water, aggregates, cementitious materials, and admixtures must be determined for the concrete mixture by ASTM C1218/C1218M at age between 28 and 42 days.
- The maximum water-soluble chloride ion (Cl-) content in concrete, percent by mass of cement is as follows:

Exposure class	Maximum w/cm*	Minimum f'c, psi	Maximum water-soluble chloride ion (Cl-) content in concrete, percent by mass of cement
Reinforced concrete			
C0	N/A	2500	1.00
C1	N/A	2500	0.30
C2	0.4	5000	0.15
Prestressed concrete			
C0	N/A	2500	0.06
C1	N/A	2500	0.06
C2	0.4	5000	0.06

*The maximum w/cm limits do not apply to lightweight concrete.

2.5.2.4 Sulfate Resistance

- Provide concrete meeting the requirements of the following table based on the exposure class assigned to members for sulfate exposure.

Exposure class	Maximum w/cm	Minimum f'c, psi	Required cementitious materials-types			Calcium chloride admixture
			ASTM C150/C150M	ASTM C595/C595M	ASTM C1157/C1157M	
S0	N/A	2500	N/A	N/A	N/A	No restrictions

Exposure class	Maximum w/cm	Minimum f'c, psi	Required cementitious materials-types			Calcium chloride admixture
			ASTM C150/C150M	ASTM C595/C595M	ASTM C1157/C1157M	
S1	0.50	4000	II*^	Types with (MS) designation	MS	No restrictions
S2	0.45	4500	V^	Types with (HS) designation	HS	Not permitted
S3	0.45	4500	V + pozzolan or slag cement**	Types with (HS) designation plus pozzolan or slag cement**	HS + pozzolan or slag cement**	Not permitted
S3	0.40	5000	V***	Types with (HS) designation	HS	Not permitted

* For seawater exposure, other types of portland cements with tricalcium aluminate (C3A) contents up to 10 percent are acceptable if the w/cm does not exceed 0.40.

** The amount of the specific source of the pozzolan or slag cement to be used shall be at least the amount determined by test or service record to improve sulfate resistance when used in concrete containing Type V cement. Alternatively, the amount of the specific source of the pozzolan or slag used shall not be less than the amount tested in accordance with ASTM C1012/C1012M and meeting the requirements maximum expansion requirements listed herein.

*** If Type V cement is used as the sole cementitious material, the optional sulfate requirement of 0.040 percent maximum expansion in ASTM C150/C150M shall be required.

^ Other available types of cement, such as Type III or Type I, are acceptable in exposure classes S1 or S2 if the C3A contents are less than 8 or 5 percent, respectively.

- b. The maximum w/cm limits for sulfate exposure do not apply to lightweight concrete.
- c. Alternative combinations of cementitious materials of those listed in this paragraph are acceptable if they meet the maximum expansion requirements listed in the following table:

Exposure class	Maximum expansion when tested using ASTM C1012/C1012M		
	At 6 months	At 12 months	At 18 months
S1	0.10 percent	N/A	N/A

Exposure class	Maximum expansion when tested using ASTM C1012/C1012M		
	At 6 months	At 12 months	At 18 months
S2	0.05 percent	0.10 percent [^]	N/A
S3	N/A	N/A	0.10 percent

[^]The 12-month expansion limit applies only when the measured expansion exceeds the 6-month maximum expansion limit.

2.5.2.5 Concrete Temperature

The temperature of concrete as delivered must not exceed 95°F.

2.5.2.6 Concrete Permeability

- a. Provide concrete meeting the requirements of the following table based on exposure class assigned to members requiring low permeability in the Contract Documents.

Exposure class	Maximum w/cm*	Minimum f'c, psi	Additional minimum requirements
W0	N/A	2500	None
W1	0.5	4000	None
W2	0.50	4000	None

*The maximum w/cm limits do not apply to lightweight concrete.

- b. Submit documentation verifying compliance with specified requirements.

2.5.3 Contractor's Option for Material Only

At the option of the Contractor, those applicable material sections of DOT RBS for Class A strength concrete must govern in lieu of this specification for concrete. Do not change the selected option during the course of the work.

2.5.4 Trial Mixtures

Trial mixtures must be in accordance to ACI 301.

2.5.5 Ready-Mix Concrete

Provide concrete that meets the requirements of ASTM C94/C94M.

Ready-mixed concrete manufacturer must provide duplicate delivery tickets with each load of concrete delivered. Provide delivery tickets with the following information in addition to that required by ASTM C94/C94M:

- a. Type and brand cement

- b. Cement and supplementary cementitious materials content in 94-pound bags per cubic yard of concrete
- c. Maximum size of aggregate
- d. Amount and brand name of admixtures
- e. Total water content expressed by water cementitious material ratio

2.6 REINFORCEMENT

Shop Fabricate reinforcing bars to conform to shapes and dimensions indicated for reinforcement, and as follows:

- a. Bend reinforcement cold. Reinforcement must be bent cold to shapes as indicated. Bending must be done in the shop. Rebending of a reinforcing bar that has been bent incorrectly is not permitted. Bending must be in accordance with the standard approved practice and by approved machine methods. Provide hooks and bends that are in accordance with the Contract Documents. Fabricate reinforcement in accordance with fabricating tolerances of ACI 117.
- b. Submit manufacturer's certified test report for reinforcement.
- c. Submit placing drawings showing fabrication dimensions and placement locations of reinforcement and reinforcement supports. Placing drawings must indicate locations of splices, lengths of lap splices, and details of mechanical and welded splices.
- d. Submit request with locations and details of splices not indicated in Contract Documents.
- e. Submit request to place column dowels without using templates.
- f. Submit request and procedure to field-bend or straighten reinforcing bars partially embedded in concrete at locations not indicated in Contract Documents. Field bending or straightening of reinforcing bars is permitted.
- g. Submit request for field cutting, including location and type of bar to be cut and reason field cutting is required.

Deliver reinforcing bars bundled, tagged, and marked. Tags must be metal with bar size, length, mark, and other information pressed in by machine. Marks must correspond with those used on the placing drawings.

2.6.1 Reinforcing Bars

- a. Reinforcing bars must be deformed, except spirals, load-transfer dowels, and welded wire reinforcement, which may be plain.
- b. ASTM A615/A615M with the bars marked S, Grade 80; or ASTM A996/A996M with the bars marked R, Grade 60, or marked A, Grade 60. Cold drawn wire used for spiral reinforcement must conform to ASTM A1064/A1064M. Provide reinforcing bars that contain a minimum of 100 percent recycled content.
- c. Reinforcing bars may contain post-consumer or post-industrial recycled

content.

- d. Submit mill certificates for reinforcing bars.

2.6.1.1 Galvanized Reinforcing Bars

- a. Provide zinc-coated (galvanized) reinforcing bars that conform to ASTM A767/A767M, Class 2 as required by the contract Documents.
- b. Coating damage incurred during shipment, handling, and placing of zinc-coated (galvanized) reinforcing bars must be repaired in accordance with ASTM A780/A780M. Damaged areas must not exceed 2 percent of surface area in each linear foot of each bar or bar must not be used. The 2 percent limit on maximum allowed damaged coating area must include previously repaired areas damaged before shipment as required by ASTM A767/A767M.

2.6.1.2 Epoxy-Coated Reinforcing Bars

- a. Provide epoxy-coated reinforcing bars that conform to ASTM A775/A775M, Grade 80.
- b. Coatings must be applied in plants that are certified in accordance with Concrete Reinforcing Steel Institute (CRSI) Epoxy Coating Plant Certification Program or an equivalent program acceptable to the contracting officer.
- c. Coating damage incurred during shipment, storage, handling, and placing of epoxy-coated reinforcing bars must be repaired. Repair damaged coating areas with patching material conforming to ASTM A775/A775M or ASTM A934/A934M as applicable and in accordance with material manufacturer's written recommendations. Damaged coating area must not exceed 2 percent of surface area in each linear foot of each bar or bar must not be used. The 2 percent limit on damaged coating area must include repaired areas damaged before shipment as required by ASTM A775/A775M or ASTM A934/A934M as applicable. Fading of coating color shall not be cause for rejection of epoxy-coated reinforcing bars.
- d. Submit concrete Reinforcing Steel Institute (CRSI) Epoxy Coating Plant Certification.
- e. Epoxy coated reinforcing bars shall be handled and stored in accordance with ASTM A775/A775M or ASTM A934/A934M. If the manufacturer stores bars outdoors for more than 2 months, cover coated reinforcement with opaque protective material.

2.6.1.3 Dual-coated Reinforcing Bars

- a. Zinc and epoxy dual-coated reinforcing bars must conform to ASTM A1055/A1055M
- b. Coating damage incurred during shipment, storage, handling, and placing of zinc and epoxy dual-coated reinforcing bars must be repaired. Repair damaged coating areas with patching material conforming to ASTM A1055/A1055M and in accordance with material manufacturer's written recommendations. Damaged coating area must not exceed 2 percent of surface area in each linear foot of each bar or bar must not be used. The 2 percent limit on damaged coating area

must include repaired areas damaged before shipment as required by ASTM A1055/A1055M. Fading of coating color shall not be cause for rejection of zinc and epoxy dual-coated reinforcing bars.

2.6.1.4 Stainless Steel Reinforcing Bars

Stainless steel bars must meet the requirements of ASTM A955/A955M.

2.6.1.5 Headed Reinforcing Bars

Headed reinforcing bars must conform to ASTM A970/A970M including Annex A1, and other specified requirements.

2.6.1.6 Bar Mats

- a. Bar mats must conform to ASTM A184/A184M.
- b. If coated bar mats are required, repair damaged coating as required in the paragraph titled GALVANIZED REINFORCING BARS EPOXY-COATED REINFORCING BARS and DUAL-COATED REINFORCING BARS.

2.6.1.7 Headed Shear Stud Reinforcement

Headed studs and headed stud assemblies must conform to ASTM A1044/A1044M.

2.6.2 Mechanical Reinforcing Bar Connectors

- a. Provide 125 percent minimum yield strength of the reinforcement bar.
- b. Mechanical splices for galvanized reinforcing bars must be galvanized or coated with dielectric material.
- c. Mechanical splices used with epoxy-coated or dual-coated reinforcing bars must be coated with dielectric material.
- d. Submit data on mechanical splices demonstrating compliance with this paragraph.

2.6.3 Wire

- a. Provide wire reinforcement that contains a minimum of 50 percent recycled content. Provide flat sheets of welded wire reinforcement for slabs and toppings.
- b. Plain or deformed steel wire must conform to ASTM A1064/A1064M.
- c. Stainless steel wire must conform to ASTM A1022/A1022M.
- d. Epoxy-coated wire must conform to ASTM A884/A884M. Coating damage incurred during shipment, storage, handling, and placing of epoxy-coated wires must be repaired. Repair damaged coating areas with patching material in accordance with material manufacturer's written recommendations. If damaged area exceeds 2 percent of surface area in each linear foot of each wire, wire must not be used. The 2 percent limit on damaged coating area must include repaired areas damaged before shipment as required by ASTM A884/A884M. Fading of coating color shall not be cause for rejection of epoxy-coated wire reinforcement.

2.6.4 Welded wire reinforcement

- a. Use welded wire reinforcement specified in Contract Documents and conforming to one or more of the specifications given herein.
- b. Plain welded wire reinforcement must conform to ASTM A1064/A1064M, with welded intersections spaced no greater than 12 in. apart in direction of principal reinforcement.
- c. Deformed welded wire reinforcement must conform to ASTM A1064/A1064M, with welded intersections spaced no greater than 16 in. apart in direction of principal reinforcement.
- d. Epoxy-coated welded wire reinforcement must conform to ASTM A884/A884M. Coating damage incurred during shipment, storage, handling, and placing of epoxy-coated welded wire reinforcement must be repaired in accordance with ASTM A884/A884M. Repair damaged coating areas with patching material in accordance with material manufacturer's written recommendations. If damaged area exceeds 2 percent of surface area in each linear foot of each wire or welded wire reinforcement, the sheet containing the damaged area must not be used. The 2 percent limit on damaged coating area must include repaired areas damaged before shipment as required by ASTM A884/A884M. Fading of coating color shall not be cause for rejection of epoxy-coated welded wire reinforcement.
- e. Stainless steel welded wire reinforcement must conform to ASTM A1022/A1022M.
- f. Zinc-coated (galvanized) welded wire reinforcement must conform to ASTM A1060/A1060M. Coating damage incurred during shipment, storage, handling, and placing of zinc-coated (galvanized) welded wire reinforcement must be repaired in accordance with ASTM A780/A780M. If damaged area exceeds 2 percent of surface area in each linear foot of each wire or welded wire reinforcement, the sheet containing the damaged area must not be used. The 2 percent limit on damaged coating area shall include repaired areas damaged before shipment as required by ASTM A1060/A1060M.

2.6.5 Reinforcing Bar Supports

- a. Provide reinforcement support types within structure as required by Contract Documents. Reinforcement supports must conform to CRSI RB4.1. Submit description of reinforcement supports and materials for fastening coated reinforcement if not in conformance with CRSI RB4.1.
- b. For epoxy-coated reinforcement, use epoxy-coated or other dielectric-polymer-coated wire bar support. For zinc-coated reinforcement, use galvanized wire or dielectric-polymer coated wire bar supports.
- c. Legs of supports in contact with formwork must be hot-dip galvanized, or plastic coated after fabrication, or stainless-steel bar supports.
- d. Minimum 10 percent post-consumer recycled content, or minimum 40 percent post-industrial recycled content.

2.6.6 Reinforcing Fibers

2.6.6.1 Synthetic Fibers

In addition to the requirements specified above, provide fiber reinforced concrete in accordance with ASTM C1116/C1116M Type III, synthetic fiber reinforced concrete, and as follows. Synthetic reinforcing fibers must be 100 percent virgin monofilament polypropylene fibers, with a minimum of 10 percent post-consumer recycled content, or a minimum of 40 percent post-industrial recycled content.

Provide fibers that have a specific gravity of 0.9, a minimum tensile strength of 70 ksi, graded per manufacturer, and specifically manufactured to an optimum gradation for use as concrete secondary reinforcement. Add fibers at the batch plant. Toughness indices must meet requirements for performance level I. Provide the services of a qualified technical representative to instruct the concrete supplier in proper batching and mixing of materials to be provided.

2.6.6.2 Steel Fibers

If steel fiber-reinforced concrete is specified in Contract Documents for providing shear resistance, steel fibers must be deformed and conform to ASTM A820/A820M. Steel fibers must have a length-to-diameter ratio of at least 50 and not exceed 100.

2.6.7 Dowels for Load Transfer in Floors

Provide greased dowels for load transfer in floors of the type, design, weight, and dimensions indicated. Provide dowel bars that are plain-billet steel conforming to ASTM A615/A615M, Grade 40. Provide dowel pipe that is steel conforming to ASTM A53/A53M.

Plate dowels must conform to ASTM A36/A36M, and must be of size and spacing indicated. Plate dowel system must minimize shrinkage restraint by using a tapered shape or formed void or by having compressible material on the vertical faces with a thin bond breaker on the top and bottom dowel surfaces.

2.6.8 Welding

- a. Provide weldable reinforcing bars that conform to ASTM A706/A706M and ASTM A615/A615M and Supplement S1, Grade 60, except that the maximum carbon content must be 0.55 percent.
- b. Comply with AWS D1.4/D1.4M unless otherwise specified. Do not tack weld reinforcing bars.
- c. Welded assemblies of steel reinforcement produced under factory conditions, such as welded wire reinforcement, bar mats, and deformed bar anchors, are allowed.
- d. After completing welds on zinc-coated (galvanized), epoxy-coated, or zinc and epoxy dual-coated reinforcement, coat welds and repair coating damage as previously specified.

PART 3 EXECUTION

3.1 EXAMINATION

- a. Do not begin installation until substrates have been properly constructed; verify that substrates are level.
- b. If substrate preparation is the responsibility of another installer, notify Contracting Officer of unsatisfactory preparation before processing.
- c. Check field dimensions before beginning installation. If dimensions vary too much from design dimensions for proper installation, notify Contracting Officer and wait for instructions before beginning installation.

3.2 PREPARATION

Determine quantity of concrete needed and minimize the production of excess concrete. Designate locations or uses for potential excess concrete before the concrete is poured.

3.2.1 General

- a. Surfaces against which concrete is to be placed must be free of debris, loose material, standing water, snow, ice, and other deleterious substances before start of concrete placing.
- b. Remove standing water without washing over freshly deposited concrete. Divert flow of water through side drains provided for such purpose.

3.2.2 Subgrade Under Slabs on Ground

- a. Before construction of slabs on ground, have underground work on pipes and conduits completed and approved.
- b. Previously constructed subgrade or fill must be cleaned of foreign materials
- c. Finish surface of capillary water barrier under interior slabs on ground must not show deviation in excess of 1/4 inch when tested with a 10-foot straightedge parallel with and at right angles to building lines.
- d. Finished surface of subgrade or fill under exterior slabs on ground must not be more than 0.02-foot above or 0.10-foot below elevation indicated.

3.2.3 Reinforcement and Other Embedded Items

- a. Secure reinforcement, joint materials, and other embedded materials in position, inspected, and approved before start of concrete placing.
- b. When concrete is placed, reinforcement must be free of materials deleterious to bond. Reinforcement with rust, mill scale, or a combination of both will be considered satisfactory, provided minimum nominal dimensions, nominal weight, and minimum average height of deformations of a hand-wire-brushed test specimen are not less than applicable ASTM specification requirements.

3.3 FORMS

- a. Provide forms, shoring, and scaffolding for concrete placement. Set forms mortar-tight and true to line and grade.
- b. Chamfer above grade exposed joints, edges, and external corners of concrete 0.75 inch. Place chamfer strips in corners of formwork to produce beveled edges on permanently exposed surfaces. Do not bevel reentrant corners or edges of formed joints of concrete.
- c. Provide formwork with clean-out openings to permit inspection and removal of debris.
- d. Inspect formwork and remove foreign material before concrete is placed.
- e. At construction joints, lap form-facing materials over the concrete of previous placement. Ensure formwork is placed against hardened concrete so offsets at construction joints conform to specified tolerances.
- f. Provide positive means of adjustment (such as wedges or jacks) of shores and struts. Do not make adjustments in formwork after concrete has reached initial setting. Brace formwork to resist lateral deflection and lateral instability.
- g. Fasten form wedges in place after final adjustment of forms and before concrete placement.
- h. Provide anchoring and bracing to control upward and lateral movement of formwork system.
- i. Construct formwork for openings to facilitate removal and to produce opening dimensions as specified and within tolerances.
- j. Provide runways for moving equipment. Support runways directly on formwork or structural members. Do not support runways on reinforcement. Loading applied by runways must not exceed capacity of formwork or structural members.
- k. Position and support expansion joint materials, waterstops, and other embedded items to prevent displacement. Fill voids in sleeves, inserts, and anchor slots temporarily with removable material to prevent concrete entry into voids.
- l. Clean surfaces of formwork and embedded materials of mortar, grout, and foreign materials before concrete placement.

3.3.1 Coating

- a. Cover formwork surfaces with an acceptable material that inhibits bond with concrete.
- b. If formwork release agent is used, apply to formwork surfaces in accordance with manufacturer's recommendations before placing reinforcement. Remove excess release agent on formwork prior to concrete placement.
- c. Do not allow formwork release agent to contact reinforcement or

hardened concrete against which fresh concrete is to be placed.

3.3.2 Reshoring

- a. Do not allow structural members to be loaded with combined dead and construction loads in excess of loads indicated in the accepted procedure.
- b. Install and remove reshores or backshores in accordance with accepted procedure.
- c. For floors supporting shores under newly placed concrete, either leave original supporting shores in place, or install reshores or backshores. Shoring system and supporting slabs must resist anticipated loads. Locate reshores and backshores directly under a shore position or as indicated on formwork shop drawings.
- d. In multistory buildings, place reshoring or backshoring over a sufficient number of stories to distribute weight of newly placed concrete, forms, and construction live loads.

3.3.3 Reuse

- a. Reuse forms providing the structural integrity of concrete and the aesthetics of exposed concrete are not compromised.
- b. Wood forms must not be clogged with paste and must be capable of absorbing high water-cementitious material ratio paste.
- c. Remove leaked mortar from formwork joints before reuse.

3.3.4 Forms for Standard Rough Form Finish

Provide formwork in accordance with ACI 301 Section 5 with a surface finish, SF-1.0, for formed surfaces that are to be concealed by other construction.

3.3.5 Forms for Standard Smooth Form Finish

Provide formwork in accordance with ACI 301 Section 5 with a surface finish, SF-3.0, for formed surfaces that are exposed to view. Do not provide mockup of concrete surface appearance and texture.

3.3.6 Form Ties

- a. For post-tensioned structures, do not remove formwork supports until stressing records have been accepted by the Contracting Officer.
- b. After ends or end fasteners of form ties have been removed, repair tie holes in accordance with ACI 301 Section 5 requirements.

3.3.7 Forms for Concrete Pan Joist Construction

Pan-form units for one-way or two-way concrete joist and slab construction must be factory-fabricated units of the approximate section indicated. Units must consist of steel or molded fiberglass concrete form pans. Closure units must be furnished as required.

3.3.8 Tolerances for Form Construction

- a. Construct formwork so concrete surfaces conform to tolerances in ACI 117.
- b. Position and secure sleeves, inserts, anchors, and other embedded items such that embedded items are positioned within ACI 117 tolerances.
- c. To maintain specified elevation and thickness within tolerances, install formwork to compensate for deflection and anticipated settlement in formwork during concrete placement. Set formwork and intermediate screed strips for slabs to produce designated elevation, camber, and contour of finished surface before formwork removal. If specified finish requires use of vibrating screeds or roller pipe screeds, ensure that edge forms and screed strips are strong enough to support such equipment.

3.3.9 Removal of Forms and Supports

- a. If vertical formed surfaces require finishing, remove forms as soon as removal operations will not damage concrete.
- b. Remove top forms on sloping surfaces of concrete as soon as removal will not allow concrete to sag. Perform repairs and finishing operations required. If forms are removed before end of specified curing period, provide curing and protection.
- c. Do not damage concrete during removal of vertical formwork for columns, walls, and sides of beams. Perform needed repair and finishing operations required on vertical surfaces. If forms are removed before end of specified curing period, provide curing and protection.
- d. Leave formwork and shoring in place to support construction loads and weight of concrete in beams, slabs, and other structural members until in-place required strength of concrete is reached.
- e. Form-facing material and horizontal facing support members may be removed before in-place concrete reaches specified compressive strength if shores and other supports are designed to allow facing removal without deflection of supported slab or member.

3.3.10 Strength of Concrete Required for Removal of Formwork

If removal of formwork, reshoring, or backshoring is based on concrete reaching a specified in-place strength, mold and field-cure cylinders in accordance with ASTM C31/C31M. Test cylinders in accordance with ASTM C39/C39M. Alternatively, use one or more of the methods listed herein to evaluate in-place concrete strength for formwork removal.

- a. Tests of cast-in-place cylinders in accordance with ASTM C873/C873M. This option is limited to slabs with concrete depths from 5 to 12 in.
- b. Penetration resistance in accordance with ASTM C803/C803M.
- c. Pullout strength in accordance with ASTM C900.
- d. Maturity method in accordance with ASTM C1074. Submit maturity method

data using project materials and concrete mix proportions used on the project to demonstrate the correlation between maturity and compressive strength of laboratory cured test specimens to the Contracting Officer.

3.4 WATERSTOP INSTALLATION AND SPLICES

- a. Provide waterstops in construction joints as indicated.
- b. Install formwork to accommodate waterstop materials. Locate waterstops in joints where indicated in Contract Documents. Minimize number of splices in waterstop. Splice waterstops in accordance with manufacturer's written instructions. Install factory-manufactured premolded mitered corners.
- c. Install waterstops to form a continuous diaphragm in each joint. Make adequate provisions to support and protect waterstops during progress of work. Protect waterstops protruding from joints from damage.

3.4.1 PVC Waterstop

Make splices by heat sealing the adjacent waterstop edges together using a thermoplastic splicing iron utilizing a non-stick surface specifically designed for waterstop welding. Reform waterstops at splices with a remolding iron with ribs or corrugations to match the pattern of the waterstop. The spliced area, when cooled, must show no signs of separation, holes, or other imperfections when bent by hand in as sharp an angle as possible.

3.4.2 Rubber Waterstop

Rubber waterstops must be spliced using cold bond adhesive as recommended by the manufacturer.

3.4.3 Thermoplastic Elastomeric Rubber Waterstop

Fittings must be shop made using a machine specifically designed to mechanically weld the waterstop. A portable power saw must be used to miter or straight cut the ends to be joined to ensure good alignment and contact between joined surfaces. Maintain continuity of the characteristic features of the cross section of the waterstop (for example ribs, tabular center axis, and protrusions) across the splice.

3.4.4 Hydrophilic Waterstop

Miter cut ends to be joined with sharp knife or shears. The ends must be adhered with adhesive.

3.5 PLACING REINFORCEMENT AND MISCELLANEOUS MATERIALS

- a. Unless otherwise specified, placing reinforcement and miscellaneous materials must be in accordance to ACI 301. Provide bars, welded wire reinforcement, wire ties, supports, and other devices necessary to install and secure reinforcement.
- b. Reinforcement must not have rust, scale, oil, grease, clay, or foreign substances that would reduce the bond. Rusting of reinforcement is a basis of rejection if the effective cross-sectional area or the nominal weight per unit length has been reduced. Remove loose rust

prior to placing steel. Tack welding is prohibited.

- c. Nonprestressed cast-in-place concrete members must have concrete cover for reinforcement given in the following table:

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Cast against and permanently in contact with ground	All	All	3
Exposed to weather or in contact with ground	All	No. 6 through No. 18 bars	2
		No. 5 bar, W31 or D31 wire, and smaller	1-1/2
Not exposed to weather or in contact with ground	Slabs, joists, and walls	No. 14 and No. 18 bars	1-1/2
		No. 11 bar and smaller	3/4
	Beams, columns, pedestals, and tension ties	Primary reinforcement, stirrups, ties, spirals, and hoops	1-1/2

- d. Cast-in-place prestressed concrete members must have concrete cover for reinforcement, ducts, and end fittings given in the following table:

Concrete	Member	Reinforcement	Specified
Cast against and permanently in contact with ground	All	All	3
Exposed to weather or in contact with ground	Slabs, joists, and walls	All	1
	All other	All	1-1/2

Concrete	Member	Reinforcement	Specified
Not exposed to weather or in contact with ground	Slabs, joists, and walls	All	3/4
	Beams, columns, and tension ties	Primary reinforcement	1-1/2
		Stirrups, ties, spirals, and hoops	1

- e. Precast nonprestressed or prestressed concrete members manufactured under plant conditions must have concrete cover for reinforcement, ducts, and end fittings given in the following table:

NOT FOR BIDDING PURPOSES

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Exposed to weather or in contact with ground	Walls	No. 14 and No. 18 bars; tendons larger than 1-1/2 in. diameter	1-1/2
		No. 11 bars and smaller; W31 and D31 wire, and smaller; tendons and strands 1-1/2 in.	3/4
	All other	No. 14 and No. 18 bars; tendons larger than 1-1/2 in.	2
		No. 6 through No. 11 bars; tendons and strands larger than 5/8 in. diameter through 1-1/2 in.	1-1/2
		No. 5 bar, W31 or D31 wire, and smaller; tendons and strands 5/8 in. diameter and smaller	1-1/4

Concrete Exposure	Member	Reinforcement	Specified cover, in.
Not exposed to weather or in contact with ground	Slabs, joists, and walls	No. 14 and No. 18 bars; tendons larger than 1-1/2 in. diameter	1-1/4
		Tendons and strands 1-1/2 in. diameter and smaller	3/4
		No. 11 bar, W31 or D31	5/8
	Beams, columns, pedestals, and tension ties	Primary reinforcement	Greater of bar diameter and 5/8 and need not exceed 1-1/2
		Stirrups, ties, spirals, and hoops	3/8

3.5.1 General

Provide details of reinforcement that are in accordance with the Contract Documents.

3.5.2 Vapor Retarder and Vapor Barrier

- a. Install in accordance with ASTM E1643. Provide beneath the on-grade concrete floor slab. Use the greatest widths and lengths practicable to eliminate joints wherever possible. Lap joints a minimum of 12 inches and tape.
- b. Remove torn, punctured, or damaged vapor retarder and vapor barrier material and provide with new vapor retarder and vapor barrier prior to placing concrete. Concrete placement must not damage vapor retarder and vapor barrier material. Place a 2 inch layer of clean concrete sand on vapor retarder and vapor barrier before placing concrete.

3.5.3 Perimeter Insulation

Install perimeter insulation at locations indicated. Adhesive must be used where insulation is applied to the interior surface of foundation walls and may be used for exterior application.

3.5.4 Reinforcement Supports

Provide reinforcement support in accordance with CRSI RB4.1 and ACI 301 Section 3 requirements. Supports for coated or galvanized bars must also be coated with electrically compatible material for a distance of at least 2 inches beyond the point of contact with the bars.

3.5.5 Epoxy Coated Reinforcing

Epoxy Coated Reinforcing must meet the requirements of ASTM A934/A934M including Appendix X2, "Guidelines for Job Site Practices" except as otherwise specified herein.

3.5.5.1 Epoxy Coated Reinforcing Steel Placement and Coating Repair

Carefully handle and install bars to minimize job site patching. Use the same precautions as described in the paragraph titled EPOXY-COATED REINFORCING BARS. Do not drag bars over other bars or over abrasive surfaces. Keep bar free of dirt and grit. When possible, assemble reinforcement as tied cages prior to final placement into the forms. Support assembled cages on padded supports. It is not expected that coated bars, when in final position ready for concrete placement, are completely free of damaged areas; however, excessive nicks and scrapes which expose steel is cause for rejection. Criteria for defects which require repair and for those that do not require repair are as indicated. Inspect for defects and provide required repairs prior to assembly. After assembly, reinspect and provide final repairs.

- a. Immediately prior to application of the patching material, manually remove any rust and debonded coating from the reinforcement by suitable techniques employing devices such as wire brushes and emery paper. Exercise care during this surface preparation so that the damaged areas are not enlarged more than necessary to accomplish the repair. Clean damaged areas of dirt, debris, oil, and similar materials prior to application of the patching material.
- b. Do repair and patching in accordance with the patching material manufacturer's recommendations. These recommendations, including cure times, must be available at the job site at all times.
- c. Allow adequate time for the patching materials to cure in accordance with the manufacturer's recommendation prior to concrete placement.
- d. Rinse placed reinforcing bars with fresh water to remove chloride contamination prior to placing concrete.

3.5.6 Splicing

As indicated in the Contract Documents. For splices not indicated follow ACI 301. Do not splice at points of maximum stress. Overlap welded wire reinforcement the spacing of the cross wires, plus 2 inches. AWS D1.4/D1.4M. Approve welded splices prior to use. Repair the cut ends of hot-dipped galvanized reinforcement steel to completely coat exposed steel, ASTM A780/A780M.

3.5.7 Future Bonding

Plug exposed, threaded, mechanical reinforcement bar connectors with a greased bolt. Provide bolt threads that match the connector. Countersink

the connector in the concrete. Caulk the depression after the bolt is installed.

3.5.8 Setting Miscellaneous Material

Place and secure anchors and bolts, pipe sleeves, conduits, and other such items in position before concrete placement and support against displacement. Plumb anchor bolts and check location and elevation. Temporarily fill voids in sleeves with readily removable material to prevent the entry of concrete.

3.5.9 Inspection of Reinforcement

Inspect and verify proper reinforcement grade, shape, cross section and condition. Do not use reinforcement with the following defects:

- a. Bar lengths, depths, and bends beyond specified fabrication tolerances.
- b. Bends or kinks not indicated on drawings or approved shop drawings.
- c. Bars with reduced cross section due to rusting or other cause.

3.5.10 Placing Reinforcement

Place reinforcement in accordance with ACI 301.

For slabs on grade (over earth or over capillary water barrier) and for footing reinforcement, support bars or welded wire reinforcement on precast concrete blocks, spaced at intervals required by size of reinforcement, to keep reinforcement the minimum height specified above the underside of slab or footing.

For slabs other than on grade, supports for which any portion is less than 1 inch from concrete surfaces that are exposed to view or to be painted must be of precast concrete units, plastic-coated steel, or stainless steel protected bar supports. Precast concrete units must be wedge shaped, not larger than 3-1/2 by 3-1/2 inches, and of thickness equal to that indicated for concrete protection of reinforcement. Provide precast units that have cast-in galvanized tie wire hooked for anchorage and blend with concrete surfaces after finishing is completed.

Provide reinforcement that is supported and secured together to prevent displacement by construction loads or by placing of wet concrete, and as follows:

- a. Provide supports for reinforcing bars that are sufficient in number and have sufficient strength to carry the reinforcement they support, and in accordance with ACI 301 and CRSI 10MSP. Do not use supports to support runways for concrete conveying equipment and similar construction loads.
- b. Equip supports on ground and similar surfaces with sand-plates.
- c. Support welded wire reinforcement as required for reinforcing bars.
- d. Secure reinforcements to supports by means of tie wire. Wire must be black, soft iron wire, not less than 16 gage.
- e. Reinforcement must be accurately placed, securely tied at

intersections, and held in position during placing of concrete by spacers, chairs, or other approved supports. Point wire-tie ends away from the form. Unless otherwise indicated, numbers, type, and spacing of supports must conform to the Contract Documents.

- f. Bending of reinforcing bars partially embedded in concrete is permitted only as specified in the Contract Documents.

3.5.11 Spacing of Reinforcing Bars

- a. Spacing must be as indicated in the Contract Documents.
- b. Reinforcing bars may be relocated to avoid interference with other reinforcement, or with conduit, pipe, or other embedded items. If any reinforcing bar is moved a distance exceeding one bar diameter or specified placing tolerance, resulting rearrangement of reinforcement is subject to preapproval by the Contracting Officer.

3.5.12 Concrete Protection for Reinforcement

Additional concrete protection must be in accordance with the Contract Documents.

3.5.13 Welding

Welding must be in accordance with AWS D1.4/D1.4M.

3.6 BATCHING, MEASURING, MIXING, AND TRANSPORTING CONCRETE

In accordance with ASTM C94/C94M, ACI 301, ACI 302.1R and ACI 304R, except as modified herein. Batching equipment must be such that the concrete ingredients are consistently measured within the following tolerances: 1 percent for cement and water, 2 percent for aggregate, and 3 percent for admixtures. Furnish mandatory batch ticket information for each load of ready mix concrete.

3.6.1 Measuring

Make measurements at intervals as specified in paragraphs SAMPLING and TESTING.

3.6.2 Mixing

- a. Mix concrete in accordance with ASTM C94/C94M, ACI 301 and ACI 304R.
- b. Machine mix concrete. Begin mixing within 30 minutes after the cement has been added to the aggregates. Place concrete within 90 minutes of either addition of mixing water to cement and aggregates or addition of cement to aggregates. If discharge is acceptable after more than 90 minutes the air content, slump, and concrete temperature shall be tested and shall be within the specified limits. A retarder shall be used to facilitate placing and finishing when concrete temperature is 85 degrees F or greater.
- c. If the entrained air content falls below the specified limit, add a sufficient quantity of admixture, within the manufacturer's recommended dosage, to bring the entrained air content within the specified limits. Dissolve admixtures in the mixing water and mix in the drum to uniformly distribute the admixture throughout the batch.

Do not reconstitute concrete that has begun to solidify.

- d. When fibers are used, add fibers together with the aggregates and never as the first component in the mixer. Fibers must be dispensed into the mixing system using appropriate dispensing equipment and procedure as recommended by the manufacturer.

3.6.3 Transporting

Transport concrete from the mixer to the forms as rapidly as practicable. Prevent segregation or loss of ingredients. Clean transporting equipment thoroughly before each batch. Do not use aluminum pipe or chutes. Remove concrete which has segregated in transporting and dispose of as directed.

3.7 PLACING CONCRETE

Place concrete in accordance with ACI 301 Section 5. Concrete shall be placed within 15 minutes of discharge into non-agitating equipment.

3.7.1 Footing Placement

Concrete for footings may be placed in excavations without forms upon inspection and approval by the Contracting Officer. Excavation width must be a minimum of 4 inches greater than indicated.

3.7.2 Pumping

ACI 304R and ACI 304.2R. Pumping must not result in separation or loss of materials nor cause interruptions sufficient to permit loss of plasticity between successive increments. Loss of slump in pumping equipment must not exceed 2 inches at discharge/placement. Do not convey concrete through pipe made of aluminum or aluminum alloy. Avoid rapid changes in pipe sizes. Limit maximum size of coarse aggregate to 33 percent of the diameter of the pipe. Limit maximum size of well-rounded aggregate to 40 percent of the pipe diameter. Take samples for testing at both the point of delivery to the pump and at the discharge end.

3.7.2.1 Pumping Lightweight Concrete

In accordance with ACI 213R unless otherwise specified. Presoak or presaturate aggregates. Cement content must be minimum of 564 pounds per cubic yard and be sufficient to accommodate a 4 to 6 inch slump. Make field trial run in accordance with ACI 213R.

3.7.3 Cold Weather

Cold weather concrete must meet the requirements of ACI 306.1 unless otherwise specified. Do not allow concrete temperature to decrease below 50 degrees F. Obtain approval prior to placing concrete when the ambient temperature is below 40 degrees F or when concrete is likely to be subjected to freezing temperatures within 24 hours. Cover concrete and provide sufficient heat to maintain 50 degrees F minimum adjacent to both the formwork and the structure while curing. Limit the rate of cooling to 5 degrees F in any one hour and 18 degrees F per 24 hours after heat application.

3.7.4 Hot Weather

Hot weather concrete must meet the requirements of ACI 305.1 unless

otherwise specified. Maintain required concrete temperature using Figure 4.2 in ACI 305R to prevent the evaporation rate from exceeding 0.2 pound of water per square foot of exposed concrete per hour. Cool ingredients before mixing or use other suitable means to control concrete temperature and prevent rapid drying of newly placed concrete. Shade the fresh concrete as soon as possible after placing. Start curing when the surface of the fresh concrete is sufficiently hard to permit curing without damage. Provide water hoses, pipes, spraying equipment, and water hauling equipment, where job site is remote to water source, to maintain a moist concrete surface throughout the curing period. Provide burlap cover or other suitable, permeable material with fog spray or continuous wetting of the concrete when weather conditions prevent the use of either liquid membrane curing compound or impervious sheets. For vertical surfaces, protect forms from direct sunlight and add water to top of structure once concrete is set.

3.7.5 Bonding

Surfaces of set concrete at joints, must be roughened and cleaned of laitance, coatings, loose particles, and foreign matter. Roughen surfaces in a manner that exposes the aggregate uniformly and does not leave laitance, loosened particles of aggregate, nor damaged concrete at the surface.

Obtain bonding of fresh concrete that has set as follows:

- a. At joints between footings and walls or columns, between walls or columns and the beams or slabs they support, and elsewhere unless otherwise specified; roughened and cleaned surface of set concrete must be dampened, but not saturated, immediately prior to placing of fresh concrete.
- b. At joints in exposed-to-view work; at vertical joints in walls; at joints near midpoint of span in girders, beams, supported slabs, other structural members; in work designed to contain liquids; the roughened and cleaned surface of set concrete must be dampened but not saturated and covered with a cement grout coating.
- c. Provide cement grout that consists of equal parts of portland cement and fine aggregate by weight with not more than 6 gallons of water per sack of cement. Apply cement grout with a stiff broom or brush to a minimum thickness of 1/16 inch. Deposit fresh concrete before cement grout has attained its initial set.

3.8 WASTE MANAGEMENT

Provide as specified in the Waste Management Plan and as follows.

3.8.1 Mixing Equipment

Before concrete pours, designate Contractor-owned site meeting environmental standards for cleaning out concrete mixing trucks. Minimize water used to wash equipment.

3.8.2 Hardened, Cured Waste Concrete

Crush and reuse hardened, cured waste concrete as fill or as a base course for pavement.

3.8.3 Reinforcing Steel

Collect reinforcing steel and place in designated area for recycling.

3.8.4 Other Waste

Identify concrete manufacturer's or supplier's policy for collection or return of construction waste, unused material, deconstruction waste, and/or packaging material. Return excess cement to supplier.

3.9 SURFACE FINISHES EXCEPT FLOOR, SLAB, AND PAVEMENT FINISHES

3.9.1 Defects

Repair surface defects in accordance with ACI 301 Section 5.

3.9.2 Not Against Forms (Top of Walls)

Surfaces not otherwise specified must be finished with wood floats to even surfaces. Finish must match adjacent finishes.

3.9.3 Formed Surfaces

3.9.3.1 Tolerances

Tolerances in accordance with ACI 117 and as indicated.

3.9.3.2 As-Cast Rough Form

Provide for surfaces not exposed to public view a surface finish SF-1.0. Patch holes and defects in accordance with ACI 301.

3.9.3.3 Standard Smooth Finish

Provide for surfaces exposed to public view a surface finish SF-3.0. Patch holes and defects in accordance with ACI 301.

3.9.4 Grout-Cleaned Rubbed Finish

Provide a grout-cleaned rubbed finish per ACI 301 Section 5 in the locations indicated.

3.10 CURING AND PROTECTION

Curing and protection in accordance with ACI 301 Section 5, unless otherwise specified. Begin curing immediately following form removal. Avoid damage to concrete from vibration created by blasting, pile driving, movement of equipment in the vicinity, disturbance of formwork or protruding reinforcement, and any other activity resulting in ground vibrations. Protect concrete from injurious action by sun, rain, flowing water, frost, mechanical injury, tire marks, and oil stains. Do not allow concrete to dry out from time of placement until the expiration of the specified curing period. Do not use membrane-forming compound on surfaces where appearance would be objectionable, on any surface to be painted, where coverings are to be bonded to the concrete, or on concrete to which other concrete is to be bonded. If forms are removed prior to the expiration of the curing period, provide another curing procedure specified herein for the remaining portion of the curing period. Provide moist curing for those areas receiving liquid chemical sealer, hardener,

or epoxy coating. Allow curing compound/sealer installations to cure prior to the installation of materials that adsorb VOCs.

3.10.1 Requirements for Type III, High-Early-Strength Portland Cement

The curing periods are required to be not less than one-fourth of those specified for portland cement, but in no case less than 72 hours.

3.10.2 Curing Periods

ACI 301 Section 5, except 10 days for retaining walls, pavement or chimneys. Begin curing immediately after placement. Protect concrete from premature drying, excessively hot temperatures, and mechanical injury; and maintain minimal moisture loss at a relatively constant temperature for the period necessary for hydration of the cement and hardening of the concrete. The materials and methods of curing are subject to approval by the Contracting Officer.

3.10.3 Curing Formed Surfaces

Accomplish curing of formed surfaces, including undersurfaces of girders, beams, supported slabs, and other similar surfaces by moist curing with forms in place for full curing period or until forms are removed. If forms are removed before end of curing period, accomplish final curing of formed surfaces by any of the curing methods specified above, as applicable.

3.10.4 Curing Unformed Surfaces

- a. Accomplish initial curing of unformed surfaces, such as monolithic slabs, floor topping, and other flat surfaces, by membrane curing.
- b. Accomplish final curing of unformed surfaces by any of curing methods specified, as applicable.
- c. Accomplish final curing of concrete surfaces to receive liquid floor hardener or finish flooring by moisture-retaining cover curing.

3.10.5 Temperature of Concrete During Curing

When temperature of atmosphere is 41 degrees F and below, maintain temperature of concrete at not less than 55 degrees F throughout concrete curing period or 45 degrees F when the curing period is measured by maturity. When necessary, make arrangements before start of concrete placing for heating, covering, insulation, or housing as required to maintain specified temperature and moisture conditions for concrete during curing period.

When the temperature of atmosphere is 80 degrees F and above or during other climatic conditions which cause too rapid drying of concrete, make arrangements before start of concrete placing for installation of wind breaks, of shading, and for fog spraying, wet sprinkling, or moisture-retaining covering of light color as required to protect concrete during curing period.

Changes in temperature of concrete must be uniform and not exceed 5 degrees F in any one hour nor 49 degrees F in any 24-hour period.

3.10.6 Protection from Mechanical Injury

During curing period, protect concrete from damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration and from damage caused by rain or running water.

3.10.7 Protection After Curing

Protect finished concrete surfaces from damage by construction operations.

3.11 FIELD QUALITY CONTROL

3.11.1 Aggregate Testing

3.11.1.1 Fine Aggregate

At least once during each shift when the concrete plant is operating, there shall be one sieve analysis and fineness modulus determination in accordance with ASTM C136/C136M and COE CRD-C 104 for the fine aggregate or for each fine aggregate if it is batched in more than one size or classification. The location at which samples are taken may be selected by the Contractor as the most advantageous for control. However, the Contractor is responsible for delivering fine aggregate to the mixer within specification limits. When the amount passing on any sieve is outside the specification limits, the fine aggregate shall be immediately resampled and retested. If there is another failure on any sieve, the fact shall be immediately reported to the Contracting Officer, concreting shall be stopped, and immediate steps taken to correct the grading.

3.11.1.2 Coarse Aggregate

At least once during each shift in which the concrete plant is operating, there shall be a sieve analysis in accordance with ASTM C136/C136M for each size of coarse aggregate. The location at which samples are taken may be selected by the Contractor as the most advantageous for production control. However, the Contractor shall be responsible for delivering the aggregate to the mixer within specification limits. A test record of samples of aggregate taken at the same locations shall show the results of the current test as well as the average results of the five most recent tests including the current test. The Contractor may adopt limits for control coarser than the specification limits for samples taken other than as delivered to the mixer to allow for degradation during handling. When the amount passing any sieve is outside the specification limits, the coarse aggregate shall be immediately resampled and retested. If the second sample fails on any sieve, that fact shall be reported to the Contracting Officer. Where two consecutive averages of 5 tests are outside specification limits, the operation shall be considered out of control and reported to the Contracting Officer. Concreting shall be stopped and immediate steps shall be taken to correct the grading.

3.11.2 Concrete Sampling

ASTM C172/C172M. Collect samples of fresh concrete to perform tests specified. ASTM C31/C31M for making test specimens.

3.11.3 Concrete Testing

3.11.3.1 Slump Tests

ASTM C143/C143M. Take concrete samples during concrete placement/discharge. The maximum slump may be increased as specified with the addition of an approved admixture provided that the water-cementitious material ratio is not exceeded. Perform tests at commencement of concrete placement, when test cylinders are made.

3.11.3.2 Temperature Tests

Test the concrete delivered and the concrete in the forms. Perform tests in hot or cold weather conditions (below 50 degrees F and above 80 degrees F) for each batch (minimum) or every 20 cubic yards (maximum) of concrete, until the specified temperature is obtained, and whenever test cylinders and slump tests are made.

3.11.3.3 Compressive Strength Tests

ASTM C39/C39M. Make eight 6 inch by 12 inch or 12 4 inch by 8 inch test cylinders for each set of tests in accordance with ASTM C31/C31M, ASTM C172/C172M and applicable requirements of ACI 305R and ACI 306R. Take precautions to prevent evaporation and loss of water from the specimen. Test two 6 inch by 12 inch or three 4 inch by 8 inch cylinders at 7 days, two 6 inch by 12 inch or three 4 inch by 8 inch cylinders at 28 days, two 6 inch by 12 inch or three 4 inch by 8 inch cylinders at 90 days. Take samples for strength tests for each concrete mixture placed each day not less than once a day, nor less than once for each 150 cubic yards of concrete, nor less than once for each 5000 square feet of surface area for slabs or walls. For the entire project, take no less than five sets of samples and perform strength tests for each mix design of concrete placed. Each strength test result must be the average of two 6 inch by 12 inch or three 4 inch by 8 inch cylinders from the same concrete sample tested at 28 days 90 days . Concrete compressive tests must meet the requirements of this section, the Contract Document, and ACI 301.

3.11.3.4 Air Content

ASTM C173/C173M or ASTM C231/C231M for normal weight concrete and ASTM C173/C173M for lightweight concrete. Test air-entrained concrete for air content at the same frequency as specified for slump tests.

3.11.3.5 Unit Weight of Structural Concrete

ASTM C567/C567M and ASTM C138/C138M. Determine unit weight of lightweight and normal weight concrete. Perform test for every 20 cubic yards maximum.

3.11.3.6 Strength of Concrete Structure

The strength of the concrete structure will be considered to be deficient if any of the following conditions are identified:

- a. Failure to meet compressive strength tests as evaluated.
- b. Reinforcement not conforming to requirements specified.
- c. Concrete which differs from required dimensions or location in such a manner as to reduce strength.

- d. Concrete curing and protection of concrete against extremes of temperature during curing, not conforming to requirements specified.
- e. Concrete subjected to damaging mechanical disturbances, particularly load stresses, heavy shock, and excessive vibration.
- f. Poor workmanship likely to result in deficient strength.

Where the strength of the concrete structure is considered deficient submit a mitigation or remediation plan for review and approval by the contracting officer.

3.11.3.7 Non-Conforming Materials

Factors that indicate that there are non-conforming materials include (but not limited to) excessive compressive strength, inadequate compressive strength, excessive slump, excessive voids and honeycombing, concrete delivery records that indicate excessive time between mixing and placement, or excessive water was added to the mixture during delivery and placement. Any of these indicators alone are sufficient reason for the Contracting Officer to request additional sampling and testing.

Investigations into non-conforming materials must be conducted at the Contractor's expense. The Contractor must be responsible for the investigation and must make written recommendations to adequately mitigate or remediate the non-conforming material. The Contracting Officer may accept, accept with reduced payment, require mitigation, or require removal and replacement of non-conforming material at no additional cost to the Government.

3.11.3.8 Testing Concrete Structure for Strength

When there is evidence that strength of concrete structure in place does not meet specification requirements or there are non-conforming materials, make cores drilled from hardened concrete for compressive strength determination in accordance with ASTM C42/C42M, and as follows:

- a. Take at least three representative cores from each member or area of concrete-in-place that is considered potentially deficient. Location of cores will be determined by the Contracting Officer.
- b. Test cores after moisture conditioning in accordance with ASTM C42/C42M if concrete they represent is more than superficially wet under service.
- c. Air dry cores, (60 to 80 degrees F with relative humidity less than 60 percent) for 7 days before test and test dry if concrete they represent is dry under service conditions.
- d. Strength of cores from each member or area are considered satisfactory if their average compressive strength is equal to or greater than 85 percent of the design compressive strength, and if no single core strength is less than 75 percent of the design compressive strength. Additional testing of cores extracted from locations represented by erratic core strength results will be permitted only when approved by the Contracting Officer.

Fill core holes solid with patching mortar and finished to match adjacent

concrete surfaces.

Correct concrete work that is found inadequate by core tests in a manner approved by the Contracting Officer.

3.12 REPAIR, REHABILITATION AND REMOVAL

Before the Contracting Officer accepts the structure the Contractor must inspect the structure for cracks, damage and substandard concrete placements that may adversely affect the service life of the structure. A report documenting these defects must be prepared which includes recommendations for repair, removal or remediation must be submitted to the Contracting Officer for approval before any corrective work is accomplished.

3.12.1 Crack Repair

Prior to final acceptance, all cracks in excess of 0.02 inches wide must be documented and repaired. The proposed method and materials to repair the cracks must be submitted to the Contracting Officer for approval. The proposal must address the amount of movement expected in the crack due to temperature changes and loading.

3.12.2 Repair of Weak Surfaces

Weak surfaces are defined as mortar-rich, rain-damaged, uncured, or containing exposed voids or deleterious materials. Concrete surfaces with weak surfaces less than 1/4 inch thick must be diamond ground to remove the weak surface. Surfaces containing weak surfaces greater than 1/4 inch thick must be removed and replaced or mitigated in a manner acceptable to the Contracting Officer.

3.12.3 Failure of Quality Assurance Test Results

Proposed mitigation efforts by the Contractor must be approved by the Contracting Officer prior to proceeding.

-- End of Section --

NOT FOR BIDDING PURPOSES

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SECTION 09 90 00

PAINTS AND COATINGS

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

1.1.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

- a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.
- b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.
- c. Existing coated surfaces that are damaged during performance of the work.

1.1.1.1 Exterior Painting

Includes new surfaces, piping as required and existing uncoated surfaces of the building and appurtenances. Also included are existing coated surfaces made bare by cleaning operations.

1.1.1.2 Interior Painting

Includes new surfaces, piping as required, and existing coated surfaces of the building and appurtenances as indicated and existing coated surfaces made bare by cleaning operations. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

- a. Exposed columns, girders, beams, joists, and metal deck; and
- b. Other contiguous surfaces.

1.1.2 Painting Excluded

Do not paint the following unless indicated otherwise.

- a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.
- b. Surfaces in concealed spaces. Concealed spaces are defined as enclosed spaces above suspended ceilings, furred spaces, attic spaces, crawl spaces, elevator shafts and chases.
- c. Steel to be embedded in concrete.
- d. Copper, stainless steel, aluminum, anodized aluminum, brass, and lead except existing coated surfaces.
- e. Hardware, fittings, and other factory finished items.

1.1.3 Mechanical and Electrical Painting

Includes field coating of interior and exterior new and existing surfaces.

- a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.
 - (1) Exposed piping, conduit, and ductwork;
 - (2) Supports, hangers, air grilles, and registers;
 - (3) Miscellaneous metalwork and insulation coverings.
- b. Do not paint the following, unless indicated otherwise:
 - (1) New zinc-coated, aluminum, and copper surfaces under insulation
 - (2) New aluminum jacket on piping
 - (3) New interior ferrous piping under insulation.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM D235	(2022) Standard Specification for Mineral Spirits (Petroleum Spirits) (Hydrocarbon Dry Cleaning Solvent)
ASTM D523	(2014; R 2018) Standard Test Method for Specular Gloss
ASTM D2824/D2824M	(2018) Standard Specification for Aluminum-Pigmented Asphalt Roof Coatings, Non-Fibered, and Fibered without Asbestos
ASTM D4214	(2007; R 2015) Standard Test Method for Evaluating the Degree of Chalking of Exterior Paint Films
ASTM D6386	(2022) Standard Practice for Preparation of Zinc (Hot-Dip Galvanized) Coated Iron and Steel Product and Hardware Surfaces for Painting

MASTER PAINTERS INSTITUTE (MPI)

MPI 1	(2012) Aluminum Paint
MPI 2	(2012) Aluminum Heat Resistant Enamel (up to 427 C and 800 F
MPI 3	(2016) Primer, Alkali Resistant, Water Based

MPI 5	(2015) Primer, Exterior Alkyd Wood
MPI 6	(2015) Primer, Exterior Latex Wood
MPI 8	(2016) Alkyd, Exterior Flat (MPI Gloss Level 1)
MPI 9	(2016) Alkyd, Exterior Gloss (MPI Gloss Level 6)
MPI 10	(2016) Latex, Exterior Flat (MPI Gloss Level 1)
MPI 11	(2016) Latex, Exterior Semi-Gloss, MPI Gloss Level 5
MPI 13	(2016) Stain, Exterior Solvent-Based, Semi-Transparent
MPI 16	(2016) Stain, Exterior, Water Based, Solid Hide
MPI 17	(2016) Primer, Bonding, Water Based
MPI 19	(2012) Primer, Zinc Rich, Inorganic
MPI 21	(2012) Heat Resistant Coating, (Up to 205°C/402°F), MPI Gloss Level 6
MPI 22	(2012) Aluminum Paint, High Heat (up to 590° C/1100° F)
MPI 23	(2015) Primer, Metal, Surface Tolerant
MPI 27	(2016) Floor Enamel, Alkyd, Gloss (MPI Gloss Level 6)
MPI 44	(2016) Latex, Interior, (MPI Gloss Level 2)
MPI 47	(2016) Alkyd, Interior, Semi-Gloss (MPI Gloss Level 5)
MPI 48	(2016) Alkyd, Interior, Gloss (MPI Gloss Level 6-7)
MPI 49	(2015) Alkyd, Interior, Flat (MPI Gloss Level 1)
MPI 50	(2015) Primer Sealer, Latex, Interior
MPI 51	(2016) Alkyd, Interior, (MPI Gloss Level 3)2
MPI 52	(2016) Latex, Interior, (MPI Gloss Level 3)
MPI 54	(2016) Latex, Interior, Semi-Gloss (MPI Gloss Level 5)

MPI 59	(2016) Floor Paint, Alkyd, Low Gloss
MPI 60	(2016) Floor Paint, Latex, Low Gloss
MPI 68	(2016) Floor Paint, Latex, Gloss
MPI 72	(2016) Polyurethane, Two-Component, Pigmented, Gloss (MPI Gloss Level 6-7)
MPI 76	(2016) Primer, Alkyd, Quick Dry, for Metal
MPI 77	(2015) Epoxy, Gloss
MPI 79	(2016) Primer, Alkyd, Anti-Corrosive for Metal
MPI 94	(2016) Alkyd, Exterior, Semi-Gloss (MPI Gloss Level 5)
MPI 95	(2015) Primer, Quick Dry, for Aluminum
MPI 101	(2016) Primer, Epoxy, Anti-Corrosive, for Metal
MPI 107	(2016) Primer, Rust-Inhibitive, Water Based
MPI 108	(2015) Epoxy, High Build, Low Gloss
MPI 119	(2016) Latex, Exterior, Gloss (MPI Gloss Level 6)
MPI 120	(2020) Epoxy, High Build, Self Priming, Low Gloss
MPI 134	(2015) Primer, Galvanized, Water Based
MPI 138	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 2)
MPI 139	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 3)
MPI 140	(2016) Latex, Interior, High Performance Architectural, (MPI Gloss Level 4)
MPI 141	(2016) Latex, Interior, High Performance Architectural, Semi-Gloss (MPI Gloss Level 5)
MPI 144	(2016) Latex, Interior, Institutional Low Odor/VOC, (MPI Gloss Level 2)
MPI 145	(2016) Latex, Interior, Institutional Low Odor/VOC, (MPI Gloss Level 3)
MPI 146	(2016) Latex, Interior, Institutional Low Odor/VOC, (MPI Gloss Level 4)
MPI 147	(May 2016) Latex, Interior, Institutional

Low Odor/VOC, Semi-Gloss (MPI Gloss Level 5)

MPI 149	(2016) Primer Sealer, Interior, Institutional Low Odor/VOC
MPI 153	(2016) Light Industrial Coating, Interior, Water Based, Semi-Gloss (MPI Gloss Level 5)
MPI 161	(2016) Light Industrial Coating, Exterior, Water Based (MPI Gloss Level 3)
MPI 163	(2016) Light Industrial Coating, Exterior, Water Based, Semi-Gloss (MPI Gloss Level 5)
MPI 164	(2016) Light Industrial Coating, Exterior, Water Based, Gloss (MPI Gloss Level 6)
MPI 177	(2020) Epoxy, Semi-Gloss (MPI Gloss Level 5)
MPI ASM	(2019) Architectural Painting Specification Manual
MPI GPS-1-14	(2014) Green Performance Standard GPS-1-14
MPI GPS-2-14	(2014) Green Performance Standard GPS-2-14
MPI MRM	(2015) Maintenance Repainting Manual

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC 7/NACE No.4	(2007) Brush-Off Blast Cleaning
SSPC Glossary	(2011) SSPC Protective Coatings Glossary
SSPC PA 1	(2024) Shop, Field, and Maintenance Coating of Metals
SSPC SP 1	(2015) Solvent Cleaning
SSPC SP 2	(2018) Hand Tool Cleaning
SSPC SP 3	(2018) Power Tool Cleaning
SSPC SP 6/NACE No.3	(2007) Commercial Blast Cleaning
SSPC SP 10/NACE No. 2	(2015) Near-White Blast Cleaning
SSPC-SP WJ-2/NACE WJ-2	(2012) Very Thorough Cleaning, Waterjet Cleaning of Metals
SSPC-SP WJ-3/NACE WJ-3	(2012) Thorough Cleaning, Waterjet Cleaning of Metals
SSPC-SP WJ-4/NACE WJ-4	(2012) Light Cleaning, Waterjet Cleaning of Metals

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2024) Safety -- Safety and Occupational
Health (SOH) Requirements

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA Method 24 (2000) Determination of Volatile Matter
Content, Water Content, Density, Volume
Solids, and Weight Solids of Surface
Coatings

1.3 DEFINITIONS

1.3.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third-party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.3.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing must be accomplished by an MPI testing lab.

1.3.3 Coating

SSPC Glossary; (1) A liquid, liquefiable, or mastic composition that is converted to a solid protective, decorative, or functional adherent film after application as a thin layer; (2) Generic term for paint, lacquer, enamel.

1.3.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.3.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five levels are generically defined under the Assessment sections in the MPI MRM, MPI Maintenance Repainting Manual.

1.3.6 EXT

MPI short term designation for an exterior coating system.

1.3.7 INT

MPI short term designation for an interior coating system.

1.3.8 Loose Paint

Paint or coating that can be removed with a dull putty knife.

1.3.9 mil / mils

The English measurement for 0.001 in or one one-thousandth of an inch.

1.3.10 MPI Gloss Levels

MPI system of defining gloss. Seven gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units at 60 degree angle	Units at 80 degree angle
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.3.11 MPI System Number

The MPI coating system number in each MPI Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN).

1.3.12 Paint

SSPC Glossary; (1) Any pigmented liquid, liquefiable, or mastic composition designed for application to a substrate in a thin layer that is converted to an opaque solid film after application. Used for protection, decoration, identification, or to serve some other functional purposes; (2) Application of a coating material.

1.3.13 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.3.14 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Samples of specified materials may be taken and tested for compliance with specification requirements.

SD-02 Shop Drawings

Piping Identification

SD-03 Product Data

Coating; G,

Product Data Sheets

Sealant

SD-07 Certificates

Qualification Testing laboratory for coatings; G,

Indoor Air Quality for Paints and Primers

SD-08 Manufacturer's Instructions

Application Instructions

Mixing

Manufacturer's Safety Data Sheets

SD-10 Operation and Maintenance Data

Coatings, Data Package 1; G,

1.5 QUALITY ASSURANCE

1.5.1 Regulatory Requirements

1.5.1.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.5.1.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.5.1.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.5.1.4 Asbestos Content

Provide asbestos-free materials.

1.5.1.5 Mercury Content

Provide materials free of mercury or mercury compounds.

1.5.1.6 Silica

Provide abrasive blast media containing no free crystalline silica.

1.5.1.7 Human Carcinogens

Provide materials that do not contain confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.5.1.8 Carbon Based Fibers / Tubes

Materials must not contain carbon based fibers such as carbon nanotubes or carbon nanofibers. ranks toxicity of carbon nanotubes on a par with asbestos.

1.5.2 Approved Products List

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of Contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire Contract and each coating system is to be from a single manufacturer. Provide all coats on a particular substrate from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

1.5.3 Paints and Coatings Indoor Air Quality Certifications

Provide paint and coating products certified to meet indoor air quality requirements by MPI GPS-1-14, MPI GPS-2-14 or provide certification by other third-party programs. Provide current product certification documentation from certification body.

Provide certification of Indoor Air Quality for Paints and Primers. Submit required indoor air quality certifications in one submittal package.

1.5.4 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take samples of each chosen product as specified in the paragraph SAMPLING PROCEDURE. Test each chosen product as specified in the paragraph TESTING PROCEDURE. Remove products from the job site which do not conform, and replace with new products that conform to the referenced specification. Test replacement products that failed initial testing as specified in the

paragraph TESTING PROCEDURE at no cost to the Government.

1.5.4.1 Sampling Procedure

Select paint at random from the products that have been delivered to the job site for sample testing. The Contractor must provide one quart samples of the selected paint materials. Take samples in the presence of the Contracting Officer, and label, and identify each sample. Provide labels in accordance with the paragraph PACKAGING, LABELING, AND STORAGE.

1.5.4.2 Testing Procedure

Provide Batch Quality Conformance Testing for specified products, as defined by and performed by MPI. As an alternative to Batch Quality Conformance Testing, the Contractor may provide Qualification Testing for specified products above to the appropriate MPI product specification, using the third-party laboratory approved under the paragraph QUALIFICATION TESTING laboratory for coatings. Include the backup data and summary of the test results within the qualification testing lab report. Provide a summary listing of all the reference specification requirements and the result of each test. Clearly indicate in the summary whether the tested paint meets each test requirement. Note that Qualification Testing may take 4 to 6 weeks to perform, due to the extent of testing required.

Submit name, address, telephone number, FAX number, and e-mail address of the independent third party laboratory selected to perform testing of coating samples for compliance with specification requirements. Submit documentation that laboratory is regularly engaged in testing of paint samples for conformance with specifications, and that employees performing testing are qualified. If MPI is chosen to perform the Batch Quality Conformance testing, the above submittal information is not required, only a letter is required from the Contractor stating that MPI will perform the testing.

1.5.5 Textured Wall Coating System

Three complete samples of each indicated type, pattern, and color of textured wall coating system applied to a panel of the same material as that on which the coating system will be applied in the work. Provide samples of wall coating systems minimum 5 by 7 inches and of sufficient size to show pattern repeat and texture.

1.6 PACKAGING, LABELING, AND STORAGE

Provide paints in sealed containers that legibly show the Contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Furnish pigmented paints in containers not larger than 5 gallons. Store paints and thinners in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F.

1.7 SAFETY AND HEALTH

Comply with applicable Federal, State, and local laws and regulations, and

with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01 35 26 GOVERNMENTAL SAFETY REQUIREMENTS and in Appendix A of EM 385-1-1. Include in the Activity Hazard Analysis the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.7.1 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

- a. The applicable manufacturer's Safety Data Sheets (SDS) or local regulation.

1.8 ENVIRONMENTAL REQUIREMENTS

Comply, at minimum, with manufacturer recommendations for space ventilation during and after installation.

1.8.1 Coatings

Do not apply coating when air or substrate conditions are:

- a. Less than 5 degrees F above dew point;
- b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Do not, under any circumstances, violate the manufacturer's application recommendations.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit Product Data Sheets for specified coatings and solvents. Provide preprinted cleaning and maintenance instructions for all coating systems. Submit Manufacturer's Instructions on Mixing: Detailed mixing instructions, minimum and maximum application temperature and humidity, pot life, and curing and drying times between coats.

2.2 COLOR SELECTION OF FINISH COATS

Provide colors of finish coats as indicated or specified. Allow Contracting Officer to select colors not indicated or specified. Manufacturers' names and color identification are used for the purpose of color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors are approximately the colors indicated and the product conforms to specified requirements.

Provide color, texture, and pattern of wall coating systems. Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated. Submit color stencil codes. Tint each coat progressively darker to enable confirmation of the number of coats.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, reinstall removed items by workmen skilled in the trades. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

3.2 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Remove oil and grease prior to mechanical cleaning. Schedule cleaning so that dust and other contaminants will not fall on wet, newly painted surfaces. Spot-prime exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas. Refer to MPI ASM and MPI MRM for additional more specific substrate preparation requirements.

3.2.1 Additional Requirements for Preparation of Surfaces With Existing Coatings

Before application of coatings, perform the following on surfaces covered by soundly-adhered coatings, defined as those which cannot be removed with a putty knife:

- a. Test existing finishes for lead before sanding, scraping, or removing. If lead is present, refer to paragraph Toxic Materials.
- b. Wipe previously painted surfaces to receive solvent-based coatings, except stucco and similarly rough surfaces clean with a clean, dry cloth saturated with mineral spirits, ASTM D235 or as specified in MPI MRM. Wipe the surfaces dry with a clean, dry, lint free cloth. Wipe immediately preceding the application of the first coat of any coating, unless specified otherwise.
- c. Sand existing glossy surfaces to be painted to reduce gloss. Brush, and wipe clean with a damp cloth to remove dust.
- d. The requirements specified are minimum. Comply also with the application instructions of the paint manufacturer and specific surface preparation requirements as outlined in MPI MRM Exterior Surface Preparation and Interior Surface Preparation.
- e. Thoroughly clean previously painted surfaces damaged during construction of all grease, dirt, dust or other foreign matter.
- f. Remove blistering, cracking, flaking and peeling or otherwise deteriorated coatings.
- g. Remove chalk so that when tested in accordance with ASTM D4214, the chalk resistance rating is no less than 8.

- h. Roughen slick surfaces. Repair damaged areas such as, but not limited to, nail holes, cracks, chips, and spalls with suitable material to match adjacent undamaged areas.
- i. Feather and sand smooth edges of chipped paint.
- j. Clean rusty metal surfaces in accordance with SSPC requirements. Use solvent, mechanical, or chemical cleaning methods to provide surfaces suitable for painting.
- k. Provide new, proposed coatings that are compatible with existing coatings.

3.2.2 Existing Coated Surfaces with Minor Defects

Sand, spackle, and treat minor defects to render them smooth. Minor defects are defined as scratches, nicks, cracks, gouges, spalls, alligatoring, chalking, and irregularities due to partial peeling of previous coatings.

3.2.3 Removal of Existing Coatings

Remove existing coatings from the following surfaces:

- a. Surfaces containing large areas of minor defects;
- b. Surfaces containing more than 20 percent peeling area; and
- c. Surfaces designated by the Contracting Officer, such as surfaces where rust shows through existing coatings.

3.2.4 Substrate Repair

- a. Repair substrate surface damaged during coating removal;
- b. Sand edges of adjacent soundly-adhered existing coatings so they are tapered as smooth as practical to areas involved with coating removal; and
- c. Clean and prime the substrate as specified.

3.3 PREPARATION OF METAL SURFACES

3.3.1 Existing and New Ferrous Surfaces

- a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances: Solvent clean or detergent wash in accordance with SSPC SP 1 to remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 3, or SSPC SP 10/NACE No. 2. Brush-off blast remaining surface in accordance with SSPC 7/NACE No.4; Water jetting to SSPC-SP WJ-4/NACE WJ-4 may be used to remove loose coating and other loose materials. Use inhibitor as recommended by coating manufacturer to prevent premature rusting. Protect shop-coated ferrous surfaces from corrosion by treating and touching up corroded areas immediately upon detection.
- b. Surfaces With More Than 20 Percent Rust, Mill Scale, and Other Foreign

Substances: Clean entire surface in accordance with
SSPC SP 10/NACE No. 2 / SSPC-SP WJ-2/NACE WJ-2.

- c. Metal Floor Surfaces to Receive Nonslip Coating: Clean in accordance with SSPC-SP WJ-2/NACE WJ-2.

3.3.2 Final Ferrous Surface Condition:

3.3.3 Galvanized Surfaces

- a. New or Existing Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, steam, or non-alkaline detergent solution in accordance with SSPC SP 1. Completely remove coating by brush-off abrasive blast if the galvanized metal has been passivated or stabilized. Do not "passivate" or "stabilize" new galvanized steel to be coated. If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D6386, Appendix X2, and remove by one of the methods described therein.
- b. Galvanized with Slight Coating Deterioration or with Little or No Rusting: Water jetting to SSPC-SP WJ-3/NACE WJ-3 to remove loose coating from surfaces with less than 20 percent coating deterioration and no blistering, peeling, or cracking. Use inhibitor as recommended by the coating manufacturer to prevent rusting.
- c. Galvanized With Severe Deteriorated Coating or Severe Rusting: Water jet to SSPC-SP WJ-3/NACE WJ-3 degree of cleanliness.

3.3.4 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

3.4 APPLICATION

3.4.1 Coating Application

- a. Comply with applicable federal, state and local laws enacted to ensure compliance with Federal Clean Air Standards. Apply coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.
- b. At the time of application, paint must show no signs of deterioration. Maintain uniform suspension of pigments during application.
- c. Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Use trigger operated spray nozzles for water hoses. Use rollers for applying paints and enamels of a type designed for the coating to be applied and the surface to be coated. Wear protective clothing and respirators when applying oil-based paints or using spray equipment with any paints.
- d. Only apply paints, except water-thinned types, to surfaces that are completely free of moisture as determined by sight or touch.

- e. Thoroughly work coating materials into joints, crevices, and open spaces. Pay special attention to ensure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.
- f. Apply each coat of paint so that dry film is of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Completely hide all blemishes.
- g. Touch up damaged coatings before applying subsequent coats. Broom clean and clear dust from interior areas before and during the application of coating material.
- m. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.
- n. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Cover each preceding coat or surface completely by ensuring visually perceptible difference in shades of successive coats.
- o. Finished Surfaces: Provide finished surfaces free from runs, drops, ridges, waves, laps, brush marks, and variations in colors.
- p. Thermosetting Paints: Apply topcoats over thermosetting paints (epoxies and urethanes) within the overcoat window recommended by the manufacturer.
- q. Floors:

3.4.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. Verify that the written permission includes quantities and types of thinners to use.

When thinning is allowed, thin paints immediately prior to application with not more than one pint of suitable thinner per gallon. The use of thinner does not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning cannot cause the paint to exceed limits on volatile organic compounds. Do not mix paints of different manufacturers.

3.4.3 Two-Component Systems

Mix two-component systems in accordance with manufacturer's instructions. Follow recommendation by the manufacturer for any thinning of the first coat to ensure proper penetration and sealing for each type of substrate.

3.4.4 Coating Systems

- a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table for Exterior Applications	
MPI Division	Substrate Application
MPI Division 3	Exterior Concrete Paint Table
MPI Division 4	Exterior Concrete Masonry Units Paint Table
MPI Division 5	Exterior Metal, Ferrous and Non-Ferrous Paint Table
MPI Division 6	Exterior Wood; Dressed Lumber, Paneling, Decking, Shingles Paint Table
MPI Division 9	Exterior Stucco Paint Table
MPI Division 10	Exterior Cloth Coverings and Bituminous Coated Surfaces Paint Table

Table for Interior Applications	
MPI Division	Substrate Application
MPI Division 3	Interior Concrete Paint Table
MPI Division 4	Interior Concrete Masonry Units Paint Table
MPI Division 5	Interior Metal, Ferrous and Non-Ferrous Paint Table
MPI Division 6	Interior Wood Paint Table
MPI Division 9	Interior Plaster, Gypsum Board, Textured Surfaces Paint Table

- b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness, where specified, refers to the minimum dry film thickness.
- c. Coatings for Surfaces Not Specified Otherwise: Coat unspecified surfaces the same as surfaces having similar conditions of exposure.
- d. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:
- (1) One coat of primer.
 - (2) One coat of undercoat or intermediate coat.
 - (3) One topcoat to match adjacent surfaces.

- e. Existing Coated Surfaces To Be Painted: Apply coatings conforming to the respective specifications listed in the Tables herein, except that pretreatments, sealers and fillers need not be provided on surfaces where existing coatings are soundly adhered and in good condition. Do not omit undercoats or primers.

3.5 COATING SYSTEMS FOR METAL

Apply coatings of Tables in MPI Division 5 for Exterior and Interior.

- a. Apply specified ferrous metal primer to steel surfaces on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.
- b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.
- c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.
- d. Surface Previously Coated with Epoxy or Urethane: Apply MPI 101, 1.5 mils DFT immediately prior to application of epoxy or urethane coatings.
- e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat. Overcoat these items with the specified ferrous-metal primer prior to application of finish coats.
- f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.

3.6 COATING SYSTEMS FOR CONCRETE AND CEMENTITIOUS SUBSTRATES

Apply coatings of Tables in MPI Division 3, 4 and 9 for Exterior and Interior.

3.7 PIPING IDENTIFICATION

3.8 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate mobility of moving components, including swinging and sliding doors, cabinets, and windows with operable sash, for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

3.9 WASTE MANAGEMENT

As specified in the Waste Management Plan and as follows. Do not use kerosene or any such organic solvents to clean up water based paints. Properly dispose of paints or solvents in designated containers. Close and seal partially used containers of paint to maintain quality as necessary for reuse. Store in protected, well-ventilated, fire-safe area at moderate temperature. Place materials defined as hazardous or toxic waste in designated containers. Set aside extra paint for future color

matches or reuse by the Government. Where local options exist for leftover paint recycling, collect all waste paint by type and provide for delivery to recycling or collection facility for reuse by local organizations.

3.10 PAINT TABLES

All DFT's are minimum values. Use only materials with a MPI GPS-1-14 green check mark having a minimum MPI "Environmentally Friendly" E1 rating based on VOC (EPA Method 24) content levels. Acceptable products are listed in the MPI Green Approved Products List, available at <https://www.mpi.net/APL/index.asp>.

3.10.1 Exterior Paint Tables

3.10.1.1 MPI Division 3: Exterior Concrete Paint Table

3.10.1.2 MPI Division 5: Exterior Metal, Ferrous and Non-Ferrous Paint Table

A. Steel / Ferrous Surfaces

(1) New Steel that has been hand or power tool cleaned to SSPC SP 2 or SSPC SP 3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1Q-G5 (Semigloss)	MPI REX 5.1D-G5 (Semigloss)	MPI 23	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1Q-G6 (Gloss)	MPI REX 5.1D-G6 (Gloss)	MPI 23	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.					

(2) New Steel that has been blast-cleaned to SSPC SP 6/NACE No.3

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1D-G5 (Semigloss)	MPI REX 5.1D-G5 (Semigloss)	MPI 79	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1D-G6 (Gloss)	MPI REX 5.1D-G6 (Gloss)	MPI 79	MPI 9	MPI 9	5.25 mils

Topcoat: Coating to match adjacent surfaces.

(3) Existing steel that has been spot-blasted to SSPC SP 6/NACE No.3

(a) Surface previously coated with alkyd or latex

Waterborne Light Industrial Coating				
Existing, previously coated with alkyd or latex	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1C-G5 (Semigloss)	MPI 79	MPI 163	MPI 163	5 mils
MPI REX 5.1C-G6 (Gloss)	MPI 79	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

(b) Surfaces previously coated with epoxy

Waterborne Light Industrial Coating				
Existing, previously coated with epoxy	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1L-G5 (Semigloss)	MPI 101	MPI 163	MPI 163	5 mils
MPI REX 5.1L-G6 (Gloss)	MPI 101	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
Existing, previously coated with epoxy	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.1H-G6 (Gloss)	MPI 101	MPI 108	MPI 72	8.5 mils
Topcoat: Coating to match adjacent surfaces.				

(4) New steel blast cleaned to SSPC SP 10/NACE No. 2

Waterborne Light Industrial					
New	Existing	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1R-G5 (Semigloss)	MPI EXT 5.1R-G5 (Semigloss)	MPI 101	MPI 108	MPI 163	8.5 mils
MPI EXT 5.1R-G6 (Gloss)	MPI EXT 5.1R-G6 (Gloss)	MPI 101	MPI 108	MPI 164	8.5 mils
Topcoat: Coating to match adjacent surfaces.					

Pigmented Polyurethane					
New	Existing	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1J-G6 (Gloss)	MPI EXT 5.1J-G6 (Gloss)	MPI 101	MPI 108	MPI 72	8.5 mils
Topcoat: Coating to match adjacent surfaces.					

(5) Metal floors (non-shop-primed surfaces or non-slip deck surfaces) with non-skid additive (NSA), load at manufacturer's recommendations

Epoxy					
New	Existing	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1S-G5 (Semi Gloss)	MPI EXT 5.1S-G5 (Semi Gloss)	MPI 120	MPI 177	MPI 177	5.25 mils
MPI EXT 5.1S-G6 (Gloss)	MPI EXT 5.1S-G6 (Gloss)	MPI 120	MPI 77	MPI 77	5.25 mils
Topcoat: Coating to match adjacent surfaces. Load Non-Skid Additive at manufacturer's recommendations.					

B. Exterior Galvanized Surfaces

(1) New Galvanized surfaces

Waterborne Primer / Latex				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT

MPI EXT 5.3H-G1 (Flat)	MPI 134	MPI 10	MPI 10	4.5 mils
EXT 5.3H-G5 (Semigloss)	MPI 134	MPI 11	MPI 11	4.5 mils
MPI EXT 5.3H-G6 (Gloss)	MPI 134	MPI 119	MPI 119	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

Waterborne Primer / Waterborne Light Industrial Coating				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.3J-G5 (Semigloss)	MPI 134	MPI 163	MPI 163	4.5 mils
MPI EXT 5.3J-G6 (Gloss)	MPI 134	MPI 164	MPI 164	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

Epoxy Primer / Waterborne Light Industrial Coating				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.3K-G5 (Semigloss)	MPI 101	MPI 163	MPI 163	5 mils
MPI EXT 5.3K-G6 (Gloss)	MPI 101	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.3L-G6 (Gloss)	MPI 101	N/A	MPI 72	5 mils
Topcoat: Coating to match adjacent surfaces.				

(2) Galvanized surfaces with slight coating deterioration; little or no rusting

Waterborne Light Industrial Coating				
Galvanized Surfaces with slight coating deterioration	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.3J-G5 (Semigloss)	MPI 134	N/A	MPI 163	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
Galvanized Surfaces with slight coating deterioration	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.3D-G6 (Gloss)	MPI 101	N/A	MPI 72	5 mils
Topcoat: Coating to match adjacent surfaces.				

(3) Galvanized surfaces with severely deteriorated coating or rusting

Waterborne Light Industrial Coating				
Galvanized surfaces with severely deteriorated coating or rusting	Primer	Intermediate	Topcoat	System DFT
MPI REX 5.3L-G5(Semigloss)	MPI 101	MPI 108	MPI 163	8.5 mils
MPI REX 5.3L-G6(Gloss)	MPI 101	MPI 108	MPI 164	8.5 mils
Topcoat: Coating to match adjacent surfaces.				

Pigmented Polyurethane				
Galvanized surfaces with severely deteriorated coating or rusting	Primer	Intermediate	Topcoat	System DFT

MPI REX 5.3D-G6(Gloss)	MPI 101	MPI 72	MPI 72	5 mils
Topcoat: Coating to match adjacent surfaces.				

C. Exterior Surfaces, Other Metals (Non-Ferrous)

(1) Aluminum, aluminum alloy and other miscellaneous non-ferrous metal items not otherwise specified except hot metal surfaces, roof surfaces, and new prefinished equipment

Alkyd				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.4F-G1 (Flat)	MPI 95	MPI 8	MPI 8	5 mils
MPI EXT 5.4F-G5 (Semigloss)	MPI 95	MPI 94	MPI 94	5 mils
MPI EXT 5.4F-G6 (Gloss)	MPI 95	MPI 9	MPI 9	5 mils
Topcoat: Coating to match adjacent surfaces.				

Waterborne Light Industrial Coating				
New Galvanized Surfaces	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.4F-G1 (Flat)	MPI 95	MPI 161	MPI 161	5 mils
MPI EXT 5.4F-G5 (Semigloss)	MPI 95	MPI 163	MPI 163	5 mils
MPI EXT 5.4F-G6 (Gloss)	MPI 95	MPI 164	MPI 164	5 mils
Topcoat: Coating to match adjacent surfaces.				

(2) Existing roof surfaces previously coated

Aluminum Pigmented Asphalt Roof Coating

Existing roof surfaces previously coated	N/A	Intermediate	Topcoat	System DFT
Non-MPI System	ASTM D2824/D2824	N/A	N/A	8 mils
Sufficient coats to provide not less than 8 mils of finished coating system (without asbestos fibers).				

Aluminum Paint				
Existing roof surfaces previously coated	Primer	Intermediate	Topcoat	System DFT
MPI REX 10.2D	MPI 107	MPI 1	MPI 1	3.5 mils
Topcoat: Coating to match adjacent surfaces.				

(3) Surfaces adjacent to painted surfaces; Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports, exposed copper piping, and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

Alkyd				
New	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1D-G1 (Flat)	MPI 79	MPI 8	MPI 8	5.25 mils
MPI EXT 5.1D-G5 (Semigloss)	MPI 79	MPI 94	MPI 94	5.25 mils
MPI EXT 5.1D-G6 (Gloss)	MPI 79	MPI 9	MPI 9	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

Waterborne Light Industrial Coating				
New	Primer	Intermediate	Topcoat	System DFT
MPI EXT 5.1C-G3(Eggshell)	MPI 79	MPI 161	MPI 161	5 mils

MPI EXT 5.1C-G5(Semigloss)	MPI 79	MPI 163	MPI 163	5 mils
MPI EXT 5.1C-G6(Gloss)	MPI 79	MPI 164	MPI 164	5 mils
Primer as recommended by manufacturer. Topcoat: Coating to match adjacent surfaces.				

D. Exterior Hot Surfaces

(1) Hot metal surfaces including smokestacks subject to temperatures up to 400 degrees F

Heat Resistant Enamel				
New	N/A	Intermediate	Topcoat	System DFT
MPI EXT 5.2A	MPI 21	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

(2) Ferrous metal subject to high temperature, up to 750 degrees F

Inorganic Zinc Rich Coating				
New	N/A	Intermediate	Topcoat	System DFT
MPI EXT 5.2C	MPI 19	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

Heat Resistant Aluminum Enamel				
New	N/A	Intermediate	Topcoat	System DFT
MPI EXT 5.2B	MPI 2	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

3.10.1.3 MPI Division 6: Exterior Wood; Dressed Lumber, Paneling, Decking, Shingles Paint Table

A. New and Existing, uncoated Dressed lumber, Wood and plywood, trim, including top, bottom and edges of doors not otherwise specified

Alkyd					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 6.3B-G5 (Semigloss)	MPI EXT 6.3B-G5 (Semigloss)	MPI 5	MPI 94	MPI 94	5 mils
MPI EXT 6.3B-G6 (Gloss)	MPI EXT 6.3B-G6 (Gloss)	MPI 5	MPI 9	MPI 9	5 mils
Topcoat: Coating to match adjacent surfaces.					

Latex					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 6.3A-G1 (Flat)	MPI EXT 6.3A-G1 (Flat)	MPI 5	MPI 10	MPI 10	5 mils
MPI EXT 6.3A-G5 (Semigloss)	MPI EXT 6.3B-G5 (Semigloss)	MPI 5	MPI 11	MPI 11	5 mils
MPI EXT 6.3A-G6 (Gloss)	MPI EXT 6.3B-G6 (Gloss)	MPI 5	MPI 119	MPI 119	5 mils
Topcoat: Coating to match adjacent surfaces.					

Waterborne Solid Color Stain					
New	Existing, uncoated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 6.3K	MPI EXT 6.3K	MPI 5	MPI 16	MPI 16	4.25 mils
Topcoat: Coating to match adjacent surfaces.					

B. Existing, dressed lumber, wood and plywood, trim, including top, bottom and edges of doors previously coated with an alkyd / oil based finish coat not otherwise specified

Alkyd				
Existing, previously coated	Primer	Intermediate	Topcoat	System DFT
MPI REX 6.3B-G5 (Semigloss)	MPI 5	MPI 94	MPI 94	5 mils
MPI REX 6.3B-G6 (Gloss)	MPI 5	MPI 9	MPI 9	5 mils

Latex				

Existing, previously coated	Primer	Intermediate	Topcoat	System DFT
MPI REX 6.3A-G1 (Flat)	MPI 5	MPI 10	MPI 10	5 mils
MPI REX 6.3B-G5 (Semigloss)	MPI 5	MPI 11	MPI 11	5 mils
MPI REX 6.3B-G6 (Gloss)	MPI 5	MPI 119	MPI 119	5 mils

C. Existing, dressed lumber, wood and plywood, trim, including top, bottom and edges of doors previously coated with a latex / waterborne finish coat not otherwise specified

Latex				
Existing, previously coated	Primer	Intermediate	Topcoat	System DFT
MPI REX 6.3L-G1 (Flat)	MPI 6	MPI 10	MPI 10	4.5 mils
MPI REX 6.3L-G5 (Semigloss)	MPI 6	MPI 11	MPI 11	4.5 mils
MPI REX 6.3L-G6 (Gloss)	MPI 6	MPI 119	MPI 119	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

Waterborne Solid Color Stain				
Existing, previously coated	Primer	Intermediate	Topcoat	System DFT
MPI EXT 6.3K	MPI 6	MPI 16	MPI 16	4 mils
Topcoat: Coating to match adjacent surfaces.				

D. Wood Siding

(1) New, Uncoated wood siding

Semi-Transparent Stain				
New	Primer	Intermediate	Topcoat	System DFT
MPI EXT 6.3D	N/A	MPI 13	MPI 13	N/A
Topcoat: Coating to match adjacent surfaces.				

(2) Existing, previously stained wood siding

Latex				
Existing, previously stained	Primer	Intermediate	Topcoat	System DFT
MPI REX 6.2K-G1 (Flat)	MPI 5	MPI 10	MPI 10	4.5 mils
MPI REX 6.2K-G5 (Semigloss)	MPI 5	MPI 11	MPI 11	4.5 mils
Topcoat: Coating to match adjacent surfaces.				

(3) Existing Uncoated or previously semitransparent stained wood siding

Semi-Transparent Stain				
Existing	Primer	Intermediate	Topcoat	System DFT
MPI REX 6.3D	N/A	MPI 13	MPI 13	Per Manufacturer
Topcoat: Coating to match adjacent surfaces.				

E. Wood: Steps, platforms, floors of open porches, and with non-skid additive (NSA), load at manufacturer's recommendations.

Latex Floor Paint				
New	Primer	Intermediate	Topcoat	System DFT
MPI EXT 6.5A-G2 (Flat)	MPI 5	MPI 60 plus NSA	MPI 60 plus NSA	4.5 mils
MPI EXT 6.5A-G6 (Gloss)	MPI 5	MPI 68 plus NSA	MPI 68 plus NSA	4.5 mils
Topcoat: Coating to match adjacent surfaces. Load non-skid additive (NSA) at manufacturer's recommendations.				

Alkyd Floor Paint				
New	Primer	Intermediate	Topcoat	System DFT
MPI EXT 6.5B-G2 (Flat)	MPI 59	MPI 59 plus NSA	MPI 59 plus NSA	5 mils
MPI EXT 6.5B-G6 (Gloss)	MPI 27	MPI 27 plus NSA	MPI 27 plus NSA	5 mils
Topcoat: Coating to match adjacent surfaces. Load non-skid additive (NSA) at manufacturer's recommendations.				

3.10.2 Interior Paint Tables

3.10.2.1 MPI Division 3: Interior Concrete Paint Table

A. New and uncoated existing and Existing, previously painted Concrete, vertical surfaces, not specified otherwise

Latex					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1A-G2 (Flat)	MPI RIN 3.1A-G2 (Flat)	MPI 3	MPI 44	MPI 44	4 mils
MPI INT 3.1A-G3 (Eggshell)	MPI RIN 3.1A-G3 (Eggshell)	MPI 3	MPI 52	MPI 52	4 mils
MPI INT 3.1A-G5	MPI RIN 3.1A-G5 (Semigloss)	MPI 3	MPI 54	MPI 54	4 mils
Topcoat: Coating to match adjacent surfaces.					

High Performance Architectural Latex					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1C-G2 (Flat)	MPI RIN 3.1J-G2 (Flat)	MPI 3	MPI 138	MPI 138	4 mils
MPI INT 3.1C-G3 (Eggshell)	MPI RIN 3.1J-G3 (Eggshell)	MPI 3	MPI 139	MPI 139	4 mils
MPI INT 3.1C-G4 (satin)	MPI RIN 3.1J-G4	MPI 3	MPI 140	MPI 140	4 mils
MPI INT 3.1C-G5 (Semigloss)	MPI RIN 3.1J-G5 (Semigloss)	MPI 3	MPI 141	MPI 141	4 mils
Topcoat: Coating to match adjacent surfaces.					

Institutional Low Odor / Low VOC Latex					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 3.1M-G2 (Flat)	MPI RIN 3.1L-G2 (Flat)	MPI 149	MPI 144	MPI 144	4 mils

MPI INT 3.1M-G3 (Eggshell)	MPI RIN 3.1L-G3 (Eggshell)	MPI 149	MPI 145	MPI 145	4 mils
MPI INT 3.1M-G4 (satin)	MPI RIN 3.1L-G4	MPI 149	MPI 146	MPI 146	4 mils
MPI INT 3.1M-G5 (Semigloss)	MPI RIN 3.1L-G5 (Semigloss)	MPI 149	MPI 147	MPI 147	4 mils
Topcoat: Coating to match adjacent surfaces.					

3.10.2.2 MPI Division 5: Interior Metal, Ferrous and Non-Ferrous Paint Table

A. Interior Steel / Ferrous Surfaces

(1) Metal, Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports, Surfaces adjacent to painted surfaces (Match surrounding finish), exposed copper piping, and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

High Performance Architectural Latex				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1R-G2 (Flat)	MPI 76	MPI 138	MPI 138	5 mils
MPI INT 5.1R-G3 (Eggshell)	MPI 76	MPI 139	MPI 139	5 mils
MPI INT 5.1R-G5 (Semigloss)	MPI 76	MPI 141	MPI 141	5 mils
Topcoat: Coating to match adjacent surfaces.				

Alkyd				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1E-G2 (Flat)	MPI 76	MPI 49	MPI 49	5.25 mils
MPI INT 5.1E-G3 (Eggshell)	MPI 76	MPI 51	MPI 51	5.25 mils
MPI INT 5.1E-G5 (Semigloss)	MPI 76	MPI 47	MPI 47	5.25 mils

MPI INT 5.1E-G6 (Gloss)	MPI 76	MPI 48	MPI 48	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

(2) Metal floors (non-shop-primed surfaces or non-slip deck surfaces) with non-skid additive (NSA), load at manufacturer's recommendations

Alkyd (over q.d. Alkyd Primer)				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1E-G5 (Semi-Gloss)	MPI 76	MPI 47	MPI 47	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

Epoxy				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1L-G6 (Gloss)	MPI 101	MPI 101	MPI 101	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

(3) Metal in restrooms, areas requiring a high degree of sanitation, and other high-humidity areas not otherwise specified except floors, hot metal surfaces, and new prefinished equipment

Alkyd				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1E-G3 (Eggshell)	MPI 76	MPI 51	MPI 51	5.25 mils
MPI INT 5.1E-G5 (Semigloss)	MPI 76	MPI 47	MPI 47	5.25 mils
MPI INT 5.1E-G6 (Gloss)	MPI 76	MPI 48	MPI 48	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

Alkyd; For Hand Tool Cleaning

New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1T-G3 (Eggshell)	MPI 23	MPI 51	MPI 51	5.25 mils
MPI INT 5.1T-G5 (Semigloss)	MPI 23	MPI 47	MPI 47	5.25 mils
MPI INT 5.1T-G6 (Gloss)	MPI 23	MPI 48	MPI 48	5.25 mils
Topcoat: Coating to match adjacent surfaces.				

(4) Ferrous metal in concealed damp spaces or in exposed areas having unpainted adjacent surfaces as follows:

Aluminum Paint				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.1M	MPI 76	MPI 1	MPI 1	4.25 mils
Topcoat: Coating to match adjacent surfaces.				

(5) Miscellaneous non-ferrous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment. Match surrounding finish

High Performance Architectural Latex				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.4F-G2 (Flat)	MPI 95	MPI 138	MPI 138	5 mils
MPI INT 5.4F-G3 (Eggshell)	MPI 95	MPI 139	MPI 139	5 mils
MPI INT 5.4F-G4 (Satin)	MPI 95	MPI 140	MPI 140	5 mils
MPI INT 5.4F-G5 (Semigloss)	MPI 95	MPI 141	MPI 141	5 mils
Topcoat: Coating to match adjacent surfaces.				

Alkyd				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 5.4J-G2 (Flat)	MPI 95	MPI 49	MPI 49	5 mils
MPI INT 5.4J-G3 (Eggshell)	MPI 95	MPI 51	MPI 51	5 mils
MPI INT 5.4J-G5 (Semigloss)	MPI 95	MPI 47	MPI 47	5 mils
MPI INT 5.4J-G6 (Gloss)	MPI 95	MPI 48	MPI 48	5 mils
Topcoat: Coating to match adjacent surfaces.				

B. Hot Surfaces

(1) Hot metal surfaces including smokestacks subject to temperatures up to 400 degrees F

Heat Resistant Enamel				
New	N/A	Intermediate	Topcoat	System DFT
MPI INT 5.2A	MPI 21	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

(2) Ferrous metal subject to high temperature, up to 750 degrees F

Inorganic Zinc Rich Coating				
New	N/A	Intermediate	Topcoat	System DFT
MPI INT 5.2C	MPI 19	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.				

Heat Resistant Aluminum Enamel				
New	N/A	Intermediate	Topcoat	System DFT
MPI INT 5.2B (Aluminum Finish)	MPI 2	N/A	N/A	Per Manufacturer

Surface preparation and number of coats per manufacturer's instructions.

(3) New and Existing Surfaces made bare subject to temperatures up to 1100 degrees F

(1) New surfaces and Existing surfaces made bare cleaning to SSPC SP 10/NACE No. 2 subject to temperatures up to 1100 degrees F:

Heat Resistant Coating					
New	Existing	N/A	Intermediate	Topcoat	System DFT
MPI INT 5.2D	MPI RIN 5.2D	MPI 22	N/A	N/A	Per Manufacturer
Surface preparation and number of coats per manufacturer's instructions.					

3.10.2.3 MPI Division 9: Interior Plaster, Gypsum Board, Textured Surfaces Paint Table

A. Interior New and Existing, previously painted Plaster and Wallboard not otherwise specified

Latex					
New	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 9.2A-G2 (Flat)	RIN 9.2A-G2 (Flat)	MPI 50	MPI 44	MPI 44	4 mils
MPI INT 9.2A-G3 (Eggshell)	RIN 9.2A-G3 (Eggshell)	MPI 50	MPI 52	MPI 52	4 mils
MPI INT 9.2A-G5 (Semigloss)	RIN 9.2A-G5 (Semigloss)	MPI 50	MPI 54	MPI 54	4 mils
Topcoat: Coating to match adjacent surfaces.					

High Performance Architectural Latex - High Traffic Areas					
New	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 9.2B-G2 (Flat)	MPI RIN 9.2B-G2 (Flat)	MPI 50	MPI 138	MPI 138	4 mils
MPI INT 9.2B-G3 (Eggshell)	MPI RIN 9.2B-G3 (Eggshell)	MPI 50	MPI 139	MPI 139	4 mils

MPI INT 9.2B-G5 (Semigloss)	MPI RIN 9.2B-G5 (Semigloss)	MPI 50	MPI 141	MPI 141	4 mils
Topcoat: Coating to match adjacent surfaces.					

Institutional Low Odor / Low VOC Latex, New

Institutional Low Odor / Low VOC Latex				
New	Primer	Intermediate	Topcoat	System DFT
MPI INT 9.2M-G2 (Flat)	MPI 149	MPI 144	MPI 144	4 mils
MPI INT 9.2M-G3 (Eggshell)	MPI 149	MPI 145	MPI 145	4 mils
MPI INT 9.2M-G4 (Satin)	MPI 149	MPI 146	MPI 146	4 mils
MPI INT 9.2M-G5 (Semigloss)	MPI 149	MPI 147	MPI 147	4 mils
Topcoat: Coating to match adjacent surfaces.				

Institutional Low Odor / Low VOC Latex, Existing, previously painted

Institutional Low Odor / Low VOC Latex				
Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI RIN 9.2M-G2 (Flat)	MPI 144	MPI 144	MPI 144	4 mils
MPI RIN 9.2M-G3 (Eggshell)	MPI 144	MPI 145	MPI 145	4 mils
MPI RIN 9.2M-G4 (Satin)	MPI 144	MPI 146	MPI 146	4 mils
MPI RIN 9.2M-G5 (Semigloss)	MPI 144	MPI 147	MPI 147	4 mils
Topcoat: Coating to match adjacent surfaces.				

B. Interior New and Existing, previously painted Plaster and Wallboard in restrooms, areas requiring a high degree of sanitation, and other high humidity areas not otherwise specified

Waterborne Light Industrial Coating

New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 9.2L-G5 (Semigloss)	MPI RIN 9.2L-G5 (Semigloss)	MPI 50	MPI 153	MPI 153	4 mils
Topcoat: Coating to match adjacent surfaces.					

Alkyd					
New, uncoated Existing	Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI INT 9.2C-G5 (Semigloss)	MPI RIN 9.2C-G5 (Semigloss)	MPI 50	MPI 47	MPI 47	4 mils
Topcoat: Coating to match adjacent surfaces.					

Epoxy, New, uncoated Existing

Epoxy				
New, uncoated Existing	Primer	Intermediate	Topcoat	System DFT
MPI INT 9.2E-G6 (Gloss)	MPI 50	MPI 77	MPI 77	4 mils
Topcoat: Coating to match adjacent surfaces.				

Epoxy, Existing, previously painted

Epoxy				
Existing, previously painted	Primer	Intermediate	Topcoat	System DFT
MPI RIN 9.2D-G6 (Gloss)	MPI 17	MPI 77	MPI 77	4 mils
Topcoat: Coating to match adjacent surfaces.				

-- End of Section --

SECTION 22 00 00

PLUMBING, GENERAL PURPOSE

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A112.1.2	(2012; R 2017; R 2022) Air Gaps in Plumbing Systems (For Plumbing Fixtures and Water-Connected Receptors)
ASME B1.20.1	(2013; R 2018) Pipe Threads, General Purpose (Inch)
ASME B16.3	(2021) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.4	(2021) Gray Iron Threaded Fittings; Classes 125 and 250
ASME B16.5	(2020) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.12	(2019) Cast Iron Threaded Drainage Fittings
ASME B16.15	(2018) Cast Copper Alloy Threaded Fittings Classes 125 and 250
ASME B16.18	(2021) Cast Copper Alloy Solder Joint Pressure Fittings
ASME B16.21	(2021) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.22	(2021) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.23	(2021) Cast Copper Alloy Solder Joint Drainage Fittings - DWV
ASME B16.24	(2022) Cast Copper Alloy Pipe Flanges, Flanged Fittings, and Valves Classes 150, 300, 600, 900, 1500, and 2500
ASME B16.29	(2022) Wrought Copper and Wrought Copper Alloy Solder-Joint Drainage Fittings - DWV
ASME B16.34	(2021) Valves - Flanged, Threaded and Welding End

ASME B16.50	(2021) Wrought Copper and Copper Alloy Braze-Joint Pressure Fittings
ASME B16.51	(2013) Copper and Copper Alloy Press-Connect Pressure Fittings
ASME B31.1	(2022) Power Piping
ASME B31.5	(2022) Refrigeration Piping and Heat Transfer Components
ASME B31.9	(2020) Building Services Piping
ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications
ASME CSD-1	(2021) Control and Safety Devices for Automatically Fired Boilers

AMERICAN SOCIETY OF SANITARY ENGINEERING (ASSE)

ASSE 1001	(2021) Performance Requirements for Atmospheric Type Vacuum Breakers
ASSE 1011	(2017) Performance Requirements for Hose Connection Vacuum Breakers
ASSE 1012	(2023) Performance Requirements for Backflow Preventer with an Intermediate Atmospheric Vent
ASSE 1013	(2021) Performance Requirements for Reduced Pressure Principle Backflow Prevention Assemblies
ASSE 1020	(2020) Performance Requirements for Pressure Vacuum Breaker Assemblies

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA 10084	(2017) Standard Methods for the Examination of Water and Wastewater
AWWA C203	(2020) Coal-Tar Protective Coatings and Linings for Steel Water Pipelines - Enamel and Tape - Hot-Applied
AWWA C606	(2022) Grooved and Shouldered Joints
AWWA C651	(2023) Standard for Disinfecting Water Mains
AWWA C652	(2019) Disinfection of Water-Storage Facilities

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8/A5.8M	(2019) Specification for Filler Metals for

Brazing and Braze Welding

AWS B2.2/B2.2M

(2016) Specification for Brazing Procedure
and Performance Qualification

ASTM INTERNATIONAL (ASTM)

ASTM A47/A47M

(1999; R 2022; E 2022) Standard
Specification for Ferritic Malleable Iron
Castings

ASTM A53/A53M

(2024) Standard Specification for Pipe,
Steel, Black and Hot-Dipped, Zinc-Coated,
Welded and Seamless

ASTM A74

(2021) Standard Specification for Cast
Iron Soil Pipe and Fittings

ASTM A105/A105M

(2023) Standard Specification for Carbon
Steel Forgings for Piping Applications

ASTM A183

(2014; R 2020) Standard Specification for
Carbon Steel Track Bolts and Nuts

ASTM A193/A193M

(2024) Standard Specification for
Alloy-Steel and Stainless Steel Bolting
Materials for High-Temperature Service and
Other Special Purpose Applications

ASTM A515/A515M

(2017; R2022) Standard Specification for
Pressure Vessel Plates, Carbon Steel, for
Intermediate- and Higher-Temperature
Service

ASTM A516/A516M

(2017) Standard Specification for Pressure
Vessel Plates, Carbon Steel, for Moderate-
and Lower-Temperature Service

ASTM A518/A518M

(1999; R 2022) Standard Specification for
Corrosion-Resistant High-Silicon Iron
Castings

ASTM A536

(1984; R 2019; E 2019) Standard
Specification for Ductile Iron Castings

ASTM A888

(2024) Standard Specification for Hubless
Cast Iron Soil Pipe and Fittings for
Sanitary and Storm Drain, Waste, and Vent
Piping Applications

ASTM B32

(2020) Standard Specification for Solder
Metal

ASTM B42

(2020) Standard Specification for Seamless
Copper Pipe, Standard Sizes

ASTM B43

(2020) Standard Specification for Seamless
Red Brass Pipe, Standard Sizes

ASTM B75/B75M	(2020) Standard Specification for Seamless Copper Tube
ASTM B88	(2022) Standard Specification for Seamless Copper Water Tube
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B152/B152M	(2019) Standard Specification for Copper Sheet, Strip, Plate, and Rolled Bar
ASTM B306	(2020) Standard Specification for Copper Drainage Tube (DWV)
ASTM B584	(2022) Standard Specification for Copper Alloy Sand Castings for General Applications
ASTM B813	(2016) Standard Specification for Liquid and Paste Fluxes for Soldering of Copper and Copper Alloy Tube
ASTM C564	(2020a) Standard Specification for Rubber Gaskets for Cast Iron Soil Pipe and Fittings
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C1053	(2000; R 2010) Standard Specification for Borosilicate Glass Pipe and Fittings for Drain, Waste, and Vent (DWV) Applications
ASTM D2000	(2018) Standard Classification System for Rubber Products in Automotive Applications
ASTM D2235	(2004; R 2016) Standard Specification for Solvent Cement for Acrylonitrile-Butadiene-Styrene (ABS) Plastic Pipe and Fittings
ASTM D2564	(2020) Standard Specification for Solvent Cements for Poly(Vinyl Chloride) (PVC) Plastic Piping Systems
ASTM D2661	(2014; E 2018) Standard Specification for Acrylonitrile-Butadiene-Styrene (ABS) Schedule 40, Plastic Drain, Waste, and Vent Pipe and Fittings
ASTM D2665	(2014) Standard Specification for Poly(Vinyl Chloride) (PVC) Plastic Drain, Waste, and Vent Pipe and Fittings
ASTM D2855	(2020) Standard Practice for the Two-Step (Primer and Solvent Cement) Method of Joining Poly (Vinyl Chloride) (PVC) or Chlorinated Poly (Vinyl Chloride) (CPVC)

Pipe and Piping Components with Tapered
Sockets

ASTM D2996	(2017) Standard Specification for Filament-Wound "Fiberglass" (Glass-Fiber-Reinforced Thermosetting-Resin) Pipe
ASTM D3122	(1995; R 2009) Solvent Cements for Styrene-Rubber (SR) Plastic Pipe and Fittings
ASTM D3138	(2004; R 2016) Standard Specification for Solvent Cements for Transition Joints Between Acrylonitrile-Butadiene-Styrene (ABS) and Poly(Vinyl Chloride) (PVC) Non-Pressure Piping Components
ASTM D3139	(2019) Joints for Plastic Pressure Pipes Using Flexible Elastomeric Seals
ASTM D3212	(2020) Standard Specification for Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals
ASTM D4101	(2017) Standard Classification System and Basis for Specification for Polypropylene Injection and Extrusion Materials
ASTM F477	(2014; R 2021) Standard Specification for Elastomeric Seals (Gaskets) for Joining Plastic Pipe
ASTM F493	(2022) Standard Specification for Solvent Cements for Chlorinated Poly (Vinyl Chloride) (CPVC) Plastic Pipe and Fittings
ASTM F628	(2023) Standard Specification for Acrylonitrile-Butadiene-Styrene (ABS) Schedule 40 Plastic Drain, Waste, and Vent Pipe with a Cellular Core
ASTM F891	(2024) Standard Specification for Coextruded Poly (Vinyl Chloride) (PVC) Plastic Pipe with a Cellular Core
ASTM F1760	(2016; R 2020) Standard Specification for Coextruded Poly(Vinyl Chloride) (PVC) Non-Pressure Plastic Pipe Having Reprocessed-Recycled Content
ASTM F2389	(2024) Standard Specification for Pressure-rated Polypropylene (PP) Piping Systems

CAST IRON SOIL PIPE INSTITUTE (CISPI)

CISPI 301	(2018) Hubless Cast Iron Soil Pipe and Fittings for Sanitary and Storm Drain,

Waste, and Vent Piping Applications

CISPI 310 (2012) Coupling for Use in Connection with
Hubless Cast Iron Soil Pipe and Fittings
for Sanitary and Storm Drain, Waste, and
Vent Piping Applications

COPPER DEVELOPMENT ASSOCIATION (CDA)

CDA A4015 (2016; 14/17) Copper Tube Handbook

INTERNATIONAL ASSOCIATION OF PLUMBING AND MECHANICAL OFFICIALS
(IAPMO)

IAPMO PS 117 (2021) Press Connections

IAPMO UPC (2024) Uniform Plumbing Code

INTERNATIONAL CODE COUNCIL (ICC)

ICC IPC (2024) International Plumbing Code

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)

MSS SP-25 (2018) Standard Marking System for Valves,
Fittings, Flanges and Unions

MSS SP-58 (2018) Pipe Hangers and Supports -
Materials, Design and Manufacture,
Selection, Application, and Installation

MSS SP-67 (2022) Butterfly Valves

MSS SP-70 (2011) Gray Iron Gate Valves, Flanged and
Threaded Ends

MSS SP-71 (2018) Gray Iron Swing Check Valves,
Flanged and Threaded Ends

MSS SP-72 (2010a) Ball Valves with Flanged or
Butt-Welding Ends for General Service

MSS SP-78 (2011) Cast Iron Plug Valves, Flanged and
Threaded Ends

MSS SP-80 (2019) Bronze Gate, Globe, Angle and Check
Valves

MSS SP-85 (2011) Gray Iron Globe & Angle Valves
Flanged and Threaded Ends

MSS SP-110 (2010) Ball Valves Threaded,
Socket-Welding, Solder Joint, Grooved and
Flared Ends

NACE INTERNATIONAL (NACE)

NACE SP0169 (2013) Control of External Corrosion on

Underground or Submerged Metallic Piping
Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA MG 1	(2021) Motors and Generators
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems

NSF INTERNATIONAL (NSF)

NSF/ANSI/CAN 61	(2022) Drinking Water System Components - Health Effects

PLASTIC PIPE AND FITTINGS ASSOCIATION (PPFA)

PPFA Fire Man	(2016) Firestopping: Plastic Pipe in Fire Resistive Construction

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA SM 9223	(2004) Enzyme Substrate Coliform Test
PL 93-523	(1974; A 1999) Safe Drinking Water Act

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 141.80	National Primary Drinking Water Regulations; Control of Lead and Copper; General Requirements

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Plumbing System; G

SD-03 Product Data

Backflow Prevention Assemblies; G

Recycled Content for Steel Pipe; S

Recycled Content for Cast Iron Pipe; S

Press Fittings; G
Pumps; G

Welding

Vibration-Absorbing Features; G

Plumbing System

SD-06 Test Reports

Tests, Flushing and Disinfection

Test of Backflow Prevention Assemblies; G.

SD-07 Certificates

Materials and Equipment

SD-10 Operation and Maintenance Data

Plumbing System; G

1.3 SHOP DRAWINGS

Submit Plumbing System Detail drawings consisting of schedules, performance charts, instructions, diagrams, and other information to illustrate the requirements and operations of systems that are not covered by the Plumbing Code. Submit Plumbing System Detail drawings for the complete plumbing system including piping layouts and locations of connections; dimensions for roughing-in, foundation, and support points; schematic diagrams and wiring diagrams or connection and interconnection diagrams. Detail drawings must indicate clearances required for maintenance and operation. Where piping and equipment are to be supported other than as indicated, details must include loadings and proposed support methods. Mechanical drawing plans, elevations, views, and details, must be drawn to scale.

1.4 STANDARD PRODUCTS

Specified materials and equipment must be standard products of a manufacturer regularly engaged in the manufacture of such products. Specified equipment must essentially duplicate equipment that has performed satisfactorily at least 2 years prior to bid opening. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

Where equipment is specified to conform to requirements of the ASME Boiler and Pressure Vessel Code, the design, fabrication, and installation must conform to the code and a certificate must be submitted for the equipment.

1.4.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than

6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.4.2 Service Support

The equipment items must be supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.4.3 Manufacturer's Nameplate

Each item of equipment must have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.4.4 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.4.4.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" must be interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

1.4.4.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.5 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.6 PERFORMANCE REQUIREMENTS

1.6.1 Welding

Piping must be welded in accordance with qualified procedures using performance-qualified welders and welding operators. Submit a copy of qualified procedures and a list of names and identification symbols of qualified welders and welding operators. Procedures and welders must be qualified in accordance with ASME BPVC SEC IX. Welding procedures qualified by others, and welders and welding operators qualified by another employer, may be accepted as permitted by ASME B31.9, unless temperature and pressure limits specified then may be accepted as permitted by . The Contracting Officer must be notified 24 hours in advance of tests, and the tests must be performed at the work site if practicable. Welders or welding operators must apply their assigned symbols near each weld they make as a permanent record.

1.6.2 Cathodic Protection and Pipe Joint Bonding

Cathodic protection and pipe joint bonding systems must be in accordance with the latest International Fuel Gas Code edition accepted by the Authority having Jurisdiction.

1.7 REGULATORY REQUIREMENTS

Unless otherwise required herein, plumbing work must be in accordance with ICC IPC.

1.8 PROJECT/SITE CONDITIONS

The Contractor must become familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.9 INSTRUCTION TO GOVERNMENT PERSONNEL

Furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work. Submit Plumbing System Operation and Maintenance Data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished must be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system. When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.10 ACCESSIBILITY OF EQUIPMENT

Install all work so that parts requiring periodic inspection, operation,

maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

PART 2 PRODUCTS

2.1 MATERIALS

Materials for various services must be in accordance with TABLES I and II.

Pipe schedules must be selected based on service requirements. Pipe fittings must be compatible with the applicable pipe materials. Plastic pipe, fittings, and solvent cement must meet and must be NSF listed for the service intended. Plastic pipe, fittings, and solvent cement used for potable hot and cold water service must bear the NSF seal "NSF-PW." Polypropylene pipe and fittings must conform to dimensional requirements of Schedule 40, Iron Pipe size and must comply with NSF/ANSI/CAN 61 and ASTM F2389. Polypropylene piping that will be exposed to UV light must be provided with a Factory applied UV resistant coating. Pipe threads (except dry seal) must conform to ASME B1.20.1. Grooved pipe couplings and fittings must be from the same manufacturer. Material or used in any potable water system intended for human consumption be certified in accordance with the exception of brazing materials. In line devices such as water meters, building valves, check valves, meter stops, valves, fittings and back flow preventers must comply with PL 93-523 and NSF/ANSI/CAN 61, Section 8. End point devices such as drinking water fountains, lavatory faucets, kitchen and bar faucets, residential ice makers, supply stops and end point control valves used to dispense water for drinking must meet the requirements of NSF/ANSI/CAN 61, Section 9. Hubless cast-iron soil pipe must not be installed underground, under concrete floor slabs, or in crawl spaces below kitchen floors (for Air Force projects). Plastic pipe must not be installed in air plenums. Plastic pipe must not be installed in a pressure piping system in buildings greater than three stories including any basement levels.

2.1.1 Pipe Joint Materials

Grooved pipe and hubless cast-iron soil pipe must not be used underground but can be used above ground per the information listed below. Solder containing lead must not be used with copper pipe. Cast iron soil pipe and fittings must be marked with the collective trademark of the Cast Iron Soil Institute. Joints and gasket materials must conform to the following:

- a. Coupling for Cast-Iron Pipe: for hub and spigot type ASTM A74, AWWA C606. For hubless type: CISPI 310.
- b. Coupling for Steel Pipe: AWWA C606.
- c. Couplings for Grooved Pipe: Ductile Iron ASTM A536 (Grade 65-45-12) Malleable Iron ASTM A47/A47M, Grade 32510. Copper ASTM B584.
- d. Flange Gaskets: Gaskets must be made of non-asbestos material in accordance with ASME B16.21. Gaskets must be flat, 1/16 inch thick, and contain Aramid fibers bonded with Styrene Butadiene Rubber (SBR) or Nitro Butadiene Rubber (NBR). Gaskets must be the full face or self centering flat ring type. Gaskets used for hydrocarbon service must be bonded with NBR.
- e. Brazing Material: Brazing material must conform to AWS A5.8/A5.8M, BCuP-5.

- f. Brazing Flux: Flux must be in paste or liquid form appropriate for use with brazing material. Flux must be as follows: lead-free; have a 100 percent flushable residue; contain slightly acidic reagents; contain potassium borides; and contain fluorides.
- g. Solder Material: Solder metal must conform to ASTM B32.
- h. Solder Flux: Flux must be liquid form, non-corrosive, and conform to ASTM B813, Standard Test 1.
- i. PTFE Tape: PTFE Tape, for use with Threaded Metal or Plastic Pipe.
- j. Rubber Gaskets for Cast-Iron Soil-Pipe and Fittings (hub and spigot type and hubless type): ASTM C564.
- k. Rubber Gaskets for Grooved Pipe: ASTM D2000, maximum temperature 230 degrees F.
- l. Flexible Elastomeric Seals: ASTM D3139, ASTM D3212 or ASTM F477.
- m. Bolts and Nuts for Grooved Pipe Couplings: Heat-treated carbon steel, ASTM A183.
- n. Solvent Cement for Transition Joints between ABS and PVC Nonpressure Piping Components: ASTM D3138.
- o. Plastic Solvent Cement for ABS Plastic Pipe: ASTM D2235.
- p. Plastic Solvent Cement for PVC Plastic Pipe: ASTM D2564 and ASTM D2855.
- q. Plastic Solvent Cement for CPVC Plastic Pipe (if use of CPVC piping is preapproved by owner): ASTM F493.
- r. Flanged fittings including, but not limited to, flanges, bolts, nuts and bolt patterns must be in accordance with ASME B16.5 class 150 and must have the manufacturer's trademark affixed in accordance with MSS SP-25. Flange material must conform to ASTM A105/A105M. Blind flange material must conform to ASTM A516/A516M cold service and ASTM A515/A515M for hot service. Bolts must be high strength or intermediate strength with material conforming to ASTM A193/A193M. Submit written certification by the bolt manufacturer that the bolts furnished comply with the specified requirements.
- s. Plastic Solvent Cement for Styrene Rubber Plastic Pipe: ASTM D3122.
- t. Press fittings for Copper Pipe and Tube: Copper press fittings must conform to the material and sizing requirements of ASME B16.51 and performance criteria of IAPMO PS 117. Sealing elements for copper press fittings must be EPDM, FKM or HNBR. Sealing elements must be factory installed or an alternative supplied fitting manufacturer. Sealing element must be selected based on manufacturer's approved application guidelines. Provide manufacturer data to show product has been installed on multiple projects of similar type.
- u. Copper tubing must conform to ASTM B88, Type K, L or M.
- v. Heat-fusion joints for polypropylene piping: ASTM F2389.

2.1.2 Miscellaneous Materials

Miscellaneous materials must conform to the following:

2.2 PIPE HANGERS, INSERTS, AND SUPPORTS

Pipe hangers, inserts, and supports must conform to MSS SP-58.

2.3 VALVES

Valves must be provided on supplies to equipment and fixtures. Valves 2-1/2 inches and smaller must be bronze with threaded bodies for pipe and solder-type connections for tubing. Valves 3 inches and larger must have flanged iron bodies and bronze trim. Pressure ratings must be based upon the application. Grooved end valves may be provided if the manufacturer certifies that the valves meet the performance requirements of applicable MSS standard. Valves must conform to the following standards:

Description	Standard
Butterfly Valves	MSS SP-67
Cast-Iron Gate Valves, Flanged and Threaded Ends	MSS SP-70
Cast-Iron Swing Check Valves, Flanged and Threaded Ends	MSS SP-71
Ball Valves with Flanged Butt-Welding Ends for General Service	MSS SP-72
Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends	MSS SP-110
Cast-Iron Plug Valves, Flanged and Threaded Ends	MSS SP-78
Bronze Gate, Globe, Angle, and Check Valves	MSS SP-80
Steel Valves, Socket Welding and Threaded Ends	ASME B16.34
Cast-Iron Globe and Angle Valves, Flanged and Threaded Ends	MSS SP-85

Temperature and Pressure Relief Valves for Automatically Fired Hot Water Boilers	ASME CSD-1 Safety Code No., Part CW, Article 5

2.4 BACKFLOW PREVENTERS

Backflow prevention devices must be approved by the State or local regulatory agencies. If there is no State or local regulatory agency requirements, the backflow prevention devices must be listed by the Foundation for Cross-Connection Control & Hydraulic Research, or any other approved testing laboratory having equivalent capabilities for both laboratory and field evaluation of backflow prevention devices and assemblies. Reduced pressure principle assemblies, double check valve assemblies, atmospheric (nonpressure) type vacuum breakers, and pressure type vacuum breakers must meet the above requirements.

Backflow preventers with intermediate atmospheric vent must conform to ASSE 1012. Reduced pressure principle backflow preventers must conform to ASSE 1013. Hose connection vacuum breakers must conform to ASSE 1011. Pipe applied atmospheric type vacuum breakers must conform to ASSE 1001. Pressure vacuum breaker assembly must conform to ASSE 1020. Air gaps in plumbing systems must conform to ASME A112.1.2.

2.5 DRAINS

2.6 PUMPS

2.6.1 Circulating Pumps

Domestic hot water circulating pumps must be electrically driven, single-stage, centrifugal, with mechanical seals, suitable for the intended service. Pump and motor must be integrally mounted on a cast-iron or steel subbase, close-coupled with an overhung impeller, or supported by the piping on which it is installed. The shaft must be one-piece, heat-treated, corrosion-resisting steel with impeller and smooth-surfaced housing of bronze.

2.6.1.1 Circulating Pump Enclosure

Motor must be totally enclosed, fan-cooled and must have sufficient horsepower for the service required. Each pump motor must be equipped

with a controller in a NEMA 250, Type 1 enclosure with "START-STOP" switch in cover.

2.6.1.2 Circulating Pump Size and Efficiency

Integral size motors must be premium efficiency type in accordance with NEMA MG 1. Pump motors smaller than 1 hp Fractional horsepower pump motors must have integral thermal overload protection in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Guards must shield exposed moving parts.

2.6.2 Flexible Connectors

Flexible connectors must be provided at the suction and discharge of each pump that is 1 hp or larger. Connectors must be constructed of neoprene, rubber, or braided bronze, with Class 150 standard flanges. Flexible connectors must be line size and suitable for the pressure and temperature of the intended service.

2.7 ELECTRICAL WORK

Provide electrical motor driven equipment specified complete with motors, motor starters, and controls as specified herein and in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide high efficiency type, single-phase, fractional-horsepower alternating-current motors, including motors that are part of a system, corresponding to the applications in accordance with NEMA MG 11. In addition to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM, provide polyphase, squirrel-cage medium induction motors with continuous ratings, including motors that are part of a system, that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 1. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor.

2.7.1 Electrical Requirements for Motors

Motors must be rated for continuous duty with the enclosure specified. Motor duty requirements must allow for maximum frequency start-stop operation and minimum encountered interval between start and stop. Motor torque must be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Motor bearings must be fitted with grease supply fittings and grease relief to outside of the enclosure.

2.7.2 Electrical Requirements for Controllers and Contactors

Controllers and contactors must have auxiliary contacts for use with the controls provided. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, must be provided. For packaged equipment, the manufacturer must provide controllers, including the required monitors and timed restart.

2.7.3 Electrical Requirements for Power Wiring

Power wiring and conduit for field installed equipment must be provided under and conform to the requirements of Section 26 20 00 INTERIOR

DISTRIBUTION SYSTEM.

2.8 MISCELLANEOUS PIPING ITEMS

2.8.1 Escutcheon Plates

Provide one piece or split hinge metal plates for piping entering floors, walls, and ceilings in exposed spaces. Provide chromium-plated on copper alloy plates or polished stainless steel finish in finished spaces. Provide paint finish on plates in unfinished spaces.

2.8.2 Pipe Sleeves

Provide where piping passes entirely through walls, ceilings, roofs, and floors. Sleeves are not required where supply drain, waste, and vent (DWV) piping passes through concrete floor slabs located on grade, except where penetrating a membrane waterproof floor. For multiple pipe penetrations through load bearing masonry or concrete walls, minimum spacing must be 3 inches center of pipe to center of pipe.

2.8.2.1 Sleeves in Masonry and Concrete

Provide steel pipe sleeves or schedule 40 PVC plastic pipe sleeves. Sleeves are not required where drain, waste, and vent (DWV) piping passes through concrete floor slabs located on grade. Core drilling of masonry and concrete may be provided in lieu of pipe sleeves when cavities in the core-drilled hole are completely grouted smooth.

2.8.2.2 Sleeves Not in Masonry and Concrete

Provide 26 gage galvanized steel sheet or PVC plastic pipe sleeves.

2.8.3 Pipe Hangers (Supports)

Provide MSS SP-58 Type 1 with adjustable type steel support rods, except as specified or indicated otherwise. Attach to steel joists with Type 19 or 23 clamps and retaining straps. Attach to Steel W or S beams with Type 21, 28, 29, or 30 clamps. Attach to steel angles and vertical web steel channels with Type 20 clamp with beam clamp channel adapter. Attach to horizontal web steel channel and wood with drilled hole on centerline and double nut and washer. Attach to concrete with Type 18 insert or drilled expansion anchor. Provide Type 40 insulation protection shield for insulated piping.

2.8.4 Nameplates

Provide 0.125 inch thick melamine laminated plastic nameplates, black matte finish with white center core, for equipment, gages, thermometers, and valves; valves in supplies to faucets will not require nameplates. Accurately align lettering and engrave minimum of 0.25 inch high normal block lettering into the white core. Minimum size of nameplates must be 1.0 by 2.5 inches. Key nameplates to a chart and schedule for each system. Frame charts and schedules under glass and place where directed near each system. Furnish two copies of each chart and schedule.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Piping located in air plenums must conform to NFPA 90A requirements. Piping located in shafts that constitute air ducts or that enclose air ducts must be noncombustible in accordance with NFPA 90A. Installation of plastic pipe where in compliance with NFPA may be installed in accordance with PPFA Fire Man. The plumbing system must be installed complete with necessary fixtures, fittings, traps, valves, and accessories. Submit diagrams, instructions, and other sheets proposed for posting for Plumbing System. Submit for Plumbing System manufacturer's recommendations for the installation of bell and spigot and hubless joints for cast iron soil pipe. Water and drainage piping must be extended 5 feet outside the building, unless otherwise indicated. A full port ball valve and drain must be installed on the water service line inside the building approximately 6 inches above the floor from point of entry. Piping must be connected to the exterior service lines or capped or plugged if the exterior service is not in place. Sewer and water pipes must be laid in separate trenches, except when otherwise shown. Exterior underground utilities must be at least 12 inches below the average local frost depth or as indicated on the drawings. If trenches are closed or the pipes are otherwise covered before being connected to the service lines, the location of the end of each plumbing utility must be marked with a stake or other acceptable means. Valves must be installed with control no lower than the valve body. Avoid the use of combination double wye and one-eighth bend fittings in the horizontal orientation below grade or below slab.

3.1.1 Water Pipe, Fittings, and Connections

3.1.1.1 Utilities

The piping must be extended to fixtures, outlets, and equipment. The hot-water and cold-water piping system must be arranged and installed to permit draining. The supply line to each item of equipment or fixture, except faucets, flush valves, or other control valves which are supplied with integral stops, must be equipped with a shutoff valve to enable isolation of the item for repair and maintenance without interfering with operation of other equipment or fixtures.

3.1.1.2 Cutting and Repairing

The work must be carefully laid out in advance, and unnecessary cutting of construction must be avoided. Damage to building, piping, wiring, or equipment as a result of cutting must be repaired by mechanics skilled in the trade involved.

3.1.1.3 Protection of Fixtures, Materials, and Equipment

Pipe openings must be closed with caps or plugs during installation. Fixtures and equipment must be tightly covered and protected against dirt, water, chemicals, and mechanical injury. Upon completion of the work, the fixtures, materials, and equipment must be thoroughly cleaned, adjusted, and operated. Safety guards must be provided for exposed rotating equipment.

3.1.1.4 Mains, Branches, and Runouts

Piping must be installed as indicated. Pipe must be accurately cut and worked into place without springing or forcing. Structural portions of the building must not be weakened. Aboveground piping must run parallel with the lines of the building, unless otherwise indicated. Branch pipes from service lines may be taken from top, bottom, or side of main, using crossover fittings required by structural or installation conditions. Supply pipes, valves, and fittings must be kept a sufficient distance from other work and other services to permit not less than 1/2 inch between finished covering on the different services. Bare and insulated water lines must not bear directly against building structural elements so as to transmit sound to the structure or to prevent flexible movement of the lines. Water pipe must not be buried in or under floors unless specifically indicated or approved. Changes in pipe sizes must be made with reducing fittings. Use of bushings will not be permitted except for use in situations in which standard factory fabricated components are furnished to accommodate specific accepted installation practice. Change in direction must be made with fittings, except that bending of pipe 4 inches and smaller will be permitted, provided a pipe bender is used and wide sweep bends are formed. The center-line radius of bends must be not less than six diameters of the pipe. Bent pipe showing kinks, wrinkles, flattening, or other malformations will not be acceptable.

3.1.1.5 Pipe Drains

Pipe drains indicated must consist of 3/4 inch hose bibb with renewable seat and full port ball valve ahead of hose bibb. At other low points, 3/4 inch brass plugs or caps must be provided. Disconnection of the supply piping at the fixture is an acceptable drain.

3.1.1.6 Expansion and Contraction of Piping

Allowance must be made throughout for expansion and contraction of water pipe. Each hot-water and hot-water circulation riser must have expansion loops or other provisions such as offsets and changes in direction where indicated and required. Risers must be securely anchored as required or where indicated to force expansion to loops. Branch connections from risers must be made with ample swing or offset to avoid undue strain on fittings or short pipe lengths. Horizontal runs of pipe over 50 feet in length must be anchored to the wall or the supporting construction about midway on the run to force expansion, evenly divided, toward the ends. Sufficient flexibility must be provided on branch runouts from mains and risers to provide for expansion and contraction of piping. Flexibility must be provided by installing one or more turns in the line so that piping will spring enough to allow for expansion without straining. If mechanical grooved pipe coupling systems are provided, the deviation from design requirements for expansion and contraction may be allowed pending approval of Contracting Officer.

3.1.2 Joints

Installation of pipe and fittings must be made in accordance with the manufacturer's recommendations. Mitering of joints for elbows and notching of straight runs of pipe for tees will not be permitted. Joints must be made up with fittings of compatible material and made for the specific purpose intended.

3.1.2.1 Threaded

Threaded joints must have American Standard taper pipe threads conforming to ASME B1.20.1. Only male pipe threads must be coated with graphite or with an approved graphite compound, or with an inert filler and oil, or must have a polytetrafluoroethylene tape applied.

3.1.2.2 Mechanical Couplings

Mechanical couplings may be used in conjunction with grooved pipe for aboveground, ferrous or non-ferrous, domestic hot and cold water systems, in lieu of unions, brazed, soldered, welded, flanged, or threaded joints.

3.1.2.2.1 Mechanical Coupling Permissibility

Mechanical couplings are permitted in accessible locations including behind access plates. Flexible grooved joints will not be permitted, except as vibration isolators adjacent to mechanical equipment. Rigid grooved joints must incorporate an angle bolt pad design which maintains metal-to-metal contact with equal amount of pad offset of housings upon installation to ensure positive rigid clamping of the pipe. Designs which can only clamp on the bottom of the groove or which utilize gripping teeth or jaws, or which use misaligned housing bolt holes, or which require a torque wrench or torque specifications will not be permitted.

3.1.2.2.2 Grooved Fittings and Couplings

Grooved fittings and couplings, and grooving tools must be provided from the same manufacturer. Segmentally welded elbows must not be used. Grooves must be prepared in accordance with the coupling manufacturer's latest published standards. Grooving must be performed by qualified grooving operators having demonstrated proper grooving procedures in accordance with the tool manufacturer's recommendations. The Contracting Officer must be notified 24 hours in advance of test to demonstrate operator's capability, and the test must be performed at the work site, if practical, or at a site agreed upon. The operator must demonstrate the ability to properly adjust the grooving tool, groove the pipe, and to verify the groove dimensions in accordance with the coupling manufacturer's specifications.

3.1.2.3 Unions and Flanges

Unions, flanges and mechanical couplings must not be concealed in walls, ceilings, or partitions. Unions must be used on pipe sizes 2-1/2 inches and smaller; flanges must be used on pipe sizes 3 inches and larger.

3.1.2.4 Grooved Mechanical Joints

Grooves must be prepared according to the coupling manufacturer's instructions. Grooved fittings, couplings, and grooving tools must be products of the same manufacturer. Pipe and groove dimensions must comply with the tolerances specified by the coupling manufacturer. The diameter of grooves made in the field must be measured using a "go/no-go" gauge, vernier or dial caliper, narrow-land micrometer, or other method specifically approved by the coupling manufacturer for the intended application. Groove width and dimension of groove from end of pipe must be measured and recorded for each change in grooving tool setup to verify compliance with coupling manufacturer's tolerances. Grooved joints must not be used in concealed locations.

3.1.2.5 Copper Tube and Pipe

- a. Brazed. Brazed joints must be made in conformance with AWS B2.2/B2.2M, ASME B16.50, and CDA A4015 with flux and are acceptable for all pipe sizes. Copper to copper joints must include the use of copper-phosphorus or copper-phosphorus-silver brazing metal without flux. Brazing of dissimilar metals (copper to bronze or brass) must include the use of flux with either a copper-phosphorus, copper-phosphorus-silver or a silver brazing filler metal.
- b. Soldered. Soldered joints must be made with flux and are only acceptable for piping 2 inches and smaller. Soldered joints must conform to ASME B31.5 and CDA A4015. Soldered joints must not be used in compressed air piping between the air compressor and the receiver.
- c. Copper Tube Extracted Joint. Mechanically extracted joints must be made in accordance with ICC IPC.
- d. Press connection. Copper press connections must be made in **strict** accordance with the manufacturer's installation instructions for manufactured rated size. The joints must be pressed using the tool(s) approved by the manufacturer **of that joint**. Minimum distance between fittings must be in accordance with the manufacturer's requirements.

3.1.2.6 Plastic Pipe

Acrylonitrile-Butadiene-Styrene (ABS) pipe must have joints made with solvent cement. PVC and CPVC pipe must have joints made with solvent cement elastomeric, threading, (threading of Schedule 80 Pipe is allowed only where required for disconnection and inspection; threading of Schedule 40 Pipe is not allowed), or mated flanged.

3.1.2.7 Polypropylene Pipe

Joints for polypropylene pipe and fittings must be made by heat fusion welding socket-type or butt-fusion type fittings and must comply with ASTM F2389.

3.1.3 Dissimilar Pipe Materials

Connections between ferrous and non-ferrous copper water pipe must be made with dielectric unions or flange waterways. Dielectric waterways must have temperature and pressure rating equal to or greater than that specified for the connecting piping. Waterways must have metal connections on both ends suited to match connecting piping. Dielectric waterways must be internally lined with an insulator specifically designed to prevent current flow between dissimilar metals. Dielectric flanges must meet the performance requirements described herein for dielectric waterways. Connecting joints between plastic and metallic pipe must be made with transition fitting for the specific purpose.

3.1.4 Corrosion Protection for Buried Pipe and Fittings

Ductile iron, cast iron, and steel pipe, fittings, and joints must have a protective coating. Additionally, ductile iron, cast iron, and steel pressure pipe must have a cathodic protection system and joint bonding. . Coatings must be selected, applied, and inspected in accordance with NACE SP0169 and as otherwise specified. The pipe must be cleaned and the

coating system applied prior to pipe tightness testing. Joints and fittings must be cleaned and the coating system applied after pipe tightness testing. For tape coating systems, the tape must conform to AWWA C203 and must be applied with a 50 percent overlap. Primer utilized with tape type coating systems must be as recommended by the tape manufacturer.

3.1.5 Pipe Sleeves and Flashing

Pipe sleeves must be furnished and set in their proper and permanent location.

3.1.5.1 Sleeve Requirements

Unless indicated otherwise, provide pipe sleeves meeting the following requirements:

- a. Secure sleeves in position and location during construction. Provide sleeves of sufficient length to pass through entire thickness of walls, ceilings, roofs, and floors.
- b. A modular mechanical type sealing assembly may be installed in lieu of a waterproofing clamping flange and caulking and sealing of annular space between pipe and sleeve. The seals must consist of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe and sleeve using galvanized steel bolts, nuts, and pressure plates. The links must be loosely assembled with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tightening of the bolt must cause the rubber sealing elements to expand and provide a watertight seal between the pipe and the sleeve. Each seal assembly must be sized as recommended by the manufacturer to fit the pipe and sleeve involved.
- c. Sleeves must not be installed in structural members, except where indicated or approved. Rectangular and square openings must be as detailed. Each sleeve must extend through its respective floor, or roof, and must be cut flush with each surface, except for special circumstances. Pipe sleeves passing through floors in wet areas such as mechanical equipment rooms, lavatories, kitchens, and other plumbing fixture areas must extend a minimum of 4 inches above the finished floor.
- d. Unless otherwise indicated, sleeves must be of a size to provide a minimum of 1/4 inch clearance between bare pipe or insulation and inside of sleeve or between insulation and inside of sleeve. Sleeves in bearing walls and concrete slab on grade floors must be steel pipe or cast-iron pipe. Sleeves in nonbearing walls or ceilings may be steel pipe, cast-iron pipe, galvanized sheet metal with lock-type longitudinal seam, or plastic.
- e. Except as otherwise specified, the annular space between pipe and sleeve, or between jacket over insulation and sleeve, must be sealed as indicated with sealants conforming to ASTM C920 and with a primer, backstop material and surface preparation as specified in Section 07 92 00 JOINT SEALANTS. The annular space between pipe and sleeve, between bare insulation and sleeve or between jacket over insulation and sleeve must not be sealed for interior walls which are not designated as fire rated.

- f. Sleeves through below-grade walls in contact with earth must be recessed 1/2 inch from wall surfaces on both sides. Annular space between pipe and sleeve must be filled with backing material and sealants in the joint between the pipe and masonry wall as specified above. Sealant selected for the earth side of the wall must be compatible with dampproofing/waterproofing materials that are to be applied over the joint sealant. Pipe sleeves in fire-rated walls must conform to the requirements in Section 07 84 00 FIRESTOPPING.

3.1.5.2 Flashing Requirements

Pipes passing through roof must be installed through a 16 ounce copper flashing, each within an integral skirt or flange. Flashing must be suitably formed, and the skirt or flange must extend not less than 8 inches from the pipe and must be set over the roof or floor membrane in a solid coating of bituminous cement. The flashing must extend up the pipe a minimum of 10 inches. For cleanouts, the flashing must be turned down into the hub and caulked after placing the ferrule. Pipes passing through pitched roofs must be flashed, using lead or copper flashing, with an adjustable integral flange of adequate size to extend not less than 8 inches from the pipe in all directions and lapped into the roofing to provide a watertight seal. The annular space between the flashing and the bare pipe or between the flashing and the metal-jacket-covered insulation must be sealed as indicated. Flashing for dry vents must be turned down into the pipe to form a waterproof joint. Pipes, up to and including 10 inches in diameter, passing through roof or floor waterproofing membrane may be installed through a cast-iron sleeve with caulking recess, anchor lugs, flashing-clamp device, and pressure ring with brass bolts. Flashing shield must be fitted into the sleeve clamping device. Pipes passing through wall waterproofing membrane must be sleeved as described above. A waterproofing clamping flange must be installed.

3.1.5.3 Waterproofing

Waterproofing at floor-mounted water closets must be accomplished by forming a flashing guard from soft-tempered sheet copper. The center of the sheet must be perforated and turned down approximately 1-1/2 inches to fit between the outside diameter of the drainpipe and the inside diameter of the cast-iron or steel pipe sleeve. The turned-down portion of the flashing guard must be embedded in sealant to a depth of approximately 1-1/2 inches; then the sealant must be finished off flush to floor level between the flashing guard and drainpipe. The flashing guard of sheet copper must extend not less than 8 inches from the drainpipe and must be lapped between the floor membrane in a solid coating of bituminous cement. If cast-iron water closet floor flanges are used, the space between the pipe sleeve and drainpipe must be sealed with sealant and the flashing guard must be upturned approximately 1-1/2 inches to fit the outside diameter of the drainpipe and the inside diameter of the water closet floor flange. The upturned portion of the sheet fitted into the floor flange must be sealed.

3.1.5.4 Optional Counterflashing

Instead of turning the flashing down into a dry vent pipe, or caulking and sealing the annular space between the pipe and flashing or metal-jacket-covered insulation and flashing, counterflashing may be accomplished by utilizing the following:

- a. A standard roof coupling for threaded pipe up to 6 inches in diameter.
- b. A tack-welded or banded-metal rain shield around the pipe.

3.1.5.5 Pipe Penetrations of Slab on Grade Floors

Where pipes, fixture drains, floor drains, cleanouts or similar items penetrate slab on grade floors, except at penetrations of floors with waterproofing membrane as specified in paragraphs FLASHING REQUIREMENTS and WATERPROOFING, a groove 1/4 to 1/2 inch wide by 1/4 to 3/8 inch deep must be formed around the pipe, fitting or drain. The groove must be filled with a sealant as specified in Section 07 92 00 JOINT SEALANTS.

3.1.5.6 Pipe Penetrations

Provide sealants for all pipe penetrations. All pipe penetrations must be sealed to prevent infiltration of air, insects, and vermin.

3.1.6 Fire Seal

Where pipes pass through fire walls, fire-partitions, fire-rated pipe chase walls or floors above grade, a fire seal must be provided as specified in Section 07 84 00 FIRESTOPPING.

3.1.7 Supports

3.1.7.1 General

Hangers used to support piping 2 inches and larger must be fabricated to permit adequate adjustment after erection while still supporting the load. Pipe guides and anchors must be installed to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. Piping subjected to vertical movement when operating temperatures exceed ambient temperatures must be supported by variable spring hangers and supports or by constant support hangers. In the support of multiple pipe runs on a common base member, a clip or clamp must be used where each pipe crosses the base support member. Spacing of the base support members must not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run. Threaded sections of rods must not be formed or bent.

3.1.7.2 Pipe Supports and Structural Bracing, Seismic Requirements

Piping and attached valves must be supported and braced to resist seismic loads as specified in Section 13 48 73 SEISMIC CONTROL FOR MISCELLANEOUS EQUIPMENT and as shown. Structural steel required for reinforcement to properly support piping, headers, and equipment, but not shown, must be provided. Material used for supports must be as specified in this specification section.

3.1.7.3 Pipe Hangers, Inserts, and Supports

Installation of pipe hangers, inserts and supports must conform to MSS SP-58 except as modified herein.

- a. Types 5, 12, and 26 must not be used.
- b. Type 3 must not be used on insulated pipe.

- c. Type 18 inserts must be secured to concrete forms before concrete is placed. Continuous inserts which allow more adjustment may be used if they otherwise meet the requirements for type 18 inserts.
- d. Type 19 and 23 C-clamps must be torqued per MSS SP-58 and must have both locknuts and retaining devices furnished by the manufacturer. Field-fabricated C-clamp bodies or retaining devices are not acceptable.
- e. Type 20 attachments used on angles and channels must be furnished with an added malleable-iron heel plate or adapter.
- f. Type 24 may be used only on trapeze hanger systems or on fabricated frames.
- g. Type 39 saddles must be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher. Type 39 saddles must be welded to the pipe.
- h. Type 40 shields must:
 - (1) Be used on insulated pipe less than 4 inches.
 - (2) Be used on insulated pipe 4 inches and larger when the temperature of the medium is 60 degrees F or less.
 - (3) Have a high density insert for all pipe sizes. High density inserts must have a density of 8 pcf or greater.
- i. Horizontal pipe supports must be spaced as specified in MSS SP-58 and a support must be installed not over 1 foot from the pipe fitting joint at each change in direction of the piping. Pipe supports must be spaced not over 5 feet apart at valves. Operating temperatures in determining hanger spacing for PVC or CPVC pipe must be 120 degrees F for PVC and 180 degrees F for CPVC. Horizontal pipe runs must include allowances for expansion and contraction.
- j. Vertical pipe must be supported at each floor, except at slab-on-grade, at intervals of not more than 15 feet nor more than 8 feet from end of risers, and at vent terminations. Vertical pipe risers must include allowances for expansion and contraction.
- k. Type 35 guides using steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides must be provided to allow longitudinal pipe movement. Slide materials must be suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered. Lateral restraints must be provided as needed. Where steel slides do not require provisions for lateral restraint the following may be used:
 - (1) On pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher, a Type 39 saddle, welded to the pipe, may freely rest on a steel plate.
 - (2) On pipe less than 4 inches a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.
 - (3) On pipe 4 inches and larger carrying medium less than 60 degrees F a Type 40 shield, attached to the pipe or insulation, may freely rest on a steel plate.

- l. Pipe hangers on horizontal insulated pipe must be the size of the outside diameter of the insulation. The insulation must be continuous through the hanger on all pipe sizes and applications.
- m. Where there are high system temperatures and welding to piping is not desirable, the type 35 guide must include a pipe cradle, welded to the guide structure and strapped securely to the pipe. The pipe must be separated from the slide material by at least 4 inches or by an amount adequate for the insulation, whichever is greater.
- n. Hangers and supports for plastic pipe must not compress, distort, cut or abrade the piping, and must allow free movement of pipe except where otherwise required in the control of expansion/contraction.

3.1.7.4 Structural Attachments

Attachment to building structure concrete and masonry must be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors must be applied with a safety factor not less than 5. Supports must not be attached to metal decking. Supports must not be attached to the underside of concrete filled floor or concrete roof decks unless approved by the Contracting Officer. Masonry anchors for overhead applications must be constructed of ferrous materials only.

3.1.8 Welded Installation

Plumbing pipe weldments must be as indicated. Changes in direction of piping must be made with welding fittings only; mitering or notching pipe to form elbows and tees or other similar type construction will not be permitted. Branch connection may be made with either welding tees or forged branch outlet fittings. Branch outlet fittings must be forged, flared for improvement of flow where attached to the run, and reinforced against external strains. Beveling, alignment, heat treatment, and inspection of weld must conform to ASME B31.1. Weld defects must be removed and repairs made to the weld, or the weld joints must be entirely removed and rewelded. After filler metal has been removed from its original package, it must be protected or stored so that its characteristics or welding properties are not affected. Electrodes that have been wetted or that have lost any of their coating must not be used.

3.2 IDENTIFICATION SYSTEMS

3.2.1 Identification Tags

Identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and valve number must be installed on valves, except those valves installed on supplies at plumbing fixtures. Tags must be 1-3/8 inch minimum diameter, and marking must be stamped or engraved. Indentations must be black, for reading clarity. Tags must be attached to valves with No. 12 AWG, copper wire, chrome-plated beaded chain, or plastic straps designed for that purpose.

3.2.2 Color Coding Scheme for Locating Hidden Utility Components

Scheme must be provided in buildings having suspended grid ceilings. The color coding scheme must identify points of access for maintenance and operation of operable components which are not visible from the finished space and installed in the space directly above the suspended grid

ceiling. The operable components must include valves, dampers, switches, linkages and thermostats. The color coding scheme must consist of a color code board and colored metal disks. Each colored metal disk must be approximately 3/8 inch in diameter and secured to removable ceiling panels with fasteners. The fasteners must be inserted into the ceiling panels so that the fasteners will be concealed from view. The fasteners must be manually removable without tools and must not separate from the ceiling panels when panels are dropped from ceiling height. Installation of colored metal disks must follow completion of the finished surface on which the disks are to be fastened. The color code board must have the approximate dimensions of 3 foot width, 30 inches height, and 1/2 inch thickness. The board must be made of wood fiberboard and framed under glass or 1/16 inch transparent plastic cover. Unless otherwise directed, the color code symbols must be approximately 3/4 inch in diameter and the related lettering in 1/2 inch high capital letters. The color code board must be mounted and located in the mechanical or equipment room. The color code system must be as indicated below:

3.3 ESCUTCHEONS

Escutcheons must be provided at finished surfaces where bare or insulated piping, exposed to view, passes through floors, walls, or ceilings, except in boiler, utility, or equipment rooms. Escutcheons must be fastened securely to pipe or pipe covering and must be satin-finish, corrosion-resisting steel, polished chromium-plated zinc alloy, or polished chromium-plated copper alloy. Escutcheons must be either one-piece or split-pattern, held in place by internal spring tension or setscrew.

3.4 PAINTING

Painting of pipes, hangers, supports, and other iron work, either in concealed spaces or exposed spaces, is specified in Section 09 90 00 PAINTS AND COATINGS.

3.4.1 Painting of New Equipment

New equipment painting must be factory applied or shop applied, and must be as specified herein, and provided under each individual section.

3.4.1.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen must show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

3.4.1.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces subject to temperatures in excess of 120 degrees F must be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat must be aluminum or light gray.

- a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F must receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat.
- b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F must receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.
- c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F must receive two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.

3.5 TESTS, FLUSHING AND DISINFECTION

Submit test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, completion and testing of the installed system. Each test report must indicate the final position of controls.

3.5.1 Plumbing System

The following tests must be performed on the plumbing system in accordance with ICC IPCIAPMO UPC, except that the drainage and vent system final test must include the smoke test. The Contractor has the option to perform a peppermint test in lieu of the smoke test. If a peppermint test is chosen, the Contractor must submit a testing procedure and reasons for choosing this option in lieu of the smoke test to the Contracting Officer for approval.

- a. Water Supply Systems Tests.

3.5.1.1 Test of Backflow Prevention Assemblies

Certification of proper operation must be submitted and must be as accomplished in accordance with state regulations by an individual certified by the state to perform such tests. If no state requirement exists, the Contractor must have the manufacturer's representative test the device to ensure the unit is properly installed and performing as intended. The Contractor must provide written documentation of the tests

performed and signed by the individual performing the tests. Backflow prevention assembly must be tested using gauges specifically designed for the testing of backflow prevention assemblies.

Backflow prevention assembly test gauges must be tested annually for accuracy in accordance with the requirements of State or local regulatory agencies. If there is no State or local regulatory agency requirements, gauges must be tested annually for accuracy in accordance with the requirements of University of Southern California's Foundation of Cross Connection Control and Hydraulic Research or the American Water Works Association Manual of Cross Connection (Manual M-14), or any other approved testing laboratory having equivalent capabilities for both laboratory and field evaluation of backflow prevention assembly test gauges. Report form for each assembly must include, as a minimum, the following:

Data on Device	Data on Testing Firm
Type of Assembly	Name
Manufacturer	Address
Model Number	Certified Tester
Serial Number	Certified Tester No.
Size	Date of Test
Location	
Test Pressure Readings	Serial Number and Test Data of Gauges

If the unit fails to meet specified requirements, the unit must be repaired and retested.

3.5.2 Defective Work

If inspection or test shows defects, such defective work or material must be replaced or repaired as necessary and inspection and tests must be repeated. Repairs to piping must be made with new materials. Caulking of screwed joints or holes will not be acceptable.

3.5.3 System Flushing

3.5.3.1 During Flushing

Before operational tests or disinfection, potable water piping system must be flushed with hot potable water. Sufficient water must be used to produce a water velocity that is capable of entraining and removing debris in all portions of the piping system. This requires simultaneous operation of all fixtures on a common branch or main in order to produce a flushing velocity of approximately 4 fps through all portions of the piping system. In the event that this is impossible due to size of system, the Contracting Officer (or the designated representative) must specify the number of fixtures to be operated during flushing. Contractor must provide adequate personnel to monitor the flushing operation and to ensure that drain lines are unobstructed in order to prevent flooding of the facility. Contractor must be responsible for any flood damage

resulting from flushing of the system. Flushing must be continued until entrained dirt and other foreign materials have been removed and until discharge water shows no discoloration. All faucets and drinking water fountains, to include any device considered as an end point device by NSF/ANSI/CAN 61, Section 9, must be flushed a minimum of 0.25 gallons per 24 hour period, ten times over a 14 day period.

3.5.3.2 After Flushing

System must be drained at low points. Strainer screens must be removed, cleaned, and replaced. After flushing and cleaning, systems must be prepared for testing by immediately filling water piping with clean, fresh potable water. Any stoppage, discoloration, or other damage to the finish, furnishings, or parts of the building due to the Contractor's failure to properly clean the piping system must be repaired by the Contractor. When the system flushing is complete, the hot-water system must be adjusted for uniform circulation. Flushing devices and automatic control systems must be adjusted for proper operation according to manufacturer's instructions. Flow rates on fixtures must not exceed those stated in PART 2 of this Section. Unless more stringent local requirements exist, lead levels must not exceed limits established by 40 CFR 141.80 (c)(1). The water supply to the building must be tested separately to ensure that any lead contamination found during potable water system testing is due to work being performed inside the building.

3.5.4 Operational Test

Upon completion of flushing and prior to disinfection procedures, the Contractor must subject the plumbing system to operating tests to demonstrate satisfactory installation, connections, adjustments, and functional and operational efficiency. Such operating tests must cover a period of not less than 8 hours for each system and must include the following information in a report with conclusion as to the adequacy of the system:

- a. Time, date, and duration of test.
- b. Operation of each valve, hydrant, and faucet.
- c. Pump suction and discharge pressures.
- d. Operation of each backflow preventer.

3.5.5 Disinfection

After all system components are provided and operational tests are complete, the entire domestic hot- and cold-water distribution system must be disinfected. Before introducing disinfecting chlorination material, entire system must be flushed with potable water until any entrained dirt and other foreign materials have been removed.

Water chlorination procedure must be in accordance with AWWA C651 and AWWA C652 as modified and supplemented by this specification. The chlorinating material must be hypochlorites or liquid chlorine. The chlorinating material must be fed into the water piping system at a constant rate at a concentration of at least 50 parts per million (ppm). Feed a properly adjusted hypochlorite solution injected into the system with a hypochlorinator, or inject liquid chlorine into the system through a solution-feed chlorinator and booster pump until the entire system is

completely filled.

3.5.5.1 Water Chlorination Monitoring

Test the chlorine residual level in the water at 6 hour intervals for a continuous period of 24 hours. If at the end of a 6 hour interval, the chlorine residual has dropped to less than 25 ppm, flush the piping including tanks with potable water, and repeat the above chlorination procedures. During the chlorination period, each valve and faucet must be opened and closed several times. After the second 24 hour period, verify that no less than 25 ppm chlorine residual remains in the treated system. The 24 hour chlorination procedure must be repeated until no less than 25 ppm chlorine residual remains in the treated system.

3.5.5.2 Water Chlorination Flushing

Upon the specified verification, the system including tanks must then be flushed with potable water until the residual chlorine level is reduced to less than one part per million. During the flushing period, each valve and faucet must be opened and closed several times.

3.5.5.3 Sample Testing

Take additional samples of water in disinfected containers, for bacterial examination, at locations specified by the Contracting Officer. Test these samples for total coliform organisms (coliform bacteria, fecal coliform, streptococcal, and other bacteria) in accordance with EPA SM 9223 AWWA 10084. The testing method used must be EPA approved for drinking water systems and must comply with applicable local and state requirements.

3.5.5.4 Disinfection Acceptance

Disinfection must be repeated until bacterial tests indicate the absence of coliform organisms (zero mean coliform density per 100 milliliters) in the samples for at least 2 full days. The system will not be accepted until satisfactory bacteriological results have been obtained.

3.6 POSTED INSTRUCTIONS

Framed instructions under glass or in laminated plastic, including wiring and control diagrams showing the complete layout of the entire system, must be posted where directed. Condensed operating instructions explaining preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system must be prepared in typed form, framed as specified above for the wiring and control diagrams and posted beside the diagrams. The framed instructions must be posted before acceptance testing of the systems.

3.7 TABLES

TABLE I								
PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS								
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
1	Cast iron soil pipe and fittings, hub and spigot, ASTM A74 with compression gaskets. Pipe and fittings must be marked with the CISPI trademark.	X	X	X	X	X		
2	Cast iron soil pipe and fittings hubless, CISPI 301 and ASTM A888. Pipe and fittings must be marked with the CISPI trademark.		X		X	X		
3	Cast iron drainage fittings, threaded, ASME B16.12 for use with Item 10	X		X	X			
4	Cast iron screwed fittings (threaded) ASME B16.4 for use with Item 10				X	X		

TABLE I								
PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS								
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
5	Grooved pipe couplings, ferrous and non-ferrous pipe ASTM A536 And ASTM A47/A47M	X	X		X	X		
6	Ductile iron grooved joint fittings for ferrous pipe ASTM A536 and ASTM A47/A47M for use with Item 5	X	X		X	X		
7	Bronze sand casting grooved joint pressure fittings for non-ferrous pipe ASTM B584, for use with Item 5	X	X		X	X		
8	Wrought copper grooved joint pressure fittings for non-ferrous pipe ASTM B75/B75M C12200, ASTM B152/B152M, C11000, ASME B16.22 ASME B16.22 for use with Item 5	X	X					

TABLE I								
PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS								
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
9	Malleable-iron threaded fittings, galvanized ASME B16.3 for use with Item 10				X	X		
10	Steel pipe, seamless galvanized, ASTM A53/A53M, Type S, Grade B				X	X		
11	Seamless red brass pipe, ASTM B43				X	X		X
12	Bronzed flanged fittings, ASME B16.24 for use with Items 11 and 14				X	X		X
13	Cast copper alloy solder joint pressure fittings, ASME B16.18 for use with Item 14				X	X		X
14	Seamless copper pipe, ASTM B42						X	X
15	Cast bronze threaded fittings, ASME B16.15				X	X		

TABLE I								
PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS								
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
16	Copper drainage tube, (DWV), ASTM B306	X*	X	X*	X	X		X
17	Wrought copper and wrought alloy solder-joint drainage fittings. ASME B16.29	X	X	X	X	X		X
18	Cast copper alloy solder joint drainage fittings, DWV, ASME B16.23	X	X	X	X	X		X
19	Acrylonitrile-Butadiene-Styrene (ABS) plastic drain, waste, and vent pipe and fittings ASTM D2661, ASTM F628	X	X	X	X	X	X	
20	Polyvinyl Chloride plastic drain, waste and vent pipe and fittings, ASTM D2665, ASTM F891, (Sch 40) ASTM F1760	X	X	X	X	X	X	X
21	Process glass pipe and fittings, ASTM C1053						X	

TABLE I								
PIPE AND FITTING MATERIALS FOR DRAINAGE, WASTE, VENT AND CONDENSATE DRAIN PIPING SYSTEMS								
Item #	Pipe and Fitting Materials	SERVICE A	SERVICE B	SERVICE C	SERVICE D	SERVICE E	SERVICE F	SERVICE G
22	High-silicon content cast iron pipe and fittings (hub and spigot, and mechanical joint), ASTM A518/A518M		X			X	X	
23	Polypropylene (PP) waste pipe and fittings, ASTM D4101						X	
24	Filament-wound reinforced thermosetting resin (RTRP) pipe, ASTM D2996						X	
25	Cast Iron pipe and fittings, CEN EN 877 piping	X	X	X	X	X	X	
SERVICE: A - Underground Building Soil, Waste and Storm Drain B - Aboveground Soil, Waste, Drain In Buildings C - Underground Vent D - Aboveground Vent E - Interior Rainwater Conductors Aboveground F - Corrosive Waste And Vent Above And Belowground G - Condensate Drain Aboveground * - Hard Temper								

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SECTION 23 05 15

COMMON PIPING FOR HVAC

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 325 (2017) Steel Construction Manual

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A112.19.2/CSA B45.1 (2018; ERTA 2018) Standard for Vitreous China Plumbing Fixtures and Hydraulic Requirements for Water Closets and Urinals

ASME B16.1 (2020) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250

ASME B16.3 (2021) Malleable Iron Threaded Fittings, Classes 150 and 300

ASME B16.5 (2020) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard

ASME B16.9 (2018) Factory-Made Wrought Butt welding Fittings

ASME B16.25 (2022) Butt welding Ends

ASME B16.34 (2021) Valves - Flanged, Threaded and Welding End

ASME B16.39 (2020) Standard for Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300

ASME B31.3 (2022; Errata 2023) Process Piping

ASME B40.100 (2022) Pressure Gauges and Gauge Attachments

ASME BPVC SEC IX (2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications

ASME BPVC SEC VIII D1 (2019) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8/A5.8M	(2019) Specification for Filler Metals for Brazing and Braze Welding
AWS WHB-2.9	(2004) Welding Handbook; Volume 2, Welding Processes, Part 1

ASTM INTERNATIONAL (ASTM)

ASTM A6/A6M	(2024) Standard Specification for General Requirements for Rolled Structural Steel Bars, Plates, Shapes, and Sheet Piling
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A106/A106M	(2019a) Standard Specification for Seamless Carbon Steel Pipe for High-Temperature Service
ASTM A126	(2004; R 2023) Standard Specification for Gray Iron Castings for Valves, Flanges, and Pipe Fittings
ASTM A183	(2014; R 2020) Standard Specification for Carbon Steel Track Bolts and Nuts
ASTM A197/A197M	(2020; R 2023; E 2023) Standard Specification for Cupola Malleable Iron
ASTM A234/A234M	(2023a) Standard Specification for Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service
ASTM A276/A276M	(2024) Standard Specification for Stainless Steel Bars and Shapes
ASTM A307	(2021) Standard Specification for Carbon Steel Bolts, Studs, and Threaded Rod 60 000 PSI Tensile Strength
ASTM A312/A312M	(2022a) Standard Specification for Seamless, Welded, and Heavily Cold Worked Austenitic Stainless Steel Pipes
ASTM A563	(2021; E 2022a) Standard Specification for Carbon and Alloy Steel Nuts
ASTM B32	(2020) Standard Specification for Solder Metal
ASTM B62	(2017) Standard Specification for Composition Bronze or Ounce Metal Castings
ASTM B88	(2022) Standard Specification for Seamless Copper Water Tube

ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B370	(2022) Standard Specification for Copper Sheet and Strip for Building Construction
ASTM B749	(2020) Standard Specification for Lead and Lead Alloy Strip, Sheet and Plate Products
ASTM C67/C67M	(2023a) Standard Test Methods for Sampling and Testing Brick and Structural Clay Tile
ASTM C109/C109M	(2023) Standard Test Method for Compressive Strength of Hydraulic Cement Mortars (Using 2-in. or (50-mm) Cube Specimens)
ASTM C404	(2024) Standard Specification for Aggregates for Masonry Grout
ASTM C476	(2023) Standard Specification for Grout for Masonry
ASTM C553	(2013; R 2019) Standard Specification for Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM D2000	(2018) Standard Classification System for Rubber Products in Automotive Applications
ASTM E1	(2014) Standard Specification for ASTM Liquid-in-Glass Thermometers
ASTM E814	(2023a) Standard Test Method for Fire Tests of Penetration Firestop Systems
ASTM F104	(2011; R 2020) Standard Classification System for Nonmetallic Gasket Materials
ASTM F2389	(2023) Standard Specification for Pressure-rated Polypropylene (PP) Piping Systems
FLUID SEALING ASSOCIATION (FSA)	
FSA-0017	(1995e6) Standard for Non-Metallic Expansion Joints and Flexible Pipe Connectors Technical Handbook
INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)	
IEEE C2	(2023) National Electrical Safety Code

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)

MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
MSS SP-67	(2022) Butterfly Valves
MSS SP-70	(2011) Gray Iron Gate Valves, Flanged and Threaded Ends
MSS SP-72	(2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service
MSS SP-80	(2019) Bronze Gate, Globe, Angle and Check Valves
MSS SP-110	(2010) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and Flared Ends
MSS SP-125	(2010) Gray Iron and Ductile Iron In-Line, Spring-Loaded, Center-Guided Check Valves

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 7 2023; TIA 23-15) National Electrical Code

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-C-18480	(1982; Rev B; Notice 2 2009; Notice 3 2022) Coating Compound, Bituminous, Solvent, Coal-Tar Base

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

CID A-A-1922	(Rev A; Notice 1; Notice 2; Notice 3; Notice 4) Shield, Expansion (Caulking Anchors, Single Lead)
CID A-A-1923	(Rev A; Notice 1; Notice 2; Notice 3; Notice 4) Shield, Expansion (Lag, Machine and Externally Threaded Wedge Bolt Anchors)
CID A-A-1924	(Rev A; Notice 3) Shield, Expansion (Self Drilling Tubular Expansion Shell Bolt Anchors)
CID A-A-1925	(Rev A; Notice 1; Notice 2; Notice 3; Notice 4) Shield Expansion (Nail Anchors)
CID A-A-55614	(Basic; Notice 2) Shield, Expansion (Non-Drilling Expansion Anchors)
CID A-A-55615	(Basic; Notice 1; Notice 2; Notice 3; Notice 4) Shield, Expansion (Wood Screw and Lag Bolt Self-Threading Anchors)

UNDERWRITERS LABORATORIES (UL)

UL 1479

(2015; Reprint May 2021) Fire Tests of
Through-Penetration Firestops

1.2 GENERAL REQUIREMENTS

- a. Section 23 30 00 HVAC AIR DISTRIBUTION applies to work specified in this section
- b. Section 23 05 48.00 40 VIBRATION AND SEISMIC CONTROLS FOR HVAC PIPING AND EQUIPMENT applies to work specified in this section.
- c. Section 40 17 30.00 40 WELDING GENERAL PIPING applies to work specified in this section.
- d. Submit Records of Existing Conditions consisting of the results of Contractor's survey of work area conditions and features of existing structures and facilities within and adjacent to the jobsite. Commencement of work constitutes acceptance of the existing conditions.
- e. Include with Equipment Foundation Data for piping systems all plan dimensions of foundations and relative elevations, equipment weight and operating loads, horizontal and vertical clearances for installation, and size and location of anchor bolts.
- f. Submit Fabrication Drawings for pipes, valves and specialties consisting of fabrication and assembly details to be performed in the factory.
- g. Submit Material, Equipment, and Fixture Lists for pipes, valves and specialties including manufacturer's style or catalog numbers, specification and drawing reference numbers, warranty information, and fabrication site information. Provide a complete list of construction equipment to be used.
- h. Submit Manufacturer's Standard Color Charts for pipes, valves and specialties showing the manufacturer's recommended color and finish selections.
- i. Include with Listing of Product Installations for piping systems identification of at least 5 units, similar to those proposed for use, that have been in successful service for a minimum period of 5 years. Include in the list purchaser, address of installation, service organization, and date of installation.
- j. Submit Record Drawings for pipes, valves and accessories providing current factual information including deviations and amendments to the drawings, and concealed and visible changes in the work.
- k. Submit Connection Diagrams for pipes, valves and specialties indicating the relations and connections of devices and apparatus by showing the general physical layout of all controls, the interconnection of one system (or portion of system) with another, and internal tubing, wiring, and other devices.
- l. Submit Coordination Drawings for pipes, valves and specialties showing coordination of work between different trades and with the structural

and architectural elements of work. Detail all drawings sufficiently to show overall dimensions of related items, clearances, and relative locations of work in allotted spaces. Indicate on drawings where conflicts or clearance problems exist between various trades.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Material, Equipment, and Fixture Lists; G

SD-02 Shop Drawings

Record Drawings Coordination Drawings Installation Drawings; G

SD-03 Product Data

Pipe and Fittings; G

Piping Specialties; G

Valves; G

Miscellaneous Materials; G

Supporting Elements; G

Equipment Foundation Data SD-05 Design Data

Pipe and Fittings; G

Piping Specialties; G

Valves; G

SD-06 Test Reports

Hydrostatic Tests; G

Air Tests; G

Valve-Operating Tests System Operation Tests; G

SD-07 Certificates

Record of Satisfactory Field Operation; G

Listing of Product Installations; G

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

1.4 QUALITY ASSURANCE

1.4.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Provide standard products in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use includes applications of equipment and materials under similar circumstances and of similar size. Ensure the product has been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

1.4.2 Alternative Qualifications

Products having less than a two-year field service record are acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

1.4.3 Service Support

Ensure the equipment items are supported by service organizations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. Select service organizations that are reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.4.4 Manufacturer's Nameplate

Provide a nameplate on each item of equipment bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent is not acceptable.

1.4.5 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer.

1.4.5.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions are considered mandatory, the word "should" is interpreted as "shall." Reference to the "code official" is interpreted to mean the "Contracting Officer."

1.4.5.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative

requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, are applied as appropriate by the Contracting Officer and as authorized by his administrative cognizance and the FAR.

1.5 DELIVERY, STORAGE, AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.6 ELECTRICAL REQUIREMENTS

Furnish motors, controllers, disconnects and contactors with their respective pieces of equipment. Ensure motors, controllers, disconnects and contactors conform to and have electrical connections provided under Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Furnish internal wiring for components of packaged equipment as an integral part of the equipment. Extended voltage range motors is not permitted. Provide controllers and contactors with a maximum of 120 volt control circuits, and auxiliary contacts for use with the controls furnished. When motors and equipment furnished are larger than sizes indicated, include the cost of additional electrical service and related work under the section that specified that motor or equipment. Provide power wiring and conduit for field installed equipment under and conform to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

1.7 ELECTRICAL INSTALLATION REQUIREMENTS

Ensure electrical installations conform to IEEE C2, NFPA 70, and requirements specified herein.

1.7.1 New Work

1.7.2 Modifications to Existing Systems

Where existing mechanical systems and motor-operated equipment require modifications, provide electrical components under Division 26.

1.7.3 High Efficiency Motors

1.8 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Provide instructors thoroughly familiar with all parts of the installation and trained in operating theory as well as practical operation and maintenance work.

Give instruction during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished is as specified in the individual section. When more than four man-days of instruction are specified, use approximately half of the time

for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.9 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors.

PART 2 PRODUCTS

2.1 PIPE AND FITTINGS

Submit equipment and performance data for pipe and fittings consisting of corrosion resistance, life expectancy, gage tolerances, and grade line analysis. Submit design analysis and calculations consisting of surface resistance, rates of flow, head losses, inlet and outlet design, required radius of bend, and pressure calculations. Also include in data pipe size, shape, and dimensions, as well as temperature ratings, vibration and thrust limitations minimum burst pressures, shut-off and non-shock pressures and weld characteristics.

2.1.1 Type BCS, Black Carbon Steel

Ensure pipe 1/8 through 10 inches is Schedule 40 seamless or electric-resistance welded black carbon steel, conforming to ASTM A53/A53M, Type E, Grade B (electric-resistance welded) . Grade A should be used for permissible field bending, in both cases.

Ensure fittings 2 inches and under are 150-pounds per square inch, gage (psig) working steam pressure (wsp) banded black malleable iron screwed, conforming to ASTM A197/A197M and ASME B16.3.

Ensure unions 2 inches and under are 250 pounds per square inch, wsp female, screwed, black malleable iron with brass-to-iron seat, and ground joint, conforming to ASME B16.39.

Ensure fittings 2-1/2 inches and over are Steel butt weld, conforming to ASTM A234/A234M and ASME B16.9 to match pipe wall thickness.

Ensure flanges 2-1/2 inches and over are 150-pound forged-steel conforming to ASME B16.5, welding neck to match pipe wall thickness.

2.1.2 Type CPR, Copper

2.1.2.1 Type CPR-A, Copper Above Ground

Ensure tubing 2 inches and under is seamless copper tubing, conforming to ASTM B88, Type L (hard-drawn for all horizontal and all exposed vertical lines, annealed for concealed vertical lines).

Provide brazing rod with Classification BCuP-5, conforming to AWS A5.8/A5.8M.

Use solder, alloy Sb-5, conforming to ASTM B32.

2.1.3 Grooved Pipe Couplings and Fittings

Provide housing for all couplings, fabricated in two or more parts, of black, ungalvanized malleable iron castings. Ensure coupling gasket is molded synthetic rubber, conforming to ASTM D2000. Ensure coupling bolts are oval-neck, track-head type, with hexagonal heavy nuts conforming to ASTM A183.

Fabricate all pipe fittings used with couplings of black, ungalvanized malleable iron castings. Where a manufacturer's standard-size malleable iron fitting pattern is not available, approved fabricated fittings may be used.

Fabricate fittings from Schedule 40 or 0.75-inch wall ASTM A53/A53M, Grade B seamless steel pipe; long radius seamless welding fittings with wall thickness to match pipe, conforming to ASTM A234/A234M and ASME B16.9.

2.2 PIPING SPECIALTIES

Submit equipment and performance data for piping specialties consisting of corrosion resistance, life expectancy, gage tolerances, and grade line analysis. Submit design analysis and calculations consisting of surface resistance, rates of flow, head losses, inlet and outlet design, required radius of bend, and pressure calculations. Also include in data pipe size, shape, and dimensions, as well as temperature ratings, vibration and thrust limitations minimum burst pressures, shut-off and non-shock pressures and weld characteristics.

2.2.1 Air Separator

Air separated from converter discharge water is ejected by a reduced-velocity device vented to the compression tank.

Provide a commercially constructed separator, designed and certified to separate not less than 80 percent of entrained air on the first passage of water and not less than 80 percent of residual on each successive pass. Provide shop drawings detailing all piping connections proposed for this work.

