STATE OF DELAWARE
DEPARTMENT OF FACILITIES MANAGEMENT
CONTRACT # 2019-1

SPECIFICATIONS
FOR

THOMAS B SHARP CONFERENCE CENTER

IN

WILMINGTON, DELAWARE

PREPARED
BY

GIPE ASSOCIATES, INC.

BID DOCUMENTS
JUNE 21, 2018

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INVITATION TO BID

Sealed bids for OMB/DFM Contract No. 2019-1, will be received by Mr. Al Schrum, Jr. at the New Castle County Vocational Technical School District's Facilities Management Office, at 1703, School Lane, Wilmington, Delaware 19808 until 1:30 pm local time on August 7, 2018, at which time they will be publicly opened and read aloud in the Conference Room. Bidder bears the risk of late delivery. Any bids received after the stated time will be returned unopened.

Project involves all plant, labor, materials, tools, equipment, superintendence, transportation and performing all work in strict accordance with the Specifications and Drawings for replacing the Thomas B. Sharp Conference Center Rooftop Unit and associated HVAC systems. All work shall commence on the date of issuance of the Notice to Proceed and shall be completed and operational within one hundred eighty (180) calendar days thereafter

A **MANDATORY** Pre-Bid Meeting will be held on July 24, 2018, at 9:00am at the Thomas B. Sharp Conference Center, Marshallton Education Building, 1703 School Lane, Wilmington, Delaware 19080 for the purpose of establishing the listing of subcontractors and to answer questions. Representatives of each party to any Joint Venture must attend this meeting. **ATTENDANCE OF THIS MEETING IS A PREREQUISITE FOR BIDDING ON THIS CONTRACT.**

Sealed bids shall be addressed to:
Mr. Al Schrum, Jr.
New Castle County Vocational Technical School District
Facilities Management Office
1703, School Lane
Wilmington, Delaware 19808

The outer envelope should clearly indicate: **"OMB/DFM CONTRACT NO.2019-1 - SEALED BID - DO NOT OPEN."**

Contract documents may be obtained at the office of Gipe Associates, Inc. upon receipt of $50.00 per electronic set/non-refundable and/or $75 per hardcopy set/non-refundable. Checks are to be made payable to "Gipe Associates, Inc.".

Construction documents will be available for review at the following locations: Gipe Associates, Inc., 8719 Brooks Drive, Easton, Maryland; **Delaware Contractors Association; Associated Builders and Contractors,** New Castle County Vocational Technical School District, 1714 Newport Road, Wilmington, Delaware 19804, and 1703, School Lane, Wilmington, Delaware 19808.

Bidders will not be subject to discrimination on the basis of race, creed, color, sex, sexual orientation, gender identity or national origin in consideration of this award, and Minority Business Enterprises, Disadvantaged Business Enterprises, Women-Owned Business Enterprises and Veteran-Owned Business Enterprises will be afforded full opportunity to submit bids on this contract. Each bid must be accompanied by a bid security equivalent to ten percent of the bid amount and all additive alternates. The successful bidder must post a performance bond and payment bond in a sum equal to 100 percent of the contract price upon execution of the contract. The Owner reserves the right to reject any or all bids and to waive any informalities therein. The Owner may extend the time and place for the opening of the bids from that described in the advertisement, with not less than two calendar days notice by certified delivery, facsimile machine or other electronic means to those bidders receiving plans.

END OF ADVERTISEMENT FOR BIDS

INSTRUCTIONS TO BIDDERS

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ARTICLE 1: GENERAL

1.1 DEFINITIONS

1.1.1 Whenever the following terms are used, their intent and meaning shall be interpreted as follows:

1.2 STATE: The State of Delaware.

1.3 AGENCY: Contracting State Agency as noted on cover sheet.

1.4 DESIGNATED OFFICIAL: The agent authorized to act for the Agency.

1.5 BIDDING DOCUMENTS: Bidding Documents include the Bidding Requirements and the proposed Contract Documents. The Bidding Requirements consist of the Advertisement for Bid, Invitation to Bid, Instructions to Bidders, Supplementary Instructions to Bidders (if any), General Conditions, Supplementary General Conditions, General Requirements, Special Provisions (if any), the Bid Form (including the Non-collusion Statement), and other sample bidding and contract forms. The proposed Contract Documents consist of the form of Agreement between the Owner and Contractor, as well as the Drawings, Specifications (Project Manual) and all Addenda issued prior to execution of the Contract.