Ensure the air separator is carbon steel, designed, fabricated, tested, and stamped in conformance with ASME BPVC SEC VIII D1 for service pressures not less than 125 psi.

2.2.2 Air Vents

Provide manual air vents using 3/8-inch globe valves.

Provide automatic air vents on pumps, mains, and where indicated using ball-float construction. Ensure the vent inlet is not less than 3/4-inch ips and the outlet not less than 1/4-inch ips. Orifice size is 1/8 inch. Provide corrosion-resistant steel trim conforming to ASTM A276/A276M. Fit vent with try-cock. Ensure vent discharges air at any pressure up to 150 psi. Ensure outlet is copper tube routed.

2.2.3 Compression Tank

Provide compression tank designed, fabricated, tested, and stamped for a

working pressure of not less than 125 psi in accordance with ASME BPVC SEC VIII D1. Ensure tank is hot-dip galvanized after fabrication to produce not less than 1.5 ounces of zinc coating per square foot of single-side surface.

Tank accessories include red-lined gage-glass complete with glass protectors and shutoff valves, air charger and drainer, and manual vent.

2.2.4 Dielectric Connections

Electrically isolate dissimilar pipe metals from each other by couplings, unions, or flanges commercially manufactured for that purpose and rated for the service pressure and temperature.

2.2.5 Expansion Vibration Isolation Joints

Construct single or multiple arch-flanged expansion vibration isolation joints of steel-ring reinforced chloroprene-impregnated cloth materials. Design joint to absorb the movement of the pipe sections in which installed with no detrimental effect on the pipe or connected equipment. Back flanges with ferrous-metal backing rings. Provide control rod assemblies to restrict joint movement. Coat all nonmetallic exterior surfaces of the joint with chlorosulphonated polyethylene. Provide grommets in limit bolt hole to absorb noise transmitted through the bolts.

Ensure joints are suitable for continuous-duty working temperature of at least 250 degrees F.

Fill arches with soft chloroprene.

Ensure joint, single-arch, movement limitations and size-related, pressure characteristics conform to FSA-0017.

2.2.6 Flexible Pipe

Construct flexible pipe vibration and pipe noise eliminators of wire-reinforced chloroprene-impregnated cloth and cord materials. Ensure the pipe is flanged. Provide all flanges backed with ferrous-metal backing rings. Coat nonmetallic exterior surfaces of the flexible pipe with an acid- and oxidation-resistant chlorosulphonated polyethylene. Rate the flexible pipe for continuous duty at 130 psi and 250 degrees F.

Ensure unit pipe lengths, face-to-face, are not less than the following:

<u>INSIDE DIAMETER</u>	<u>UNIT PIPE LENGTH</u>
To 2-1/2 inches, inclusive	12 inches
3 to 4 inches, inclusive	18 inches
5 to 12 inches, inclusive	24 inches
To 3 inches, inclusive	18 inches
4 to 10 inches, inclusive	24 inches
12 inches and larger	36 inches

2.2.7 Flexible Metallic Pipe

Ensure flexible pipe is the bellows-type with wire braid cover and designed, constructed, and rated in accordance with the applicable requirements of ASME B31.3.

Minimum working pressure rating is 100 psi at 300 degrees F.

Ensure minimum burst pressure is fourtimes working pressure at 300 degrees F. Bellows material is AISI Type 316L corrosion-resistant steel. Ensure braid is AISI 300 series corrosion-resistant steel wire.

Ensure welded end connections are Schedule 80 carbon steel pipe, conforming to ASTM A106/A106M, Grade B .

Provide threaded end connections; hex-collared Schedule 40, AISI Type 316L corrosion-resistant steel, conforming to ASTM A312/A312M.

Ensure flanged end connection rating and materials conform to specifications for system primary-pressure rating.

2.2.8 Pressure Gages

Ensure pressure gages conform to ASME B40.100 and to requirements specified herein. Pressure-gage size is 3-1/2 inches nominal diameter. Ensure case is corrosion-resistant steel, conforming to any of the AISI 300 series of ASTM A6/A6M, with an ASM No. 4 standard commercial polish or better. Equip gages with adjustable red marking pointer and damper-screw adjustment in inlet connection. Align service-pressure reading at midpoint of gage range. Ensure all gages are Grade B or better and be equipped with gage isolators.

Fit steam gages with black steel syphons and steam service pressure-rated gage cocks or valves.

2.2.9 Sight-Flow Indicators

Construct sight-flow indicators for pressure service on 3-inch ips and smaller of bronze with specially treated single- or double-glass sight windows and have a bronze, nylon, or tetrafluoroethylene rotating flow indicator mounted on an AISI Type 304 corrosion-resistant steel shaft. Body may have screwed or flanged end. Provide pressure- and temperature-rated assembly for the applied service. Flapper flow-type indicators are not acceptable.

2.2.10 Sleeve Couplings

Sleeve couplings for plain-end pipe consist of one steel middle ring, two steel followers, two chloroprene or Buna-N elastomer gaskets, and the necessary steel bolts and nuts.

2.2.11 Thermometers

Ensure thermometers conform to ASTM E1, except for being filled with a red organic liquid. Provide an industrial pattern armored glass thermometer, (well-threaded and seal-welded). Ensure thermometers installed 6 feet or higher above the floor have an adjustable angle body. Ensure scale is not less than 7 inches long and the case face is manufactured from manufacturer's standard polished aluminum or AISI 300 series polished

corrosion-resistant steel. Thermometer range is -40 to 40 degrees Fahrenheit. Provide thermometers with nonferrous separable wells. Provide lagging extension to accommodate insulation thickness.

2.2.12 Pump Suction Strainers

Provide a cast iron strainer body, rated for not less than 25 psig at 100 degrees F, with flanges conforming to ASME B16.1, Class 125. Strainer construction is such that there is a machined surface joint between body and basket that is normal to the centerline of the basket.

Ensure minimum ratio of open area of each basket to pipe area is 3 to 1. Provide a basket with AISI 300 series corrosion-resistant steel wire mesh with perforated backing.

Ensure mesh is capable of retaining all particles larger than 1,000 micrometer, with a pressure drop across the strainer body of not more than 0.5 psi when the basket is two-thirds dirty at maximum system flow rate. Provide reducing fittings from strainer-flange size to pipe size.

Provide a differential-pressure gage fitted with a two-way brass cock across the strainer.

Provide manual air vent cocks in cap of each strainer.

2.2.13 Line Strainers, Water Service

Provide Y-type strainers with removable basket. Ensure strainers in sizes 2-inch ips and smaller have screwed ends, in sizes 2-1/2-inch ips and larger, strainers have flanged ends. Ensure body working-pressure rating exceeds maximum service pressure of installed system by at least 50 percent. Ensure body has cast-in arrows to indicate direction of flow. Ensure all strainer bodies fitted with screwed screen retainers have straight threads and gasketed with nonferrous metal. For strainer bodies 2-1/2-inches and larger, fitted with bolted-on screen retainers, provide offset blowdown holes. Fit all strainers larger than 2-1/2-inches with manufacturer's standard ball-type blowdown valve. Ensure body material is cast bronze conforming to ASTM B62. Where system material is nonferrous, use nonferrous metal for the metal strainer body material.

Ensure minimum free-hole area of strainer element is equal to not less than 3.4 times the internal area of connecting piping. Strainer screens perforation size is not to exceed 0.045-inch. Ensure strainer screens have finished ends fitted to machined screen chamber surfaces to preclude bypass flow. Strainer element material is AISI Type 304 corrosion-resistant steel.

2.3 VALVES

Submit equipment and performance data for valves consisting of corrosion resistance and life expectancy. Submit design analysis and calculations consisting of rates of flow, head losses, inlet and outlet design, and pressure calculations. Also include in data, pipe dimensions, as well as temperature ratings, vibration and thrust limitations, minimum burst pressures, shut-off and non-shock pressures and weld characteristics.

Polypropylene valves will comply with the performance requirements of ASTM F2389. Valves shall conform to ASME B16.34.

2.3.1 Ball and Butterfly Valves

Ensure ball valves conform to MSS SP-72 for flanged valves and MSS SP-110 for screwed-end valves for Figure 1A 1 piece body, 1B vertically split body, 1C top entry 1D three piece body and are rated for service at not less than 175 psig at 200 degrees F. For valve bodies in sizes 2 inches and smaller, use screwed-end connection-type constructed of Class A copper alloy. For valve bodies in sizes 2-1/2 inches and larger, use flanged-end connection type, constructed of Class D material. Balls and stems of valves 2 inches and smaller are manufacturer's standard with hard chrome plating finish. Balls and stems of valves 2-1/2 inches and larger are manufacturer's standard Class C corrosion-resistant steel alloy with hard chrome plating. Balls of valves 6 inches and larger may be Class D with 900 Brinell hard chrome plating. Ensure valves are suitable for flow from either direction and seal equally tight in either direction. Valves with ball seals held in place by spring washers are not acceptable. Ensure all valves have adjustable packing glands. Seats and seals are fabricated from tetrafluoroethylene.

Ensure butterfly valves conform to MSS SP-67 and are the wafer type for mounting between specified flanges. Ensure valves are rated for 150-psig shutoff and nonshock working pressure. Select bodies of cast ferrous metal conforming to ASTM A126, Class B, and to ASME B16.1 for body wall thickness. Seats and seals are fabricated from resilient elastomer designed for field removal and replacement.

2.3.2 Drain, Vent, and Gage Cocks

Provide T-head drain, vent, and gage cocks, ground key type, with washer and screw, constructed of polished ASTM B62 bronze, and rated 125-psi wsp. Ensure end connections are rated for specified service pressure.

Ensure pump vent cocks, and where spray control is required, are UL umbrella-hood type, constructed of manufacturer's standard polished brass. Ensure cocks are 1/2-inch ips male, end threaded, and rated at not less than 125 psi at 225 degrees F.

2.3.3 Gate Valves (GAV)

Ensure gate valves 2 inches and smaller conform to MSS SP-80. For valves located in tunnels, equipment rooms, factory-assembled equipment, and where indicated use union-ring bonnet, screwed-end type. Make packing of non-asbestos type materials. Use rising stem type valves.

Ensure gate valves 2-1/2 inches and larger, are Type I, (solid wedge disc, tapered seats, steam rated); Class 125 (125-psig steam-working pressure at 353 degrees F saturation); and 200-psig, wog (nonshock), conforming to MSS SP-70 and to requirements specified herein. Select flanged valves, with bronze trim and outside screw and yoke (OS&Y) construction. Make packing of non-asbestos type materials.

2.3.4 Globe and Angle Valves (GLV-ANV)

Ensure globe and angle valves 2 inches and smaller, are 125-pound, 125-psi conforming to MSS SP-80 and to requirements specified herein. For valves located in tunnels, equipment rooms, factory-assembled equipment, and where indicated, use union-ring bonnet, screwed-end type. Ensure disc is free to swivel on the stem in all valve sizes. Composition seating-surface disc construction may be substituted for all metal-disc

construction. Make packing of non-asbestos type materials. Ensure disk and packing are suitable for pipe service installed.

Ensure globe and angle valves, 2-1/2 inches and larger, are cast iron with bronze trim. Ensure valve bodies are cast iron conforming to ASTM A126, Class A, as specified for Class 1 valves under MSS SP-80. Select flanged valves in conformance with ASME B16.1. Valve construction is outside screw and yoke (OS&Y) type. Make packing of non-asbestos type materials.

2.3.5 Standard Check Valves (SCV)

Ensure standard check valves in sizes 2 inches and smaller are 125-psi swing check valves except as otherwise specified. Provide lift checks where indicated. Ensure swing-check pins are nonferrous and suitably hard for the service. Select composition type discs. Ensure the swing-check angle of closure is manufacturer's standard unless a specific angle is needed.

Use cast iron, bronze trim, swing type check valves in sizes 2-1/2 inches and larger. Ensure valve bodies are cast iron, conforming to ASTM A126, Class A and valve ends are flanged in conformance with ASME B16.1. Swing-check pin is AISI Type or approved equal corrosion-resistant steel. Angle of closure is manufacturer's standard unless a specific angle is needed. Ensure valves have bolted and gasketed covers.

Provide check valves with external spring-loaded, positive-closure devices and valve ends are mechanical joint.

2.3.6 Nonslam Check Valves (NSV)

Provide check valves at pump discharges in sizes 2 inches and larger with nonslam or silent-check operation conforming to MSS SP-125. Select a valve disc or plate that closes before line flow can reverse to eliminate slam and water-hammer due to check-valve closure. Ensure valve is Class 125 rated for 200-psi maximum, nonshock pressure at 150 degrees F in sizes to 12 inches. Use valves that are wafer type to fit between flanges conforming to ASME B16.1. Valve body may be cast iron, or equivalent strength ductile iron. Select disks using manufacturer's standard bronze, aluminum bronze, or corrosion-resistant steel. Ensure pins, springs, and miscellaneous trim are manufacturer's standard corrosion-resistant steel. Disk and shaft seals are Buna-N elastomer tetrafluoroethylene.

2.4 MISCELLANEOUS MATERIALS

Submit equipment and performance data for miscellaneous materials consisting of corrosion resistance, life expectancy, gage tolerances, and grade line analysis.

2.4.1 Bituminous Coating

Ensure the bituminous coating is a solvent cutback, heavy-bodied material to produce not less than a 12-mil dry-film thickness in one coat, and is recommended by the manufacturer to be compatible with factory-applied coating and rubber joints.

For previously coal-tar coated and uncoated ferrous surfaces underground, use bituminous coating solvent cutback coal-tar type, conforming to MIL-C-18480.

2.4.2 Bolting

Ensure flange and general purpose bolting is hex-head and conforms to ASTM A307, Grade B (bolts, for flanged joints in piping systems where one or both flanges are cast iron). Heavy hex-nuts conform to ASTM A563. Square-head bolts and nuts are not acceptable. Ensure threads are coarse-thread series.

2.4.3 Elastomer Caulk

Use two-component polysulfide- or polyurethane-base elastomer caulking material, conforming to ASTM C920.

2.4.4 Escutcheons

Manufacture escutcheons from nonferrous metals and chrome-plated except when AISI 300 series corrosion-resistant steel is provided. Ensure metals and finish conforms to ASME A112.19.2/CSA B45.1.

Use one-piece escutcheons where mounted on chrome-plated pipe or tubing, and one-piece of split-pattern type elsewhere. Ensure all escutcheons have provisions consisting of internal spring-tension devices for maintaining a fixed position against a surface.

2.4.5 Flashing

Ensure sheetlead conforms to ASTM B749, UNS Alloy Number L50049 (intended for use in laboratories and shops in general application).

Ensure sheet copper conforms to ASTM B370 and be not less than 16 ounces per square foot weight.

2.4.6 Flange Gaskets

Provide compressed non-asbestos sheets, conforming to ASTM F104, coated on both sides with graphite or similar lubricant, with nitrile composition, binder rated to 750 degrees F.

2.4.7 Grout

Provide shrink-resistant grout as a premixed and packaged metallic-aggregate, mortar-grouting compound conforming to ASTM C404 and ASTM C476.

Ensure shrink-resistant grout is a combination of pre-measured and packaged epoxy polyamide or amine resins and selected aggregate mortar grouting compound conforming to the following requirements:

Tensile strength		1,900 psi, minimum
Compressive strength	ASTM C109/C109M	14,000 psi, minimum
Shrinkage, linear		0.00012 inch per inch, maximum
Water absorption	ASTM C67/C67M	0.1 percent, maximum
Bond strength to		1,000 psi, minimum steel in shear minimum

2.4.8 Pipe Thread Compounds

Use polytetrafluoroethylene tape not less than 2 to 3 mils thick in potable and process water and in chemical systems for pipe sizes to and including 1-inch ips. Use polytetrafluoroethylene dispersions and other suitable compounds for all other applications upon approval by the Contracting Officer; however, do not use lead-containing compounds in potable water systems.

2.5 SUPPORTING ELEMENTS

Submit equipment and performance data for the supporting elements consisting of corrosion resistance, life expectancy, gage tolerances, and grade line analysis.

Provide all necessary piping systems and equipment supporting elements, including but not limited to: building structure attachments; supplementary steel; hanger rods, stanchions, and fixtures; vertical pipe attachments; horizontal pipe attachments; anchors; guides; and spring-cushion, variable, or constant supports. Ensure supporting elements are suitable for stresses imposed by systems pressures and temperatures and natural and other external forces normal to this facility without damage to supporting element system or to work being supported.

Ensure supporting elements conform to requirements of ASME B31.3, and MSS SP-58, except as noted.

Ensure attachments welded to pipe are made of materials identical to that of pipe or materials accepted as permissible raw materials by referenced code or standard specification.

Ensure supporting elements exposed to weather are hot-dip galvanized or stainless steel. Select materials of such a nature that their apparent and latent-strength characteristics are not reduced due to galvanizing process. Electroplate supporting elements in contact with copper tubing with copper.

Type designations specified herein are based on MSS SP-58. Ensure masonry anchor group-, type-, and style-combination designations are in accordance with CID A-A-1922, CID A-A-1923, CID A-A-1924, CID A-A-1925, CID A-A-55614, and CID A-A-55615. Provide support elements, except for supplementary steel, that are cataloged, load rated, commercially manufactured products.

2.5.1 Building Structure Attachments

2.5.1.1 Anchor Devices, Concrete and Masonry

Ensure anchor devices conform to CID A-A-1922, CID A-A-1923, CID A-A-1924, CID A-A-1925, CID A-A-55614, and CID A-A-55615

For cast-in, floor mounted, equipment anchor devices, provide adjustable positions.

Provide built-in masonry anchor devices.

Do not use powder-actuated anchoring devices to support any mechanical systems components.

2.5.1.2 Beam Clamps

Ensure beam clamps are center-loading MSS SP-58 Type 28 .

When it is not possible to use center-loading beam clamps, eccentric-loading beam clamps, MSS SP-58 Type 20 may be used for piping sizes 2 inches and less and for piping sizes 2 through 10 inches provided two counterbalancing clamps are used per point of pipe support. Where more than one rod is used per point of pipe support, determine rod diameter in accordance with referenced standards.

2.5.1.3 C-Clamps

Do not use C-clamps.

2.5.1.4 Inserts, Concrete

Use concrete MSS SP-58 Type 18 inserts. When applied to piping in sizes 2 inches ips and larger and where otherwise required by imposed loads, insert and wire a 1-foot length of 1/2-inch reinforcing rod through wing slots. Submit proprietary-type continuous inserts for approval.

2.5.2 Horizontal Pipe Attachments

2.5.2.1 Single Pipes

Support piping in sizes to and including 2-inch ips by MSS SP-58 Type 6 solid malleable iron pipe rings, except that, use split-band-type rings in sizes up to 1-inch ips.

Support piping in sizes through 8-inch ips inclusive by MSS SP-58 Type 1 attachments.

Use MSS SP-58 Type 1 and Type 6 assemblies on vapor-sealed insulated piping and have an inside diameter larger than pipe being supported to provide adequate clearance during pipe movement.

Where thermal movement of a point in a piping system 4 inches and larger would cause a hanger rod to deflect more than 4 degrees from the vertical or where a horizontal point movement exceeds 1/2 inch, use MSS SP-58 Type 41 pipe rolls.

Support piping in sizes larger than 8-inch ips with MSS SP-58 Type 41 pipe rolls.

Use MSS SP-58 Type 40 shields on all insulated piping. Ensure area of the supporting surface is such that compression deformation of insulated surfaces does not occur. Roll away longitudinal and transverse shield edges from the insulation.

Provide insulated piping without vapor barrier on roll supports with MSS SP-58 Type 39 saddles.

Provide spring supports as indicated.

2.5.2.2 Parallel Pipes

Use trapeze hangers fabricated from structural steel shapes, with U-bolts, in congested areas and where multiple pipe runs occur. Ensure structural

steel shapes conform to supplementary steel requirements.

2.5.3 Vertical Pipe Attachments

Ensure vertical pipe attachments are MSS SP-58 Type 8.

Include complete fabrication and attachment details of any spring supports in shop drawings.

2.5.4 Hanger Rods and Fixtures

Use only circular cross section rod hangers to connect building structure attachments to pipe support devices. Use pipe, straps, or bars of equivalent strength for hangers only where approved by the Contracting Officer.

Provide turnbuckles, swing eyes, and clevises as required by support system to accommodate temperature change, pipe accessibility, and adjustment for load and pitch. Rod couplings are not acceptable.

2.5.5 Supplementary Steel

Where it is necessary to frame structural members between existing members or where structural members are used in lieu of commercially rated supports, design and fabricate such supplementary steel in accordance with AISC 325.

PART 3 EXECUTION

3.1 PIPE INSTALLATION

Submit certificates for pipes, valves and specialties showing conformance with test requirements as contained in the reference standards contained in this section. Provide certificates verifying Surface Resistance, Shear and Tensile Strengths, Temperature Ratings, Bending Tests, Flattening Tests and Transverse Guided Weld Bend Tests.

Provide test reports for Hydrostatic Tests, Air Tests, Valve-Operating Tests, Drainage Tests, Pneumatic Tests, Non-Destructive Electric Tests and System Operation Tests, in compliance with referenced standards contained within this section.

Fabricate and install piping systems in accordance with ASME B31.3, MSS SP-58, and AWS WHB-2.9.

Submit Installation Drawings for pipes, valves and specialties. Drawings include the manufacturer's design and construction calculations, forces required to obtain rated axial, lateral, or angular movements, installation criteria, anchor and guide requirements for equipment, and equipment room layout and design. Ensure drawings specifically advise on procedures to be followed and provisions required to protect expansion joints during specified hydrostatic testing operations.

Ensure connections between steel piping and copper piping are electrically isolated from each other. Dielectric pipe unions shall be installed to prevent galvanic corrosion. The dielectric unions shall have metal connections on both ends. The ends shall be threaded, flanged, or brazed to match adjacent piping. The metal parts of the union shall be separated so that the electrical current is below one percent of the galvanic

current which would exist upon metal-to-metal contact. Gaskets, flanges, and unions shall be installed in accordance with manufacturer's recommendations.

Provide dielectric nipples between steel piping and copper piping to reduce galvanic corrosion. Dielectric nipples shall be Schedule 40 galvanized steel conforming to ASTM A53/A53M with inert, non-corrosive thermoplastic lining. For pipe sizes 2 inches ips smaller, a bronze ball valve can be used between dissimilar metals.

Make final connections to equipment with unions installed every 100 feet of straight run. Install unions in the line downstream of screwed- and welded-end valves.

Ream all pipe ends before joint connections are made.

Make screwed joints with specified joint compound with not more than three threads showing after joint is made up.

Apply joint compounds to the male thread only and exercise care to prevent compound from reaching the unthreaded interior of the pipe.

Install screwed unions, welded unions, or bolted flanges wherever required to permit convenient removal of equipment, valves, and piping accessories from the piping system for maintenance.

Securely support piping systems with due allowance for thrust forces, thermal expansion and contraction. Do not subject the system to mechanical, chemical, vibrational or other damage as specified in ASME B31.3.

Ensure field welded joints conform to the requirements of the AWS WHB-2.9, ASME B31.3, and ASME BPVC SEC IX.

Make piping systems butt weld joints with backing rings. Use compatible backing ring materials with materials being joined. Ensure joint configuration conforms to ASME B16.25.

For polypropylene pipe, make fusion-weld joints in accordance with the pipe and fitting manufacturer's specifications and product standards. Use fusion-weld tooling, welding machines, and electrofusion devices specified by the pipe and fittings manufacturer. Prior to joining, prepare the pipe and fittings in accordance with ASTM F2389 and the manufacturer's specifications. Ensure joint preparation, setting and alignment, fusion process, cooling times and working pressure are in accordance with the pipe and fitting manufacturer's specifications.

Accomplish preheat and postheat treatment of welds in accordance with ASME BPVC SEC IX and ASME B31.3.

Take all necessary precautions during installation of flexible pipe and hose including flushing and purging with water, steam, and compressed air to preclude bellows failure due to pipe line debris lodged in bellows. Ensure installation conforms to manufacturer's instructions.

3.2 VALVES

Install valves in piping mains and all branches and at equipment where indicated and as specified.

Install valves to permit isolation of branch piping and each equipment item from the balance of the system.

Install riser and downcomer drains above piping shutoff valves in piping 2-1/2 inches and larger. Tap and fit shutoff valve body with a 1/2-inch plugged globe valve.

Install valves unavoidably located in furred or other normally inaccessible places with access panels adequately sized for the location and located so that concealed items may be serviced, maintained, or replaced.

3.3 SUPPORTING ELEMENTS INSTALLATION

Install supporting elements in accordance with the referenced codes and standards.

Support piping from building structure. Do not support piping from roof deck or from other pipe.

Run piping parallel with the lines of the building. Space and install piping and components so that a threaded pipe fitting may be removed between adjacent pipes and so that there is no less than 1/2 inch of clear space between the finished surface and other work and between the finished surface of parallel adjacent piping. Arrange hangers on different adjacent service lines running parallel with each other in line with each other and parallel to the lines of the building.

Install piping support elements at intervals specified hereinafter, at locations not more than 3 feet from the ends of each runout, and not over 1 foot from each change in direction of piping.

Base load rating for all pipe-hanger supports on insulated weight of lines filled with water and forces imposed. Deflection per span is not exceed slope gradient of pipe. Ensure supports are in accordance with the following minimum rod size and maximum allowable hanger spacing for specified pipe. For concentrated loads such as valves, reduce the allowable span proportionately:

<u>PIPE SIZE</u> <u>INCHES</u>	<u>ROD SIZE</u> <u>INCHES</u>	<u>STEEL PIPE</u> <u>FEET</u>	<u>COPPER PIPE</u> <u>FEET</u>
1 and smaller	3/8	8	6
1-1/4 to 1-1/2	3/8	10	8
2	3/8	10	8
2-1/2 to 3-1/2	1/2	12	12
4 to 5	5/8	16	14
6	3/4	16	16
8 to 12	7/8	20	20
14 to 18	1	20	20

PIPE SIZE INCHES	ROD SIZE INCHES	STEEL PIPE FEET	COPPER PIPE FEET
20 and over	1-1/4	20	20

Install vibration isolation supports where needed. Refer to Section 23 05 48.00 40 VIBRATION AND SEISMIC CONTROLS FOR HVAC PIPING AND EQUIPMENT where A/C equipment and piping is installed.

Support vertical risers independently of connected horizontal piping, whenever practicable, with fixed or spring supports at the base and at intervals to accommodate system range of thermal conditions. Ensure risers have guides for lateral stability. For risers subject to expansion, install only one rigid support at a point approximately one-third down from the top. Place clamps under fittings unless otherwise specified. Support carbon-steel pipe at each floor and at not more than 15-foot intervals for pipe 2 inches and smaller and at not more than 20-foot intervals for pipe 2-1/2 inches and larger.

3.4 PENETRATIONS

Install effective sound stopping and adequate operating clearance to prevent structure contact where piping penetrates walls, floors, or ceilings into occupied spaces adjacent to equipment rooms; where similar penetrations occur between occupied spaces; and where penetrations occur from pipe chases into occupied spaces. Occupied spaces include space above ceilings where no special acoustic treatment of ceiling is provided. Finish penetrations to be compatible with surface being penetrated.

Accomplish sound stopping and vapor-barrier sealing of pipe shafts and large floor and wall openings by packing to high density with properly supported fibrous-glass insulation or, where ambient or surface temperatures do not exceed 120 degrees F, by foaming-in-place with self-extinguishing, 2-pound density polyurethane foam to a depth not less than 6 inches. Finish foam with a rasp. Ensure vapor barrier is not less than 1/8-inch thick vinyl coating applied to visible and accessible surfaces. Where high temperatures and fire stopping are a consideration, use only mineral wool with openings covered by 16-gage sheet metal.

3.5 SLEEVES

Install sleeves where piping passes through roofs, masonry, concrete walls and floors.

Continuously weld sleeves passing through steel decks to the deck.

Ensure sleeves that extend through floors, roofs, load bearing walls, and fire barriers are continuous and fabricated from Schedule 40 steel pipe, with welded anchor lugs. Form all other sleeves by molded linear polyethylene liners or similar materials that are removable. Ensure diameter of sleeves is large enough to accommodate pipe, insulation, and jacketing without touching the sleeve and provides a minimum 3/8-inch clearance. Install a sleeve size to accommodate mechanical and thermal motion of pipe precluding transmission of vibration to walls and the generation of noise.

Pack the space between a pipe, bare or insulated, and the inside of a pipe sleeve or a construction surface penetration solid with a mineral fiber conforming to ASTM C553 Type V (flexible blanket), to 1,000 degrees F.

Install this packing wherever the piping passes through firewalls, equipment room walls, floors, and ceilings connected to occupied spaces, and other locations where sleeves or construction-surface penetrations occur between occupied spaces. Where sleeves or construction surface penetrations occur between conditioned and unconditioned spaces, fill the space between a pipe, bare or insulated, and the inside of a pipe sleeve or construction surface penetration with an elastomer caulk to a depth of 1/2 inch. Ensure all caulked surfaces are oil- and grease-free.

Ensure through-penetration fire stop materials and methods are in accordance with ASTM E814 and UL 1479.

Caulk exterior wall sleeves watertight with lead and oakum or mechanically expandable chloroprene inserts with mastic-sealed metal components.

Ensure sleeve height above roof surface is a minimum of 12 and a maximum of 18-inches.

3.6 ESCUTCHEONS

Install escutcheons at all penetrations of piping into finished areas. Where finished areas are separated by partitions through which piping passes, install escutcheons on both sides of the partition. Where suspended ceilings are installed, install plates at the underside only of such ceilings. For insulated pipes, select plates large enough to fit around the insulation. Use chrome-plated escutcheons in all occupied spaces and of size sufficient to effectively conceal openings in building construction. Firmly attach escutcheons with setscrews.

3.7 FLASHINGS

Install flashings at penetrations of building boundaries by mechanical systems and related work.

3.8 DISINFECTION

Disinfect water piping, including all valves, fittings, and other devices, with a solution of chlorine and water. Ensure the solution contains not less than 50 parts per million (ppm) of available chlorine. Hold solution for a period of not less than 8 hours, after which the solution contains not less than 10 ppm of available chlorine or redisinfect the piping. After successful sterilization, thoroughly flush the piping before placing into service. Flushing is complete when the flush water contains less than 0.5 ppm of available chlorine. Water for disinfected will be furnished by the Government. Approve disposal of contaminated flush water in accordance with written instructions received from the Environmental authority having jurisdiction through the Contracting Officer and all local, State and Federal Regulations.

Flush piping with potable water until visible grease, dirt and other contaminants are removed (visual inspection).

3.9 OPERATION AND MAINTENANCE

Provide Operation and Maintenance Manuals consistent with manufacturer's standard brochures, schematics, printed instructions, general operating procedures and safety precautions. Submit test data that is clear and readily legible.

3.10 PAINTING OF NEW EQUIPMENT

Factory or shop apply new equipment painting, as specified herein, and provided under each individual section.

3.10.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied withstands 125 hours in a salt-spray fog test, except that equipment located outdoors withstand 500 hours in a salt-spray fog test. Conduct salt-spray fog test is in accordance with ASTM B117, and for that test the acceptance criteria is as follows: immediately after completion of the test, the inspected paint shows no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen shows no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

Ensure the film thickness of the factory painting system applied on the equipment is not less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, design the factory painting system for the temperature service.

3.10.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except clean to bare metal, surfaces subject to temperatures in excess of 120 degrees F.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Selected color of finish coat is aluminum or light gray.

- a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F receives one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat.
- b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F Receives two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.

-- End of Section --

SECTION 23 05 93

TESTING, ADJUSTING, AND BALANCING FOR HVAC

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

AMCA 203 (1990; R 2011) Field Performance
Measurements of Fan Systems

ASSOCIATED AIR BALANCE COUNCIL (AABC)

AABC MN-1 (2002; 6th ed) National Standards for
Total System Balance

AABC MN-4 (1996) Test and Balance Procedures

NATIONAL ENVIRONMENTAL BALANCING BUREAU (NEBB)

NEBB MASV (2006) Procedural Standards for
Measurements and Assessment of Sound and
Vibration

NEBB PROCEDURAL STANDARDS (2015) Procedural Standards for TAB
(Testing, Adjusting and Balancing)
Environmental Systems

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION
(SMACNA)

SMACNA 1780 (2002) HVAC Systems - Testing, Adjusting
and Balancing, 3rd Edition

SMACNA 1858 (2004) HVAC Sound And Vibration Manual -
First Edition

SMACNA 1972 CD (2012) HVAC Air Duct Leakage Test Manual -
2nd Edition

1.2 DEFINITIONS

- a. AABC: Associated Air Balance Council
- b. COTR: Contracting Officer's Technical Representative
- c. DALT: Duct air leakage test
- d. DALT'd: Duct air leakage tested
- e. HVAC: Heating, ventilating, and air conditioning; or heating,

ventilating, and cooling

- f. NEBB: National Environmental Balancing Bureau
- g. Out-of-tolerance data: Pertains only to field acceptance testing of Final DALT or TAB report. When applied to DALT work, this phase means When applied to TAB work this phase means "a measurement taken during TAB field acceptance testing which does not fall within the range of plus 5 to minus 5 percent of the original measurement reported on the TAB Report for a specific parameter."
- h. Season of maximum heating load: The time of year when the outdoor temperature at the project site remains within plus or minus 30 degrees Fahrenheit of the project site's winter outdoor design temperature, throughout the period of TAB data recording.
- i. Season of maximum cooling load: The time of year when the outdoor temperature at the project site remains within plus or minus 5 degrees Fahrenheit of the project site's summer outdoor design temperature, throughout the period of TAB data recording.
- j. Season 1, Season 2: Depending upon when the project HVAC is completed and ready for TAB, Season 1 is defined, thereby defining Season 2. Season 1 could be the season of maximum heating load, or the season of maximum cooling load.
- k. Sound measurements terminology: Defined in AABC MN-1, NEBB MASV, or SMACNA 1858 (TABB).
- l. TAB: Testing, adjusting, and balancing (of HVAC systems)
- m. TAB'd: HVAC Testing/Adjusting/Balancing procedures performed
- n. TAB Agency: TAB Firm
- r. TABB: Testing Adjusting and Balancing Bureau

1.2.1 Similar Terms

In some instances, terminology differs between the Contract and the TAB Standard primarily because the intent of this Section is to use the industry standards specified, along with additional requirements listed herein to produce optimal results.

The following table of similar terms is provided for clarification only. Contract requirements take precedent over the corresponding AABC, NEBB, or TABB requirements where differences exist.

SIMILAR TERMS			
Contract Term	AABC Term	NEBB Term	TABB Term
TAB Standard	National Standards for Testing and Balancing Heating, Ventilating, and Air Conditioning Systems	Procedural Standards for Testing, Adjusting and Balancing of Environmental Systems	International Standards for Environmental Systems Balance
TAB Specialist	TAB Engineer	TAB Supervisor	TAB Supervisor
Systems Readiness Check	Construction Phase Inspection	Field Readiness Check & Preliminary Field Procedures	Field Readiness Check & Prelim. Field Procedures

1.3 WORK DESCRIPTION

The work includes duct air leakage testing (DALT) and testing, adjusting, and balancing (TAB) of new and existing heating, ventilating, and cooling (HVAC) air and water distribution systems including equipment and performance data, ducts, and piping which are located within, on, under, between, and adjacent to buildings.

Perform TAB in accordance with the requirements of the TAB procedural standard recommended by the TAB trade association that approved the TAB Firm's qualifications. Comply with requirements of AABC MN-1, NEBB PROCEDURAL STANDARDS, or SMACNA 1780 (TABB) as supplemented and modified by this specification section. All recommendations and suggested practices contained in the TAB procedural standards are considered mandatory.

Conduct DALT and TAB of the indicated existing systems and equipment and submit the specified DALT and TAB reports for approval. Conduct DALT testing in compliance with the requirements specified in SMACNA 1972 CD, except as supplemented and modified by this section. Conduct DALT and TAB work in accordance with the requirements of this section.

1.3.1 Air Distribution Systems

Test, adjust, and balance systems (TAB) in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to exterior of air distribution systems as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

1.3.2 Water Distribution Systems

TAB systems in compliance with this section. Obtain Contracting Officer's written approval before applying insulation to water distribution systems as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. At Contractor's option and with Contracting Officer's written approval, the piping systems may be insulated before systems are TAB'd.

Terminate piping insulation immediately adjacent to each flow control

valve, automatic control valve, or device. Seal the ends of pipe insulation and the space between ends of pipe insulation and piping, with waterproof vapor barrier coating.

After completion of work under this section, insulate the flow control valves and devices as specified under Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

1.3.3 TAB SCHEMATIC DRAWINGS

Show the following information on TAB Schematic Drawings:

1. A unique number or mark for each piece of equipment or terminal.
2. Air quantities at air terminals.
3. Air quantities and temperatures in air handling unit schedules.
4. Water quantities and temperatures in thermal energy transfer equipment schedules.
5. Water quantities and heads in pump schedules.
6. Water flow measurement fittings and balancing fittings.

Submit three copies of the TAB Schematic Drawings and Report Forms to the Contracting Officer, no later than 21 days prior to the start of TAB field measurements.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Records of Existing Conditions; G

SD-02 Shop Drawings

TAB Schematic Drawings and Report Forms; G

SD-03 Product Data

Equipment and Performance Data; G

TAB Related HVAC Submittals; G

A list of the TAB Related HVAC Submittals, no later than 7 days after the approval of the TAB team engineer.

SD-06 Test Reports

Completed Pre-Final DALT Report; G

SD-07 Certificates

Independent TAB Agency and Personnel Qualifications; G

DALT and TAB Submittal and Work Schedule; G

TAB Pre-Field Engineering Report; G

1.5 QUALITY ASSURANCE

1.5.1 Independent TAB Agency and Personnel Qualifications

To secure approval for the proposed agency, submit information certifying that the TAB agency is a first tier subcontractor who is not affiliated with any other company participating in work on this contract, including design, furnishing equipment, or construction. Further, submit the following, for the agency, to Contracting Officer for approval:

a. Independent AABC or NEBB or TABB TAB agency:

TAB agency: AABC registration number and expiration date of current certification; or NEBB certification number and expiration date of current certification; or TABB certification number and expiration date of current certification.

TAB team supervisor: Name and copy of AABC or NEBB or TABB TAB supervisor certificate and expiration date of current certification.

TAB team field leader: Name and documented evidence that the team field leader has satisfactorily performed full-time supervision of TAB work in the field for not less than 3 years immediately preceding this contract's bid opening date.

TAB team field technicians: Names and documented evidence that each field technician has satisfactorily assisted a TAB team field leader in performance of TAB work in the field for not less than one year immediately preceding this contract's bid opening date.

Current certificates: Registrations and certifications are current, and valid for the duration of this contract. Renew Certifications which expire prior to completion of the TAB work, in a timely manner so that there is no lapse in registration or certification. TAB agency or TAB team personnel without a current registration or current certification are not to perform TAB work on this contract.

b. TAB Team Members: TAB team approved to accomplish work on this contract are full-time employees of the TAB agency. No other personnel is allowed to do TAB work on this contract.

c. Replacement of TAB team members: Replacement of members may occur if each new member complies with the applicable personnel qualifications and each is approved by the Contracting Officer.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 WORK DESCRIPTIONS OF PARTICIPANTS

Comply with requirements of this section.

3.2 PRE-DALT/TAB MEETING

Meet with the Contracting Officer's technical representative (COTR) and the designing engineer of the HVAC systems to develop a mutual understanding relative to the details of the DALT work and TAB work requirements. Ensure that the TAB supervisor is present at this meeting. Requirements to be discussed include required submittals, work schedule, and field quality control.

3.3 DALT PROCEDURES

3.3.1 Instruments, Consumables and Personnel

Provide instruments, consumables and personnel required to accomplish the DALT field work. Follow the same basic procedure specified below for TAB Field Work, including maintenance and calibration of instruments, accuracy of measurements, preliminary procedures, field work, workmanship and treatment of deficiencies. Calibrate and maintain instruments in accordance with manufacturer's written procedures.

3.3.2

On completion of the installation of each duct system indicated to be DALT'd, notify the Contracting Officer in writing prior to the COTR's duct selection field visit.

3.3.3 Ductwork To Be DALT'd

From each duct system indicated as subject to DALT, the COTR will randomly select sections of each completed duct system for testing by the Contractor's TAB Firm. The sections selected will not exceed 20 percent of the total measured linear footage of duct systems indicated as subject to DALT. Sections of duct systems subject to DALT will include 20 percent of main ducts, branch main ducts, branch ducts and plenums for supply, return, exhaust, and plenum ductwork.

It is acceptable for an entire duct system to be DALT'd instead of disassembling that system in order to DALT only the 20 percent portion specified above.

3.3.4 DALT Testing

Perform DALT on the HVAC duct sections of each system as selected by the COTR. Use the duct class, seal class, leakage class and the leak test pressure data indicated on the drawings, to comply with the procedures specified in SMACNA 1972 CD.

In spite of specifications of SMACNA 1972 CD to the contrary, DALT ductwork of construction class of 3-inch water gauge static pressure and below if indicated to be DALT'd. Complete DALT work on the COTR selected ductwork within 48 hours after the particular ductwork was selected for DALT. Separately conduct DALT work for large duct systems to enable the DALT work to be completed in 48 hours.

3.3.5 Completed Pre-Final DALT Report

After completion of the DALT work, prepare a Pre-final DALT Report meeting the additional requirements specified in Appendix B REPORTS - DALT and TAB. Data required by those data report forms shall be furnished by the TAB team. Prepare the report neatly and legibly; the Pre-final DALT report shall provide the basis for the Final DALT Report.

TAB supervisor shall review, approve and sign the Pre-Final DALT Report and submit this report within one day of completion of DALT field work. Verbally notify the COTR that the field check of the Pre-Final DALT Report data can commence. After completion of the DALT work, prepare a Pre-final DALT Report using the reporting forms specified. TAB team to furnish data required by those data report forms. Prepare the report neatly and legibly; the Pre-final DALT report is the basis for the Final DALT Report. TAB supervisor must review and certify the Pre-final DALT Report and submit this report within one day of completion of DALT field work. Verbally notify the COTR that the field check of the Pre-final DALT Report data can commence.

3.3.6 Quality Assurance - COTR DALT Field Acceptance Testing

In the presence of the COTR and TAB team field leader, verify for accuracy Pre-final DALT Report data selected by the COTR. For each duct system, this acceptance testing shall be conducted on a maximum of 50 percent of the duct sections DALT'd.

Further, if any data on the Pre-final DALT report form for a given duct section is out-of-tolerance, then field acceptance testing shall be conducted on data for one additional duct section, preferably in the same duct system, in the presence of the COTR.

3.3.7 Additional COTR Field Acceptance Testing

If any of the duct sections checked for a given system are determined to have a leakage rate measured that exceeds the leakage rate allowed by SMACNA Leak Test Manual for an indicated duct construction class and sealant class, terminate data checking for that section. The associated Pre-final DALT Report data for the given duct system will be disapproved. Make the necessary corrections and prepare a revised Pre-final DALT Report. Reschedule a field check of the revised report data with the COTR.

3.3.8 Certified Final DALT Report

On successful completion of all field checks of the Pre-final DALT Report data for all systems, the TAB Supervisor is to assemble, review, certify and submit the Final DALT Report to the Contracting Officer for approval. On successful completion of all field checks of the Pre-Final DALT Report data for all systems, the TAB Supervisor shall assemble, review, approve, sign and submit the Final DALT Report in compliance with Appendix B REPORTS - DALT and TAB to the Contracting Officer for approval.

3.3.9 Prerequisite for TAB Field Work

Do not commence TAB field work prior to the completion and approval, for all systems, of the Final DALT Report.

3.4 TAB PROCEDURES

3.4.1 TAB Field Work

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents.

That is, comply with the the requirements of AABC MN-1 or SMACNA 1780 (TABB) and SMACNA 1858 (TABB), except as supplemented and modified by this section.

Provide instruments and consumables required to accomplish the TAB work. Calibrate and maintain instruments in accordance with manufacturer's written procedures.

Test, adjust, and balance the HVAC systems until measured flow rates (air and water flow) are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. Conduct TAB work, including measurement accuracy, and sound measurement work in conformance with the AABC MN-1 and AABC MN-4, or NEBB TABES and NEBB MASV, or SMACNA 1780 (used by TABB) and SMACNA 1858 sound measurement procedures, except as supplemented and modified by this section. The only water flow and air flow reporting which can be deferred until the Season 2 is that data which would be affected in terms of accuracy due to outside ambient conditions.

3.4.2 Preliminary Procedures

Use the approved pre-field engineering report as instructions and procedures for accomplishing TAB field work. TAB engineer is to locate, in the field, test ports required for testing. It is the responsibility of the sheet metal contractor to provide and install test ports as required by the TAB engineer.

3.4.3 TAB Air Distribution Systems

3.4.3.1 Units With Coils

Report heating and cooling performance capacity tests for hot water, chilled water, DX and steam coils for the purpose of verifying that the coils meet the indicated design capacity. Submit the following data and calculations with the coil test reports:

- a. For air handlers with capacities greater than 7.5 tons (90,000 Btu) cooling, such as factory manufactured units, central built-up units and rooftop units, conduct capacity tests in accordance with AABC MN-4, procedure 3.5, "Coil Capacity Testing."

Do not determine entering and leaving wet and dry bulb temperatures by single point measurement, but by the average of multiple readings in compliance with paragraph 3.5-5, "Procedures", (in subparagraph d.) of AABC MN-4, Procedure 3.5, "Coil Capacity Testing."

Submit part-load coil performance data from the coil manufacturer converting test conditions to design conditions; use the data for the purpose of verifying that the coils meet the indicated design capacity in compliance with AABC MN-4, Procedure 3.5, "Coil Capacity Testing," paragraph 3.5.7, "Actual Capacity Vs. Design Capacity" (in

subparagraph c.).

- b. For units with capacities of 7.5 tons (90,000 Btu) or less, such as fan coil units, duct mounted reheat coils associated with VAV terminal units, and unitary units, such as through-the-wall heat pumps:

Determine the apparent coil capacity by calculations using single point measurement of entering and leaving wet and dry bulb temperatures; submit the calculations with the coil reports.

3.4.3.2 Air Handling Units

Air handling unit systems including fans (air handling unit fans, exhaust fans and winter ventilation fans), coils, ducts, plenums, mixing boxes, terminal units, variable air volume boxes, and air distribution devices for supply air, return air, outside air, mixed air relief air, and makeup air.

3.4.3.3 Rooftop Air Conditioning

Rooftop air conditioning systems including fans, coils, ducts, plenums, and air distribution devices for supply air, return air, and outside air.

For refrigeration compressors/condensers/condensing units/evaporators, report data as required by NEBB, AABC, and TABB standard procedures, including refrigeration operational data.

3.4.3.4 Heating and Ventilating Units

Heating and ventilating unit systems including fans, coils, ducts, plenums, roof vents, registers, diffusers, grilles, and louvers for supply air, return air, outside air, and mixed air.

3.4.3.5 Makeup Air Units

Makeup air unit systems including fans, coils, ducts, plenums, registers, diffusers, grilles, and louvers for supply air, return air, outside air, and mixed air.

3.4.3.6 Exhaust Fans

Exhaust fan systems including fans, ducts, plenums, grilles, and hoods for exhaust air.

3.4.4 TAB Water Distribution Systems

3.4.4.1 Heating Hot Water

Heating hot water systems including boilers, hot water converters (e.g., heat exchangers), pumps, coils, system balancing valves and flow measuring devices.

3.4.5 TAB Work on Performance Tests With Seasonal Limitations

3.4.5.1 Performance Tests

Accomplish proportional balancing TAB work on the air distribution systems and water distribution systems, in other words, accomplish adjusting and balancing of the air flows and water flows, any time during the duration

of this contract, subject to the limitations specified elsewhere in this section. However, accomplish, within the following seasonal limitations, TAB work on HVAC systems which directly transfer thermal energy. Accomplish proportionate balancing TAB work on the air distribution systems and water distribution systems, in other words, accomplish adjusting and balancing of the air flows and water flows, any time during the duration of this contract, subject to the limitations specified elsewhere in this section. However, accomplish, within the following seasonal limitations, TAB work on HVAC systems which directly transfer thermal energy.

3.4.5.2 Season Of Maximum Load

Visit the contract site for at least two TAB work sessions for Season 1 and Season 2 field measures. Visit the contract site during the season of maximum heating load and visit the contract site during the season of maximum cooling load, the goal being to TAB the operational performance of the heating systems and cooling systems under their respective maximum outdoor environment-caused loading. During the seasonal limitations, TAB the operational performance of the heating systems and cooling systems. Visit the contract site for at least two TAB work sessions for TAB field measurements. Visit the contract site during the season of maximum heating load and visit the contract site during the season of maximum cooling load, the goal being to TAB the operational performance of the heating systems and cooling systems under their respective maximum outdoor environment-caused loading. During the seasonal limitations, TAB the operational performance of the heating systems and cooling systems.

3.4.5.3 Ambient Temperatures

On each tab report form used for recording data, record the outdoor and indoor ambient dry bulb temperature range and the outdoor and indoor ambient wet bulb temperature range within which the report form's data was recorded. Record these temperatures at beginning and at the end of data taking.

3.4.5.4 Sound Measurements

Comply with the paragraph SOUND MEASUREMENT WORK, specifically, the requirement that a room must be operating in its noisiest mode at the time of sound measurements in the room. The maximum noise level measurements could depend on seasonally related heat or cooling transfer equipment.

3.4.5.5 Coils

Report heating and cooling performance capacity tests for hot water coils for the purpose of verifying that the coils meet the indicated design capacity. Submit the following data and calculations with the coil test reports:

- a. For Central station air handlers with capacities greater than 7.5 tons (90,000 Btu) cooling, such as factory manufactured units, central built-up units and rooftop units, conduct capacity tests in accordance with AABC MN-4, procedure 3.5, "Coil Capacity Testing."

Entering and leaving wet and dry bulb temperatures are not determined by single point measurement, but by the average of multiple readings in compliance with paragraph 3.5-5, "Procedures", (in subparagraph d.) of AABC MN-4, Procedure 3.5, "Coil Capacity Testing."

Submit part-load coil performance data from the coil manufacturer converting test conditions to design conditions; use the data for the purpose of verifying that the coils meet the indicated design capacity in compliance with AABC MN-4, Procedure 3.5, "Coil Capacity Testing," paragraph 3.5.7, "Actual Capacity Vs. Design Capacity" (in subparagraph c.).

- b. For units with capacities of 7.5 tons (90,000 Btu) or less, such as fan coil units, duct mounted reheat coils associated with VAV terminal units, and unitary units, such as through-the-wall heat pumps:

Determine the apparent coil capacity by calculations using single point measurement of entering and leaving wet and dry bulb temperatures; submit the calculations with the coil reports.

3.4.6 Workmanship

Conduct TAB work on the HVAC systems until measured flow rates are within plus or minus 5 percent of the design flow rates as specified or indicated on the contract documents. This TAB work includes adjustment of balancing valves, balancing dampers, and sheaves. Further, this TAB work includes changing out fan sheaves and pump impellers if required to obtain air and water flow rates specified or indicated. If, with these adjustments and equipment changes, the specified or indicated design flow rates cannot be attained, contact the Contracting Officer for direction.

3.4.7 Deficiencies

Strive to meet the intent of this section to maximize the performance of the equipment as designed and installed. However, if deficiencies in equipment design or installation prevent TAB work from being accomplished within the range of design values specified in the paragraph WORKMANSHIP, provide written notice as soon as possible to the Contractor and the Contracting Officer describing the deficiency and recommended correction.

Responsibility for correction of installation deficiencies is the Contractor's. If a deficiency is in equipment design, call the TAB team supervisor for technical assistance. Responsibility for reporting design deficiencies to Contractor is the TAB team supervisor's.

3.4.8 TAB Reports

After completion of the TAB field work, prepare the TAB field data for TAB supervisor's review and certification, using the reporting forms approved in the pre-field engineering report. Data required by those approved data report forms is to be furnished by the TAB team. Except as approved otherwise in writing by the Contracting Officer, the TAB work and thereby the TAB report is considered incomplete until the TAB work is accomplished to within the accuracy range specified in the paragraph WORKMANSHIP. After completion of the TAB work, prepare a pre-final TAB report using the reporting forms approved in the pre-field engineering report. Data required by those approved data report forms is to be furnished by the TAB team. Except as approved otherwise in writing by the Contracting Officer, the TAB work and the TAB report is considered incomplete until the TAB work is accomplished to within the accuracy range specified in the paragraph WORKMANSHIP of this section.

Prepare the report neatly and legibly; the pre-final TAB report is the final TAB report minus the TAB supervisor's review and certification.

Obtain, at the contract site, the TAB supervisor's review and certification of the TAB report.

Verbally notify the COTR that the field check of the TAB report data can commence; give this verbal notice 48 hours in advance of field check commencement. Do not schedule field check of the TAB report until the specified workmanship requirements have been met or written approval of the deviations from the requirements have been received from the Contracting Officer.

3.4.9 Quality Assurance - COTR TAB Field Acceptance Testing

3.4.9.1 TAB Field Acceptance Testing

During the field acceptance testing, verify, in the presence of the COTR, random selections of data (water, air quantities, air motion, sound level readings) recorded in the TAB Report. Points and areas for field acceptance testing are to be selected by the COTR. Measurement and test procedures are the same as approved for TAB work for the TAB Report.

Field acceptance testing includes verification of TAB Report data recorded for the following equipment groups:

Group 1: All chillers, boilers, return fans, computer room units, and air handling units (rooftop and central stations).

Group 2: 25 percent of the VAV terminal boxes and associated diffusers and registers.

Group 3: 25 percent of the supply diffusers, registers, grilles associated with constant volume air handling units.

Group 4: 25 percent of the return grilles, return registers, exhaust grilles and exhaust registers.

Group 5: 25 percent of the supply fans, exhaust fans, and pumps.

Further, if any data on the TAB Report for Groups 2 through 5 is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, additional group data verification is required in the presence of the COTR. Verify TAB Report data for one additional piece of equipment in that group. Continue this additional group data verification until out-of-tolerance data ceases to be found.

3.4.9.2 Additional COTR TAB Field Acceptance Testing

If any of the acceptance testing measurements for a given equipment group is found not to fall within the range of plus 5 to minus 5 percent of the TAB Report data, terminate data verification for all affected data for that group. The affected data for the given group will be disapproved. Make the necessary corrections and prepare a revised TAB Report. Reschedule acceptance testing of the revised report data with the COTR.

Further, if any data on the TAB Report for a given field acceptance test group is out-of-tolerance, then field test data for one additional field test group as specified herein. Continue this increase field test work until out-of-tolerance data ceases to be found. This additional field testing is up and above the original 25 percent of the of reported data entries to be field tested.

If there are no more similar field test groups from which to choose, additional field testing from another, but different, type of field testing group must be tested.

3.4.9.3 Prerequisite for Approval

Compliance with the field acceptance testing requirements of this section is a prerequisite for the final Contracting Officer approval of the TAB Report submitted.

3.5 MARKING OF SETTINGS

Upon the final TAB work approval, permanently mark the settings of HVAC adjustment devices including valves, gauges, splitters, and dampers so that adjustment can be restored if disturbed at any time. Provide permanent markings clearly indicating the settings on the adjustment devices which result in the data reported on the submitted TAB report.

3.6 MARKING OF TEST PORTS

The TAB team is to permanently and legibly mark and identify the location points of the duct test ports. If the ducts have exterior insulation, make these markings on the exterior side of the duct insulation. Show the location of test ports on the as-built mechanical drawings with dimensions given where the test port is covered by exterior insulation.

3.7 APPENDICES5. Final DALT Report

On successful completion of all COTR field checks of the Pre-final DALT Report data for all systems, the TABS Supervisor shall assemble, review, sign and submit the Final DALT Report to the Contracting Officer for approval.

6. TAB Reports: Submit TAB Report for Proportional Balancing, Season 1, and Season 2 in the following manner:

- a. Procedure Summary: Submit a copy of the approved DALT and TAB Procedures Summary. When applicable, provide notations describing how actual field procedures differed from the procedures listed.
- b. Report format: Submit the completed data forms approved in the pre-field TAB Engineering Report completed by TAB field team, reviewed, approved and signed by the TAB supervisor. Bind the report with a waterproof front and back cover. Include a table of contents identifying by page number the location of each report. Report forms and report data shall be typewritten. Handwritten report forms or report data are not acceptable.
- c. Temperatures: On each TAB report form reporting TAB work accomplished on HVAC thermal energy transfer equipment, include the indoor and outdoor dry bulb temperature range and indoor and outdoor wet bulb temperature range within which the TAB data was recorded. Include in the TAB report continuous time versus temperature recording data of wet and dry bulb temperatures for the rooms, or zones, as designated in the following list:

- (1) Data shall be measured and compiled on a continuous basis for the period in which TAB work affecting those rooms is being done.

- (2) Data shall be measured/recorded only after the HVAC systems installations are complete, the systems fully balanced and the HVAC systems controls operating in fully automatic mode. Provide a detailed explanation wherever a final measurement did not achieve the required value.
 - (3) Data may be compiled using direct digital controls trend logging where available. Otherwise, the Contractor shall temporarily install calibrated time versus temperature/humidity recorders for this purpose. The HVAC systems and controls shall have been fully operational a minimum of 24 hours in advance of commencing data compilation. The specified data shall be included in the Season 1 and Season 2 TAB Report.
- d. Air System Diagrams: Provided updated diagrams with final installed locations of all terminals and devices, any numbering changes, and actual test locations.
- e. Air Static Pressure Profiles: Report static pressure profiles for air duct systems including: AHU-1MUA-1. Report static pressure data for all supply, return, relief, exhaust and outside air ducts for the systems listed. The static pressure report data shall include, in addition to AABC or NEBB or TABB required data, the following:
- (1) Report supply fan, return fan, relief fan, and exhaust fan inlet and discharge static pressures.
 - (2) Report static pressure drop across chilled water coils, DX coils, hot water coils, steam coils, electric resistance heating coils and heat reclaim devices installed in unit cabinetry or the system ductwork.
 - (3) Report static pressure drop across outside air, return air, and supply air automatic control dampers, both proportional and two-position, installed in unit cabinetry.
 - (4) Report static pressure drop across air filters, acoustic silencers, moisture eliminators, air flow straighteners, air flow measuring stations or other pressure drop producing specialty items installed in unit cabinetry, or in the system ductwork. Examples of these specialty items are smoke detectors, white sound generators, RF shielding, wave guides, security bars, blast valves, small pipes passing through ductwork, and duct mounted humidifiers.
Do not report static pressure drop across duct fittings provided for the sole purpose of conveying air, such as elbows, transitions, offsets, plenums, manual dampers, and branch takes-offs.
 - (5) Report static pressure drop across outside air and relief/exhaust air louvers.
 - (6) Report static pressure readings of supply air, return air, exhaust/relief air, and outside air in duct at the point where these ducts connect to each air moving unit.
- f. Duct Transverses: Report duct traverses for main and branch main

supply, return, exhaust, relief and outside air ducts. This shall include all ducts, including those which lack 7 1/2 duct diameters upstream and 2 1/2 duct diameters downstream of straight duct unobstructed by duct fittings/offsets/elbows. The TAB Agency shall evaluate and report findings on the duct traverses taken. Evaluate the suitability of the duct traverse measurement based on satisfying the qualifications for a pitot traverse plane as defined by AMCA 203, "Field Measurements", Section 8, paragraph 8.3, "Location of Traverse Plane".

- g. Instruments: List the types of instruments actually used to measure the tab data. Include in the listing each instrument's unique identification number, calibration date, and calibration expiration date.

Instrumentation, used for taking wet bulb temperature readings shall provide accuracy of plus or minus 5 percent at the measured face velocities. Submit instrument manufacturer's literature to document instrument accuracy performance is in compliance with that specified.

- h. Performance Curves: The TAB Supervisor shall include, in the TAB Reports, factory pump curves and fan curves for pumps and fans TAB'd on the job.
- i. Calibration Curves: The TAB Supervisor shall include, in the TAB Reports, a factory calibration curve for installed flow control balancing valves, flow venturis and flow orifices TAB'd on the job.
- j. Data From TAB Field Work: After completion of the TAB field work, prepare the TAB field data for TAB supervisor's review and approval signature, using the reporting forms approved in the pre-field engineering report. Data required by those approved data report forms shall be furnished by the TAB team. Except as approved otherwise in writing by the Contracting Officer, the TAB work and thereby the TAB report shall be considered incomplete until the TAB work is accomplished to within the accuracy range specified in the paragraph WORKMANSHIP. -- End of Section --

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SECTION 23 07 00

THERMAL INSULATION FOR MECHANICAL SYSTEMS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only. At the discretion of the Government, the manufacturer of any material supplied will be required to furnish test reports pertaining to any of the tests necessary to assure compliance with the standard or standards referenced in this specification.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING
ENGINEERS (ASHRAE)

- ASHRAE 90.1 - SI (2019) Energy Standard for Buildings
Except Low-Rise Residential Buildings
- ASHRAE 90.2 (2018; Addenda A-B 2021; Addenda C-K 2023;
Addenda L 2024) Energy-Efficient Design of
Low-Rise Residential Buildings

ASTM INTERNATIONAL (ASTM)

- ASTM A167 (2011) Standard Specification for
Stainless and Heat-Resisting
Chromium-Nickel Steel Plate, Sheet, and
Strip
- ASTM A240/A240M (2023a) Standard Specification for
Chromium and Chromium-Nickel Stainless
Steel Plate, Sheet, and Strip for Pressure
Vessels and for General Applications
- ASTM B209 (2014) Standard Specification for Aluminum
and Aluminum-Alloy Sheet and Plate
- ASTM C195 (2007; R 2013) Standard Specification for
Mineral Fiber Thermal Insulating Cement
- ASTM C450 (2008) Standard Practice for Fabrication
of Thermal Insulating Fitting Covers for
NPS Piping, and Vessel Lagging
- ASTM C533 (2017; R 2023) Standard Specification for
Calcium Silicate Block and Pipe Thermal
Insulation
- ASTM C534/C534M (2023) Standard Specification for
Preformed Flexible Elastomeric Cellular
Thermal Insulation in Sheet and Tubular
Form
- ASTM C547 (2022a) Standard Specification for Mineral

Fiber Pipe Insulation

ASTM C552	(2022) Standard Specification for Cellular Glass Thermal Insulation
ASTM C553	(2013; R 2019) Standard Specification for Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications
ASTM C585	(2010) Standard Practice for Inner and Outer Diameters of Thermal Insulation for Nominal Sizes of Pipe and Tubing
ASTM C591	(2022) Standard Specification for Unfaced Preformed Rigid Cellular Polyisocyanurate Thermal Insulation
ASTM C592	(2022a) Standard Specification for Mineral Fiber Blanket Insulation and Blanket-Type Pipe Insulation (Metal-Mesh Covered) (Industrial Type)
ASTM C610	(2017; R 2023) Standard Specification for Molded Expanded Perlite Block and Pipe Thermal Insulation
ASTM C612	(2014; R 2019) Standard Specification for Mineral Fiber Block and Board Thermal Insulation
ASTM C795	(2008; R 2023) Standard Specification for Thermal Insulation for Use in Contact with Austenitic Stainless Steel
ASTM C916	(2020) Standard Specification for Adhesives for Duct Thermal Insulation
ASTM C920	(2018) Standard Specification for Elastomeric Joint Sealants
ASTM C921	(2010; R 2015) Standard Practice for Determining the Properties of Jacketing Materials for Thermal Insulation
ASTM C1126	(2018) Standard Specification for Faced or Unfaced Rigid Cellular Phenolic Thermal Insulation
ASTM C1136	(2023) Standard Specification for Flexible, Low Permeance Vapor Retarders for Thermal Insulation
ASTM C1710	(2011) Standard Guide for Installation of Flexible Closed Cell Preformed Insulation in Tube and Sheet Form
ASTM D2863	(2019) Standard Test Method for Measuring the Minimum Oxygen Concentration to Support Candle-Like Combustion of Plastics

(Oxygen Index)

ASTM D5590 (2017; R 2021) Standard Test Method for Determining the Resistance of Paint Films and Related Coatings to Fungal Defacement by Accelerated Four-Week Agar Plate Assay

ASTM E84 (2023) Standard Test Method for Surface Burning Characteristics of Building Materials

ASTM E96/E96M (2024) Standard Test Methods for Gravimetric Determination of Water Vapor Transmission Rate of Materials

ASTM E2231 (2021) Standard Practice for Specimen Preparation and Mounting of Pipe and Duct Insulation Materials to Assess Surface Burning Characteristics

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350 (2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

FM GLOBAL (FM)

FM APP GUIDE (updated on-line) Approval Guide
<http://www.approvalguide.com/>

GREEN SEAL (GS)

GS-36 (2013) Adhesives for Commercial Use

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-58 (2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation

MIDWEST INSULATION CONTRACTORS ASSOCIATION (MICA)

MICA Insulation Stds (8th Ed) National Commercial & Industrial Insulation Standards

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 90A (2024) Standard for the Installation of Air Conditioning and Ventilating Systems

NFPA 90B (2024) Standard for the Installation of Warm Air Heating and Air Conditioning Systems

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS SCS Global Services (SCS) Indoor Advantage

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168 (2017) Adhesive and Sealant Applications

TECHNICAL ASSOCIATION OF THE PULP AND PAPER INDUSTRY (TAPPI)

TAPPI T403 OM (2022) Bursting Strength of Paper

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-A-3316 (1987; Rev C; Am 2 1990) Adhesives,
Fire-Resistant, Thermal Insulation

MIL-A-24179 (1969; Rev A; Am 2 1980; Notice 1 1987;
Notice 2 2020) Adhesive, Flexible
Unicellular-Plastic Thermal Insulation

UNDERWRITERS LABORATORIES (UL)

UL 94 (2023; Reprint Jan 2024) UL Standard for
Safety Tests for Flammability of Plastic
Materials for Parts in Devices and
Appliances

UL 723 (2020) UL Standard for Safety Test for
Surface Burning Characteristics of
Building Materials

UL 2818 (2022) GREENGUARD Certification Program
For Chemical Emissions For Building
Materials, Finishes And Furnishings

1.2 SYSTEM DESCRIPTION

1.2.1 General

Provide field-applied insulation and accessories on mechanical systems as specified herein; factory-applied insulation is specified under the piping, duct or equipment to be insulated.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

Submit the three SD types, SD-02 Shop Drawings, SD-03 Product Data, and SD-08 Manufacturer's Instructions at the same time for each system.

SD-02 Shop Drawings

Pipe Insulation Systems and Associated Accessories

Duct Insulation Systems and Associated Accessories

Equipment Insulation Systems and Associated Accessories

Recycled content for insulation materials; S

SD-03 Product Data

Pipe Insulation Systems; G

Duct Insulation Systems; G

Equipment Insulation Systems; G

SD-07 Certificates

Indoor air quality for adhesives; S

SD-08 Manufacturer's Instructions

Pipe Insulation Systems; G

Duct Insulation Systems; G

Equipment Insulation Systems; G

1.4 CERTIFICATIONS

1.4.1 Adhesives and Sealants

Provide products certified to meet indoor air quality requirements by UL 2818 (Greenguard) Gold, SCS Global Services Indoor Advantage Gold or provide certification or validation by other third-party programs that products meet the requirements of this Section. Provide current product certification documentation from certification body. When product does not have certification, provide validation that product meets the indoor air quality product requirements cited herein.

1.5 QUALITY ASSURANCE

1.5.1 Installer Qualification

Qualified installers will have successfully completed three or more similar type jobs within the last 5 years.

1.6 DELIVERY, STORAGE, AND HANDLING

Deliver materials in the manufacturer's unopened containers. Protect materials delivered and placed in storage from weather, humidity, dirt, dust and other contaminants. The Contracting Officer may reject insulation material and supplies that become dirty, dusty, wet, or contaminated by some other means. Attach manufacturer's stamp or label giving the name of the manufacturer and brand, and a description of the material, date codes, and approximate shelf life (if applicable) to packages or standard containers of insulation, jacket material, cements, adhesives, and coatings delivered for use, and samples required for approval. Insulation packages and containers must be asbestos free.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Provide materials which are the standard products of manufacturers regularly engaged in the manufacture of such products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Submit a complete list of materials, including manufacturer's descriptive technical literature, performance data, catalog cuts, and installation instructions. Include the product number, k-value, thickness and furnished accessories including adhesives, sealants and jackets for each mechanical system requiring insulation. The product data must be copyrighted, have an identifying or publication number, and have been published prior to the issuance date of this solicitation. Submit materials furnished under this section together in a booklet.

2.1.1 Insulation System

Provide insulation systems in accordance with the approved MICA National Insulation Standards plates as supplemented by this specification. Provide field-applied insulation for heating, ventilating, and cooling (HVAC) air distribution systems and piping systems that are located within, on, under, and adjacent to buildings; and for plumbing systems. Provide CFC and HCFC free insulation.

2.1.2 Surface Burning Characteristics

Unless otherwise specified, insulation must have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Determine flame spread, and smoke developed indexes, by ASTM E84 or UL 723. Test insulation in the same density and installed thickness as the material to be used in the actual construction. Prepare and mount test specimens according to ASTM E2231.

2.2 MATERIALS

Provide insulation that meets or exceeds the requirements of ASHRAE 90.2. Ensure insulation exterior is cleanable, grease resistant, non-flaking and non-peeling. Provide compatible materials that do not contribute to corrosion, soften, or otherwise attack surfaces to which applied in either wet or dry state. Use materials on stainless steel surfaces meeting ASTM C795 requirements. Do not use calcium silicate on chilled or cold water systems. Use asbestos free materials. Provide product recognized under UL 94 (if containing plastic) and listed in FM APP GUIDE.

2.2.1 Adhesives

Provide non-aerosol adhesive products used on the interior of the building (defined as inside of the weatherproofing system) that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants must meet limit requirements of "Other" category within SCAQMD Rule 1168 sealants table). Provide aerosol adhesives used on the interior of the building that meet either emissions requirements of CDPH SECTION 01350 (use the office or classroom requirements, regardless of space type) or VOC content requirements of GS-36. Provide certification or validation of indoor air quality for adhesives.

2.2.1.1 Acoustical Lining Insulation Adhesive

Provide a nonflammable, fire-resistant adhesive conforming to ASTM C916, Type I.

2.2.1.2 Mineral Fiber Insulation Cement

Provide cement in accordance with ASTM C195.

2.2.1.3 Lagging Adhesive

Lagging is the material used for thermal insulation, especially around a cylindrical object. This may include the insulation as well as the cloth/material covering the insulation. To resist mold/mildew, use lagging adhesive meeting ASTM D5590 with 0 growth rating. Provide nonflammable and fire-resistant lagging adhesives that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Ensure adhesive is MIL-A-3316, Class 1, pigmented white and suitable for bonding fibrous glass cloth to faced and unfaced fibrous glass insulation board; for bonding cotton brattice cloth to faced and unfaced fibrous glass insulation board; for sealing edges of and bonding glass tape to joints of fibrous glass board; for bonding lagging cloth to thermal insulation; or Class 2 for attaching fibrous glass insulation to metal surfaces. Apply lagging adhesives in strict accordance with the manufacturer's recommendations for pipe and duct insulation.

2.2.1.4 Contact Adhesive

Adhesives may be any of, but not limited to, the neoprene based, rubber based, or elastomeric type that have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Ensure adhesive does not adversely affect, initially or in service, the insulation to which it is applied, nor cause any corrosive effect on metal to which it is applied. Ensure that any solvent dispersing medium or volatile component of the adhesive has no objectionable odor and does not contain any benzene or carbon tetrachloride. Ensure dried adhesive does not emit nauseous, irritating, or toxic volatile matters or aerosols when the adhesive is heated to any temperature up to 212 degrees F. The dried adhesive must be nonflammable and fire resistant. Flexible Elastomeric Adhesive: Comply with MIL-A-24179, Type II, Class I. Provide product listed in FM APP GUIDE.

2.2.2 Caulking

ASTM C920, Type S, Grade NS, Class 25, Use A.

2.2.3 Corner Angles

Nominal 0.016 inch aluminum 1 by 1 inch with factory applied kraft backing. Aluminum must be ASTM B209, Alloy 3003, 3105, or 5005.

2.2.4 Fittings

Fabricated Fittings are the prefabricated fittings for flexible elastomeric pipe insulation systems in accordance with ASTM C1710. Together with the flexible elastomeric tubes, they provide complete system integrity for retarding heat gain and controlling condensation drip from chilled-water and refrigeration systems. Flexible elastomeric, fabricated

fittings provide thermal protection (0.25 k) and condensation resistance (0.05 Water Vapor Transmission factor). For satisfactory performance, use properly installed protective vapor retarder/barriers and vapor stops on high relative humidity and below ambient temperature applications to reduce movement of moisture through or around the insulation to the colder interior surface.

2.2.5 Finishing Cement

ASTM C450: Mineral fiber hydraulic-setting thermal insulating and finishing cement. All cements that may come in contact with Austenitic stainless steel must comply with ASTM C795.

2.2.6 Fibrous Glass Cloth and Glass Tape

Provide fibrous glass cloth, with 20X20 maximum mesh size, and glass tape with maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Provide tape consisting of 4 inch wide rolls. Provide Class 3 tape that is 4.5 ounces/square yard. Elastomeric Foam Tape: Black vapor-retarder foam tape with acrylic adhesive containing an anti-microbial additive.

2.2.7 Staples

Outward clinching type ASTM A167, Type 304 or 316 stainless steel.

2.2.8 Jackets

2.2.8.1 Aluminum Jackets

Provide aluminum jackets consisting of corrugated, embossed or smooth sheet, 0.016 inch nominal thickness; ASTM B209, Temper H14, Temper H16, Alloy 3003, 5005, or 3105. Do not use corrugated aluminum jacket outdoors. Aluminum jacket securing bands must be Type 304 stainless steel, 0.015 inch thick, 1/2 inch wide for pipe under 12 inch diameter and 3/4 inch wide for pipe over 12 inch and larger diameter. Aluminum jacket circumferential seam bands must be 2 by 0.016 inch aluminum matching jacket material. Ensure bands for insulation below ground are 3/4 by 0.020 inch thick stainless steel, or fiberglass reinforced tape. The jacket may, at the option of the Contractor, be provided with a factory fabricated Pittsburgh or "Z" type longitudinal joint. When the "Z" joint is used, use bands at the circumferential joints that are designed by the manufacturer to seal the joints and hold the jacket in place.

2.2.8.2 Polyvinyl Chloride (PVC) Jackets

Polyvinyl chloride (PVC) jacket and fitting covers must have high impact strength, ultraviolet (UV) resistant rating or treatment and moderate chemical resistance with minimum thickness 0.030 inch.

2.2.8.3 Vapor Barrier/Weatherproofing Jacket

Provide laminated self-adhesive vapor barrier/weatherproofing jacket, greater than 3 plies standard grade, silver, white, black and embossed or greater than 8 ply (minimum 2.9 mils adhesive); with 0.0000 permeability when tested in accordance with ASTM E96/E96M, using the water transmission rate test method; heavy duty, white or natural; and UV resistant. Flexible Elastomeric exterior foam with factory applied, UV Jacket made

with a cold weather acrylic adhesive. Construction of laminate designed to provide UV resistance, high puncture, tear resistance and excellent Water Vapor Transmission (WVT) rate.

2.2.8.4 Vapor Barrier/Vapor Retarder

Apply the following criteria to determine which system is required.

- a. On ducts, equip piping and equipment operating below 660 degrees F or located outside with a vapor barrier.
- b. Install ducts, pipes and equipment that are located inside and that always operate above 90 degrees F with a vapor retarder where required as stated in paragraph VAPOR RETARDER REQUIRED.

2.2.9 Vapor Retarder Required

ASTM C921, Type I, minimum puncture resistance 50 Beach units on all surfaces except concealed ductwork, where a minimum puncture resistance of 25 Beach units is acceptable. Minimum tensile strength, 35 pounds/inch width. ASTM C921, Type II, minimum puncture resistance 25 Beach units, tensile strength minimum 20 pounds/inch width. Use jackets on insulation exposed in finished areas that have white finish suitable for painting without sizing. Based on the application, insulation materials that require manufacturer or fabricator applied pipe insulation jackets are cellular glass, when all joints are sealed with a vapor barrier mastic, and mineral fiber. Ensure all non-metallic jackets have a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84. Flexible elastomerics require (in addition to vapor barrier skin) vapor retarder jacketing for high relative humidity and below ambient temperature applications.

2.2.9.1 White Vapor Retarder All Service Jacket (ASJ)

ASJ is for use on hot/cold pipes, ducts, or equipment indoors or outdoors if covered by a suitable protective jacket. Provide product which meets all physical property and performance requirements of ASTM C1136, Type I, except a minimum burst strength of 85 psi. ASTM D2863 Limited Oxygen Index (LOI) is a minimum of 31.

In addition, do not use paper or other moisture-sensitive material for the outer exposed surface or the inner-most surface contacting the insulation. Ensure the outer exposed surface is white and has an emittance no less than 0.80. Ensure the outer exposed surface is paintable.

2.2.9.2 Vapor Retarder/Vapor Barrier Mastic Coatings

2.2.9.2.1 Vapor Barrier

The vapor barrier must be self adhesive (minimum 2 mils adhesive, 3 mils embossed) greater than 3 plies standard grade, silver, white, black and embossed white jacket for use on hot/cold pipes. Ensure permeability is less than 0.02 when tested in accordance with ASTM E96/E96M. Provide products meeting UL 723 or ASTM E84 flame and smoke requirements and that are UV resistant.

2.2.9.3 Vapor Barrier/Weather Barrier

Ensure the vapor barrier is greater than 3 ply self adhesive laminate -white vapor barrier jacket- superior performance (less than 0.0000 permeability when tested in accordance with ASTM E96/E96M). Provide vapor barrier meeting UL 723 or ASTM E84 25 flame and 50 smoke requirements; and UV resistant. Minimum burst strength 185 psi in accordance with TAPPI T403 OM . Tensile strength 68 lb/inch width (PSTC-1000). Provide tape as specified for laminated film vapor barrier above.

2.2.10 Sealants

Choose sealants from the butyl polymer type, the styrene-butadiene rubber type, or the butyl type of sealants. Provide sealants with a maximum permeance of 0.02 perms based on Procedure B for ASTM E96/E96M, and a maximum flame spread index of 25 and a maximum smoke developed index of 50 when tested in accordance with ASTM E84.

2.3 PIPE INSULATION SYSTEMS

Conform insulation materials to Table 1 and minimum insulation thickness as listed in Table 2 and meet or exceed the requirements of ASHRAE 90.1 - SI. Limit pipe insulation materials to those listed herein and meeting the following requirements:

2.3.1 Recycled Materials

Provide insulation materials containing the following minimum percentage of recycled material content by weight:

Rock Wool: 75 percent slag of weight
Fiberglass: 20 percent glass cullet
Rigid Foam: 9 percent recovered material
Phenolic Rigid Foam: 9 percent recovered material

Provide data identifying percentage of recycled content for insulation materials.

2.3.2 Aboveground Cold Pipeline (-30 to 60 deg. F)

Provide insulation for outdoor, indoor, exposed or concealed applications, as follows:

2.3.2.1 Cellular Glass

ASTM C552, Type II, and Type III. Supply the insulation from the fabricator with (paragraph WHITE VAPOR RETARDER ALL SERVICE JACKET (ASJ)) ASJ vapor retarder and installed with all longitudinal overlaps sealed and all circumferential joints ASJ taped or supply the insulation unfaced from the fabricator and install with all longitudinal and circumferential joints sealed with vapor barrier mastic.

2.3.2.2 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II. Type I, Grade 1 for tubular materials. Type II, Grade 1, for sheet materials. Ensure Type I and II have vapor retarder/vapor barrier skin on one or both sides of the insulation, and require an additional exterior vapor retarder

covering for high relative humidity and below ambient temperature applications.

2.3.2.3 Polyisocyanurate Insulation

ASTM C591, Type I. Supply the insulation with a factory applied vapor retarder/barrier that complies with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. The insulation and all covering must pass the flame spread index of 25 and the smoke developed index of 50 when tested in accordance with ASTM E84.

2.3.3 Aboveground Hot Pipeline (Above 60 deg. F)

Provide insulation for outdoor, indoor, exposed or concealed applications meeting the following requirements. Supply the insulation with manufacturer's recommended factory-applied jacket/vapor barrier.

2.3.3.1 Mineral Fiber

ASTM C547, Types I, II or III, supply the insulation with manufacturer's recommended factory-applied jacket.

2.3.3.2 Flexible Elastomeric Cellular Insulation

Closed-cell, foam- or expanded-rubber materials containing anti-microbial additive, complying with ASTM C534/C534M, Grade 1, Type I or II to 220 degrees F service. Type I for tubular materials. Type II for sheet materials.

2.3.3.3 Polyisocyanurate Insulation

ASTM C591, Type I. Supply the insulation with a factory applied vapor retarder/barrier that complies with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. The insulation and all covering must pass the flame spread index of 25 and the smoke developed index of 50 when tested in accordance with ASTM E84.

2.4 DUCT INSULATION SYSTEMS

2.4.1 Factory Applied Insulation

Provide factory-applied ASTM C552, cellular glass thermal insulation according to manufacturer's recommendations for insulation with insulation manufacturer's standard reinforced fire-retardant vapor barrier, with identification of installed thermal resistance (R) value and out-of-package R value.

2.4.1.1 Rigid Insulation

Calculate the minimum thickness in accordance with ASHRAE 90.2.

2.4.1.2 Blanket Insulation

Calculate minimum thickness in accordance with ASHRAE 90.2ASTM C553.

2.4.2 Duct Insulation Jackets

2.4.2.1 All-Purpose Jacket

Provide insulation with insulation manufacturer's standard reinforced fire-retardant jacket with or without integral vapor barrier as required by the service. In exposed locations, provide jacket with a white surface suitable for field painting.

2.4.2.2 Metal Jackets

2.4.2.2.1 Aluminum Jackets

ASTM B209, Temper H14, minimum thickness of 27 gauge (0.016 inch), with factory-applied polyethylene and kraft paper moisture barrier on inside surface. Provide smooth surface jackets for jacket outside dimension 8 inches and larger. Provide corrugated surface jackets for jacket outside dimension 8 inches and larger. Provide stainless steel bands, minimum width of 1/2 inch.

2.4.2.3 Vapor Barrier/Weatherproofing Jacket

Provide vapor barrier/weatherproofing jacket that is laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed or greater than 8 ply (minimum 2.9 mils adhesive), heavy duty white or natural).

2.4.3 Weatherproof Duct Insulation

Provide ASTM C552, cellular glass thermal insulation , and weatherproofing as specified in manufacturer's instruction. Multi-ply, Polymeric Blend Laminate Jacketing: Construction of laminate designed to provide UV resistance, high puncture, tear resistance and an excellent WVT rate.

2.5 EQUIPMENT INSULATION SYSTEMS

Insulate equipment and accessories as specified in Tables 5 and 6. In outside locations, provide insulation 1/2 inch thicker than specified. Increase the specified insulation thickness for equipment where necessary to equal the thickness of angles or other structural members to make a smooth, exterior surface. Submit a booklet containing manufacturer's published installation instructions for the insulation systems. The instructions must be copyrighted, have an identifying or publication number, and have been published prior to the issuance date of this solicitation. A booklet is also required by paragraphs titled: Pipe Insulation Systems and Duct Insulation Systems.

PART 3 EXECUTION

3.1 APPLICATION - GENERAL

Apply insulation to unheated and uncooled piping and equipment. Do not compress flexible elastomeric cellular insulation at joists, studs, columns, ducts, and hangers. The insulation must not pull apart after a one hour period; replace any insulation found to pull apart after one hour.

3.1.1 Display Samples

Submit and display, after approval of materials, actual sections of installed systems, properly insulated in accordance with the specification requirements. Such actual sections must remain accessible to inspection throughout the job and will be reviewed from time to time for controlling the quality of the work throughout the construction site. Identify each material used by indicating on an attached sheet the specification requirement for the material and the material by each manufacturer intended to meet the requirement. The Contracting Officer will inspect display sample sections at the jobsite. Keep approved display sample sections on display at the jobsite during the construction period. Upon completion of construction, the display sample sections will be closed and sealed.

3.1.1.1 Pipe Insulation Display Sections

Include as a minimum an elbow or tee, a valve, dielectric waterways and flanges, a hanger with protection shield and insulation insert, or dowel as required, at support point, method of fastening and sealing insulation at longitudinal lap, circumferential lap, butt joints at fittings and on pipe runs, and terminating points for each type of pipe insulation used on the job, and for hot pipelines and cold pipelines, both interior and exterior, even when the same type of insulation is used for these services.

3.1.1.2 Duct Insulation Display Sections

Display sample sections for rigid and flexible duct insulation used on the job. Use a temporary covering to enclose and protect display sections for duct insulation exposed to weather

3.1.2 Installation

Except as otherwise specified, install material in accordance with the manufacturer's written instructions. Do not apply insulation materials until tests and heat tracing specified in other sections of this specification are completed. Remove material such as rust, scale, dirt and moisture from surfaces to receive insulation. Keep insulation clean and dry. Do not remove insulation from its shipping containers until the day it is ready to use and return to like containers or equally protect from dirt and moisture at the end of each workday. Thoroughly clean insulation that becomes dirty prior to use. If insulation becomes wet or if cleaning does not restore the surfaces to like new condition, reject the insulation, and immediately remove from the jobsite. Stagger joints on multi layer insulation. Mix mineral fiber thermal insulating cement with demineralized water when used on stainless steel surfaces. Install insulation, jacketing and accessories in accordance with MICA Insulation Stds plates except where modified herein or on the drawings.

3.1.3 Firestopping

Where pipes and ducts pass through fire walls, fire partitions, above grade floors, and fire rated chase walls, seal the penetration with fire stopping materials as specified in Section 07 84 00 FIRESTOPPING. The protection of ducts at point of passage through firewalls must be in accordance with NFPA 90A and/or NFPA 90B. All other penetrations, such as piping, conduit, and wiring, through firewalls must be protected with a material or system of the same hourly rating that is listed by UL, FM, or

a NRTL.

3.1.4 Painting and Finishing

Paint as specified in Section 09 90 00 PAINTS AND COATINGS.

3.1.5 Installation of Flexible Elastomeric Cellular Insulation

Install flexible elastomeric cellular insulation with seams and joints sealed with rubberized contact adhesive. Do not use flexible elastomeric cellular insulation on surfaces greater than 220 degrees F. Stagger seams when applying multiple layers of insulation. Protect insulation exposed to weather and not shown to have vapor barrier weatherproof jacketing with two coats of UV resistant finish or PVC or metal jacketing as recommended by the manufacturer after the adhesive is dry and cured.

3.1.5.1 Adhesive Application

Apply a brush coating of adhesive to both butt ends to be joined and to both slit surfaces to be sealed. Allow the adhesive to set until dry to touch but tacky under slight pressure before joining the surfaces. Ensure insulation seals at seams and joints are not capable of being pulled apart one hour after application. Replace insulation that can be pulled apart one hour after installation.

3.1.5.2 Adhesive Safety Precautions

Use natural cross-ventilation, local (mechanical) pickup, and/or general area (mechanical) ventilation to prevent an accumulation of solvent vapors, keeping in mind the ventilation pattern must remove any heavier-than-air solvent vapors from lower levels of the workspaces. Gloves and spectacle-type safety glasses are recommended in accordance with safe installation practices.

3.1.6 Welding

Welding is not permitted on piping, duct or equipment without written approval of the Contracting Officer. The capacitor discharge welding process may be used for securing metal fasteners to duct.

3.1.7 Pipes/Ducts/Equipment That Require Insulation

Insulation is required on all pipes, ducts, or equipment, except for omitted items as specified.

3.2 PIPE INSULATION SYSTEMS INSTALLATION

3.2.1 Pipe Insulation

3.2.1.1 General

Install pipe insulation on aboveground hot pipeline systems as specified below to form a continuous thermal retarder/barrier, including straight runs, fittings and appurtenances unless specified otherwise. Install full length units of insulation using a single cut piece to complete a run. Do not use cut pieces or scraps abutting each other. Omit pipe insulation on the following:

a. Pipe used solely for fire protection.

- b. Chromium plated pipe to plumbing fixtures. However, for fixtures used by the physically handicapped, insulate the hot water supply and drain, including the trap, where exposed.
- c. Sanitary drain lines.
- d. Air chambers.
- e. Adjacent insulation.
- f. ASME stamps.
- g. Access plates of fan housings.
- h. Cleanouts or handholes.

3.2.1.2 Pipes Passing Through Walls, Roofs, and Floors

Provide continuous pipe insulation through the sleeve.

Provide an aluminum jacket or vapor barrier/weatherproofing self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 ply standard grade, silver, white, black and embossed with factory applied moisture retarder over the insulation wherever penetrations require sealing.

3.2.1.2.1 Penetrate Interior Walls

Provide aluminum jacket or vapor barrier/weatherproofing - self adhesive jacket (minimum 2 mils adhesive, 3 mils embossed) less than 0.0000 permeability, greater than 3 plies standard grade, silver, white, black and embossed which extends 2 inches beyond either side of the wall and secure on each end with a band.

3.2.1.2.2 Penetrating Floors

Extend the aluminum jacket from a point below the backup material to a point 10 inches above the floor with one band at the floor and one not more than 1 inch from the end of the aluminum jacket.

3.2.1.2.3 Penetrating Waterproofed Floors

Extend the aluminum jacket from below the backup material to a point 2 inches above the flashing with a band 1 inch from the end of the aluminum jacket.

3.2.1.2.4 Penetrating Exterior Walls

Continue the aluminum jacket required for pipe exposed to weather through the sleeve to a point 2 inches beyond the interior surface of the wall.

3.2.1.2.5 Penetrating Roofs

Insulate pipe as required for interior service to a point flush with the top of the flashing and sealed with flashing sealant. Tightly butt the insulation for exterior application to the top of flashing and interior insulation. Extend the exterior aluminum jacket 2 inches down beyond the end of the insulation to form a counter flashing. Seal the flashing and

counter flashing underneath with metal jacketing/flashing sealant.

3.2.1.2.6 Hot Water Pipes Supplying Lavatories or Other Similar Heated Service

Terminate the insulation on the backside of the finished wall. Protect the insulation termination with two coats of vapor barrier coating with a minimum total thickness of 1/16 inch applied with glass tape embedded between coats (if applicable). Extend the coating out onto the insulation 2 inches and seal the end of the insulation. Overlap glass tape seams 1 inch. Caulk the annular space between the pipe and wall penetration with approved fire stop material. Cover the pipe and wall penetration with a properly sized (well fitting) escutcheon plate. Ensure the escutcheon plate overlaps the wall penetration at least 3/8 inches.

3.2.1.2.7 Domestic Cold Water Pipes Supplying Lavatories or Other Similar Cooling Service

Terminate the insulation on the finished side of the wall (i.e., insulation must cover the pipe throughout the wall penetration). Protect the insulation with two coats of weather barrier mastic (breather emulsion type weatherproof mastic impermeable to water and permeable to air) with a minimum total thickness of 1/16 inch. Extend the mastic out onto the insulation 2 inches and seal the end of the insulation. Caulk the annular space between the outer surface of the pipe insulation and the wall penetration with an approved fire stop material having vapor retarder properties. Cover the pipe and wall penetration with a properly sized (well fitting) escutcheon plate. Ensure the escutcheon plate overlaps the wall penetration by at least 3/8 inches.

3.2.1.3 Pipes Passing Through Hangers

Ensure insulation, whether hot or cold application, is continuous through hangers. Support all horizontal pipes 2 inches and smaller on hangers with the addition of a Type 40 protection shield to protect the insulation in accordance with MSS SP-58. Whenever insulation shows signs of being compressed, or when the insulation or jacket shows visible signs of distortion at or near the support shield, install insulation inserts as specified below for piping larger than 2 inches, or factory insulated hangers (designed with a load bearing core) can be used.

3.2.1.3.1 Horizontal Pipes Larger Than 2 Inches at 60 Degrees F and Above

Supported on hangers in accordance with MSS SP-58, and Section 22 00 00 PLUMBING, GENERAL PURPOSE.

3.2.1.3.2 Horizontal Pipes Larger Than 2 Inches and Below 60 Degrees F

Supported on hangers with the addition of a Type 40 protection shield in accordance with MSS SP-58. Install an insulation insert of cellular glass, prefabricated insulation pipe hangers, or perlite above 80 degrees F above each shield. Ensure insert covers no less than the bottom 180-degree arc of the pipe. Provide inserts that are the same thickness as the insulation, and extend 2 inches on each end beyond the protection shield. When insulation inserts are required in accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the weight of the pipe from crushing the insulation, as an option to installing insulation inserts. Ensure the insulation jacket is

continuous over the wooden dowel, wooden block, or insulation insert.

3.2.1.3.3 Vertical Pipes

Supported with either Type 8 or Type 42 riser clamps with the addition of two Type 40 protection shields in accordance with MSS SP-58 covering the 360-degree arc of the insulation. Install an insulation insert of cellular glass or calcium silicate between each shield and the pipe. Ensure the insert covers the 360-degree arc of the pipe. Provide inserts that are the same thickness as the insulation, and extend 2 inches on each end beyond the protection shield. When insulation inserts are required in accordance with the above, and the insulation thickness is less than 1 inch, wooden or cork dowels or blocks may be installed between the pipe and the shield to prevent the hanger from crushing the insulation, as an option instead of installing insulation inserts. Ensure the insulation jacket is continuous over the wooden dowel, wooden block, or insulation insert. Support the vertical weight of the pipe with hangers located in a horizontal section of the pipe. When the pipe riser is longer than 30 feet, support the weight of the pipe additionally with hangers in the vertical run of the pipe that are directly clamped to the pipe, penetrating the pipe insulation. Use insulated hangers and seal the insulation jacket as indicated herein for anchors in a similar service.

3.2.1.3.4 Inserts

Covered with a jacket material of the same appearance and quality as the adjoining pipe insulation jacket, overlap the adjoining pipe jacket 1-1/2 inches, and seal as required for the pipe jacket. Use jacket material to cover inserts in flexible elastomeric cellular insulation conforming to ASTM C1136, Type 1, and is allowed to be of a different material than the adjoining insulation material.

3.2.1.4 Flexible Elastomeric Cellular Pipe Insulation

Use tubular form flexible elastomeric cellular pipe insulation for pipe sizes 6 inches and less. Grade 1, Do not stretch Type II sheet insulation used on pipes larger than 6 inches around the pipe. On pipes larger than 12 inches, adhere the insulation directly to the pipe on the lower 1/3 of the pipe. Stagger seams when applying multiple layers of insulation. Insulate sweat fittings with miter-cut pieces the same size as on adjacent piping. Insulate screwed fittings with sleeved fitting covers fabricated from miter-cut pieces and overlap and seal to the adjacent pipe insulation. Type II requires an additional exterior vapor retarder/barrier covering for high relative humidity and below ambient temperature applications.

3.2.1.5 Pipes in high abuse areas.

In high abuse areas such as janitor closets and traffic areas in equipment rooms, kitchens, and mechanical rooms, utilize welded PVC, aluminum or flexible laminate cladding (comprised of elastomeric, plastic or metal foil laminate) laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket, - less than 0.0000 permeability; (greater than 3 ply, standard grade, silver, white, black and embossed) aluminum jackets. Protect pipe insulation to the 6 foot level.

3.2.1.6 Pipe Insulation Material and Thickness

Pipe insulation materials must be as listed in Table 1 and must meet or exceed the requirements of ASHRAE 90.2.

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
Heating Hot Water Supply & Return, Heated Oil (Max 250 F)					
	Mineral Fiber	ASTM C547	I	1	No
	Calcium Silicate	ASTM C533	I		No
	Cellular Glass	ASTM C552	II	2	No
	Faced Phenolic Foam	ASTM C1126	III		Yes
	Perlite	ASTM C610			No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I	2	No
Cold Domestic Water Piping, Makeup Water & Drinking Fountain Drain Piping					
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Refrigerant Suction Piping (35 degrees F nominal)					
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
	Cellular Glass	ASTM C552	II	1	Yes
Condensate Drain Located Inside Building					
	Cellular Glass	ASTM C552	II	2	No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I		No
Medium Temperature Hot Water, Steam and Condensate (251 to 350 Degrees F)					
	Mineral Fiber	ASTM C547	I	1	No
	Calcium Silicate	ASTM C533	I		No
	Cellular Glass	ASTM C552	I or II		No
	Perlite	ASTM C610			No
	Flexible Elastomeric Cellular	ASTM C534/C534M	I	2	No

TABLE 1					
Insulation Material for Piping					
Service					
	Material	Specification	Type	Class	VR/VB Req'd
Note: VR/VB = Vapor Retarder/Vapor Barrier					

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = >8
Chilled Water (Supply & Return, Dual Temperature Piping, 40 Degrees F nominal)						
	Cellular Glass	1.5	2	2	2.5	3
	Mineral Fiber with Wicking Material	1	1.5	1.5	2	2
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Chilled Water (Supply & Return, Dual Temperature Piping, 40 Degrees F nominal)						
	Cellular Glass	1.5	1.5	1.5	1.5	2

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = 8
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
	Mineral Fiber with Wicking Material	1	1.5	1.5	2	2
Heating Hot Water Supply & Return, Heated Oil (Max 250 F)						
	Mineral Fiber	1.5	1.5	2	2	2
	Calcium Silicate	2.5	2.5	3	3	3
	Cellular Glass	2	2.5	3	3	3
	Perlite	2.5	2.5	3	3	3
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Cold Domestic Water Piping, Makeup Water & Drinking Fountain Drain Piping						
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Hot Domestic Water Supply & Recirculating Piping (Max 200 F)						
	Mineral Fiber	1	1	1	1.5	1.5
	Cellular Glass	1.5	1.5	1.5	2	2
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Refrigerant Suction Piping (35 degrees F nominal)						
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
Compressed Air Discharge, Steam and Condensate Return (201 to 250 Degrees F)						

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = 8
	Mineral Fiber	1.5	1.5	2	2	2
		1.5*	2*	2.5*	3*	3.5*
	Calcium Silicate	2.5	3	4	4	4.5
	Cellular Glass	2	2.5	3	3	3
	Perlite	2.5	3	4	4	4.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Exposed Lavatory Drains, Exposed Domestic Water Piping & Drains to Areas for Handicapped Personnel						
	Flexible Elastomeric Cellular	0.5	0.5	0.5	0.5	0.5
Horizontal Roof Drain Leaders (Including Underside of Roof Drain Fittings)						
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
	Faced Phenolic Foam	1	1	1	1	1
Condensate Drain Located Inside Building						
	Cellular Glass	1.5	1.5	1.5	1.5	1.5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
Medium Temperature Hot Water, Steam and Condensate (251 to 350 Degrees F)						
	Mineral Fiber	1.5	3	3	4	4
		2.5*	*	3.5*		
	Calcium Silicate	2.5	3.5	4.5	4.5	5

TABLE 2						
Piping Insulation Thickness (inch) Do not use integral wicking material in Chilled water applications exposed to outdoor ambient conditions in climatic zones 1 through 4.						
Service						
	Material	Tube And Pipe Size (inch)				
		<1	1-<1.5	1.5-<4	4-<8	> or = 8
	Perlite	2.5	3.5	4.5	4.5	5
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A
High Temperature Hot Water & Steam (351 to 700 Degrees F)						
	Mineral Fiber	2.5	3	3	4	4
	Calcium Silicate	4	4.5	6	6	6
	Perlite	4	4.5	6	6	6
Brine Systems Cryogenics (-30 to 0 Degrees F)						
	Cellular Glass	2.5	2.5	3	3	3.5
	Flexible Elastomeric Cellular	1	1	N/A	N/A	N/A
Brine Systems Cryogenics (0 to 34 Degrees F)						
	Cellular Glass	2	2	2	2.5	3
	Flexible Elastomeric Cellular	1	1	1	N/A	N/A

3.2.2 Aboveground Hot Pipelines

3.2.2.1 General Requirements

Insulate all hot pipe lines above 60 degrees F, except those piping listed in subparagraph Pipe Insulation in PART 3 as to be omitted, in accordance with Table 2. This includes but is not limited to the following:

d. Hot water heating.

Cover insulation, in accordance with manufacturer's recommendations, with a factory applied Type I jacket or field applied aluminum where required or seal welded PVC.

3.2.2.2 Insulation for Fittings and Accessories

Butt pipe insulation tightly to the insulation of the fittings and accessories. Seal butted joints and ends with joint sealant. Mark insulation to show the location of unions, strainers, check valves and other components that would otherwise be hidden from view by the insulation.

3.2.2.2.1 Precut or Preformed

Place precut or preformed insulation around all fittings and accessories. Use the same insulation as the pipe insulation, including same density, thickness, and thermal conductivity.

3.2.2.2.2 Rigid Preformed

Where precut/preformed is unavailable, rigid preformed pipe insulation sections may be segmented into the shape required. Use insulation that is the same thickness and conductivity as the adjoining pipe insulation. If nesting size insulation is used, do not overlap insulation 2 inches or one pipe diameter. Elbows insulated using segments must conform to MICA Tables 12.20 "Mitered Insulation Elbow".

3.2.3 Piping Exposed to Weather

Insulate and jacket piping exposed to weather as specified for the applicable service inside the building. After this procedure, apply a laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability (greater than 3 ply, standard grade, silver, white, black and embossed aluminum jacket, stainless steel or PVC jacket).

PVC jacketing requires no factory-applied jacket beneath it, however apply an all service jacket if factory applied jacketing is not furnished. Treat flexible elastomeric cellular insulation exposed to weather in accordance with paragraph INSTALLATION OF FLEXIBLE ELASTOMERIC CELLULAR INSULATION in PART 3.

3.2.3.1 Aluminum Jacket

The jacket for hot piping may be factory applied. Overlap the jacket no less than 2 inches at longitudinal and circumferential joints and secure with bands at no more than 12 inch centers. Overlap longitudinal joints down to shed water and locate at 4 or 8 o'clock positions. Seal joints on piping 60 degrees F and below with metal jacketing/flashing sealant while overlapping to prevent moisture penetration. Where jacketing on piping 60 degrees F and below abuts an un-insulated surface, caulk joints to prevent moisture penetration. Seal joints on piping above 60 degrees F with a moisture retarder.

3.2.3.2 Insulation for Fittings

Insulate and finish flanges, unions, valves, fittings, and accessories as specified for the applicable service. Apply two coats of breather emulsion type weatherproof mastic (impermeable to water, permeable to air) recommended by the insulation manufacturer with glass tape embedded between coats. Overlap tap no less than 1 inch and the adjoining aluminum jacket no less than 2 inches. Factory preformed aluminum jackets may be used in lieu of the above. Provide molded PVC fitting covers when PVC

jackets are used for straight runs of pipe. Provide PVC fitting covers that have adhesive welded joints and are weatherproof laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed, and UV resistant.

3.2.3.3 PVC Jacket

Provide ultraviolet resistant PVC jacket that is adhesive welded weather tight with manufacturer's recommended adhesive. Include provision for thermal expansion.

3.2.3.4 Stainless Steel Jackets

ASTM A167 or ASTM A240/A240M; Type 304, minimum thickness of 33 gauge (0.010 inch), smooth surface with factory-applied polyethylene and kraft paper moisture barrier on inside surface. Provide stainless steel bands, minimum width of 1/2 inch.

3.3 DUCT INSULATION SYSTEMS INSTALLATION

Except for oven hood exhaust duct insulation, install corner angles on external corners of insulation on ductwork in exposed finished spaces before covering with jacket. Omit duct insulation on exposed supply and return ducts in air conditioned spaces where the difference between supply air temperature and room air temperature is less than 15 degrees F unless otherwise shown. Air conditioned spaces are defined as those spaces directly supplied with cooled conditioned air (or provided with a cooling device such as a fan-coil unit) and heated conditioned air (or provided with a heating device such as a unit heater, radiator or convector).

3.3.1 Duct Insulation Minimum Thickness

Duct insulation minimum thickness in accordance with Table 4. Cold and Warm Air Ducts shall apply to Supply Ducts. Relief Ducts shall apply to Return Ducts or Relief Air Ducts ducted to an Energy Recovery Unit.

Table 4 - Minimum Duct Insulation (inches)	
Cold Air Ducts	2.0
Relief Ducts	1.5
Fresh Air Intake Ducts	1.5
Warm Air Ducts	2.0
Relief Ducts	1.5
Fresh Air Intake Ducts	1.5

3.3.2 Insulation and Vapor Retarder/Vapor Barrier for Cold Air Duct

Provide insulation and vapor retarder/vapor barrier for the following cold air ducts and associated equipment.

- a. Supply ducts.

- b. Return air ducts.
- c. Relief ducts.
- d. Flexible run-outs (field-insulated).
- e. Plenums.
- f. Duct-mounted coil casings.
- g. Coil headers and return bends.
- h. Coil casings.
- i. Fresh air intake ducts.
- j. Filter boxes.
- k. Mixing boxes (field-insulated).
- l. Supply fans (field-insulated).
- m. Site-erected air conditioner casings.
- n. Ducts exposed to weather.
- o. Combustion air intake ducts.

Use insulation for rectangular ducts that is flexible type where concealed, minimum density 3/4 pcf, and rigid type where exposed, minimum density 3 pcf. Provide insulation for both concealed or exposed round/oval ducts that is flexible type, minimum density 3/4 pcf or a semi rigid board, minimum density 3 pcf, formed or fabricated to a tight fit, edges beveled and joints tightly butted and staggered. Provide insulation for all exposed ducts with either a white, paint-able, factory-applied Type I jacket or a field applied vapor retarder/vapor barrier jacket coating finish as specified. Ensure the total field applied dry film thickness is approximately 1/16 inch. Provide insulation on all concealed duct with a factory-applied Type I or II vapor retarder/vapor barrier jacket. Continue duct insulation through sleeves and prepare openings except firewall penetrations. Duct insulation terminating at fire dampers, must be continuous over the damper collar and retaining angle of fire dampers, which are exposed to unconditioned air and which may be prone to condensate formation. Provide duct insulation and vapor retarder/vapor barrier to cover the collar, neck, and un-insulated surfaces of diffusers, registers and grills. Apply vapor retarder/vapor barrier materials to form a complete unbroken vapor seal over the insulation. Seal sheet metal duct in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

3.3.2.1 Installation on Concealed Duct

- a. For rectangular, oval or round ducts, attach flexible insulation by applying adhesive around the entire perimeter of the duct in 6 inch wide strips on 12 inch centers.
- b. For rectangular and oval ducts, 24 inches and larger, additionally secure insulation to bottom of ducts using mechanical fasteners.

Space fasteners on 16 inch centers and no more than 16 inches from duct corners.

- c. For rectangular, oval and round ducts, provide mechanical fasteners on sides of duct risers for all duct sizes. Space fasteners on 16 inch centers and no more than 16 inches from duct corners.
- d. Impale insulation on the mechanical fasteners (self stick pins) where used and press thoroughly into the adhesive. Take care to ensure vapor retarder/vapor barrier jacket joints overlap 2 inches. Do not compress insulation to a thickness less than that specified. Carry insulation over standing seams and trapeze-type duct hangers.
- e. Where mechanical fasteners are used, install self-locking washers and trim and bend the pin over.
- f. Secure jacket overlaps with staples and tape as necessary to ensure a secure seal. Coat staples, tape and seams with a brush coat of vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils embossed) - less than 0.0000 perm adhesive tape.
- g. Cover breaks in the jacket material with patches of the same material as the vapor retarder jacket. Do not extend patches less than 2 inches beyond the break or penetration in all directions and secure with tape and staples. Seal staples and tape joints with a brush coat of vapor retarder coating or PVDC adhesive tape or greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils embossed) - less than 0.0000 perm adhesive tape.
- h. At jacket penetrations such as hangers, thermometers, and damper operating rods, fill voids in the insulation and seal the penetration with a brush coat of vapor retarder coating or PVDC adhesive tape greater than 3 ply laminate (minimum 2 mils adhesive, 3 mils embossed) - less than 0.0000 perm adhesive tape.
- i. Seal insulation terminations and pin punctures and flash with a reinforced vapor retarder coating finish or tape with a brush coat of vapor retarder coating.. Ensure the coating overlaps the adjoining insulation and un-insulated surface 2 inches. Extend pin puncture coatings 2 inches from the puncture in all directions.
- j. Where insulation standoff brackets occur, extend insulation under the bracket and terminate the jacket at the bracket.

3.3.2.2 Installation on Exposed Duct Work

- a. For rectangular ducts, secure rigid insulation to the duct by mechanical fasteners on all four sides of the duct, space no more than 12 inches apart and no more than 3 inches from the edges of the insulation joints. Provide a minimum of two rows of fasteners for each side of duct 12 inches and larger. Provide one row for each side of duct less than 12 inches. Provide mechanical fasteners that are corrosion resistant as G60 coated galvanized steel, and indefinitely sustain a 50 lb tensile dead load test perpendicular to the duct wall.
- b. Form duct insulation with minimum jacket seams. Fasten each piece of rigid insulation to the duct using mechanical fasteners. When the height of projections is less than the insulation thickness, bring

insulation up to standing seams, reinforcing, and other vertical projections and do not carry over. Continue vapor retarder/barrier jacket across seams, reinforcing, and projections. When height of projections is greater than the insulation thickness, carry over insulation and jacket. Apply insulation with joints tightly butted. Neatly bevel insulation around name plates and access plates and doors.

- c. Impale insulation on the fasteners; install self-locking washers and trim and bend the pin over.
- d. Seal joints in the insulation jacket with a 4 inch wide strip of tape. Seal taped seams with a brush coat of vapor retarder coating.
- e. Cover breaks and ribs or standing seam penetrations in the jacket material with a patch of the same material as the jacket. Do not extend patches less than 2 inches beyond the break or penetration and secure with tape and staple. Seal staples and joints with a brush coat of vapor retarder coating.
- f. At jacket penetrations such as hangers, thermometers, and damper operating rods, fill the voids in the insulation and seal the penetrations with a flashing sealant.
- g. Seal and flash insulation terminations and pin punctures with a reinforced vapor retarder coating finish. Ensure coating overlaps the adjoining insulation and un-insulated surface 2 inches. Extend pin puncture coatings 2 inches from the puncture in all directions.
- h. Insulate oval and round ducts, flexible type, with factory Type I jacket insulation with minimum density of 3/4 pcf, attach in accordance with MICA standards.

3.3.3 Insulation for Warm Air Duct

Provide insulation and vapor barrier for the following warm air ducts and associated equipment:.

- a. Supply ducts.
- b. Return air ducts.
- c. Relief air ducts
- d. Flexible run-outs (field insulated).
- e. Plenums.
- f. Duct-mounted coil casings.
- g. Coil-headers and return bends.
- h. Coil casings.
- i. Fresh air intake ducts.
- j. Filter boxes.
- k. Mixing boxes.

- l. Supply fans.
- m. Site-erected air conditioner casings.
- n. Ducts exposed to weather.
- o. Exhaust ducts passing through concealed spaces exhausting conditioned air.

Provide insulation for rectangular ducts that is flexible type where concealed, and rigid type where exposed. Provide insulation on exposed ducts with a white, paint-able, factory-applied Type II jacket, or finish with adhesive finish. Use flexible type insulation for round ducts, with a factory-applied Type II jacket. Provide insulation on concealed duct with a factory-applied Type II jacket. Accomplish adhesive finish where indicated to be used by applying two coats of adhesive with a layer of glass cloth embedded between the coats. Ensure total dry film thickness is approximately 1/16 inch. Continue duct insulation through sleeves and prepare openings. Terminate duct insulation at fire dampers and flexible connections.

3.3.3.1 Installation on Concealed Duct

- a. For rectangular, oval and round ducts, attach insulation by applying adhesive around the entire perimeter of the duct in 6 inch wide strips on 12 inch centers.
- b. For rectangular and oval ducts 24 inches and larger, secure insulation to the bottom of ducts using mechanical fasteners. Space fasteners on 18 inch centers and no more than 18 inches from duct corner.
- c. For rectangular, oval and round ducts, provide mechanical fasteners on sides of duct risers for all duct sizes. Space fasteners on 18 inch centers and no more than 18 inches from duct corners.
- d. Impale insulation on the mechanical fasteners where used. Do not compress insulation to a thickness less than that specified. Carry insulation over standing seams and trapeze-type hangers.
- e. Install self-locking washers where mechanical fasteners are used and trim and bend the pin over.
- f. Do not overlap insulation jacket less than 2 inches at joints and secure the lap and staple on 4 inch centers.

3.3.3.2 Installation on Exposed Duct

- a. For rectangular ducts, secure the rigid insulation to the duct using mechanical fasteners on all four sides of the duct, space no more than 16 inches apart and no more than 6 inches from the edges of the insulation joints. Provide a minimum of two rows of fasteners for each side of duct 12 inches and larger and a minimum of one row for each side of duct less than 12 inches.
- b. Form duct insulation with factory-applied jacket with minimum jacket seams, and fasten each piece of rigid insulation to the duct using mechanical fasteners. When the height of projection is less than the insulation thickness, bring insulation up to standing seams, reinforcing, and other vertical projections and do not carry over the

projection. Continue jacket across seams, reinforcing, and projections. Where the height of projections is greater than the insulation thickness, carry insulation and jacket over the projection.

- c. Impale insulation on the fasteners; install self-locking washers and trim and bend the pin over.
- d. Seal joints on jacketed insulation with a 4 inch wide strip of tape and brush with vapor retarder coating.
- e. Cover breaks and penetrations in the jacket material with a patch of the same material as the jacket. Extend patches no less than 2 inches beyond the break or penetration and secure with adhesive and staple.
- f. Seal insulation terminations and pin punctures with tape and brush with vapor retarder coating.
- g. Insulate oval and round ducts, flexible type, with factory Type I jacket insulation, minimum density of 3/4 pcf attach by staples spaced no more than 16 inches and no more than 6 inches from the degrees of joints. Seal joints in accordance with item "d." above.

3.3.4 Duct Test Holes

After duct systems have been tested, adjusted, and balanced, repair breaks in the insulation and jacket in accordance with the applicable section of this specification for the type of duct insulation to be repaired.

3.3.5 Duct Exposed to Weather

3.3.5.1 Installation

Insulate and finish ducts exposed to weather as specified for the applicable service for exposed duct inside the building. After the above is accomplished, further finish the insulation as detailed in the following subparagraphs.

3.3.5.2 Round Duct

Laminated self-adhesive (minimum 2 mils adhesive, 3 mils embossed) vapor barrier/weatherproofing jacket - Less than 0.0000 permeability, (greater than 3 ply, standard grade, silver, white, black and embossed or greater than 8 ply, heavy duty, white and natural) membrane must be applied overlapping material by 3 inches no bands or caulking needed - see manufacturer's recommended installation instructions. Aluminum jacket with factory applied moisture retarder must be applied with the joints lapped no less than 3 inches and secured with bands located at circumferential laps and at no more than 12 inch intervals throughout. Lap horizontal joints down to shed water and located at 4 or 8 o'clock position. Seal joints with metal jacketing sealant to prevent moisture penetration. Where jacketing abuts an un-insulated surface, seal joints with metal jacketing sealant.

3.3.5.3 Fittings

Finish fittings and other irregular shapes as specified for rectangular ducts.

3.3.5.4 Rectangular Ducts

Apply two coats of weather barrier mastic reinforced with fabric or mesh for outdoor application to the entire surface. Ensure each coat of weatherproof mastic has a minimum thickness of 1/16 inch. Ensure exterior is a metal jacketing applied for mechanical abuse and weather protection, and secure with screws or vapor barrier/weatherproofing jacket less than 0.0000 permeability greater than 3 ply, standard grade, silver, white, black, and embossed or greater than 8 ply, heavy duty white and natural. Apply membrane overlapping material by 3 inches. No bands or caulking needed-see manufacturing recommend installation instructions.

3.4 EQUIPMENT INSULATION SYSTEMS INSTALLATION

3.4.1 General

Provide removable insulation sections to cover parts of equipment that must be opened periodically for maintenance including vessel covers, fasteners, flanges and accessories. Omit equipment insulation on the following:

- a. Hand-holes.
- b. Boiler manholes.
- c. Cleanouts.
- d. ASME stamps.
- e. Manufacturer's nameplates.
- f. Duct Test/Balance Test Holes.

3.4.2 Insulation for Cold Equipment

Cold equipment below 60 degrees F: Furnish insulation on equipment handling media below 60 degrees F including the following:

- a. Pumps.
- b. Refrigeration equipment parts that are not factory insulated.
- c. Drip pans under chilled equipment.
- d. Duct mounted coils.
- e. Air handling equipment parts that are not factory insulated.
- f. Expansion and air separation tanks.

3.4.2.1 Insulation Type

Provide insulation suitable for the temperature encountered. Provide material and thicknesses as shown in Table 5:

TABLE 5		
Insulation Thickness for Cold Equipment (inches)		
Equipment handling media at indicated temperature		
	Material	Thickness (inches)
35 to 60 degrees F		
	Cellular Glass	1.5
	Flexible Elastomeric Cellular	1
1 to 34 degrees F		
	Cellular Glass	3
	Flexible Elastomeric Cellular	1.5
Minus 30 to 0 degrees F		
	Cellular Glass	3.5
	Flexible Elastomeric Cellular	1.75

3.4.2.2 Pump Insulation

- a. Insulate pumps by forming a box around the pump housing. Construct the box by forming the bottom and sides using joints that do not leave raw ends of insulation exposed. Join joints between sides and between sides and bottom by adhesive with lap strips for rigid mineral fiber and contact adhesive for flexible elastomeric cellular insulation. Ensure box conforms to the requirements of MICA Insulation Stds plate No. 49 when using flexible elastomeric cellular insulation. Ensure joints between top cover and sides fit tightly forming a female shiplap joint on the side pieces and a male joint on the top cover, thus making the top cover removable.
- b. Protect exposed insulation corners with corner angles.
- c. Upon completion of installation of the insulation, including removable sections, apply two coats of vapor retarder coating with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch. Provide a parting line between the box and the removable sections allowing the removable sections to be removed without disturbing the insulation coating. Apply flashing sealant to parting line, between equipment and removable section insulation, and at all penetrations.

3.4.2.3 Other Equipment

- a. Form or fabricate insulation to fit the equipment. To ensure a tight fit on round equipment, bevel edges and tightly butt and stagger joints.

- b. Secure insulation in place with bands or wires at intervals as recommended by the manufacturer but no more than 12 inch centers except adhere flexible elastomeric cellular with contact adhesive. Protect insulation corners under wires and bands with suitable corner angles.
- c. Install cellular glass in accordance with manufacturer's instructions. Seal joints and ends with joint sealant, and seal with a vapor retarder coating.
- d. Use removable insulation on heads of heat exchangers. Fabricate removable section joints using a male-female shiplap type joint. Finish the entire surface of the removable section by applying two coats of vapor retarder coating with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch.
- e. Protect exposed insulation corners with corner angles.
- f. Apply insulation on equipment with ribs over 6 by 6 inches by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot weld to the equipment over the ribs. Secure insulation to the fabric with J-hooks and 2 by 2 inches washers or securely band or wire in place on 12 inch centers.

3.4.2.4 Vapor Retarder/Vapor Barrier

Upon completion of installation of insulation, caulk penetrations. Apply two coats of vapor retarder coating or vapor barrier jacket over insulation, including removable sections, with a layer of open mesh synthetic fabric embedded between the coats. Ensure the total dry thickness of the finish is 1/16 inch. Apply flashing sealant or vapor barrier tape to parting line between equipment and removable section insulation.

3.4.3 Insulation for Hot Equipment

Furnish insulation on equipment handling media above 90 degrees F including the following:

- a. Heat exchangers.
- b. Hot water generators.
- c. Water heaters.
- d. Pumps handling media above 130 degrees F.
- e. Hot water storage tanks.
- f. Air separation tanks.
- g. Unjacketed boilers or parts of boilers.
- h. Boiler flue gas connection from boiler to stack (if inside).

3.4.3.1 Insulation

Provide insulation suitable for the temperature encountered. Insulate

shell and tube-type heat exchangers for the temperature of the shell medium.

Determine insulation thickness for hot equipment using Table 6:

TABLE 6		
Insulation Thickness for Hot Equipment (inches)		
Equipment handling steam or media at indicated pressure or temperature limit		
	Material	Thickness (inches)
15 psig or 250 degrees F		
	Rigid Mineral Fiber	2
	Flexible Mineral Fiber	2
	Calcium Silicate/Perlite	4
	Cellular Glass	3
	Faced Phenolic Foam	1.5
	Flexible Elastomeric Cellular (<200 F)	1
200psig or 400 degrees F		
	Rigid Mineral Fiber	3
	Flexible Mineral Fiber	3
	Calcium Silicate/Perlite	4
	Cellular Glass	4
600 degrees F		
	Rigid Mineral Fiber	5
	Flexible Mineral Fiber	6
	Calcium Silicate/Perlite	6
	Cellular Glass	6
600 degrees F: Thickness necessary to limit the external temperature of the insulation to 120 F. Submit heat transfer calculations to substantiate insulation and thickness selection.		

3.4.3.2 Insulation of Boiler Stack and Diesel Engine Exhaust Pipe

Inside mechanical Room, bevel insulation neatly around openings and provide sheet metal insulation stop strips around such openings. Apply a skim coat of hydraulic setting cement directly to insulation. Apply a flooding coat of adhesive over hydraulic setting cement, and while still

wet, press a layer of glass cloth or tape into adhesive and seal laps and edges with adhesive. Coat glass cloth with adhesive. When dry, apply a finish coat of adhesive at can-consistency so that when dry no glass weave is observed. Provide metal jackets for stacks and exhaust pipes that are located above finished floor and spaces outside mechanical room. Apply metal jackets directly over insulation and secure with 3/4 inch wide metal bands spaced on 18 inch centers. Do not insulate name plates. Provide insulation type and thickness in accordance with the following Table 7.

TABLE 7						
Insulation and Thickness for Boiler Stack and Diesel Engine Exhaust Pipe						
Service & Surface Temperature Range (Degrees F)						
	Material	Outside Diameter (Inches)				
		0.25 - 1.25	1 - 1.67	3.5-5	6 - 10	> or = 11 - 36
Boiler Stack (Up to 400 degrees F)						
	Mineral Fiber ASTM C585 Class B-3, ASTM C547 Class 1, or ASTM C612 Class 1	N/A	N/A	3	3.5	4
	Calcium Silicate ASTM C533, Type 1	N/A	N/A	3	3.5	4
	Cellular Glass ASTM C552, Type II	1.5	1.5	1.5	2	2.5
Boiler Stack (401 to 600 degrees F)						
	Mineral Fiber ASTM C547 Class 2, ASTM C592 Class 1, or ASTM C612 Class 3	N/A	N/A	4	4	5
	Calcium Silicate ASTM C533, Type I or II	N/A	N/A	4	4	4
	Mineral Fiber/Cellular Glass Composite:					

TABLE 7						
Insulation and Thickness for Boiler Stack and Diesel Engine Exhaust Pipe						
Service & Surface Temperature Range (Degrees F)						
	Material	Outside Diameter (Inches)				
		0.25 - 1.25	1 - 1.67	3.5-5	6 - 10	> or = 11 - 36
	Mineral Fiber ASTM C547 Class 2, ASTM C592 Class 1, or ASTM C612 Class 3	1	1	1	1	2
	Cellular Glass ASTM C552, Type II	2	2	2	2	2
Boiler Stack (601 to 800 degrees F)						
	Mineral Fiber ASTM C547 Class 3, ASTM C592 Class 1, or ASTM C612 Class 3	N/A	N/A	4	4	6
	Calcium Silicate ASTM C533, Type I or II	N/A	N/A	4	4	6
Mineral Fiber/Cellular Glass Composite:						
	Mineral Fiber ASTM C547 Class 2, ASTM C592 Class 1, or ASTM C612 Class 3	2	2	2	3	3
	Cellular Glass ASTM C552, Type II	2	2	2	2	2
Diesel Engine Exhaust (Up to 700 degrees F)						
	Calcium Silicate ASTM C533, Type I or II	3	3.5	4	4	4

TABLE 7						
Insulation and Thickness for Boiler Stack and Diesel Engine Exhaust Pipe						
Service & Surface Temperature Range (Degrees F)						
	Material	Outside Diameter (Inches)				
		0.25 - 1.25	1 - 1.67	3.5-5	6 - 10	> or = 11 - 36
	Cellular Glass ASTM C552, Type II	2.5	3.5	4	4.5	6

3.4.3.3 Insulation of Pumps

Insulate pumps by forming a box around the pump housing. Construct the box by forming the bottom and sides using joints that do not leave raw ends of insulation exposed. Band bottom and sides to form a rigid housing that does not rest on the pump. Ensure joints between top cover and sides fit tightly. The top cover must have a joint forming a female shiplap joint on the side pieces and a male joint on the top cover, making the top cover removable. Apply two coats of Class I adhesive over insulation, including removable sections, with a layer of glass cloth embedded between the coats. Provide a parting line between the box and the removable sections allowing the removable sections to be removed without disturbing the insulation coating. The total dry thickness of the finish must be 1/16 inch. Apply caulking to parting line of the removable sections and penetrations.

3.4.3.4 Other Equipment

- Form or fabricate insulation to fit the equipment. To ensure a tight fit on round equipment, bevel edges and tightly butt and stagger joints.
- Secure insulation in place with bands or wires at intervals as recommended by the manufacturer but no greater than 12 inch centers except adhere flexible elastomeric cellular. Protect insulation corners under wires and bands with suitable corner angles.
- On high vibration equipment, set cellular glass insulation in a coating of bedding compound as recommended by the manufacturer, and seal joints with bedding compound. Fill mineral fiber joints with finishing cement.
- Provide removable insulation on heads of heat exchangers. Fabricate the removable section joint using a male-female shiplap type joint. Finish the entire surface of the removable section as specified.
- Protect exposed insulation corners with corner angles.

- f. On equipment with ribs, such as boiler flue gas connection, draft fans, and fly ash or soot collectors, apply insulation over 6 by 6 inch by 12 gauge welded wire fabric which has been cinched in place, or if approved by the Contracting Officer, spot weld to the equipment over the ribs. Secure insulation to the fabric with J-hooks and 2 by 2 inch washers or securely band or wire in place on 12 inch (maximum) centers.
- g. On equipment handling media above 600 degrees F, apply insulation in two or more layers with staggered joints.
- h. Upon completion of installation of insulation, caulk penetrations. Apply two coats of adhesive over insulation, including removable sections, with a layer of glass cloth embedded between the coats. The total dry thickness of the finish must be 1/16 inch. Apply caulking to parting line between equipment and removable section insulation.

3.4.4 Equipment Handling Dual Temperature Media

Below and above 60 degrees F: insulate equipment handling dual temperature media as specified for cold equipment.

3.4.5 Equipment Exposed to Weather

3.4.5.1 Installation

Insulate equipment exposed to weather and finish in accordance with the requirements for ducts exposed to weather in paragraph DUCT INSULATION INSTALLATION.

3.4.5.2 Optional Panels

At the option of the Contractor, prefabricated metal insulation panels may be used in lieu of the insulation and finish previously specified. Thermal performance must be equal to or better than that specified for field applied insulation. Provide panels that are the standard catalog product of a manufacturer of metal insulation panels. Provide fastenings, flashing, and support system conforming to published recommendations of the manufacturer for weatherproof installation and that prevent moisture from entering the insulation. Design panels to accommodate thermal expansion and to support a 250 pound walking load without permanent deformation or permanent damage to the insulation. Exterior metal cover sheet must be aluminum and exposed fastenings must be stainless steel or aluminum.

-- End of Section --

SECTION 23 08 00

COMMISSIONING OF MECHANICAL AND PLUMBING SYSTEMS

PART 1 GENERAL

Building Commissioning is a systematic, quality-focused process for enhancing the delivery of a project that focuses on verifying and documenting that all of the commissioned systems and assemblies are planned, designed, installed, tested, operated, and maintained to meet the project requirements. The purpose is to reduce the cost and performance risks associated with delivering facilities projects, and to increase value to owners, occupants, and users. Comply with specification Section 01 91 00.15 BUILDING COMMISSIONING.

1.1 SEQUENCING AND SCHEDULING

Complete the following prior to starting Functional Performance Tests of mechanical systems:

- a. All equipment and systems completed, cleaned, flushed, disinfected, calibrated, tested, and operate in accordance with contract documents and construction plans and specifications
- b. Final Duct Leakage (DALT Testing not required for this project) Report submitted and approved in accordance with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC
- c. Pre-final Testing, Adjusting, and Balancing Report submitted in accordance with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC
- e. The Certificate of Readiness submitted and approved in accordance with Section 01 91 00.15 BUILDING COMMISSIONING

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Test Equipment;

SD-06 Test Reports

Completed Pre-Functional Checklists; G

Seasonal Test Report;

Full-Load Test Report;

SD-07 Certificates

Certificate Of Readiness; G

1.3 ACCESSIBILITY REQUIREMENTS

Equipment, systems, and devices for commissioned systems must be accessible. Make necessary modifications if systems and devices are not accessible for inspections and testing.

Assist commissioning team in testing by removing equipment covers, opening access panels, and other required activities that assist with visual oversight. Furnish ladders, flashlights, meters, gauges, or other inspection equipment as necessary.

1.4 COORDINATION

Refer to Section 01 91 00.15 BUILDING COMMISSIONING for requirements pertaining to coordination during the commissioning process. Coordinate with the in accordance with Section 01 91 00.15 and in accordance with the Commissioning Plan to schedule inspections as required to support the commissioning process. Furnish additional information requested by the. Coordinate scheduling of Functional Performance Testing with the commissioning team. Provide plans, reports, notes, and other documentation to the as specified in the commissioning plan, as it is completed.

1.5 PIPE FLUSHING, TESTING, AND WATER TREATMENT REPORTS

Test requirements are specified in Division 22 and 23 piping Sections. Prepare a pipe system cleaning, flushing, and hydrostatic testing log. Provide cleaning, flushing, testing, and water treatment log and final reports.

Include the following in the pipe system cleaning, flushing, and hydrostatic testing log:

- a. Minimum flushing water velocity.
- b. Water treatment reports.
- c. Tracking checklist for managing and ensuring that all pipe sections have been cleaned, flushed, hydrostatically tested, and chemically treated.

1.6 CERTIFICATE OF READINESS

Submit Certificate of Readiness documentation in accordance with Section 01 91 00.15 BUILDING COMMISSIONING for all equipment and systems including start-up reports; completed Pre-Functional Checklists; Testing, Adjusting, and Balancing (TAB) Report; Issues Log; HVAC Controls Start-Up Reports. Do not schedule Functional Performance Tests for the system until the Certificate of Readiness for that system receives approval by the Government. The and the Mechanical, Electrical, Controls, and TAB subcontractor representatives must sign and date the Certificate of Readiness.

PART 2 PRODUCTS

2.1 TEST EQUIPMENT

Provide all testing equipment required to perform testing for the systems

to be commissioned, except for equipment specific to and used by TAB as required by Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC. Provide a sufficient quantity of two-way radios for each subcontractor. Submit list of Test Equipment and instrumentation to be used for testing including equipment/instrument identification number, equipment application or planned use, manufacturer, make, model, and serial number, and calibration history with certificates. Also list special equipment and proprietary tools specific to a piece of equipment required for testing.

2.1.1 Proprietary Equipment

Provide manufacturer's proprietary test equipment and software required by any equipment manufacturer for programming and start-up, whether specified or not. Provide manufacturer test equipment, demonstrate its use, and assist in the commissioning process as needed. Provide data logging equipment and software required to test equipment.

2.1.2 Calibration and Accuracy

Comply with equipment manufacturer's test equipment calibration procedures and intervals. Recalibrate test instruments immediately after instruments have been repaired resulting from being dropped or damaged. Affix calibration tags to test instruments. Furnish calibration records to Contracting Officer upon request.

Provide all testing equipment of sufficient quality and accuracy to test and measure system performance with the tolerances specified. Unless otherwise noted, the following minimum requirements apply: Provide temperature sensors and digital thermometers with a certified calibration within the past year to an accuracy of 0.5 degrees F and a resolution of plus or minus 0.1 degrees F. Provide pressure sensors with an accuracy of plus or minus 2.0 percent of the value range being measured (not full range of meter) and calibrated within the last year.

PART 3 EXECUTION

3.1 MEETINGS

Attend all meetings in accordance with Section 01 91 00.15 BUILDING COMMISSIONING.

Provide timely updates on construction schedule changes to allow the to execute commissioning process efficiently. Notify Contracting Officer of anticipated construction delays to commissioning activities not yet performed or not yet scheduled.

3.2 COMMISSIONING CONSTRUCTION OBSERVATION CHECKLISTS

Commissioning construction observation checklists include Pre-Functional Checklists and Functional Performance Test Checklists. Provide commissioning construction observation checklists for the Interim and Final Construction Phase Commissioning Plan in accordance with Section 01 91 00.15 BUILDING COMMISSIONING.

3.2.1 Pre-Functional Checklists

3.2.2 Functional Performance Test Checklists

3.3 PRE-FUNCTIONAL CHECKS

Pre-Functional Checks are a type of Commissioning Inspection in accordance with Section 01 91 00.15 BUILDING COMMISSIONING. Complete one Pre-Functional Checklist for each individual item of equipment or system for each system required to be commissioned including, but not limited to, ductwork, piping, equipment, fixtures, and controls. Include manufacturer start-up checklists associated with equipment with the submission of the Pre-Functional Checklists. Provide manufacturer's installation manual for each type of unit. Indicate commissioning team member inspection and validation of each Pre-Functional Checklist item by initials. Validation of each Pre-Functional Checklist item by each team member indicates that item conforms to the contract documents and validated design in their area of responsibility. Commissioning Specialist validation of each Pre-Functional Checklist item indicates that each item has been installed correctly and in accordance with contract documents. Required commissioning team members for Pre-Functional Checks includes the CxC, Mechanical Commissioning Specialist, sub-contractor representative for each trade responsible for construction/installation of the checklist item, and the TAB representative (for items impacting TAB). Submit the initialed and Completed Pre-Functional Checklists no later than 7 calendar days after completion of inspection of all checklist items for each system.

3.4 STARTUP AND INITIAL CHECKOUT

Document start-up and initial testing procedures, and include in the Completed Pre-Functional Checklists submittal, including:

- a. Startup tests and factory testing reports.
- b. Manufacturer's representative start-up, operating, troubleshooting and maintenance procedures.
- c. Additional documentation necessary for third party certification programs.
- d. Perform and clearly document system operational checks and quality control checks as they are completed, and providing a copy to the commissioning team.
- e. Correct deficiencies and sign the Certificate of Readiness for each system before functional performance testing

3.5 TESTING, ADJUSTING, AND BALANCING REPORT REVIEW AND VERIFICATION

3.5.1 Testing, Adjusting, and Balancing (TAB) Report Review

All deficiencies must be resolved prior to TAB Report approval.

3.5.2 Testing, Adjusting, and Balancing (TAB) Report Verification

All deficiencies must be resolved prior to TAB Report approval.

3.6 HVAC CONTROLS START-UP AND PERFORMANCE VERIFICATION TEST REVIEW

All deficiencies must be resolved prior to final acceptance.

3.7 FUNCTIONAL PERFORMANCE TESTING

Functional Performance Testing are a type of Commissioning Testing in accordance with Section 01 91 00.15 BUILDING COMMISSIONING. Conduct Functional Performance Testing in accordance with Section 01 91 00.15 BUILDING COMMISSIONING and requirements in this Section. Prior to Functional Performance Testing, complete all prerequisites in accordance with paragraph SEQUENCING AND SCHEDULING. Demonstrate that all system components have been installed, that each control device and item of equipment operates, and that the systems operate and perform, including interactive operation between systems, in accordance with contract documents.

3.7.1 Test Scheduling and Coordination

Schedule and conduct Initial Functional Performance Tests. Develop and implement means of artificial loading to demonstrate, to a reasonable level of confidence, the ability of the HVAC systems to handle peak seasonal loads. Schedule Functional Performance Tests for each system only after the Certificate of Readiness has been approved by the Government for the system. Correct all deficiencies identified through any prior review, inspection, or test activity before the start of Functional Performance Tests.

Functional Performance Tests must be performed with the CxC present. Government reserves the right to witness all tests. Coordinate test schedule with Government representatives.

3.7.2 Preparation

Put equipment and systems into operation and continue operation during each working day of functional performance testing, as required. Verify temperature and pressure taps in accordance with Contract Documents. Provide a pressure/temperature plug at each water sensor which is an input point to control system.

Perform minor adjustments to equipment and systems during Functional Performance Tests as deemed necessary by the commissioning team. Where calibrated DDC sensors cannot be used to record test data, provide measuring instruments, logging devices, and data acquisition equipment to record data for the complete range of test data for the required test period.

3.7.3 Testing Procedures

Provide all necessary materials and system modifications to produce the necessary flows, pressures, temperatures, and other conditions necessary to execute the test according to the specified conditions. At completion of the test, return the affected building equipment and systems to their pre-test condition.

Follow the Functional Performance Test from the approved Final Construction Phase Commissioning Plan. Perform Functional Performance Tests for each item of equipment and each system required to be commissioned. Verify all sensor calibrations, control responses,

safeties, interlocks, operating modes, sequences of operation, capacities, and all other performance requirements comply with contract, regardless of the specific items listed within the checklists provided. In general, testing must progress from equipment or components to subsystems to systems to interlocks and connections between systems. Commissioning Specialists are responsible for determining the order of components and systems to be tested.

Indicate validation of each item of equipment and systems tested by signature of each commissioning team member for each test. The Quality Control Representative, Commissioning Specialists, and Contracting Officer's Representative, if present, must indicate validation after the equipment and systems are free of deficiencies.

3.7.4 Simulating Conditions

Functional performance testing is conducted by simulating conditions at control devices to initiate a control system response. Before testing, calibrate all sensors, transducers and devices. Over-writing control input values through the control system is not acceptable unless approved by the Contracting Officer. Perform each test under conditions that simulate actual conditions as close as is practically possible. Specific examples of simulating conditions are provided below. Do not simulate conditions when damage to the system or building may result.

- a. When varying static pressures inside ductwork cannot be simulated within the duct, and where a sensor signals the controls system to initiate sequences at various duct static pressures, it is acceptable to simulate the various pressures with a Pneumatic Squeeze-Bulb Type Signaling Device with gauge temporarily attached to the sensing tube leading to the transmitter. It is not acceptable to reset the various set-points, nor to simulate an electric analog signal (unless approved as noted above).
- b. Dirty filter pressure drops can be simulated by partially blocking filter face.
- c. Freeze-stat safeties can be simulated by packing portion of sensor with ice.
- d. High outside air temperatures can be simulated with a hair blower.
- e. Raising entering cooling coil temperatures by activating a heating/preheat coil can be used to simulate entering cooling coil conditions.
- f. Do not use signal generators to simulate sensor signals unless approved by the Contracting Officer, as noted above, for special cases.
- g. Control set points can be altered. For example, to see the air conditioning compressor lockout work at an outside air temperature below 55 degrees F, when the outside air temperature is above 55 degrees F, temporarily change the lockout set point to be 0 degrees F above the current outside air temperature. Caution: Set points are not to be raised or lowered to a point to cause damage to the components, systems, or the building structure and contents.
- h. Test duct mounted smoke detectors in accordance with the manufacturer's recommendations. Perform the tests with air system at

minimum airflow condition.

- i. Test current sensing relays used for fan and pump status signals to control system to indicate unit failure and run status by resetting the set point on the relay to simulate a lost belt or unit failure while the unit is running. Confirm that the failure alarm was generated and received at the control system. After the test is conducted, return the set point to its original set-point or a set-point as indicated by the Contracting Officer.

3.7.5 Manufacturer's Representative

Provide a factory trained representative authorized by the equipment manufacturer to perform Functional Performance Testing for the following equipment:

Boilers

Packaged Direct-Expansion Refrigeration Equipment, including variable refrigerant flow (VRF) systems

Packaged Gas-Fired Air Handling Equipment

Vehicle Exhaust Systems

Fume Detection Systems

Booster Pumps

Ensure the test representative reviews, approves, and signs the completed field test report. Include person's name with signatures.

3.7.6 Sample Strategy

Complete a Functional Performance Test Checklist for each item of equipment or system to be tested.

3.7.6.1 100 Percent Sample Procedures

Systems or equipment for which 100 percent sample size are tested fail if one or more of the test procedures results in discovery of a deficiency and the deficiency cannot be resolved within 5 minutes during the test.

Re-test to the extent necessary to confirm that the deficiencies have been corrected without negatively impacting the performance of the rest of the system.

3.7.6.2 Less than 100 Percent Sample Procedures

Randomly test each sample group of identical equipment. If 10 percent of the units in the first sample fail the functional performance tests, test a second sample group, the same size as the first sample group. The second sample must not include any units from the first sample group.

If 10 percent of the units in the second sample fail, test all remaining units. If at any point frequent failures occur the CxC may stop the testing and require the contractor to perform and document a checkout of the remaining units prior to continuing functional testing.

3.8 RETESTING REQUIREMENTS

Abort tests if any deficiency prevents successful completion of the test or if any required commissioning team member is not present for the test. Re-test only after all deficiencies identified during the original tests have been corrected.

Contracting Officer may withhold payment equivalent to lost time, re-testing, and aborted tests. These costs may include salary, travel costs, and per diem for Government team members. Correct deficiencies as identified by the commissioning team and retest the systems to be commissioned.

3.9 SYSTEM ACCEPTANCE

Systems may be partially accepted prior to seasonal testing if they comply with all construction contract and accepted design requirements that can be tested during initial Functional Performance Tests. All test procedures must be successfully completed prior to full systems acceptance.

3.10 SEASONAL TESTS

Perform Initial Functional Performance Tests as soon as all contract work is completed, but prior to facility turnover, regardless of the season.

In addition to the Initial Functional Performance Tests, perform Functional Performance Tests of HVAC systems during peak heating and cooling seasons during outdoor air condition design extremes. Schedule Seasonal Functional Performance Tests in coordination with the Contracting Officer. Submit Seasonal Test Report within 14 days of test completion. Include seasonal test report in the Updated Final Commissioning Report as specified in Section 01 91 00.15 BUILDING COMMISSIONING

Execute seasonal functional performance testing, witnessed by the Contracting Officer. Correct deficiencies and make adjustments to O&M manuals and as-built drawings for applicable issues identified in any seasonal testing.

3.11 FULL-LOAD TESTS

Perform Initial Functional Performance Tests as soon as all contract work is completed, but prior to facility turnover. In addition to the Initial Functional Performance Tests, perform Functional Performance Tests of HVAC systems under full-load conditions. Develop and implement means of artificial loading to demonstrate the ability of the process cooling systems to handle peak process loads. Schedule Full-Load Functional Performance Tests in coordination with the Contracting Officer. Submit Full-Load Test Report within 14 days of test completion.

Execute full-load functional performance testing, witnessed by the Contracting Officer. Correct deficiencies and make adjustments to O&M manuals and as-built drawings for applicable issues identified in any full load testing.

3.12 TRAINING

3.13 COMMISSIONING REPORT

Include all completed Pre-Functional Checklists and Functional Performance

Checklists in the Commissioning Report as specified in Section 01 91 00.15 BUILDING COMMISSIONING. Include the approved TAB Report.

3.14 POST-CONSTRUCTION ENDURANCE TEST

Perform an Endurance Test in accordance with the paragraph in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC once during the peak heating season and once during the peak cooling season during outdoor air condition extremes with the exception that network bandwidth usage measurement and recording is not required. Use the Temporary Trending Hardware, if necessary, in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

Include the Post-Construction Trend Log Reports in the Updated Final Commissioning Report as specified in Section 01 91 00.15 BUILDING COMMISSIONING

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SECTION 23 09 00

INSTRUMENTATION AND CONTROL FOR HVAC

PART 1 GENERAL

1.1 SUMMARY

Extend the existing Automated Logice complete Direct Digital Control (DDC) system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as indicated and shown and in accordance with Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, other referenced Sections.

1.1.1 Proprietary Systems

1.1.1.1 Proprietary Systems Exempted From Open Protocol Requirements

The following systems are specifically exempted from the open protocol requirements of :

- a. A simple split (DX) system consisting of a single indoor unit and a single outdoor unit from the same manufacturer.

1.1.1.2 Implementation of Proprietary Systems

For proprietary systems exempted from open protocol requirements, a proprietary network and DDC hardware communicating via proprietary protocol are permitted. For these systems a building control network meeting the requirements of must also be provided, along with a gateway or interface to connect the proprietary system to the open building control network.

The proprietary system gateway or interface must provide the required functionality as shown on the points schedule. Scheduling, alarming, trending, overrides, network inputs, network outputs and other protocol related requirements must be met on the open protocol control system as specified in .

1.1.2 System Requirements

Provide systems meeting the requirements this Section and other Sections referenced by this Section, and which have the following characteristics:

- a. The system implements the control sequences of operation using DDC hardware to control mechanical and electrical equipment
- b. The system meet the requirements of this specification as a stand-alone system and does not require connection to any other system.
- c. Control sequences reside in DDC hardware in the building. The building control network is not dependent upon connection to a Utility Monitoring and Control System (UMCS) Front End or to any other system for performance of control sequences. To the greatest extent practical, the hardware performs control sequences without reliance on the building network, unless otherwise pre-approved by the Contracting Officer.

- d. The hardware is installed such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.
- e. All necessary documentation, configuration information, programming tools, programs, drivers, and other software are licensed to and otherwise remain with the Government such that the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.
- f. Sufficient documentation and data, including rights to documentation and data, are provided such that the Government or their agents can execute work to perform repair, replacement, upgrades, and expansions of the system without subsequent or future dependence on the Contractor, Vendor or Manufacturer.
- g. Hardware is installed and configured such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the Contractor, Vendor or Manufacturer.

1.1.3 End to End Accuracy

Select products, install and configure the system such that the maximum error of a measured value as read from the DDC Hardware over the network is less than the maximum allowable error specified for the sensor or instrumentation.

1.1.4 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.5 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere:

- a. Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for LonWorks Systems using LNS or Niagara Framework or Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS for BACnet systems with or without Niagara FrameworkSection 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.
- b. Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC
- c. Section 23 09 93 SEQUENCES OF OPERATIONS FOR HVAC CONTROLS

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING
ENGINEERS (ASHRAE)

ASHRAE FUN IP (2021) Fundamentals Handbook, I-P Edition

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C62.41 (1991; R 1995) Recommended Practice on
Surge Voltages in Low-Voltage AC Power
Circuits

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (2020) Enclosures for Electrical Equipment
(1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National
Electrical Code

NFPA 90A (2024) Standard for the Installation of
Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

UL 5085-3 (2006; Reprint Jan 2022) UL Standard for
Safety Low Voltage Transformers - Part 3:
Class 2 and Class 3 Transformers

1.4 DEFINITIONS

The following list of definitions includes terms used in Sections referenced by this Section and are included here for completeness. The definitions contained in this Section may disagree with how terms are defined or used in other documents, including documents referenced by this Section. The definitions included here are the authoritative definitions for this Section and all Sections referenced by this Section.

After each term the protocol related to that term is included in parenthesis.

1.4.1 Alarm Generation (All protocols)

Alarm Generation is the monitoring of a value, comparison of the value to alarm conditions and the creation of an alarm when the conditions set for the alarm are met. Note that this does NOT include delivery of the alarm to the final destination (such as a user interface).

1.4.2 Binary (All protocols)

A two-state system where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.4.3 Building Control Network (BCN) (All protocols)

The network connecting all DDC Hardware within a building (or specific group of buildings).

1.4.4 Commandable (All protocols)

See Overridable.

1.4.5 Configurable (All protocols)

A property, setting, or value is configurable if it can be changed via hardware settings on the device, via the use of engineering software or over the control network from the front end, and is retained through (after) loss of power.

1.4.6 Control Logic Diagram (All protocols)

A graphical representation of control logic for multiple processes that make up a system.

1.4.7 Digital Controller (All protocols)

An electronic controller, usually with internal programming logic and digital and analog input/output capability, which performs control functions.

1.4.8 Direct Digital Control (DDC) (All protocols)

Digital controllers performing control logic. Usually the controller directly senses physical values, makes control decisions with internal programs, and outputs control signals to directly operate switches, valves, dampers, and motor controllers.

1.4.9 Field Point of Connection (FPOC) (All protocols)

The FPOC is the point of connection between the UMCS IP Network and the field control network (either an IP network, a non-IP network, or a combination of both). The hardware at this location which provides the connection is generally an IT device such as a switch, IP router, or firewall.

In general, the term "FPOC Location" means the place where this connection occurs, and "FPOC Hardware" means the device that provides the connection. Sometimes the term "FPOC" is used to mean either and its actual meaning (i.e. location or hardware) is determined by the context in which it is used.

1.4.10 Gateway (All protocols)

A device that translates from one protocol application data format to another. Devices that change only the transport mechanism of the protocol - "translating" from TP/FT-10 to Ethernet/IP or from BACnet MS/TP to

BACnet over IP for example - are not gateways as the underlying data format does not change. Gateways are also called Communications Bridges or Protocol Translators.

1.4.11 IEEE 802.3 Ethernet (All protocols)

A family of local-area-network technologies providing high-speed networking features over various media, typically Cat 5, 5e or Cat 6 twisted pair copper or fiber optic cable.

1.4.12 Internet Protocol (IP, TCP/IP, UDP/IP) (All protocols)

A communication method, the most common use is the World Wide Web. At the lowest level, it is based on Internet Protocol (IP), a method for conveying and routing packets of information over various LAN media. Two common protocols using IP are User Datagram Protocol (UDP) and Transmission Control Protocol (TCP). UDP conveys information to well-known "sockets" without confirmation of receipt. TCP establishes connections, also known as "sessions", which have end-to-end confirmation and guaranteed sequence of delivery.

1.4.13 Input/Output (I/O) (All protocols)

Physical inputs and outputs to and from a device, although the term sometimes describes network or "virtual" inputs or outputs. See also "Points".

1.4.14 I/O Expansion Unit (All protocols)

An I/O expansion unit provides additional point capacity to a digital controller

1.4.15 IP subnet (All protocols)

A group of devices which share a defined range IP addresses. Devices on a common IP subnet can share data (including broadcasts) directly without the need for the traffic to traverse an IP router.

1.4.16 Local-Area Network (LAN) (All protocols)

A communication network that spans a limited geographic area and uses the same basic communication technology throughout.

1.4.17 Local Display Panels (LDPs) (All protocols)

A DDC Hardware with a display and navigation buttons, and must provide display and adjustment of points as shown on the Points Schedule and as indicated.

1.4.18 MAC Address (All protocols)

Media Access Control address. The physical device address that identifies a device on a Local Area Network.

1.4.19 Monitoring and Control (M&C) Software (All protocols)

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface for monitoring the system and configuring these functions.

1.4.20 Operator Configurable (All protocols)

Operator configurable values are values that can be changed from a single common front end user interface across multiple vendor systems.

1.4.21 Override (All protocols)

Changing the value of a point outside of the normal sequence of operation where the change has priority over the sequence and where there is a mechanism for releasing the change such that the point returns to the normal value. Overrides persist until released or overridden at the same or higher priority but are not required to persist through a loss of power. Overrides are often used by operators to change values, and generally originate at a user interface (workstation or local display panel).

1.4.22 Packaged Equipment (All protocols)

Packaged equipment is a single piece of equipment provided by a manufacturer in a substantially complete and operable condition, where the controls (DDC Hardware) are factory installed, and the equipment is sold and shipped from the manufacturer as a single entity. Disassembly and reassembly of a large piece of equipment for shipping does not prevent it from being packaged equipment. Package units may require field installation of remote sensors. Packaged equipment is also called a "packaged unit".

Note industry may use the term "Packaged System" to mean a collection of equipment that is designed to work together where each piece of equipment is packaged equipment and there is a network that connects the equipment together. A "packaged system" of this type is NOT packaged equipment; it is a collection of packaged equipment, and each piece of equipment must individually meet specification requirements.

1.4.23 Packaged Unit (All protocols)

See packaged equipment.

1.4.24 Performance Verification Test (PVT) (All protocols)

The procedure for determining if the installed BAS meets design criteria prior to final acceptance. The PVT is performed after installation, testing, and balancing of mechanical systems. Typically the PVT is performed by the Contractor in the presence of the Government.

1.4.25 Polling (All protocols)

A device periodically requesting data from another device.

1.4.26 Points (All protocols)

Physical and virtual inputs and outputs. See also paragraph INPUT/OUTPUT (I/O).

1.4.27 Proportional, Integral, and Derivative (PID) Control Loop (All protocols)

Three parameters used to control modulating equipment to maintain a setpoint. Derivative control is often not required for HVAC systems

(leaving "PI" control).

1.4.28 Repeater (All protocols)

A device that connects two control network segments and retransmits all information received on one side onto the other.

1.4.29 Router (All protocols)

A device that connects two and controls traffic between the two by retransmitting signals received from one side onto the other based on the signal destination. Routers are used to subdivide a and to limit network traffic.

1.4.30 Segment (All protocols)

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type.

1.4.31 UMCS (All protocols)

UMCS stands for Utility Monitoring and Control System. The term refers to all components by which a project site monitors, manages, and controls real-time operation of HVAC and other building systems. These components include the UMCS "front-end" and all field building control systems connected to the front-end. The front-end consists of Monitoring and Control Software (user interface software), browser-based user interfaces and network infrastructure.

The network infrastructure (the "UMCS Network"), is an IP network connecting multiple building or facility control networks to the Monitoring and Control Software.

1.4.32 UMCS Network (All protocols)

The UMCS Network connects multiple building or facility control networks to the Monitoring and Control Software.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

DDC Contractor Design Drawings;

Final As-Built Drawings; G

SD-03 Product Data

Manufacturer's Product Data; G

SD-05 Design Data

Boiler Gateway Request

SD-06 Test Reports

Existing Conditions Report

Pre-Construction Quality Control (QC) Checklist;

Post-Construction Quality Control (QC) Checklist; G

Start-Up Testing Report; G

PVT Procedures; G

PVT Report; G

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions; G

Training Documentation; G

SD-11 Closeout Submittals

Enclosure Keys; G

Password Summary Report; G

Closeout Quality Control (QC) Checklist; G

1.6 DATA PACKAGE AND SUBMITTAL REQUIREMENTS

Technical data packages consisting of technical data and computer software (meaning technical data which relates to computer software) which are specifically identified in this project and which may be defined/required in other specifications must be delivered strictly in accordance with the CONTRACT CLAUSES and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered must be identified by reference to the particular specification paragraph against which it is furnished. All submittals not specified as technical data packages are considered 'shop drawings' under the Federal Acquisition Regulation Supplement (FARS) and must contain no proprietary information and be delivered with unrestricted rights.

1.7 SOFTWARE FOR DDC HARDWARE AND GATEWAYS

Provide all software related to the programming and configuration of DDC Hardware and Gateways as indicated. License all Software to the project site. The term "controller" as used in these requirements means both DDC Hardware and Gateways.

1.8 BOILER OR CHILLER PLANT GATEWAY REQUEST

If requesting the use of a gateway to a boiler or chiller plant as indicated in paragraph Proprietary Systems Exempted From Open Protocol Requirements, submit a Boiler or Chiller Plant Gateway Request describing the configuration of the boilers or chillers including model numbers for

equipment and controllers, the sequence of operation for the units, and a justification for the need to operate the units on a shared network.

1.9 QUALITY CONTROL CHECKLISTS

The QC Representative must verify each item indicated and initial in the space provided to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

1.9.1 Pre-Construction Quality Control (QC) Checklist

Complete items indicated as Pre-Construction QC Checklist items in the QC Checklist. Submit four copies of the Pre-Construction QC Checklist.

1.9.2 Post-Construction Quality Control (QC) Checklist

Complete items indicated as Post-Construction QC Checklist items in the QC Checklist. Submit four copies of the Post-Construction QC Checklist.

1.9.3 Closeout Quality Control (QC) Checklist

Complete items indicated as Closeout QC Checklist items in the QC Checklist. Submit four copies of the Closeout QC Checklist.

PART 2 PRODUCTS

Provide products meeting the requirements of Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, other referenced Sections, and this Section.

2.1 GENERAL PRODUCT REQUIREMENTS

Units of the same type of equipment must be products of a single manufacturer. Each major component of equipment must have the manufacturer's name and address, and the model and serial number in a conspicuous place. Materials and equipment must be standard products of a manufacturer regularly engaged in the manufacturing of these and similar products. The standard products must have been in a satisfactory commercial or industrial use for two years prior to use on this project. The two year use must include applications of equipment and materials under similar circumstances and of similar size. DDC Hardware not meeting the two-year field service requirement is acceptable provided it has been successfully used by the Contractor in a minimum of two previous projects. The equipment items must be supported by a service organization. Items of the same type and purpose must be identical, including equipment, assemblies, parts and components.

2.2 PRODUCT DATA

Provide manufacturer's product data sheets documenting compliance with product specifications for each product provided under Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS, or this Section. Provide product data for all products in a single indexed compendium, organized by product type.

Submit Manufacturer's Product Data on CD-ROM.

2.3 OPERATION ENVIRONMENT

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

- a. Pressure: Pressure conditions normally encountered in the installed location.
- b. Vibration: Vibration conditions normally encountered in the installed location.
- c. Temperature:
 - (1) Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.
 - (2) Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed location.
- d. Humidity: 10 to 95 percent relative humidity, noncondensing and humidity conditions outside this range normally encountered at the installed location.

2.4 WIRELESS CAPABILITY

For products incorporating any wireless capability (including but not limited to radio frequency (RF), infrared and optical), provide products for which wireless capability can be permanently disabled at the device. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate.

2.5 ENCLOSURES

Enclosures supplied as an integral (pre-packaged) part of another product are acceptable. Provide two Enclosure Keys for each lockable enclosure on a single ring per enclosure with a tag identifying the enclosure the keys operate. Provide enclosures meeting the following minimum requirements:

2.5.1 Outdoors

For enclosures located outdoors, provide enclosures meeting NEMA 250 Type 4 requirements.

2.5.2 Mechanical and Electrical Rooms

For enclosures located in mechanical or electrical rooms, provide enclosures meeting NEMA 250 Type 4 requirements.

2.5.3 Other Locations

For enclosures in other locations including but not limited to occupied spaces, above ceilings, and in plenum returns, provide enclosures meeting NEMA 250 Type 1 requirements.

2.6 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.6.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.6.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.6.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

- a. minimum 2 inch lay of twist
- b. 100 percent shielded pairs
- c. at least 300-volt insulation
- d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation
- e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.6.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.6.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so that the connected load is no greater than 80 percent of the transformer rated capacity.

PART 3 EXECUTION

3.1 EXISTING CONDITIONS

3.1.1 Existing Conditions Survey

Perform a field survey, including testing and inspection of the equipment to be controlled and submit an Existing Conditions Report documenting the current status and its impact on the Contractor's ability to meet this specification. For those items considered nonfunctional, document the

deficiency in the report including explanation of the deficiencies and estimated costs to correct the deficiencies. As part of the report, define the scheduled need date for connection to existing equipment. Make written requests and obtain Government approval prior to disconnecting any controls and obtaining equipment downtime.

Submit four copies of the Existing Conditions Report.

3.1.2 Existing Equipment Downtime

Make written requests and obtain Government approval prior to disconnecting any controls and obtaining equipment downtime.

3.1.3 Existing Control System Devices

Inspect, calibrate, and adjust as necessary to place in proper working order all existing devices which are to be reused.

3.2 INSTALLATION

Fully install and test the control system in accordance Section 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC, and this Section.

3.2.1 Dielectric Isolation

Provide dielectric isolation where dissimilar metals are used for connection and support. Install control system in a manner that provides clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Install control system such that it does not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.2.2 Penetrations in Building Exterior

Make all penetrations through and mounting holes in the building exterior watertight.

3.2.3 Device Mounting Criteria

Install devices in accordance with the manufacturer's recommendations and as indicated and shown. Provide a weathershield for all devices installed outdoors. Provide clearance for control system maintenance by maintaining access space required to calibrate, remove, repair, or replace control system devices. Provide clearance for mechanical and electrical system maintenance; do not not interfere with the clearance requirements for mechanical and electrical system maintenance.

3.2.4 Labels and Tags

Key all labels and tags to the unique identifiers shown on the As-Built drawings. For labels exterior to protective enclosures provide engraved plastic labels mechanically attached to the enclosure or DDC Hardware. Labels inside protective enclosures may be attached using adhesive, but must not be hand written. For tags, provide plastic or metal tags mechanically attached directly to each device or attached by a metal chain or wire.

a. Label all Enclosures and DDC Hardware.

- b. Tag Airflow measurement arrays (AFMA) with flow rate range for signal output range, duct size, and pitot tube AFMA flow coefficient.
- c. Tag duct static pressure taps at the location of the pressure tap

3.2.5 Surge Protection

3.2.5.1 Power-Line Surge Protection

Protect equipment connected to AC circuits to withstand power-line surges in accordance with IEEE C62.41. Do not use fuses for surge protection.

3.2.5.2 Surge Protection for Transmitter and Control Wiring

Protect DDC hardware against or provided DDC hardware capable of withstanding surges induced on control and transmitter wiring installed outdoors and as shown. Protect equipment against the following two waveforms:

- a. A waveform with a 10-microsecond rise time, a 1000-microsecond decay time and a peak current of 60 amps.
- b. A waveform with an 8-microsecond rise time, a 20-microsecond decay time and a peak current of 500 amperes.

3.2.6 Basic Cybersecurity Requirements

3.2.6.1 Passwords

For all devices with a password, change the password from the default password. Do not use the same password for more than one device. Coordinate selection of passwords with owner. Provide a Password Summary Report documenting the password for each device and describing the procedure to change the password for each device.

Provide two hardcopies of the Password Summary Report, each copy in its own sealed envelope.

3.2.6.2 Wireless Capability

Unless otherwise indicated, disable wireless capability (including but not limited to radio frequency (RF), infrared and optical) for all devices with wireless capability. Optical and infrared capabilities may be disabled via a permanently affixed opaque cover plate. Password protecting a wireless connections does not meet this requirement; the wireless capability must be disabled.

3.2.6.3 IP Network Physical Security

Install all IP Network media in conduit. Install all IP devices including but not limited to IP-enabled DDC hardware and IP Network Hardware in lockable enclosures.

3.3 DRAWINGS AND CALCULATIONS

Provide drawings in the form and arrangement indicated and shown. Use the same abbreviations, symbols, nomenclature and identifiers shown. Assign a unique identifier as shown to each control system element on a drawing. When packaging drawings, group schedules by system. When space allows, it

is permissible to include multiple schedules for the same system on a single sheet. Except for drawings covering all systems, do not put information for different systems on the same sheet.

Submit hardcopy drawings on A3 17 by 11 inches sheets, and electronic drawings in PDF and in AutoCAD format.

- a. Submit DDC Contractor Design Drawings consisting of each drawing indicated with pre-construction information depicting the intended control system design and plans. Submit DDC Contractor Design Drawings as a single complete package: one hard copies and one copies on CD-ROM.
- b. Submit Draft As-Built Drawings consisting of each drawing indicated updated with as-built data for the system prior to PVT. Submit Draft As-Built Drawings as a single complete package: two hard copies and two copies on CD-ROM.
- c. Submit Final As-Built Drawings consisting of each drawing indicated updated with all final as-built data. Final As-Built Drawings as a single complete package: two hard copies and two copies on CD-ROM.

3.3.1 Sample Drawings

Sample drawings in electronic format are available at the Whole Building Design Guide page for this section:

<http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-23-09-00>

These drawings may prove useful in demonstrating expected drawing formatting and example content and are provided for illustrative purposes only. Note that these drawings do not meet the content requirements of this Section and must be completed to meet project requirements.

3.3.2 Drawing Index and Legend

Provide an HVAC Control System Drawing Index showing the name and number of the building, military site, State or other similar designation, and Country. In the Drawing Index, list all Contractor Design Drawings, including the drawing number, sheet number, drawing title, and computer filename when used. In the Design Drawing Legend, show and describe all symbols, abbreviations and acronyms used on the Design Drawings. Provide a single Index and Legend for the entire drawing package.

3.3.3 Thermostat and Occupancy Sensor Schedule

Provide a thermostat and occupancy sensor schedule containing each thermostat's unique identifier, room identifier and control features and functions as shown. Provide a single thermostat and occupancy sensor schedule for the entire project.

3.3.4 Valve Schedule

Provide a valve schedule containing each valve's unique identifier, size, flow coefficient Kv (Cv), pressure drop at specified flow rate, spring range, positive positioner range, actuator size, close-off pressure to torque data, dimensions, and access and clearance requirements data. In the valve schedule include actuator selection data supported by calculations of the force required to move and seal the valve, access and clearance requirements. Provide a single valve schedule for the entire project.

3.3.5 Damper Schedule

Provide a damper schedule containing each damper's unique identifier, type (opposed or parallel blade), nominal and actual sizes, orientation of axis and frame, direction of blade rotation, actuator size and spring ranges, operation rate, positive positioner range, location of actuators and damper end switches, arrangement of sections in multi-section dampers, and methods of connecting dampers, actuators, and linkages. Include the AMCA 511 maximum leakage rate at the operating static-pressure differential for each damper in the Damper Schedule. Provide a single damper schedule for the entire project.

3.3.6 Project Summary Equipment Schedule

Provide a project summary equipment schedule containing the manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a single project equipment schedule for the entire project.

3.3.7 Equipment Schedule

Provide system equipment schedules containing the unique identifier, manufacturer, model number, part number and descriptive name for each control device, hardware and component provided under this specification. Provide a separate equipment schedule for each HVAC system.

3.3.8 Occupancy Schedule

Provide an occupancy schedule drawing containing the same fields as the occupancy schedule Contract Drawing with Contractor updated information. Provide a single occupancy schedule for the entire project.

3.3.9 DDC Hardware Schedule

Provide a single DDC Hardware Schedule for the entire project and including following information for each device.

3.3.9.1 DDC Hardware Identifier

The Unique DDC Hardware Identifier for the device.

3.3.9.2 HVAC System

The system "name" used to identify a specific system (the name used on the system schematic drawing for that system).

3.3.10 Points Schedule

Provide a Points Schedule in tabular form for each HVAC system, with the indicated columns and with each row representing a hardware point, network point or configuration point in the system.

- a. When a Points Schedule was included in the Contract Drawing package, use the same fields as the Contract Drawing with updated information in addition to the indicated fields.

Points Schedule Columns must include:

3.3.10.1 Point Name

The abbreviated name for the point using the indicated naming convention.

3.3.10.2 Description

A brief functional description of the point such as "Supply Air Temperature".

3.3.10.3 DDC Hardware Identifier

The Unique DDC Hardware Identifier shown on the DDC Hardware Schedule and used across all drawings for the DDC Hardware containing the point.

3.3.10.4 Settings

The value and units of any setpoints, configured setpoints, configuration parameters, and settings related to each point.

3.3.10.5 Range

The range of values, including units, associated with the point, including but not limited to a zone temperature setpoint adjustment range, a sensor measurement range, occupancy values for an occupancy input, or the status of a safety.

3.3.10.6 Input or Output (I/O) Type

The type of input or output signal associated with the point. Use the following abbreviations for entries in this column:

- a. AI: The value comes from a hardware (physical) Analog Input
- b. AO: The value is output as a hardware (physical) Analog Output
- c. BI: The value comes from a hardware (physical) Binary Input
- d. BO: The value is output as a hardware (physical) Binary Output
- e. PULSE: The value comes from a hardware (physical) Pulse Accumulator Input
- f. NET-IN: The value is provided from the network (generally from another device). Use this entry only when the value is received from another device as part of scheduling or as part of a sequence of operation, not when the value is received on the network for supervisory functions such as trending, alarming, override or display at a user interface.
- g. NET-OUT: The value is provided to another controller over the network. Use this entry only when the value is transmitted to another device as part of scheduling or as part of a sequence of operation, not when the value is transmitted on the network for supervisory functions such as trending, alarming, override or display at a user interface.

3.3.10.7 Configuration Information

Indicate the means of configuration associated with each point.

3.3.11 Riser Diagram

The Riser Diagram of the Building Control Network may be in tabular form, and must show all DDC Hardware and all Network Hardware, including network terminators. For each item, provide the unique identifier, common descriptive name, physical sequential order (previous and next device on the network), room identifier and location within room. A single riser diagram must be submitted for the entire system.

3.3.12 Control System Schematics

Provide control system schematics in the same form as the control system schematic Contract Drawing with Contractor updated information. Provide a control system schematic for each HVAC system.

3.3.13 Sequences of Operation

Provide HVAC control system sequence of operation and in the same format as the Contract Drawings. Within these drawings, refer to devices by their unique identifiers. Submit sequences of operation for each HVAC system.

3.3.14 Controller, Motor Starter and Relay Wiring Diagram

Provide controller wiring diagrams as functional wiring diagrams which show the interconnection of conductors and cables to each controller and to the identified terminals of input and output devices, starters and package equipment. Show necessary jumpers and ground connections and the labels of all conductors. Identify sources of power required for control systems and for packaged equipment control systems back to the panel board circuit breaker number, controller enclosures, magnetic starter, or packaged equipment control circuit. Show each power supply and transformer not integral to a controller, starter, or packaged equipment. Show the connected volt-ampere load and the power supply volt-ampere rating. Provide wiring diagrams for each HVAC system.

3.4 CONTROLLER TUNING

Tune each controller in a manner consistent with that described in the ASHRAE FUN IP and in the manufacturer's instruction manual. Tuning must consist of adjustment of the proportional, integral, and where applicable, the derivative (PID) settings to provide stable closed-loop control. Each loop must be tuned while the system or plant is operating at a high gain (worst case) condition, where high gain can generally be defined as a low-flow or low-load condition. Upon final adjustment of the PID settings, in response to a change in controller setpoint, the controlled variable must settle out at the new setpoint with no more than two (2) oscillations above and below setpoint. Upon settling out at the new setpoint the controller output must be steady. With the exception of naturally slow processes such as zone temperature control, the controller must settle out at the new setpoint within five (5) minutes. Set the controller to its correct setpoint and record and submit the final PID configuration settings with the O&M Instructions and on the associated Points Schedule.

3.5 START-UP

3.5.1 Start-Up Test

Perform the following startup tests for each control system to ensure that the described control system components are installed and functioning per this specification.

Adjust, calibrate, measure, program, configure, set the time schedules, and otherwise perform all necessary actions to ensure that the systems function as indicated and shown in the sequence of operation and other contract documents.

3.5.1.1 Systems Check

An item-by-item check must be performed for each HVAC system

3.5.1.1.1 Step 1 - System Inspection

With the system in unoccupied mode and with fan hand-off-auto switches in the OFF position, verify that power and main air are available where required and that all output devices are in their failsafe and normal positions. Inspect each local display panel to verify that all displays indicate shutdown conditions.

3.5.1.1.2 Step 2 - Calibration Accuracy Check

Perform a two-point accuracy check of the calibration of each HVAC control system sensing element and transmitter by comparing the value from the test instrument to the network value provided by the DDC Hardware. Use digital indicating test instruments, such as digital thermometers, motor-driven psychrometers, and tachometers. Use test instruments with accuracy at least twice as accurate as the specified sensor accuracy and with calibration traceable to National Institute of Standards and Technology standards. Check one the first check point in the bottom one-third of the sensor range, and the second in the top one-third of the sensor range. Verify that the sensing element-to-DDC readout accuracies at two points are within the specified product accuracy tolerances, and if not recalibrate or replace the device and repeat the calibration check.

3.5.1.1.3 Step 3 - Actuator Range Check

With the system running, apply a signal to each actuator through the DDC Hardware controller. Verify proper operation of the actuators and positioners for all actuated devices and record the signal levels for the extreme positions of each device. Vary the signal over its full range, and verify that the actuators travel from zero stroke to full stroke within the signal range. Where applicable, verify that all sequenced actuators move from zero stroke to full stroke in the proper direction, and move the connected device in the proper direction from one extreme position to the other. For valve actuators and damper actuators, perform the actuator range check under normal system pressures.

3.5.1.2 Weather Dependent Test

Perform weather dependent test procedures in the appropriate climatic season.

3.5.2 Start-Up Testing Report

Submit 4 copies of the Start-Up Testing Report. The report may be submitted as a Technical Data Package documenting the results of the tests performed and certifying that the system is installed and functioning per this specification, and is ready for the Performance Verification Test (PVT).

3.6 PERFORMANCE VERIFICATION TEST (PVT)

3.6.1 PVT Procedures

Prepare PVT Procedures based on the actions and expected results that will demonstrate that the control system performs in accordance with the sequences of operation, and other contract documents. Submit 4 copies of the PVT Procedures. The PVT Procedures may be submitted as a Technical Data Package.

3.6.1.1 Sensor Accuracy Checks

Include a one-point accuracy check of each sensor in the PVT procedures.

3.6.1.2 Endurance Test

Include a one-week endurance test as part of the PVT during which the system is operated continuously.

3.6.1.3 PVT Equipment List

Include in the PVT procedures a control system performance verification test equipment list that lists the equipment to be used during performance verification testing. For each piece of equipment, include manufacturer name, model number, equipment function, the date of the latest calibration, and the results of the latest calibration

3.6.2 PVT Execution

Demonstrate compliance of the control system with the contract documents. Using test plans and procedures approved by the Government, software capable of reading and writing COV Notification Subscriptions, Notification Class Recipient List Properties, event enrollments, demonstrate all physical and functional requirements of the project. Show, step-by-step, the actions and results demonstrating that the control systems perform in accordance with the sequences of operation. Do not start the performance verification test until after receipt of written permission by the Government, based on Government approval of the PVT Plan and Draft As-Builts and completion of balancing. UNLESS GOVERNMENT WITNESSING OF A TEST IS SPECIFICALLY WAIVED BY THE GOVERNMENT, PERFORM ALL TESTS WITH A GOVERNMENT WITNESS. Do not conduct tests during scheduled seasonal off periods of base heating and cooling systems. If the system experiences any failures during the endurance test portion of the PVT, repair the system repeat the endurance test portion of the PVT until the system operates continuously and without failure for the specified endurance test period.

3.6.3 PVT Report

Prepare and submit a PVT report documenting all tests performed during the PVT and their results. Include all tests in the PVT procedures and any

additional tests performed during PVT. Document test failures and repairs conducted with the test results.

Submit four copies of the PVT Report. The PVT Report may be submitted as a Technical Data Package.

3.7 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide HVAC control System Operation and Maintenance Instructions which include:

- a. "Data Package 3" as indicated in Section 01 78 23 OPERATION AND MAINTENANCE DATA for each piece of control equipment.
- b. "Data Package 4" as described in Section 01 78 23 OPERATION AND MAINTENANCE DATA for all air compressors.
- c. HVAC control system sequences of operation formatted as indicated.
- d. Procedures for the HVAC system start-up, operation and shut-down including the manufacturer's supplied procedures for each piece of equipment, and procedures for the overall HVAC system.
- e. As-built HVAC control system detail drawings formatted as indicated.
- f. Routine maintenance checklist. Provide the routine maintenance checklist arranged in a columnar format, where the first column lists all installed devices, the second column states the maintenance activity or that no maintenance required, the third column states the frequency of the maintenance activity, and the fourth column is used for additional comments or reference.
- g. Qualified service organization list, including at a minimum company name, contact name and phone number.
- h. Start-Up Testing Report.
- i. Performance Verification Test (PVT) Procedures and Report.

Submit 2 copies of the Operation and Maintenance Instructions, indexed and in booklet form. The Operation and Maintenance Instructions may be submitted as a Technical Data Package.

3.8 MAINTENANCE AND SERVICE

Provide services, materials and equipment as necessary to maintain the entire system in an operational state as indicated for a period of one year from the date of final acceptance of the project. Minimize impacts on facility operations.

- a. The integration of the system specified in this section into a Utility Monitoring and Control System must not, of itself, void the warranty or otherwise alter the requirement for the one year maintenance and service period. Integration into a UMCS includes but is not limited to establishing communication between devices in the control system and the front end or devices in another system.
- b. The changing of configuration properties must not, of itself, void the warranty or otherwise alter the requirement for the one year

maintenance and service period.

3.8.1 Description of Work

Provide adjustment and repair of the system including the manufacturer's required sensor and actuator (including transducer) calibration, span and range adjustment.

3.8.2 Personnel

Use only service personnel qualified to accomplish work promptly and satisfactorily. Advise the Government in writing of the name of the designated service representative, and of any changes in personnel.

3.8.3 Scheduled Inspections

Perform two inspections at six-month intervals and provide work required. Perform inspections in June and December. During each inspection perform the indicated tasks:

- a. Perform visual checks and operational tests of equipment.
- b. Clean control system equipment including interior and exterior surfaces.
- c. Check and calibrate each field device. Check and calibrate 50 percent of the total analog inputs and outputs during the first inspection. Check and calibrate the remaining 50 percent of the analog inputs and outputs during the second major inspection. Certify analog test instrumentation accuracy to be twice the specified accuracy of the device being calibrated. Randomly check at least 25 percent of all binary inputs and outputs for proper operation during the first inspection. Randomly check at least 25 percent of the remaining binary inputs and outputs during the second inspection. If more than 20 percent of checked inputs or outputs failed the calibration check during any inspection, check and recalibrate all inputs and outputs during that inspection.
- d. Run system software diagnostics and correct diagnosed problems.
- e. Resolve any previous outstanding problems.

3.8.4 Scheduled Work

This work must be performed during regular working hours, Monday through Friday, excluding Federal holidays.

3.8.5 Emergency Service

The Government will initiate service calls when the system is not functioning properly. Qualified personnel must be available to provide service to the system. A telephone number where the service supervisor can be reached at all times must be provided. Service personnel must be at the site within 24 hours after receiving a request for service. The control system must be restored to proper operating condition as required per Section 01 78 00 CLOSEOUT SUBMITTALS.

3.8.6 Operation

After performing scheduled adjustments and repairs, verify control system operation as demonstrated by the applicable tests of the performance verification test.

3.8.7 Records and Logs

Keep dated records and logs of each task, with cumulative records for each major component, and for the complete system chronologically. Maintain a continuous log for all devices, including initial analog span and zero calibration values and digital points. Keep complete logs and provide logs for inspection onsite, demonstrating that planned and systematic adjustments and repairs have been accomplished for the control system.

3.8.8 Work Requests

Record each service call request as received and include its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion. Submit a record of the work performed within 5 days after work is accomplished.

3.8.9 System Modifications

Submit recommendations for system modification in writing. Do not make system modifications, including operating parameters and control settings, without prior approval of the Government.

3.9 TRAINING

Conduct a training course for two operating staff members designated by the Government in the maintenance and operation of the system, including specified hardware and software. Conduct 32 hours of training at the project site within 30 days after successful completion of the performance verification test. The Government reserves the right to make audio and visual recordings (using Government supplied equipment) of the training sessions for later use. Provide audiovisual equipment and other training materials and supplies required to conduct training. A training day is defined as 8 hours of classroom instruction, including two 15 minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility.

3.9.1 Training Documentation

Prepare training documentation consisting of:

- a. Course Attendee List: Develop the list of course attendees in coordination with and signed by the ControlsHVACElectrical shop supervisor.
- b. Training Manuals: Provide training manuals which include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson. When presenting portions of the course material by audiovisuals, deliver copies of those audiovisuals as a part of the printed training manuals.

3.9.2 Training Course Content

For guidance in planning the required instruction, assume that attendees will have a high school education, and are familiar with HVAC systems. During the training course, cover all of the material contained in the Operating and Maintenance Instructions, the layout and location of each controller enclosure, the layout of one of each type of equipment and the locations of each, the location of each control device external to the panels, the location of the compressed air station, preventive maintenance, troubleshooting, diagnostics, calibration, adjustment, commissioning, tuning, and repair procedures. Typical systems and similar systems may be treated as a group, with instruction on the physical layout of one such system. Present the results of the performance verification test and the Start-Up Testing Report as benchmarks of HVAC control system performance by which to measure operation and maintenance effectiveness.

3.9.3 Training Documentation Submittal Requirements

Submit hardcopy training manuals and all training materials on CD-ROM. Provide one hardcopy manual for each trainee on the Course Attendee List and 2 additional copies for archive at the project site. Provide 2 copies of the Course Attendee List with the archival copies. Training Documentation may be submitted as a Technical Data Package.

-- End of Section --

NOT FOR BIDDING PURPOSES

SECTION 23 09 13

INSTRUMENTATION AND CONTROL DEVICES FOR HVAC

PART 1 GENERAL

1.1 SUMMARY

This section provides for the instrumentation control system components excluding direct digital controllers, network controllers, gateways etc. that are necessary for a completely functional automatic control system. When combined with a Direct Digital Control (DDC) system, the Instrumentation and Control Devices covered under this section must be a complete system suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and indicated.

- a. Install hardware to perform the control sequences as specified and indicated and to provide control of the equipment as specified and indicated.
- b. Install hardware such that individual control equipment can be replaced by similar control equipment from other equipment manufacturers with no loss of system functionality.
- c. Install and configure hardware such that the Government or their agents are able to perform repair, replacement, and upgrades of individual hardware without further interaction with the installing Contractor.

1.1.1 Verification of Dimensions

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

1.1.2 Drawings

The Government will not indicate all offsets, fittings, and accessories that may be required on the drawings. Carefully investigate the mechanical, electrical, and finish conditions that could affect the work to be performed, arrange such work accordingly, and provide all work necessary to meet such conditions.

1.2 RELATED SECTIONS

Related work specified elsewhere.

Section 01 30 00 ADMINISTRATIVE REQUIREMENTS

Section 23 30 00 HVAC AIR DISTRIBUTION

Section 23 05 15 COMMON PIPING FOR HVAC

Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC

Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

- AMCA 500-D (2018) Laboratory Methods of Testing
Dampers for Rating
- AMCA 511 (2010; R 2016) Certified Ratings Program
for Air Control Devices

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

- ASME B16.15 (2018) Cast Copper Alloy Threaded Fittings
Classes 125 and 250
- ASME B16.34 (2021) Valves - Flanged, Threaded and
Welding End
- ASME B40.100 (2022) Pressure Gauges and Gauge
Attachments

ASTM INTERNATIONAL (ASTM)

- ASTM A536 (1984; R 2019; E 2019) Standard
Specification for Ductile Iron Castings

FLUID CONTROLS INSTITUTE (FCI)

- FCI 70-2 (2021) Control Valve Seat Leakage

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

- IEEE 142 (2007; Errata 2014) Recommended Practice
for Grounding of Industrial and Commercial
Power Systems - IEEE Green Book

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National
Electrical Code
- NFPA 90A (2024) Standard for the Installation of
Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

- UL 555 (2006; Reprint Aug 2016) UL Standard for
Safety Fire Dampers
- UL 555S (2014; Reprint Oct 2020) UL Standard for
Safety Smoke Dampers
- UL 5085-3 (2006; Reprint Jan 2022) UL Standard for
Safety Low Voltage Transformers - Part 3:

Class 2 and Class 3 Transformers

1.4 SUBMITTALS

Submittal requirements are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.5 DELIVERY AND STORAGE

Store and protect products from the weather, humidity, and temperature variations, dirt and dust, and other contaminants, within the storage condition limits published by the equipment manufacturer.

1.6 INPUT MEASUREMENT ACCURACY

Select, install and configure sensors, transmitters and DDC Hardware such that the maximum error of the measured value at the input of the DDC hardware is less than the maximum allowable error specified for the sensor or instrumentation.

1.7 SUBCONTRACTOR SPECIAL REQUIREMENTS

Perform all work in this section in accordance with the paragraph entitled CONTRACTOR SPECIAL REQUIREMENTS in Section 01 30 00 ADMINISTRATIVE REQUIREMENTS.

PART 2 PRODUCTS

2.1 EQUIPMENT

2.1.1 General Requirements

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1.2 Operation Environment Requirements

Unless otherwise specified, provide products rated for continuous operation under the following conditions:

2.1.2.1 Pressure

Pressure conditions normally encountered in the installed location.

2.1.2.2 Vibration

Vibration conditions normally encountered in the installed location.

2.1.2.3 Temperature

- a. Products installed indoors: Ambient temperatures in the range of 32 to 112 degrees F and temperature conditions outside this range normally encountered at the installed location.
- b. Products installed outdoors or in unconditioned indoor spaces: Ambient temperatures in the range of -35 to +151 degrees F and temperature conditions outside this range normally encountered at the installed

location.

2.1.2.4 Humidity

10 to 95 percent relative humidity, non-condensing and also humidity conditions outside this range normally encountered at the installed location.

2.2 WEATHERSHIELDS

Provide weathershields constructed of galvanized steel painted white, unpainted aluminum, aluminum painted white, or white PVC.

2.3 WIRE AND CABLE

Provide wire and cable meeting the requirements of NFPA 70 and NFPA 90A in addition to the requirements of this specification and referenced specifications.

2.3.1 Terminal Blocks

For terminal blocks which are not integral to other equipment, provide terminal blocks which are insulated, modular, feed-through, clamp style with recessed captive screw-type clamping mechanism, suitable for DIN rail mounting, and which have enclosed sides or end plates and partition plates for separation.

2.3.2 Control Wiring for Binary Signals

For Control Wiring for Binary Signals, provide 18 AWG copper or thicker wire rated for 300-volt service.

2.3.3 Control Wiring for Analog Signals

For Control Wiring for Analog Signals, provide 18 AWG or thicker, copper, single- or multiple-twisted wire meeting the following requirements:

- a. minimum 2 inch lay of twist
- b. 100 percent shielded pairs
- c. at least 300-volt insulation
- d. each pair has a 20 AWG tinned-copper drain wire and individual overall pair insulation
- e. cables have an overall aluminum-polyester or tinned-copper cable-shield tape, overall 20 AWG tinned-copper cable drain wire, and overall cable insulation.

2.3.4 Power Wiring for Control Devices

For 24-volt circuits, provide insulated copper 18 AWG or thicker wire rated for 300 VAC service. For 120-volt circuits, provide 14 AWG or thicker stranded copper wire rated for 600-volt service.

2.3.5 Transformers

Provide UL 5085-3 approved transformers. Select transformers sized so

that the connected load is no greater than 80 percent of the transformer rated capacity.

2.4 AUTOMATIC CONTROL VALVES

Provide valves with stainless-steel stems and stuffing boxes with extended necks to clear the piping insulation. Provide valves with bodies meeting ASME B16.34 or ASME B16.15 pressure and temperature class ratings based on the design operating temperature and 150 percent of the system design operating pressure. Unless otherwise specified or indicated, provide valves meeting FCI 70-2 Class III leakage rating. Provide valves rated for modulating or two-position service as indicated, which close against a differential pressure indicated as the Close-Off pressure and which are Normally-Open, Normally-Closed, or Fail-In-Last-Position as indicated.

2.4.1 Valve Type

2.4.1.1 Liquid Service 150 Degrees F or Less

Use either globe valves or ball valves except that butterfly valves may be used for sizes 4 inch and larger.

2.4.1.2 Liquid Service Above 150 Degrees F

- a. Two-position valves: Use either globe valves or ball valves except that butterfly valves may be used for sizes 4 inch and larger.
- b. Modulating valves: Use globe valves except that butterfly valves may be used for sizes 4 inch and larger.

2.4.2 Valve Flow Coefficient and Flow Characteristic

2.4.2.1 Two-Way Modulating Valves

Provide the valve coefficient (Cv) indicated. Provide equal-percentage flow characteristic for liquid service except for butterfly valves. Provide linear flow characteristic for steam service except for butterfly valves.

2.4.2.2 Three-Way Modulating Valves

Provide the valve coefficient (Cv) indicated. Provide linear flow characteristic with constant total flow throughout full plug travel.

2.4.3 Two-Position Valves

Use full line size full port valves with maximum available (Cv).

2.4.4 Globe Valves

2.4.4.1 Liquid Service Not Exceeding 150 Degrees F

- a. Valve body and body connections:
 - (1) valves 1-1/2 inches and smaller: brass or bronze body, with threaded or union ends
 - (2) valves from 2 inches to 3 inches inclusive: brass, bronze, or iron bodies. 2 inch valves with threaded connections; 2-1/2 to 3

inches valves with flanged connections

- b. Internal valve trim: Brass or bronze.
- c. Stems: Stainless steel.
- d. Provide valves compatible with a solution of 50 percent ethylene or propylene glycol.

2.4.4.2 Liquid Service Not Exceeding 250 Degrees F

- a. Valve body and body connections:

(1) valves 1-1/2 inches and smaller: brass or bronze body, with threaded or union ends

(2) valves from 2 inches to 3 inches inclusive: brass, bronze, or iron bodies. 2 inch valves with threaded connections; 2-1/2 to 3 inches valves with flanged connections

- b. Internal trim: Type 316 stainless steel including seats, seat rings, modulation plugs, valve stems, and springs.
- c. Provide valves with non-metallic parts suitable for a minimum continuous operating temperature of 250 degrees F or 50 degrees F above the system design temperature, whichever is higher.
- d. Provide valves compatible with a solution of 50 percent ethylene or propylene glycol

2.4.5 Ball Valves

2.4.5.1 Liquid Service Not Exceeding 150 Degrees F

- a. Valve body and connections:

(1) valves 1-1/2 inches and smaller: bodies of brass or bronze, with threaded or union ends

(2) valves from 2 inches to 3 inches inclusive: bodies of brass, bronze, or iron. 2 inch valves with threaded connections; valves from 2-1/2 to 3 inches with flanged connections.

- b. Ball: Stainless steel or nickel-plated brass or chrome-plated brass.
- c. Seals: Reinforced Teflon seals and EPDM O-rings.
- d. Stem: Stainless steel, blow-out proof.
- e. Provide valves compatible with a solution of 50 percent ethylene or propylene glycol.

2.4.6 Butterfly Valves

Provide butterfly valves which are threaded lug type suitable for dead-end service and modulation to the fully-closed position, with carbon-steel bodies or with ductile iron bodies in accordance with ASTM A536. Provide butterfly valves with non-corrosive discs, stainless steel shafts supported by bearings, and EPDM seats suitable for temperatures from -20

to +250 degrees F. Provide valves with rated Cv of the Cv at 70 percent (60 degrees) open position. Provide valves meeting FCI 70-2 Class VI leakage rating.

2.4.7 Duct-Coil and Terminal-Unit-Coil Valves

For duct or terminal-unit coils provide control valves with either screw type or solder-type ends. Provide flare nuts for each flare-type end valve.

2.5 DAMPERS

2.5.1 Damper Assembly

Provide single damper sections with blades no longer than 48 inches and which are no higher than 72 inches and damper blade width of 8 inches or less. When larger sizes are required, combine damper sections. Provide dampers made of steel, or other materials where indicated and with assembly frames constructed of 0.07 inch minimum thickness galvanized steel channels with mitered and welded corners. Steel channel frames constructed of 0.06 inch minimum thickness are acceptable provided the corners are reinforced.

- a. Flat blades must be made rigid by folding the edges. Blade-operating linkages must be within the frame so that blade-connecting devices within the same damper section must not be located directly in the air stream.
- b. Damper axles must be 1/2 inch minimum, plated steel rods supported in the damper frame by stainless steel or bronze bearings. Blades mounted vertically must be supported by thrust bearings.
- c. Provide dampers which do not exceed a pressure drop through the damper of 0.04 inches water gauge at 1000 ft/min in the wide-open position. Provide dampers with frames not less than 2 inch in width. Provide dampers which have been tested in accordance with AMCA 500-D.

2.5.2 Operating Linkages

For operating links external to dampers, such as crank arms, connecting rods, and line shafting for transmitting motion from damper actuators to dampers, provide links able to withstand a load equal to at least 300 percent of the maximum required damper-operating force without deforming. Rod lengths must be adjustable. Links must be brass, bronze, zinc-coated steel, or stainless steel. Working parts of joints and clevises must be brass, bronze, or stainless steel. Adjustments of crank arms must control the open and closed positions of dampers.

2.5.3 Damper Types

2.5.3.1 Flow Control Dampers

Provide parallel-blade or opposed blade type dampers for outside air, return air, relief air, exhaust, face and bypass dampers as indicated on the Damper Schedule. Blades must have interlocking edges. The channel frames of the dampers must be provided with jamb seals to minimize air leakage. Unless otherwise indicated, dampers must meet AMCA 511 Class 1 requirements. Outside air damper seals must be suitable for an operating temperature range of -40 to +167 degrees F. Dampers must be rated at not

less than 2000 ft/min air velocity.

2.5.3.2 Mechanical Rooms and Other Utility Space Ventilation Dampers

Provide utility space ventilation dampers as indicated. Unless otherwise indicated provide AMCA 511 class 3 dampers. Provide dampers rated at not less than 1500 ft/min air velocity.

2.5.3.3 Smoke Dampers

Provide smoke-damper and actuator assemblies as required to meet the building system needs and which meet the current requirements of NFPA 90A, UL 555, and UL 555S. Contractor shall consult building as-built information, coordinate with the owner, and review code information to determine smoke damper requirements. For combination fire and smoke dampers provide dampers rated for 250 degrees F Class II leakage per UL 555S.

2.6 SENSORS AND INSTRUMENTATION

Unless otherwise specified, provide sensors and instrumentation which incorporate an integral transmitter. Sensors and instrumentation, including their transmitters, must meet the specified accuracy and drift requirements at the input of the connected DDC Hardware's analog-to-digital conversion.

2.6.1 Analog and Binary Transmitters

Provide transmitters which match the characteristics of the sensor. Transmitters providing analog values must produce a linear 4-20 mAdc, 0-10 Vdc signal corresponding to the required operating range and must have zero and span adjustment. Transmitters providing binary values must have dry contacts rated at 1A at 24 Volts AC.

2.6.2 Network Transmitters

Sensors and Instrumentation incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS when used in a Lonworks network, or the requirements of 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS when used in a BACnet network.

2.6.3 Temperature Sensors

Provide the same sensor type throughout the project. Temperature sensors may be provided without transmitters. Where transmitters are used, the range must be the smallest available from the manufacturer and suitable for the application such that the range encompasses the expected range of temperatures to be measured. The end to end accuracy includes the combined effect of sensitivity, hysteresis, linearity and repeatability between the measured variable and the end user interface (graphic presentation) including transmitters if used.

2.6.3.1 Sensor Accuracy and Stability of Control

2.6.3.1.1 Conditioned Space Temperature

Plus or minus 0.5 degree F over the operating range.

2.6.3.1.2 Unconditioned Space Temperature

- a. Plus or minus 1 degree F over the range of 30 to 131 degrees F AND
- b. Plus or minus 4 degrees F over the rest of the operating range.

2.6.3.1.3 Duct Temperature

Plus or minus 0.5 degree F

2.6.3.1.4 Outside Air Temperature

- a. Plus or minus 2 degrees F over the range of -30 to +130 degrees F AND
- b. Plus or minus 1 degree F over the range of 30 to 130 degrees F.

2.6.3.1.5 High Temperature Hot Water

Plus or minus 3.6 degrees F.

2.6.3.1.6 Heating Hot Water

Plus or minus 2 degrees F.

2.6.3.2 Transmitter Drift

The maximum allowable transmitter drift: 0.25 degrees F per year.

2.6.3.3 Point Temperature Sensors

Point Sensors must be encapsulated in epoxy, series 300 stainless steel, anodized aluminum, or copper.

2.6.3.4 Temperature Sensor Details

2.6.3.4.1 Room Type

Provide the sensing element components within a decorative protective cover suitable for surrounding decor.

2.6.3.4.2 Duct Probe Type

Ensure the probe is long enough to properly sense the air stream temperature.

2.6.3.4.3 Duct Averaging Type

Continuous averaging sensors must be one foot in length for each 1 square foot of duct cross-sectional area, and a minimum length of 5 feet.

2.6.3.4.4 Pipe Immersion Type

For pipes with larger than 3 inch diameter, provide minimum 3 inch immersion. For pipes with less than 3 inch diameter, provide immersion at least half the diameter of the pipe. Provide each sensor with a corresponding pipe-mounted sensor well, unless indicated otherwise. Sensor wells must be stainless steel when used in steel piping, and brass when used in copper piping.

2.6.3.4.5 Outside Air Type

Provide the sensing element rated for outdoor use

2.6.4 Relative Humidity Sensor

Relative humidity sensors must use bulk polymer resistive or thin film capacitive type non-saturating sensing elements capable of withstanding a saturated condition without permanently affecting calibration or sustaining damage. The sensors must include removable protective membrane filters. Where required for exterior installation, sensors must be capable of surviving below freezing temperatures and direct contact with moisture without affecting sensor calibration. When used indoors, the sensor must be capable of being exposed to a condensing air stream (100 percent relative humidity) with no adverse effect to the sensor's calibration or other harm to the instrument. The sensor must be of the wall-mounted or duct-mounted type, as required by the application, and must be provided with any required accessories. Sensors used in duct high-limit applications must have a bulk polymer resistive sensing element. Duct-mounted sensors must be provided with a duct probe designed to protect the sensing element from dust accumulation and mechanical damage. Relative humidity (RH) sensors must measure relative humidity over a range of 0 percent to 100 percent with an accuracy of plus or minus 2 percent. RH sensors must function over a temperature range of 40 to 135 degrees F and must not drift more than 1 percent per year.

2.6.5 Carbon Dioxide (CO2) Sensors

Provide photometric type CO2 sensors with integral transducers and linear output. Carbon dioxide (CO2) sensors must measure CO2 concentrations between 0 to 2000 parts per million (ppm) using non-dispersible infrared (NDIR) technology with an accuracy of plus or minus 50 ppm and a maximum response time of 1 minute. The sensor must be rated for operation at ambient air temperatures within the range of 32 to 122 degrees F and relative humidity within the range of 20 to 95 percent (non-condensing). The sensor must have a maximum drift of 2 percent per year. The sensor chamber must be manufactured with a non-corrosive material that does not affect carbon dioxide sample concentration. Duct mounted sensors must be provided with a duct probe designed to protect the sensing element from dust accumulation and mechanical damage. The sensor must have a calibration interval no less than 5 years.

2.6.6 Differential Pressure Instrumentation

2.6.6.1 Differential Pressure Sensors

Provide Differential Pressure Sensors with ranges as indicated or as required for the application. Pressure sensor ranges must not exceed the high end range indicated on the Points Schedule by more than 50 percent. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The accuracy must be plus or minus 1 percent of full scale. The sensor must have a maximum drift of 2 percent per year

2.6.6.2 Differential Pressure Switch

Provide differential pressure switches with a user-adjustable setpoint which are sized for the application such that the setpoint is between 25

percent and 75 percent of the full range. The over pressure rating must be a minimum of 150 percent of the highest design pressure of either input to the sensor. The switch must have two sets of contacts and each contact must have a rating greater than it's connected load. Contacts must open or close upon rise of pressure above the setpoint or drop of pressure below the setpoint as indicated.

2.6.7 Flow Sensors

2.6.7.1 Flow Switch

Flow switch must have a repetitive accuracy of plus or minus 10 percent of actual flow setting. Switch actuation must be adjustable over the operating flow range, and must be sized for the application such that the setpoint is between 25 percent and 75 percent of the full range. The switch must have Form C snap-action contacts, rated for the application. The flow switch must have non flexible paddle with magnetically actuated contacts and be rated for service at a pressure greater than the installed conditions. Flow switch for use in sewage system must be rated for use in corrosive environments encountered.

2.6.8 Electrical Instruments

Provide Electrical Instruments with an input range as indicated or sized for the application. Unless otherwise specified, AC instrumentation must be suitable for 60 Hz operation.

2.6.8.1 Current Transducers

Current transducers must accept an AC current input and must have an accuracy of plus or minus 0.5 percent of full scale. The device must have a means for calibration. Current transducers for variable frequency applications must be rated for variable frequency operation.

2.6.8.2 Current Sensing Relays (CSRs)

Current sensing relays (CSRs) must provide a normally-open contact with a voltage and amperage rating greater than its connected load. Current sensing relays must be of split-core design. The CSR must be rated for operation at 200 percent of the connected load. Voltage isolation must be a minimum of 600 volts. The CSR must auto-calibrate to the connected load or be adjustable and field calibrated. Current sensors for variable frequency applications must be rated for variable frequency operation.

2.6.8.3 Voltage Transducers

Voltage transducers must accept an AC voltage input and have an accuracy of plus or minus 0.25 percent of full scale. The device must have a means for calibration. Line side fuses for transducer protection must be provided.

2.6.9 Carbon Monoxide Analyzer

Carbon monoxide analyzer must consist of an infrared light source in a weather proof steel enclosure for duct or stack mounting. An optical detector/analyzer in a similar enclosure, suitable for duct or stack mounting must be provided. Both assemblies must include internal blower systems to keep optical windows free of dust and ash at all times. The third component of the analyzer must be the electronics cabinet.

Automatic flue gas temperature compensation and manual/automatic zeroing devices must be provided. Unit must read parts per million (ppm) of carbon monoxide in the range of 0 to 800 ppm and the response time must be less than 3 seconds to 90 percent value. Unit measurement range must not exceed specified range by more than 50 percent. Repeatability must be plus or minus 1 percent of full scale with an accuracy of plus or minus 1 percent of full scale.

2.6.10 Occupancy Sensors

Occupancy sensors must have occupancy-sensing sensitivity adjustment and an adjustable off-delay timer with a setpoint of 15 minutes. Adjustments accessible from the face of the unit are preferred. Occupancy sensors must be rated for operation in ambient air temperatures ranging from 40 to 95 degrees F or temperatures normally encountered in the installed location. Sensors integral to wall mount on-off light switches must have an auto-off switch. Wall switch sensors must be decorator style and must fit behind a standard decorator type wall plate. All occupancy sensors, power packs, and slave packs must be UL listed. In addition to any outputs required for lighting control, the occupancy sensor must provide an output for the HVAC control system.

2.6.10.1 Passive Infrared (PIR) Occupancy Sensors

PIR occupancy sensors must have a multi-level, multi-segmented viewing lens and a conical field of view with a viewing angle of 180 degrees and a detection of at least 20 feet unless otherwise indicated or specified. PIR Sensors must provide field-adjustable background light-level adjustment with an adjustment range suitable to the light level in the sensed area, room or space. PIR sensors must be immune to false triggering from RFI and EMI.

2.6.10.2 Ultrasonic Occupancy Sensors

Ultrasonic sensors must operate at a minimum frequency 32 kHz and must be designed to not interfere with hearing aids.

2.6.10.3 Dual-Technology Occupancy Sensor (PIR and Ultrasonic)

Dual-Technology Occupancy Sensors must meet the requirements of both PIR and Ultrasonic Occupancy Sensors.

2.6.11 Vibration Switch

Vibration switch must be solid state, enclosed in a NEMA 250 Type 4 or Type 4X housing with sealed wire entry. Unit must have two independent sets of Form C switch contacts with one set to shutdown equipment upon excessive vibration and a second set for monitoring alarm level vibration. The vibration sensing range must be a true rms reading, suitable for the application. The unit must include either displacement response for low speed or velocity response for high speed application. The frequency range must be at least 3 Hz to 500 Hz. Contact time delay must be 3 seconds. The unit must have independent start-up and running delay on each switch contact. Alarm limits must be adjustable and setpoint accuracy must be plus or minus 10 percent of setting with repeatability of plus or minus 2 percent.

2.6.12 NOx Monitor

Monitor must continuously monitor and give local indication of boiler stack gas for NOx content. It must be a complete system designed to verify compliance with the Clean Air Act standards for NOx normalized to a 3 percent oxygen basis and must have a range of from 0 to 100 ppm. Sensor must be accurate to plus or minus 5 ppm. Sensor must output NOx and oxygen levels and binary output that changes state when the NOx level is above a locally adjustable NOx setpoint. Sensor must have normal, trouble and alarm lights. Sensor must have heat traced lines if the stack pickup is remote from the sensor. Sensor must be complete with automatic zero and span calibration using a timed calibration gas system, and must not require periodic maintenance or recalibration.

2.6.13 Floor Mounted Leak Detector

Leak detectors must use electrodes mounted at slab level with a minimum built-in-vertical adjustment of 0.125 inches. Detector must have a binary output. The indicator must be manual reset type.

2.6.14 Temperature Switch

2.6.14.1 Duct Mount Temperature Low Limit Safety Switch (Freezestat)

Duct mount temperature low limit switches (Freezestats) must be manual reset, low temperature safety switches at least 1 foot long per square foot of coverage which must respond to the coldest 18 inch segment with an accuracy of plus or minus 3.6 degrees F. The switch must have a field-adjustable setpoint with a range of at least 30 to 50 degrees F. The switch must have two sets of contacts, and each contact must have a rating greater than its connected load. Contacts must open or close upon drop of temperature below setpoint as indicated and must remain in this state until reset.

2.6.14.2 Pipe Mount Temperature Limit Switch (Aquastat)

Pipe mount temperature limit switches (aquastats) must have a field adjustable setpoint between 60 and 90 degrees F, an accuracy of plus or minus 3.6 degrees F and a 10 degrees F fixed deadband. The switch must have two sets of contacts, and each contact must have a rating greater than its connected load. Contacts must open or close upon change of temperature above or below setpoint as indicated.

2.6.15 Damper End Switches

Each end switch must be a hermetically sealed switch with a trip lever and over-travel mechanism. The switch enclosure must be suitable for mounting on the duct exterior and must permit setting the position of the trip lever that actuates the switch. The trip lever must be aligned with the damper blade.

End switches integral to an electric damper actuator are allowed as long as at least one is adjustable over the travel of the actuator.

2.6.16 Air Quality Sensors

Provide full spectrum air quality sensors using a hot wire element based on the Taguchi principle. The sensor must monitor a wide range of gaseous volatile organic components common in indoor air contaminants like paint

fumes, solvents, cigarette smoke, and vehicle exhaust. The sensor must automatically compensate for temperature and humidity, have span and calibration potentiometers, operate on 24 VDC power with output of 0-10 VDC, and have a service rating of 32 to 140 degrees F and 5 to 95 percent relative humidity.

2.7 INDICATING DEVICES

Coordinate this subsection with specification section 23 05 15 COMMON PIPING FOR HVAC to ensure all required devices are provided for the project. If discrepancies arise between the two sections coordinate with the engineer and owner for a path forward. All indicating devices must display readings in English (inch-pound) units.

2.7.1 Thermometers

Provide bi-metal type thermometers at locations indicated. Thermometers must have either 9 inch long scales or 3.5 inch diameter dials, with insertion, immersion, or averaging elements. Provide matching thermowells for pipe-mounted installations. Select scale ranges suitable for the intended service, with the normal operating temperature near the scale's midpoint. The thermometer's accuracy must be plus or minus 2 percent of the scale range.

2.7.1.1 Piping System Thermometers

Piping system thermometers must have brass, malleable iron or aluminum alloy case and frame, clear protective face, permanently stabilized glass tube with indicating-fluid column, white face, black numbers, and a 9 inch scale. Piping system thermometers must have an accuracy of plus or minus 1 percent of scale range. Thermometers for piping systems must have rigid stems with straight, angular, or inclined pattern. Thermometer stems must have expansion heads as required to prevent breakage at extreme temperatures. On rigid-stem thermometers, the space between bulb and stem must be filled with a heat-transfer medium.

2.7.1.2 Air-Duct Thermometers

Air-duct thermometers must have perforated stem guards and 45-degree adjustable duct flanges with locking mechanism.

2.7.2 Pressure Gauges

Provide pipe-mounted pressure gauges at the locations indicated. Gauges must conform to ASME B40.100 and have a 4 inch diameter dial and shutoff cock. Select scale ranges suitable for the intended service, with the normal operating pressure near the scale's midpoint. The gauge's accuracy must be plus or minus 2 percent of the scale range.

Gauges must be suitable for field or panel mounting as required, must have black legend on white background, and must have a pointer traveling through a 270-degree arc. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus 3 percent of scale range. Gauges must meet requirements of ASME B40.100.

2.7.3 Low Differential Pressure Gauges

Gauges for low differential pressure measurements must be a minimum of 3.5

inch (nominal) size with two sets of pressure taps, and must have a diaphragm-actuated pointer, white dial with black figures, and pointer zero adjustment. Gauge range must be suitable for the application with an upper end of the range not to exceed 150 percent of the design upper limit. Accuracy must be plus or minus two percent of scale range.

2.8 OUTPUT DEVICES

2.8.1 Actuators

Actuators must be electric (electronic) . All actuators must be normally open (NO), normally closed (NC) or fail-in-last-position (FILP) as indicated. Normally open and normally closed actuators must be of mechanical spring return type. Electric actuators must have an electronic cut off or other means to provide burnout protection if stalled. Actuators must have a visible position indicator. Electric actuators must provide position feedback to the controller as indicated. Actuators must smoothly and fully open or close the devices to which they are applied. Electric actuators must have a full stroke response time in both directions of 90 seconds or less at rated load. Electric actuators must be of the foot-mounted type with an oil-immersed gear train or the direct-coupled type. Where multiple electric actuators operate from a common signal, the actuators must provide an output signal identical to its input signal to the additional devices. All actuators must be rated for their operating environment. Actuators used outdoors must be designed and rated for outdoor use. Actuators under continuous exposure to water, such as those used in sumps, must be submersible.

Actuators incorporating an integral network connection are considered DDC Hardware and must meet the DDC Hardware requirements of Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

2.8.1.1 Valve Actuators

Valve actuators must provide shutoff pressures and torques as indicated on the Valve Schedule.

2.8.1.2 Damper Actuators

Damper actuators must provide the torque necessary per damper manufacturer's instructions to modulate the dampers smoothly over its full range of operation and torque must be at least 6 inch-pounds/1 square foot of damper area for opposed blade dampers and 9 inch-pounds/1 square foot of damper area for parallel blade dampers.

2.8.2 Relays

Relays must have contacts rated for the intended application, indicator light, and dust proof enclosure. The indicator light must be lit when the coil is energized and off when coil is not energized.

Control relay contacts must have utilization category and ratings selected for the application. Each set of contacts must incorporate a normally open (NO), normally closed (NC) and common contact. Relays must be rated for a minimum life of one million operations.

2.9 USER INPUT DEVICES

User Input Devices, including potentiometers, switches and momentary

contact push-buttons. Potentiometers must be of the thumb wheel or sliding bar type. Momentary Contact Push-Buttons may include an adjustable timer for their output. User input devices must be labeled for their function.

2.10 MULTIFUNCTION DEVICES

Multifunction devices are products which combine the functions of multiple sensor, user input or output devices into a single product. Unless otherwise specified, the multifunction device must meet all requirements of each component device. Where the requirements for the component devices conflict, the multifunction device must meet the most stringent of the requirements.

2.10.1 Current Sensing Relay Command Switch

The Current Sensing Relay portion must meet all requirements of the Current Sensing Relay input device. The Command Switch portion must meet all requirements of the Relay output device except that it must have at least one normally-open (NO) contact.

Current Sensing Relays used for Variable Frequency Drives must be rated for Variable Frequency applications unless installed on the source side of the drive. If used in this situation, the threshold for showing status must be set to allow for the VFD's control power when the drive is not enabled and provide indication of operation when the drive is enabled at minimum speed.

2.10.2 Space Sensor Module

Space Sensor Modules must be multifunction devices incorporating a temperature sensor and one or more of the following as specified and indicated on the Space Sensor Module Schedule:

- a. A temperature indicating device.
- b. A User Input Device which must adjust a temperature setpoint output.
- c. A User Input Momentary Contact Button and an output to the control system indicating zone occupancy.
- d. A three position User Input Switch labeled to indicate heating, cooling and off positions ('HEAT-COOL-OFF' switch) and providing corresponding outputs to the control system.
- e. A two position User Input Switch labeled with 'AUTO' and 'ON' positions and providing corresponding output to the control system..
- f. A multi-position User Input Switch with 'OFF' and at least two fan speed positions and providing corresponding outputs to the control system.

Space Sensor Modules cannot contain mercury (Hg).

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 General Installation Requirements

Perform the installation under the supervision of competent technicians regularly employed in the installation of DDC systems.

3.1.1.1 Device Mounting Criteria

All devices must be installed in accordance with manufacturer's recommendations and as specified and indicated. Control devices to be installed in piping and ductwork must be provided with required gaskets, flanges, thermal compounds, insulation, piping, fittings, and manual valves for shutoff, equalization, purging, and calibration. Strap-on temperature sensing elements must not be used except as specified. Spare thermowells must be installed adjacent to each thermowell containing a sensor and as indicated. Devices located outdoors must have a weathershield.

3.1.1.2 Labels and Tags

Match labels and tags to the unique identifiers indicated on the As-Built drawings. Label all enclosures and instrumentation. Tag all sensors and actuators in mechanical rooms. Tag duct static pressure taps at the location of the pressure tap. Provide plastic or metal tags, mechanically attached directly to each device or attached by a metal chain or wire. Labels exterior to protective enclosures must be engraved plastic and mechanically attached to the enclosure or instrumentation. Labels inside protective enclosures may be attached using adhesive, but must not be hand written.

3.1.2 Weathershield

Provide weathershields for sensors located outdoors. Install weathershields such that they prevent the sun from directly striking the sensor and prevent rain from directly striking or dripping onto the sensor. Install weather shields with adequate ventilation so that the sensing element responds to the ambient conditions of the surroundings. When installing weathershields near outside air intake ducts, install them such that normal outside air flow does not cause rainwater to strike the sensor.

3.1.3 Room Instrument Mounting

Mount room instruments, including but not limited to wall mounted non-adjustable space sensor modules and sensors located in occupied spaces, 48 inches above the floor unless otherwise indicated. Install adjustable devices to be ADA compliant unless otherwise indicated on the Room Sensor Schedule:

- a. Space Sensor Modules for Fan Coil Units may be either unit or wall mounted but not mounted on an exterior wall.
- b. Wall mount all other Space Sensor Modules.

3.1.4 Indication Devices Installed in Piping and Liquid Systems

Install thermometers and temperature sensing elements in liquid systems in thermowells. Provide spare Pressure/Temperature Ports (Pete's Plug) for all temperature and pressure sensing elements installed in liquid systems for calibration/testing.

3.1.5 Occupancy Sensors

Provide a sufficient quantity of occupancy sensors to provide complete coverage of the area (room or space). Occupancy sensors are to be ceiling mounted. Install occupancy sensors in accordance with NFPA 70 requirements and the manufacturer's instructions. Do not locate occupancy sensors within 6 feet of HVAC outlets or heating ducts, or where they can "see" beyond any doorway. Installation above doorway(s) is preferred. Do not use ultrasonic sensors in spaces containing ceiling fans. Install sensors to detect motion to within 2 feet of all room entrances and to not trigger due to motion outside the room. Set the off-delay timer to 15 minutes unless otherwise indicated. Adjust sensors prior to beneficial occupancy, but after installation of furniture systems, shelving, partitions, etc. For each controlled area, provide one hundred percent coverage capable of detecting small hand-motion movements, accommodating all occupancy habits of single or multiple occupants at any location within the controlled room.

3.1.6 Switches

3.1.6.1 Temperature Limit Switch

Provide a temperature limit switch (freezestat) to sense the temperature at the location indicated. Provide a sufficient number of temperature limit switches (freezestats) to provide complete coverage of the duct section but no less than 1 foot in length per square foot of cross sectional area. Install manual reset limit switches in approved, accessible locations where they can be reset easily. Install temperature limit switch (freezestat) sensing elements in a side-to-side (not top-to-bottom) serpentine pattern with the relay section at the highest point and in accordance with the manufacturer's installation instructions.

3.1.6.2 Hand-Off Auto Switches

Wire safety controls such as smoke detectors and freeze protection thermostats to protect the equipment during both hand and auto operation.

3.1.7 Temperature Sensors

Install temperature sensors in locations that are accessible and provide a good representation of sensed media. Installations in dead spaces are not acceptable. Calibrate and install sensors according to manufacturer's instructions. Select sensors only for intended application as designated or recommended by manufacturer.

3.1.7.1 Room Temperature Sensors

Mount the sensors on interior walls to sense the average room temperature at the locations indicated. Avoid locations near heat sources such as copy machines or locations by supply air outlet drafts. Mount the center of all user-adjustable sensors 48 inches above the floor to meet ADA requirements. Non user-adjustable sensors can be mounted as indicated in

paragraph ROOM INSTRUMENT MOUNTING.

3.1.7.2 Duct Temperature Sensors

3.1.7.2.1 Probe Type

Place tip of the sensor in the middle of the airstream or in accordance with manufacturer's recommendations or instructions. Provide a gasket between the sensor housing and the duct wall. Seal the duct penetration air tight. When installed in insulated duct, provide enclosure or stand off fitting to accommodate the thickness of duct insulation to allow for maintenance or replacement of the sensor and wiring terminations. Seal the duct insulation penetration vapor tight.

3.1.7.2.2 Averaging Type

Weave the sensing element in a serpentine fashion from side to side perpendicular to the flow, across the duct or air handler cross section, using durable non-metal supports in accordance with manufacturer's installation instructions. Avoid tight radius bends or kinking of the sensing element. Prevent contact between the sensing element and the duct or air handler internals. Provide a duct access door at the sensor location. The access door must be hinged on the side, factory insulated, have cam type locks, and be as large as the duct will permit, maximum 18 by 18 inches. For sensors inside air handlers, the sensors must be fully accessible through the air handler's access doors without removing any of the air handler's internals.

3.1.7.3 Immersion Temperature Sensors

Provide thermowells for sensors measuring piping, tank, or pressure vessel temperatures. Locate wells to sense continuous flow conditions. Do not install wells using extension couplings. When installed on insulated piping, provide stand enclosure or stand off fitting to accommodate the thickness of the pipe insulation and allow for maintenance or replacement of the sensor or wiring terminations. Where piping diameters are smaller than the length of the wells, provide wells in piping at elbows to sense flow across entire area of well. Wells must not restrict flow area to less than 70 percent of pipe area. Increase piping size as required to avoid restriction. Provide the sensor well with a heat-sensitive transfer agent between the sensor and the well interior ensuring contact between the sensor and the well.

3.1.7.4 Outside Air Temperature Sensors

Provide outside air temperature sensors on the building's north side with a protective weather shade that does not inhibit free air flow across the sensing element, and protects the sensor from snow, ice, and rain. Location must not be near exhaust hoods and other areas such that it is not influenced by radiation or convection sources which may affect the reading. Provide a shield to shade the sensor from direct sunlight.

3.1.8 Duct Static Pressure Sensors

Locate the duct static pressure sensing tap at 75 percent of the distance between the first and last air terminal units. If the transmitter output is a 0-10Vdc signal, locate the transmitter in the same enclosure as the air handling unit (AHU) controller for the AHU serving the terminal units. If a remote duct static pressure sensor is to be used, run the

signal wire back to the controller for the air handling unit.

3.1.9 Relative Humidity Sensors

Install relative humidity sensors in supply air ducts at least 10 feet downstream of humidity injection elements.

3.1.10 Meters

3.1.10.1 Flowmeters

Install flowmeters to ensure minimum straight unobstructed piping for at least 10 pipe diameters upstream and at least 5 pipe diameters downstream of the flowmeter, and in accordance with the manufacturer's installation instructions.

3.1.11 Dampers

3.1.11.1 Damper Actuators

Provide spring return actuators which fail to a position that protects the served equipment and space on all control dampers related to freeze protection or force protection. For all outside, makeup and relief dampers provide dampers which fail closed. Terminal fan coil units, terminal VAV units, convectors, and unit heaters may be non-spring return unless indicated otherwise. Do not mount actuators in the air stream. Do not connect multiple actuators to a common drive shaft. Install actuators so that their action seal the damper to the extent required to maintain leakage at or below the specified rate and so that they move the blades smoothly throughout the full range of motion.

3.1.11.2 Damper Installation

Install dampers straight and true, level in all planes, and square in all dimensions. Dampers must move freely without undue stress due to twisting, racking (parallelogramming), bowing, or other installation error. External linkages must operate smoothly over the entire range of motion, without deformation or slipping of any connecting rods, joints or brackets that will prevent a return to it's normal position. Blades must close completely and leakage must not exceed that specified at the rated static pressure. Provide structural support for multi-section dampers. Acceptable methods of structural support include but are not limited to U-channel, angle iron, corner angles and bolts, bent galvanized steel stiffeners, sleeve attachments, braces, and building structure. Where multi-section dampers are installed in ducts or sleeves, they must not sag due to lack of support. Do not use jackshafts to link more than three damper sections. Do not use blade to blade linkages. Install outside and return air dampers such that their blades direct their respective air streams towards each other to provide for maximum mixing of air streams.

3.1.12 Valves

Install the valves in accordance with the manufacturer's instructions.

3.1.12.1 Valve Actuators

Provide spring return actuators on all control valves where freeze protection is required. Spring return actuators for terminal fan coil units, terminal VAV units, convectors, and unit heaters are not required

unless indicated otherwise.

3.1.13 Thermometers and Gauges

3.1.13.1 Thermometers

Mount devices to allow reading while standing on the floor or ground, as applicable.

3.1.14 Wire and Cable

Provide complete electrical wiring for the Control System, including wiring to transformer primaries. Wire and Cable must be installed without splices between control devices and in accordance with NFPA 70 and NFPA 90A. Instrumentation grounding must be installed per the device manufacturer's instructions and as necessary to prevent ground loops, noise, and surges from adversely affecting operation of the system. Test installed ground rods as specified in IEEE 142. Cables and conductor wires must be tagged at both ends, with the identifier indicated on the shop drawings. Electrical work must be as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM and as indicated. Wiring external to enclosures must be run in raceways, except low-voltage control and low-voltage network wiring may be installed as follows:

- a. plenum rated cable in suspended ceilings over occupied spaces may be run without raceways
- b. nonmetallic-sheathed cables or metallic-armored cables may be installed as permitted by NFPA 70.

Install control circuit wiring not in raceways in a neat and safe manner. Wiring must not use the suspended ceiling system (including tiles, frames or hangers) for support. Where conduit or raceways are required, control circuit wiring must not run in the same conduit/raceway as power wiring over 50 volts. Run all circuits over 50 volts in conduit, metallic tubing, covered metal raceways, or armored cable.

-- End of Section --

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SECTION 23 09 23.02

BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS

PART 1 GENERAL

1.1 SUMMARY

Provide a complete Direct Digital Control (DDC) system, except for the front end which is specified in Section 25 10 10 UTILITY MONITORING AND CONTROL (UMCS) FRONT END AND INTEGRATION, suitable for the control of the heating, ventilating and air conditioning (HVAC) and other building-level systems as specified and shown and in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.1.1 System Requirements

Provide a system meeting the requirements of both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section and with the following characteristics:

- a. Except for Gateways, the control system must be an open implementation of BACnet technology using ASHRAE 135 and Fox as the communications protocols. The system must use standard ASHRAE 135 Objects and Properties and the Niagara Framework. The system must use standard ASHRAE 135 Services and the Niagara Framework exclusively for communication over the network. Gateways to packaged units must communicate with other DDC hardware using ASHRAE 135 or the Fox protocol exclusively and may communicate with packaged equipment using other protocols. The control system must be installed such that any two ASHRAE 135 devices on the Internetwork can communicate using standard ASHRAE 135 Services.
Adhering to the project contract drawings.
Except for Gateways, the control system must be an open implementation of BACnet technology using ASHRAE 135 as the communications protocol. The system must use standard ASHRAE 135 Objects and Properties. The system must use standard ASHRAE 135 Services exclusively for communication over the network. Gateways to packaged units must communicate with other DDC hardware using ASHRAE 135 exclusively and may communicate with packaged equipment using other protocols. The control system must be installed such that any two devices on the Internetwork can communicate using standard ASHRAE 135 Services.
- b. Install and configure control hardware to provide ASHRAE 135 Objects and Properties as indicated and as needed to meet the requirements of this specification.

1.1.2 Verification of Specification Requirements

Review all specifications related to the control system installation and advise the Contracting Officer of any discrepancies before performing any work. If Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC or any other Section referenced in this specification is not included in the project specifications advise the Contracting Officer and either obtain the missing Section or obtain Contracting Officer approval before performing any work.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 135 (2020; Interpretation 1-8 2021; Errata 1-2 2021; Addenda CD 2021; Addenda BV-CE 2022; Interpretation 9-12 2022; Interpretation 13-24 2023; Addenda BV-CF 2023; Errata 3 2023) BACnet—A Data Communication Protocol for Building Automation and Control Networks

BACNET TESTING LABORATORIES (BTL)

BTL Guide (v.50; 2022) BACnet Testing Laboratory Implementation Guidelines

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.3 (2022) Ethernet

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-485 (1998a; R 2012) Electrical Characteristics of Generators and Receivers for Use in Balanced Digital Multipoint Systems

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC Part 15 Radio Frequency Devices (47 CFR 15)

UNDERWRITERS LABORATORIES (UL)

UL 916 (2015; Reprint Oct 2021) UL Standard for Safety Energy Management Equipment

1.3 DEFINITIONS

For definitions related to this section, see Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.4 SUBMITTALS

Submittal requirements related to this Section are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

PART 2 PRODUCTS

All products used to meet this specification must meet the indicated requirements, but not all products specified here will be required by every project. All products must meet the requirements both Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and this Section.

2.1 NETWORK HARDWARE

2.1.1 BACnet Router

All BACnet Routers must be BACnet/IP Routers and must perform layer 3 routing of ASHRAE 135 packets over an IP network in accordance with ASHRAE 135 Annex J and Clause 6. The router must provide the appropriate connection to the IP network and connections to one or more ASHRAE 135 MS/TP networks. Devices used as BACnet Routers must meet the requirements for DDC Hardware, and must support the NM-RC-B BIBB.

2.1.2 BACnet Gateways

In addition to the requirements for DDC Hardware, the BACnet Gateway must meet the following requirements:

- a. It must perform bi-directional protocol translation from one non-ASHRAE 135 protocol to ASHRAE 135. BACnet Gateways must incorporate a network connection to an ASHRAE 135 network (either BACnet over IP in accordance with Annex J or MS/TP) and a separate connection appropriate for the non-ASHRAE 135 protocol and media.
- b. It must retain its configuration after a power loss of an indefinite time, and must automatically return to their pre-power loss state once power is restored.
- c. It must allow bi-directional mapping of data between the non-ASHRAE 135 protocol and Standard Objects as defined in ASHRAE 135. It must support the DS-RP-B BIBB for Objects requiring read access and the DS-WP-B BIBB for Objects requiring write access.
- d. It must support the DS-COV-B BIBB.

Although Gateways must meet DDC Hardware requirements they are not DDC Hardware and must not be used when DDC Hardware is required.

2.1.3 Ethernet Switch

Ethernet Switches must be managed switches and must autoconfigure between 10,100 and 1000 megabits per second (MBPS).

2.2 CONTROL NETWORK WIRING

- a. BACnet MS/TP communications wiring must be in accordance with ASHRAE 135. The wiring must use shielded, three wire (twisted-pair with reference) cable with characteristic impedance between 100 and 120 ohms. Distributed capacitance between conductors must be less than 30 pF per foot.
- b. Building Control Network Backbone IP Network must use Ethernet media. Ethernet cables must be CAT-5e at a minimum and meet all requirements of IEEE 802.3 .

2.3 DIRECT DIGITAL CONTROL (DDC) HARDWARE

2.3.1 General Requirements

All DDC Hardware must meet the following requirements:

- a. It must be locally powered and must incorporate a light to indicate the device is receiving power.
- b. It must conform to the BTL Guide
- c. It must be BACnet Testing Laboratory (BTL) Listed.
- d. The Manufacturer's Product Data submittal for each piece of DDC Hardware must include the Protocol Implementation Conformance Statement (PICS) for that hardware as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.
- e. It must communicate and be interoperable in accordance with ASHRAE 135 and have connections for BACnet IP or MS/TP control network wiring.
- f. Other than devices controlling terminal units or functioning solely as a BACnet Router, it must support DS-COV-B, DS-RPM-A and DS-RPM-B BIBBs.
- g. Devices supporting the DS-RP-A BIBB must also support the DS-COV-A BIBB.
- h. Application programs, configuration settings and communication information must be stored in a manner such that they persist through loss of power:
 - (1) Application programs must persist regardless of the length of time power is lost.
 - (2) Configured settings must persist for any loss of power less than 2,500 hours.
 - (3) Communication information, including but not limited to COV subscriptions, event reporting destinations, Notification Class Object settings, and internal communication settings, must persist for any loss of power less than 2,500 hours.
- i. Internal Clocks:
 - (1) Clocks in DDC Hardware incorporating a Clock must continue to function for 120 hours upon loss of power to the DDC Hardware.
 - (2) DDC Hardware incorporating a Clock must support the DM-TS-B or DM-UTC-B BIBB.
- j. It must have all functionality indicated and required to support the application (Sequence of Operation or portion thereof) in which it is used, including but not limited to providing Objects as specified and as indicated on the Points Schedule.
- k. In addition to these general requirements and the DDC Hardware Input-Output (I/O) Function requirements, all DDC Hardware must also meet any additional requirements for the application in which it is used (e.g. scheduling, alarming, trending, etc.).
- l. It must meet FCC Part 15 requirements and have UL 916 or equivalent safety listing.
- m. Device must support Commandable Objects to support Override requirements as detailed in PART 3 EXECUTION

- n. User interfaces which allow for modification of Properties or settings must be password-protected.
- o. Devices communicating BACnet MS/TP must meet the following requirements:
 - (1) Must have a configurable Max_Master Property.
 - (2) DDC Hardware other than hardware controlling a single terminal unit must have a configurable Max_Info_Frames Property.
 - (3) Must respond to any valid request within 50 msec with either the appropriate response or with a response of "Reply Postponed".
 - (4) Must use twisted pair with reference and shield (3-wire media) wiring, or twisted pair with shield (2-wire media) wiring and use half-wave rectification.
- p. Devices communicating BACnet/IP must use UDP Port 0xBAC0. Devices with configurable UDP Ports must default to 0xBAC0.
- q. All Device IDs, Network Numbers, and BACnet MAC addresses of devices must be fully configurable without limitation, except MS/TP MAC addresses may be limited by ASHRAE 135 requirements.
- r. DDC Hardware controlling a single terminal unit must have:
 - (1) Objects (including the Device Object) with an Object Name Property of at least 8 characters in length.
 - (2) A configurable Device Object Name.
 - (3) A configurable Device Object Description Property at least 16 characters in length.
- s. Except for Objects in DDC Hardware controlling a single terminal unit, all Objects (including Device Objects) must:
 - (1) Have a configurable Object Name Property of at least 12 characters in length.
 - (2) Have a configurable Object Description Property of at least 24 characters in length.
- t. For programmable DDC Hardware, provide and license to the project site all programming software required to program the Hardware in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.
- u. For programmable DDC Hardware, provide copies of the installed application programs (all software that is not common to every controller of the same manufacturer and model) as source code compatible with the supplied programming software in accordance with Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC. The submitted application program must be the complete application necessary for controller to function as installed and be sufficient to allow replacement of the installed controller with another controller of the same type.

2.3.2 Hardware Input-Output (I/O) Functions

DDC Hardware incorporating hardware input-output (I/O) functions must meet the following requirements:

2.3.2.1 Analog Inputs

DC Hardware analog inputs (AIs) must be implemented using ASHRAE 135 Analog Input Objects and perform analog to digital (A-to-D) conversion with a minimum resolution of 8 bits plus sign or better as needed to meet the accuracy requirements specified in Section 23 09 00. Signal conditioning including transient rejection must be provided for each analog input. Analog inputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. The AI must incorporate common mode noise rejection of at least 50 dB from 0 to 100 Hz for differential inputs, and normal mode noise rejection of at least 20 dB at 60 Hz from a source impedance of 10,000 ohms.

2.3.2.2 Analog Outputs

DDC Hardware analog outputs (AOs) must be implemented using ASHRAE 135 Analog Output Objects and perform digital to analog (D-to-A) conversion with a minimum resolution of 8 bits plus sign, and output a signal with a range of 4-20 mA_{dc} or 0-10 V_{dc}. Analog outputs must be capable of being individually calibrated for zero and span. Calibration via software scaling performed as part of point configuration is acceptable. DDC Hardware with Hand-Off-Auto (H-O-A) switches for analog outputs must provide for overriding the output through the range of 0 percent to 100 percent

2.3.2.3 Binary Inputs

DDC Hardware binary inputs (BIs) must be implemented using ASHRAE 135 Binary Input Objects and accept contact closures and must ignore transients of less than 5 milli-second duration. Protection against a transient 50VAC must be provided.

2.3.2.4 Binary Outputs

DDC Hardware binary outputs (BOs) must be implemented using ASHRAE 135 Binary Output Objects and provide relay contact closures or triac outputs for momentary and maintained operation of output devices. DDC Hardware with H-O-A switches for binary outputs must provide for overriding the output open or closed.

2.3.2.4.1 Relay Contact Closures

Closures must have a minimum duration of 0.1 second. Relays must provide at least 180V of isolation. Electromagnetic interference suppression must be provided on all output lines to limit transients to 50 Vac. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.4.2 Triac Outputs

Triac outputs must provide at least 180 V of isolation. Minimum contact rating must be 0.5 amperes at 24 Vac.

2.3.2.5 Pulse Accumulator

DDC Hardware pulse accumulators must be implemented using either an ASHRAE 135 Accumulator Object or an ASHRAE 135 Analog Value Object where the Present_Value is the totalized pulse count. Pulse accumulators must accept contact closures, ignore transients less than 5 msec duration, protect against transients of 50 VAC, and accept rates of at least 20 pulses per second.

2.3.2.6 ASHRAE 135 Objects for Hardware Inputs and Outputs

The requirements for use of ASHRAE 135 objects for hardware input and outputs includes devices where the hardware sensor or actuator is integral to the controller (e.g. a VAV box with integral damper actuator, a smart sensor, a VFD, etc.)

2.3.2.7 Integrated H-O-A Switches

Where integrated H-O-A switches are provided on hardware outputs, controller must provide means of monitoring position or status of H-O-A switch. This feedback may be provided via any valid BACnet method, including the use of proprietary Objects, Properties, or Services.

2.3.3 Local Display Panel (LDP)

The Local Display Panels (LDPs) must be DDC Hardware with a display and navigation buttons or a touch screen display, and must provide display and adjustment of ASHRAE 135 Properties as indicated on the Points Schedule and as specified. LDPs must be either BTL Listed as a B-OD, B-OWS, B-AWS, or be an integral part of another piece of DDC Hardware listed as a B-BC. For LDPs listed as B-OWS or B-AWS, the hardware must be BTL listed and the product must come factory installed with all applications necessary for the device to function as an LDP.

The adjustment of values using display and navigation buttons must be password protected.

2.3.4 Expansion Modules and Tethered Hardware

A single piece of DDC Hardware may consist of a base unit and also:

- a. An unlimited number of hardware expansion modules, where the individual hardware expansion modules are designed to directly connect, both mechanically and electrically, to the base unit hardware. The expansion modules must be commercially available as an optional add-on to the base unit.
- b. A single piece of hardware connected (tethered) to a base unit by a single cable where the cable carries a proprietary protocol between the base unit and tethered hardware. The tethered hardware must not contain control logic and be commercially available as an optional add-on to the base unit as a single package.

Note that this restriction on tethered hardware does not apply to sensors or actuators using standard binary or analog signals (not a communications protocol); sensors or actuators using standard binary or analog signals are not considered part of the DDC Hardware.

Hardware capable of being installed stand-alone, or without a separate

base unit, is DDC Hardware and must not be used as expansion modules or tethered hardware.

2.3.5 Supervisory Control Requirements

2.3.5.1 Alarm Generation Hardware

DDC Hardware used for alarm generation must meet the following requirements:

- a. Device must support the AE-N-I-B BIBB
- b. The Recipient_List Property must be Writable for all Notification Class Objects used for alarm generation.
- c. For all Objects implementing Intrinsic Alarming, the following Properties must be Writable:
 - (1) Time_Delay
 - (2) High_Limit
 - (3) Low_Limit
 - (4) Deadband
 - (5) Event_Enable
 - (6) If the issue date of this project specification is after 1 January 2016, Time_Delay_Normal must be writable.

PART 3 EXECUTION

3.1 CONTROL SYSTEM INSTALLATION3.1.1 Building Control Network (BCN)

Install the Building Control Network (BCN) as a single BACnet Internetwork consisting of a single IP network as the BCN Backbone and zero or more BACnet MS/TP networks. Note that in some cases there may only be a single device on the BCN Backbone.

Except as permitted for the non-BACnet side of Gateways, use exclusively ASHRAE 135 networks.

3.1.1.1 Building Control Network IP Backbone

Install IP Network Cabling in conduit. Install Ethernet Switches in lockable enclosures. Install the Building Control Network (BCN) IP Backbone such that it is available at the Facility Point of Connection (FPOC) location. When the FPOC location is a room number, provide sufficient additional media to ensure that the Building Control Network (BCN) IP Backbone can be extended to any location in the room.

Use UDP port 0xBAC0 for all BACnet traffic on the IP network.

3.1.1.2 BACnet MS/TP Networks

When using MS/TP, provide MS/TP networks in accordance with ASHRAE 135 and in accordance with the ASHRAE 135 figure "Mixed Devices on 3-Conductor Cable with Shield" (Figure 9-1.4 in the 2012 version of ASHRAE 135). Ground the shield at the BACnet Router and at no other point. Ground the reference wire at the BACnet Router through a 100 ohm resistor and do not ground it at any other point. In addition:

- a. Provide each segment in a doubly terminated bus topology in accordance

with TIA-485.

- b. Provide each segment with 2 sets of network bias resistors in accordance with ASHRAE 135, with one set of resistors at each end of the MS/TP network.
- c. Use 3 wire (twisted pair and reference) with shield media for all MS/TP media installed inside. Use fiber optic isolation in accordance with ASHRAE 135 for all MS/TP media installed outside buildings, or between multiple buildings.
- d. For 18 AWG cable, use segments with a maximum length of 4000 ft. When using greater distances or different wire gauges comply with the electrical specifications of TIA-485.
- e. For each controller that does not use the reference wire provide transient suppression at the network connection of the controller if the controller itself does not incorporate transient suppression.
- f. Install no more than 32 devices on each MS/TP segment. Do not use MS/TP to MS/TP routers.
- g. Connect each MS/TP network to the BCN backbone via a BACnet Router.
- h. For BACnet Routers, configure the MS/TP MAC address to 0. Assign MAC Addresses to other devices consecutively beginning at 1, with no gaps.
- i. Configure the Max_Master Property of all devices to be 31.

3.1.1.3 Building Control Network (BCN) Installation

Provide a building control network meeting the following requirements:

- a. Install all DDC Hardware connected to the Building Control Network.
- b. Where multiple pieces of DDC Hardware are used to execute one sequence, install all DDC Hardware executing that sequence on a single MS/TP network dedicated to that sequence.
- c. Traffic between BACnet networks must be exclusively via BACnet routers.

3.1.2 DDC Hardware

Install all DDC Hardware that connects to an IP network in lockable enclosure. Install other DDC Hardware that is not in suspended ceilings in lockable enclosures. For all DDC hardware with a user interface, coordinate with site to determine proper passwords and configure passwords into device.

- a. Except for zone sensors (thermostats), install all Tethered Hardware within 6 feet of its base unit.
- b. Install and configure all BTL-Listed devices in a manner consistent with their BTL Listing such that the device as provided still meets all requirements necessary for its BTL Listing.
- c. Install and configure all BTL-Listed devices in a manner consistent with the BTL Device Implementation Guidelines such that the device as provided meets all those Guidelines.

3.1.2.1 Device Identifiers, Network Addresses, and IP addresses

- a. Do not use any Device Identifier or Network Number already used by another BACnet system at the project site. Coordinate Device IDs and Network Numbers with the installation.
- b. Coordinate device IP addresses with installation. .

3.1.2.2 Object Name Property and Object Description Property

Configure the Object_Names and Object_Descriptions properties of all Objects (including Device Objects) as indicated on the Points Schedule (Point Name and Point Description) and as specified. At a minimum:

- a. Except for DDC Hardware controlling a single terminal unit, configure the Object_Name and Object_Description properties of all Objects (including Device Objects) as indicated on the Points Schedule and as specified.
- b. In DDC Hardware controlling a single terminal unit, configure the Device Object_Name and Device Object_Description as indicated on the Points Schedule and as specified.

When Points Schedule entries exceed the length limitations in the device, notify and provide recommended alternatives for approval.

3.1.2.3 Hand-Off-Auto (H-O-A) Switches

Provide Hand-Off-Auto (H-O-A) switches as specified and as indicated on the Points Schedule. Provide H-O-A Switches that are integral to the controller hardware, an external device co-located with (in the same enclosure as) the controller, integral to the controlled equipment, or an external device co-located with (in the same enclosure as) the controlled equipment.

- a. For H-O-A switches integral to DDC Hardware, meet the requirements specified in paragraph DIRECT DIGITAL CONTROL (DDC) HARDWARE.
- b. For external H-O-A switches used for binary outputs, provide for overriding the output open or closed.
- c. For eternal H-O-A switches used for analog outputs, provide for overriding through the range of 0 percent to 100 percent.

3.1.2.4 Local Display Panels

Provide LDPs to display and override values of ASHRAE 135 Object Properties as indicated on the Points Schedule. Install LDPs displaying points for anything other than a terminal unit in the same room as the equipment. Install LDPs displaying points for only terminal units in a mechanical room central to the group of terminal units it serves. For LDPs using WriteProperty to commandable objects to implement an override, write values with priority 9.

3.1.2.5 MS/TP Slave Devices

Configure all MS/TP devices as Master devices. Do not configure any devices to act as slave devices.

3.1.2.6 Change of Value (COV) and Read Property

- a. To the greatest extent possible, configure all devices to support the SubscribeCOV service (the DS-COV-B BIBB). At a minimum, all devices supporting the DS-RP-B BIBB, other than devices controlling only a single terminal unit, must be configured to support the DS-COV-B BIBB.
- b. Whenever supported by the server side, configure client devices to use the DS-COV-A BIBB.

3.1.2.7 Engineering Units

Configure devices to use English units.:

3.1.2.8 Occupancy Modes

Use the following correspondence between value and occupancy mode whenever an occupancy state or value is required:

- a. OCCUPIED mode: a value of one
- b. UNOCCUPIED mode: a value of two
- c. WARM-UP/COOL-DOWN (PRE-OCCUPANCY) mode: a value of three

Note that elsewhere in this Section the Schedule Object is required to also support a value of four, which is reserved for future use. Also note that the behavior of a system in each of these occupancy modes is indicated in the sequence of operation for the system.

3.1.2.9 Use of BACnet Objects

Use only standard non-proprietary ASHRAE 135 Objects and services to accomplish the project scope of work as follows:

- a. Use Analog Input or Analog Output Objects for all analog hardware I/O. Do not use Analog Value Object for analog hardware I/O) .
- b. Use Binary Input or Binary Output Objects for all binary hardware I/O. Do not use Binary Value Objects for binary hardware I/O.
- c. Use Analog Value Objects for analog setpoints.
- d. Use Accumulator Objects or Analog Value Objects for pulse inputs.
- e. For occupancy modes, use Multistate Value Objects and the correspondence between value and occupancy mode specified in paragraph OCCUPANCY MODES.
- f. For all other points shown on the Points Schedule as requiring an ASHRAE 135 Object, use the Object type shown on the Points Schedule or, if no Object Type is shown, use a standard Object appropriate to the point.

3.1.2.10 Use of Standard BACnet Services

Except as noted in this paragraph, for all DDC Hardware use Standard BACnet Services as defined in this specification (which excludes some ASHRAE 135 services) exclusively for application control functionality and communication.

DDC Hardware that cannot meet this requirement may use non-standard services provided they can provide identical functionality using Standard BACnet Services when communicating with BACnet devices from a different vendor. When implementing non-standard services, document all non-standard services in the DDC Hardware Schedule as specified and as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

3.1.2.11 Device Application Configuration

- a. For every property, setting or value shown on the Points Schedule or otherwise indicated as Configurable, provide a value that is retained through loss of power and can be changed via one or more of:
 - (1) BACnet services (including proprietary services)
 - (2) Hardware settings on the device
- b. For every property, setting or value shown on the Points Schedule or otherwise indicated as Operator Configurable, provide a value that is retained through loss of power and can be changed via one or more of:
 - (1) A Writable Property of a standard BACnet Object
 - (2) A Property of a standard BACnet Object that is Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable.

3.1.3 Scheduling, Alarming, Trending, and Overrides

3.1.3.1 Scheduling

Provide a separate schedule for each AHU including it's associated Terminal Units and for each stand-alone Terminal Unit (those not dependent upon AHU service) or group of stand-alone Terminal Units acting according to a common schedule.

3.1.3.2 Configuration of Alarm Generation

- a. Send alarm events as Alarms (not Events).
- b. Use the ConfirmedNotification Service for alarm events.
- c. For alarm generation, support two priority levels for alarms: critical and non-critical. Configure the Priority of Notification Class Objects to use Priority 112 for critical and 224 for non-critical alarms.
- d. Number of Notification Class Objects for Alarm Generation:
 - (1) If the device implements non-critical alarms, or if any Object in the device supports Intrinsic Alarms, then provide a single Notification Class Object specifically for (shared by) all non-critical alarms.
 - (2) If the device implements critical alarms, provide a single Notification Class Object specifically for (shared by) all critical alarms.

- (3) If the device implements both critical and non-critical alarms, provide both Notification Class Objects (one for critical, one for non-critical).
- (4) If the device controls equipment other than a single terminal unit, provide both Notification Class Objects (one for critical, one for non-critical) even if no alarm generation is required at time of installation.
- e. For all intrinsic alarms configure the Limit_Enable Property to set both HighLimitEnable and LowLimitEnable to TRUE. If the specified alarm conditions are for a single-sided alarm (only High_Limit used or only Low_Limit used) assign a value to the unused limit such that the unused alarm condition will not occur.
- f. For all objects supporting intrinsic alarming, even if no alarm generation is required during installation, configure the following Properties as follows:
 - (1) Notification_Class to point to the non-Critical Notification Class Object in that device.
 - (2) Limit_Enable to enable both the HighLimitEnable and LowLimitEnable
 - (3) Notify_Type to Alarm

3.1.3.3 Overrides

Provide an override for each point shown on the Points Schedule as requiring an override.

Unless otherwise approved, provide Commandable Objects to support all Overrides . With specific approval from the Contracting Officer, Overrides for points which are not hardware outputs and which are in DDC hardware controlling a single terminal unit may support overrides via an additional Object provided for the override. No other means of implementing Overrides may be used.

- a. Where Commandable Objects are used, ensure that WriteProperty service requests with a Priority of 10 or less take precedence over the SEQUENCE VALUE and that WriteProperty service request with a priority of 11 or more have a lower precedence than the SEQUENCE VALUE.
- b. For devices implementing overrides via additional Objects, provide Objects which are NOT Written to as part of the normal Sequence of Operations and are Writable when Out_Of_Service is TRUE and Out_Of_Service is Writable. Use this point as an Override of the normal value when Out_Of_Service is TRUE and the normal value otherwise. Note these Objects may be modified as part of the sequence via local processes, but must not be modified by local processes when Out_Of_Service is TRUE.

3.1.4 BACnet Gateways

The requirements in this paragraph do not themselves permit the installation of hardware not meeting the other requirements of this section. Except for proprietary systems specifically indicated in Section 23 09 00, all control hardware installed under this project must meet the requirements of this specification, including the control hardware

providing the network interface for a package unit or split system specified under another section. Only use gateways to connect to pre-existing control devices, and to proprietary systems specifically permitted by Section 23 09 00.

3.1.4.1 General Gateway Requirements

Provide BACnet Gateways to connect non-BACnet control hardware in accordance with the following:

- a. Configure gateways to map writable data points in the controlled equipment to Writable Properties of Standard Objects as indicated in the Points Schedule and as specified.
- b. Configure gateway to map readable data points in the controlled equipment to Readable Properties of Standard Objects as indicated in the Points Schedule and as specified.
- c. Configure gateway to support the DS-COV-B BIBB for all points mapped to BACnet Objects.
- d. Do not use non-BACnet control hardware for controlling built-up units or any other equipment that was not furnished with factory-installed controls.
- e. Do not use non-BACnet control hardware for system scheduling functions.
- f. Each gateway must communicate with and perform protocol translation for non-BACnet control hardware controlling one and only one package unit or a single non-BACnet system specifically permitted by Section 23 09 00.
- g. Connect one network port on the gateway to the Building Control Backbone IP Network or to a BACnet MS/TP network and the other port to the single piece of controlled equipment or the non-BACnet system specifically permitted by Section 23 09 00..
- h. For gateways to existing package units or simple split systems, non-BACnet network wiring connecting the gateway to the package unit must not exceed 10 feet in length and must connect to exactly two devices: the controlled equipment (packaged unit) or split system interface and the gateway.

-- End of Section --

SECTION 23 09 93

SEQUENCES OF OPERATION FOR HVAC CONTROL

PART 1 GENERAL

1.1 DEFINITIONS

For definitions related to this Section, see Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

1.2 SUBMITTALS

Submittals related to this Section are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

PART 2 PRODUCTS

Products related to this Section are specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC and related Sections 23 09 13 INSTRUMENTATION AND CONTROL DEVICES FOR HVAC and 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

PART 3 EXECUTION

3.1 SEQUENCES OF OPERATION FOR OCCUPANCY SCHEDULING

3.1.1 System Mode

Operate air handling units (AHUs) in Occupied, Warm-Up-Cool-Down, or Unoccupied modes as specified. VAV boxes, Fan Coils, and operate other terminal equipment in Occupied or Unoccupied modes as specified. Chillers, boilers, and other sources of heating/cooling for hydronic loads do not require scheduling; these systems receive requests for heating/cooling from their loads.

3.1.2 System Scheduler Requirements

The System Scheduler functionality must reside in either a piece of DDC Hardware dedicated to this functionality or in the DDC Hardware controlling the system AHU. A single piece of DDC Hardware dedicated to scheduling (performing no other control functionality) may contain multiple System Schedulers. Provide a unique System Scheduler for: each AHU including it's associated Terminal Units, and each stand-alone Terminal Unit (those not dependent upon AHU service) or group of stand-alone Terminal Units acting according to a common schedule. Each System Scheduler must provide the following functionality:

3.1.2.1 Scheduled Occupancy Input

Accept network variable of type SNVT_occupancy. Support the following possible values: OC_STANDBY, OC_OCCUPIED and OC_UNOCCUPIED.

3.1.2.2 Occupancy Override Input

Accept network variable of type SNVT_occupancy. Support the following possible values: OC_STANDBY, OC_OCCUPIED, OC_UNOCCUPIED, and OC_NUL.

3.1.2.3 Space Occupancy Inputs

For systems with multiple occupancy sensors, accept multiple inputs of network variable type SNVT_Occupancy. Support the following possible values: OC_OCCUPIED, OC_UNOCCUPIED, and OC_NUL. For systems with a single occupancy sensor, accept a network variable input of type SNVT_Occupancy or a hardware binary input (BI) indicating the space occupancy status as Occupied or Unoccupied.

3.1.2.4 Air Handler Occupancy Output

For a System Scheduler for a system containing an air handler, output one or more SNVTs indicating the desired occupancy status as one of the following possible values: Warm-Up-Cool-Down (when required by the AHU Sequence of Operation), Occupied and Unoccupied.

3.1.2.5 Terminal Unit Occupancy Output

For a System Scheduler for a stand-alone terminal unit, a group of stand-alone terminal units acting according to a common schedule, or a group of terminal units served by a single air handler, output one or more SNVTs indicating the desired occupancy status as one of the following possible values: Occupied and Unoccupied.

3.1.2.6 Default Schedule

Incorporate a 24-hour 7-day default schedule as shown on the drawings which may be activated and deactivated by the System Scheduler Logic.

3.1.2.7 Communication Determination

Determine the time elapsed between receipts of the scheduled occupancy input SNVT, and use this elapsed time to activate and deactivate the Default Schedule as specified. (This provides the capability for the system scheduler to use its Default Schedule if it loses communication with the UMCS).

3.1.3 System Scheduler Output Determination

For controlling an Air Handler, interpret a SNVT input of OC_STANDBY as Warm-Up-Cool-Down if the sequence of operation supports that mode, otherwise interpret OC_STANDBY as Occupied. For Terminal Units, interpret OC_STANDBY as Occupied.

3.1.3.1 Air Handler Occupancy Output

If more than 95 minutes have passed since the last receipt of the Scheduled Occupancy input, determine the Air Handler Occupancy Output by the default schedule and the Space Occupancy Inputs. Otherwise, determine the output as follows:

- a. If the Override Occupancy Input is not OC_NUL, determine the Air Handler Occupancy Output from the Override Occupancy Input.
- b. Otherwise, if at least the required number (as shown on the Occupancy Schedule Drawing) of Space Occupancy Inputs are OC_OCCUPIED or the hardware BI is Occupied the Air Handler Occupancy Output must be OC_OCCUPIED.

- c. Otherwise, determine the Air Handler Occupancy Output from the Scheduled Occupancy Input SNVT.

3.1.3.2 Terminal Unit Occupancy Output

If more than 95 minutes have passed since the last receipt of the Scheduled Occupancy input, determine the Terminal Unit Occupancy Output by the default schedule. Otherwise, determine the output as follows:

- a. If the Override Occupancy Input is not OC_NUL, determine the Terminal Unit Occupancy Output from the Override Occupancy Input SNVT:
- b. Otherwise, determine the Terminal Unit Occupancy Output from the Scheduled Occupancy SNVT.

3.1.4 Air Handler System Scheduling

- a. Bind the AHU Occupancy Output SNVT from the System Scheduler to the DDC Hardware that executes the Occupancy Mode Determination part of the Air Handler Sequence of Operation
- b. For Air Handlers using occupancy sensors, bind the output SNVT (of type SNVT_Occupancy) of each occupancy sensor to a Space Occupancy Input of the System Scheduler.
- c. Bind the Terminal Unit Occupancy Output SNVT from the System Scheduler to each AHU-Dependent Terminal Unit.
- d. AHU-Dependent Terminal Units with occupancy sensors must have the Effective Occupancy SNVT (of type SNVT_Occupancy) of each Terminal Unit bound to a Space Occupancy Input of the System Scheduler.

3.1.5 Stand-Alone Terminal Unit Scheduling

Bind the Terminal Unit Occupancy Output from the System Scheduler to the DDC Hardware that executes the Occupancy Mode Determination part of the Terminal Unit Sequence of Operation.

3.2 SEQUENCES OF OPERATION FOR AIR HANDLING UNITS

3.2.1 All-Air Small Package Unitary System

Install DDC hardware to perform this Sequence of Operation and to provide SNVT inputs and outputs as specified and shown on the Points Schedule. Unless otherwise specified, all modulating control must be proportional-integral (PI) control.

3.2.1.1 Fan ON-AUTO Switch

3.2.1.1.1 ON

With the thermostat fan ON-AUTO switch in the ON position, the DDC Hardware must start and continuously run the fan.

3.2.1.1.2 AUTO

With the thermostat fan ON-AUTO switch in the AUTO position, the DDC Hardware operates the fan according to HEAT-OFF-COOL-EMERG HEAT switch.

3.2.1.2 HEAT-OFF-COOL-EMERG HEAT Switch

3.2.1.2.1 HEAT-COOL-EMERG HEAT

With the thermostat switch in the HEAT or COOL or EMERG HEAT positions, use the DDC Hardware to operate the package unit according to the Occupancy Mode.

3.2.1.2.2 OFF

With the thermostat switch in the OFF position, de-energize the heating unit and cooling unit and emergency supplemental heat with the DDC Hardware.

3.2.1.3 Occupancy Modes

3.2.1.3.1 Occupied

The unit DDC Hardware must be in the Occupied Mode when the local space occupancy input(s) indicate that the space is occupied or when the input from the System Scheduler is occupied.

3.2.1.3.2 Unoccupied

The unit DDC Hardware must be in the Unoccupied Mode when the local space occupancy input(s) indicate that the space is unoccupied and when the input from the System Scheduler is unoccupied.

3.2.1.4 Safeties

Run the unit subject to the unit manufacturer's safeties.

3.2.1.5 Zone Temperature Control

- a. In the Occupied Mode the zone temperature setpoint (ZN-T-SP) must be at the configured setpoint or at the occupant-adjustable setpoint via the wall-mounted thermostat, as indicated.
- b. In the Unoccupied Mode the zone temperature setpoint (ZN-T-SP-UNOCC) must be at the configured setpoint (ZN-T-SP-UNOCC) as indicated.
- c. Cycle the fan, cooling unit, heating unit, and emergency supplemental heat with the DDC Hardware, in accordance with the HEAT-COOL-EMERG HEAT switch setting, to maintain zone temperature (ZN-T) at setpoint (ZN-T-SP).

3.2.2 Single Zone with Heating and DXCooling Coils

Install DDC hardware to perform this Sequence of Operation and to provide SNVT inputs and outputs as specified and shown on the Points Schedule. Unless otherwise specified, all modulating control must be proportional-integral (PI) control.

3.2.2.1 HAND-OFF-AUTO Switch

Provide supply fan motor starter to accept a Fire Alarm Panel (FAP) signal that takes precedence over all other starter inputs and switches and starts the fan. Provide fan motor starter which accepts an occupant

accessible emergency shutoff switch as indicated. Provide supply fan motor starter with an H-O-A switch:

3.2.2.1.1 HAND

With the H-O-A switch in HAND position, the supply fan starts and runs continuously, subject to Safeties.

3.2.2.1.2 OFF

With the H-O-A switch in OFF position, the supply fan stops.

3.2.2.1.3 AUTO

With the H-O-A switch in AUTO position, the supply fan runs subject to the Supply Fan Start/Stop (SF-SS) command and Safeties.

3.2.2.2 Occupancy Modes

Obtain the system's Occupancy Mode input from the System Scheduler as specified and indicated. Operate the system in one of the following modes: Occupied, Unoccupied, or WarmUp/CoolDown.

3.2.2.3 System Enable and Loop Enable

3.2.2.3.1 Occupied Mode

Enable the supply fan (SYS-ENA) and command to run (SF-SS) and enable all control loops.

3.2.2.3.2 Unoccupied Mode

While the building temperature (BLDG-T) is above the low limit setpoint (BLDG-T-LL) disable all control loops and the supply fan does not run. When BLDG-T drops below BLDG-T-LL (with a 5 degrees F deadband) enable the supply fan (SYS-ENA) and command to run (SF-SS) and enable the Heating Coil Temperature Control loop. Disable the Outside Air Flow Control, Economizer Damper Control, and DX Cooling Coil Control loops.

3.2.2.3.3 Warm Up / Cool Down Mode

Enable the supply fan (SYS-ENA) and command to run (SF-SS) and disable the Minimum Outside Air Flow Control loop. Enable all other control loops.

3.2.2.4 Proofs and Safeties

The supply fan and all DDC Hardware control loops are subject to Proofs and Safeties. Safeties must be direct-hardwire interlocked to the fan starter circuit as indicated. DDC Hardware must monitor all proofs and safeties and failure of any proof or activation of any safety result in all control loops being disabled and the AHU fan being commanded off until reset.

3.2.2.4.1 Proofs

Supply fan status (proof) (SF-S)

3.2.2.4.2 Safeties

- a. Heating coil discharge air temperature low limit (freeze stat) (HTG-DA-T-LL)
- b. Supply air smoke (SA-SMK)
- c. Return air smoke (RA-SMK)

3.2.2.4.3 DDC Hardware

Provide DDC Hardware reset of all proofs and safeties via a local binary push-button (RST-BUT) input to the DDC Hardware, via a remote command to the DDC Hardware via SNVT or both (where the Contractor provides both reset functions and the operator can use either one to perform the reset), as shown on the Points Schedule drawing.

3.2.2.5 Minimum Outside Air Flow Control

When this loop is enabled, open the 2-position minimum outside air damper to introduce the minimum outside air flow quantity as shown. When this loop is disabled, the minimum outside air damper will be closed.

3.2.2.6 Heating Coil Control

When this loop is enabled, modulate the heating DX coil control valve in sequence with the DX staging control and economizer dampers as shown to maintain zone temperature (ZN-T) at setpoint (ZN-T-SP) as shown. When this loop is disabled, the heating coil control valve is closed.

3.2.2.7 DX Cooling Coil Control

When this loop is enabled the DDC Hardware must stage the DX Unit in sequence with the heating coil valve and economizer dampers as shown to maintain zone temperature (ZN-T) at setpoint (ZN-T-SP) as shown. When this loop is disabled, the DX unit is off .

3.3 SEQUENCES OF OPERATION FOR TERMINAL UNITS

3.3.1 Unit Heater and Cabinet Unit Heater

Install DDC hardware to perform this Sequence of Operation and to provide SNVT inputs and outputs as specified and shown on the Points Schedule. Unless otherwise specified, all modulating control is proportional-integral (PI) control.

3.3.1.1 Off-Auto Switch

3.3.1.1.1 OFF

With the thermostat OFF-AUTO switch in the OFF position, stop the fan and close the heating control valve.

3.3.1.1.2 AUTO

With the thermostat OFF-AUTO switch in the AUTO position, control the unit in accordance with its Occupancy Mode.

3.3.1.2 Occupancy Modes

3.3.1.2.1 Occupied

Ensure the unit heater DDC Hardware is in the Occupied Mode when the local space occupancy input(s) indicate that the space is occupied or when the input from the System Scheduler is occupied.

3.3.1.2.2 Unoccupied

Ensure the unit heater DDC Hardware is in the Unoccupied Mode when the local space occupancy input(s) indicate that the space is unoccupied and when the input from the System Scheduler is unoccupied.

3.3.1.3 Safeties

Run the unit subject to the unit manufacturer's safeties.

3.3.1.4 Space Temperature Control

3.3.1.4.1 Occupied Mode

In the Occupied Mode, modulate the heating control valve and cycle the multi-speed fan to maintain space temperature at the configured setpoint or at the occupant-adjustable setpoint via the wall-mounted thermostat, as shown.

3.3.1.4.2 Unoccupied Mode

In the Unoccupied Mode, modulate the heating control valve and cycle the multi-speed fan to maintain space temperature at the configured setpoint as shown.

3.4 SEQUENCES OF OPERATION FOR HYDRONIC SYSTEMS

3.4.1 Hydronic Heating Hot Water From Single-Building Boiler

Install DDC hardware to perform this Sequence of Operation and to provide SNVT inputs and outputs as specified and shown on the Points Schedule. Unless otherwise specified, all modulating control is proportional-integral (PI) control.

3.4.1.1 System Enable and Loop Enable

- a. Monitor the enabled status of all systems served by this system. If one or more systems served by this system are enabled, enable this system (SYS-ENA). If no systems served by this system are enabled, disable this system.
- b. When this system is enabled (SYS-ENA) and the hot water pump is proofed on, enable the boiler control and hot water temperature control loops.

3.4.1.2 HAND-OFF-AUTO Switch

Provide hot water pump motor starter with an H-O-A switch:

3.4.1.2.1 HAND

With the H-O-A switch in HAND position, start the pump and run continuously.

3.4.1.2.2 OFF

With the H-O-A switch in OFF position, stop the pump.

3.4.1.2.3 AUTO

With the H-O-A switch in AUTO position, run the pump subject to the Hot Water Pump Start/Stop (HW-PMP-SS) command.

3.4.1.3 Proofs and Safeties

DDC Hardware must monitor all proofs and safeties.

3.4.1.3.1 Proofs

Hot water pump

3.4.1.3.2 Safeties

None

3.4.1.3.3 DDC Hardware Reset

Reset all proofs and safeties via a local binary push-button (RST-BUT) input to the DDC Hardware, via a remote command to the DDC Hardware via SNVT or both (where the Contractor provides both reset functions and the operator can use either one to perform the reset), as shown on the Points Schedule drawing.

3.4.1.4 Boiler Control

When this loop is enabled, turn the boiler on. When this loop is disabled, the boiler is turned off.

3.4.2 Hydronic Secondary with Variable Speed Pump

Install DDC hardware to perform this Sequence of Operation and to provide SNVT inputs and outputs as specified and shown on the Points Schedule. Unless otherwise specified, all modulating control is proportional-integral (PI) control.

3.4.2.1 System Enable and Loop Enable:

- a. Monitor the enabled status of all systems served by this system. If one or more systems served by this system are enabled, enable this system (SYS-ENA). If all systems served by this system are not enabled, do not enable this system.
- b. When this system is enabled (SYS-ENA) the Pressure Control loop must be enabled.

3.4.2.2 HAND-OFF-AUTO Switch

Provide hot water pump variable frequency drive (VFD) unit with an

integral H-O-A switch:

3.4.2.2.1 HAND

With the H-O-A switch in HAND position, the pump starts and runs continuously. Ensure pump speed is under manual-operator control.

3.4.2.2.2 OFF

With the H-O-A switch in OFF position, the pump stops.

3.4.2.2.3 AUTO

With the H-O-A switch in AUTO position, run the pump subject to the Hot Water Pump Start/Stop (HW-PMP-SS) command and ensure pump speed is under control of the DDC system.

3.4.2.3 Proofs and Safeties

DDC Hardware must monitor all proofs and safeties.

3.4.2.3.1 Proofs

None

3.4.2.3.2 Safeties

None

3.4.2.3.3 DDC Hardware Reset

Reset all proofs and safeties via a local binary push-button (RST-BUT) input to the DDC Hardware, via a remote command to the DDC Hardware via SNVT or both (where the Contractor provides both reset functions and the operator can use either one to perform the reset), as shown on the Points Schedule drawing.

3.4.2.4 Pressure Control

When this loop is enabled modulate the pump variable frequency drive unit to maintain the pipe system pressure at setpoint as shown, as measured by the differential pressure tap and sensor to be installed approximately two-thirds of the pipe distance from the pump to the furthest equipment on the system. When this loop is disabled, ensure the DDC Hardware capacity modulation output to the VFD is zero percent.

-- End of Section --

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SECTION 23 11 20

FACILITY GAS PIPING

PART 1 GENERAL

1.1 SUMMARY

This specification section applies to gas piping installed within buildings incidental underground piping under building, above ground steel piping and corrugated stainless steel tubing (CSST) both outside (up to 5 feet beyond exterior walls) and within buildings in compliance with NFPA 54 /AGA Z223.1, "National Fuel Gas Code" , "Fuel Gas Piping".

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN GAS ASSOCIATION (AGA)

- AGA ANSI B109.4 (2016) Self-Operated Diaphragm-Type Natural Gas Service Regulators for Nominal Pipe Size 1½ inches (32 mm) and Smaller with Outlet Pressures of 2 psig (13.8 kPa) and Less
- AGA XR0603 (2006; 8th Ed) AGA Plastic Pipe Manual for Gas Service
- AGA Z223.1 (2012) National Fuel Gas Code

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

- ANSI Z21.15/CSA 9.1 (2021) Manually Operated Gas Valves for Appliances, Appliance Connector Valves and Hose End Valves
- ANSI Z21.18/CSA 6.3 (2007; R 2017) Gas Appliance Pressure Regulators
- ANSI Z21.21/CSA 6.5 (2023) Automatic Valves for Gas Appliances
- ANSI Z21.24/CSA 6.10 (2022) Connectors for Gas Appliances
- ANSI Z21.41/CSA 6.9 (2023) Quick-Disconnect Devices for Use with Gas Fuel Appliances
- ANSI Z21.69/CSA 6.16 (2015; R 2020) Connectors for Movable Gas Appliances
- ANSI Z21.78/CSA 6.20 (2010; R 2020) Standard Specification for Combination Gas Controls for Gas Appliances
- ANSI Z21.80/CSA 6.22 (2019) Line Pressure Regulators

AMERICAN PETROLEUM INSTITUTE (API)

API 570	(2016; Addendum 1 2017; Addendum 2 2018; ERTA 1 2018) Piping Inspection Code: In-Service Inspection, Rating, Repair, and Alteration of Piping Systems
API RP 2009	(2002; R 2007; 7th Ed) Safe Welding, Cutting, and Hot Work Practices in Refineries, Gasoline Plants, and Petrochemical Plants
API Spec 5CT	(2018) Casing and Tubing
API Spec 6D	(June 2018, 4th Ed; Errata 1 July 2018; Errata 2 August 2018) Specification for Pipeline and Piping Valves
API Std 598	(2009) Valve Inspecting and Testing
API Std 607	(2016) Fire Test for Quarter-turn Valves and Valves Equipped with Non-metallic Seats

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 25-16	(2016) Earthquake-Activated Automatic Gas Shutoff Devices

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A13.1	(2023) Scheme for the Identification of Piping Systems
ASME B1.1	(2003; R 2018) Unified Inch Screw Threads (UN and UNR Thread Form)
ASME B1.20.1	(2013; R 2018) Pipe Threads, General Purpose (Inch)
ASME B16.1	(2020) Gray Iron Pipe Flanges and Flanged Fittings Classes 25, 125, and 250
ASME B16.3	(2021) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.5	(2020) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.9	(2018) Factory-Made Wrought Buttwelding Fittings
ASME B16.11	(2022) Forged Fittings, Socket-Welding and Threaded
ASME B16.21	(2021) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.33	(2012; R 2017) Manually Operated Metallic Gas Valves for Use in Gas Piping Systems

Up to 125 psi, (Sizes NPS 1/2 - NPS 2)

ASME B16.39	(2020) Standard for Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300
ASME B18.2.1	(2012; Errata 2013) Square and Hex Bolts and Screws (Inch Series)
ASME B18.2.2	(2022) Nuts for General Applications: Machine Screw Nuts, and Hex, Square, Hex Flange, and Coupling Nuts (Inch Series)
ASME B31.9	(2020) Building Services Piping
ASME B36.10M	(2022) Welded and Seamless Wrought Steel Pipe
ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications
ASME BPVC SEC VIII D1	(2019) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1

ASTM INTERNATIONAL (ASTM)

ASTM 01.01	(2019) Steel - Piping, Tubing, Fittings
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A105/A105M	(2021) Standard Specification for Carbon Steel Forgings for Piping Applications
ASTM A181/A181M	(2014; R 2020) Standard Specification for Carbon Steel Forgings, for General-Purpose Piping
ASTM A193/A193M	(2023) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A194/A194M	(2023) Standard Specification for Carbon Steel, Alloy Steel, and Stainless Steel Nuts for Bolts for High-Pressure or High-Temperature Service, or Both
ASTM A513/A513M	(2020a) Standard Specification for Electric-Resistance-Welded Carbon and Alloy Steel Mechanical Tubing
ASTM A666	(2023) Standard Specification for Annealed or Cold-Worked Austenitic Stainless Steel Sheet, Strip, Plate and Flat Bar
ASTM B88	(2022) Standard Specification for Seamless

Copper Water Tube

ASTM B280 (2023) Standard Specification for Seamless Copper Tube for Air Conditioning and Refrigeration Field Service

ASTM D2513 (2018a) Standard Specification for Polyethylene (PE) Gas Pressure Pipe, Tubing, and Fittings

ASTM F2015 (2000; R 2013) Standard Specification for Lap Joint Flange Pipe End Applications

CSA GROUP (CSA)

ANSI LC 1/CSA 6.26 (2023) Fuel Gas Piping Systems Using Corrugated Stainless Steel Tubing (CSST)

CGA 3.11-M88 (2015) Lever Operated Pressure Lubricated Plug Type Gas Shut-Off Valves

FM GLOBAL (FM)

FM APP GUIDE (updated on-line) Approval Guide
<http://www.approvalguide.com/>

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25 (2018) Standard Marking System for Valves, Fittings, Flanges and Unions

MSS SP-58 (2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54 (2024) National Fuel Gas Code

NFPA 58 (2024; TIA 23-1) Liquefied Petroleum Gas Code

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National Electrical Code

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC SP 6/NACE No.3 (2007) Commercial Blast Cleaning

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-101 (2014; Rev C) Color Code for Pipelines and for Compressed Gas Cylinders

UFC 3-301-01 (2023; with Change 1, 2023) Structural Engineering

UNDERWRITERS LABORATORIES (UL)

UL FLAMMABLE & COMBUSTIBLE (2012) Flammable and Combustible Liquids
and Gases Equipment Directory

1.3 SYSTEM DESCRIPTION

The gas piping system includes natural gas piping and appurtenances from point of connection with supply system, as indicated, to gas operated equipment within the facility. Submit operation and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA, in three separate packages.

1.3.1 Gas Facility System and Equipment Operation

Include shop drawings showing piping layout, locations of system valves, and gas line markers; step-by-step procedures for system start up, operation and shutdown (index system components and equipment to the system drawings); isolation procedures including valve operation to shutdown or isolate each section of the system (index valves to the system maps and provide separate procedures for normal operation and emergency shutdown if required to be different).

1.3.2 Gas Facility System Maintenance

Include maintenance procedures and frequency for system and equipment; identification of pipe materials and manufacturer by locations, pipe repair procedures, and jointing procedures at transitions to other piping material or material from a different manufacturer.

1.3.3 Gas Facility Equipment Maintenance

Include identification of valves, shut-offs, disconnects, and other equipment by materials, manufacturer, vendor identification and location; maintenance procedures and recommended tool kits for valves and equipment; recommended repair methods (i.e., field repair, factory repair, or replacement) for each valve and piece of equipment; and preventive maintenance procedures, possible failure modes and troubleshooting guide. Submit Data Package No. 3.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Gas Piping System;

SD-03 Product Data

Pipe and Fittings;

Pressure Regulators; G

Transition Fittings;

Valves;

SD-06 Test Reports

Testing; G

Pressure Tests; G

Test with Gas; G

SD-07 Certificates

Welders Procedures and Qualifications; G

SD-08 Manufacturer's Instructions

PE Pipe and Fittings;

SD-10 Operation and Maintenance Data

Gas Facility System and Equipment Operation; G

Gas Facility System Maintenance; G

Gas Facility Equipment Maintenance; G

1.5 QUALITY ASSURANCE

Submit manufacturer's descriptive data and installation instructions for approval for compression-type mechanical joints used in joining dissimilar materials and for insulating joints. Mark all valves, flanges and fittings in accordance with MSS SP-25.

1.5.1 Welding Qualifications

- a. Weld piping in accordance with qualified procedures using performance qualified welders and welding operators in accordance with API RP 2009, ASME BPVC SEC IX, and ASME B31.9. Welding procedures qualified by others, and welders and welding operators qualified by another employer may be accepted as permitted by ASME B31.9. Notify the Contracting Officer at least 24 hours in advance of tests, and perform at the work site if practicable.
- b. Submit a certified copy of welders procedures and qualifications metal and PE in conformance with ASME B31.9 for each welder and welding operator. Submit the assigned number, letter, or symbol that will be used in identifying the work of each welder to the Contracting Officer.

1.5.2 Shop Drawings

Submit drawings for complete Gas Piping System, within 30 days of contract award, showing location, size and all branches of pipeline; location of all required shutoff valves; and instructions necessary for the installation of gas equipment connectors and supports.

1.6 DELIVERY, STORAGE, AND HANDLING

1.6.1 Plastic Pipe

Handle, transport, and store plastic pipe and fittings carefully. Plug or cap pipe and fittings ends during transportation or storage to minimize dirt and moisture entry. Do not subject piping to abrasion or concentrated external loads. Discard PE pipe sections and fittings that have been damaged.

1.6.2 CSST Tubing

Handle, transport and store CSST tubing on the wooden spool or shipping container provided by the manufacturer. Insure tubing ends are capped during transportation and storage to minimize dirt and moisture entry. Discard any tubing segment and fitting that has been damaged.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

Provide materials and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of the products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Asbestos or products containing asbestos are not allowed. Submit catalog data and installation instructions for pipe, valves, all related system components, pipe coating materials and application procedures. Conform to and with requirements specified herein. Provide supply piping to appliances or equipment at least as large as the inlets thereof.

2.2 GAS PIPING SYSTEM AND FITTINGS

2.2.1 Steel Pipe, Joints, and Fittings

- a. Pipe: Black carbon steel in accordance with ASTM A53/A53M, Schedule 80, threaded ends for sizes 2 inches and smaller; otherwise, plain end beveled for butt welding.
- b. Threaded Fittings: ASME B16.3, black malleable iron.
- c. Socket-Welding Fittings: ASME B16.11, forged steel.
- d. Butt-Welding Fittings: ASME B16.9, with backing rings of compatible material.
- e. Unions: ASME B16.39, black malleable iron.
- f. Flanges and Flanged Fittings: ASME B16.5 steel flanges or convoluted steel flanges conforming to ASME BPVC SEC VIII D1, with flange faces having integral grooves of rectangular cross sections which afford containment for self-energizing gasket material.

Provide steel pipe conforming to ASME B36.10M; and malleable-iron threaded fittings conforming to ASME B16.1 and ASME B16.3. Provide steel pipe flanges and flanged fittings, including bolts, nuts, and bolt pattern in accordance with ASME B16.5 and ASTM A105/A105M. Provide wrought steel butt welding fittings conforming to ASME B16.9. Provide socket welding and

threaded forged steel fittings conforming to ASME B16.11 and ASTM A181/A181M, Class 60.

Provide indoor steel pipe ASTM A53/A53M Schedule 40 black. Fittings: ASME B16.3, malleable iron or ASTM A234/A234M wrought steel welding type. Joints: threaded or welded to ASME B31.1. Paint yellow in accordance to section 09 90 00. Provide outdoor steel piping ASTM A53/A53M Schedule 40 galvanized. Fittings: ASME B16.3 malleable iron galvanized. Joints: threaded or welded to ASME B31.1. 2.2.2 Copper Tubing, Joints and Fittings

Provide copper tubing for regulator venting only and conforming to ASTM B88, Type K or L, or ASTM B280, with tubing joints made up with tubing fittings recommended by the tubing manufacturer. Provide copper and copper alloy press fittings, with sealing elements of Hydrogenated Nitrile Butadiene Rubber (HNBR), factory installed, or an alternative supplied by the fitting manufacturer. Press fittings are not a permitted connection fitting for natural and LP gas on Army and Navy projects.

2.2.3 Steel Tubing, Joints and Fittings

Provide steel tubing conforming to ASTM A106/A106M, and ASTM A513/A513M, with tubing joints made up with gas tubing fittings recommended by the tubing manufacturer.

2.2.4 Thermoplastic Pipe, Tubing, Joints, and Fittings

Provide thermoplastic pipe, tubing, casing and joints and fittings conforming to ASTM D2513 and API Spec 5CT.

2.2.5 Corrugated Stainless Steel Tubing, Fittings and Accessories

Provide corrugated stainless steel tubing conforming to ANSI LC 1/CSA 6.26 (austenitic stainless steel of series 300) with tubing joints made with special mechanical fittings as supplied by the tubing manufacturer.

2.2.5.1 Mechanical Fittings

Copper alloy with one end matched to the corrugated tubing and one end with NPT threads in accordance with ASME B1.20.1

2.2.5.2 Striker Plates

Hardened steel designed to protect tubing from mechanical damage in accordance with ANSI LC 1/CSA 6.26

2.2.5.3 Manifolds

Malleable iron, steel or copper alloy with threaded connections/ports in accordance with ASME B1.20.1

2.2.6 Sealants for Steel Pipe Threaded Joints

Provide joint sealing compound as listed in UL FLAMMABLE & COMBUSTIBLE, Class 20 or less. For taping, use tetrafluoroethylene tape conforming to UL FLAMMABLE & COMBUSTIBLE.

2.2.7 Warning and Identification

Provide pipe flow markings, warning and identification tape, and metal tags as required.

2.2.8 Flange Gaskets

Provide gaskets of nonasbestos compressed material in accordance with ASME B16.21, 1/16 inch thickness, full face or self-centering flat ring type, containing aramid fibers bonded with styrene butadiene rubber (SBR) or nitrile butadiene rubber (NBR) suitable for a maximum 600 degree F service, to be used for hydrocarbon service.

2.2.9 Pipe Threads

Provide pipe threads conforming to ASME B1.20.1.

2.2.10 Escutcheons

Provide chromium-plated steel or chromium-plated brass escutcheons, either one piece or split pattern, held in place by internal spring tension or set screw.

2.2.11 Gas Transition Fittings

- a. Provide steel to plastic (PE) designed for steel-to-plastic with tapping tee or sleeve conforming to AGA XR0603 requirements for transitions fittings.. Coat or wrap exposed steel pipe with heavy plastic coating.
- b. Plastic to Plastic: Manufacturer's standard bolt-on (PVC to PE) plastic tapping saddle tee, UL listed for gas service, rated for 100 psig, and O-ring seals. Manufacturer's standard slip-on PE mechanical coupling, molded, with stainless-steel ring support conforming to ASTM A666, O-ring seals, and rated for 150 psig gas service. Manufacturer's standard fused tapping (PE-to-PE) tee assembly with shut-off feature.
- c. Provide lever operated pressure lubricated plug type gas shut-off valve conforming to CGA 3.11-M88.

2.2.12 Insulating Pipe Joints

2.2.12.1 Insulating Joint Material

Provide insulating joint material between flanged or threaded metallic pipe systems where shown to control galvanic or electrical action.

2.2.12.2 Threaded Pipe Joints

Provide threaded pipe joints of steel body nut type dielectric unions with insulating gaskets.

2.2.12.3 Flanged Pipe Joints

Provide joints for flanged pipe consisting of full face sandwich-type flange insulating gasket of the dielectric type, insulating sleeves for flange bolts, and insulating washers for flange nuts. Provide lap joint flange pipe ends conforming to ASTM F2015.

2.2.13 Flexible Connectors

- a. Provide flexible connectors for connecting gas utilization equipment to building gas piping conforming to ANSI Z21.24/CSA 6.10 or ANSI Z21.41/CSA 6.9 for quick disconnect devices, and flexible connectors for movable food service equipment conforming to ANSI Z21.69/CSA 6.16. Provide combination gas controls for gas appliances conforming to ANSI Z21.78/CSA 6.20.
- b. Do not install the flexible connector through the appliance cabinet face. Provide rigid metallic pipe and fittings to extend the final connection beyond the cabinet, except when appliance is provided with an external connection point.

2.3 VALVES

Provide lockable shutoff or service isolation valves as indicated in the drawings and/or as needed conforming to the following:

2.3.1 Valves 2 Inches and Smaller

Provide valves 2 inches and smaller conforming to ASME B16.33 of materials and manufacture compatible with system materials used.

2.3.2 Valves 2-1/2 Inches and Larger

Provide valves 2-1/2 inches and larger of carbon steel conforming to API Spec 6D, Class 150.

2.3.3 Valve Support on PE Piping

Provide valve support assembly in accordance with the PE piping manufacturer's requirements at valve terminations points.

2.4 RISERS

Provide manufacturer's standard riser, transition from plastic to steel pipe with 7 to 12 mil thick epoxy coating. Use swaged gas-tight construction with O-ring seals, metal insert, and protective sleeve. Provide remote bolt-on or bracket or wall-mounted riser supports.

2.5 PIPE HANGERS AND SUPPORTS

Provide pipe hangers and supports conforming to MSS SP-58.

2.6 LINE AND APPLIANCE REGULATORS AND SHUTOFF VALVES

Provide regulators conforming to ANSI Z21.18/CSA 6.3 for appliances ANSI Z21.78/CSA 6.20 for combination gas controls for gas appliances, and ANSI Z21.80/CSA 6.22 for line pressure regulators. Provide shutoff valves conforming to ANSI Z21.15/CSA 9.1 for manually controlled gas shutoff valves and ANSI Z21.21/CSA 6.5 for automatic shutoff valves for gas appliances.

2.7 NATURAL GAS SERVICE

2.7.1 Service Regulators

- a. Provide ferrous bodied pressure regulators for individual service lines, capable of reducing distribution line pressure to pressures required for users. Coordinate fully with gas-fired equipment vendor and gas regulator vendor to ensure the correct regulator is provided and it is installed in the correct piping location with required sizes and pipe lengths accounted for. Provide service regulators conforming to AGA ANSI B109.4 CGA-6.18-M95 with full capacity internal relief and overpressure shutoff. Set pressure relief at a lower pressure than would cause unsafe operation of any connected user.
- b. Adjust regulators for liquified petroleum gas to 2.5 to 3 kPa 10 to 12 inches of water column, with pressure relief set at 4 kPa 16 inches of water column.
- c. Provide regulator(s) having a single port with orifice diameter no greater than that recommended by the manufacturer for the maximum gas flow rate at the regulator inlet pressure. Provide regulator valve vent of resilient materials designed to withstand flow conditions when pressed against the valve port, capable of regulating downstream pressure within limits of accuracy and limiting the buildup of pressure under no-flow conditions to 50 percent or less of the discharge pressure maintained under flow conditions. Provide a self-contained service regulator, and pipe not exceeding exceed 2 inch size.

2.8 BOLTING (BOLTS AND NUTS)

Stainless steel bolting; ASTM A193/A193M, Grade B8M or B8MA, Type 316, for bolts; and ASTM A194/A194M, Grade 8M, Type 316, for nuts. Dimensions of bolts, studs, and nuts must conform with ASME B18.2.1 and ASME B18.2.2 with coarse threads conforming to ASME B1.1, with Class 2A fit for bolts and studs and Class 2B fit for nuts. Bolts or bolt-studs must extend through the nuts and may have reduced shanks of a diameter not less than the diameter at root of threads. Bolts must have American Standard regular square or heavy hexagon heads; nuts must be American Standard heavy semifinished hexagonal.

2.9 GASKETS

Fluorinated elastomer, compatible with flange faces.

2.10 IDENTIFICATION FOR ABOVEGROUND PIPING

MIL-STD-101 for legends and type and size of characters. For pipes 3/4 inch od and larger, provide printed legends to identify contents of pipes and arrows to show direction of flow. Color code label backgrounds to signify levels of hazard. Make labels of plastic sheet with pressure-sensitive adhesive suitable for the intended application. For pipes smaller than 3/4 inch od, provide brass identification tags 1 1/2 inches in diameter with legends in depressed black-filled characters.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy or areas of conflict before performing the work.

3.2 EXCAVATION AND BACKFILLING

Provide required excavation, backfilling, and compaction as specified in Section 31 00 00 EARTHWORK.

3.3 GAS PIPING SYSTEM

Provide a gas piping system from the point of delivery, defined as the outlet of the meter set assembly, service regulator, or shutoff valve, as specified under "Gas Service" within this specification, to the connections to each gas utilization device that is in compliance with NFPA 54/NFPA 58.

3.3.1 Protection and Cleaning of Materials and Components

Protect equipment, pipe, and tube openings by closing with caps or plugs during installation. At the completion of all work, thoroughly clean the entire system.

3.3.2 Workmanship and Defects

Piping, tubing and fittings must be clear and free of cutting burrs and defects in structure or threading and must be thoroughly brushed and chip-and scale-blown. Repair of defects in piping, tubing or fittings is not allowed; replace defective items when found.

3.4 PROTECTIVE COVERING

3.4.1 Underground Metallic Pipe

Protect buried metallic piping and tubing from corrosion by either: (1) encasement in a water tight plastic conduit; or (2) encasement in a protective system designed and listed by the manufacturer for this application. When dissimilar metals are joined underground, use gastight insulating fittings.

3.4.2 Aboveground Metallic Piping Systems

3.4.2.1 Ferrous Surfaces

Touch up shop primed surfaces with ferrous metal primer. Solvent clean surfaces that have not been shop primed. Mechanically clean surfaces that contain loose rust, loose mill scale and other foreign substances by power wire brushing or commercial sand blasted conforming to SSPC SP 6/NACE No.3 and prime with ferrous metal primer or vinyl type wash coat. Finish primed surfaces with two coats of exterior oil paint or vinyl paint.

3.4.2.2 Nonferrous Surfaces

Except for aluminum alloy pipe, do not paint nonferrous surfaces. Paint

surfaces of aluminum alloy pipe and fittings to protect against external corrosion where they contact masonry, plaster, insulation, or are subject to repeated wettings by such liquids as water, detergents or sewage. Solvent-clean the surfaces and treat with vinyl type wash coat. Apply a first coat of aluminum paint and a second coat of alkyd gloss enamel or silicone alkyd copolymer enamel.

3.5 INSTALLATION

Install the gas system in conformance with the manufacturer's recommendations and applicable provisions of NFPA 54 and AGA XR0603, and as indicated. Perform all pipe cutting without damage to the pipe, with an approved type of mechanical cutter, unless otherwise authorized. Use wheel cutters where practicable. On steel pipe 6 inches and larger, an approved gas cutting and beveling machine may be used. Cut thermoplastic and fiberglass pipe in accordance with AGA XR0603.

3.5.1 Metallic Piping Installation

Bury underground piping a minimum of 18 inches below grade. Make changes in direction of piping with fittings only; mitering or notching pipe to form elbows and tees or other similar type construction is not permitted. Branch connection may be made with either tees or forged branch outlet fittings. Provide branch outlet fittings which are forged, flared for improvement of flow where attached to the run, and reinforced against external strains. Do not use aluminum alloy pipe in exterior locations or underground.

3.5.2 Metallic Tubing Installation

Install metallic tubing using gas tubing fittings approved by the tubing manufacturer. CSST gas piping systems must be installed by contractors who have completed the manufacturer's training program as indicated on a certification card. Make branch connections with tees. Prepare all tubing ends with tools designed for that purpose. Do not use aluminum alloy tubing in exterior locations or underground. Maintain electrical continuity of gas piping system in accordance with NFPA 54 NFPA 58, paragraph entitled 'Electrical Bonding and Grounding'.

3.5.3 Thermoplastic and Fiberglass Piping, Tubing, and Fittings

Installation of thermoplastic and fiberglass piping, tubing, and fittings is permitted only outside and underground. Bury piping a minimum of 18 inches below grade. Install the piping to avoid excessive stresses due to thermal contraction, and use only where indicated. Installations must be made using qualified procedures, by qualified installers, and in compliance with AGA XR0603 and NFPA 54 NFPA 58, and must be inspected by a qualified inspector.

3.5.4 Connections Between Metallic and Plastic Piping

Connections between metallic and plastic piping are only allowed outside, underground, and with approved transition fittings.

3.5.5 Concealed Piping in Buildings

Do not use combinations of fittings (unions, tubing fittings, running threads, right- and left-hand couplings, bushings, and swing joints) to conceal piping within buildings.

3.5.5.1 Piping and Tubing in Partitions

Locate concealed piping and tubing in hollow, rather than solid, partitions. Protect tubing passing through walls or partitions against physical damage both during and after construction, and provide appropriate safety markings and labels. Provide protection of concealed pipe and tubing in accordance with ANSI LC 1/CSA 6.26.

3.5.6 Aboveground Piping

Run aboveground piping as straight as practicable along the alignment and elevation indicated, with a minimum of joints, and separately supported from other piping system and equipment. Install exposed horizontal piping no farther than 6 inches from nearest parallel wall and at an elevation which prevents standing, sitting, or placement of objects on the piping.

3.5.7 Final Gas Connections

Unless otherwise specified, make final connections with rigid metallic pipe and fittings. Flexible connectors may be used for final connections to gas utilization equipment. In addition to cautions listed in instructions required by ANSI standards for flexible connectors, insure that flexible connectors do not pass through equipment cabinet. Provide accessible gas shutoff valve and coupling for each gas equipment item.

3.5.8 Seismic Requirements

Support and brace piping and attached valves to resist seismic loads in conformance with ASCE 25-16 and as specified in UFC 3-301-01. CSST tubing and fittings that are seismically qualified in accordance with the FM APP GUIDE: Flexible Piping Systems for Flammable Gases must meet the seismic requirements in accordance with the manufacturer's installation instructions.

3.6 PIPE JOINTS

Design and install pipe joints to effectively sustain the longitudinal pull-out forces caused by contraction of the piping or superimposed loads.

3.6.1 Threaded Metallic Joints

Provide threaded joints in metallic pipe with tapered threads evenly cut and made with UL approved graphite joint sealing compound for gas service or tetrafluoroethylene tape applied to the male threads only. Threaded joints up to 1-1/2 inches in diameter may be made with approved tetrafluoroethylene tape. Threaded joints up to 2 inches in diameter may be made with approved joint sealing compound. After cutting and before threading, ream pipe and remove all burrs. Caulking of threaded joints to stop or prevent leaks is not permitted.

3.6.2 Welded Metallic Joints

Conform beveling, alignment, heat treatment, and inspection of welds to NFPA 54. Remove weld defects and make repairs to the weld, or remove the weld joints entirely and reweld. After filler metal has been removed from its original package, protect and store so that its characteristics or welding properties are not affected adversely. Do not use electrodes that have been wetted or have lost any of their coating.

3.6.3 Thermoplastic and Fiberglass Joints

3.6.3.1 Thermoplastic and Fiberglass

Conform jointing procedures to AGA XR0603. Do not make joints with solvent cement or heat of fusion between different kinds of plastics.

3.6.3.2 PE Fusion Welding Inspection

Visually inspect butt joints by comparing with, manufacturer's visual joint appearance chart. Inspect fusion joints for proper fused connection. Replace defective joints by cutting out defective joints or replacing fittings. Inspect, in conformance with API 570, 100 percent of all joints and re-inspect all corrections. Arrange with the pipe manufacturer's representative in the presence of the Contracting Officer to make first time inspection.

3.6.4 Flared Metallic Tubing Joints

Make flared joints in metallic tubing with special tools recommended by the tubing manufacturer. Use flared joints only in systems constructed from nonferrous pipe and tubing, when experience or tests have demonstrated that the joint is suitable for the conditions, and when adequate provisions are made in the design to prevent separation of the joints. Do not use metallic ball sleeve compression-type tubing fittings for tubing joints.

3.6.5 Solder or Brazed Joints

Make all joints in metallic tubing and fittings with materials and procedures recommended by the tubing supplier. Braze joints with material having a melting point above 1000 degrees F, containing no phosphorous.

3.6.6 Joining Thermoplastic or Fiberglass to Metallic Piping or Tubing

When compression type mechanical joints are used, provide gasket material in the fittings compatible with the plastic piping and with the gas in the system. Use an internal tubular rigid stiffener in conjunction with the fitting, flush with end of the pipe or tubing, extending at least to the outside end of the compression fitting when installed. Remove all rough or sharp edges from stiffener. Do not force fit stiffener in the plastic. Split tubular stiffeners are not allowed.

3.6.7 Press Connections

Make press connections in accordance with manufacturer's installation instructions using tools approved by the manufacturer. Fully insert the tubing into the fitting and then mark at the shoulder of the fitting. Check the fitting alignment against the mark on the tubing to assure the tubing is fully inserted before the joint is pressed.

3.7 PIPE SLEEVES

Provide pipes passing through concrete or masonry walls or concrete floors or roofs with pipe sleeves fitted into place at the time of construction. Do not install sleeves in structural members except where indicated or approved. Make all rectangular and square openings as detailed. Extend each sleeve through its respective wall, floor or roof, and cut flush with

each surface, except in mechanical room floors not located on grade where clamping flanges or riser pipe clamps are used. Extend sleeves in mechanical room floors above grade at least 4 inches above finish floor. Unless otherwise indicated, use sleeves large enough to provide a minimum clearance of 1/4 inch all around the pipe. Provide steel pipe for sleeves in bearing walls, waterproofing membrane floors, and wet areas. Provide sleeves in nonbearing walls, floors, or ceilings of steel pipe, galvanized sheet metal with lock-type longitudinal seam, or moisture-resistant fiber or plastic. For penetrations of fire walls, fire partitions and floors which are not on grade, seal the annular space between the pipe and sleeve with fire-stopping material and sealant that meet the requirement of Section 07 84 00 FIRESTOPPING.

3.8 PIPES PENETRATING WATERPROOFING MEMBRANES

Install pipes penetrating waterproofing membranes as specified in Section 22 00 00 PLUMBING, GENERAL PURPOSE.

3.9 FIRE SEAL

Fire seal all penetrations of fire rated partitions, walls and floors in accordance with Section 07 84 00 FIRESTOPPING.

3.10 ESCUTCHEONS

Provide escutcheons for all finished surfaces where gas piping passes through floors, walls, or ceilings except in boiler, utility, or equipment rooms.

3.11 SPECIAL REQUIREMENTS

Provide drips, grading of the lines, freeze protection, and branch outlet locations as shown and conforming to the requirements of .

3.12 BUILDING STRUCTURE

Do not weaken any building structure by the installation of any gas piping. Do not cut or notch beams, joists or columns. Attach piping supports to metal decking. Do not attach supports to the underside of concrete filled floors or concrete roof decks unless approved by the Contracting Officer.

3.13 PIPING SYSTEM SUPPORTS

Support gas piping systems in buildings with pipe hooks, metal pipe straps, bands or hangers suitable for the size of piping or tubing. Do not support any gas piping system by other piping. Conform spacing of supports in gas piping and tubing installations to the requirements of . Conform the selection and application of supports in gas piping and tubing installations to the requirements of MSS SP-58. In the support of multiple pipe runs on a common base member, use a clip or clamp where each pipe crosses the base support member. Spacing of the base support members is not to exceed the hanger and support spacing required for any of the individual pipes in the multiple pipe run. Rigidly connect the clips or clamps to the common base member. Provide a clearance of 1/8 inch between the pipe and clip or clamp for all piping which may be subjected to thermal expansion.

3.14 ELECTRICAL BONDING AND GROUNDING

Provide a gas piping system within the building that is electrically continuous and bonded to a grounding electrode as required by NFPA 54, NFPA 58, and NFPA 70.

3.15 SHUTOFF VALVE

Install the main gas shutoff valve controlling the gas piping system to be easily accessible for operation, as indicated, protected from physical damage, and marked with a metal tag to clearly identify the piping system controlled. Install valves approximately at locations indicated. Orient stems vertically, with operators on top, or horizontally. Provide PE piping manufacturer bracket support assembly securely fastened to structure for valve connections to resist operating torque applied to PE pipes. Provide stop valve on service branch at connection to main and shut-off valve on riser outside of building.

3.16 LINE AND APPLIANCE PRESSURE REGULATORS

Install line pressure regulators and appliance regulators in accordance with the manufacturer's requirements and in accordance with NFPA 54/NFPA 58. Install each regulator in an accessible location and install shutoff valves ahead of each line and appliance regulator to allow for maintenance. Where vent limiting devices are not included in the regulators, install a vent pipe to the exterior of the building. Terminate all service regulator vents and relief vents in the outside air in rain and insect resistant fittings. Locate the open end of the vent where gas can escape freely into the atmosphere, away from any openings into the building and above areas subject to flooding.

3.17 TESTING

Submit test procedures and reports in booklet form tabulating test and measurements performed; dated after award of this contract, and stating the Contractor's name and address, the project name and location, and a list of the specific requirements which are being certified. Test entire gas piping system to ensure that it is gastight prior to putting into service. Prior to testing, purge the system, clean, and clear all foreign material. Test each joint with an approved gas detector, soap and water, or an equivalent nonflammable solution. Inspect and test each valve in conformance with API Std 598 and API Std 607. Complete testing before any work is covered, enclosed, or concealed, and perform with due regard for the safety of employees and the public during the test. Install bulkheads, anchorage and bracing suitably designed to resist test pressures if necessary, and as directed and or approved by the Contracting Officer. Do not use oxygen as a testing medium.

3.17.1 Pressure Tests

Submit test procedures and reports in booklet form tabulating test and measurements performed; dated after award of this contract, and stating the Contractor's name and address, the project name and location, and a list of the specific requirements which are being certified. Before appliances are connected, test by filling the piping systems with air or an inert gas to withstand a minimum pressure without showing any drop in pressure. Do not use Oxygen for test. Measure pressure with a mercury manometer, slope gauge, or an equivalent device calibrated to be read in increments of not greater than 0.1 pound. Isolate the source of pressure

before the pressure tests are made.

3.17.2 Test With Gas

Before turning on gas under pressure into any piping, close all openings from which gas can escape. Immediately after turning on the gas, check the piping system for leakage by using a laboratory-certified gas meter, an appliance orifice, a manometer, or equivalent device. Conform all testing to the requirements of . If leakage is recorded, shut off the gas supply, repair the leak , and repeat the tests until all leaks have been stopped.

3.17.3 Purging

After testing is completed, and before connecting any appliances, fully purge all gas piping. Do not purge piping into the combustion chamber of an appliance. Do not purge the open end of piping systems into confined spaces or areas where there are ignition sources unless the safety precautions recommended in are followed.

3.17.4 Labor, Materials and Equipment

Furnish all labor, materials and equipment necessary for conducting the testing and purging.

3.18 PIPE COLOR CODE MARKING

Provide color code marking of piping as specified in Section 09 90 00 PAINTS AND COATINGS, conforming to ASME A13.1.

-- End of Section --

SECTION 23 21 23

HYDRONIC PUMPS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.1 (2003; R 2018) Unified Inch Screw Threads
(UN and UNR Thread Form)

ASME B16.1 (2020) Gray Iron Pipe Flanges and Flanged
Fittings Classes 25, 125, and 250

ASTM INTERNATIONAL (ASTM)

ASTM A48/A48M (2003; R 2021) Standard Specification for
Gray Iron Castings

ASTM A123/A123M (2017) Standard Specification for Zinc
(Hot-Dip Galvanized) Coatings on Iron and
Steel Products

ASTM A153/A153M (2023) Standard Specification for Zinc
Coating (Hot-Dip) on Iron and Steel
Hardware

ASTM A307 (2021) Standard Specification for Carbon
Steel Bolts, Studs, and Threaded Rod 60
000 PSI Tensile Strength

HYDRAULIC INSTITUTE (HI)

HI 1.1-1.2 (2014) Rotodynamic (Centrifugal) Pump for
Nomenclature and Definitions

HI 1.3 (2013) Rotodynamic (Centrifugal) Pump
Applications

HI 9.6.4 (2009) Rotodynamic Pumps for Vibration
Analysis and Allowable Values

HI ANSI/HI 2.1-2.2 (2014) Rotodynamic Vertical Pumps of
Radial, Mixed, and Axial Flow Types for
Nomenclature and Definitions

HI ANSI/HI 9.6.3 (2017) Rotodynamic Pumps - Guideline for
Operating Regions - B120

HI ANSI/HI 14.6 (2011) Rotodynamic Pumps for Hydraulic
Performance Acceptance Tests - A136

INTERNATIONAL CODE COUNCIL (ICC)

ICC IgCC (2018) International Green Construction Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1 (2021) Motors and Generators

NEMA Z535.4 (2023) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National Electrical Code

SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC Paint 21 (1982; E 2004) White or Colored Silicone Alkyd Paint (Type I, High Gloss and Type II, Medium Gloss)

SSPC Paint 25 (1997; E 2004) Zinc Oxide, Alkyd, Linseed Oil Primer for Use Over Hand Cleaned Steel, Type I and Type II

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.219 Mechanical Power Transmission Apparatus

UNDERWRITERS LABORATORIES (UL)

UL 778 (2016; Reprint Jun 2021) UL Standard for Safety Motor-Operated Water Pumps

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

System Coordination; G

SD-03 Product Data

Instructions; G

Equipment Data; G

Training Period; G

SD-06 Test Reports

Factory Tests

Field Quality Control

SD-07 Certificates

Manufacturer's Representative

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

Training; G

1.3 QUALITY ASSURANCE

1.3.1 Manufacturer Services

Provide the services of a manufacturer's representative experienced in the installation, adjustment, and operation of the equipment specified. The representative must supervise the installation, adjustment, testing of the equipment, and conduct training.

Submit the names and qualifications of the manufacturer's representative and training engineers and written certification from the manufacturer that the representative and trainers are technically qualified.

1.3.2 Standard Products

Provide material and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of such products and that essentially duplicate equipment that has been in satisfactory HVAC operation at least 2 years prior to issuance of this solicitation. Support equipment with a service organization that is reasonably convenient to the jobsite. Pumps and motors of the same types must each be the product of one manufacturer.

1.3.3 Conformance with Agency Requirements

Where materials or equipment are specified to be an approved type, attach the seal or label of approval from a nationally recognized testing agency, adequately equipped and competent to perform such services. A written certificate from the testing agency must accompany the materials or equipment and be submitted stating that the items have been tested and that they conform to the applicable requirements of the specifications and to the standards listed herein. The certificate must indicate the methods of testing used by the testing agency. In lieu of a certificate from a testing agency, published catalog specification data, accompanied by the manufacturer's certified statement to the effect that the items are in accordance with the applicable requirements of the specifications and the referenced standards, will be considered and may be acceptable as evidence that the items conform with agency requirements.

1.4 DELIVERY, STORAGE, AND HANDLING

Protect equipment, delivered and designated for storage, from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Hydronic pumps used for heating and air conditioning applications are defined by the type of impeller, number of impellers, type of casing, method of connection to the driver, and mounting position. Provide centrifugal water pumps of the types indicated and specified. Use an electric motor driving unit for each pump as indicated and specified.

2.1.1 Selection Criteria

Select pumps at a point within the maximum efficiency for a given impeller casing combination. Deviations within 3 percent of maximum efficiency are permissible, provided the lesser efficiency is not less than the scheduled efficiency in the construction design documents. Pumps having impeller diameters larger or smaller than manufacturer's published maximum and minimum impeller diameters for a given impeller casing combination will be rejected. Pump performance data, as shown in performance curves, must be based on factory tests using precision instrumentation and exacting procedures as detailed in HI ANSI/HI 14.6.

2.1.2 System Coordination

Submit drawings containing complete wiring and piping schematic diagrams and any other details required to demonstrate that the system has been coordinated and will properly function as a unit. Show the proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearances for maintenance and operation. Provide a complete listing of equipment, materials and miscellaneous components including mechanical seals, bearings, and couplings.

2.1.3 Safety Requirements

Fully enclose or guard couplings, projecting set-screws, keys, and other rotating parts, that pose an entangling hazards..

2.2 MATERIALS AND EQUIPMENT

2.2.1 Nameplates

Securely affix a standard nameplate to pumps and motors in a conspicuous place showing the manufacturer's name, address, type or style, model, serial number, and catalog number. In addition, for each pump show the capacity in gpm at rated speed in rpm and total head in feet of water. For each electric motor show at least the minimum information required by NEMA MG 1. Show such other information as the manufacturer may consider necessary to complete identification on the nameplate. Pumps must be listed and labeled by UL, and comply with UL 778 for pumps not using universal motors rated more than 250 volts such as circulating pumps.

2.2.2 Framed Instructions

Submit proposed diagrams, instructions, and other sheets, prior to posting. Post approved wiring and control diagrams showing the complete layout of the entire system, including equipment, piping valves, and control sequence, framed under glass or in approved laminated plastic, where directed. Provide condensed operating instructions explaining

preventive maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system, framed as specified above for the wiring and control diagrams, and posted beside the diagrams. Post the framed instructions before acceptance testing of the systems.

2.2.3 Pump Characteristic

Construct hydronic water pumps in accordance with HI 1.1-1.2 and HI ANSI/HI 2.1-2.2. The pumps must be capable of discharging quantities at total discharge heads measured at the discharge flange, between the following limits:

Operate pumps at optimum efficiencies to produce the most economical pumping system under the conditions encountered and size to make optimum match with the system head curve as shown. Pumps must furnish not less than 150 percent of rated capacity at a total discharge head of not less than 65 percent of total rated head. The shutoff total head must not be greater than 120 percent of total rated head. Operate pumps at specified system fluid temperatures without vapor binding and cavitation. Operate pumps to HI ANSI/HI 9.6.3 standard for Preferred Operating Region (POR).

2.2.4 Pump Drivers

Provide electric motors as indicated for each pump and in compliance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.2.5 Equipment Data

Submit manufacturer's descriptive data and technical literature, performance charts and curves for all impeller sizes for a given casing, catalog cuts, and installation instructions. Provide spare parts data for each different item of material and equipment specified, after approval of the detail drawings and not later than 2 months prior to the date of beneficial occupancy. Include a complete list of parts and supplies, with current unit prices and local source of supply with contact information.

Submit catalog information, certified pumps curves, rated capacities, final impeller dimensions, and accessories provided for the product indicated. Indicate operating point of each pump on curves. Furnish pump curves for each pump and combination of pumps designed to operate in parallel. The pump curve must show as a minimum; bhp, flow, total dynamic head, efficiency, NPSH, impeller diameter and system curve (individually and in combination for each pump operating in a parallel application). Select pumps operating in parallel operation to cross the system curve when operating individually.

2.3 HYDRONIC PUMPS

Provide centrifugal pumps designed for HVAC service per the drawings..

2.3.1 Large In-Line

Provide pumps with capacities as indicated; in-line for installation in position and suitable for 225 degrees F operation at 175 psig working pressure. The pump internals must be capable of being serviced without disturbing piping connections.

2.3.1.1 Casing

Provide pump casing complying with ASTM A48/A48M Class 30 cast iron,

suitable for 175 psig working pressure with integral cast iron flanges drilled for ASME B16.1 ANSI Class 125 ANSI Class 250 flanges, with an integrally-cast support ring matching an Class 125 flange for pump support. The pump volute must include gauge tapings at suction and discharge nozzles along with vent and drain tapings at top and bottom.

2.3.1.2 Pump Shaft

Provide carbon or stainless steel pump shaft, guided by a carbon graphite lower throttle bushing. Carbon steel pump shaft must have a bronze shaft sleeve that completely covers the wetted area under the seal.

2.3.1.3 Seal Assembly

Equip the pump with a mechanical seal assembly consisting of a carbon seal rotating ring, stainless steel spring, ceramic seat and flexible bellows and gasket. The liquid cavity must have a tapped flush line with manual valve to remove air from the seal chamber to allow fast initial start-up and insure mechanical seal cooling.

2.3.1.4 Spacer Coupling

The axially split spacer coupling must be of high tensile aluminum, split to allow the servicing of the seal without disturbing the pump or motor. Pump coupler must be aligned by the manufacturer before shipment. The motor bracket must contain a carbon steel coupler guard conforming to 29 CFR 1910.219 standards for safety.

2.3.1.5 Impeller

Hydraulically and dynamically balance the impeller to HI 9.6.4 balance grade G6.3, closed, single suction, fabricated from cast bronze, keyed to the shaft and secured by a locking capscrew.

2.3.1.6 Motor

Electric motors must meet NEMA MG 1 and the horsepower, speed, voltage, indicated. Motor enclosure must be open drip proof, totally enclosed fan cooled, with heavy duty grease lubricated ball bearings completely adequate for the maximum load for which the motor is designed. Motor must be non-overloading at any point on the pump curve and premium efficiency. Provide motor efficiencies as shown in the ICC IgCC standard. Totally enclosed fan cooled motor efficiencies must be as shown in NEMA MG 1.

Include one-piece combination motor bracket and volute coverplate in the assembly to ensure concentric alignment of the motor to the pump casing.

2.4 ELECTRICAL WORK

Provide electrical motor driven equipment specified herein complete with motors, motor starters, and controls. Provide electric equipment and wiring in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Electrical characteristics must be as indicated. Provide motor starters complete with properly sized thermal overload protection in each phase and other appurtenances necessary for the motor control specified. Each motor must be of sufficient capacity to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor when operating at proper electrical system voltage and frequency. Manual or automatic control and protective or signal devices required for the

operation herein specified and any control wiring required for controls and devices but not indicated must be provided under this section of the specifications.

2.5 ELECTRICAL EQUIPMENT

Provide electrical equipment in conformance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide electrical motor driven equipment herein specified complete with motors, motor starters, and controls. Motor controls, equipment, and wiring must be in accordance with NFPA 70.

2.5.1 Electric Motors

Drive each electric motor-driven pump by a continuous-duty electric motor with enclosure type for specific service as defined in paragraph HYDRONIC PUMPS. Motor must have a 1.5 service factor. Provide squirrel-cage induction motors having normal-starting-torque and low-starting-current characteristics, and of sufficient size so that the nameplate horsepower rating will not be exceeded throughout the entire published pump characteristic curve. Integral size motors must be the premium efficiency type in accordance with NEMA MG 1. Pump electric motor efficiencies must meet or exceed the requirements of the ICC IgCC standard. Motor bearings must provide smooth operations under the conditions encountered for the life of the motor. Provide adequate thrust bearing in the motor to carry the weight of all rotating parts plus the hydraulic thrust and be capable of withstanding upthrust imposed during pump starting and under variable pumping head conditions specified. Motors rating must be stamped on the nameplate. Provide motors in conformance with NEMA MG 1.

2.5.2 Control Equipment

Automatically controlled pumps must have three-position "MANUAL-OFF-AUTOMATIC" selector switch in cover. Provide additional controls or protective devices as indicated.

2.5.3 Variable Speed Control

The variable speed motor controllers must meet the requirements of UFGS 26 29 23 ADJUSTABLE SPEED DRIVE SYSTEMS UNDER 600 VOLTS.

2.6 EQUIPMENT APPURTENANCES

2.6.1 Attachments

Furnish all necessary bolts, nuts, washers, bolt sleeves, and other types of attachments with the equipment for the installation of the equipment. Bolts conform to the requirements of ASTM A307 and hexagonal nuts of the same quality as the bolts used. Threads must be clean-cut and conform to ASME B1.1. Bolts, nuts, and washers specified to be galvanized or not otherwise indicated or specified, must be zinc coated after being threaded, by the hot-dip process conforming to ASTM A123/A123M ASTM A153/A153M as appropriate. Bolts, nuts, and washers specified or indicated to be stainless steel must be Type 316.

2.6.2 Equipment Guards

Provide equipment driven by open shafts, belts, chains, or gears with all-metal guards enclosing the drive mechanism. Secure guards in position with steel braces or straps that permit easy removal for servicing the

equipment. Coupler guards must comply with current national safety standards including 29 CFR 1910.219 and NEMA Z535.4. Provide guards with gaps no greater than 0.250 inches, safety orange in color, and have an NEMA Z535.4 compliant warning label.

2.6.3 Tools

Furnish a complete set of all special tools which may be necessary for the adjustment, operation, maintenance, and disassembly of all equipment. Special tools are considered to be those tools which because of their limited use are not normally available, but which are necessary for the particular equipment. Special tools must be high-grade, smooth, forged, alloy, tool steel. Furnish one pressure grease gun for each type of grease required. Deliver all tools at the same time as the equipment to which they pertain. Properly store and safeguard such tools until completion of the work, at which time deliver them to the Contracting Officer.

2.7 FINISHES

All motors, pump casings, and similar parts of equipment must be thoroughly cleaned, primed, and given two finish coats of paint at the factory in accordance with the recommendations of the manufacturer. Give ferrous surfaces not to be painted a shop coat of grease or other suitable rust-resistant coating.

2.8 FACTORY TESTS

Pumps must be tested by the manufacturer or a nationally recognized testing agency in compliance with HI 1.3. Submit certified test results.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field and advise the Contracting Officer of any discrepancy before performing the work.

3.2 INSTALLATION

Install each pump and motor in accordance with the written instructions of the manufacturer. Provide access space around the device for servicing no less than the minimum recommended by the manufacturer.

3.2.1 Base Mounted, Long-Coupled Pumps

Set the pump baseplate as follows.

- a. Place two sets of shims or wedges for each foundation bolt. Lower baseplate onto foundation bolts and level baseplate both lengthwise and across by adding or removing shims or mount wedges. A maximum difference of 0.125 inches lengthwise and 0.059 inches across is allowable.
- b. Mount pump and driver on baseplate if not already mounted at factory. Pump and driver shafts must have initial cold (pump and driver at ambient temperature) alignment check and final hot (pump and driver at operating temperature) alignment check. Perform cold alignment check

before baseplate is grouted, after baseplate is grouted, and after piping is connected. Perform final alignment check when pump and driver are at operating temperature. Move or shim only the driver to make adjustments to prevent strain on the piping installations. Initial alignment may be performed with scales, straight edges and calipers. Final alignment must be done with dial gauges or laser alignment devices. Final alignment misalignment may not exceed coupling manufacturer's maximum parallel and angular misalignment values. When using variable frequency drives, reduce the manufacturer's misalignment values by 50 percent. Remove flexible coupling when performing alignment.

- c. Support the connecting piping to ensure that there are no piping loads at the pump flange connections and connecting piping is not forced into position. Use concrete for equipment foundations as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE. Provide concrete foundations that are integral with and of the same class as that of the building floor unless otherwise indicated. Use concrete having a compressive strength of at least 2,500 psi in foundations that are entirely separated from the surrounding floor. Install a premolded filler strip between the foundation and floor slab as shown. Furnish foundation bolts, as required, for proper positioning during the placement of the concrete.

3.3 FIELD QUALITY CONTROL

After installation of the pumping units and appurtenances, including coupling guard, is complete, carry out operating tests to assure that the pumping installation operates properly. Make arrangements to have the manufacturer's representatives present when field equipment tests are made. Give each pumping unit a running field test in the presence of the Contracting Officer for a minimum of 2 hours. Operate each pumping unit at its rated capacity or such other point on its head-capacity curve selected by the Contracting Officer. Provide an accurate and acceptable method of measuring the discharge flow. Tests must assure that the units and appurtenances have been installed correctly, that there is no objectionable heating, vibration, or noise from any parts, and that all manual and automatic controls function properly. If any deficiencies are revealed during any tests, correct such deficiencies and reconduct the tests.

Submit test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Each test report must indicate the final position of controls.

3.4 FIELD PAINTING

Do not paint stainless steel, galvanized steel, and nonferrous surfaces.

3.4.1 Touch-up painting

Factory painted items requiring touching up in the field must be thoroughly cleaned of all foreign material, and primed and topcoated with the manufacturer's standard factory finish.

3.4.2 Exposed Ferrous Surfaces

Paint exposed ferrous surfaces with two coats of enamel paint conforming to SSPC Paint 21. Solvent clean factory primed surfaces before painting. Surfaces that have not been factory primed must be prepared and primed with one coat of SSPC Paint 25 or in accordance with the enamel paint manufacturer's recommendations.

3.5 CLOSEOUT ACTIVITIES

3.5.1 Operation and Maintenance Manuals

Submit one complete set at the time the tests procedure is submitted; remaining sets before the contract is completed. Permanently bind each in a hard cover. Inscribe the following identification on the covers: the words "OPERATING AND MAINTENANCE INSTRUCTIONS," name and location of the building, name of the Contractor, and contract number. Place flysheets before instructions covering each subject. Use 8-1/2 by 11 inches paper for instruction sheets, with large sheets of drawings folded in.

Include, but do not limit to, the following in the Instructions:

- a. System layout showing piping, valves, and controls.
- b. Approved wiring and control diagrams including variable frequency drives.
- c. A control sequence describing startup, operation, and shutdown.
- d. Operating and maintenance instructions for each piece of equipment, including task list for routine maintenance, routine inspections, intermediate inspections, and annual inspections; lubrication instructions; and troubleshooting guide.
- e. Manufacturer's bulletins, cuts, and descriptive data; and parts list and recommended spare parts.

3.5.2 Training

Upon completion of the work, and at a time designated by the Contracting Officer, provide the services of one or more competent engineers for a training period of not less than 4 hours to instruct a representative of the Government in the contents of the operation and maintenance manuals for the equipment furnished under these specifications. These field instructions must cover all the items contained in the bound instructions. Submit the training course curriculum and training instructions 14 days prior to the start of training.

-- End of Section --

SECTION 23 23 00

REFRIGERANT PIPING

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 710 I-P	(2009) Performance Rating of Liquid-Line Driers
AHRI 720	(2002) Refrigerant Access Valves and Hose Connectors
AHRI 750 I-P	(2016) Performance Rating of Thermostatic Refrigerant Expansion Valves
AHRI 760 I-P	(2014) Performance Rating of Solenoid Valves for Use with Volatile Refrigerants
AHRI 1370 I-P	(2017) Performance Rating of Electronic Expansion Valves

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 15 & 34	(2013) ASHRAE Standard 34-2016 Safety Standard for Refrigeration Systems/ASHRAE Standard 34-2016 Designation and Safety Classification of Refrigerants-ASHRAE Standard 34-2016
ASHRAE 17	(2022) Method of Testing Capacity of Thermostatic Refrigerant Expansion Valves
ASHRAE 90.1 - IP	(2019) Energy Standard for Buildings Except Low-Rise Residential Buildings

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.20.1	(2013; R 2018) Pipe Threads, General Purpose (Inch)
ASME B16.3	(2021) Malleable Iron Threaded Fittings, Classes 150 and 300
ASME B16.5	(2020) Pipe Flanges and Flanged Fittings NPS 1/2 Through NPS 24 Metric/Inch Standard
ASME B16.9	(2018) Factory-Made Wrought Butt welding Fittings

ASME B16.11	(2022) Forged Fittings, Socket-Welding and Threaded
ASME B16.21	(2021) Nonmetallic Flat Gaskets for Pipe Flanges
ASME B16.22	(2021) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(2018) Standard for Cast Copper Alloy Fittings for Flared Copper Tubes
ASME B31.1	(2022) Power Piping
ASME B31.5	(2022) Refrigeration Piping and Heat Transfer Components
ASME B31.9	(2020) Building Services Piping
ASME B40.100	(2022) Pressure Gauges and Gauge Attachments
ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications

AMERICAN WELDING SOCIETY (AWS)

AWS A5.8/A5.8M	(2019) Specification for Filler Metals for Brazing and Braze Welding
AWS A5.31/A5.31M	(2012) Specification for Fluxes for Brazing and Braze Welding
AWS BRH	(2007; 5th Ed) Brazing Handbook
AWS D1.1/D1.1M	(2020; Errata 1 2021) Structural Welding Code - Steel
AWS Z49.1	(2021) Safety in Welding and Cutting and Allied Processes

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A193/A193M	(2023) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A334/A334M	(2004a; R 2021) Standard Specification for Seamless and Welded Carbon and Alloy-Steel Tubes for Low-Temperature Service
ASTM A653/A653M	(2023) Standard Specification for Steel

Sheet, Zinc-Coated (Galvanized) or
Zinc-Iron Alloy-Coated (Galvannealed) by
the Hot-Dip Process

ASTM B32	(2020) Standard Specification for Solder Metal
ASTM B62	(2017) Standard Specification for Composition Bronze or Ounce Metal Castings
ASTM B75/B75M	(2020) Standard Specification for Seamless Copper Tube
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B280	(2023) Standard Specification for Seamless Copper Tube for Air Conditioning and Refrigeration Field Service
ASTM B813	(2016) Standard Specification for Liquid and Paste Fluxes for Soldering of Copper and Copper Alloy Tube
ASTM D520	(2000; R 2011) Zinc Dust Pigment
ASTM D3308	(2012; R 2017) Standard Specification for PTFE Resin Skived Tape
ASTM E84	(2023) Standard Test Method for Surface Burning Characteristics of Building Materials

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS
INDUSTRY (MSS)

MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Refrigerant Piping System; G

SD-03 Product Data

Refrigerant Piping System

Spare Parts

Qualifications

Refrigerant Piping Tests

Verification of Dimensions

SD-06 Test Reports

Refrigerant Piping Tests

SD-07 Certificates

Service Organization

SD-10 Operation and Maintenance Data

Maintenance; G

Operation and Maintenance Manuals; G

Demonstrations; G

1.3 QUALITY ASSURANCE

1.3.1 Qualifications

Submit 2 copies of qualified procedures, and list of names and identification symbols of qualified welders and welding operators, prior to non-factory welding operations. Weld piping in accordance with the qualified procedures using performance qualified welders and welding operators. Procedures and welders must be qualified in accordance with ASME BPVC SEC IX. Welding procedures qualified by others, and welders and welding operators qualified by another employer may be accepted as permitted by ASME B31.1. Notify the Contracting Officer 24 hours in advance of tests to be performed at the work site, if practical. The welder or welding operator must apply the personally assigned symbol near each weld made, as a permanent record.

1.3.2 Contract Drawings

Because of the small scale of the drawings, it is not possible to indicate all offsets, fittings, and accessories that may be required. Carefully investigate the plumbing, fire protection, electrical, structural and finish conditions that would affect the work to be performed and arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions.

1.4 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Proper protection and care of all material both before and during installation is the Contractor's responsibility. Replace any materials found to be damaged at the Contractor's expense. During installation, cap piping and similar openings to keep out dirt and other foreign matter.

1.5 MAINTENANCE

1.5.1 General

Submit Data Package 2 plus operation and maintenance data complying with

the requirements of Section 01 78 23 OPERATION AND MAINTENANCE DATA and as specified herein.

1.5.2 Extra Materials

Submit spare parts data for each different item of equipment specified, after approval of detail drawings and not later than 2 months prior to the date of beneficial occupancy. Include a complete list of parts and supplies, with current unit prices and source of supply, a recommended spare parts list for 1 year of operation, and a list of the parts recommended by the manufacturer to be replaced on a routine basis in the data.

PART 2 PRODUCTS

2.1 STANDARD COMMERCIAL PRODUCTS

- a. Provide materials and equipment which are standard products of a manufacturer regularly engaged in the manufacturing of such products, that are of a similar material, design and workmanship and that have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The HVAC equipment vendor's requirements for their system's refrigerant piping, piping specialties, and devices shall take precedence over any information described in this specification if a discrepancy arises.
- b. The 2 year use must include applications of equipment and materials under similar circumstances and of similar size. The 2 years' experience must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures. Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown.
- c. Products must be supported by a service organization. System components must be environmentally suitable for the indicated locations. Submit a certified list of qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. The service organizations must be reasonably convenient to the equipment installation and be able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.
- d. Exposed equipment moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel must be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Install safety devices so that proper operation of equipment is not impaired. Welding and cutting safety requirements must be in accordance with AWS Z49.1.
- e. Provide the manufacturer's standard catalog data, at least 5 weeks prior to the purchase or installation of a particular component. Highlight the data to show information such as, but not limited to, material, size, options, performance charts, and curves in adequate detail to demonstrate compliance with contract requirements. Include the manufacturer's recommended installation instructions and procedures in the data provided. Provide data for the following

components as a minimum:

- (1) Piping and Fittings
- (2) Valves
- (3) Piping Accessories
- (4) Pipe Hangers, Inserts, and Supports

2.2 ELECTRICAL WORK

Electrical equipment and wiring must be in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Field wiring must be in accordance with manufacturer's instructions. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, must be provided.

2.3 REFRIGERANT PIPING SYSTEM

Provide refrigerant piping, valves, fittings, and accessories in accordance with ASHRAE 15 & 34 and ASME B31.5, except as specified herein. Refrigerant piping, valves, fittings, and accessories must be compatible with the fluids used and capable of withstanding the pressures and temperatures of the service. Refrigerant piping, valves, and accessories used for refrigerant service must be cleaned, dehydrated, and sealed (capped or plugged) prior to shipment from the manufacturer's plant. Submit drawings, at least 5 weeks prior to beginning construction, provided in adequate detail to demonstrate compliance with contract requirements. Drawings must consist of:

- a. Piping layouts which identify all valves and fittings.
- b. Plans and elevations which identify clearances required for maintenance and operation.

2.4 PIPE, FITTINGS AND END CONNECTIONS (JOINTS)

2.4.1 Steel Pipe

Steel pipe for refrigerant service must conform to ASTM A53/A53M, Schedule 40, Type E or S, Grades A or B. Do not use Type F pipe.

2.4.1.1 Welded Fittings and Connections

Butt-welded fittings must conform to ASME B16.9. Socket-welded fittings must conform to ASME B16.11. Identify welded fittings with the appropriate grade and marking symbol. Welded valves and pipe connections (both butt-welds and socket-welds types) must conform to ASME B31.9.

2.4.1.2 Threaded Fittings and Connections

Threaded fitting must conform to ASME B16.3. Threaded valves and pipe connections must conform to ASME B1.20.1.

2.4.1.3 Flanged Fittings and Connections

Flanges must conform to ASME B16.5, Class 150. Gaskets must be non-asbestos compressed material in accordance with ASME B16.21, 1/16 inch thickness, full face or self-centering flat ring type. Gaskets must contain aramid fibers bonded with styrene butadiene rubber (SBR) or

nitrile butadiene rubber (NBR). Bolts, nuts, and bolt patterns must conform to ASME B16.5. Bolts must be high or intermediate strength material conforming to ASTM A193/A193M.

2.4.2 Steel Tubing

Tubing must be cold-rolled, electric-forged, welded-steel in accordance with ASTM A334/A334M, Grade 1. Joints and fittings must be socket type provided by the steel tubing manufacturer.

2.4.3 Copper Tubing

Provide copper tubing conforming to ASTM B280 annealed or hard drawn as required. Copper tubing must bear the product identification markings in accordance with ASTM B280, "ACR" must be present on copper tubing. Copper tubing must be soft annealed where bending is required and hard drawn where no bending is required. Soft annealed copper tubing must not be used in sizes larger than 1-3/8 inches. Joints must be brazed except that joints on lines 7/8 inch and smaller may be flared. Cast copper alloy fittings for flared copper tube must conform to ASME B16.26 and ASTM B62. Wrought copper and bronze solder-joint pressure fittings must conform to ASME B16.22 and ASTM B75/B75M. Joints and fittings for brazed joint must be wrought-copper or forged-brass sweat fittings. Cast sweat-type joints and fittings are not allowed for brazed joints. Brass or bronze adapters for brazed tubing may be used for connecting tubing to flanges and to threaded ends of valves and equipment.

2.4.4 Solder

Solder must conform to ASTM B32, grade Sb5, tin-antimony alloy for service pressures up to 150 psig. Solder flux must be liquid or paste form, non-corrosive and conform to ASTM B813.

2.4.5 Brazing Filler Metal

Filler metal must conform to AWS A5.8/A5.8M, Type BAg-5 with AWS Type FB3-A or Type FB3-C flux, except Type BCuP-3, BCuP-4, or BCuP-5 may be used for brazing copper-to-copper joints. BAlSi-4 with AWS Type FB1-A flux may be used when joining copper piping to aluminum components.

2.4.6 Brazing Flux

Brazing flux must conform to AWS A5.31/A5.31M, Type FB3-A or Type FB3-C when using Type BAg-5 filler metal. Type FB1-A is to be used with Type BAlSi-4 filler metal.

2.4.7 Press Fittings

Press fittings are not acceptable for use in refrigerant piping systems.

2.5 VALVES

Valves must be designed, manufactured, and tested specifically for refrigerant service. The valve material and all internal components must be compatible with the specific refrigerant and lubricant used. Valve bodies must be of brass, bronze, steel, or ductile iron construction. Valves 1 inch and smaller must have brazed or socket welded connections. Valves larger than 1 inch must have tongue-and-groove flanged end connections. Do not use threaded end connections, except in pilot

pressure or gauge lines where maintenance disassembly is required and welded flanges cannot be used. Internal parts must be removable for inspection or replacement without applying heat or breaking pipe connections. Valve stems exposed to the atmosphere must be stainless steel or corrosion resistant metal plated carbon steel. Direction of flow must be legibly and permanently indicated on the valve body. Control valve inlets must be fitted with integral or adapted strainer or filter where recommended or required by the manufacturer. Purge, charge and receiver valves must be of manufacturer's standard configuration.

2.5.1 Refrigerant Stop Valves

Valve must be the globe or full-port ball type with a back-seating stem especially packed for refrigerant service. Valve packing must be replaceable under line pressure. Provide valve with a handwheel or wrench operator and a seal cap. Valve must be the straight or angle pattern design as indicated.

2.5.2 Check Valves

Valve must be the swing or lift type as required to provide positive shutoff at the differential pressure indicated. Valve must be provided with resilient seat.

2.5.3 Liquid Solenoid Valves

Provide valves that comply with AHRI 760 I-P and are suitable for continuous duty with applied voltages 15 percent under and 5 percent over nominal rated voltage at maximum and minimum encountered pressure and temperature service conditions. Valves must be direct-acting or pilot-operating type, packless, except that packed stem, seal capped, manual lifting provisions must be furnished. Provide solenoid coils that are moisture-proof, UL approved, totally encapsulated or encapsulated and metal jacketed as required. Valves must have safe working pressure of 610 psi and a maximum operating pressure differential of at least 200 psi at 85 percent rated voltage. Valves must have an operating pressure differential suitable for the refrigerant used.

2.5.4 Expansion Valves

Provide valve conforming to AHRI 750 I-P and ASHRAE 17. Valve must be the diaphragm and spring-loaded type with internal or external equalizers, and bulb and capillary tubing. Provide valve with an external superheat adjustment along with a seal cap. Internal equalizers may be utilized where flowing refrigerant pressure drop between outlet of the valve and inlet to the evaporator coil is negligible and pressure drop across the evaporator is less than the pressure difference corresponding to 2 degrees F of saturated suction temperature at evaporator conditions. Bulb charge must be determined by the manufacturer for the application and such that liquid will remain in the bulb at all operating conditions. Do not use gas limited liquid charged valves and other valve devices for limiting evaporator pressure without a distributor or discharge tube or effective means to prevent loss of control when bulb becomes warmer than valve body. Pilot-operated valves must have a characterized plug to provide required modulating control. A de-energized solenoid valve may be used in the pilot line to close the main valve in lieu of a solenoid valve in the main liquid line. Provide an isolatable pressure gauge in the pilot line, at the main valve. Automatic pressure reducing or constant pressure regulating expansion valves may be used only where indicated or for

constant evaporator loads.

2.5.5 Electronic Expansion Valves

Valve must conform to AHRI 1370 I-P and ASHRAE 17. The valve must prevent the return of liquid to the compressor in the event of power loss or low superheat.

2.5.6 Safety Relief Valves

Valve must be the two-way type, unless indicated otherwise. Valve must bear the ASME code symbol. Valve capacity must be certified by the National Board of Boiler and Pressure Vessel Inspectors. Valve must be of an automatically reseating design after activation.

2.5.7 Evaporator Pressure Regulators, Direct-Acting

Valve must include a diaphragm/spring assembly, external pressure adjustment with seal cap, and pressure gauge port. Valve must maintain a constant inlet pressure by balancing inlet pressure on diaphragm against an adjustable spring load. Pressure drop at system design load must not exceed the pressure difference corresponding to a 2 degrees F change in saturated refrigerant temperature at evaporator operating suction temperature. Spring must be selected for indicated maximum allowable suction pressure range.

2.5.8 Refrigerant Access Valves

Provide refrigerant access valves and hose connections in accordance with AHRI 720.

2.6 PIPING ACCESSORIES

2.6.1 Filter Driers

Driers must conform to AHRI 710 I-P. Sizes 5/8 inch and larger must be the full flow, replaceable core type. Sizes 1/2 inch and smaller must be the sealed type. Cores must be of suitable desiccant that will not plug, cake, dust, channel, or break down, and must remove water, acid, and foreign material from the refrigerant. Construct filter driers so that none of the desiccant will pass into the refrigerant lines. Minimum bursting pressure must be 1,500 psi.

2.6.2 Sight Glass and Liquid Level Indicator

2.6.2.1 Assembly and Components

Assembly must be pressure- and temperature-rated and constructed of materials suitable for the service. Glass must be borosilicate type. Ferrous components subject to condensation must be electro-galvanized.

2.6.2.2 Gauge Glass

Gauge glass must include top and bottom isolation valves fitted with automatic checks, and packing followers; red-line or green-line gauge glass; elastomer or polymer packing to suit the service; and gauge glass guard.

2.6.2.3 Bull's-Eye and Inline Sight Glass Reflex Lens

Provide bull's-eye and inline sight glass reflex lens for dead-end liquid service. For pipe line mounting, provide two plain lenses in one body suitable for backlighting viewing.

2.6.2.4 Moisture Indicator

Indicator must be a self-reversible action, moisture reactive, color changing media. Indicator must be furnished with full-color-printing tag containing color, moisture, and temperature criteria. Unless otherwise indicated, the moisture indicator must be an integral part of each corresponding sight glass.

2.6.3 Vibration Dampeners

Dampeners must be of the all-metallic bellows and woven-wire type.

2.6.4 Flexible Pipe Connectors

Connector must be a composite of interior corrugated phosphor bronze or Type 300 Series stainless steel, as required for fluid service, with exterior reinforcement of bronze, stainless steel or monel wire braid. Assembly must be constructed with a safety factor of not less than 4 at 300 degrees F. Unless otherwise indicated, the length of a flexible connector must be as recommended by the manufacturer for the service intended.

2.6.5 Strainers

Strainers used in refrigerant service must have brass or cast-iron body, Y-or angle-pattern, cleanable, not less than 60-mesh noncorroding screen of an area to provide net free area not less than ten times the pipe diameter with pressure rating compatible with the refrigerant service. Screens must be stainless steel or monel and reinforced spring-loaded where necessary for bypass-proof construction.

2.6.6 Pressure and Vacuum Gauges

Provide gauges conforming to ASME B40.100 with throttling type needle valve or a pulsation dampener and shut-off valve. Gauge must be a minimum of 3-1/2 inches in diameter with a range from 0 psig to approximately 1.5 times the maximum system working pressure. Select each gauge range so that at normal operating pressure, the needle is within the middle-third of the range.

2.6.7 Temperature Gauges

Provide industrial duty type temperature gauges for the required temperature range. Gauges must have Fahrenheit scale in 2 degrees graduations scale (black numbers) on a white face. The pointer must be adjustable. Provide rigid stem type temperature gauges in thermowells located within 5 feet of the finished floor. Provide universal adjustable angle type or remote element type temperature gauges in thermowells located 5 to 7 feet above the finished floor. Provide remote element type temperature gauges in thermowells located 7 feet above the finished floor.

2.6.7.1 Stem Cased-Glass

Provide stem cased-glass case composed of polished stainless steel or cast

aluminum, 9 inches long, with clear acrylic lens, and non-mercury filled glass tube with indicating-fluid column.

2.6.7.2 Bimetallic Dial

Provide bimetallic dial type case that is greater than 3-1/2 inches, stainless steel, and hermetically sealed with clear acrylic lens. Bimetallic element must be silicone dampened and unit fitted with external calibrator adjustment. Accuracy must be one percent of dial range.

2.6.7.3 Liquid-, Solid-, and Vapor-Filled Dial

Provide liquid-, solid-, and vapor-filled dial type cases that are greater than 3-1/2 inches, stainless steel or cast aluminum with clear acrylic lens. Fill must be nonmercury, suitable for encountered cross-ambients, and connecting capillary tubing must be double-braided bronze.

2.6.7.4 Thermowell

Thermowell must be identical size, 1/2 or 3/4 inch NPT connection, brass or stainless steel. Where test wells are indicated, provide captive plug-fitted type 1/2 inch NPT connection suitable for use with either engraved stem or standard separable socket thermometer or thermostat. Mercury must not be used in thermometers. Extended neck thermowells must be of sufficient length to clear insulation thickness by 1 inch.

2.6.8 Pipe Hangers, Inserts, and Supports

Provide pipe hangers, inserts, guides, and supports conforming to MSS SP-58.

2.6.9 Escutcheons

Escutcheons must be chromium-plated iron or chromium-plated brass, either one piece or split pattern, held in place by internal spring tension or set screws.

2.7 FABRICATION

2.7.1 Factory Coating

Unless otherwise specified, equipment and component items, when fabricated from ferrous metal, must be factory finished with the manufacturer's standard finish, except that items located outside of buildings must have weather resistant finishes that will withstand 500 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution. Immediately after completion of the test, the specimen must show no signs of blistering, wrinkling, cracking, or loss of adhesion and no sign of rust creepage beyond 1/8 inch on either side of the scratch mark. Cut edges of galvanized surfaces where hot-dip galvanized sheet steel is used must be coated with a zinc-rich coating conforming to ASTM D520, Type I.

2.7.2 Factory Applied Insulation

Factory installed insulation must be in accordance with ASHRAE 90.1 - IP. Refrigerant suction lines between the cooler and each compressor and cold gas inlet connections to gas cooled motors Refrigerant pumps and exposed chilled water lines on absorption chillers must be insulated with not less than 1/2 inch thick unicellular plastic foam. Factory insulated items

installed outdoors are not required to be fire-rated. As a minimum, factory insulated items installed indoors must have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Factory insulated items (no jacket) installed indoors and which are located in air plenums, in ceiling spaces, and in attic spaces must have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Flame spread and smoke developed indexes must be determined by ASTM E84. Test insulation in the same density and installed thickness as the material to be used in the actual construction. Test material supplied by a manufacturer with a jacket as a composite material. Provide jackets, facings, and adhesives that have a flame spread index less than 25 and a smoke developed index less than 50 when tested in accordance with ASTM E84.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, perform a verification of dimensions in the field. Submit a letter, at least 2 weeks prior to beginning construction, including the date the site was visited, conformation of existing conditions, and any discrepancies found before performing any work.

3.2 INSTALLATION

Pipe and fitting installation must conform to the requirements of ASME B31.1. Cut pipe accurately to measurements established at the jobsite, and work into place without springing or forcing, completely clearing all windows, doors, and other openings. Cutting or other weakening of the building structure to facilitate piping installation is not permitted without written approval. Cut pipe or tubing square, remove by reaming, and permit free expansion and contraction without causing damage to the building structure, pipe, joints, or hangers.

3.2.1 Directional Changes

Make changes in direction with fittings, except that bending of pipe 4 inches and smaller is permitted, provided a pipe bender is used and wide weep bends are formed. Mitering or notching pipe or other similar construction to form elbows or tees is not permitted. The centerline radius of bends must not be less than 6 diameters of the pipe. Bent pipe showing kinks, wrinkles, flattening, or other malformations will not be accepted.

3.2.2 Functional Requirements

Install piping 1/2 inch/10 feet of pipe in the direction of flow to ensure adequate oil drainage. Properly cap or plug open ends of refrigerant lines or equipment during installation to keep moisture, dirt, or other foreign material out of the system. Piping must remain capped until installation. Equipment piping must be in accordance with the equipment manufacturer's recommendations and the contract drawings. Equipment and piping arrangements must fit into space allotted and allow adequate acceptable clearances for installation, replacement, entry, servicing, and maintenance.

3.2.3 Fittings and End Connections

3.2.3.1 Threaded Connections

Make threaded connections with tapered threads and make tight with PTFE tape complying with ASTM D3308 or equivalent thread-joint compound applied to the male threads only. Do not show more than three threads after the joint is made.

3.2.3.2 Brazed Connections

Perform brazing in accordance with AWS BRH, except as modified herein. During brazing, fill the pipe and fittings with a pressure regulated inert gas, such as nitrogen, to prevent the formation of scale. Before brazing copper joints, clean both the outside of the tube and the inside of the fitting with a wire fitting brush until the entire joint surface is bright and clean. Do not use brazing flux on copper-to-copper connections. Remove surplus brazing material at all joints. Make steel tubing joints in accordance with the manufacturer's recommendations. Paint joints in steel tubing with the same material as the baked-on coating within 8 hours after joints are made. Protect tubing against oxidation during brazing by continuous purging of the inside of the piping using nitrogen. Support piping prior to brazing and do not spring or force.

3.2.3.3 Welded Connections

Fusion-weld joints in steel refrigerant piping. Make branch connections with welding tees or forged welding branch outlets. Thoroughly clean pipe of all scale and foreign matter before the piping is assembled. During welding, fill the pipe and fittings with an inert gas, such as nitrogen, to prevent the formation of scale. Beveling, alignment, heat treatment, and inspection of weld must conform to ASME B31.1. Remove and reweld weld defects at no additional cost to the Government. Store and dry electrodes in accordance with AWS D1.1/D1.1M or as recommended by the manufacturer. Do not use electrodes that have been wetted or that have lost any of their coating.

3.2.3.4 Flared Connections

When flared connections are used, use a suitable lubricant between the back of the flare and the nut in order to avoid tearing the flare while tightening the nut.

3.2.3.5 Flanged Connections

When steel refrigerant piping is used, provide union or flange joints in each line immediately preceding the connection to each piece of equipment requiring maintenance, such as compressors, coils, chillers, control valves, and other similar items. Flanged joints must be assembled square end tight with matched flanges, gaskets, and bolts. Provide gaskets that are suitable for use with the refrigerants to be handled.

3.2.4 Valves

3.2.4.1 General

Install refrigerant stop valves on each side of each piece of equipment such as compressors, condensers, evaporators, receivers, and other similar items in multiple-unit installation, to provide partial system isolation.

as required for maintenance or repair. Install stop valves with stems horizontal unless otherwise indicated. Install ball valves must be installed with stems positioned to facilitate operation and maintenance. Isolating valves for pressure gauges and switches must be external to thermal insulation. Safety switches must not be fitted with isolation valves. Filter dryers having access ports may be considered a point of isolation. Purge valves must be provided at all points of systems where accumulated non-condensable gases would prevent proper system operation. Valves must be furnished to match line size, unless otherwise indicated or approved.

3.2.4.2 Expansion Valves

Install expansion valves with the thermostatic expansion valve bulb located on top of the suction line when the suction line is less than 2-1/8 inches in diameter and at the 4 o'clock or 8 o'clock position on lines larger than 2-1/8 inches. Fasten the bulb securely with two clamps. Insulate the bulb. Install the bulb in a horizontal portion of the suction line, if possible, with the pigtail on the bottom. If the bulb must be installed in a vertical line, the bulb tubing must be facing up.

3.2.4.3 Valve Identification

Tag each system valve, including those which are part of a factory assembly. Tags must be in alphanumeric sequence, progressing in direction of fluid flow. Tags must be embossed, engraved, or stamped plastic or nonferrous metal of various shapes, sized approximately 1-3/8 inch diameter, or equivalent dimension, substantially attached to a component or immediately adjacent thereto. Attach tags with nonferrous, heavy duty, bead or link chain, 14 gauge annealed wire, nylon cable bands or as approved. Reference tag numbers in Operation and Maintenance Manuals and system diagrams.

3.2.5 Vibration Dampers

Provide vibration damper in the suction and discharge lines on spring mounted compressors. Install vibration dampers parallel with the shaft of the compressor and anchor firmly at the upstream end on the suction line and the downstream end in the discharge line.

3.2.6 Strainers

Provide strainers immediately ahead of solenoid valves and expansion devices. Strainers may be an integral part of an expansion valve.

3.2.7 Filter Dryer

Provide a liquid line filter dryer on each refrigerant circuit located such that all liquid refrigerant passes through a filter dryer. Size dryers in accordance with the manufacturer's recommendations for the system in which it is installed. Install dryers such that it can be isolated from the system, the isolated portion of the system evacuated, and the filter dryer replaced. Install dryers in the horizontal position except replaceable core filter dryers may be installed in the vertical position with the access flange on the bottom.

3.2.8 Sight Glass

Install a moisture indicating sight glass in all refrigerant circuits downstream of all filter dryers and where indicated. Provide full line size sight glasses.

3.2.9 Discharge Line Oil Separator

Provide discharge line oil separator in the discharge line from each compressor. Connect the oil return line to the compressor as recommended by the compressor manufacturer.

3.2.10 Accumulator

Provide accumulators in the suction line to each compressor.

3.2.11 Flexible Pipe Connectors

Install connectors perpendicular to line of motion being isolated. Fit piping for equipment with bidirectional motion with two flexible connectors, in perpendicular planes. Install reinforced elastomer flexible connectors in accordance with manufacturer's instructions. Provide piping guides and restraints related to flexible connectors as required.

3.2.12 Temperature Gauges

Locate temperature gauges specifically on, but not limited to the following: the sensing element of each automatic temperature control device where a thermometer is not an integral part thereof the liquid line leaving a receiver and the suction line at each evaporator or liquid cooler. Thermowells for insertion thermometers and thermostats must extend beyond thermal insulation surface not less than 1 inch.

3.2.13 Pipe Hangers, Inserts, and Supports

Pipe hangers, inserts, and supports must conform to MSS SP-58, except as modified herein. Do not use pipe hanger types 5, 12, and 26. Fabricate hangers used to support piping 2 inches and larger to permit adequate adjustment after erection while still supporting the load. Support piping subjected to vertical movement, when operating temperatures exceed ambient temperatures, by variable spring hangers and supports or by constant support hangers.

3.2.13.1 Hangers

Do not use Type 3 on insulated piping. Type 24 may be used only on trapeze hanger systems or on fabricated frames.

3.2.13.2 Inserts

Secure Type 18 inserts to concrete forms before concrete is placed. Continuous inserts which allow more adjustments may be used if they otherwise meet the requirements for Type 18 inserts.

3.2.13.3 C-Clamps

Torque Type 19 and 23 C-clamps in accordance with MSS SP-58 and have both locknuts and retaining devices, furnished by the manufacturer.

Field-fabricated C-clamp bodies or retaining devices are not acceptable.

3.2.13.4 Angle Attachments

Furnish Type 20 attachments used on angles and channels with an added malleable-iron heel plate or adapter.

3.2.13.5 Saddles and Shields

Where Type 39 saddle or Type 40 shield are permitted for a particular pipe attachment application, the Type 39 saddle, connected to the pipe, must be used on all pipe 4 inches and larger when the temperature of the medium is 60 degrees F or higher. Use Type 40 shields on all piping less than 4 inches and all piping 4 inches and larger carrying medium less than 60 degrees F. Use a high-density insulation insert of cellular glass under the Type 40 shield for piping 2 inches and larger.

3.2.13.6 Horizontal Pipe Supports

Space horizontal pipe supports as specified in MSS SP-58 and install a support no more than 1 foot from the pipe fitting joint at each change in direction of the piping. Space pipe supports no more than 5 feet apart at valves. Pipe hanger loads suspended from steel joist with hanger loads between panel points in excess of 50 pounds must have the excess hanger loads suspended from panel points.

3.2.13.7 Vertical Pipe Supports

Support vertical pipe at each floor, except at slab-on-grade, and at intervals of not more than 15 feet not more than 8 feet from end of risers, and at vent terminations.

3.2.13.8 Pipe Guides

Provide Type 35 guides using, steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides where required to allow longitudinal pipe movement. Provide lateral restraints as required. Provide slide materials that are suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered.

3.2.13.9 Steel Slides

Where steel slides do not require provisions for restraint of lateral movement, an alternate guide method may be used. On piping 4 inches and larger, use a Type 39 saddle. On piping under 4 inches, a Type 40 protection shield may be attached to the pipe or insulation and freely rest on a steel slide plate.

3.2.13.10 High Temperature Guides with Cradles

Where there are high system temperatures and welding to piping is not desirable, the Type 35 guide must include a pipe cradle, welded to the guide structure and strapped securely to the pipe. Separate the pipe from the slide material by at least 4 inches, or by an amount adequate for the insulation, whichever is greater.

3.2.13.11 Multiple Pipe Runs

In the support of multiple pipe runs on a common base member, use a clip

or clamp where each pipe crosses the base support member. Spacing of the base support members must not exceed the hanger and support spacing required for an individual pipe in the multiple pipe run.

3.2.13.12 Structural Attachments

Attachment to building structure concrete and masonry must be by cast-in concrete inserts, built-in anchors, or masonry anchor devices. Inserts and anchors must be applied with a safety factor not less than 5. Do not attach supports to metal decking. Construct masonry anchors for overhead applications of ferrous materials only. Provide structural steel brackets required to support piping, headers, and equipment, but not shown, under this section. Specify material used for support under Section 05 12 00 STRUCTURAL STEEL.

3.2.14 Pipe Alignment Guides

Provide pipe alignment guides where indicated for expansion loops, offsets, and bends and as recommended by the manufacturer for expansion joints, not to exceed 5 feet on each side of each expansion joint, and in lines 4 inches or smaller not more than 2 feet on each side of the joint.

3.2.15 Pipe Anchors

Provide anchors wherever necessary or indicated to localize expansion or to prevent undue strain on piping. Provide anchors consisting of heavy steel collars with lugs and bolts for clamping and attaching anchor braces, unless otherwise indicated. Install anchor braces in the most effective manner to secure the desired results using turnbuckles where required. Do not attach supports, anchors, or stays where they will injure the structure or adjacent construction during installation or by the weight of expansion of the pipeline. Where pipe and conduit penetrations of vapor barrier sealed surfaces occur, immediately anchor these items adjacent to each penetrated surface, to provide essentially zero movement within penetration seal. Submit detailed drawings of pipe anchors for approval before installation.

3.2.16 Building Surface Penetrations

Do not install sleeves in structural members except where indicated or approved. Provide galvanized sheet metal sleeves in non-load bearing surfaces conforming to ASTM A653/A653M, Coating Class G-90, 20 gauge. Provide uncoated carbon steel pipe sleeves in load bearing surfaces conforming to ASTM A53/A53M, Schedule 30. Apply sealants to moisture and oil-free surfaces and elastomers to not less than 1/2 inch depth. Do not install sleeves in structural members.

3.2.16.1 Refrigerated Space

Fit refrigerated space building surface penetrations with sleeves fabricated from hand-lay-up or helically wound, fibrous glass reinforced polyester or epoxy resin with a minimum thickness equal to equivalent size Schedule 40 steel pipe. Construct sleeves with integral collar or fit cold side with a bonded slip-on flange or extended collar. In the case of masonry penetrations where sleeve is not cast-in, fill voids with latex mixed mortar cast to shape of sleeve and assemble flange/external collar type sleeve with butyl elastomer vapor barrier sealant through penetration to cold side surface vapor barrier overlap and fastened to surface with masonry anchors. Flash integral cast-in collar type sleeve as indicated.

Normally seal noninsulated penetrating round surfaces to sleeve bore with mechanically expandable seals in vapor tight manner and insulate remaining warm and cold side sleeve depth with not less than 4 inches of foamed-in-place rigid polyurethane or foamed-in-place silicone elastomer. Apply vapor barrier sealant to finish warm side insulation surface. Insulate warm side of penetrating surface beyond vapor barrier sealed sleeve insulation for a distance which prevents condensation. Seal wires in refrigerated space surface penetrating conduit with vapor barrier plugs or compound to prevent moisture migration through conduit and condensation therein.

3.2.16.2 General Service Areas

Extend each sleeve through its respective wall, floor, or roof, and cut flush with each surface. Provide pipes passing through concrete or masonry wall or concrete floors or roofs with pipe sleeves fitted into place at the time of construction. Provide sleeves that allow a minimum of 1/4 inch all-around clearance between bare pipe and sleeves or between jacketed-insulation and sleeves. Except in pipe chases or interior walls, seal the annular space between pipe and sleeve or between jacket over-insulation and sleeve in accordance with Section 07 92 00 JOINT SEALANTS.

3.2.16.3 Waterproof Penetrations

Install pipes passing through roof or floor waterproofing membrane through a 17 ounce copper sleeve, or a 0.032 inch thick aluminum sleeve, each within an integral skirt or flange. Form flashing sleeve, and extend skirt or flange greater than 8 inches from the pipe and set over the roof or floor membrane in a troweled coating of bituminous cement. Extend the flashing sleeve up the pipe a minimum of 2 inches above the roof or floor penetration. Seal the annular space between the flashing sleeve and the bare pipe or between the flashing sleeve and the metal-jacket-covered insulation as indicated. Seal penetrations by either one of the following methods.

3.2.16.3.1 Waterproofing Clamping Flange

Pipes up to and including 10 inches in diameter passing through roof or floor waterproofing membrane may be installed through a cast iron sleeve with caulking recess, anchor lugs, flashing clamp device, and pressure ring with brass bolts. Clamp waterproofing membrane into place and place sealant in the caulking recess.

3.2.16.3.2 Modular Mechanical Type Sealing Assembly

In lieu of a waterproofing clamping flange and caulking and sealing of annular space between pipe and sleeve or conduit and sleeve, a modular mechanical type sealing assembly may be installed. Provide seals consisting of interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe/conduit and sleeve with corrosion protected carbon steel bolts, nuts, and pressure plates. Loosely assemble links with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tighten the bolt to cause the rubber sealing elements to expand and provide a watertight seal between the pipe/conduit and the sleeve. Size each seal assembly as recommended by the manufacturer to fit the pipe/conduit and sleeve involved. The Contractor electing to use the modular mechanical type seals must provide

sleeves of the proper diameters.

3.2.16.4 Fire-Rated Penetrations

Seal penetration of fire-rated walls, partitions, and floors as specified in Section 07 84 00 FIRESTOPPING.

3.2.16.5 Escutcheons

Provide escutcheons for finished surfaces where exposed piping, bare or insulated, pass through floors, walls, or ceilings, except in boiler, utility, or equipment rooms. Where sleeves project slightly from floors, use special deep-type escutcheons. Secure escutcheon to pipe or pipe covering.

3.2.17 Access Panels

Provide access panels for all concealed valves, vents, controls, and items requiring inspection or maintenance. Provide access panels of sufficient size and locate so that the concealed items may be serviced and maintained or completely removed and replaced. Provide access panels as specified in Section 08 31 00 ACCESS DOORS AND PANELS.

3.2.18 Field Applied Insulation

Field installed insulation is specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS, except as defined differently herein.

3.2.19 Field Painting

Painting required for surfaces not otherwise specified, and finish painting of items only primed at the factory are specified in Section 09 90 00 PAINTS AND COATINGS.

3.2.20 Identification Tags

Provide identification tags made of brass, engraved laminated plastic or engraved anodized aluminum indicating service and item number on all valves and dampers. Tags must be 1-3/8 inch minimum diameter and marking must be stamped or engraved. Indentations must be black for reading clarity. Attach tags to valves with No. 12 AWG copper wire, chrome-plated beaded chain or plastic straps designed for that purpose.

3.3 CLEANING AND ADJUSTING

Clean uncontaminated system(s) by evacuation and purging procedures currently recommended by refrigerant and refrigerant equipment manufacturers, and as specified herein, to remove small amounts of air and moisture. Systems containing moderate amounts of air, moisture, contaminated refrigerant, or any foreign matter are considered contaminated systems. Restore contaminated systems to clean condition including disassembly, component replacement, evacuation, flushing, purging, and re-charging, using currently approved refrigerant and refrigeration manufacturer's procedures. Restore contaminated systems at no additional cost to the Government as determined by the Contracting Officer. Do not use water in any procedure or test.

3.4 TRAINING COURSE

- a. Submit a schedule, at least 2 weeks prior to the date of the proposed training course, which identifies the date, time, and location for the training. Conduct a training course for members of the operating staff as designated by the Contracting Officer. The training period must consist of a total 4 hours of normal working time and start after the system is functionally completed but prior to final acceptance tests.
- b. Cover all of the items contained in the approved operation and maintenance manuals as well as demonstrations of routine maintenance operations in the field posted instructions..
- c. Submit 6 complete copies of an operation manual in bound 8 1/2 by 11 inch booklets listing step-by-step procedures required for system startup, operation, abnormal shutdown, emergency shutdown, and normal shutdown at least 4 weeks prior to the first training course. Include the manufacturer's name, model number, and parts list in the booklets. Include the manufacturer's name, model number, service manual, and a brief description of all equipment and their basic operating features in the manuals.
- d. Submit 6 complete copies of maintenance manual in bound 8 1/2 x 11 inch booklets listing routine maintenance procedures, possible breakdowns and repairs, and a trouble shooting guide. Include piping layouts and simplified wiring and control diagrams of the system as installed in the manuals.

3.5 REFRIGERANT PIPING TESTS

After all components of the refrigerant system have been installed and connected, subject the entire refrigeration system to pneumatic, evacuation, and startup tests as described herein. Submit a schedule, at least 2 weeks prior to the start of related testing, for each test. Identify the proposed date, time, and location for each test. Conduct tests in the presence of the Contracting Officer. Water and electricity required for the tests will be furnished by the Government. Provide all material, equipment, instruments, and personnel required for the test. Provide the services of a qualified technician, as required, to perform all tests and procedures indicated herein. Coordinate field tests with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS. Submit 6 copies of the tests report in bound 8 1/2 by 11 inch booklets documenting all phases of the tests performed. Include initial test summaries, all repairs/adjustments made, and the final test results in the report.

3.5.1 Preliminary Procedures

Prior to pneumatic testing, isolate equipment which has been factory tested and refrigerant charged as well as equipment which could be damaged or cause personnel injury by imposed test pressure, positive or negative, from the test pressure, or remove from the system. Remove safety relief valves and rupture discs that are not part of factory sealed systems, and cap or plug openings.

3.5.2 Pneumatic Test

Provide pressure control and excess pressure protection at the source of

test pressure. Valves must be wide open, except those leading to the atmosphere. Test gas must be dry nitrogen, with minus 70 degree F dewpoint and less than 5 ppm oil. Apply test pressure in two stages before any refrigerant pipe is insulated or covered. In accordance with ASME B31.5, a preliminary test not to exceed 25 psi must be applied as a means of locating major leaks. Every joint being tested must be coated with a thick soap or color indicating solution. The second stage test pressure must be at least 110 percent of the design pressure, but cannot exceed 130 percent of the design pressure of any component in the system. For large systems that are not completely visible, the pressure in the system must be gradually increased to one-half of the test pressure after which the pressure must be increased in steps of one-tenth of the test pressure, until the required test pressure has been reached. The test pressure must be continuously maintained for at least 24 hours, after which it can be reduced to the leak test pressure. A correction factor of 0.3 psi will be allowed for each degree F change between test space initial and final ambient temperature, plus for increase and minus for a decrease. The leak test pressure must be the design pressure, or a pressure specified in the engineering design. To repair leaks, the joint must be taken apart, thoroughly cleaned, and reconstructed as a new joint. Joints repaired by caulking, re-melting, or back-welding/brazing are not acceptable. Following repair, the entire system must be retested using the pneumatic tests described above. Reassemble the entire system once the pneumatic tests are satisfactorily completed.

3.5.3 Evacuation Test

Following satisfactory completion of the pneumatic tests, relieve the pressure and evacuate the entire system to an absolute pressure of 300 micrometers. During evacuation of the system, the ambient temperature must be higher than 35 degrees F. Do not evacuate no more than one system at one time by one vacuum pump. Once the desired vacuum has been reached, close the vacuum line and allow the system to stand for 1 hour. If the pressure rises over 500 micrometers after the 1 hour period, evacuate the system again down to 300 micrometers and let set for another 1 hour period. Do not charge the system until a vacuum of at least 500 micrometers is maintained for a period of 1 hour without the assistance of a vacuum line. If during the testing the pressure rises above 500 micrometers, continue to repeat the evacuation procedures until all residual moisture has been removed. During evacuation, record pressures by a thermocouple-type, electronic-type, or a calibrated-micrometer type gauge.

3.5.4 System Charging and Startup Test

Following satisfactory completion of the evacuation tests, charge the system with the required amount of refrigerant by raising pressure to normal operating pressure and in accordance with manufacturer's procedures. Following charging, the system must operate with high-side and low-side pressures and corresponding refrigerant temperatures, at design or improved values. Test the entire system tested for leaks. Test fluorocarbon systems with halide torch or electronic leak detectors.

3.5.5 Refrigerant Leakage

If a refrigerant leak is discovered after the system has been charged, the leaking portion of the system must be immediately isolated from the remainder of the system and the refrigerant pumped into the system receiver or other suitable container. The refrigerant must not be

discharged into the atmosphere.

3.5.6 Contractor's Responsibility

At all times during the installation and testing of the refrigeration system, take steps to prevent the release of refrigerants into the atmosphere. The steps must include, but not be limited to, procedures which will minimize the release of refrigerants to the atmosphere and the use of refrigerant recovery devices to remove refrigerant from the system and store the refrigerant for reuse or reclaim. At no time will the allowable leak rate exceed the leak rates allowed in Section 608 of the Clean Air Act: 30 percent of the full charge per year for industrial refrigeration, 20 percent of the full charge per year for commercial refrigeration, and 10 percent of the full charge per year for comfort cooling. Any system leaks within the first year must be repaired in accordance with the requirements herein at no cost to the Government including material, labor, and refrigerant if the leak is the result of defective equipment, material, or installation.

-- End of Section --

NOT FOR BIDDING PURPOSES

SECTION 23 25 00

CHEMICAL TREATMENT OF WATER FOR MECHANICAL SYSTEMS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B40.100 (2022) Pressure Gauges and Gauge Attachments

ASTM INTERNATIONAL (ASTM)

ASTM D596 (2001; R 2018) Standard Guide for Reporting Results of Analysis of Water

ASTM D2688 (2015; E 2016) Standard Test Method for Corrosivity of Water in the Absence of Heat Transfer (Weight Loss Methods)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1 (2021) Motors and Generators

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Water Treatment System; G

Water Analysis; G

Spare Parts

Field Instructions

Tests; G

Training Course; G

SD-06 Test Reports

Heating Hot Water QA Tests

SD-10 Operation and Maintenance Data

Water Treatment System

1.3 MAINTENANCE MATERIAL SUBMITTALS

Submit spare parts data for each different item of material and equipment specified, after approval of the detail drawings, not later than 2 months prior to the date of beneficial occupancy. Include a complete list of parts and supplies, with source of supply, with the data.

1.4 QUALITY CONTROL

1.4.1 Safety

Ensure exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel are insulated, fully enclosed, guarded, or fitted with other types of safety devices. Install safety devices so that proper operation of equipment is not impaired.

1.4.2 Drawings

Because of the small scale of the drawings, it is not possible to indicate all offsets, fittings, and accessories that may be required. Carefully investigate the plumbing, fire protection, electrical, structural and finish conditions that would affect the work to be performed and arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions.

1.5 DELIVERY, STORAGE, AND HANDLING

Protect all equipment delivered and placed in storage from the weather, humidity and temperature variations, dirt and dust, or other contaminants.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

2.1.1 Summary

This section covers the provisions and installation procedures necessary for a complete and totally functional water system(s) chemical treatment. Provide and install the system with all necessary System Components, Accessories, Piping Components, and Supplemental Components/Services.

2.1.2 Standard Products

- a. Provide materials and equipment which are standard products of a manufacturer regularly engaged in the manufacturing of such products, that are of a similar material, design and workmanship and that have been in satisfactory commercial or industrial use for two years' prior to bid opening.
- b. Include in the two-year use all applications of equipment and materials under similar circumstances and of similar size. Ensure the two years' experience has been satisfactorily completed by a product which has been sold or is offered for sale on the commercial market

through advertisements, manufacturer's catalogs, or brochures. Products having less than a two-year field service record are acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown.

- c. All products are required to be supported by a service organization. Submit a certified list of qualified permanent service organizations for support of the equipment, including their addresses and qualifications. These service organizations are required to be reasonably convenient to the equipment installation and able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.
- d. The selected service organization provides the chemicals required, the concentrations required, and the water treatment equipment sizes and flow rates required. The company provides all chemicals required for the hot water systems and fills the systems with chemicals to the levels specified. The chemical is required to meet the requirements of this specification as well as the recommendations from the manufacturers of the boiler. Acid treatment chemicals are not allowed to be used.

2.1.3 Water Analysis

Conditions of make-up water to be supplied to the boilers, cooling towers and chilled water systems reported in accordance with ASTM D596 are as follows:

Date of Sample	
Temperature	degrees C
Silica (SiO ₂)	ppm (mg/L)
Insoluble	ppm (mg/L)
Iron, total (Fe)	ppm (mg/L)
Aluminum (Al)	ppm (mg/L)
Calcium (Ca)	ppm (mg/L)
Magnesium (Mg)	ppm (mg/L)
Carbonate (HCO ₃)	ppm (mg/L)
Sulfate (SO ₄)	ppm (mg/L)
Chloride (Cl)	ppm (mg/L)
Nitrate (NO ₃)	ppm (mg/L)
Turbidity	ntu
pH	
Residual Chlorine	ppm (mg/L)

Total Alkalinity	ppm (mg/L)
Non-Carbonate Hardness	ppm (mg/L)
Total Hardness	ppm (mg/L)
Dissolved Solids	ppm (mg/L)
Conductivity	Micromho/cm

2.2 EQUIPMENT

2.2.1 Nameplates

Provide a nameplate for each major component of equipment that includes the manufacturer's name, address, type or style, and catalog or serial number securely attached to the item of equipment. Provide nameplates for:

- a. Pump(s)
- b. Pump Motor(s)
- c. Water Treatment Controller(s)

2.2.2 Electrical Work

Ensure all electrical equipment, motors, motor efficiencies, and wiring complies with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide electrical motor driven equipment specified complete with motors, motor starters, and controls. Provide electrical characteristics and enclosure types as shown, and unless otherwise indicated, provide all motors of 1 horsepower and above with open, drip-proof, or totally enclosed fan cooled enclosures, high efficiency type. Perform field wiring in accordance with manufacturer's instructions. Each motor is required to conform to NEMA MG 1 and be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Provide continuous duty motors with the enclosure specified. Provide motor starters complete with thermal overload protection and other appurtenances necessary for the motor control indicated. Furnish motors with a magnetic across-the-line or reduced voltage type starter as required by the manufacturer. Furnish motor starters with NEMA 1 enclosures. Provide manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown.

2.2.3 Gauges

Provide gauges that conform to ASME B40.100, Class 1, 2, or 3, Style X, Type I or III as required, 4-1/2 inches in diameter with phenolic or metal case.

2.3 COMPONENTS

2.3.1 Low and Medium Temperature Hot Water Boilers and Heat Exchangers

Low and medium temperature hot water boilers are defined as those operating below 350 degrees F, (250 degrees F for Low Temperature).

2.3.1.1 Chemical Feeder

Provide an appropriately sized either 2 or 5 gallon shot feeder on the hot water piping as indicated. Coordinate feeder contents with the Contracting Officer and their site chemical treatment vendor. Base the size and capacity of feeder upon local requirements and water analysis. Furnish the feeder with an air vent, gauge glass, funnel, valves, fittings, and piping.

2.3.1.2 Low and Medium Temperature Hot Water Treatment

Treat hot water with either a borax/nitrite type treatment or a molybdate type treatment. Both types of treatment are acceptable to use with glycol. Maintain borax/nitrite treatment at the limits of 600 to 1000 ppm nitrite, 40 - 50 ppm copper corrosion inhibitor (TT or MBT) and pH of 8.5 to 9.5. Maintain molybdate treatment at the limits of 100 to 125 ppm molybdate, 40 - 50 ppm copper corrosion inhibitor (TT or MBT) and pH of 8.0 to 9.0.

2.3.1.3 Test Kit Requirements

Provide one test kit of each type required to determine the water quality as outlined within the operation and maintenance manuals (e.g. pH and nitrite or molybdate).

2.3.2 Test Kit

Provide one test kit of each type required to determine the water quality as outlined within the operation and maintenance manuals (e.g. pH, hardness and sulfite).

2.3.3 Supplemental Components/Services

Ensure drain and makeup water piping complies with the requirements of Section 22 00 00 PLUMBING, GENERAL PURPOSE. Connect drains to sanitary sewer systems by means of an indirect waste connection.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy, before performing any work.

3.2 INSTALLATION

Provide all chemicals, equipment and labor necessary to bring all system waters in conformance with the specified requirements. Perform all work in accordance with the manufacturer's published diagrams, recommendations, and equipment warranty requirements.

3.2.1 Piping

Fabricate all connections between dissimilar metals using dielectric unions.

3.3 FIELD QUALITY CONTROL

3.3.1 Tests

If the waters of the mechanical systems are not in conformance with the specified requirements or in accordance with manufacturer's recommendations, the contractor is required to direct the water treatment company to take corrective action to achieve compliance. Perform daily operational tests in the directed frequencies to maintain required control to prevent corrosion, scaling and damage to equipment during operation. Submit test schedules, at least 2 weeks prior to the start of related testing, for the condenser/chilled/boiler/condensate/feedwater water quality tests. Identify the date, time, frequency and collection location for each test within the schedules.

3.3.2 Hot Water Boiler Water Quality Testing

3.3.2.1 Low and Medium Temperature Systems (monthly)

Complete and record monthly testing for the following parameters.

pH	
Nitrite or Molybdate	ppm (mg/L)

3.3.3 Quality Assurance Testing

Conduct QA testing periodically by an independent water treatment lab/consultant to verify to managers that the mechanical and water treatment systems are being maintained properly. Provide the QA evaluation reports to the government COR.

3.3.3.1 Hot Water Boiler Water Quality Assurance Testing

- a. Complete quarterly testing of Low and Medium Temperature Systems and record the following parameters.

pH	
Nitrite or Molybdate	ppm (mg/L)
Iron (total, as Fe(2)O(3))	ppm (mg/L)
Written evaluation summary	

- b. Have an independent consultant analyze the hot water boiler water once a month for a period of 1 year. Include the following information recorded in accordance with ASTM D596 in the monthly report.

pH	
Sulfite (Na ₂ SO ₃)	ppm (mg/L)
Hardness(as CaCO ₃)	ppm (mg/L)
Iron (total, as Fe ₂ O ₃)	ppm (mg/L)
Written evaluation summary	

3.3.4 Corrosion Testers

Install corrosion coupon and rack systems to verify corrosion control in the systems. Install testers or coupons in flowing system water through a sidestream or rack system. Test both mild steel and copper metal samples in the corrosion testers in accordance with ASTM D2688. Replace and analyze samples every 3 months. Rates of corrosion less than 3 mpy for steel and 0.2 mpy for copper are acceptable. Install corrosion testers on the piping systems of the following systems.

- Condenser loop
- Chilled water system
- Hot water loop
- Condensate

3.4 CLOSEOUT ACTIVITIES

3.4.1 Training Course

Submit a schedule, at least 2 weeks prior to the date of the proposed training course that identifies the date, time, and location for the training. Conduct a training course for the operating staff as designated by the Contracting Officer. Conduct the training to include a total of 3 hours of normal working time and start after the system is functionally completed but prior to final acceptance tests. Submit field instructions, at least 2 weeks prior to construction completion, including equipment layout, wiring and control diagrams, piping, valves and control sequences, and typed condensed operation instructions. Include within the condensed operation instructions all preventative maintenance procedures, methods of checking the system for normal and safe operation, and procedures for safely starting and stopping the system. Frame the posted instructions under glass or laminated plastic and post where indicated by the Contracting Officer. Ensure the field instructions cover all of the items contained in the Operation and Maintenance Manuals as well as demonstrations of routine maintenance operations.

3.5 INSPECTIONS

3.5.1 Inspection General Requirements

Thirty days after project completion, inspect the cooling tower and condenser for problems due to corrosion, scale, and biological growth. If the cooling tower and condenser are found not to conform to the manufacturer's recommended conditions, and the water treatment company recommendations have been followed; instruct the water treatment company to provide all chemicals and labor for cleaning or repairing the equipment as required by the manufacturer's recommendations.

3.5.2 Boiler/Piping Test

Thirty days after project completion, inspect the boiler and condensate piping for problems due to corrosion and scale. If the boiler is found not to conform to the manufacturer's recommendations, and the water treatment company recommendations have been followed, instruct the water treatment company to provide all chemicals and labor for cleaning or repairing the equipment as required by the manufacturer's recommendations. If corrosion is found within the condensate piping, proper repairs are required to be made by the water treatment company at no additional cost.

-- End of Section --

NOT FOR BIDDING PURPOSES

SECTION 23 30 00

HVAC AIR DISTRIBUTION

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

AMCA 201	(2002; R 2011) Fans and Systems
AMCA 210	(2016) Laboratory Methods of Testing Fans for Aerodynamic Performance Rating
AMCA 300	(2014) Reverberant Room Method for Sound Testing of Fans
AMCA 301	(2014) Methods for Calculating Fan Sound Ratings from Laboratory Test Data
AMCA 500-D	(2018) Laboratory Methods of Testing Dampers for Rating

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 260 I-P	(2012) Sound Rating of Ducted Air Moving and Conditioning Equipment
AHRI 410	(2001; Addendum 1 2002; Addendum 2 2005; Addendum 3 2011) Forced-Circulation Air-Cooling and Air-Heating Coils
AHRI 430	(2009) Central-Station Air-Handling Units
AHRI Guideline D	(1996) Application and Installation of Central Station Air-Handling Units

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)

ABMA 9	(2015) Load Ratings and Fatigue Life for Ball Bearings
ABMA 11	(2014) Load Ratings and Fatigue Life for Roller Bearings

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.2	(2017) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size

ASHRAE 62.1	(2022) Ventilation for Acceptable Indoor Air Quality
ASHRAE 68	(1997) Laboratory Method of Testing to Determine the Sound Power In a Duct
ASHRAE 70	(2023) Method of Testing the Performance of Air Outlets and Inlets
ASHRAE 90.1 - IP	(2019) Energy Standard for Buildings Except Low-Rise Residential Buildings

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME A13.1	(2023) Scheme for the Identification of Piping Systems

ASTM INTERNATIONAL (ASTM)

ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A123/A123M	(2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A924/A924M	(2022a) Standard Specification for General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM B280	(2023) Standard Specification for Seamless Copper Tube for Air Conditioning and Refrigeration Field Service
ASTM B766	(2023) Standard Specification for Electrodeposited Coatings of Cadmium
ASTM C553	(2013; R 2019) Standard Specification for Mineral Fiber Blanket Thermal Insulation for Commercial and Industrial Applications
ASTM C1071	(2019) Standard Specification for Fibrous Glass Duct Lining Insulation (Thermal and Sound Absorbing Material)
ASTM D520	(2000; R 2011) Zinc Dust Pigment

ASTM D1654	(2008; R 2016; E 2017) Standard Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments
ASTM D3359	(2017) Standard Test Methods for Rating Adhesion by Tape Test
ASTM E2016	(2022) Standard Specification for Industrial Woven Wire Cloth

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

CDPH SECTION 01350	(2017; Version 1.2) Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions from Indoor Sources using Environmental Chambers

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1	(2021) Motors and Generators
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems
NFPA 96	(2024) Standard for Ventilation Control and Fire Protection of Commercial Cooking Operations
NFPA 701	(2023; ERTA 1 2023) Standard Methods of Fire Tests for Flame Propagation of Textiles and Films

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

SMACNA 1819	(2002) Fire, Smoke and Radiation Damper Installation Guide for HVAC Systems, 5th Edition
SMACNA 1966	(2020) HVAC Duct Construction Standards Metal and Flexible, 4th Edition
SMACNA 1972 CD	(2012) HVAC Air Duct Leakage Test Manual - 2nd Edition

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT (SCAQMD)

SCAQMD Rule 1168	(2017) Adhesive and Sealant Applications

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-101 (2014; Rev C) Color Code for Pipelines and
for Compressed Gas Cylinders

U.S. DEPARTMENT OF ENERGY FEDERAL ENERGY MANAGEMENT PROGRAM (FEMP)

PL-109-58 (1992; R 2005) Energy Efficient Procurement
Requirements

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 82 Protection of Stratospheric Ozone

UNDERWRITERS LABORATORIES (UL)

UL 6 (2022) UL Standard for Safety Electrical
Rigid Metal Conduit-Steel

UL 181 (2013; Reprint Dec 2021) UL Standard for
Safety Factory-Made Air Ducts and Air
Connectors

UL 555 (2006; Reprint Aug 2016) UL Standard for
Safety Fire Dampers

UL 586 (2009; Reprint Sep 2022) UL Standard for
Safety High-Efficiency Particulate, Air
Filter Units

UL 705 (2017; Reprint Aug 2022) UL Standard for
Safety Power Ventilators

UL 900 (2015; Reprint Aug 2022) UL Standard for
Safety Standard for Air Filter Units

UL 1995 (2015; Reprint Aug 2022) UL Standard for
Safety Heating and Cooling Equipment

UL Bld Mat Dir (updated continuously online) Building
Materials Directory

UL Electrical Construction (2012) Electrical Construction Equipment
Directory

UL Fire Resistance (2014) Fire Resistance Directory

1.2 SYSTEM DESCRIPTION

Furnish ductwork, piping offsets, fittings, and accessories as required to provide a complete installation. Coordinate the work of the different trades to avoid interference between piping, equipment, structural, and electrical work. Provide complete, in place, all necessary offsets in piping and ductwork, and all fittings, and other components, required to install the work as indicated and specified.

1.2.1 Mechanical Equipment Identification

The number of charts and diagrams must be equal to or greater than the number of mechanical equipment rooms. Where more than one chart or diagram per space is required, mount these in edge pivoted, swinging leaf, extruded aluminum frame holders which open to 170 degrees.

1.2.1.1 Charts

Provide chart listing of equipment by designation numbers and capacities such as flow rates, pressure and temperature differences, heating and cooling capacities, horsepower, pipe sizes, and voltage and current characteristics.

1.2.1.2 Diagrams

Submit proposed diagrams, at least 2 weeks prior to start of related testing. provide neat mechanical drawings provided with extruded aluminum frame under 1/8-inch glass or laminated plastic, system diagrams that show the layout of equipment, piping, and ductwork, and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the system for normal, safe operation, and procedures for safely starting and stopping the system. After approval, post these items where directed.

1.2.2 Service Labeling

Label equipment, including fans, air handlers, terminal units, etc. with labels made of self-sticking, plastic film designed for permanent installation. Provide labels in accordance with the typical examples below:

SERVICE	LABEL AND TAG DESIGNATION
Air handling unit Number	AHU -
Control and instrument air	CONTROL AND INSTR.
Exhaust Fan Number	EF -
VAV Box Number	VAV -
Fan Coil Unit Number	FC -
Terminal Box Number	TB -
Unit Ventilator Number	UV -

Identify similar services with different temperatures or pressures. Where pressures could exceed 125 pounds per square inch, gage, include the maximum system pressure in the label. Label and arrow piping in accordance with the following:

- a. Each point of entry and exit of pipe passing through walls.

- b. Each change in direction, i.e., elbows, tees.
- c. In congested or hidden areas and at all access panels at each point required to clarify service or indicated hazard.
- d. In long straight runs, locate labels at distances within eyesight of each other not to exceed 75 feet. All labels must be visible and legible from the primary service and operating area.

For Bare or Insulated Pipes	
for Outside Diameters of	Lettering
1/2 thru 1-3/8 inch	1/2 inch
1-1/2 thru 2-3/8 inch	3/4 inch
2-1/2 inch and larger	1-1/4 inch

1.2.3 Color Coding

Color coding of all piping systems must be in accordance with ASME A13.1 MIL-STD-101.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Detail Drawings;

SD-03 Product Data

Metallic Flexible Duct

Duct Connectors

Duct Access Doors; G

Fire Dampers

Manual Balancing Dampers;

Diffusers

Registers and Grilles

Louvers

Air Vents, Penthouses, and Goosenecks

Centrifugal Fans

In-Line Centrifugal Fans

Axial Flow Fans

Centrifugal Type Power Roof Ventilators

Propeller Type Power Roof Ventilators

Ceiling Exhaust Fans

PL-109-58 label for ceiling exhaust fan product; S

Air Handling Units; G

SD-06 Test Reports

Performance Tests; G

SD-08 Manufacturer's Instructions

Operation and Maintenance Training

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

Fire Dampers; G

Manual Balancing Dampers; G

Centrifugal Fans; G

In-Line Centrifugal Fans; G

Axial Flow Fans; G

Centrifugal Type Power Roof Ventilators; G

Propeller Type Power Roof Ventilators; G

Air Handling Units; G

SD-11 Closeout Submittals

Indoor Air Quality During Construction; S

1.4 QUALITY ASSURANCE

Except as otherwise specified, approval of materials and equipment is based on manufacturer's published data.

- a. Where materials and equipment are specified to conform to the standards of the Underwriters Laboratories, the label of or listing with reexamination in UL Bld Mat Dir, and UL 6 is acceptable as sufficient evidence that the items conform to Underwriters Laboratories requirements. In lieu of such label or listing, submit a written certificate from any nationally recognized testing agency, adequately equipped and competent to perform such services, stating that the items have been tested and that the units conform to the specified requirements. Outline methods of testing used by the

specified agencies.

- b. Where materials or equipment are specified to be constructed or tested, or both, in accordance with the standards of the ASTM International (ASTM), the ASME International (ASME), or other standards, a manufacturer's certificate of compliance of each item is acceptable as proof of compliance.
- c. Conformance to such agency requirements does not relieve the item from compliance with other requirements of these specifications.
- d. Where products are specified to meet or exceed the specified energy efficiency requirement of FEMP-designated or ENERGY STAR covered product categories, equipment selected must have as a minimum the efficiency rating identified under "Energy-Efficient Products" at <http://femp.energy.gov/procurement>. Equipment having a lower efficiency may be specified if the designer determines such equipment to be more life-cycle cost effective.

1.4.1 Prevention of Corrosion

Protect metallic materials against corrosion. Provide rust-inhibiting treatment and standard finish for the equipment enclosures. Do not use aluminum in contact with earth, and where connected to dissimilar metal. Protect aluminum by approved fittings, barrier material, or treatment. Provide hot-dip galvanized ferrous parts such as anchors, bolts, braces, boxes, bodies, clamps, fittings, guards, nuts, pins, rods, shims, thimbles, washers, and miscellaneous parts not of corrosion-resistant steel or nonferrous materials in accordance with ASTM A123/A123M for exterior locations and cadmium-plated in conformance with ASTM B766 for interior locations. Provide written certification from the bolt manufacturer that the bolts furnished comply with the requirements of this specification. Include illustrations of product markings, and the number of each type of bolt to be furnished in the certification.

1.4.2 Asbestos Prohibition

Do not use asbestos and asbestos-containing products.

1.4.3 Ozone Depleting Substances Technician Certification

All technicians working on equipment that contain ozone depleting refrigerants must be certified as a Section 608 Technician to meet requirements in 40 CFR 82, Subpart F. Provide copies of technician certifications to the Contracting Officer at least 14 calendar days prior to work on any equipment containing these refrigerants.

1.4.4 Detail Drawings

Submit detail drawings showing equipment layout, including assembly and installation details and electrical connection diagrams; ductwork layout showing the location of all supports and hangers, typical hanger details, gauge reinforcement, reinforcement spacing rigidity classification, and static pressure and seal classifications. Include any information required to demonstrate that the system has been coordinated and functions properly as a unit on the drawings and show equipment relationship to other parts of the work, including clearances required for operation and maintenance. Submit drawings showing bolt-setting information, and foundation bolts prior to concrete foundation construction for all

equipment indicated or required to have concrete foundations. Submit function designation of the equipment and any other requirements specified throughout this Section with the shop drawings.

1.4.5 Test Procedures

Conduct performance tests as required in Section 23 05 93 Testing, Adjusting and Balancing for HVAC and Section 23 09 00 Instrumentation and Control for HVAC.

1.5 DELIVERY, STORAGE, AND HANDLING

Protect stored equipment at the jobsite from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Additionally, cap or plug all pipes until installed.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Provide components and equipment that are "standard products" of a manufacturer regularly engaged in the manufacturing of products that are of a similar material, design and workmanship. "Standard products" is defined as being in satisfactory commercial or industrial use for 2 years before bid opening, including applications of components and equipment under similar circumstances and of similar size, satisfactorily completed by a product that is sold on the commercial market through advertisements, manufacturers' catalogs, or brochures. Products having less than a 2-year field service record are acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. Provide equipment items that are supported by a service organization. In product categories covered by ENERGY STAR or the Federal Energy Management Program, provide equipment that is listed on the ENERGY STAR Qualified Products List or that meets or exceeds the FEMP-designated Efficiency Requirements.

2.2 IDENTIFICATION PLATES

In addition to standard manufacturer's identification plates, provide engraved laminated phenolic identification plates for each piece of mechanical equipment. Identification plates are to designate the function of the equipment. Submit designation with the shop drawings. Provide identification plates that are layers, black-white-black, engraved to show white letters on black background. Letters must be upper case. Identification plates that are 1-1/2-inches high and smaller must be 1/16-inch thick, with engraved lettering 1/8-inch high; identification plates larger than 1-1/2-inches high must be 1/8-inch thick, with engraved lettering of suitable height. Identification plates 1-1/2-inches high and larger must have beveled edges. Install identification plates using a compatible adhesive.

2.3 EQUIPMENT GUARDS AND ACCESS

Fully enclose or guard belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact according to OSHA requirements. Properly guard or cover with insulation of a type specified, high temperature equipment and piping exposed to contact by personnel or where it creates a potential fire hazard. The requirements for catwalks, operating platforms, ladders, and

guardrails are specified in Section 08 31 00 ACCESS DOORS AND PANELS.

2.4 ELECTRICAL WORK

- a. Provide motors, controllers, integral disconnects, contactors, and controls with their respective pieces of equipment, except controllers indicated as part of motor control centers. Provide electrical equipment, including motors and wiring, as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide manual or automatic control and protective or signal devices required for the operation specified and control wiring required for controls and devices specified, but not shown. For packaged equipment, include manufacturer provided controllers with the required monitors and timed restart.
- b. For single-phase motors, provide high-efficiency type, fractional-horsepower alternating-current motors, including motors that are part of a system, in accordance with NEMA MG 11. Provide premium efficiency type integral size motors in accordance with NEMA MG 1.
- c. For polyphase motors, provide squirrel-cage medium induction motors, including motors that are part of a system, and that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 1. Select premium efficiency polyphase motors in accordance with NEMA MG 10.
- d. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor. Provide motors rated for continuous duty with the enclosure specified. Provide motor duty that allows for maximum frequency start-stop operation and minimum encountered interval between start and stop. Provide motor torque capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Provide motor starters complete with thermal overload protection and other necessary appurtenances. Fit motor bearings with grease supply fittings and grease relief to outside of the enclosure.
- e. Where two-speed or variable-speed motors are indicated, solid-state variable-speed controllers are allowed to accomplish the same function. Use solid-state variable-speed controllers for motors rated 10 hp or less and adjustable frequency drives for larger motors. Provide variable frequency drives for motors as specified in Section 26 29 23 ADJUSTABLE SPEED DRIVE SYSTEMS UNDER 600 VOLTS.

2.5 ANCHOR BOLTS

Provide anchor bolts for equipment placed on concrete equipment pads or on concrete slabs. Bolts to be of the size and number recommended by the equipment manufacturer and located by means of suitable templates. Installation of anchor bolts must not degrade the surrounding concrete.

2.6 PAINTING

Paint equipment units in accordance with approved equipment manufacturer's standards unless specified otherwise. Field retouch only if approved. Otherwise, return equipment to the factory for refinishing. Paint in accordance with Section 09 96 00 HIGH-PERFORMANCE COATINGS.

2.7 INDOOR AIR QUALITY

Provide equipment and components that comply with the requirements of ASHRAE 62.1 unless more stringent requirements are specified herein.

2.8 DUCT SYSTEMS

2.8.1 Metal Ductwork

Provide metal ductwork construction, including all fittings and components, that complies with SMACNA 1966, as supplemented and modified by this specification .

- a. Provide radius type elbows with a centerline radius of 1.5 times the width or diameter of the duct where space permits. Otherwise, elbows having a minimum radius equal to the width or diameter of the duct or square elbows with factory fabricated turning vanes are allowed.
- b. Provide ductwork that meets the requirements of Seal Class A. Provide ductwork in VAV systems upstream of the VAV boxes that meets the requirements of Seal Class A.
- e. Provide sealants that conform to fire hazard classification specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS and are suitable for the range of air distribution and ambient temperatures to which it is exposed. Do not use pressure sensitive tape as a sealant. Provide duct sealant products that meet either emissions requirements of CDPH SECTION 01350 (limit requirements for either office or classroom spaces regardless of space type) or VOC content requirements of SCAQMD Rule 1168 (HVAC duct sealants are classified as "Other" within the SCAQMD Rule 1168 sealants table). Provide validation of indoor air quality for duct sealants.
- d. Make spiral lock seam duct, and flat oval with duct sealant and lock with not less than 3 equally spaced drive screws or other approved methods indicated in SMACNA 1966. Apply the sealant to the exposed male part of the fitting collar so that the sealer is on the inside of the joint and fully protected by the metal of the duct fitting. Apply one brush coat of the sealant over the outside of the joint to at least 2 inch band width covering all screw heads and joint gap. Dents in the male portion of the slip fitting collar are not acceptable.
- e. Fabricate outdoor air intake ducts and plenums with watertight soldered or brazed joints and seams.

2.8.1.1 Metallic Flexible Duct

- a. Provide duct that conforms to UL 181 and NFPA 90A with factory-applied insulation, vapor barrier, and end connections. Provide duct assembly that does not exceed 25 for flame spread and 50 for smoke developed. Provide ducts designed for working pressures of 2 inches water gauge positive and 1.5 inches water gauge negative. Provide flexible round duct length that does not exceed 5 feet. Secure connections by applying adhesive for 2 inches over rigid duct, apply flexible duct 2 inches over rigid duct, apply metal clamp, and provide minimum of three No. 8 sheet metal screws through clamp and rigid duct.
- b. Inner duct core: Provide interlocking spiral or helically corrugated

flexible core constructed of zinc-coated steel, aluminum, or stainless steel; or constructed of inner liner of continuous galvanized spring steel wire helix fused to continuous, fire-retardant, flexible vapor barrier film, inner duct core.

- c. Insulation: Provide inner duct core that is insulated with mineral fiber blanket type flexible insulation, minimum of 1 inch thick. Provide insulation covered on exterior with manufacturer's standard fire retardant vapor barrier jacket for flexible round duct.

2.8.1.2 Insulated Nonmetallic Flexible Duct Runouts

Use flexible duct runouts only where indicated. Runout length is indicated on the drawings, and is not to exceed 5 feet. Provide runouts that are preinsulated, factory fabricated, and that comply with NFPA 90A and UL 181. Provide either field or factory applied vapor barrier. Provide not less than 20 ounce glass fabric duct connectors coated on both sides with neoprene. Where coil induction or high velocity units are supplied with vertical air inlets, use a streamlined, vaned and mitered elbow transition piece for connection to the flexible duct or hose. Provide a die-stamped elbow and not a flexible connector as the last elbow to these units other than the vertical air inlet type. Insulated flexible connectors are allowed as runouts. Provide insulated material and vapor barrier that conform to the requirements of Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Do not expose the insulation material surface to the air stream.

2.8.1.3 General Service Duct Connectors

Provide a flexible duct connector approximately 6 inches in width where sheet metal connections are made to fans or where ducts of dissimilar metals are connected. For round/oval ducts, secure the flexible material by stainless steel or zinc-coated, iron clinch-type draw bands. For rectangular ducts, install the flexible material locked to metal collars using normal duct construction methods. Provide a composite connector system that complies with NFPA 701 and is classified as "flame-retardent fabrics" in UL Bld Mat Dir.

2.8.1.4 Aluminum Ducts

ASTM B209, alloy 3003-H14 for aluminum sheet and alloy 6061-T6 or equivalent strength for aluminum connectors and bar stock.

2.8.2 Duct Access Doors

Provide hinged access doors conforming to SMACNA 1966 in ductwork and plenums where indicated and at all air flow measuring primaries, automatic dampers, fire dampers, coils, thermostats, and other apparatus requiring service and inspection in the duct system. Provide access doors upstream and downstream of air flow measuring primaries and heating and cooling coils. Provide doors that are a minimum 15 by 18 inches, unless otherwise shown. Where duct size does not accommodate this size door, make the doors as large as practicable. Equip doors 24 by 24 inches or larger with fasteners operable from inside and outside the duct. Use insulated type doors in insulated ducts.

2.8.3 Fire Dampers

Use 1.5 hour rated fire dampers unless otherwise indicated. Provide fire

dampers that conform to the requirements of NFPA 90A and UL 555. Perform the fire damper test as outlined in NFPA 90A. Provide a pressure relief door upstream of the fire damper. If the ductwork connected to the fire damper is to be insulated then provide a factory installed pressure relief damper. Provide automatic operating fire dampers with a dynamic rating suitable for the maximum air velocity and pressure differential to which it is subjected. Provide fire dampers approved for the specific application, and install according to their listing. Equip fire dampers with a steel sleeve or adequately sized frame installed in such a manner that disruption of the attached ductwork, if any, does not impair the operation of the damper. Equip sleeves or frames with perimeter mounting angles attached on both sides of the wall or floor opening. Construct ductwork in fire-rated floor-ceiling or roof-ceiling assembly systems with air ducts that pierce the ceiling of the assemblies in conformance with UL Fire Resistance. Provide curtain type with damper blades or the air stream or single blade type or multi-blade type fire dampers. Install dampers that do not reduce the duct or the air transfer opening cross-sectional area. Install dampers so that the centerline of the damper depth or thickness is located in the centerline of the wall, partition or floor slab depth or thickness. Unless otherwise indicated, comply with the installation details given in SMACNA 1819 and in manufacturer's instructions for fire dampers. Perform acceptance testing of fire dampers according to paragraph DAMPER ACCEPTANCE TEST and NFPA 90A.

2.8.4 Manual Balancing Dampers

Furnish manual balancing dampers with accessible operating mechanisms. Use chromium plated operators (with all exposed edges rounded) in finished portions of the building. Provide manual volume control dampers that are operated by locking-type quadrant operators. Install dampers that are 2 gauges heavier than the duct in which installed. Unless otherwise indicated, provide opposed blade type multileaf dampers with maximum blade width of 12 inches. Provide access doors or panels for all concealed damper operators and locking setscrews. Provide stand-off mounting brackets, bases, or adapters not less than the thickness of the insulation when the locking-type quadrant operators for dampers are installed on ducts to be thermally insulated, to provide clearance between the duct surface and the operator. Provide stand-off mounting items that are integral with the operator or standard accessory of the damper manufacturer.

2.8.5 Air Supply And Exhaust Air Dampers

Provide outdoor air supply and exhaust air dampers that have a maximum leakage rate when tested in accordance with AMCA 500-D as required by ASHRAE 90.1 - IP, including maximum Damper Leakage for:

- a. Climate Zones 1,2,6,7,8 the maximum damper leakage at 1.0 inch w.g. for motorized dampers is 4 cfm per square foot of damper area and non-motorized dampers are not allowed.
- b. All other Climate Zones the maximum damper leakage at 1.0 inch w.g. is 10 cfm per square foot and for non-motorized dampers is 20 cfm per square foot of damper area.

Dampers smaller than 24 inches in either direction may have leakage of 40 cfm per square foot.

2.8.6 Air Deflectors (Volume Extractors) and Branch Connections

Provide air deflectors (volume extractors) at all duct mounted supply outlets, at takeoff or extension collars to supply outlets, at duct branch takeoff connections, and at 90 degree elbows, as well as at locations as indicated on the drawings or otherwise specified. Conical branch connections or 45 degree entry connections are allowed in lieu of deflectors for branch connections. Furnish all air deflectors (volume extractors), except those installed in 90 degree elbows, with an approved means of adjustment. Provide easily accessible means for adjustment inside the duct or from an adjustment with sturdy lock on the face of the duct. When installed on ducts to be thermally insulated, provide external adjustments with stand-off mounting brackets, integral with the adjustment device, to provide clearance between the duct surface and the adjustment device not less than the thickness of the thermal insulation. Provide factory-fabricated air deflectors consisting of curved turning vanes or louver blades designed to provide uniform air distribution and change of direction with minimum turbulence or pressure loss. Provide factory or field assembled air deflectors (volume extractors). Make adjustment from the face of the diffuser or by position adjustment and lock external to the duct. Provide stand-off brackets on insulated ducts as described herein. Provide fixed air deflectors (volume extractors), also called turning vanes, in 90 degree elbows.

2.8.7 Plenums and Casings for Field-Fabricated Units

2.8.7.1 Plenum and Casings

Fabricate and erect plenums and casings as shown in SMACNA 1966, as applicable. Construct system casing of not less than 16 gauge galvanized sheet steel. Furnish cooling coil drain pans with 1 inch threaded outlet to collect condensation from the cooling coils. Fabricate drain pans from not lighter than 16 gauge steel, galvanized after fabrication or of 18 gauge corrosion-resisting sheet steel conforming to ASTM A167, Type 304, welded and stiffened. Thermally insulate drain pans exposed to the atmosphere to prevent condensation. Coat insulation with a flame resistant waterproofing material. Provide separate drain pans for each vertical coil section, and a separate drain line for each pan. Size pans to ensure capture of entrained moisture on the downstream-air side of the coil. Seal openings in the casing, such as for piping connections, to prevent air leakage. Size the water seal for the drain to maintain a pressure of at least 2 inch water gauge greater than the maximum negative pressure in the coil space.

2.8.7.2 Casing

Terminate casings at the curb line and bolt each to the curb using galvanized angle, as indicated in SMACNA 1966.

2.8.7.3 Access Doors

Provide access doors in each section of the casing. Weld doorframes in place, gasket each door with neoprene, hinge with minimum of two brass hinges, and fasten with a minimum of two brass tension fasteners operable from inside and outside of the casing. Where possible, make doors 36 by 18 inches and locate them 18 inches above the floor. Where the space available does not accommodate doors of this size, use doors as large as the space accommodates. Swing doors so that fan suction or pressure holds doors in closed position, airtight. Provide a push-button station,

located inside the casing, to stop the supply.

2.8.7.4 Factory-Fabricated Insulated Sheet Metal Panels

Factory-fabricated components are allowed for field-assembled units, provided all requirements specified for field-fabricated plenums and casings are met. Provide panels of modular design, pretested for structural strength, thermal control, condensation control, and acoustical control. Seal and insulate panel joints. Provide and gasket access doors to prevent air leakage. Provide panel construction that is not less than 20 gauge galvanized sheet steel, assembled with fasteners treated against corrosion. Provide standard length panels that deflect not more than 1/2 inch under operation. Construct details, including joint sealing, not specifically covered, as indicated in SMACNA 1966. Construct the plenums and casings to withstand the specified internal pressure of the air systems.

2.8.8 Diffusers, Registers, and Grilles

Provide factory-fabricated units of steel or aluminum that distribute the specified quantity of air evenly over space intended without causing noticeable drafts, air movement faster than 50 fpm in occupied zone, or dead spots anywhere in the conditioned area. Provide outlets for diffusion, spread, throw, and noise level as required for specified performance. Certify performance according to ASHRAE 70. Provide sound rated and certified inlets and outlets according to ASHRAE 70. Provide sound power level as indicated. Provide diffusers and registers with volume damper with accessible operator, unless otherwise indicated; or if standard with the manufacturer, an automatically controlled device is acceptable. Provide opposed blade type volume dampers for all diffusers and registers, except linear slot diffusers. Provide linear slot diffusers with round or elliptical balancing dampers. Where the inlet and outlet openings are located less than 7 feet above the floor, protect them by a grille or screen according to NFPA 90A.

2.8.8.1 Diffusers

Provide diffuser types indicated. Furnish ceiling mounted units with anti-smudge devices, unless the diffuser unit minimizes ceiling smudging through design features. Provide diffusers with air deflectors of the type indicated. Provide air handling troffers or combination light and ceiling diffusers conforming to the requirements of UL Electrical Construction for the interchangeable use as cooled or heated air supply diffusers or return air units. Install ceiling mounted units with rims tight against ceiling. Provide sponge rubber gaskets between ceiling and surface mounted diffusers for air leakage control. Provide suitable trim for flush mounted diffusers. For connecting the duct to diffuser, provide duct collar that is airtight and does not interfere with volume controller. Provide return or exhaust units that are similar to supply diffusers.

2.8.8.2 Registers and Grilles

Provide units that are four-way directional-control type, except provide return and exhaust registers that are fixed horizontal or vertical louver type similar in appearance to the supply register face. Furnish registers with sponge-rubber gasket between flanges and wall or ceiling. Install wall supply registers at least 6 inches below the ceiling unless otherwise indicated. Locate return and exhaust registers 6 inches above the floor

unless otherwise indicated. Achieve four-way directional control by a grille face which can be rotated in 4 positions or by adjustment of horizontal and vertical vanes. Provide grilles as specified for registers, without volume control damper.

2.8.9 Louvers

Provide louvers for installation in exterior walls that are associated with the air supply and distribution system as specified per drawings.

2.8.10 Air Vents, Penthouses, and Goosenecks

Fabricate air vents, penthouses, and goosenecks from galvanized steel or aluminum sheets with galvanized or aluminum structural shapes. Provide sheet metal thickness, reinforcement, and fabrication that conform to SMACNA 1966. Accurately fit and secure louver blades to frames. Fold or bead edges of louver blades for rigidity and baffle these edges to exclude driving rain. Provide air vents, penthouses, and goosenecks with bird screen.

2.8.11 Bird Screens and Frames

Provide bird screens that conform to ASTM E2016, No. 2 mesh, aluminum or stainless steel. Provide "medium-light" rated aluminum screens. Provide "light" rated stainless steel screens. Provide removable type frames fabricated from either stainless steel or extruded aluminum.

2.9 AIR SYSTEMS EQUIPMENT

2.9.1 Fans

Test and rate fans according to AMCA 210. Calculate system effect on air moving devices in accordance with AMCA 201 where installed ductwork differs from that indicated on drawings. Install air moving devices to minimize fan system effect. Where system effect is unavoidable, determine the most effective way to accommodate the inefficiencies caused by system effect on the installed air moving device. The sound power level of the fans must not exceed 85 dBA when tested according to AMCA 300 and rated in accordance with AMCA 301. Provide all fans with an AMCA seal. Connect fans to the motors either directly or indirectly with V-belt drive. Use V-belt drives designed for not less than 150 percent of the connected driving capacity. Provide variable pitch motor sheaves for 15 hp and below, and fixed pitch as defined by AHRI Guideline D (A fixed-pitch sheave is provided on both the fan shaft and the motor shaft. This is a non-adjustable speed drive.). Select variable pitch sheaves to drive the fan at a speed which can produce the specified capacity when set at the approximate midpoint of the sheave adjustment. When fixed pitch sheaves are furnished, provide a replaceable sheave when needed to achieve system air balance. Provide motors for V-belt drives with adjustable rails or bases. Provide removable metal guards for all exposed V-belt drives, and provide speed-test openings at the center of all rotating shafts. Provide fans with personnel screens or guards on both suction and supply ends, except that the screens need not be provided, unless otherwise indicated, where ducts are connected to the fan. Provide fan and motor assemblies with vibration-isolation supports or mountings as indicated. Use vibration-isolation units that are standard products with published loading ratings. Select each fan to produce the capacity required at the fan static pressure indicated. Provide sound power level as indicated. Obtain the sound power level values according to AMCA 300. Provide

standard AMCA arrangement, rotation, and discharge as indicated. Provide power ventilators that conform to UL 705 and have a UL label.

2.9.1.1 Centrifugal Fans

Provide fully enclosed, single-width single-inlet, or double-width double-inlet centrifugal fans, with AMCA Pressure Class I, II, or III as required or indicated for the design system pressure. Provide impeller wheels that are rigidly constructed and accurately balanced both statically and dynamically. Provide forward curved or backward-inclined airfoil design fan blades in wheel sizes up to 30 inches. Provide backward-inclined airfoil design fan blades for wheels over 30 inches in diameter. Provide fan wheels over 36 inches in diameter with overhung pulleys and a bearing on each side of the wheel. Provide fan wheels 36 inches or less in diameter that have one or more extra long bearings between the fan wheel and the drive. Provide sleeve type, self-aligning and self-oiling bearings with oil reservoirs, or precision self-aligning roller or ball-type with accessible grease fittings or permanently lubricated type. Connect grease fittings to tubing for serviceability from a single accessible point. Provide L50 rated bearing life at not less than 200,000 hours as defined by ABMA 9 and ABMA 11. Provide steel, accurately finished fan shafts, with key seats and keys for impeller hubs and fan pulleys. Provide fan outlets of ample proportions, designed for the attachment of angles and bolts for attaching flexible connections. Provide manually operated inlet vanes on suction inlets. Provide automatically operated outlet dampers. Unless otherwise indicated, provide motors that do not exceed 1800 rpm and have open explosion-proof enclosures, depending on their location and as coordinated with end-users..

2.9.1.2 In-Line Centrifugal Fans

Provide in-line fans with centrifugal backward inclined blades, stationary discharge conversion vanes, internal and external belt guards, and adjustable motor mounts. Mount fans in a welded tubular casing. Provide a fan that axially flows the air in and out. Streamline inlets with conversion vanes to eliminate turbulence and provide smooth discharge air flow. Enclose and isolate fan bearings and drive shafts from the air stream. Provide precision, self aligning ball or roller type fan bearings that are sealed against dust and dirt and are permanently lubricated. Provide L50 rated bearing life at not less than 200,000 hours as defined by ABMA 9 and ABMA 11. Provide motors with open or explosion-proof enclosures depending on their location and as coordinated with end-users.

2.9.1.3 Axial Flow Fans

Provide axial flow fans complete with drive components and belt guard, with steel housing, cast fan wheel, cast or welded steel diffusers, fan shaft, bearings, and mounting frame as a factory-assembled unit. Provide fan wheels that are dynamically balanced and keyed to the fan shaft, with radially projecting blades of airfoil cross-section. Enclose and isolate fan bearings and drive shafts from the air stream. Permanently lubricate fan bearings or provide them with accessible grease fittings. Provide precision self-aligning ball or roller type fan bearings that are sealed against dust and dirt. Provide fan bearings that have a L50 rated bearing life at not less than 200,000 hours of operation as defined by ABMA 9 and ABMA 11. Provide fan inlets with an aerodynamically shaped bell and an inlet cone. Install diffuser or straightening vanes at the fan discharge to minimize turbulence and provide smooth discharge air flow. Furnish fan unit with inlet and outlet flanges, inlet screen, section, and automatic

operation adjustable inlet vanes.

2.9.1.4 Panel Type Power Wall Ventilators

Provide propeller type fans, assembled on a reinforced metal panel with venturi opening spun into panel. Provide direct or V-belt driven fans with wheels less than 24 inches in diameter and provide V-belt driven fans with wheels 24 inches in diameter and larger. Provide fans with wall mounting collar. Provide lubricated bearings. Equip fans with wheel and motor side metal or wire guards which have a corrosion-resistant finish. Provide type motor enclosure appropriate for its area and coordinated with the end-user. Install gravity or motor operated backdraft dampers where indicated.

2.9.1.5 Centrifugal Type Power Wall Ventilators

Provide direct or V-belt driven centrifugal type fans with backward inclined, non-overloading wheel. Provide removable and weatherproof motor housing. Provide unit housing that is designed for sealing to building surface and for discharge and condensate drippage away from building surface. Construct housing of heavy gauge aluminum. Equip unit with an aluminum or plated steel wire discharge bird screen, disconnect switch, manufacturer's standard gravity motor-operated damper, an airtight and liquid-tight metallic wall sleeve. Provide type motor enclosure appropriate for its area and coordinated with the end-user. Use only lubricated bearings.

2.9.1.6 Centrifugal Type Power Roof Ventilators

Provide direct V-belt driven centrifugal type fans with backward inclined, non-overloading wheel. Provide hinged or removable and weatherproof motor compartment housing, constructed of heavy gauge aluminum. Provide fans with birdscreen, disconnect switch, motorized dampers, roof curb, and extended base. Provide type motor enclosure. Provide centrifugal type kitchen exhaust fans according to UL 705 and NFPA 96, fitted with V-belt drive, round hood, and windband upblast discharge configuration, integral residue trough and collection device, with motor and power transmission components located in outside positively air ventilated compartment. Use only lubricated bearings. If there is a conflict between NFPA 96 and UL 705 the most stringent wording must be adhered to.

2.9.1.7 Propeller Type Power Roof Ventilators

Provide direct or V-belt driven fans. Provide hinged or removable weathertight fan housing, fitted with framed rectangular base constructed of aluminum or galvanized steel. Provide type motors appropriate for its area and coordinated with the end-user. Furnish motors with nonfusible, horsepower rated, manual disconnect mount on unit. Furnish fans with motor operated dampers, birdscreen, and roof curb. Use only lubricated bearings.

2.9.2 Coils

Provide fin-and-tube type coils constructed of seamless copper tubes and aluminum or copper fins mechanically bonded or soldered to the tubes. Provide copper tube wall thickness that is a minimum of 0.020 inches. Provide red brass tube wall thickness that is a minimum of 0.035 inches. Provide copper fins that are 0.0045 inch minimum thickness. Provide casing and tube support sheets that are not lighter than 16 gauge

galvanized steel, formed to provide structural strength. When required, provide multiple tube supports to prevent tube sag. Mount coils for counterflow service. Rate and certify coils to meet the requirements of AHRI 410. Provide factory applied phenolic, vinyl or epoxy/electrodeposition coating.

2.9.2.1 Direct-Expansion Coils

Provide suitable direct-expansion coils for the refrigerant involved. Provide refrigerant piping that conforms to ASTM B280 and clean, dehydrate and seal. Provide seamless copper tubing suction headers or seamless or resistance welded steel tube suction headers with copper connections. Provide supply headers that consist of a distributor which distributes the refrigerant through seamless copper tubing equally to all circuits in the coil. Provide circuited tubes to ensure minimum pressure drop and maximum heat transfer. Provide circuiting that permits refrigerant flow from inlet to suction outlet without causing oil slugging or restricting refrigerant flow in coil. Provide field installed coils which are completely dehydrated and sealed at the factory upon completion of pressure tests. Pressure test coils in accordance with UL 1995.

2.9.2.2 Water Coils

Install water coils with a pitch of not less than 1/8 inch/foot of the tube length toward the drain end. Use headers constructed of cast iron, welded steel or copper. Furnish each coil with a plugged vent and drain connection extending through the unit casing. Provide removable water coils with drain pans. Pressure test coils in accordance with UL 1995.

2.9.3 Air Filters

List air filters according to requirements of UL 900, except list high efficiency particulate air filters of 99.97 percent efficiency by the DOP Test method under the Label Service to meet the requirements of UL 586.

2.9.3.1 Extended Surface Pleated Panel Filters

Provide 2 inch depth, sectional, disposable type filters of the size indicated with a MERV of 8 when tested according to ASHRAE 52.2. Provide initial resistance at 500 fpm that does not exceed 0.36 inches water gauge. Provide UL Class 2 filters, and nonwoven cotton and synthetic fiber mat media. Attach a wire support grid bonded to the media to a moisture resistant fiberboard frame. Bond all four edges of the filter media to the inside of the frame to prevent air bypass and increase rigidity.

2.9.3.2 Cartridge Type Filters

Provide 12 inch depth, sectional, replaceable dry media type filters of the size indicated with a MERV of 13 when tested according to ASHRAE 52.2. Provide initial resistance at 500 fpm that does not exceed 0.56 inches, water gauge. Provide UL class 1 filters, and pleated microglass paper media with corrugated aluminum separators, sealed inside the filter cell to form a totally rigid filter assembly. Fluctuations in filter face velocity or turbulent airflow have no effect on filter integrity or performance. Install each filter with an extended surface pleated media panel filter as a prefilter in a factory preassembled side access housing, or a factory-made sectional frame bank, as indicated.

2.9.3.3 Sectional Cleanable Filters

Provide 2 inch thick cleanable filters. Provide viscous adhesive in 5 gallon containers in sufficient quantity for 12 cleaning operations and not less than one quart for each filter section. Provide one washing and charging tank for every 100 filter sections or fraction thereof; with each washing and charging unit consisting of a tank and single drain rack mounted on legs and drain rack with dividers and partitions to properly support the filters in the draining position.

2.9.3.4 Replaceable Media Filters

Provide the dry-media type replaceable media filters, of the size required to suit the application. Provide filtering media that is not less than 2 inches thick fibrous glass media pad supported by a structural wire grid or woven wire mesh. Enclose pad in a holding frame of not less than 16 gauge galvanized steel, equipped with quick-opening mechanism for changing filter media. Base the air flow capacity of the filter on net filter face velocity not exceeding 300 fpm, with initial resistance of 0.13 inches water gauge. Provide MERV that is not less than when tested according to ASHRAE 52.2.

2.9.3.5 Holding Frames

Fabricate frames from not lighter than 16 gauge sheet steel with rust-inhibitor coating. Equip each holding frame with suitable filter holding devices. Provide gasketed holding frame seats. Make all joints airtight.

2.9.3.6 Filter Gauges

Provide dial type filter gauges, diaphragm actuated draft for all filter stations, including those filters which are furnished as integral parts of factory fabricated air handling units. Provide gauges that are at least 3-7/8 inches in diameter, with white dials with black figures, and graduations with a minimum range of 1 inch of water beyond the specified final resistance for the filter bank on which each gauge is applied. Provide each gauge with a screw operated zero adjustment and two static pressure taps with integral compression fittings, two molded plastic vent valves, two 5 foot minimum lengths of 1/4 inch diameter aluminum tubing, and all hardware and accessories for gauge mounting.

2.10 AIR HANDLING UNITS

2.10.1 Field-Fabricated Air Handling Units

Provide built-up units as specified in paragraph DUCT SYSTEMS. Provide fans, coils spray-coil dehumidifiers, and air filters as specified in paragraph AIR SYSTEMS EQUIPMENT for types indicated.

2.10.2 Factory-Fabricated Air Handling Units

Provide single-zone draw-through type or single-zone blow-through type units as indicated on the drawings. Units must include as indicated on the drawings to include but not limited to fans, coils, airtight insulated casing, prefilters, secondary filter sections, air blender, drives, belt guards for externally mounted motors, access sections where indicated, mixing box combination sectional filter-mixing box, pan, vibration-isolators, and appurtenances required for specified operation.

Provide vibration isolators as indicated. Physical dimensions of each air handling unit must be suitable to fit space allotted to the unit with the capacity indicated. Provide air handling unit that is rated in accordance with AHRI 430 and AHRI certified for cooling.

2.10.2.1 Casings

Provide the following:

- a. Casing sections 2 inch double wall type, constructed of a minimum 18 gauge galvanized steel, or 18 gauge corrosion-resisting sheet steel conforming to ASTM A167, Type 304. Inner casing of double-wall units that are a minimum 20 gauge solid galvanized steel or corrosion-resisting sheet steel conforming to ASTM A167, Type 304. Design and construct casing with an integral insulated structural galvanized steel frame such that exterior panels are non-load bearing.
- b. Individually removable exterior panels with standard tools. Removal must not affect the structural integrity of the unit. Furnish casings with access sections, according to paragraph AIR HANDLING UNITS, inspection doors, and access doors, all capable of opening a minimum of 90 degrees, as indicated.
- c. Insulated, fully gasketed, double-wall type inspection and access doors, of a minimum 18 gauge outer and 20 gauge inner panels made of either galvanized steel or corrosion-resisting sheet steel conforming to ASTM A167, Type 304. Provide rigid doors with heavy duty hinges and latches. Inspection doors must be a minimum 12 inches wide by 12 inches high. Access doors must be a minimum 24 inches wide, the full height of the unit casing or a minimum of 6 foot, whichever is less. Install a minimum 8 by 8 inches sealed glass window suitable for the intended application, in all access doors.
- d. Double-wall insulated type drain pan (thickness equal to exterior casing) constructed of 16 gauge galvanized steel or corrosion resisting sheet steel conforming to ASTM A167, Type 304, conforming to ASHRAE 62.1. Construct drain pans water tight, treated to prevent corrosion, and designed for positive condensate drainage. When 2 or more cooling coils are used, with one stacked above the other, condensate from the upper coils must not flow across the face of lower coils. Provide intermediate drain pans or condensate collection channels and downspouts, as required to carry condensate to the unit drain pan out of the air stream and without moisture carryover. Construct drain pan to allow for easy visual inspection, including underneath the coil without removal of the coil and to allow complete and easy physical cleaning of the pan underneath the coil without removal of the coil. Provide coils that are individually removable from the casing.
- e. Casing insulation that conforms to NFPA 90A. Insulate single-wall casing sections handling conditioned air with not less than 1 inch thick, 1-1/2 pound density coated fibrous glass material having a thermal conductivity not greater than 0.23 Btu/hr-sf-F. Insulate double-wall casing sections handling conditioned air with not less than 2 inches of the same insulation specified for single-wall casings. Foil-faced insulation is not an acceptable substitute for use with double wall casing. Seal double wall insulation completely by inner and outer panels.

- f. Factory applied fibrous glass insulation that conforms to ASTM C1071, except that the minimum thickness and density requirements do not apply, and that meets the requirements of NFPA 90A. Make air handling unit casing insulation uniform over the entire casing. Foil-faced insulation is not an acceptable substitute for use on double-wall access doors and inspections doors and casing sections.
- g. Duct liner material, coating, and adhesive that conforms to fire-hazard requirements specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Protect exposed insulation edges and joints where insulation panels are butted with a metal nosing strip or coat to meet erosion resistance requirements of ASTM C1071.
- h. A latched and hinged inspection door, in the fan and coil sections. Plus additional inspection doors, access doors and access sections where indicated.

2.10.2.2 Heating and Cooling Coils

Provide coils as specified in paragraph AIR SYSTEMS EQUIPMENT.

2.10.2.3 Air Filters

Provide air filters as specified in paragraph AIR SYSTEMS EQUIPMENT for types and thickness indicated.

2.10.2.4 Fans

Provide the following:

- a. Fans that are double-inlet, centrifugal type with each fan in a separate scroll. Dynamically balance fans and shafts prior to installation into air handling unit, then after it has been installed in the air handling unit, statically and dynamically balance the entire fan assembly. Mount fans on steel shafts, accurately ground and finished.
- b. Fan bearings that are sealed against dust and dirt and are precision self-aligning ball or roller type, with L50 rated bearing life at not less than 200,000 hours as defined by ABMA 9 and ABMA 11. Provide bearings that are permanently lubricated or lubricated type with lubrication fittings readily accessible at the drive side of the unit. Support bearings by structural shapes, or die formed sheet structural members, or support plates securely attached to the unit casing. Do not fasten bearings directly to the unit sheet metal casing. Furnish fans and scrolls with coating indicated.
- c. Fans that are driven by a unit-mounted, or a floor-mounted motor connected to fans by V-belt drive complete with belt guard for externally mounted motors. Furnish belt guards that are the three-sided enclosed type with solid or expanded metal face. Design belt drives for not less than a 1.3 service factor based on motor nameplate rating.
- d. Motor sheaves that are variable pitch for 25 hp and below and fixed pitch above 25 hp as defined by AHRI Guideline D. Where fixed sheaves are required, the use of variable pitch sheaves is allowed during air balance, but replace them with an appropriate fixed sheave after air balance is completed. Select variable pitch sheaves to drive the fan

at a speed that produces the specified capacity when set at the approximate midpoint of the sheave adjustment. Furnish motors for V-belt drives with adjustable bases, and with open enclosures.

- e. Motor starters of appropriate type per the drawings with an appropriate enclosure for its area and coordinated with the end-user. Select unit fan or fans to produce the required capacity at the fan static pressure with sound power level as indicated. Obtain the sound power level values according to AMCA 300, ASHRAE 68, or AHRI 260 I-P.

2.10.2.5 Access Sections and Filter/Mixing Boxes

Provide access sections where indicated and furnish with access doors as shown. Construct access sections and filter/mixing boxes in a manner identical to the remainder of the unit casing and equip with access doors. Design mixing boxes to minimize air stratification and to promote thorough mixing of the air streams.

2.10.2.6 Diffuser Sections

Furnish diffuser sections between the discharge of all housed supply fans and cooling coils of blow-through single zone units and filter sections of those units with high efficiency filters located immediately downstream of the air handling unit fan section. Provide diffuser sections that are fabricated by the unit manufacturer in a manner identical to the remainder of the unit casing, designed to be airtight under positive static pressures up to 8 inches water gauge and with an access door on each side for inspection purposes. Provide a diffuser section that contains a perforated diffusion plate, fabricated of galvanized steel, Type 316 stainless steel, aluminum, or steel treated for corrosion with manufacturer's standard corrosion-resisting finish, and designed to accomplish uniform air flow across the down-stream coil and filters while reducing the higher fan outlet velocity to within plus or minus 5 percent of the required face velocity of the downstream component.

2.11 TERMINAL UNITS

2.12 FACTORY PAINTING

Factory paint new equipment, which are not of galvanized construction. Paint with a corrosion resisting paint finish according to ASTM A123/A123M or ASTM A924/A924M. Clean, phosphatize and coat internal and external ferrous metal surfaces with a paint finish which has been tested according to ASTM B117, ASTM D1654, and ASTM D3359. Submit evidence of satisfactory paint performance for a minimum of 125 hours for units to be installed indoors and 500 hours for units to be installed outdoors. Provide rating of failure at the scribe mark that is not less than 6, average creepage not greater than 1/8 inch. Provide rating of the inscribed area that is not less than 10, no failure. On units constructed of galvanized steel that have been welded, provide a final shop docket of zinc-rich protective paint on exterior surfaces of welds or welds that have burned through from the interior according to ASTM D520 Type I.

Field paint factory painting that has been damaged prior to acceptance by the Contracting Officer in compliance with the requirements of paragraph FIELD PAINTING OF MECHANICAL EQUIPMENT.

2.13 SUPPLEMENTAL COMPONENTS/SERVICES

2.13.1 Refrigerant Piping

The requirements for refrigerant piping are specified in Section 23 23 00 REFRIGERANT PIPING.

2.13.2 Water or Steam Heating System Accessories

The requirements for water or steam heating accessories such as expansion tanks and steam traps are specified in Section 23 52 00 HEATING BOILERS.

2.13.3 Condensate Drain Lines

Provide and install condensate drainage for each item of equipment that generates condensate in accordance with Section 22 00 00 PLUMBING, GENERAL PURPOSE 23 64 26 CHILLED, CHILLED-HOT, AND CONDENSER WATER PIPING SYSTEMS except as modified herein.

2.13.4 Backflow Preventers

The requirements for backflow preventers are specified in Section 22 00 00 PLUMBING, GENERAL PURPOSE.

2.13.5 Insulation

The requirements for shop and field applied insulation are specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.13.6 Controls

The requirements for controls are specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing the work.

3.2 INSTALLATION

- a. Install materials and equipment in accordance with the requirements of the contract drawings and approved manufacturer's installation instructions. Accomplish installation by workers skilled in this type of work. Perform installation so that there is no degradation of the designed fire ratings of walls, partitions, ceilings, and floors.
- b. No installation is permitted to block or otherwise impede access to any existing machine or system. Install all hinged doors to swing open a minimum of 120 degrees. Provide an area in front of all access doors that clears a minimum of 3 feet. In front of all access doors to electrical circuits, clear the area the minimum distance to energized circuits as specified in OSHA Standards, part 1910.333 (Electrical-Safety Related work practices) and an additional 3 feet.
- c. Except as otherwise indicated, install emergency switches and alarms

in conspicuous locations. Mount all indicators, to include gauges, meters, and alarms in order to be easily visible by people in the area.

3.2.1 Condensate Drain Lines

Provide water seals in the condensate drain from all units. Provide a depth of each seal of 2 inches plus the number of inches, measured in water gauge, of the total static pressure rating of the unit to which the drain is connected. Provide water seals that are constructed of 2 tees and an appropriate U-bend with the open end of each tee plugged. Provide pipe cap or plug cleanouts where indicated. Connect drains indicated to connect to the sanitary waste system using an indirect waste fitting. Insulate air conditioner drain lines as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

3.2.2 Equipment and Installation

Provide frames and supports for tanks, compressors, pumps, valves, air handling units, fans, coils, dampers, and other similar items requiring supports. Floor mount or ceiling hang air handling units as indicated. Anchor and fasten as detailed. Set floor-mounted equipment on not less than 6 inch concrete pads or curbs doweled in place unless otherwise indicated. Make concrete foundations heavy enough to minimize the intensity of the vibrations transmitted to the piping, duct work and the surrounding structure, as recommended in writing by the equipment manufacturer. In lieu of a concrete pad foundation, build a concrete pedestal block with isolators placed between the pedestal block and the floor. Make the concrete foundation or concrete pedestal block a mass not less than three times the weight of the components to be supported. Provide the lines connected to the pump mounted on pedestal blocks with flexible connectors. Submit foundation drawings as specified in paragraph DETAIL DRAWINGS. Provide concrete for foundations as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE.

3.2.3 Access Panels

Install access panels for concealed valves, vents, controls, dampers, and items requiring inspection or maintenance of sufficient size, and locate them so that the concealed items are easily serviced and maintained or completely removed and replaced. Provide access panels as specified in Section 08 31 00 ACCESS DOORS AND PANELS.

3.2.4 Flexible Duct

Install pre-insulated flexible duct in accordance with the latest printed instructions of the manufacturer to ensure a vapor tight joint. Provide hangers, when required to suspend the duct, of the type recommended by the duct manufacturer and set at the intervals recommended.

3.2.5 Metal Ductwork

Install according to SMACNA 1966 unless otherwise indicated. Install duct supports for sheet metal ductwork according to SMACNA 1966, unless otherwise specified. Do not use friction beam clamps indicated in SMACNA 1966. Anchor risers on high velocity ducts in the center of the vertical run to allow ends of riser to move due to thermal expansion. Erect supports on the risers that allow free vertical movement of the duct. Attach supports only to structural framing members and concrete slabs. Do not anchor supports to metal decking unless a means is provided

and approved for preventing the anchor from puncturing the metal decking. Where supports are required between structural framing members, provide suitable intermediate metal framing. Where C-clamps are used, provide retainer clips.

3.2.5.1 Light Duty Corrosive Exhaust Ductwork

For light duty corrosive exhaust ductwork, use PVC plastisol coated galvanized steel with PVC coating on interior surfaces and exterior surfaces and epoxy wash primer coating on exterior surfaces.

3.2.6 Dust Control

To prevent the accumulation of dust, debris and foreign material during construction, perform temporary dust control protection. Protect the distribution system (supply and return) with temporary seal-offs at all inlets and outlets at the end of each day's work. Keep temporary protection in place until system is ready for startup.

3.2.7 Insulation

Provide thickness and application of insulation materials for ductwork, piping, and equipment according to Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Externally insulate outdoor air intake ducts and plenums up to the point where the outdoor air reaches the conditioning unit or up to the point where the outdoor air mixes with the return air stream.

3.2.8 Duct Test Holes

Provide holes with closures or threaded holes with plugs in ducts and plenums as indicated or where necessary for the use of pitot tube in balancing the air system. Plug insulated duct at the duct surface, patched over with insulation and then marked to indicate location of test hole if needed for future use.

3.2.9 Power Roof Ventilator Mounting

Provide foamed 1/2 inch thick, closed-cell, flexible elastomer insulation to cover width of roof curb mounting flange. Where wood nailers are used, predrill holes for fasteners.

3.2.10 Power Transmission Components Adjustment

Test V-belts and sheaves for proper alignment and tension prior to operation and after 72 hours of operation at final speed. Uniformly load belts on drive side to prevent bouncing. Make alignment of direct driven couplings to within 50 percent of manufacturer's maximum allowable range of misalignment.

3.3 EQUIPMENT PADS

Provide equipment pads to the dimensions shown or, if not shown, to conform to the shape of each piece of equipment served with a minimum 3-inch margin around the equipment and supports. Allow equipment bases and foundations, when constructed of concrete or grout, to cure a minimum of 28 calendar days before being loaded.

3.4 CUTTING AND PATCHING

Install work in such a manner and at such time that a minimum of cutting and patching of the building structure is required. Make holes in exposed locations, in or through existing floors, by drilling and smooth by sanding. Use of a jackhammer is permitted only where specifically approved. Make holes through masonry walls to accommodate sleeves with an iron pipe masonry core saw.

3.5 CLEANING

Thoroughly clean surfaces of piping and equipment that have become covered with dirt, plaster, or other material during handling and construction before such surfaces are prepared for final finish painting or are enclosed within the building structure. Before final acceptance, clean mechanical equipment, including piping, ducting, and fixtures, and free from dirt, grease, and finger marks. When the work area is in an occupied space such as office, laboratory or warehouse protect all furniture and equipment from dirt and debris. Incorporate housekeeping for field construction work which leaves all furniture and equipment in the affected area free of construction generated dust and debris; and, all floor surfaces vacuum-swept clean.

3.6 PENETRATIONS

Provide sleeves and prepared openings for duct mains, branches, and other penetrating items, and install during the construction of the surface to be penetrated. Cut sleeves flush with each surface. Place sleeves for round duct 15 inches and smaller. Build framed, prepared openings for round duct larger than 15 inches and square, rectangular or oval ducts. Sleeves and framed openings are also required where grilles, registers, and diffusers are installed at the openings. Provide one inch clearance between penetrating and penetrated surfaces except at grilles, registers, and diffusers. Pack spaces between sleeve or opening and duct or duct insulation with mineral fiber conforming with ASTM C553, Type 1, Class B-2.

3.6.1 Sleeves

Fabricate sleeves, except as otherwise specified or indicated, from 20 gauge thick mill galvanized sheet metal. Where sleeves are installed in bearing walls or partitions, provide black steel pipe conforming with ASTM A53/A53M, Schedule 20.

3.6.2 Framed Prepared Openings

Fabricate framed prepared openings from 20 gauge galvanized steel, unless otherwise indicated.

3.6.3 Insulation

Provide duct insulation in accordance with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS continuous through sleeves and prepared openings except firewall penetrations. Terminate duct insulation at fire dampers and flexible connections. For duct handling air at or below 60 degrees F, provide insulation continuous over the damper collar and retaining angle of fire dampers, which are exposed to unconditioned air.

3.6.4 Closure Collars

Provide closure collars of a minimum 4 inches wide, unless otherwise indicated, for exposed ducts and items on each side of penetrated surface, except where equipment is installed. Install collar tight against the surface and fit snugly around the duct or insulation. Grind sharp edges smooth to prevent damage to penetrating surface. Fabricate collars for round ducts 15 inches in diameter or less from 20 gauge galvanized steel. Fabricate collars for square and rectangular ducts, or round ducts with minimum dimension over 15 inches from 18 gauge galvanized steel. Fabricate collars for square and rectangular ducts with a maximum side of 15 inches or less from 20 gauge galvanized steel. Install collars with fasteners a maximum of 6 inches on center. Attach to collars a minimum of 4 fasteners where the opening is 12 inches in diameter or less, and a minimum of 8 fasteners where the opening is 20 inches in diameter or less.

3.6.5 Firestopping

Where ducts pass through fire-rated walls, fire partitions, and fire rated chase walls, seal the penetration with fire stopping materials as specified in Section 07 84 00 FIRESTOPPING.

3.7 FIELD PAINTING OF MECHANICAL EQUIPMENT

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except clean to bare metal on metal surfaces subject to temperatures in excess of 120 degrees F. Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Provide aluminum or light gray finish coat.

3.7.1 Temperatures less than 120 degrees F

Immediately after cleaning, apply one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of one mil; and two coats of enamel applied to a minimum dry film thickness of one mil per coat to metal surfaces subject to temperatures less than 120 degrees F.

3.7.2 Temperatures between 120 and 400 degrees F

Apply two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of two mils to metal surfaces subject to temperatures between 120 and 400 degrees F.

3.7.3 Finish Painting

The requirements for finish painting of items only primed at the factory, and surfaces not specifically noted otherwise, are specified in Section 09 90 00 PAINTS AND COATINGS.

3.7.4 Color Coding Scheme for Locating Hidden Utility Components

Use scheme in buildings having suspended grid ceilings. Provide color coding scheme that identifies points of access for maintenance and operation of components and equipment that are not visible from the

finished space and are accessible from the ceiling grid, consisting of a color code board and colored metal disks. Make each colored metal disk approximately 3/8 inch diameter and secure to removable ceiling panels with fasteners. Insert each fastener into the ceiling panel so as to be concealed from view. Provide fasteners that are manually removable without the use of tools and that do not separate from the ceiling panels when the panels are dropped from ceiling height. Make installation of colored metal disks follow completion of the finished surface on which the disks are to be fastened. Provide color code board that is approximately 3 foot wide, 30 inches high, and 1/2 inches thick. Make the board of wood fiberboard and frame under glass or 1/16 inch transparent plastic cover. Make the color code symbols approximately 3/4 inch in diameter and the related lettering in 1/2 inch high capital letters. Mount the color code board where indicated in the mechanical or equipment room. Make the color code system as indicated below:

Color	System	Item	Location

3.8 IDENTIFICATION SYSTEMS

Provide identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and item number on all valves and dampers. Provide tags that are 1-3/8 inch minimum diameter with stamped or engraved markings. Make indentations black for reading clarity. Attach tags to valves with No. 12 AWG 0.0808-inch diameter corrosion-resistant steel wire, copper wire, chrome-plated beaded chain or plastic straps designed for that purpose.

3.9 DUCTWORK LEAK TEST

Perform ductwork leak test for the entire air distribution and exhaust system, including fans, coils, filters, etc. designated as static pressure Class 3 inch water gauge through Class 10 inch water gauge. Provide test procedure, apparatus, and report that conform to SMACNA 1972 CD. The maximum allowable leakage rate is cfm. Complete ductwork leak test with satisfactory results prior to applying insulation to ductwork exterior or concealing ductwork.

3.10 DAMPER ACCEPTANCE TEST

Submit the proposed schedule, at least 2 weeks prior to the start of test. Operate all fire dampers and smoke dampers under normal operating conditions, prior to the occupancy of a building to determine that they function properly. Test each fire damper equipped with fusible link by having the fusible link cut in place. Test dynamic fire dampers with the air handling and distribution system running. Reset all fire dampers with the fusible links replaced after acceptance testing. To ensure optimum operation and performance, install the damper so it is square and free from racking.

3.11 TESTING, ADJUSTING, AND BALANCING

The requirements for testing, adjusting, and balancing are specified in Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC. Begin testing, adjusting, and balancing only when the air supply and

distribution, including controls, has been completed, with the exception of performance tests.

3.12 PERFORMANCE TESTS

Conduct performance tests as required in Section 23 05 93 Testing, Adjusting and Balancing for HVAC and Section 23 09 00 Instrumentation and Control for HVAC.

3.13 CLEANING AND ADJUSTING

Provide a temporary bypass for water coils to prevent flushing water from passing through coils. Inside of room fan-coil units thoroughly clean ducts, plenums, and casing of debris and blow free of small particles of rubbish and dust and then vacuum clean before installing outlet faces. Wipe equipment clean, with no traces of oil, dust, dirt, or paint spots. Provide temporary filters prior to startup of all fans that are operated during construction, and provide new filters after all construction dirt has been removed from the building, and the ducts, plenums, casings, and other items specified have been vacuum cleaned. Perform and document that proper "Indoor Air Quality During Construction" procedures have been followed; provide documentation showing that after construction ends, and prior to occupancy, new filters were provided and installed. Maintain system in this clean condition until final acceptance. Properly lubricate bearings with oil or grease as recommended by the manufacturer. Tighten belts to proper tension. Adjust control valves and other miscellaneous equipment requiring adjustment to setting indicated or directed. Adjust fans to the speed indicated by the manufacturer to meet specified conditions. Maintain all equipment installed under the contract until close out documentation is received, the project is completed and the building has been documented as beneficially occupied.

3.14 OPERATION AND MAINTENANCE

3.14.1 Operation and Maintenance Manuals

Submit six manuals at least 2 weeks prior to field training. Submit data complying with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA. Submit Data Package 3 for the items/units listed under SD-10 Operation and Maintenance Data

3.14.2 Operation And Maintenance Training

Conduct a training course for the members of the operating staff as designated by the Contracting Officer. Make the training period consist of a total of 8 hours of normal working time and start it after all work specified herein is functionally completed and the Performance Tests have been approved. Conduct field instruction that covers all of the items contained in the Operation and Maintenance Manuals as well as demonstrations of routine maintenance operations. Submit the proposed On-site Training schedule concurrently with the Operation and Maintenance Manuals and at least 14 days prior to conducting the training course.

-- End of Section --

SECTION 23 52 00

HEATING BOILERS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

AMCA 801 (2001; R 2008) Industrial Process/Power
Generation Fans: Specification Guidelines

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.13/CSA 4.9 (2022) Gas-Fired Low Pressure Steam and
Hot Water Boilers

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING
ENGINEERS (ASHRAE)

ASHRAE 52.2 (2017) Method of Testing General
Ventilation Air-Cleaning Devices for
Removal Efficiency by Particle Size

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.20.1 (2013; R 2018) Pipe Threads, General
Purpose (Inch)

ASME B16.3 (2021) Malleable Iron Threaded Fittings,
Classes 150 and 300

ASME B16.4 (2021) Gray Iron Threaded Fittings;
Classes 125 and 250

ASME B16.5 (2020) Pipe Flanges and Flanged Fittings
NPS 1/2 Through NPS 24 Metric/Inch Standard

ASME B16.9 (2018) Factory-Made Wrought Buttwelding
Fittings

ASME B16.11 (2022) Forged Fittings, Socket-Welding and
Threaded

ASME B16.15 (2018) Cast Copper Alloy Threaded Fittings
Classes 125 and 250

ASME B16.18 (2021) Cast Copper Alloy Solder Joint
Pressure Fittings

ASME B16.20 (2023) Metallic Gaskets for Pipe Flanges

ASME B16.22	(2021) Wrought Copper and Copper Alloy Solder Joint Pressure Fittings
ASME B16.26	(2018) Standard for Cast Copper Alloy Fittings for Flared Copper Tubes
ASME B16.34	(2021) Valves - Flanged, Threaded and Welding End
ASME B16.39	(2020) Standard for Malleable Iron Threaded Pipe Unions; Classes 150, 250, and 300
ASME B31.1	(2022) Power Piping
ASME B31.5	(2022) Refrigeration Piping and Heat Transfer Components
ASME B40.100	(2022) Pressure Gauges and Gauge Attachments
ASME BPVC SEC IV	(2017) BPVC Section IV-Rules for Construction of Heating Boilers
ASME BPVC SEC IX	(2017; Errata 2018) BPVC Section IX-Welding, Brazing and Fusing Qualifications
ASME BPVC SEC VIII D1	(2019) BPVC Section VIII-Rules for Construction of Pressure Vessels Division 1
ASME CSD-1	(2021) Control and Safety Devices for Automatically Fired Boilers
AMERICAN WATER WORKS ASSOCIATION (AWWA)	
AWWA C606	(2022) Grooved and Shouldered Joints
AMERICAN WELDING SOCIETY (AWS)	
AWS A5.8/A5.8M	(2019) Specification for Filler Metals for Brazing and Braze Welding
AWS B2.2/B2.2M	(2016) Specification for Brazing Procedure and Performance Qualification
ASTM INTERNATIONAL (ASTM)	
ASTM A53/A53M	(2024) Standard Specification for Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A105/A105M	(2021) Standard Specification for Carbon Steel Forgings for Piping Applications
ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip

ASTM A183	(2014; R 2020) Standard Specification for Carbon Steel Track Bolts and Nuts
ASTM A193/A193M	(2023) Standard Specification for Alloy-Steel and Stainless Steel Bolting Materials for High-Temperature Service and Other Special Purpose Applications
ASTM A234/A234M	(2023a) Standard Specification for Piping Fittings of Wrought Carbon Steel and Alloy Steel for Moderate and High Temperature Service
ASTM A515/A515M	(2017; R2022) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Intermediate- and Higher-Temperature Service
ASTM A516/A516M	(2017) Standard Specification for Pressure Vessel Plates, Carbon Steel, for Moderate- and Lower-Temperature Service
ASTM A536	(1984; R 2019; E 2019) Standard Specification for Ductile Iron Castings
ASTM A653/A653M	(2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM B32	(2020) Standard Specification for Solder Metal
ASTM B62	(2017) Standard Specification for Composition Bronze or Ounce Metal Castings
ASTM B75/B75M	(2020) Standard Specification for Seamless Copper Tube
ASTM B88	(2022) Standard Specification for Seamless Copper Water Tube
ASTM B813	(2016) Standard Specification for Liquid and Paste Fluxes for Soldering of Copper and Copper Alloy Tube
ASTM B828	(2023) Standard Practice for Making Capillary Joints by Soldering of Copper and Copper Alloy Tube and Fittings
ASTM C27	(1998; R 2022) Fireclay and High-Alumina Refractory Brick
ASTM C34	(2023) Standard Specification for Structural Clay Loadbearing Wall Tile
ASTM C155	(1997; R 2022) Standard Specification for Insulating Firebrick

ASTM C401	(2012; R 2022) Alumina and Alumina-Silicate Castable Refractories
ASTM D596	(2001; R 2018) Standard Guide for Reporting Results of Analysis of Water
ASTM D1784	(2020) Standard Specification for Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds
ASTM D2000	(2018) Standard Classification System for Rubber Products in Automotive Applications
ASTM F1097	(2017; R 2022) Standard Specification for Mortar, Refractory (High-Temperature, Air-Setting)

COPPER DEVELOPMENT ASSOCIATION (CDA)

CDA A4015	(2016; 14/17) Copper Tube Handbook

HYDRONICS INSTITUTE DIVISION OF AHRI (HYI)

HYI-005	(2008) I=B=R Ratings for Boilers, Baseboard Radiation and Finned Tube (Commercial)

MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-25	(2018) Standard Marking System for Valves, Fittings, Flanges and Unions
MSS SP-58	(2018) Pipe Hangers and Supports - Materials, Design and Manufacture, Selection, Application, and Installation
MSS SP-70	(2011) Gray Iron Gate Valves, Flanged and Threaded Ends
MSS SP-71	(2018) Gray Iron Swing Check Valves, Flanged and Threaded Ends
MSS SP-72	(2010a) Ball Valves with Flanged or Butt-Welding Ends for General Service
MSS SP-78	(2011) Cast Iron Plug Valves, Flanged and Threaded Ends
MSS SP-80	(2019) Bronze Gate, Globe, Angle and Check Valves
MSS SP-85	(2011) Gray Iron Globe & Angle Valves Flanged and Threaded Ends
MSS SP-110	(2010) Ball Valves Threaded, Socket-Welding, Solder Joint, Grooved and

Flared Ends

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (2020) Enclosures for Electrical Equipment
(1000 Volts Maximum)

NEMA MG 1 (2021) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54 (2024) National Fuel Gas Code

NFPA 85 (2023) Boiler and Combustion Systems
Hazards Code

U.S. DEPARTMENT OF ENERGY (DOE)

Energy Star (1992; R 2006) Energy Star Energy
Efficiency Labeling System (FEMP)

UNDERWRITERS LABORATORIES (UL)

UL 795 (2016; Reprint May 2022) UL Standard for
Safety Commercial-Industrial Gas Heating
Equipment

UL 1738 (2023) UL Standard for Safety Venting
Systems for Gas-Burning Appliances,
Categories II, III and IV

UL FLAMMABLE & COMBUSTIBLE (2012) Flammable and Combustible Liquids
and Gases Equipment Directory

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Detail Drawings

SD-03 Product Data

Materials and Equipment

Spare Parts

Water Treatment System

Heating System Tests

Fuel System Tests

Unit Heaters

Welding

Qualifications

Field Instructions

Tests

SD-06 Test Reports

Heating System Tests

Fuel System Tests

Water Treatment Testing

SD-07 Certificates

Continuous Emissions Monitoring

SD-10 Operation and Maintenance Data

Operation and Maintenance Instructions; G

Water Treatment System; G

SD-11 Closeout Submittals

Indoor Air Quality During Construction; S

1.3 QUALITY ASSURANCE

Submit a copy of qualified welding procedures and a list of names and identification symbols of qualified welders and welding operators, at least 2 weeks prior to the start of welding operations. Weld and braze boilers and piping in accordance with qualified procedures using performance-qualified welders and welding operators. Use procedures and welders that are qualified in accordance with ASME BPVC SEC IX. Welding procedures qualified by others, and welders and welding operators qualified by another employer may be accepted as permitted by ASME B31.1. Notify the Contracting Officer 24 hours in advance of tests, and perform the tests at the work site if practical. The welder or welding operator must apply the personally assigned symbol near each weld made as a permanent record.

1.4 DELIVERY, STORAGE, AND HANDLING

Protect equipment delivered and placed in storage from the weather, humidity and temperature variations, dirt and dust, and other contaminants.

1.5 EXTRA MATERIALS

Submit spare parts data for each different item of material and equipment specified, after approval of the detail drawings and no later than 2 months prior to the date of beneficial occupancy. Submit Detail Drawings consisting of equipment layout including installation details and electrical connection diagrams; combustion and safety control diagrams; ductwork layout showing the location of supports and hangers, typical hanger details, gauge reinforcement, reinforcement spacing rigidity

classification, and static pressure and seal classifications; and piping layout showing the location of guides and anchors, the load imposed on each support or anchor (not required for radiant floor tubing), and typical support details. Include on the drawings any information required to demonstrate that the system has been coordinated and will properly function as a unit and to show equipment relationship to other parts of the work, including clearances required for operation and maintenance. Include in the data a complete list of parts and supplies, with current unit prices and source of supply, and a list of the parts recommended by the manufacturer to be replaced after 1 and 3 years of service.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

2.1.1 Standard Products

Provide materials and equipment which are the standard products of a manufacturer regularly engaged in the manufacture of the products and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Provide equipment supported by a service organization that is, in the opinion of the Contracting Officer, reasonably convenient to the site. Submit manufacturer's catalog data included with the detail drawings for the following:

- a. Radiant floor heating system including tubing, joints, and manifold for radiant floor heating systems.
- b. Data showing model, size, options, etc., that are intended for consideration. Ensure data submitted is adequate to demonstrate compliance with contract requirements. Include manufacturer's written installation instructions and manufacturer's recommendations for operation and maintenance clearances for the following:
 - (1) Boilers
 - (2) Unit Heaters
 - (3) Fuel Burning Equipment
 - (4) Combustion Control Equipment
 - (5) Pumps
 - (6) Fittings and Accessories
 - (7) Fuel Oil Storage System
 - (8) Water Treatment System

2.1.2 Asbestos Prohibition

Asbestos and asbestos-containing products will not be allowed.

2.1.3 Nameplates

Secure a plate to each major component of equipment containing the manufacturer's name, address, type or style, model or serial number, and catalog number. Also, display an Energy Star label as applicable. Provide an approved ASME stamp for each pressure vessel.

2.1.4 Equipment Guards

Fully enclose or guard belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact in accordance with OSHA requirements. Properly guard high

temperature equipment and piping exposed to contact by personnel or where it creates a potential fire hazard or cover with insulation of a type specified. .

2.2 BOILERS

Provide each boiler with the output capacity in British thermal units per hour (Btuh) as indicated when fired with the specified fuels. Furnish boiler complete with the gas burning equipment, boiler fittings and trim, automatic controls, forced or induced draft fan, natural draft/atmospheric burner, electrical wiring, insulation, piping connections, and protective jacket. Assemble boiler completely and test at the manufacturer's plant. Provide boiler auxiliaries including fans, motors, drives, and similar equipment with at least 10 percent excess capacity to allow for field variations in settings and to compensate for any unforeseen increases in pressure losses in appurtenant piping and ductwork. However, do not size the boiler safety devices for a 10 percent excess capacity. Design and install the boiler and its accessories to permit ready accessibility for operation, maintenance, and service. Design, construct, and equip boilers in accordance with ASME BPVC SEC IV. Each boiler must be of the condensing type and designed for water service as specified herein. Base boiler capacity on the ratings shown in HYI-005 or as certified by the American Boiler Manufacturers Association, or American Gas Association.2.2.1
Firetube Boiler

Provide self-contained, multipass, packaged type boiler, complete with all accessories, mounted on a structural steel base. When the boilers are operating at maximum output, the heat input rates must not be greater than 6,700 Btuh per square ft of fireside heating surface.

2.2.2 Watertube Boiler

Provide a finned type of water tube boiler. Provide a self-contained, packaged type boiler, complete with all accessories, mounted on a structural steel base. The boiler heating surface area for bent or flexible tube boilers must be at least 4 square feet/boiler horse power. The heat input rate for finned tube steam boiler or hot water generator must not be greater than 12,000 Btuh based on internal heating area. Provide bent or flexible tube boilers with single or multiple downcomers for circulation without the need for exterior pumping. Design the tubes for bent or flexible tube boilers for replacement without requiring welding or rolling of tubes. Provide any special tools required for bent or flexible tube removal or installation with the boiler.

2.2.3 Condensing Boiler

Provide a self-contained packaged type boiler, complete with accessories, mounted on a structural steel base or a steel base which is integral to the boiler shell. Each boiler must conform to the commercial design used by the manufacturer and permit free thermal expansion without placing undue stress on any part of the boiler. Design each boiler which experiences the formation of condensate within the flue gas specifically for condensing application. Each boiler must withstand the corrosive effects of condensate for each part which may be in contact with the condensate at all possible operating conditions. Provide each boiler with a separate air intake, exhaust, and condensate drain. Design each boiler to withstand the water temperature differentials anticipated at the required operating conditions without experiencing any damage due to thermal shock.

2.2.4 Modular Configuration

Provide condensing type modular boilers with the capability of independent operation. Upon failure of any module, ensure the remaining modules are capable of operating at their designed capacity. Use size of the individual modules as indicated.

2.2.5 Hot Water Heating Boilers

Provide hot water heating boiler capable of operating at the specified maximum continuous capacity without damage or deterioration to the boiler, its setting, firing equipment, or auxiliaries. The rated capacity is the capacity at which the boiler will operate continuously while maintaining at least the specified minimum efficiency. The boiler design conditions are as follows:

- a. Boiler design pressure 30 psig.
- b. Hot water temperature 180 degrees F.
- c. Temperature differential between boiler discharge and system return 20 degrees F.
- d. Water pressure drop per drawings
- e. Rated capacity 489,000 Btuh.

2.3 FUEL BURNING EQUIPMENT

Design boiler to burn gas. Each boiler must comply with Federal, state, and local emission regulations.

2.3.1 Burners

2.3.1.1 Gas and Combination Gas-Oil Fired Burners and Controls

Burners must be UL approved mechanical draft burners with all air necessary for combustion supplied by a blower where the operation is coordinated with the burner. Provide burner complete with fuel supply system in conformance with the following safety codes or standards:

- a. Provide gas-fired units with inputs greater than 400,000 Btuh per combustion chamber conforming to UL 795. Provide gas fired units less than 12,500,000 Btuh input conforming to ANSI Z21.13/CSA 4.9.

2.3.2 Draft Fans

Furnish fans conforming to AMCA 801 forced-draft and induced-draft as an integral part of boiler design. Provide centrifugal fans with backward-curved blades, radial-tip blades, or axial flow type. Size each fan for output volume and static pressure rating sufficient for pressure losses, excess air requirements at the burner, leakages, temperature, and elevation corrections for worst ambient conditions, all at full combustion to meet net-rated output at normal firing conditions, plus an overall excess air volume of 10 percent against a 20 percent static overpressure. Do not exceed noise levels of 85 decibels for fans in any octave band at a 3 foot station. Air cool draft fan bearings. Design induced-draft fans for handling hot flue gas at the maximum outlet temperature in the boiler. Provide induced draft fan housings with drain holes to accommodate the

drainage of condensation. Provide induced draft fan scroll sheets and rotor blades with protective liners.

2.3.2.1 Draft Fan Control

Provide forced-draft centrifugal fans with inlet vane controls or variable speed control where indicated. Provide inlet vanes suitable for use with combustion control equipment. Provide induced-draft centrifugal fans with outlet dampers and variable speed control. Provide induced-draft fans with inlet vane controls. Provide axial propeller fans with variable propeller pitch control.

2.3.2.2 Draft Fan Drives

Drive fans by electric motors. Electric motor must be per boiler manufacturer and with an enclosure suitable for its environment and per coordination with end-user.. Motor starter must be per electrical drawings and suitable for controls contractor per coordination and furnished with four auxiliary interlock contacts and an appropriate enclosure.

2.3.3 Draft Damper

Provide boilers with automatic dampers, draft hoods, or barometric dampers as recommended by the boiler manufacturer to maintain proper draft in the boiler. Provide draft damper in a convenient and accessible location in the flue gas outlet from the boiler. Arrange automatic damper for automatic operation by means of a damper motor.

2.3.4 Ductwork

Design air ducts connecting the forced-draft fan units with the plenum chamber to convey air with a minimum of pressure loss due to friction. Provide ductwork consisting of galvanized sheet metal conforming to ASTM A653/A653M. Ducts must be straight and smooth on the inside with laps made in direction of air flow. Ducts must have cross-break with enough center height to assure rigidity in the duct section, angle iron braced, and completely free of vibration. Provide access and inspection doors as indicated and required, with a minimum of one in each section between dampers or items of equipment. Construct ducts with long radius elbows having a centerline radius 1-1/2 times the duct width, or where the space does not permit the use of long radius elbows, short radius or square elbows with factory-fabricated turning vanes may be used. Duct joints must be substantially airtight and have adequate strength for the service, with 1-1/2 x 1-1/2 x 1/8 inch angles used where required for strength or rigidity. Provide duct wall thickness of 16 gauge (0.0598 inch) for ducts 60 inches or less and 12 gauge (0.1046 inch) for ducts larger than 60 inches in maximum dimension. Additional ductwork must be in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

2.4 COMBUSTION CONTROL EQUIPMENT

Provide combustion control equipment as a system by a single manufacturer. Field install automatic combustion control system in accordance with the manufacturer's recommendations and under the direct supervision of a representative of the control manufacturer. Control the boiler water temperature by a water temperature controller.. Operate the equipment electronically. On multiple boiler installations, provide each boiler unit with a completely independent system of controls responding to

the load and to a plant master controller. If recording instruments are provided, furnish a 1 year supply of ink and 400 blank charts for each recorder.

2.4.1 Electrical controls

Provide electrical control devices rated at voltage specified on drawings and connect as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.4.2 Water Temperature Controller

Provide controller made of sturdy construction and protect against dust and dampness. Insert the thermostatic element in a separable socket installed in the upper part of the boiler near the water outlet or in the boiler return piping, as indicated. Operate fixed position (on-off) and three position (high-low-off) controller on a 10 degree F differential over an adjustable temperature range of approximately 140 to 220 degrees F.

Provide modulating controllers to control the fuel burning equipment to maintain set boiler water temperature within 2 percent. Furnish controller with necessary equipment to automatically adjust the setting to suit the outside weather conditions. Operate the outside air reset controller in such a manner that the operating temperatures required by the boiler manufacturer are not compromised.

2.4.3 Boiler Plant Master Controller

Furnish a boiler plant master controller, sensitive to a temperature transmitter in the return water header for the boiler to provide anticipatory signals to all boiler controllers. Boiler controllers must react to anticipatory signals from the plant master controller as necessary in response to the boiler temperature indication to maintain the preset temperature. Provide an automatic-manual switch to allow the sequence of boiler loading to be varied to distribute equal firing time on all boilers in the plant. The plant master controller must load the boilers one at a time as the plant load increases.

2.4.4 Boiler Combustion Controls and Positioners

- a. Provide gas boiler units with modulating combustion controls with gas pilot or spark ignition. Provide modulating controls with a means for manually controlling the firing rate.
- b. Accomplish modulating control function positioning type controls. Control air flow ratio and fuel control valve relative positions of operative levers on a jackshaft responding to a water temperature controller. Provide positioning type combustion control equipment including draft controls with synchronized fuel feed and combustion air supply controls that maintain the proper air/fuel ratio. Maintain the desired furnace draft within 0.01 inch of water column.

2.4.5 Combustion Safety Controls and Equipment

Provide combustion safety controls and equipment that are UL listed, microprocessor-based distributed process controller. Include mounting hardware, wiring and cables, and associated equipment. Mount completely wired, programmed, debugged controller, and test to perform all of its functions. Process the signals for complete control and monitoring of the boiler. This includes maintaining boiler status, starting and stopping all control functions, sequencing control functions and signaling alarm

conditions. Document the program and include cross references in description of coils and contacts. Provide microprocessor to perform self diagnostics and contain a message center to provide operator with status and failure mode information. Mount controllers for each boiler on a separate, free standing panel adjacent to the boiler or for packaged boilers on the boiler supporting structure. Provide control systems and safety devices for automatically fired boilers conforming to ASME CSD-1. Provide electrical combustion and safety controls rated at 120 volts, single phase, 60 Hz and connect as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide a 4 inch diameter alarm bell and locate where indicated or directed. The alarm bell must ring when the boiler is shut down by any safety control or interlock. Provide indicating lights on the control panel. Use a red light to indicate flame failure, and a green light to indicate that the main fuel valve is open. The following shutdown conditions require a manual reset before the boiler can automatically recycle:

- a. Flame failure.
- b. Failure to establish pilot flame.
- c. Failure to establish main flame.
- d. Low-water cutoff.
- e. High temperature cutoff .

2.4.5.1 Low-water Cutoff

Provide float actuated switch or electrically actuated probe type low-water cutoff. Provide float chamber with a blow-down connection. Cutoff must cause a safety shutdown and sound an alarm when the boiler water level drops below a safe minimum level. A safety shutdown due to low water must require manual reset before operation can be resumed and prevent recycling of the burner. The cutoff must be in strict accordance to ASME CSD-1.

2.4.5.1.1 Feedwater Regulator with Low-Water Cutoff

Provide regulator of an approved design sized for the application. Provide a regulator for each boiler. Arrange the feeder so that water will be fed to the boiler automatically when the water level in the boiler drops below a preset point and will actuate the alarm bell when the water level reaches the low danger point. Arrange the boiler feeder so that the burner and forced-draft fan will stop whenever the water level drops below a preset danger point. Construct the boiler feeder so that the feedwater valve and seat are isolated from the float chamber to prevent overheating of the feed water and precipitation of scale on either the valve or seat. Construct each float mechanism, valve, and seat of an approved, durable, corrosion-resistant steel alloy. Provide removable and renewable valve seats. Equip regulator with a large, self-cleaning strainer. Use gate or other straight-through type drain valve on the regulator.

2.4.5.1.2 Pump Controller with Low-Water Cutoff

Provide controller of a design approved by the boiler manufacturer. Provide a pump controller for each boiler which is used for space heating and process steam loads or long distribution lines. Control the operation of the burner, forced-draft fan, and pump. Provide pump controller and

low-water cutoff with a float-operated mercury switch arranged to start and stop the pump at preset boiler water levels. If the water level in the boiler reaches the low danger point, provide a second mercury switch to shut down the burner and actuate the alarm bell.

2.4.5.1.3 Supplementary Low-Water Cutoff

Provide supplementary low-water cutoff of the electrically operated probe type in addition to the low-water cutoff required above on each boiler. Mount supplementary low-water cutoff directly in the boiler shell and set below the low-water cutoff required above.

2.4.5.2 Water Flow Interlock

Provide hot water boiler limit controls to include protection for low boiler water flow and high boiler water temperature. Interlock the limit controls with the combustion control system to effect boiler alarm and shutdown. Do not allow boiler startup unless hot water flow is proven.

2.5 PUMPS

2.5.1 Hot Water and Boiler Circulating Pumps

Provide circulating pumps for hot water that are electrically driven single-stage centrifugal type and have a capacity no less than indicated. Support boiler circulating pumps on a concrete foundation with a cast iron or structural steel base or by the piping on which installed and must be closed-coupled shaft or flexible-coupled shaft. The boiler circulating pumps must be horizontal split case or vertical split case, as indicated type. Support hot water circulating pumps on a concrete foundation with a cast iron or structural steel base or by the piping on which installed and with a closed-coupled shaft or flexible-coupled shaft. The hot water circulating pumps must be horizontal split case or vertical split case, as indicated type. Construct pump shaft of corrosion-resistant alloy steel, sleeve bearings and glands of bronze designed to accommodate a mechanical seal, and the housing of close-grained cast iron. Use pump seals capable of withstanding 240 degrees F temperature without external cooling. Provide motor with sufficient power for the service required, of a type approved by the manufacturer of the pump, suitable for the available electric service, and conforming to the requirements of paragraph ELECTRICAL EQUIPMENT. Provide each pump suction and discharge connection with a pressure gauge as specified. Provide the boiler circulating pump discharge heater with a flow switch. Use a self-contained swinging vane type flow switch unit to indicate fluid flow. Use a self-contained snap action type pressure switch unit to indicate fluid pressure. Provide switch that is a SPDT with 120-volt, 15-ampere rating.

2.6 COLD WATER CONNECTIONS

Provide connections which include consecutively in line a strainer, reduced pressure principle backflow preventers, and water pressure regulator in that order in the direction of the flow. Provide reduced pressure principle backflow preventers as indicated and in compliance with Section 22 00 00 PLUMBING, GENERAL PURPOSE. Make cold water fill connections to the water supply system as indicated. Provide necessary pipe, fittings, and valves required for water connections between the boiler and cold water main as shown. Provide pressure regulating valve of a type that will not stick or allow pressure to build up on the low side. Set the valve to maintain a terminal pressure of approximately 5 psi in

excess of the static head on the system and operate within a 2 psi tolerance regardless of cold water supply piping pressure and without objectionable noise under any condition of operation.

2.7 UNIT HEATERS

Provide heaters as specified below, and with a heating capacity not in excess of 125 percent of the capacity indicated. Noise level of each unit heater for areas noted must not exceed the criteria indicated.

2.7.1 Propeller Fan Heaters

Design heaters for suspension and arrange for horizontal or vertical discharge of air as indicated. Provide casings consisting of no less than 20 gauge black steel and finished with lacquer or enamel. Provide suitable stationary deflectors to assure proper air and heat penetration capacity at floor level based on established design temperature. Suspension from heating pipes will not be permitted. Operate fans for vertical discharge type heaters at speeds not in excess of 1,200 rpm, except that units with 80,000 Btu output capacity or less may operate at speeds up to 1,800 rpm. Provide horizontal discharge type unit heaters with discharge or face velocities not in excess of the following:

Unit Capacity, cfm	Face Velocity, fpm
Up to 1000	800
1,001 to 3,000	900
3001 and over	1,000

2.7.2 Centrifugal Fan Heaters

Arrange heaters for floor or ceiling mounting as indicated. House heating elements and fans in steel cabinets of sectionalized steel plates or reinforced with angle-iron frames. Construct cabinets of black steel no lighter than 18 gauge. Provide each unit heater with a means of diffusing and distributing the air. Mount fans on a common shaft, with one fan to each air outlet. Equip fan shaft with self-aligning ball, roller, or sleeve bearings and accessible means of lubrication. Fan shaft may be either directly connected to the driving motor or indirectly connected by adjustable V-belt drive rated at 150 percent of motor capacity. All fans in any one unit heater must be the same size.

2.7.3 Heating Elements

Provide heating coils and radiating fins consisting of suitable nonferrous alloy with threaded fittings at each end for connecting to external piping. Provide heating elements that are free to expand or contract without developing leaks and properly pitch for drainage. Test elements under a hydrostatic pressure of 200 psig and submit a certified report of the test to the Contracting Officer. Provide heating coils as specified in Section 23 30 00 HVAC AIR DISTRIBUTION for types indicated. Ensure coils are suitable for use with water up to 250 degrees F.

2.7.4 Motors

Provide motors with NEMA MG 1 open drip proof (ODP) TEFC enclosure. Motors and motor controls must otherwise be as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.7.5 Motor Switches

Provide motors with manual selection switches with "Off," and "Automatic" positions and equip with thermal overload protection.

2.7.6 Controls

Provide controls as specified in Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.

2.8 HEATING AND VENTILATING UNITS

Provide heating and ventilating units and associated equipment in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

2.9 AIR HANDLING UNITS

Provide air handling units and associated equipment in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

2.10 FITTINGS AND ACCESSORIES

Install boiler fittings and accessories with each boiler in accordance with ASME BPVC SEC IV, unless otherwise specified.

2.10.1 Conventional Breeching and Stacks

2.10.2 Direct Vents

Use direct venting for condensing type boilers. Size and locate the air intake and exhaust vents as indicated on the drawings and as recommended by the boiler manufacturer. Provide a separate combustion air intake vent and exhaust vent for each boiler.

2.10.2.1 Combustion Air Intake Vent

Construct the combustion air intake piping of Schedule 40 PVC in accordance with ASTM D1784. Provide vent that is suitable for the temperature at the boiler combustion air intake connection point. Provide each intake complete with bird screen.

2.10.2.2 Exhaust Vent

Construct the exhaust vent piping of Schedule 40 CPVC or stainless steel conforming to UL 1738 and the boiler manufacturer's recommendations. Plastic materials polyetherimide (PEI) and polyethersulfone (PES) are forbidden to be used for vent piping of combustion gases. Provide exhaust vent that is suitable for the maximum anticipated boiler exhaust temperature and withstands the corrosive effects of the condensate. Provide a 0.3125 inch diameter hole in the stack no greater than 6 inches from the boiler flue outlet for sampling of the exit gases. Provide a method to seal the hole to prevent exhaust gases from entering the boiler room when samples are not being taken. Provide each exhaust stack

complete with bird screen.

2.10.3 Expansion Tank

Provide hot water pressurization system including a diaphragm-type expansion tank which will accommodate the expanded water of the system generated within the normal operating temperature range, limiting the pressure increase at all components in the system to the maximum allowable pressure at those components. The only air in the system will be the permanent sealed-in air cushion contained in the diaphragm-type tank. Provide sizes as indicated. Provide welded steel expansion tank, constructed, tested, and stamped in accordance with ASME BPVC SEC VIII D1 for a working pressure of 125 psi and precharged to the minimum operating pressure. Fit the tank's air chamber with an air charging valve and pressure gauge. Support the tank by steel legs or bases for vertical installation or steel saddles for horizontal installations. Provide lifting rings and a drain connection for the tank. All components must be suitable for a maximum operating temperature of 250 degrees F.

2.10.4 Air Separator

Provide steel external air separation tank, constructed, tested and stamped in accordance with ASME BPVC SEC VIII D1 for a working pressure of 125 psi. The capacity of the air separation tank indicated is minimum.

2.10.5 Filters

Provide filters conforming to ASHRAE 52.2.

2.10.6 Foundation (Setting) Materials

2.10.6.1 Firebrick

Provide ASTM C27 class firebrick as recommended by boiler manufacturer.

2.10.6.2 Tile

Provide ASTM C34, Grade LBX tile.

2.10.6.3 Insulating Brick

Provide insulating brick complying with ASTM C155.

2.10.6.4 Refractory Mortar

Provide refractory mortar complying with ASTM F1097.

2.10.6.5 Castable Refractories

Castable refractories must be ASTM C401. The minimum modulus of rupture for transverse strength must be no less than 600 psi after being heat soaked for 5 hours or more at a temperature in excess of 2500 degrees F.

2.10.7 Steel Sheets

2.10.7.1 Galvanized Steel

Galvanized steel must be ASTM A653/A653M.

2.10.7.2 Uncoated Steel

Use uncoated steel which is composition, condition, and finish best suited to the intended use.

2.10.8 Gaskets

Provide gaskets consisting of nonasbestos material in accordance with ASME B16.20, full face or self-centering type. Provide gaskets spiral wound type gaskets with graphite filler material.

2.10.9 Steel Pipe and Fittings

2.10.9.1 Steel Pipe

Steel pipe must be ASTM A53/A53M, Type E or S, Grade A or B, black steel, standard weight.

2.10.9.2 Steel Pipe Fittings

Affix the manufacturer's trademark to fittings in accordance with MSS SP-25 to permanently identify the manufacturer.

2.10.9.3 Steel Flanges

Provide flanged fittings including items such as flanges, bolts, nuts, bolt patterns, in accordance with ASME B16.5 class 150 and have the manufacturer's trademark affixed in accordance with MSS SP-25. Provide flange material conforming to ASTM A105/A105M. Use serrated or raised-face type flanges for high temperature water systems. Provide blind flange material conforming to ASTM A516/A516M cold service and ASTM A515/A515M for hot service. Provide high strength or intermediate strength bolts with material conforming to ASTM A193/A193M. Submit written certification by the bolt manufacturer that the bolts furnished comply with the requirements of this specification. Include illustrations of product markings, the date of manufacture, and the number of each type of bolt to be furnished based on this certification.

2.10.9.4 Welded Fittings

Provide welded fittings conforming to ASTM A234/A234M with WPA marking. Provide butt-welded fittings conforming to ASME B16.9, and socket-welded fittings conforming to ASME B16.11.

2.10.9.5 Cast-Iron Fittings

Provide ASME B16.4, Class 125, type fittings required to match connecting piping.

2.10.9.6 Malleable-Iron Fittings

Provide ASME B16.3, type fittings as required to match connecting piping.

2.10.9.7 Unions

Provide ASME B16.39, Class 150 unions.

2.10.9.8 Threads

Provide pipe threads conforming to ASME B1.20.1.

2.10.9.9 Grooved Mechanical fittings

Design joints and fittings for no less than 125 psig service, and ensure joints and fittings are the products of the same manufacturer. Provide ductile iron fitting and coupling houses conforming to ASTM A536. Provide molded synthetic rubber gaskets with central cavity, pressure responsive configuration and conforming to ASTM D2000 for circulating medium up to 230 degrees F. Provide grooved joints conforming to AWWA C606. Provide steel coupling nuts and bolts conforming to ASTM A183.

2.10.10 Copper Tubing and Fittings

2.10.10.1 Copper Tubing

Provide ASTM B88, Type K or L tubing. Use brass or bronze adapters for copper tubing for brazed fittings.

2.10.10.2 Solder-Joint Pressure Fittings

Provide wrought copper and bronze solder-joint pressure fittings conforming to ASME B16.22 and ASTM B75/B75M. Provide cast copper alloy solder-joint pressure fittings conforming to ASME B16.18 and ASTM B828.

2.10.10.3 Flared Fittings

Provide cast copper alloy fittings for flared copper tube conforming to ASME B16.26 and ASTM B62.

2.10.10.4 Adapters

Adapters may be used for connecting tubing to flanges and to threaded ends of valves and equipment. Extracted brazed tee joints produced with an acceptable tool and installed as recommended by the manufacturer may be used.

2.10.10.5 Threaded Fittings

Provide cast bronze threaded fittings conforming to ASME B16.15.

2.10.10.6 Brazing Material

Use brazing material conforming to AWS A5.8/A5.8M.

2.10.10.7 Brazing Flux

Provide paste or liquid form flux appropriate for use with brazing material. Flux must be as follows: lead-free; have a 100 percent flushable residue; contain slightly acidic reagents; contain potassium borides, and contain fluorides. Use silver brazing materials in accordance with AWS A5.8/A5.8M.

2.10.10.8 Solder Material

Provide solder metal conforming to ASTM B32 95-5 tin-antimony.

2.10.10.9 Solder Flux

Flux must be either liquid or paste form, non-corrosive and conform to ASTM B813.

2.10.10.10 Grooved Mechanical Fittings

Design joints and fittings for no less than 125 psig service, and ensure joints and fittings are the products of the same manufacturer. Provide ductile iron fitting and coupling houses conforming to ASTM A536. Provide molded synthetic rubber gaskets with central cavity, pressure responsible configuration and conforming to ASTM D2000, for circulating medium up to 230 degrees F. Provide grooved joints conforming to AWWA C606. Provide steel coupling nuts and bolts conforming to ASTM A183.

2.10.11 Dielectric Waterways and Flanges

Provide dielectric waterways that have temperature and pressure rating equal to or greater than that specified for the connecting piping. Provide waterways with metal connections on both ends suited to match connecting piping. Include dielectric unions to prevent current flow between dissimilar metals. Use dielectric flanges meeting the performance requirements described herein for dielectric waterways.

2.10.12 Flexible Pipe Connectors

Design flexible pipe connectors for 125 psi or 150 psi service. Install connectors where indicated. Construct the flexible section of rubber, tetrafluoroethylene resin, or corrosion-resisting steel, bronze, monel, or galvanized steel. Use materials and configuration suitable for the pressure, vacuum, and temperature medium. The flexible section must be suitable for service intended and may have threaded, welded, soldered, flanged, or socket ends. Equip flanged assemblies with limit bolts to restrict maximum travel to the manufacturer's standard limits. Unless otherwise indicated, the length of the flexible connectors must be as recommended by the manufacturer for the service intended. Provide internal sleeves or liners, compatible with circulating medium, when recommended by the manufacturer. Provide covers to protect the bellows where indicated.

2.10.13 Pipe Supports

Provide pipe supports conforming to MSS SP-58.

2.10.14 Pipe Expansion

2.10.15 Valves

Provide Class 125 valves that are suitable for the application. Grooved ends in accordance with AWWA C606 may be used for water service only. Provide valves in nonboiler external piping meeting the material, fabrication and operating requirements of ASME B31.1. The connection type of all valves must match the same type of connection required for the piping on which installed.

2.10.15.1 Gate Valves

Provide gate valves 2-1/2 inches and smaller conforming to MSS SP-80 bronze rising stem, threaded, solder, or flanged ends. Provide gate valves 3 inches and larger conforming to MSS SP-70 cast iron bronze trim,

outside screw and yoke, flanged, or threaded ends.

2.10.15.2 Globe Valves

Provide globe valves 2-1/2 inches and smaller conforming to MSS SP-80, bronze, threaded, soldered, or flanged ends. Provide globe valves 3 inches and larger conforming to MSS SP-85, cast iron, bronze trim, flanged, or threaded ends.

2.10.15.3 Check Valves

Provide check valves 2-1/2 inches and smaller conforming to MSS SP-80, bronze, threaded, soldered, or flanged ends. Provide check valves 3 inches and larger conforming to MSS SP-71, cast iron, bronze trim, flanged, or threaded ends.

2.10.15.4 Angle Valves

Provide angle valves 2-1/2 inches and smaller conforming to MSS SP-80 bronze, threaded, soldered, or flanged ends. Provide angle valves 3 inches and larger conforming to MSS SP-85, cast iron, bronze trim, flanged, or threaded ends.

2.10.15.5 Ball Valves

Provide ball valves 1/2 inch and larger conforming to MSS SP-72 or MSS SP-110, ductile iron or bronze, threaded, soldered, or flanged ends.

2.10.15.6 Plug Valves

Provide plug valves 2 inch and larger conforming to MSS SP-78. Provide plug valves smaller than 2 inch conforming to ASME B16.34.

2.10.15.7 Grooved End Valves

Valves with grooved ends in accordance with AWWA C606 may be used if the valve manufacturer certifies that their performance meets the requirements of the standards indicated for each type of valve.

2.10.15.8 Balancing Valves

Provide balancing valves that have meter connections with positive shutoff valves. Provide an integral pointer to register the degree of valve opening. Calibrate valves so that flow rate can be determined when valve opening in degrees and pressure differential across valve is known. Construct each balancing valve with internal seals to prevent leakage and supply with preformed insulation. Provide valves that are suitable for 250 degrees F temperature and working pressure of the pipe in which installed. Provide valve bodies with tapped openings and pipe extensions with shutoff valves outside of pipe insulation. Provide pipe extensions with quick connecting hose fittings for a portable meter to measure the pressure differential. Furnish one portable differential meter. Provide meter suitable for the operating pressure specified complete with hoses, vent, and shutoff valves, and carrying case. In lieu of the balancing valve with integral metering connections, a ball valve or plug valve with a separately installed orifice plate or venturi tube may be used for balancing.

2.10.15.9 Butterfly Valves

Provide 2-flange type or lug wafer type butterfly valves, and make bubbletight at 150 psig. Provide valve bodies consisting of cast iron, malleable iron, or steel. Provide ASTM A167, Type 404 or Type 316, corrosion resisting steel stems, bronze, or corrosion resisting steel discs, and synthetic rubber seats. Valves smaller than 8 inches must have throttling handles with a minimum of seven locking positions. Valves 8 inches and larger must have totally enclosed manual gear operators with adjustable balance return stops and position indicators. Provide valves in insulated lines with extended neck to accommodate insulation thickness.

2.10.15.10 Drain valves

Provide drain valves at each drain point of blowdown as recommended by the boiler manufacturer. Provide piping conforming to ASME BPVC SEC IV and ASTM A53/A53M.

2.10.15.11 Safety Valves

Provide safety valves with steel bodies and equip with corrosion-resistant trim and valve seats. The valves must be properly guided and positive closing so that no leakage can occur. Adjustment of the desired back-pressure must cover the range between 2 and 10 psig. Make the adjustment externally, and provide any shafts extending through the valve body with adjustable stuffing boxes having renewable packing. Install boiler safety valves of proper size and of the required number, in accordance with ASME BPVC SEC IV, so that the discharge will be through piping extended to a location as indicated. Provide each discharge pipe for steam service with a drip pan elbow to prevent accumulation of water on the valve. Provide a slip joint between drip pan elbow and riser. Pitch each discharge pipe for hot water service away from the valve seat.

2.10.16 Strainers

Provide basket and "Y" type strainers which are the same size as the pipelines in which they are installed. Provide strainer bodies that are heavy and durable, fabricated of cast iron, and drill and tap bottoms with a gate valve attached for blowdown purposes. Design strainers for psig service and degrees F. Clearly cast arrows on the sides of bodies indicating the direction of flow. Equip each strainer with an easily removable cover and sediment screen. Make the screen of 22 gauge thick brass sheet with small perforations numbering not less than 400/square inch to provide a net free area through the basket of at least 3.30 times that of the entering pipe. The flow must be into the screen and out through the perforations.

2.10.17 Pressure Gauges

Provide gauges conforming to ASME B40.100 with throttling type needle valve or a pulsation dampener and shutoff valve. Provide minimum dial size of 3-1/2 inches. Provide a pressure gauge for each boiler in a visible location on the boiler. Provide pressure gauges with readings in psi. Provide pressure gauges with an indicating pressure range that is related to the operating pressure of the fluid in accordance with the following table:

Operating Pressure (psi)	Pressure Range (psi)
76-150	0-200
16-75	0-100
2-15	0-30 (retard)

2.10.18 Thermometers

Provide thermometers with wells and separable corrosion-resistant steel sockets. Do not use mercury in thermometers. Provide thermometers for inlet water and outlet water for each hot water boiler in a visible location on the boiler. Provide thermometers with brass, malleable iron, or aluminum alloy case and frame, clear protective face, permanently stabilized glass tube with indicating-fluid column, white face, black numbers, and a minimum 9 inch scale. The operating range of the thermometers must be 32-212 degrees F. Provide thermometers with readings in degrees F.

2.10.19 Air Vents

2.10.19.1 Manual Air Vents

Manual air vents must be brass or bronze valves or cocks suitable for the pressure rating of the piping system and furnished with threaded plugs or caps.

2.10.19.2 Automatic Air Vents

Automatic air vents must be 3/4 inch quick-venting float and vacuum air valves. Provide each air vent valve with a large port permitting the expulsion of the air without developing excessive back pressure, a noncollapsible metal float which will close the valve and prevent the loss of water from the system, an air seal that will effectively close and prevent the re-entry of air into the system when subatmospheric pressures prevail therein, and a thermostatic member that will close the port against the passage of steam from the system. Clearly stamp the name of the manufacturer on the outside of each valve. Ensure the air vent valve is suitable for the pressure rating of the piping system.

2.10.20 Steam Traps

2.11 ELECTRICAL EQUIPMENT

Provide electric motor-driven equipment complete with motors, motor starters, and necessary control devices. Provide electrical equipment, motor control devices, motor efficiencies and wiring as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Ensure motors which are not an integral part of a packaged boiler and which are integral in size are the premium efficiency type in accordance with NEMA MG 1. Ensure motors which are an integral part of the packaged boiler are the highest efficiency available by the manufacturer of the packaged boiler. Provide motor starters complete with properly sized thermal overload protections and other appurtenances necessary for the motor control specified. Furnish starters in general purpose enclosures. Provide manual or automatic control and protective or signal devices required for the

operation specified and any control wiring required for controls and devices that are not shown.

2.11.1 Motor Ratings

Motors must be suitable for the voltage and frequency provided. Motors 1/2 hp and larger must be three-phase, unless otherwise indicated. Provide motors of sufficient capacity to drive the equipment at the specified capacity without exceeding the nameplate rating on the motor.

2.11.2 Motor Controls

Provide motor controllers complete with properly sized thermal overload protection. Provide manual or automatic control and protective or signal devices required for the operation specified and any wiring required to such devices. Where two-speed or variable-speed motors are indicated, solid-state variable-speed controllers may be provided to accomplish the same function. Utilize solid state variable speed controllers for fractional through 10 hp ratings. Use adjustable frequency drives for larger motors.

2.12 INSULATION

Use shop and field-applied insulation as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.13 TOOLS

Furnish special tools. Include uncommon tools necessary for the operation and maintenance of boilers, burners, pumps, fans, controls, meters, special piping systems, and other equipment. Furnish small hand tools within a suitable cabinet, mounted where directed.

2.13.1 Breeching Cleaner

Provide a cleaner to clean the breeching. The cleaner must have a jointed handle of sufficient length to clean the breeching without dismantling.

2.13.2 Tube Cleaner

If a watertube boiler is being furnished, provide a water-driven tube cleaner with three rotary cutters and rotary wire brush complete with the necessary length of armored water hose, valves, and other appurtenances necessary for operation. Provide tube cleaner and rotary brush for each size of water tube in the boiler, with one extra set of cutters for each size cleaner. Provide necessary valves and fittings to permit ready connection of the cleaner hose to a high-pressure pump for cold water supply to operate the cleaner.

2.13.3 Tube Brush

If a firetube boiler is being furnished, provide a tube brush, with steel bristles and jointed handle of sufficient length to clean full length of firetubes.

2.13.4 Wrenches

Provide wrenches as required for specialty fittings such as manholes, handholes, and cleanouts. Provide one set of extra gaskets for all

manholes and handholes, for pump barrels, and other similar items of equipment. Package and properly identify gaskets.

2.14 BOILER WATER TREATMENT

Submit six complete copies of the proposed water treatment plan. Include a layout, control scheme, a list of the existing water conditions including the items listed in this paragraph, a list of all chemicals, the proportion of chemicals to be added, the final treated water conditions, and a description of environmental concerns for handling the chemicals. The water treatment system must be capable of feeding chemicals and bleeding the system to prevent corrosion and scale within the boiler and piping distribution system. Submit 6 complete copies of operating and maintenance manuals for the step-by-step water treatment procedures, including procedures for testing the water quality. Treat the water to maintain the conditions recommended by the boiler manufacturer. Provide chemicals meeting required federal, state, and local environmental regulations for the treatment of boilers and discharge to the sanitary sewer. Use the services of a company regularly engaged in the treatment of boilers to determine the correct chemicals and concentrations required for water treatment. The company must maintain the chemical treatment and provide all chemicals required for a period of 1 year from the date of occupancy. Do not use filming amines and proprietary chemicals. The water treatment chemicals must remain stable throughout the operating temperature range of the system and must be compatible with pump seals and other elements of the system.

2.14.1 MakeUp Water Analysis

The makeup water conditions reported as prescribed in ASTM D596 are as follows:

Date of Sample	
Temperature	degrees F
Silica (SiO ₂)	ppm (mg/1)
Insoluble	ppm (mg/1)
Iron and Aluminum Oxides	ppm (mg/1)
Calcium (Ca)	ppm (mg/1)
Magnesium (Mg)	ppm (mg/1)
Sodium and Potassium (Na and K)	ppm (mg/1)
Carbonate (HCO ₃)	ppm (mg/1)
Sulfate (SO ₄)	ppm (mg/1)
Chloride (Cl)	ppm (mg/1)

Nitrate (NO3)	ppm (mg/1)
Turbidity	ntu
pH	
Residual Chlorine	ppm (mg/1)
Total Alkalinity	epm (meq/1)
Noncarbonate Hardness	epm (meq/1)
Total Hardness	epm (meq/1)
Dissolved Solids	ppm (mg/1)
Fluorine	ppm (mg/1)
Conductivity	micro-mho/cm

2.14.2 Boiler Water Limits

Consult the boiler manufacturer for the determination of the boiler water chemical composition limits. The boiler water limits are as follows unless dictated differently by the boiler manufacturer's recommendations:

Causticity	20-200 ppm
Total Alkalinity (CAC03)	900-1200 ppm
Phosphate	30-60 ppm
Tanin	Medium
Dissolved Solids	3000-5000 ppm
Suspended Solids	300 ppm Max
Sodium Sulfite	20-40 ppm Max
Silica	Less than 150 ppm
Dissolved Oxygen	Less than 7 ppm
Iron	10 ppm
pH (Condensate)	7 - 8
Sodium Sulfite	20-40 ppm

Hardness	Less than 2 ppm
pH	9.3 - 9.9

2.14.3 Chemical Feed Pumps

Provide one pump for each chemical feed tank. Provide positive displacement diaphragm type chemical feed pumps. The capacity of the pumps must be adjustable from 0 to 100 percent while in operation. The discharge pressure of the pumps must not be less than 1.5 times the pressure at the point of connection. Provide pumps with a pressure relief valve and a check valve mounted in the pump discharge.

2.14.4 Tanks

Construct tanks of high density polyethylene with a hinged cover. Provide tanks with sufficient capacity to require recharging only once per 7 days during normal operation. Include a level indicating device with each tank. Provide an electric agitator for each tank.

2.14.5 Water Treatment Control Panel

Provide a NEMA 12, single door, wall-mounted box conforming with NEMA 250 control panel. Construct the panel of steel with a hinged door and lock. The panel must contain, as a minimum, the following functions identified with a laminated plastic nameplate:

- a. Main power switch and indicating light
- b. MAN-OFF-AUTO selector switch
- c. Indicating lamp for blow down
- d. Indicating lamp for each chemical feed pump
- e. Indicating lamp for the water softener

2.14.6 Sequence of Operation

Base the flow rate of chemical addition upon metering the makeup water. Provide the boiler with continuous blowdown. Determine the required rate of chemical feed and boiler blowdown by the water treatment company.

2.14.7 Chemical Shot Feeder

Provide a shot feeder as indicated. Base size and capacity of feeder upon local requirements and water analysis. Furnish the feeder with an air vent, gauge glass, funnel, valves, fittings, and piping.

2.14.8 Chemical Piping

Construct piping and fittings of schedule 80 PVC or steel, as indicated.

2.14.9 Test Kits

Provide one test kit of each type required to determine the water quality as outlined within the operation and maintenance manuals.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with details of the work, verify dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work or ordering any materials.

3.2 ERECTION OF BOILER AND AUXILIARY EQUIPMENT

Install boiler and auxiliary equipment in accordance with manufacturer's written instructions. Make proper provision for expansion and contraction between boiler foundation and floor. Pack this joint with suitable nonasbestos rope and fill with suitable compound that will not become soft at a temperature of 100 degrees F. Support boilers and firing equipment from the foundations by structural steel completely independent of all brickwork. Boiler supports must permit free expansion and contraction of each portion of the boiler without placing undue stress on any part of the boiler or setting. Boiler breeching must be as indicated with full provision for expansion and contraction between all interconnected components.

3.3 PIPING INSTALLATION

Unless otherwise specified, provide nonboiler external pipe and fittings conforming to the requirements of ASME B31.1. Cut pipe accurately to suit field conditions, install without springing or forcing, and properly clearing windows, doors, and other openings. Cutting or other weakening of the building structure to facilitate piping installation will not be permitted. Provide pipes that are free of burrs, oil, grease and other foreign material and install to permit free expansion and contraction without damaging the building structure, pipe, pipe joints, or pipe supports. Make changes in direction with fittings, except that bending of pipe 4 inches and smaller will be permitted provided a pipe bender is used and wide sweep bends are formed. The centerline radius of bends must not be less than 6 diameters of the pipe. Bent pipe showing kinks, wrinkles, flattening, or other malformations will not be accepted. Carry vent pipes through the roof as directed and flash properly. Unless otherwise indicated, pitch horizontal supply mains down in the direction of flow with a grade of no less than 1 inch in 40 feet. Properly cap or plug open ends of pipelines and equipment during installation to keep dirt or other foreign materials out of the systems. Pipe not otherwise specified must be uncoated. Unless otherwise specified or shown, make final connections to equipment with malleable-iron unions for steel pipe 2-1/2 inches or less in diameter and with flanges for pipe 3 inches or more in diameter. Provide brass or bronze unions for copper pipe or tubing. Use reducing fittings for changes in pipe sizes. In horizontal hot water lines, use eccentric type reducing fittings to maintain the top of the lines at the same level to prevent air binding.

3.3.1 Hot Water Piping and Fittings

Pipe must be black steel or copper tubing. Fittings for steel piping must be black malleable iron or cast iron to suit piping. Fittings adjacent to valves must suit valve material. Grooved mechanical fittings will not be allowed for water temperatures above 230 degrees F.

3.3.2 Vent Piping and Fittings

Provide black steel vent piping. Fittings must be black malleable iron or cast iron to suit piping.

3.3.3 Gauge Piping

Piping must be copper tubing.

3.3.4 Joints

Provide threaded, grooved, flanged or welded joints between sections of steel pipe and between steel pipe and fittings as indicated or specified. Except as otherwise specified, fittings 1 inch and smaller must be threaded; fittings 1-1/4 inches and up to but not including 3 inches must be either threaded, grooved, or welded; and fittings 3 inches and larger must be either flanged, grooved, or welded. Weld pipe and fittings 1-1/4 inches and larger installed in inaccessible conduit or trenches beneath concrete floor slabs. Make connections to equipment with black malleable-iron unions for pipe 2-1/2 inches or smaller in diameter and with flanges for pipe 3 inches or larger in diameter. Provide flared, soldered, or brazed joints between sections of copper tubing or pipe.

3.3.4.1 Threaded Joints

Make threaded joints with tapered threads properly cut and make perfectly tight with a stiff mixture of graphite and oil or with polytetrafluoroethylene tape applied to the male threads only and in no case to the fittings.

3.3.4.2 Welded Joints

Weld joints in accordance with paragraph GENERAL REQUIREMENTS unless otherwise specified. Make changes in direction of piping with welding fittings only; mitering or notching pipe to form elbows and tees or other similar type construction will not be permitted. Branch connections may be made with either welding tees or forged branch outlet fittings, either being acceptable without size limitation. Branch outlet fittings, where used, must be forged, flared for improved flow characteristics where attached to the run, reinforced against external strains, and designed to withstand full pipe bursting strength. Assemble socket weld joints so that the space between the end of the pipe and the bottom of the socket is no less than 1/16 inch and no more than 1/8 inch.

3.3.4.3 Grooved Mechanical Joints

Grooved mechanical joints may be provided for hot water systems in lieu of unions, welded, flanged, or screwed piping connections in low temperature hot water systems where the temperature of the circulating medium does not exceed 230 degrees F. Prepare grooves according to the coupling manufacturer's instructions. Use pipe and groove dimensions in compliance with the tolerances specified by the coupling manufacturer. Measure the diameter of grooves made in the field using a "go/no-go" gauge, vernier or dial caliper, narrow-land micrometer or other method specifically approved by the coupling manufacturer for the intended application. Measure and record groove width and dimension of groove from end of pipe for each change in grooving tool setup to verify compliance with coupling manufacturer's tolerances. Do not use grooved joints in concealed locations. Mechanical joints must use rigid mechanical pipe couplings,

except at equipment connections. At equipment connections, flexible couplings may be used. Provide bolted type coupling for use with grooved end pipes, fittings, valves, and strainers. Provide self-centering couplings that engage in a watertight couple.

3.3.4.4 Flared and Brazed Copper Pipe and Tubing

Cut tubing square, and remove burrs. Clean both inside of fittings and outside of tubing thoroughly with sand cloth or steel wire brush before brazing. Annealing of fittings and hard-drawn tubing must not occur when making connections. Install in accordance with the manufacturer's recommendations. Mitering of joints for elbows and notching of straight runs of pipe for tees will not be permitted. Make brazed joints in conformance with AWS B2.2/B2.2M and CDA A4015 with flux. Copper-to-copper joints must include the use of copper-phosphorous or copper-phosphorous-silver brazing metal without flux. Brazing of dissimilar metals (copper to bronze or brass) must include the use of flux with either a copper-phosphorous, copper-phosphorous-silver or a silver brazing filler metal. Joints for flared fittings must be of the compression pattern. Provide swing joints or offsets in all branch connections, mains, and risers to provide for expansion and contraction forces without undue stress to the fittings or to short lengths of pipe or tubing. Provide flared or brazed copper tubing to pipe adapters where necessary for joining threaded pipe to copper tubing.

3.3.4.5 Soldered Joints

Make soldered joints with flux and are only acceptable for lines 2 inches and smaller. Provide soldered joints conforming to ASME B31.5 and CDA A4015.

3.3.4.6 Copper Tube Extracted Joint

An extruded mechanical tee joint may be made in copper tube. Produce joint with an appropriate tool by drilling a pilot hole and drawing out the tube surface to form a collar having a minimum height of three times the thickness of the tube wall. To prevent the branch tube from being inserted beyond the depth of the extracted joint, provide dimpled depth stops. Notch the branch tube for proper penetration into fitting to assure a free flow joint. Braze extracted joints using a copper phosphorous classification brazing filler metal. Soldered joints will not be permitted.

3.3.5 Flanges and Unions

Provide faced true flanges with 1/16 inch thick gaskets, and make square and tight. Where steel flanges mate with cast-iron flanged fittings, valves, or equipment, provide flanges with flat faces and full face gaskets. Provide union or flange joints in each line immediately preceding the connection to each piece of equipment or material requiring maintenance such as coils, pumps, control valves, and other similar items. Provide dielectric pipe unions between ferrous and nonferrous piping to prevent galvanic corrosion. Use dielectric unions with metal connections on both ends. The ends must be threaded, flanged, or brazed to match adjacent piping. Separate the metal parts of the union so that the electrical current is below 1 percent of the galvanic current which would exist upon metal-to-metal contact. Install gaskets, flanges, and unions in accordance with manufacturer's recommendations.

3.3.6 Branch Connections

3.3.6.1 Branch Connections for Hot Water Systems

Pitch branches from the main up or down as shown to prevent air entrapment. Connections must ensure unrestricted circulation, eliminate air pockets, and permit complete drainage of the system. Pitch branches with a grade of no less than 1 inch in 10 feet. When indicated, install special flow fittings on the mains to bypass portions of the water through each radiator. Use special flow fittings that are standard catalog products and install as recommended by the manufacturer.

3.3.7 Flared, Brazed, and Soldered Copper Pipe and Tubing

Provide flared, brazed, or soldered copper tubing. Cut tubing square, and remove burrs. Clean both inside of fittings and outside of tubing thoroughly with sand cloth or steel wire brush before brazing. Annealing of fittings and hard-drawn tubing must not occur when making connections. Install in accordance with the manufacturer's recommendations. Mitering of joints for elbows and notching of straight runs of pipe for tees will not be permitted. Joints for flared fittings must be of the compression pattern. Provide swing joints or offsets on branch connections, mains, and risers to provide for expansion and contraction forces without undue stress to the fittings or to short lengths of pipe or tubing. Provide pipe adapters where necessary for joining threaded pipe to copper tubing. Make brazed joints in conformance with CDA A4015. Copper-to-copper joints must include the use of copper-phosphorous or copper-phosphorous-silver brazing metal without flux. Brazing of dissimilar metals (copper to bronze or brass) must include the use of flux with either a copper-phosphorous, copper-phosphorous-silver, or a silver brazing filler metal. Make soldered joints with flux and are only acceptable for lines 2 inches or smaller. Provide soldered joints conforming to ASME B31.5 and in accordance with CDA A4015.

3.3.8 Copper Tube Extracted Joint

An extracted mechanical tee joint may be made in copper tube. Produce joint with an appropriate tool by drilling a pilot hole and drawing out the tube surface to form a collar having a minimum height of three times the thickness of the tube wall. To prevent the branch tube from being inserted beyond the depth of the extracted joint, provide dimpled depth stops. Notch the branch tube for proper penetration into fitting to assure a free flow joint. Braze extracted joints using a copper phosphorous classification brazing filler metal. Soldered joints will not be permitted.

3.3.9 Supports

Fabricate hangers used to support piping 2 inches and larger to permit adequate adjustment after erection while still supporting the load. Install pipe guides and anchors to keep pipes in accurate alignment, to direct the expansion movement, and to prevent buckling, swaying, and undue strain. Support piping subjected to vertical movement when operating temperatures exceed ambient temperatures by variable spring hangers and supports or by constant support hangers. Do not use formed or bent threaded rods for support. Do not attach supports to the underside of concrete filled floors or concrete roof decks unless approved by the Contracting Officer.

3.3.9.1 Pipe Hangers, Inserts, and Supports

Provide pipe hangers, inserts, and supports conforming to MSS SP-58, except as modified herein.

3.3.9.1.1 Horizontal Pipe Supports

Space horizontal pipe supports as specified in MSS SP-58 and do not install a support over 1 foot from the pipe fitting joint at each change in direction of the piping. Do not space pipe supports over 5 feet apart at valves.

3.3.9.1.2 Vertical Pipe Support

Support vertical pipe at each floor, except at slab-on-grade, and at intervals of no more than 15 feet, no more than 8 feet from end of risers, and at vent terminations.

3.3.9.1.3 Type 35 Guides

Provide Type 35 guides using steel, reinforced polytetrafluoroethylene (PTFE) or graphite slides where required to allow longitudinal pipe movement. Provide lateral restraints as required. Provide slide materials suitable for the system operating temperatures, atmospheric conditions, and bearing loads encountered.

- a. Where steel slides do not require provisions for restraint of lateral movement, an alternate guide method may be used. On piping 4 inches and larger, a Type 39 saddle may be welded to the pipe and freely rested on a steel plate. On piping under 4 inches, a Type 40 protection shield may be attached to the pipe or insulation and freely rested on a steel slide plate.
- b. Where there are high system temperatures and welding to piping is not desirable, include a pipe cradle welded to the guide structure and strapped securely to the pipe. Separate the pipe from the slide material by at least 4 inches or by an amount adequate for the insulation, whichever is greater.

3.3.9.1.4 Horizontal Insulated Pipe

Except for Type 3, pipe hangers on horizontal insulated pipe must be the size of the outside diameter of the insulation.

3.3.9.1.5 Piping in Trenches

Support piping in trenches as indicated.

3.3.9.1.6 Structural Steel Attachments

Provide structural steel attachments and brackets required to support piping, headers, and equipment, but not shown, under this section. Provide material and installation as specified under Section 05 12 00 STRUCTURAL STEEL. Do not exceed 50 pounds for pipe hanger loads suspended from steel joist between panel points. Suspend loads exceeding 50 pounds from panel points.

3.3.9.2 Multiple Pipe Runs

In the support of multiple pipe runs on a common base member, use a clip or clamp where each pipe crosses the base support member. Spacing of the base support member must not exceed the hanger and support spacing required for any individual pipe in the multiple pipe run. Attach the clips or clamps rigidly to the common base member. Provide a clearance of 1/8 inch between the pipe insulation and the clip or clamp for piping which may be subjected to thermal expansion.

3.3.10 Anchors

Provide anchors where necessary to localize expansion or to prevent undue strain on piping. Provide anchors consisting of heavy steel collars with lugs and bolts for clamping and attaching anchor braces, unless otherwise indicated. Install anchor braces in the most effective manner to secure the desired results, using turnbuckles where required. Do not attach supports, anchors, or stays where they will injure the structure or adjacent construction during installation or by the weight of expansion of the pipeline.

3.3.11 Valves

Install valves where indicated, specified, and required for functioning and servicing of the systems. Ensure valves are safely accessible. Install swing check valves upright in horizontal lines and in vertical lines only when flow is in the upward direction. Install gate and globe valves with stems horizontal or above. Disassemble valves to be brazed prior to brazing and remove all packing. After brazing, allow the valves to cool before reassembling.

3.3.12 Pipe Sleeves

Provide pipe passing through concrete or masonry walls or concrete floors or roofs with pipe sleeves fitted into place at the time of construction. Install a waterproofing clamping flange as indicated where membranes are involved. Do not install sleeves in structural members except where indicated or approved. Provide rectangular and square openings as detailed. Extend each sleeve through its respective wall, floor, or roof. Cut sleeves through walls flush with wall surface. Sleeves through floors must be cut flush with floor surface or extend above top surface of floor a sufficient distance to allow proper flashing or finishing if needed. Extend sleeves through roofs above the top surface of roof at least 6 inches for proper flashing or finishing. Unless otherwise indicated, size sleeves to provide a minimum clearance of 1/4 inch between bare pipe and sleeves or between jacket over insulation and sleeves. Use galvanized steel pipe or cast-iron pipe sleeves in waterproofing membrane floors, bearing walls, and wet areas. Sleeves in nonbearing walls, floors, or ceilings may be galvanized steel pipe, cast-iron pipe, or galvanized sheet metal with lock-type longitudinal seam. Except in pipe chases or interior walls, seal the annular space between pipe and sleeve or between jacket over insulation and sleeve in nonfire rated walls as indicated and specified in Section 07 92 00 JOINT SEALANTS. Provide metal jackets over insulation passing through exterior walls, firewalls, fire partitions, floors, or roofs.

- a. Metal jackets must not be thinner than 0.006 inch thick aluminum, if corrugated, and 0.016 inch thick aluminum, if smooth.

- b. Secure metal jackets with aluminum or stainless steel bands not less than 3/8 inch wide and not more than 8 inches apart. When penetrating roofs and before fitting the metal jacket into place, run a 1/2 inch wide strip of sealant vertically along the inside of the longitudinal joint of the metal jacket from a point below the backup material to a minimum height of 36 inches above the roof. If the pipe turns from vertical to horizontal, run the sealant strip to a point just beyond the first elbow. When penetrating waterproofing membrane for floors, extend the metal jacket from a point below the back-up material to a minimum distance of 2 inches above the flashing. For other areas, extend the metal jacket from a point below the backup material to a point 12 inches above material to a minimum distance of 2 inches above the flashing. For other areas, extend the metal jacket from a point below the backup material to a point 12 inches above the floor; when passing through walls above grade, extend the jacket at least 4 inches beyond each side of the wall.

3.3.12.1 Pipes Passing Through Waterproofing Membranes

In addition to the pipe sleeves referred to above, provide pipes passing through waterproofing membranes with a 4 pound lead flashing or a 16 ounce copper flashing, each within an integral skirt or flange. Suitably form flashing, and extend the skirt or flange no less than 8 inches from the pipe and set over the membrane in a troweled coating of bituminous cement. Extend the flashing above the roof or floor a minimum of 10 inches. Seal the annular space between the flashing and the bare pipe or between the flashing and the metal-jacket-covered insulation as indicated. Pipes up to and including 10 inches in diameter which pass through waterproofing membrane may be installed through a cast-iron sleeve with caulking recess, anchor lugs, flashing clamp device, and pressure ring with brass bolts. Clamp waterproofing membrane into place and place sealant in the caulking recess.

3.3.12.2 Optional Modular Mechanical Sealing Assembly

At the option of the Contractor, a modular mechanical type sealing assembly may be installed in the annular space between the sleeve and conduit or pipe in lieu of a waterproofing clamping flange and caulking and sealing specified above. Provide seals with interlocking synthetic rubber links shaped to continuously fill the annular space between the pipe/conduit and sleeve with corrosion-protected carbon steel bolts, nuts, and pressure plates. Assemble the links loosely with bolts to form a continuous rubber belt around the pipe with a pressure plate under each bolt head and each nut. After the seal assembly is properly positioned in the sleeve, tighten the bolt to cause the rubber sealing elements to expand and provide a watertight seal between the pipe/conduit and the sleeve. Size each seal assembly as recommended by the manufacturer to fit the pipe/conduit and sleeve involved.

3.3.12.3 Optional Counterflashing

As alternates to caulking and sealing the annular space between the pipe and flashing or metal-jacket-covered insulation and flashing, counterflashing may consist of standard roof coupling for threaded pipe up to 6 inches in diameter, lead flashing sleeve for dry vents with the sleeve turned down into the pipe to form a waterproof joint, or a tack-welded or banded-metal rain shield around the pipe, sealed as indicated.

3.3.12.4 Fire Seal

Where pipes pass through firewalls, fire partitions, or floors, provide a fire seal as specified in Section 07 84 00 FIRESTOPPING.

3.3.13 Balancing Valves

Install balancing valves as indicated.

3.3.14 Thermometer Wells

Provide a thermometer well in each return line for each circuit in multicircuit systems.

3.3.15 Air Vents

Install air vents in piping at all system high points. Keep the vent open until water rises in the tank or pipe to a predetermined level at which time it must close tight. Run an overflow pipe from the vent to a point designated by the Contracting Officer's representative. Provide inlet to the air vent with a gate valve or ball valve.

3.3.16 Escutcheons

Provide escutcheons at all finished surfaces where exposed piping, bare or insulated, passes through floors, walls, or ceilings except in boiler, utility, or equipment rooms. Fasten chromium-plated iron or chromium-plated brass, either one-piece or split pattern, escutcheons securely to pipe or pipe covering and hold in place by internal spring tension or setscrews.

3.3.17 Drains

Install a drain connection with a 1 inch gate valve or 3/4 inch hose bib at the lowest point in the return main near the boiler. In addition, install threaded drain connections with threaded cap or plug on the heat exchanger coil on each unit heater or unit ventilator and wherever required for thorough draining of the system.

3.3.18 Strainer Blow-Down Piping

Fit strainer blow-down connections with a black steel blow-down pipeline routed to an accessible location and provided with a blow-down valve.

3.3.19 Direct Venting for Combustion Intake Air and Exhaust Air

Install intake air and exhaust vents in accordance with NFPA 54 and boiler manufacturer's recommendations. Slope exhaust vent 1/4 inch/ft toward the boiler's flue gas condensate collection point.

3.4 GAS FUEL SYSTEM

Provide gas piping, fittings, valves, regulators, tests, cleaning, and adjustments in accordance with the Section 23 11 20 FACILITY GAS PIPING. Submit proposed test schedules for the heating system and fuel system tests, at least 2 weeks prior to the start of related testing. Comply with NFPA 54 unless otherwise specified. Provide burners, pilots, and all accessories that are listed in UL FLAMMABLE & COMBUSTIBLE. Provide fuel system with a gas tight, manually operated, UL listed stop valve at the

gas-supply connections, a gas strainer, a pressure regulator, pressure gauges, a burner-control valve, a safety shutoff valve suitable for size of burner and sequence of operation, and other components required for safe, efficient, and reliable operation as specified. Provide approved permanent and ready facilities to permit periodic valve leakage tests on the safety shutoff valve or valves.

3.5 COLOR CODE MARKING AND FIELD PAINTING

Color code marking of piping must be as specified in Section 09 90 00 PAINTS AND COATINGS. Clean, prepare, and paint ferrous metal not specified to be coated at the factory as specified in Section 09 90 00 PAINTS AND COATINGS. Paint exposed pipe covering as specified in Section 09 90 00 PAINTS AND COATINGS. Do not paint aluminum sheath over insulation.

3.6 MANUFACTURER'S SERVICES

Provide the services of a manufacturer's representative who is experienced in the installation, adjustment, and operation of the equipment specified to supervise the installing, adjusting, and testing of the equipment.

3.7 TEST OF BACKFLOW PREVENTION ASSEMBLIES

Test backflow prevention assemblies in accordance with Section 22 00 00 PLUMBING, GENERAL PURPOSE.

3.8 HEATING SYSTEM TESTS

Submit the Qualifications of the firms in charge of installation and testing as specified. Submit a statement from the firms proposed to prepare submittals and perform installation and testing, demonstrating successful completion of similar services of at least five projects of similar size or scope, at least 2 weeks prior to the submittal of any other item required by this section. Before any covering is installed on pipe or heating equipment, hydrostatically test and prove tight the entire heating system's piping, fittings, and terminal heating units at a pressure of 1.5 times the design working pressure, but no less than 100 psi. Submit proposed test procedures for the heating system tests and fuel system tests, at least 2 weeks prior to the start of related testing.

- a. Before pressurizing system for test, blank off items or equipment (e.g., vessels, pumps, instruments, controls, relief valves) rated for pressures below the test pressure or replace with spool pieces.
- b. Before balancing and final operating test, remove test blanks and spool pieces; and reconnect protected instruments and equipment. With equipment items protected, pressurize the system to test pressure. Hold pressure for a period of time sufficient to inspect all welds, joints, and connections for leaks, but no less than 2 hours. No loss of pressure will be allowed. Repair leaks and retest repaired joint.
- c. Repair joints are not allowed under the floor for floor radiant heating systems. If a leak occurs in tubing located under the floor in radiant heating systems, replace the entire zone that is leaking. If any repair is made above the floor for floor radiant heating systems, provide access for the installed joint. Caulking of joints is not permitted.

- d. Drain system and after instruments and equipment are reconnected, refill the system with service medium and maximum operating pressure applied. Hold the pressure while inspecting these joints and connections for leaks. Repair the leaks and retest the repaired joints.

Upon completion of hydrostatic tests and before acceptance of the installation, submit test reports for the heating system tests. Upon completion of testing complete with results, balance the heating system in accordance with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS and operating tests required to demonstrate satisfactory functional and operational efficiency. Cover a period of at least 24 hours for each system, and include, as a minimum, the following specific information in a report, together with conclusions as to the adequacy of the system:

- a. Certification of balancing.
- b. Time, date, and duration of test.
- c. Outside and inside dry bulb temperatures.
- d. Temperature of hot water supply leaving boiler.
- e. Temperature of heating return water from system at boiler inlet.
- f. Quantity of water feed to boiler.
- g. Boiler make, type, serial number, design pressure, and rated capacity.
- h. Fuel burner make, model, and rated capacity; ammeter and voltmeter readings for burner motor.
- i. Flue-gas temperature at boiler outlet.
- j. Percent carbon dioxide in flue-gas.
- k. Grade or type and calorific value of fuel.
- l. Draft at boiler flue-gas exit.
- m. Draft or pressure in furnace.
- n. Quantity of water circulated.
- o. Quantity of fuel consumed.
- p. Stack emission pollutants concentration.

Read indicating instruments at half-hour intervals unless otherwise directed. Furnish all instruments, equipment, and personnel required for the tests and balancing. Obtain necessary natural gas, water and electricity as specified in the SPECIAL CONTRACT REQUIREMENTS Section 01 50 00 TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS. Demonstrate that fuel burners and combustion and safety controls meet the requirements of ASME CSD-1 ANSI Z21.13/CSA 4.9 NFPA 85

3.8.1 Water Treatment Testing

Analyze the boiler water prior to the acceptance of the facility by the water treatment company. Submit a water quality test report identifying the chemical composition of the boiler water. Include a comparison of the condition of the boiler water with the manufacturer's recommended conditions. Document any required corrective action within the report. Identify the condition of the boiler at the completion of 1 year of service. Include a comparison of the condition of the boiler with the manufacturer's recommended operating conditions. Include the following information recorded in accordance with ASTM D596 in the analysis.

Date of Sample	
Temperature	degrees F
Silica (SiO ₂)	ppm (mg/1)
Insoluble	ppm (mg/1)
Iron and Aluminum Oxides	ppm (mg/1)
Calcium (Ca)	ppm (mg/1)
Magnesium (Mg)	ppm (mg/1)
Sodium and Potassium (Na and K)	ppm (mg/1)
Carbonate (HCO ₃)	ppm (mg/1)
Sulfate (SO ₄)	ppm (mg/1)
Chloride (Cl)	ppm (mg/1)
Nitrate (NO ₃)	ppm (mg/1)
Turbidity	ntu
pH	
Residual Chlorine	ppm (mg/1)
Total Alkalinity	epm (meq/1)
Noncarbonate Hardness	epm (meq/1)
Total Hardness	epm (meq/1)
Dissolved Solids	ppm (mg/1)
Fluorine	ppm (mg/1)
Conductivity	micro-mho/cm

If the boiler water is not in conformance with the boiler manufacturer's recommendations, the water treatment company must take corrective action.

3.8.2 Boiler/Piping Test

At the conclusion of the 1 year period, inspect the boiler and condensate piping for problems due to corrosion and scale. If the boiler is found not to conform to the manufacturer's recommendations, and the water treatment company recommendations have been followed, the water treatment company must provide all chemicals and labor for cleaning or repairing the equipment as required by the manufacturer's recommendations. If corrosion is found within the condensate piping, make proper repairs.

3.9 CLEANING

3.9.1 Boilers and Piping

After the hydrostatic tests have been made and before the system is balanced and operating tests are performed, thoroughly clean the boilers and piping by filling the system with a solution consisting of either 1 pound of caustic soda or 1 pound of trisodium phosphate per 50 gallons of water. Observe proper safety precautions in the handling and use of these chemicals. Heat the water to approximately 150 degrees F and circulate the solution in the system for a period of 48 hours. Then drain the system and thoroughly flush out with fresh water. Thoroughly clean strainers and valves. Prior to operating tests, remove air from all water systems by operating the air vents.

3.9.2 Heating Units

Thoroughly clean inside space heating equipment, ducts, plenums, and casing of debris and blow free of small particles of rubbish and dust and then vacuum clean before installing outlet faces. Wipe equipment clean, with all traces of oil, dust, dirt, or paint spots removed. Provide temporary filters for fans that are operated during construction, and provide new filters after construction dirt has been removed from the building, and the ducts, plenum, casings, and other items specified have been vacuum cleaned. Perform and document that proper "Indoor Air Quality During Construction" procedures have been followed; provide documentation showing that after construction ends, and prior to occupancy, new filters were provided and installed. Maintain system in this clean condition until final acceptance. Lubricate bearings properly with oil or grease as recommended by the manufacturer. Tighten belts to proper tension. Adjust control valves and other miscellaneous equipment requiring adjustment to setting indicated or directed. Adjust fans to the speed indicated by the manufacturer to meet specified conditions.

3.10 FIELD TRAINING

Conduct a training course for the operating staff as designated by the Contracting Officer. The training period consists of a total of hours of normal working time and start after the system is functionally completed but prior to final acceptance tests.

- a. Cover all of the items contained in the approved operation and maintenance manuals, as well as demonstrations of routine maintenance operations and boiler safety devices, in the field instructions.
- b. Submit system layout diagrams that show the layout of equipment,

pipng, and ductwork and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the system for normal, safe operation, and procedures for safely starting and stopping the system, framed under glass or laminated plastic, at least 2 weeks prior to the start of related testing. After approval, post these items where directed.

- c. Submit six complete operation and maintenance instructions listing step-by-step procedures required for system startup, operation, shutdown, and routine maintenance, at least 2 weeks prior to field training. Include the manufacturer's name, model number, parts list, simplified wiring and control diagrams, troubleshooting guide, and recommended service organization (including address and telephone number) for each item of equipment. Each service organization must be capable of providing 4 hour onsite response to a service call on an emergency basis.
- d. Notify the Contracting Officer at least 14 days prior to date of proposed conduction of the training course.

3.11 FUEL SYSTEM TESTS

Submit test reports for the fuel system tests, upon completion of testing complete with results.

3.11.1 Fuel Oil System Test

Test fuel oil system in accordance with Section 33 56 10
FACTORY-FABRICATED FUEL STORAGE TANKS.

3.11.2 Gas System Test

Test gas fuel system accordance with the test procedures outlined in
NFPA 54.

-- End of Section --

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SECTION 23 54 19

BUILDING HEATING SYSTEMS, WARM AIR

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

AMCA 210	(2016) Laboratory Methods of Testing Fans for Aerodynamic Performance Rating
AMCA 300	(2014) Reverberant Room Method for Sound Testing of Fans
AMCA 301	(2014) Methods for Calculating Fan Sound Ratings from Laboratory Test Data

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.47/CSA 2.3	(2021) Gas-Fired Central Furnaces
ANSI Z21.66/CGA 6.14	(2023) Automatic Vent Damper Devices for Use with Gas-Fired Appliances

CSA GROUP (CSA)

CSA Directory	(updated continuously online) Product Index

INTERNATIONAL CODE COUNCIL (ICC)

ICC IBC	(2024) International Building Code
ICC IMC	(2024) International Mechanical Code
ICC IPC	(2024) International Plumbing Code

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA DC 3	(2013) Residential Controls - Electrical Wall-Mounted Room Thermostats

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 31	(2024; TIA 23-1) Standard for the Installation of Oil-Burning Equipment
NFPA 54	(2024) National Fuel Gas Code
NFPA 58	(2024; TIA 23-1) Liquefied Petroleum Gas Code

- NFPA 90A (2024) Standard for the Installation of Air Conditioning and Ventilating Systems
- NFPA 90B (2024) Standard for the Installation of Warm Air Heating and Air Conditioning Systems
- NFPA 211 (2019) Standard for Chimneys, Fireplaces, Vents, and Solid Fuel-Burning Appliances

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

- SMACNA 1780 (2002) HVAC Systems - Testing, Adjusting and Balancing, 3rd Edition

U.S. DEPARTMENT OF ENERGY (DOE)

- Energy Star (1992; R 2006) Energy Star Energy Efficiency Labeling System (FEMP)

UNDERWRITERS LABORATORIES (UL)

- UL 441 (2016; Reprint Apr 2024) UL Standard for Safety Gas Vents
- UL 900 (2015; Reprint Aug 2022) UL Standard for Safety Standard for Air Filter Units

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit data packages in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Contractor Qualifications; G

SD-02 Shop Drawings

Equipment Layouts

SD-03 Product Data

Self-Contained Furnaces; G

Energy Star Label for Residential Gas Fired Furnace Product; S

Vent Connections; G

Controls; G

Dampers; G

Air Filters; G

Heating and Ventilating Units; G

System Diagrams; G

SD-06 Test Reports

Field Acceptance Test Plans and Test Reports; G

Field Acceptance Testing; G

Test Reports; G

SD-08 Manufacturer's Instructions

Vent Connections - Installation Instructions; G

Controls - Installation Instructions; G

Dampers - Installation Instructions; G

Air Filters - Installation Instructions; G

SD-10 Operation and Maintenance Data

Vent Connections, Data Package 3; G

Controls, Data Package 3; G

Dampers, Data Package 3; G

SD-11 Closeout Submittals

Field Training

Indoor Air Quality During Construction; S

1.3 QUALITY CONTROL

1.3.1 Installing Contractor Qualifications

Submit contractor qualifications demonstrating successful completion of similar services by the mechanical contractor on at least five projects of similar award amount and scope with equipment submittal.

1.3.2 Service Contractor Qualifications

The submitted equipment must be supported by manufacturer-approved service organizations. Provide service organization names and locations along with the Operation and Maintenance submittal. The service organizations must have an office within 50 miles of the site with factory certified technicians, spare parts inventory and all necessary test and diagnostic equipment for the installed system. The service organization must be able to render service to the equipment on both a regular and emergency basis during the warranty period of the contract as determined by the Contracting Officer.

Spare parts data for each different item of material and equipment specified, after approval of detail drawings and not later than 2 months prior to the date of beneficial occupancy. The data must include a

complete list of parts and supplies, with current unit prices and source of supply, a recommended spare parts list for 12 months operation, and a list of the parts recommended by the manufacturer to be replaced after 13 year of service.

1.3.3 Modification to Reference

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.3.3.1 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" is interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For government owned property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

1.3.3.2 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.3.4 Equipment Layouts

Submit Equipment Layouts showing equipment assembly and installation details with electrical, ductwork layout, supports, utility connections, and details. Include any information required to demonstrate that the system has been coordinated and functions properly as designed.

1.3.5 System Diagrams

Proposed system diagrams, must be submitted, approved and posted prior to start of related testing. System diagrams that show the layout of equipment and ductwork, and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system must be framed under glass or laminated plastic. After approval, these items must be posted where directed.

1.4 DELIVERY STORAGE AND HANDLING

Handle, store, and protect equipment and materials to prevent damage before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Replace damaged or defective items.

1.5 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors. Access door must be adequately sized for removal and replacement. Installation must provide both manufacturer and code required clearances.

PART 2 PRODUCTS

Provide warm air heating system, including equipment, equipment, materials, installation, workmanship, fabrication, assembly, erection, inspection, examination, and testing in accordance with the applicable requirements contained in ICC IBC, ICC IMC, ICC IPC, NFPA 90A or NFPA 90B, and NFPA 31NFPA 54 NFPA 58 as modified and supplemented by this specification section and accompanying drawings.

2.1 MATERIALS AND EQUIPMENT

2.1.1 Standard Products

Provide materials and equipment which are the standard product of a manufacturer regularly engaged in the manufacture of the products and that essentially duplicate equipment that has been in satisfactory use at least 1 year prior to bid opening.

2.1.2 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Standard products and materials must have a local supplier within 50 miles of the site. Standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2 year period.

2.1.3 Alternative Qualifications

Products having less than a two-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturer's factory or laboratory tests, can be shown.

2.1.4 Nameplates

Secure a plate to each major component of equipment containing the manufacturer's name, address, type or style, model or serial number, and catalog number. As applicable, affix an Energy Star label to the product.

2.2 ELECTRICAL WORK

Provide motors, controllers, integral disconnects, contactors, and controls with their respective pieces of equipment, except controllers indicated as part of motor control centers. Provide electrical equipment, including motors and wiring, as specified in Section 26 20 00 INTERIOR

DISTRIBUTION SYSTEM. Provide manual or automatic control and protective or signal devices required for the operation specified and control wiring required for controls and devices specified, but not shown, must be provided. For packaged equipment, the manufacturer must provide controllers including the required monitors and timed restart.

2.3 AIR SYSTEMS EQUIPMENT

2.3.1 Ductwork and Accessories

Ductwork and accessories must be as specified in Section 23 30 00 HVAC AIR DISTRIBUTION.

2.3.2 Fans

Test and rate fans according to AMCA 210. Calculate system effect on air moving devices in accordance with AMCA 201 where installed ductwork differs from that indicated on drawings. Install air moving devices to minimize fan system effect. Where system effect is unavoidable, determine the most effective way to accommodate the inefficiencies caused by system effect on the installed air moving device. The sound power level of the fans must not exceed 85 dBA when tested according to AMCA 300 and rated in accordance with AMCA 301. Provide all fans with an AMCA seal. Connect fans to the motors either directly or indirectly. Indirectly connected motors must use V-belt drives designed for not less than 140 percent of the connected driving capacity. Provide variable pitch motor sheaves for 15 hp and below, and fixed pitch as defined by AHRI Guideline D (A fixed-pitch sheave is provided on both the fan shaft and the motor shaft. This is a non-adjustable speed drive.). Select variable pitch sheaves to drive the fan at a speed which can produce the specified capacity when set at the approximate midpoint of the sheave adjustment. When fixed pitch sheaves are furnished, provide a replaceable sheave when needed to achieve system air balance. Provide motors for V-belt drives with adjustable rails or bases. Provide removable metal guards for all exposed V-belt drives, and provide speed-test openings at the center of all rotating shafts. Provide fans with personnel screens or guards on both suction and supply ends, except that the screens need not be provided, unless otherwise indicated, where ducts are connected to the fan. Provide fan and motor assemblies with vibration-isolation supports or mountings as indicated. Use vibration-isolation units that are standard products with published loading ratings. Select each fan to produce the capacity required at the fan static pressure indicated. Provide sound power level as indicated. Obtain the sound power level values according to AMCA 300. Provide standard AMCA arrangement, rotation, and discharge as indicated.

2.3.3 Air Filters

Air Filters must be listed in accordance with requirements of UL 900.

2.3.4 Replaceable Media Filters

The air flow capacity of the filter must be based on net filter face velocity not exceeding 300 feet per minute, with initial resistance of 0.13 inches water gauge.

2.4 GAS-FIRED COMPONENTS

2.4.1 Gas-Burning Components

Gas-burning equipment must include the gas burners, ignition equipment,

gas-control valve, gas piping, gas-pressure regulating valve, when applicable, and accessories necessary for a fully automatic system that is listed in CSA Directory. Gas-fired units equipped with programming controls must be furnished both with high and with low gas supply pressure switches in the fuel supply piping. Provide Energy Star labeled equipment for high efficiency furnaces installed in residential applications (input less than 225 MBtuh). Provide proof of Energy Star label for residential gas fired furnace product.

2.4.2 Gas Burners

The gas burners must include ignition equipment, gas-control valve, gas piping, gas-pressure regulating valve, gas shut-off cocks, combustion air blower, when applicable, and accessories necessary for a fully automatic system that conforms to ANSI Z21.47/CSA 2.3 and NFPA 54.

Do not provide manually ignited type burners. Burners must always return to low fire for ignition. Provide control system for modulated operation. Provide interrupted type ignition systems for burners with input capacities over 400,000 Btu's per hour.

2.4.3 Ignition System

Ignition systems must be of the direct spark hot surface or interrupted intermittent type with automatic electric ignition. The pilots must be of the electrically-ignited proven type. Continuous pilots will not be permitted. Burner must be designed in accordance with NFPA 54 and located so that parts are protected against overheating. Provisions must be made in the burner housing for inspection of the pilot flame.

2.4.4 Fuel-Gas Supply System

Fuel-gas supply system must be as specified in Section 23 11 20 FACILITY GAS PIPING.

2.5 VENT CONNECTIONS

Flue vent connections must be furnished as indicated. Provide a draft regulator of the barometric-type for oil-fired draft control. Flue vent connections, including pipe and fittings, must conform to NFPA 211 and must be galvanized sheet steel having a nominal thickness not less than that required by NFPA 211. The weight of zinc-coating must not be less than 1.25 ounces per square foot commercial. If the standard flue connection on the furnace is other than the size specified for the furnace pipe, provide a suitable adapter. Provide suitable cleanouts to permit cleaning of the entire flue connection without dismantling. Provide a resilient mount induced draft fan with an integral sail switch to sense flow, in the exhaust system.

A 0.3125 inch diameter hole must be provided in the vent stack not greater than 6 inches from the furnace flue outlet for sampling of the exit gases. A method must be provided to seal the hole to prevent exhaust gases from entering the indoor space when samples are not being taken. Each exhaust stack must be provided complete with bird screen and rain hood.

2.5.1 Gas-Fired Units

Vent piping must be in accordance with UL 441, Type B or Type BW, as

indicated. Vent must conform to NFPA 211 and NFPA 54. Plastic materials polyetherimide (PEI) and polyethersulfone (PES) are unacceptable for vent piping of combustion gases.

2.5.2 Automatic Vent Dampers

Automatic vent dampers must be provided in the vents of all gas burning equipment that uses indoor air for combustion. Vent dampers must conform to ANSI Z21.66/CGA 6.14.

2.5.3 Condensate Neutralization Kit

Factory-supplied condensate trap with condensate trip sensor, high capacity condensate receiver prefilled with appropriate medium.

2.6 CONTROLS

2.6.1 Thermostat

Provide wall mounted, low voltage type conforming to NEMA DC 3 with an operating range from 55 to 90 degrees F. Housing must have , exposed adjustment covers with visible thermometers. Provide clear, lockable with key thermostat cover. The mounting plate or base must be made of thermal insulating material or must support the thermal element not less than 1/4 inch from the wall. The control unit of the thermostat must consist of a temperature sensing element, control switch, and anticipating heater. The control switch must be a hermetically-sealed switch. Thermostat must have provisions for calibrating the unit to the accuracy specified in NEMA DC 3. The design must preclude calibration adjustment with ordinary tools, such as screwdriver or pliers. Unless otherwise specified, a system selector switch having "heat" and "off" positions, and a fan selector switch having "auto" and "on" positions must be provided integral to or mounted on a sub-base of the thermostat. Mercury must not be allowed in switches and thermometers.

2.6.2 Carbon Monoxide Detection

Provide Carbon Monoxide Detector(s) and monitoring system for all installations.

Carbon monoxide detection systems must conform to Sections 28 31 60 INTERIOR FIRE ALARM SYSTEM, NON-ADDRESSABLE, 28 31 66 INTERIOR FIRE ALARM AND MASS NOTIFICATION SYSTEM, NON-ADDRESSABLE, 28 31 70 INTERIOR FIRE ALARM SYSTEM, ADDRESSABLE, and 28 31 76 INTERIOR FIRE ALARM AND MASS NOTIFICATION SYSTEM, ADDRESSABLE.

2.6.3 OPTIONAL CONTROLS

On units with input capacities over 400,000 Btu/hr, electronic controls may be provided for regulation of temperature and operation of power operators.

2.7 FACTORY PAINTING

Equipment painting must be factory or shop applied, and must be as specified herein, and provided under each individual section.

2.7.1 Factory Painting of Indoor Equipment

Indoor Equipment must be coated with a manufacturer's factory-applied finish that meets the following requirements:

- a. The finish system designed for the equipment must have been tested in accordance with Federal Test Method Standard No. 141 (Method 6061) and passed the 125-hour salt-spray fog test of that standard. The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the successful test specimens.
- b. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to working temperatures above 120 degrees F, the factory painting system must be designed for service at the finished surface's working temperature and must meet the test requirements specified above for Federal Test Method Standard No. 141 when the finished surface temperature is at the service working temperature.

2.7.2 Factory Painting of Outdoor Equipment

Outdoor equipment must be coated with a manufacturer's factory-applied finish that meets the following requirements:

- a. The finish system designed for the equipment must have been tested in accordance with Federal Test Method Standard No. 141 (Method 6061) and passed the 500-hour salt-spray fog test of that standard. The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the successful test specimens.
- b. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to working temperatures above 120 degrees F, the factory painting system must be designed for service at the finished surface's working temperature and must meet the test requirements specified above for Federal Test Method Standard No. 141 when the finished surface temperature is at the service working temperature.

2.7.3 Shop Applied Painting of Equipment

Shop applied painting must meet same requirements as factory painting. Field retouch only if approved by the Contracting Officer. Otherwise, return equipment to the factory for refinishing.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing the work.

3.2 INSTALLATION

The warm air heating system installation must be in accordance with the manufacturer's written instructions and be in compliance with the requirements contained in ICC IBC, ICC IMC, ICC IPC, NFPA 90A or NFPA 90B,

and NFPA 31 NFPA 54 NFPA 58.

Combustion air supply and ventilation must be in accordance with NFPA 31 NFPA 54 NFPA 58. Systems and equipment include:

- a. Vent connections - Installation Instructions
- b. Controls - Installation Instructions
- c. Dampers - Installation Instructions
- d. Air filters - Installation Instructions

3.2.1 Anti-Terrorism

Provide outdoor air intakes, relief air, and exhaust openings with low leakage dampers that are automatically closed when the emergency air distribution shutoff switch is activated. Exterior wall penetrations for outdoor air intakes must be a minimum of 10 feet above grade. Emergency air distribution shutoff switches must clearly labeled and located in an accessible location. Switch must be mushroom type pushbutton with plastic clear cover. If shutting down an exhaust system will violate building or fire codes or create an unsafe condition, then the exhaust system may continue to operate.

Mount all overhead utilities and other fixtures weighing 31 pounds or more (excluding distributed systems such as piping networks that collectively exceed that weight) using either rigid or flexible systems to minimize the likelihood that they will fall and injure building occupants. Design all equipment mountings to resist forces of 0.5 times the equipment weight in any horizontal direction and 1.5 times the equipment weight in the downward direction. Requirement must does not preclude the need to design equipment mountings for forces required by other criteria such as seismic standards.

3.2.2 Automatic Vent Dampers

Automatic vent dampers must be installed in accordance with ANSI Z21.66/CGA 6.14.

3.2.3 Access Panels

Access panels must be provided for concealed valves, vents, controls, dampers, and items requiring inspection or maintenance. Access panels must be of sufficient size and so located that the concealed items may be serviced and maintained or completely removed for replacement. Access panels must be as specified in the manufacturer's provided documentation.

3.2.4 Flexible Connectors

Pre-insulated flexible connectors and flexible duct must be attached to other components in accordance with the latest printed instructions of the manufacturer to ensure a vapor tight joint. Hangers, when required to suspend the connectors, must be of the type recommended by the connector or duct manufacturer and must be provided at the intervals recommended.

3.2.5 Sleeved and Framed Openings

Space between the sleeved or framed opening and the duct or the duct

insulation must be packed as specified in Section 07 84 00 FIRESTOPPING for fire rated penetrations. For non-fire rated penetrations, the space must be packed as specified in Section 07 92 00 JOINT SEALANTS.

3.2.6 Ductwork

Ductwork and accessories must be in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

3.2.7 Air Filters

Air filters must be installed in heater casings or in return air grilles, as indicated. Fans or blowers must not be operated until filters are installed. After completion of tests and before the building is accepted by the Government, the Contractor must provide a new second set of replaceable filters, where utilized. Perform and document that proper Indoor Air Quality During Construction procedures have been followed; this includes providing documentation showing that after construction ends, and prior to occupancy, new filters were provided and installed.

3.2.8 Dust Control

To prevent the accumulation of dust, debris and foreign material during construction, temporary dust control protection must be provided. The distribution system (supply and return) must be protected with temporary seal-offs at all inlets and outlets at the end of each day's work. Temporary protection must remain in place until system is ready for startup.

3.2.9 Insulation

Thickness and application of insulation materials for ductwork and equipment must be in accordance with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

3.2.10 Duct Test Holes

Holes with closures or threaded holes with plugs must be provided in ducts and plenums as indicated or where necessary for the use of pitot tube in balancing the air system. Extensions, complete with cap or plug, must be provided where the ducts are insulated.

3.2.11 Condensate Collection and Disposal

For high efficient, condensing type units provide condensate collection system consisting of p-traps, acid neutralizers, and corrosion resistant piping. Provide drains for heating unit and exhaust vents. All condensate must be captured and appropriately treated prior to entering sanitary system or discharging to outdoors. Install system in accordance manufacturer's installation instructions, ICC IMC and ICC IPC requirements. Provide piping as specified in Section 22 00 00 PLUMBING, GENERAL PURPOSE. Provide dedicated collection system per heating unit.

3.2.12 Fuel-Gas Supply System

Fuel-gas supply system must be installed in accordance with Section 23 11 20 FACILITY GAS PIPING.

3.3 FIELD PAINTING

Finish painting of items only primed at the factory or surfaces not specifically noted otherwise, are specified in paragraph SYSTEM DESCRIPTION.

3.4 CLEANING

Ducts, plenums, and casings must be thoroughly cleaned of all debris and blown free of all small particles of rubbish and dust and then must be vacuum cleaned before installing outlet faces. Equipment must be wiped clean, with all traces of oil, dust, dirt, or paint spots removed. Temporary filters must be provided prior to startup of all fans that are operated during construction, and new filters must be installed after all construction dirt has been removed from the building, the ducts, plenums, casings, and other items specified have been vacuum cleaned, and after completion of all tests. System must be maintained in this clean condition until final acceptance. Bearings must be properly lubricated with oil or grease as recommended by the manufacturer. Belts must be tightened to proper tension. All equipment requiring adjustment must be adjusted to setting indicated or directed. Fans must be adjusted to the speed indicated by the manufacturer to meet specified conditions.

3.5 FIELD QUALITY CONTROL

Inspect equipment when it is delivered to the job site. The right is reserved to inspect any equipment at the plant of the manufacturer, during or after manufacture. Inspect and repair all refractory after installation and prior to startup. Continually inspect equipment during installation, after installation, and during the tests. Upon completion and prior to acceptance, perform tests and furnish all necessary equipment and materials required for the tests as specified herein to demonstrate that warm air heating system is in compliance with contract requirements. Make all tests under the direction of the Contracting Officer. Read all indicating instruments no less frequently than at half-hour intervals.

3.6 TESTS

Upon completion and prior to acceptance of the installation, furnish all equipment, instruments, materials, labor, and supervision required for the tests as specified. Submit proposed test procedures for ductwork leak and performance tests, at least 2 weeks prior to the start of related testing.

- a. Obtain necessary natural gas, water and electricity as specified in Section 01 50 00 TEMPORARY CONSTRUCTION FACILITIES AND CONTROLS. Provide necessary quantities of propane gas or No. fuel oil when propane gas or fuel oil is require for testing.
- b. Defects disclosed by the tests must be rectified. Tests must be made under the direction and subject to the approval of the Contracting Officer. All indicating instruments must be read at 1/2-hour intervals unless otherwise directed by the Contracting Officer. Submit proposed System Diagrams, at least 2 weeks prior to start of related testing.
- c. System diagrams that show the layout of equipment and ductwork, and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the system for normal safe operation, and procedures for safely starting and stopping the system must be

framed under glass or laminated plastic. After approval, these items must be posted where directed.

- d. Submit test reports for the ductwork leak test and the performance tests in booklet form, upon completion of testing. Reports must document phases of tests performed including initial test summary, repairs/adjustments made, and final test results.

3.7 TESTING, ADJUSTING, AND BALANCING

Testing, adjusting, and balancing requirements are specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING FOR HVAC. Testing, adjusting, and balancing must begin only when the air supply and distribution, including controls, has been completed, with the exception of performance tests.

Perform in accordance with SMACNA 1780, Chapter VII, "Air System TAB Procedures," to achieve and confirm compliance with drawings and specifications; prepare complete report of final test results.

3.7.1 Firing Tests

- a. Test combustion controls and equipment with each specified fuel at 100 percent rated load. Demonstrate satisfactory smoke-count numbers and combustion efficiency. Maintain firing for at least 4 hours, and where high-low-off combustion controls are provided, operate the furnace for one hour at low fire and 3 hours at high fire. During tests, verify proper operation of controls. Adjust burners for maximum efficiency using Orsat or similar apparatus.
- b. Record temperature rises across heat exchangers.
- c. Minimum requirements for satisfactory combustion efficiency must be 10.0 percent carbon dioxide for oil burners and 8.5 percent carbon dioxide for gas burners. Minimum temperatures of flue gas at the stack must be 100 degrees F above the flue-gas dew points. The observed smoke at all firing rates during the prescribed tests must not exceed that indicated by a number 2 spot for the burners firing a distillate fuel or gas and a number 4 spot for burners firing a residual type fuel on the Shell-Bacharach scale.

3.7.2 Operating Test

Perform the following operating tests to demonstrate satisfactory furnace and humidifier operation. Check burner safety controls by simulating flame failure in accordance with the manufacturer's instructions. Operate furnace for a period sufficient to make the following observations and record the following data but in no case less than one hour. These tests may be run concurrent with fire tests specified below to the extent practical. Demonstrate satisfactory operation of all heat-regulating controls and safety controls. Observe the humidifier for satisfactory operation and check humidifier drain to insure proper drainage. Record humidity of air entering and leaving the humidifier during steady state furnace operation. Record temperature rise across the heat exchanger under all firing rates after equilibrium conditions have been reached at each firing rate. Record ammeter and voltmeter readings for the furnace motor, circulating blower motor, induced draft fan motor, and humidifier motor.

3.7.3 Performance Tests

After testing, adjusting, and balancing has been completed as specified, each system must be tested as a whole to see all items perform as integral parts of the system and temperatures and conditions are evenly controlled throughout the building. Corrections and adjustments must be conducted by an experienced engineer. Tests must cover a period of not less than days for each system and must demonstrate that the entire system is functioning according to the specifications. Coincidental chart recordings must be made at points indicated on the drawings for the duration of the time period and must record the temperature at space thermostats or space sensors, the humidity at the humidistat(s) location(s), and the outdoor air temperature and humidity in an immediately adjacent shaded and weather protected outdoor area.

3.8 FIELD ACCEPTANCE PROCEDURES

3.8.1 Field Acceptance Test Plans and Test Reports

- a. Manufacturer's Test Plans: Within 120 calendar days after contract award, submit the Field Acceptance Test Plan. Field acceptance test plans must be developed by the furnace manufacturer detailing recommended field test procedures for that particular type and size of equipment. Field acceptance test plans developed by the installing Contractor, or the equipment sales agency furnishing the equipment, will not be acceptable.

The Contracting Officer will review and approve the field acceptance test plan for each of the furnaces prior to commencement of field testing of the furnaces. The approved field acceptance test plans must be the plan and procedures followed for the field acceptance tests of the furnaces and resultant test reporting.

- b. Coordinated testing: Indicate in each field acceptance test plan when work required by this section requires coordination with test work required by other specification sections. Furnish test procedures for the simultaneous or integrated testing of furnace controls which interlock and interface with controls factory prewired or external controls for the equipment provided under Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC.
- c. Prerequisite testing: Equipment for which performance testing is dependent upon the completion of the work covered by Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC SMACNA 1780 must have that work completed as a prerequisite to testing work under this section. Indicate in each field acceptance test plan when such prerequisite work is required.
- d. Test procedure: Indicate in each field acceptance test plan each equipment manufacturer's published installation, start-up, and field acceptance test procedures. Include in each test plan a detailed step-by-step procedure for testing automatic controls provided by the manufacturer. Each test plan must include the required test reporting forms to be completed by the Contractor's testing representatives. Procedures must be structured to test the controls through all modes of control to confirm that the controls are performing with the intended sequence of control.

Controllers must be verified to be properly calibrated and have the

proper set point to provide stable control of their respective equipment.

- e. Performance variables: Each test plan must list performance variables that are required to be measured or tested as part of the field test.

Include in the listed variables performance requirements indicated on the equipment schedules on the design drawings. Manufacturer must furnish with each test procedure a description of acceptable results that have been verified.

- f. Job specific: Each test plan must be job specific and must address the particular item of equipment and particular conditions which exist with this contract. Generic or general preprinted test procedures are not acceptable.
- g. Specialized components: Each test plan must include procedures for field testing and field adjusting specialized components, such temperature control valves, or pressure control valves.

3.8.2 Field Acceptance Testing

- a. Equipment Requiring Test Reports: Each self-contained furnace must be field acceptance tested in compliance with its approved field acceptance test plan and the resulting field acceptance test report submitted for approval.
- b. Manufacturer's recommended testing: Conduct the manufacturer's recommend field testing in compliance with the approved test plan. Furnish a factory trained field representative authorized by and to represent the equipment manufacturer throughout the complete execution of the field acceptance testing.
- c. Operational test: Conduct a continuous 24 hour operational test for each item of equipment. Equipment shutdown before the test period is completed must result in the test period being started again and run for the required duration. For the duration of each test period, compile an operational log of each item of equipment. Log required entries every two hours. Use the test report forms for logging the operational variables. Submit test logs for each test period.
- d. Notice of tests: Conduct the manufacturer's recommended tests and the operational tests; record the required data using the approved reporting forms. Notify the Contracting Officer in writing at least 15 calendar days prior to the testing. Within 30 calendar days after acceptable completion of testing, submit each test report for review and approval.
- e. Report forms: Type data entries and writing on the test report forms. Completed test report forms for each item of equipment must be reviewed, approved, and signed by the Contractor's test director and the QC manager. The manufacturer's field test representative must review, approve, and sign the report of the manufacturer's recommended test. Signatures must be accompanied by the person's name typed.
- f. Deficiency resolution: The test requirements acceptably met; deficiencies identified during the tests must be corrected in compliance with the manufacturer's recommendations and corrections retested in order to verify compliance.

3.9 FIELD TRAINING

Conduct a training course for the members of the operating staff as designated by the Contracting Officer. Make the training period consist of a total of 6 hours of normal working time and start it after all work specified herein is functionally completed and the Performance Tests have been approved. Conduct field instruction that covers all of the items contained in the Operation and Maintenance Manuals, manufacturer's troubleshooting and repair manuals, as well as demonstrations of routine maintenance operations. Submit the proposed On-site Training schedule concurrently with the Operation and Maintenance Manuals, manufacturer's troubleshooting and repair manuals, and at least 14 days prior to conducting the training course.

3.10 INSTRUCTION TO GOVERNMENT PERSONNEL

When specified in other sections, furnish the services of competent instructors to give full instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work. Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

-- End of Section --

SECTION 23 74 33

DEDICATED OUTDOOR AIR SYSTEMS (DOAS) AND MAKE-UP AIR UNITS

PART 1 GENERAL

Submit equipment and performance data for each DOAS consisting of use life, total static pressure, all entering and leaving temperatures of each component, fan curves, provide psychrometric chart with processes shown for both summer and winter conditions, power ratings, capacity ranges, face area classifications, rotational velocities, controls instrument locations with recommended installation locations, and all information shown on the equipment schedule on the design drawings.

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

Design and construction must comply with current applicable Host Nation codes and standards. In case of conflicting U.S. and H.N. codes and standards, the most stringent must apply, unless otherwise specified by the applicable Bilateral U.S./H.N. Agreements.

AIR MOVEMENT AND CONTROL ASSOCIATION INTERNATIONAL, INC. (AMCA)

AMCA 99	(2016) Standards Handbook
AMCA 201	(2002; R 2011) Fans and Systems
AMCA 204	(2005; R 2012) Balance Quality and Vibration Levels for Fans
AMCA 210	(2016) Laboratory Methods of Testing Fans for Aerodynamic Performance Rating
AMCA 300	(2014) Reverberant Room Method for Sound Testing of Fans
AMCA 301	(2014) Methods for Calculating Fan Sound Ratings from Laboratory Test Data

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 410	(2001; Addendum 1 2002; Addendum 2 2005; Addendum 3 2011) Forced-Circulation Air-Cooling and Air-Heating Coils
AHRI 430	(2009) Central-Station Air-Handling Units
AHRI 540	(2015) Performance Rating Of Positive Displacement Refrigerant Compressors And Compressor Units
AHRI 921 SI	(2020) Performance Rating of Direct Expansion-Dedicated Outdoor Air System

Units

AHRI Guideline D	(1996) Application and Installation of Central Station Air-Handling Units
ANSI/AHRI 210/240	(2008; Add 1 2011; Add 2 2012) Performance Rating of Unitary Air-Conditioning & Air-Source Heat Pump Equipment
ANSI/AHRI 370	(2015; Addendum 1 2016) Sound Rating of Large Outdoor Refrigerating and Air-Conditioning Equipment
ANSI/AHRI 460	(2005) Performance Rating of Remote Mechanical-Draft Air-Cooled Refrigerant Condensers

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)

ABMA 9	(2015) Load Ratings and Fatigue Life for Ball Bearings
ABMA 11	(2014) Load Ratings and Fatigue Life for Roller Bearings

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ANSI/ASHRAE 15 & 34	(2022) ANSI/ASHRAE Standard 15-Safety Standard for Refrigeration Systems and ANSI/ASHRAE Standard 34-Designation and Safety Classification of Refrigerants
ASHRAE 52.2	(2017) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size
ASHRAE 62.1	(2022) Ventilation for Acceptable Indoor Air Quality

ASTM INTERNATIONAL (ASTM)

ASTM A48/A48M	(2003; R 2021) Standard Specification for Gray Iron Castings
ASTM A167	(2011) Standard Specification for Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip
ASTM A653/A653M	(2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B280	(2023) Standard Specification for Seamless

Copper Tube for Air Conditioning and
Refrigeration Field Service

ASTM C1071 (2019) Standard Specification for Fibrous
Glass Duct Lining Insulation (Thermal and
Sound Absorbing Material)

ASTM D4587 (2011; R 2019; E 2019) Standard Practice
for Fluorescent UV-Condensation Exposures
of Paint and Related Coatings

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA ICS 6 (1993; R 2016) Industrial Control and
Systems: Enclosures

NEMA MG 1 (2021) Motors and Generators

NEMA MG 10 (2017) Energy Management Guide for
Selection and Use of Fixed Frequency
Medium AC Squirrel-Cage Polyphase
Induction Motors

NEMA MG 11 (1977; R 2012) Energy Management Guide for
Selection and Use of Single Phase Motors

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54 (2024) National Fuel Gas Code

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National
Electrical Code

NFPA 90A (2024) Standard for the Installation of
Air Conditioning and Ventilating Systems

UNDERWRITERS LABORATORIES (UL)

UL 586 (2009; Reprint Sep 2022) UL Standard for
Safety High-Efficiency Particulate, Air
Filter Units

UL 705 (2017; Reprint Aug 2022) UL Standard for
Safety Power Ventilators

UL 900 (2015; Reprint Aug 2022) UL Standard for
Safety Standard for Air Filter Units

UL 1995 (2015; Reprint Aug 2022) UL Standard for
Safety Heating and Cooling Equipment

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S"
classification. Submittals not having a "G" or "S" classification are for
Contractor Quality Control approval. Submit the following in accordance
with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Controls;
Unit Cabinet;
Air-Cooled Condenser;
Installation Drawings;

SD-03 Product Data

Equipment and Performance Data;
Coils;
Fans;
Controls;
Unit Cabinet;
Air Filters; G
Air-Cooled Condenser;

SD-06 Test Reports

Refrigerant Tests, Charging, and Start-Up; G
System Performance Tests; G

SD-07 Certificates

List of Product Installations
Manufacturer's Warranty; G
Coil Coating Warranty; G

SD-08 Manufacturer's Instructions

Manufacturer's Installation Instructions
Operation and Maintenance Training

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals, Data Package 2

1.3 RELATED DOCUMENTS

Section 01 45 00 QUALITY CONTROL applies to work specified in this section.

Section 01 91 00.15 BUILDING COMMISSIONING applies to work specified in this section.

Section 23 05 93 TESTING, ADJUSTING AND BALANCING FOR HVAC applies to work specified in this section.

Section 23 09 00 INSTRUMENTATION AND CONTROL FOR HVAC applies to work specified in this section

Section 23 30 00 HVAC AIR DISTRIBUTION applies to work specified in this section.

Section 23 81 00 DECENTRALIZED UNITARY HVAC EQUIPMENT applies to work specified in this section.

1.4 QUALITY CONTROL

Submit a list of product installations of each DOAS showing a minimum of five installed units, similar to those proposed for use, that have been in successful service for a minimum period of 5 years. Provide a list that includes the purchaser, address of installation, service organization, and date of installation.

1.4.1 Material and Equipment Qualifications

Provide materials and equipment that are standard products of manufacturers regularly engaged in the manufacture of such products, which are of a similar material, design and workmanship. Standard products must have been in satisfactory commercial or industrial use for 5 years prior to bid opening. The 5-year use must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 5 year period.

1.4.2 Service Support

The equipment items must be supported by service organizations. Submit a certified list of a minimum of three qualified permanent service organizations for support of the equipment which includes their addresses and qualifications. These service organizations must be able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract within 24 hours.

1.4.3 Manufacturer's Nameplate

For each item of equipment, provide a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

1.4.4 Modification of References

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction", or words of similar meaning, to mean the Contracting Officer.

1.4.5 Definitions

For the International Code Council (ICC) Codes referenced in the contract documents, advisory provisions must be considered mandatory, the word "should" is interpreted as "must." Reference to the "code official" must be interpreted to mean the "Contracting Officer." For Navy owned

property, references to the "owner" must be interpreted to mean the "Contracting Officer." For leased facilities, references to the "owner" must be interpreted to mean the "lessor." References to the "permit holder" must be interpreted to mean the "Contractor."

1.4.6 Administrative Interpretations

For ICC Codes referenced in the contract documents, the provisions of Chapter 1, "Administrator," do not apply. These administrative requirements are covered by the applicable Federal Acquisition Regulations (FAR) included in this contract and by the authority granted to the Officer in Charge of Construction to administer the construction of this project. References in the ICC Codes to sections of Chapter 1, must be applied appropriately by the Contracting Officer as authorized by his administrative cognizance and the FAR.

1.5 DELIVERY, STORAGE AND HANDLING

Handle, store, and protect equipment and materials to prevent damage from the weather, humidity and temperature variations, dirt and dust, or other contaminants before and during installation in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer. Additionally, cap or plug all pipes until installed. Replace damaged or defective items.

1.6 ELECTRICAL WORK

- a. Provide motors, controllers, integral disconnects, contactors, and controls with their respective pieces of equipment, except controllers indicated as part of motor control centers. Provide electrical equipment, including motors and wiring, as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide manual or automatic control and protective or signal devices required for the operation specified and control wiring required for controls and devices specified, but not shown. For packaged equipment, include manufacturer provided controllers with the required monitors and timed restart.
- b. For single-phase motors, provide high-efficiency type, fractional-horsepower alternating-current motors, including motors that are part of a system, in accordance with NEMA MG 11. Provide premium efficiency type integral size motors in accordance with NEMA MG 1.
- c. For polyphase motors, provide squirrel-cage medium induction motors, including motors that are part of a system, and that meet the efficiency ratings for premium efficiency motors in accordance with NEMA MG 1. Select premium efficiency polyphase motors in accordance with NEMA MG 10.
- d. Provide motors in accordance with NEMA MG 1 and of sufficient size to drive the load at the specified capacity without exceeding the nameplate rating of the motor. Provide motors rated for continuous duty with the enclosure specified. Provide motor duty that allows for maximum frequency start-stop operation and minimum encountered interval between start and stop. Provide motor torque capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Provide motor starters complete with thermal overload

protection and other necessary appurtenances. Fit motor bearings with grease supply fittings and grease relief to outside of the enclosure.

- e. Where two-speed or variable-speed motors are indicated, solid-state variable-speed controllers are allowed to accomplish the same function. Use solid-state variable-speed controllers for motors rated 10 hp or less and adjustable frequency drives for larger motors. Provide variable frequency drives for motors as specified in Section 26 29 23 ADJUSTABLE SPEED DRIVE (ASD) SYSTEMS UNDER 600 VOLTS.

1.7 INSTRUCTION TO GOVERNMENT PERSONNEL

Furnish the services of competent instructors to give full video recorded instruction to the designated Government personnel in the adjustment, operation, and maintenance, including pertinent safety requirements, of the specified equipment or system. Instructors must be thoroughly familiar with all parts of the installation and must be trained in operating theory as well as practical operation and maintenance work. Instruction must be given during the first regular work week after the equipment or system has been accepted and turned over to the Government for regular operation. The number of man-days (8 hours per day) of instruction furnished must be as specified in the individual section. When more than 4 man-days of instruction are specified, use approximately half of the time for classroom instruction. Use other time for instruction with the equipment or system.

When significant changes or modifications in the equipment or system are made under the terms of the contract, provide additional instruction to acquaint the operating personnel with the changes or modifications.

1.8 ACCESSIBILITY

Install all work so that parts requiring periodic inspection, operation, maintenance, and repair are readily accessible. Install concealed valves, expansion joints, controls, dampers, and equipment requiring access, in locations freely accessible through access doors. Contractor and manufacturer are responsible for verifying equipment, including any additional parts such as controls devices and other equipment requiring access, fits in the space provided. The Government review does not relieve contractor from delivering the product that meets minimum capacity and mandatory efficiency requirements to meet all codes and standards.

1.9 WARRANTY

Submit the manufacturer's warranty for the unit.

Submit the coil coating warranty. Provide unit with the Manufacturer's Standard Warranty. Provide compressors and gas heat exchangers with the Manufacturer's Standard Warranty.

PART 2 PRODUCTS

2.1 TYPES

Provide single-zone draw-through type or single-zone blow-through type units as indicated on drawings. Units must include fans, coils, airtight insulated casing, required controls devices for temperature and humidity control, prefilters, secondary filter sections, adjustable drives, belt guards for externally mounted motors, access sections where indicated,

mixing box pan, vibration-isolators, and appurtenances required for specified operation. P Physical dimensions of each air handling unit must be suitable to fit space allotted to the unit with the capacity and efficiencies indicated. Provide air handling unit that is rated in accordance with AHRI 921 SI AHRI 430 and AHRI certified for cooling. Protect outdoor air coils from incidental contact to coil fins by a coil guard. Coil guard must be constructed of cross wire welded steel with PVC coating.

2.2 UNIT CONSTRUCTION

2.2.1 Unit Cabinet

Units must have a leakage rate not to exceed 1 percent of supply air volume to 8 inches water gauge static pressure in either positive or negative pressure tests. Manufacturer must provide test data confirming cabinet construction can meet the requirement. Indoor cabinets must be suitable for the specified indoor service and enclose all unit components. Outdoor cabinets must be suitable for outdoor service with a weathertight, insulated and corrosion-protected structure. Cabinets constructed exclusively for indoor service which have been modified for outdoor service are not acceptable.

2.2.1.1 Class A and Class B Cabinets

Provide an AHU cabinet suitable for the pressure class shown and has leaktight joints, closures, penetrations, and access provisions. Provide a cabinet that does not expand or contract perceptibly when fans are starting or stopping and that does not pulsate during operation. Reinforce cabinet surfaces with deflections in excess of 0.004167 of unsupported span before acceptance. Stiffen pulsating panels, which produce low-frequency noise due to diaphragming of unstable panel walls, to raise the natural frequency to an easily attenuated level. Fabricate the enclosure from continuous hot-dipped-galvanized steel no lighter than 20 gauge thickness, to match the industry standard. Provide mill-galvanized sheet-metal that conforms to ASTM A653/A653M and that is coated with not less than 1.25 ounces of zinc per square foot of a two-sided surface. Provide mill-rolled structural-steel that is hot-dip-galvanized or primed and painted. Corrosion-protect cut edges, burns, and scratches in galvanized surfaces. Provide primed and painted black carbon steel cabinet construction that complies with this specification.

Provide removable panels to access the interior of the unit cabinet. Provide seams that are welded, bolted, or gasketed and sealed with a rubber-based mastic. Make entire cabinet floor and ceiling hot-dipped-galvanized steel. Provide removable access doors on both sides of all access, filter, and fan sections for inspection and maintenance.

2.2.1.2 Class C Cabinets

Provide an AHU cabinet that is suitable for the pressure class shown and has leaktight joints, closures, penetrations, and access provisions. Provide a cabinet that does not expand or contract perceptibly when the fans are starting or stopping and that does not pulsate during operation. Reinforce cabinet surfaces with deflections in excess of 0.002778 of unsupported span before acceptance by the Contracting Officer. Stiffen pulsating panels, which produce low-frequency noise due to diaphragming of unstable panel walls, to raise the natural frequency to an easily

attenuated level. Provide the enclosure that is fabricated from mill-galvanized or primed and painted carbon sheet steel. Provide mill-galvanized sheet metal that conforms to ASTM A653/A653M and that is coated with not less than 1.25 ounces of zinc per square foot of a two-sided surface. Provide mill-rolled structural steel that is hot-dip galvanized or primed and painted. Corrosion-protect edges, burns, and scratches in galvanized surfaces. Provide primed and painted black carbon steel cabinet construction that complies with this specification.

Provide removable panels to access the interior of the unit cabinet. Provide seams that are welded, bolted, or gasketed and sealed with a rubber-based mastic. Make the entire cabinet floor and ceiling hot-dipped galvanized steel. Provide removable access doors on both sides of all access, filter, and fan sections for inspection and maintenance.

2.2.2 Casing

Provide the following:

- a. Casing sections as indicated, constructed of a minimum 18 gauge galvanized steel, or 18 gauge corrosion-resisting sheet steel conforming to ASTM A167, Type 304. Inner casing of double-wall units that are a minimum 20 gauge solid galvanized steel or corrosion-resisting sheet steel conforming to ASTM A167, Type 304. Design and construct casing with an integral insulated structural galvanized steel frame such that exterior panels are non-load bearing.
- b. Individually removable exterior panels with standard tools. Removal must not affect the structural integrity of the unit. Furnish casings with access sections, inspection doors, and access doors, all capable of opening a minimum of 90 degrees, as indicated.
- c. Insulated, fully gasketed, double-wall type inspection and access doors, of a minimum 18 gauge outer and 20 gauge inner panels made of either galvanized steel or corrosion-resisting sheet steel conforming to ASTM A167, Type 304. Provide rigid doors with heavy duty hinges and latches. Inspection doors must be a minimum 12 inches wide by 12 inches high. Access doors must be a minimum 24 inches wide, the full height of the unit casing or a minimum of 6 foot, whichever is less. Install a minimum 8 by 8 inches sealed glass window suitable for the intended application, in all access doors.

Access doors must be installed to open against the greatest pressure relative to air pressure on each side of access door. Provide 1 inch diameter test ports with screwed caps on casing upstream and downstream of all coils and filters for pressure and temperature measurement across internal components to limit unnecessary or unauthorized access inside the unit.

- d. Double-wall insulated type drain pan (thickness equal to exterior casing) constructed of 16 gauge stainless steel, conforming to ASHRAE 62.1. Galvanized steel pans are not acceptable. Construct drain pans water tight, treated to prevent corrosion, and designed for positive condensate drainage. When two or more cooling coils are used, with one stacked above the other, condensate from the upper coils must not flow across the face of lower coils. Provide intermediate drain pans or condensate collection channels and downspouts, as required to carry condensate to the unit drain pan out of the air stream and without moisture carryover. Construct drain pan

to allow for easy visual inspection, including underneath the coil without removal of the coil and to allow complete and easy physical cleaning of the pan underneath the coil without removal of the coil. Provide coils that are individually removable from the casing. Provide 1.5 inch minimum drain pan connection as indicated.

- e. Casing insulation that conforms to NFPA 90A. Insulate single-wall casing sections handling conditioned air with not less than 1 inch thick, 1-1/2 pound density coated fibrous glass material having a thermal conductivity not greater than 0.23 Btu/hr-sf-F. Insulate double-wall casing sections handling conditioned air with not less than 2 inches of the same insulation specified for single-wall casings. Foil-faced insulation is not an acceptable substitute for use with double wall casing. Seal double wall insulation completely by inner and outer panels.
- f. Factory applied fibrous glass insulation that conforms to ASTM C1071, except that the minimum thickness and density requirements do not apply, and that meets the requirements of NFPA 90A. Make air handling unit casing insulation uniform over the entire casing. Foil-faced insulation is not an acceptable substitute for use on double-wall access doors and inspections doors and casing sections.
- g. Duct liner material, coating, and adhesive that conforms to fire-hazard requirements specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS. Protect exposed insulation edges and joints where insulation panels are butted with a metal nosing strip or coat to meet erosion resistance requirements of ASTM C1071.
- h. A latched and hinged inspection door, in the fan, filter and coil sections. Plus additional inspection doors, access doors and access sections.

2.2.3 Access Sections and Filter/Mixing Boxes

Provide access sections where indicated and furnish with access doors as shown. Construct access sections and filter/mixing boxes in a manner identical to the remainder of the unit casing and equip with access doors. Design mixing boxes to minimize air stratification and to promote thorough mixing of the air streams.

2.2.4 Diffuser Sections

Furnish diffuser sections between the discharge of all housed supply fans and cooling coils of blow-through single zone units and filter sections of those units with high efficiency filters located immediately downstream of the air handling unit fan section. Provide diffuser sections that are fabricated by the unit manufacturer in a manner identical to the remainder of the unit casing, designed to be airtight under positive static pressures up to 8 inches water gauge and with an access door on each side for inspection purposes. Provide a diffuser section that contains a perforated diffusion plate, fabricated of galvanized steel, Type 316 stainless steel, aluminum, or steel treated for corrosion with manufacturer's standard corrosion-resisting finish, and designed to accomplish uniform air flow across the down-stream coil and filters while reducing the higher fan outlet velocity to within plus or minus 5 percent of the required face velocity of the downstream component.

2.2.5 Corrosion Protection

Provide the interior of the unit with corrosion protection which must be capable of withstanding at least 3000 hours, with no visible corrosive effects, when tested in a salt spray and fog atmosphere in accordance with ASTM B117 test procedure. Air tunnel, fans and dampers must all include the corrosion protection. Provide all coils in contact with outside air a flexible, epoxy polymer e-coat uniformly applied to all coil surface areas without material bridging between fins. Confirm corrosion durability through testing to no less than 3000 hours salt spray per ASTM B117. Coated coil must receive a spray-applied, UV-resistant polyurethane topcoat to prevent UV degradation of the e-coat. Coating is not required on coils utilizing copper tubes and copper fins.

2.2.5.1 Exposed Outdoor Cabinet

Casing Surfaces (Exterior and Interior): Protect all exposed and accessible metal surfaces with a water-reducible acrylic with stainless steel pigment spray-applied over the manufacturer's standard finish. The spray coating thickness must be 2-4 mils and provide minimum salt-spray resistance of 3,000 hours (ASTM B117) and 1,000 hours UV resistance (ASTM D4587).

Provide outdoor cabinets with a roof panels sloped at a minimum pitch of 1/4 inch per foot. The roof must overhang side and end panels by a minimum of 2 inches.

2.3 FANS

- a. Test and rate fans according to AMCA 210. Calculate system effect on air moving devices in accordance with AMCA 201 where installed ductwork differs from that indicated on drawings. Install air moving devices to minimize fan system effect. Where system effect is unavoidable, determine the most effective way to accommodate the inefficiencies caused by system effect on the installed air moving device. The sound power level of the fans must not exceed 85 dBA when tested according to AMCA 300 and rated in accordance with AMCA 301. Provide all fans with an AMCA seal. Connect fans to the motors either directly or indirectly with V-belt drive. Use V-belt drives designed for not less than 140 percent of the connected driving capacity. Provide variable pitch motor sheaves for 15 hp and below, and fixed pitch as defined by AHRI Guideline D (A fixed-pitch sheave is provided on both the fan shaft and the motor shaft. This is a non-adjustable speed drive.). Select variable pitch sheaves to drive the fan at a speed which can produce the specified capacity when set at the approximate midpoint of the sheave adjustment. When fixed pitch sheaves are furnished, provide a replaceable sheave when needed to achieve system air balance. Provide motors for V-belt drives with adjustable rails or bases. Provide removable metal guards for all exposed V-belt drives, and provide speed-test openings at the center of all rotating shafts. Provide fans with personnel screens or guards on both suction and supply ends, except that the screens need not be provided, unless otherwise indicated, where ducts are connected to the fan. Provide fan and motor assemblies with vibration-isolation supports or mountings as indicated. Use vibration-isolation units that are standard products with published loading ratings. Select each fan to produce the capacity required at the fan static pressure indicated. Provide sound power level as indicated. Obtain the sound power level values according to AMCA 300. Provide standard AMCA

arrangement, rotation, and discharge as indicated. Provide power ventilators that conform to UL 705 and have a UL label.

- b. Each fan/motor assembly must be factory balanced to AMCA 204, BV-5, Balance Quality Grade G1.0 Balance Quality Grade indicated on Drawings or better through entire operating speed range from minimum speed to maximum speed.
- c. Mount fan/motor on aluminum galvanized-steel or powder-coated steel base. Include base and vibration isolators in accordance with requirements indicated. Weld structural members to form a rigid base. Size and design the base construction to withstand the rigors of shipping and rigging. Include the base with lifting lugs or holes.
- d. Each fan/motor assembly must be capable of lock-out/tag-out procedure without interrupting operation of other fans in air-handling unit. Fan wheel/motor assembly must pass through the air-handling unit access door servicing fans. Design and incorporate features to permit safe, rapid, and economical maintenance.
- e. Include easily removable safety screens where fan inlet and outlet are exposed to maintenance personnel, including walk-in air-handling unit plenums. Safety screens are not required on fan inlets and outlets with backdraft dampers. Safety screens must be expanded-metal or wire screens, fastened to a flat bar perimeter frame. Screens must comply with OSHA requirements. Screens and frame must be constructed of aluminum, stainless steel, or powder-coated steel. Fasten screens to fan using removable and reusable hardware designed for easy removal by maintenance personnel.

2.3.1 Centrifugal Fan Arrays

Fan arrangement within fan array must produce a uniform airflow and velocity profile across air-handling unit air tunnel when measured 12 inches upstream of fan inlet and 48 inches downstream of fan inlet. Remaining fans in array must continue to operate with one or multiple failed fans. A single mechanical, electrical, and control device failure must not result in a fan array available capacity of less than 33 percent of air-handling unit total scheduled airflow capacity.

Each fan/motor assembly must be controlled through a variable-frequency controller, except for fans with electronically commutated (EC) motors having integral motor controls. Include a dedicated variable-frequency controller for each fan/motor assembly in the fan array. If fan array is served from a single variable-frequency controller, include a redundant variable-frequency controller with automatic switchover in event of primary variable-frequency controller failure.

2.3.2 Centrifugal Plenum Fans

- a. Construct fan panel of aluminum or powder-coated steel. Support fan wheel and bearings from a structural aluminum or powder-coated steel framework. Reinforce and brace fan panel to prevent vibration and pulsation. Include stiffeners to form a rigid panel that is free of structural resonance and vibration.
- b. Include a precision-spun or die-formed, matched inlet and wheel cone to ensure streamlined airflow into the wheel and full loading of blades. Inlet and wheel cones must be hyperbolic. Inlet cone must be

a single piece, constructed of aluminum or powder-coated carbon steel. Fasten inlet cone to fan panel using bolts, nuts, and washers to provide a positive and secure attachment that can be field removable. Inlet cones that are held in place using retaining clips are unacceptable.

- c. Fan blades must be a true hollow airfoil shape, continuously welded to backplate and wheel cone. Construct blades of aluminum, reinforced for AMCA fan class and operating conditions scheduled. Design blades to provide smooth and aerodynamic airflow over all surfaces of blade. Construct fan hubs of cast aluminum or cast iron, ASTM A48/A48M Class 20A and better, with integral bracing for extra strength and stiffness. Castings must be sound and free of shrink holes, blow holes, cracks, scale, blisters, or other similar injurious defects. Clean surfaces of castings by blasting, pickling, or other standard method. Mold-parting fins and remains of gates and risers must be chipped, filed, and ground flush. Design hubs to maintain a high resistance to fatigue and low relative wheel imbalance. Hubs must be keyed and set-screwed to shaft for positive attachment. Construct the wheel backplates of aluminum. Statically and dynamically balance fan wheel before fan is assembled. Select entire rotating assembly so first critical speed is at least 30 percent greater than fan design speed and at least 20 percent greater than maximum AMCA class speed.
- d. Fans must be direct drive, arrangement 4 in accordance with AMCA 99 for single-width, single-inlet fans. Adjust wheel width and diameter to match motor speed while providing performance scheduled. Fasten fan wheel directly to motor shaft using a key and setscrew as previously specified. Construct motor base and pedestal of aluminum or powder-coated carbon-steel plate.

2.3.3 Housed Centrifugal Fans

Continuously weld housing constructed of aluminum carbon-steel or stainless steel sheets, plates, and structural shapes. Support fan housing and shaft bearings from a rigid structural framework. Brace fan housing to prevent vibration and pulsation with external stiffeners to form a rigid housing that is free of operating resonance. Extend fan housing side sheets not more than 1/2 inch past fan scroll. Fan Cut-off: Designed for pressure distribution required by the application. Fan Blast Area: At least 80 percent of fan outlet area. Wheel Removal: Construct fan housing for fan wheel(s) removal through the inlet opening when the inlet cone is removed.

2.3.3.1 Housed Centrifugal Fan Construction

- a. For fans with wheel diameters nominal 49 inches and larger, include diagonally flanged, gasketed, and bolted split housings.
- b. Provide access doors with quick-opening, gasketed, with heavy-duty latches. Conform to housing contour. Locate in 5 o'clock or 7 o'clock position about the end of the shaft and position to gain internal access. Maximize size of square access door up to 24 inches.
- c. Provide NPS 1 female NPT threaded half coupling welded to lowest point of fan housing. Position the drain connection to accommodate field-installed drain piping. Include each drain coupling with a stainless steel threaded plug.

- d. Provide welded flanged discharge with a matching companion flange having evenly spaced holes for threaded hardware.
- e. Provide welded flanged inlet with a matching companion flange having evenly spaced holes for threaded hardware.
- f. Include precision-spun or die-formed, matched inlet and wheel cones with hyperbolic shape to ensure streamlined airflow into the wheel and fully load the blades for efficient aerodynamic performance. Inlet cone must be a single piece, constructed of aluminum carbon steel or stainless steel. Fasten inlet cone to fan housing using bolts, nuts, and washers to provide a positive and secure attachment that can be removed and replaced in the field.

Provide fan blades with true hollow airfoil shape, continuously welded to backplate or centerplate and wheel cone(s). Construct blades of aluminum carbon steel or stainless steel, reinforced for AMCA fan class. Design blades to provide smooth and aerodynamic airflow over all surfaces of blade. Construct fan hubs of cast aluminum cast iron, ASTM A48/A48M Class 20A and better or stainless steel, with integral bracing for extra strength and stiffness. Castings must be sound and free of shrink holes, blow holes, cracks, scale, blisters, or other similar injurious defects. Clean surfaces of castings by blasting, pickling, or other standard method. Mold-parting fins and remains of gates and risers must be chipped, filed, and ground flush. Design hubs to maintain a high resistance to fatigue and low relative wheel imbalance. Key and setscrew hubs to shaft for positive attachment. Construct wheel backplates or centerplates of aluminum carbon steel or stainless steel. Statically and dynamically balance fan wheel before fan is assembled. Select entire rotating assembly so first critical speed is at least 30 percent greater than fan design speed and at least 20 percent greater than maximum AMCA class speed.

2.3.3.2 Housed Centrifugal Fan Drive

Fan shaft must be one piece, solid carbon or stainless steel, accurately turned, ground, polished, and inspected. Polish shafts at the point of bearing contact to comply with bearing manufacturer's recommended tolerances. Inspect shafts for straightness after the keyways are cut. Coat carbon-steel shafts with a rust-inhibitive coating. Fan bearings must be foot-mounted type, bolted on a rigid welded steel framework that is integral with, or independent of, the housing. Size bearings for L-10 life of at least 200,000 hours, a DN factor less than 200,000 and a load factor less than 2,700,000 at the maximum fan class load limit horsepower, including belt pull. Select bearings in accordance with ABMA 9 and or ABMA 11.

Bearings for Fans with Motor Horsepower up to 10 HP: Single-row ball or spherical bearings, self-aligning, grease lubricated, and housed in a pillow block housing. Bearings for Larger-Size Fans: Double-row spherical, self-aligning, grease lubricated, and housed in a horizontally split pillow block housing. Extend copper or plastic grease lines to an accessible location within sight of bearing for greasing the bearings without removing guards, inlet screens, linkages, and other appurtenances. Terminate bearings and extended grease lines with grease gun fittings.

2.3.3.2.1 Direct Drive

Fans must be direct drive Double-Width, Double-Inlet: Arrangement 7 in accordance with AMCA 99. Single-Width, Single-Inlet: Arrangement 4 or 5 in accordance with AMCA 99. For AMCA arrangements 4 and 5, fasten fan wheel directly to motor shaft using a key and setscrew indicated. Construct motor base and pedestal for AMCA arrangement 4 and 7 fans of aluminum plate.

2.3.3.2.2 Belt Drive

Fans must be Multiple V-Belt Design. Size two belt drives for at least 2.0 times the fan motor horsepower. Size belt drives with more than two belts, for at least 1.5 times the fan motor horsepower. Coordinate, with motor manufacturer, the size and location of motor sheave required to satisfy motor L-10 bearing life using motor manufacturer's written instructions.

2.3.3.3 Additional Fan Requirements

- a. Sheave and V-belt selections must be in accordance with manufacturer's published data. For constant-Speed Applications, utilize fixed or variable sheaves for applications up to 5 hp and fixed sheaves for applications with larger horsepower. For variable-speed applications, utilize fixed sheaves. Sheave profile must be machined to Mechanical Power Transmission Association Standards. Construct sheaves of high-strength cast iron having a minimum tensile strength of 25,000 psi. Sheave rim speeds must not exceed 5000 fpm. Sheave side wobble and runout, and eccentricity must be within Mechanical Power Transmission Association and Rubber Manufacturers Association (RMA) tolerances. Balance sheaves to satisfy vibration performance requirements. Mount sheaves on the shaft using a taperlock split and keyed bushing or an integral keyed bushing. V-belts must be oil resistant, non-static conducting, and high quality in accordance with RMA standards. Classic A, B, C, D, and E, non-cogged, cross sections. Multiple belt drives must be a matched set with belt tolerances in accordance with RMA standards. Tension belts in accordance with manufacturer's written instructions.
- b. Furnish and factory install fan drive guard(s) for bearing assemblies, rotating shafts, sheaves, belts, couplings, and heat slingers. Arrangement 3 fans do not require guards for bearings on the side opposite the drive. Make provision for motor and fan rpm measurement without removing the guard. Construct the guard of flattened expanded aluminum or steel wrapped around a channel frame, suitably braced to prevent vibration. Paint guards using the same coating as fan, except color must be safety yellow. Design attachment to fan for easy removal by maintenance personnel using a clamp and latch.
- c. Provide single-width, single-inlet fans with shaft seal(s) where indicated on Drawings. Shaft seal(s) must minimize fan leakage when operating and not operating. Construct shaft seal of an aluminum or split stainless steel plate with non-asbestos material fitted under the split metal plate and seated around the shaft. Secure split plates to housing using threaded hex-head hardware. Shaft seals must be replaceable from outside the housing without disturbing the shaft or bearings. Select shaft seal clearances and materials for operating temperature, pressure, and air quality encountered. Shaft seals, including labyrinth, floating bushing, or close clearance annulus, are

acceptable alternatives.

- d. Piezometer Ring: Mount piezometer ring at fan inlet cone for airflow measurement.

2.3.4 Special Fan Construction

Where indicated on Drawings, construct individual fans with the following additional features.

2.3.4.1 Spark-Resistant Construction

Construct fans in accordance with AMCA 99 Type A spark-resistant construction where indicated on Drawings.

2.3.4.2 Corrosion-Resistant Coating

Provide Baked phenolic, equal to Heresite's "P-4403 Brown Baked Phenolic Coating." <Insert manufacturer's name and product name or designation.> Total Dry Film Thickness: 7 mils. Application in accordance with manufacturer's written instructions. Mist bonding pass and allow to flash off for several minutes, but not long enough to allow film to completely dry. Not less than three crisscross multipasses maintaining a wet-appearing film. Air dry approximately 45 to 60 minutes with ventilation before applying heat. After air dry period has elapsed, raise temperature in recommended increments of 30 minutes until desired temperature is reached. Bake intermediate coats at approximately half the final temperature for 10 to 20 minutes. Final Bake: 400 deg F for 1-1/2 hours.

2.3.5 Fan Motors

- a. Comply with NEMA MG 1 unless more stringent requirements are indicated. NEMA MG 1, Design B, as required to comply with capacity and torque characteristics; medium-induction motor.
- b. Capacity and torque must be sufficient to start, accelerate, and operate connected loads at designated speeds, at installed altitude and environment, with indicated operating sequence, and without exceeding nameplate ratings or considering service factor. Provide NEMA Premium Efficiency rating complying with NEMA MG 1. Motor must operate fan under all conditions indicated without exceeding motor nameplate and without use of motor service factor. Comply with minimum requirements of Class F or Class H insulation, suitable for "inverter-duty" or "drive-duty" applications in accordance with NEMA MG 1. Motor operation through a variable-frequency controller must not adversely affect the motor performance, operation, useful life, and warranty. Motor Service Factor must be 1.15. Enclosure Type: must be ODP or TEFC.
- c. Provide Shaft grounding system to protect bearings from induced voltage. Shaft grounding system must have low drag (less than 0.05 percent of motor horsepower), and must operate for a minimum of 3 years without periodic maintenance or adjustments. Shaft grounding system must be mounted external or internal to motor enclosure. Provide frames with integrally cast feet unless other requirements of driven equipment require a different arrangement. Frame, front and back end brackets, and front and back end bearing intercups constructed of cast iron, ASTM A48/A48M, Class 25 or better.

Fabricate rotor frame from die-cast aluminum, copper, or associated alloys. Key rotors to motor shaft. Rotating assembly must be dynamically balanced to within limits defined in NEMA MG 1. Motors must have the entire rotating assembly between bearing inner caps coated with a corrosion-resistant coating.

- d. Copper windings must be spike resistant to withstand 1600 peak V. Entire wound and insulated stator coated with a coating to protect against moisture and corrosion.
- e. Solid shaft fabricated of carbon steel, accurately turned, ground and polished, and inspected for accuracy. End of shaft with drilled hole for use in field measurements.
- f. Grease-lubricated ball or roller bearings. ABMA 11 L-10 motor bearing life of 100,000 hours. Factory lubricate motor bearings using a premium moisture-resistant polyurea thickened grease with rust inhibitors suitable for extreme operating temperatures encountered. Coordinate special requirements that may impact lubrication and include appropriate lubrication.
- g. Equip each bearing housing with an easily accessible grease inlet. Fit grease inlets with a grease fitting and protective fitting cap. Equip inlets with an automatic grease relief fitting to prevent excessive greasing. Equip each bearing housing with grease drain and threaded plug.
- h. Conduit box material must be the same as frame. For motor frames 365T and below, furnish conduit boxes sized with internal volumes in accordance with NEMA MG 1. For motor frames larger than 365T, furnish conduit boxes one size larger than NEMA MG 1. Coordinate the location and mounting of conduit box with driven equipment manufacturer. Factory mount conduit box on motor. Provide NRTL-listed clamp-type grounding lug mounted in conduit box.
- i. Motor leads must be non-wicking type, Class F temperature rating or better, and permanently numbered over entire length for identification. Lead terminals must be manufacturer's standard.
- j. Provide motor with drain holes at the lowest point for drainage of condensate. Each drain hole with a threaded removable plug.
- k. TEFC Motor Fans must be corrosion-resistant construction, non-sparking, metallic or non-metallic, bi-directional, and keyed to shaft. Motor Fan Cover must be Steel or Same material as frame.
- l. Motor hardware must be hex-head, high-strength, zinc-plated carbon steel or stainless steel.
- m. Provide lifting eyebolts threaded into frame receptacle and designed to prevent moisture and other foreign material from entering motor cavity when eyebolt is removed.
- n. Construct nameplates of aluminum or stainless steel and attach to motor frame with aluminum, stainless steel, or brass drive pins. Engrave or stamp data on the nameplate. At a minimum, include nameplate data in accordance with NEMA MG 1. Also include ABMA bearing designation for the drive and opposite end bearing.

- o. Motor must successfully pass 1000-hour salt spray test for corrosion in accordance with ASTM B117.

2.4 COILS

Provide fin-and-tube type coils constructed of seamless copper tubes and aluminum or copper fins mechanically bonded or soldered to the tubes. Provide copper tube wall thickness that is a minimum of 0.020 inches. Provide red brass tube wall thickness that is a minimum of 0.035 inches. Provide aluminum fins that are 0.0055 inch minimum thickness. Provide copper fins that are 0.0045 inch minimum thickness. Provide casing and tube support sheets that are not lighter than 16 gauge galvanized steel, formed to provide structural strength. When required, provide multiple tube supports to prevent tube sag. Mount coils for counterflow service. Rate and certify coils to meet the requirements of AHRI 410. Provide factory applied phenolic, vinyl or epoxy/electrodeposition coating.

2.4.1 Direct-Expansion Coils

Provide suitable direct-expansion coils for the refrigerant involved. Provide refrigerant piping that conforms to ASTM B280 and clean, dehydrate and seal. Provide seamless copper tubing suction headers or seamless or resistance welded steel tube suction headers with copper connections. Provide supply headers that consist of a distributor which distributes the refrigerant through seamless copper tubing equally to all circuits in the coil. Provide circuited tubes to ensure minimum pressure drop and maximum heat transfer. Provide circuiting that permits refrigerant flow from inlet to suction outlet without causing oil slugging or restricting refrigerant flow in coil. Provide field installed coils which are completely dehydrated and sealed at the factory upon completion of pressure tests. Pressure test coils in accordance with UL 1995.

2.5 REFRIGERATION

2.5.1 Air-to-Refrigerant Coils

Provide air-to-refrigerant coils with seamless copper or aluminum tubes of 5/16 inch minimum diameter with copper or aluminum fins that are mechanically bonded or soldered to the tubes. Casing must be galvanized steel or aluminum. Avoid contact of dissimilar metals. Test coils in accordance with ANSI/ASHRAE 15 & 34 at the factory and must be suitable for the working pressure of the installed system. Factory pressure and leak test each coil.

- a. Provide separate expansion devices for each compressor circuit. Condensate drain pans must be removable and double-sloped.
- b. Dual compressor units must have intermingled evaporator coils.
- c. Condensate drain pans must be removable and double-sloped.
- d. Provide condenser coils with hail protection guards.
- e. Coat condenser and evaporator coil with a uniformly applied epoxy electrodeposition, phenolic, or vinyl type coating to all coil surface areas without material bridging between fins. Apply coating at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation. Coating must be capable of withstanding a minimum 1000 hours exposure to the salt spray test

specified in ASTM B117 using a 5 percent sodium chloride solution.

2.5.2 Compressor

Provide direct drive, semi-hermetic or hermetic reciprocating, or scroll type compressor capable of operating at partial load conditions. Compressor must be capable of continuous operation down to the lowest step of unloading as specified. Equip compressors of 10 tons and larger with capacity reduction devices to produce automatic capacity reduction of at least 50 percent. If standard with the manufacturer, two or more compressors may be used in lieu of a single compressor with unloading capabilities, in which case the compressors operate in sequence, and each compressor has an independent refrigeration circuit through the condenser and evaporator. Start compressors in the unloaded position. Provide each compressor with vibration isolators, crankcase heater, thermal overloads, lubrication pump, high and low pressure safety cutoffs and protection against short cycling.

2.5.3 Refrigeration Circuit

Refrigerant containing components must comply with ANSI/ASHRAE 15 & 34 and be factory tested, cleaned, dehydrated, charged, and sealed. Provide refrigerant lines with service pressure tap ports and refrigerant line filter.

2.5.4 Remote Condenser or Condensing Unit

Units with capacities 135,000 Btuh or greater must produce a maximum AHRI sound rating of 85 dB when rated in accordance with ANSI/AHRI 370. Fit each remote condenser coil with a manual isolation valve and an access valve on the coil side. Saturated refrigerant condensing temperature must not exceed 120 degrees F at 95 degrees F ambient. Provide unit with low ambient condenser controls to ensure proper operation in an ambient temperature of 20 degrees F. Provide fan and cabinet construction must be provided as specified in paragraph UNIT CONSTRUCTION. Fan and condenser motors must have dripproof enclosures. Condensing unit must have controls to initiate a refrigerant pump down cycle at system shut down on each refrigerant circuit. Provide outdoor unit with louvered, weather-proof enclosure for protection of integral elements.

2.5.4.1 Air-Cooled Condenser

Provide unit rated in accordance with ANSI/AHRI 460 and conform to the requirements of UL 1995. Provide factory fabricated, tested, packaged, and self-contained unit. Unit must be complete with casing, propeller or centrifugal type fans, heat rejection coils, connecting piping and wiring, and all necessary appurtenances.

- a. Provide interconnecting refrigeration piping, electrical power, and control wiring between the condensing unit and the indoor unit as required and as indicated. Provide electrical and refrigeration piping terminal connections between condensing unit and evaporator units.
- b. Low ambient control for multi-circuited units serving more than one evaporator coil must provide independent condenser pressure controls for each refrigerant circuit. Set controls to produce a minimum of 95 degrees F saturated refrigerant condensing temperature. Provide unit with a liquid subcooling circuit that ensures proper liquid

refrigerant flow to the expansion device over the specified application range of the condenser. Unit must be provided with manufacturer's standard liquid subcooling. Liquid seal the subcooling circuit.

- c. Coils must have copper or aluminum tubes of 3/8 inch minimum diameter with copper or aluminum fins that are mechanically bonded or soldered to the tubes. Protect coil in accordance with paragraph COILS. Casing must be galvanized steel or aluminum. Avoid contact of dissimilar metals. Test coils in accordance with ANSI/ASHRAE 15 & 34 at the factory and ensure suitability for the working pressure of the installed system. Dehydrate and seal each coil after testing and prior to evaluation and charging. Provide each unit with a factory operating charge of refrigerant and oil or a holding charge. Field charge unit shipped with a holding charge. Provide separate expansion devices for each compressor circuit.
- d. Provide a complete control system with required accessories for regulating condenser pressure by fan cycling, solid-state variable fan speed, modulating condenser coil or fan dampers, flooding the condenser, or a combination of the above. Construct unit mounted control panels or enclosures in accordance with applicable requirements of NFPA 70 and house in NEMA ICS 6, Class 1 or 3A enclosures. Controls must include control transformer, fan motor starters, solid-state speed control, time delay start-up, overload protective devices, interface with local and remote components, and intercomponent wiring to terminal block points.

2.5.4.2 Compressor

Provide compressor rated in accordance with AHRI 540. Provide direct drive, semi-hermetic or hermetic reciprocating, or scroll type compressor capable of operating at partial load conditions. Compressor must be capable of continuous operation down to the lowest step of unloading as specified. Provide units 120,000 Btuh and larger with capacity reduction devices to produce automatic capacity reduction of at least 50 percent. If standard with the manufacturer, two or more compressors may be used in lieu of a single compressor with unloading capabilities, in which case the compressors operate in sequence, and each compressor must have an independent refrigeration circuit through the condenser and evaporator. Each compressor must start in the unloaded position. Provide each compressor with vibration isolators, crankcase heater, lubrication pump, thermal overloads, and high and low pressure safety cutoffs and protection against short cycling.

2.5.4.3 Fans

Provide fan wheel shafts supported by either maintenance-accessible grease lubricated antifriction block-type bearings, or permanently lubricated ball bearings. Mount fan motor and fan assembly on a common base to allow consistent belt tension with no relative motion between fan and motor shafts. The entire fan motor and fan assembly must be completely vibrationally isolated from the unit. Select unit fans to produce the airflow required at the fan total pressure. Motor starters, if applicable, must be magnetic across-the-line type with an open drip-proof enclosure. Provide manual or automatic-reset type thermal overload protection. Construct fan wheels of aluminum or galvanized steel. Provide centrifugal fan wheel housings of galvanized steel, and construct centrifugal fan casings of aluminum or galvanized steel. Steel elements

of fans, except fan shafts, must be hot-dipped galvanized after fabrication or fabricated of mill galvanized steel. Recoat mill-galvanized steel surfaces and edges damaged or cut during fabrication by forming, punching, drilling, welding, or cutting with an approved zinc-rich compound. Statically and dynamically balance fan wheels or propellers. Provide double inlet forward-curved or air foil, as indicated type fan wheels. Fan must reach rated rpm before the fan shaft passes through the first critical speed. Fans must be belt-driven with adjustable sheaves. Select the sheave size so that the fan speed at the approximate midpoint of the sheave adjustment produces the specified air quantity. Provide centrifugal scroll-type fans with streamlined orifice inlet and V-belt drive. Each drive must be independent of any other drive. Condenser fans must be propeller type, direct drive, statically balanced with galvanized steel blades and permanently lubricated ball bearings. Protect condenser fan motor drive bearings with water slingers or shields. Fit all belt drives with guards where exposed to contact by personnel.

2.6 GAS-FIRED HEATING SECTION

Construct gas-fired heat exchanger and burner of stainless steel suitable for natural gas fuel supply. Provide burner with direct spark ignition. Heating section must have modulation with a turn down ratio of at least 4 to 1. Provide heating section completely assembled and integral to unit. Fire test all units prior to shipment. Valve must include a pressure regulator. Supply combustion air with a centrifugal combustion air blower with built-in thermal over load protection. Safety controls must include a flame sensor and air pressure switch. Mount heater section to eliminate noise from expansion and contraction and completely accessible for service. Gas equipment must bear the AGA label for the type of service involved. Provide burner in accordance with NFPA 54.

2.6.1 Direct Gas-Fired Heating Section

Direct gas-fired heat module(s) must have a stainless-steel burner with aluminum burner head casting, non-clogging gas ports; spark-ignition intermittent pilot, flame safeguard system with integral flame sensing, air pressure switch and automatic high limit switch set to 185 degrees F. Burner assembly must be mechanically secured to vestibule panels. The burner combustion must be clean and odorless, with combustion efficiency limiting the products of combustion to a maximum of 5 ppm carbon monoxide and 0.5 ppm nitrogen dioxide. The burner profile to be equipped with adjustable profile plates.

2.6.2 Indirect Gas-Fired Heating Section

Heat module must be listed for outdoor installation with a Category IV venting system. Vent connectors provided must be suitable for connection to commercially available PVC pipe. Module must have 2-pass tubular heat exchangers, constructed of aluminized steel. Heat exchanger tubes must be installed on the vest plate by means of swaged assembly, welded connections are not acceptable. Module must be encased in a weather-tight metal housing with intake air vents.

2.7 AIR FILTERS

List air filters according to requirements of UL 900, except list high efficiency particulate air filters of 99.97 percent efficiency by the DOP Test method under the Label Service to meet the requirements of UL 586.

2.7.1 Extended Surface Pleated Panel Filters

Provide 2 inch depth, sectional, disposable type filters of the size indicated with a MERV of 8 when tested according to ASHRAE 52.2. Provide initial resistance at 500 fpm that does not exceed 0.36 inches water gauge. Provide UL Class 2 filters, and nonwoven cotton and synthetic fiber mat media. Attach a wire support grid bonded to the media to a moisture resistant fiberboard frame. Bond all four edges of the filter media to the inside of the frame to prevent air bypass and increase rigidity.

2.7.2 Cartridge Type Filters

Provide 12 inch depth, sectional, replaceable dry media type filters of the size indicated with a MERV of 13 when tested according to ASHRAE 52.2. Provide initial resistance at 500 fpm that does not exceed 0.56 inches, water gauge. Provide UL class 1 filters, and pleated microglass paper media with corrugated aluminum separators, sealed inside the filter cell to form a totally rigid filter assembly. Fluctuations in filter face velocity or turbulent airflow have no effect on filter integrity or performance. Install each filter with an extended surface pleated media panel filter as a prefilter in a factory preassembled side access housing, or a factory-made sectional frame bank, as indicated.

2.7.3 Sectional Cleanable Filters

Provide 1 inch thick cleanable filters. Provide viscous adhesive in 5 gallon containers in sufficient quantity for 12 cleaning operations and not less than one quart for each filter section. Provide one washing and charging tank for every 100 filter sections or fraction thereof; with each washing and charging unit consisting of a tank and single drain rack mounted on legs and drain rack with dividers and partitions to properly support the filters in the draining position.

2.7.4 Replaceable Media Filters

Provide the dry-media type replaceable media filters, of the size required to suit the application. Provide filtering media that is not less than 2 inches thick fibrous glass media pad supported by a structural wire grid or woven wire mesh. Enclose pad in a holding frame of not less than 16 gauge galvanized steel, equipped with quick-opening mechanism for changing filter media. Base the air flow capacity of the filter on net filter face velocity not exceeding 300 fpm, with initial resistance of 0.13 inches water gauge. Provide MERV that is not less than when tested according to ASHRAE 52.2.

2.8 CONTROLS

2.8.1 Unit Controls

Provide units internally prewired by manufacturer with a 24 volt electromechanical control circuit powered by an internal transformer. Provide terminal blocks for power wiring and external control wiring. Internally protect unit by fuses or a circuit breaker in accordance with UL 1995. Units with three-phase power must be equipped with phase monitoring protection to protect against problems caused by phase loss, phase imbalance and phase reversal.

- a. Provide unit with microprocessor controls to provide all 24V control functions. Control unit by a two stage heating /cooling thermostat

with automatic changeover. Control unit by a programmable electronic thermostat with heating setback and cooling setup with 7-day programming capability.

- b. Provide unit with low voltage electric controls or factory supplied DDC control system.

2.8.2 Unit DDC Controller

- a. Unit controller must include input, output and self-contained programming as needed for complete control of unit.
- b. All program sequences must be stored on board in EEPROM. Batteries cannot be used to retain logic program. Execute all program sequences by controller 10 times per second and must be capable of multiple PID loops for control of multiple devices. Programming of logic controller must be completely modifiable in the field over installed BACnet LANs.
- c. Temperature Control System Interface: Points must be available from the unit controller for service access and display or control.
- d. Provide device, either graphical interface or an additional laptop with communication cabling along with the manufacturer's diagnostic software, to enable the maintenance/service technicians to log directly into the DOAS stand-alone controller for system diagnostics/maintenance.

PART 3 EXECUTION

3.1 INSTALLATION

Install equipment in accordance with the manufacturer's installation instructions and manufacturer's recommendations.

Submit installation drawings for each DOAS in accordance with referenced standards in this section. Contractor and manufacturer are responsible for verifying equipment, including any additional parts such as controls devices and other equipment requiring access, fits in the space provided. The Government review does not relieve contractor from delivering the product that meets minimum capacity and mandatory efficiency requirements to meet all codes and standards.

3.2 TESTING

3.2.1 Quality Control

Test and rate components of the air-conditioning systems as a system in accordance with ANSI/AHRI 210/240.

3.2.2 SYSTEM PERFORMANCE TESTS

Before each system is accepted, conduct tests to demonstrate the general operating characteristics of all equipment by a registered professional engineer or an approved manufacturer's start-up representative experienced in system start-up and testing, at such times as directed. Provide in electronic format. Also provide with six copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the

system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system.

For equipment providing heating and cooling the system performance tests must be performed during the heating and cooling seasons.

- a. Submit a schedule, at least 2 weeks prior to the start of related testing, for the system performance tests. The schedules must identify the proposed date, time, and location for each test. Tests must cover a period of not less than 48 hours for each system and must demonstrate that the entire system is functioning in accordance with the drawings and specifications.
- b. Make corrections and adjustments, as necessary, tests must be re-conducted to demonstrate that the entire system is functioning as specified. Prior to acceptance, install and tighten service valve seal caps and blanks over gauge points. Replace any refrigerant lost during the system startup.
- c. If tests do not demonstrate satisfactory system performance, correct deficiencies and retest the system. Conduct tests in the presence of the Contracting Officer. Water and electricity required for the tests will be furnished by the Government. Provide all material, equipment, instruments, and personnel required for the test.
- d. Coordinate field tests with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS. Submit six copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system. Submit the report including the following information (where values are taken at least three different times at outside dry-bulb temperatures that are at least 5 degrees F apart):
 - (1) Date and outside weather conditions.
 - (2) The load on the system based on the following:
 - (a) The refrigerant used in the system.
 - (b) Condensing temperature and pressure.
 - (c) Suction temperature and pressure.
 - (d) Ambient, condensing and coolant temperatures.
 - (e) Running current, voltage and proper phase sequence for each phase of all motors.
 - (3) The actual on-site setting of operating and safety controls.
 - (4) Thermostatic expansion valve superheat - value as determined by field test.
 - (5) Subcooling.
 - (6) High and low refrigerant temperature switch set-points

- (7) Low oil pressure switch set-point.
- (8) Defrost system timer and thermostat set-points.
- (9) Moisture content.
- (10) Capacity control set-points.
- (11) Field data and adjustments which affect unit performance and energy consumption.
- (12) Field adjustments and settings which were not permanently marked as an integral part of a device.

3.3 REFRIGERANT TESTS, CHARGING, AND START-UP

Split-system refrigerant piping systems must be tested and charged as specified in Section 23 23 00 REFRIGERANT PIPING. Packaged refrigerant systems which are factory charged must be checked for refrigerant and oil capacity to verify proper refrigerant levels in accordance with manufacturer's recommendations. Following charging, packaged systems must be tested for leaks with a halide torch or an electronic leak detector. Provide in electronic format. Also provide with six copies of each test containing the information described below in bound 8-1/2 by 11 inch booklets. Individual reports must be submitted for the refrigerant system tests.

- a. The date the tests were performed.
- b. A list of equipment used, with calibration certifications.
- c. Initial test summaries.
- d. Repairs/adjustments performed.
- e. Final test results.

3.3.1 Refrigerant Leakage

If a refrigerant leak is discovered after the system has been charged, the leaking portion of the system must immediately be isolated from the remainder of the system and the refrigerant pumped into the system receiver or other suitable container. Under no circumstances must the refrigerant be discharged into the atmosphere.

3.3.2 Contractor's Responsibility

Take steps, at all times during the installation and testing of the refrigeration system, to prevent the release of refrigerants into the atmosphere. The steps must include, but not be limited to, procedures which will minimize the release of refrigerants to the atmosphere and the use of refrigerant recovery devices to remove refrigerant from the system and store the refrigerant for reuse or reclaim. At no time must more than 3 ounces of refrigerant be released to the atmosphere in any one occurrence. Any system leaks within the first year must be repaired in accordance with the requirements herein at no cost to the Government including material, labor, and refrigerant if the leak is the result of defective equipment, material, or installation.

3.4 CLOSEOUT ACTIVITIES

3.4.1 Operation and Maintenance Manuals, Data Package 2

Submit six manuals at least 2 weeks prior to field training. Submit data complying with the requirements specified in Section 01 78 23 OPERATION AND MAINTENANCE DATA and 01 78 24.00 20 FACILITY DATA WORKBOOK (FDW). Submit Data Package 3 for the items/units listed under SD-10 Operation and Maintenance Data

3.4.2 Operation and Maintenance Training

Conduct a video recorded training course for the members of the operating staff as designated by the Contracting Officer. Make the training period consist of a total of 16 hours of normal working time and start it after all work specified herein is functionally completed and the Performance Tests have been approved. Conduct field instruction that covers all of the items contained in the Operation and Maintenance Manuals as well as demonstrations of routine maintenance operations. Submit the proposed On-site Training schedule concurrently with the Operation and Maintenance Manuals and at least 14 days prior to conducting the training course. Provide a copy of the recorded training to the designated operating staff.

3.4.3 Acceptance

With the warranty, provide a cover letter/sheet clearly marked with the system name, date, and the words "Equipment Warranty" - "Forward to the Systems Engineer/Condition Monitoring Office/Predictive Testing Group for inclusion in the Maintenance Database."

3.5 PAINTING OF NEW EQUIPMENT

New equipment painting must be factory applied or shop applied, and must be as specified herein, and provided under each individual section.

3.5.1 Factory Painting Systems

Manufacturer's standard factory painting systems may be provided subject to certification that the factory painting system applied will withstand 125 hours in a salt-spray fog test, except that equipment located outdoors must withstand 500 hours in a salt-spray fog test. Salt-spray fog test must be in accordance with ASTM B117, and for that test the acceptance criteria must be as follows: immediately after completion of the test, the paint must show no signs of blistering, wrinkling, or cracking, and no loss of adhesion; and the specimen must show no signs of rust creepage beyond 0.125 inch on either side of the scratch mark.

The film thickness of the factory painting system applied on the equipment must not be less than the film thickness used on the test specimen. If manufacturer's standard factory painting system is being proposed for use on surfaces subject to temperatures above 120 degrees F, the factory painting system must be designed for the temperature service.

3.5.2 Shop Painting Systems for Metal Surfaces

Clean, pretreat, prime and paint metal surfaces; except aluminum surfaces need not be painted. Apply coatings to clean dry surfaces. Clean the surfaces to remove dust, dirt, rust, oil and grease by wire brushing and solvent degreasing prior to application of paint, except metal surfaces

subject to temperatures in excess of 120 degrees F must be cleaned to bare metal.

Where more than one coat of paint is specified, apply the second coat after the preceding coat is thoroughly dry. Lightly sand damaged painting and retouch before applying the succeeding coat. Color of finish coat must be aluminum or light gray.

- a. Temperatures Less Than 120 Degrees F: Immediately after cleaning, the metal surfaces subject to temperatures less than 120 degrees F must receive one coat of pretreatment primer applied to a minimum dry film thickness of 0.3 mil, one coat of primer applied to a minimum dry film thickness of 1 mil; and two coats of enamel applied to a minimum dry film thickness of 1 mil per coat.
- b. Temperatures Between 120 and 400 Degrees F: Metal surfaces subject to temperatures between 120 and 400 degrees F must receive two coats of 400 degrees F heat-resisting enamel applied to a total minimum thickness of 2 mils.
- c. Temperatures Greater Than 400 Degrees F: Metal surfaces subject to temperatures greater than 400 degrees F must receive two coats of 600 degrees F heat-resisting paint applied to a total minimum dry film thickness of 2 mils.

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SECTION 23 80 20.00 10

GAS-FIRED HEATING EQUIPMENT

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z21.66/CGA 6.14 (2023) Automatic Vent Damper Devices for Use with Gas-Fired Appliances

ANSI Z83.4/CSA 3.7 (2017; R 2022) Non-Recirculating Direct Gas-Fired Heating and Forced Ventilation Appliances for Commercial and Industrial Application

CSA GROUP (CSA)

CSA Directory (updated continuously online) Product Index

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1 (2021) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54 (2024) National Fuel Gas Code

NFPA 211 (2019) Standard for Chimneys, Fireplaces, Vents, and Solid Fuel-Burning Appliances

UNDERWRITERS LABORATORIES (UL)

UL FLAMMABLE & COMBUSTIBLE (2012) Flammable and Combustible Liquids and Gases Equipment Directory

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Detail Drawings
Installation

SD-03 Product Data

Spare Parts

SD-06 Test Reports

Testing, Adjusting, and Balancing

SD-10 Operation and Maintenance Data

Operation and Maintenance Instructions

1.3 QUALITY ASSURANCE

Submit detail drawings consisting of illustrations, schedules, performance charts, instructions, brochures, diagrams, and other information to illustrate the requirements and operation of the system. Detail drawings for space heating equipment, controls, associated equipment, and for piping and wiring. Show proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearances for maintenance and operation.

1.4 DELIVERY, STORAGE, AND HANDLING

Protect all equipment delivered and placed in storage from weather, humidity and temperature variations, dirt and dust, or other contaminants.

1.5 EXTRA MATERIALS

Submit spare parts data for each different item of material and equipment specified, after approval of the detail drawings, and not later than 2 months prior to the date of beneficial occupancy. Include in the data a complete list of parts and supplies, with current unit prices and source of supply.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

2.1.1 General

Provide materials and equipment which are standard products of a manufacturer regularly engaged in manufacturing of the products and that essentially duplicate equipment that has been in satisfactory use at least 2 years prior to bid opening. All gas fired appliances must meet the requirements of NFPA 54.

2.1.2 Nameplates

Secure a plate to each major component of equipment containing the manufacturer's name, address, type or style, model or serial number, and catalog number. Also, affix an ENERGY STAR label as applicable.

2.1.3 Equipment Guards

Completely enclose or guard belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts so located that any person may come in close proximity thereto. Guard or cover high-temperature equipment and piping so located as to endanger personnel or create a fire hazard with insulation of type specified for service.

2.2 ELECTRICAL WORK

Provide electrical motor driven equipment complete with motors, motor starters, and controls. Provide motors conforming to NEMA MG 1. Provide electrical equipment and wiring accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide electrical characteristics as specified or indicated. Provide premium efficiency integral size motors in accordance with NEMA MG 1. Provide motor starters complete with thermal overload protection and other appurtenances necessary for the motor control specified. Each motor must be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, must be provided.

2.3 HEATERS

Equip heaters for and adjust to burn natural gas. Provide each heater with a gas pressure regulator that will satisfactorily limit the main gas burner supply pressure. Heaters must have an intermittent or interrupted electrically ignited pilot or a direct electric ignition system. Provide safety controls that conform to the ANSI standard specified for each heater. Furnish mounting brackets and hardware by the heater manufacturer and factory finish to match the supported equipment..

2.3.1 Direct Fired Make-Up Air Heaters

Furnish heaters in accordance with ANSI Z83.4/CSA 3.7. Direct fired make-up air heaters use outside air directly ducted to the heater. The products of combustion generated by the heater are released into the outside air stream being heated. Equip heaters with motorized inlet and dampers, discharge air diffuser, duct collar, air filters, and bird screen. Provide modulating gas control valve. Maximum air temperature rise during minimum burner fire must be 7 degrees F. Fan must be variable speed. Provide weatherized outdoor heaters with manufacturer's standard exterior finish for outdoor units. Provide motorized inlet and outlet dampers that close when the unit is shut down. Dampers must be interlocked to prevent burner operation when dampers are closed. Provide heaters with a space or discharge air thermostat, a low limit air stream thermostat, and an ambient air thermostat. The space or discharge air thermostat must control the gas control valve. Provide low limit air stream thermostat to shut down the entire unit if the discharge air temperature drops below the space thermostat setting. Provide ambient air thermostat to shut down the burner if the outside air exceeds the discharge or space thermostat setting.

2.4 VENT PIPING

Provide vent piping conforming to the requirements of NFPA 54. Plastic material polyetherimide (PEI) and polyethersulfone (PES) are forbidden to be used for vent piping of combustion gases.

2.5 ELECTRIC AUTOMATIC VENT DAMPERS

Provide electric automatic vent dampers conforming to the requirements of ANSI Z21.66/CGA 6.14 and provide in the vents of heaters except unvented infrared heaters using indoor air for combustion air.

2.6 INSULATION

Provide insulation for piping and equipment and application in accordance with Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS.

2.7 FACTORY FINISHES

Equipment and component items, when fabricated from ferrous metal, must be factory finished with the manufacturer's standard finish.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming thoroughly familiar with all details of the work, verify all dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

3.2 INSTALLATION

install equipment as indicated and in accordance with the recommendations of the equipment manufacturer and the listing agency, except as otherwise specified.

3.2.1 Heating Equipment

Install heaters with clearance to combustibles, complying with minimum distances as determined by CSA Directory, UL FLAMMABLE & COMBUSTIBLE and as indicated on each heater approval and listing plate. Support heaters independently from the building structure, as indicated, but not relying on suspended ceiling systems for support.

3.2.2 Vents

Locate vent dampers, piping and structural penetrations as indicated. Perform vent damper installation in conformance to ANSI Z21.66/CGA 6.14. Extend vent pipes, where not connected to a masonry chimney conforming to NFPA 211, through the roof or an outside wall and terminate, in compliance with NFPA 54. Provide vents passing through waterproof membranes with the necessary flashings to obtain waterproof installations.

3.2.3 Gas Piping

Connect gas piping as indicated, complying with the applicable requirements at Section 23 11 20 FACILITY GAS PIPING.

3.3 TRAINING

Conduct a training course for the maintenance and operating staff. The training period of 4 hours normal working time must start after the system is functionally complete but before the final acceptance tests. Give the Contracting Officer at least two weeks advance notice of such training. Include all of the items contained in the approved operation and maintenance instructions as well as demonstrations of routine maintenance operations. Submit 6 complete copies of operating instructions outlining the step-by-step procedures required for system startup, operation and shutdown. Include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and basic operating features. Submit 6 complete copies of maintenance instructions listing

routine maintenance, possible breakdowns, repairs and troubleshooting guide. Include simplified piping, wiring, and control diagrams for the system as installed.

3.4 TESTING, ADJUSTING, AND BALANCING

Perform testing, adjusting, and balancing as specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS. Submit test reports in booklet form showing all field tests performed to adjust each component and all field tests performed to prove compliance with the specified performance criteria, upon completion and testing of the installed system. Indicate the final position of controls.

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SECTION 23 81 00

DECENTRALIZED UNITARY HVAC EQUIPMENT

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR-CONDITIONING, HEATING AND REFRIGERATION INSTITUTE (AHRI)

AHRI 340/360 I-P	(2015) Performance Rating of Commercial and Industrial Unitary Air-Conditioning and Heat Pump Equipment
AHRI 700	(2016) Specifications for Fluorocarbon Refrigerants
ANSI/AHRI 210/240	(2008; Add 1 2011; Add 2 2012) Performance Rating of Unitary Air-Conditioning & Air-Source Heat Pump Equipment
ANSI/AHRI 340/360	(2007; Addendum 1 2010; Addendum 2 2011) Performance Rating of Commercial and Industrial Unitary Air-Conditioning and Heat Pump Equipment
ANSI/AHRI 460	(2005) Performance Rating of Remote Mechanical-Draft Air-Cooled Refrigerant Condensers

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ANSI/ASHRAE 15 & 34	(2022) ANSI/ASHRAE Standard 15-Safety Standard for Refrigeration Systems and ANSI/ASHRAE Standard 34-Designation and Safety Classification of Refrigerants
ASHRAE 15 & 34	(2013) ASHRAE Standard 34-2016 Safety Standard for Refrigeration Systems/ASHRAE Standard 34-2016 Designation and Safety Classification of Refrigerants-ASHRAE Standard 34-2016
ASHRAE 52.2	(2017) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size
ASHRAE 55	(2023) Thermal Environmental Conditions for Human Occupancy
ASHRAE 62.1	(2022) Ventilation for Acceptable Indoor

Air Quality

ASHRAE 90.1 - IP (2019) Energy Standard for Buildings
Except Low-Rise Residential Buildings

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME BPVC SEC IX (2017; Errata 2018) BPVC Section
IX-Welding, Brazing and Fusing
Qualifications

ASME BPVC SEC VIII D1 (2019) BPVC Section VIII-Rules for
Construction of Pressure Vessels Division 1

AMERICAN WELDING SOCIETY (AWS)

AWS Z49.1 (2021) Safety in Welding and Cutting and
Allied Processes

ASTM INTERNATIONAL (ASTM)

ASTM B117 (2019) Standard Practice for Operating
Salt Spray (Fog) Apparatus

ASTM C1071 (2019) Standard Specification for Fibrous
Glass Duct Lining Insulation (Thermal and
Sound Absorbing Material)

ASTM D520 (2000; R 2011) Zinc Dust Pigment

ASTM E84 (2023) Standard Test Method for Surface
Burning Characteristics of Building
Materials

ASTM E2129 (2018) Standard Practice for Data
Collection for Sustainability Assessment
of Building Products

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA MG 1 (2021) Motors and Generators

NEMA MG 2 (2014) Safety Standard for Construction
and Guide for Selection, Installation and
Use of Electric Motors and Generators

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-DTL-5541 (2006; Rev F; Notice 2 2024) Chemical
Conversion Coatings on Aluminum and
Aluminum Alloys

UNDERWRITERS LABORATORIES (UL)

UL 586 (2009; Reprint Sep 2022) UL Standard for
Safety High-Efficiency Particulate, Air
Filter Units

UL 900 (2015; Reprint Aug 2022) UL Standard for

SafetyStandard for Air Filter Units

UL 1995

(2015; Reprint Aug 2022) UL Standard for
Safety Heating and Cooling Equipment

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Spare Parts

Posted Instructions

Coil Corrosion Protection

System Performance Tests

Training; G

Inventory

Environmental Data

Supplied Products

Manufacturer's Standard Catalog Data

SD-06 Test Reports

Refrigerant Tests, Charging, and Start-Up; G

System Performance Tests; G

SD-07 Certificates

Service Organizations

SD-10 Operation and Maintenance Data

Operation and Maintenance Manuals; G

1.3 QUALITY ASSURANCE

Carefully investigate the plumbing, fire protection, electrical, structural and finish conditions that would affect the work to be performed and arrange such work accordingly, furnishing required offsets, fittings, and accessories to meet such conditions. Submit drawings consisting of:

- a. Equipment layouts which identify assembly and installation details.
- b. Plans and elevations which identify clearances required for maintenance and operation.

- c. Wiring diagrams which identify each component individually and interconnected or interlocked relationships between components.
- d. Foundation drawings, bolt-setting information, and foundation bolts prior to concrete foundation construction for equipment indicated or required to have concrete foundations.
- e. Details, if piping and equipment are to be supported other than as indicated, which include loadings and type of frames, brackets, stanchions, or other supports.
- f. Automatic temperature control diagrams and control sequences.
- g. Installation details which includes the amount of factory set superheat and corresponding refrigerant pressure/temperature.
- h. Equipment schedules

1.4 DELIVERY, STORAGE, AND HANDLING

Protect stored items from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Properly protect and care for all material both before and during installation. Submit an inventory of all the stored items. Replace any materials found to be damaged, at no additional cost to the Government. During installation, cap piping and similar openings capped to keep out dirt and other foreign matter.

1.5 ENVIRONMENTAL REQUIREMENTS

For proper Indoor Environmental Quality, maintain pressure within the building as indicated. Ventilation must meet or exceed ASHRAE 62.1 and all published addenda. Meet or exceed filter media efficiency as tested in accordance with ASHRAE 52.2. Thermal comfort must meet or exceed ASHRAE 55 AFGM 2016-01.

1.6 WARRANTY

Provide equipment with the Manufacturer's Standard Warranty.

PART 2 PRODUCTS

2.1 ENERGY EFFICIENCY REQUIREMENTS

42 USC 8259b requires the procurement of energy efficient products in product categories covered by the Energy Star program or the Federal Energy Management Program for designated products. A list of covered product categories is available from the Federal Energy Management Web site at: <https://www.energy.gov/femp/search-energy-efficient-products>.

Submit Material, Equipment, and Fixtures List of all supplied products within a covered product category, including manufacturer's catalog numbers, specification and drawing reference number, warranty information, fabrication site, and energy performance data. For product categories covered by the Federal Energy Management Program, submit documentation that the product meets or exceeds FEMP-designated efficiency requirements.

2.2 MATERIALS

Provide Manufacturer's standard catalog data, at least 5 weeks prior to the purchase or installation of a particular component, highlighted to show material, size, options, performance charts and curves, etc. in adequate detail to demonstrate compliance with contract requirements. Data includes manufacturer's recommended installation instructions and procedures. If vibration isolation is specified for a unit, include vibration isolator literature containing catalog cuts and certification that the isolation characteristics of the isolators provided meet the manufacturer's recommendations. Submit data for each specified component. Minimum efficiency requirements must be in accordance with ASHRAE 90.1 - IP.

2.2.1 Standard Products

Provide materials and equipment that are standard products of a manufacturer regularly engaged in the manufacturing of such products, which are of a similar material, design and workmanship. The standard products must have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2 year use includes applications of equipment and materials under similar circumstances and of similar size. The 2 years' experience must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturer's catalogs, or brochures. Products having less than a 2 year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. Products must be supported by a service organization. Ensure system components are environmentally suitable for the indicated geographic locations.

2.2.2 Product Sustainability Criteria

2.2.2.1 Energy Efficient Equipment

Provide equipment meeting the efficiency requirements as stated within this section and provide documentation in conformance with Section 01 33 29 SUSTAINABILITY REQUIREMENTS AND REPORTING paragraph ENERGY EFFICIENT EQUIPMENT.

2.2.2.2 Electrical Equipment / Motors

Provide electrical equipment, motors, motor efficiencies, and wiring which are in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Electrical motor driven equipment specified must be provided complete with motors, motor starters, and controls. Electrical characteristics must be as shown, and unless otherwise indicated, all motors of 1 horsepower and above with open, drip-proof, totally enclosed, or explosion proof fan cooled enclosures, must be the premium efficiency type in accordance with NEMA MG 1. Field wiring must be in accordance with manufacturer's instructions. Each motor must conform to NEMA MG 1 and NEMA MG 2 and be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Motors must be continuous duty with the enclosure specified. Motor starters must be provided complete with thermal overload protection and other appurtenances necessary for the motor control indicated. Motors must be furnished with a magnetic across-the-line or reduced voltage type starter as required by the manufacturer. Motor duty requirements must allow for maximum

frequency start-stop operation and minimum encountered interval between start and stop. Motors must be sized for the applicable loads. Motor torque must be capable of accelerating the connected load within 20 seconds with 80 percent of the rated voltage maintained at motor terminals during one starting period. Motor bearings must be fitted with grease supply fittings and grease relief to outside of enclosure. Manual or automatic control and protective or signal devices required for the operation specified and any control wiring required for controls and devices specified, but not shown, must be provided.

2.2.2.3 Ozone Depleting Substances

Unitary air conditioning equipment must not use CFC-based refrigerants. Refrigerant may be an approved alternative refrigerant in accordance with EPA's Significant New Alternative Policy (SNAP) listing. Provide documentation in conformance with Section 01 33 29 SUSTAINABILITY REQUIREMENTS AND REPORTING paragraph OZONE DEPLETING SUBSTANCES.

2.2.2.4 Local/Regional Materials

Use materials or products extracted, harvested, or recovered, as well as manufactured, within a 500 mile radius from the project site, if available from a minimum of three sources.

2.2.2.5 Environmental Data

Submit Table 1 of ASTM E2129 for the following products: .

2.2.3 Nameplates

Major equipment including compressors, condensers, receivers, heat exchanges, fans, and motors must have the manufacturer's name, address, type or style, model or serial number, and catalog number on a plate secured to the item of equipment. Plates must be durable and legible throughout equipment life and made of stainless steel. Fix plates in prominent locations with nonferrous screws or bolts.

2.2.4 Safety Devices

Exposed moving parts, parts that produce high operating temperature, parts which may be electrically energized, and parts that may be a hazard to operating personnel must be insulated, fully enclosed, guarded, or fitted with other types of safety devices. Safety devices must be installed so that proper operation of equipment is not impaired. Welding and cutting safety requirements must be in accordance with AWS Z49.1.

2.3 EQUIPMENT

2.3.1 Self-Contained Air Conditioners Heat Pumps

2.3.1.1 Small-Capacity Self-Contained air conditioners Heat Pumps (Not exceeding 65,000 Btu/h)

2.3.1.1.1 General

Unit must be an air-cooled, factory assembled, weatherproof packaged unit as indicated. Unit must be the heat pump type conforming to applicable Underwriters Laboratories (UL) standards including UL 1995. Unit must be rated in accordance with ANSI/AHRI 210/240/ANSI/AHRI 340/360. Unit must be

provided with equipment as specified in paragraph UNITARY EQUIPMENT COMPONENTS. Evaporator or supply fans must be direct drive forward curved centrifugal scroll type. Condenser fans must be manufacturer's standard for the unit specified and may be either propeller or centrifugal scroll type. Unit must be provided with a full factory operating charge of refrigerant. Unit must have an Energy Star label.

2.3.1.1.2 Air-to-Refrigerant Coils

Air-to-refrigerant coils must have seamless copperoraluminum tubes of 5/16 inch minimum diameter with copperoraluminum fins that are mechanically bonded or soldered to the tubes. Casing must be galvanized steeloraluminum. Contact of dissimilar metals must be avoided. Coils must be tested in accordance with ANSI/ASHRAE 15 & 34 at the factory and be suitable for the working pressure of the installed system. Each coil must be factory pressure and leak tested. Separate expansion devices must be provided for each compressor circuit.

Condenser and Evaporator coil must be coated with a uniformly applied phenolic, or vinyl type coating to all coil surface areas without material bridging between fins. Coating must be applied at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation. Coating must be capable of withstanding a minimum 1,000 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.3.1.1.3 Fan Section

Fan must be the centrifugal type in accordance with paragraph FANS. Do not locate fan and fan motor in the discharge airstream of the unit. Motors must have open enclosure and be suitable for the indicated service. The unit design must prevent water from entering into the fan section.

2.3.1.1.4 Compressor

Provide direct drive, digital scroll type Compressor. Compressor must have internal over current and over temperature protection, internal pressure relief, rotor lock suction and discharge refrigerant connections, centrifugal oil pump, vibration isolation, and discharge refrigerant connections.

2.3.1.1.5 Refrigeration Circuit

Refrigerant containing components must comply with ANSI/ASHRAE 15 & 34 and be factory tested, cleaned, dehydrated, charged, and sealed. Refrigerant lines must have service pressure tap ports and refrigerant line filter.

2.3.1.1.6 Unit Controls

Provide units internally prewired by manufacturer with a 24 volt control circuit powered by an internal transformer. Terminal blocks must be provided for power wiring and external control wiring. Unit must be internally protected by fuses or a circuit breaker in accordance with UL 1995.

- a. Unit must be provided with microprocessor controls to provide all 24V control functions. Unit must be controlled by a two stage heating /cooling thermostat with automatic changeover. Unit must be controlled

by a programmable electronic thermostat with heating setback and cooling setup with 7-day programming capability.

2.3.1.1.7 Primary/Supplemental Heat

Provide heating unit with internal thermal insulation having a fire hazard rating not to exceed 25 for flame spread and 50 for smoke developed as determined by ASTM E84.

2.3.1.1.8 Single Source Power Entry

Provide single source power entry to allow single source power connection to unit and heater combination. Single source power entry kit includes specific matching heater(s), high voltage terminal blocks, fuse blocks and fuses, cut-to-length interconnecting wiring, and plug with matching receptacle or junction box (if required) to provide power sources with fuse protection as required for both the unit and accessory heater. The equipment disconnect must be provided by the Manufacturer of the equipment.

2.3.1.1.9 Low Ambient Control

Provide low ambient control to allow cycling of compressor for cooling operation at low ambient temperatures down to 0 degrees F.

2.3.1.1.10 Filters

Provide a 1 inch MERV 8, throwaway filter.

2.3.2 Mini-Split-System Air Conditioners Heat Pumps

2.3.2.1 Small-Capacity Split-System Air-Conditioners (Not Exceeding 65,000 Btu/hr)

Provide an air-cooled, split system which employs a remote condensing unit, a separate ceiling mounted indoor unit, and interconnecting refrigerant piping. Provide the heat pump type unit conforming to applicable Underwriters Laboratories (UL) standards including UL 1995. Unit must be rated in accordance with ANSI/AHRI 210/240AHRI 340/360 I-P. Provide indoor unit with necessary fans, air filters, and galvanized steel cabinet construction. The remote unit must be as specified in paragraph CONDENSING UNIT. Provide double-width, double inlet, forward curved backward inclined, or airfoil blade, centrifugal scroll type evaporator or supply fans. Provide the manufacturer's standard condenser or outdoor fans for the unit specified and may be either propeller or centrifugal scroll type. Fan and condenser motors must have drip proof enclosures. Design unit to operate at outdoor ambient temperatures up to 115 degrees F.

2.3.2.1.1 Energy Efficiency

Provide unit with an Energy Star label.

2.3.2.1.2 Air-to-Refrigerant Coil

Provide condensing coils with copper or aluminum tubes of 3/8 inch minimum diameter with copper or aluminum fins that are mechanically bonded or soldered to the tubes. Casing must be galvanized steel or aluminum. Avoid contact of dissimilar metals. Test coils in accordance with ASHRAE 15 & 34 at the factory and ensure suitability for the working pressure of the installed system. Dehydrate and seal each coil testing

and prior to evaluation and charging.

Coat condenser and evaporator coil with a uniformly applied phenolic, or vinyl type coating to all coil surface areas without material bridging between fins. Apply coating at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation and be capable of withstanding a minimum 1,000 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.3.2.1.3 Compressor

Provide direct drive digital scroll type compressor. Provide compressor with internal over temperature and pressure protector; sump heater; oil pump; high pressure and low pressure controls; and liquid line dryer.

2.3.2.1.4 Refrigeration Circuit

Refrigerant-containing components must comply with ASHRAE 15 & 34 and be factory tested, cleaned, dehydrated, charged, and sealed. Provide each unit with a factory operating charge of refrigerant and oil or a holding charge. Field charge unit shipped with a holding charge. Provide refrigerant charging valves. Provide filter-drier in liquid line to prevent freeze-up in event of loss of water flow during heating cycle.

2.3.2.1.5 Unit Controls

Provide unit internally prewired with a 24 volt control circuit powered by an internal transformer. Provide terminal blocks for power wiring and external control wiring. Internally protect unit by fuses or a circuit breaker in accordance with UL 1995. Equip units with three-phase power with phase monitoring protection to protect against problems caused by phase loss, phase imbalance and phase reversal. Provide unit with microprocessor controls to provide all 24V control functions. Control unit by a two stage heating /cooling thermostat with automatic changeover. Control unit by a programmable electronic thermostat with heating setback and cooling setup with 7-day programming capability.

Communication networks between physically separate units in a split system must be in accordance with either Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS or Section 23 09 23.01 LONWORKS DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS.

2.3.2.1.6 Condensing Coil

Provide coils with copper or aluminum tubes of 3/8 inch minimum diameter with copper or aluminum fins that are mechanically bonded or soldered to the tubes. Protect coil in accordance with paragraph CORROSION PROTECTION. Provide galvanized steel or aluminum casing. Avoid contact of dissimilar metals. Test coils in accordance with ANSI/ASHRAE 15 & 34 at the factory and ensure suitability for the working pressure of the installed system. Dehydrate and seal each coil after testing and prior to evaluation and charging. Provide separate expansion devices for each compressor circuit.

2.3.2.1.7 Remote Condenser or Condensing Unit

Fit each remote condenser coil fitted with a manual isolation valve and an access valve on the coil side. Saturated refrigerant condensing temperature must not exceed 120 degrees F at 104 degrees F ambient. Provide unit with low ambient condenser controls to ensure proper operation in an ambient temperature of 20 degrees F. Provide fan and

cabinet construction as specified in paragraph UNITARY EQUIPMENT ACCESSORIES. Fan and condenser motors must have appropriate enclosures. Condensing unit must have controls to initiate a refrigerant pump down cycle at system shut down on each refrigerant circuit.

2.3.2.1.7.1 Air-Cooled Condenser

Provide Unit in accordance with ANSI/AHRI 460 and conform to the requirements of UL 1995. Provide factory fabricated, tested, packaged, and self-contained unit; complete with casing, propeller or centrifugal type fans, heat rejection coils, connecting piping and wiring, and all necessary accessories.

2.3.2.1.8 Primary/Supplemental Heat

Provide heating unit with internal thermal insulation having a fire hazard rating not to exceed 25 for flame spread and 50 for smoke developed as determined by ASTM E84.

2.3.2.1.9 Air Filters

Provide filters of the sectional or panel cleanable type that are capable of filtering the entire air supply. Mount filter(s) integral within the unit and make accessible by hinged access panel(s). 1 inch MERV 8, provide throwaway filter on all units below 6 Tons.

Provide filter rack that can be converted to 2.0 inch capability. Filters must have an average dust spot efficiency of 25-35 percent and an average arrestance of 90 percent when tested in accordance with ASHRAE 52.2. Provide UL Class 1 filters.

2.3.2.1.10 Fans

Provide direct driven, statically and dynamically balanced, centrifugal type fans. Design the outdoor fan so that condensate will evaporate without drip, splash, or spray on building exterior. Provide indoor fan with a minimum two-speed motor with built-in overload protection. Fan motors must be the inherently protected, permanent split-capacitor type.

2.4 COMPONENTS

2.4.1 Refrigerant and Oil

Refrigerant must be one of the fluorocarbon gases. Refrigerants must have number designations and safety classifications in accordance with ASHRAE 15 & 34. Refrigerants must meet the requirements of AHRI 700 as a minimum. Provide a complete charge of refrigerant for the installed system as recommended by the manufacturer. Lubricating oil must be of a type and grade recommended by the manufacturer for each compressor. Where color leak indicator dye is incorporated, charge must be in accordance with manufacturer's recommendation.

2.4.2 Fans

Fan wheel shafts must be supported by either maintenance-accessible lubricated antifriction block-type bearings, or permanently lubricated ball bearings. Unit fans must be selected to produce the cfm required at the fan total pressure. Motor starters, if applicable, must be magnetic across-the-line type with a dripproof enclosure. Thermal overload

protection must be of the manual or automatic-reset type. Fan wheels or propellers must be constructed of aluminum or galvanized steel. Centrifugal fan wheel housings must be of galvanized steel, and both centrifugal and propeller fan casings must be constructed of aluminum or galvanized steel. Steel elements of fans, except fan shafts, must be hot-dipped galvanized after fabrication or fabricated of mill galvanized steel. Mill-galvanized steel surfaces and edges damaged or cut during fabrication by forming, punching, drilling, welding, or cutting must be recoated with an approved zinc-rich compound. Fan wheels or propellers must be statically and dynamically balanced. Forward curved fan wheels must be limited to inches. Direct-drive fan motors must be of the multiple-speed variety. Belt-driven fans must have adjustable sheaves to provide not less than percent fan-speed adjustment. The sheave size must be selected so that the fan speed at the approximate midpoint of the sheave adjustment will produce the specified air quantity. Centrifugal scroll-type fans must be provided with streamlined orifice inlet and V-belt drive. Each drive will be independent of any other drive. Propeller fans must be direct-drive or V-belt, as indicated drive type with adjustable pitch blades. V-belt driven fans must be mounted on a corrosion protected drive shaft supported by either maintenance-accessible lubricated antifriction block-type bearings, or permanently lubricated ball bearings. Each drive will be independent of any other drive. Drive bearings must be protected with water slingers or shields. V-belt drives must be fitted with guards where exposed to contact by personnel and adjustable pitch sheaves.

2.4.3 Primary/Supplemental Heating

2.4.4 Air Filters

Provide filters to filter outside air and return air and locate as indicated . Provide replaceable (throw-away) or cleanable (reusable), as indicated type. Filters must conform to UL 900, Class 1 . Polyurethane filters cannot be used on units with multiframe filters.

Air filters must be listed in accordance with requirements of UL 900, except high efficiency particulate air filters of 99.97 percent efficiency by the DOP Test Method must be as listed under the label service and must meet the requirements of UL 586.

2.4.4.1 Extended Surface Pleated Panel Filters

Filters must be 2 inch depth sectional type of the size indicated and must have an average efficiency of 25 to 30 percent when tested in accordance with ASHRAE 52.2. Initial resistance at 500 feet/minute must not exceed 0.36 inches water gauge. Filters must be UL Class 2. Media must be nonwoven cotton and synthetic fiber mat. A wire support grid bonded to the media must be attached to a moisture resistant fiberboard frame. Four edges of the filter media must be bonded to the inside of the frame to prevent air bypass and increase rigidity.

2.4.4.2 Replaceable Media Filters

Provide replaceable media filters of the dry-media type, of the size required to suit the application. Filtering media must not be less than 2 inches thick fibrous glass media pad supported by a structural wire grid or woven wire mesh. Pad must be enclosed in a holding frame of not less than 16 gauge galvanized steel, and equipped with quick-opening mechanism for changing filter media. Base the air flow capacity of the filter on

net filter face velocity not exceeding 300 feet/minute, with initial resistance of 0.13 inches water gauge. Average efficiency must be not less than percent when tested in accordance with ASHRAE 52.2.

2.4.4.3 Sectional Cleanable Filters

Provide sufficient oil to coat filters six times based on 1 pint of oil per each 10 square feet of filter area. Provide washing and charging tanks for cleaning and coating filters. Filters must have a MERV of 6 when tested in accordance with ASHRAE 52.2.

Cleanable filters must be 1 inches thick. Viscous adhesive must be provided in 5 gallon containers in sufficient quantity for 12 cleaning operations and not less than one quart for each filter section. One washing and charging tank must be provided for every 100 filter sections or fraction thereof. Each washing and charging unit must consist of a tank and single drain rack mounted on legs. Drain rack must be provided with dividers and partitions to properly support the filters in the draining position.

2.4.5 Internal Dampers

Dampers must be parallel blade type with renewable blade seals and be integral to the unitary unit. Damper provisions must be provided for each outside air intake, exhaust, economizer, and mixing boxes. Dampers must have automatic modulation and operate as specified.

2.4.6 Mixing Boxes

Mixing boxes must match the base unit in physical size and must include equally-sized flanged openings, each capable of full air flow. Arrangement must be as indicated.

2.4.7 Cabinet Construction

Casings for the specified unitary equipment must be constructed of galvanized steel or aluminum sheet metal and galvanized or aluminum structural members. Minimum thickness of single wall exterior surfaces must be 18 gauge galvanized steel or 0.071 inch thick aluminum on units with a capacity above 20 tons and 20 gauge galvanized steel or 0.064 inch thick aluminum on units with a capacity less than 20 tons. Casing must be fitted with lifting provisions, access panels or doors, fan vibration isolators, electrical control panel, corrosion-resistant components, structural support members, insulated condensate drip pan and drain, and internal insulation in the cold section of the casing. Where double-wall insulated construction is proposed, minimum exterior galvanized sheet metal thickness must be 20 gauge. Provisions to permit replacement of major unit components must be incorporated. Penetrations of cabinet surfaces, including the floor, must be sealed. Unit must be fitted with a drain pan which extends under all areas where water may accumulate. Drain pan must be fabricated from Type 300 stainless steel, galvanized steel with protective coating as required, or an approved plastic material. Pan insulation must be water impervious. Extent and effectiveness of the insulation of unit air containment surfaces must prevent, within limits of the specified insulation, heat transfer between the unit exterior and ambient air, heat transfer between the two conditioned air streams, and condensation on surfaces. Insulation must conform to ASTM C1071. Paint and finishes must comply with the requirements specified in paragraph FACTORY COATING.

2.4.7.1 Indoor Cabinet

Indoor cabinets must be suitable for the specified indoor service and enclose all unit components.

2.4.7.2 Outdoor Cabinet

Outdoor cabinets must be suitable for outdoor service with a weathertight, insulated and corrosion-protected structure. Cabinets constructed exclusively for indoor service which have been modified for outdoor service are not acceptable.

2.4.8 Refrigerant Piping

Provide refrigerant piping in accordance with Section 23 23 00 REFRIGERANT PIPING.

2.4.9 Condensate Drain Piping

provide condensate drain piping in accordance with Section 23 05 15 COMMON PIPING FOR HVAC.

2.4.10 Ductwork

Provide ductwork in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

2.4.11 Temperature Controls

Provide temperature controls fully coordinated with and integrated into the existing air-conditioning system.

2.5 UNITARY EQUIPMENT ACCESSORIES AND MISCELLANEOUS EQUIPMENT

2.6 FINISHES

2.6.1 Coil Corrosion Protection

Provide coil with a uniformly applied epoxy electrodeposition, phenolic, or vinyl type coating to all coil surface areas without material bridging between fins. Submit product data on the type coating selected, the coating thickness, the application process used, the estimated heat transfer loss of the coil, and verification of conformance with the salt spray test requirement. Coating must be applied at either the coil or coating manufacturer's factory. Coating process must ensure complete coil encapsulation. Coating must be capable of withstanding a minimum 1,000 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution.

2.6.2 Equipment and Components Factory Coating

Unless otherwise specified, equipment and component items, when fabricated from ferrous metal, must be factory finished with the manufacturer's standard finish, except that items located outside of buildings must have weather resistant finishes that will withstand 125 hours exposure to the salt spray test specified in ASTM B117 using a 5 percent sodium chloride solution. Immediately after completion of the test, the specimen must show no signs of blistering, wrinkling, cracking, or loss of adhesion and no sign of rust creepage beyond 1/8 inch on either side of the scratch

mark. Cut edges of galvanized surfaces where hot-dip galvanized sheet steel is used must be coated with a zinc-rich coating conforming to ASTM D520, Type I.

Where stipulated in equipment specifications of this section, coat finned tube coils of the affected equipment as specified below. Apply coating at the premises of a company specializing in such work. Degrease and prepare for coating in accordance with the coating applicator's procedures for the type of metals involved. Completed coating must show no evidence of softening, blistering, cracking, crazing, flaking, loss of adhesion, or "bridging" between the fins.

2.6.2.1 Phenolic Coating

Provide a resin base thermosetting phenolic coating. Apply coating by immersion dipping of the entire coil. Provide a minimum of two coats. Bake or heat dry coils following immersions. After final immersion and prior to final baking, spray entire coil with particular emphasis given to building up coating on sheared edges. Total dry film thickness must be 2.5 to 3.0 mils.

2.6.2.2 Chemical Conversion Coating with Polyelastomer Finish Coat

Dip coils in a chemical conversion solution to molecularly deposit a corrosion resistant coating by electrolysis action. Chemical conversion coatings must conform to MIL-DTL-5541, Class 1A. Cure conversion coating at a temperature of 110 to 140 degrees F for a minimum of 3 hours. Coat coil surfaces with a complex polymer primer with a dry film thickness of 1 mil. Cure primer coat for a minimum of 1 hour. Using dip tank method, provide three coats of a complex polyelastomer finish coat. After each of the first two finish coats, cure the coils for 1 hour. Following the third coat, spray a fog coat of an inert sealer on the coil surfaces. Total dry film thickness must be 2.5 to 3.0 mils. Cure finish coat for a minimum of 3 hours. Coating materials must have 300 percent flexibility, operate in temperatures of minus 50 to plus 220 degrees F, and protect against atmospheres of a pH range of 1 to 14.

2.6.2.3 Vinyl Coating

Apply coating using an airless fog nozzle. For each coat, make at least two passes with the nozzle. Materials to be applied are as follows:

- a. Total dry film thickness, 6.5 mils maximum
- b. Vinyl Primer, 24 percent solids by volume: One coat 2 mils thick
- c. Vinyl Copolymer, 30 percent solids by volume: One coat 4.5 mils thick

2.6.3 Factory Applied Insulation

Refrigeration equipment must be provided with factory installed insulation on surfaces subject to sweating including the suction line piping. Where motors are the gas-cooled type, factory installed insulation must be provided on the cold-gas inlet connection to the motor in accordance with manufacturer's standard practice. Factory insulated items installed outdoors are not required to be fire-rated. As a minimum, factory insulated items installed indoors must have a flame spread index no higher than 75 and a smoke developed index no higher than 150. Factory insulated items (no jacket) installed indoors and which are located in air plenums,

in ceiling spaces, and in attic spaces must have a flame spread index no higher than 25 and a smoke developed index no higher than 50. Flame spread and smoke developed indexes must be determined by ASTM E84. Insulation must be tested in the same density and installed thickness as the material to be used in the actual construction. Material supplied by a manufacturer with a jacket must be tested as a composite material. Jackets, facings, and adhesives must have a flame spread index no higher than 25 and a smoke developed index no higher than 50 when tested in accordance with ASTM E84.

2.7 TESTS, INSPECTIONS, AND VERIFICATIONS

All manufactured units must be inspected and tested, and documentation provided to demonstrate that each unit is in compliance with ANSI/AHRI and UL requirements and that the minimum efficiency requirements of ASHRAE 90.1 - IP have been met.

PART 3 EXECUTION

3.1 EXAMINATION

After becoming familiar with all details of the work, perform Verification of Dimensions in the field, and advise the Contracting Officer of any discrepancy before performing any work.

3.2 INSTALLATION

Perform work in accordance with the manufacturer's published diagrams, recommendations, and equipment warranty requirements. Where equipment is specified to conform to the requirements of ASME BPVC SEC VIII D1 and ASME BPVC SEC IX, the design, fabrication, and installation of the system must conform to ASME BPVC SEC VIII D1 and ASME BPVC SEC IX.

3.2.1 Equipment

Provide refrigeration equipment conforming to ASHRAE 15 & 34. Provide necessary supports for all equipment, appurtenances, and pipe as required, including frames or supports for compressors, pumps, cooling towers, condensers, and similar items. Isolate compressors from the building structure. If mechanical vibration isolators are not provided, provide vibration absorbing foundations. Each foundation must include isolation units consisting of machine and floor or foundation fastenings, together with intermediate isolation material. Other floor-mounted equipment must be set on not less than a 6 inch concrete pad doweled in place. Concrete foundations for floor mounted pumps must have a mass equivalent to three times the weight of the components, pump, base plate, and motor to be supported. In lieu of concrete pad foundation, concrete pedestal block with isolators placed between the pedestal block and the floor may be provided. Concrete pedestal block must be of mass not less than three times the combined pump, motor, and base weights. Isolators must be selected and sized based on load-bearing requirements and the lowest frequency of vibration to be isolated. Isolators must limit vibration to 10-20 percent at lowest equipment rpm. Provide lines connected to pumps mounted on pedestal blocks with flexible connectors. Provide foundation drawings, bolt-setting information, and foundation bolts prior to concrete foundation construction for all equipment indicated or required to have concrete foundations. Concrete for foundations must be as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE. Equipment must be properly leveled, aligned, and secured in place in accordance with manufacturer's

instructions.

3.2.2 Mechanical Room Ventilation

Provide mechanical ventilation systems in accordance with Section 23 30 00 HVAC AIR DISTRIBUTION.

3.2.3 Field Applied Insulation

Apply field applied insulation as specified in Section 23 07 00 THERMAL INSULATION FOR MECHANICAL SYSTEMS, except as defined differently herein.

3.3 CLEANING AND ADJUSTING

Equipment must be wiped clean, with all traces of oil, dust, dirt, or paint spots removed. Temporary filters must be provided for all fans that are operated during construction, and new filters must be installed after all construction dirt has been removed from the building. System must be maintained in this clean condition until final acceptance. Bearings must be properly lubricated with oil or grease as recommended by the manufacturer. Belts must be tightened to proper tension. Control valves and other miscellaneous equipment requiring adjustment must be adjusted to setting indicated or directed. Fans must be adjusted to the speed indicated by the manufacturer to meet specified conditions. Testing, adjusting, and balancing must be as specified in Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS.

3.4 TRAINING

Conduct a training course for the operating staff as designated by the Contracting Officer. The training period must consist of a total 8 hours of normal working time and start after the system is functionally completed but prior to final acceptance tests.

- a. Submit a schedule, at least 2 weeks prior to the date of the proposed training course, which identifies the date, time, and location for the training.
- b. Submit the field posted instructions, at least 2 weeks prior to construction completion, including equipment layout, wiring and control diagrams, piping, valves and control sequences, and typed condensed operation instructions. The condensed operation instructions must include preventative maintenance procedures, methods of checking the system for normal and safe operation, and procedures for safely starting and stopping the system. The posted instructions must be framed under glass or laminated plastic and be posted where indicated by the Contracting Officer.
- c. The posted instructions must cover all of the items contained in the approved operation and maintenance manuals as well as demonstrations of routine maintenance operations. Submit 6 complete copies of an operation manual in bound 8-1/2 by 11 inch booklets listing step-by-step procedures required for system startup, operation, abnormal shutdown, emergency shutdown, and normal shutdown at least 4 weeks prior to the first training course. The booklets must include the manufacturer's name, model number, and parts list. The manuals must include the manufacturer's name, model number, service manual, and a brief description of all equipment and their basic operating features.

- d. Submit 6 complete copies of maintenance manual in bound 8-1/2 by 11 inch booklets listing routine maintenance procedures, possible breakdowns and repairs, and a trouble shooting guide. The manuals must include piping and equipment layouts and simplified wiring and control diagrams of the system as installed.

3.5 REFRIGERANT TESTS, CHARGING, AND START-UP

Split-system refrigerant piping systems must be tested and charged as specified in Section 23 23 00 REFRIGERANT PIPING. Packaged refrigerant systems which are factory charged must be checked for refrigerant and oil capacity to verify proper refrigerant levels in accordance with manufacturer's recommendations. Following charging, packaged systems must be tested for leaks with a halide torch or an electronic leak detector. Submit 6 copies of each test containing the information described below in bound 8-1/2 by 11 inch booklets. Individual reports must be submitted for the refrigerant system tests.

- a. The date the tests were performed.
- b. A list of equipment used, with calibration certifications.
- c. Initial test summaries.
- d. Repairs/adjustments performed.
- e. Final test results.

3.5.1 Refrigerant Leakage

If a refrigerant leak is discovered after the system has been charged, the leaking portion of the system must immediately be isolated from the remainder of the system and the refrigerant pumped into the system receiver or other suitable container. Under no circumstances must the refrigerant be discharged into the atmosphere.

3.5.2 Contractor's Responsibility

Take steps, at all times during the installation and testing of the refrigeration system, to prevent the release of refrigerants into the atmosphere. The steps must include, but not be limited to, procedures which will minimize the release of refrigerants to the atmosphere and the use of refrigerant recovery devices to remove refrigerant from the system and store the refrigerant for reuse or reclaim. At no time must more than 3 ounces of refrigerant be released to the atmosphere in any one occurrence. Any system leaks within the first year must be repaired in accordance with the requirements herein at no cost to the Government including material, labor, and refrigerant if the leak is the result of defective equipment, material, or installation.

3.6 SYSTEM PERFORMANCE TESTS

Before each refrigeration system is accepted, conduct tests to demonstrate the general operating characteristics of all equipment by a registered professional engineer or an approved manufacturer's start-up representative experienced in system start-up and testing, at such times as directed. Six copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system.

For equipment providing heating and cooling the system performance tests must be performed during the heating and cooling seasons.

- a. Submit a schedule, at least 2 weeks prior to the start of related testing, for the system performance tests. The schedules must identify the proposed date, time, and location for each test. Tests must cover a period of not less than 48 hours for each system and must demonstrate that the entire system is functioning in accordance with the drawings and specifications.
- b. Make corrections and adjustments, as necessary, tests must be re-conducted to demonstrate that the entire system is functioning as specified. Prior to acceptance, install and tighten service valve seal caps and blanks over gauge points. Replace any refrigerant lost during the system startup.
- c. If tests do not demonstrate satisfactory system performance, correct deficiencies and retest the system. Conduct tests in the presence of the Contracting Officer. Water and electricity required for the tests will be furnished by the Government. Provide all material, equipment, instruments, and personnel required for the test.
- d. Coordinate field tests with Section 23 05 93 TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS. Submit 6 copies of the report provided in bound 8-1/2 by 11 inch booklets. The report must document compliance with the specified performance criteria upon completion and testing of the system. The report must indicate the number of days covered by the tests and any conclusions as to the adequacy of the system. Submit the report including the following information (where values are taken at least three different times at outside dry-bulb temperatures that are at least 5 degrees F apart):

- (1) Date and outside weather conditions.
- (2) The load on the system based on the following:
 - (a) The refrigerant used in the system.
 - (b) Condensing temperature and pressure.
 - (c) Suction temperature and pressure.
 - (d) Ambient, condensing and coolant temperatures.
 - (e) Running current, voltage and proper phase sequence for each phase of all motors.
- (3) The actual on-site setting of operating and safety controls.
- (4) Thermostatic expansion valve superheat - value as determined by field test.
- (5) Subcooling.
- (6) High and low refrigerant temperature switch set-points
- (7) Low oil pressure switch set-point.
- (8) Defrost system timer and thermostat set-points.
- (9) Moisture content.
- (10) Capacity control set-points.

(11) Field data and adjustments which affect unit performance and energy consumption.

(12) Field adjustments and settings which were not permanently marked as an integral part of a device.

3.7 MAINTENANCE

3.7.1 EXTRA MATERIALS

Submit spare parts data for each different item of equipment specified, after approval of detail drawings and not later than 2 months prior to the date of beneficial occupancy. Include in the data a complete list of parts and supplies, with current unit prices and source of supply, a recommended spare parts list for 1 year of operation, and a list of the parts recommended by the manufacturer to be replaced on a routine basis.

3.7.2 Maintenance Service

Submit a certified list of qualified permanent service organizations, which includes their addresses and qualifications, for support of the equipment. The service organizations must be reasonably convenient to the equipment installation and be able to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

-- End of Section --

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SECTION 23 82 00.00 20

TERMINAL HEATING UNITS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI Z83.8/CSA 2.6 (2016; R 2021) Gas Unit Heaters, Gas Packaged Heaters, Gas Utility Heaters, and Gas-Fired Duct Furnaces

ANSI Z83.19/CSA 2.35 (2017; R 2022) Gas-Fired High-Intensity Infrared Heaters

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 33 (2016) Method of Testing Forced Circulation Air Cooling and Air Heating Coils

ASTM INTERNATIONAL (ASTM)

ASTM A109/A109M (2024) Standard Specification for Steel, Strip, Carbon (0.25 Maximum Percent), Cold-Rolled

ASTM A123/A123M (2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A240/A240M (2023a) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications

ASTM A463/A463M (2022) Standard Specification for Steel Sheet, Aluminum-Coated, by the Hot-Dip Process

ASTM A653/A653M (2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process

ASTM A1011/A1011M (2023) Standard Specification for Steel Sheet and Strip, Hot-Rolled, Carbon, Structural, High-Strength Low-Alloy, High-Strength Low-Alloy with Improved Formability, and Ultra-High Strength

ASTM B117	(2019) Standard Practice for Operating Salt Spray (Fog) Apparatus
ASTM B209	(2014) Standard Specification for Aluminum and Aluminum-Alloy Sheet and Plate
ASTM D1654	(2008; R 2016; E 2017) Standard Test Method for Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA ICS 2	(2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA MG 1	(2021) Motors and Generators

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 54	(2024) National Fuel Gas Code
NFPA 70	(2023; ERTA 7 2023; TIA 23-15) National Electrical Code
NFPA 90A	(2024) Standard for the Installation of Air Conditioning and Ventilating Systems
NFPA 90B	(2024) Standard for the Installation of Warm Air Heating and Air Conditioning Systems
NFPA 91	(2020) Standard for Exhaust Systems for Air Conveying of Vapors, Gases, Mists and Noncombustible Particulate Solids
NFPA 211	(2019) Standard for Chimneys, Fireplaces, Vents, and Solid Fuel-Burning Appliances

UNDERWRITERS LABORATORIES (UL)

UL 441	(2016; Reprint Apr 2024) UL Standard for Safety Gas Vents

1.2 RELATED REQUIREMENTS

Section 23 03 00.00 20 BASIC MECHANICAL MATERIALS AND METHODS, applies to this section with additions and modifications specified herein.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance

with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-03 Product Data

Unit Heaters

Infrared Heaters

SD-10 Operation and Maintenance Data

Unit Heaters, Data Package 2

Infrared Heaters, Data Package 2

Submit in accordance with Section 01 78 23 OPERATION AND
MAINTENANCE DATA.

PART 2 PRODUCTS

2.1 UNIT HEATERS

Self-contained and factory assembled, propeller or centrifugal fan with capacities expressed as Btu per hour output and cubic foot-per-minute air delivery, operating conditions, and mounting arrangements as indicated. Average fan bearing life must be minimum 200,000 hours at operating conditions. Provide fan motor with direct or belt drive. Construct fan-guard motor mount of steel wire. Equip each heater with individually adjustable package discharge louver. Louvers may be substituted by discharge cones or diffusers. Provide thermostats as indicated. Furnish circuit breaker disconnect switch. 2.1.1 Gas-Fired Unit Heater

ANSI Z83.8/CSA 2.6 and AGA label.

2.1.1.1 Casing

Minimum 22 gage steel or aluminum. Provide removable access panels.

2.1.1.2 Heat Exchanger

Minimum 20 gage all-welded steel construction with corrosion-resistant aluminum finish.

2.1.1.3 Burners

Die-formed, slot ports, and steel construction with aluminum paint.

2.1.1.4 Draft Diverter

All-welded steel construction and an integral part of each heat exchanger section. Allows backdrafts to bypass burner assembly without affecting normal operation.

2.1.1.5 Controls

Consisting of a combination pressure regulator, two-stage gas valve in 100 percent and 55 percent of full rating, main shutoff valve, pilot cock, pilot safety switch for 100 percent shutoff, high temperature limit switch, and time-delay fan switch. Include power and control connections in an integral junction box.

2.1.1.6 Efficiency

Unit heater must have a minimum combustion efficiency of 80 percent when tested in accordance with ANSI Z83.8/CSA 2.6.

2.1.2 Hot-Water Unit Heater

ASHRAE 33 tested for heating coils; UL listed for motor and controls.

2.1.2.1 Casing

Minimum 20 gage steel or aluminum with removable access panels or means to remove, service, and maintain major components.

2.1.2.2 Coil

Fin-and-tube coil constructed of copper, or steel tubes and copper or aluminum fins. Use maximum design pressure of hot water at 180 degrees F.

2.1.2.3 Controls

Automatic controls of modulating and on-off-auto system

2.1.3 Electric Unit Heater

UL listed; wattage, voltage, phase, and number of steps as indicated. Provide control-circuit terminals and single source of power supply. Heater 5 Kw and larger must be three-phase, with load balanced on each of the three phases. Limit leaving air temperature below 140 degrees F at 60 degrees F entering air.

2.1.3.1 Casing

Minimum 21 gage steel.

2.1.3.2 Heating Element

Nickel-chromium heating wire element, free from expansion noise and 60 Hz hum. Embed element in magnesium-oxide insulating refractory. Seal element in high-mass steel or corrosion-resisting metallic sheath with fins. Enclose element ends in terminal box. Space fins at maximum six fins per inch. Limit fin surface temperature 550 degrees F at any point during normal operation.

2.1.3.3 Controls

Include limit controls for thermal overheat protection of heaters. For remote thermostatic operation, provide contactor rated for 100,000 duty cycles. Provide a control transformer to supply 120-volt thermostat control circuit for each heater. Provide room thermostat for pilot duty.

2.1.3.4 Wiring

Completely factory-prewired to terminal strips, ready to receive branch circuit and control connections for 140 degrees F copper or aluminum wiring.

2.1.3.5 Accessories

Provide fan switching devices to independently operate fan motor for summer ventilation and winter heat recovery.

2.2 INFRARED HEATERS

Reflector-beam spread and operating conditions as indicated. Provide pre-wired control boxes, thermostats, and reflector and duct hangers.

2.2.1 Sheet Metal

- a. Aluminum-Clad Steel: ASTM A463/A463M, nominal thickness of minimum 16 gage for radiant tubing between burners and vacuum pump or vent.
- b. Aluminum: ASTM B209, manufacturer's standard thickness.
- c. Stainless Steel: ASTM A240/A240M, nominal thickness of not less than 20 gage.
- d. Ceramic-Coated Steel: ASTM A1011/A1011M hot rolled or ASTM A109/A109M cold rolled, low-carbon steel. Provide coating able to withstand infrared heater operating temperatures.

2.2.2 Unvented Gas Infrared Heater

ANSI Z83.19/CSA 2.35 and AGA approved.

2.2.2.1 Heating Element

Perforated ceramic capable of withstanding thermal shock in 3 minutes from 2000 to 32 degrees F without fatigue and of minimum 1600 degrees F operating temperature. When re-radiating screens are used to obtain operating temperature, provide stainless-steel or chromized-steel matching screen.

2.2.2.2 Reflector

Polished aluminum or approved high infrared reflector materials. Provide reflector supports of manufacturer's standard.

2.2.2.3 Controls

Provide either an intermittent pilot ignition system or a solid-state direct ignition system. Provide automatic gas safety valve capable of withstanding a 10 percent voltage fluctuation.

2.2.2.4 Ventilation

Section 23 30 00 HVAC AIR DISTRIBUTION.

2.2.3 Vented Gas Infrared Heater

ANSI Z83.19/CSA 2.35 with AGA label, single-burner power vented single-burner vacuum vented or multiple-burner vacuum vented.

2.2.3.1 Vent

NFPA 54 and NFPA 211, Type 316 stainless steel or high-temperature

corrosion-resistant plastic rated for minimum 400 degrees F. Vent flue gas to outdoors by induced draft.

2.2.3.2 Reflector

Polished aluminum or approved high infrared reflector materials. Provide manufacturer's standard reflector supports.

2.2.3.3 Heat Exchanger and Combustion Chamber

Construct heat exchanger and combustion chamber of aluminum-clad steel ceramic-coated steel or stainless steel.

2.2.3.4 Controls

Incorporate either an intermittent pilot ignition system or a solid-state direct ignition system. Provide safety air-flow switch for each burner.

2.2.3.5 Fan or Vacuum Pump

Heater manufacturer's standard.

2.2.3.6 Performance

Provide sufficient radiant heating surface to attain a minimum steady-state thermal efficiency of 80 percent and a maximum heat release of 2,900 Btu per square foot.

2.3 FAN

Provide steel or aluminum fans with ball or roller bearings for motors over 1/8 horsepower (hp) and sleeve bearings for motors 1/8 hp and under. Provide sleeve bearings with oil reservoir, if not permanently lubricated.

2.4 MOTOR AND STARTER

NEMA MG 1, and NEMA ICS 2, and NEMA ICS 6, respectively. Provide explosion-proof motors and motor starters where indicated. Provide continuous-duty motor with built-in automatic reset thermal overload protection. For motor 1/2 hp and larger, use three-phase. Provide single-phase motor of permanent split capacitor or capacitor start. Limit motor speed at 1800 r/min. Wire motor to heater power supply source.

2.5 GAS PIPING SYSTEM AND FLUE VENT

Comply with Section 23 11 20 FACILITY GAS PIPING, Section 33 51 15 NATURAL-GAS / LIQUID PETROLEUM DISTRIBUTION PIPELINES, for gas valves and piping. Use UL 441 flue vents and gas-vent roof jacks, of galvanized steel aluminum or stainless steel.

2.6 HOT WATER PIPING SYSTEM

Section 23 21 13.00 20 LOW TEMPERATURE WATER LTW HEATING SYSTEMS.

2.7 SOURCE QUALITY CONTROL

Special protection is not required for equipment that has a zinc coating conforming to ASTM A123/A123M ASTM A653/A653M. Otherwise, protect affected equipment items by manufacturers' corrosion-inhibiting coating or

paint system that has proved capable of withstanding salt-spray test in accordance with ASTM B117. Test indoor and outdoor equipment for 125 hours; test outdoor equipment used in a marine atmosphere for 500 hours. For each specimen, perform a scratch test as defined in ASTM D1654.

PART 3 EXECUTION

3.1 INSTALLATION

Install equipment where indicated and as recommended by manufacturer's recommendations, NFPA 54, NFPA 90A, NFPA 90B, NFPA 91 and NFPA 211.

3.1.1 Suspensions of Equipment

Provide equipment supports including beam clamps, turnbuckles and twist links or weld-wire chains, wire ropes with rope clips and rope thimbles, threaded-eye rod hangers with lock nuts and heat-duct hangers, threaded-eye bolts with expansion screws, brackets, platform and mounting frame, and vibration isolators. Locate equipment in such a manner that working space is available for servicing, such as vacuum pump and burner removal, access to automatic controls, and lubrication. Provide electrical isolation of dissimilar metals. Clean interior of casings or cabinets before and after completion of installation.

3.1.2 Vents

NFPA 54 and NFPA 211. Provide vents with weatherproofing flashings in accordance with Section 07 60 00 FLASHING AND SHEET METAL.

3.1.3 Electrical Work

NFPA 70 and Division 26, "ELECTRICAL." When replacing original control wires, provide No. 16 AWG with minimum 105 degrees C insulation.

3.2 FIELD QUALITY CONTROL

Administer, schedule, and conduct specified tests. Furnish personnel, instruments and equipment for such tests. Correct defects and repeat the respective inspections and tests. Conduct inspections and testing in the presence of the Contracting Officer.

3.2.1 Test Instruments and Apparatus

Provide instruments and apparatus currently certified as being accurate to within one percent of their full scale. Use gages with a maximum scale between 1 1/2 and 2 times test pressure.

3.2.2 Field Inspection

Prior to initial operation, inspect equipment installation to ensure that indicated and specified requirements have been met.

3.2.3 Field Tests

3.2.3.1 Fire Tests for Nonelectrical Heating Equipment

Test combustion controls and equipment with specified fuel at 100 percent full rated load. During tests, verify proper operation of controls. Adjust burners for maximum efficiency using Orsat or similar apparatus.

Maintain firing for at least four hours , and where high-low-off combustion controls are provided, operate the heating equipment for one hour at low fire and 3 hours at high fire. For acceptable combustion efficiency, allow maximum 4.5 percent carbon dioxide in flue gases.

3.2.3.2 Insulation-Resistance Tests for Electrical Equipment

At the completion of wiring, test 600 volt wiring to verify that no short circuits exist before or after the attachment of electrical heating equipment to the power source. Make tests with an instrument which applies a voltage of approximately 500 volts for a direct reading of insulation resistance.

3.2.3.3 Operational Tests

After completing fire tests and insulation-resistance tests, operate equipment continuously under varying load conditions to verify functioning of combustion controls, electrical controls, flame safeguard controls, safety interlocks, and specified operating sequence. Run each test for a minimum period of one hour.

-- End of Section --

SECTION 25 05 11

CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS

PART 1 GENERAL

Many subparts in this Section contain text in curly braces ("{" and "}") indicating which cybersecurity control and control correlation identifier (CCI) the requirements of the subpart relate to. The text inside these curly braces is for Government reference only and enables coordination of the requirements of this Section with the RMF process throughout the design and construction process. Text in curly braces are not contractor requirements.

This Section refers to Security Requirements Guide (SRGs) and Security Technical Implementation Guide (STIGs). STIGs and SRGs are available online at the Information Assurance Support Environment (IASE) website at <https://public.cyber.mil/stigs/downloads/> and an SRG/STIG Applicability Guide and Collection Tool is available at <https://public.cyber.mil/stigs/SCAP/>. Not all control system components have applicable STIGs or SRGs. The "Control Systems SRG" does not apply to work performed under this Section; all requirements within this section to apply applicable SRGs DO NOT include the "Control Systems SRG".

1.1 RELATED REQUIREMENTS

This section does not contain sufficient requirements to procure a control system and must be used in conjunction with other Sections which specify control systems. This Section adds cybersecurity requirements to the control systems specified in other Sections, and as these requirements are conditioned on the control system being provided, there may be requirements in this Section that will not apply to this project. All Sections containing facility-related control systems or control system components are related to the requirements of this Section. Review all specification sections to determine related requirements.

In cases where a requirement is specified in both this Section and in another Section, the more stringent requirement must be met. In cases where a requirement in this Section conflicts with the requirements of another Section such that both requirements cannot be met at the same time, request direction from the Contracting Officer to determine which requirement applies to the project.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING
ENGINEERS (ASHRAE)

ASHRAE 135

(2020; Interpretation 1-8 2021; Errata 1-2 2021; Addenda CD 2021; Addenda BV-CE 2022; Interpretation 9-12 2022; Interpretation 13-24 2023; Addenda BV-CF 2023; Errata 3 2023) BACnet-A Data Communication Protocol

for Building Automation and Control
Networks

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 802.1x (2010) Local and Metropolitan Area
Networks - Port Based Network Access
Control

INTERNET ENGINEERING TASK FORCE (IETF)

IETF RFC 2819 (2000) Remote Network Monitoring (RMON)
Management Information Base (MIB)

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST FIPS 140-2 (2001) Security Requirements for
Cryptographic Modules

NIST FIPS 201-2 (2013) Personal Identity Verification
(PIV) of Federal Employees and Contractors

U.S. DEPARTMENT OF DEFENSE (DOD)

DODI 8551.01 (2014) Ports, Protocols, and Services
Management (PPSM)

DTM 08-060 (2008) Policy on Use of Department of
Defense (DoD) Information Systems -
Standard Consent Banner and User Agreement

1.3 DEFINITIONS

1.3.1 Administrator Account

An administrator account is an account with full permissions to a device, application, or operating system, including the ability to create and modify other user accounts.

Note that the operating system Administrator Account may be different than Administrator Accounts for applications hosted on that operating system. Also, most controllers will not have any support for accounts and will therefore not have an 'Administrator Account'.

1.3.2 Computer

A computer is one of the following:

- a. a device running a non-embedded desktop or server version of Microsoft Windows
- b. a device running a non-embedded version of MacOS
- c. a device running a non-embedded version of Linux
- d. a device running a version or derivative of the Android Operating System, where Android is considered separate from Linux
- e. a device running a version of Apple iOS

Unless otherwise indicated or clear from context use of the word "device" in this Section includes computers.

1.3.3 Controller

A device other than a computer, IP Router, or Ethernet switch.

1.3.4 Mission Space

A device or media is in mission space if physical access to the device or media is controlled by the organization served by the device. For example, a variable air volume (VAV) box controller in a suspended ceiling is in mission space if the VAV box serves that room; an electrical switchgear in an electrical room or an air handling unit (AHU) in a mechanical room or on a rooftop may still be considered to be in mission space if the organization (mission) served by that switchgear or AHU controls access to the electrical room, mechanical room or rooftop.

1.3.5 Network

A network is a group of two or more devices that can communicate using a network protocol. Network protocols must provide a method for addressing devices on the network; a communication method that does not provide an addressing scheme is not a networked form of communication. Devices that communicate using a method of communication that does not support device addressing are not using a network. Addresses may be other than IP addresses, and addressing may be at either Open Systems Interconnection (OSI) layer 2 or layer 3.

1.3.6 Network Connected

A component is network connected (or "connected to a network") only when the device has a network transceiver which is directly connected to the network and implements the network protocol. A device lacking a network transceiver (and accompanying protocol implementation) can never be considered network connected. Note that (unlike many IT definitions of "Network Connected") a device connected to a non-IP network is still considered network connected (an IP connection or IP address is not required for a device to be network connected).

1.3.6.1 Wireless Network Connected

Any device that supports wireless network communication is network connected to a wireless network, regardless of whether the device is communicating using wireless. Unless physically disabled, devices with wireless transceivers support wireless, it is not sufficient to disable the wireless in software.

1.3.7 Network Media

The thing that provides the communication channel between the devices on a network. Typically wire, but might include wireless, fiber optic, or even power line (some network protocols allow sending network signals over power wiring).

1.3.8 Network Segmentation

Segmenting or segregating networks either by logical or physical methods.

Examples of logical methods include firewall zones, virtual local area networks (VLANs), or virtual private networks (VPNs). Physical separation is done by deploying additional hardware. Network segmentation is used for isolation of discrete functions or processes. Each network segment will have its own IP address space or subnet.

1.3.9 Operational Technology (OT)

The hardware and software dedicated to detecting and/or causing changes in physical processes through direct monitoring and control of physical devices to accomplish a specific mission in real time.

1.3.10 User Account Support Levels

The support for user accounts is categorized in this Section as one of three levels:

1.3.10.1 FULLY Supported

Device supports configurable individual accounts. Accounts can be created, deleted, modified, etc. Privileges can be assigned to accounts. These devices support user-based (as opposed to role-based) authentication.

1.3.10.2 MINIMALLY Supported

Device supports a small, fixed number of accounts (perhaps only one). Accounts cannot be modified. A device with only a "User" and an "Administrator" account would fit this category. Similarly, a device with two PINs for logon - one for restricted and one for unrestricted rights would fit here (in other words, the accounts do not have to be the traditional "username and password" structure). These devices typically only support role-based authentication.

Examples of devices which MINIMALLY support accounts are a) a variable frequency drive with a single account which requires a PIN for access to configuration; and b) a room lighting control touchpad interface that has a single account.

1.3.10.3 NOT Supported

Device does not support any Access Enforcement therefore the whole concept of "account" is meaningless.

1.3.11 Manual Local Input

Manual Local Inputs are system analog or binary inputs that are adjustable by a person but are, by intrinsic hardware design, very limited in potential capabilities. Manual Local Inputs do not have touch screens or full keyboards, but may have a few buttons or dials to allow input. Manual Local Inputs do not have full graphic screens or dot-matrix displays, but may have simple lights (LEDs) or 7-segment displays. Manual Local Inputs do not have any sort of menu structure, each button has a single well-defined function.

Examples of Manual Local Inputs are H-O-A switches, simple thermostats, and disconnect switches.

1.3.12 Card Reader

A card reader is an input/output device whose primary function is to assist in two-factor authentication. A card reader must have an interface to read data from a card and may be able to write data to a card. A card reader may have a means (such as buttons, keypad, touchscreen, etc.) for a user to input a PIN or password, as well as a limited display.

1.3.13 User Interface

A User Interface (UI) is something other than a Manual Local Input or Card Reader that allows a person to interact with the system or device. Note that while a Card Reader is not by itself a User Interface, a User Interface may contain a Card Reader in order for it to authenticate its user. Within control systems, there are a wide range of User Interfaces.

Two important distinctions are 1) whether the user interface is Local or Remote, and 2) the effective capabilities of the User Interface to alter data, which is the "privilege" of the user interface (where effective privilege available to a specific user at a specific user interface is the combination of the greatest privilege offered by the user interface and the specific account the user is logged into).

1.3.13.1 Local User Interface

A Local User Interface is a user interface where the physical hardware the user interacts with (keyboard, buttons, display, etc.) is physically part of the device being affected. All of the relevant characteristics of the user interface are embodied within a single device.

Note that a Local UI may be able to access data in a different device, Local versus Remote in this context refers to the user interface itself; the capability to access data in a different device is covered under "Full User Interface".

1.3.13.2 Remote User Interface

A Remote User Interface implements a Client/Server model where the physical hardware the user interacts with (Client) is physically distinct from the device being affected (Server). Most or all of the security and functionality characteristics of the user interface are defined by the Server, not the Client. The Client and Server communicate via a network connection. A common example of a remote user interface is a web-based interface where the browser (client) is generally on different hardware than the web server (server). A Remote UI remains a Remote UI even if the user happens to be at a Client on the same hardware as the Server. What is important is that a) the Client may be on different hardware than the Server and b) the majority of the security and functional characteristics of the interface are defined at the Server.

Note that this definition of "remote" is consistent with that generally used in the control industry but is not aligned with the NIST 800-53 definition of "Remote", which refers to "outside the system". The term "Remote" here better aligns with the NIST 800-53 definition of "Network" (remote from within the system) Access.

1.3.13.3 Types of User Interface (by capability)

User interfaces are also categorized by their capabilities as being Read

Only, Limited, or Full.

1.3.13.3.1 Read-Only User Interface

A Read Only User Interface (also referred to as a View-Only User Interface) is a user interface that only allows for reading data, it does not allow (have the capability to) modify data. A Read Only User Interface may be either Local or Remote. A User Interface that is configured to be Read Only (by some other means than the interface itself, such as using configuration software on a laptop) is a Read-Only Interface. Note a Read Only User Interface may have buttons (or touch screen, etc.) allowing the user to navigate through the presentation of data.

Examples of a Read Only User Interfaces are a) a publicly viewable "energy dashboard" showing weather data and energy usage within a building and b) digital wayfinding signage.

1.3.13.3.2 Limited User Interface

A Limited User Interface is a user interface that - by design - can only alter information local to the user interface. Note that the determination of "alter" includes only direct interactions, it explicitly excludes interactions that might occur as secondary effects. For example, an interface changing the flow setpoint in a pump controller is a direct interaction, the subsequent change in flow (as well as any subsequent downstream changes in valve position) are not direct interactions.

Two examples of LIMITED UIs are: a) a variable speed drive has a Limited Local User Interface which allows the user to change properties within the drive, but does not allow affecting things outside the drive; and b) a typical home WiFi Router has a Limited Remote User Interface which allows configuration of the Router, but does not allow direct interaction with other devices.

1.3.13.3.3 Full User Interface

A Full User Interface can alter information in devices outside the device with the user interface. For example, a typical Local Display Panel is a Full Local User Interface while a browser-based front end is a Full Remote User Interface.

1.3.13.3.4 View-Only User Interface

See Read-Only User Interface

1.3.13.4 Other User Interface Terminology

In addition to defining whether a user interface is a Hardware Limited, Read-Only, Limited or Full, and whether it is Local or Remote, user interfaces are classified by whether they are writable or privileged.

1.3.13.4.1 Writable User Interface

Any User Interface that is not Read-Only is Writable. (Limited User Interfaces and Full User Interfaces are both writable user interfaces (as they are capable of changing a value)).

1.3.13.4.2 Privileged User Interface

A Privileged UI is a UI that has sufficient capabilities or functionality that it requires specific cybersecurity measures to be put in place to limit its unauthorized use. Ultimately, whether a specific user interface is considered a Privileged User Interface must be determined by usage. Unless otherwise specified, user interfaces can be determined to be privileged or not using the following:

- a. Read-Only User Interfaces are not privileged user interfaces.
- b. Full User Interfaces are privileged user interfaces.
- c. User interfaces that allow for configuration of auditing or allows for modification or deletion of audit logs are privileged user interface.
- d. User interfaces that allow for reprogramming a network connected device is a privileged user interface.
- e. Except as specified above, a Limited User Interface must be determined to be privileged or not based on the specific capabilities and use case of the user interface. In general however, user interfaces that do not offer significant capabilities above and beyond those available at that location via other means (e.g. such as a disconnect switch, breaker, or hand-off-auto switch, or physical attack) are not privileged.

1.3.14 Wireless Network

Any network that communicates without using wires or fiber optics as the communication media. Wireless networks include: WiFi, Bluetooth, ZigBee, cellular, satellite, long and short wave radio, 2.4 GHz, free space optical, point-to-point laser, microwave, and IR.

1.3.15 Wired Broadcast Network

Wired Broadcast Networks are any network, such as powerline carrier networks and modem (wired telephony), that use wire-based technologies where there is not a clearly defined boundary for signal propagation.

1.4 ADMINISTRATIVE REQUIREMENTS

1.4.1 Points of Contact

Coordinate with the following Points of Contact as indicated in this Section and as required. Not all projects will require coordination with all Points of Contact. When coordination is required and no Point of Contact is indicated, coordinate with the Contracting Officer (K0).

- a. Government Computer Access Point of Contact: Contracting Office (K0)
- b. HTTPS Certificate Point of Contact: Contracting Officer (K0)
- c. Email Address Point of Contact: Contracting Officer (K0)
- d. Password Point of Contact: Contracting Officer (K0)
- e. Mobile Code Point of Contact: Contracting Officer (K0)

1.4.2 Coordination

Coordinate the execution of this Section with the execution of all other Sections related to control systems as indicated in the paragraph RELATED REQUIREMENTS. Items that must be considered when coordinating project efforts include but are not limited to:

- a. If requesting permission for wireless or wired broadcast communication, the Wireless and Wired Broadcast Communication Request submittal must be approved prior to control system device selection and installation.
- b. If requesting permission for alternate account lock permissions, the Device Account Lock Exception Request must be approved prior to control system device selection and installation.
- c. If requesting permission for the use of a device with multiple physical connections to IP networks, the Multiple IP Connection Device Request must be approved prior to control system device selection and installation.
- d. Wireless testing may be required as part of the control system testing. See requirements for the Wireless Communication Test Report submittal.
- e. If the Device Audit Record Upload Software is to be installed on a computer not being provided as part of the control system, coordination is required to identify the computer on which to install the software.
- f. The Cybersecurity Interconnection Schedule must be coordinated with other work that will be interconnected to, and interconnections must be approved by the Government before relying on them for system functionality.
- g. Cybersecurity testing support must be coordinated across control systems and with the Government cybersecurity testing schedule.
- h. Passwords must be coordinated with the indicated contact for the project site.
- i. If applicable, HTTPS web server certificates must be obtained from the indicated HTTPS Certificate Point of Contact.
- j. Contractor Computer Cybersecurity Compliance Statements must be provided for each contractor using contractor owned computers.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Contractor Computer Cybersecurity Compliance Statements; G

Contractor Temporary Network Cybersecurity Compliance Statements; G

Protection of Information At Rest Proposal; G

Contractor Personnel Certifications; G

Control System Cybersecurity Documentation; G

Certificate Protection Status (Encrypted); G

SD-06 Test Reports

Wireless Communication Test Report; G

Control System Cybersecurity Testing Procedures; G

Control System Cybersecurity Testing Report; G

Antivirus/Antimalware Scan Results; G

SD-07 Certificates

Software Licenses; G

SD-11 Closeout Submittals

Enclosure Keys; G

Software and Configuration Backups (Encrypted); G

Auditing Front End Software; G

Device Audit Record Upload Software; G

System Maintenance Tool Software; G

Control System Scanning Tools; G

STIG, SRG and Vendor Guide Compliance Result Report (Encrypted); G

Final Control System Inventory Report (Encrypted); G

Integrity Verification Software; G

Vulnerability Resolution Report; G

BIOS/UEFI Protection Password/Passphrase List (Encrypted); G

1.6 ENCRYPTED SUBMITTAL REQUIREMENTS

Submittals with sensitive data are marked with "(encrypted)" and must be encrypted with NIST FIPS 140-2 compliant encryption methods with a password that meets the requirements under paragraph PASSWORDS. Encrypted submittals must be sent via DoD SAFE (<https://safe.apps.mil/>) and only sent to those who need to know. If DoD SAFE is not available, the government will provide an alternative secure file transfer that must be used. Do not store encrypted submittals on shared storage systems. Store and send encrypted information separately than the password.

1.7 QUALITY CONTROL

1.7.1 Certifications

Personnel performing cybersecurity functions must have current IAT level II certification according to approved DoD IA baseline certifications. Provide Contractor Personnel Certifications no later than 30 days following Notice To Proceed. A cybersecurity function includes security-relevant functions that ordinary users are not authorized to perform. Examples of these activities include, but are not limited to, creating/modifying user accounts, configuring auditing levels, configuring functionality of a device that is restricted from general users, network architecture design, and applying secure configuration to an Operating System or device. See <https://public.cyber.mil/cwmp/dod-approved-8570-baseline-certifications/>.

Personnel who will have access to make changes to the OT system must read, agree to, and sign the USACE OT/Control Systems Acceptable Use Policy (AUP), provided by the government prior to accessing the OT system. Provide signed AUPs no later than 30 days after notice to proceed.

1.7.2 Pre-Construction Testing

For the mechanical control system: HVAC and Fume Detection Systems.

1.8 CYBERSECURITY DOCUMENTATION

{For Government Reference Only: This subpart (and its subparts) relates to PL-7; CCI-003071}

1.8.1 Proposed STIG and SRG Applicability Report

For each model of network connected or network infrastructure device, use the DISA SRG/STIG Applicability Guide and Collection Tool (available at <https://public.cyber.mil/stigs/SCAP/>) to identify applicable STIGs or SRGs and provide a report indicating applicable STIGs and SRGs for each model. Provide the Proposed STIG and SRG Applicability Report concurrently with the Pre-Construction Control System Inventory Report.

1.8.2 Network Communication, Ports, Protocols and Services Report

{For Government Reference Only: This subpart (and its subparts) relates to CA-9, PL-8; CCI-002102, CCI-002103, CCI-002104, CCI-002105, CCI-003072, CCI-003073, CCI-003075 and also the submittal requirements associated with CM-6, CM-7, including CM-7(3), CCI-000388.}

Provide a Network Communication, Ports, Protocols and Services Report. For each networked device, document device identifier and the communication characteristics of the device including communication protocols, services used, encryption employed, and a general description of what information is communicated over the network. For each device using IP communication, document all TCP and UDP ports used. For each device using non-IP communication, document communication protocol and media used. If other control system Sections used on this project include submittals documenting this information, provide copies of those submittals to meet this requirement.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Network Communication, Ports, Protocols and Services Report as

an editable Microsoft Excel file.

1.8.3 Control System Inventory Reports

{For Government Reference Only: This subpart (and its subparts) relates to CM-8(a), SI-17, IA-3; CCI-000389, CCI-000392, CCI-000398, CCI-002773, CCI-002774, CCI-002775, CCI-000777, CCI-000778, CCI-001958}

Provide a Pre-Construction Control System Inventory Report (Encrypted) report and a Final Control System Inventory Report (Encrypted), using the listed under this Section at <https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1> In the Control System Inventory Reports, document all devices, including networked devices, network infrastructure devices, non-networked devices, input devices (e.g. sensors) and output devices (e.g. actuators) and all software. For each device provide all applicable information for which there is a field on the spreadsheet in accordance with the instructions on the spreadsheet.

In addition to the requirements of Section 01 33 00 SUBMITTAL PROCEDURES, provide the Control System Inventory Reports as editable Microsoft Excel files. Provide the Pre-Construction Control System Inventory Report concurrently with the Proposed STIG and SRG Applicability Report

1.8.4 System Data Flow Diagram (Encrypted)

Submit a system data flow diagram (encrypted) a minimum of 60 days prior to installed operation of the equipment. Provide diagrams electronically in PDF as well as either Microsoft Visio (VSDX) or Microstation (DGN), formatted for 11" x 17" sheets. Include:

- a. All devices that communicate via routable protocols.
- b. The normal system communications among the devices on the network, including the ports and protocols utilized for communications
- c. Arrows to indicate direction of data flow between components. Define the physical media and protocol for each link.
- d. Logical boundary of the system marked with a red line clearly defining components inside the boundary as well as components outside the boundary. Label connections to external networks and indicate the boundary protection.
- e. Indicate VLAN segmentation of the devices on the diagram.

1.8.5 Software and Configuration Backups (Encrypted)

{For Government Reference Only: This subpart (and its subparts) relates to CP-10; CCI-000550, CCI-000551, CCI-000552}

For each computer on which software is installed under this project, provide a recovery image of the final as-built computer on an encrypted external hard drive. This image must allow for bare-metal restore such that restoration of the image is sufficient to restore system operation to the imaged state without the need for re-installation of software. If additional user permissions are required to meet this requirement, coordinate the creation of the image with the identified Government Computer Access Point of Contact.

For all Ethernet switches provide a backup of the switch configuration. For all controllers, provide a backup of the controller configuration and the source code for all loaded application programs (all software that is not common to every controller of the same manufacturer and model).

Test backups to verify as functional for restoring the system prior to submittal. Include verification of testing and functionality with submittal. If any or all of these are provided under another Section, provide documentation indicating this and referencing those submittals.

1.8.6 Cybersecurity Network (Riser) Diagram (Encrypted)

{For Government Reference Only: This subpart (and its subparts) relates to PL-2(a), PL-8; CCI-003051, CCI-003053, CCI-003072, CCI-003073, CCI-003075}

Provide a cybersecurity network (riser) diagram of the complete control system including all network and device hardware. For each device, include the device identifier, device type, and manufacturer. If the control system specifications require a riser diagram submittal, provide a copy of that submittal as the cybersecurity riser diagram. Otherwise, provide a riser diagram in one-line format overlaid on a facility schematic.

Provide diagrams electronically in Portable Document Format (PDF) as well as either Microsoft Visio (VSDX) or Microstation (DGN), formatted for 11" x 17" sheets.

1.8.7 STIG, SRG and Vendor Guide Compliance Result Report (Encrypted)

For every component (device or software) with an applicable STIG or SRG in the Proposed STIG and SRG Applicability Report, document compliance with the STIG or SRG requirements.

- a. For components which are scannable by the Evaluate-STIG tool (available online at (CAC Required)), include the Evaluate-STIG report and raw scan results in addition to the final, manually reviewed and revised, documentation of compliance with STIG and SRG requirements. Checklist files should not contain any findings with a Not Reviewed (NR) status after manual reviews.
- b. For components which do not support automated scanning, a manual review using the General Purpose STIG option should be done. A completed Checklist file should not contain any findings with a Not Reviewed (NR) status after manual reviews

For every component (device or software) with manufacturer provided cybersecurity documentation, procedure, or method for secure configuration or installation, provide a report documenting how the component was configured and any deviation from the manufacturer instructions.

1.8.7.1 STIG, SRG and Vendor Guide Compliance Result Report Deviations List

Within the STIG, SRG and Vendor Guide Compliance Result Report, include a Deviations List documenting all deviations required for system operation, and reasons why a STIG, patch, firmware update, or other requirement cannot be met. Include for each deviation:

- a. STIG, SRG, Patch, Firmware Update, or other requirement being deviated
- b. Vulnerability Identification
- c. Rule Identification
- d. Control
- e. Control Correlation Identifier (CCI)
- f. Finding
- g. Justification
- h. Current Risk-Mitigation Actions

1.8.8 Control System Cybersecurity Documentation

{For Government Reference Only: This subpart (and its subparts) relates to SA-5(a), SA-5(b), SA-5(c), SA-22(b); CCIs: CCI-003124, CCI-003125, CCI-003126, CCI-003127, CCI-003128, CCI-003129, CCI-003130, CCI-003131, CCI-003374}

Provide a Control System Cybersecurity Documentation submittal containing the indicated information for each device and software application.

1.8.8.1 Software Applications

For all software applications running on computers provide:

- a. administrator documentation that describes secure configuration of the software {For Government Reference Only: relates to CCI-003124}
- b. administrator documentation that describes secure installation of the software and software updates. {For Government Reference Only: relates to CCI-003125}
- c. administrator documentation that describes secure operation of the software {For Government Reference Only: relates to CCI-003124}
- d. administrator documentation that describes effective use and maintenance of security functions or mechanisms for the software {For Government Reference Only: relates to CCI-003127}
- e. administrator documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the software {For Government Reference Only: relates to CCI-003128}
- f. user documentation that describes user-accessible security functions or mechanisms in the software and how to effectively use those security functions or mechanisms {For Government Reference Only: relates to CCI-003129}
- g. user documentation that describes methods for user interaction which enables individuals to use the software in a more secure manner {For Government Reference Only: relates to CCI-003130}

- h. user documentation that describes user responsibilities in maintaining the security of the software {For Government Reference Only: relates to CCI-003131}

1.8.8.2 For HVAC Control System Devices

1.8.8.2.1 HVAC Control System Devices FULLY Supporting User Accounts

For all HVAC Control System Devices which FULLY support user accounts, provide:

- a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to CCI-003124}
- b. Documentation that describes secure operation of the device {For Government Reference Only: relates to CCI-003124}
- c. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to CCI-003127}
- d. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to CCI-003128}
- e. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms; or a specific indication that there are no user-accessible security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003129}
- f. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.8.8.2.2 All Other HVAC Control System Devices

For all HVAC Control System Devices which do not FULLY support user accounts, provide:

- a. Documentation that describes secure configuration of the device; or a specific indication that there are no secure configuration steps that apply {For Government Reference Only: relates to CCI-003124}
- b. Documentation that describes effective use and maintenance of security functions or mechanisms for the device; or a specific indication that there are no security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003127}
- c. For devices which include a user interface, documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.8.8.3 For USACE Civil Works Control System Devices

1.8.8.3.1 USACE Civil Works Control System Devices FULLY Supporting User Accounts

For all USACE Civil Works Control System Devices which FULLY support user accounts, provide:

- a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to CCI-003124}
- b. Documentation that describes secure operation of the device {For Government Reference Only: relates to CCI-003124}
- c. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to CCI-003127}
- d. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to CCI-003128}
- e. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms; or a specific indication that there are no user-accessible security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003129}
- f. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.8.8.3.2 All Other USACE Civil Works Control System Devices

For all USACE Civil Works Control System Devices which do not FULLY support user accounts, provide:

- a. Documentation that describes secure configuration of the device; or a specific indication that there are no secure configuration steps that apply {For Government Reference Only: relates to CCI-003124}
- b. Documentation that describes effective use and maintenance of security functions or mechanisms for the device; or a specific indication that there are no security functions or mechanisms in the device {For Government Reference Only: relates to CCI-003127}
- c. For devices which include a user interface, documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}

1.8.8.4 Default Requirements for Control System Devices

For control system devices where Control System Cybersecurity Documentation requirements are not otherwise indicated in this Section, provide:

- a. Documentation that describes secure configuration of the device {For Government Reference Only: relates to CCI-003124}
- b. Documentation that describes secure installation of the device {For

Government Reference Only: relates to CCI-003125}

- c. Documentation that describes secure operation of the device {For Government Reference Only: relates to CCI-003124}
- d. Documentation that describes effective use and maintenance of security functions or mechanisms for the device {For Government Reference Only: relates to CCI-003127}
- e. Documentation that describes known vulnerabilities regarding configuration and use of administrative (i.e. privileged) functions for the device {For Government Reference Only: relates to CCI-003128}
- f. Documentation that describes user-accessible security functions or mechanisms in the device and how to effectively use those security functions or mechanisms {For Government Reference Only: relates to CCI-003129}
- g. Documentation that describes methods for user interaction which enables individuals to use the device in a more secure manner {For Government Reference Only: relates to CCI-003130}
- h. Documentation that describes user responsibilities in maintaining the security of the device {For Government Reference Only: relates to CCI-003131}
- i. Documentation of the published last date of support by the manufacturer or indication that a published date is not available. {For Government Reference Only: relates to CCI-003374}

1.9 SOFTWARE LICENSING

{For Government Reference Only: This subpart (and its subparts) relates to SI-2(a), SI-2(c), SI-7(14); CCI-001227, CCI-002605, CCI-002737}

For all software provided that has not already been licensed to the government or project site, provide a license to the Government for a period of no less than 5 years, and the license must also include the following software updates:

- a. Security and bug-fix patches issued by the software manufacturer.
- b. Security patches to address any vulnerability identified in the National Vulnerability Database at <http://nvd.nist.gov> with a Common Vulnerability Scoring System (CVSS) severity rating of MEDIUM or higher.

Provide a single Software Licenses submittal with documentation of the software licenses for all software provided

1.10 CYBERSECURITY DURING CONSTRUCTION

{For Government Reference Only: This subpart (and its subparts) relates to AC-18, CA-3; CCI-000258}

In addition to the control system cybersecurity requirements indicated in this section, meet following requirement throughout the construction process.

1.10.1 Contractor Computer Equipment

Contractor owned computers may be used for construction. Contractor computers connected to the control system, control system network, or a control system component at any point during construction must meet the following requirements:

1.10.1.1 Operating System

The operating system must be an operating system currently supported by the manufacturer of the operating system. The operating system must be current on security patches and operating system manufacturer required updates.

1.10.1.2 Anti-Malware Software

The computer must run anti-malware software from a reputable software manufacturer. Anti-malware software must be a version currently supported by the software manufacturer, must be current on all patches and updates, and must use the latest definitions file. Computers used on this project must perform a full antivirus scan at least once per day. Computers which have connected to any other network since the last full antivirus scan must perform a full antivirus scan prior to connection to the control system network or to the temporary contractor-installed IP network. Perform an antivirus scan on all removable media (e.g., external hard drives, CDs, DVDs, USB flash drives) prior to connecting to the control system environment.

1.10.1.3 Passwords and Passphrases

The passwords and passphrases for computers, applications, and web-based applications supporting passwords must be changed from their default values. Passwords must be a minimum of eight characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.10.1.4 User-Based Authentication

Each user must have a unique account; sharing of a single account between multiple users is prohibited.

1.10.1.5 Firewall

Computers must have a firewall enabled and set to "public".

1.10.1.6 Encryption

Employ data-at-rest encryption to protect information stored on the device. The types of information that must be protected include site specific drawings, configuration files, project files, vulnerability data, and any other specific information that could potentially lead to a compromise. Immediately notify the Contracting Officer in the event that a Contractor-owned computer that stores this information is lost or stolen.

1.10.1.7 Demonstration of Compliance

The Government has the right to require demonstration of computer compliance with these requirements at any time during the project.

1.10.1.8 Contractor Computer Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Computer Cybersecurity Compliance Statements for each company using contractor owned computers. Contractor Computer Cybersecurity Compliance Statements must use the template published at

<https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1>
Each Statement must be signed by a cybersecurity representative for the relevant company.

1.10.2 Temporary IP Networks

Temporary contractor-installed IP networks may be used during construction. When used, temporary contractor-installed IP networks connected to the control system, control system network, or a control system component at any point during construction must meet the following requirements:

1.10.2.1 Network Boundaries and Connections

The network must not extend outside the project site and must not connect to any IP network other than those specifically provided or furnished for this project. Any and all access to the network from outside the project site is prohibited.

1.10.3 Government Access to Network

Government personnel must be allowed to have complete and immediate access to the network at any time in order to verify compliance with this specification.

1.10.4 Temporary Wireless IP Networks

In addition to the other requirements on temporary IP networks, temporary wireless IP (WiFi) networks, when permitted, must not interfere with existing wireless networks, must use WPA2 security and must not broadcast the network name (SSID). Network names (SSID) for wireless networks must be changed from their default values.

Temporary wireless networks are NOT PERMITTED.

1.10.5 Passwords and Passphrases

The passwords and passphrases for all network devices and network access must be changed from their default values. Passwords must be a minimum 8 characters with a minimum of one uppercase letter, one lowercase letter, one number and one special character.

1.10.6 Contractor Temporary Network Cybersecurity Compliance Statements

Provide a single submittal containing completed Contractor Temporary Network Cybersecurity Compliance Statements for each company implementing a temporary IP network. Contractor Temporary Network Cybersecurity Compliance Statements must use the template published at

<https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1>
Each Statement must be signed by a cybersecurity representative for the relevant company. If no temporary IP networks will be used, provide a single copy of the Statement indicating this.

1.11 CYBERSECURITY DURING WARRANTY PERIOD

All work performed on the control system after acceptance must be performed using Government Furnished Equipment or equipment specifically and individually approved by the Government.

PART 2 PRODUCTS

All products used on this project must meet the indicated requirements, but not all products specified here will be required by every project.

2.1 ETHERNET SWITCH

Provide Open Systems Interconnection (OSI) Layer 2 Ethernet switches with the following capabilities, and with an interface to support switch configuration for these capabilities:

2.1.1 Required Functionality

Switches must:

- a. Copper Ethernet ports must auto negotiate for 10, 100 and 1000 megabits-per-second links.
- b. Be capable of implementing port level access control by MAC address and limit the number of MAC addresses to one MAC address per port.
- c. For MODERATE Impact Systems, be capable of implementing per-port access control lists (ACLs) where the list can be filtered by source and destination IP addresses, and by source and destination UDP or TCP ports.
- d. For LOW Impact Systems, be capable of implementing per-port access control lists (ACLs) where the list can be filtered by source and destination IP addresses, and by source and destination UDP or TCP ports.
- e. Support Remote Network Monitoring (RMON) Port Analysis in accordance with IETF RFC 2819.
- f. Configure target port and analysis port such that switch clones all target port traffic to analysis port.
- g. Support authentication via RADIUS server (for management and 802.1x)
- h. Support IEEE 802.1x network login.

2.1.2 Configuration Requirements

Switches must:

- a. Support configuration save and restore.
- b. Support both manual IP address assignment and acquisition of a dynamic IP address via Dynamic Host Configuration Protocol (DHCP).
- c. Be capable of limiting access for configuration to one or more of: a web interface using HTTPS, a command line interface using SSH, or an SNMP connection using SNMP version 3 or later.

2.2 DAISY CHAIN IP CONTROLLERS

Controllers used as Daisy Chain IP Controllers must be IP controllers with exactly two Ethernet network connections and basic built-in switch capabilities to allow implementation of an Ethernet network in a daisy chain architecture. Switches incorporated by Daisy Chain IP Controllers are not required to meet the requirements for Ethernet Switches as defined in this Section.

2.3 DATABASE AND WEB SERVER SOFTWARE FOR MODERATE IMPACT SYSTEMS

{For Government Reference Only: This subpart (and its subparts) relate to RA-5(1), RA-5(5); CCI-001062, CCI-001067, CCI-001645, CCI-002906}

All computer-based databases must use Microsoft SQL Server or Oracle or MySQL. All computer-based web interfaces must use Internet Information Services (IIS) or Apache as the web server.

2.4 Lockable Enclosures with Padlock

Provide lockable enclosures with lockable handles, doors, or accessories allowing the cabinet to be secured using a padlock. Provide a stainless steel padlock with a minimum of a 3/8-inch diameter hardened shackle for each enclosure.

PART 3 EXECUTION

3.1 CYBERSECURITY HARDENING AND CONFIGURATION GUIDES

Install, configure, and harden all hardware and software furnished on this project in accordance with manufacturer provided documentation, procedures, or methods for secure configuration or installation. Configure hardware and software in accordance with the applicable STIGs and SRGs per the STIG and SRG applicability report. Install the most current versions of operating systems, software updates, firmware updates, security patches, service packs, and BIOS/UEFI, unless otherwise specified or approved. Do not implement specific hardening actions if that action would conflict with required functionality or another requirement of this Section.

3.2 NETWORK REQUIREMENTS

3.2.1 Information Flow Enforcement In MODERATE Impact Systems

{For Government Reference Only: This subpart (and its subparts) relate to AC-4; CCI-001368, CCI-001414, CCI-001548, CCI-001549, CCI-001550, CCI-001551}

Install and configure Ethernet switches to block all traffic on all ports not required by the control protocol.

3.2.2 Wireless and Wired Broadcast Communication

{For Government Reference Only: This subpart (and its subparts) relates to AC-18, AC-18(3); CCI-001438, CCI-001439, CCI-002323, CCI-001441, CCI-001449}

Unless explicitly authorized by the Government, do not use any wireless or

wired broadcast communication. If requesting authorization for wireless or wired broadcast communication, wired broadcast media such as powerline carrier is preferred to wireless.

3.2.2.1 Wireless and Wired Broadcast IP Communications

Unless specifically approved and installed in accordance with the project site requirements, Do not install wireless or wired broadcast IP networks, including: do not install a wireless access point; do not install or configure an ad-hoc wireless network; do not install or configure a WiFi Direct communication.

When explicitly authorized by the Government, wireless IP communication may be used to communicate with an existing wireless network.

3.2.2.2 Non-IP Wireless Communication

For LOW Impact Systems: When non-IP wireless communication is explicitly authorized by the Government, use the maximum level of encryption supported by the specific protocol employed and select signal strength and radiated power to the minimum necessary for reliable communication.

For MODERATE Impact Systems: When non-IP wireless communication is explicitly authorized by the Government, the radios must meet NIST FIPS 140-2 Level 2.

3.2.2.3 Wireless and Wired Broadcast Communication Request

Provide a report documenting the proposed use of wireless or wired broadcast communication prior to device selection using the Wireless and Wired Broadcast Communication Request Schedule at <https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1>. If there is no proposed use of wireless or wired broadcast communication, provide a document indicating this instead of the Request Schedule.

For each device proposed to use wireless or wired broadcast communication show: the device identifier, a description of the device, the location of the device, the device identifiers of other devices communicating with the device, the protocol used for communication, encryption type and strength. For wireless communication, also show: RF Frequency, Radiated Power in dBm (decibel with a milliwatt reference), free-space range, and the expected as-installed range.

3.2.2.4 Wireless Communication Testing

As part of Performance Verification Testing (PVT) Functional Performance Testing (FPT), conduct testing of wireless communication for all devices indicated on the approved Wireless and Wired Broadcast Communication Request as requiring testing.

To test wireless communication, test for wireless network reception at multiple points along the wireless test boundary in the vicinity of the wireless device, and record whether a network connection can be established at each point. The wireless test boundary is the facility fence line. If wireless testing is required, provide a Wireless Communication Test Report documenting the testing points and results at each point for each wireless device.

3.2.3 Non-IP Control Networks

When control system specifications require particular communication protocols, use only those communication protocols and only as specified. Do not implement any other communication protocol.

When control system specifications do not indicate requirements for communication protocols, use only those protocols required for operation of the system as specified.

3.2.4 IP Control Networks

{For Government Reference Only: This subpart relates to CM-6(a), CM-7(a), CM-7(b), CM-7(1)(b), SC-41; CCI-001588, CCI-000381, CCI-000380, CCI-000381, CCI-000382, CCI-001761, CCI-001762, CCI-002544, CCI-002545, CCI-002546. For Moderate Impact Systems, this subpart (and its subparts) also relates to SC-5(1), SC-5(2); CCI-001094 CCI-001095}

IP Networks must be Ethernet networks and must use switches which are Ethernet Switches or Daisy Chain IP Controllers as defined in this Section. Do not use nonsecure functions, ports, protocols and services as defined in DODI 8551.01 unless those ports, protocols and services are specifically required by the control system specifications or otherwise specifically authorized by the Government. Do not use ports, protocols and services that are not specified in the control system specifications or required for operation of the control system.

For MODERATE Impact Systems, unless explicitly authorized, do not use IP networks if the same control functionality is available through the use of non-IP networks.

3.2.4.1 IP Network Routers

For IP-based communications across control systems, use Routers to control and restrict traffic flow between network and virtual local area network (VLAN) traffic. Configure routers using Access Control Lists (ACLs) using a deny-all, permit by exception approach. When network traffic is within the local perimeter use Traditional Network Routers. When network traffic leaves the local perimeter, use Integrated Service Routers (ISR) or Firewalls with VPN capability instead.

3.2.4.2 IP Devices With Multiple Ethernet Connections

Except for Ethernet Switches and Daisy Chain IP Controllers, devices must not have more than one Ethernet connection to IP networks unless doing so is required by the project specifications and the specific application is approved. If a device with Multiple Ethernet Connections to IP networks is required, provide a Multiple Ethernet Connection Device Request using the Multiple Ethernet Connection Device Request Template at <https://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/ufgs-25-05-1> to request approval for each device. If a device with Multiple Ethernet Connections to IP networks is not required, instead provide a document stating that no approval is being requested.

3.2.5 Cryptographic Protection

{For Government Reference Only: This subpart relates to IA-2(9), IA-3(1), SC-8, SC-13, SC-23(1), SC-23(3); CCI-001942, CCI-001959, CCI-001967, CCI-002418, CCI-002449, CCI-002450, CCI-001185, CCI-001188, CCI-001664.}

All remote user interfaces must use HTTPS for all traffic between the user interface client and user interface server.

For devices that have STIG/SRGs related to cryptographic protection (CCI-002450), comply with the requirements of those STIG/SRGs. Ensure that all network traffic is encrypted using NSA-approved cryptography; provision of digital signatures and hashing, and FIPS-validated cryptography.

3.2.5.1 Additional Cryptographic Protection Requirements for USACE Civil Works Systems

Protect the following communications using NIST FIPS 140-2 compliant encryption methods:

- a. Public switched telephone network
- b. Leased lines
- c. Any wireless communication

Establish Virtual Private Network IPSec tunnels between different facilities and between wireless devices. Provide firewalls to control communications between tunnels. Firewalls must meet STIG requirements. Network and wireless devices must be on the DISA approved product list (APL). See <https://aplists.disa.mil/processAPList>.

3.2.6 Device Identification and Authentication

{For Government Reference Only: This subpart (and its subparts) relates to IA-3; CCI-000777, CCI-000778, CCI-001958. For MODERATE Impact systems, this subpart (and its subparts) also relates to SC-23, SC-23(5); CCI-001184, CCI-002470.}

All computers must support and implement IEEE 802.1x for device authentication to the network.

3.2.6.1 For HVAC Control System Devices

Devices using HTTP as a control protocol must use HTTPS instead. Devices using Ethernet must support IEEE 802.1x. Devices using Fox Protocol must support IEEE 802.1x. Devices using BACnet must support network security as specified for BACnet Secure Connect in ASHRAE 135.

3.2.6.2 Default Requirements for Control System Devices

For control system devices where Device Identification and Authentication requirements are not otherwise indicated in this Section: Devices using Ethernet must support IEEE 802.1x. Devices using HTTP as a control protocol must use HTTPS instead.

3.2.7 Cryptographic Module Authentication

{For Government Reference Only: This subpart (and its subparts) relates to IA-7; CCI-000803}

For devices (including but not limited to NIST FIPS 140-2 compliant radios) that have STIG/SRGs related to cryptographic module authentication

(CCI-000803), comply with the requirements of those STIG/SRGs.

3.2.8 Secure Network Design

Provide network segmentation for networks leaving a local physical boundary, containing multiple types of systems (such as control and electronic security systems), or controlling more than one process that does not require direct communication of the control system components amongst discrete processes. Dual-homed hosts are prohibited. Protect external connections to the outside with a router, firewall, VPN, and IDS.

Network Interface Cards (NICs) or network transceivers are considered to be network connected regardless of network protocol used. Unused NICs must be disabled. A device is considered to be wireless network connected unless the wireless network controller is physically disabled. It is not sufficient to disable the wireless NIC in settings.

3.2.9 OT Monitoring System (OTMS)

Provide 1 rack unit of rack space for data taps to be furnished, installed, and configured by the Government.

3.3 ACCESS CONTROL REQUIREMENTS

3.3.1 User Accounts

{For Government Reference Only: This subpart (and its subparts) relate to AC-2(a), AC-3, AC-6(1), AC-6(10), AC-6(2), AC-6(9), CM-11(2), and IA-2; CCI-002110, CCI-000213, CCI-001558, CCI-002221, CCI-002222, CCI-002223, CCI-002235, CCI-000039, CCI-001419, CCI-002234, CCI-001812, and CCI-000764.

For MODERATE Impact systems, this subpart (and its subparts) also relate to AC-2 (2), AC-2(3), AC-2(4), AC-6(1), and CM-5(1); CCI-001361, CCI-000017, CCI-000217, CCI-000018, CCI-001403, CCI-001404, CCI-001405, CCI-002130, CCI-001683, CCI-001684, CCI-001685, CCI-001686, CCI-002132, CCI-001558, CCI-002221, CCI-002222, CCI-002223, CCI-001813.}

Any user interface supporting user accounts (either FULLY or MINIMALLY) must limit access according to specified limitations for each account. Install and configure any device having a STIG or SRG in accordance with that STIG or SRG.

All user interfaces FULLY supporting accounts must implement user-based authentication where each account is uniquely assigned to a specific user. User interfaces FULLY supporting accounts must implement at least three (3) levels of user account privilege including: 1) an account with read-only permissions 2) an account with full permissions including account creation and modification and 3) an account with greater permissions than read-only but without account creation and modification. Disable any unnecessary or unused accounts. Disable any "guest-level" accounts that are created on the system by default.

3.3.1.1 Computers

All computer operating systems must FULLY support user accounts and implement accounts for access. Each control system software application not supporting accounts and running on a computer must be installed such that use of the software is restricted by the computer operating system to specific users.

Applications running on computers must not require the user be logged in to a computer operating system administrator account for normal operation. It is permissible to require the computer operating system administrator account for initial application installation and configuration.

3.3.1.1.1 User Account Levels

Configure OT using three account access levels: operator level, service level, and administration level. Configure normal operations to occur using an operator level account. Configure the operator level with minimum privileges required to operate the system that does not allow for configuration changes. Disable removable media devices and USB ports (with the exception of keyboard and mouse) for all levels except the administrator level. Submit an Account Level Permissions List (Encrypted) documenting the names all levels and which permissions are allowed for each level.

3.3.1.2 Controllers

For user interfaces provided by controllers, provide access control in accordance with the User Interface Requirements table for the applicable control system and user interface type.

- a. For table entries of "NA": NA means Not Applicable, there are no interfaces in this category.
- b. For table entries of "None Required": The user interface is not required to support user accounts.
- c. For table entries of "MINIMALLY": The user interface must at least MINIMALLY support user accounts.
- d. For table entries of "FULLY": The user interface must at FULLY support user accounts.
- e. For table entries of "KEY": The user interface must have physical security in the form of either a key lock on the interface itself or be furnished inside a lockable enclosure. Where this is required for a read only interface, the lockable enclosure must prevent viewing of data on the interface; for other interfaces, this lockable enclosure must prevent using the interface to alter data.
- f. For table entries of "Physical Security": For Local FULL interfaces, the interface must be located inside mission space. For Local Limited (not FULL) interfaces, the user interface must either a) be located within mission space or b) be protected by physical security at least as good as the control devices (and equipment controlled by the control devices) affected by the interface. For purposes of this requirement, 'affected' includes controllers with data that can be directly altered by the interface, as well as mechanical and/or electrical equipment directly controlled by those controllers, but does not include other interactions.
- g. Entries of the form "X and Y" must meet both the requirement indicated for X and the requirement indicated for Y. For example, an entry of "MINIMALLY and Physical Security" indicates the user interface must both MINIMALLY support accounts and have physical security.

- h. Entries of the form "X or Y" must meet either the requirement indicated for X or the requirement indicated for Y.

3.3.1.2.1 HVAC Control Systems

User Interface Requirements for LOW Impact HVAC Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u>
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	MINIMALLY
Local Limited, Privileged	Physical Security
Local Full	MINIMALLY
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	
User Interface Requirements for MODERATE Impact HVAC Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u> (See note 3)
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	MINIMALLY
Local Limited, Privileged	MINIMALLY and Physical Security
Local Full	MINIMALLY and Physical Security
Remote Read Only	MINIMALLY
Remote Limited, Non-Privileged	FULLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY

User Interface Requirements for MODERATE Impact HVAC Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u> (See note 3)
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged 3)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS")	

3.3.1.2.2 Lighting Control Systems

User Interface Requirements for LOW Impact Lighting Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u>
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	MINIMALLY
Local Limited, Privileged	MINIMALLY
Local Full	MINIMALLY
Remote Read Only	None Required
Remote Limited, Non-Privileged	MINIMALLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	

User Interface Requirements for MODERATE Impact Lighting Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u> (See note 3)
Local Read Only (see note 1)	None Required
Local Limited, Non-privileged	MINIMALLY
Local Limited, Privileged	MINIMALLY and Physical Security
Local Full	MINIMALLY and Physical Security
Remote Read Only	MINIMALLY

User Interface Requirements for MODERATE Impact Lighting Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u> (See note 3)
Remote Limited, Non-Privileged	FULLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged 3)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS")	

3.3.1.2.3 USACE Civil Works Control Systems

User Interface Requirements for LOW Impact USACE Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u>
Local Read Only (see note 1)	KEY
Local Limited, Non-privileged	MINIMALLY
Local Limited, Privileged	MINIMALLY
Local Full	(see note 3)
Remote Read Only	(see note 3)
Remote Limited, Non-Privileged	NA
Remote Limited, Privileged	NA
Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged 3)Device or system should not be capable of providing the interface type, otherwise notify the COR	

User Interface Requirements for MODERATE Impact USACE Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u> (See note 3)
Local Read Only	KEY
Local Limited, Non-privileged	MINIMALLY
Local Limited, Privileged	MINIMALLY and Physical Security
Local Full	(see note 4)
Remote Read Only	(see note 4)
Remote Limited, Non-Privileged	NA
Remote Limited, Privileged	NA
Remote Full	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged 3)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS") 4) Device or system should not be capable of providing the interface type, otherwise notify the COR	

3.3.1.2.4 Default Requirements for Other Control Systems

For control system devices where User Interface Requirements are not otherwise indicated in this Section, use the Default User Interface Requirements tables.

Default User Interface Requirements for LOW Impact Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u>
Local Read Only (see note 1)	MINIMALLY
Local Limited, Non-privileged	MINIMALLY
Local Limited, Privileged	MINIMALLY
Local Full	MINIMALLY
Remote Read Only	MINIMALLY
Remote Limited, Non-Privileged	MINIMALLY

Default User Interface Requirements for LOW Impact Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u>
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged	
Default User Interface Requirements for MODERATE Impact Control Systems	
<u>User Interface Type</u>	<u>Access Control Requirement</u> (See note 3)
Local Read Only (see note 1)	MINIMALLY
Local Limited, Non-privileged	MINIMALLY
Local Limited, Privileged	MINIMALLY and Physical Security
Local Full	MINIMALLY and Physical Security
Remote Read Only	MINIMALLY
Remote Limited, Non-Privileged	FULLY
Remote Limited, Privileged AND Remote Full (see note 2)	FULLY
Notes: 1)Local Read Only User Interfaces are always Non-Privileged 2)Remote Full User Interfaces are always Privileged 3)Devices outside mission space require physical security protections as indicated (in "PHYSICAL SECURITY IN MODERATE IMPACT SYSTEMS")	

3.3.1.3 Additional User Account Expiration Requirements In MODERATE Impact Systems:

In addition to other user account requirements, user account expiration and auditing must be configured as indicated.

3.3.1.3.1 For Control System Applications Running on Computers

If temporary accounts are supported, expire temporary accounts 72 hours after creation. Expire all other accounts after 35 days of inactivity.

3.3.1.3.2 For Other Control System Devices FULLY Supporting Accounts

If temporary accounts are supported, expire temporary accounts 72 hours after creation. Expire all other accounts after 365 days of inactivity.

3.3.2 Unsuccessful Logon Attempts

{For Government Reference Only: This subpart (and its subparts) relate to AC-7 (a), AC-7 (b); CCI-000043, CCI-000044, CCI-001423, CCI-002236, CCI-002237, CCI-002238}

Except for high availability user interfaces indicated as exempt, devices must meet the indicated requirements for handling unsuccessful logon attempts. If a device cannot meet these requirements, document device capabilities to protect from subsequent logon attempts and propose alternate protections in a Device Account Lock Exception Request submittal. Do not implement alternate protection measures in lieu of the indicated requirements without explicit permission from the Government. If no Device Account Lock Exceptions are requested, provide a document stating that no approval is being requested as the Device Account Lock Exception Request.

3.3.2.1 Devices MINIMALLY Supporting Accounts

For LOW Impact Systems: Devices which MINIMALLY (but not FULLY) support accounts must lock the user account after five consecutive failed login attempts and must unlock the user account after 15 minutes have elapsed without an unsuccessful login attempt or by a successful login to a separate administrator account.

For MODERATE Impact Systems: Devices which MINIMALLY (but not FULLY) support accounts must lock the user account after five consecutive failed login attempts and must unlock the user account after 60 minutes have elapsed without an unsuccessful login attempt or by a successful login to a separate administrator account.

3.3.2.2 Devices FULLY Supporting Accounts

Devices which FULLY support accounts must meet the following requirements.

- a. It must lock the user account when three unsuccessful logon attempts occur within a 15 minute interval.
- b. Once an account is locked, the account must stay locked until unlocked by an administrator. If the account being locked is the sole administrator account on the device, the account must stay locked for 1 hour and then automatically unlock.
- c. Once the indicated number of unsuccessful logon attempts occurs, delay further logon prompts by 5 seconds.

3.3.2.3 High Availability Interfaces Exempt from Unsuccessful Logon Attempts Requirements

There are no high availability interfaces which are exempt from unsuccessful logon attempts requirements.

3.3.3 System Use Notification

{For Government Reference Only: This subpart (and its subparts) relates to AC-8; CCI-000048, CCI-002247, CCI-002243, CCI-002244, CCI-002245, CCI-002246, CCI-000050, CCI-002248}

3.3.3.1 System Use Notification for Remote User Interfaces

Remote user interfaces must display a warning banner meeting the requirements of DTM 08-060 on screen.

3.3.3.2 System Use Notification for Local User Interfaces

Devices which are connected to a network and have a local user interface must display a warning banner meeting the requirements of DTM 08-060 on the user interface screen if capable of doing so and must have a permanently affixed label with an approved banner from DTM 08-060 if unable to display the warning banner on the screen. Where it is impractical (perhaps due to device size) to affix the label to the device, affix the label to the device enclosure.

Labels must be machine printed or engraved, plastic or metal, designed for permanent installation, must use a font no smaller than 14 point, and must provide a high contrast between font and background colors.

3.3.4 Session Lock and Session Termination Requirements In MODERATE Impact Systems:

{For Government Reference Only: This subpart (and its subparts) relates to AC-11(a), AC-11(b), AC-11(1), AC-12, SC-10; AC-10; CCI-000058, CCI-000059, CCI-000056, CCI-000057, CCI-000060, CCI-002360, CCI-002361, CCI-001133, CCI-001134, CCI-000054, CCI-000055, CCI-002252}

3.3.4.1 Session Termination

When session termination is required for a User Interface, the User Interface must implement session termination a) based on manual initiation, or b) based on lack of activity, or c) based on either manual initiation or lack of activity, as indicated.

Session Termination must result in logging out the user. A logged out User Interface may only perform actions as indicated in the "Permitted Actions Without Identification or Authentication" subpart of this Section or display a publicly viewable image or blank screen. User Interfaces must remain logged out (session terminated) until a user enters correct authentication information, which must initiate a new session. All User Interfaces running on computers and all Remote User Interfaces must also terminate network connections as part of session termination.

3.3.4.2 Session Lock

When session lock is required for a User Interface, the User Interface must implement session lock a) based on manual initiation, or b) based on lack of activity, or c) based on either manual initiation or lack of activity, as indicated.

Session lock must result in the User Interface being suspended and the user interface must display a publicly viewable image or blank screen. No interaction with the user interface must be possible until either a) the same user enters valid authentication information, in which case that session must be continued, or b) until a different user enters valid authentication information at which point the first session must be terminated and a new session initiated for the new user.

3.3.4.3 Session Lock and Termination for Computers

Except as shown in the Session Lock and Session Termination Exception Table, User Interface sessions provided by computer operating systems must support the requirement for both Session Lock and Session Termination. Session Lock and Session Termination must be capable of being initiated by the user and must also be initiated by lack of activity. Session Lock must occur after 15 minutes of inactivity, and Session Termination must occur after 30 minutes total of inactivity (including, not in addition to, the time for Session Lock). When a user initiates a new session, terminate existing sessions if necessary to limit the total number of concurrent sessions to 1.

Except as shown in the Session Lock and Session Termination Exception Table, Other User Interface sessions running on computers (for local user interfaces) or hosted on a computer (for remote user interfaces) and supporting accounts must support user initiation of Session Termination and session lock. Session lock may be initiated by user initiation or automatically after 15 minutes of inactivity. In addition, remote User Interface sessions must also initiate Session Termination after 30 minutes of inactivity unless otherwise indicated in the Session Lock and Termination Exceptions table.

3.3.4.4 Session Lock and Termination for Controllers

Except as shown in the Session Lock and Session Termination Exception Table, Writable Remote User Interfaces must support requirements for Session Termination, and must both be capable of being initiated by the user and initiated by lack of activity. Session Termination must initiate after 30 minutes of inactivity.

Except as shown in the Session Lock and Session Termination Exception Table, Local User Interfaces supporting accounts must support manual initiation of Session Termination. Privileged Local User Interfaces must also support timed initiation of Session Termination, unless otherwise indicated in the Session Lock and Termination Exceptions table, with Session Termination initiated at 30 minutes of inactivity. They must also support session lock, where session lock may be initiated by user initiation or automatically after 15 minutes of inactivity.

3.3.5 Permitted Actions Without Identification or Authentication

{For Government Reference Only: This subpart (and its subparts) relates to AC-14; CCI-000061, CCI-000232}

The control system must require identification and authentication before allowing any actions except read-only actions by a user acting from a user interface which MINIMALLY or FULLY supports accounts.

3.3.6 Physical Security in MODERATE Impact Systems

{For Government Reference Only: This subpart relates to PE-3(1), PE-4, PE-5, SC-7(a), SC-7(c), SC-8, SC-8(1); CCI-000928, CCI-002926, CCI-000936, CCI-002930, CCI-002931, CCI-000937, CCI-001097, CCI-001109, CCI-002418, CCI-002419, CCI-002421.}

3.3.6.1 Physical Security for Media

3.3.6.1.1 Physical Security for Media Inside Mission Space

Install all non-IP network media located inside of the mission space in conduit. Install all IP network media located inside of the mission space in intermediate metallic conduit.

3.3.6.1.2 Physical Security for Media Outside Mission Space

Install all network media (both IP and non-IP) located outside of the mission space in rigid metallic conduit.

3.3.6.2 Physical Security for Devices

Install all devices (computers and controllers) which are located outside of mission space in lockable enclosures. (Recall that per definition of mission space, a room controlled by the mission is mission space regardless of whether it is contiguous with other mission space.)

Install all controllers, and other OT devices, connected to an IP network in lockable enclosures (both inside and outside of mission space).

3.3.6.3 Physical Security for User Interfaces

Physical security requirements for User Interfaces are specified in the preceding paragraphs of this Section.

3.3.7 Enclosures

Prior to final acceptance of the system, lock all lockable enclosures. Submit an Enclosure Keys submittal with all copies of keys for all enclosures and a key inventory list documenting all keys. Label each key with the matching enclosure identifier.

3.4 USER IDENTIFICATION AND AUTHENTICATION

{For Government Reference Only: This subpart (and its subparts) relates to IA-2, IA-2(1), IA-2(12), IA-5 IA-5(b), IA-5(c), IA-5(e), IA-5(g), IA-5(1), IA-5(11); CCI-000764, CCI-000765, CCI-001953, CCI-001954, CCI-001544, CCI-001989, CCI-000182, CCI-001610, CCI-000192, CCI-000193, CCI-000194, CCI-000205, CCI-001619, CCI-001611, CCI-001612, CCI-001613, CCI-001614, CCI-000195, CCI-001615, CCI-000196, CCI-000197, CCI-000199, CCI-000198, CCI-001616, CCI-001617, CCI-000200, CCI-001618, CCI-002041, CCI-002002, CCI-002003. For MODERATE Impact systems, this subpart also relates to AC-6 (1), AC-6(10), AC-6(2), AC-6(9)-IA-2(4), IA-5(13); CCI-001558, CCI-002221, CCI-002222, CCI-002223, CCI-002235, CCI-000039, CCI-001419, CCI-002234, CCI-000768, CCI-002007.}

This subpart indicates requirements for specific methods of identification and authentication for users and user accounts. Where these requirements conflict apply the following order of precedence: 1) If present, Device Specific Requirements take precedence over any other requirements; and then 2) multifactor authentication requirements take precedence over password requirements.

3.4.1 User Identification and Authentication for All System Types

Unless otherwise indicated, all user interfaces supporting accounts

(either FULLY or MINIMALLY) must implement Identification and Authorization via passwords.

For MODERATE Impact Systems: User interfaces provided by computer operating systems must implement multifactor authentication via PIV. User interfaces supporting accounts (FULLY or MINIMALLY) on computers must implement multifactor authentication via PIV. Devices with writable remote user interfaces must implement multifactor authentication via PIV. Devices with Privileged Remote User Interfaces must implement multifactor authentication via PIV. Software running on computers and computer operating systems must manage cached authenticators in accordance with the relevant STIGs. All other devices and software must not use cached authenticators.

3.4.2 User Identification and Authentication for Specific System Types

System specific requirements are in addition to and supersede those indicated for all system types. When no additional requirements are indicated for a specific system type the requirements for all systems still apply to that system type.

3.4.2.1 HVAC Control Systems Devices

User Interfaces which FULLY support accounts and which run on a computer must use multifactor authentication via PIV.

3.4.2.2 Lighting Control Systems Devices

User Interfaces which FULLY support accounts and which run on a computer must use multifactor authentication via PIV.

3.4.3 Implementation of Identification and Authorization Requirements

Identification and Authorization must be met by one of the following methods:

- a. Direct implementation in the user interface.
- b. For user interfaces on a computer: inheriting the Identification and Authorization from the computer operating system, either by the operating system limiting access to specific applications by user, or by the application itself having permissions based on the user logged into the computer.
- c. For remote interfaces: an implementation shared between the remote user interface server and the remote user interface client. For example, a requirement for PIV authentication may be met on a remote user interface by a PIV reader on a web browser client which sends the authentication information via HTTPS to the remote server.

3.4.4 Password-Based Authentication Requirements

3.4.4.1 Passwords for Software and Applications Running on Computers

All software and applications running on computers supporting password-based authentication must enforce the following requirements:

- a. Minimum password length of 12 characters

- b. Password must contain at least one uppercase character.
- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.
- f. Password must have a minimum lifetime of 24 hours.
- g. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- h. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters (where location is significant, a character may be reused if it is in a different position).
- i. Passwords must be cryptographically protected during storage and transmission.

3.4.4.2 Passwords for Controllers FULLY Supporting Accounts

All controllers FULLY supporting accounts and supporting password-based authentication must enforce the following requirements:

- a. Minimum password length of 12 characters
- b. Password must contain at least one uppercase character.
- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.
- f. Password must have a maximum lifetime of sixty (60) days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- g. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters.
- h. Passwords must be cryptographically protected during storage and transmission.

3.4.4.3 Passwords for Remote Interfaces

Passwords for connecting to a Remote User Interface supporting password-based authentication must enforce the following requirements:

- a. Minimum password length of 12 characters
- b. Password must contain at least one uppercase character.

- c. Password must contain at least one lowercase character.
- d. Password must contain at least one numeric character.
- e. Password must contain at least one special character. The list of supported special characters must include at least 4 separate characters.
- f. Password must have a maximum lifetime of 60 days. When passwords expire, prompt users to change passwords. Do not lock accounts due to expired passwords.
- g. Password must differ from previous five passwords, where differ is defined as changing at least 50 percent of the characters (where location is significant, a character may be reused if it is in a different position).
- h. Passwords must be cryptographically protected during storage and transmission.

3.4.4.4 Passwords for Devices Minimally Supporting Accounts

Devices MINIMALLY supporting accounts must support passwords with a minimum length of four characters.

3.4.4.5 Password Configuration and Reporting

For all devices with a password, change the password from the default password. Coordinate selection of passwords with the Password Point of Contact. Do not use the same password for more than one device unless specifically instructed to do so. Provide a Confidential Password Report documenting the password for each device and describing the procedure to change the password for each device.

Do not provide the Password Summary Report in electronic format. Provide two hardcopies of the Password Summary Report, each copy in its own sealed envelope.

3.4.5 Authenticator Feedback

{For Government Reference Only: This subpart relates to IA-6; CCI-000206}

Devices must never show authentication information, including passwords, on a display. Devices that momentarily display a character as it is entered, and then obscure the character, are acceptable. For devices that have STIGs or SRGs related to obscuring of authenticator feedback (CCI-000206), comply with the requirements of those STIGs/SRGs.

3.4.6 Implementation of PKI Infrastructure in Moderate Impact Systems (Except USACE Civil Works Systems)

Coordinate with the PKI Infrastructure Point of Contact to configure the system to implement PKI such that the system validates certifications by constructing and verifying a certification path to an accepted trust anchor including checking certificate status information; the system enforces authorized access to the corresponding private key; the system maps the authenticated identity to the account of the individual or group; and the system implements a local cache of revocation data to support path

discovery and validation in case of inability to access revocation information via the network.

3.4.7 Implementation of PKI Infrastructure in USACE Civil Works Systems

Coordinate with the PKI Infrastructure Point of Contact to configure the PKI system. Implement PKI digital certificates for communications where possible, such that the system validates certifications by constructing and verifying a certification path to an accepted trust anchor including checking certificate status information. Configure the system to enforce authorized access to the corresponding private key, to map the authenticated identity to the account of the individual or group, and to implement a local cache of revocation data to support path discovery and validation in case of inability to access revocation information via the network. Self-signed certificates are acceptable. Document communications devices that cannot be Certificate protected in a Certificate Protection Status (Encrypted) submittal for all communication devices prior to any equipment requiring certificates arriving at the site.

3.5 CYBERSECURITY AUDITING

Where an auditing requirement exists for email notification, notify via email the application administrator and Information System Security Officer (ISSO) of the event. Coordinate with the Email Address Point of Contact for email addresses. If outgoing email is not available to the system, disable email notifications.

USACE Civil Works systems do not permit email notification. Disable all email notification. Auditing requirements for email notification in this section do not apply to USACE Civil Works control systems

3.5.1 Audit Events, Content of Audit Records, and Audit Generation

{For Government Reference Only: This subpart (and its subparts) relates to AU-2(a), AU-2(c), AU-2(d), AU-3, AU-10, AU-12, AU-14(b), AU-14(1), AU-14(2), AU-14(3), CM-5(1), SC-7 (9); CCI-000123, CCI-001571, CCI-000125, CCI-001485, CCI-000130, CCI-000131, CCI-000132, CCI-001230, CCI-000133, CCI-000134, CCI-001487, CCI-000166, CCI-001899, CCI-000169, CCI-001459, CCI-000171, CCI-000172, CCI-001910, CCI-001914, CCI-001919, CCI-001464, CCI-001462, CCI-001920, CCI-001814, CCI-002400. For MODERATE Impact systems, this subpart (and its subparts) also relates to AU-3 (1); CCI-000135, CCI-001488}

For devices that have STIG/SRGs related to audit events, content of audit records or audit generation, comply with the requirements of those STIG/SRGs.

If auditing requirements can be met using existing control system alarm or event capabilities, those existing capabilities may be used to meet these requirements.

3.5.1.1 Computers

For each computer, provide the capability to select audited events and the content of audit logs. Configure computers to audit the indicated events, and to record the indicated information for each auditable event. Send logs to a syslog server that is only accessible via accounts on the system with privileged level access.

3.5.1.1.1 Audited Events

Configure each computer to audit the following events:

- a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)
- b. Successful and unsuccessful logon attempts
- c. Successful logouts
- d. Privileged activities or other system level access
- e. Concurrent logons from different workstations
- f. Successful and unsuccessful accesses to objects
- g. Program initiations
- h. Direct access to the information system
- i. Account creations, modifications, disabling, and terminations. For MODERATE Impact Systems, also provide email notification when these audit events occur.
- j. Kernel module load, unload, and restart
- k. Operator actions related to operation of the system

3.5.1.1.2 Audit Event Information To Record

Configure each computer to record, for each auditable event, the following information (where applicable to the event):

- a. What type of event occurred
- b. When the event occurred
- c. Where the event occurred
- d. The source of the event
- e. The outcome of the event
- f. The identity of any individuals or subjects associated with the event
- g. For MODERATE Impact Systems: For all privileged commands, full-text recording of the executed command and the user executing the command

For MODERATE Impact Systems: Audit records must provide sufficient detail to reconstruct events to determine cause of compromise and magnitude of damage, malfunction, or security violation.

3.5.1.2 For HVAC Control System Controllers

3.5.1.2.1 HVAC Control System Controllers FULLY Supporting User Accounts

For each controller which FULLY supports accounts, provide the capability

to select audited events and the content of audit logs. Configure controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.2.1.1 Audited Events

Configure each controller to audit the following events:

- a. Successful and unsuccessful logon attempts to the controller
- b. Successful logouts
- c. All account creations, modifications, disabling, and terminations. For MODERATE Impact Systems, also provide email notification when these audit events occur.
- d. All controller shutdown and startup
- e. For privileged user interfaces in MODERATE Impact Systems: All user commands.

3.5.1.2.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

- a. what type of event occurred
- b. when the event occurred
- c. the identity of any individuals or subjects associated with the event
- d. For privileged user interfaces in MODERATE Impact Systems: Full text recording of the executed command and the user executing the command.

For MODERATE Impact Systems: Audit records must provide sufficient detail to reconstruct events to determine cause of compromise and magnitude of damage, malfunction, or security violation.

3.5.1.2.2 Other HVAC Control System Controllers

There are no requirements to perform auditing at HVAC field controllers that do not FULLY support accounts.

3.5.1.3 For Lighting Control System Controller

3.5.1.3.1 Lighting Control System Controllers FULLY Supporting User Accounts

For each controller which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.3.1.1 Audited Events

Configure each controller to audit the following events:

- a. Successful and unsuccessful logon attempts to the controller

- b. Successful logouts
- c. All account creations, modifications, disabling, and terminations. For MODERATE Impact Systems, also provide email notification when these audit events occur.
- d. All controller shutdown and startup
- e. For privileged user interfaces in MODERATE Impact Systems: All user commands.

3.5.1.3.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

- a. what type of event occurred
- b. when the event occurred
- c. the identity of any individuals or subjects associated with the event
- d. For privileged user interfaces in MODERATE Impact Systems: Full text recording of the executed command and the user executing the command.

For MODERATE Impact Systems: Audit records must provide sufficient detail to reconstruct events to determine cause of compromise and magnitude of damage, malfunction, or security violation

3.5.1.3.2 Other Lighting Control System Controllers

There are no requirements to perform auditing at Lighting field controllers that do not FULLY support accounts.

3.5.1.4 Default Requirements for Control System Controllers

For control system controllers where Audit Events, Content of Audit Records, and Audit Generation are not otherwise indicated in this Section:

3.5.1.4.1 Controllers Which FULLY Support Accounts

For each controller which FULLY supports accounts, provide the capability to select audited events and the content of audit logs. Configure controllers to audit the indicated events, and to record the indicated information for each auditable event.

3.5.1.4.1.1 Audited Events

Configure each controller to audit the following events:

- a. Successful and unsuccessful attempts to access, modify, or delete privileges, security objects, security levels, or categories of information (e.g. classification levels)
- b. Successful and unsuccessful logon attempts
- c. Successful logouts

- d. Concurrent logons from different workstations
- e. All account creations, modifications, disabling, and terminations. For MODERATE Impact Systems, also provide email notification when these audit events occur.
- f. All kernel module load, unload, and restart
- g. For privileged user interfaces in MODERATE Impact Systems: All user commands.

3.5.1.4.1.2 Audit Event Information To Record

Configure each controller to record, for each auditable event, the following information (where applicable to the event):

- a. what type of event occurred
- b. when the event occurred
- c. where the event occurred
- d. the source of the event
- e. the outcome of the event
- f. the identity of any individuals or subjects associated with the event
- g. For privileged user interfaces in MODERATE Impact Systems: Full text recording of the executed command and the user executing the command.

For MODERATE Impact Systems: Audit records must provide sufficient detail to reconstruct events to determine cause of compromise and magnitude of damage, malfunction, or security violation

3.5.1.4.2 Controllers Which Do Not FULLY Support Accounts

For each controller which does not FULLY support accounts configure the controller to audit all controller shutdown and startup events and to record for each event the type of event and when the event occurred.

3.5.2 Audit Time Stamps

{For Government Reference Only: This subpart (and its subparts) relates to AU-8; CCI-000159, CCI-001889, CCI-001890. For MODERATE Impact systems, this subpart (and its subparts) also relates to AU-8 (1); CCI-001891, CCI-001892, CCI-002046.}

Any device (computer or controller) generating audit records must have an internal clock capable of providing time with a resolution of one second. Clocks must not drift more than 10 seconds per day. Configure the system so that each device (computer or controller) generating audit records maintains accurate time to within 1 second. Note that if the control system specifications include requirement for clocks, the most stringent requirement applies.

3.5.3 Auditing Front End Software

The project site currently has the following software to support control

system auditing: none. If there is no existing auditing front end software or the software is not compatible with the provided control systems, provide Auditing Front End Software with audit log import and upload, export, notification, and analysis functionality. The Auditing Front End Software may be provided as a component of the control system front end or as a separate software package, and a single package may serve multiple control systems provided under the same projects if they are sharing a cybersecurity authorization.

When the Auditing Front End Software is neither existing nor installed under the requirements of another Section, furnish the Auditing Front End Software media and license and install the software on the control system front end computer. Submit copies of Auditing Front End Software if this function is not part of the software provided with the control system to meet requirements of other Sections.

3.5.3.1 Import and Upload Requirements

Auditing Front End Software must be capable of importing audit logs from the Device Audit Record Upload Software and of uploading audit logs over the network from all control system devices supporting network upload of audit logs.

3.5.3.2 Export Requirements

Auditing Front End Software must be capable of exporting to a file format supported by Microsoft Excel.

3.5.3.3 Notification Of Audit Failure in Devices in MODERATE Impact Systems

The auditing front end software must be capable of receiving notifications of audit failure from control system devices and computers and be able to provide email notification based on receipt of the notification.

3.5.3.4 Audit Reduction and Report Generation In MODERATE Impact Systems

{For Government Reference Only: This subpart (and its subparts) relates to AU-6(4), AU-7(a), AU-7(b), AU-7(1), AU-12(1); CCI-000154, CCI-001875, CCI-001876, CCI-001877, CCI-001878, CCI-001879, CCI-001880, CCI-001881, CCI-001882, CCI-000158, CCI-000173, CCI-000174, CCI-001577.}

Auditing Front End Software must provide audit reduction and reporting capabilities that supports on-demand review and analysis, on demand reporting, and after the fact investigations of security incidents. The software must be able to combine audit records from all components within the system and analyze them as a single audit record. The software must correct for discrepancies in timestamps of audit logs from different sources and be able to account for discrepancies up to 2 seconds between sources. The software must not alter original audit record content or time ordering of audit records. The software must have the capability to filter audit records using user-defined fields within the audit records.

The audit reduction and reporting capabilities may incorporate third party application, such as Excel or Access.

3.5.4 Audit Storage Capacity and Audit Upload

{For Government Reference Only: This subpart (and its subparts) relates to AU-4; CCI-001848, CCI-001849}

The creation of audit records must never interfere with normal device operation. Devices must cease collection of auditing information if required to maintain normal operation.

- a. For devices that have STIG/SRGs related to audit storage capacity (CCI-001848 or CCI-001849) comply with the requirements of those STIG/SRGs.
- b. For controllers capable of generating audit records, provide 60 days worth of secure local storage, assuming 10 auditable events per day.
- c. For computers, provide storage for at least owner minimum audit records.

3.5.4.1 Audit Log Storage Notification In MODERATE Impact Systems

{For Government Reference Only: This subpart (and its subparts) relates to AU-5(1); CCI-001855.}

Controllers storing audit logs must provide notification when audit logs reach 75 percent of capacity either directly through email or indirectly by sending a notification to a computer, and the computer sending an email. Computers storing audit logs must provide notification when audit logs reach 75 percent of capacity directly through email.

3.5.4.2 Device Audit Record Upload Software

For each device (computer or controller) required to audit events and for which audit logs cannot be uploaded over the network by the Auditing Front End Software, provide and license to the Government software implementing a secure mechanism of uploading audit records from the device and exporting them to the Auditing Front End Software. Where different devices use different software, provide software of each type required to upload audit logs from all devices.

When Device Audit Record Upload Software is capable of uploading audit logs over the network, install Device Audit Record Upload Software on the same computer as the Auditing Front End Software. Submit copies of device audit record upload software if this function is not part of the software provided with the control system to meet requirements of other Sections. If there are no devices requiring this software, provide a document stating this in lieu of this submittal.

3.5.5 Response to Audit Processing Failures

{For Government Reference Only: This subpart (and its subparts) relates to AU-5; CCI-000139, CCI-000140, CCI-001490.}

In the case of a failure in the auditing system, computers associated with auditing must provide email notification. For MODERATE Impact systems, the computer must also notify the associated auditing front end software. In case of an audit failure, if possible, continue to collect audit records by overwriting existing audit records.

For MODERATE Impact Systems: In the case of an audit failure at a controller performing auditing, the device must notify the associated auditing front end software of the audit failure if able, and must continue to collect audit records by overwriting existing audit records if

able. The auditing front end software must provide notification as indicated, treating the notification of failure from the device as a failure in the auditing system.

3.6 REQUIREMENTS FOR LEAST FUNCTIONALITY

{For Government Reference Only: This subpart (and its subparts), along with the Network Communication, Ports, Protocols and Services Report submittal specified elsewhere in this section, relates to CM-6(a), CM-6(c), CM-7, CM-7(1)(b), SC-41; CCI-000363, CCI-000364, CCI-000365, CCI-001588, CCI-001755, CCI-000381, CCI-000380, CCI-000382, CCI-001761, CCI-001762, CCI-002544, CCI-002545, CCI-002546. For MODERATE Impact systems, this subpart (and its subparts) also relates to CM-7(2), CM-7(5)(a), CM-7(5)(b); CCI-000381, CCI-000380, CCI-000382, CCI-001761, CCI-001762}

For devices that have a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port and device I/O access for least functionality), install and configure the device in accordance with that STIG or SRGs.

3.6.1 Device Capabilities

For HVAC Control Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

For Lighting Control Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required.

For Other Control Systems: For LOW Impact Systems: Do not provide devices with remote user interfaces or with full user interfaces where one was not required.

For Other Control Systems: For MODERATE Impact Systems: Do not provide devices with remote user interfaces or full user interfaces where one was not required. Do not use a networked sensor or actuator where a non-networked sensor or actuator would suffice.

For all MODERATE Impact Systems: Unless specifically required by the government, do not provide a capability to update device firmware over the network.

3.6.2 Software

For software that has a STIG or SRG related to Requirements for Least Functionality (such as configuration settings and port access for least functionality), install and configure the software in accordance with that STIG or SRG.

Do not install software that is not specifically required to meet a contract requirement. Remove any previously installed that is not specifically required to meet a contract requirement. Do not implement functionality within software that is not specifically required to meet contract requirements.

3.7 SYSTEM AND COMMUNICATION PROTECTION

3.7.1 Collaborative Computing

{For Government Reference Only: This subpart relates to SC-15(a), SC-15(b); CCI-001150, CCI-001152.}

Without explicit approval from the project site, control systems must not use collaborative computing technologies.

3.7.2 Denial of Service Protection and Application Partitioning In MODERATE Impact Systems:

{For Government Reference Only: This subpart relates to SC-5, SC-12, SC-7(a); CCI-001093, CCI-002385, CCI-002386, CCI-002430, CCI-001097. For MODERATE Impact systems, this subpart also relates to SC-2; CCI-001082.}

To the greatest extent practical, implement control logic without reliance on the network. Except when required to meet the requirements of the control system Section (where the requirement can only be met using computer hardware), do not implement control logic in computers. For MODERATE Impact systems, do not implement control logic in a device providing (i.e. acting as a server for) a Full Remote User Interface.

3.7.2.1 Network Reliance in MODERATE Impact HVAC Control Systems

Except for networked input and outputs on input-output buses specifically designed to provide high reliability or redundancy, sensors and actuators must not rely on the network to exchange data with the controller executing the sequence of operation which uses the sensor value or determines the actuator command.

Sensor values required by multiple devices may be shared over the network provided they are connected to a controller requiring the value for execution of the sequence and that controller shares the value on the network.

3.7.2.2 Network Reliance in MODERATE Impact Lighting Control Systems

Except for networked input and outputs on input-output buses specifically designed to provide high reliability or redundancy, sensors and actuators must not rely on the network to exchange data with the controller executing the sequence of operation which uses the sensor value or determines the actuator command.

Sensor values required by multiple devices may be shared over the network provided they are connected to a controller requiring the value for execution of the sequence and that controller shares the value on the network.

3.7.2.3 Default Requirements for MODERATE Impact Control Systems

Except for networked input and outputs on input-output buses specifically designed to provide high reliability or redundancy, sensors and actuators must not rely on the network to exchange data with the controller executing the sequence of operation which uses the sensor value or determines the actuator command.

Sensor values required by multiple devices may be shared over the network

provided they are connected to a controller requiring the value for execution of the sequence and that controller shares the value on the network.

3.7.3 Mobile Code In MODERATE Impact Systems:

{For Government Reference Only: This subpart relates to SC-18(a), SC-18(b), SC-18(c), SC-18(1), SC-18(3), SC-18(4); CCI-001160, CCI-001161, CCI-001162, CCI-001163, CCI-001164, CCI-001165, CCI-001166, CCI-001662, CCI-002457, CCI-002458, CCI-001169, CCI-001695, CCI-001170, CCI-002469}

Devices with STIGs/SRGs related to Mobile Code and to Security Control SC-18 must be installed in accordance with the relevant STIGs/SRGs. All remote user interfaces must meet the requirements of the "Web Browsers and Application SRG".

Mobile code may only be downloaded from a specifically authorized mobile code repository. Coordinate with the Mobile Code Point of Contact for the location of a repository.

3.7.4 Protection of Information at Rest In MODERATE Impact Systems:

{For Government Reference Only: This subpart relates to SC-28, SC-28(1); CCI-001199, CCI-002472, CCI-002475, CCI-002476}

Computers must protect information at rest in accordance with applicable STIGs.

Any control system device storing personally identifiable information (PII), controlled unclassified information (CUI), or classified information must be protected by an Information At Rest encryption solution or by a physical security solution. Provide a Protection of Information At Rest Proposal indicating each device storing PII, CUI, or classified information and the encryption or physical security solution proposed for that device for government approval. If no devices stores PII, CUI, or classified information, provide a document stating this as the Protection of Information At Rest Proposal submittal. Do proceed with device selection and installation until the Protection of Information At Rest Proposal is approved. Once approved, implement approved Information At Rest protections.

3.7.5 Application Separation

Configure operating systems, applications, and network accessible devices using application separation. Utilize the operating system on the primary partition of the hard drive. Install application databases on a different partition than the operating system. Install web servers on a different partition than the operating system. Do not install web servers and database servers on the same computer. Do not host web servers and database servers on the same Virtual Machine.

3.8 SAFE MODE AND FAIL SAFE OPERATION

{For Government Reference Only: This subpart (and its subparts) relates to CP-12, SI-10(3), SI-17; CCI-002855, CCI-002856, CCI-002857, CCI-002754, CCI-002773, CCI-002774, CCI-002775}

For all control system components with an applicable STIG or SRG,

configure the component in accordance with all applicable STIGs and SRGs.

3.9 SYSTEM MAINTENANCE TOOL SOFTWARE

{For Government Reference Only: This subpart (and its subparts) relates to MA-3; CCI-000865.}

Submit and license to the Government all software required to operate, maintain and modify the control system such the Government or their agents are able to perform repair, replacement, upgrades, and expansions of the system, including programming of devices, without subsequent or future dependence on the Contractor, Vendor or Manufacturer. Submit hard copies of user manuals for each software with the software submittal. Provide any hardware keys or dongles, software keys, license numbers, and other information required to enable the Government to access or change the system.

For software provided and licensed to the Government under the requirements of another Section, submit a statement indicating the Section and Submittal under which the software was provided. For software provided to meet the requirements of this Section and not provided and licensed under another Section, submit software and software user manuals on DVD or CD as a Technical Data Package and submit one hard copy of the software user manual for each piece of software.

3.10 DEVICE POWER

{For Government Reference Only: This subpart (and its subparts) relates to PE-11, PE-11(1); CCI-002955, CCI-000961. For MODERATE Impact systems, this subpart (and its subparts) also relates to PE-9, PE-9(1); CCI-000952, CCI-002953, CCI-002954.}

For LOW Impact Systems: Provide emergency power in accordance with the control system and equipment specification Sections,

For MODERATE Impact Systems: Provide control system with power supply meeting or exceeding the reliability of the controlled equipment. Powering control system devices using the same power source as the equipment controlled by the device is a permissible method of meeting this requirement. Without explicit approval from the government, do not install local uninterruptible power supplies (UPSes) as a source of device power.

3.10.1 Device Behavior on Loss of Power In MODERATE Impact Systems:

Application programs and configuration settings must be stored in devices in manner such that a loss of power does not result in a loss of the application program or configuration settings: Loss of power must never result in the loss of application programs, regardless of the length of time power is lost; and loss of power for less than 2,500 hours must not result in the loss of configured settings.

In the event of a loss of power, when power is restored, controllers and computers executing control logic (and the underlying equipment) must recover and resume their normal sequences of operation. Note that the sequence of operation may require specific actions (e.g. startup sequences) upon recovery from loss of power.

3.11 VULNERABILITY SCANNING

{For Government Reference Only: This subpart (and its subparts) relates to RA-5 RA-5(a), RA-5(b), RA-5(c), RA-5(d); CCI-001054, CCI-001055, CCI-000156, CCI-001641, CCI-001643, CCI-001057, CCI-001058, CCI-001059. For MODERATE Impact systems, this subpart (and its subparts) also relates to RA-5(1), RA-5(5); CCI-001062, CCI-001067, CCI-001645, CCI-002906.}

All IP devices must be scannable, such that the device can be scanned by industry standard IP network scanning utilities without harm to the device, application, or functionality.

3.11.1 Computers and Software Running on Computers

Computers and applications running on computers must meet relevant vulnerability scanning STIGs/SRGs and respond to approved DoD vulnerability scanning tools.

3.11.2 Controllers

Provide controllers that are scannable by standard control system discovery tools or control system browsers and return meaningful status information including the network inputs and outputs for the controller. This information must contain sufficient detail to detect vulnerabilities or exploits of the controller.

Provide all software needed to scan the control system as the Control System Scanning Tools submittal. If the software required to scan the system is already installed at the project site or is provided under a separate section instead provide a statement indicating this.

3.12 VULNERABILITY ALERTS

Prior to installation, adhere to all vendor-specific and CISA Information Assurance Vulnerability Alert (IAVA, see <https://www.cisa.gov/uscert/ncas/alerts>) requirements for reporting, patching, and/or mitigating. For alerts which occur after installation but before government acceptance:

- a. Notify the Contracting Officer within 48 hours of receipt of the alert and within 48 hours of resolution of vulnerabilities.
- b. Resolve the vulnerabilities within 30 days of the alert.
- c. Submit a Vulnerability Resolution Report within 14 days of resolving the vulnerabilities in the alert. The report must identify the vulnerability alert ID and the date of resolution for each component.

3.13 FIPS 201-2 REQUIREMENT

{For Government Reference Only: This subpart (and its subparts) relates to SA-4 (10); CCI-003116}

Devices in the following systems which implement PIV must be on the NIST FIPS 201-2 approved product list (<https://www.idmanagement.gov/approved-products-list/>): .

3.14 BIOS/UEFI PROTECTION

Provide a protection mechanism to prevent unwanted changes to the system BIOS/UEFI for all devices on the system, where technically feasible. BIOS/UEFI Protection Mechanisms must utilize passwords or passphrases that conform to DoD STIG requirements. BIOS/UEFI Protection passwords must be used to allow access by system engineering and administrative personnel after initial commissioning of the system. Enable UEFI Secure Boot if hardware and Operating System support the option. Provide a BIOS/UEFI Protection Password/Passphrase List (Encrypted) documenting all passwords and passphrases.

3.15 SYSTEM AND INTEGRATION INTEGRITY

3.15.1 Malicious Code Protection

{For Government Reference Only: This subpart (and its subparts) relates to SI-3(c); CCI-001241, CCI-002623}

For all computers installed under this project, provide malware protection software media, provide licenses, and install and configure malware protection software as indicated. Provide the most up-to-date DoD approved software with up-to-date signatures. Verify that the software does not negatively affect the operation of the OT system. Computers being installed must be configured with up-to-date signatures, not older than 10 days, prior to deployment. Submit Antivirus/Antimalware Scan Results to show evidence of a clean scan. Coordinate with the Government Computer Access Point of Contact as required.

- a. Provide malware protection software licenses.
- b. Provide malware protection software media.
- c. Install and configure malware protection software in accordance with the relevant STIGs.

3.15.2 Software, Firmware, and Information Integrity In MODERATE Impact Systems:

{For Government Reference Only: This subpart relates to CM-5(3); CCI-001749, CCI-002704, CCI-002726}

If there exists Integrity Verification Software that can check boot process, software, firmware, or information in the control system and verify its integrity, provide it. If no such software exists, provide a statement to this affect in lieu of the software.

The system prevents the installation of software and firmware without verification of the digital signature using an approved certificate.

3.16 CONTROL SYSTEM CYBERSECURITY TESTING

{For Government Reference Only: For MODERATE Impact systems, this subpart (and its subparts) relates to SA-11(a), SA-11(b), SA-11(c), SA-11(d), SA-11(e); CCI-003171, CCI-003172, CCI-003173, CCI-003174, CCI-003175, CCI-003176, CCI-003177, CCI-003178.}

3.16.1 Control System Cybersecurity Testing Procedures

Prepare and submit Control System Cybersecurity Testing Procedures explaining step-by-step, the actions and expected results that will demonstrate that the control system meets the requirements of this Section. The Control System Cybersecurity Testing Procedures may be submitted as a Technical Data Package.

3.16.2 Control System Cybersecurity Testing Execution

Using the Control System Cybersecurity Testing Procedures verify that the control system meets the requirements of this Section. UNLESS GOVERNMENT WITNESSING OF A TEST IS SPECIFICALLY WAIVED BY THE GOVERNMENT, PERFORM ALL TESTS WITH A GOVERNMENT WITNESS. If testing reveals deficiencies in the system, correct the deficiency and retest until successful.

3.16.3 Control System Cybersecurity Testing Report

Prepare and submit a Control System Cybersecurity Testing Report documenting all tests performed and their results. Include all tests in the Control System Cybersecurity Testing Procedures and any additional tests performed during testing. Document test failures and repairs conducted with the test results. The Control System Cybersecurity Testing Report may be submitted as a Technical Data Package.

3.17 FIELD QUALITY CONTROL, CYBERSECURITY VALIDATION SUPPORT

In addition to testing and testing support required by other Sections, provide a minimum of four hours of technical support for cybersecurity testing of control systems to support the DoD Risk Management Framework process Cybersecurity assessment of the control system. This support is independent of (and in addition to) the Control System Cybersecurity Testing specified in this section.

3.18 CYBERSECURITY TRAINING

Provide eight hours of classroom and hands-on training for six Government personnel on the cybersecurity operation and maintenance of the control system provided. This training is in addition to and must be coordinated with control system training specified in other Sections.

The Government will provide the training location. Training must cover, at a minimum: (a) applying software and firmware updates, (b) user account creation, modification and deletion, (c) audit log upload procedures and (d) identification of privileged user interfaces and system impact of those interfaces. Training session must include a question and answer period during which government staff questions about cybersecurity aspects of the control system are answered.

-- End of Section --

NOT FOR BIDDING PURPOSES

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SECTION 25 10 10

UTILITY MONITORING AND CONTROL SYSTEM (UMCS) FRONT END AND INTEGRATION

PART 1 GENERAL

1.1 SUMMARY

Provide extensions as needed to the Control System (UMCS) which performs supervisory monitoring and supervisory control of base-wide building control systems using one or more of: ASHRAE 135 (BACnet), MODBUS Protocol, MODBUS TCP/IP, OPC DA, or the Niagara Framework with Fox protocol as indicated and shown. Integrate ASHRAE 135 (BACnet) field control systems installed per Section 23 09 23.02 BACNET DIRECT DIGITAL CONTROL FOR HVAC AND OTHER BUILDING CONTROL SYSTEMS as specified.

1.1.1 System Requirements

Provide a UMCS as specified and indicated, and in accordance with the following characteristics:

1.1.1.1 General System Requirements

- a. The system performs supervisory monitoring and control functions including but not limited to Scheduling, Alarm Handling, Trending, Overrides, Report Generation, and Electrical Demand Limiting as specified.
- b. The system includes a Graphical User Interface which allows for graphical navigation between systems, graphical representations of systems, access to real-time data for systems, ability to override points in a system, and access to all supervisory monitoring and control functions.
- c. All software used by the UMCS and all software used to install and configure the UMCS is licensed to and delivered to the installation.
- d. All necessary documentation, configuration information, configuration tools, programs, drivers, and other software is licensed to and otherwise remains with the Government such that the Government or their agents are able to repair, replace, upgrade, and expand the system without subsequent or future dependence on the Contractor. Software licenses must not require periodic fees and must be valid in perpetuity.
- e. Provide sufficient documentation and data, including rights to documentation and data, such that the Government or their agents can execute work to repair, replace, upgrade, and expand the system without subsequent or future dependence on the Contractor.
- f. The UMCS interfaces directly to ASHRAE 135, CEA-709.1-D, MODBUS Protocol, MODBUS TCP/IP, OPC DA, and Niagara Framework field control systems as specified and may interface to field control systems using other protocols via an M&C Software protocol driver or a Gateway.
- g. For UMCS systems with Monitoring and Control Software functionality

implemented in Monitoring and Control (M&C) Controller Hardware, provide sufficient additional controller hardware to support the full capacity requirements as specified.

- h. All Niagara Framework components have an unrestricted interoperability license with a Niagara Compatibility Statement (NiCS) following the Tridium Open NiCS Specification and have a value of "ALL" for "Station Compatibility In", "Station Compatibility Out", "Tool Compatibility In" and "Tool Compatibility Out". Note that this will result in the following entries in the license.dat file:

```
accept.station.in="*"
accept.station.out="*"
accept.wb.in="*"
accept.wb.out=""
```

- i. The version of Niagara Framework used on this project must be Version 4.0 or later.1.1.1.2 BACnet Requirements
- a. The UMCS must communicate using ASHRAE 135 Annex J over the Government furnished IP network as specified.
- b. All communication between the UMCS and ASHRAE 135 field control networks must be via the ASHRAE 135 protocol over the IP network.
- c. All communication between the M&C Software and the field control system devices must be via standard ASHRAE 135 services other than PrivateTransfer and ConfirmedPrivateTransfer except as follows:
 - (1) PrivateTransfer and ConfirmedPrivateTransfer may be used for device configuration and device programming.
 - (2) PrivateTransfer and ConfirmedPrivateTransfer may be used for communication between the M&C Software and the field control system if and only if both the M&C Software and the field control system devices automatically (without requiring reconfiguration) revert to the use of other standard ASHRAE 135 services when one of the components is modified or replaced.

1.1.1.3 OPC Requirements

The UMCS must communicate using OPC DA over the Government furnished IP network as shown and specified.

1.1.2 Symbols, Definition and Abbreviations

Use symbols, definitions, and engineering unit abbreviations indicated in the contract drawings for displays, submittals and reports. For symbols, definitions and abbreviations not in the contract drawings use terms conforming at a minimum to IEEE Stds Dictionary and the ASHRAE FUN IP, as applicable.

1.1.3 System Units and Accuracy

Use English (inch-pound) units for displays, print-outs and calculations. Perform calculations with an accuracy of at least three significant figures. For displays and printouts present values to at least three significant figures.

1.1.4 Data Packages/Submittals Requirements

Technical data packages consisting of computer software and technical data (meaning technical data which relates to computer software) which are specifically identified in this project and which may be defined/required in other specifications must be delivered strictly in accordance with the CONTRACT CLAUSES and in accordance with the Contract Data Requirements List, DD Form 1423. Data delivered must be identified by reference to the particular specification paragraph against which it is furnished. All submittals not specified as technical data packages are considered shop drawings under the Federal Acquisition Regulation Supplement (FARS) and must contain no proprietary information and must be delivered with unrestricted rights.

1.2 RELATED SECTIONS

Cybersecurity requirements related to this Section are specified in a separate cybersecurity specification derived from UFGS 25 05 11. Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS specifies cybersecurity requirements related to this Section.

1.3 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING
ENGINEERS (ASHRAE)

ASHRAE 135 (2020; Interpretation 1-8 2021; Errata 1-2 2021; Addenda CD 2021; Addenda BV-CE 2022; Interpretation 9-12 2022; Interpretation 13-24 2023; Addenda BV-CF 2023; Errata 3 2023) BACnet—A Data Communication Protocol for Building Automation and Control Networks

ASHRAE FUN IP (2021) Fundamentals Handbook, I-P Edition

CONSUMER ELECTRONICS ASSOCIATION (CEA)

CEA-709.1-D (2014) Control Network Protocol Specification

CEA-852-C (2014) Tunneling Device Area Network Protocols Over Internet Protocol Channels

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1815 (2015; CORR 2016) Exchanging Information Between Networks Implementing IEC 61850 and IEEE Std 1815 Distributed Network Protocol (DNP3)

IEEE C62.41 (1991; R 1995) Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits

IEEE Stds Dictionary (2009) IEEE Standards Dictionary: Glossary
of Terms & Definitions

INTERNET ENGINEERING TASK FORCE (IETF)

IETF RFC 7465 (2015) Prohibiting RC4 Cipher Suites

RFC 821 (2001) Simple Mail Transfer Protocol (SMTP)

LONMARK INTERNATIONAL (LonMark)

LonMark Interoperability Guide (2005) LonMark Application-Layer
Interoperability Guide and LonMark Layer
1-6 Interoperability Guide; Version 3.4

LonMark SNVT List (2014) LonMark SNVT Master List; Version 15

LonMark XIF Guide (2001) LonMark External Interface File
Reference Guide; Revision 4.402

MODBUS ORGANIZATION, INC (MODBUS)

MODBUS Protocol (2012) Modbus Application Protocol
Specification; Version 1.1b3

MODBUS TCP/IP (2006) Modbus Messaging on TCP/IP
Implementation Guide; Version V1.0b

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (2020) Enclosures for Electrical Equipment
(1000 Volts Maximum)

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023) National Electrical Code

NFPA 262 (2023) Standard Method of Test for Flame
Travel and Smoke of Wires and Cables for
Use in Air-Handling Spaces

OPC FOUNDATION (OPC)

OPC DA (Ver 3.0; Errata) OPC Data Access (DA)

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-568.1 (2020e) Commercial Building
Telecommunications Infrastructure Standard

TIA-606 (2021d) Administration Standard for
Telecommunications Infrastructure

TIA-607 (2019d) Generic Telecommunications Bonding
and Grounding (Earthing) for Customer
Premises

TRIDIUM, INC (TRIDIUM)

Niagara Framework	(2021) NiagaraAX User's Guide
Tridium Open NiCS	(2021) Understanding the NiagaraAX Compatibility Statement (NiCS)

U.S. FEDERAL COMMUNICATIONS COMMISSION (FCC)

FCC EMC	(2002) FCC Electromagnetic Compliance Requirements
FCC Part 15	Radio Frequency Devices (47 CFR 15)

UL SOLUTIONS (UL)

UL 1778	(2014; Reprint Apr 2023) UL Standard for Safety Uninterruptible Power Systems
UL 60950	(2000; Reprint Oct 2007) Safety of Information Technology Equipment

1.4 DEFINITIONS

The following list of definitions may contain terms not found elsewhere in this Section but are included here for completeness. Some terms are followed with a protocol reference in parenthesis indicating to which protocol the term and definition applies. Inclusion of protocol-specific definitions does not create a requirement to support that protocol, nor does it relax any requirements to support specific protocols as indicated elsewhere in this section.

1.4.1 Alarm Generation

The process of comparing a point value (the point being alarmed) with a pre-defined alarm condition (e.g. a High Limit) and performing some action based on the result of the comparison.

1.4.2 Alarm Handling

see Alarm Routing

1.4.3 Alarm Routing

Alarm routing is M&C software functionality that starts with a notification that an alarm exists (typically as the output of an Alarm Generation process) and sends a specific message to a specific alarm recipient or device.

1.4.4 BACnet (BACnet)

The term BACnet is used in two ways. First meaning the BACnet Protocol Standard - the communication requirements as defined by ASHRAE 135 including all annexes and addenda. The second to refer to the overall technology related to the ASHRAE 135 protocol.

1.4.5 BACnet Advanced Application Controller (B-AAC)(BACnet)

A hardware device BTL Listed as a B-AAC. A control device which contains

BIBBs in support of scheduling and alarming but otherwise has limited resources relative to a B-BC. It may be intended for specific applications and supports some degree of programmability.

1.4.6 BACnet Advanced Operator Workstation (B-AWS)(BACnet)

Monitoring and Control (M&C) Software BTL Listed as an Advanced Operator Workstation and includes the ability to manage scheduling, alarming and trending in an open manner. The B-AWS is the advanced operator's window into a BACnet system. It is primarily used to monitor the performance of a system and to modify parameters that affect the operation of a system.

1.4.7 BACnet Application Specific Controller (B-ASC)(BACnet)

A hardware device BTL Listed as a B-ASC. A controller with limited resources relative to a B-AAC. It is intended for use in a specific application and supports limited programmability.

1.4.8 BACnet Building Controller (B-BC)(BACnet)

A hardware device BTL Listed as a B-BC. A general-purpose, field-programmable device capable of carrying out a variety of building automation and control tasks including control and monitoring via direct digital control (DDC) of specific systems and data storage for trend information, time schedules, and alarm data. Like the other BTL Listed controller types (B-AAC, B-ASC etc.) a B-BC device is required to support the server ("B") side of the ReadProperty and WriteProperty services, but unlike the other controller types it is also required to support the client ("A") side of these services. Communication between controllers requires that one of them support the client side and the other support the server side, so a B-BC is often used when communication between controllers is needed.

1.4.9 BACnet Internetwork (BACnet)

Two or more BACnet networks connected with BACnet routers. In a BACnet Internetwork, there exists only one message path between devices.

1.4.10 BACnet Interoperability Building Blocks (BIBBs) (BACnet)

A BIBB is a collection of one or more BACnet services intended to define a higher level of interoperability. BIBBs are combined to build the BACnet functional requirements for a device in a specification. Some BIBBs define additional requirements (beyond requiring support for specific services) in order to achieve a level of interoperability. For example, the BIBB DS-V-A (Data Sharing-View-A), which would typically be used by an M&C client, not only requires the client to support the ReadProperty Service, but also provides a list of data types (Object / Properties) which the client must be able to interpret and display for the user.

1.4.11 BACnet Operator Display (B-OD)(BACnet)

A hardware device BTL Listed as a B-OD. A basic operator interface with limited capabilities relative to a B-OWS. It is not intended to perform direct digital control. The B-OD profile could be used for wall-mounted LCD devices, displays affixed to BACnet devices; hand-held terminals or other very simple user interfaces.

1.4.12 BACnet Operator Workstation (B-OWS)(BACnet)

Monitoring and Control (M&C) Software BTL Listed as a B-OWS. An operator interface with limited capabilities relative to a B-AWS. The B-OWS is used for monitoring and basic control of a system, but differs from a B-AWS in that it does not support configuration activities, nor does it provide advanced troubleshooting capabilities.

1.4.13 BACnet Smart Actuator (B-SA)(BACnet)

A hardware device BTL Listed as a B-SA. A simple control output device with limited resources; it is intended for specific applications.

1.4.14 BACnet Smart Sensor (B-SS)(BACnet)

A hardware device BTL Listed as a B-SS. A simple sensing device with very limited resources.

1.4.15 BACnet Testing Laboratories (BTL)(BACnet)

Established by BACnet International to support compliance testing and interoperability testing activities and consists of BTL Manager and the BTL Working Group (BTL-WG). BTL also publishes Implementation Guidelines.

1.4.16 BACnet Testing Laboratories (BTL) Listed (BACnet)

A device that has been certified by BACnet® Testing Laboratory. Devices may be certified to a specific device profile, in which case the certification indicates that the device supports the required capabilities for that profile, or may be certified as "other".

1.4.17 Binary

A two-state system or signal; for example one where an "ON" condition is represented by a high signal level and an "OFF" condition is represented by a low signal level. 'Digital' is sometimes used interchangeably with 'binary'.

1.4.18 Broadcast

Unlike most messages, which are intended for a specific recipient device, a broadcast message is intended for all devices on the network.

1.4.19 Building Control Network (BCN)

The network used by the Building Control System. Typically the BCN is a BACnet ASHRAE 135 network installed by the building control system contractor.

1.4.20 Building Control System (BCS)

One type of Field Control System. A control system for building electrical and mechanical systems, typically HVAC (including central plants) and lighting. A BCS generally uses Direct Digital Control (DDC) Hardware and generally does NOT include its own local front end.

1.4.21 Building Point of Connection (BPOC)

A FPOC for a Building Control System. (This term is being phased out of

use in preference for FPOC but is still used in some specifications and criteria. When it was used, it typically referred to a piece of control hardware. The current FPOC definition typically refers instead to IT hardware)

1.4.22 Commandable (BACnet)

A point (Object) is commandable if its Present_Value Property is writable and it supports the optional Priority_Array Property. This functionality is useful for Overrides.

1.4.23 Control Logic Diagram

A graphical representation of control logic for multiple processes that make up a system.

1.4.24 Device Object (BACnet)

Every BACnet device requires one Device Object, whose properties represent the network visible properties of that device. Every Device Object requires a unique Object_Identifier number on the BACnet Internetwork. This number is often referred to as the device instance or device ID.

1.4.25 Field Point Of Connection (FPOC)

The FPOC is part of the UMCS IP network and acts as the point of connection between the UMCS IP Network and the field control IP network. The FPOC is an IT device such as a switch, IP router, or firewall, typically managed by the site IT staff. (Note that the field control IP network may consist of a single IP device, or that integration may require installation of a field control network IP device.)

1.4.26 Field Control Network

The network used by a field control system.

1.4.27 Field Control System (FCS)

A building control system or utility control system.

1.4.28 Fox Protocol (Niagara Framework)

The protocol used for communication between components in the Niagara Framework. By default, Fox uses TCP port 1911

1.4.29 Gateway

A device that translates from one protocol to another. Devices that change only the transport mechanism of the protocol are not gateways as the underlying protocol (data format) does not change. Gateways are also called Communications Bridges or Protocol Translators.

1.4.30 Internetwork (BACnet)

See BACnet Internetwork.

1.4.31 JACE (Niagara Framework)

Java Application Control Engine. See Niagara Framework Supervisory Gateway

1.4.32 Modbus

A basic protocol for control network communications generally used in utility control systems. The Modbus protocol standard is maintained by The Modbus Organization.

1.4.33 Master-Slave/Token Passing (MS/TP)(BACnet)

Data link protocol as defined by the BACnet standard. Multiple speeds (data rates) are permitted by the BACnet MS/TP standard.

1.4.34 Monitoring and Control (M&C) Software

The UMCS 'front end' software which performs supervisory functions such as alarm handling, scheduling and data logging and provides a user interface for monitoring the system and configuring these functions.

1.4.35 Network (BACnet)

In BACnet, a portion of the control internetwork consisting of one or more segments of the same media connected by repeaters. Networks are separated by routers.

1.4.36 Niagara Framework

A set of hardware and software specifications for building and utility control owned by Tridium Inc. and licensed to multiple vendors. The Framework consists of front end (M&C) software, web based clients, field level control hardware, and engineering tools. While the Niagara Framework is not adopted by a recognized standards body and does not use an open licensing model, it is sufficiently well-supported by multiple HVAC vendors to be considered a de-facto Open Standard.

1.4.37 Niagara Framework Supervisory Gateway (Niagara Framework)

DDC Hardware component of the Niagara Framework. A typical Niagara architecture has Niagara specific supervisory gateways at the IP level and other (non-Niagara specific) controllers on field networks (TP/FT-10, MS/TP, etc.) beneath the Niagara supervisory gateways. The Niagara specific controllers function as a gateway between the Niagara framework protocol (Fox) and the field network beneath. These supervisory gateways may also be used as general purpose controllers and also have the capability to provide a web-browser based user interface.

Note that different vendors refer to this component by different names. The most common name is "JACE"; other names include "EC-BOS", "FX-40", and "UNC".

1.4.38 Object (BACnet)

A BACnet Object. The concept of organizing BACnet information into standard components with various associated Properties. Examples include Analog Input objects and Binary Output objects.

1.4.39 Override

To change the value of a point outside of the normal sequence of operation where this change has priority over the sequence. An override can be

accomplished in one of two ways: the point itself may be Commandable and written to with a priority or there may be a separate point on the controller for the express purpose of implementing the override.

Typically this override is from the Utility Monitoring and Control System (UMCS) Monitoring and Control (M&C) Software. Note that this definition is not standard throughout industry.

1.4.40 Point, Calculated

A value within the M&C Software that is not a network point but has been calculated by logic within the software based on the value of network points or other calculated points. Calculated points are sometimes called virtual points or internal points.

1.4.41 Point, Network

A value that the M&C Software reads from or writes to a field control network.

1.4.42 Polling

A requested transmission of data between devices, rather than an unrequested transmission such as Change-Of-Value (COV) or Binding where data is automatically transmitted under certain conditions.

1.4.43 Property (BACnet)

A BACnet Property - a data element associated with an Object. Different Objects have different Properties, for example an Analog Input Object has a Present_Value Property (which provides the value of the underlying hardware analog input), a High_Limit Property (which contains a high limit for alarming), as well as other properties.

1.4.44 Protocol Implementation Conformance Statement (PICS)(BACnet)

A document, created by the manufacturer of a device, which describes which portions of the BACnet standard are implemented by a given device.

1.4.45 Repeater

A device that connects two control network segments and retransmits all information received on one side onto the other.

1.4.46 Router (BACnet)

A device that connects two or more BACnet networks and controls traffic between the networks by retransmitting signals received from one network onto another based on the signal destination. Routers are used to subdivide an internetwork and to control bandwidth usage.

1.4.47 Segment

A 'single' section of a control network that contains no repeaters or routers. There is generally a limit on the number of devices on a segment, and this limit is dependent on the topology/media and device type. For example, a TP/FT-10 segment with locally powered devices is limited to 64 devices, and a BACnet MS/TP segment is limited to 32 devices.

1.4.48 Service (BACnet)

A BACnet Service. A defined method for sending a specific type of data between devices. Services are always defined in a Client-Server manner, with a Client initiating a Service request and a Server Executing the Service. Some examples are ReadProperty (a client requests a data value from a server), WriteProperty (a client writes a data value to a server), and CreateObject (a client requests that a server create a new object within the server device).

1.4.49 Standard BACnet Object/Property/Service (BACnet)

BACnet Objects, Properties, or Services that are standard Objects, Properties, or Services enumerated and defined in ASHRAE 135. Clause 23 of ASHRAE 135 defines methods to extend ASHRAE 135 to non-standard or proprietary information. Standard BACnet Objects/Properties/Services specifically exclude any vendor specific extensions.

1.4.50 Supervisory Controller

A controller implementing a combination of supervisory logic (global control strategies or optimization strategies), scheduling, alarming, event management, trending, web services or network management. Note this is defined by use; many supervisory controllers have the capability to also directly control equipment.

1.4.51 Supervisory Gateway

A device that is both a supervisory controller and a gateway.

1.4.52 UMCS Network

An IP network connecting multiple field control systems to the Monitoring and Control Software using one or more of: BACnet (ASHRAE 135 Annex J), MODBUS Protocol, MODBUS TCP/IP or OPC DA.

1.4.53 Utility Control System (UCS)

One type of field control system. Used for control of utility systems such as an electrical substation, sanitary sewer lift station, water pump station, etc. Building controls are excluded from a UCS, however it is possible to have a Utility Control System and a Building Control System in the same facility, and for those systems to share components such as the FPOC. A UCS may include its own local front-end.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES and TABLE 1: PROJECT SEQUENCING:

SD-02 Shop Drawings

UMCS Contractor Design Drawings;

UMCS Contractor Design Drawings as a single complete package: two hard copies and two copies on CDRom. Submit hardcopy drawings on A3 17 by 11 inches sheets, and electronic drawings in both PDF

and AutoCAD format.

Draft As-Built Drawings;

Draft As-Built Drawings as a single complete package: two hard copies and two copies on CDROM. Submit hardcopy drawings must on A3 17 by 11 inches sheets, and electronic drawings in both PDF and AutoCAD format.

Final As-Built Drawings; G

Final As-Built Drawings as a single complete package: two hard copies and two copies on CDROM. Submit hardcopy drawings on A3 17 by 11 inches sheets, and electronic drawings in both PDF and AutoCAD format.

SD-03 Product Data

Product Data Sheets; G

Enclosure Keys; G

SD-05 Design Data

UMCS IP Network Bandwidth Usage Estimate; G

SD-06 Test Reports

Pre-Construction QC Checklist;

Post-Construction QC Checklist; G

Factory Test Report; G

two copies of the Factory Test Report. The Factory Test Report may be submitted as a Technical Data Package.

Existing Conditions Report; G

two copies of the Existing Conditions Report.

Start-Up and Start-Up Testing Report; G

two copies of the Start-Up and Start-Up Testing Report. The Start-Up and Testing report may be submitted as a Technical Data Package.

PVT Phase I Procedures; G

two copies of the PVT Phase I Procedures. The PVT Procedures may be submitted as a Technical Data Package.

PVT Phase I Report; G

two copies of the PVT Phase I Report. The PVT Phase I Report may be submitted as a Technical Data Package.

PVT Phase II Report; G

two copies of the PVT Phase II Report. The PVT Phase II Report may be submitted as a Technical Data Package.

SD-10 Operation and Maintenance Data

Operation and Maintenance (O&M) Instructions; G

Four bound O&M Instructionstwo. Index and tab bound instructions. Submit instructions in PDF form as a single PDF file, or as multiple PDF files with a PDF file table of contents containing links to the other files. O&M Instructions may be submitted as a Technical Data Package.

Preventive Maintenance Work Plan; G

Four copies of the Preventive Maintenance Work Plan. The Preventive Maintenance Work Plan may be submitted as a Technical Data Package.

Basic Training Documentation; G

Training manuals for Basic Training delivered for each trainee on the Course Attendance List with two additional copies delivered for archival at the project site. Submit two copies of the Course Attendance List with the archival copies. The Basic Training Documentation may be submitted as a Technical Data Package.

Advanced Training Documentation; G

One set of training manuals delivered for each trainee on the Course Attendance List with two additional copies delivered for archival at the project site. Submit two copies of the Course Attendance List with the archival copies. The Advanced Training Documentation may be submitted as a Technical Data Package.

Refresher Training Documentation;

One set of training manuals delivered for each trainee on the Course Attendance List with two additional copies delivered for archival at the project site. Submit two copies of the Course Attendance List with the archival copies. The Refresher Training Documentation may be submitted as a Technical Data Package.

SD-11 Closeout Submittals

Closeout QC Checklist; G

1.6 QUALITY CONTROL (QC) CHECKLISTS

The Contractor's Chief Quality Control (QC) Representative must complete the QC Checklist in APPENDIX A, and must submit the Pre-Construction QC Checklist, Post-Construction QC Checklist and Closeout QC Checklist as specified. The QC Representative must verify each item in the Checklist and initial in the provided area to indicate that the requirement has been met. The QC Representative must sign and date the Checklist prior to submission to the Government.

1.7 OPERATION AND MAINTENANCE (O&M) INSTRUCTIONS

Provide UMCS Operation and Maintenance Instructions which include:

- a. Procedures for the UMCS system start-up, operation and shut-down.
- b. Final As-Built drawings.
- c. Routine maintenance checklist, arranged in a columnar format: The first column listing all installed devices, the second column stating the maintenance activity or stating that no maintenance required, the third column stating the frequency of the maintenance activity, and the fourth column providing any additional comments or reference.
- d. Qualified service organization list including points of contact with phone numbers.
- e. Start-Up and Start-Up Testing Report.
- f. Performance Verification Test (PVT) Procedures and Reports.

PART 2 PRODUCTS

2.1 EQUIPMENT REQUIREMENTS

2.1.1 Product Certifications

For computing devices, as defined in FCC Part 15, supplied as part of the UMCS provide devices which are certified to comply with the requirements of Class B computing devices.

2.1.2 Product Sourcing

For units of the same type of equipment, provide products of a single manufacturer. For each major component of equipment provide equipment with the manufacturer's name and the model and serial number in a conspicuous place. For materials and equipment, provide new standard unmodified products of a manufacturer regularly engaged in the manufacturing of such products.

2.1.3 General Requirements

Provide components that meet the following requirements:

- a. Portions of the data communications equipment system installed in unconditioned spaces must operate properly in an environment with ambient temperatures between 32 and 120 degrees F and ambient relative humidity between 10 percent and 90 percent noncondensing.
- b. Components must accept 100 to 125 volts AC (Vac), 60 Hz, single phase, three wire with a three-pronged, dedicated circuit outlet or be provided with a transformer to meet the component's power requirements.
- c. The equipment must meet the requirements of NFPA 70, UL 60950, NFPA 262, FCC EMC, and FCC Part 15.

2.1.4 Nameplates

Provide nameplates of laminated plastic identifying the function, network

address, if applicable, and identifier of the device. Laminated plastic must be at least 0.125 inch thick, white with black center core. Nameplates must be a minimum of 1 by 3 inch with minimum 0.25 inch high engraved block lettering.

2.1.1.5 Product Data Sheets

For all products (equipment) specified in PART 2 and supplied under this contract, submit copies of all manufacturer catalog cuts and specification sheets to indicate conformance to product requirements. For Monitoring and Control (M&C) Software also include the PICS verifying BTL Listing as a B-AWS.

2.2 CONTROL HARDWARE

2.2.1 Control Protocol Routers

2.2.1.1 BACnet/IP Router

Provide BACnet/IP Routers which perform layer 3 routing of ASHRAE 135 packets over an IP network in accordance with ASHRAE 135 Annex J and Clause 6. The router must provide the appropriate connection to the IP network and connections to a ASHRAE 135 MS/TP network. Devices used as BACnet/IP Routers must be BTL Listed and must support the Network Management-Router Configuration-B (NM-RC-B) BIBB.

2.2.2 Monitoring and Control (M&C) Controller Hardware

Provide Monitoring and Control (M&C) Controller Hardware which is a microprocessor-based direct digital control hardware and which communicates over the UMCS IP network using one of:

- a. CEA-709.1-D in accordance with CEA-852-C and using only Standard Network Variable Types (SNVTs) as defined by the LonMark SNVT List.
- b. ASHRAE 135 in accordance with ASHRAE 135 Annex J and using only Standard ASHRAE 135 services.

Monitoring and Control (M&C) Controller Hardware must either meet the requirements of the LonMark Interoperability Guide or be BTL Listed.

2.2.3 BACnet Supervisory Controller Hardware

Provide BACnet Supervisory Controller Hardware which is direct digital control hardware and which:

- a. is BTL Listed
- b. communicates using ASHRAE 135 over an IP network in accordance with ASHRAE 135 Annex J
- c. has a configurable Object_Name Property
- d. supports the following BIBBS
 - (1) DS-RP-B (Data Sharing-Read Property-B) BIBB for Objects requiring read access from the M&C Software
 - (2) DS-WP-B (Data Sharing-Write Property-B) BIBB for Objects requiring

write access from the M&C Software.

- (3) SCHED-E-B (Scheduling-External-B)
- (4) AE-N-I-B (Alarm and Event-Notification Internal-B)
- (5) AE-ACK-B (Alarm and Event-ACK-B)
- (6) T-VMT-I-B (Trending-Viewing and Modifying Trends-Internal-B)
- (7) T-ATR-B (Trending-Automated Trend Retrieval-B)

e. has a Writable Recipient_List Property of the Notification Class Object

2.2.4 Control Protocol Gateways

Provide Control Protocol Gateways which perform bi-directional protocol translation between two of the following protocols, or between one of the following protocols and another protocol: CEA-709.1-D, ASHRAE 135, MODBUS Protocol, MODBUS TCP/IP, and OPC DA. Provide Control Protocol Gateways which also meet the following requirements.

- a. Gateways must have two or more separate network connections, each appropriate for the protocol and media used. A single network connection must not be used for both protocols.
- b. Gateways must be capable of being installed, configured and programmed through the use of instructions in the manual supplied by the Contractor.
- c. Provide and license to the Government all software required for gateway configuration.
- d. Gateways must retain their configuration after a power loss of an indefinite time, and must automatically return to their pre-power loss state once power is restored.
- e. Gateways must provide capacity for mapping all required points as indicated plus an additional 10 percent between the two protocols it uses.
- f. Gateways must, in addition, meet all requirements specified (in the following subparagraphs) for each of the two protocols it translates.

2.2.4.1 Gateway for CEA-709.1

For a gateways using CEA-709.1-D provide gateways which meet the following requirements in addition to the requirements for all gateways:

- a. It must allow bi-directional mapping of data in the Gateway to Standard Network Variable Types (SNVTs) according to the LonMark SNVT List.
- b. Gateways communicating CEA-709.1-D over an IP network must communicate in accordance with CEA-852-C.
- c. It must allow of its standard network variables (SNVTs) and support transmitting data using the "min, max, and delta" (throttling and heartbeat) methodology.

- d. It must provide the ability to label SNVTs.
- e. It must supply a LonMark external interface file (XIF) as defined in the LonMark XIF Guide for use with LNS tools and utilities.
- f. It must have a "service pin" which, when pressed, will cause the Gateway to broadcast its 48-bit NodeID and ProgramID over the network.
- g. It must provide a configurable self-documenting string.

2.2.4.2 Gateway for ASHRAE 135

For gateways using ASHRAE 135 provide gateways which meets the following requirements in addition to the requirements for all gateways:

- a. It must allow bi-directional mapping of data in the Gateway to Standard Objects as defined in ASHRAE 135.
- b. All ASHRAE 135 Objects must have a configurable Object Name Property.
- c. It must be BTL Listed.
- d. Gateways communicating ASHRAE 135 over an IP network must communicate in accordance with ASHRAE 135 Annex J.
- e. Gateways communicating ASHRAE 135 to a field control systems must support the DS-RP-A (Data Sharing-Read Property-A) BIBB and the DS-WP-A (Data Sharing-Write Property-A) BIBB.
- f. Gateways communicating ASHRAE 135 to the M&C Software or to a BACnet Supervisory Controller must support the DS-RP-B (Data Sharing-Read Property-B) BIBB for Objects requiring read access from the M&C Software and the DS-WP-B (Data Sharing-Write Property-B) BIBB for Objects requiring write access from the M&C Software

2.2.4.3 Gateway for Modbus

For gateways that use MODBUS Protocol/MODBUS TCP/IP provide gateways that meet the requirements specified for all gateways and which allow bi-directional mapping of data in the Gateway to MODBUS Protocol/MODBUS TCP/IP registers using the four standard Modbus register types (Discrete Input, Coil, Input Register, and Holding Register). Gateways communicating MODBUS Protocol/MODBUS TCP/IP to the M&C Software must communicate via MODBUS Protocol/MODBUS TCP/IP over TCP/IP.

2.2.4.4 Gateway for OPC

For gateways that use OPC DA, provide gateways that meet the requirements specified for all gateways and which allow bi-directional mapping of data in the Gateway using OPC DA tags and which communicate over an IP network in accordance with OPC DA.

2.2.4.5 Gateway for DNP3

For gateways that use DNP3, provide gateways that meet the requirements specified for all gateways and which allow bi-directional mapping of data in the Gateway to DNP3 object groups and variations as defined by IEEE 1815. Gateways communicating DNP3 over an IP network must communicate in

accordance with the LAN/WAN Networking volume of IEEE 1815.

2.3 COMPUTER HARDWARE

For computer hardware furnished under this specification provide standard products of a single manufacturer which advertises service in all 48 contiguous states, and provide only model currently in production. Except for PCI-E cards installed into expansion slots provided in a desktop or server computer in order to meet the requirements of this specification, do not modify computer hardware from the manufacturer configuration.

2.3.1 Server Hardware

Computer Server Hardware (server) will be furnished by the Government

2.3.1.1 Processor

Quad-core processor designed for server applications. Processor speed must be at least 50 percent of the speed of the fastest Intel server processor commercially available.

2.3.1.2 Random Access Memory (RAM)

300 percent of the recommended requirements of the software to be installed on the server and no less than 24GB.

2.3.1.3 Communications Ports

Four USB ports.

2.3.1.4 Hard Drives

2.3.1.4.1 Internal Hard Drives

Hard drives with SATA-3 Controller providing at least 2TB usable disk space. Hard drives must use RAID (Redundant Array of Inexpensive Disks) at levels 1 or 5 (RAID-1 or RAID-5).

2.3.1.4.2 External Hard Drive

4TB disk space with a USB 3.0 interface.

2.3.1.5 Optical Drive

Blueray burner drive.

2.3.1.6 Video Output

32-bit color at a minimum resolution of 1920 by 1080 at a minimum refresh rate of 70 Hz and a DVI or display port output.

2.3.1.7 Network Interface

Two integrated 1000Base-T Ethernet with RJ45 connector.

2.4 COMPUTER SOFTWARE

2.4.1 Operating System (OS)

Provide the latest version of the DISAGold Master Windows Operating

System. The Operating System media will be furnished by the Government.
Provide the Operating System license.

2.4.2 Office Automation Software

Office Automation Software will be furnished by the Government.

2.4.3 Virus Protection Software

Virus Protection Software will be furnished by the Government.

2.4.4 Disk Imaging (Backup) Software

Provide Disk imaging (backup) software consisting of the project site's standard disk imaging software.

2.4.5 M&C Controller Hardware Configuration Software

Provide M&C Controller Hardware Configuration Software consisting of the software required to configure, program, or configure and program each Monitoring and Control (M&C) Controller Hardware provided for the functions it performs.

2.4.6 BACnet Network Browser

Provide a BACnet Network Browser software that:

- a. Can perform full discovery of a ASHRAE 135 system including but not limited to discovery of all ASHRAE 135 devices, the ASHRAE 135 Objects and Properties of each device, and the standard ASHRAE 135 services supported by each device.
- b. Can read any ASHRAE 135 Property of any Object in any device. Proprietary Properties may be presented as read without further interpretation.
- c. Can write any Standard ASHRAE 135 Property of any Object in any device.
- d. Supports segmentation.
- e. Supports all of the following BIBBs:
 - (1) DM-ANM-A (Device Management-Automatic Network Management-A)
 - (2) DM-ADM-A (Device Management-Automatic Device Management-A)
 - (3) DM-DDB-A (Device Management-Dynamic Device Binding-A)
 - (4) DM-DOB-A (Device Management-Dynamic Object Binding-A)
 - (5) DS-RP-A (Data Sharing-Read Property-A)
 - (6) DS-RPM-A (Data Sharing-Read Property Multiple-A)
 - (7) DS-WP-A (Data Sharing-Write Property-A)

2.4.7 Monitoring and Control (M&C) Software

Provide monitoring and control (M&C) software which is a client-server

software package with a graphical user interface (GUI) using web-browser based clients. Provide M&C Software which communicates viaASHRAE 135, and OPC DA. The M&C Software may support other field control protocols. Provide M&C Software which is BACnet Testing Laboratories Certified ("Listed") as a B-AWS.

Provide a single software package which implements the Scheduling, Alarming, Trending, Graphical System Display, and System Display Editor functionality. Other specified M&C functionality may be implemented in the same software package or in additional software packages. As specified in PART 3 EXECUTION, the M&C Software must operate on Server hardware, except that software for Point Calculations and Demand Limiting may operate on M&C Controller Hardware.

2.4.7.1 M&C Software License

License the M&C Software as specified. Use of multiple copies of M&C Server software working in coordination and sharing data between them such that they function as, and appear to an operator as, a single M&C Server is permitted to meet these requirements.

2.4.7.1.1 Network Points

Provide M&C Software and licensing to support no less than than owner minimum network points, and to be capable of expansion to support no less than 50,000 network points.

2.4.7.1.2 Web Clients

Provide M&C Software and licensing to support no less than 10 simultaneous web clients with no limit on the total number of web clients. M&C Software must be capable of expansion to support no less than 30 simultaneous web clients.

2.4.7.1.3 Calculations

Provide M&C Software and licensing to support no less than one calculated point for every ten network points (see "Network Points" above).

2.4.7.1.4 Other Points

For installations using M&C Software installed on M&C Controller Hardware (as opposed to Server hardware), provide additional licensing to support additional network points for the communications between portions of the M&C Software installed on different hardware. For example, if the Calculations requirement is performed by M&C Software installed on Controller hardware, the M&C Software must be licensed for additional network points to cover the network points required for communication between the Controller hardware and the Server hardware.

2.4.7.1.5 Alarming

Provide M&C Software and licensing to support alarm generation and the handling (routing) of alarms for no less than 10,000 points and ASHRAE 135 Alarm Event Notifications.

2.4.7.1.6 Trending

Provide M&C Software and licensing to support a minimum of 8,000

simultaneous trends.

2.4.7.1.7 Scheduling

Provide M&C Software and licensing to support a minimum of 200 user-definable schedules.

2.4.7.2 M&C Software Update Licensing

In addition to all other licensing requirements, provide M&C Software licensing which includes licensing of the following software updates for a period of no less than 5 years:

- a. Security and bug-fix patches issued by the M&C Software manufacturer.
- b. Security patches to address any vulnerability identified in the National Vulnerability Database at <http://nvd.nist.gov> with a Common Vulnerability Scoring System (CVSS) severity rating of MEDIUM or higher.

2.4.7.3 Supported Field Control Protocols

Provide M&C Software which supports field control protocols as follows:

- a. The M&C Software must include a driver to ASHRAE 135 over IP in accordance with ASHRAE 135 Annex J.
- b. The M&C Software must be an OPC DA client.
- c. The M&C Software may, in addition, include drivers to other protocols.

Provide M&C Software capable of reading values from and writing values to points via any supported field protocol, and capable of reading values from one field protocol and writing them to another. All points obtained from any field protocol must be available to all M&C Software functionality.

2.4.7.4 Supported Enterprise Protocols

Provide M&C Software which supports oBIX, BACnet Web Services or OPC as an enterprise protocol and which meets the following requirements:

- a. It is able to read values from any point or collection of points (network point, internal point, trend log or schedule) and transmit these values via the enterprise protocol.
- b. It is able to receive data via the enterprise protocol and use this data to change the value of any point.
- c. License the enterprise protocol interface to the project site and document the interface such that any system capable of communicating with that protocol can be used to read and write data from the M&C Software.

2.4.7.5 Point Information

Every point, both network and internal, in the M&C Software must contain the following fields:

2.4.7.5.1 Name

A configurable name used for identification of the point within the M&C Software.

2.4.7.5.2 Description

A configurable description of no less than 80 alpha-numeric characters.

2.4.7.5.3 Value

A field containing the current point value.

2.4.7.5.4 Units

A field containing the engineering units.

2.4.7.5.5 Source

A field identifying the source of the point. For network points, this is generally the address or identification of the field device (for example, the DeviceID for BACnet devices).

2.4.7.6 Point Calculations

Provide M&C software capable of performing calculations and computing the value of a calculated point based on the values of two or more network points and calculated points. Mathematical operators must include: addition, subtraction, multiplication, division, exponentiation (y^x , power), square root, reciprocal, natural logarithm, sin, cos, tan, arcsin, arccos, arctan, and parenthesis. Pi and e must be available as constants for use in calculations.

2.4.7.7 Browser-Based Graphical User Interface (GUI)

Provide M&C Software which includes a web-browser based (client-server) graphical user interface through which all M&C Software functionality, except for the Graphics Editor, System Display Editor, report configuration, point calculation configuration, and enterprise protocol configuration, is accessible.

Provide graphical user interface web server and web clients meeting the following requirements:

- a. The web server must use HTTPS based on the Transport Layer Security (TLS) Protocol in accordance with IETF RFC 7465 using a Government-furnished certificate.
- b. The graphical user interface must be Common Access Card (CAC) enabled: It must support web client authentication using certificates obtained from a Department of Defense Common Access Card (CAC) Smart Card.
- c. The web client must operate on any version of Windows currently supported by Microsoft.
- d. The web client must function in the most recent three version of Internet Explorer and the most recent three versions of Firefox.
- e. The web client must not require a connection to any server other than

the M&C Server.

- f. The web client must function in a browser with Java, Shockwave, Silverlight, and Flash installed. The client may require a download of mobile code from the M&C Server, but must not require the download of additional browser plug-ins or add-ins and there must be no limit on the number of downloads. The client must not require ActiveX.

2.4.7.8 Passwords

Provide M&C software with user-based access control to M&C functionality. The M&C Software must recognize at least 100 separate users and have at least 4 levels of user permissions. User permission levels (from most restrictive to most permissive) must include:

- a. Permission Level 1: View-only access to the graphical user interface.
- b. Permission Level 2: Permission Level 1 plus acknowledge alarms and set up (configure) trends and reports.
- c. Permission Level 3: Permission Level 2 plus override points and set up (configure) alarms, schedules and demand limiting.
- d. Permission Level 4: Permission Level 3 plus create and modify Graphical System Displays using the System Display Editor.

Passwords must not be displayed and must not be logged. The system must maintain a disk file on the server hardware logging all activity of the system. This file must maintain, as a minimum, a record of all operators logged onto the system, alarm acknowledgments, commands issued and all database modifications. If the file format is not plain ASCII text, provide a means to export or convert the file to plain ASCII text. Provide a mechanism for archiving the log files for long term record storage.

2.4.7.9 Graphical System Displays

Provide graphical displays consisting of building system (air handler units, VAV boxes, chillers, cooling towers, boilers, etc.) graphic displays. Data associated with an active display must be updated at least once every 5 seconds.

2.4.7.9.1 Navigation Scheme

System graphic displays of building systems and points must be hierarchical displays using a building-to-equipment point-and-click navigation scheme which allows navigation from a garrison-wide display, through a building-wide display to the individual units. Each display must show the building name and number. Each display must show system wide data such as outside air temperature and humidity in the case of an HVAC system application.

- a. For each Building or Building Sub-Area display, show the building footprint and basic floor plan, and clearly show and distinguish between the individual zones and the equipment serving each zone and space. Show all space sensor and status readings, as applicable, for the individual zones such as space temperature, humidity, occupancy status, etc. Show the locations of individual pieces of monitored and controlled equipment.

- b. For each equipment display show a one-line diagram control schematic representation of the individual pieces of equipment using the symbols and M&C point data types as specified. Use different colors and textures to indicate various components and real time data. Use consistent color and texture meanings across all displays.
- c. Provide displays which clearly distinguish between the following point data types and information:
 - (1) Real-time data.
 - (2) Other user-entered data.
 - (3) Devices in alarm (unacknowledged).
 - (4) Out-of-range, bad, or missing data.
 - (5) Points which are overridden.

2.4.7.9.2 Navigation Commands

Provide system displays which support English language operator commands via point-and-click mouse or keyboard entry for defining and selecting points, parameters, graphics, report generation, and all other functions associated with operation. The operator commands must be usable from any operator workstation with individual operator passwords as specified.

2.4.7.10 Graphic Editor

Provide a fully featured graphics editor and capable of creating custom graphics and graphic symbols for use by the System Display Editor.

2.4.7.11 System Display Editor

Provide a system display editor which allows the user to create, modify, and delete graphic displays. The display editor may have a separate user interface and is not required to be accessible via the web browser interface. Provide a display editor which includes the following functions:

- a. Create and save displays. Save an existing or modified display as a new display (i.e. "save as")
- b. Group and ungroup graphics, where graphics include both alphanumeric and graphic symbols, and where a grouped graphic is manipulated as a single graphic.
- c. Place, locate, resize, move, remove, reposition, rotate and mirror a graphic on a display.
- d. Overlay graphics over other graphics and assign depths such that when there are coincident graphics the one on top is visible.
- e. Modify graphic properties based on the value of network points and create conditions governing the display of a graphics such that different graphics are visible based on the value of network points or calculated points

- f. Integrate real-time data with the display.
- g. Establish connecting lines.
- h. Establish sources of latest data and location of readouts.
- i. Display analog values as specified.
- j. Assign conditions which automatically initiate a system display.
- k. Include library of display symbols which include: Pump, Motor, Two- and Three-way Valves, Flow Sensing Element, Point and Averaging Temperature Sensors, Pressure Sensor, Humidity Sensor, Single and Double Deck Air Handling Unit, Fan, Chiller, Boiler, Air Compressor, Chilled Water Piping, Steam Piping, Hot Water Piping, Ductwork, Unit Heater, Pressure Reducing Valve, Damper, Electric Meter, Limit Switch, Flow Switch, High- and Low- Point and Averaging Temperature Switches, High- and Low- Pressure Switches, Coil, Solenoid Valve, Filter, Condensing Unit, Cooling Tower, Variable Frequency Drive (VFD), Heat Exchanger, Current Sensing Relays, Generator, Circuit Breaker, Transformer, Tank. Symbols must at a minimum conform to ASHRAE FUN IP where applicable.

2.4.7.12 Scheduling

- a. Provide M&C software capable of changing the value of any network point according to a schedule. The M&C Software must be capable of scheduling points to any value, including a "null" or invalid value if one is defined for the data type of the point.
- b. The specified scheduling functions must be operator accessible and adjustable via the graphical user interface. Each schedule must be able to change the value of multiple points. The M&C software must reinforce all schedules by transmitting the scheduled value no less than once every 30 minutes.
- c. The M&C software must be capable of performing time synchronization and configuring Schedule Objects in ASHRAE 135 field devices in accordance with the DM-MTS-A (Device Management-Manual Time Synchronization-A).
- d. The M&C Software must include a scheduling graphic display, accessible via the graphical user interface, with the following fields and functions:
 - (1) Current date and time.
 - (2) System identifier(s) and name(s), including location information such as Building name(s) and number(s).
 - (3) System group. Systems grouped by the user to perform according to a common schedule.
 - (4) Weekly schedules. For each system, a weekly schedule based on a seven day per week schedule with independent schedules for each day of the week including no less than 6 value changes per day.
 - (5) Holiday and special event schedules. Support for holiday and special event calendar schedules independent of the daily

schedule. Special event schedules include one-time events and recurring events. Scheduling of one-time events include the beginning and ending dates and times of the event. Holiday and special event schedules must have precedence over device weekly schedules.

2.4.7.13 Alarms

Provide M&C Software meeting the following minimum requirements for alarms:

- a. The M&C software must be capable of generating alarms by comparing the value of any point from any connected system to user-configurable limits, and configuring alarms in ASHRAE 135 field devices in accordance with the B-AWS BIBBs
- b. The M&C software must be capable of handling (routing) alarms generated by the M&C Software, and alarms received as an ASHRAE 135 Alarm Event Notifications.
- c. The M&C software must support at least two alarm priority levels: critical and informational. Critical alarms must remain in alarm until acknowledged by an operator and the alarm condition no longer exists; informational alarms must remain in alarm until the alarm condition no longer exists or until the alarm is acknowledged.
- d. The creation, modification, and handling (routing) of alarms must be fully accessible and fully adjustable from the graphical user interface.
- e. Alarm Data. Alarm data to be displayed and stored must include:
 - (1) Identification of alarm including building, system (or sub-system), and device name.
 - (2) Date and time to the nearest second of occurrence.
 - (3) Alarm type:
 - (a) Unreliable: Indicates that the source device has failed due to the sensing device or alarm parameter being out-of-range or bad data.
 - (b) High Alarm.
 - (c) Low Alarm.
 - (4) Current value or status of the alarm point, including engineering units
 - (5) Alarm limits, including engineering units.
 - (6) Alarm priority.
 - (7) Alarm Message: A unique message with a field of at least 60 characters. Assignment of messages to an alarm must be an operator editable function.
 - (8) Acknowledgement status of the alarm including the time, date and user of acknowledgement.

- f. Alarm Notification and Routing: The M&C software must be capable of performing alarm notification and routing functions. Upon receipt of ASHRAE 135 event notification, OPC alarm, or upon generation of an alarm the M&C software must immediately perform alarm notification and routing according to an assigned routing for that alarm. The M&C software must support at least 100 alarm routes, where an alarm route is a unique combination of any of the following activities:
- (1) Generate a pop-up up active clients. The pop-up display must include the Alarm Data. Alarms must be capable of being acknowledged from the pop-up display by operators with sufficient permissions. Pop-up must be displayed until acknowledged.
 - (2) Send an e-mail message via simple mail transfer protocol (SMTP; RFC 821). The e-mail must contain a configurable message and all alarm data. The e-mail recipient and scripted message must be user configurable for each alarm route.
 - (3) Print alarms to designated alarm printers. The printed message must be the same as the pop-up message.
- g. Alarm Display and Acknowledgement. The M&C software must include an alarm display. Alarms must be available for display at each workstation as shown, along with all associated alarm data. Alarms must be capable of being acknowledged from this display. Multiple alarms must be capable of being acknowledged using a single command. Operator acknowledgment of one alarm must not automatically be considered as acknowledgment of any other alarm nor may it inhibit reporting of subsequent alarms.
- h. Alarm Storage and Reports: The M&C software must store each alarm and its associated alarm data to hard disk and retain this information after the alarm no longer exists. The stored data must be sortable, searchable, and printable.

2.4.7.14 Trending

Provide M&C software capable of creating, modifying, uploading and archiving ASHRAE 135 Trend Objects in field devices in accordance with the B-AWS BIBBs and of performing real-time trending with a minimum trending rate of 100 points per second .

- a. The M&C Software must include a graphical display for trend configuration, creation and deletion accessible through the graphical user interface. Each trend must be user-configurable for:
- (1) Point to trend.
 - (2) Sampling interval: adjustable between 1 second and 1 hour.
 - (3) Start and Stop Time of Trend: Start and stop times determined by one or more of the following methods:
 - (a) Start time and stop time
 - (b) Start time and duration
 - (c) Start time and number of samples

- b. The M&C software must be capable of displaying and printing a graphical representation of each trend, and of multiple trended points on the same graph. The software must be capable of saving trend logs to a file. If the file format is not plain ASCII text in a Comma-Separated-Value (CSV) format, provide a means to export or convert the file to plain ASCII text in a CSV format.

2.4.7.15 Electrical Power Demand Limiting

Provide M&C software which includes demand limiting functionality capable of performing electrical demand limiting such that it can change the occupancy mode or setpoint of field control system hardware via a network point based on a projected demand in order to maintain demand below a configured target. The demand target must incorporate real-time pricing data. The demand limiting algorithm must incorporate priority levels such that low priority equipment is adjusted before high-priority equipment. The demand limiting algorithm must generate a critical alarm when it begins to impact the system and a critical alarm if the demand target is exceeded.

2.4.7.16 Report Generation

Provide M&C Software capable of generating, saving and printing reports. Dynamic operation of the system must not be interrupted to generate a report. The report must contain the time and date when the samples were taken, and the time and date when the report was generated. The software must be capable of saving reports to a PDF file and to a file compatible with the provided Office Automation Software.

The software must allow for automatic and manual generation of reports. For automatic reports an operator must be able to specify the time the initial report is to be generated, the time interval between reports, end of period, and the output format for the report. Manual report generation must allow for the operator to request at any time the output of any report.

2.4.7.17 Custom Report Generation

Provide M&C software capable of generating custom reports, including but not limited to the following standard reports:

2.4.7.17.1 Alarm Report

Outstanding alarms by building or unit, including time of occurrence.

2.4.7.17.2 M&C Software Override Report

Points overridden by the M&C Software, including time overridden, and identification of operator overriding the point.

2.4.7.17.3 Run Time Reports

A report totalizing the accumulated run time of individual pieces of equipment. The operator must be able to define equipment groupings and to generate reports based on these groupings.

2.4.7.17.4 Device Offline Report

A report listing all offline devices in all ASHRAE 135 building control

systems integrated to the M&C Software.

2.5 UNINTERRUPTIBLE POWER SUPPLY (UPS)

Provide uninterruptible power supplies (UPS) as self contained devices suitable for installation and operation at the location of Server and Workstation hardware and sized to provide a minimum of 20 minutes of operation of the connected hardware. Equipment connected to the UPS must not be affected in any manner by a power outage of a duration less than the rated capacity of the UPS. Provide the UPS complete with all necessary power supplies, transformers, batteries, and accessories. Provide UPS which include visual indication of normal power operation, UPS operation, abnormal operation and visual and audible indication of AC input loss and low battery power. Provide UL 1778 approved UPS. UPS powering Server Hardware must notify the server via USB interface of impending battery failure.

2.6 RACKS AND ENCLOSURES

2.6.1 Enclosures

Enclosures supplied as an integral (pre-packaged) part of another product are acceptable. Provide two Enclosure Keys for each lockable enclosure on a single ring per enclosure with a tag identifying the enclosure the keys operate. Provide enclosures meeting the following minimum requirements:

2.6.1.1 Outdoors

For enclosures located outdoors, provide enclosures meeting NEMA 250 Type 4 requirements.

2.6.1.2 Mechanical and Electrical Rooms

For enclosures located in mechanical or electrical rooms, provide enclosures meeting NEMA 250 Type 4 requirements.

2.6.1.3 Other Locations

For enclosures in other locations including but not limited to occupied spaces, above ceilings, and in plenum returns, provide enclosures meeting NEMA 250 Type 1 requirements.

2.6.2 Equipment Racks

Provide standard 19 inch equipment racks compatible with the electronic equipment provided. Racks must be either aluminum or steel with bolted or welded construction. Steel equipment racks must be painted with a flame-retardant paint. Guard rails must be included with each equipment rack and have a copper grounding bar installed and grounded to the earth.

PART 3 EXECUTION

3.1 EXISTING CONDITIONS SURVEY

Perform a field survey, including but not limited to testing and inspection of equipment to be part of the UMCS, and submit an Existing Conditions Report documenting the current status and its impact on the Contractor's ability to meet this specification. For field control systems to be integrated to the UMCS which are not already connected to

the UMCS IP network, verify the availability of the building network backbone at the FPOC location, and verify that FPOCs shown as existing are installed at the FPOC location.

3.2 DRAWINGS AND CALCULATIONS

3.2.1 UMCS IP Network Bandwidth Usage Estimate

Provide a UMCS IP Network Bandwidth Usage Estimate for a small, medium or large systems. In this estimate account for field control systems using all M&C required protocols and the integration of field control system via gateways. Define all assumptions used to create the estimate, including but not limited to: trending, fast trends for commissioning, schedules, alarms, display of system graphics and load shedding.

3.2.2 UMCS Contractor Design Drawings

Revise and update the Contract Drawings to include details of the system design and all hardware components, including contractor provided and Government furnished components. Details to be shown on the Design Drawing include:

- a. The logical structure of the network, including but not limited to the location of all Control Hardware (including but not limited to each BACnet Supervisory Controller, Control Protocol Gateway, Control Protocol Router, and Monitoring and Control (M&C) Controller).
- b. Manufacturer and model number for each piece of Computer Hardware and Control Hardware.
- c. Physical location for each piece of Computer Hardware and Control Hardware.
- d. Version and service pack number for all software and for all Control Hardware firmware.

3.2.3 As-Built Drawings

Prepare draft as-built drawings consisting of Points Schedule drawings for the entire UMCS, including Points Schedules for each Gateway, and an updated Design Drawing including details of the actual installed system as it is at the conclusion of Start-Up and Start-Up Testing. Provide As-Built Drawings which include details of all hardware components, including contractor provided and Government furnished components. In addition to the details shown in the design drawings, the as-built drawing must include:

- a. IP address(es) and Ethernet MAC address(es) as applicable for each piece of Control Hardware (including but not limited to each BACnet Supervisory Controller, Control Protocol Gateway, Control Protocol Router, and Monitoring and Control (M&C) Controller).
- b. IP address and Ethernet MAC address for each computer server, workstation, and networked printer.
- c. Network identifier (name) for each printer, computer server and computer workstation.
- d. List of ports, protocols and network services for each device

connected to an IP network.

- e. Network Addresses: ASHRAE 135 address and Object_ID of the Device Object for all Control Hardware using ASHRAE 135.

Prepare Draft As-Built Drawings upon the completion of Start-Up and Start-Up Testing and Final As-Built Drawings upon completion of PVT Phase II.

3.3 INSTALLATION REQUIREMENTS

3.3.1 General

Install system components as shown and specified and in accordance with the manufacturer's instructions and provide necessary interconnections, services, and adjustments required for a complete and operable system. Install communication equipment and cable grounding as necessary to preclude ground loops, noise, and surges from adversely affecting system operation. Install Fiber Optic cables and wiring in exposed areas, including low voltage wiring but not including network cable in telecommunication closets, in metallic raceways or EMT conduit as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Do not install equipment in any space which experiences temperatures or humidity outside of the rated operating range of the equipment.

3.3.2 Isolation, Building Penetrations and Equipment Clearance

Provide dielectric isolation where dissimilar metals are used for connection and support. Make all penetrations through and mounting holes in the building exteriors watertight. Drill or core drill holes in concrete, brick, steel and wood walls with proper equipment. Seal conduits installed through openings with materials which are compatible with existing materials. Seal openings with materials which meet the requirements of NFPA 70 and SECTION 07 84 00 FIRESTOPPING.

3.3.3 Nameplates

Provide Nameplates for all Control Hardware and all Computer Hardware. Attach Nameplates to the device in a conspicuous location.

3.4 INSTALLATION OF EQUIPMENT

3.4.1 Wire and Cable Installation

Install system components and appurtenances in accordance with NFPA 70, manufacturer's instructions and as indicated. Provide necessary interconnections, services, and adjustments required for a complete and operable signal distribution system. Label components in accordance with TIA-606. Firestop Penetrations in fire-rated construction in accordance with Section 07 84 00 FIRESTOPPING. Install conduits, outlets and raceways in accordance with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Install wiring in accordance with TIA-568.1 and as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Mark wiring terminal blocks and outlets in accordance with TIA-606. Do not install non-fiber-optic cables in the same cable tray, utility pole compartment, or floor trench compartment with power cables. Properly secure and install neat in appearance cables not installed in conduit or raceways.

3.4.2 Grounding

Install signal distribution system ground in accordance with TIA-607 and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Connect equipment racks to the electrical safety ground.

3.4.3 Power-Line Surge Protection

Protect equipment connected to ac circuits must be protected against or withstand power-line surges. Provide equipment protection which meets the requirements of IEEE C62.41. Do not use fuses for surge protection.

3.4.4 IP Addresses

For all Control Hardware requiring an IP address on the UMCS IP Network, coordinate with the owner to obtain IP addresses .

3.4.5 Computer Hardware and Software

3.4.5.1 Hardware Installation

Install Computer Hardware as specified and indicated. Power Computer Servers through a UPS, and install and configure them such that the server automatically undergoes a clean shutdown upon low battery signal from the UPS.

3.4.5.2 Software Installation

Install software as follows:

- a. CEA-852-C Configuration Server: Install and configure one CEA-852-C Configuration Server. Install the CEA-852-C Configuration Server on Server Hardware or on an CEA-709.1-D TP/FT-10 to IP Router.
- a. BACnet Network Browser: Install the BACnet Network Browser software as indicated. Install the BACnet Network Browser on workstation hardware.
- b. Monitoring and Control Software: Install the monitoring and control (M&C) software as shown. Except for M&C Software performing Point Calculations or Electrical Peak Demand Limiting, install M&C Software on server hardware. Install M&C Software performing Point Calculations or Electrical Peak Demand Limiting on either server hardware or Monitoring and Control (M&C) Controller Hardware. Install M&C Software in a manner consistent with its B-AWS listing such that it provides all functionality of a B-AWS.

Provide sufficient computer hardware and M&C Controller Hardware and install M&C Software to support the number of points required in PART 2 (PRODUCTS), regardless of the number of points integrated under this project specification. Note that meeting this requirement may entail the installation of unused hardware or spare point licenses to accommodate the full number of required points in order to allow for integration of future field control systems.

- c. M&C Controller Hardware Configuration Software: Install the M&C Controller Hardware Configuration Software on server hardware.

Where software requires connection to an IP device outside of the UMCS, coordinate with owner to obtain access to a Government-furnished server to provide the needed functionality. Do not connect to any device outside of

the UMCS without explicit permission from owner.

3.4.5.3 Monitoring and Control (M&C) Software Configuration

Configure the Monitoring and Control (M&C) Software as specified, as indicated and as follows:

- a. Set up M&C Software user accounts and passwords. Coordinate user accounts, passwords and permissions with the Controls HVAC Electrical shop supervisor.
- b. Change the default password on all accounts. Remove or disable any accounts which do not require authentication (such as guest accounts).
- c. Configure e-mail capability to use the government furnished SMTP server using the following server information.
- d. Disable all ports, protocols, and network services other than those required or specifically permitted by this Section. Services to be disabled include but are not limited to: FTP, Telnet and SSH.
- e. Install web server certificate. Obtain certificate from owner.

3.4.5.4 Control Hardware Installation

Install Control Hardware in a lockable enclosure and as specified. Configure Control Hardware as specified, as required to meet the functions for which the hardware is used and as follows:

- a. Disable all ports, protocols, and network services other than those required or specifically permitted by this Section. Services to be disabled include but are not limited to: FTP, Telnet, SSH, and HTTP. When disabling of ports, protocols and services is not supported by a product, obtain an exception from this requirement prior to using the product and document non-compliance on the Product Data Sheets and As-Built drawings.
- b. Change the default passwords in all Control Hardware which have passwords. Coordinate new passwords with the Controls HVAC Electrical shop supervisor.

3.5 INTEGRATION OF FIELD CONTROL SYSTEMS

Fully integrate the field control systems in accordance with the following three step sequence and as specified and shown.

STEP 1: Install and configure Control Hardware as necessary to connect the field control system to the FPOC, which is part of the UMCS IP network, and to provide control protocol translation and supervisory functionality.

STEP 2: Add Field Control System to M&C Software: Perform system discovery, system database merges, or any other actions necessary to allow M&C Software access to the field control system.

STEP 3: Configure M&C Software to provide monitoring and control of the field control system, including but not limited to the creation of system displays and the configuration of scheduling, alarming, and trending.

3.5.1 Integration Step 1: Install Control Hardware

Install Control Hardware as specified at the FPOC location to connect the field control system to the UMCS IP network via the FPOC and, if necessary, to provide control protocol translation and supervisory functionality. Coordinate all connections and other activities related to an FPOC with owner. Depending on the field control system media and protocol this must be accomplished through one of the following:

- a. Connect the existing field control network hardware at the FPOC location to the FPOC.
- b. Install a Control Protocol Gateway connected to both the field control network and the FPOC.
- c. Install a Control Protocol Router connected to both the field control network and the FPOC.

In addition, for integration of field control systems via ASHRAE 135, also install a BACnet Supervisory Controller as needed to implement scheduling, alarming and trending in the field control system. The BACnet supervisory controller may be the same device as the control protocol gateway or router.

3.5.1.1 Installation of Control Protocol Gateway

If the field control system uses a protocol which is not supported by the M&C Software, install a gateway to convert the field control system protocol to ASHRAE 135, or to OPC DA. Install additional field control system network media and hardware as needed to connect the Gateway to the field control system. Connect the Gateway according to one of the two following methods:

- a. Connect the Gateway to the field control network and to the FPOC.
- b. Connect the Gateway to the field control network and to a BACnet/IP Router installed as specified.

Create and configure points and establish network communication between the Control Protocol Gateway and the field control system to provide points from the field control system to the M&C software.

3.5.1.2 Installation of Control Protocol Router

If there is not an existing connection between the FPOC and the field control network, install a BACnet/IP Router to connect the field control network to the FPOC. Install additional field control system network media as needed to connect the Router to the field control system.

3.5.1.3 Installation of BACnet Supervisory Controller

If required for implementation of scheduling, alarming and trending, install a BACnet Supervisory Controller connected to the building control system IP network and configure it to provide scheduling, alarming and trending functions for the field control system. When the BACnet Supervisory Controller is the same device as a control protocol router or

gateway, install it in accordance with the installation requirements for a router or gateway.

3.5.2 Integration Step 2: Add Field Control System to M&C Software

Perform system discovery, system database merges, or any other actions necessary to allow M&C Software access to points and data in the field control system.

3.5.2.1 Integration of Field Control Systems Via ASHRAE 135

Use the M&C Software to fully discover the field control system. Full discovery of a field control system includes but is not limited to discovery of all ASHRAE 135 devices, all standard ASHRAE 135 Objects and Properties of each device, and all standard ASHRAE 135 services supported by each device.

3.5.2.2 Integration of Field Control Systems Via OPC DA

Establish a connection between the M&C Software OPC DA client and the field control system OPC DA server and make all points as shown on the Points Schedule from the field control system available in the M&C Software.

3.5.3 Integration Step 3: Configure M&C Software

Configure M&C Software to provide monitoring and control of the field control system, including but not limited to the creation of system displays and the configuration of scheduling, alarming, and trending.

3.5.3.1 Configure M&C Software Communication

Create and configure points and establish network communication between M&C Software and Field Control Systems as specified to support M&C Software functionality:

- a. Update points on currently active displays via polling as necessary to meet M&C Software display refresh requirements.
- b. Send points used for overrides to the device receiving the override as shown on the Points Schedule. For BACnet systems write operator overrides with a priority of 8 and demand limiting overrides with a priority of 10.
- c. For Notification Class Objects used for Alarms, configure the Recipient_List Property to point to the appropriate M&C Software process. Use the ConfirmedEventNotification service for events from ASHRAE 135 field control systems used for alarms. For points from OPC DA field control systems used for alarms use a subscription or poll the point at 5 minute intervals.
- d. Update points used for currently active trends via polling as necessary to meet trend interval requirements.
- e. Send points used for scheduling to the field control system with a maximum time between subsequent transmissions of the point of 30 minutes. .

Edit the Description field of each point to include the Real Property

Unique IDs (RPUID) associated with that point as shown on the Points Schedule

3.5.3.2 Configure M&C Software Functionality

Fully configure M&C Software functionality using the M&C Software capabilities specified in PART 2 of this Section.

- a. Create System Displays using the project site sample displays, including overrides, as shown on the Points Schedule and as specified. Label all points on displays with the point description as shown on the Points Schedule. Configure user permissions for access to and executions of action using graphic pages. Coordinate user permissions with the Controls HVAC Electrical shop supervisor
- b. Configure alarm generation and alarm handling as shown on the Points Schedule, as shown on the Alarm Routing Schedule, and as specified. Create and configure Objects in BACnet Supervisory Controllers and in the field control system to support alarming as shown on the Points Schedule and as specified. Alarm events with priority 112 are critical and events with priority 224 are non-critical. For alarm events with other priorities, treat events with priorities of 200 or above as non-critical, and all others as critical. For alarms requiring notification via text message or e-mail, configure the alarm notification to use the specified Government furnished SMTP server to send the alarm notification.
- c. Configure scheduling as indicated and as shown on the points schedule. Configure M&C Software scheduling functionality to schedule OPC systems. Create and configure Schedule Objects in BACnet Supervisory Controllers or in the field control system.

Create and configure displays for configuration of M&C Software schedules and Schedule Objects in the field control system. Label schedules and scheduled points with full English-language descriptors. Provide a separate configuration capability for each schedule. A single configuration display may be used to configured multiple schedules, provided that each schedule is separately configurable from the display.

- d. Create M&C Software trends for required points as shown on the Points Schedule and as specified. Create and configure Trend Objects in BACnet Supervisory Controllers and in the field control system as shown on the Points Schedule and as specified. Trend points at 15 minute intervals.

Create and configure displays for creation and configuration of trends and for display of all trended points.

- e. Configure Demand Limiting as shown on the Demand Limit Schedule and Points Schedule and as specified.
- f. Configure M&C Software standard reports.

3.6 START-UP AND START-UP TESTING

Test all equipment and perform all other tests necessary to ensure the system is installed and functioning as specified. Prepare a Start-Up and Start-Up Testing Report documenting all tests performed and their results

and certifying that the system meets the requirements specified in the contract documents.

3.7 PERFORMANCE VERIFICATION TEST (PVT)

3.7.1 PVT Phase I Procedures

Provide PVT Procedures which include:.

- a. Network bandwidth usage and available bandwidth (throughput) measurements. Network bandwidth usage must reference the normal usage network Bandwidth Calculations.
- b. Test System Reaction during PVT: The total system response time from initiation of a control action command from the workstation, to display of the resulting status change on the workstation must not exceed 20 seconds under system normal heavy load conditions assuming a zero response time for operation of the node's control device.
- c. Verification of IP Connectivity.
- d. Verification of configuration of M&C Software functionality.

3.7.2 PVT Phase I

Demonstrate compliance of the control system with the contract documents. Using test plans and procedures previously approved by the Government, demonstrate all physical and functional requirements of the project. Upon completion of PVT Phase I and as specified, prepare and submit the PVT Phase I Report documenting all tests performed during the PVT and their results. In the PVT report, include all tests in the PVT Procedures and any other testing performed during the PVT. Document failures and repairs with test results.

3.7.3 PVT Phase II

Include Basic Training as part of PVT Phase II. Failures or deficiencies of the UMCS during Basic Training are considered PVT failures. Upon completion of PVT Phase II, and as specified, prepare and submit the PVT Phase II Report documenting any failures which occurred and repairs performed during PVT Phase II.

3.8 MAINTENANCE AND SERVICE

Perform inspection, testing, cleaning, and part or component replacement as specified and as required to maintain the warranty. Work includes providing necessary preventive and unscheduled maintenance and repairs to keep the UMCS operating as specified, and accepted by the Government, and other services as specified. Perform work in compliance with manufacturer's recommendations and industry standards. Provide technical support via telephone during regular working hours.

3.8.1 Work Coordination

Schedule and arrange work to cause the least interference with the normal Government business and mission. In those cases where some interference may be essentially unavoidable, coordinate with the Government to minimize the impact of the interference, inconvenience, equipment downtime, interrupted service and personnel discomfort.

3.8.2 Work Control

Upon completion of work on a system or piece of equipment, that system or piece of equipment must be free of missing components or defects which would prevent it from functioning as originally intended and designed. Replacements must conform to the same specifications as the original equipment. During and at completion of work, do not allow debris to spread unnecessarily into adjacent areas nor accumulate in the work area itself.

3.8.3 Working Hours

Working hours are from 7:30 A.M. to 4:00 P.M. local time Mondays through Fridays except Federal holidays.

3.8.4 Equipment Repairs

Initiate and complete equipment repairs within the time periods agreed upon between owner and contractor, where time periods are measured as actual elapsed time from first notification, including working and non-working hours:

Repair is the restoration of a piece of equipment, a system, or a facility to such condition that it may be effectively used for its designated purposes. Repair may be overhaul, reprocessing, or replacement of nonfunctional parts or materials or replacement of the entire unit or system.

3.8.5 Replacement, Modernization, Renovation

The Government may replace, renovate, or install new equipment as part of the UMCS at Government expense and by means not associated with this contract without voiding the system warranty. Replaced, improved, updated, modernized, or renovated systems and equipment interfaced to the system may be added to the Contractor's maintenance and service effort as a modification.

3.8.6 Access To UMCS Equipment

Access to UMCS equipment must be in accordance with the following:

- a. Coordinate access to facilities and arrange that they be opened and closed during and after the accomplishment of the work effort. For access to a controlled facility contact the Government for assistance.
- b. The Government may provide keys for access to UMCS equipment where the Government determines such key issuance is appropriate. Establish and implement methods of ensuring that keys issued by the Government are not lost or misplaced, are not used by unauthorized persons, and are not duplicated.
- c. The Government may provide passwords or issue Common Access Cards (CAC) for access to UMCS computer equipment where the Government determines such issuance is appropriate. Establish and implement methods of ensuring that passwords and Common Access Cards issued by the Government are not used by unauthorized persons.

3.8.7 Records, Logs, and Progress Reports

Keep records and logs of each task, and organize cumulative chronological records for each major component, and for the complete system. Maintain a continuous log for the UMCS. Keep complete logs and be available for inspection on site, demonstrating that planned and systematic adjustments and repairs have been accomplished for the UMCS.

3.8.8 Preventive Maintenance Requirements

Perform maintenance procedures as described below, or more often if required by the equipment manufacturer.

3.8.8.1 Preventive Maintenance Work Plan

Prepare a Preventive Maintenance Work Plan detailing all required preventive maintenance. Obtain Government approval of the Work Plan as specified in paragraph PROJECT SEQUENCING. If it is necessary to reschedule maintenance, make a written request to the Government detailing the reasons for the proposed change at least five days prior to the originally scheduled date. Scheduled dates will be changed only with the prior written approval of the Government.

3.8.8.2 Semiannual Maintenance

Perform the following Semiannual Maintenance as specified:

- a. Perform data backups on all Server Hardware.
- b. Run system diagnostics and correct diagnosed problems.
- c. Perform fan checks and filter changes for UMCS hardware.
- d. Perform all necessary adjustments on printers.
- e. Resolve all outstanding problems.
- f. Install new ribbons, ink cartridges and toner cartridges into printers, and ensure that there is at least one spare ribbon or cartridge located at each printer.

3.8.9 Service Call Reception

- a. A Government representative will advise the Contractor by phone or in person of all maintenance and service requests, as well as the classification of each based on the definitions specified. A description of the problem or requested work, date and time notified, location, classification, and other appropriate information will be placed on a Service Call Work Authorization Form by the Government.
- b. Submit procedures for receiving and responding to service calls 24 hours per day, seven days a week, including weekends and holidays. Provide a single telephone number for receipt of service calls during regular working hours; service calls are to be considered received at the time and date the telephone call is placed by the authorized Government representative.
- c. Separately record each service call request, as received on the Service Call Work Authorization form and complete the Service Call

Work Authorization form for each service call. Include the following information in the completed form: the serial number identifying the component involved, its location, date and time the call was received, nature of trouble, names of the service personnel assigned to the task, instructions describing what has to be done, the amount and nature of the materials to be used, the time and date work started, and the time and date of completion.

- d. Respond to each service call request within two working hours. Provide the status of any item of work within four hours of the inquiry during regular working hours, and within 16 hours after regular working hours or as needed to meet the Equipment Repair requirements as specified.

3.8.10 Service Call Work Warranty

Provide a 1 year unconditional warranty on service call work which includes labor and material necessary to restore the equipment involved in the initial service call to a fully operable condition. In the event that service call work causes damage to additional equipment, restore the system to full operation without cost to the Government. Provide response times for service call warranty work equivalent to the response times required by the initial service call.

3.8.11 System Modifications

Make recommendations for system modification in writing to the Government. Do not make system modifications without prior approval of the Government. Incorporate any modifications made to the system into the Operations and Maintenance Instructions, and any other documentation affected. Make available to the Government software updates for all software furnished under this specification during the life of this contract. Schedule at least one update near the end of the contract period, at which time make available the latest released version of all software provided under this specification, and install and validate it upon approval by the Government.

3.9 TRAINING

Conduct training courses for designated personnel in the maintenance, service, and operation of the system as specified, including specified hardware and software. The training must be oriented to the specific system provided under this contract. Provide audiovisual equipment and other training material and supplies required for the training. When training is conducted at Government facilities, the Government reserves the right to record the training sessions for later use. A training day is defined as 8 hours of classroom instruction, excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility. For guidance in planning the required instruction, the Contractor should assume that attendees will be tradesmen such as electricians or boiler operators. Obtain approval of the training schedule from the Government at least 30 days prior to the first day of training.

3.9.1 Training Documentation

Prepare and submit one set of Training manuals for each of Basic Training Documentation, Advanced Training Documentation, and Refresher Training Documentation, where each set of documentation consists of:

3.9.1.1 Course Attendance List

Course Attendance List developed in coordination with and signed by the Controls HVAC Electrical shop supervisor.

3.9.1.2 Training Manuals

Include an agenda, defined objectives for each lesson, and a detailed description of the subject matter for each lesson in the training manuals. Where portions of the course material are presented by audiovisuals, include copies of those audiovisuals as a part of the printed training manuals.

3.9.2 Basic Training

Conduct a Basic Training course at the project site on the installed system for a period of no less than 5 training days during Phase 2 of the PVT. A maximum of ten personnel will attend this course. Design training targeted towards training personnel in the day-to-day operation and basic maintenance of the system. Upon completion of this course, each student, using appropriate documentation, should be able to start the system, operate the system, recover the system after a failure, perform routine maintenance and describe the specific hardware architecture and operation of the system. Include the following topics at a minimum:

- a. General system architecture.
- b. Functional operation of the system, including workstations and system navigation.
- c. System start-up procedures.
- d. Failure recovery procedures.
- e. Schedule configuration.
- f. Trend configuration.
- g. Perform point overrides and override release.
- h. Reports generation.
- i. Alarm reporting and acknowledgements.
- j. Diagnostics.
- k. Historical files.
- l. Maintenance procedures:
 - (1) Physical layout of each piece of hardware.
 - (2) Troubleshooting and diagnostic procedures.
 - (3) Preventive maintenance procedures and schedules.

3.9.3 Advanced Training

Conduct an Advanced Operator Training course at the project site for a period of not less than five days. A maximum of ten personnel will attend this course. Structure the course to consist of "hands-on" training under the constant monitoring of the instructor. Include training on the M&C Software, and the BACnet Network Browser. Upon completion of this course, the students should be fully proficient in the operation and management of all system operations and must be able to perform all tasks required to integrate a field control system into the UMCS. Report the skill level of each student at the end of this course. Include the following topics at a minimum:

- a. A review of all topics in Basic Training
- b. Using the BACnet Network Browser for network discovery
- c. M&C Software configuration, including but not limited to: creating and editing system displays, alarms, schedules, trends, demand limiting and calculations.

3.9.4 Refresher Training

Conduct a Refresher Training course at the project site for a period of two training days when approved by the Government and as specified in paragraph PROJECT SEQUENCING. A maximum of ten personnel will attend the course. Structure the course to address specific topics that the students need to discuss and to answer questions concerning the operation of the system. Upon completion of the course, the students should be fully proficient in system operation and have no unanswered questions regarding operation of the installed UMCS. Correct any system failures discovered during the Refresher Training at no cost to the Government.

APPENDIX A

<u>QC CHECKLIST</u>		
This checklist is not all-inclusive of the requirements of this specification and should not be interpreted as such.		
This checklist is for (check one:)		
☐	Pre-Construction QC Checklist Submittal (Items 1-2)	()
☐	Post-Construction QC Checklist Submittal (Items 1-6)	()
☐	Close-out QC Checklist Submittal (Items 1-14)	()
Instructions: Initial each item in the space provided (____) verifying that the requirement has been met.		
Verify the following items for Pre-Construction, Post-Construction and Closeout QC Checklist Submittals:		
1	Contractor Design Drawing Riser Diagram includes location and types of all Control Hardware and Computer Hardware.	____
2	M&C Software supports , and ASHRAE 135, and OPC DA. M&C Software is BTL Listed as a B-AWS.	____
Verify the following items for Post-Construction and Closeout QC Checklist Submittal:		
3	Communication between the M&C Software and ASHRAE 135 field control systems uses only ASHRAE 135. Communication between the M&C Software and OPC DA field control systems uses only OPC DA.	____

<u>QC CHECKLIST</u>		
4	Connections to non-ASHRAE 135, non-OPC DA field control systems are via a Gateway from the field control system to ASHRAE 135, or to OPC DA, or via a UMCS supported protocol without the use of a hardware Gateway.	___
5	Computer workstations and servers are installed as shown on the UMCS Riser Diagram.	___
6	Training schedule and course attendee lists have been developed and coordinated with shops and submitted.	___
Verify the following items for Closeout QC Checklists Submittal:		
7	All points in field control systems have been discovered and are available at the M&C Software.	___
8	All software has been licensed to the Government.	___
9	M&C software monitoring displays have been created for all building systems, including all override and display points indicated on Points Schedule drawings.	___
10	Final As-built Drawings accurately represent the final installed system.	___
11	Default trends have been set up (per Points Schedule drawings).	___
12	Scheduling has been configured at the M&C Software (per Occupancy Schedule drawing).	___
13	O&M Instructions have been completed and submitted.	___

<u>QC CHECKLIST</u>		
14	Basic Operator and Advanced Training courses have been completed.	____
(QC Representative Signature)		(Date)

-- End of Section --

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SECTION 26 05 13.00 10

MEDIUM-VOLTAGE CABLES

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASSOCIATION OF EDISON ILLUMINATING COMPANIES (AEIC)

- AEIC CS1 (2012) Impregnated-Paper-Insulated, Metallic Sheathed Cable, Solid Type
- AEIC CS8 (2013) Specification for Extruded Dielectric Shielded Power Cables Rated 5 Through 46 kV

ASTM INTERNATIONAL (ASTM)

- ASTM B3 (2013) Standard Specification for Soft or Annealed Copper Wire
- ASTM B8 (2023) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
- ASTM D746 (2014) Standard Test Method for Brittleness Temperature of Plastics and Elastomers by Impact

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

- IEEE 48 (2020) Test Procedures and Requirements for Alternating-Current Cable Terminations Used on Shielded Cables Having Laminated Insulation Rated 2.5 kV through 765 kV or Extruded Insulation Rated 2.5 kV through 500 kV
- IEEE 383 (2023) Qualifying Electric Cables and Splices for Nuclear Facilities
- IEEE 386 (2016) Separable Insulated Connector Systems for Power Distribution Systems Rated 2.5 kV through 35 kV
- IEEE 400.2 (2013) Guide for Field Testing of Shielded Power Cable Systems Using Very Low Frequency (VLF)
- IEEE 404 (2012) Standard for Extruded and Laminated Dielectric Shielded Cable Joints Rated 2500 V to 500,000 V

IEEE 1202 (2006; R 2012; CORR 1 2012)
Flame-Propagation Testing of Wire and Cable

IEEE C2 (2023) National Electrical Safety Code

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS (2021) Standard for Acceptance Testing
Specifications for Electrical Power
Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C119.1 (2023) Electric Connectors - Sealed
Insulated Underground Connector Systems
Rated 600 Volts

ANSI/NEMA WC 71/ICEA S-96-659 (2014; R 2022) Standard for Nonshielded
Cables Rated 2001-5000 Volts for use in
the Distribution of Electric Energy

NEMA WC 70 (2021) Power Cable Rated 2000 Volts or
Less for the Distribution of Electrical
Energy

NEMA WC 74/ICEA S-93-639 (2022) 5-46 kV Shielded Power Cable for
Use in the Transmission and Distribution
of Electric Energy

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National
Electrical Code

NFPA 70B (2023) Recommended Practice for Electrical
Equipment Maintenance

NFPA 70E (2024) Standard for Electrical Safety in
the Workplace

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FED-STD-228 (2014; Rev A; Notice 1) Test Methods for
Cable and Wire, Insulated

1.2 DEFINITIONS

Medium-voltage power cables include all cables rated above 600 volts up to
35,000 volts.

1.3 ADMINISTRATIVE REQUIREMENTS

1.3.1 Pre-Installation Meetings

No later than 30 days of Contract Award, coordinate with the Contracting
Officer to schedule a pre-installation meeting. Submit the following for
review and approval prior to the meeting:

- a. Pulling Plan including calculations of pulling tension and side wall pressure anticipated, and the maximum allowable pulling tension for each pull. Do not perform any pull until Government reviews and approves the pulling plan.
- b. Splicer/Terminator Certifications
- c. List of Splices and Terminations to be Installed by Splicer/Terminator
- d. Manufacturer's catalog data for all cables, cable supports and fittings, cable tags, fireproof tape, splice kits, terminations, and any other product data required to complete the work.
- e. Certificates showing that the cable manufacturer has made factory-conducted tests on each shipping length (reel) of cable. Include certified copies of test data showing conformance with the referenced standards and approval prior to delivery of cable.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

List of Splices and Terminations to be Installed by
Splicer/Terminator; G

SD-02 Shop Drawings

Pulling Plan; G

SD-03 Product Data

Multiple-Conductor Shielded Cables; G

Multiple-Conductor Nonshielded Cables; G

Single-Conductor Shielded Cables; G

Single-Conductor Nonshielded Cables; G

Portable Cables; G

Cable Supports and Fittings; G

Polyethylene Cable Tags; G

Fireproof Tape; G

Splices; G

Terminations; G

Polyethylene Cable Tags; G

SD-06 Test Reports

Field Testing; G

Qualification Test Reports; G

SD-07 Certificates

Splicer/Terminator Certifications; G

SD-08 Manufacturer's Instructions

Medium-Voltage Power Cables; G

Terminations; G

Splices; G

1.5 QUALITY CONTROL

1.5.1 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Ensure equipment, materials, installation, and workmanship are in accordance with the mandatory and advisory provisions of NFPA 70, IEEE C2 unless more stringent requirements are specified or indicated.

1.5.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Provide products which have been in satisfactory commercial or industrial use for 2 years prior to bid opening. Ensure the 2-year period includes applications of equipment and materials under similar circumstances and of similar size. Ensure the product has been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer.

Qualifications

Verify personnel performing Medium Voltage (MV) splicing or terminations have 5 years minimum experience in cable splicing and terminations of the type used in this project. Submit splicer/terminator certifications issued by the cable splice and termination manufacturer who has examined and tested a test splice or termination of each type required by this contract for each cable splicer. Ensure the certification identifies which splices and terminations it applies to. Require each individual, certified or not, with the required medium voltage splicing and terminating experience, who is to perform cable splicing or terminating, to perform a minimum of one splice or termination of each type in the presence of the manufacturer's and Government's representative. Supply all materials and tools required for the demonstration splices and terminations.

Once a splice or termination has been started by a splicer, ensure the same splicer completes that particular splice, and that each termination and splice is started and completed in one continuous work period.

Maintain and submit a list of splices and terminations to be installed by splicer/terminator. Ensure the list includes the following for each splice or termination completed.

- a. Name of splicer/terminator.
- b. Date splice or termination was performed.
- c. Location of splice or termination. For terminations at equipment indicate equipment number as required to completely define the location.
- d. Feeder number.

1.6 DELIVERY, STORAGE, AND HANDLING

Ship cables on reels in a way that protects the cable from mechanical injury. Hermetically seal end of each cable length using heat-shrinkable molded cable end caps to exclude moisture and securely attached to the reel..

Make the minimum reel drum diameter 14 times the overall diameter of the cable. Ensure that each cable length is installed with a pulling eye installed by the manufacturer, for installation in ducts, manholes, and utility tunnels.

PART 2 PRODUCTS

2.1 SYSTEM DESCRIPTION

Provide medium-voltage power cables including multiple- and single-conductor cables rated 5,000 and 15,000 volts, ungrounded neutral, on 2,400/4,160 and 13,200/13,800-volt three-phase, 60-hertz, phase-to-phase, for grounded and ungrounded neutral systems.

Provide conductor cable assemblies consisting of:

- a. Conductor core with an extruded semiconductor shield over the conductors
- b. Insulation
- c. A polyethylene (PE) jacket.
- d. An extruded semiconductor insulation shield, a concentric neutral

2.2 EQUIPMENT

Ensure that ethylene-propylene rubber and cross-linked polyethylene-insulated conductors are lead-free.

2.2.1 Multiple-Conductor Shielded Cables

2.2.1.1 Synthetic Rubber with Interlocked Armor

Provide multiple-conductor, natural or synthetic-rubber-insulated (per owner approval), interlocked-armor-covered, shielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659 and NEMA WC 74/ICEA S-93-639.

Apply close-fitting, interlocked-armor tape of galvanized steel over the jacket.

2.2.1.2 Cross-Linked Polyethylene

Provide multiple-conductor, cross-linked polyethylene-insulated, shielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, NEMA WC 74/ICEA S-93-639 and AEIC CS8. Provide taped shielding that consists of 5-mil thick copper shielding lap applied over 12-mil thick semiconducting tape. Wrap both helically with 10-percent overlap, providing 100-percent coverage.

Shield cross-linked polyethylene (XLP) single- and multiple-conductor cables for grounded and ungrounded neutral voltage ratings of 2,000 volts or more.

2.2.1.3 Ethylene Propylene Rubber (EPR) with Jacketed Interlocked Armor

Provide multiple-conductor ethylene propylene rubber insulated interlocked armor covered shielded cables that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, NEMA WC 74/ICEA S-93-639 and AEIC CS8.

2.2.2 Multiple-Conductor, Nonshielded Cables

2.2.2.1 Natural or Synthetic Rubber with Neoprene Jacket

Provide multiple-conductor, natural or synthetic-rubber-insulated (per owner approval), neoprene-jacketed, nonshielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659 and NEMA WC 74/ICEA S-93-639.

2.2.2.2 Cross-Linked Polyethylene with PVC Jacket

Provide multiple-conductor, polyethylene-insulated, nonshielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, NEMA WC 74/ICEA S-93-639 and AEIC CS8.

2.2.2.3 Ethylene-Propylene with PVC Jacket

Provide multiple-conductor, ethylene-propylene-insulated, PVC-jacketed, nonshielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, NEMA WC 74/ICEA S-93-639 and AEIC CS8.

2.2.3 Single-Conductor Shielded Cables

2.2.3.1 Cross-Linked Polyethylene with PVC Jacket

Provide single-conductor, polyethylene-insulated, PVC-jacketed, shielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, NEMA WC 74/ICEA S-93-639 and AEIC CS8.

2.2.3.2 Cross-Linked Polyethylene with Interlocked Armor

Provide single-conductor, polyethylene-insulated, PVC-jacketed, shielded cable with interlocked armor that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, NEMA WC 74/ICEA S-93-639 and AEIC CS8.

Apply a close-fitting, interlocked-armor tape of galvanized steel over the jacket.

2.2.3.3 Ethylene-Propylene-Rubber-Insulated with PVC Jacket

Provide single-conductor 15 kV rated cable assemblies that consist of the following: Class B stranded copper conductors, an extruded semiconducting shield over the conductors, 220 mils of ethylene propylene rubber insulation, an extruded or other approved semiconducting shield, a 5-mil minimum copper tape shield wrapped helically with a minimum 12.5 percent overlap and a PVC jacket.

Provide single-conductor, ethylene-propylene-insulated, PVC-jacketed, shielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, NEMA WC 74/ICEA S-93-639 and AEIC CS8.

2.2.4 Single-Conductor Nonshielded Cables

2.2.4.1 Cross-Linked Polyethylene

Provide single-conductor, cross-linked polyethylene-insulated, nonshielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, and NEMA WC 74/ICEA S-93-639.

2.2.4.2 Ethylene-Propylene-Rubber-Insulated with PVC Jacket

Provide single-conductor, ethylene-propylene-rubber-insulated, PVC-jacketed, nonshielded cable that conforms to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, and NEMA WC 74/ICEA S-93-639.

2.2.5 Portable Cables

Provide SHD multiple-conductor, butyl-rubber-insulated, neoprene-jacketed, shielded portable cable conforming to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659 and NEMA WC 74/ICEA S-93-639.

2.2.6 Insulated Medium Voltage Connectors

Provide connector with a steel reinforced hook-stick eye, grounding eye, test point, and arc-quenching contact material per IEEE 386. Ensure connections are compatible with equipment bushings. Provide connectors as follows:

- a. 200 Ampere loadbreak connector ratings: Voltage: 15kV, 95kV BIL. Short time rating: 10,000 amperes rms, symmetrical for a time duration of 0.17 seconds.
- b. 600 Ampere deadbreak connector ratings: Voltage: 15kV, 95kV BIL. Short time rating: 27,000 ampere rms, symmetrical for a time duration of 4.0 seconds.
- c. Provide connectors with a steel reinforced hook-stick eye, grounding eye, test point, and arc-quenching contact material per IEEE 386.

Ensure connections are compatible with equipment bushings.

2.2.7 Splices

Provide heat shrink splice kits which are the product of a single manufacturer. Ensure the power cable splice meets the requirements of IEEE 404 for a 5 kV rating, and must be rated by the manufacturer for use on 5 kV class cable systems. Ensure splices are rated for continuous operation at 221 degrees F, with an emergency overload temperature rating of 284 degrees F. Ensure the kit is capable of splicing cables with copper or aluminum conductors sized as indicated in the contract drawings or accommodate a conductor size transition. Provide splices specifically designed for the cable and grounding provisions.

Provide heat shrink splices which include but are not limited to the following:

- a. Inner heat shrink stress control tube with external end sealant, additional heat shrink tube over inner tube and inner tube end sealant.
- b. Heat shrink outer wraparound sleeve with heat sensitive indications on both the tube and rail/channel area to indicate proper torch heating, stress relief material, mastic, sealant, shielding mesh, and silicone grease.

2.2.8 Terminations

Provide Class 1 terminations per IEEE 48.

2.2.9 Cable Supports and Fittings

Provide cable supports, related fittings, and accessories for use in corrosive underground locations, such as manholes and utility tunnels, with a factory-applied coating of PVC of at least 20 mils thick. Provide PVC coated items that have a uniform thickness and are free of blisters, breaks, and holidays. Provide PVC compound that conforms to ASTM D746.

2.2.10 Polyethylene Cable Tags

Provide tags of polyethylene that have an average tensile strength of 4500 pounds per square inch, and are 0.035-inch thick, non-corrosive non-conductive. Ensure tags are resistive to acids, alkalis, organic solvents, salt water, and are distortion resistant to 300 degrees F. Provide a one-piece nylon, self-locking tie at each end of the cable tag. Ensure ties have a minimum loop tensile strength of 175 pounds. Provide cable tags with block letters, numbers, and symbols 1 inch high on a yellow background. Ensure letters, numbers, and symbols do not fall off or change positions regardless of the cable tags orientation.

2.2.11 Fireproof Tape

Provide fireproof tape approximately 30 mils thick by 3 inches wide, consisting of a flexible, unsupported elastomer that expands in fire to provide a thick char buildup between the flame and the cable. Ensure the tape does not give off a smoke when subjected to flames or support combustion. Also, ensure tape does not deteriorate when subjected to oil, water, gases, salt water, sewage and fungus.

2.3 MATERIALS

2.3.1 Conductors

Ensure that conductors conform to the applicable requirements of NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639.

Ensure that conductors are solid copper core conforming to ASTM B3 and ASTM B8 and that they are bare, or tin-alloy-coated, according to the type of insulation used. Ensure that aluminum conductors are Type AA-8000 aluminum conductors. Do not use Type 1350 aluminum conductors. Do not intermix copper and aluminum conductors in the same raceway.

Provide Class B stranded conductors.

2.3.2 Insulation

Ensure the provided cables are rated for minimum 221 degrees F continuous conductor temperature and 266 degrees F emergency overload.

Provide cables with 100 percent insulation. Ensure insulation thickness is in accordance with the following:

Voltage Rating (kV)	Insulation Level (%)	Typical Insulation Thickness	
		mm	mils
5	100	2.29	90
	137	2.92	115
	173	3.56	140
8	100	2.92	115
	133	3.56	140
	173	4.45	175
15	100	4.45	175
	133	5.59	220
	173	6.6	260
25	100	6.6	260
	133	8.13	320
	173	10.67	420

Voltage Rating (kV)	Insulation Level (%)	Typical Insulation Thickness	
		mm	mils
35	100	8.76	345
	133	10.67	420
	173	14.73	580

2.3.3 Cable Identification

Provide cables with printing on the outer jacket showing the cable type, name of the manufacturer, the year in which the cable was manufactured, sequential cable reel length markings and a unique number for identification purposes. Closely group the information on the tape at 6 foot maximum intervals to permit complete identification.

2.3.4 Non-metallic Insulation Shield

Provide extruded insulation shield made of an extruded thermoset material compatible with the insulation and jacket. Ensure insulation shield is applied directly over and bonded to the insulation, and complies with AEIC CS8.

2.3.5 Concentric Neutral Shield

Provide copper wires helically applied over the insulation shield, where the minimum total cross sectional area (of the shield wires) is full core conductor for the cable. Minimum size of an individual shield wire is No. 14 AWG.

2.3.6 Jacket

Provide polyvinyl-chloride (PVC) jacketed cable extruded over the cable to a minimum thickness of 80 mils.

2.3.7 Interlock Armored Cable

Provide a nonmetallic, corrosion-resistant jacket over interlock-armored cable that is PVC black polyethylene conforming to NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659 and NEMA WC 74/ICEA S-93-639.

2.4 TESTS, INSPECTIONS, AND VERIFICATIONS

2.4.1 FACTORY TESTING

Submit certified evidence that the cable manufacturer has made factory-conducted tests on each shipping length (reel) of cable. Submit certified copies of test data in accordance with applicable provisions of the referenced standard. Include in tests on each length of cable to include:

- (1) Conductor Resistance
- (2) Accelerated Water Absorption Test

- (3) Water Immersion Test
- (4) Ionization
- (5) High-Voltage
- (6) Partial Discharge Test

Submit certified qualification test reports in accordance with AEIC CS8 made in accordance with the applicable referenced standards. Ensure certified copies of test data show conformance to the requirements of referenced standards and submit for approval prior to shipment of the cable.

Prior to manufacturing, provide data regarding degradation of proposed insulating material and cable performance due to water immersion test as specified in this specification to the Contracting Officer or designee. Indicate in information AC breakdown stress in kV/mm or V/mil versus immersion time. Ensure a complete description and condition under which cable was tested accompanies the test information. Submit an accelerated water absorption test.

For cables not to be enclosed in metallic conduit, test for flammability in accordance with FED-STD-228, Method 5221vertical, sparkIEEE 383 and IEEE 1202, 70,000 Btu per hour per hour vertical tray flame test.

PART 3 EXECUTION

3.1 INSTALLATION

Install medium-voltage cables in accordance with NFPA 70, NFPA 70E; and IEEE C2.

Notify the Contracting Officer 14 working days prior to an outage that requires testing for phasing and phase rotation of medium voltage electrical systems. The contractor will identify and tag the phasing of equipment and provide as needed, in writing, the results of phasing and phase rotation tests. The Contractor is responsible for maintaining the phasing and phase rotation tests, and is responsible for maintaining the phasing, and matching the existing phase rotation and phasing when installing conductors in existing electrical systems.

Install the cables in the following locations:

Exterior:

- a. In underground duct banks
- b. In conduit above and below grade
- c. In manholes
- d. And by direct burial

Inside Buildings:

- a. By open wire method

- b. On insulator hooks
- c. On racks
- d. In wall and ceiling mounted cable trays

Secure cables with heavy-duty cable ties in existing or new trays mounted horizontally, where the cable rests on the tray bottom. Install cable ties at a minimum of 10 foot intervals.

Secure cables with metallic cable clamps, straps, hangers, or other approved supporting devices to tunnel walls, ceilings, and in new or existing cable trays mounted vertically, where the tray bottom is in a vertical plane.

When field cuts or other damage occurs to the PVC coating, apply a liquid PVC patch to maintain the integrity of the coating. After the installation is complete, perform an inspection to ensure that the coating has no voids, pinholes, or cuts.

Before installing new armored cable, ensure that cable trays are properly secured and supported. Add new permanent or temporary tray support devices as required to preclude cable tray failure during cable pulling or after cable is installed.

Installed cable or conductors of a primary distribution system will be rejected by the Government when placed:

- a. Openly in cable trays or openly racked along interior walls
- b. In the same raceway or conduit with AC/DC control circuits or AC power circuits operating at less than 600 volts
- c. In a manner allowing the cable to support its own weight

3.1.1 Protection During Splicing Operations

Provide a blower to force fresh air into manholes or confined areas where free movement or circulation of air is obstructed. Have waterproof protective coverings available on the work site to protect against moisture while a splice is being made. Use pumps to keep manholes dry during splicing operations. Never make a splice or termination with the interior of a cable exposed to moisture. Moisture-test the conductor insulation paper before the splice is made. Use a manhole ring at least 6 inches above ground around the manhole entrance to keep surface water from entering the manhole. Before starting the splice, plug unused ducts and stop water seepage through ducts in use.

3.1.2 Duct Cleaning

Thoroughly clean ducts before installation of power cables. Pull a standard flexible mandrel through each duct to loosen particles of earth, sand, or foreign material in the line. Use a mandrel that is not less than 12 inches long with a diameter 1/2 inch less than the inside diameter of the duct. Then pull a brush with stiff bristles through each duct to remove the loosened particles. Use a brush with a diameter that is the same as or slightly larger than the diameter of the duct.

3.1.3 Pulling Cables in Ducts, Manholes and Utility Tunnels

Submit a Pulling Plan including calculations of pulling tension and side wall pressure anticipated, and the maximum allowable pulling tension for each pull. Do not perform any pull until Government reviews and approves the pulling plan.

Pull medium-voltage cables into ducts and utility tunnels with equipment designed for this purpose, including a power-driven winch, cable-feeding flexible tube guide, cable grips, and lubricants. Employ a sufficient number of trained personnel and equipment to ensure correct installation of the cable.

Set up the cable reel at the side of the manhole or tunnel hatch opening and above the duct or hatch level, allowing the cable to enter through the opening without reverse bending. Install a flexible tube guide through the opening in a manner that prevents the cable from rubbing against the edges of structural members.

Ensure that the pulling force for a cable grip on lead-sheathed cable does not exceed the force calculated in the pulling plan for the 1,500 pounds per square inch of sheath cross-sectional area. Use a dynamometer in the pulling line to ensure that the pulling force is not exceeded. Ensure that the pulling force for a nonmetallic-sheathed cable does not exceed the smaller of 1,000 pounds or a value computed from the following equation:

$$TM = 0.036 \times N \times CM$$

Where: TM = maximum allowable pulling tension in pounds

N = number of conductors in the cable

CM = cross-sectional area of each conductor in circular mils

3.1.3.1 Allowable Sidewall Pressure

The allowable sidewall pressure is the smaller of 500 pounds per foot of bend radius or the cable manufacturer's recommended maximum value. Show in the pulling plan submittal the calculations for allowable tension and sidewall pressure as well as the anticipated tension and sidewall pressure for each pull in the project.

Unreel cable from the top of the reel, carefully controlling payout. Attach cable to be pulled through a swivel to the main pulling wire by means of a suitable cable grip permitted only on cables less than 200 feet long and less than 2 inches in diameter.

Attach pulling eyes to the cable conductors of the 3-1/C circuit to prevent damage to the cable structure. Pull the entire 3-1/C circuit simultaneously.

3.1.3.2 Minimum Bending Radius

Minimum bending radius during cable pulling operations is 30 inches. For permanent cable bending/racking the minimum bending radius is 12 times cable diameter.

3.1.3.3 Coating of Cables

Liberally coat cables with a suitable cable-pulling lubricant as it enters the tube guide or duct. Do not use greaser and oil lubricants. Cover nonmetallic sheathed cables with wire-pulling compounds, when required, which have no deleterious effects on the cable. Use rollers, sheaves or tube guides, around which the cable is pulled, conforming to the 30 inches minimum bending radius of the cable during the pulling operations.

3.1.3.4 Pulling Speed

Pull cables into ducts at a speed not to exceed 50 feet per minute and not in excess of maximum permissible pulling tension specified by the cable manufacturer. Cable pulling using a vehicle is not be permitted. Stop pulling operations immediately with any indication of binding or obstruction and do not resume until such difficulty is corrected. Provide sufficient slack for free movement of cable due to expansion or contraction.

3.1.3.5 Cable Splice Support And Sealing

Firmly support cable splices made up in manholes on cable racks as indicated. Do not pull cable splices in ducts. Overlap cable ends at the ends of a section to provide sufficient undamaged cable for splicing. Overlap cables to be spliced in manholes to the centerline of the proposed joint by not less than 2 feet.

Immediately seal cut ends of cables cut in the field to prevent entrance of moisture with heat-shrinkable molded cable end caps.

3.1.4 Splices and Terminations

Make splices in manholes or tunnels except where cable terminations are specifically indicated. Expedite splicing and terminating of cables in order to minimize exposure and cable deterioration.

Use only equipment and materials recommended by the splice manufacturer including calibrated cutting equipment to ensure consistent cut depths when preparing cable ends for the application of the splice kit. Connect the cable concentric neutral/shield wires across one side of the splice by split bundling the splice neutral wiring and connecting each bundle set to a continuous No. 4 AWG solid bare copper conductor via two compression conductors. Ensure the No. 4 AWG conductor extrudes from the cable splice jacket and connects to the manholes grounding system. Make all connections within the splice utilizing long barrel-type compression connectors and appropriate compression tools with proper size dies to ensure a satisfactory mechanical and electrical joint. Ensure bare connections of concentric neutral/shield wires are either contained within the splice kit or sealed via an additional outer covering, consisting of a heavy wall, heat-shrinkable tubing containing adhesive material (mastic) that melts as heat is applied and the outer tubing shrinks to form a moisture proof environmental seal. Provide outer tubing conforming to ANSI C119.1. Ensure splice meets the requirements of IEEE 404 for a 15 kV rating and is rated by the manufacturer for use on 15 kV class feeder cable systems. Take extra precautions to seal around the exit area of the bare copper jumpers with an additional mastic per the splice manufacturer's recommendations.

Terminate cables in approved cable terminations, rated Class 1 per IEEE 48.

Dry terminations with medium voltage pennants, preformed, and hand wrapped stress cones can be used for terminating cables. Provide terminations with adequate means for making external connections to the cable conductors of single-conductor cables (phase and concentric neutral), protecting the cable insulation against moisture, oil, or other contaminants. Take extra precautions in physically protecting and supporting cables, and maintaining the insulation level of the cable.

Ensure that installation includes built-up or prefabricated heat or cold shrink stress-relief cones at the terminals of all shielded cables and at the terminals of single-conductor lead-covered cables rated 15 kV and above, ungrounded.

Field-fabricate cable splices from splicing kits supplied by and in accordance with the cable manufacturer's recommendations for the type, size, and electrical characteristics of the cable specified. Locate cable splices in manholes midway between the cable racks on the walls of the manholes and supported with cable arms at approximately the same elevation as the enclosing duct.

If cable splices in the tunnel are not installed in cable trays, install the cable splices on cable racks or by other approved methods that minimize physical stress on the splice connections. Support splices at approximately the same elevation as the installed cable except where space limitations or existing cable length limitations make this method impractical or impossible.

Support all universal demountable splices in a manner that minimizes physical stress on the splice connections. Support each cable end termination using a pair of saddle supports under the cable end termination or cable with a minimum 12 6 inches and a maximum 30 inches separation between the supports. Secure the cable end termination and cable to the supports in a manner that prevents movement of termination or cable at the support. Install saddle supports on a galvanized steel framing channel that is anchored to the wall, securely fastened to the cable tray, or installed by other approved methods.

3.1.5 Fireproofing

Provide fireproofing (Arc Proofing) for individual cable conductor in manholes, handholes and vaults which carry current at 2200 volts or more.

Tightly wrap strips of fireproofing tape around each cable spirally in half-lapped wrapping. Extend the tape 1 inch into the ducts. To prevent unraveling, random wrap the fireproofing tape the entire length of the fireproofing with pressure-sensitive glass cloth tape.

3.1.6 Cable Tag Installation

Install cable tags in each manhole and at each termination as specified. Install cable tags over the fireproofing and position the tags so that they are clearly visible without disturbing any cabling or wiring in the manholes and equipment.

3.2 FIELD QUALITY CONTROL

After the installation of power cables has been completed, including splices, joints, and terminations, and before the cable is energized, subject each medium voltage cable to field testing in accordance with the

following requirements:

- a. Provide test equipment, labor, and trained technical personnel as necessary to perform the electrical acceptance tests.
- b. Record all tests on an approved medium voltage cable test form and submit completed forms to the Contracting Officer.
- c. Make arrangements to have tests witnessed and approved by the Contracting Officer.
- d. Isolate each power-cable installation completely from extraneous electrical connections at cable splices/terminations and joints. Observe all safety precautions.
- e. Ensure each power cable is first given an insulation resistance test using a meg-ohmmeter with a voltage output of at least 2,500-volts. Apply test for a long enough time to fully charge the cable (no less than one minute). Record readings as indicated on forms provided. The minimum reading is 5000 megohms at an ambient temperature of 68 degrees F. Correct readings taken at other than 68 degrees F ambient temperatures accordingly.
- f. Conform testing to NETA ATS, and NFPA 70B.

Upon successful completion of the insulation resistance tests, subject the cable to a direct-current high-potential test for 5 minutes applying test voltages in accordance with AEIC CS1 and IEEE 400.2 for paper-impregnated, lead-covered cable; AEIC CS8 and IEEE 400.2 for cross-linked, polyethylene-insulated cable; and AEIC CS8 and IEEE 400.2 for ethylene propylene rubber-insulated cable.

Record leakage current readings every 30 seconds during the first 2 minutes and every minute thereafter for the remainder of the test. When the leakage current continues to increase after the first minute, immediately terminate the test and take steps to find and correct the fault. When a second test becomes necessary, repeat this test procedure.

Upon satisfactory completion of the high-potential test, give the cable a second insulation resistance test as before.

Provide results of the second insulation resistance test that agree with the first test and that indicate no evidence that the cable has been permanently injured by the high-potential test.

Record test data identifying the cable and location, megohm readings versus time, leakage current readings versus time, and cable temperature versus time.

Final acceptance depends upon the satisfactory performance of the cable under test. Do not energize cable until recorded test data has been approved by the Contracting Officer.

Perform radiographic tests on all potheads at the discretion of the Contracting Officer to determine if voids exist in the pothead. Rework unacceptable terminations at no additional expense to the Government.

3.3 CLOSEOUT ACTIVITIES

Provide manufacturer's instructions showing the recommended sequence and method of installation for medium-voltage power cables.

-- End of Section --

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SECTION 26 05 19.00 10

INSULATED WIRE AND CABLE

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1202 (2006; R 2012; CORR 1 2012)
Flame-Propagation Testing of Wire and Cable

INSULATED CABLE ENGINEERS ASSOCIATION (ICEA)

ICEA S-58-679 (2014) Control, Instrumentation and
Thermocouple Extension Conductor
Identification

ICEA T-30-520 (1986) Conducting Vertical Cable Tray
Flame Tests with Theoretical Heat Input
Rate of 70,000 B.T.U./Hour

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI/NEMA WC 71/ICEA S-96-659 (2014; R 2022) Standard for Nonshielded
Cables Rated 2001-5000 Volts for use in
the Distribution of Electric Energy

NEMA WC 26 (2008) Binational Wire and Cable Packaging
Standard

NEMA WC 57 (2014) Standard for Control, Thermocouple
Extension, and Instrumentation Cables

NEMA WC 70 (2021) Power Cable Rated 2000 Volts or
Less for the Distribution of Electrical
Energy

NEMA WC 74/ICEA S-93-639 (2022) 5-46 kV Shielded Power Cable for
Use in the Transmission and Distribution
of Electric Energy

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National
Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 83 (2017; Reprint Mar 2020) UL Standard for
Safety Thermoplastic-Insulated Wires and
Cables

UL 1685	(2015) UL Standard for Safety Vertical-Tray Fire-Propagation and Smoke-Release Test for Electrical and Optical-Fiber Cables
UL 2556	(2015) UL Standard for Safety Wire and Cable Test Methods

1.2 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Cable Installation Plan; G

SD-03 Product Data

Wire and Cable; G

Conductors; G

Cable Manufacturing Data

SD-06 Test Reports

Test Report(s), Inspection Report(s), and Verification Report(s); G

Cable Installation Report; G

1.3 DELIVERY, STORAGE, AND HANDLING

Furnish cables on reels or coils. Each cable and the outside of each reel or coil, must be plainly marked or tagged to indicate the cable length, voltage rating, conductor size, and manufacturer's lot number and reel number. Each coil or reel of cable must contain only one continuous cable without splices. Cables for exclusively dc applications, as specified in paragraph "High-Voltage Test Source," must be identified as such. Shielded cables rated 2,001 volts and above must be reeled and marked in accordance with NEMA WC 26, as applicable. Reels must remain the property of the Government.

1.4 PROJECT/SITE CONDITIONS

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Wire Table

Furnish wire and cable in accordance with the requirements of the wire table below, conforming to the detailed requirements specified herein.

2.1.2 Rated Circuit Voltages

All power wire and cable must have minimum rated circuit voltages in accordance with NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable. Power wire and cable for circuit voltages rated 0-600 volts must be rated not less than 600 volts. Control wire and cable must have minimum rated circuit voltages in accordance with NEMA WC 57, but must be rated 600 volts if routed in raceway with other conductors that are rated 600 volts.

2.1.3 Conductors

2.1.3.1 Material for Conductors

Conductors must conform to all the applicable requirements of NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable. Copper conductors must be annealed copper material and they may be bare, or tin- or lead-alloy-coated, if required by the type of insulation used. "

2.1.3.2 Size

Minimum wire size must be as listed below.

- a. No. 12 AWG for power and lighting circuits
- b. No. 10 AWG for current transformer secondary circuits
- c. No. 14 AWG for potential transformer, relaying, and control circuits
- d. No. 16 AWG for annunciator circuits
- e. No. 19 AWG for alarm circuits

Minimum wire sizes for rated circuit voltages of 2,001 volts and above must not be less than those listed for the applicable voltage in ANSI/NEMA WC 71/ICEA S-96-659 or NEMA WC 74/ICEA S-93-639, as applicable.

2.1.3.3 Stranding

Conductor stranding classes cited herein must be as defined for control conductors in NEMA WC 57 or as defined for 0-2,000 volts power conductors in NEMA WC 70, as applicable. Lighting conductors No. 10 AWG and smaller must be solid or have Class B stranding. Any conductors used between stationary and moving devices, such as hinged doors or panels, must have Class H or K stranding. All other conductors must have Class B or C stranding, except that conductors as shown, or in the schedule, as No. 12 AWG may be 19 strands of No. 25 AWG, and conductors shown as No. 10 AWG may be 19 strands of No. 22 AWG. Conductor stranding classes for circuit voltages 2,001 volts and above must be as defined in ANSI/NEMA WC 71/ICEA S-96-659 and NEMA WC 74/ICEA S-93-639, as applicable.

2.1.3.4 Conductor Shielding

Use conductor shielding conforming to NEMA WC 57 for control wire and cable as applicable. Use conductor shielding conforming to ANSI/NEMA WC 71/ICEA S-96-659 or NEMA WC 74/ICEA S-93-639, as applicable, on power cables having a rated circuit voltage above 2,000 volts.

2.1.3.5 Separator Tape

Where conductor shielding, strand filling, or other special conductor treatment is not required, a separator tape between conductor and insulation is permitted.

2.1.4 Insulation

2.1.4.1 Insulation Material

Unless specified otherwise or required by NFPA 70, wires in conduit, other than service entrance, must be 600-volt, Type THWN/THHN conforming to UL 83 . Insulation for control wire and cable must meet the requirements of NEMA WC 57. Insulation requirements for wire and cable rated less than 2,000 volts must meet the requirements of NEMA WC 70. Insulation requirements for wire and cable rated 2,001-5,000 volts must meet the requirements of ANSI/NEMA WC 71/ICEA S-96-659. Insulation requirements for wire and cable rated 5,001 volts and greater must meet the requirements of NEMA WC 74/ICEA S-93-639.

For shielded cables of rated circuit voltages above 2,000 volts, the following provisions must also apply:

- a. XLPE, if used, must be tree-retardant.
- b. Insulation must be chemically bonded to conductor shielding.
- c. The insulation material and its manufacturing, handling, extrusion and vulcanizing processes must all be subject to strict procedures to prevent the inclusion of voids, contamination, or other irregularities on or in the insulation. Insulation material must be inspected for voids and contaminants.
- d. Cables with repaired insulation defects discovered during factory testing, or with splices or insulation joints, are prohibited .

2.1.4.2 Insulation Thickness

The insulation thickness for each conductor must be based on its rated circuit voltage.

2.1.4.2.1 Power Cables, 2,000 Volts and Below

The insulation thickness for single-conductor and multiple-conductor power cables rated 2,000 volts and below must be as required by NEMA WC 70, as applicable. Some thicknesses of NEMA WC 70 will be permitted only for single conductor cross-linked thermosetting polyethylene insulated cables without a jacket. NEMA WC 70 ethylene-propylene rubber-insulated conductors must have a jacket.

2.1.4.2.2 Power Cables, Rated 2,001 Volts and Above

Thickness of insulation for power cables rated 2,001 volts and above must be in accordance with the following

- a. Non-shielded cables, 2,001 to 5,000 volts, must comply with ANSI/NEMA WC 71/ICEA S-96-659, as applicable.

- b. Shielded cables rated 5,000 volts to 46,000 volts must comply with NEMA WC 74/ICEA S-93-639, as applicable.

2.1.4.2.3 Single-Conductor and Multiple-Conductor Control Cables

The insulation thickness of control conductor sizes 22 AWG to 10 AWG used for control and related purposes must be as required by NEMA WC 57, as applicable. Control conductors larger than 10 AWG must be as required by NEMA WC 70.

2.1.4.3 Insulation Shielding

Unless otherwise specified, provide insulation shielding for conductors having rated circuit voltages of 2,001 volts and above. The voltage limits above which insulation shielding is required, and the material requirements, are given in ANSI/NEMA WC 71/ICEA S-96-659 or NEMA WC 74/ICEA S-93-639, as applicable. The material, if thermosetting, must meet the wafer boil test requirements as described in ANSI/NEMA WC 71/ICEA S-96-659 or NEMA WC 74/ICEA S-93-639, as applicable. The method of shielding must be in accordance with the current practice of the industry; however, the application process must include strict precautions to prevent voids or contamination between the insulation and the nonmetallic component. Voids, protrusions, and indentations of the shield must not exceed the maximum allowances specified in ANSI/NEMA WC 71/ICEA S-96-659 or NEMA WC 74/ICEA S-93-639, as applicable. The cable must be capable of operating without damage or excessive temperature when the shield is grounded at both ends of each conductor. All components of the shielding system must remain tightly applied to the components they enclose after handling and installation in accordance with the manufacturer's recommendations. Shielding systems which require heat to remove are prohibited unless specifically approved.

2.1.5 Jackets

All cables must have jackets meeting the requirements of NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, and NEMA WC 74/ICEA S-93-639, as applicable, and as specified herein. Individual conductors of multiple-conductor cables must be required to have jackets only if they are necessary for the conductor to meet other specifications herein. Jackets of single-conductor cables and of individual conductors of multiple-conductor cables, except for shielded cables, must be in direct contact and adhere or be vulcanized to the conductor insulation. Multiple-conductor cables and shielded single-conductor cables must be provided with a common overall jacket, which must be tightly and concentrically formed around the core. Repaired jacket defects found and corrected during manufacturing are permitted if the cable, including jacket, afterward fully meets these specifications and the requirements of the applicable standards.

2.1.5.1 Jacket Material

The jacket must be one of the materials listed below. Polyvinyl chloride compounds will not be permitted. Variations from the materials required below will be permitted only if approved for each specific use, upon submittal of sufficient data to prove that they exceed all specified requirements for the particular application.

2.1.5.1.1 General Use

Heavy-duty black neoprene	NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639
Heavy-duty chlorosulfonated polyethylene	NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639
Heavy-duty cross-linked (thermoset) chlorinated polyethylene	NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639

2.1.5.1.2 Accessible Use Only, 2,000 Volts or Less

Cables installed where they are entirely accessible, such as cable trays and raceways with removable covers, or where they pass through less than 10 feet of exposed conduit only, must have jackets of one of the materials in item "a. General Use" or one of the following:

General-purpose neoprene	NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639
Black polyethylene (MDPE)	NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639
Thermoplastic chlorinated polyethylene	NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639

2.1.5.2 Jacket Thickness

The minimum thickness of the jackets must be not less than 80 percent of the respective nominal thicknesses specified below.

2.1.5.2.1 Multiple-Conductor Cables

Thickness of the jackets of the individual conductors of multiple-conductor cables must be as required by NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable and must be in addition to the conductor insulation thickness required by the applicable respective NEMA publication for the insulation used. Thickness of the outer jackets and associated coverings of the assembled multiple-conductor cables must be as required by NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable.

2.1.5.2.2 Single-Conductor Cables

Single-conductor cables must have a jacket thickness as specified in

NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable.

2.1.6 Metal-Clad Cable

2.1.6.1 General

The metallic covering or sheath must be continuous corrugated metal, conforming to the applicable requirements of NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639. The type of metal for the metallic covering must be copper alloy. If the covering is of ferrous metal, it must be galvanized. Grounding conductor(s) conforming to NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable must be furnished for each multiple-conductor metal-clad cable. Assembly and cabling must be as specified in paragraph "Cabling." The metallic covering must be applied over an inner jacket or filler tape. The cable must be assembled so that the metallic covering will be tightly bound over a firm core.

2.1.6.2 Jackets

Metal-clad cables may have a jacket under the armor, and must have a jacket over the armor. Jackets must comply with the requirements of NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable. The outer jacket for the metal-clad cable may be of polyvinyl chloride (PVC) only if specifically approved.

2.1.7 Multiple-Conductor Cables

Grounding conductor(s) conforming to NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable must be furnished for each multiple-conductor cable. Assembly and cabling must be as specified in paragraph CABLING.

2.2 CABLE IDENTIFICATION

2.2.1 Color-Coding

Insulation of individual conductors of multiple-conductor cables must be color-coded in accordance with ICEA S-58-679, except that colored braids will not be permitted. Only one color-code method must be used for each cable construction type. Control cable color-coding must be in accordance with ICEA S-58-679, Method as indicated as follows: . Power cable color-coding must be as follows:

a. 208/120 volt, three-phase

- (1) Phase A - black
- (2) Phase B - red
- (3) Phase C - blue
- (4) Grounded neutral - white
- (5) Insulated grounding conductor - green

b. 480/277 volt, three-phase

- (1) Phase A - brown
- (2) Phase B - orange
- (3) Phase C - yellow

c. 240/120 volt, single phase: Black and red

Other individual conductors must be color-coded as indicated, but such color-coding may be accomplished by applying colored plastic tapes or colored sleeves at terminations.

2.2.2 Shielded Cables Rated 2,001 Volts and Above

Marking must be in accordance with ANSI/NEMA WC 71/ICEA S-96-659 or NEMA WC 74/ICEA S-93-639, as applicable.

2.2.3 Cabling

Individual conductors of multiple-conductor cables must be assembled with flame-and moisture-resistant fillers, binders, and a lay conforming to NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639. Flat twin cables are prohibited. Fillers must be used in the interstices of multiple-conductor round cables with a common covering where necessary to give the completed cable a substantially circular cross section. Fillers must be non-hygroscopic material, compatible with the cable insulation, jacket, and other components of the cable. The rubber-filled or other approved type of binding tape must consist of a material that is compatible with the other components of the cable and must be lapped at least 10 percent of its width.

2.2.4 Dimensional Tolerance

The outside diameters of single-conductor cables and of multiple-conductor cables must not vary more than 5 percent and 10 percent, respectively, from the manufacturer's published catalog data.

PART 3 EXECUTION

3.1 INSTALLATION INSTRUCTIONS

Submit cable manufacturing data. The following information must be provided by the cable manufacturer for each size, conductor quantity, and type of cable furnished:

- a. Minimum bending radius, in inches - For multiple-conductor cables, this information must be provided for both the individual conductors and the multiple-conductor cable.
- b. Pulling tension and sidewall pressure limits, in pounds.
- c. Instructions for stripping semiconducting insulation shields, if furnished, with minimum effort without damaging the insulation.
- d. Upon request, compatibility of cable materials and construction with specific materials and hardware manufactured by others must be stated. Also, if requested, recommendations must be provided for

various cable operations, including installing, splicing, terminating, etc.

3.2 CABLE INSTALLATION PLAN

Provide a cable installation plan which includes the following for each raceway. Exclude raceways with less than 45 degrees of total bending or shorter than 20 feet.

a. Raceway name

b. Circuit names with wire sizes and quantities

c. Maximum tolerable pulling tension

d. Maximum tolerable sidewall pressure

e. Estimated maximum pulling tension

f. Estimated maximum sidewall pressure

g. Jam ratio

h. Lubricant and coefficient of friction

i. Pulling device(s)

j. Direction of pull

3.3 TEST REPORT(S), INSPECTION REPORT(S), AND VERIFICATION REPORT(S)

3.3.1 Cable Data

Do not begin any wire and cable fabrication until materials are submitted and approved by the Contracting Officer. Submit cable data for approval including, but not limited to, dimensioned sketches showing cable construction and sufficient additional data to show that wire and cable meet the requirements of this Section.

3.3.2 Inspection and Tests

Inspection and tests of wire and cable furnished under these specifications must be made by and at the plant of the manufacturer, and must be witnessed by the Contracting Officer, unless waived in writing. The Government may require or perform further tests before or after installation. Testing in general must comply with NEMA WC 57, NEMA WC 70, ANSI/NEMA WC 71/ICEA S-96-659, or NEMA WC 74/ICEA S-93-639 as applicable. Specific tests required for particular materials, components, and completed cables must be as specified in the sections of the above standards applicable to those materials, components, and cable types. Tests must also be performed in accordance with the additional requirements specified below. Submit 2 certified copies of test reports.

3.3.2.1 High-Voltage Test Source

Where the applicable standards allow a choice, high-voltage tests for cables to be used exclusively on dc circuits must be made with dc test voltages. Cables to be used exclusively on ac circuits must be tested with ac test voltages. If both ac and dc will be present, on either the

same or separate conductors of the cable, ac test voltages must be used.

3.3.2.2 Shielded Cables Rated 2,001 Volts or Greater

The following test(s) must be performed in addition to those specified above:

- a. If high-voltage testing is done with an AC test voltage as specified in paragraph "High-Voltage Test Source," an additional test must be made using a DC test voltage rated at 75 percent of the specified full DC test voltage, for 5 consecutive minutes.
- b. If voltage tests after installation are required for 5-65kV shielded power cables then testing must be done in accordance with NEMA WC 74/ICEA S-93-639, Appendix F.

3.3.2.3 Flame Tests

All cable assemblies must pass either the vertical cable tray flame tests required by ICEA T-30-520 (stated in, but not required by NEMA WC 70); the vertical tray flame propagation test requirements of UL 1685 Method 1; the vertical tray flame propagation test requirements of UL 1685 FT4 and IEEE 1202; the wire and cable burning characteristics test of the UL 2556 VW-1 Test; or (for control cables only) the flame test as required by NEMA WC 57. If such tests, however, have previously been made on identical cables, these tests need not be repeated. Instead, certified reports of the original qualifying tests must be submitted. In this case the reports furnished under paragraph "Reports," must include information, identify critical information, and verify that all of each cable's materials, construction, and dimensions are the same as those in the qualifying tests.

3.3.2.4 Cable Installation Report

After installation, provide a report of each cable identified in the cable installation plan. Include the maximum applied pulling tension of each cable. Identify all cables with pulling tensions which exceeded 90% of the limit in the approved cable installation plan.

3.3.2.5 Independent Tests

The Government may make visual inspections, continuity or resistance checks, insulation resistance readings, power factor tests, or dc high potential tests at field test values. A cable's failure to pass these tests and inspections, or failure to produce readings consistent with acceptable values for the application, will be grounds for rejection of the cable.

3.3.2.6 Reports

Furnish results of tests. No wire or cable must be shipped until authorized. Lot number and reel or coil number of wire and cable tested must be indicated on the test reports.

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SECTION 26 05 73

POWER SYSTEM STUDIES

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 1584	(2018; E 2019) Guide for Performing Arc-Flash Hazard Calculations
IEEE 1584.1	(2022) Guide for the Specification of Scope and Deliverable Requirements for an Arc-Flash Hazard Calculation Study
IEEE 3002.2	(2018) Recommended Practice for Conducting Load-Flow Studies and Analysis of Industrial and Commercial Power Systems
IEEE 3002.3	(2018) Recommended Practice for Conducting Short-Circuit Studies and Analysis of Industrial and Commercial Power Systems

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70E	(2024) Standard for Electrical Safety in the Workplace

U.S. DEPARTMENT OF DEFENSE (DOD)

UFC 3-560-01	(2017; with Change 3, 2023) Operation and Maintenance: Electrical Safety

1.2 SYSTEM DESCRIPTION

The power system covered by this specification consists of: a new 800 ampere, 208Y/120V, 3Ø, 4W electrical service to be installed in the building. A new 800 ampere MDP will be installed to feed new sub-panels located throughout the building..

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Field Examination Plan; G

Arc Flash Label Formats; G

SD-06 Test Reports

Field Examination

SD-07 Certificates

System Analyzer; G

SD-11 Closeout Submittals

Model Files; G

Load Flow Study; G

Fault Current Study; G

System Coordination Study; G

Arc Flash Hazard Study; G

1.4 QUALITY ASSURANCE

1.4.1 System Analyzer

The System Analyzer must perform the power system studies. The System Analyzer must be a registered professional electrical engineer with a minimum of 3 years of experience with power system studies. Include a list of three comparable jobs performed by the System Analyzer with specific names and telephone numbers for reference. Include the license number and state of the registered Professional Engineer.

1.4.2 System Verifier

The System Verifier may inspect and record settings, markings, and otherwise document the existing equipment. The System Verifier may also place appropriate hazard labels on equipment. The System Verifier must not make any calibrations or adjustments or place equipment into service. When working with energized equipment, the system verifier must be a Qualified Person per NFPA 70E or working under the direct supervision of a Qualified Person. The System Verifier may communicate with the system owner to determine if the equipment within the studied system has received maintenance and testing in accordance with NETA MTS or NFPA 70B.

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

3.1 FIELD EXAMINATION

For each pre- and post-study inspection, submit a field examination plan identifying which facilities must be examined to complete the required work. Include a complete information verification procedure, where Qualified Personnel will be required, PPE requirements for "live" equipment examinations, and equipment to be used within the limited approach boundary of the equipment.

3.1.1 General

Perform field inspections of the site and equipment before the first study and after the last study to determine the state and settings of the equipment and to verify the final settings agree with the studies. Perform the post-study inspection(s) after changing settings, after performing acceptance tests, and before placing equipment in service.

Schedule the field examination by the System Verifier with the Contracting Officer at least business days before conducting examination. Furnish all materials, labor, and equipment necessary to conduct the examination. Maintain a written record of the all equipment examined, equipment location, equipment ratings, settings, personnel involved, and the date the examination was performed. Submit the written record of each field examination.

3.1.2 Safety

Where examination of the equipment requires energized equipment to be opened, provide a Qualified Person to directly supervising any non-Qualified Person within the hazard area. Use PPE, protective barriers, danger signs and other safety devices to protect and warn personnel in the vicinity of "live" equipment being examined.

3.1.3 Application of Arc Flash Labels

Install arc flash warning labels using Qualified Personnel as necessary after the setting and inspection is complete. For new or modified equipment, install labels before the equipment is energized for the first time after installation/modification or setting changes. Schedule the label placement with the Contracting Officer at least 5 business days before label placement. Furnish all materials, labor, and equipment necessary to place the labels. Maintain a written record of the all equipment that received labels, personnel involved, and the date labels were placed.

3.2 POWER SYSTEM STUDIES

Perform power system studies to demonstrate that the equipment selected and system constructed meet the contract requirements for fault current and interrupt ratings, coordination, protection, and Arc Flash Hazard. Submit reports of the studies along with protective device equipment submittals. Apply Arc Flash Hazard labels to equipment after the studies are approved. Update and resubmit the studies after any changes to the equipment or systems which may affect the study results, and re-apply Arc Flash Hazard labels to equipment after the resubmitted studies are approved. The Government is not responsible for any changes to equipment, device ratings, settings, or additional labor for installation of equipment or devices or labels ordered and/or procured before approval of the study.

3.2.1 Scope of Studies

The scope of the studies must begin at the utility service entrance of a building and extend down to load buses/panels where the fault current is 2,000 amperes or less (symmetrical) for distribution buses nominally operating at 208 volt AC and above.

The "source bus" is the source of energy for system being analyzed. This may be the energy feed from a utility, the first bus upstream of the work, generators within the work or upstream of the work, or any other source capable of contributing significant energy into the system being analyzed.

In the systems model for the studies, incorporate all existing and new equipment within the scope of the studies. Incorporate any additional sources or load equipment necessary to accurately model the system's performance.

Where multiple sources of power may be in service in various combinations or where electrical loops are in the system, incorporate into the studies all the modes of operation to evaluate the impact of the modes of operation on the system. The primary modes of operation are listed below. 1. Normal 2. Generator Standby

3.2.2 Determination of Facts

Determine and document the time-current characteristics, features, ratings, ampacities, and nameplate data for each existing protective device, electrical equipment, and feeder cables.

Utilize field-verified data in the power system studies. Document the field-verified data in the report.

3.2.3 Single Line Diagram

Provide a single line diagram showing the electrical system buses, devices, transformation points, and all sources of load current and fault current, including generator and motor contributions. Provide a diagram from the system model. Each bus, device or transformation point must have a unique identifier. Show the location of switches, breakers, and circuit interrupting devices on the diagram together with available fault data, and the device interrupting rating.

The naming of existing components within the system model and single line diagram must match existing installed equipment names. The naming of new components within the system model and single line diagram must use unique identifiers and be coordinated with the Government.

3.2.4 Load Flow Study

Perform the load flow study in accordance with IEEE 3002.2 to identify initial steady-state conditions for the fault current study. For each operating scenario, provide load flow results on the diagram or in the report. Show or describe the loading factors and assumptions used in each operating mode.

3.2.5 Fault Current Study

Use the results of the load flow study to perform the fault current study in accordance with IEEE 3002.3. Provide balanced three-phase fault, bolted line-to-line fault, and single line-to-ground fault current values at each voltage transformation point and at each power distribution bus. For each location, show in tabular form on the diagram or in the report the maximum and minimum available fault currents of all modes of operation for that location.

Where the available fault current at the source bus is not available, describe how the fault contribution from the source bus was determined and why this method is reasonable for the study.

3.2.6 System Coordination Study

Use the results of the load flow study and fault current study. For normal modes of operation, demonstrate that selectivity has been obtained between the devices within the scope of the project. Demonstrate the equipment, machines, and conductors are protected from damage from overloads and fault conditions. Include a description of the coordination of the protective devices in this project. Provide a written narrative describing which devices may operate in the event of a fault at each bus; the logic used to arrive at device ratings and settings; situations where system coordination is not achievable due to device limitations; coordination between upstream and downstream devices; and relay settings. Provide recommendations to improve or enhance system reliability, and detail where such changes would involve additions or modifications to the contract and cost damages (addition or reduction). Provide composite coordination plots on a log-log scale. Where recommendations are provided to improve or enhance system reliability, provide separate coordination plots on log-log scale showing the system coordination before and after the implementation of the recommendations. Where coordination has been adjusted to lower arc flash values, include a discussion of the change and the impact on the system within the report.

3.2.7 Arc Flash Hazard Study

Perform the arc flash hazard study in accordance with IEEE 1584.1. Utilize the data from the fault current study to determine the worst case incident energy per IEEE 1584 and OSHA 29 CFR 1910.269 Appendix E. Use identified modes of operation to determine the worst case arc flash energy. If not included in another study, include a description of the devices and device settings for the operating modes that provided the highest arc flash energy. Where the arc flash energies exceed 4 cal/cm^2 provide recommendations to reduce the arc flash energy to 1.2 cal/cm^2 .

Where the AC system voltage is 208 volts or less with an available short-circuit current less than 2000 A, an arc flash hazard study is not required.

3.2.8 Study report(s)

- a. Include a narrative describing the studies performed; the bases and methods used; and the desired method of coordinated protection of the power system.
- b. Include descriptive and technical data for existing devices and new protective devices proposed. Include manufacturers published data, nameplate data, and definition of the fixed or adjustable features of the existing or new protective devices. For existing devices, included statements on the condition of the equipment based upon field inspections and owner's statements and reports.
- c. Document utility company data including system voltages, fault MVA, system X/R ratio, time-current characteristic curves, current transformer ratios, and relay device numbers and settings; generator resistance and reactance values, and time constants; and existing

power system data including time-current characteristic curves and protective device ratings and settings. Identify all assumptions about the protection devices, equipment, and system where data was not available.

- d. For each bus in the system, provide fully coordinated composite time-current characteristics (TCC) curves as required to ensure coordinated power system protection between protective devices and equipment. In a tabular format, provide recommended ratings and settings of all protective devices.
 - e. Provide an arc flash study report in accordance with IEEE 1584.1.
 - f. Provide the calculations performed for the studies, including computer programs utilized. Provide the name of the software package, developer, and version number.
- 3.2.9 Arc Flash Labels

Provide arc flash warning labels on electrical equipment likely to require examination, servicing, or maintenance while energized. Typical types of equipment include pad-mounted transformers, switchgear, switchboards, panelboards, disconnect switches, industrial control panels, meter socket enclosures, and motor control centers that are in other than dwelling occupancies. The arc flash label naming must match the naming used in the system modeling and the single line diagram.

Comply with the label requirements specified in UFC 3-560-01. Obtain approval of arc flash label formats before printing.

- a. Provide a 3.5 inch x 5 inch to 4 inch x 6 inch thermal transfer type label of high adhesion polyester for each location device analyzed. The label must remain in place and be legible for at least 5 years in the installed environment.
- b. Labels must be machine printed with no field markings. Provide arc flash labels in the following manner. All labels must be based on implemented overcurrent devices and settings.
 - 1. Provide at least one arc flash label for each 480 volt and 208 volt panelboards.
 - 2. Provide at least one arc flash label for each low voltage (less than 1000 V) switchboard.
 - 3. Where the equipment construction has different hazards levels in different areas, provide a label for each compartment, cubicle, or section separated by barriers
- c. Use the worst case hazard of all operating scenarios unless mitigation procedures are used. If mitigation procedures are used, explain the procedures on the label.

3.3 MODELING

Develop a software model of the electrical system identified in the scope of the studies. Use the latest version of SKM PowerTools(TM)EasyPower(TM) ETAP(TM). Develop the model with accurate, verified information. Model existing electrical equipment, machines, devices, and conductors directly connected to, altered by, or otherwise affected by the work. This

includes, but is not limited to generators, transformers, switchgear, switchboards, panelboards, motor control centers, motors, voltage regulators, tap changers, protective relays, circuit breakers, switches, fuses, conductors, capacitors, reactors, grounding system, and control equipment.

Provide the final model files in their native editable formats for future use by the Government. Include device information for devices not in the software vendor's standard distribution.

-- End of Section --

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SECTION 26 12 19

PAD-MOUNTED, LIQUID-FILLED, MEDIUM-VOLTAGE TRANSFORMERS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 318 (2019; R 2022) Building Code Requirements for Structural Concrete (ACI 318-19) and Commentary (ACI 318R-19)

ACI 318M (2019; Errata 2022) Building Code Requirements for Structural Concrete & Commentary

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1 (2014; Errata 2016) Electric Meters - Code for Electricity Metering

ASTM INTERNATIONAL (ASTM)

ASTM A240/A240M (2023a) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications

ASTM C260/C260M (2010a; R 2016) Standard Specification for Air-Entraining Admixtures for Concrete

ASTM D92 (2012a) Standard Test Method for Flash and Fire Points by Cleveland Open Cup Tester

ASTM D97 (2017b) Standard Test Method for Pour Point of Petroleum Products

ASTM D117 (2018) Standard Guide for Sampling, Test Methods, and Specifications for Electrical Insulating Liquids

ASTM D877/D877M (2019) Standard Test Method for Dielectric Breakdown Voltage of Insulating Liquids Using Disk Electrodes

ASTM D1535 (2014; R 2018) Standard Practice for Specifying Color by the Munsell System

ASTM D3487 (2016; E2017) Standard Specification for Mineral Insulating Oil Used in Electrical Apparatus

FM GLOBAL (FM)

FM APP GUIDE

(updated on-line) Approval Guide
<http://www.approvalguide.com/>

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 386	(2016) Separable Insulated Connector Systems for Power Distribution Systems Rated 2.5 kV through 35 kV
IEEE C2	(2023) National Electrical Safety Code
IEEE C37.47	(2011) Standard for High Voltage Distribution Class Current-Limiting Type Fuses and Fuse Disconnecting Switches
IEEE C57.12.00	(2021) General Requirements for Liquid-Immersed Distribution, Power, and Regulating Transformers
IEEE C57.12.28	(2014) Standard for Pad-Mounted Equipment - Enclosure Integrity
IEEE C57.12.29	(2014) Standard for Pad-Mounted Equipment - Enclosure Integrity for Coastal Environments
IEEE C57.12.34	(2022) Standard Requirements for Pad-Mounted, Compartmental-Type, Self-Cooled, Three-Phase Distribution Transformers, 10 MVA and Smaller; High Voltage, 34.5 kV Nominal System Voltage and Below; Low Voltage, 15 kV Nominal System Voltage and Below
IEEE C57.12.80	(2010) Standard Terminology for Power and Distribution Transformers
IEEE C57.12.90	(2021) Test Code for Liquid-Immersed Distribution, Power, and Regulating Transformers
IEEE C57.13	(2016) Standard Requirements for Instrument Transformers
IEEE C57.98	(2011) Guide for Transformer Impulse Tests
IEEE C62.11	(2020) Standard for Metal-Oxide Surge Arresters for Alternating Current Power Circuits (>1kV)
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS

(2021) Standard for Acceptance Testing

Specifications for Electrical Power
Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

- ANSI C12.7 (2022) Requirements for Watthour Meter
Sockets
- NEMA 260 (1996; R 2004) Safety Labels for
Pad-Mounted Switchgear and Transformers
Sited in Public Areas
- NEMA Z535.4 (2023) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National
Electrical Code

ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT (OECD)

- OECD Test 203 (1992) Fish Acute Toxicity Test

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

- EPA 712-C-98-075 (1998) Fate, Transport and Transformation
Test Guidelines - OPPTS 835.3100- "Aerobic
Aquatic Biodegradation"
- EPA 821-R-02-012 (2002) Methods for Measuring the Acute
Toxicity of Effluents and Receiving Waters
to Freshwater and Marine Organisms

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

- 10 CFR 431 Energy Efficiency Program for Certain
Commercial and Industrial Equipment

UNDERWRITERS LABORATORIES (UL)

- UL 467 (2022) UL Standard for Safety Grounding
and Bonding Equipment

1.2 RELATED REQUIREMENTS

Section 26 08 00 APPARATUS INSPECTION AND TESTING applies to this section,
with the additions and modifications specified herein.

1.3 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms
used in these specifications, and on the drawings, are as defined in
IEEE Stds Dictionary.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S"
classification. Submittals not having a "G" or "S" classification are for
Contractor Quality Control approval. Submit the following in accordance

with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Pad-mounted Transformer Drawings; G

SD-03 Product Data

Pad-mounted Transformers; G

SD-06 Test Reports

Acceptance Checks and Tests; G

SD-07 Certificates

Transformer Efficiencies; G

SD-09 Manufacturer's Field Reports

Transformer Test Schedule; G

Pad-mounted Transformer Design Tests; G

Pad-mounted Transformer Routine and Other Tests; G

SD-10 Operation and Maintenance Data

Transformer(s), Data Package 5; G

1.4.1 Government Submittal Review

1.4.2 Reduced Submittal Requirements

Transformers designed and manufactured by ABB/Hitachi Energy in Jefferson City, MO; by Eaton's Cooper Power Series Transformers in Waukesha, WI; by ERMCO in Dyersburg, TN; or by Howard Industries in Laurel, MS need not submit the entire submittal package requirements of this contract. Instead, submit the following items:

- a. A certification, signed by the manufacturer, stating that the manufacturer will meet the technical requirements of this specification.
- b. An outline drawing of the transformer with devices identified (paragraph PAD-MOUNTED TRANSFORMER DRAWINGS, item a).
- c. ANSI nameplate data of the transformer (paragraph PAD-MOUNTED TRANSFORMER DRAWINGS, item b).
- d. Manufacturer's published time-current curves in PDF format and in electronic format suitable for import or updating into the EasyPower or SKM PowerTools for Windows or owner-approved computer program of the transformer high side fuses (paragraph PAD-MOUNTED TRANSFORMER DRAWINGS, item e).
- e. Provide transformer test schedule and routine and other tests required by submittal item "SD-09 Manufacturer's Field Reports".

- f. Provide acceptance test reports required by submittal item "SD-06 Test Reports".
- g. Provide operation and maintenance manuals required by submittal item "SD-10 Operation and Maintenance Data".

1.5 QUALITY ASSURANCE

1.5.1 Pad-Mounted Transformer Drawings

Include the following as a minimum:

- a. An outline drawing, including front, top, and side views.
- b. IEEE nameplate data.
- c. Elementary diagrams and wiring diagrams with terminals identified of watt-hour meter and current transformers.
- d. One-line diagram, including switch(es), current transformers, meters, and fuses.
- e. Manufacturer's published time-current curves in PDF format and in electronic format suitable for import or updating into the EasyPower or SKM PowerTools for Windows or an owner-approved computer program of the transformer high side fuses.

1.5.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, except of NFPA 70 when more stringent requirements are specified or indicated, as though the word "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated.

1.5.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship, and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.
- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable

if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.6 MAINTENANCE

1.6.1 Additions to Operation and Maintenance Data

Submit operation and maintenance data in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA and as specified herein. In addition to requirements of Data Package 5, include the following on the actual transformer(s) provided:

- a. An instruction manual with pertinent items and information highlighted
- b. An outline drawing, front, top, and side views
- c. Prices for spare parts and supply list
- d. Routine and field acceptance test reports
- e. Fuse curves for primary fuses
- f. Information on watthour demand meter, CT's, and fuse block
- g. Actual nameplate diagram
- h. Date of purchase

PART 2 PRODUCTS

2.1 PRODUCT COORDINATION

Products and materials not considered to be pad-mounted transformers and related accessories are specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM, and Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

2.2 THREE-PHASE PAD-MOUNTED TRANSFORMERS

IEEE C57.12.34, IEEE C57.12.28 and as specified herein. Submit manufacturer's information for each component, device, insulating fluid, and accessory provided with the transformer.

2.2.1 Compartments

Provide high- and low-voltage compartments separated by steel isolating barriers extending the full height and depth of the compartments. Compartment doors: hinged lift-off type with stop in open position and three-point latching.

2.2.1.1 High Voltage, Dead-Front

High-voltage compartment contains: the incoming line, insulated

high-voltage load-break and dead-break connectors, bushing well inserts, feed-thru inserts, six high-voltage one-piece bushings configured for loop feed application, load-break switch handle(s), access to oil-immersed bayonet fuses, dead-front surge arresters, tap changer handle, connector parking stands with insulated standoff bushings, protective caps, and ground pad.

Minimum high-voltage compartment dimensions: IEEE C57.12.34, Figures 16 and 17.

- a. Insulated high-voltage load-break connectors: IEEE 386, rated 25 kV, 125 kV BIL. Current rating: 200 amperes rms continuous. Short time rating: 10,000 amperes rms symmetrical for a time duration of 0.17 seconds. Connector must have a steel reinforced hook-stick eye, grounding eye, test point, and arc-quenching contact material.
- b. Insulated high-voltage dead-break connectors: IEEE 386, rated 25 kV, 125 kV BIL. Current rating: 600 amperes rms continuous. Short time rating: 25,000 amperes rms symmetrical for a time duration of 0.17 seconds. Connector must have a 200 ampere bushing interface for surge arresters, steel reinforced hook-stick eye, grounding eye, test point, and arc-quenching contact material.
- c. Bushing well inserts and feed-thru inserts: IEEE 386, 200 amperes, 15 kV Class. Provide a bushing well insert for each bushing well unless indicated otherwise. Provide feed-thru inserts as indicated.
- d. One-piece bushings: IEEE 386, 200 or 600 amperes, 15 or 25 or 35 kV Class.
- e. Load-break switch

Radial-feed two-position oil-immersed type rated at 15 kV, 95 kV BIL or 25 kV, 125 kV BIL or 35 kV, 150 kV BIL, with a continuous current rating and load-break rating of 200 or 300 amperes, and a make-and-latch rating of 12,000 rms amperes symmetrical. Locate the switch handle in the high-voltage compartment.

Loop feed sectionalizer switches: Provide three, two-position, oil-immersed type switches to permit closed transition loop feed and sectionalizing. Each switch must be rated at 15 kV, 95 kV BIL or 25 kV, 125 kV BIL or 35 kV, 150 kV BIL, with a continuous current rating and load-break rating of 200 or 300 amperes, and a make-and-latch rating of 12,000 rms amperes symmetrical. Locate the switch handles in the high-voltage compartment. Operation of switches must be as follows:

ARRANGEMENT NO.	DESCRIPTION OF SWITCH ARRANGEMENT	SWITCH POSITION					
		LINE A SW.		LINE B SW		XFMR. SW	
		OPEN	CLOSE	OPEN	CLOSE	OPEN	CLOSE
1	Line A connected to Line B and both lines connected to transformer		X		X		X

ARRANGEMENT NO.	DESCRIPTION OF SWITCH ARRANGEMENT	SWITCH POSITION					
		LINE A SW.		LINE B SW		XFMR. SW	
		OPEN	CLOSE	OPEN	CLOSE	OPEN	CLOSE
2	Transformer connected to Line A only		X	X			X
3	Transformer connected to Line B only	X			X		X
4	Transformer open and loop closed		X		X	X	
5	Transformer open and loop open	X		X		X	

- f. Provide bayonet oil-immersed, expulsion fuses in series with oil-immersed, partial-range, current-limiting fuses. The bayonet fuse links sense both high currents and high oil temperature in order to provide thermal protection to the transformer. Coordinate transformer protection with expulsion fuse clearing low-current faults and current-limiting fuse clearing high-current faults beyond the interrupting rating of the expulsion fuse. Include an oil retention valve inside the bayonet assembly housing, which closes when the fuse holder is removed, and an external drip shield to minimize oil spills. Display a warning label adjacent to the bayonet fuse(s) cautioning against removing or inserting fuses unless the transformer has been de-energized and the tank pressure has been released.

Bayonet fuse assembly: 150 kV BIL.

Oil-immersed current-limiting fuses: IEEE C37.47; 50,000 rms amperes symmetrical interrupting rating at the system voltage specified. Connect current-limiting fuses ahead of the radial-feed load-break switch.

- g. Surge arresters: IEEE C62.11, rated (one of these) 12 kV, fully shielded, dead-front, metal-oxide-varistor, elbow type with resistance-graded gap. Provide six arresters for loop feed circuits.
- h. Parking stands: Provide a parking stand near each bushing. Provide insulated standoff bushings for parking of energized high-voltage connectors on parking stands.
- i. Protective caps: IEEE 386, 200 or 600 amperes, 15 or 25 or 35 kV Class. Provide insulated protective caps (not shipping caps) for insulating and sealing out moisture from unused bushings.

2.2.1.2 Low Voltage

Low-voltage compartment contains: low-voltage bushings with NEMA spade terminals, accessories, metering, stainless steel or laser-etched anodized aluminum diagrammatic transformer nameplate, and ground pad.

- a. Include the following accessories: drain valve with sampler device, fill plug, pressure relief device, liquid level gage, pressure-vacuum gage, and dial type thermometer with maximum temperature indicator.
- (8) Socket: ANSI C12.7. Provide NEMA Type 3R, box-mounted socket having automatic circuit-closing bypass and having jaws compatible with requirements of the meter. Cover unused hub openings with blank hub plates. Paint box Munsell 7GY3.29/1.5 green to match the pad-mounted transformer to which the box-mounted socket is attached. The Munsell color notation is specified in ASTM D1535.
- (9) Current transformers: IEEE C57.13. Provide butyl-molded window type current transformers with 600-volt insulation, 10 kV BIL and mount on the low-voltage bushings. Route current transformer leads in a location as remote as possible from the power transformer secondary cables to permit current measurements to be taken with hook-on-ammeters. Provide three current transformers per power transformer with characteristics listed in the following table.

kVA	Sec. Volt	CT Ratio	RF	Meter Acc. Class
500	208Y/120	1200/5	1.5	0.3 thru B-0.5
750	480Y/277	800/5	2.0	0.3 thru B-0.5

2.2.2 Transformer

- a. Less-flammable liquid-insulated or oil-insulated, two winding, 60 hertz, 65 degrees C rise above a 30 degrees C average ambient, self-cooled type.
- b. Transformer rated kVA.
- c. Transformer voltage ratings: V Delta - V GrdY. For GrdY - GrdY transformers, provide transformer with five-legged core design for third harmonic suppression.
- d. Tap changer: externally operated, manual type for changing tap setting when the transformer is de-energized. Provide four 2.5 percent full capacity taps, two above and two below rated primary voltage. Indicate which tap setting is in use, clearly visible when the compartment is opened.
- e. Minimum tested percent impedance at 85 degrees C:
- 2.50 for units rated 75kVA and below
 - 2.87 for units rated 112.5kVA to 300kVA
 - 4.03 for 500kVA rated units
 - 5.32 for units rated 750kVA and above
- f. Comply with the following audible sound level limits:

KVA	DECIBELS (MAX)
75	51
112.5	55
150	55
225	55
300	55
500	56
750	57
1000	58
1500	60
2000	61
2500	62

g. Include:

- (1) Lifting lugs and provisions for jacking under base, with base construction suitable for using rollers or skidding in any direction.
- (2) An insulated low-voltage neutral bushing with NEMA spade terminal, and with removable ground strap.
- (3) Provide transformer top with an access handhole.
- (4) kVA rating conspicuously displayed using 3 inch high yellow letters on its enclosure.

2.2.2.1 Specified Transformer Efficiencies

Provide transformer efficiency calculations utilizing the actual no-load and load loss values obtained during the routine tests performed on the actual transformer(s) prepared for this project. Reference no-load losses (NLL) at 20 degrees C. Reference load losses (LL) at 55 degrees C and at 50 percent of the nameplate load. The transformer is not acceptable if the calculated transformer efficiency is less than the efficiency indicated in the "KVA / Efficiency" table below. The table is based on requirements contained within 10 CFR 431, Subpart K. Submit certification, including supporting calculations, from the manufacturer indicating conformance.

KVA	EFFICIENCY (percent)

15	98.65
30	98.83
45	98.92
75	99.03
112.5	99.11
150	99.16
225	99.23
300	99.27
500	99.35
750	99.40
1000	99.43
1500	99.48
2000	99.51
2500	99.53
above 2500	99.54

2.2.3 Insulating Liquid

- a. Less-flammable transformer liquids: NFPA 70 and FM APP GUIDE for less-flammable liquids having a fire point not less than 300 degrees C tested per ASTM D92 and a dielectric strength not less than 33 kV tested per ASTM D877/D877M. Provide identification of transformer as "non-PCB" and "manufacturer's name and type of fluid" on the nameplate.

Provide a fluid that is a biodegradable, electrical insulating, and cooling liquid classified by UL and approved by FM as "less flammable" with the following properties:

- (1) Pour point: ASTM D97, less than -15 degree C
- (2) Aquatic biodegradation: EPA 712-C-98-075, ultimately biodegradable as designated by EPA.
- (3) Trout toxicity: OECD Test 203, zero mortality of EPA 821-R-02-012, pass

- b. Mineral oil: ASTM D3487, Type II, tested in accordance with ASTM D117. Provide identification of transformer as "non-PCB" and "Type II mineral oil" on the nameplate.

2.2.3.1 Liquid-Filled Transformer Nameplates

Provide nameplate information in accordance with IEEE C57.12.00 and as modified or supplemented by this section.

2.2.4 Corrosion Protection

Provide corrosion resistant bases and cabinets of transformers, fabricated of stainless steel conforming to ASTM A240/A240M, Type 304 or 304L. Base includes any part of pad-mounted transformer that is within 3 inches of concrete pad.

Provide entire transformer assembly, including tank and radiator, base, enclosure, and metering enclosure fabricated of stainless steel conforming to ASTM A240/A240M, Type 304 or 304L. Form enclosure of stainless steel sheets. The optional use of aluminum is permitted for the metering enclosure.

Paint entire transformer assembly Munsell 5BG7.0/0.4 sky gray (ANSI 70), with paint coating system complying with IEEE C57.12.28 and IEEE C57.12.29 regardless of base, cabinet, and tank material. The Munsell color notation is specified in ASTM D1535.

2.3 WARNING SIGNS AND LABELS

Provide warning signs for the enclosures of pad-mounted transformers having a nominal rating exceeding 600 volts in accordance with NEMA Z535.4 and NEMA 260.

- a. When the enclosure integrity of such equipment is specified to be in accordance with IEEE C57.12.28, such as for pad-mounted transformers, provide self-adhesive warning labels on the outside of the high voltage compartment door(s) with nominal dimensions of 7 by 10 inches with the legend "WARNING HIGH VOLTAGE" printed in two lines of nominal 2 inch high letters. Include the word "WARNING" in white letters on an orange background and the words "HIGH VOLTAGE" in black letters on a white background.
- b. When such equipment is guarded by a fence, mount signs on the fence. Provide metal signs having nominal dimensions of 14 by 10 inches with the legend "WARNING HIGH VOLTAGE KEEP OUT" printed in three lines of nominal 3 inch high white letters on an orange and black field.

2.4 ARC FLASH WARNING LABEL

Provide arc flash warning label for the enclosure of pad-mounted transformers. Locate this self-adhesive warning label on the outside of the high voltage compartment door warning of potential electrical arc flash hazards and appropriate PPE required. Provide label format as indicated.

2.5 GROUNDING AND BONDING

UL 467. Provide grounding and bonding as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

2.6 PADLOCKS

Provide padlocks for pad-mounted equipment and for each fence gate, keyed

as directed by the Contracting Officer.

2.7 CAST-IN-PLACE CONCRETE

Provide concrete associated with electrical work for other than encasement of underground ducts rated for 4000 psi minimum 28-day compressive strength unless specified otherwise. Conform to the requirements of Section 03 30 00 CAST-IN-PLACE CONCRETE.

Provide concrete associated with electrical work as follows:

- a. Composed of fine aggregate, coarse aggregate, portland cement, and water so proportioned and mixed as to produce a plastic, workable mixture.
- b. Fine aggregate: hard, dense, durable, clean, and uncoated sand.
- c. Coarse aggregate: reasonably well graded from 3/16 inch to 1 inch.
- d. Fine and coarse aggregates: free from injurious amounts of dirt, vegetable matter, soft fragments or other deleterious substances.
- e. Water: fresh, clean, and free from salts, alkali, organic matter, and other impurities.
- f. Concrete associated with electrical work for other than encasement of underground ducts: 4000 psi minimum 28-day compressive strength unless specified otherwise.
- g. Slump: Less than 4 inches. Retempering of concrete will not be permitted.
- h. Exposed, unformed concrete surfaces: smooth, wood float finish.
- i. Concrete must be cured for a period of not less than 7 days, and concrete made with high early strength portland cement must be repaired by patching honeycombed or otherwise defective areas with cement mortar as directed by the Contracting Officer.
- j. Air entrain concrete exposed to weather using an air-entraining admixture conforming to ASTM C260/C260M.
- k. Air content: between 4 and 6 percent.

2.8 SOURCE QUALITY CONTROL

2.8.1 Transformer Test Schedule

The Government reserves the right to witness tests. Provide transformer test schedule for tests to be performed at the manufacturer's test facility. Submit required test schedule and location, and notify the Contracting Officer 30 calendar days before scheduled test date. Notify Contracting Officer 15 calendar days in advance of changes to scheduled date.

a. Test Instrument Calibration

- (1) Provide a calibration program which assures that all applicable test instruments are maintained within rated accuracy.

- (2) Accuracy: Traceable to the National Institute of Standards and Technology.
- (3) Instrument calibration frequency schedule: less than or equal to 12 months for both test floor instruments and leased specialty equipment.
- (4) Dated calibration labels: visible on all test equipment.
- (5) Calibrating standard: higher accuracy than that of the instrument tested.
- (6) Keep up-to-date records that indicate dates and test results of instruments calibrated or tested. For instruments calibrated by the manufacturer on a routine basis, in lieu of third party calibration, include the following:
 - (a) Maintain up-to-date instrument calibration instructions and procedures for each test instrument.
 - (b) Identify the third party/laboratory calibrated instrument to verify that calibrating standard is met.

2.8.2 Design Tests

IEEE C57.12.00, and IEEE C57.12.90. Section 5.1.2 in IEEE C57.12.80 states that "design tests are made only on representative apparatus of basically the same design." Submit design test reports (complete with test data, explanations, formulas, and results), in the same submittal package as the catalog data and drawings for each of the specified transformer(s), with design tests performed prior to the award of this contract.

- a. Tests: certified and signed by a registered professional engineer.
- b. Temperature rise: "Basically the same design" for the temperature rise test means a pad-mounted transformer with the same coil construction (such as wire wound primary and sheet wound secondary), the same kVA, the same cooling type (KNAN), the same temperature rise rating, and the same insulating liquid as the transformer specified.
- c. Lightning impulse: "Basically the same design" for the lightning impulse dielectric test means a pad-mounted transformer with the same BIL, the same coil construction (such as wire wound primary and sheet wound secondary), and a tap changer, if specified. Design lightning impulse tests includes the primary windings only of that transformer.
 - (1) IEEE C57.12.90, paragraph 10.3 entitled "Lightning Impulse Test Procedures," and IEEE C57.98.
 - (2) State test voltage levels.
 - (3) Provide photographs of oscilloscope display waveforms or plots of digitized waveforms with test report.
- d. Lifting and moving devices: "Basically the same design" requirement for the lifting and moving devices test means a test report confirming that the lifting device being used is capable of handling the weight

of the specified transformer in accordance with IEEE C57.12.34.

- e. Pressure: "Basically the same design" for the pressure test means a pad-mounted transformer with a tank volume within 30 percent of the tank volume of the transformer specified.
- f. Short circuit: "Basically the same design" for the short circuit test means a pad-mounted transformer with the same kVA as the transformer specified.

2.8.3 Routine and Other Tests

IEEE C57.12.00. Routine and other tests: performed in accordance with IEEE C57.12.90 by the manufacturer on each of the actual transformer(s) prepared for this project to ensure that the design performance is maintained in production. Submit test reports, by serial number and receive approval before delivery of equipment to the project site. Required tests and testing sequence as follows:

- a. Phase relation
- b. Ratio
- c. No-load losses (NLL) and excitation current
- d. Load losses (LL) and impedance voltage
- e. Dielectric
 - (1) Impulse
 - (2) Applied voltage
 - (3) Induced voltage
- f. Leak

PART 3 EXECUTION

3.1 INSTALLATION

Conform to IEEE C2, NFPA 70, and to the requirements specified herein. Provide new equipment and materials unless indicated or specified otherwise.

3.2 GROUNDING

NFPA 70 and IEEE C2, except provide grounding systems with a resistance to solid earth ground not exceeding 25 ohms.

3.2.1 Grounding Electrodes

Provide driven ground rods as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION. Connect ground conductors to the upper end of ground rods by exothermic weld or compression connector. Provide compression connectors at equipment end of ground conductors.

3.2.2 Pad-Mounted Transformer Grounding

Provide a ground ring around the transformer with 1/0 AWG bare copper. Provide two ground rods in the ground ring at opposite corners. Install the ground rods at least 10 feet apart from each other. Provide separate copper grounding conductors and connect them to the ground loop as indicated. When work in addition to that indicated or specified is required to obtain the specified ground resistance, the provision of the contract covering "Changes" applies.

3.2.3 Connections

Make joints in grounding conductors and loops by exothermic weld or compression connector. Install exothermic welds and compression connectors as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

3.2.4 Grounding and Bonding Equipment

UL 467, except as indicated or specified otherwise.

3.3 INSTALLATION OF EQUIPMENT AND ASSEMBLIES

Install and connect pad-mounted transformers furnished under this section as indicated on project drawings, the approved shop drawings, and as specified herein.

3.3.1 Meters and Current Transformers

ANSI C12.1.

3.4 FIELD APPLIED PAINTING

Where field painting of enclosures is required to correct damage to the manufacturer's factory applied coatings, provide manufacturer's recommended coatings and apply in accordance with manufacturer's instructions.

3.5 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side, but space the signs a maximum of 30 feet apart.

3.6 FOUNDATION FOR EQUIPMENT AND ASSEMBLIES

Mount transformer on concrete slab as follows:

- a. Unless otherwise indicated, provide the slab with dimensions at least 8 inches thick, reinforced with a 6 by 6 inches - W2.9 by W2.9 mesh placed uniformly 4 inches from the top of the slab.
- b. Place slab on a 6 inch thick, well-compacted gravel base.
- c. Install slab such that top of concrete slab is approximately 4 inches above the finished grade with gradual slope for drainage.
- d. Provide edges above grade with 1/2 inch chamfer.
- e. Provide slab of adequate size to project at least 8 inches beyond the

equipment.

Stub up conduits, with bushings, 2 inches into cable wells in the concrete pad. Coordinate dimensions of cable wells with transformer cable training areas.

3.6.1 Cast-In-Place Concrete

Provide cast-in-place concrete work in accordance with the requirements of Section 03 30 00 CAST-IN-PLACE CONCRETE ACI 318 ACI 318M.

3.6.2 Sealing

When the installation is complete, seal all entries into the equipment enclosure with an approved sealing method. Provide seals of sufficient strength and durability to protect all energized live parts of the equipment from rodents, insects, or other foreign matter.

3.7 FIELD QUALITY CONTROL

3.7.1 Performance of Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS. Submit reports, including acceptance criteria and limits for each test in accordance with NETA ATS "Test Values".

3.7.1.1 Pad-Mounted Transformers

a. Visual and mechanical inspection

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition. Check for damaged or cracked insulators and leaks.
- (3) Inspect anchorage, alignment, and grounding.
- (4) Verify the presence of PCB content labeling.
- (5) Verify the bushings and transformer interiors are clean.
- (6) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
- (7) Verify correct liquid level in tanks and bushings.
- (8) Verify that positive pressure is maintained on gas-blanketed transformers.
- (9) Perform specific inspections and mechanical tests as recommended by manufacturer.
- (10) Verify de-energized tap changer position is left as specified.

(11) Verify the presence of transformer surge arresters.

b. Electrical tests

- (1) Perform resistance measurements through all bolted connections with low-resistance ohmmeter.
- (2) Verify proper secondary voltage phase-to-phase and phase-to-neutral after energization and prior to loading.
- (3) Perform insulation-resistance tests, winding-to-winding and each winding-to-ground. Calculate polarization index. Verify that the tap changer is set at the specified ratio.
- (4) Perform turns-ratio tests at all tap positions.
- (5) Perform insulation power-factor or dissipation-factor tests on all windings in accordance with test equipment manufacturer's published data.
- (6) Perform power-factor or dissipation-factor tests on each bushing equipped with a power-factor/capacitance tap. In the absence of a power-factor/capacitance tap, perform hot-collar tests.
- (7) Measure the resistance of each high-voltage winding in each de-energized tap-changer position. Measure the resistance of each low-voltage winding in each de-energized tap-changer position, if applicable.
- (8) Remove and test a sample of insulating liquid for the following: Dielectric breakdown voltage, Acid neutralization number, Specific gravity, Interfacial tension, Color, Visual Condition, Water in insulating liquids (Required on 25 kV or higher voltages and on all silicone-filled units.), and Power factor or dissipation factor.
- (9) Perform dissolved-gas analysis (DGA) on a sample of insulating liquid.

3.7.1.2 Current Transformers

a. Visual and mechanical inspection

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Verify correct connection.
- (4) Verify that adequate clearances exist between primary and secondary circuit wiring.
- (5) Verify the unit is clean.
- (6) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.

- (7) Verify that all required grounding and shorting connections provide good contact.
- (8) Verify correct operation of transformer withdrawal mechanism and grounding operation.
- (9) Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.

b. Electrical tests

- (1) Perform resistance measurements through all bolted connections with low-resistance ohmmeter, if applicable.
- (2) Perform insulation-resistance test.
- (3) Perform a polarity test.
- (4) Perform a ratio-verification test.

3.7.1.3 Watthour Meter

a. Visual and mechanical inspection

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Verify tightness of electrical connections.

b. Electrical tests

- (1) Calibrate watthour meters according to manufacturer's published data.
- (2) Verify that correct multiplier has been placed on face of meter, where applicable.
- (3) Verify that current transformer secondary circuits are intact.

3.7.1.4 Grounding System

a. Visual and mechanical inspection

- (1) Inspect ground system for compliance with contract plans and specifications.

b. Electrical tests

- (1) Perform ground-impedance measurements utilizing the fall-of-potential method. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. Use an instrument

equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test.

- (2) Submit the measured ground resistance of each ground rod and grounding system, indicating the location of the rod and grounding system. Include the test method and test setup (i.e., pin location) used to determine ground resistance and soil conditions at the time the measurements were made.

3.7.1.5 Surge Arresters, Medium- and High-Voltage

a. Visual and mechanical inspection

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Inspect anchorage, alignment, grounding, and clearances.
- (4) Verify the arresters are clean.
- (5) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
- (6) Verify that the ground lead on each device is individually attached to a ground bus or ground electrode.

b. Electrical tests

- (1) Perform resistance measurements through all bolted connections with low-resistance ohmmeter, if applicable.
- (2) Perform an insulation-resistance test on each arrester, phase terminal-to-ground.
- (3) Test grounding connection.

3.7.2 Follow-Up Verification

Upon completion of acceptance checks and tests, show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. As an exception to requirements stated elsewhere in the contract, notify the Contracting Officer 5 working days in advance of the dates and times of checking and testing.

-- End of Section --

SECTION 26 13 01

PAD-MOUNTED DEAD-FRONT AIR INSULATED SWITCHGEAR

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM A240/A240M (2024) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications

ASTM D1535 (2014; R 2018) Standard Practice for Specifying Color by the Munsell System

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 386 (2016) Separable Insulated Connector Systems for Power Distribution Systems Rated 2.5 kV through 35 kV

IEEE 495 (2007) Guide for Testing Faulted Circuit Indicators

IEEE C2 (2023) National Electrical Safety Code

IEEE C37.74 (2014) Standard Requirements for Subsurface, Vault, and Pad-Mounted Load-Interrupter Switchgear and Fused Load-Interrupter Switchgear for Alternating Current Systems Up to 38 kV

IEEE C37.75 (2023) Standard for Pad-Mounted, Pole-Mounted, and Submersible Switchgear Enclosures and Associated Control Enclosures - Coastal and Non-Coastal Environmental Integrity

IEEE C62.11 (2020) Standard for Metal-Oxide Surge Arresters for Alternating Current Power Circuits (>1kV)

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS (2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 70 (2023) National Electrical Code
- NFPA 70B (2023) Recommended Practice for Electrical Equipment Maintenance

UNDERWRITERS LABORATORIES (UL)

- UL 467 (2022) UL Standard for Safety Grounding and Bonding Equipment

1.2 RELATED REQUIREMENTS

Section 26 08 00 APPARATUS INSPECTION AND TESTING, applies to this section, with the additions and modifications specified herein.

Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS, applies to this section, with the additions and modifications specified herein.

1.3 DEFINITIONS

1.3.1 Switched Way

A switched way is considered a three-phase circuit entrance to the bus through a switch.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Switchgear Drawings; G

SD-03 Product Data

Fuse Time-Current Characteristic Curves; G

Air Insulated Pad-mounted Switchgear; G

Insulated High-Voltage Connectors; G

Surge Arresters; G

SD-06 Test Reports

Acceptance Checks and Tests; G

SD-07 Certificates

Paint Coating System; G

SD-09 Manufacturer's Field Reports

Switchgear Design and Production Tests; G

SD-10 Operation and Maintenance Data

Air Insulated Pad-Mounted Switchgear Operation and Maintenance,
Data Package 5; G

1.5 QUALITY ASSURANCE

1.5.1 Switchgear Drawings

Furnish drawings that include, but are not limited to, the following:

- a. Overall dimensions, weights, plan view, and front view
- b. Ratings
- c. Single-line diagram.

1.5.2 Paint Coating System

Submit IEEE C37.75 paint coating system performance requirement tests.

1.5.3 Fuse Time-Current Characteristic Curves

Provide time-current characteristic curves in PDF format and in electronic format suitable for import or updating into the ETAP, EasyPower, or SKM PowerTools for Windows computer program.

1.6 MAINTENANCE

1.6.1 Air Insulated Pad-mounted Switchgear Operation and Maintenance Data

Submit Operation and Maintenance Manuals in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

PART 2 PRODUCTS

2.1 AIR INSULATED PAD-MOUNTED SWITCHGEAR

IEEE C37.74. Submit manufacturer's information on switches and each component, device, and accessory provided with the equipment.

2.1.1 Ratings and Test Requirements

Provide switchgear with a nominal voltage rating of 25 kV and the following corresponding ratings:

Rated Maximum Voltage, kV	27
Rated Withstand Impulse Voltage, kV BIL	125
Continuous and Load Interrupting Current, A	600

Short-Circuit Current, kA rms Sym	22.4
Power Fuses, Amperes, Maximum Interrupting, kA rms Sym	200K

Provide switched ways rated for the required continuous and load interrupting current.

2.1.2 Switchgear Construction

Provide switchgear with the following construction and configuration:

- a. Switch contacts and cable entrance terminations contained in an enclosed, steel compartment.
- b. Configured with load interrupting and fused switched ways as indicated.
- c. Accessible terminations suitable for cables entering from below.
- d. Switch contact positions for switched ways visible through viewing windows in the switchgear termination compartment.
- e. Each switched way with two position switch; Open, Closed and provisions for grounding.

2.1.2.1 Pad-mounting Provisions

Provide enclosed switchgear suitable for installation on a concrete pad, including the following:

- a. Fabricate switchgear enclosure and enclosure base of ASTM A240/A240M type 304 or 304L stainless steel.
- b. Enclosure base includes any part of the switchgear enclosure that is within 3 inches of concrete pad.
- c. Paint enclosure including base ASTM D1535 Munsell 7GY3.29/1.5 green .
- d. Comply with IEEE C37.75 for the paint coating system regardless of equipment material.

2.1.2.2 Pad/Vault-mounting Provisions

Provide enclosed switchgear suitable for installation on a concrete pad or fiberglass box pad, including the following:

- a. Fabricate switchgear enclosure and enclosure base of ASTM A240/A240M type 304 or 304L stainless steel.
- b. Enclosure base includes any part of the switchgear enclosure that is within 3 inches of concrete pad.
- c. Paint enclosure including base ASTM D1535 Munsell 7GY3.29/1.5 green .
- d. Comply with IEEE C37.75 for the paint coating system regardless of equipment material.

2.1.1.3 Load Interrupting Switched Ways

Provide the following for load interrupter switched ways:

- a. Three-pole group operated switching.
- b. Interrupter switches operated by means of an externally accessible switch-operating hub.
- c. Switch-operating hub located within a recessed stainless steel pocket mounted on the side of the pad-mounted gear enclosure.
- d. Padlockable stainless steel access cover and hood on the switch-operating-hub pocket to protect the padlock shackle from tampering.
- e. Stops on the switch-operating hub to prevent overtravel.
- f. Labels in the switch-operating-hub pocket to indicate switch position.
- g. Folding switch-operating handle for each interrupter switch.

2.1.1.4 Fused Ways

Provide the following:

- a. Fuse mountings enclosed in an inner steel compartment.
- b. Each fuse mounting installed as an integral part of a fuse handling mechanism that does not allow access to the fuse until the elbow for that fuse has been disconnected and a mechanical interlock to the fuse-access panel has been actuated.
- c. The opening into the component compartment covered by the fuse-access panel in both the open and closed positions to prevent access to high voltage.
- d. Blown-fuse indicators for fused ways visible through viewing windows in the termination compartment.

2.1.1.4.1 Fuses

Provide fuses in accordance with the following:

- a. Fuse ratings as indicated on the drawings.
- b. Helically coiled fuses if rated 10 amperes or larger.
- c. Solid-material power fuses capable of detecting and interrupting all faults under all realistic conditions of circuitry, with line-to-line or line-to-ground voltage across the fuse, and capable of handling the full range of transient recovery voltage severity associated with these faults.
- d. All arcing accompanying operation of the fuse contained within the fuse, and all arc products and gases evolved effectively contained within the exhaust control device during fuse operation.

- e. Fusible elements nonaging and nondamagable with melting time-current characteristics that are permanently accurate to within a maximum tolerance of 10 percent in terms of current.
- f. Equipped with a blown-fuse indicator that provides visible evidence of fuse operation while installed in the fuse mounting.

2.1.5 Key Interlock

Provide key interlock system as indicated on the drawings.

2.1.6 Dead-Front High-Voltage Bushings

IEEE 386.25 kV, 125 kV BIL. Provide 600 ampere one-piece deadbreak apparatus bushings for each switched way. Provide connectors with 200 ampere bushing interface.

- a. Parking stands: Provide a parking stand near each dead-front bushing. Provide insulated standoff bushings for parking of energized load-break connectors on each parking stand.

2.2 Insulated High-Voltage Connectors

IEEE 386. Provide corresponding connector for each switched way; provide connectors with a grounding eye and test point.

- a. 600 Ampere deadbreak connector ratings: Voltage: 25 kV, 125 kV BIL. Short time rating: 25,000 rms symmetrical amperes. Provide 200 ampere bushing interface for surge arresters as indicated.

2.3 Surge Arresters

IEEE C62.11, rated as indicated, fully shielded, dead-front, metal-oxide-varistor, elbow type with resistance-graded gap, suitable for plugging into inserts. Provide arresters on switched ways as indicated.

2.4 Grounding Provisions

Provide a ground-connection pad in each termination compartment. Provide a continuous copper ground bus across the full width of each termination compartment for fuses.

- a. Provide one sets of three grounding elbows and one sets of three feed-thru inserts. Grounding elbows and feed-thru inserts. Deliver to the Contracting Officer.
- b. Provide one sets of three grounding elbows and one sets of three grounded standoff bushings. Grounding elbows and grounded standoff bushings. Deliver to the Contracting Officer.
- c. Provide one ground ball studs on the ground bus.

2.5 Faulted Circuit Indicators

Install one set of faulted circuit indicators on the test points of each set of separable insulated connectors. Faulted circuit indicators must comply with IEEE 495. Indicators must be self powered, and must have automatic trip with mechanical flag indication upon overcurrent followed by loss of system voltage. Indicators must be compact, sealed corrosion

resistant construction with provision for hotstick installation and operation.

2.6 SOURCE QUALITY CONTROL

2.6.1 Switchgear Design and Production Tests

Furnish reports which include results of design and production tests performed according to IEEE C37.74. Perform production tests by the manufacturer on each switchgear assembly to ensure that design performance is maintained in production.

PART 3 EXECUTION

3.1 INSTALLATION

Conform to IEEE C2, NFPA 70, and to the requirements specified herein.

3.2 GROUNDING

Conform to NFPA 70 and IEEE C2, except provide grounding systems with a resistance to solid earth ground not exceeding 25 ohms. The provision of the contract covering "Changes" applies when work is directed to obtain the specified ground resistance in addition to that indicated or specified.

3.2.1 Grounding Electrodes

Provide driven ground rods as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION at each corner of switchgear pad as indicated.

3.2.2 Switchgear Grounding

Connect #4/0 bare copper conductor ground loop, not less than 24 inches below grade, to the upper end of the ground rods by exothermic welds or compression connectors. Provide #4/0 bare copper conductors connecting the switchgear grounding provisions to two different ground rods.

3.2.3 Connections

Make joints in grounding conductors and ground loop by exothermic weld or compression connector. Install exothermic welds and compression connectors as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

3.2.4 Grounding and Bonding Equipment

Conform to UL 467, except as indicated or specified otherwise.

3.3 FOUNDATION FOR EQUIPMENT AND ASSEMBLIES

Mount switch on concrete slab as shown on the drawings, including the following:

- a. Provide box pad with a minimum depth of 36 inches.
- c. Provide slab of size at least 12 inches thick, reinforced with a 6 by 6 - W2.9 by W2.9 mesh, placed uniformly 4 inches from the top of the slab.

- d. Place Slab on a 6 inch thick, well-compacted gravel base.
- e. Install top of concrete slab approximately 4 inches above finished grade. Provide edges above grade 1/2 inch chamfer.
- f. Provide slab of adequate size to project at least 8 inches beyond equipment.
- g. For installations that use a box pad or vault, train the incoming cables around the box or vault prior to terminating at the switchgear.

Stub up conduits, with bushings, 2 inches into cable wells in the concrete pad. Coordinate dimensions of cable wells with switch cable training areas. Provide concrete work as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE.

3.4 FIELD QUALITY CONTROL

3.4.1 Performance of Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations, NFPA 70B, NETA ATS, and referenced ANSI standards. Submit reports, including acceptance criteria and limits for each test in accordance with NETA ATS "Test Values".

Include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

3.4.1.1 Switchgear

a. Visual and Mechanical Inspection

- (1) Compare equipment nameplate information with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Check for proper anchorage, alignment, required area clearances, and grounding.
- (4) Perform mechanical operator tests in accordance with manufacturer's instructions.
- (5) Inspect all indicating devices for proper operation.
- (6) Test interlock systems for proper operation and sequencing.

b. Electrical Tests

- (1) Perform contact-resistance tests.
- (2) Perform insulation-resistance tests.
- (3) Perform an over-potential test on each switched way pole with the switched way in the open position in accordance with the manufacturer's instructions.

3.4.1.2 Grounding System

a. Visual and Mechanical Inspection

- (1) Inspect ground system for compliance with contract plans and specifications.

b. Electrical Tests

- (1) Perform ground impedance measurements utilizing the fall-of-potential method. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. Use an instrument equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test.
- (2) Submit the measured ground resistance of each ground rod and grounding system, indicating the location of the rod and grounding system. Include the test method and test setup (i.e., pin location) used to determine ground resistance and soil conditions at the time the measurements were made.

3.4.2 Follow-Up Verification

Upon completion of acceptance checks and tests, show by demonstration in service that devices are in good operating condition and properly performing the intended function. Perform each item not less than three times to demonstrate its function. As an exception to requirements stated elsewhere in the contract, notify the Contracting Officer 5 working days in advance of the dates and times for checks and tests.

3.5 FIELD APPLIED PAINTING

Where field painting of enclosures is required to correct damage to the manufacturer's factory applied coatings, provide manufacturer's recommended coatings and apply in accordance with manufacturer's instructions. Apply field painting as specified in Section 09 90 00 PAINTS AND COATINGS.

-- End of Section --

NOT FOR BIDDING PURPOSES

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SECTION 26 20 00

INTERIOR DISTRIBUTION SYSTEM

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1 (2014; Errata 2016) Electric Meters - Code for Electricity Metering

ASTM INTERNATIONAL (ASTM)

ASTM B1 (2013) Standard Specification for Hard-Drawn Copper Wire

ASTM B8 (2023) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft

ASTM D709 (2017) Standard Specification for Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81 (2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System

IEEE C2 (2023) National Electrical Safety Code

IEEE Stds Dictionary (2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS (2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL CONTRACTORS ASSOCIATION (NECA)

NECA NEIS 1 (2015) Standard for Good Workmanship in Electrical Construction

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C80.1 (2020) American National Standard for Electrical Rigid Steel Conduit (ERSC)

ANSI C80.3 (2020) American National Standard for

Electrical Metallic Tubing (EMT)

ANSI C80.5	(2020) American National Standard for Electrical Rigid Aluminum Conduit
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA FU 1	(2012) Low Voltage Cartridge Fuses
NEMA ICS 1	(2022) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 2	(2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 3	(2005; R 2010) Medium-Voltage Controllers Rated 2001 to 7200 V AC
NEMA ICS 4	(2015) Application Guideline for Terminal Blocks
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures
NEMA KS 1	(2013) Enclosed and Miscellaneous Distribution Equipment Switches (600 V Maximum)
NEMA MG 1	(2021) Motors and Generators
NEMA MG 10	(2017) Energy Management Guide for Selection and Use of Fixed Frequency Medium AC Squirrel-Cage Polyphase Induction Motors
NEMA MG 11	(1977; R 2012) Energy Management Guide for Selection and Use of Single Phase Motors
NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA ST 20	(2014) Dry-Type Transformers for General Applications
NEMA TC 2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 3	(2021) Polyvinyl Chloride (PVC) Fittings for Use With Rigid PVC Conduit and Tubing
NEMA TC 14	(2002) Standard for Reinforced Thermosetting Resin Conduit (RTRC) and Fittings
NEMA WD 1	(1999; R 2020) Standard for General Color Requirements for Wiring Devices

NEMA WD 6 (2021) Wiring Devices Dimensions
Specifications

NEMA Z535.4 (2023) Product Safety Signs and Labels

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2023; ERTA 7 2023; TIA 23-15) National
Electrical Code

NFPA 70E (2024) Standard for Electrical Safety in
the Workplace

NFPA 780 (2023) Standard for the Installation of
Lightning Protection Systems

TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)

TIA-607 (2019d) Generic Telecommunications Bonding
and Grounding (Earthing) for Customer
Premises

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.147 The Control of Hazardous Energy (Lock
Out/Tag Out)

29 CFR 1910.303 Electrical, General

UNDERWRITERS LABORATORIES (UL)

UL 1 (2005; Reprint Jan 2022) UL Standard for
Safety Flexible Metal Conduit

UL 5 (2016; Reprint Jul 2022) UL Standard for
Safety Surface Metal Raceways and Fittings

UL 5A (2015; Reprint Aug 2020) Nonmetallic
Surface Raceways and Fittings

UL 6 (2022) UL Standard for Safety Electrical
Rigid Metal Conduit-Steel

UL 6A (2008; Reprint Mar 2021) UL Standard for
Safety Electrical Rigid Metal Conduit -
Aluminum, Red Brass, and Stainless Steel

UL 20 (2018; Reprint May 2023) UL Standard for
Safety General-Use Snap Switches

UL 44 (2018; Reprint May 2021) UL Standard for
Safety Thermoset-Insulated Wires and Cables

UL 50 (2024) UL Standard for Safety Enclosures
for Electrical Equipment,
Non-Environmental Considerations

UL 67 (2018; Reprint Aug 2023) UL Standard for

Safety Panelboards

UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables
UL 248-4	(2010; Reprint Apr 2019) Low-Voltage Fuses - Part 4: Class CC Fuses
UL 248-8	(2011; Reprint Aug 2020) Low-Voltage Fuses - Part 8: Class J Fuses
UL 248-10	(2011; Reprint Aug 2020) Low-Voltage Fuses - Part 10: Class L Fuses
UL 248-12	(2011; Reprint Aug 2020) Low Voltage Fuses - Part 12: Class R Fuses
UL 248-15	(2018) Low-Voltage Fuses - Part 15: Class T Fuses
UL 360	(2013; Reprint Jan 2024) UL Standard for Safety Liquid-Tight Flexible Metal Conduit
UL 467	(2022) UL Standard for Safety Grounding and Bonding Equipment
UL 486A-486B	(2018; Reprint Jul 2023) UL Standard for Safety Wire Connectors
UL 486C	(2018; Reprint May 2021) UL Standard for Safety Splicing Wire Connectors
UL 489	(2016; Rev 2019) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 498	(2017; Reprint May 2023) UL Standard for Safety Attachment Plugs and Receptacles
UL 506	(2017; Reprint Jan 2022) UL Standard for Safety Specialty Transformers
UL 508	(2018; Reprint Jul 2021) UL Standard for Safety Industrial Control Equipment
UL 510	(2020; Dec 2022) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Jun 2022) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint Mar 2024) UL Standard for Safety Conduit, Tubing and Cable Fittings
UL 514C	(2014; Reprint Feb 2020) UL Standard for Safety Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers

UL 651	(2011; Reprint May 2022) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 674	(2022) UL Standard for Safety Electric Motors and Generators for Use in Hazardous (Classified) Locations
UL 719	(2015; Reprint Nov 2023) UL Standard for Safety Nonmetallic-Sheathed Cables
UL 797	(2007; Reprint Apr 2023) UL Standard for Safety Electrical Metallic Tubing -- Steel
UL 845	(2021) UL Standard for Safety Motor Control Centers
UL 854	(2020; Reprint Nov 2023) Standard for Service-Entrance Cables
UL 869A	(2006; Reprint Jun 2020) Reference Standard for Service Equipment
UL 870	(2016; Reprint Nov 2023) UL Standard for Safety Wireways, Auxiliary Gutters, and Associated Fittings
UL 943	(2016; Reprint Sep 2023) UL Standard for Safety Ground-Fault Circuit-Interrupters
UL 984	(1996; Reprint Sep 2005) Hermetic Refrigerant Motor-Compressors
UL 1004-1	(2012; Reprint Nov 2020) UL Standard for Safety Rotating Electrical Machines - General Requirements
UL 1063	(2017; Reprint Jun 2022) UL Standard for Safety Machine-Tool Wires and Cables
UL 1203	(2023; Reprint Oct 2023) UL Standard for Safety Explosion-Proof and Dust-Ignition-Proof Electrical Equipment for Use in Hazardous (Classified) Locations
UL 1242	(2006; Reprint Apr 2022) UL Standard for Safety Electrical Intermediate Metal Conduit -- Steel
UL 1283	(2017; Reprint Feb 2024) UL Standard for Safety Electromagnetic Interference Filters
UL 1449	(2021; Reprint Dec 2022) UL Standard for Safety Surge Protective Devices
UL 1569	(2018) UL Standard for Safety Metal-Clad Cables

UL 1660	(2019; Reprint Jan 2022) Liquid-Tight Flexible Nonmetallic Conduit
UL 1699	(2017; Reprint Feb 2022) UL Standard for Safety Arc-Fault Circuit-Interrupters
UL 4248-1	(2022) UL Standard for Safety Fuseholders - Part 1: General Requirements
UL 4248-12	(2018; Reprint Feb 2022) UL Standard for Safety Fuseholders - Part 12: Class R

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.

1.3 RELATED REQUIREMENTS

Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS applies to this section, with the additions and modifications specified herein.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Panelboards;
Transformers;
Busway;
Cable Trays;
Motor Control Centers;
Wireways; Marking Strips Drawings; G

SD-03 Product Data

Receptacles;
Circuit Breakers;
Switches;
Transformers;
Enclosed Circuit Breakers;
Motor Controllers;

Combination Motor Controllers; G

Manual Motor Starters;

Surge Protective Devices;

SD-05 Design Data

Cable Tray Design;

SD-06 Test Reports

600-volt Wiring Test; G

Grounding System Test; G

Transformer Tests; G

Ground-fault Receptacle Test; G

SD-07 Certificates

Fuses;

SD-09 Manufacturer's Field Reports

Transformer Factory Tests

SD-10 Operation and Maintenance Data

Electrical Systems, Data Package 5; G

Metering, Data Package 5; G

1.5 QUALITY ASSURANCE

1.5.1 Fuses

Submit coordination data as specified in paragraph, FUSES of this section.

1.5.2 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with NFPA 70 unless more stringent requirements are specified or indicated. NECA NEIS 1 shall be considered the minimum standard for workmanship.

1.5.3 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials

under similar circumstances and of similar size.

- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.3.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.3.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable.

1.6 MAINTENANCE

1.6.1 Electrical Systems

Submit operation and maintenance data in accordance with Section 01 78 23, OPERATION AND MAINTENANCE DATA and as specified herein. Submit operation and maintenance manuals for electrical systems that provide basic data relating to the design, operation, and maintenance of the electrical distribution system for the building. Include the following:

- a. Single line diagram of the "as-built" building electrical system.
- b. Schematic diagram of electrical control system (other than HVAC, covered elsewhere).
- c. Manufacturers' operating and maintenance manuals on active electrical equipment.

1.7 WARRANTY

Provide equipment items supported by service organizations that are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

As a minimum, meet requirements of UL, where UL standards are established for those items, and requirements of NFPA 70 for all materials, equipment, and devices.

2.2 CONDUIT AND FITTINGS

Conform to the following:

2.2.1 Rigid Metallic Conduit

2.2.1.1 Rigid, Threaded Zinc-Coated Steel Conduit

ANSI C80.1, UL 6.

2.2.1.2 Rigid Aluminum Conduit

ANSI C80.5, UL 6A.

2.2.2 Rigid Nonmetallic Conduit

PVC Type EPC-40, and EPC-80 in accordance with NEMA TC 2, UL 651, or fiberglass conduit, in accordance with NEMA TC 14.

2.2.3 Intermediate Metal Conduit (IMC)

UL 1242, zinc-coated steel only.

2.2.4 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797, ANSI C80.3.

2.2.5 Plastic-Coated Rigid Steel and IMC Conduit

NEMA RN 1, Type 40(40 mils thick).

2.2.6 Flexible Metal Conduit

UL 1, limited to 6 feet.

2.2.6.1 Liquid-Tight Flexible Metal Conduit, Steel

UL 360, limited to 6 feet.

2.2.7 Fittings for Metal Conduit, EMT, and Flexible Metal Conduit

UL 514B. Ferrous fittings: cadmium- or zinc-coated in accordance with UL 514B.

2.2.7.1 Fittings for Rigid Metal Conduit and IMC

Threaded-type. Split couplings unacceptable.

2.2.7.2 Fittings for EMT

Die Cast compression type.

2.2.8 Fittings for Rigid Nonmetallic Conduit

NEMA TC 3 for PVC and NEMA TC 14 for fiberglass, and UL 514B.

2.2.9 Liquid-Tight Flexible Nonmetallic Conduit

UL 1660.

2.3 SURFACE RACEWAY

2.3.1 Surface Metal Raceway

UL 5, two-piece painted steel, totally enclosed, snap-cover type. Provide multiple outlet-type raceway with grounding-type receptacle where indicated. Provide receptacles as specified herein, spaced a minimum of one every 18 inches.

2.3.2 Surface Nonmetallic Raceway

UL 5A, nonmetallic totally enclosed, snap-cover type. Provide multiple outlet-type raceway with grounding-type receptacle where indicated. Provide receptacles as specified herein, spaced a minimum of one every 18 inches.

2.4 OUTLET BOXES AND COVERS

UL 514A, cadmium- or zinc-coated, if ferrous metal. UL 514C, if nonmetallic.

2.5 CABINETS, JUNCTION BOXES, AND PULL BOXES

UL 50; volume greater than 100 cubic inches, NEMA Type 1 enclosure; sheet steel, hot-dip, zinc-coated. Where exposed to wet, damp, or corrosive environments, NEMA Type 4X.

2.6 WIRES AND CABLES

Provide wires and cables in accordance applicable requirements of NFPA 70 and UL for type of insulation, jacket, and conductor specified or indicated. Do not use wires and cables manufactured more than 24 months prior to date of delivery to site.

2.6.1 Conductors

Provide the following:

- a. Conductor sizes and capacities shown are based on copper, unless indicated otherwise.
- b. Conductors No. 8 AWG and larger diameter: stranded.
- c. Conductors No. 10 AWG and smaller diameter: solid.
- d. Conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3: stranded unless specifically indicated otherwise.
- e. All conductors: copper. Conductors indicated to be No. 6 AWG or smaller diameter: copper. Conductors indicated to be No. 4 AWG and larger diameter: either copper or aluminum, unless type of conductor material is specifically indicated, or specified, or required by equipment manufacturer.

2.6.1.1 Equipment Manufacturer Requirements

When manufacturer's equipment requires copper conductors at the terminations or requires copper conductors to be provided between components of equipment, provide copper conductors or splices, splice

boxes, and other work required to satisfy manufacturer's requirements.

2.6.1.2 Aluminum Conductors

Provide aluminum conductors of AA-8000 series electrical grade aluminum alloy conductors. Type EC/1350 aluminum is not acceptable. If Contractor chooses to provide aluminum for conductors No. 4 AWG and larger diameter, Contractor is responsible for increasing conductor size to have same ampacity as copper size indicated; increasing conduit and pull box sizes to accommodate larger size aluminum conductors in accordance with NFPA 70; ensuring that pulling tension rating of aluminum conductor is sufficient; providing panelboards and motor control centers that are UL listed for use with aluminum, and so labeled; relocating equipment, modifying equipment terminations, resizing equipment; and resolving problems that are direct results of providing aluminum conductors in lieu of copper.

2.6.1.3 Minimum Conductor Sizes

Provide minimum conductor size in accordance with the following:

- a. Branch circuits: No. 12 AWG.
- b. Class 1 remote-control and signal circuits: No. 14 AWG.
- c. Class 2 low-energy, remote-control and signal circuits: No. 16 AWG.
- d. Class 3 low-energy, remote-control, alarm and signal circuits: No. 22 AWG.
- e. Digital low voltage lighting control (DLVLC) system at 24 Volts or less: Category 5 UTP cables in EMT conduit in accordance with DLVLC system manufacturer requirements.

2.6.2 Color Coding

Provide color coding for service, feeder, branch, control, and signaling circuit conductors.

2.6.2.1 Ground and Neutral Conductors

Provide color coding of ground and neutral conductors as follows:

- a. Grounding conductors: Green.
- b. Neutral conductors: White.
- c. Exception, where neutrals of more than one system are installed in same raceway or box, other neutrals color coding: white with a different colored (not green) stripe for each.

2.6.2.2 Ungrounded Conductors

Provide color coding of ungrounded conductors in different voltage systems as follows:

- a. 208/120 volt, three-phase
 - (1) Phase A - black

- (2) Phase B - red
- (3) Phase C - blue
- b. 480/277 volt, three-phase
 - (1) Phase A - brown
 - (2) Phase B - orange
 - (3) Phase C - yellow
- c. 120/240 volt, single phase: Black and red
- d. On three-phase, four-wire delta system, high leg: orange, as required by NFPA 70.

2.6.3 Insulation

Unless specified or indicated otherwise or required by NFPA 70, provide power and lighting wires rated for 600-volts, Type THWN/THHN conforming to UL 83 or Type XHHW or RHW conforming to UL 44, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits: Type TW or TF, conforming to UL 83. Where equipment or devices require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.6.4 Bonding Conductors

ASTM B1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.6.4.1 Telecommunications Bonding Backbone (TBB)

Provide a copper conductor TBB in accordance with TIA-607 with No. 6 AWG minimum size, and sized at 2 kcmil per linear foot of conductor length up to a maximum size of 750 kcmil. Provide insulated TBB with insulation as specified in the paragraph INSULATION and meeting the fire ratings of its pathway.

2.6.4.2 Bonding Conductor for Telecommunications

Provide a copper conductor Bonding Conductor for Telecommunications between the telecommunications main grounding busbar (PBB) and the electrical service ground in accordance with TIA-607. Size the bonding conductor for telecommunications the same as the TBB.

2.6.5 Service Entrance Cables

Service Entrance (SE) and Underground Service Entrance (USE) Cables, UL 854.

2.6.6 Nonmetallic Sheathed Cable

UL 719, Type NM or NMC.

2.6.7 Metal-Clad Cable

UL 1569; NFPA 70, Type MC cable.

2.7 SPLICES AND TERMINATION COMPONENTS

UL 486A-486B for wire connectors and UL 510 for insulating tapes. Connectors for No. 10 AWG and smaller diameter wires: insulated, pressure-type in accordance with UL 486A-486B or UL 486C (twist-on splicing connector). Provide solderless terminal lugs on stranded conductors.

2.8 DEVICE PLATES

Provide the following:

- a. UL listed, one-piece device plates for outlets to suit the devices installed.
- b. For metal outlet boxes, plates on unfinished walls: zinc-coated sheet steel or cast metal having round or beveled edges.
- c. For nonmetallic boxes and fittings, other suitable plates may be provided.
- d. Plates on finished walls: nylon or lexan, minimum 0.03 inch wall thickness and same color as receptacle or toggle switch with which they are mounted.
- e. Plates on finished walls: satin finish stainless steel or brushed-finish aluminum, minimum 0.03 inch thick.
- f. Screws: machine-type with countersunk heads in color to match finish of plate.
- g. Sectional type device plates are not be permitted.
- h. Plates installed in wet locations: gasketed and UL listed for "wet locations."
- i. Device plates in areas normally accessible to prisoners: brown or ivory finish nylon-device plates rated for high abuse. Test device plates for compliance with UL 514A and UL 514C for physical strength. Attach device plates with spanner head bolts.

2.9 SWITCHES

2.9.1 Toggle Switches

NEMA WD 1, UL 20, single pole, double pole, three-way, and four-way, totally enclosed with bodies of thermoplastic or thermoset plastic and mounting strap with grounding screw. Include the following:

- a. Handles: white thermoplastic.
- b. Wiring terminals: screw-type, side-wired or of the solderless pressure type having suitable conductor-release arrangement.
- c. Contacts: silver-cadmium and contact arm - one-piece copper alloy.
- d. Switches: rated quiet-type ac only, 120/277 volts, with current rating and number of poles indicated.

2.9.2 Switch with Red Pilot Handle

NEMA WD 1. Provide the following:

- a. Pilot lights that are integrally constructed as a part of the switch's handle.
- b. Pilot light color: red and illuminate whenever the switch is closed or "on".
- c. Pilot lighted switch: rated 20 amps and 120 volts or 277 volts as indicated.
- d. The circuit's neutral conductor to each switch with a pilot light.

2.9.3 Disconnect Switches

NEMA KS 1. Provide heavy duty-type switches where indicated, where switches are rated higher than 240 volts, and for double-throw switches. Utilize Class R fuseholders and fuses for fused switches, unless indicated otherwise. Provide horsepower rated for switches serving as the motor-disconnect means. Provide switches in NEMA 4X Type 304 stainless steel, enclosure as indicated per NEMA ICS 6.

2.10 FUSES

NEMA FU 1. Provide complete set of fuses for each fusible switch panel and control center. Coordinate time-current characteristics curves of fuses serving motors or connected in series with circuit breakers or other circuit protective devices for proper operation. Submit coordination data for approval. Provide fuses with a voltage rating not less than circuit voltage.

2.10.1 Fuseholders

Provide in accordance with UL 4248-1.

2.10.2 Cartridge Fuses, Current Limiting Type (Class R)

UL 248-12, Class RK-5. Provide only Class R associated fuseholders in accordance with UL 4248-12.

2.10.3 Cartridge Fuses, High-Interrupting Capacity, Current Limiting Type (Classes J, L, and CC)

UL 248-8, UL 248-10, UL 248-4, Class J for zero to 600 amperes, Class L for 601 to 6,000 amperes, and Class CC for zero to 30 amperes.

2.10.4 Cartridge Fuses, Current Limiting Type (Class T)

UL 248-15, Class T for zero to 1,200 amperes, 300 volts; and zero to 800 amperes, 600 volts.

2.11 RECEPTACLES

Provide the following:

- a. UL 498, general purpose specification grade, grounding-type.

Residential grade receptacles are not acceptable.

- b. Ratings and configurations: as indicated.
- c. Bodies: white as per NEMA WD 1.
- d. Face and body: thermoplastic supported on a metal mounting strap.
- e. Dimensional requirements: per NEMA WD 6.
- f. Screw-type, side-wired wiring terminals or of the solderless pressure type having suitable conductor-release arrangement.
- g. Grounding pole connected to mounting strap.
- h. The receptacle: containing triple-wire power contacts and double or triple-wire ground contacts.
- i. Controlled receptacles: as required per ASHRAE 90.1. Provide marking for controlled receptacle per NFPA 70.

2.11.1 Split Duplex Receptacles

Provide separate terminals for each ungrounded pole. One receptacle must be controlled separately.

2.11.2 Weatherproof Receptacles

Provide receptacles, UL listed for use in "wet locations" with integral GFCI protection. Include cast metal box with gasketed, hinged, lockable and weatherproof while-in-use, polycarbonate, UV resistant/stabilized extra-duty rated hood.

2.11.3 Ground-Fault Circuit Interrupter Receptacles

UL 943, duplex type for mounting in standard outlet box. Provide device capable of detecting current leak when the current to ground is 6 milliamperes or higher, and tripping per requirements of UL 943 for Class A ground-fault circuit interrupter devices. Provide screw-type, side-wired wiring terminals or pre-wired (pigtail) leads.

2.11.4 Special Purpose Receptacles

Receptacles serving are special purpose. Provide in ratings indicated.

2.11.5 Range Receptacles

NEMA 14-50 configuration, flush mounted for housing units, rated 50 amperes, 125/250 volts. Furnish one matching plug with each receptacle.

2.12 PANELBOARDS

Provide panelboards in accordance with the following:

- a. UL 67 and UL 50 having a short-circuit current rating as indicated of 10,000 amperes symmetrical minimum for voltages 240 V and below of 14,000 amperes symmetrical minimum for 480 V.
- b. Panelboards for use as service disconnecting means: additionally

conform to UL 869A.

- c. Panelboards: circuit breaker-equipped.
- d. Designed such that individual breakers can be removed without disturbing adjacent units or without loosening or removing supplemental insulation supplied as means of obtaining clearances as required by UL.
- e. "Specific breaker placement" is required in panelboards to match the breaker placement indicated in the panelboard schedule on the design drawings. If it is not possible to match "specific breaker placement" during construction, obtain Government approval prior to device installation.
- f. Use of "Subfeed Breakers" is not acceptable.
- g. Main breaker: "separately" mounted "above" or "below" branch breakers.
- h. Where "space only" is indicated, make provisions for future installation of breakers.
- i. Directories: indicate load served by each circuit in panelboard.
- j. Directories: indicate source of service to panelboard (e.g., Panel PA served from Panel MDP).
- k. Provide new directories for existing panels modified by this project as indicated.
- l. Type directories and mount in holder behind transparent protective covering.
- m. Panelboards: listed and labeled for their intended use.
- n. Panelboard nameplates: provided in accordance with paragraph FIELD FABRICATED NAMEPLATES.

2.12.1 Enclosure

Provide panelboard enclosure in accordance with the following:

- a. UL 50.
- b. Cabinets mounted outdoors or flush-mounted: hot-dipped galvanized after fabrication.
- c. Cabinets: painted in accordance with paragraph FIELD APPLIED PAINTING.
- d. Outdoor cabinets: NEMA 4x with conduit hubs welded to the cabinet.
- e. Front edges of cabinets: form-flanged or fitted with structural shapes welded or riveted to the sheet steel, for supporting the panelboard front.
- f. All cabinets: fabricated such that no part of any surface on the finished cabinet deviates from a true plane by more than 1/8 inch.
- g. Holes: provided in the back of indoor surface-mounted cabinets, with

outside spacers and inside stiffeners, for mounting the cabinets with a 1/2 inch clear space between the back of the cabinet and the wall surface.

- h. Flush doors: mounted on hinges that expose only the hinge roll to view when the door is closed.
- i. Each door: fitted with a combined catch and lock latch.
- j. Keys: two provided with each lock, with all locks keyed alike.
- k. Finished-head cap screws: provided for mounting the panelboard fronts on the cabinets.

2.12.2 Panelboard Buses

Support bus bars on bases independent of circuit breakers. Design main buses and back pans so that breakers may be changed without machining, drilling, or tapping. Provide isolated neutral bus in each panel for connection of circuit neutral conductors. Provide separate ground bus identified as equipment grounding bus per UL 67 for connecting grounding conductors; bond to steel cabinet. In addition to equipment grounding bus, provide second "isolated" ground bus, where indicated.

2.12.2.1 Panelboard Neutrals for Non-Linear Loads

Provide in accordance with the following:.

- a. UL listed, with panelboard type specifically UL heat rise tested for use on non-linear loads.
- b. Panelboard: heat rise tested in accordance with UL 67, except with the neutral assembly installed and carrying 200 percent of the phase bus current during testing.
- c. Verification of the testing procedure: provided upon request.
- d. Two neutral assemblies paralleled together with cable is not acceptable.
- e. Nameplates for panelboard rated for use on non-linear loads: marked "SUITABLE FOR NON-LINEAR LOADS" and in accordance with paragraph FIELD FABRICATED NAMEPLATES.
- f. Provide a neutral label with instructions for wiring the neutral of panelboards rated for use on non-linear loads.

2.12.3 Circuit Breakers

UL 489, thermal magnetic-type having a minimum short-circuit current rating equal to the short-circuit current rating of the panelboard in which the circuit breaker will be mounted. Breaker terminals: UL listed as suitable for type of conductor provided. Where indicated on the drawings, provide circuit breakers with shunt trip devices. Series rated circuit breakers and plug-in circuit breakers are unacceptable.

2.12.3.1 Multipole Breakers

Provide common trip-type with single operating handle. Design breaker

such that overload in one pole automatically causes all poles to open. Maintain phase sequence throughout each panel so that any three adjacent breaker poles are connected to Phases A, B, and C, respectively.

2.12.3.2 Circuit Breaker With Ground-Fault Circuit Interrupter

UL 943 and NFPA 70. Provide with auto-monitoring (self-test) and lockout features, "push-to-test" button, visible indication of tripped condition, and ability to detect and trip when current imbalance is 6 milliamperes or higher per requirements of UL 943 for Class A ground-fault circuit interrupter devices.

2.12.3.3 Arc-Fault Circuit Interrupters

UL 489, UL 1699 and NFPA 70. Molded case circuit breakers: rated as indicated. Two pole arc-fault circuit-interrupters: rated 120/240 volts. The provision of (two) one pole circuit breakers for shared neutral circuits in lieu of (one) two pole circuit breaker is unacceptable. Provide with "push-to-test" button.

2.12.4 Fusible Switches for Panelboards

NEMA KS 1, hinged door-type. Provide switches serving as motor disconnect means rated for horsepower.

2.12.5 400 Hz Panelboard and Breakers

Provide panelboards and breakers for use on 400 Hz systems rated and labeled "400 Hz."

2.12.6 Branch Circuit Monitoring Panelboards

Provide a microprocessor-based panelboard monitoring system having the following features:

- a. ANSI C12.1 and IEC 62053-21 Class 1 energy revenue metering accuracy.
- b. Direct reading metered or calculated values for up to forty-two branch circuits.
- c. Monitored values at the branch circuit level for current (A), power (kW), and energy (kWh).
- d. Four user-configurable alarm thresholds.
- e. Communications with building automation system using Modbus RTU protocol via RS-485 cable connection.

2.12.7 Lighting Control Panelboards

Provided a lighting control panelboard having the following features:

- a. Minimum sixteen schedules including a 7-day repeating schedule with sixteen daily on/off periods.
- b. Minimum sixteen lighting zones grouping branch breakers that are controlled by schedules, manual inputs, or override commands.
- c. Electronic clock including real-time, astronomical clock, and leap

year and daylight savings time adjustments.

- d. Burn-hour tracking.
- e. Remote circuit breaker operation.
- f. Master Lighting Control Panelboard with controller to control up to 8 control bussed located individually up to 400 feet away from the master panelboard.
- g. Communications with building automation system using Modbus RTU protocol via RS-485 cable connection.

2.13 ENCLOSED CIRCUIT BREAKERS

UL 489. Individual molded case circuit breakers with voltage and continuous current ratings, number of poles, overload trip setting, and short circuit current interrupting rating as indicated. Enclosure type as indicated. Provide solid neutral.

2.14 MOTOR SHORT-CIRCUIT PROTECTOR (MSCP)

Motor short-circuit protectors, also called motor circuit protectors (MCPs): UL 508 and UL 489, and provided as shown. Provide MSCPs that consist of an adjustable instantaneous trip circuit breaker used only in conjunction with a combination motor controller which provides coordinated motor branch-circuit overload and short-circuit protection. Rate MSCPs in accordance with the requirements of NFPA 70.

2.15 MOTORS

Provide motors in accordance with the following:

- a. NEMA MG 1 except provide fire pump motors as specified in Section 21 30 00 FIRE PUMPS.
- b. Hermetic-type sealed motor compressors: Also comply with UL 984.
- c. Provide the size in terms of HP, or kVA, or full-load current, or a combination of these characteristics, and other characteristics, of each motor as indicated or specified.
- d. Determine specific motor characteristics to ensure provision of correctly sized starters and overload heaters.
- e. Rate motors for operation on 208-volt, 3-phase circuits with a terminal voltage rating of 200 volts, and those for operation on 480-volt, 3-phase circuits with a terminal voltage rating of 460 volts.
- f. Use motors designed to operate at full capacity with voltage variation of plus or minus 10 percent of motor voltage rating.
- g. Unless otherwise indicated, use continuous duty type motors if rated 1 HP and above.
- h. Where fuse protection is specifically recommended by the equipment manufacturer, provide fused switches in lieu of non-fused switches indicated.

- i. Use Inverter-Rated motors designed to operate with adjustable speed drive (ASD).

2.15.1 Premium Efficiency Polyphase and Single-Phase Motors

Select polyphase and continuous-duty single phase motors based on high efficiency characteristics relative to typical characteristics and applications as listed in NEMA MG 10 and NEMA MG 11. In addition, continuous rated, polyphase squirrel-cage medium induction motors must meet the requirements for premium efficiency electric motors in accordance with NEMA MG 1, including the NEMA full load efficiency ratings. In exception, for motor-driven equipment with a minimum seasonal or overall efficiency rating, such as a SEER rating, provide equipment with motor to meet the overall system rating indicated. Electronically Commutated Motors (ECM) to have an integrated controller that senses rotor position and electronically commutates the stator windings to provide synchronous rotation. The rotor must be of a permanent magnet type supported by ball bearings to increase motor efficiency and longevity. The motor must maintain 70 percent or greater efficiency for its design application. ECM motors to comply with UL 1004-1.

2.15.2 Motor Sizes

Provide size for duty to be performed, not exceeding the full-load nameplate current rating when driven equipment is operated at specified capacity under most severe conditions likely to be encountered. When motor size provided differs from size indicated or specified, make adjustments to wiring, disconnect devices, and branch circuit protection to accommodate equipment actually provided. Provide controllers for motors rated 1-hp and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage. Provide protection for motors from immediate restart by a time adjustable restart relay.

2.15.3 Wiring and Conduit

Provide internal wiring for components of packaged equipment as an integral part of the equipment. Provide power wiring and conduit for field-installed equipment using adjustable speed drive (ASD) manufacturer required wiring type and length, and motor control equipment forming part of motor control centers or switchgear assemblies, the conduit and wiring connecting such centers, assemblies, or other power sources to equipment as specified herein. Power wiring and conduit: conform to the requirements specified herein. Control wiring: provided under, and conform to, the requirements of the section specifying the associated equipment.

2.16 MOTOR CONTROLLERS

Provide motor controllers in accordance with the following:

- a. UL 508, NEMA ICS 1, and NEMA ICS 2, except fire pump controllers as specified in Section 21 30 00 FIRE PUMPS.
- b. Provide controllers with thermal overload protection in each phase, and one spare normally open auxiliary contact, and one spare normally closed auxiliary contact.

- c. Provide controllers for motors rated 1-hp 746 watts and above with electronic phase-voltage monitors designed to protect motors from phase-loss, undervoltage, and overvoltage.
- d. Provide protection for motors from immediate restart by a time adjustable restart relay.
- e. When used with pressure, float, or similar automatic-type or maintained-contact switch, provide a hand/off/automatic selector switch with the controller.
- f. Connections to selector switch: wired such that only normal automatic regulatory control devices are bypassed when switch is in "hand" position.
- g. Safety control devices, such as low and high pressure cutouts, high temperature cutouts, and motor overload protective devices: connected in motor control circuit in "hand" and "automatic" positions.
- h. Control circuit connections to hand/off/automatic selector switch or to more than one automatic regulatory control device: made in accordance with indicated or manufacturer's approved wiring diagram.
- i. Provide selector switch with the means for locking in any position.
- j. Provide a disconnecting means, capable of being locked in the open position, for the motor that is located in sight from the motor location and the driven machinery location. As an alternative, provide a motor controller disconnect, capable of being locked in the open position, to serve as the disconnecting means for the motor if it is in sight from the motor location and the driven machinery location.
- k. Overload protective devices: provide adequate protection to motor windings; be thermal inverse-time-limit type; and include manual reset-type pushbutton on outside of motor controller case.
- l. Cover of combination motor controller and manual switch or circuit breaker: interlocked with operating handle of switch or circuit breaker so that cover cannot be opened unless handle of switch or circuit breaker is in "off" position.
- m. Minimum short circuit withstand rating of combination motor controller: rms symmetrical amperes.
- n. Provide controllers in hazardous locations with classifications as indicated.

2.16.1 Control Wiring

Provide control wiring in accordance with the following:

- a. All control wire: stranded tinned copper switchboard wire with 600-volt flame-retardant insulation Type SIS meeting UL 44, or Type MTW meeting UL 1063, and passing the VW-1 flame tests included in those standards.
- b. Hinge wire: Class K stranding.
- c. Current transformer secondary leads: not smaller than No. 10 AWG.

- d. Control wire minimum size: No. 14 AWG.
- e. Power wiring for 480-volt circuits and below: the same type as control wiring with No. 12 AWG minimum size.
- f. Provide wiring and terminal arrangement on the terminal blocks to permit the individual conductors of each external cable to be terminated on adjacent terminal points.

2.16.2 Control Circuit Terminal Blocks

Provide control circuit terminal blocks in accordance with the following:

- a. NEMA ICS 4.
- b. Control circuit terminal blocks for control wiring: molded or fabricated type with barriers, rated not less than 600 volts.
- c. Provide terminals with removable binding, fillister or washer head screw type, or of the stud type with contact and locking nuts.
- d. Terminals: not less than No. 10 in size with sufficient length and space for connecting at least two indented terminals for 10 AWG conductors to each terminal.
- e. Terminal arrangement: subject to the approval of the Contracting Officer with not less than four spare terminals or 10 percent, whichever is greater, provided on each block or group of blocks.
- f. Modular, pull apart, terminal blocks are acceptable provided they are of the channel or rail-mounted type.
- g. Submit data showing that any proposed alternate will accommodate the specified number of wires, are of adequate current-carrying capacity, and are constructed to assure positive contact between current-carrying parts.

2.16.2.1 Types of Terminal Blocks

- a. Short-Circuiting Type: Short-circuiting type terminal blocks: furnished for all current transformer secondary leads with provision for shorting together all leads from each current transformer without first opening any circuit. Terminal blocks: comply with the requirements of paragraph CONTROL CIRCUIT TERMINAL BLOCKS above.
- b. Load Type: Load terminal blocks rated not less than 600 volts and of adequate capacity: provided for the conductors for NEMA Size 3 and smaller motor controllers and for other power circuits, except those for feeder tap units. Provide terminals of either the stud type with contact nuts and locking nuts or of the removable screw type, having length and space for at least two indented terminals of the size required on the conductors to be terminated. For conductors rated more than 50 amperes, provide screws with hexagonal heads. Conducting parts between connected terminals must have adequate contact surface and cross-section to operate without overheating. Provide each connected terminal with the circuit designation or wire number placed on or near the terminal in permanent contrasting color.

2.16.3 Control Circuits

Control circuits: maximum voltage of 120 volts derived from control transformer in same enclosure. Transformers: conform to UL 506, as applicable. Transformers, other than transformers in bridge circuits: provide primaries wound for voltage available and secondaries wound for correct control circuit voltage. Size transformers so that 80 percent of rated capacity equals connected load. Provide disconnect switch on primary side. Provide fuses in each ungrounded primary feeder. Provide one fused secondary lead with the other lead grounded. For designated systems, as indicated, provide backup power supply, including transformers connected to emergency power source. Provide for automatic switchover and alarm upon failure of primary control circuit.

2.16.4 Enclosures for Motor Controllers

NEMA ICS 6.

2.16.5 Multiple-Speed Motor Controllers and Reversible Motor Controllers

Across-the-line-type, electrically and mechanically interlocked. Multiple-speed controllers: include compelling relays and multiple-button, station-type with pilot lights for each speed.

2.16.6 Pushbutton Stations

Provide with "start/stop" momentary contacts having one normally open and one normally closed set of contacts, and red lights to indicate when motor is running. Stations: heavy duty, oil-tight design.

2.16.7 Pilot and Indicating Lights

Provide LED cluster lamps.

2.16.8 Reduced-Voltage Controllers

Provide for polyphase motors horsepower and larger. Reduced-voltage starters: single-step, closed transition autotransformer, reactor, primary resistor-type, solid state-type, or as indicated, with an adjustable time interval between application of reduced and full voltages to motors. Wye-delta reduced voltage starter or part winding increment starter having adjustable time delay between application of voltage to first and second winding of motor may be used in lieu of the reduced-voltage starters for starting of motor-generator sets, centrifugally operated equipment, or reciprocating compressors provided with automatic unloaders.

2.17 MANUAL MOTOR STARTERS (MOTOR RATED SWITCHES)

Three pole designed for flush or surface mounting with overload protection and pilot lights.

2.18 MOTOR CONTROL CENTERS

Submit wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Identify circuit terminals on wiring diagrams and indicate the internal wiring for each item of equipment and the

interconnection between each item of equipment. Indicate on the drawings adequate clearance for operation, maintenance, and replacement of operating equipment devices.

Provide motor control centers in accordance with the following:

- a. UL 845, NEMA ICS 2, NEMA ICS 3.
- b. Wiring: Class I, Type B, in NEMA Type 3R enclosure.
- c. Provide control centers suitable for operation on -volt, -phase, -wire, HZ system with minimum short-circuit withstand and interrupting rating of 65,000 amperes rms symmetrical.
- d. Incoming power feeder: bus duct entering at the top of enclosure and terminating on terminal lugs.
- e. Main protective device: molded case circuit breaker rated at amperes rms symmetrical interrupting capacity.
- f. Arrange busing so that control center can be expanded from both ends.
- g. Interconnecting wires: copper.
- h. Terminal blocks: plug-in-type so that controllers may be removed without disconnecting individual control wiring.

2.18.1 Bus Systems

Provide the following bus systems. Power bus: be braced to withstand fault current of 65,000 amperes rms symmetrical. Wiring troughs: isolated from horizontal and vertical bus bars.

2.18.1.1 Horizontal and Main Buses

Horizontal bus: continuous current rating of 800 amperes. Main bus: aluminum, tin-plated enclosed in isolated compartment at top of each vertical section. Main bus: isolated from wire troughs, starters, and other areas.

2.18.1.2 Vertical Bus

Vertical bus: continuous current rating of 450 amperes, and aluminum, tin-plated. Vertical bus: enclosed in flame-retardant, polyester glass "sandwich."

2.18.1.3 Ground Bus

Copper ground bus: provided full width of motor control center and equipped with necessary lugs.

2.18.1.4 Neutral Bus

Insulated neutral bus: provided continuous through the motor control center; neutral full rated. Provide lugs of appropriate capacity, as required.

2.18.2 Combination Motor Controllers

UL 508 and other requirements in paragraph, MOTOR CONTROLLERS. Provide in controller a molded case circuit breaker. Minimum short circuit withstand rating of combination motor controller: rms symmetrical amperes.

2.18.3 Space Heaters

Provide space heaters where indicated on the drawings, controlled using an adjustable 50 to 90 degrees F thermostat, magnetic contactor, and a molded-case circuit breaker and a 480-120 volt single-phase transformer. Provide space heaters equipped with 250-watt, 240 volt strip elements operated at 120 volts and supplied from the motor control center bus. Contactors: open type, electrically-held, rated 30 amperes, 2-pole, with 120-volt ac coils.

2.19 LOCKOUT REQUIREMENTS

Provide circuit breakers, disconnecting means, and other devices that are electrical energy-isolating capable of being locked out for machines and other equipment to prevent unexpected startup or release of stored energy in accordance with 29 CFR 1910.147, NFPA 70E and 29 CFR 1910.303. Comply with requirements of Division 23, "Heating, Ventilating, and Air Conditioning (HVAC)" for mechanical isolation of machines and other equipment.

2.20 GROUNDING AND BONDING EQUIPMENT

2.20.1 Ground Rods

UL 467. Ground rods: cone pointed solid copper, with minimum diameter of 3/4 inch and minimum length 10 feet. Sectional type rods may be used for rods 20 feet or longer.

2.20.2 Ground Bus

Copper ground bus: provided in the electrical equipment rooms as indicated.

2.20.3 Secondary Bonding Busbar

Provide corrosion-resistant grounding busbar suitable for indoor installation in accordance with TIA-607. Busbars: plated for reduced contact resistance. If not plated, clean the busbar prior to fastening the conductors to the busbar and apply an anti-oxidant to the contact area to control corrosion and reduce contact resistance. Provide a Primary bonding busbar (PBB) in the telecommunications entrance facility and a Secondary bonding busbar (SBB) in all other telecommunications rooms and equipment rooms. The Primary bonding busbar (PBB) and the Secondary bonding busbar (SBB): sized in accordance with the immediate application requirements and with consideration of future growth. Provide Secondary bonding busbars with the following:

- a. Predrilled copper busbar provided with holes for use with standard sized lugs,
- b. Minimum dimensions of 0.25 in thick by 4 in wide for the PBB and 2 in wide for SBBs with length as indicated;

- c. Listed by a nationally recognized testing laboratory.

2.21 HAZARDOUS LOCATIONS

Electrical materials, equipment, and devices for installation in hazardous locations, as defined by NFPA 70: specifically approved by Underwriters' Laboratories, Inc., or Factory Mutual for particular "Class," "Division," and "Group" of hazardous locations involved. Boundaries and classifications of hazardous locations: as indicated. Equipment in hazardous locations: comply with UL 1203 for electrical equipment and industrial controls and UL 674 for motors.

2.22 MANUFACTURER'S NAMEPLATE

Provide on each item of equipment a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.23 FIELD FABRICATED NAMEPLATES

Provide field fabricated nameplates in accordance with the following:

- a. ASTM D709.
- b. Provide laminated plastic nameplates for each equipment enclosure, relay, switch, and device; as specified or as indicated on the drawings.
- c. Each nameplate inscription: identify the function and, when applicable, the position.
- d. Nameplates: melamine plastic, 0.125 inch thick, white with black center core.
- e. Provide red laminated plastic label with white center core where indicated.
- f. Surface: matte finish. Corners: square. Accurately align lettering and engrave into the core.
- g. Minimum size of nameplates: one by 2.5 inches.
- h. Lettering size and style: a minimum of 0.25 inch high normal block style.

2.24 WARNING SIGNS

Provide warning signs for flash protection in accordance with NFPA 70E and NEMA Z535.4 for switchboards, panelboards, industrial control panels, and motor control centers that are in other than dwelling occupancies and are likely to require examination, adjustment, servicing, or maintenance while energized. Provide field installed signs to warn qualified persons of potential electric arc flash hazards when warning signs are not provided by the manufacturer. Provide marking that is clearly visible to qualified persons before examination, adjustment, servicing, or maintenance of the equipment.

2.25 FIRESTOPPING MATERIALS

Provide firestopping around electrical penetrations in accordance with Section 07 84 00 FIRESTOPPING.

2.26 WIREWAYS

UL 870. Material: steel galvanized 16 gauge for heights and depths up to 6 by 6 inches, and 14 gauge for heights and depths up to 12 by 12 inches. Provide in length indicated and required for the application with hinged-cover NEMA 3R enclosure per NEMA ICS 6.

2.27 SURGE PROTECTIVE DEVICES

Provide parallel type surge protective devices (SPD) which comply with UL 1449 at the service entrance, load centers, panelboards, MCC and other devices. Provide surge protectors in a NEMA 1 enclosure per NEMA ICS 6. Do not install SPD inside a panelboard or switchboard enclosure. However, SPD may be installed in a separate compartment of a switchgear provided that it is supplied by a dedicated circuit breaker. SPD must have the same short-circuit current rating as the protected equipment and must not be installed at a point of system where the available fault current is in excess of that rating. Use Type 1 or Type 2 SPD and connect on the load side of a dedicated circuit breaker. Submit performance and characteristic curves.

Provide the following modes of protection:

FOR SINGLE PHASE AND THREE PHASE WYE CONNECTED SYSTEMS-

- Phase to phase (L-L)
- Each phase to neutral (L-N)
- Neutral to ground (N-G)
- Phase to ground (L-G)

SPDs at the service entrance: provide with a minimum surge current rating of 80,000 amperes for L-L mode minimum and 40,000 amperes for other modes (L-N, L-G, and N-G) and downstream SPDs rated 40,000 amperes for L-L mode minimum and 20,000 amperes for other modes (L-N, L-G, and N-G).

The minimum MCOV (Maximum Continuous Operating Voltage) rating for L-N and L-G modes of operation: 120 percent of nominal voltage for 240 volts and below; 115 percent of nominal voltage above 240 volts to 480 volts.

Provide EMI/RFI filtering per UL 1283 for each mode with the capability to attenuate high frequency noise. Minimum attenuation: 20db.

2.28 FACTORY APPLIED FINISH

Provide factory-applied finish on electrical equipment in accordance with the following:

- a. NEMA 250 corrosion-resistance test and the additional requirements as specified herein.
- b. Interior and exterior steel surfaces of equipment enclosures: thoroughly cleaned followed by a rust-inhibitive phosphatizing or equivalent treatment prior to painting.
- c. Exterior surfaces: free from holes, seams, dents, weld marks, loose scale or other imperfections.

- d. Interior surfaces: receive not less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice.
- e. Exterior surfaces: primed, filled where necessary, and given not less than two coats baked enamel with semigloss finish.
- f. Equipment located indoors: ANSI Light Gray, and equipment located outdoors: ANSI Light Gray.
- g. Provide manufacturer's coatings for touch-up work and as specified in paragraph FIELD APPLIED PAINTING.

2.29 SOURCE QUALITY CONTROL

2.29.1 Transformer Factory Tests

Submittal: include routine NEMA ST 20 transformer test results on each transformer and also provide the results of NEMA "design" and "prototype" tests that were made on transformers electrically and mechanically equal to those specified.

2.30 COORDINATED POWER SYSTEM PROTECTION

Prepare analyses as specified in Section 26 05 73 POWER SYSTEM STUDIES.

PART 3 EXECUTION

3.1 INSTALLATION

Electrical installations, including weatherproof and hazardous locations and ducts, plenums and other air-handling spaces: conform to requirements of NFPA 70 and IEEE C2 and to requirements specified herein.

3.1.1 Hazardous Locations

Perform work in hazardous locations, as defined by NFPA 70, in strict accordance with NFPA 70 for particular "Class," "Division," and "Group" of hazardous locations involved. Provide conduit and cable seals where required by NFPA 70. Provide conduit with tapered threads.

3.1.2 Service Entrance Identification

Service entrance disconnect devices, switches, and enclosures: labeled and identified as such.

3.1.2.1 Labels

Wherever work results in service entrance disconnect devices in more than one enclosure, as permitted by NFPA 70, label each enclosure, new and existing, as one of several enclosures containing service entrance disconnect devices. Label, at minimum: indicate number of service disconnect devices housed by enclosure and indicate total number of enclosures that contain service disconnect devices. Provide laminated plastic labels conforming to paragraph FIELD FABRICATED NAMEPLATES. Use lettering of at least 0.25 inch in height, and engrave on black-on-white matte finish. Service entrance disconnect devices in more than one enclosure: provided only as permitted by NFPA 70.

3.1.3 Wiring Methods

Provide insulated conductors installed in rigid steel conduit, IMC, rigid nonmetallic conduit, or EMT, except where specifically indicated or specified otherwise or required by NFPA 70 to be installed otherwise. Grounding conductor: separate from electrical system neutral conductor. Provide insulated green equipment grounding conductor for circuit(s) installed in conduit and raceways. Shared neutral, or multi-wire branch circuits, are not permitted with arc-fault circuit interrupters. Minimum conduit size: 1/2 inch in diameter for low voltage lighting and power circuits. Vertical distribution in multiple story buildings: made with metal conduit in fire-rated shafts, with metal conduit extending through shafts for minimum distance of 6 inches. Firestop conduit which penetrates fire-rated walls, fire-rated partitions, or fire-rated floors in accordance with Section 07 84 00 FIRESTOPPING.

3.1.3.1 Pull Wire

Install pull wires in empty conduits. Pull wire: plastic having minimum 200-pound force tensile strength. Leave minimum 36 inches of slack at each end of pull wire.

3.1.3.2 Metal-Clad Cable

Install in accordance with NFPA 70, Type MC cable.

3.1.4 Conduit Installation

Unless indicated otherwise, conceal conduit under floor slabs and within finished walls, ceilings, and floors. Keep conduit minimum 6 inches away from parallel runs of flues and steam or hot water pipes. Install conduit parallel with or at right angles to ceilings, walls, and structural members where located above accessible ceilings and where conduit will be visible after completion of project. Run conduits in crawl space or under floor slab as if exposed.

3.1.4.1 Restrictions Applicable to EMT

- a. Do not install underground.
- b. Do not encase in concrete, mortar, grout, or other cementitious materials.
- c. Do not use in areas subject to physical damage including but not limited to equipment rooms where moving or replacing equipment could physically damage the EMT.
- d. Do not use in hazardous areas.
- e. Do not use outdoors.
- f. Do not use in fire pump rooms.
- g. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.4.2 Restrictions Applicable to Nonmetallic Conduit

a. PVC Schedule 40.

- (1) Do not use where subject to physical damage, including but not limited to, mechanical equipment rooms, electrical equipment rooms, fire pump rooms, and where restrictions are applying to both PVC Schedule 40 and PVC Schedule 80.
- (2) Do not use above grade, except where allowed in this section for rising through floor slab or indicated otherwise.

b. PVC Schedule 80.

- (1) Do not use where subject to physical damage, including but not limited to, hospitals, power plant, missile magazines, and other such areas.
- (2) Do not use in hazardous (classified) areas.
- (3) Do not use in penetrating fire-rated walls or partitions, or fire-rated floors.

3.1.4.3 Restrictions Applicable to Flexible Conduit

Use only as specified in paragraph FLEXIBLE CONNECTIONS. Do not use when the enclosed conductors must be shielded from the effects of High-altitude Electromagnetic Pulse (HEMP).

3.1.4.4 Underground Conduit

Plastic-coated rigid steel; plastic-coated steel IMC; PVC, Type EPC-40; or fiberglass. Convert nonmetallic conduit, other than PVC Schedule 40 or 80, to plastic-coated rigid, or IMC, steel conduit before rising through floor slab. Plastic coating: extend minimum 6 inches above floor.

3.1.4.5 Conduit Support

Support conduit by pipe straps, wall brackets, threaded rod conduit hangers, or ceiling trapeze. Plastic cable ties are not acceptable. Fasten by wood screws to wood; by toggle bolts on hollow masonry units; by concrete inserts or expansion bolts on concrete or brick; and by machine screws, welded threaded studs, or spring-tension clamps on steel work. Threaded C-clamps may be used on rigid steel conduit only. Do not weld conduits or pipe straps to steel structures. Do not exceed one-fourth proof test load for load applied to fasteners. Provide vibration resistant and shock-resistant fasteners attached to concrete ceiling. Do not cut main reinforcing bars for any holes cut to depth of more than 1 1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete joints. Fill unused holes. In partitions of light steel construction, use sheet metal screws. In suspended-ceiling construction, run conduit above ceiling. Do not support conduit by ceiling support system. Conduit and box systems: supported independently of both (a) tie wires supporting ceiling grid system, and (b) ceiling grid system into which ceiling panels are placed. Do not share supporting means between electrical raceways and mechanical piping or ducts. Identify independent conduit support in both fire and non-fire rated assemblies per NFPA 70. Coordinate installation with above-ceiling mechanical systems to assure maximum accessibility to all systems. Spring-steel fasteners may be used

for lighting branch circuit conduit supports in suspended ceilings in dry locations. Support exposed risers in wire shafts of multistory buildings by U-clamp hangers at each floor level and at 10 foot maximum intervals. Where conduit crosses building expansion joints, provide suitable watertight expansion fitting that maintains conduit electrical continuity by bonding jumpers or other means. For conduits greater than 2 1/2 inches inside diameter, provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.4.6 Directional Changes in Conduit Runs

Make changes in direction of runs with symmetrical bends or cast-metal fittings. Make field-made bends and offsets with hickey or conduit-bending machine. Do not install crushed or deformed conduits. Avoid trapped conduits. Prevent plaster, dirt, or trash from lodging in conduits, boxes, fittings, and equipment during construction. Free clogged conduits of obstructions.

3.1.4.7 Locknuts and Bushings

Fasten conduits to sheet metal boxes and cabinets with two locknuts where required by NFPA 70, where insulated bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, use at least minimum single locknut and bushing. Provide locknuts with sharp edges for digging into wall of metal enclosures. Install bushings on ends of conduits, and provide insulating type where required by NFPA 70.

3.1.4.8 Flexible Connections

Provide flexible steel conduit between 3 and 6 feet in length for recessed and semirecessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; and for motors. Install flexible conduit to allow 20 percent slack. Minimum flexible steel conduit size: 1/2 inch diameter. Provide liquid tight flexible nonmetallic conduit in wet and damp locations and in fire pump rooms for equipment subject to vibration, noise transmission, movement or motors. Provide separate ground conductor across flexible connections. Plastic cable ties are not acceptable as a support method.

3.1.5 Busway Installation

Comply at minimum with NFPA 70. Install busways parallel with or at right angles to ceilings, walls, and structural members. Support busways at 5 foot maximum intervals, and brace to prevent lateral movement. Provide fixed type hinges on risers; spring-type are unacceptable. Provide flanges where busway makes penetrations through walls and floors, and seal to maintain smoke and fire ratings. Provide waterproof curb where busway riser passes through floor. Seal gaps with fire-rated foam and caulk. Provide expansion joints, but only where bus duct crosses building expansion joints. Provide supports to resist forces of 0.5 times the equipment weight in any direction and 1.5 times the equipment weight in the downward direction.

3.1.6 Boxes, Outlets, and Supports

Provide boxes in wiring and raceway systems wherever required for pulling of wires, making connections, and mounting of devices or fixtures. Boxes for metallic raceways: cast-metal, hub-type when located in wet

locations, when surface mounted on outside of exterior surfaces, when surface mounted on interior walls exposed up to 7 feet above floors and walkways, or when installed in hazardous areas and when specifically indicated. Boxes in other locations: sheet steel, except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic sheathed cable conduit system. Provide each box with volume required by NFPA 70 for number of conductors enclosed in box. Boxes for mounting lighting fixtures: minimum 4 inches square, or octagonal, except that smaller boxes may be installed as required by fixture configurations, as approved. Boxes for use in masonry-block or tile walls: square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers. Provide gaskets for cast-metal boxes installed in wet locations and boxes installed flush with outside of exterior surfaces. Provide separate boxes for flush or recessed fixtures when required by fixture terminal operating temperature; provide readily removable fixtures for access to boxes unless ceiling access panels are provided. Support boxes and pendants for surface-mounted fixtures on suspended ceilings independently of ceiling supports. Fasten boxes and supports with wood screws on wood, with bolts and expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screws or welded studs on steel. Threaded studs driven in by powder charge and provided with lock washers and nuts or nail-type nylon anchors may be used in lieu of wood screws, expansion shields, or machine screws. In open overhead spaces, cast boxes threaded to raceways need not be separately supported except where used for fixture support; support sheet metal boxes directly from building structure or by bar hangers. Where bar hangers are used, attach bar to raceways on opposite sides of box, and support raceway with approved-type fastener maximum 24 inches from box. When penetrating reinforced concrete members, avoid cutting reinforcing steel.

3.1.6.1 Boxes

Boxes for use with raceway systems: minimum 1 1/2 inches deep, except where shallower boxes required by structural conditions are approved. Boxes for other than lighting fixture outlets: minimum 4 inches square, except that 4 by 2 inch boxes may be used where only one raceway enters outlet.

3.1.6.2 Pull Boxes

Construct of at least minimum size required by NFPA 70 of code-gauge aluminum or galvanized sheet steel, and compatible with nonmetallic raceway systems, except where cast-metal boxes are required in locations specified herein. Provide boxes with screw-fastened covers. Where several feeders pass through common pull box, tag feeders to indicate clearly electrical characteristics, circuit number, and panel designation.

3.1.6.3 Extension Rings

Extension rings are not permitted for new construction. Use only on existing boxes in concealed conduit systems where wall is furred out for new finish.

3.1.7 Mounting Heights

Mount panelboards, enclosed circuit breakers, motor controller and disconnecting switches so height of center of grip of the operating handle of the switch or circuit breaker at its highest position is maximum 79

inches above floor or working platform or as allowed in Section 404.8 per NFPA 70. Mount lighting switches 48 inches above finished floor. Mount receptacles and telecommunications outlets 18 inches above finished floor, unless otherwise indicated. Wall-mounted telecommunications outlets: mounted at height 60 inches above finished floor or indicated. Mount other devices as indicated. Measure mounting heights of wiring devices and outlets in non-hazardous areas to center of device or outlet. Measure mounting heights of receptacle outlet boxes in the hazardous area to the bottom of the outlet box.

3.1.8 Nonmetallic Sheathed Cable Installation

Where possible, install cables concealed behind ceiling or wall finish. Thread cables through holes bored on approximate centerline of wood members; notching of end surfaces is not permitted. Provide sleeves through concrete or masonry for threading cables. Install exposed cables parallel to or at right angles to walls or structural members. Protect exposed nonmetallic sheathed cables less than 4 feet above floors from mechanical injury by installation in conduit or tubing. When cable is used in metal stud construction, insert plastic stud grommets in studs at each point through which cable passes, prior to installation of cable.

3.1.9 Mineral Insulated, Metal Sheathed (Type MI) Cable Installation

Mineral-insulated, metal-sheathed cable system, Type MI, may be used in lieu of exposed conduit and wiring. Conductor sizes: not less than those indicated for the conduit installation. Fasten cables within 12 inches of each turn or offset and at 33 inches maximum intervals. Make cable terminations in accordance with NFPA 70 and cable manufacturer's recommendations. Terminate single-conductor cables of a circuit, having capacities of more than 50 amperes, in a single box or cabinet opening. Color code individual conductors in all outlets and cabinets.

3.1.10 Conductor Identification

Provide conductor identification within each enclosure where tap, splice, or termination is made. For conductors No. 6 AWG and smaller diameter, provide color coding by factory-applied, color-impregnated insulation. For conductors No. 4 AWG and larger diameter, provide color coding by plastic-coated, self-sticking markers; colored nylon cable ties and plates; or heat shrink-type sleeves. Identify control circuit terminations in accordance with manufacturer's recommendations.

3.1.10.1 Marking Strips

Provide marking strips for identification of power distribution, control, data, and communications cables in accordance with the following:

- a. Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations.
- b. Use permanent ink for the wire numbers
- c. Provide reversible marking strips to permit marking both sides, or provide two marking strips with each block.
- d. Size marking strips to accommodate the two sets of wire numbers.
- e. Assign a device designation in accordance with NEMA ICS 1 to each

device to which a connection is made. Mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams.

- f. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations.
- g. Prints of the marking strips drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

3.1.11 Splices

Make splices in accessible locations. Make splices in conductors No. 10 AWG and smaller diameter with insulated, pressure-type connector. Make splices in conductors No. 8 AWG and larger diameter with solderless connector, and cover with insulation material equivalent to conductor insulation.

3.1.11.1 Splices of Aluminum Conductors

Make with solderless circumferential compression-type, aluminum-bodied connectors UL listed for AL/CU. Remove surface oxides from aluminum conductors by wire brushing and immediately apply oxide-inhibiting joint compound and insert in connector. After joint is made, wipe away excess joint compound, and insulate splice.

3.1.12 Terminating Aluminum Conductors

3.1.12.1 Termination to Copper Bus

Terminate aluminum conductors to copper bus either by: (a) inline splicing a copper pigtail, of ampacity at least that of aluminum conductor, or (b) utilizing circumferential, compression-type, aluminum-bodied terminal lug UL listed for AL/CU, and steel Belleville cadmium-plated hardened steel spring washers, flat washers, bolts, and nuts. Carefully install Belleville spring washers with crown up toward nut or bolt head, with concave side of Belleville bearing on heavy-duty, wide series flat washer of larger diameter than Belleville. Tighten nuts sufficiently to flatten Belleville, and leave in position. Lubricate hardware with joint compound prior to making connection. Wire brush and apply joint compound to conductor prior to inserting in lug.

3.1.12.2 Termination to Aluminum Bus

Terminate aluminum conductors to aluminum bus by using aluminum nuts, bolts, washers, and compression lugs. Wire brush and apply joint compound to conductor prior to inserting in lug. Lubricate hardware with joint compound prior to making connection. When bus contact surface is unplated, scratch-brush and coat with joint compound, without grit.

3.1.13 Covers and Device Plates

Install with edges in continuous contact with finished wall surfaces

without use of mats or similar devices. Plaster fillings are not permitted. Install plates with alignment tolerance of 1/16 inch. Use of sectional-type device plates are not permitted. Provide gasket for plates installed in wet locations.

3.1.14 Electrical Penetrations

Seal openings around electrical penetrations through fire resistance-rated walls, partitions, floors, or ceilings in accordance with Section 07 84 00 FIRESTOPPING.

3.1.15 Grounding and Bonding

Provide in accordance with NFPA 70 and NFPA 780. Ground exposed, non-current-carrying metallic parts of electrical equipment, access flooring support system, metallic raceway systems, grounding conductor in metallic and nonmetallic raceways, telecommunications system grounds, grounding conductor of nonmetallic sheathed cables, and neutral conductor of wiring systems. Make ground connection at main service equipment, and extend grounding conductor to point of entrance of metallic water service. Make connection to water pipe by suitable ground clamp or lug connection to plugged tee. If flanged pipes are encountered, make connection with lug bolted to street side of flanged connection. Supplement metallic water service grounding system with additional made electrode in compliance with NFPA 70. In addition to the requirements specified herein, provide telecommunications grounding in accordance with TIA-607. Where ground fault protection is employed, ensure that connection of ground and neutral does not interfere with correct operation of fault protection.

3.1.15.1 Ground Rods

Provide ground rods and measure the resistance to ground using the fall-of-potential method described in IEEE 81. Do not exceed 25 ohms under normally dry conditions for the maximum resistance of a driven ground. If this resistance cannot be obtained with a single rod, additional rods, spaced on center. Spacing for additional rods must be a minimum of 10 feet, or if sectional type rods are used, additional sections may be coupled and driven with the first rod. In high-ground-resistance, UL listed chemically charged ground rods may be used. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, notify the Contracting Officer who will decide on the number of ground rods to add.

3.1.15.2 Grounding Connections

Make grounding connections which are buried or otherwise normally inaccessible, excepting specifically those connections for which access for periodic testing is required, by exothermic weld or high compression connector.

- a. Make exothermic welds strictly in accordance with the weld manufacturer's written recommendations. Welds which are "puffed up" or which show convex surfaces indicating improper cleaning are not acceptable. Mechanical connectors are not required at exothermic welds.
- b. Make high compression connections using a hydraulic or electric compression tool to provide the correct circumferential pressure.

Provide tools and dies as recommended by the manufacturer. Use an embossing die code or other standard method to provide visible indication that a connector has been adequately compressed on the ground wire.

3.1.15.3 Ground Bus

Provide a copper ground bus in the electrical equipment rooms as indicated. Noncurrent-carrying metal parts of transformer neutrals and other electrical equipment: effectively grounded by bonding to the ground bus. Bond the ground bus to both the entrance ground, and to a ground rod or rods as specified above having the upper ends terminating approximately 4 inches above the floor. Make connections and splices of the brazed, welded, bolted, or pressure-connector type, except use pressure connectors or bolted connections for connections to removable equipment. For raised floor equipment rooms in computer and data processing centers, provide a minimum of four, one at each corner, ground buses connected to the building grounding system. Use bolted connections in lieu of thermoweld, so they can be changed as required by additions and alterations.

3.1.15.4 Resistance

Maximum resistance-to-ground of grounding system: do not exceed 5 ohms under dry conditions. Where resistance obtained exceeds 5 ohms, contact Contracting Officer for further instructions.

3.1.16 Equipment Connections

Provide power wiring for the connection of motors and control equipment under this section of the specification. Except as otherwise specifically noted or specified, automatic control wiring, control devices, and protective devices within the control circuitry are not included in this section of the specifications and are provided under the section specifying the associated equipment.

3.1.17 Repair of Existing Work

Perform repair of existing work, demolition, and modification of existing electrical distribution systems as follows:

3.1.17.1 Workmanship

Lay out work in advance. Exercise care where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings, or other surfaces is necessary for proper installation, support, or anchorage of conduit, raceways, or other electrical work. Repair damage to buildings, piping, and equipment using skilled craftsmen of trades involved.

3.1.17.2 Existing Concealed Wiring to be Removed

Disconnect existing concealed wiring to be removed from its source. Remove conductors; cut conduit flush with floor, underside of floor, and through walls; and seal openings.

3.1.17.3 Removal of Existing Electrical Distribution System

Removal of existing electrical distribution system equipment includes equipment's associated wiring, including conductors, cables, exposed conduit, surface metal raceways, boxes, and fittings, back to equipment's

power source as indicated.

3.1.17.4 Continuation of Service

Maintain continuity of existing circuits of equipment to remain. Maintain existing circuits of equipment energized. Restore circuits wiring and power which are to remain but were disturbed during demolition back to original condition.

3.1.18 Surge Protective Devices

Connect the surge protective devices in parallel to the power source, keeping the conductors as short and straight as practically possible. Maximum allowed lead length is 3 feet avoiding 90 degree bends. Do not locate surge protective devices inside a panelboard or switchboard enclosure.

3.2 FIELD FABRICATED NAMEPLATE MOUNTING

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets. Provide nameplate on all equipment in access controlled spaces and areas.

3.3 WARNING SIGN MOUNTING

Provide the number of signs required to be readable from each accessible side. Space the signs in accordance with NFPA 70E.

3.4 FIELD APPLIED PAINTING

Paint electrical equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Painting: as specified in Section 09 90 00 PAINTS AND COATINGS. Where field painting of enclosures for panelboards, load centers or the like is specified to match adjacent surfaces, to correct damage to the manufacturer's factory applied coatings, or to meet the indicated or specified safety criteria, provide manufacturer's recommended coatings and apply in accordance to manufacturer's instructions.

3.5 FIELD QUALITY CONTROL

Furnish test equipment and personnel and submit written copies of test results. Give Contracting Officer 5 working days notice prior to each test s. Where applicable, test electrical equipment in accordance with NETA ATS.

3.5.1 Devices Subject to Manual Operation

Operate each device subject to manual operation at least five times, demonstrating satisfactory operation each time.

3.5.2 600-Volt Wiring Test

Test wiring rated 600 volt and less to verify that no short circuits or accidental grounds exist. Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of 1,000 volts DC for 600 volt rated wiring and 500 volts DC for 300 volt rated wiring per NETA ATS to provide direct reading of resistance. All existing wiring to be reused must also be tested.

3.5.3 Transformer Tests

Perform the standard, not optional, tests in accordance with the Inspection and Test Procedures for transformers, dry type, air-cooled, 600 volt and below; as specified in NETA ATS. Measure primary and secondary voltages for proper tap settings. Tests need not be performed by a recognized independent testing firm or independent electrical consulting firm.

3.5.4 Ground-Fault Receptacle Test

Test ground-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed. Press the TEST button and then the RESET button to verify by LED status that the device is a self-test model as specified in UL 943.

3.5.5 Arc-Fault Receptacle Test

Test arc-fault receptacles with a "load" (such as a plug in light) to verify that the "line" and "load" leads are not reversed. Press the TEST button and then the RESET button to verify by LED status that the device is a self-test model as specified in UL 1699.

3.5.6 Grounding System Test

Test grounding system to ensure continuity, and that resistance to ground is not excessive. Test each ground rod for resistance to ground before making connections to rod; tie grounding system together and test for resistance to ground. Make resistance measurements in dry weather, not earlier than 48 hours after rainfall. Submit written results of each test to Contracting Officer, and indicate location of rods as well as resistance and soil conditions at time measurements were made.

3.5.7 Phase Rotation Test

Perform phase rotation test to ensure proper rotation of service power prior to operation of new or reinstalled equipment using a phase rotation meter. Follow the meter manual directions performing the test.

-- End of Section --

SECTION 26 23 00

LOW-VOLTAGE SWITCHGEAR

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.1 (2014; Errata 2016) Electric Meters - Code for Electricity Metering

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7 (2017) Minimum Design Loads for Buildings and Other Structures

ASTM INTERNATIONAL (ASTM)

ASTM A123/A123M (2017) Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

ASTM A153/A153M (2023) Standard Specification for Zinc Coating (Hot-Dip) on Iron and Steel Hardware

ASTM A240/A240M (2024) Standard Specification for Chromium and Chromium-Nickel Stainless Steel Plate, Sheet, and Strip for Pressure Vessels and for General Applications

ASTM A653/A653M (2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process

ASTM A780/A780M (2020) Standard Practice for Repair of Damaged and Uncoated Areas of Hot-Dip Galvanized Coatings

ASTM D149 (2020) Dielectric Breakdown Voltage and Dielectric Strength of Solid Electrical Insulating Materials at Commercial Power Frequencies

ASTM D709 (2017) Standard Specification for Laminated Thermosetting Materials

ASTM D1535 (2014; R 2018) Standard Practice for Specifying Color by the Munsell System

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81	(2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE 1584	(2018; E 2019) Guide for Performing Arc-Flash Hazard Calculations
IEEE C37.13	(2015) Standard for Low-Voltage AC Power Circuit Breakers Used in Enclosures
IEEE C37.20.1	(2020) Metal-Enclosed Low-Voltage (1000 Vac and below, 3200 Vdc and below) Power Circuit-Breaker Switchgear
IEEE C37.20.7	(2017; Corr 2021) Guide for Testing Switchgear Rated Up to 52 kV for Internal Arcing Faults
IEEE C57.12.28	(2023) Standard for Pad-Mounted Equipment - Enclosure Integrity
IEEE C57.12.29	(2023) Standard for Pad-Mounted Equipment - Enclosure Integrity for Coastal Environments
IEEE C57.13	(2016) Standard Requirements for Instrument Transformers

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

INTERNATIONAL ORGANIZATION FOR STANDARDIZATION (ISO)

ISO 9001	(2015) Quality Management Systems- Requirements

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(2022) Standard for Industrial Control and Systems: General Requirements
NEMA ICS 2	(2000; R 2020) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated 600 V
NEMA ICS 6	(1993; R 2016) Industrial Control and Systems: Enclosures

NEMA LI 1	(1998; R 2011) Industrial Laminating Thermosetting Products
NEMA ST 20	(2014) Dry-Type Transformers for General Applications

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 467	(2022) UL Standard for Safety Grounding and Bonding Equipment
UL 508A	(2018; Reprint Jul 2022) UL Standard for Safety Industrial Control Panels
UL 1558	(2016; Reprint Nov 2019) UL Standard for Safety Metal-Enclosed Low-Voltage Power Circuit Breaker Switchgear

1.2 RELATED REQUIREMENTS

Section 26 08 00 APPARATUS INSPECTION AND TESTING and Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS applies to this section, with the additions and modifications specified herein.

1.3 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE 100.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Switchgear Drawings; G

SD-03 Product Data

Switchgear; G

SD-06 Test Reports

Switchgear Design Tests; G

Switchgear Production Tests; G

Acceptance Checks and Tests; G

SD-10 Operation and Maintenance Data

Switchgear Operation and Maintenance, Data Package 5; G

SD-11 Closeout Submittals

Assembled Operation and Maintenance Manuals; G

Equipment Test Schedule; G

Request for Settings; G

Required Settings; G

Service Entrance Available Fault Current Label; G

1.5 QUALITY ASSURANCE

1.5.1 Product Data

Include manufacturer's information on each submittal for each component, device and accessory provided with the switchgear including:

- a. Circuit breaker type, interrupting rating, and trip devices, including available settings.
- b. Manufacturer's instruction manuals and published time-current curves (in electronic format) of the main secondary breaker and largest secondary feeder device.

1.5.2 Manufacture Qualifications

Manufacturer must be a firm engaged in the manufacture of switchgear of the types and sizes required and whose products have been in satisfactory use in similar service for a minimum of 5 years.

- a. Switchgear must be the product of a single manufacturer. The manufacturer of the assembly must be the manufacturer of the major components within the assembly.
- b. For the equipment specified herein, the manufacturer must be ISO 9001 certified.
- c. The manufacturer or their representative must have service, repair, and technical support services available 24 hours, 7 days a week.
- d. Switchgear must be provided by a manufacturer who offers integrated supply of switchgear enclosures, buses, circuit breakers, and associated control hardware. All major components of the switchgear, including but not limited to enclosures, buses, buckets, circuit breakers, and any other major components must be made and offered by the same manufacturer and must be branded, marketed and manufactured by the same manufacturer as part of an integrated product line available as a catalog product offered through distributor networks with readily available spare parts.
- e. The manufacturer of this equipment must have produced similar electrical equipment for a minimum period of 5 years. When requested by the Engineer, provide an acceptable list of installations with similar equipment demonstrating compliance with this requirement.

1.5.3 Acceptance Testing Qualifications

Testing personnel must show at least 3 years of experience testing similar systems.

- a. Testing personnel must be familiar with NETA ATS and manufacturer's testing recommendations.
- b. Testing personnel must be experienced in manufacturer's acceptance testing recommendations and NETA ATS requirements.
- c. Testing must be performed by a NETA-accredited testing service. Testing service must provide a NETA-certified technician to perform the testing to NETA ATS and manufacturer's acceptance testing recommendations.

1.5.4 Switchgear Drawings

Include wiring diagrams and installation details of equipment indicating proposed location, layout and arrangement, control panels, accessories, piping, ductwork, and other items that must be shown to ensure a coordinated installation. Identify circuit terminals on wiring diagrams and indicate the internal wiring for each item of equipment and the interconnection between each item of equipment. Indicate on the drawings adequate clearance for operation, maintenance, and replacement of operating equipment devices. Include the nameplate data, size, and capacity on submittal. Also include applicable industry, and technical society publication references on submittals. Include the following:

- a. One-line diagram including breakers, fuses, current transformers, and meters.
- b. Outline drawings including front elevation, section views, footprint, and overall dimensions.
- c. Bus configuration including dimensions and ampere ratings of bus bars.
- d. Markings and NEMA nameplate data, including fuse information (manufacturer's name, catalog number, and ratings). Comply with the IEEE C37.20.1 section 7.1.4.1 for minimum information for switchgear assembly nameplates.
- e. Circuit breaker type, interrupting rating, and trip devices, including available settings.
- f. Wiring diagrams and elementary diagrams with terminals identified, and indicating prewired interconnections between items of equipment and the interconnection between the items.
- g. Manufacturer's instruction manuals and published time-current curves (in electronic format) of the main secondary breaker and largest secondary feeder device. Use this information (designer of record) to provide breaker settings that ensures protection and coordination are achieved. For Navy installations, provide electronic format curves using SKM's Power Tools for Windows device library electronic format or EasyPower device library format depending on installation modeling software requirements.
- h. Relaying and SCADA equipment diagrams.

- i. Provisions for future expansion by adding switchgear sections.

1.5.5 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" or "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Provide equipment, materials, installation, and workmanship in accordance with the mandatory and advisory provisions of NFPA 70 unless more stringent requirements are specified or indicated.

1.5.6 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship, and:

- a. Have been in satisfactory commercial or industrial use for 2 years prior to bid opening including applications of equipment and materials under similar circumstances and of similar size.
- b. Have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period.
- c. Where two or more items of the same class of equipment are required, provide products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.6.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.6.2 Material and Equipment Manufacturing Date

Products manufactured more than 1 year prior to date of delivery to site are not acceptable.

1.6 MAINTENANCE

1.6.1 Switchgear Operation and Maintenance Data

Submit Operation and Maintenance Manuals in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA.

1.6.2 Assembled Operation and Maintenance Manuals

Assemble and securely bind manuals in durable, hard covered, water resistant binders. Assemble and index the manuals in the following order with a table of contents:

- a. Manufacturer's O&M information required by the paragraph SD-10,

OPERATION AND MAINTENANCE DATA.

- b. Catalog data required by the paragraph SD-03, PRODUCT DATA.
- c. Drawings required by the paragraph SD-02, SHOP DRAWINGS.
- d. Prices for spare parts and supply list.
- e. Information on metering.
- f. Design test reports.
- g. Production test reports.

1.6.3 Spare Parts

Provide spare parts as specified below. Provide spare parts that are of the same material and workmanship, meet the same requirements, and are interchangeable with the corresponding original parts furnished.

- a. Quantity 2 - Fuses of each type and size.

1.7 WARRANTY

Provide equipment items that are supported by service organizations reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

PART 2 PRODUCTS

2.1 PRODUCT COORDINATION

Products and materials not considered to be switchgear and related accessories are specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION, and Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.2 SWITCHGEAR

IEEE C37.20.1 and UL 1558.

2.2.1 Ratings

Provide equipment with the following ratings:

- a. Voltage rating: 480Y/277/208Y/120 volts AC, 3 or 4-wire three-phase, 3 or 4-wire as indicated.
- b. Continuous current rating of the main bus: as indicated.
- c. Short-circuit current rating: as indicated.
- d. The assembly must be rated to withstand mechanical forces exerted during short-circuit conditions when connected directly to a power source having available fault current of amperes symmetrical at rated voltage as shown on the drawings.
- e. The bus system must have a minimum ANSI short-circuit withstand rating of amperes symmetrical tested in accordance with IEEE C37.20.1, CSA

C22.2 No. 31, and UL 1558.

- f. UL listed and labeled for its intended use.

2.2.2 Construction

Provide the following:

- a. Switchgear: consisting of vertical sections bolted together to form a rigid assembly and rear aligned as indicated.
- b. All circuit breakers: front accessible with rear load connections.
- c. Compartmentalized switchgear: vertical insulating barriers between the front device section, the main bus section, and the cable compartment with full front to rear vertical insulating barriers between adjacent sections.
- d. Where indicated, "space for future" or "space" means to include all necessary components and hardware to be fully equipped for racking in a circuit breaker element.
- e. Insulating barriers: provided in accordance with NEMA LI 1, Type GPO-3, 0.25 inch minimum thickness.
- f. Moisture resistant coating: applied to all rough-cut edges of barriers.
- g. Switchgear: Arc-resistant Type 1C, tested in accordance with IEEE C37.20.7.

2.2.2.1 Enclosure

Provide the following:

- a. Enclosure: outdoor NEMA ICS 6 Type as indicated.
- b. Enclosure: bolted together with removable bolt-on side and hinged rear covers, and sloping roof downward toward rear.
- c. Front and rear doors: provided with stainless steel padlockable vault handles with a three point catch.
- d. Bases, frames and channels of enclosure: corrosion resistant and fabricated of ASTM A240/A240M type 304 or 304L stainless steel or galvanized steel.
- e. Base: includes any part of enclosure that is within 3 inches of concrete pad.
- f. Galvanized steel: ASTM A123/A123M, ASTM A653/A653M G90 coating, and ASTM A153/A153M, as applicable. Galvanize after fabrication where practicable.
- g. Paint color: ASTM D1535 light gray No. 61 or No. 49 over rust inhibitor.
- h. Paint coating system: comply with IEEE C57.12.28 for galvanized steel and IEEE C57.12.29 for stainless steel.

- i. Infrared viewing windows: install to allow the use of an infrared camera or thermal imager direct line of site to inspect electrical connections without requiring the opening of panels and doors. These windows are intended to allow thermographers the ability to inspect the electrical equipment without directly exposing themselves to live electrical components and energized devices.
 - (1) The infrared viewing window must be a UL recognized component.
 - (2) Switchgear must include solid infrared transmitting media viewports to be used with an infrared camera. Provide viewing windows to allowing viewing of all termination locations.
 - (3) Viewing window must maintain the NEMA 250 environmental rating of the switchgear enclosure.
 - (4) Provide a metallic cover with the viewing window. Cover and fastener must be permanently attached.
- j. Provide a continuous thermal monitoring sensors and system for cable and bus bolted connections.

2.2.2.2 Arc Resistance Enclosure

Provide low-voltage metal enclosed, draw-out switchgear constructed to IEEE C37.20.1 standards and designed to contain the effects of arc flash events inside the switchgear per IEEE C37.20.7. Switchgear must be certified as Type 2B arc-resistant in a NEMA 250 Type 1 enclosure."

Provide switchgear with a ventilation system that allows exhaust of arc gases regardless of the origination location of the arc event. The ventilation system must be designed to exhaust arc events originating in the circuit breaker cell, bus compartment, and rear cable compartment.

Arc resistant switchgear must not have an arc plenum, and must require 10 feet minimum floor-to-ceiling height for arc exhaust, with no obstructions in the space above the switchgear.

Provide Arc resistant switchgear with an arc plenum included that has been tested according to IEEE C37.20.7 from the same manufacture as the switchgear. Provide external connections to an arc duct. Coordinate exterior location to ensure duct exhausts are free from obstructions.

2.2.2.3 Bus Bars

Provide the following:

- a. Bus bars: copper with silver-plated contact surfaces or aluminum with tin-plated contact surfaces.
 - (1) Phase bus bars: insulated with an epoxy finish coating powder or insulating sleeve providing a minimum breakdown voltage of 16,000 volts per ASTM D149.
 - (2) Neutral bus: rated 100 percent of the main bus continuous current rating as indicated.

- b. Make bus connections and joints with hardened steel bolts.
- c. Main-bus (through bus): rated at the full ampacity of the main throughout the switchgear.
- d. Minimum one-quarter by 2 inch copper ground bus secured to each vertical section along the entire length of the switchgear.

2.2.2.4 Main Section

Provide the main section consisting of an individually mounted.

2.2.2.5 Distribution Sections

Provide the distribution sections consisting of individually mounted, air power circuit breakers with current-limiting fuses and utility transformer compartments as indicated.

2.2.2.6 Auxiliary Sections

Provide auxiliary sections consisting of indicated instruments, metering equipment, control equipment, transformer, and current transformer compartments as indicated.

2.2.2.7 Handles

Provide handles for individually mounted devices of the same design and method of external operation. Label handles prominently to indicate device ampere rating, color coded for device type. Identify ON-OFF indication by handle position and by prominent marking.

2.2.2.8 Seismic

Equipment must be Seismic Qualified and Certified by 3rd party testing to meet ASCE 7/IBC. Equipment capacity must be determined from tri-axial seismic shake table test results as defined in the International Code Council Evaluation Service (ICC ES) Acceptance Criteria for Seismic Qualification Testing of Nonstructural Components (AC156). Apply an equipment importance factor of 1.5 for seismic test. See 26 05 48 SEISMIC PROTECTION FOR ELECTRICAL EQUIPMENT for additional requirements.

Equipment must have Pre-approval (OSP) under California Office of Statewide Health Planning & Development (OSHPD) Special Seismic Certification program for California Healthcare Facilities.

2.2.3 Protective Device

Provide main and branch protective devices as indicated.

Provide the following:

- a. IEEE C37.13. electrically operated drawout, unfused, low-voltage power circuit breaker with a short-circuit current rating as indicated at volts.
- b. Breaker frame size: as indicated.
- c. Equip electrically operated breakers with motor-charged, stored-energy

closing mechanism to permit rapid and safe closing of the breaker against fault currents within the short time rating of the breaker, independent of the operator's strength or effort in closing the handle.

- d. Protective relay. Provide relays capable of communicating with RS485. Relays must be equipped with self-checking diagnostics. Coordinate relay requirements with instrument transformers for proper operation. Provide a microprocessor-based relay equipped with the following combination of functions including protection, monitoring, control, automation, and reporting functions. Provide test switches for testing or protective relay. Provide a switch for each function.
 - (1) Overcurrent protection with time overcurrent elements for phase, neutral, and ground.
 - (2) Under- and overvoltage elements for protection and control schemes.
 - (3) Frequency protection with under and overfrequency elements for detection of power system frequency disturbances.
- e. Transformer Terminal Unit
 - (1) Provide a transformer terminal unit which monitors the transformer's running state in real time. Monitoring must include voltage, current, power factor, load unbalance, and temperature. Monitoring must provide data necessary to conduct preventive maintenance and planning.
 - (2) Provide with flexible input and output for connection and disconnection of transformer.

2.2.4 Drawout Breakers

Equip drawout breakers with disconnecting contacts, wheels, and interlocks for drawout application. Provide main, auxiliary, and control disconnecting contacts with silver-plated, multifinger, positive pressure, self-aligning type. Provide drawout compartment shutters to protect operators from accidental contact with breaker stabs when the breaker is withdrawn from its cubicle. Provide each drawout breaker with four-position operation with each position clearly identified by an indicator on the circuit breaker front panel as follows.

- a. Connected Position: Primary and secondary contacts are fully engaged. Breaker must be tripped before racking into or out of position. Manufacture standard indicator.
- b. Test Position: Primary contacts are disconnected but secondary contacts remain fully engaged. This position allows complete test and operation of the breaker without energizing the primary circuit. Manufacture standard indicator.
- c. Disconnected Position: Primary and secondary contacts are disconnected. Manufacture standard indicator.
- d. Withdrawn (Removed) Position: Places breaker completely out of compartment, ready for removal. Removal of the breaker actuates assembly that isolates the primary stabs. No indicator is required when the breaker is fully withdrawn.

2.2.5 Arc Mitigation

Where indicated on the one-line diagram, provide the following:

a. Arc Flash Limiting Feeder breakers

- (1) Equip circuit breakers with Flash Limiting Feeder option for arc flash limiting.
- (2) Circuit breakers must be suitable for 200kA short circuit rating without the use of current limiting fuses.
- (3) Provide circuit breaker published testing data and IEEE 1584 equations to support the reduction of arc flash incident energy and flash protection boundary.

b. Zone Selective Interlocking

- (1) Preserve desired selective coordination between main(s), ties(s), and feeder(s) protective devices.
- (2) Provide wired connections between relays or protective devices.

c. Energy Reduction Maintenance Setting Switch.

- (1) For each Main, Tie or Feeder circuit breaker, provide a maintenance OFF-ON selector switch on the breaker compartment door to temporarily switch the circuit breaker to ERMS-mode tripping characteristics during maintenance activities.
- (2) Provide trip unit with a separate trip curve for the arc energy-reducing maintenance setting.
- (3) Operate trip unit in fast instantaneous trip mode (25 to 30 ms), when ERMS trip curve is active.
- (4) Provide a lock feature so that the ERMS may be locked in the ON position.
- (5) Provide a blue indicating light to indicate the ERMS is enabled.
- (6) Provide ERMS mode indication via remote communication network to plant control system.

d. Arc Flash Detection System

- (1) Provide an arc flash detection system to satisfy NFPA 70 Article 240.87 requirements.
- (2) Provide a system consisting of an arc flash detection relay, point light sensors and current transformers per source bus.
- (3) Place relay light sensors in the cable, bus and circuit breaker compartments to provide comprehensive switchgear protection. Switchgear manufacturer must test and prove effective the locations of point sensors.
- (4) For the arc flash detection system, utilize techniques to prevent nuisance tripping from the light emitted when an air circuit

breaker interrupts a fault downstream from the switchgear during normal operation.

- (5) Install the arc flash detection system at the factory.
- (6) Provide control power for the arc flash detection system from an external power source.

2.2.6 Remote Racking

Provide a remote racking mechanism to allow an operator to rack a circuit breaker in or out from at least 20 feet away from the front of the equipment.

2.2.7 Electronic Trip Units

Equip main and distribution breakers as indicated with a solid-state tripping system consisting of three current sensors and a microprocessor-based trip unit that provides true rms sensing adjustable time-current circuit protection. Include the following:

- a. Current sensors ampere rating: as indicated.
- b. Trip unit ampere rating: as indicated.
- c. Ground fault protection: as indicated.
- d. Electronic trip units: provide additional features as indicated:
 - (1) Indicated Breakers: include long delay pick-up and time settings, and indication of cause of circuit breaker trip.
 - (2) Main breakers: include short delay pick-up and time settings and, instantaneous settings and ground fault settings as indicated.
 - (3) Distribution breakers: include short delay pick-up and time settings, instantaneous settings, and ground fault settings as indicated.
 - (4) Main Breakers: include a digital display for phase and ground current.
 - (5) Main Breakers: include a digital display for watts, vars, VA, kWh, kvarh, and kVAh.
 - (6) Main Breakers: include a digital display for phase voltage, and percent THD voltage and current.
 - (7) Main Breakers: include provisions for communication via a network twisted pair cable for remote monitoring and control. Provide the following communications protocol: IEC 61850.
 - (8) For electronic trip units that are rated for or can be adjusted to 1,200 amperes or higher, provide arc energy reduction capability with an energy-reducing maintenance switch with local status indicator.

2.2.8 Metering

2.2.8.1 Electronic Watthour Meter

Provide as specified in Section 26 27 13.10 30 ELECTRIC METERS.

ANSI C12.1. Provide a switchgear style electronic programmable watthour meter, semi-flush mounted, as indicated. Meter can be either programmed at the factory or programmed in the field. Turn field programming device over to the Contracting Officer at completion of project. Coordinate meter to system requirements.

- a. Design: Provide meter designed for use on a 3-phase, 4-wire, 208Y/120 volt system with 3 current transformers. Include necessary KYZ pulse initiation hardware for Energy Monitoring and Control System (EMCS).
- b. Coordination: Provide meter coordinated with ratios of current transformers and transformer secondary voltage.
- c. Class: 20. Accuracy: plus or minus 1.0 percent. Finish: Class II.
- d. Kilowatt-hour Register: five digit electronic programmable type.
- e. Demand Register:
 - (1) Provide solid state.
 - (2) Display actual values and readings of the metered circuit. No multipliers must be required.
 - (3) Demand interval length: programmed for 30 minutes with rolling demand up to six subintervals per interval.
- f. Meter fusing: Provide a fuse block mounted in the metering compartment containing one fuse per phase to protect the voltage input to the watthour meter. Size fuses as recommended by the meter manufacturer.
- g. Provide meter with a communications port, RS485, with Modbus RTU serial or Ethernet, Modbus-TCP communications.

IEEE C57.13. Provide single ratio transformers, 60 hertz, to 5-ampere ratio, rating factor, with a metering accuracy class of 0.3 through .

Provide a fuse block mounted in the metering compartment containing one fuse per phase to protect the voltage input to voltage sensing meters. Size fuses as recommended by the meter manufacturer.

2.2.9 Transformer

Provide transformer section in switchgear in accordance with UL 1558 and as indicated. Provide the transformer and section that is suitable for the installation. Provide a transformer conforming to the requirements of Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM.

2.2.10 Heaters

Provide 120-volt heaters in each switchgear section. Provide heaters of sufficient capacity to control moisture condensation in the section, 250 watts minimum, and controlled by a thermostat and humidistat located in

the section. Provide industrial type thermostat, high limit, to maintain sections within the range of 60 to 90 degrees F. Provide humidistat with a range of 30 to 60 percent relative humidity. Obtain supply voltage for the heaters from a control power transformer within the switchgear. If heater voltage is different than switchgear voltage, provide transformer rated to carry 125 percent of heater full load rating. Provide transformer with a 220 degrees C insulation system with a temperature rise not exceeding 115 degrees C and conforming to NEMA ST 20. Energize electric heaters in switchgear assemblies while the equipment is in storage or in place prior to being placed in service. Provide method for easy connection of heater to external power source. Provide temporary, reliable external power source if commercial power at rated voltage is not available on site.

2.2.11 Terminal Boards

Provide with engraved plastic terminal strips and screw type terminals for external wiring between components and for internal wiring between removable assemblies. Provide short-circuiting type terminal boards associated with current transformer. Terminate conductors for current transformers with ring-tongue lugs. Provide terminal board identification that is identical in similar units. Provide color coded external wiring that is color coded consistently for similar terminal boards.

2.2.12 Standard Indicating Light

Provide indicator lights as indicated on the plans. Indicator lights must comply with NEMA ICS 1, NEMA ICS 2, and UL 508A. Lights must be heavy duty, round, metal bezel and must mount in a 0.875 inch mounting hole. Indicator lights must be LED type and must operate at 24 VDC. Long life bulbs must be used. For each indicator light, provide a legend plate labeled as shown on the drawings. Lens color must be as indicated on the drawings. Lights must be push-to-test type.

2.2.13 Wire Marking

Mark control and metering conductors at each end. Provide factory installed, white, plastic tubing, heat stamped with black block type letters. Each control wire must be marked to the origin zone/wire name/destination zone over the entire length of the wire using a cured ink process on factory-installed wiring. For all shipping split control wires, provide plug-in terminal blocks. Terminal connections to remote devices or sources must be front accessible via doors near each circuit breaker. On field-installed wiring, provide white, preprinted, polyvinyl chloride (PVC) sleeves, heat stamped with black block type letters. Provide a single letter or number on each sleeve, elliptically shaped to securely grip the wire, and keyed in such a manner to ensure alignment with adjacent sleeves. Provide specific wire markings using the appropriate combination of individual sleeves. Indicate on each wire marker the device or equipment, including specific terminal number to which the remote end of the wire is attached.

2.3 MANUFACTURER'S NAMEPLATE

Provide a nameplate on each item of equipment bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent is not acceptable. This nameplate and method of attachment may be the manufacturer's standard if it contains the required information.

2.4 FIELD FABRICATED NAMEPLATES

ASTM D709. Provide laminated plastic nameplates for each switchgear, equipment enclosure, relay, switch, and device; as specified in this section or as indicated on the drawings. Identify on each nameplate inscription the function and, when applicable, the position. Provide nameplates of melamine plastic, 0.125 inch thick, white with black center core. Provide red laminated plastic label with white center core where indicated. Provide matte finish surface. Provide square corners. Accurately align lettering and engrave into the core. Provide nameplates with minimum size of one by 2.5 inches. Provide lettering that is a minimum of 0.25 inch high normal block style.

2.5 SOURCE QUALITY CONTROL

2.5.1 Equipment Test Schedule

The Government reserves the right to witness tests. Provide equipment test schedules for tests to be performed at the manufacturer's test facility. Submit required test schedule and location, and notify the Contracting Officer 30 calendar days before scheduled test date. Notify Contracting Officer 15 calendar days in advance of changes to scheduled date.

Provide the following as part of test equipment calibration:

- a. Provide a calibration program which assures that all applicable test instruments are maintained within rated accuracy.
- b. Accuracy: Traceable to the National Institute of Standards and Technology.
- c. Instrument calibration frequency schedule: less than or equal to 12 months for both test floor instruments and leased specialty equipment.
- d. Dated calibration labels: visible on all test equipment.
- e. Calibrating standard: higher accuracy than that of the instrument tested.
- f. Keep up-to-date records that indicate dates and test results of instruments calibrated or tested. For instruments calibrated by the manufacturer on a routine basis, in lieu of third party calibration, include the following:
 - (1) Maintain up-to-date instrument calibration instructions and procedures for each test instrument.
 - (2) Identify the third party/laboratory calibrated instrument to verify that calibrating standard is met.

2.5.2 Switchgear Design Tests

IEEE C37.20.1, IEEE C37.20.7, and UL 1558.

2.5.2.1 Design Tests

Furnish documentation showing the results of design tests on a product of

the same series and rating as that provided by this specification. Submit UL certification documents to show compliance.

- a. Short-circuit current test.
- b. Enclosure tests.
- c. Dielectric test.

2.5.2.2 Additional Design Tests

In addition to normal design tests, perform the following tests on the actual equipment. Furnish reports which include results of design tests performed on the actual equipment.

- a. Temperature rise tests.
- b. Continuous current.

2.5.3 Switchgear Production Tests

IEEE C37.20.1 and UL 1558. Furnish reports which include results of production tests performed on the actual equipment for this project. These tests include:

- a. 60-hertz dielectric tests.
- b. Mechanical operation tests.
- c. Electrical operation and control wiring tests.
- d. Ground fault sensing equipment tests of continuity and polarity.

2.5.4 Cybersecurity Equipment

Furnish cybersecurity documentation as outlined in Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS.

2.6 COORDINATED POWER SYSTEM PROTECTION

Provide a power system study as specified in Section 26 05 73 POWER SYSTEM STUDIES.

2.7 ARC FLASH WARNING LABEL

Provide warning label for switchgear. Locate this self-adhesive warning label on the outside of the enclosure warning of potential electrical arc flash hazards and appropriate PPE required. Provide label format as indicated. Provide warning label data from Section 26 05 73 POWER SYSTEM STUDIES.

2.8 SERVICE ENTRANCE AVAILABLE FAULT CURRENT LABEL

Provide label on exterior of switchgear used as service equipment listing the maximum available fault current at that location. Include on the label the date that the fault calculation was performed and the contact information for the organization that completed the calculation. Locate this self-adhesive warning label on the outside of the switchgear. Provide label format as indicated.

2.9 MIMIC BUS LABELING

Provide a mimic bus on the front of the equipment to diagrammatically show the internal bus structure of the lineup.

PART 3 EXECUTION

3.1 INSTALLATION

Conform to IEEE C2 and NFPA 70 and to the requirements specified herein. Provide new equipment and materials unless indicated or specified otherwise.

3.2 GROUNDING

NFPA 70 and IEEE C2, except maximum resistance-to-ground of grounding electrode system must be 5 ohms under dry conditions. Where the resistance obtained per NFPA 70 exceeds 5 ohms, contact the Contracting officer for further instructions.

3.2.1 Grounding Electrodes

Provide driven ground rods as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION. Connect ground conductors to the upper end of the ground rods by exothermic weld or compression connector. Provide compression connectors at equipment end of ground conductors.

3.2.2 Equipment Grounding

Provide bare copper cable not smaller than No. 4/0 AWG not less than 24 inches below grade connecting to the indicated ground rods. When work in addition to that indicated or specified is directed to obtain the specified ground resistance, the provision of the contract covering "Changes" applies.

3.2.3 Connections

Make joints in grounding conductors and loops by exothermic weld or compression connector. Install exothermic welds and compression connectors as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

3.2.4 Grounding and Bonding Equipment

UL 467, except as indicated or specified otherwise.

3.3 INSTALLATION OF EQUIPMENT AND ASSEMBLIES

Install and connect equipment furnished under this section as indicated on project drawings, the approved shop drawings, and as specified herein.

3.3.1 Switchgear

IEEE C37.20.1.

3.3.2 Meters and Instrument Transformers

ANSI C12.1.

3.3.3 Field Applied Painting

Where field painting of enclosures is required to correct damage to the manufacturer's factory applied coatings, provide manufacturer's recommended coatings and apply in accordance with manufacturer's instructions.

3.3.4 Galvanizing Repair

Repair damage to galvanized coatings using ASTM A780/A780M, zinc rich paint, for galvanizing damaged by handling, transporting, cutting, welding, or bolting. Do not heat surfaces that repair paint has been applied to.

3.3.5 Field Fabricated Nameplate Mounting

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.4 FOUNDATION FOR EQUIPMENT AND ASSEMBLIES

3.4.1 Exterior Location

Mount switchgear on concrete slab as follows:

- a. Unless otherwise indicated, provide the slab with dimensions at least 8 inches thick, reinforced with a 6 by 6 inch No. 6 mesh placed uniformly 4 inches from the top of the slab.
- b. Place slab on a 6 inch thick, well-compacted gravel base.
- c. Install slab such that the top of the concrete slab is approximately 4 inches above the finished grade.
- d. Provide edges above grade 1/2 inch chamfer.
- e. Provide slab of adequate size to project at least 8 inches beyond the equipment.
- f. Provide conduit turnups and cable entrance space required by the equipment to be mounted.
- g. Seal voids around conduit openings in slab with water- and oil-resistant caulking or sealant.
- h. Cut off and bush conduits 3 inches above slab surface.
- i. Provide concrete work as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE.

3.4.2 Interior Location

Mount switchgear on concrete slab as follows:

- a. Unless otherwise indicated, provide the slab with dimensions at least 4 inches thick.

- b. Install slab such that the top of the concrete slab is approximately 4 inches above the finished grade.
- c. Provide edges above grade 1/2 inch chamfer.
- d. Provide slab of adequate size to project at least 8 inches beyond the equipment.
- e. Provide conduit turnups and cable entrance space required by the equipment to be mounted.
- f. Seal voids around conduit openings in slab with water- and oil-resistant caulking or sealant.
- g. Cut off and bush conduits 3 inches above slab surface.
- h. Provide concrete work as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE.

3.5 FIELD QUALITY CONTROL

Submit request for settings of breakers to the Contracting Officer after approval of switchgear and at least 30 days in advance of their requirement.

3.5.1 Performance of Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

3.5.1.1 Switchgear

- a. Visual and Mechanical Inspection per NETA ATS 7.1 A and values per 7.1 C.
 - (1) Compare equipment nameplate data with specifications and approved shop drawings.
 - (2) Inspect physical, electrical, and mechanical condition.
 - (3) Verify appropriate anchorage, required area clearances, and correct alignment.
 - (4) Clean switchgear and verify shipping bracing, loose parts, and documentation shipped inside cubicles have been removed.
 - (5) Inspect all doors, panels, and sections for paint, dents, scratches, fit, and missing hardware.
 - (6) Verify that fuse and circuit breaker sizes and types correspond to approved shop drawings as well as to the circuit breaker's address for microprocessor-communication packages.
 - (7) Verify that current transformer ratios correspond to approved shop drawings.
 - (8) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible

bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.

- (9) Confirm correct operation and sequencing of electrical and mechanical interlock systems.
- (10) Confirm correct application of manufacturer's recommended lubricants.
- (11) Inspect insulators for evidence of physical damage or contaminated surfaces.
- (12) Verify correct barrier and shutter installation and operation.
- (13) Exercise all active components.
- (14) Inspect all mechanical indicating devices for correct operation.
- (15) Verify that filters are in place and vents are clear.
- (16) Test operation, alignment, and penetration of instrument transformer withdrawal disconnects.
- (17) Inspect control power transformers.

b. Electrical Tests per NETA ATS 7.1 B and values per 7.1 D.

- (1) Perform insulation-resistance tests on each bus section.
- (2) Perform dielectric withstand voltage tests.
- (3) Perform insulation-resistance test on control wiring; Do not perform this test on wiring connected to solid-state components.
- (4) Perform control wiring performance test.
- (5) Perform primary current injection tests on the entire current circuit in each section of assembly.
- (6) Perform phasing check on double-ended switchgear to ensure correct bus phasing from each source.
- (7) Verify operation of switchgear heaters.

3.5.1.2 Circuit Breakers - Low Voltage - Power

a. Visual and Mechanical Inspection per NETA ATS 7.6.1.2 A and values 7.6.1.2 C.

- (1) Compare nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Inspect anchorage, alignment, and grounding.
- (4) Verify that all maintenance devices are available for servicing and operating the breaker.

- (5) Inspect arc chutes.
 - (6) Inspect moving and stationary contacts for condition, wear, and alignment.
 - (7) Verify that primary and secondary contact wipe and other dimensions vital to satisfactory operation of the breaker are correct.
 - (8) Perform all mechanical operator and contact alignment tests on both the breaker and its operating mechanism.
 - (9) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
 - (10) Verify cell fit and element alignment.
 - (11) Verify racking mechanism.
 - (12) Confirm correct application of manufacturer's recommended lubricants.
- b. Electrical Tests per NETA ATS 7.6.1.2 B and values 7.6.1.2 D.
- (1) Perform contact-resistance tests on each breaker.
 - (2) Perform insulation-resistance tests.
 - (3) Adjust Breaker(s) for final settings in accordance with Government provided settings.
 - (4) Determine long-time minimum pickup current by primary current injection.
 - (5) Determine long-time delay by primary current injection.
 - (6) Determine short-time pickup and delay by primary current injection.
 - (7) Determine ground-fault pickup and delay by primary current injection.
 - (8) Determine instantaneous pickup value by primary current injection.
 - (9) Activate auxiliary protective devices, such as ground-fault or undervoltage relays, to ensure operation of shunt trip devices; Check the operation of electrically-operated breakers in their cubicle.
 - (10) Verify correct operation of any auxiliary features such as trip and pickup indicators, zone interlocking, electrical close and trip operation, trip-free, and antipump function.
 - (11) Verify operation of charging mechanism.

3.5.1.3 Current Transformers

- a. Visual and Mechanical Inspection per NETA ATS 7.10.1 A and values

7.10.1 C.

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Verify correct connection.
- (4) Verify that adequate clearances exist between primary and secondary circuit.
- (5) Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
- (6) Verify that all required grounding and shorting connections provide good contact.

b. Electrical Tests per NETA ATS 7.10.1 B and values 7.10.1 D.

- (1) Perform resistance measurements through all bolted connections with low-resistance ohmmeter, if applicable.
- (2) Perform insulation-resistance tests.
- (3) Perform polarity tests.
- (4) Perform ratio-verification tests.

3.5.1.4 Metering and Instrumentation

a. Visual and Mechanical Inspection per NETA ATS 7.11.2 A and values 7.11.2 C.

- (1) Compare equipment nameplate data with specifications and approved shop drawings.
- (2) Inspect physical and mechanical condition.
- (3) Verify tightness of electrical connections.

b. Electrical Tests per NETA ATS 7.11.2 B and values 7.11.2 D.

- (1) Determine accuracy of meters at 25, 50, 75, and 100 percent of full scale.
- (2) Calibrate watthour meters according to manufacturer's published data.
- (3) Verify all instrument multipliers.
- (4) Electrically confirm that current transformer and voltage transformer secondary circuits are intact.

3.5.1.5 Grounding System

a. Visual and Mechanical Inspection per NETA ATS 7.13 A and values 7.13 C.

- (1) Inspect ground system for compliance with contract plans and specifications.

b. Electrical Tests per NETA ATS 7.13 B and values 7.13 D.

- (1) IEEE 81. Perform ground-impedance measurements utilizing the fall-of-potential method. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. Use an instrument equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test.
- (2) Submit the measured ground resistance of each ground rod and grounding system, indicating the location of the rod and grounding system. Include the test method and test setup (i.e., pin location) used to determine ground resistance and soil conditions at the time the measurements were made.

3.5.1.6 Cybersecurity Installation

Provide product information for all components in switchgear that need cybersecurity documentation. See Section 25 05 11 CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS.

3.5.2 Follow-Up Verification

Upon completion of acceptance checks, settings, and tests, show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. Trip circuit breakers by operation of each protective device. Test each item to perform its function not less than three times. As an exception to requirements stated elsewhere in the contract, provide the Contracting Officer 5 working days advance notice of the dates and times for checks, settings, and tests.

-- End of Section --

SECTION 26 27 13.10 30

ELECTRIC METERS

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

- IEEE 100 (2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
- IEEE C2 (2023) National Electrical Safety Code
- IEEE C37.90.1 (2013) Standard for Surge Withstand Capability (SWC) Tests for Relays and Relay Systems Associated with Electric Power Apparatus
- IEEE C57.13 (2016) Standard Requirements for Instrument Transformers

INTERNATIONAL ELECTROTECHNICAL COMMISSION (IEC)

- IEC 61000-4-5 (2017) Electromagnetic Compatibility (EMC) - Part 4-5: Testing and Measurement Techniques - Surge Immunity Test
- IEC 62053-22 (2020) Electricity Metering Equipment (A.C.) - Particular Requirements - Part 22: Static Meters for Active Energy (Classes 0,2 S and 0,5 S)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

- ANSI C12.18 (2006; R 2023) Protocol Specification for ANSI Type 2 Optical Port
- ANSI C12.20 (2015; E 2018) Electricity Meters - 0.1, 0.2, and 0.5 Accuracy Classes
- ANSI C62.61 (1993) American National Standard for Gas Tube Surge Arresters on Wire Line Telephone Circuits

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- NFPA 70 (2023) National Electrical Code

1.2 DEFINITIONS

Unless otherwise specified or indicated, electrical and electronics terms

used in this specification and on the drawings are as defined in IEEE 100.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

- a. Provide the following in the maintenance manual:
 1. Condensed description of how the equipment operates.
 2. Block diagram indicating major assemblies.
 3. Troubleshooting information
 4. Preventive maintenance.
 5. Spare parts information.
- b. Provide operation and maintenance manuals required by submittal item "SD-10 Operation and Maintenance Data."

SD-02 Shop Drawings

SD-03 Product Data

Power Meters; G

Current Transformers; G

Potential Transformer; G

Communications Module; G

Protocol Modules; G

Data Recorder; G

Modem; G

Include manufacturer's information for each component, device, and accessory provided with the meter, protocol module or communications module.

SD-06 Test Reports

Acceptance Checks and Tests; G

SD-10 Operation and Maintenance Data

Power Meters; G

Communications Module; G

Protocol Modules; G

Data Recorder; G

Modem; G

SD-11 Closeout Submittals

System Function Verification; G

1.4 QUALITY ASSURANCE

1.4.1 Installation Drawings

Drawings indicate but are not limited to the following:

- a. Elementary diagrams and wiring diagrams with terminals identified of kilowatt meter, current transformers, potential transformers, protocol modules, communications modules, and Ethernet connections,. For each meter installation, provide a diagram identified by the building number.
- b. One-line diagram, including meters, switch(es), current transformers, potential transformers, protocol modules, communications modules, Ethernet connections, telephone outlets, and fuses. For each meter installation, provide a diagram identified by the building number.

1.4.2 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Provide products that have been in satisfactory commercial or industrial use for 2 years prior to bid opening. The 2-year period includes applications of equipment and materials under similar circumstances and of similar size. Provide product that has been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.4.3 Alternative Qualifications1.4.4 Material and Equipment Manufacturing Data

Do not use products manufactured more than 2 years prior to date of delivery to site, unless specified otherwise.

1.5 WARRANTY

Provide equipment items supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.6 SYSTEM DESCRIPTION

1.6.1 System Requirements

The metering and reading system, consisting of commercial, off-the-shelf meters, protocol modules, communications modules, and communication channels, will be used to record the electricity consumption and other values as described in the sections that follow and as shown on the drawings.

1.6.2 Selection Criteria

Metering components are part of a system that includes the physical meter,

data recorder function and communications (modem) method. Include sufficient metering components to measure the electrical parameters identified and to store and communicate the values as required in the following sections for every building site identified. Verify that the metering system installed on any building site is compatible with the facility-wide communication and meter-reading protocol system. Contractor must connect the metering system to the facility-wide energy and utility monitoring and control system.

PART 2 PRODUCTS

2.1 POWER METERS

2.1.1 Physical and Common Requirements

- a. Install metering system components according to the Metering System Schedule shown on the drawings.
- b. Power meter must be socket-mount design.
- c. Provide meter consisting of a Class 20, transformer rated design.
- d. Provide meter that is rated for use at temperature from -40 degrees Centigrade to +70 degrees Centigrade.
- e. Meter must have NEMA 3R enclosure for surface mounting.
- f. Surge withstand must conform to IEEE C37.90.1.
- g. Provide meter with a standard 4 -year warranty.
- h. Provide meter in compliance with IEC 62053-22 (Part 21: Static Meter for Active Energy, classes 0.2S and 0.5S), certified by a qualified third party test laboratory.

2.1.2 Voltage Requirements

- a. The Contractor is responsible for determining the actual voltage ratio of each potential transformer. Provide transformer conforming to IEEE C57.13 and the following requirements.
 1. Type: Dry type, of two-winding construction.
 2. Weather: Outdoor or Indoor rated for the application.
 3. Frequency: Nominal 60Hz, 50Hz for those bases that operate on 50Hz.
 4. Accuracy: Plus or minus 0.3% at 60Hz or 0.3% for those systems that operate at 50Hz.

2.1.3 Current Requirements

- a. Accept independent current inputs from each phase. Install current transformer with a full load rating as shown in the schedule.
- b. Provide single ratio current transformer with an Accuracy Class of 0.6 with a maximum error of +/- 0.6% at 5.0 amps.

c. Current transformer must have:

1. Insulation Class: Provide 600 volt and below current transformers rated at 10 KV BIL. Provide current transformers for 2400 and 4160 volt service rated at 25 KV BIL.
 2. Frequency: Nominal 60Hz, 50Hz for bases that operate on 50Hz.
 3. Burden: Select burden class for the load.
 4. Phase Angle Range: 0 to 60 degrees.
- d. Provide meter that accepts current input from standard instrument transformers (5A secondary current transformers.)
- e. Current inputs must have a continuous rating in accordance with IEEE C57.13.
- f. Provide multi-ratio current transformer where indicated with a top range equal to or greater than the actual load. The Contractor is responsible for determining the actual ratio of each transformer. Provide current transformer conforming to IEEE C57.13.

2.1.4 Electrical Measurements

Measure and report the following quantities:

- a. Kilowatt-hours ("kWh" in Metering Systems Schedule) of consumption. Cumulative.
- b. Kilowatts of demand ("kW" in Metering Systems Schedule). Peak average over a selectable demand interval between 5 and 60 minutes (typically 15 minutes).
- c. Reactive power ("kVAR" in Metering Systems Schedule). Measured over the same interval as the peak kW reading.
- d. Power factor ("PF" in Metering Systems Schedule). Measured over the same interval as the peak kW reading.
- e. Time of use consumption ("TOU" in Metering Systems Schedule). Kilowatt-hours recorded separately for each period set by programming into the meter. Time periods must be capable of being changed without removal from service. The meter must internally record and store Time of Use data.
 1. Four (4) minimum TOU Rates (Registers)
 2. Twenty (20) Year Calendar
 3. Two (2) minimum seasons per year
- f. Interval recording ("IR" in Metering Systems Schedule). Record kilowatt-hours for each 15 minute interval and accumulate for 30 days. Memory for recording the interval readings must be internal to the meter and ANSI C12.19 compliant. Provide time-stamped readings for every measured parameter.
- g. Meter readings must be true RMS.

2.1.5 Meter Accuracy

Provide the following accuracies. Measure accuracies as percent of reading at standard meter test points.

- a. Provide power meter meeting ANSI C12.20 for Class 0.2 and IEC 62053-22 accuracy requirements.

2.1.6 An on the Meter Display, Output and Reading Capabilities

Include the following output signals.

- a. The meter will have a face display plate and will display every electrical parameter indicated to be recorded. Do not require meters to indicate interval data collected in a data logger with a communications output feature. Display peak values, instantaneous and cumulative values.
- b. Include optical output port capable of 9600 bps communication with a hand-held reading device. Provide optical device that is compatible with ANSI C12.18
- c. Include output options for analog milliamp signals.
- d. Provide meter with two channels of analog output, 0-1mA or 4-20mA, for positive and negative watt/hour readings.
- e. Include output option for pulse output. KYZ pulse output related to kWatts/HR.
- f. Provide meter with two form C, dry contact relay outputs for alarm or control.

2.1.7 Installation Methods

- a. Building mounted ("BLDG" in Metering Systems Schedule)
 - 1. Mount meter base on the side of the existing building near the service entrance. See detail on the drawings.

2.1.8 Disconnecting Switches

- a. Provide disconnecting wiring blocks between the current transformer and the meter. Build a shorting mechanism into the wiring block to allow the current transformer wiring to be changed without removing power to the transformer. Locate the wiring blocks where they are accessible without the necessity of disconnecting power to the transformer. For multi-ratio current transformers, provide a shorting block from each tap to the common lead.
- b. Equip voltage-monitoring circuits with disconnect switches to isolate the meter base or socket from the voltage source.

2.1.9 Meter Programming

- a. Provide power meter that is programmable by software supplied by the meter manufacturer.

- b. Provide user-friendly software with Windows-compatible interface.
- c. Operate software on Windows operating systems.
- d. Provide software that allows the user to configure the meter, troubleshoot meter, query and display meter parameters and configuration data and stored values.
- e. Provide meter firmware that is upgradeable through one of the communications ports without removing the unit from service.

2.2 COMMUNICATIONS

2.2.1 Communications Methods

2.2.1.1 Optical Port

Communicate with a hand-held reading device according to the following requirements.

a. Communications standards

- 1. ANSI C12.18
- 2. MV90 protocol
- 3. ANSI C12.20

b. Read operations

- 1. Current kWh values
- 2. Demand (kW) values since last reset
- 3. Last reset value
- 4. Meter status
- 5. Load profile

c. Write operations

- 1. Meter setup

2.2.1.2 Serial Port

Provide serial port for connection to modem module where required in this specification.

a. On-Board serial port types

- 1. RS232
- 2. \RS485

2.2.1.3 Ethernet

For those meters using the Ethernet, send logged information using open

standard Internet Protocols.

a. On-board Ethernet port support

1. HTTP

2. SMTP

(a) Modbus

b. Distribute stored data by

1. FTP

2. E-Mail

(a) On-board web server

2.2.2 Communications Protocols and Methods

Use communications protocols and methods that are native to the meter. Provide communications module(s) as required to accomplish the following.

- a. Include an IR port ("IR" in Metering Systems Schedule) for communication to external devices such as handheld readers that support a minimum speed of 9600 baud.
- b. Include RS-232 ("RS232" in Metering Systems Schedule) or RS-485 ("RS485" in Metering Systems Schedule) digital communication port. Provide user configurable port with regard to speed, protocol, address, and other communications parameters that support a minimum communication speed of 9600 baud for the RS232 port.
- c. Provide meter with a port that can be configured as a 10/100 Base-T Ethernet port ("BaseT" in Metering Systems Schedule)
 - 1. A communication module that converts serial RS232 or RS485 to Ethernet will be acceptable.
- d. Auto Answer minimum 1200 baud internal modem ("A56K" in Metering Systems Schedule). Include automatic data buffering to provide faster, more reliable communications and the ability to automatically answer on a connected line.
- e. Equip meter with one pulse output channel ("Pulse" in Metering Systems Schedule) that can be configured for operation as KYZ pulse output.

2.2.3 Communications Channels Surge Protection

Protect communications equipment against surges induced on its communications channels. Protect communication interfaces to all field equipment to meet the requirements of IEEE C37.90.1 or the requirements of IEC 61000-4-5, test level 4, while the equipment is operating. Do not use fuses for surge protection. For metallic cables and conductors which serve as communications channels between buildings, install surge protection at equipment rated for the application at each end, within 3 feet of the building cable entrance. Provide surge protectors meeting the requirements of the applicable extension of ANSI C62 (for example, ANSI C62.61).

2.3 METER DATA PROTOCOL

Provide power meters that have communicating data protocols native or provide in supplemental modules to communicate with the communications methods that follow.

2.3.1 Open Protocol

Support the following open protocols. Verify that the meter native protocol is consistent with the facility data recording and communication and data storage system. Provide additional converters and modules as required for a complete measurement, recording, communicating and data storage system.

- a. Provide meter that is fully supported by MV-90 software system or existing AMR software that is MV-90 compatible.
- b. For systems that use proprietary software, an alternative, competitive software system must be available.

Systems capable of using more than one brand of commercially available meters are expected. In addition, if proprietary meter reading software is used, meters are to be capable of being read by more than one manufacturer's software.

2.4 SPARE PARTS

2.4.1 Parts List

Provide spare parts as follows:

- a. Power meter - two for each type used.
- b. Current transformer - three for each type used.
- c. Potential transformer - three for each type used.
- d. Communications module - one for each type used.
- e. Protocol module - one for each type used.
- f. Other electronic and power components - one for each type used.

2.5 METERING SYSTEM SCHEDULE

Metering System Schedule is available at
<http://www.wbdg.org/ffc/dod/unified-facilities-guide-specifications-ufgs/forms-graphic>

PART 3 EXECUTION

3.1 INSTALLATION

Perform electrical installations conforming to IEEE C2, NFPA 70, and to the requirements specified herein. Provide new equipment and materials unless indicated or specified otherwise.

3.1.1 Existing Condition Survey

Perform a field survey, including inspection of all existing equipment, resulting clearances, and new equipment locations intended to be incorporated into the system, and furnish an existing conditions report to the Government. Identify those items that are non-workable as defined in the contract documents. The Contractor is responsible for repairs of modifications necessary to make the system perform as required.

3.1.2 Scheduling of Work and Outages

The Contract Clauses govern regarding permission for power outages, scheduling of work, coordination with Government personnel, and special working conditions.

3.2 FIELD APPLIED PAINTING

Where field painting of enclosures is required to correct damage to the manufacturer's factory-applied coatings, provide manufacturer's recommended coatings and apply in accordance with manufacturer's instructions.

3.3 FIELD QUALITY CONTROL

3.3.1 Performance of Acceptance Checks and Tests

3.3.1.1 Meter Assembly

a. Visual and mechanical inspection

1. Compare equipment nameplate data with specification and approved shop drawings.
2. Inspect physical and mechanical condition.
3. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method.
4. Verify grounding of metering enclosure.
5. Verify the presence of surge arresters.
6. Verify that the CT ratio and the PT ratio are properly included in the meter multiplier or the programming of the meter.

b. Electrical tests

1. Calibrate watthour meters according to manufacturer's published data.
2. Verify that correct multiplier has been placed on face or meter where applicable.
3. Prior to system acceptance, the Contractor will demonstrate and confirm the meter is properly wired and is displaying correct and accurate electrical information.

3.3.1.2 Current Transformers

a. Visual and mechanical inspection

1. Compare equipment nameplate data with specification and approved shop drawings.
2. Inspect physical and mechanical condition.
3. Verify correct connection.
4. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method.
5. Verify that required grounding and shorting connections provide good contact.

b. Electrical tests

1. Perform resistance measurements through all bolted connections with low-resistance ohmmeter, if applicable.
2. Perform insulation-resistance test.
3. Perform a polarity test.
4. Perform a ratio-verification test.

3.3.1.3 Potential Transformers

a. Visual and mechanical inspection

1. PT's are rigidly mounted.
2. PT's are correct voltage.
3. Verify that adequate clearances exist between primary and secondary circuit.

b. Electrical tests

1. Perform a ratio-verification test.

3.3.2 Follow-Up System Function Verification

Upon completion of acceptance checks and tests, the show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. As an exception to requirements stated elsewhere in the contract, give the Contracting Officer 5 working days' advance notice of the dates and times of checking and testing.

3.3.3 Training

Conduct a training course for meter configuration, operation, and maintenance of the system as specified. Orient the training for all components and systems installed under this contract. Deliver training manuals for trainees with two additional copies delivered for archiving at the project site. Furnish all audiovisual equipment and all other

training materials and supplies. A training day is defined as eight hours of classroom instruction, including two 15-minute breaks and excluding lunchtime, Monday through Friday, during the daytime shift in effect at the training facility. For guidance in planning the required instruction, assume that attendees have a high school education or equivalent, and are familiar with utility systems. Obtain approval of the planned training schedule from the Government at least 30 days prior to the training.

a. Training: Teach the course at the project site within thirty days after completion of the installation for a period of one day(s). A maximum of 6 personnel will attend the course. The training includes:

1. Physical layout of each piece of hardware.
2. Meter configuration, troubleshooting and diagnostics procedures.
3. Repair instructions.
4. Preventive maintenance procedures and schedules.
5. Testing and calibration procedures.

-- End of Section --

SECTION 26 28 00.00 10

MOTOR CONTROL CENTERS, SWITCHBOARDS AND PANELBOARDS

PART 1 GENERAL

1.1 SUMMARY

These specifications include the design, fabrication, assembly, wiring, testing, and delivery of the items of equipment and accessories and spare parts listed in the Schedule and shown on the drawings.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN SOCIETY OF MECHANICAL ENGINEERS (ASME)

ASME B1.1 (2003; R 2018) Unified Inch Screw Threads
(UN and UNR Thread Form)

ASME B1.20.1 (2013; R 2018) Pipe Threads, General
Purpose (Inch)

ASTM INTERNATIONAL (ASTM)

ASTM A780/A780M (2020) Standard Practice for Repair of
Damaged and Uncoated Areas of Hot-Dip
Galvanized Coatings

ASTM B187/B187M (2020) Standard Specification for Copper,
Bus Bar, Rod and Shapes and General
Purpose Rod, Bar and Shapes

ASTM B317/B317M (2023) Standard Specification for
Aluminum-Alloy Extruded Bar, Rod, Tube,
Pipe, Structural Profiles, and Profiles
for Electrical Purposes (Bus Conductor)

ASTM D877 (2002; R 2007) Standard Test Method for
Dielectric Breakdown Voltage of Insulating
Liquids Using Disk Electrodes

ASTM D923 (2007) Standard Practice for Sampling
Electrical Insulating Liquids

ASTM D924 (2008) Standard Test Method for
Dissipation Factor (or Power Factor) and
Relative Permittivity (Dielectric
Constant) of Electrical Insulating Liquids

ASTM D971 (2020) Standard Test Method for
Interfacial Tension of Insulating Liquids
Against Water by the Ring Method

ASTM D974	(2014; E 2016) Standard Test Method for Acid and Base Number by Color-Indicator Titration
ASTM D1500	(2012; R 2017) Standard Test Method for ASTM Color of Petroleum Products (ASTM Color Scale)
ASTM D1524	(2015; R 2022) Standard Test Method for Visual Examination of Used Electrical Insulating Liquids in the Field
ASTM D1533	(2012) Standard Test Method for Water in Insulating Liquids by Coulometric Karl Fischer Titration

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 81	(2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE C2	(2023) National Electrical Safety Code
IEEE C37.04	(2018; Errata 2019; Corr 2021) Ratings and Requirements for AC High-Voltage Circuit Breakers with Rated Maximum Voltage Above 1000 V Corrigendum 1

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA ICS 1	(2022) Standard for Industrial Control and Systems: General Requirements
NEMA PB 1	(2011) Panelboards
NEMA PB 2	(2011) Deadfront Distribution Switchboards

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2023; ERTA 7 2023; TIA 23-15) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 44	(2018; Reprint May 2021) UL Standard for Safety Thermoset-Insulated Wires and Cables
UL 50	(2024) UL Standard for Safety Enclosures for Electrical Equipment, Non-Environmental Considerations
UL 67	(2018; Reprint Aug 2023) UL Standard for

Safety Panelboards

UL 467	(2022) UL Standard for Safety Grounding and Bonding Equipment
UL 489	(2016; Rev 2019) UL Standard for Safety Molded-Case Circuit Breakers, Molded-Case Switches and Circuit-Breaker Enclosures
UL 1063	(2017; Reprint Jun 2022) UL Standard for Safety Machine-Tool Wires and Cables

1.3 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Drawings; G
Shop Drawings; G
Motor Control Centers; G
Switchboards; G
Panelboards; G

SD-03 Product Data

Equipment; G
Factory Tests
Required Settings
Request For Settings

SD-06 Test Reports

Factory Tests
Acceptance Checks And Tests; G

SD-07 Certificates

Motor Control Centers

1.4 DELIVERY, STORAGE, AND HANDLING

Submit copies of such descriptive cuts and information as are required to demonstrate fully that all parts of the equipment will conform to the requirements and intent of the specifications, within 30 calendar days after date of receipt of notice to proceed for approval. Include descriptive data showing typical construction of the types of equipment proposed, including the manufacturer's name, type of molded case circuit

breakers or motor circuit protectors, performance capacities and other information pertaining to the equipment. Also sets of characteristic curves of the individual breaker trip element. Ship the equipment as completely assembled and wired as feasible so as to require a minimum of installation work. Properly match mark each shipping section to facilitate reassembly, and provide with removable lifting channels with eye bolts for attachment of crane slings to facilitate lifting and handling. Carefully pack and ship separately any relay or other device which cannot withstand the hazards of shipment when mounted in place on the equipment. Mark these devices with the number of the panel which they are to be mounted on and fully identified. Wrap all finished painted surfaces and metal work or otherwise protect from damage during shipment. Prepare all parts for shipment so that slings for handling may be attached readily while the parts are in a railway car or transport truck. Sections of equipment crated for shipment must be of such size, including crates, that they will pass through a by -foot hatch opening and a by -foot wall opening. Carefully package and clearly mark all spare parts and accessories.

1.5 MAINTENANCE

1.5.1 Accessories and Tools

Furnish a complete set of accessories and special tools unique to equipment provided and required for erecting, handling, dismantling, testing and maintaining the apparatus.

1.5.2 Extra Materials

Furnish spare parts as specified below. All spare parts must be of the same material and workmanship, must meet the same requirements, and must be interchangeable with the corresponding original parts furnished.

SPARE PARTS	
Amount	Description
2 of each type and size	Fuses
1	Circuit breaker auxiliary switch
2 for each size ac contactor	Operating coils
1 for each size dc contactor	Operating coil
2 Complete sets for each size ac contactor	3-pole stationary and moving contact assemblies
1 Complete set for each size dc contactor	2-pole stationary and moving contact assemblies
3 of each type and rating	Contactor overload relays, each relay with a complete set of contact blocks
1 Spare set for each heater rating provided	Heater elements
2 for each type	Indicating lamp assemblies

SPARE PARTS	
Amount	Description
1 of each type and rating	Control transformer
1 of each type and rating	Control relay
1 of each type	Contactor auxiliary contact
4 One quart containers	Finish paint for indoor equipment
2 One quart containers	Paint used for the exterior surfaces of outdoor equipment
4	Keys for motor control center door loc
1 for each type and rating	Circuit Breaker
1 for each type and rating	Motor Circuit Protector

PART 2 PRODUCTS

2.1 MATERIALS AND EQUIPMENT

Provide materials and equipment which are standard products of a manufacturer regularly engaged in their manufacture and that essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening and that conform to the requirements of these specifications. Provide high quality materials, free from defects and imperfections, of recent manufacture, and of the classification and grades designated. All materials, supplies, and articles not manufactured by the Contractor must be the products of other recognized reputable manufacturers.

2.1.1 Rules

Provide equipment conforming to the requirements of NFPA 70 unless more stringent requirements are indicated herein or shown. NEMA rated and UL listed equipment has been specified when available. Provide equipment meeting NEMA and UL construction and rating requirements as specified. No equivalent will be acceptable. Immediately notify the Contracting Officer of any requirements of the specifications or Contractor proposed materials or assemblies that do not comply with UL or NEMA. International Electrotechnical Commission (IEC) rated equipment will not be considered an acceptable alternative to specified NEMA ratings.

2.1.2 Coordination

The general arrangement of the motor control centers, switchboards and panelboards is shown on the contract drawings. Any modifications of the equipment arrangement or device requirements as indicated will be subject to the approval of the Contracting Officer. If any conflicts occur necessitating departures from the drawings, submit details of and reasons for departures for approval prior to implementing any change. Completely assemble all equipment at the factory. The motor control centers and switchboards may be disassembled into sections, if necessary, for

convenience of handling, shipping, and installation.

2.2 NAMEPLATES

Provide nameplates made of laminated sheet plastic or of anodized aluminum approximately 1/8 inch thick, engraved to provide white letters on a black background. Fasten the nameplates to the panels in proper positions with anodized round-head screws. Lettering must be minimum 1/2 inch high. Provide nameplate designations in accordance with lists on the drawings, and as a minimum provide nameplates for the following equipment:

a. Panelboards

Provide equipment of the withdrawal type with nameplates mounted on the removable equipment in locations visible when the equipment is in place.

2.3 CONNECTIONS

Furnish all bolts, studs, machine screws, nuts, and tapped holes in accordance with ASME B1.1. Provide sizes and threads of all conduit and fittings, tubing and fittings, and connecting equipment in accordance with ASME B1.20.1. Provide ferrous fasteners that have rust-resistant finish and equip all bolts and screws with approved locking devices. Manufacturer's standard threads and construction may be used on small items which, in the opinion of the Contracting Officer, are integrally replaceable, except that threads for external connections to these items must meet the above requirements.

2.4 MOLDED CASE CIRCUIT BREAKERS

Provide molded case circuit breakers conforming to the applicable requirements of UL 489 and UL 489. Provide circuit breakers that are manually-operated, that are the quick-make, quick-break, common trip type, and that are of the automatic-trip type unless otherwise specified or indicated on the drawings. Operate all poles of each breaker simultaneously by means of a common handle. Provide operating handles that clearly indicate whether the breakers are in "On," "Off," or "Tripped" position and have provisions for padlocking in the "Off" position. Provide personnel safety line terminal shields for each breaker. Furnish circuit breakers that are products of only one manufacturer, and are interchangeable when of the same frame size. Where indicated on the drawings, provide circuit breakers with shunt trip devices.

2.4.1 Trip Units

Except as otherwise noted, provide combination thermal and instantaneous magnetic or solid state trip units for the circuit breakers, of frame sizes and the trip unit ratings as shown on the drawings. The Government reserves the right to change the indicated trip ratings, within frame limits, of the trip devices at the time the shop drawings are submitted for approval. Submit copies of outline drawings of all equipment to be furnished under this contract, together with weights and overall dimensions, within 30 calendar days after date of receipt of notice to proceed, for the approval of the Contracting Officer. Provide interchangeable breaker trip units and instantaneous magnetic trip units that are adjustable on frame sizes larger than 150 amperes. Set nonadjustable instantaneous magnetic trip units at approximately 10 times the continuous current ratings of the circuit breakers. Solid state trip

units, where indicated, must also have adjustable long time pick-up and delay, short time pick-up and delay, and ground fault pick-up and delay.

2.4.2 120/240-Volt AC Circuits

Circuit breakers for 120-volt ac circuits rated less than 120/240 or 240 volts ac are not permitted, and must have a UL listed minimum interrupting capacity of 10,000 symmetrical amperes.

2.4.3 125-Volt DC Circuits

Circuit breakers for 125-volt dc circuits must be two-pole rated 125/250 or 250 volts dc, and must have an UL listed minimum interrupting capacity of 5,000 amperes dc.

2.5 WIRING

Provide control wire consisting of stranded tinned copper switchboard wire with 600-volt flame-retardant insulation Type SIS meeting UL 44 or Type MTW meeting UL 1063, and passing the VW-1 flame tests included in those standards. Provide hinge wire consisting of Class K stranding. Current transformer secondary leads smaller than No. 10 AWG is not permitted. The minimum size of control wire is be No. 14 AWG. Furnish power wiring for 480-volt circuits and below that is the same type as control wiring and a minimum size of No. 12 AWG. Give special attention to wiring and terminal arrangement on the terminal blocks to permit the individual conductors of each external cable to be terminated on adjacent terminal points.

2.6 TERMINAL BLOCKS

Furnish control circuit terminal blocks for control wiring that are molded or fabricated type with barriers, rated not less than 600 volts. Provide terminals that are removable binding, fillister or washer head screw type, or of the stud type with contact and locking nuts. The terminals must be no less than No. 10 in size and have sufficient length and space for connecting at least two indented terminals for 10 AWG conductors to each terminal. The terminal arrangement is subject to the approval of the Contracting Officer and provide no less than four (4) spare terminals or 10 percent, whichever is greater, on each block or group of blocks. Modular, pull apart, terminal blocks will be acceptable provided they are of the channel or rail-mounted type. Submit data showing that the proposed alternate will accommodate the specified number of wires, are of adequate current-carrying capacity, and are constructed to assure positive contact between current-carrying parts.

2.6.1 Types of Terminal Blocks

2.6.1.1 Short-Circuiting Type

Furnish short-circuiting type terminal blocks for all current transformer secondary leads and have provision for shorting together all leads from each current transformer without first opening any circuit. Provide terminal blocks meeting the requirements of paragraph CONTROL CIRCUIT TERMINAL BLOCKS above.

2.6.1.2 Load Type

Provide load terminal blocks rated no less than 600 volts and of adequate capacity for the conductors for NEMA Size 3 and smaller motor controllers

and for other power circuits except those for feeder tap units. Provide terminals that are either the stud type with contact nuts and locking nuts or the removable screw type, having length and space for at least two indented terminals of the size required on the conductors to be terminated. For conductors rated more than 50 amperes, provide screws with hexagonal heads. Conducting parts between connected terminals must have adequate contact surface and cross-section to operate without overheating. Place the circuit designation or wire number on or near the terminal in permanent contrasting color for each connected terminal.

2.6.2 Marking Strips

Provide white or other light-colored plastic marking strips, fastened by screws to each terminal block, for wire designations. Make wire numbers with permanent ink. Use reversible marking strips to permit marking both sides, or furnish two marking strips with each block. Marking strips must accommodate the two sets of wire numbers. For each device to which a connection is made, assign a device designation in accordance with NEMA ICS 1 and mark each device terminal to which a connection is made with a distinct terminal marking corresponding to the wire designation used on the Contractor's schematic and connection diagrams. The wire (terminal point) designations used on the Contractor's wiring diagrams and printed on terminal block marking strips may be according to the Contractor's standard practice; however, provide additional wire and cable designations for identification of remote (external) circuits for the Government's wire designations. Show the general arrangement and overall dimensions of the motor control centers, switchboards, and panelboards. Show space requirements, details of any floor supports to be embedded in concrete and provisions for conduits for external cables. Prints of drawings submitted for approval will be so marked and returned to the Contractor for addition of the designations to the terminal strips and tracings, along with any rearrangement of points required.

2.7 PANELBOARDS

Provide panelboards consisting of assemblies of molded-case circuit breakers with buses and terminal lugs for the control and protection of branch circuits to motors, heating devices and other equipment operating at 480 volts ac or less. Provide UL 67 labeled panelboards. "Loadcenter" type panels are not acceptable. Design panelboards for installation in surface-mounted or flush-mounted cabinets accessible from the front only, as shown on the drawings. Provide panelboards that are fully rated for a short-circuit current of 14,000 symmetrical amperes RMS ac.

2.7.1 Enclosure

Furnish enclosures meeting the requirements of UL 50. Fabricate all cabinets from sheet steel of no less than No 10 gage if flush-mounted or mounted outdoors, and no less than No 12 gage if surface-mounted indoors, with full seam-welded box ends. Hot-dip galvanize cabinets mounted outdoors or flush-mounted after fabrication. Paint cabinets in accordance with paragraph PAINTING. Provide outdoor cabinets of NEMA 3R raintight and conduit hubs welded to the cabinet Form-flange edges of cabinets or fit with structural shapes welded or riveted to the sheet steel, for supporting the panelboard front. Fabricate all cabinets so that no part of any surface on the finished cabinet deviates from a true plane by more than 1/8 inch. Provide holes in the back of indoor surface-mounted cabinets, with outside spacers and inside stiffeners, for mounting the cabinets with a 1/2 inch clear space between the back of the cabinet and

the wall surface. Mount flush doors on hinges that expose only the hinge roll to view when the door is closed. Fit each door with a combined catch and lock, except provide doors over 24 inches long with a three-point latch having a knob with a T-handle, and a cylinder lock. Provide two keys with each lock, and key all locks alike. Provide finished-head cap screws for mounting the panelboard fronts on the cabinets. Provide enclosure nameplates in accordance with paragraph NAMEPLATES. Provide directory holders, containing a neatly typed or printed directory under a transparent cover, on the inside of panelboard doors.

2.7.2 Buses

Provide dead-front type panelboards with buses and circuit breakers mounted on a plate or base for installation as a unit in a cabinet. Provide buses that are copper or aluminum. Provide copper or aluminum bars and shapes for bus conductors conforming to the applicable requirements of ASTM B187/B187M, and ASTM B317/B317M. Provide sizes of buses and the details of panelboard construction meeting or exceeding the requirements of NEMA PB 1. Make suitable provisions for mounting the bus within panelboards and adjusting their positions in the cabinets. Provide terminal lugs required to accommodate the conductor sizes shown on the drawing for all branch circuits larger than No. 10 AWG. Provide a grounding bus with a lug suitable for 1/0 AWG wire for each panelboard.

2.7.3 Components

Equip each branch circuit, and the main buses where so specified or shown on the drawings, with molded-case circuit breakers having overcurrent trip ratings as shown on the drawings. Provide circuit breakers designed for bolted connection to buses in a panelboard assembly, and meeting the requirements of paragraph MOLDED CASE CIRCUIT BREAKERS. Circuit breakers of the same frame size and rating must be interchangeable. Furnish bell alarm contacts as indicated on the drawings and wire to terminal blocks mounted in the cabinet. Furnish terminal blocks conforming to requirements of paragraph TERMINAL BLOCKS.

2.8 FACTORY TESTS

Each item of equipment supplied under this contract must be given the manufacturer's routine factory tests and tests as specified below, to insure successful operation of all parts of the assemblies. The Contracting Officer will witness all tests required herein unless waived in writing, and no equipment will be shipped until it has been approved for shipment by the Contracting Officer.

- a. Submit copies of manufacturer's routine factory test procedures and production line tests for all motor control centers and switchboards, within a minimum of 14 days prior to the proposed date of tests. Notify the Contracting Officer a minimum of 14 days prior to the proposed date of the tests so that arrangements can be made for the Contracting Officer to be present at the tests.
- b. Use factory test equipment and the test methods conforming to the applicable NEMA Standards, and are subject to the approval of the Contracting Officer. Submit complete reproducible copies of the factory inspection results and complete reproducible copies of the factory test results in booklet form, including all plotted data curves, all test conditions, a listing of test equipment complete with calibration certifications, and all measurements taken.

- c. Report must be signed and dated by the Contractor's and Contracting Officer's Representatives. Reports of all witnessed tests must be signed by witnessing representatives of the Contractor and Contracting Officer. The Contractor is responsible for the cost of performing all tests and include in the prices bid in the schedule for equipment.

2.8.1 Panelboards Tests

Assemble each panelboard with cabinet and front to the extent necessary to check the fit and provisions for installing all parts in the field. Give each panelboard a dielectric test in accordance with NEMA PB 1. Operate all circuit breakers to check mechanical adjustments. Check all doors and locks for door clearances and fits and the performance of lock and latches.

2.9 PAINTING

Clean interior and exterior steel surfaces of equipment enclosures thoroughly and then apply a rust-inhibitive phosphatizing or equivalent treatment prior to painting. Exterior surfaces must be free from holes, seams, dents, weld marks, loose scale or other imperfections. Apply no less than one coat of corrosion-resisting paint in accordance with the manufacturer's standard practice to exterior surfaces. Prime exterior, filld where necessary, and give no less than two coats baked enamel with semigloss finish. Equipment located indoors must be ANSI Light Gray, and equipment located outdoors must be ANSI Light Grey . Perform all touch-up work with manufacturer's coatings as supplied under paragraph SPARE PARTS.

PART 3 EXECUTION

3.1 INSTALLATION

Conform to IEEE C2, NFPA 70, and to the requirements specified herein. Provide new equipment and materials unless indicated or specified otherwise.

3.2 GROUNDING

NFPA 70 and IEEE C2, except that grounds and grounding systems with a resistance to solid earth ground not exceeding 25 ohms.

3.2.1 Grounding Electrodes

Provide driven ground rods as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION. Connect ground conductors to the upper end of the ground rods by exothermic weld or compression connector. Provide compression connectors at equipment end of ground conductors.

3.2.2 Equipment Grounding

Provide bare copper cable not smaller than No. 4/0 AWG not less than 24 inches below grade connecting to the indicated ground rods. When work in addition to that indicated or specified is directed to obtain the specified ground resistance, the provision of the contract covering "Changes" applies.

3.2.3 Connections

Make joints in grounding conductors and loops by exothermic weld or

compression connector. Install exothermic welds and compression connectors as specified in Section 33 71 02 UNDERGROUND ELECTRICAL DISTRIBUTION.

3.2.4 Grounding and Bonding Equipment

UL 467, except as indicated or specified otherwise.

3.3 INSTALLATION OF EQUIPMENT AND ASSEMBLIES

Install and connect equipment furnished under this section as indicated on project drawings, the approved shop drawings, and as specified herein.

3.3.1 Panelboards

NEMA PB 2.

3.3.2 Field Applied Painting

Where field painting of enclosures is required to correct damage to the manufacturer's factory applied coatings, provide manufacturer's recommended coatings and apply in accordance with manufacturer's instructions.

3.3.3 Galvanizing Repair

Repair damage to galvanized coatings using ASTM A780/A780M, zinc rich paint, for galvanizing damaged by handling, transporting, cutting, welding, or bolting. Do not heat surfaces that repair paint has been applied to.

3.3.4 Field Fabricated Nameplate Mounting

Provide number, location, and letter designation of nameplates as indicated. Fasten nameplates to the device with a minimum of two sheet-metal screws or two rivets.

3.4 FOUNDATION FOR EQUIPMENT AND ASSEMBLIES

3.4.1 Interior Location

Mount Motor Control Centers on concrete slab as follows:

- a. Unless otherwise indicated, provide the slab with dimensions at least 4 inches thick.
- b. Install slab such that the top of the concrete slab is approximately 4 inches above the finished grade.
- c. Provide edges above grade 1/2 inch chamfer.
- d. Provide slab of adequate size to project at least 8 inches beyond the equipment.
- e. Provide conduit turnups and cable entrance space required by the equipment to be mounted.
- f. Seal voids around conduit openings in slab with water- and oil-resistant caulking or sealant.

- g. Cut off and bush conduits 3 inches above slab surface.
- h. Provide concrete work as specified in Section 03 30 00 CAST-IN-PLACE CONCRETE.

3.5 FIELD QUALITY CONTROL

Submit request for settings of breakers to the Contracting Officer after approval of Motor Control Center and at least 30 days in advance of their requirement.

3.5.1 Performance of Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations and Section 26 08 00 APPARATUS INSPECTION AND TESTING.

3.5.1.1 Motor Control Center

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.
2. Compare equipment nameplate data with drawings and specifications.
3. Inspect anchorage, alignment, grounding, and required area clearances.
4. Verify the unit is clean and all shipping bracing, loose parts, and documentation shipped inside cubicles have been removed.
5. Verify that fuse and circuit breaker sizes and types correspond to drawings and coordination study as well as to the circuit breaker address for microprocessor-communication packages.
6. Verify that current and voltage transformer ratios correspond to drawings.
7. Verify that wiring connections are tight and that wiring is secure to prevent damage during routine operation of moving parts.
8. Inspect all bolted electrical connections for high resistance using low resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
9. Confirm correct operation and sequencing of electrical and mechanical interlock systems.
10. Confirm correct application of manufacturer's recommended lubricants.
11. Inspect insulators for evidence of physical damage or contaminated surfaces.
12. Verify correct barrier and shutter installation and operation.
13. Exercise all active components.

14. Inspect all mechanical indicating devices for correct operation.
15. Verify that filters are in place and vents are clear.
16. Test operation, alignment, and penetration of instrument transformer withdrawal disconnects.
17. Inspect control power transformers.

b. Electrical Tests

1. Perform insulation-resistance tests on each bus section.
2. Perform dielectric withstand voltage tests.
3. Perform insulation-resistance test on control wiring; Do not perform this test on wiring connected to solid-state components.
4. Perform control wiring performance test.
5. Perform primary current injection tests on the entire current circuit in each section of assembly.
6. Perform phasing check on double-ended motor control center to ensure correct bus phasing from each source.
7. Verify operation of motor control center heaters.

3.5.1.2 Circuit Breakers - Low Voltage - Power

a. Visual and Mechanical Inspection

1. Compare nameplate data with specifications and approved shop drawings.
2. Inspect physical and mechanical condition.
3. Inspect anchorage, alignment, and grounding.
4. Verify that all maintenance devices are available for servicing and operating the breaker.
5. Inspect arc chutes.
6. Inspect moving and stationary contacts for condition, wear, and alignment.
7. Verify that primary and secondary contact wipe and other dimensions vital to satisfactory operation of the breaker are correct.
8. Perform all mechanical operator and contact alignment tests on both the breaker and its operating mechanism.
9. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
10. Verify cell fit and element alignment.

11. Verify racking mechanism.

12. Confirm correct application of manufacturer's recommended lubricants.

b. Electrical Tests

1. Perform contact-resistance tests on each breaker.

2. Perform insulation-resistance tests.

3. Adjust Breaker(s) for final settings in accordance with Government provided settings.

4. Determine long-time minimum pickup current by primary current injection.

5. Determine long-time delay by primary current injection.

6. Determine short-time pickup and delay by primary current injection.

7. Determine ground-fault pickup and delay by primary current injection.

8. Determine instantaneous pickup value by primary current injection.

9. Activate auxiliary protective devices, such as ground-fault or undervoltage relays, to ensure operation of shunt trip devices; Check the operation of electrically-operated breakers in their cubicle.

10. Verify correct operation of any auxiliary features such as trip and pickup indicators, zone interlocking, electrical close and trip operation, trip-free, and antipump function.

11. Verify operation of charging mechanism.

3.5.1.3 Current Transformers

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with specifications and approved shop drawings.

2. Inspect physical and mechanical condition.

3. Verify correct connection.

4. Verify that adequate clearances exist between primary and secondary circuit.

5. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.

6. Verify that all required grounding and shorting connections provide good contact.

b. Electrical Tests

1. Perform resistance measurements through all bolted connections with low-resistance ohmmeter, if applicable.
2. Perform insulation-resistance tests.
3. Perform polarity tests.
4. Perform ratio-verification tests.

3.5.1.4 Metering and Instrumentation

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with specifications and approved shop drawings.
2. Inspect physical and mechanical condition.
3. Verify tightness of electrical connections.

b. Electrical Tests

1. Determine accuracy of meters at 25, 50, 75, and 100 percent of full scale.
2. Calibrate watthour meters according to manufacturer's published data.
3. Verify all instrument multipliers.
4. Electrically confirm that current transformer and voltage transformer secondary circuits are intact.

3.5.1.5 Grounding System

a. Visual and Mechanical Inspection

1. Inspect ground system for compliance with contract plans and specifications.

b. Electrical Tests

1. IEEE 81. Perform ground-impedance measurements utilizing the fall-of-potential method. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. Use an instrument equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test.
2. Submit the measured ground resistance of each ground rod and grounding system, indicating the location of the rod and grounding system. Include the test method and test setup (i.e., pin location) used to determine ground resistance and soil conditions at the time

the measurements were made.

3.5.1.6 Switches, Air, Low-Voltage

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.
2. Inspect physical and mechanical condition.
3. Inspect anchorage, alignment, grounding, and required clearances.
4. Verify the unit is clean.
5. Verify correct blade alignment, blade penetration, travel stops, and mechanical operation.
6. Verify that fuse sizes and types are in accordance with drawings, short-circuit studies, and coordination study.
7. Verify that each fuse has adequate mechanical support and contact integrity.
8. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
9. Verify operation and sequencing of interlocking systems.
10. Verify correct phase barrier installation.
11. Verify correct operation of all indicating and control devices.
12. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.

b. Electrical Tests

1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter, in accordance with Section 7.5.1.1.A.8.1 of NETA ATS.
2. Measure contact resistance across each switchblade and fuseholder.
3. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with switch closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.1 of NETA ATS.
4. Measure fuse resistance.
5. Verify cubicle space heater operation.
6. Perform ground fault test in accordance with Section 7.14 of NETA ATS.
7. Perform tests on other protective devices in accordance with

Section 7.9 of NETA ATS.

3.5.1.7 Switches, Air, Medium-Voltage, Metal-Enclosed

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.
2. Inspect physical and mechanical condition.
3. Inspect anchorage, alignment, grounding, and required clearances.
4. Verify the unit is clean.
5. Verify correct blade alignment, blade penetration, travel stops, arc interrupter operation, and mechanical operation.
6. Verify that fuse sizes and types are in accordance with drawings, short-circuit study, and coordination study.
7. Verify that expulsion-limiting devices are in place on all fuses having expulsion-type elements.
8. Verify that each fuseholder has adequate mechanical support and contact integrity.
9. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
10. Verify operation and sequencing of interlocking systems.
11. Verify correct phase barrier installation.
12. Verify correct operation of all indicating and control devices.
13. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.

b. Electrical Tests

1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter in accordance with Section 7.5.1.2.A.9.1 of NETA ATS.
2. Measure contact resistance across each switchblade assembly and fuseholder.
3. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with switch closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.1 of NETA ATS.
4. Perform a dielectric withstand voltage test on each pole with switch closed. Test each pole-to-ground with all other poles grounded. Test voltage will be in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table

100.2 of NETA ATS.

5. Measure fuse resistance.
6. Verify cubicle space heater operation.
7. Perform online partial-discharge survey in accordance with Section 11 of NETA ATS.

3.5.1.8 Circuit Breakers

3.5.1.8.1 Circuit Breakers, Air, Insulated-Case/Molded-Case

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.
2. Inspect physical and mechanical condition.
3. Inspect anchorage and alignment.
4. Verify the unit is clean.
5. Operate the circuit breaker to insure smooth operation.
6. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.
7. Inspect operating mechanism, contacts, and arc chutes in unsealed nits.
8. Perform adjustments for final protective device settings in accordance with the coordination study.

b. Electrical Tests

1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter in accordance with Section 7.6.1.1.A.6.1 of NETA ATS.
2. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with the circuit breaker closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.1 of NETA ATS.
3. Perform a contact/pole-resistance test.
4. Perform insulation-resistance tests on all control wiring with respect to ground. Applied potential will be 500 volts dc for 300-volt rated cable and 1000 volts dc for 600-volt rated cable. Test duration will be one minute. For units with solid-state components, follow manufacturer's recommendation.
5. Determine long-time pickup and delay by primary current injection.
6. Determine short-time pickup and delay by primary current injection.

7. Determine ground-fault pickup and time delay by primary current injection.
8. Determine instantaneous pickup by primary current injection.
9. Test functions of the trip unit by means of secondary injection.
10. Perform minimum pickup voltage tests on shunt trip and close coils in accordance with manufacturer's published data.
11. Verify correct operation of auxiliary features such as trip and pickup indicators, zone interlocking, electrical close and trip operation, trip-free, anti-pump function, and trip unit battery condition. Reset all trip logs and indicators.
12. Verify operation of charging mechanism.

3.5.1.8.2 Circuit Breakers, Low-Voltage Power

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.
2. Inspect physical and mechanical condition.
3. Inspect anchorage, alignment, and grounding.
4. Verify that all maintenance devices are available for servicing and operating the breaker.
5. Verify the unit is clean.
6. Verify the arc chutes are intact.
7. Inspect moving and stationary contacts for condition and alignment.
8. Verify that primary and secondary contact wipe and other dimensions vital to satisfactory operation of the breaker are correct.
9. Perform all mechanical operator and contact alignment tests on both the breaker and its operating mechanism in accordance with manufacturer's published data.
10. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey
11. Verify cell fit and element alignment.
12. Verify racking mechanism operation.
13. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.
14. Perform adjustments for final protective device settings in accordance with coordination study provided by end user.

15. Record as-found and as-left operation counter readings.

b. Electrical Tests

1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter in accordance with Section 7.6.1.2.A.10.1 of NETA ATS.

2. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with the circuit breaker closed, and across each open pole. Test voltage will be in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.1 of NETA ATS.

3. Perform a contact/pole-resistance test.

4. Perform insulation-resistance tests on all control wiring with respect to ground. Applied potential will be 500 volts dc for 300-volt rated cable and 1000 volts dc for 600-volt rated cable. Test duration will be one minute. For units with solid-state components, follow manufacturer's recommendation.

5. Determine long-time pickup and delay by primary current injection.

6. Determine short-time pickup and delay by primary current injection.

7. Determine ground-fault pickup and delay by primary current injection.

8. Determine instantaneous pickup value by primary current injection.

9. Test functions of the trip unit by means of secondary injection.

10. Perform minimum pickup voltage tests on shunt trip and close coils in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.20 of NETA ATS.

11. Verify correct operation of any auxiliary features such as trip and pickup indicators, zone interlocking, electrical close and trip operation, trip-free, antipump function, and trip unit battery condition. Reset all trip logs and indicators.

12. Verify operation of charging mechanism.

3.5.1.8.3 Circuit Breakers, Air, Medium-Voltage

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.

2. Inspect physical and mechanical condition.

3. Inspect anchorage, alignment, and grounding.

4. Verify that all maintenance devices are available for servicing and operating the breaker.

5. Verify the unit is clean.

6. Verify the arc chutes are intact.
 7. Inspect moving and stationary contacts for condition and alignment.
 8. If recommended by manufacturer, slow close/open breaker and check for binding, friction, contact alignment, and penetration. Verify that contact sequence is in accordance with manufacturer's published data. In the absence of manufacturer's data, use IEEE C37.04.
 9. Perform all mechanical operation tests on the operating mechanism in accordance with manufacturer's published data.
 10. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey
 11. Verify cell fit and element alignment.
 12. Verify racking mechanism operation.
 13. Inspect puffer operation.
 14. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.
 15. Perform contact-timing test.
 16. Perform mechanism-motion analysis.
 17. Perform trip/close coil current signature analysis.
 18. Record as-found and as-left operation-counter readings.
- b. Electrical Tests
1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter. See Section 7.6.1.3.A.10.1 of NETA ATS.
 2. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with circuit breaker closed, and across each open pole. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.1 of NETA ATS.
 3. Perform a contact/pole-resistance test.
 4. Perform insulation-resistance tests on all control wiring with respect to ground. Applied potential will be 500 volts dc for 300-volt rated cable and 1000 volts dc for 600-volt rated cable. Test duration will be on minute. For units with solid-state components or control devices that cannot tolerate the applied voltage, follow manufacturer's recommendation.
 5. With breaker in the test position, make the following tests:
 - (a) Trip and close breaker with the control switch.
 - (b) Trip breaker by operating each of its protective relays.

(c) Verify mechanism charge, trip-free, and antipump functions.

6. Perform minimum pickup voltage tests on trip and close coils in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.20 of NETA ATS.

7. Perform power-factor or dissipation-factor tests with breaker in both the open and closed positions.

8. Perform power-factor or dissipation-factor tests on each bushing equipped with a power-factor/capacitance tap. In the absence of a power-factor/ capacitance tap, perform hot-collar tests. These tests will be in accordance with the test equipment manufacturer's published data.

9. Perform a dielectric withstand voltage test on each phase with the circuit breaker closed and the poles not under test grounded. Apply voltage in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.19 of NETA ATS.

10. Measure blowout coil circuit resistance.

11. Verify operation of heaters.

12. Test instrument transformers in accordance with Section 7.10 of NETA ATS.

3.5.1.8.4 Circuit Breakers, Oil, Medium- and High-Voltage

a. Visual and Mechanical Inspection

1. Visual and Mechanical Inspection

2. Inspect physical and mechanical condition.

3. Inspect anchorage, alignment, grounding, and required clearances.

4. Verify that all maintenance devices such as special tools and gauges specified by the manufacturer are available for servicing and operating the breaker.

5. Verify correct oil level in all tanks and bushings.

6. Verify that breather vents are clear.

7. Verify the unit is clean.

8. Inspect hydraulic system and air compressor in accordance with manufacturer's published data.

9. Test alarms and pressure-limit switches for pneumatic and hydraulic operators as recommended by the manufacturer.

10. Perform mechanical operation tests on the operating mechanism in accordance with manufacturer's published data.

11. While performing internal inspection:

- (a) Remove oil. Lower tanks or remove manhole covers as necessary. Inspect bottom of tank for broken parts and debris.
 - (b) Inspect lift rod and toggle assemblies, contacts, interrupters, bumpers, dashpots, bushing current transformers, tank liners, and gaskets.
 - (c) Verify that contact sequence is in accordance with manufacturer's published data. In the absence of manufacturer's data, use IEEE C37.04.
 - (d) Fill tank(s) with filtered oil.
12. Inspect bolted electrical connections for high resistance using one or more of the following methods:
- (a) Use of low-resistance ohmmeter in accordance with Section 7.6.2.B.1 of NETA ATS.
 - (b) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.12 of NETA ATS.
 - (c) Perform thermographic survey in accordance with Section 9 of NETA ATS.
14. Verify racking mechanism operation.
15. Perform contact-timing test.
16. Perform mechanism-motion analysis.
17. Perform trip/close coil current signature analysis.
18. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.
19. Record as-found and as-left operation counter readings.
- b. Electrical Tests
- 1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter in accordance with Section 7.6.2.A.12.1 of NETA ATS.
 - 2. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with circuit-breaker closed, and across each open pole. Test voltage will be in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.1 of NETA ATS.
 - 3. Perform a static contact/pole resistance test.
 - 4. Perform a dynamic contact/pole resistance test.
 - 5. Perform insulation-resistance tests on all control wiring with respect to ground. Applied potential will be 500 volts dc for 300-volt rated cable and 1000 volts dc for 600-volt rated cable. Test

duration will be one minute. For units with solid-state components, follow manufacturer's recommendation.

6. Remove a sample of insulating liquid in accordance with ASTM D923. Sample will be tested in accordance with the referenced standard.

- (a) Dielectric breakdown voltage: ASTM D877
- (b) Color: ASTM D1500
- (c) Power factor: ASTM D924
- (d) Interfacial tension: ASTM D971
- (e) Visual condition: ASTM D1524
- (f) Neutralization number (acidity): ASTM D974
- (g) Water content: ASTM D1533

7. Perform minimum pickup voltage tests on trip and close coils in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.20 of NETA ATS.

8. Verify correct operation of any auxiliary features such as electrical close and trip operation, trip-free, antipump function.

9. Trip circuit breaker by operation of each protective device. Reset all trip logs and indicators.

10. Perform power-factor or dissipation-factor tests on each pole with breaker open and each phase with breaker closed. Determine tank loss index.

11. Perform power-factor or dissipation-factor tests on each bushing equipped with a power-factor/capacitance tap. In the absence of a power-factor/capacitance tap, perform hot-collar tests. These tests will be in accordance with the test equipment manufacturer's published data.

12. Perform a dielectric withstand voltage test in accordance with manufacturer's published data.

13. Verify operation of heaters.

14. Test instrument transformers in accordance with Section 7.10 of NETA ATS.

3.5.1.8.5 Circuit Breakers, Vacuum, Medium-Voltage

a. Visual and Mechanical Inspection

- 1. Compare equipment nameplate data with drawings and specifications.
- 2. Inspect physical and mechanical condition.
- 3. Inspect anchorage, alignment, and grounding.
- 4. Verify that all maintenance devices such as special tools and

gauges specified by the manufacturer are available for servicing and operating the breaker.

5. Verify the unit is clean.

6. Perform all mechanical operation tests on the operating mechanism in accordance with manufacturer's published data.

7. Measure critical distances such as contact gap as recommended by manufacturer.

8. Inspect bolted electrical connections for high resistance using one or more of the following methods:

(a) Use of low-resistance ohmmeter in accordance with Section 7.6.3.B.1 of NETA ATS.

(b) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.12 of NETA ATS.

(c) Perform thermographic survey in accordance with Section 9 of NETA ATS.

9. Verify cell fit and element alignment.

10. Verify racking mechanism operation.

11. Verify appropriate lubrication on moving, current-carrying parts and on moving and sliding surfaces.

12. Perform contact-timing test.

13. Perform trip/close coil current signature analysis.

14. Perform mechanism motion analysis.

15. Record as-found and as-left operation counter readings.

b. Electrical Tests

1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter in accordance with Section 7.6.3.A.8.1 of NETA ATS.

2. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with the circuit breaker closed, and across each open pole. Test voltage will be in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.1 of NETA ATS.

3. Perform insulation-resistance tests on all control wiring with respect to ground. Applied potential will be 500 volts dc for 300-volt rated cable and 1000 volts dc for 600-volt rated cable. Test duration will be one minute. For units with solid-state components, follow manufacturer's recommendation.

4. Perform a contact/pole-resistance test.

5. Perform minimum pickup voltage tests on trip and close coils in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.20 of NETA ATS.
6. Verify correct operation of any auxiliary features such as electrical close and trip operation, trip-free, and antipump function.
7. Trip circuit breaker by operation of each protective device. Reset all trip logs and indicators.
8. Perform power-factor or dissipation-factor tests on each pole with the breaker open and each phase with the breaker closed.
9. Perform power-factor or dissipation-factor tests on each bushing equipped with a power-factor/capacitance tap. In the absence of a power-factor/capacitance tap, perform hot-collar tests. These tests will be in accordance with the test equipment manufacturer's published data.
10. Perform magnetron atmospheric condition (MAC) test on each vacuum interrupter.
11. Perform vacuum bottle integrity (dielectric withstand voltage) test across each vacuum bottle with the breaker in the open position in strict accordance with manufacturer's published data.
12. Perform a dielectric withstand voltage test in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.19 of NETA ATS.
13. Verify operation of heaters.
14. Test instrument transformers in accordance with Section 7.10 of NETA ATS.

3.5.1.8.6 Circuit Breakers, SF6

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.
2. Inspect physical and mechanical condition.
3. Inspect anchorage, alignment, and grounding.
4. Verify that all maintenance devices such as special tools and gauges specified by the manufacturer are available for servicing and operating the breaker.
5. Verify the unit is clean.
6. When provisions are made for sampling, remove a sample of SF6 gas and test in accordance with Table 100.13 of NETA ATS. Do not break seal or distort "sealed-for-life" interrupters.
7. Inspect operating mechanism and/or hydraulic or pneumatic system and SF6 gas-insulated system in accordance with manufacturer's published data.

8. Test for SF6 gas leaks in accordance with manufacturer's published data.
 9. Verify correct operation of alarms and pressure-limit switches for pneumatic, hydraulic, and SF6 gas pressure in accordance with manufacturer's published data.
 10. If recommended by manufacturer, slow close/open breaker and check for binding, friction, contact alignment, and penetration. Verify that contact sequence is in accordance with manufacturer's published data. In the absence of manufacturer's data, refer to IEEE C37.04.
 11. Perform all mechanical operation tests on the operating mechanism in accordance with the manufacturer's published data.
 12. Inspect all bolted electrical connections for high resistance using one or more of the following methods:
 - (a) Use of a low-resistance ohmmeter in accordance with Section 7.6.4.B.1 of NETA ATS.
 - (b) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.12 of NETA ATS.
 - (c) Perform a thermographic survey in accordance with Section 9 of NETA ATS.
 13. Verify the appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.
 14. Perform contact-timing test.
 15. Perform trip/close coil signature analysis.
 16. Perform mechanism motion analysis.
 17. Record as-found and as-left operation counter readings.
- b. Electrical Tests
1. Perform resistance measurements through all bolted connections with a low-resistance ohmmeter in accordance with Section 7.6.4.A.12.1 of NETA ATS.
 2. Perform insulation-resistance tests in accordance with Table 100.1 of NETA ATS from each pole-to-ground with breaker closed and across open poles at each phase. For single-tank breakers, perform insulation resistance tests in accordance with Table 100.1 from pole-to-pole.
 3. Perform a contact/pole-resistance test.
 4. Perform insulation-resistance tests on all control wiring with respect to ground. Applied potential will be 500 volts dc for 300-volt rated cable and 1000 volts dc for 600-volt rated cable. Test duration will be one minute. For units with solid-state components or

for control devices that cannot tolerate the voltage, follow manufacturer's recommendation.

5. Perform minimum pickup voltage tests on trip and close coils in accordance with manufacturer's published data.

6. Verify correct operation of any auxiliary features such as electrical close and trip operation, trip-free, and antipump function. Reset all trip logs and indicators.

7. Trip circuit breaker by operation of each protective device.

8. Perform power-factor or dissipation-factor tests on each pole with the breaker open and on each phase with the breaker closed.

9. Perform power-factor or dissipation-factor tests on each bushing equipped with a power-factor/capacitance tap. In the absence of a power-factor/capacitance tap, perform hot-collar tests. These tests will be in accordance with the test equipment manufacturer's published data.

10. Perform a dielectric withstand voltage test in accordance with manufacturer's published data.

11. Verify operation of heaters.

12. Test instrument transformers in accordance with Section 7.10 from NETA ATS.

3.5.1.9 Motor Control, Motor Starters, Low-Voltage

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.

2. Inspect physical and mechanical condition.

3. Inspect anchorage, alignment, and grounding.

4. Verify the unit is clean.

5. Inspect contactors.

(a) Verify mechanical operation.

(b) Verify contact gap, wipe, alignment, and pressure are in accordance with manufacturer's published data.

6. Motor-Running Protection

(a) Verify overload element rating/motor protection settings are correct for application.

(b) If motor-running protection is provided by fuses, verify correct fuse rating.

7. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or

performing thermographic survey.

8. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.

b. Electrical Tests

1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter in accordance with Section 7.16.1.1.A.7.1 from NETA ATS.

2. Perform insulation-resistance tests for one minute on each pole, phase-to-phase and phase-to-ground with starter closed, and across each open pole. Test voltage will be in accordance with manufacturer's published data or Table 100.1 from NETA ATS.

3. Perform insulation-resistance tests on all control wiring with respect to ground. Applied potential will be 500 volts dc for 300-volt rated cable and 1000 volts dc for 600-volt rated cable. Test duration will be one minute. For units with solid-state components, follow manufacturer's recommendation.

4. Test motor protection devices in accordance with manufacturer's published data. In the absence of manufacturer's data, use Section 7.9 from NETA ATS.

5. Test circuit breakers in accordance with Section 7.6.1.1 from NETA ATS.

6. Perform operational al tests by initiating control devices.

3.5.1.10 Motor Control, Motor Starters, Medium-Voltage

a. Visual and Mechanical Inspection

1. Compare equipment nameplate data with drawings and specifications.

2. Inspect physical and mechanical condition.

3. Inspect anchorage, alignment, and grounding.

4. Verify the unit is clean.

5. Inspect all bolted electrical connections for high resistance using low-resistance ohmmeter, verifying tightness of accessible bolted electrical connections by calibrated torque-wrench method, or performing thermographic survey.

6. Test electrical and mechanical interlock systems for correct operation and sequencing.

7. Verify correct barrier and shutter installation and operation.

8. Exercise active components and confirm correct operation of indicating devices.

9. Inspect contactors.

(a) Verify mechanical operation.

(b) Verify contact gap, wipe, alignment, and pressure are in accordance with manufacturer's published data.

10. Verify overload protection rating is correct for its application. Set adjustable or programmable devices according to the protective device coordination study.

11. Verify appropriate lubrication on moving current-carrying parts and on moving and sliding surfaces.

b. Electrical Tests

1. Perform resistance measurements through bolted connections with a low-resistance ohmmeter in accordance with Section 7.16.1.2.A.5.1 from NETA ATS.

2. Perform insulation-resistance tests on contactor(s) for one minute, phase-to-ground and phase-to-phase with the contactor closed, and across each open contact. Test voltage will be in accordance with manufacturer's published data, or Table 100.1 from NETA ATS.

3. Perform insulation-resistance tests on all control wiring with respect to ground. Applied potential will be 500 volts dc for 300-volt rated cable and 1000 volts dc for 600-volt rated cable. Test duration will be one minute. For units with solid-state components, follow manufacturer's recommendation.

4. Perform magnetron atmospheric condition (MAC) test on each vacuum interrupter.

5. Perform a dielectric withstand voltage test in accordance with manufacturer's published data. In the absence of manufacturer's data, use Table 100.9 from NETA ATS.

6. Perform vacuum bottle integrity test (dielectric withstand voltage) across each vacuum bottle with the contacts in the open position in strict accordance with manufacturer's published data.

7. Perform contact resistance tests.

8. Measure blowout coil circuit resistance.

9. Measure resistance of power fuses.

10. Energize contactor using an auxiliary source. Adjust armature to minimize operating vibration.

11. Test control power transformers in accordance with Section 7.1.B.8 from NETA ATS.

12. Test starting transformers, in accordance with Section 7.2.1 from NETA ATS.

13. Test starting reactors, in accordance with 7.20.3 from NETA ATS.

14. Test motor protection devices in accordance with manufacturer's published data. In the absence of manufacturer's data, test in accordance with Section 7.9 from NETA ATS.

15. Standard Commissioning Specifications for Electrical Power Equipment & Systems.
16. Verify operation of cubicle space heater.
17. Test instrument transformers in accordance with Section 7.10 from NETA ATS.
18. Test metering devices in accordance with Section 7.11 from NETA ATS.

3.5.2 Follow-Up Verification

Upon completion of acceptance checks, settings, and tests, show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. Trip circuit breakers by operation of each protective device. Test each item to perform its function not less than three times. As an exception to requirements stated elsewhere in the contract, provide the Contracting Officer 5 working days advance notice of the dates and times for checks, settings, and tests.

-- End of Section --

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SECTION 26 51 00

INTERIOR LIGHTING

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM A580/A580M	(2023) Standard Specification for Stainless Steel Wire
ASTM A641/A641M	(2019) Standard Specification for Zinc-Coated (Galvanized) Carbon Steel Wire
ASTM A653/A653M	(2023) Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A1008/A1008M	(2023) Standard Specification for Steel, Sheet, Cold-Rolled, Carbon, Structural, High-Strength Low-Alloy, High-Strength Low-Alloy with Improved Formability, Solution Hardened, and Bake Hardenable
ASTM B164	(2003; R 2014) Standard Specification for Nickel-Copper Alloy Rod, Bar, and Wire
ASTM B633	(2023) Standard Specification for Electrodeposited Coatings of Zinc on Iron and Steel

EUROPEAN UNION (EU)

Directive 2011/65/EU	(2011) Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment

ILLUMINATING ENGINEERING SOCIETY (IES)

ANSI/IES LM-79	(2019) Approved Method: Electrical and Photometric Measurements of Solid State Lighting Products
ANSI/IES LM-80	(2020) Approved Method: Measuring Luminous Flux and Color Maintenance of LED Packages, Arrays and Modules
ANSI/IES LS-1	(2020) Lighting Science: Nomenclature and Definitions for Illuminating Engineering

ANSI/IES TM-15	(2020) Technical Memorandum: Luminaire Classification System for Outdoor Luminaires
ANSI/IES TM-21	(2021) Technical Memorandum: Projecting Long-Term Luminous, Photon, and Radiant Flux Maintenance of LED Light Sources
ANSI/IES TM-30	(2020) Technical Memorandum: IES Method for Evaluating Light Source Color Rendition
IES Lighting Library	IES Lighting Library
INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)	
IEEE 100	(2000; Archived) The Authoritative Dictionary of IEEE Standards Terms
IEEE C2	(2023) National Electrical Safety Code
NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)	
ANSI C78.54	(2019) Specification Sheet for Tubular Fluorescent Replacement and Retrofit LED Lamps
NEMA 250	(2020) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ANSLG C78.377	(2017) Electric Lamps— Specifications for the Chromaticity of Solid State Lighting Products
NEMA C82.77-10	(2020) Harmonic Emission Limits - Related Power Quality Requirements
NEMA SSL 1	(2016) Electronic Drivers for LED Devices, Arrays, or Systems
NEMA SSL 3	(2011) High-Power White LED Binning for General Illumination
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)	
NFPA 70	(2023; ERTA 7 2023; TIA 23-15) National Electrical Code
NFPA 101	(2021; TIA 21-1) Life Safety Code
U.S. DEPARTMENT OF ENERGY (DOE)	
Energy Star	(1992; R 2006) Energy Star Energy Efficiency Labeling System (FEMP)
U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)	
47 CFR 15	Radio Frequency Devices

UNDERWRITERS LABORATORIES (UL)

UL 844	(2012; Reprint Oct 2021) UL Standard for Safety Luminaires for Use in Hazardous (Classified) Locations
UL 924	(2016; Reprint Dec 2022) UL Standard for Safety Emergency Lighting and Power Equipment
UL 1598	(2021; Reprint Jan 2024) UL Standard for Safety Luminaires
UL 1993	(2017) Self-Ballasted Lamps and Lamp Adapters
UL 8750	(2015; Reprint Sep 2021) UL Standard for Safety Light Emitting Diode (LED) Equipment for Use in Lighting Products

1.2 RELATED REQUIREMENTS

Materials not considered to be luminaires, luminaire accessories, or lighting equipment are specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Luminaires and accessories that are mounted in exterior environments and not attached to the exterior of the building are specified in Section 26 56 00 EXTERIOR LIGHTING. Commissioning requirements are specified in Section 01 91 00.15 BUILDING COMMISSIONING. Emergency lighting requirements are specified in Section 26 52 00.00 40 EMERGENCY LIGHTING.

1.3 DEFINITIONS

- a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications and on the drawings, must be as defined in IEEE 100 and ANSI/IES LS-1.
- b. For LED luminaire light sources, "Useful Life" is the operating hours before reaching 70 percent of the initial rated lumen output (L70) with no catastrophic failures under normal operating conditions. This is also known as 70 percent "Rated Lumen Maintenance Life" as defined in ANSI/IES LM-80.
- c. For LED luminaires, "Luminaire Efficacy" (LE) is the appropriate measure of energy efficiency, measured in lumens/watt. This is gathered from LM-79 data for the luminaire, in which absolute photometry is used to measure the lumen output of the luminaire as one entity, not the source separately and then the source and housing together.
- d. Total harmonic distortion (THD) is the root mean square (RMS) of all the harmonic components divided by the total fundamental current.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Luminaire Drawings; G
Occupancy/Vacancy Sensor Coverage Layout;
Sequence of Operation for Lighting Control System; G

SD-03 Product Data

Luminaires;
Light Sources;
LED Drivers;
Luminaire Warranty; G
Lighting Controls Warranty; G
Local Area Controller;
Switches;
Digital Switch Timers;
Wall Box Dimmers;
Occupancy/Vacancy Sensors;
Power Packs;
Exit Signs;
Emergency Drivers;

SD-05 Design Data

Luminaire Design Data;

SD-06 Test Reports

ANSI/IES LM-79 Test Report;
ANSI/IES LM-80 Test Report;
ANSI/IES TM-21 Test Report;
ANSI/IES TM-30 Test Report;
Occupancy/Vacancy Sensor Verification Test;

SD-10 Operation and Maintenance Data

Lighting System, Data Package 5; G
Lighting Control System, Data Package 5; G

Maintenance Staff Training Plan; G

End-User Training Plan; G

1.5 QUALITY ASSURANCE

Data, drawings, and reports must employ the terminology, classifications and methods prescribed by the IES Lighting Library as applicable, for the lighting system specified.

1.5.1 Luminaire Design Data

- a. Provide safety certification and file number for the luminaire family that must be listed, labeled, or identified in accordance with the NFPA 70. Applicable testing bodies are determined by the US Occupational Safety Health Administration (OSHA) as Nationally Recognized Testing Laboratories (NRTL) and include: CSA (Canadian Standards Association), ETL (Edison Testing Laboratory), and UL (Underwriters Laboratories).
- b. Provide long term lumen maintenance projections for each LED luminaire in accordance with ANSI/IES TM-21. Data used for projections must be obtained from testing in accordance with ANSI/IES LM-80.

1.5.2 ANSI/IES LM-79 Test Report

Submit test report on manufacturer's standard production model of specified luminaire. Testing must be performed at the same operating drive current as specified luminaire. Include all applicable and required data in IES format as outlined under "14.0 Test Report" in ANSI/IES LM-79.

1.5.3 LED Driver and Dimming Switch Compatibility Certificate

Submit certification from the luminaire, driver, or dimmer switch manufacturer that ensures compatibility and operability between devices without flickering and to specified dimming levels.

1.5.4 Occupancy/Vacancy Sensor Coverage Layout

Provide floor plans showing coverage layouts of all devices using manufacturer's product information.

1.5.5 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design, and workmanship. Products must have been in satisfactory commercial or industrial use for six months prior to bid opening. The six-month period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been on sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the six-month period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.5.5.1 Alternative Qualifications

Products having less than a six-month field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.5.5.2 Material and Equipment Manufacturing Date

Do not use products manufactured more than six months prior to date of delivery to site, unless specified otherwise.

1.6 WARRANTY

Support all equipment items by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

1.6.1 Luminaire Warranty

Provide and transfer to the government the original LED luminaire manufacturers standard commercial warranty for each different luminaire manufacturer used in the project.

- a. Provide a written five year minimum replacement warranty for material, luminaire finish, and workmanship. Provide written warranty document that contains all warranty processing information needed, including customer service point of contact, whether or not a return authorization number is required, return shipping information, and closest return location to the luminaire location.

- (1) Finish warranty must include failure and substantial deterioration such as blistering, cracking, peeling, chalking, or fading.

- (2) Material warranty must include:

- (a) All LED drivers and integral control equipment.

- (b) Replacement when more than 15 percent of LED sources in any lightbar or subassembly(s) are defective, non-starting, or operating below 70 percent of specified lumen output.

- (c) Replacement when more than 15 percent of LED sources in any lightbar or subassembly(s) show a color shift greater than 0.003 delta u'v' from the zero hour measurement stated in the ANSI/IES LM-79 Test Report.

- b. Warranty period must begin in accordance with the manufacturer's standard warranty starting date.

- c. Provide replacements that are promptly shipped, without charge, to the using Government facility point of contact and that are identical to or an improvement upon the original equipment. All replacements must include testing of new components and assembly.

1.6.2 Lighting Controls Warranty

Provide and transfer to the government the original lighting controls

manufacturers standard commercial warranty for each different lighting controls manufacturer used in the project. Warranty coverage must begin from date of final system commissioning or three months from date of delivery, whichever is the earliest. Warranty service must be performed by a factory-trained engineer or technician.

- a. Unless otherwise noted, provide a written five year minimum warranty on the complete system for all systems with factory commissioning. Provide warranty that covers 100 percent of the cost of any replacement parts and services required over the five years which are directly attributable to the product failure. Failures include, but are not limited to, the following:
 - (1) Software: Failure of input/output to execute switching or dimming commands.
 - (2) Damage of electronic components due to transient voltage surges.
 - (3) Failure of control devices, including but not limited to occupancy sensors, photosensors, and manual wall station control devices.
- b. Provide a written five year minimum warranty on all input devices against defect in workmanship or materials provided by device manufacturer.
- c. Provide a written five year minimum warranty on all control components attached to luminaires against defect in workmanship or materials.

1.7 OPERATION AND MAINTENANCE MANUALS

1.7.1 Lighting System

Provide operation and maintenance manuals for the lighting system in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA that provide basic data relating to the design, operation, and maintenance of the lighting system for the building. Include the following:

- a. Manufacturers' operating and maintenance manuals.
- b. Luminaire shop drawings for modified and custom luminaires.
- c. Luminaire Manufacturers' standard commercial warranty information as specified in paragraph LUMINAIRE WARRANTY.

1.7.2 Lighting Control System

Provide operation and maintenance manuals for the lighting control system in accordance with Section 01 78 23 OPERATION AND MAINTENANCE DATA that provide basic data relating to the design, operation, and maintenance of the lighting control system for the building. Include the following:

- a. Lighting control system layout and wiring plan.
- b. Lighting control system one-line diagram.
- c. Product data for all devices, including installation and programming instructions.
- d. Occupancy/vacancy sensor coverage layout.

- e. Training materials, such as videos or in-depth manuals, that cover basic operation of the lighting control system and instructions on modifying the lighting control system. Training materials must include calibration, adjustment, troubleshooting, maintenance, repair, and replacement.
- f. Sequence of operation descriptions for each typical room type, including final programming, schedules, and calibration settings.
- g. "As-built" lighting control panel schedules.

PART 2 PRODUCTS

2.1 PRODUCT COORDINATION

2.2 LUMINAIRES

UL 1598, NEMA C82.77-10. Provide luminaires as indicated in the luminaire schedule and NL plates or details on project plans, complete with light source, wattage, and lumen output indicated. All luminaires of the same type must be provided by the same manufacturer. Luminaires must be specifically designed for use with the driver and light source provided.

Provide luminaire with Energy Star Label For Residential Luminaires in accordance with Energy Star.

2.2.1 Luminaires

UL 8750, ANSI/IES LM-79, ANSI/IES LM-80. For all luminaires, provide:

- a. Complete system with LED drivers and light sources.
- b. Housings constructed of non-corrosive materials. All new aluminum housings must be anodized or powder-coated. All new steel housings must be treated to be corrosion resistant.
- c. ANSI/IES TM-21, ANSI/IES LM-80. Minimum L70 lumen maintenance value of 50,000 hours unless otherwise indicated in the luminaire schedule. Luminaire drive current value must be identical to that provided by test data for luminaire in question.
- d. Minimum efficacy as specified in the luminaire schedule. Theoretical models of initial lamp lumens per watt are not acceptable. If efficacy values are not listed in the luminaire schedule, provide luminaires that meet the following minimum values:

Luminaire Style	Minimum Luminaire Efficacy
Recessed 1 by 4, 2 by 4, and 2 by 2	100 LPW
Recessed Downlight (fixed, adjustable, wallwash)	80 LPW
Linear, Accent (undercabinet, cove)	45 LPW

Luminaire Style	Minimum Luminaire Efficacy
Linear, Ambient (indirect wall mount, linear pendent)	100 LPW
High Bay, Low Bay, and Industrial Locations	100 LPW
Food Service and Hazardous Locations	60 LPW
Other (track, residential diffusers)	50 LPW
Exterior Wall Sconce	50 LPW
Steplight	30 LPW
Parking Garage Luminaire	100 LPW

- e. UL listed for dry or damp location typical of interior installations. Any luminaire mounted on the exterior of the building must be UL listed for wet location typical of exterior installations.
- f. LED driver and light source package, array, or module are accessible for service or replacement without removal or destruction of luminaire.
- g. Lenses constructed of heat tempered borosilicate glass, UV-resistant acrylic, or silicone. Provide polycarbonate vandal-resistant lenses as indicated. Sandblasting, etching and polishing must be performed as indicated in the luminaire description.
- h. ANSI/IES TM-15. Provide exterior building-mounted luminaires that do not exceed the BUG ratings as listed in the luminaire schedule. If BUG ratings are not listed in the luminaire schedule, provide luminaires that meet the following minimum values for each application and mounting conditions:

Lighting Application	Mounting Conditions	BUG Rating
Exterior Wall Sconce	Above 4 feet AFF	B1-U0-G2
Exterior Wall Sconce	Below or at 4 feet AFF	B4-U0-G4
Steplight	Above 4 feet AFF	B1-U1-G2
Steplight	Below or at 4 feet AFF	B4-U1-G4
Parking Garage Luminaire	Ceiling mounted	B4-U4-G3

- i. For all recessed luminaires that are identified to be in contact with insulation, provide luminaires that are IC-rated.
- j. For all recessed luminaires that are to be installed in air plenums, require housings that are Chicago Plenum rated.

2.2.2 Luminaires for Hazardous Locations

In addition to requirements stated herein, provide LED luminaires for hazardous locations which conform to UL 844 or which have Factory Mutual certification for the class and division indicated.

2.3 LIGHT SOURCES

NEMA ANSLG C78.377, NEMA SSL 3. Provide type, delivered lumen output, and wattage as indicated in the luminaire schedule on project plans.

2.3.1 LED Light Sources

CEC Title 20. Provide LED light sources that meet the following requirements:

- a. NEMA ANSLG C78.377. Emit white light and have a nominal CCT of 3500 Kelvin.
- b. Minimum Color Rendering Index (CRI) of 90. Fidelity index greater than or equal to 80, gamut index between 97 and 110, determined in accordance with ANSI/IES TM-30.
- c. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.
- d. Light source color consistency by utilizing a binning tolerance within a 3-step McAdam ellipse.
- e. Color maintenance value of no greater than 0.003 ($\Delta u'v'$) at 6000 hours as listed in ANSI/IES LM-79 Test Report.

2.3.1.1 Linear LED Lamps

Provide linear LED Lamps that are compatible with existing instant-start or programmed-start ballasts, and meet the following additional requirements:

- a. UL 1993 UL Type A linear LED lamp.
- b. Power Factor greater than or equal to 0.90 at full input power and across specified dimming range.
- c. Maximum Total Harmonic Distortion (THD) less than or equal to 20 percent at full input power and across specified dimming range.
- d. Lumen per watt efficacy no less than 120.
- e. Minimum beam angle of 270 degrees.
- f. Lamp datasheet complies with ANSI C78.54. Manufacturer must provide list of all ballasts that are compatible for use with lamp.

2.4 LED DRIVERS

NEMA SSL 1, UL 8750. Provide LED drivers that are electronic, UL Class 1 or Class 2, constant-current type and that comply with the following requirements:

- a. The combined driver and LED light source system does not exceed the minimum luminaire efficacy values as listed in the luminaire schedule provided.
- b. Operates at a voltage of 120-277 volts at 50/60 hertz, with input voltage fluctuations of plus/minus 10 percent.
- c. Power Factor (PF) greater than or equal to 0.90 at full input power and across specified dimming range.
- d. Maximum Total Harmonic Distortion (THD) less than 20 percent at full input power and across specified dimming range.
- e. Operates for at least 50,000 hours at maximum case temperature and 90 percent non-condensing relative humidity.
- f. Withstands Category A surges of 2 kV without impairment of performance. Provide surge protection that is integral to the driver.
- g. Integral thermal protection that reduces the output power to protect the driver and light source from damage if the case temperature approaches or exceeds the driver's maximum operating temperature.
- h. 47 CFR 15. Complies with the requirements of the Federal Communications Commission (FCC) rules and regulations, Non-Consumer (Class A) for EMI/RFI (conducted and radiated).
- i. Class A sound rating.
- j. Directive 2011/65/EU. Restriction of Hazardous Substances (RoHS) compliant.
- k. Provide dimming capability as indicated in the luminaire schedule on project plans. Dimmable drivers must dim down to 10 percent. Dimmable drivers must be controlled by a Class 2 low voltage 0-10VDC controller dimming signal protocol unless otherwise specified. LED drivers of the same family/series must track evenly across multiple luminaires at all light levels.

2.4.1 Remote LED Drivers

Provide remote LED Drivers that are UL listed for dry locations typical of interior installations. Provide LED driver in junction box or housing with mounting plate. Housing must allow for field connections to occur inside the housing or must contain mechanical connections.

2.5 LIGHTING CONTROLS

Provide network certification for all networked lighting control systems and devices in accordance with the requirements of Section 25 05 11. CYBERSECURITY FOR FACILITY-RELATED CONTROL SYSTEMS. Provide lighting control systems that do not switch off battery-operated or emergency backup luminaires or exit signs in path of egress. Provide system with override of lighting control devices controlling luminaires in path of egress with activation of fire alarm system.

2.5.1 System

Provide lighting control system that operates the lighting system as

described in the lighting control strategies in the project plans. Submit Sequence of Operation for Lighting Control System describing the operation of the proposed lighting control system and devices. Sequence of Operation must provide the strategies identified in the lighting control strategies.

2.5.1.1 Localized Control Systems

Provide room or area-wide lighting control system capable of manual control, time-based control, and receiving input from photosensors and occupancy/vacancy sensors.

2.5.1.1.1 Local Area Controller

Provide controller designed for single area or room with the following requirements:

- a. Operates at a voltage of 120-277 volts at 50/60 hertz.
- b. 2 zone, with 1 relays rated 20 amps each with one manual dimmer per zone.
- c. Provide inputs for occupancy/vacancy sensors, photosensors, and low-voltage wall switches.
- d. Provide daylight harvesting capability with full-range dimming control with input from photosensor.
- e. Provide capability for receptacle load control from occupancy sensors.
- f. Provide full 'OFF' function with input from external time clock input.
- g. Capable of 0-10V dimming.
- h. AV interface via RS-485.
- i. Provide override 'ON' function with input from Fire Alarm Control Panel for all emergency lighting. Controller must not turn off power to emergency batteries or exit signs.

2.5.2 Devices

2.5.2.1 Switches

Provide line-voltage toggle switches as specified in Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. When used for non-digital loads, devices must be rated at 20 Amps inductive load, and be compatible with the lighting control systems.

2.6 EXIT AND EMERGENCY LIGHTING EQUIPMENT

2.6.1 LED Emergency Drivers

UL 924, NFPA 101. Provide LED emergency driver with automatic power failure detection, test switch and LED indicator (or combination switch/indicator) located on luminaire exterior, and fully-automatic solid-state charger, battery and inverter integral to a self-contained housing. Provide self-diagnostic function integral to emergency driver. Integral nickel-cadmium/lead-calcium battery is required to supply a

minimum of 90 minutes of emergency power at 7 watts, 10-50 VDC compatible with LED forward voltage requirements, constant output. Driver must be RoHS compliant, rated for installation in plenum-rated spaces and damp locations, and be warranted for a minimum of five years.

2.6.2 Self-Diagnostic Circuitry for LED Drivers

UL 924, NFPA 101. Provide emergency lighting unit with fully-automatic, integral self-testing/diagnostic electronic circuitry. Circuitry must provide for a one minute diagnostic test every 28 days, and a 30 minute diagnostic test every six months, minimum. Any malfunction of the unit must be indicated by LED(s) visible from the exterior of the luminaire. A manual test switch must also be provided to perform a diagnostic test at any given time.

2.7 LUMINAIRE MOUNTING ACCESSORIES

2.7.1 Suspended Luminaires

- a. Provide hangers capable of supporting twice the combined weight of luminaires supported by hangers.
- b. Hangers must allow luminaires to swing within an angle of 45 degrees. Brace pendants 4 feet or longer to limit swinging. Provide with swivel hangers to ensure a plumb installation for rigid stem pendants. Provide cadmium-plated steel with a swivel-ball tapped for the conduit size indicated.
- c. Single-unit suspended luminaires must have cable hangers. Multiple-unit or continuous row luminaires with a separate power supply cord must have a tubing or stem for wiring at one point and a tubing or rod suspension provided for each unit length of chassis, including one at each end.
- d. Provide all linear pendant and surface mounted luminaires with two supports per four-foot section or three per eight-foot section unless otherwise recommended by manufacturer.
- e. Provide rods in minimum 0.18 inch diameter.

2.7.2 Recess and Surface Mounted Luminaires

Provide access to light source and LED driver from bottom of luminaire. Provide trim and lenses for the exposed surface of flush-mounted luminaires as indicated on project drawings and specifications. Luminaires recessed in ceilings which have a fire resistive rating of one hour or more must be enclosed in a box which has a fire resistive rating equal to that of the ceiling. For surface mounted luminaires with brackets, provide flanged metal stem attached to outlet box, with threaded end suitable for supporting the luminaire rigidly in design position. Flanged part of luminaire stud must be of broad base type, secured to outlet box at not fewer than three points.

2.7.3 Luminaire Support Hardware

2.7.3.1 Wire

ASTM A641/A641M. Galvanized, soft tempered steel, minimum 0.11 inches in diameter, or galvanized, braided steel, minimum 0.08 inches in diameter.

2.7.3.2 Wire for Humid Spaces

ASTM A580/A580M. Composition 302 or 304, annealed stainless steel, minimum 0.11 inches in diameter.

ASTM B164. UNS N04400, annealed nickel-copper alloy, minimum 0.11 inches in diameter.

2.7.3.3 Threaded Rods

Threaded steel rods, 3/16 inch diameter, zinc or cadmium coated.

2.7.3.4 Straps

Galvanized steel, one by 3/16 inch, conforming to ASTM A653/A653M, with a light commercial zinc coating or ASTM A1008/A1008M with an electrodeposited zinc coating conforming to ASTM B633, Type RS.

2.7.4 Power Hook Luminaire Hangers

UL 1598. Provide an assembly consisting of through-wired power hook housing, interlocking plug and receptacle, power cord, and luminaire support loop. Power hook housing must be cast aluminum having two 3/4 inch threaded hubs. Support hook must have safety screw. Luminaire support loop must be cast aluminum with provisions for accepting 3/4 inch threaded stems. Power cord must include 16 inches of 3 conductor No. 16 Type SO cord. Assembly must be rated 120 volts or 277 volts, 15 amperes.

2.8 EQUIPMENT IDENTIFICATION

2.8.1 Manufacturer's Nameplate

Each item of equipment must have a nameplate bearing the manufacturer's name, address, model number, and serial number securely affixed in a conspicuous place; the nameplate of the distributing agent will not be acceptable.

2.8.2 Labels

UL 1598. All luminaires must be clearly marked for operation of specific light sources and LED drivers. The labels must be easy to read when standing next to the equipment, and durable to match the life of the equipment to which they are attached. Note the following light source characteristics in the format "Use Only ____":

- a. Correlated Color Temperature (CCT) and Color Rendering Index (CRI) for all luminaires.
- b. Driver and dimming protocol.

All markings related to light source type must be clear and located to be readily visible to service personnel, but unseen from normal viewing angles when light sources are in place. LED drivers must have clear markings indicating dimming type and indicate proper terminals for the various outputs.

2.9 FACTORY APPLIED FINISH

NEMA 250. Provide all luminaires and lighting equipment with factory-applied painting system that as a minimum, meets requirements of corrosion-resistance testing.

PART 3 EXECUTION

3.1 INSTALLATION

IEEE C2, NFPA 70.

3.1.1 Light Sources

When light sources are not provided as an integral part of the luminaire, deliver light sources of the type, wattage, lumen output, color temperature (CCT), color rendering index (CRI), and voltage rating indicated to the project site and install just prior to project completion, if not already installed in the luminaires from the factory.

3.1.2 Luminaires

Set luminaires plumb, square, and level with ceiling and walls, in alignment with adjacent luminaires and secure in accordance with manufacturers' directions and approved drawings. Provide accessories as required for ceiling construction type indicated on Finish Schedule. Luminaire catalog numbers do not necessarily denote specific mounting accessories for type of ceiling in which a luminaire may be installed. Provide wires, straps, or rods for luminaire support in this section. Install luminaires with vent holes free of air blocking obstacles.

3.1.2.1 Suspended Luminaires

Measure mounting heights from the bottom of the luminaire for ceiling-mounted luminaires and to center of luminaire for wall-mounted luminaires. Obtain architect approval of the exact mounting height on the job before commencing installation and, where applicable, after coordinating with the type, style, and pattern of the ceiling being installed. Support suspended luminaires from structural framework of ceiling or from inserts cast into slab.

- a. Provide suspended luminaires with 45 degree swivel hangers so that they hang plumb and level.
- b. Locate so that there are no obstructions within the 45 degree range in all directions.
- c. The stem, canopy and luminaire must be capable of 45 degree swing.
- d. Rigid pendent stem, aircraft cable, rods, or chains 4 feet or longer excluding luminaire must be braced to prevent swaying using three cables at 120 degree separation.
- e. Suspended luminaires in continuous rows must have internal wireway systems for end to end wiring and must be properly aligned to provide a straight and continuous row without bends, gaps, light leaks or filler pieces.
- f. Utilize aligning splines on extruded aluminum luminaires to assure

minimal hairline joints.

- g. Support steel luminaires to prevent "oil-canning" effects.
- h. Match supporting pendants with supported luminaire. Aircraft cable must be stainless steel.
- i. Match finish of canopies to match the ceiling, and provide low profile canopies unless otherwise shown.
- j. Maximum distance between suspension points must be 10 feet or as recommended by the manufacturer, whichever is less.

3.1.2.2 Recessed and Semi-Recessed Luminaires

- a. Support recessed and semi-recessed luminaires independently from the building structure by a minimum of two wires, straps or rods per luminaire and located near opposite corners of the luminaire. Secure horizontal movement with clips provided by manufacturer. Ceiling grid clips are not allowed as an alternative to independently supported luminaires.
- b. Support round luminaires or luminaires smaller in size than the ceiling grid independently from the building structure by a minimum of four wires, straps or rods per luminaire, spaced approximately equidistant around.
- c. Do not support luminaires by acoustical tile ceiling panels.
- d. Where luminaires of sizes less than the ceiling grid are indicated to be centered in the acoustical panel, support each independently and provide at least two 3/4 inch metal channels spanning, and secured to, the ceiling tees for centering and aligning the luminaire.
- e. Luminaires installed in suspended ceilings must also comply with the requirements of Section 09 51 00 ACOUSTICAL CEILINGS.
- f. Adjust aperture rings on all applicable ceiling recessed luminaires to accommodate various ceiling material thickness. Coordinate cut-out size in ceiling to ensure aperture covers cut-out entirely. Install aperture rings such that the bottom of the ring is flush with finished ceiling or not more than 1/16 inch above. Do not install luminaires such that the aperture ring extends below the finished ceiling surface.
- g. For luminaire recessed in plaster ceilings, provide plaster frames for setting. Install setting such that the bottom of the frame is flush with finished ceiling. Support luminaires with plaster frames utilizing yokes or leveling lugs. Do not mount luminaires or support elements to ducts or pipes. Yokes must support a luminaire by no fewer than two bolts each.

3.1.2.3 Field Applied Painting

Provide field applied painting for luminaire type . Paint lighting equipment as required to match finish of adjacent surfaces or to meet the indicated or specified safety criteria. Provide painting as specified in Section 09 90 00 PAINTS AND COATINGS.

3.1.3 LED Drivers

Provide LED drivers integral to luminaire as constructed by the manufacturer.

3.1.4 Lighting Controls

3.1.4.1 Scene Wallstations

Submit labeling templates for all scene wallstations, ganged faceplates and other manual control cover plates. Label each scene control button with approved scene description.

3.2 FIELD QUALITY CONTROL

3.2.1 Tests

3.2.1.1 Lighting Control Verification Tests

Verify lighting control system and devices operate according to approved sequence of operations. Verification tests are to be completed after commissioning.

- a. Verify occupancy/vacancy sensors operate as described in sequence of operations. Provide testing of sensor coverage, sensitivity, and time-out settings in all spaces where sensors are placed. This is to be completed only after all furnishings have been installed. Submit occupancy/vacancy sensor verification test.
- b. Verify photosensors operate as described in sequence of operations. Provide testing of sensor coverage, aiming, and calibration in all spaces where sensors are placed. This is to be completed only after all furnishings have been installed. Submit photosensor verification test.
- c. Verify wall box dimmers and scene wallstations operate as described in sequence of operations.

3.2.1.2 Emergency Lighting Test

Interrupt power supply to demonstrate proper operation of emergency lighting. If adjustments are made to the lighting system, re-test system to show compliance with standards.

3.3 CLOSEOUT ACTIVITIES

3.3.1 Commissioning

NFPA 101. Commission all components of the lighting system and lighting control system in accordance with Section 01 91 00.15 BUILDING COMMISSIONING. Factory Trained Field Service Technician is responsible for calibration and programming sequences for input devices and systems in accordance with the requirements described in the sequence of operation.

3.3.2 Training

3.3.2.1 Maintenance Staff Training

Submit a Maintenance Staff Training Plan at least 30 calendar days prior

to training session that describes training procedures for Owner's personnel in the operation and maintenance of lighting and lighting control system. Provide on-site training which demonstrates full system functionality, assigning schedules, calibration adjustments for light levels and sensor sensitivity, integration procedures for connecting to third-party devices, and manual override including information on appropriate use. Provide protocols for troubleshooting, maintenance, repair, and replacement, and literature on available system updates and process for implementing updates.

3.3.2.2 End-User Training

Submit an End-User Training Plan at least 30 calendar days prior to training session that describes training procedures for end-users on the lighting control system. Provide users with a list of control devices located within user-occupied spaces, such as photosensors and occupancy and vacancy sensors, including information on the proper operation and schedule for each device. Provide demonstration for each type of interface. Provide users with the building schedule as currently commissioned, including conditional programming based on astronomic time clock functionality. Provide users with the correct contact information for maintenance personnel who will be available to address any lighting control issues.

Provide laminated instructions to the user at each scene wallstation. Provide only instructions relevant to the functionality of the specific scene wallstation. Provide a description of each labeled scene control button. If the room utilizes occupancy/vacancy sensors or photosensors, include a description of this functionality on the instruction sheet.

-- End of Section --

SECTION 33 71 02

UNDERGROUND ELECTRICAL DISTRIBUTION

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO HB-17 (2002; Errata 2003; Errata 2005, 17th Edition) Standard Specifications for Highway Bridges

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 318M (2019; Errata 2022) Building Code Requirements for Structural Concrete & Commentary

ACI MNL-66 (2020) ACI Detailing Manual

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M (2020; Errata 1 2021) Structural Welding Code - Steel

ASSOCIATION OF EDISON ILLUMINATING COMPANIES (AEIC)

AEIC CS8 (2013) Specification for Extruded Dielectric Shielded Power Cables Rated 5 Through 46 kV

ASTM INTERNATIONAL (ASTM)

ASTM A48/A48M (2022) Standard Specification for Gray Iron Castings

ASTM B1 (2013) Standard Specification for Hard-Drawn Copper Wire

ASTM B3 (2013; R 2024) Standard Specification for Soft or Annealed Copper Wire

ASTM B8 (2023) Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft

ASTM B231/B231M (2023) Standard Specification for Concentric-Lay-Stranded Aluminum 1350 Conductors

ASTM B400/B400M	(2023) Standard Specification for Compact Round Concentric-Lay-Stranded Aluminum 1350 Conductors
ASTM B609/B609M	(2012; R 2021) Standard Specification for Aluminum 1350 Round Wire, Annealed and Intermediate Tempers, for Electrical purposes
ASTM B800	(2005; R 2021) Standard Specification for 8000 Series Aluminum Alloy Wire for Electrical Purposes-Annealed and Intermediate Tempers
ASTM B801	(2018; R 2023) Standard Specification for Concentric-Lay-Stranded Conductors of 8000 Series Aluminum Alloy for Subsequent Covering or Insulation
ASTM C32	(2023) Standard Specification for Sewer and Manhole Brick (Made from Clay or Shale)
ASTM C139	(2023) Standard Specification for Concrete Masonry Units for Construction of Catch Basins and Manholes
ASTM C309	(2019) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C478	(2018) Standard Specification for Circular Precast Reinforced Concrete Manhole Sections
ASTM C857	(2016) Standard Practice for Minimum Structural Design Loading for Underground Precast Concrete Utility Structures
ASTM C990	(2009; R 2019) Standard Specification for Joints for Concrete Pipe, Manholes, and Precast Box Sections Using Preformed Flexible Joint Sealants
ASTM F512	(2019; R 2024) Standard Specification for Smooth-Wall Poly (Vinyl Chloride) (PVC) Conduit and Fittings for Underground Installation
ASTM F2160	(2022a) Standard Specification for Solid Wall High Density Polyethylene (HDPE) Conduit Based on Controlled Outside Diameter (OD)

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE 48	(2020) Test Procedures and Requirements for Alternating-Current Cable Terminations Used on Shielded Cables Having Laminated

Insulation Rated 2.5 kV through 765 kV or
Extruded Insulation Rated 2.5 kV through
500 kV

IEEE 81	(2012) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE 386	(2016) Separable Insulated Connector Systems for Power Distribution Systems Rated 2.5 kV through 35 kV
IEEE 400.2	(2013) Guide for Field Testing of Shielded Power Cable Systems Using Very Low Frequency (VLF)
IEEE 404	(2012) Standard for Extruded and Laminated Dielectric Shielded Cable Joints Rated 2500 V to 500,000 V
IEEE 495	(2007) Guide for Testing Faulted Circuit Indicators
IEEE C2	(2023) National Electrical Safety Code
IEEE C37.20.3	(2013) Standard for Metal-Enclosed Interrupter Switchgear
IEEE Stds Dictionary	(2009) IEEE Standards Dictionary: Glossary of Terms & Definitions

INSULATED CABLE ENGINEERS ASSOCIATION (ICEA)

ICEA S-94-649	(2021) Concentric Neutral Cables Rated 5 Through 46 KV

INTERNATIONAL ELECTRICAL TESTING ASSOCIATION (NETA)

NETA ATS	(2021) Standard for Acceptance Testing Specifications for Electrical Power Equipment and Systems

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

ANSI C119.1	(2023) Electric Connectors - Sealed Insulated Underground Connector Systems Rated 600 Volts
ANSI/NEMA WC 71/ICEA S-96-659	(2014; R 2022) Standard for Nonshielded Cables Rated 2001-5000 Volts for use in the Distribution of Electric Energy
NEMA C119.4	(2011) Electric Connectors - Connectors for Use Between Aluminum-to-Aluminum or Aluminum-to-Copper Conductors Designed for Normal Operation at or Below 93 Degrees C and Copper-to-Copper Conductors Designed for Normal Operation at or Below 100 Degrees C

NEMA RN 1	(2005; R 2013) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA TC 2	(2020) Standard for Electrical Polyvinyl Chloride (PVC) Conduit
NEMA TC 6 & 8	(2020) Standard for Polyvinyl Chloride (PVC) Plastic Utilities Duct for Underground Installations
NEMA TC 7	(2021) Smooth-Wall Coilable and Straight Electrical Polyethylene Conduit
NEMA TC 9	(2020) Standard for Fittings for Polyvinyl Chloride (PVC) Plastic Utilities Duct for Underground Installation
NEMA WC 70	(2021) Power Cable Rated 2000 Volts or Less for the Distribution of Electrical Energy
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)	
NFPA 70	(2023) National Electrical Code
SOCIETY OF CABLE TELECOMMUNICATIONS ENGINEERS (SCTE)	
ANSI/SCTE 77	(2013) Specification for Underground Enclosure Integrity
TELECOMMUNICATIONS INDUSTRY ASSOCIATION (TIA)	
TIA-758	(2012b) Customer-Owned Outside Plant Telecommunications Infrastructure Standard
U.S. DEPARTMENT OF AGRICULTURE (USDA)	
RUS Bull 1751F-644	(2002) Underground Plant Construction
U.S. GENERAL SERVICES ADMINISTRATION (GSA)	
CID A-A-60005	(Basic; Notice 2) Frames, Covers, Gratings, Steps, Sump And Catch Basin, Manhole
UNDERWRITERS LABORATORIES (UL)	
UL 6	(2022) UL Standard for Safety Electrical Rigid Metal Conduit-Steel
UL 44	(2018; Reprint May 2021) UL Standard for Safety Thermoset-Insulated Wires and Cables
UL 83	(2017; Reprint Mar 2020) UL Standard for Safety Thermoplastic-Insulated Wires and Cables

UL 94	(2023; Reprint Jan 2024) UL Standard for Safety Tests for Flammability of Plastic Materials for Parts in Devices and Appliances
UL 467	(2022) UL Standard for Safety Grounding and Bonding Equipment
UL 486A-486B	(2018; Reprint Jul 2023) UL Standard for Safety Wire Connectors
UL 510	(2020; Dec 2022) UL Standard for Safety Polyvinyl Chloride, Polyethylene and Rubber Insulating Tape
UL 514A	(2013; Reprint Jun 2022) UL Standard for Safety Metallic Outlet Boxes
UL 514B	(2012; Reprint Mar 2024) UL Standard for Safety Conduit, Tubing and Cable Fittings
UL 651	(2011; Reprint May 2022) UL Standard for Safety Schedule 40, 80, Type EB and A Rigid PVC Conduit and Fittings
UL 854	(2020; Reprint Jul 2024) Standard for Service-Entrance Cables
UL 1242	(2006; Reprint Apr 2022) UL Standard for Safety Electrical Intermediate Metal Conduit -- Steel

1.2 SYSTEM DESCRIPTION

Items provided under this section must be specifically suitable for the following service conditions. Seismic details must be as indicated.

- a. Fungus Control
- b. Altitude feet.
- c. Ambient Temperature degrees F.
- d. Frequency
- e. Ventilation
- f. Seismic Parameters
- g. Humidity Control
- h. Corrosive Areas

1.3 RELATED REQUIREMENTS

Section 26 08 00 APPARATUS INSPECTION AND TESTING applies to this section, with the additions and modifications specified herein.

1.4 DEFINITIONS

- a. Unless otherwise specified or indicated, electrical and electronics terms used in these specifications, and on the drawings, are as defined in IEEE Stds Dictionary.
- b. In the text of this section, the words conduit and duct are used interchangeably and have the same meaning.
- c. In the text of this section, "medium voltage cable splices," and "medium voltage cable joints" are used interchangeably and have the same meaning.
- d. Underground structures subject to aircraft loading are indicated on the drawings.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are for Contractor Quality Control approval. Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Aluminum Conductors; G

Submit modified drawings and engineering calculations associated with design changes required for use of aluminum conductors.

Precast Underground Structures; G

SD-03 Product Data

Medium Voltage Cable;

Medium Voltage Cable Joints;

Medium Voltage Cable Terminations;

Live End Caps;

Precast Concrete Structures;

Sealing Material

Pulling-In Irons

Handhole Frames and Covers;

Composite/Fiberglass Handholes;

SD-06 Test Reports

Medium Voltage Cable Qualification and Production Tests;

Field Acceptance Checks and Tests;

Arc-proofing Test for cable fireproofing tape;

Cable Installation Plan and Procedure; G

Six copies of the information described below in 8-1/2 by 11 inch binders having a minimum of three rings from which material may readily be removed and replaced, including a separate section for each cable pull. Separate sections by heavy plastic dividers with tabs, with all data sheets signed and dated by the person supervising the pull.

- a. Site layout drawing with cable pulls numerically identified.
- b. A list of equipment used, with calibration certifications. The manufacturer and quantity of lubricant used on pull.
- c. The cable manufacturer and type of cable.
- d. The dates of cable pulls, time of day, and ambient temperature.
- e. The length of cable pull and calculated cable pulling tensions.
- f. The actual cable pulling tensions encountered during pull.

SD-07 Certificates

Cable splicer/terminator; G

Cable Installer Qualifications; G

Directional Boring Certificate of Conformance; G

1.6 QUALITY ASSURANCE

1.6.1 Precast Underground Structures

Submittal required for each type used. Provide calculations and drawings for precast manholes and handholes bearing the seal of a registered professional engineer including:

- a. Material description (i.e., f'_c and F_y)
- b. Manufacturer's printed assembly and installation instructions
- c. Design calculations
- d. Reinforcing shop drawings in accordance with ACI MNL-66
- e. Plans and elevations showing opening and pulling-in iron locations and details

1.6.2 Certificate of Competency for Cable Splicer/Terminator

The cable splicer/terminator must have a certification from the National Cable Splicing Certification Board (NCSCB) in the field of splicing and terminating shielded medium voltage (5 kV to 35 kV) power cable using pre-manufactured kits (pre-molded, heat-shrink, cold shrink). Submit "Proof of Certification" for approval, for the individuals that will be

performing cable splicer and termination work, 30 days before splices or terminations are to be made.

Submit certification of the qualification of the cable splicer/terminator for approval, 30 days before splices or terminations are to be made in medium voltage (5 kV to 35 kV) cables. Include the training, and experience of the individual on the specific type and classification of cable to be provided under this contract. Indicate that the individual has had three or more years recent experience splicing and terminating medium voltage cables. List a minimum of three splices/terminations that have been in operation for more than one year. In addition, the individual may be required to perform a dummy or practice splice/termination in the presence of the Contracting Officer, before being approved as a qualified cable splicer. If that additional requirement is imposed, the Contractor must provide short sections of the approved types of cables along with the approved type of splice/termination kit, and detailed manufacturer's instructions for the cable to be spliced. The Contracting Officer reserves the right to require additional proof of competency or to reject the individual and call for certification of an alternate cable splicer.

1.6.3 Cable Installer Qualifications

Provide at least one onsite person in a supervisory position with a documentable level of competency and experience to supervise all cable pulling operations. Provide a resume showing the cable installers' experience in the last three years, including a list of references complete with points of contact, addresses and telephone numbers. Cable installer must demonstrate experience with a minimum of three medium voltage cable installations. The Contracting Officer reserves the right to require additional proof of competency or to reject the individual and call for an alternate qualified cable installer.

1.6.4 Directional Boring Certificate of Conformance

Provide certification of compliance with the registered Professional Engineer's design requirements for each directional bore, including: HDPE conduit size and type, bend radius, elevation changes, vertical and horizontal path deviations, conductor size and type and any conductor derating due to depth of conduit. Record location and depth of all directional-bore installed HDPE conduits using Global Positioning System (GPS) recording means with "resource grade" accuracy.

1.6.5 Regulatory Requirements

In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "must" had been substituted for "should" wherever it appears. Interpret references in these publications to the "authority having jurisdiction," or words of similar meaning, to mean the Contracting Officer. Equipment, materials, installation, and workmanship must be in accordance with the mandatory and advisory provisions of IEEE C2 and NFPA 70 unless more stringent requirements are specified or indicated.

1.6.6 Standard Products

Provide materials and equipment that are products of manufacturers regularly engaged in the production of such products which are of equal material, design and workmanship. Products must have been in satisfactory

commercial or industrial use for 2 years prior to bid opening. The 2-year period must include applications of equipment and materials under similar circumstances and of similar size. The product must have been for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures during the 2-year period. Where two or more items of the same class of equipment are required, these items must be products of a single manufacturer; however, the component parts of the item need not be the products of the same manufacturer unless stated in this section.

1.6.6.1 Alternative Qualifications

Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation for not less than 6000 hours, exclusive of the manufacturers' factory or laboratory tests, is furnished.

1.6.6.2 Material and Equipment Manufacturing Date

Products manufactured more than 3 years prior to date of delivery to site are not acceptable, unless specified otherwise.

PART 2 PRODUCTS

2.1 CONDUIT, DUCTS, AND FITTINGS

2.1.1 Rigid Metal Conduit

UL 6.

2.1.1.1 Rigid Metallic Conduit, PVC Coated

NEMA RN 1, Type A40, except that hardness must be nominal 85 Shore A durometer, dielectric strength must be minimum 400 volts per mil at 60 Hz, and tensile strength must be minimum 3500 psi.

2.1.2 Intermediate Metal Conduit

UL 1242.

2.1.2.1 Intermediate Metal Conduit, PVC Coated

NEMA RN 1, Type A40, except that hardness must be nominal 85 Shore A durometer, dielectric strength must be minimum 400 volts per mil at 60 Hz, and tensile strength must be minimum 3500 psi.

2.1.3 Plastic Conduit for Direct Burial and Riser Applications

UL 651 and NEMA TC 2, EPC-40 or EPC-80 as indicated.

2.1.4 Plastic Duct for Concrete Encasement

Provide Type EB-20 per UL 651, ASTM F512, and NEMA TC 6 & 8 or Type EPC-40 per UL 651 and NEMA TC 2, as indicated.

2.1.5 High Density Polyethylene (HDPE) Electrical Conduit for Directional Boring

Smoothwall, approved/listed for directional boring, minimum Schedule 80, ASTM F2160, NEMA TC 7.

2.1.6 Duct Sealant

UL 94, Class HBF. Provide high-expansion urethane foam duct sealant that expands and hardens to form a closed, chemically and water resistant, rigid structure. Sealant must be compatible with common cable and wire jackets and capable of adhering to metals, plastics and concrete. Sealant must be capable of curing in temperature ranges of 35 degrees F to 95 degrees F. Cured sealant must withstand temperature ranges of -20 degrees F to 200 degrees F without loss of function.

2.1.7 Fittings

2.1.7.1 Metal Fittings

UL 514B.

2.1.7.2 PVC Conduit Fittings

UL 514B, UL 651 and NEMA TC 3.

2.1.7.3 PVC Duct Fittings

NEMA TC 9.

2.1.7.4 Outlet Boxes for Steel Conduit

Outlet boxes for use with rigid or flexible steel conduit must be cast-metal cadmium or zinc-coated if of ferrous metal with gasketed closures and must conform to UL 514A.

2.2 LOW VOLTAGE INSULATED CONDUCTORS AND CABLES

Insulated conductors must be rated 600 volts and conform to the requirements of NFPA 70, including listing requirements, or in accordance with NEMA WC 70. Wires and cables manufactured more than 12 months prior to date of delivery to the site are not acceptable. Service entrance conductors must conform to UL 854, type USE.

2.2.1 Conductor Types

Cable and duct sizes indicated are for copper conductors and THHN/THWN unless otherwise noted. Conductors No. 10 AWG and smaller must be solid. Conductors No. 8 AWG and larger must be stranded. Conductors No. 6 AWG and smaller must be copper. Conductors No. 4 AWG and larger may be either copper or aluminum, at the Contractor's option. Do not substitute aluminum for copper if the equivalent aluminum conductor size would exceed 500 kcmil. When the Contractor chooses to use aluminum for conductors No. 4 AWG and larger, the Contractor must: increase the conductor size to have the same ampacity as the copper size indicated; increase the conduit and pull box sizes to accommodate the larger size aluminum conductors in accordance with NFPA 70; ensure that the pulling tension rating of the aluminum conductor is sufficient; relocate equipment, modify equipment terminations, resize equipment, and resolve to the satisfaction of the Contracting Officer problems that are direct results of the use of aluminum conductors in lieu of copper.

2.2.2 Conductor Material

Unless specified or indicated otherwise or required by NFPA 70, wires in conduit, other than service entrance, must be 600-volt, Type THWN/THHN conforming to UL 83 or Type XHHW or RHW conforming to UL 44. Copper conductors must be annealed copper complying with ASTM B3 and ASTM B8. Aluminum conductors must be Type AA-8000 aluminum conductors complying with ASTM B800 and ASTM B801, and must be of an aluminum alloy listed or labeled by UL as "component aluminum-wire stock (conductor material). Type 1350 is not acceptable. Intermixing of copper and aluminum conductors in the same raceway is not permitted.

2.2.3 Jackets

Provide multiconductor cables with an overall PVC outer jacket.

2.2.4 Direct Buried

Provide single-conductor and multi-conductor cables identified for direct burial.

2.2.5 In Duct

Cables must be single-conductor cable. Cables in factory-installed, coilaible-plastic-duct assemblies must conform to NEMA TC 7.

2.2.6 Cable Marking

Insulated conductors must have the date of manufacture and other identification imprinted on the outer surface of each cable at regular intervals throughout the cable length.

Identify each cable by means of a fiber, laminated plastic, or non-ferrous metal tags in each manhole, handhole, junction box, and each terminal. Each tag must contain the following information; cable type, conductor size, circuit number, circuit voltage, cable destination and phase identification.

Color code conductors. Provide conductor identification within each enclosure where a tap, splice, or termination is made. Conductor identification must be by color-coded insulated conductors, plastic-coated self-sticking printed markers, colored nylon cable ties and plates, heat shrink type sleeves, or colored electrical tape. Properly identify control circuit terminations. Color must be green for grounding conductors and white for neutrals; except where neutrals of more than one system are installed in same raceway or box, other neutrals may be white with a different colored (not green) stripe for each. Color of ungrounded conductors in different voltage systems are as follows:

a. 208/120 volt, three-phase

(1) Phase A - black

(2) Phase B - red

(3) Phase C - blue

b. 480/277 volt, three-phase

- (1) Phase A - brown
- (2) Phase B - orange
- (3) Phase C - yellow

- c. 120/240 volt, single phase: Black and red
- d. On three-phase, four-wire delta system, high leg must be orange, as required by NFPA 70.

2.3 LOW VOLTAGE WIRE CONNECTORS AND TERMINALS

Provide a uniform compression over the entire conductor contact surface. Use solderless terminal lugs on stranded conductors.

- a. For use with copper conductors: UL 486A-486B.
- b. For use with aluminum conductors: UL 486A-486B. For connecting aluminum to copper, connectors must be the circumferentially compressed, metallurgically bonded type.

2.4 LOW VOLTAGE SPLICES

Provide splices in conductors with a compression connector on the conductor and by insulating and waterproofing using one of the following methods which are suitable for continuous submersion in water and comply with ANSI C119.1.

2.4.1 Heat Shrinkable Splice

Provide heat shrinkable splice insulation by means of a thermoplastic adhesive sealant material applied in accordance with the manufacturer's written instructions.

2.4.2 Cold Shrink Rubber Splice

Provide a cold-shrink rubber splice which consists of EPDM rubber tube which has been factory stretched onto a spiraled core which is removed during splice installation. The installation must not require heat or flame, or any additional materials such as covering or adhesive. It must be designed for use with inline compression type connectors, or indoor, outdoor, direct-burial or submerged locations.

2.5 MEDIUM VOLTAGE CABLE

Cable (conductor) sizes are designated by American Wire Gauge (AWG) and Thousand Circular Mils (Kcmil). Conductor and conduit sizes indicated are for copper conductors unless otherwise noted. Insulated conductors must have the date of manufacture and other identification imprinted on the outer surface of each cable at regular intervals throughout cable length. Wires and cables manufactured more than 12 months prior to date of delivery to the site are not acceptable. Provide single conductor type cables unless otherwise indicated.

2.5.1 Cable Configuration

Provide concentric neutral underground distribution cable conforming to ICEA S-94-649. Provide cables manufactured for use in duct or direct

burial applications as indicated. Cable must be rated 25 kV with 100 percent insulation level.

2.5.2 Conductor Material

Provide concentric-lay-stranded, Class B compact round conductors. Provide aluminum alloy 1350 cables, 3/4 hard minimum complying with ASTM B609/B609M and ASTM B231/B231M for regular concentric and compressed stranding or ASTM B400/B400M for compacted stranding.

2.5.3 Insulation

Provide ethylene-propylene-rubber (EPR) insulation conforming to the requirements of ANSI/NEMA WC 71/ICEA S-96-659 and AEIC CS8.

2.5.4 Shielding

Cables rated for 2 kV and above must have a semiconducting conductor shield, a semiconducting insulation shield, and an overall copper tape or wire shield for each phase.

2.5.5 Neutrals

Neutral conductors must be copper, employing the same insulation and jacket materials as phase conductors, except that a 600-volt insulation rating is acceptable. Concentric neutrals conductors must be copper, having a combined ampacity equal to 1/3 of the phase conductor ampacity rating. For high impedance grounded neutral systems, the neutral conductors from the neutral point of the transformer or generator to the connection point at the impedance must utilize copper conductors, employing the same insulation level and construction as the phase conductors.

2.5.6 Jackets

Provide cables with a PVC jacket. Direct buried cables must be rated for direct burial. Provide type UD cables with an overall jacket. Provide PVC jackets with a separator that prevents contact with underlying semiconducting insulating shield.

2.6 MEDIUM VOLTAGE CABLE TERMINATIONS

IEEE 48 Class 1; of the molded elastomer, prestretched elastomer, or heat-shrinkable elastomer. Acceptable elastomers are track-resistant silicone rubber or track-resistant ethylene propylene compounds, such as ethylene propylene rubber or ethylene propylene diene monomer. Separable insulated connectors may be used for apparatus terminations, when such apparatus is provided with suitable bushings. Provide terminations, where required, with mounting brackets suitable for the intended installation and with grounding provisions for the cable shielding, metallic sheath, or armor. Provide terminations in a kit, including: skirts, stress control terminator, ground clamp, connectors, lugs, and complete instructions for assembly and installation. Terminations must be the product of one manufacturer, suitable for the type, diameter, insulation class and level, and materials of the cable terminated. Do not use separate parts of copper or copper alloy in contact with aluminum alloy parts in the construction or installation of the terminator.

2.6.1 Cold-Shrink Type

Terminator must be a one-piece design, utilizing the manufacturer's latest technology, where high-dielectric constant (capacitive) stress control is integrated within a skirted insulator made of silicone rubber. Termination must not require heat or flame for installation. Termination kit must contain all necessary materials (except for the lugs). Design termination for installation in low or highly contaminated indoor and outdoor locations and must resist ultraviolet rays and oxidative decomposition.

2.6.2 Heat Shrinkable Type

Terminator must consist of a uniform cross section heat shrinkable polymeric construction stress relief tubing and environmentally sealed outer covering that is nontracking, resists heavy atmospheric contaminants, ultra violet rays and oxidative decomposition. Provide heat shrinkable sheds or skirts of the same material. Design termination for installation in low or highly contaminated indoor or outdoor locations.

2.6.3 Separable Insulated Connector Type

IEEE 386. Provide connector with steel reinforced hook-stick eye, grounding eye, test point, and arc-quenching contact material. Provide connectors of the loadbreak or deadbreak type as indicated, of suitable construction for the application and the type of cable connected, and that include cable shield adaptors. Provide external clamping points and test points. Do not use separable connectors in manholes/handholes.

- a. 200 Ampere loadbreak connector ratings: Voltage: 25 kV, 125 kV BIL. Short time rating: 10,000 rms symmetrical amperes.
- b. 600 Ampere deadbreak connector ratings: Voltage: 25 kV, 125 kV BIL. Short time rating: 25,000 rms symmetrical amperes. Connectors must have 200 ampere bushing interface as indicated.
- c. Provide one sets of three grounding elbows and one sets of three feed-thru inserts. Deliver grounding elbows and feed-thru inserts to the Contracting Officer.
- d. Install one set of faulted circuit indicators, complying with IEEE 495, on the test points of each set of separable insulated connectors. Indicators must be selfpowered; with automatic trip with mechanical flag indication upon overcurrent followed by loss of system voltage, and automatic reset upon restoration of system voltage. Indicators must be compact, sealed corrosion resistant construction with provision for hotstick installation and operation.

2.7 MEDIUM VOLTAGE CABLE JOINTS

Provide joints (splices) in accordance with IEEE 404 suitable for the rated voltage, insulation level, insulation type, and construction of the cable. Joints must be certified by the manufacturer for waterproof, submersible applications. Upon request, supply manufacturer's design qualification test report in accordance with IEEE 404. Connectors for joint must be tin-plated electrolytic copper, having ends tapered and having center stops to equalize cable insertion.

2.7.1 Heat-Shrinkable Joint

Consists of a uniform cross-section heat-shrinkable polymeric construction with a linear stress relief system, a high dielectric strength insulating material, and an integrally bonded outer conductor layer for shielding. Replace original cable jacket with a heavy-wall heat-shrinkable sleeve with hot-melt adhesive coating.

2.7.2 Cold-Shrink Rubber-Type Joint

Joint must be of a cold shrink design that does not require any heat source for its installation. Splice insulation and jacket must be of a one-piece factory formed cold shrink sleeve made of black EPDM rubber. Splice should be packaged three splices per kit, including complete installation instructions.

2.8 TELECOMMUNICATIONS CABLING

Provide telecommunications cabling in accordance with Section 33 82 00 TELECOMMUNICATIONS OUTSIDE PLANT (OSP).

2.9 LIVE END CAPS

Provide live end caps using a "kit" including a heat-shrinkable tube and a high dielectric strength, polymeric plug overlapping the conductor. Conform to applicable portions of IEEE 48.

2.10 TAPE

2.10.1 Insulating Tape

UL 510, plastic insulating tape, capable of performing in a continuous temperature environment of 80 degrees C.

2.10.2 Buried Warning and Identification Tape

Provide detectable tape in accordance with Section 31 00 00 EARTHWORK.

2.10.3 Fireproofing Tape

Provide tape composed of a flexible, conformable, unsupported intumescent elastomer. Tape must be not less than .030 inch thick, noncorrosive to cable sheath, self-extinguishing, noncombustible, adhesive-free, and must not deteriorate when subjected to oil, water, gases, salt water, sewage, and fungus.

2.11 PULL ROPE

Plastic or flat pull line (bull line) having a minimum tensile strength of 200 pounds.

2.12 GROUNDING AND BONDING

2.12.1 Driven Ground Rods

Provide solid copper ground rods conforming to UL 467 not less than 3/4 inch in diameter by 10 feet in length. Sectional type rods may be used for rods 20 feet or longer.

2.12.2 Grounding Conductors

Stranded-bare copper conductors must conform to ASTM B8, Class B, soft-drawn unless otherwise indicated. Solid-bare copper conductors must conform to ASTM B1 for sizes No. 8 and smaller. Insulated conductors must be of the same material as phase conductors and green color-coded, except that conductors must be rated no more than 600 volts. Aluminum is not acceptable.

2.13 CAST-IN-PLACE CONCRETE

Provide concrete in accordance with Section 03 30 00 CAST-IN-PLACE CONCRETE. In addition, provide concrete for encasement of underground ducts with 3000 psi minimum 28-day compressive strength. Concrete associated with electrical work for other than encasement of underground ducts must be 4000 psi minimum 28-day compressive strength unless specified otherwise.

2.14 UNDERGROUND STRUCTURES

Provide precast concrete underground structures or standard type cast-in-place manhole types as indicated, conforming to ASTM C857 and ASTM C478. Top, walls, and bottom must consist of reinforced concrete. Walls and bottom must be of monolithic concrete construction. Locate duct entrances and windows near the corners of structures to facilitate cable racking. Covers must fit the frames without undue play. Form steel and iron to shape and size with sharp lines and angles. Castings must be free from warp and blow holes that may impair strength or appearance. Exposed metal must have a smooth finish and sharp lines and arises. Provide necessary lugs, rabbets, and brackets. Set pulling-in irons and other built-in items in place before depositing concrete. Install a pulling-in iron in the wall opposite each duct line entrance. Cable racks, including rack arms and insulators, must be adequate to accommodate the cable.

2.14.1 Cast-In-Place Concrete Structures

Concrete must conform to Section 03 30 00 CAST-IN-PLACE CONCRETE. Concrete block must conform to ASTM C139 and Section 04 20 00, MASONRY.

2.14.2 Precast Concrete Structures, Risers and Tops

Precast concrete underground structures may be provided in lieu of cast-in-place subject to the requirements specified below. Precast units must be the product of a manufacturer regularly engaged in the manufacture of precast concrete products, including precast manholes.

2.14.2.1 General

Precast concrete structures must have the same accessories and facilities as required for cast-in-place structures. Likewise, precast structures must have plan area and clear heights not less than those of cast-in-place structures. Concrete materials and methods of construction must be the same as for cast-in-place concrete construction, as modified herein. Slope in floor may be omitted provided precast sections are poured in reinforced steel forms. Concrete for precast work must have a 28-day compressive strength of not less than 4000 psi. Structures may be precast to the design and details indicated for cast-in-place construction, precast monolithically and placed as a unit, or structures may be assembled sections, designed and produced by the manufacturer in

accordance with the requirements specified. Structures must be identified with the manufacturer's name embedded in or otherwise permanently attached to an interior wall face.

2.14.2.2 Design for Precast Structures

ACI 318M. In the absence of detailed on-site soil information, design for the following soil parameters/site conditions:

- a. Angle of Internal Friction (ϕ) = 30 degrees
- b. Unit Weight of Soil (Dry) = 110 pcf, (Saturated) = 130 pcf
- c. Coefficient of Lateral Earth Pressure (K_a) = 0.33
- d. Ground Water Level = 3 feet below ground elevation
- e. Vertical design loads must include full dead, superimposed dead, and live loads including a 30 percent magnification factor for impact. Live loads must consider all types and magnitudes of vehicular (automotive, industrial, or aircraft) traffic to be encountered. The minimum design vertical load must be for H20 highway loading per AASHTO HB-17.
- f. Horizontal design loads must include full geostatic and hydrostatic pressures for the soil parameters, water table, and depth of installation to be encountered. Also, horizontal loads imposed by adjacent structure foundations, and horizontal load components of vertical design loads, including impact, must be considered, along with a pulling-in iron design load of 6000 pounds.
- g. Each structural component must be designed for the load combination and positioning resulting in the maximum shear and moment for that particular component.
- h. Design must also consider the live loads induced in the handling, installation, and backfilling of the manholes. Provide lifting devices to ensure structural integrity during handling and installation.

2.14.2.3 Construction

Provide a uniform thickness for structure top, bottom, and wall not less than 6 inches. Thin-walled knock-out panels for designed or future duct bank entrances are not permitted. Provide quantity, size, and location of duct bank entrance windows as directed, and cast completely open by the precaster. Size of windows must exceed the nominal duct bank envelope dimensions by at least 12 inches vertically and horizontally to preclude in-field window modifications made necessary by duct bank misalignment. However, the sides of precast windows must be a minimum of 6 inches from the inside surface of adjacent walls, floors, or ceilings. Form the perimeter of precast window openings to have a keyed or inward flared surface to provide a positive interlock with the mating duct bank envelope. Provide welded wire fabric reinforcing through window openings for in-field cutting and flaring into duct bank envelopes. Provide additional reinforcing steel comprised of at least two No. 4 bars around window openings. Provide drain sumps a minimum of 12 inches in diameter and 4 inches deep for precast structures.

2.14.2.4 Joints

Provide tongue-and-groove joints on mating edges of precast components. Shiplap joints are not allowed. Design joints to firmly interlock adjoining components and to provide waterproof junctions and adequate shear transfer. Seal joints watertight using preformed plastic strip conforming to ASTM C990. Install sealing material in strict accordance with the sealant manufacturer's printed instructions. Provide waterproofing at conduit/duct entrances into structures, and where access frame meets the top slab, provide continuous grout seal.

2.14.3 Manhole Frames and Covers

Provide cast iron frames and covers for manholes conforming to CID A-A-60005. Cast the words "ELECTRIC" or "TELECOMMUNICATIONS" in the top face of power and telecommunications manhole covers, respectively.

2.14.4 Handhole Frames and Covers

Frames and covers of steel must be welded by qualified welders in accordance with standard commercial practice. Provide rolled-steel floor plate covers having an approved antislip surface. Hinges must be of stainless steel with bronze hinge pin, 5 by 5 inches by approximately 3/16 inch thick, without screw holes, and must be for full surface application by fillet welding. Hinges must have nonremovable pins and five knuckles. The surfaces of plates under hinges must be true after the removal of raised antislip surface, by grinding or other approved method.

2.14.5 Frames and Covers for Airfield Facilities

Fabricate frames and covers for airfield use of standard commercial grade steel welded by qualified welders in accordance with AWS D1.1/D1.1M. Provide rolled steel floor plate covers having an approved anti-slip surface. Steel frames and covers must be hot dipped galvanized after fabrication.

2.14.6 Ductile Iron Frames and Covers for Airfield Facilities

At the Contractor's option, ductile iron covers and frames designed for a minimum proof load of 100,000 pounds may be provided in lieu of the steel frames and covers indicated. Covers must be of the same material as the frames (i.e. ductile iron frame with ductile iron cover, galvanized steel frame with galvanized steel cover). Perform proof loading in accordance with CID A-A-60005 and ASTM A48/A48M. Proof loads must be physically stamped into the cover. Provide the Contracting Officer copies of previous proof load test results performed on the same frames and covers as proposed for this contract. Modify the top of the structure to accept the ductile iron structure in lieu of the steel structure indicated. The finished structure must be level and non-rocking, with the top flush with the surrounding pavement.

2.14.7 Brick for Manhole Collar

Provide sewer and manhole brick conforming to ASTM C32, Grade MS.

2.14.8 Composite/Fiberglass Handholes and Covers

ANSI/SCTE 77. Provide handholes and covers of polymer concrete,

reinforced with heavy weave fiberglass with a design load (Tier rating) appropriate for or greater than the intended use. All covers are required to have the Tier level rating embossed on the surface which must not exceed the design load of the box.

2.15 CABLE SUPPORTS (RACKS, ARMS, AND INSULATORS)

Zinc coat the metal portion of racks and arms after fabrication.

2.15.1 Cable Rack Stanchions

The wall bracket or stanchion must be 4 inches by approximately 1-1/2 inch by 3/16 inch channel steel, or 4 inches by approximately 1 inch glass-reinforced nylon with recessed bolt mounting holes, 48 inches long (minimum) in manholes. Space slots for mounting cable rack arms at 8 inch intervals.

2.15.2 Rack Arms

Cable rack arms must be steel or malleable iron or glass reinforced nylon and must be of the removable type. Rack arm length must be a minimum of 8 inches and a maximum of 12 inches.

2.15.3 Insulators

Insulators for metal rack arms must be dry-process glazed porcelain. Insulators are not required for nylon arms.

2.16 CABLE TAGS IN MANHOLES

Provide polyethylene tags for each power cable located in manholes. Do not provide handwritten letters. The first position on the power cable tag denotes the voltage. The second through sixth positions on the tag identifies the circuit. The next to last position denotes the phase of the circuit and include the Greek "phi" symbol. The last position denotes the cable size. As an example, a tag could have the following designation: "11.5 NAS 1-8(Phase A)500," denoting that the tagged cable is on the 11.5kV system circuit number NAS 1-8, underground, Phase A, sized at 500 kcmil.

2.16.1 Polyethylene Cable Tags

Provide tags of polyethylene having an average tensile strength of 3250 pounds per square inch; and that are 0.08 inch thick (minimum), non-corrosive non-conductive; resistive to acids, alkalis, organic solvents, and salt water; and distortion resistant to 170 degrees F. Provide 0.05 inch (minimum) thick black polyethylene tag holder. Provide a one-piece nylon, self-locking tie at each end of the cable tag, having a minimum loop tensile strength of 175 pounds and black block letters, numbers, and symbols one inch high on a yellow background. Letters, numbers, and symbols must not fall off or change positions regardless of the cable tags' orientation.

2.17 MEDIUM VOLTAGE ABOVE GROUND CABLE TERMINATING CABINETS

Cable terminating cabinets must be hook-stick operable, deadfront construction conforming to the requirements of IEEE C37.20.3, Category A. Provide cabinets with 200 A. loadbreak junctions and elbow-type separable loadbreak connectors, cable parking stands, and grounding lugs. Provide

cable terminating equipment in conformance with IEEE 386.

Ratings at 60 Hz must be:

Nominal voltage (kV)	
Rated maximum voltage (kV)	25
Rated continuous current (A)	200
One-second short-time current-carrying capacity (kA)	
BIL (kV)	

2.18 LOW VOLTAGE ABOVE GROUND TERMINATION PEDESTAL

Provide copolymer polypropylene, low voltage above ground termination pedestal manufactured through an injection molding process. Pedestals must resist fertilizers, salt air environments and ultra-violet radiation. Pedestal top must be imprinted with a "WARNING" and "ELECTRIC" identification. Pedestal must contain three lay-in six port connectors, NEMA C119.4, Class "A", dual rated for aluminum or copper, and capable of terminating conductors ranging from 10 AWG to 500 kcmil. Protect each connector with a clear, hard lexan (plastic) cover. Provide pedestal with rust-free material and stainless steel hardware that is lockable.

2.19 PROTECTIVE DEVICES AND COORDINATION

Provide protective devices and coordination as specified in Section 26 05 73 POWER SYSTEM STUDIES.

2.20 SOURCE QUALITY CONTROL

2.20.1 Arc-Proofing Test for Cable Fireproofing Tape

Manufacturer must test one sample assembly consisting of a straight lead tube 12 inches long with a 2 1/2 inch outside diameter, and a 1/8 inch thick wall, and covered with one-half lap layer of arc and fireproofing tape per manufacturer's instructions. The arc and fireproofing tape must withstand extreme temperature of a high-current fault arc 13,000 degrees K for 70 cycles as determined by using an argon directed plasma jet capable of constantly producing and maintaining an arc temperature of 13,000 degrees K. Temperature (13,000 degrees K) of the ignited arc between the cathode and anode must be obtained from a dc power source of 305 (plus or minus 5) amperes and 20 (plus or minus 1) volts. Direct the arc toward the sample assembly accurately positioned 5 (plus or minus 1) millimeters downstream in the plasma from the anode orifice by fixed flow rate of argon gas (0.18 g per second). Test each sample assembly at three unrelated points. Start time for tests must be taken from recorded peak current when the specimen is exposed to the full test temperature. Surface heat on the specimen prior to that time must be minimal. The end point is established when the plasma or conductive arc penetrates the protective tape and strikes the lead tube. Submittals for arc-proofing tape must indicate that the test has been performed and passed by the manufacturer.

2.20.2 Medium Voltage Cable Qualification and Production Tests

Results of AEIC CS8 qualification and production tests as applicable for each type of medium voltage cable.

PART 3 EXECUTION

3.1 INSTALLATION

Install equipment and devices in accordance with the manufacturer's published instructions and with the requirements and recommendations of NFPA 70 and IEEE C2 and CALPUC G.O.128 as applicable. In addition to these requirements, install telecommunications in accordance with TIA-758 and RUS Bull 1751F-644. Treat soil a minimum 12 inches on each side of the installed cable for the entire length in accordance with Section 31 31 16.13 CHEMICAL TERMITE CONTROL.

3.2 CABLE INSPECTION

Inspect each cable reel for correct storage positions, signs of physical damage, and broken end seals prior to installation. If end seal is broken, remove moisture from cable prior to installation in accordance with the cable manufacturer's recommendations.

3.3 CABLE INSTALLATION PLAN AND PROCEDURE

Obtain from the manufacturer an installation manual or set of instructions which addresses such aspects as cable construction, insulation type, cable diameter, bending radius, cable temperature limits for installation, lubricants, coefficient of friction, conduit cleaning, storage procedures, moisture seals, testing for and purging moisture, maximum allowable pulling tension, and maximum allowable sidewall bearing pressure. Prepare a checklist of significant requirements. Perform pulling calculations and prepare a pulling plan and submit along with the manufacturer's instructions in accordance with SUBMITTALS. Install cable strictly in accordance with the cable manufacturer's recommendations and the approved installation plan.

Calculations and pulling plan must include:

- a. Site layout drawing with cable pulls identified in numeric order of expected pulling sequence and direction of cable pull.
- b. List of cable installation equipment.
- c. Lubricant manufacturer's application instructions.
- d. Procedure for resealing cable ends to prevent moisture from entering cable.
- e. Cable pulling tension calculations of all cable pulls.
- f. Cable percentage conduit fill.
- g. Cable sidewall bearing pressure.
- h. Cable minimum bend radius and minimum diameter of pulling wheels used.
- i. Cable jam ratio.

- j. Maximum allowable pulling tension on each different type and size of conductor.
- k. Maximum allowable pulling tension on pulling device.

3.4 UNDERGROUND FEEDERS SUPPLYING BUILDINGS

Terminate underground feeders supplying building at a point 5 feet outside the building and projections thereof, except that conductors must be continuous to the terminating point indicated. Coordinate connections of the feeders to the service entrance equipment with Section 26 20 00 INTERIOR DISTRIBUTION SYSTEM. Provide PVC, Type EPC-40 conduit from the supply equipment to a point 5 feet outside the building and projections thereof. Protect ends of underground conduit with plastic plugs until connections are made.

Encase the underground portion of the conduit in a concrete envelope and bury as specified for underground duct with concrete encasement.

3.5 UNDERGROUND STRUCTURE CONSTRUCTION

Provide standard type cast-in-place construction as specified herein and as indicated, or precast construction as specified herein. Horizontal concrete surfaces of floors must have a smooth trowel finish. Cure concrete by applying two coats of white pigmented membrane forming-curing compound in strict accordance with the manufacturer's printed instructions, except that precast concrete may be steam cured. Curing compound must conform to ASTM C309. Locate duct entrances and windows in the center of end walls (shorter) and near the corners of sidewalls (longer) to facilitate cable racking and splicing. Covers for underground structures must fit the frames without undue play. Form steel and iron to shape and size with sharp lines and angles. Castings must be free from warp and blow holes that may impair strength or appearance. Exposed metal must have a smooth finish and sharp lines and arises. Provide necessary lugs, rabbets, and brackets. Set pulling-in irons and other built-in items in place before depositing concrete. Manhole locations, as indicated, are approximate. Coordinate exact manhole locations with other utilities and finished grading and paving.

3.5.1 Cast-In-Place Concrete Structures

Provide concrete block conforming to ASTM C139 and Section 04 20 00 MASONRY.

3.5.2 Precast Concrete Construction

Set commercial precast structures on 6 inches of level, 90 percent compacted granular fill, 3/4 inch to 1 inch size, extending 12 inches beyond the structure on each side. Compact granular fill by a minimum of four passes with a plate type vibrator. Installation must additionally conform to the manufacturer's instructions.

3.5.3 Pulling-In Irons

Provide steel bars bent as indicated, and cast in the walls and floors. Alternatively, pipe sleeves may be precast into the walls and floors where required to accept U-bolts or other types of pulling-in devices possessing the strengths and clearances stated herein. The final installation of

pulling-in devices must be made permanent. Cover and seal exterior projections of thru-wall type pulling-in devices with an appropriate protective coating. In the floor, locate the irons a minimum of 6 inches from the edge of the sump, and in the walls, locate the irons within 6 inches of the projected center of the duct bank pattern or precast window in the opposite wall. However, the pulling-in iron must not be located within 6 inches of an adjacent interior surface, or duct or precast window located within the same wall as the iron. If a pulling-in iron cannot be located directly opposite the corresponding duct bank or precast window due to this clearance limitation, locate the iron directly above or below the projected center of the duct bank pattern or precast window the minimum distance required to preserve the 6 inch clearance previously stated. In the case of directly opposing precast windows, pulling-in irons consisting of a 3 foot length of No. 5 reinforcing bar, formed into a hairpin, may be cast-in-place within the precast windows simultaneously with the end of the corresponding duct bank envelope. Irons installed in this manner must be positioned directly in line with, or when not possible, directly above or below the projected center of the duct bank pattern entering the opposite wall, while maintaining a minimum clear distance of 3 inches from any edge of the cast-in-place duct bank envelope or any individual duct. Pulling-in irons must have a clear projection into the structure of approximately 4 inches and must be designed to withstand a minimum pulling-in load of 6000 pounds. Hot-dip galvanize irons after fabrication.

3.5.4 Cable Racks, Arms and Insulators

Cable racks, arms and insulators must be sufficient to accommodate the cables. Space racks in power manholes not more than 3 feet apart, and provide each manhole wall with a minimum of two racks. Space racks in signal manholes not more than 16 1/2 inches apart with the end rack being no further than 12 inches from the adjacent wall. Methods of anchoring cable racks are as follows:

- a. Provide a 5/8 inch diameter by 5 inch long anchor bolt with 3 inch foot cast in structure wall with 2 inch protrusion of threaded portion of bolt into structure. Provide 5/8 inch steel square head nut on each anchor bolt. Coat threads of anchor bolts with suitable coating immediately prior to installing nuts.
- b. Provide concrete channel insert with a minimum load rating of 800 pounds per foot. Insert channel must be steel of the same length as "vertical rack channel;" and cast flush in structure wall. Provide 5/8 inch steel nuts in channel insert to receive 5/8 inch diameter by 3 inch long steel, square head anchor bolts.
- c. Provide concrete "spot insert" at each anchor bolt location, cast flush in structure wall. Each insert must have minimum 800 pound load rating. Provide 5/8 inch diameter by 3 inch long steel, square head anchor bolt at each anchor point. Coat threads of anchor bolts with suitable coating immediately prior to installing bolts.

3.5.5 Field Painting

Clean cast-iron frames and covers not buried in concrete or masonry of mortar, rust, grease, dirt and other deleterious materials, and coat with bituminous paint.

3.6 DIRECT BURIAL CABLE SYSTEM

Direct-bury cables in the earth below the frostline as indicated to the requirements of NFPA 70 and IEEE C2, whichever is more stringent.

3.6.1 Trenching

Excavate trenches for direct-burial cables to provide a minimum cable cover of 24 inches below finished grade for power conductors operated at 600 volts or less, and 30 inches below finished grade for over 600 volts in accordance with IEEE C2. When rock is encountered, remove to a depth of at least 3 inches below the cable and fill the space with sand or clean earth free from particles larger than 1/4 inch. Bottoms of trenches must be smooth and free of stones and sharp objects. Where materials in bottoms of trenches are other than sand, a 75 mm 3 inch layer of sand must be laid first and compacted to approximate densities of surrounding firm soil. Trenches must be not less than 6 inches wide, and must be in straight lines between cable markers. Do not use cable plows. Bends in trenches must have a radius of not less than 36 inches and/or consistent with the cable manufacturer's published minimum cable bending radius for the cable installed.

3.6.2 Cable Installation

Unreel cables along the sides of or in trenches and carefully place on sand or earth bottoms. Pulling cables into direct-burial trenches from a fixed reel position is not permitted, except as required to pull cables through conduits under paving or railroad tracks.

Where two or more cables are laid parallel in the same trench, space cables laterally at not less than 3 inches apart, except that communication cable must be separated from power cable by a minimum distance of 12 inches.

Where direct-burial cables cross under roads or other paving exceeding 5 feet in width, install such cables in concrete-encased ducts. Where direct-burial cables cross under railroad tracks, install such cables in reinforced concrete-encased ducts. Extend ducts at least 5 feet beyond each edge of any paving and at least 5 feet beyond each side of any railroad tracks. Cables may be pulled into duct from a fixed reel where suitable rollers are provided in the trench. Where direct burial cable transitions to duct-enclosed cable, center direct-burial cables in duct entrances, and a waterproof nonhardening mastic compound must be used to facilitate such centering. If paving or railroad tracks are in place where cables are to be installed, coated rigid steel conduits driven under the paving or railroad tracks may be used in lieu of concrete-encased ducts. Prevent damage to conduit coatings by providing ferrous pipe jackets or by predrilling. Where cuts are made in any paving, restore the paving and subbase to their original condition. Where cable is placed in duct (e.g. under paved areas, roads, or railroads), slope ducts to drain.

3.6.3 Splicing

Provide cables in one piece without splices between connections except where the distance exceeds the lengths in which cables are manufactured. Where splices are required, install splices only in maintenance manholes/handholes or cabinets/pedestals.

3.6.4 Bends

Bends in cables must have an inner radius not less than those specified in NFPA 70 for the type of cable, or manufacturer's recommendation.

3.6.5 Horizontal Slack

Leave approximately 3 feet of horizontal slack in the ground on each end of cable runs, on each side of connection boxes, and at points where connections are brought above ground. Where cable is brought above ground, leave additional slack to make necessary connections. Enclose splices in lead-sheathed or armored cables in split-type cast-iron splice boxes; after completion of the connection, fill with insulating filler compound and tightly clamp the box.

3.6.6 Identification Slabs or Markers

Provide a slab at each change of direction of cable, over the ends of ducts or conduits which are installed under paved areas and roadways, over the ends of ducts or conduits stubbed out for future use, and over each splice. Identification slabs must be concrete, approximately 20 inches square by 6 inches thick, set flat in the ground so that top surface projects not less than 3/4 inch, nor more than 1 1/4 inches above ground. Concrete must have a compressive strength of not less than 3000 psi and have a smooth troweled finish on exposed surface. Inscribe an identifying legend such as "electric cable," "telephone cable," "splice," or other applicable designation on the top surface of the slab before concrete hardens. Inscribe circuit identification symbols on slabs as indicated. Letters or figures must be approximately 2 inches high and grooves must be approximately 1/4 inch in width and depth. Install slabs so that the side nearest the inscription on top includes an arrow indicating the side nearest the cable. Provide color, type and depth of warning tape as specified in Section 31 00 00 EARTHWORK.

3.7 UNDERGROUND CONDUIT AND DUCT SYSTEMS

3.7.1 Requirements

Run conduit in straight lines except where a change of direction is necessary. Provide numbers and sizes of ducts as indicated. Provide a 4/0 AWG bare copper grounding conductor below medium-voltage distribution duct banks. Bond bare copper grounding conductor to ground rings (loops) in all manholes and to ground rings (loops) at all equipment slabs (pads). Route grounding conductor into manholes with the duct bank (sleeving is not required). Ducts must have a continuous slope downward toward underground structures and away from buildings, laid with a minimum slope of 3 inches per 100 feet. Depending on the contour of the finished grade, the high-point may be at a terminal, a manhole, a handhole, or between manholes or handholes. Terminate all PVC conduit end points in utility holes, switching cabinets, transform handholes and buildings with end bells. The bell end of the conduits that enter manholes and handholes must be flush with the wall.

Perform changes in ductbank direction as follows:

- a. Short-radius manufactured 90-degree duct bends may be used only for pole or equipment risers, unless specifically indicated as acceptable.
- b. The minimum manufactured bend radius must be 18 inches for ducts of

less than 3 inch diameter, and 36 inches for ducts 3 inches or greater in diameter.

- c. As an exception to the bend radius required above, provide field manufactured longsweep bends having a minimum radius of 25 feet for a change of direction of more than 5 degrees, either horizontally or vertically, using a combination of curved and straight sections. Maximum manufactured curved sections allowed for use in field manufactured longsweep bend: 30 degrees.

3.7.2 Treatment

Keep ducts clean of concrete, dirt, or foreign substances during construction. Make field cuts requiring tapers with proper tools and match factory tapers. Use a coupling recommended by the duct manufacturer whenever an existing duct is connected to a duct of different material or shape. Store ducts to avoid warping and deterioration with ends sufficiently plugged to prevent entry of any water or solid substances. Thoroughly clean ducts before being laid. Store plastic ducts on a flat surface and protected from the direct rays of the sun.

3.7.3 Conduit Cleaning

As each conduit run is completed, for conduit sizes 3 inches and larger, draw a flexible testing mandrel approximately 12 inches long with a diameter less than the inside diameter of the conduit through the conduit. After which, draw a stiff bristle brush through until conduit is clear of particles of earth, sand and gravel; then immediately install conduit plugs. For conduit sizes less than 3 inches, draw a stiff bristle brush through until conduit is clear of particles of earth, sand and gravel; then immediately install conduit plugs.

3.7.4 Jacking and Drilling Under Roads and Structures

Conduits to be installed under existing paved areas which are not to be disturbed, and under roads and railroad tracks, must be zinc-coated, rigid steel, jacked into place. Where ducts are jacked under existing pavement, install rigid steel conduit because of its strength. To protect the corrosion-resistant conduit coating, predrilling or installing conduit inside a larger iron pipe sleeve (jack-and-sleeve) is required. For crossings of existing railroads and airfield pavements greater than 50 feet in length, the predrilling method or the jack-and-sleeve method will be used. Separators or spacing blocks must be made of steel, concrete, plastic, or a combination of these materials placed not farther apart than 4 feet on centers. Hydraulic jet method must not be used.

3.7.5 Galvanized Conduit Concrete Penetrations

Galvanized conduits which penetrate concrete (slabs, pavement, and walls) in wet locations must be PVC coated and extend from at least 2 inches within the concrete to the first coupling or fitting outside the concrete (minimum of 6 inches from penetration).

3.7.6 Multiple Conduits

Separate multiple conduits by a minimum distance of 3 inches, except that light and power conduits must be separated from control, signal, and telephone conduits by a minimum distance of 12 inches. Stagger the joints of the conduits by rows (horizontally) and layers (vertically) to

strengthen the conduit assembly. Provide plastic duct spacers that interlock vertically and horizontally. Spacer assembly must consist of base spacers, intermediate spacers, ties, and locking device on top to provide a completely enclosed and locked-in conduit assembly. Install spacers per manufacturer's instructions, but provide a minimum of two spacer assemblies per 10 feet of conduit assembly.

3.7.7 Conduit Plugs and Pull Rope

Provide new conduit indicated as being unused or empty with plugs on each end. Plugs must contain a weephole or screen to allow water drainage. Provide a plastic pull rope having 3 feet of slack at each end of unused or empty conduits.

3.7.8 Conduit and Duct Without Concrete Encasement

Depths to top of the conduit must be not less than 24 inches below finished grade. Provide not less than 3 inches clearance from the conduit to each side of the trench. Grade bottom of trench smooth; where rock, soft spots, or sharp-edged materials are encountered, excavate the bottom for an additional 3 inches, fill and tamp level with original bottom with sand or earth free from particles, that would be retained on a 1/4 inch sieve. The first 6 inch layer of backfill cover must be sand compacted as previously specified. The rest of the excavation must be backfilled and compacted in 3 to 6 inch layers. Provide color, type and depth of warning tape as specified in Section 31 00 00 EARTHWORK.

3.7.8.1 Encasement Under Roads and Structures

Under roads, paved areas, and railroad tracks, install conduits in concrete encasement of rectangular cross-section providing a minimum of 3 inch concrete cover around ducts. Extend concrete encasement at least 5 feet beyond the edges of paved areas and roads, and 12 feet beyond the rails on each side of railroad tracks. Depths to top of the concrete envelope must be not less than 24 inches below finished grade, and under railroad tracks not less than 50 inches below the top of the rails.

3.7.8.2 Directional Boring

HDPE conduits must be installed below the frostline and as specified herein.

For distribution voltages greater than 1000 volts and less than 34,500 volts, depths to the top of the conduit must not be less than 48 inches in pavement-covered areas and not less than 120 inches in non-pavement-covered areas. For distribution voltages less than 1000 volts, depths to the top of the conduit must not be less than 48 inches in pavement- or non-pavement-covered areas. For branch circuit wiring less than 600 volts, depths to the top of the conduit must not be less than 24 inches in pavement- or non-pavement-covered areas.

3.7.9 Duct Encased in Concrete

Construct underground duct lines of individual conduits encased in concrete. Depths to top of the concrete envelope must be not less than 18 inches below finished grade, except under roads and pavement, concrete envelope must be not less than 24 inches below finished grade. Do not mix different kinds of conduit in any one duct bank. Concrete encasement surrounding the bank must be rectangular in cross-section and provide at

least 3 inches of concrete cover for ducts. Separate conduits by a minimum concrete thickness of 3 inches. Before pouring concrete, anchor duct bank assemblies, prevent floating during concrete pouring by driving reinforcing rods adjacent to duct spacer assemblies and attaching the rods to the spacer assembly. Provide steel reinforcing in the concrete envelope as indicated. Provide color, type and depth of warning tape as specified in Section 31 00 00 EARTHWORK.

3.7.9.1 Connections to Manholes

Duct bank envelopes connecting to underground structures must be flared to have enlarged cross-section at the manhole entrance to provide additional shear strength. Dimensions of the flared cross-section must be larger than the corresponding manhole opening dimensions by no less than 12 inches in each direction. Perimeter of the duct bank opening in the underground structure must be flared toward the inside or keyed to provide a positive interlock between the duct bank and the wall of the structure. Use vibrators when this portion of the encasement is poured to assure a seal between the envelope and the wall of the structure.

3.7.9.2 Connections to Existing Underground Structures

For duct bank connections to existing structures, break the structure wall out to the dimensions required and preserve steel in the structure wall. Cut steel and extend into the duct bank envelope. Chip the perimeter surface of the duct bank opening to form a key or flared surface, providing a positive connection with the duct bank envelope.

3.7.9.3 Connections to Existing Concrete Pads

For duct bank connections to concrete pads, break an opening in the pad out to the dimensions required and preserve steel in pad. Cut the steel and extend into the duct bank envelope. Chip out the opening in the pad to form a key for the duct bank envelope.

3.7.9.4 Connections to Existing Ducts

Where connections to existing duct banks are indicated, excavate the banks to the maximum depth necessary. Cut off the banks and remove loose concrete from the conduits before new concrete-encased ducts are installed. Provide a reinforced concrete collar, poured monolithically with the new duct bank, to take the shear at the joint of the duct banks. Remove existing cables which constitute interference with the work. Abandon in place those no longer used ducts and cables which do not interfere with the work.

3.7.9.5 Partially Completed Duct Banks

During construction wherever a construction joint is necessary in a duct bank, prevent debris such as mud, and, and dirt from entering ducts by providing suitable conduit plugs. Fit concrete envelope of a partially completed duct bank with reinforcing steel extending a minimum of 2 feet back into the envelope and a minimum of 2 feet beyond the end of the envelope. Provide one No. 4 bar in each corner, 3 inches from the edge of the envelope. Secure corner bars with two No. 3 ties, spaced approximately one foot apart. Restrain reinforcing assembly from moving during concrete pouring.

3.7.9.6 Removal of Ducts

Where duct lines are removed from existing underground structures, close the openings to waterproof the structure. Chip out the wall opening to provide a key for the new section of wall.

3.7.10 Duct Sealing

Seal all electrical penetrations for radon mitigation, maintaining integrity of the vapor barrier, and to prevent infiltration of air, insects, and vermin.

3.8 CABLE PULLING

Test existing duct lines with a mandrel and thoroughly swab out to remove foreign material before pulling cables. Pull cables down grade with the feed-in point at the manhole or buildings of the highest elevation. Use flexible cable feeds to convey cables through manhole opening and into duct runs. Do not exceed the specified cable bending radii when installing cable under any conditions, including turnups into switches, transformers, switchgear, switchboards, and other enclosures. Cable with tape or wire shield must have a bending radius not less than 12 times the overall diameter of the completed cable. If basket-grip type cable-pulling devices are used to pull cable in place, cut off the section of cable under the grip before splicing and terminating.

3.8.1 Cable Lubricants

Use lubricants that are specifically recommended by the cable manufacturer for assisting in pulling jacketed cables.

3.9 CABLES IN UNDERGROUND STRUCTURES

Do not install cables utilizing the shortest path between penetrations, but route along those walls providing the longest route and the maximum spare cable lengths. Form cables to closely parallel walls, not to interfere with duct entrances, and support on brackets and cable insulators. Support cable splices in underground structures by racks on each side of the splice. Locate splices to prevent cyclic bending in the spliced sheath. Install cables at middle and bottom of cable racks, leaving top space open for future cables, except as otherwise indicated for existing installations. Provide one spare three-insulator rack arm for each cable rack in each underground structure.

3.9.1 Cable Tag Installation

Install cable tags in each manhole as specified, including each splice. Tag wire and cable provided by this contract. Install cable tags over the fireproofing, if any, and locate the tags so that they are clearly visible without disturbing any cabling or wiring in the manholes.

3.10 CONDUCTORS INSTALLED IN PARALLEL

Group conductors such that each conduit of a parallel run contains one Phase A conductor, one Phase B conductor, one Phase C conductor, and one neutral conductor.

3.11 LOW VOLTAGE CABLE SPLICING AND TERMINATING

Make terminations and splices with materials and methods as indicated or specified herein and as designated by the written instructions of the manufacturer. Do not allow the cables to be moved until after the splicing material has completely set. Make splices in underground distribution systems only in accessible locations such as manholes, handholes, or aboveground termination pedestals.

3.11.1 Terminating Aluminum Conductors

- a. Use particular care in making up joints and terminations. Remove surface oxides by cleaning with a wire brush or emery cloth. Apply joint compound to conductors, and use UL-listed solid aluminum connectors for connecting aluminum conductors. When connecting aluminum to copper conductors, use connectors specifically designed for this purpose.
- b. Terminate aluminum conductors to copper bus either by: (1) in line splicing a copper pigtail to the aluminum conductor (copper pigtail must have a ampacity at least that of the aluminum conductor); or (2) using a circumferential compression type, aluminum bodied terminal lug UL listed for AL/CU and steel Belleville spring washers, flat washers, bolts, and nuts. Belleville spring washers must be cadmium-plated hardened steel. Install the Belleville spring washers with the crown up toward the nut or bolt head, with the concave side of the Belleville bearing on a heavy-duty, wide series flat washer of larger diameter than the Belleville. Tighten nuts sufficient to flatten Belleville and leave in that position. Lubricate hardware with joint compound prior to making connection. Wire brush and apply joint compound to conductor prior to inserting in lug.
- c. Terminate aluminum conductors to aluminum bus by using all-aluminum nuts, bolts, washers, and lugs. Wire brush and apply inhibiting compound to conductor prior to inserting in lug. Lubricate hardware with joint compound prior to making connection; if bus contact surface is unplated, scratch-brush and coat with joint compound (without grit).

3.12 MEDIUM VOLTAGE CABLE TERMINATIONS

Make terminations in accordance with the written instruction of the termination kit manufacturer.

3.13 MEDIUM VOLTAGE CABLE JOINTS

Provide power cable joints (splices) suitable for continuous immersion in water. Make joints only in accessible locations in manholes or handholes by using materials and methods in accordance with the written instructions of the joint kit manufacturer.

3.13.1 Joints in Shielded Cables

Cover the joined area with metallic tape, or material like the original cable shield and connect it to the cable shield on each side of the splice. Provide a bare copper ground connection brought out in a watertight manner and grounded to the manhole grounding loop as part of the splice installation. Ground conductors, connections, and rods must be as specified elsewhere in this section. Wire must be trained to the sides of the enclosure to prevent interference with the working area.

3.13.2 Joints in Armored Cables

Enclose armored cable joints in compound-filled, cast-iron or alloy splice boxes equipped with stuffing boxes and armor clamps of a suitable type and size for the cable being installed.

3.14 CABLE END CAPS

Cable ends must be sealed at all times with coated heat shrinkable end caps. Cables ends must be sealed when the cable is delivered to the job site, while the cable is stored and during installation of the cable. The caps must remain in place until the cable is spliced or terminated. Sealing compounds and tape are not acceptable substitutes for heat shrinkable end caps. Cable which is not sealed in the specified manner at all times will be rejected.

3.15 LIVE END CAPS

Provide live end caps for single conductor medium voltage cables where indicated.

3.16 FIREPROOFING OF CABLES IN UNDERGROUND STRUCTURES

Fireproof (arc proof) wire and cables which will carry current at 2200 volts or more in underground structures.

3.16.1 Fireproofing Tape

Tightly wrap strips of fireproofing tape around each cable spirally in half-lapped wrapping. Install tape in accordance with manufacturer's instructions.

3.16.2 Tape-Wrap

Tape-wrap metallic-sheathed or metallic armored cables without a nonmetallic protective covering over the sheath or armor prior to application of fireproofing. Wrap must be in the form of two tightly applied half-lapped layers of a pressure-sensitive 10 mil thick plastic tape, and must extend not less than one inch into the duct. Even out irregularities of the cable, such as at splices, with insulation putty before applying tape.

3.17 GROUNDING SYSTEMS

NFPA 70 and IEEE C2, except provide grounding systems with a resistance to solid earth ground not exceeding 25 ohms.

3.17.1 Grounding Electrodes

Provide cone pointed driven ground rods driven full depth plus 12 inches, installed to provide an earth ground of the appropriate value for the particular equipment being grounded. If the specified ground resistance is not met, provide an additional ground rod in accordance with the requirements of NFPA 70 (placed not less than 6 feet from the first rod). Should the resultant (combined) resistance exceed the specified resistance, measured not less than 48 hours after rainfall, notify the Contracting Officer immediately.

3.17.2 Grounding Connections

Make grounding connections which are buried or otherwise normally inaccessible, by exothermic weld or compression connector.

- a. Make exothermic welds strictly in accordance with the weld manufacturer's written recommendations. Welds which are "puffed up" or which show convex surfaces indicating improper cleaning are not acceptable. Mechanical connectors are not required at exothermic welds.
- b. Make compression connections using a hydraulic compression tool to provide the correct circumferential pressure. Tools and dies must be as recommended by the manufacturer. An embossing die code or other standard method must provide visible indication that a connector has been adequately compressed on the ground wire.

3.17.3 Grounding Conductors

Provide bare grounding conductors, except where installed in conduit with associated phase conductors. Ground cable sheaths, cable shields, conduit, and equipment with No. 6 AWG. Ground other noncurrent-carrying metal parts and equipment frames of metal-enclosed equipment. Ground metallic frames and covers of handholes and pull boxes with a braided, copper ground strap with equivalent ampacity of No. 6 AWG. Provide direct connections to the grounding conductor with 600 v insulated, full-size conductor for each grounded neutral of each feeder circuit, which is spliced within the manhole.

3.17.4 Ground Cable Crossing Expansion Joints

Protect ground cables crossing expansion joints or similar separations in structures and pavements by use of approved devices or methods of installation which provide the necessary slack in the cable across the joint to permit movement. Use stranded or other approved flexible copper cable across such separations.

3.17.5 Manhole Grounding

Loop a 4/0 AWG grounding conductor around the interior perimeter, approximately 12 inches above finished floor. Secure the conductor to the manhole walls at intervals not exceeding 36 inches. Connect the conductor to the manhole grounding electrode with 4/0 AWG conductor. Connect all incoming 4/0 grounding conductors to the ground loop adjacent to the point of entry into the manhole. Bond the ground loop to all cable shields, metal cable racks, and other metal equipment with a minimum 6 AWG conductor.

3.17.6 Fence Grounding

Provide grounding for fences as indicated. Drive ground rods until the top is 12 inches below grade. Attach a No. 4 AWG copper conductor, by exothermic weld to the ground rods and extend underground to the immediate vicinity of fence post. Lace the conductor vertically into 12 inches of fence mesh and fasten by two approved bronze compression fittings, one to bond wire to post and the other to bond wire to fence. Bond each gate section to its gatepost by a 1/8 by one inch flexible braided copper strap and ground post clamps. Clamps must be of the anti-electrolysis type.

3.17.7 Metal Splice Case Grounding

Ground metal splice cases for medium-voltage direct-burial cable by connection to a driven ground rod located within 2 feet of each splice box using a grounding electrode conductor having a current-carrying capacity of at least 20 percent of the individual phase conductors in the associated splice box, but not less than No. 6 AWG.

3.18 EXCAVATING, BACKFILLING, AND COMPACTING

Provide in accordance with NFPA 70 and Section 31 00 00 EARTHWORK.

3.18.1 Reconditioning of Surfaces

3.18.1.1 Unpaved Surfaces

Restore to their original elevation and condition unpaved surfaces disturbed during installation of duct or direct burial cable. Preserve sod and topsoil removed during excavation and reinstall after backfilling is completed. Replace sod that is damaged by sod of quality equal to that removed. When the surface is disturbed in a newly seeded area, re-seed the restored surface with the same quantity and formula of seed as that used in the original seeding, and provide topsoiling, fertilizing, liming, seeding, sodding, sprigging, or mulching. Provide work in accordance with Section 32 92 19 SEEDING and Section 32 93 00 EXTERIOR PLANTS.

3.18.1.2 Paving Repairs

Where trenches, pits, or other excavations are made in existing roadways and other areas of pavement where surface treatment of any kind exists, restore such surface treatment or pavement the same thickness and in the same kind as previously existed, except as otherwise specified, and to match and tie into the adjacent and surrounding existing surfaces. Make repairs as specified in Section 32 13 13.06 PORTLAND CEMENT CONCRETE PAVEMENT FOR ROADS AND SITE FACILITIES.

3.19 CAST-IN-PLACE CONCRETE

Provide concrete in accordance with Section 03 30 00 CAST-IN-PLACE CONCRETE.

3.19.1 Concrete Slabs (Pads) for Equipment

Unless otherwise indicated, the slab must be at least 8 inches thick, reinforced with a 6 by 6 - W2.9 by W2.9 mesh, placed uniformly 4 inches from the top of the slab. Place slab on a 6 inch thick, well-compacted gravel base. Top of concrete slab must be approximately 4 inches above finished grade with gradual slope for drainage. Edges above grade must have 1/2 inch chamfer. Slab must be of adequate size to project at least 8 inches beyond the equipment.

Stub up conduits, with bushings, 2 inches into cable wells in the concrete pad. Coordinate dimensions of cable wells with transformer cable training areas.

3.19.2 Sealing

When the installation is complete, seal all conduit and other entries into the equipment enclosure with an approved sealing compound. Seals must be

of sufficient strength and durability to protect all energized live parts of the equipment from rodents, insects, or other foreign matter.

3.20 FIELD QUALITY CONTROL

3.20.1 Performance of Field Acceptance Checks and Tests

Perform in accordance with the manufacturer's recommendations, and include the following visual and mechanical inspections and electrical tests, performed in accordance with NETA ATS.

3.20.1.1 Medium Voltage Cables

Perform tests after installation of cable, splices, and terminators and before terminating to equipment or splicing to existing circuits.

a. Visual and Mechanical Inspection

- (1) Inspect exposed cable sections for physical damage.
- (2) Verify that cable is supplied and connected in accordance with contract plans and specifications.
- (3) Inspect for proper shield grounding, cable support, and cable termination.
- (4) Verify that cable bends are not less than ICEA or manufacturer's minimum allowable bending radius.
- (5) Inspect for proper fireproofing.
- (6) Visually inspect jacket and insulation condition.
- (7) Inspect for proper phase identification and arrangement.

b. Electrical Tests

- (1) Perform a shield continuity test on each power cable by ohmmeter method. Record ohmic value, resistance values in excess of 10 ohms per 1000 feet of cable must be investigated and justified.
- (2) Perform acceptance test on new cables before the new cables are connected to existing cables and placed into service, including terminations and joints. Perform maintenance test on complete cable system after the new cables are connected to existing cables and placed into service, including existing cable, terminations, and joints. Tests must be very low frequency (VLF) alternating voltage withstand tests in accordance with IEEE 400.2. VLF test frequency must be 0.05 Hz minimum for a duration of 60 minutes using a sinusoidal waveform. Test voltages must be as follows:

CABLE RATING AC TEST VOLTAGE for ACCEPTANCE TESTING	
5 kV	10kV rms(peak)

CABLE RATING AC TEST VOLTAGE for ACCEPTANCE TESTING	
8 kV	13kV rms(peak)
15 kV	20kV rms(peak)
25 kV	31kV rms(peak)
35 kV	44kV rms(peak)

CABLE RATING AC TEST VOLTAGE for MAINTENANCE TESTING	
5 kV	7kV rms(peak)
8 kV	10kV rms(peak)
15 kV	16kV rms(peak)
25 kV	23kV rms(peak)
35 kV	33kV rms(peak)

3.20.1.2 Low Voltage Cables, 600-Volt

Perform tests after installation of cable, splices and terminations and before terminating to equipment or splicing to existing circuits.

a. Visual and Mechanical Inspection

- (1) Inspect exposed cable sections for physical damage.
- (2) Verify that cable is supplied and connected in accordance with contract plans and specifications.
- (3) Verify tightness of accessible bolted electrical connections.
- (4) Inspect compression-applied connectors for correct cable match and indentation.
- (5) Visually inspect jacket and insulation condition.
- (6) Inspect for proper phase identification and arrangement.

b. Electrical Tests

- (1) Perform insulation resistance tests on wiring No. 6 AWG and larger diameter using instrument which applies voltage of approximately 1000 volts dc for one minute.
- (2) Perform continuity tests to insure correct cable connection.

3.20.1.3 Grounding System

a. Visual and mechanical inspection

Inspect ground system for compliance with contract plans and specifications.

b. Electrical tests

Perform ground-impedance measurements utilizing the fall-of-potential method in accordance with IEEE 81. On systems consisting of interconnected ground rods, perform tests after interconnections are complete. On systems consisting of a single ground rod perform tests before any wire is connected. Take measurements in normally dry weather, not less than 48 hours after rainfall. Use a portable ground resistance tester in accordance with manufacturer's instructions to test each ground or group of grounds. The instrument must be equipped with a meter reading directly in ohms or fractions thereof to indicate the ground value of the ground rod or grounding systems under test. Provide site diagram indicating location of test probes with associated distances, and provide a plot of resistance vs. distance.

3.20.2 Follow-Up Verification

Upon completion of acceptance checks and tests, show by demonstration in service that circuits and devices are in good operating condition and properly performing the intended function. As an exception to requirements stated elsewhere in the contract, the Contracting Officer must be given 5 working days advance notice of the dates and times of checking and testing.

.... -- End of Section --