1.6 CONTRACT DOCUMENTS: The Contract Documents consist of the, Instructions to Bidders, Supplementary Instructions to Bidders (if any), General Conditions, Supplementary General Conditions, General Requirements, Special Provisions (if any), the form of agreement between the Owner and the Contractor, Drawings (if any), Specifications (Project Manual), and all addenda.

1.7 AGREEMENT: The form of the Agreement shall be AIA Document A101, Standard Form of Agreement between Owner and Contractor where the basis of payment is a STIPULATED SUM. In the case of conflict between the instructions contained therein and the General Requirements herein, these General Requirements shall prevail.

1.8 GENERAL REQUIREMENTS (or CONDITIONS): General Requirements (or conditions) are instructions pertaining to the Bidding Documents and to contracts in general. They contain, in summary, requirements of laws of the State; policies of the Agency and instructions to bidders.

1.9 SPECIAL PROVISIONS: Special Provisions are specific conditions or requirements peculiar to the bidding documents and to the contract under consideration and are supplemental to the General Requirements. Should the Special Provisions conflict with the General Requirements, the Special Provisions shall prevail.

1.10 ADDENDA: Written or graphic instruments issued by the Owner/Architect prior to the execution of the contract which modify or interpret the Bidding Documents by additions, deletions, clarifications or corrections.

1.11 BIDDER OR VENDOR: A person or entity who formally submits a Bid for the material or Work contemplated, acting directly or through a duly authorized representative who meets the requirements set forth in the Bidding Documents.

1.12 SUB-BIDDER: A person or entity who submits a Bid to a Bidder for materials or labor, or both for a portion of the Work.

1.13 BID: A complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

1.14 BASE BID: The sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents as the base, to which Work may be added or from which Work may be deleted for sums stated in Alternate Bids (if any are required to be stated in the bid).

1.15 ALTERNATE BID (or ALTERNATE): An amount stated in the Bid, where applicable, to be added to or deducted from the amount of the Base Bid if the corresponding change in the Work, as described in the Bidding Documents is accepted.

1.16 UNIT PRICE: An amount stated in the Bid, where applicable, as a price per unit of measurement for materials, equipment or services or a portion of the Work as described in the Bidding Documents.

1.17 SURETY: The corporate body which is bound with and for the Contract, or which is liable, and which engages to be responsible for the Contractor's payments of all debts pertaining to and for his acceptable performance of the Work for which he has contracted.

1.18 BIDDER'S DEPOSIT: The security designated in the Bid to be furnished by the Bidder as a guaranty of good faith to enter into a contract with the Agency if the Work to be performed or the material or equipment to be furnished is awarded to him.

1.19 CONTRACT: The written agreement covering the furnishing and delivery of material or work to be performed.

1.20 CONTRACTOR: Any individual, firm or corporation with whom a contract is made by the Agency.

1.21 SUBCONTRACTOR: An individual, partnership or corporation which has a direct contract with a contractor to furnish labor and materials at the job site, or to perform construction labor and furnish material in connection with such labor at the job site.

1.22 CONTRACT BOND: The approved form of security furnished by the contractor and his surety as a guaranty of good faith on the part of the contractor to execute the work in accordance with the terms of the contract.

1.23 Whenever the word “Architect” shall appear on standard printed documents published by the American Institute of Architects (AIA), it shall mean “Engineer” and shall refer to Gipe Associates, Inc. or its appointed staff or sub-consultant.

1.24 Wherever the word “Owner” shall appear on standard printed document published by the American Institute of Architects (AIA), it shall mean the New Castle County Votech School District.

ARTICLE 2: BIDDER'S REPRESENTATIONS

2.1 PRE-BID MEETING

2.1.1 A pre-bid meeting for this project will be held at the time and place designated. Attendance at this meeting is a pre-requisite for submitting a Bid, unless this requirement is specifically waived elsewhere in the Bid Documents.

2.2 By submitting a Bid, the Bidder represents that:

2.2.1 The Bidder has read and understands the Bidding Documents and that the Bid is made in accordance therewith.

2.2.2 The Bidder has visited the site, become familiar with existing conditions under which the Work is to be performed, and has correlated the Bidder's his personal observations with the requirements of the proposed Contract Documents.

2.2.3 The Bid is based upon the materials, equipment, and systems required by the Bidding Documents without exception.

2.3 JOINT VENTURE REQUIREMENTS

2.3.1 For Public Works Contracts, each Joint Venturer shall be qualified and capable to complete the Work with their own forces.

2.3.2 Included with the Bid submission, and as a requirement to bid, a copy of the executed Joint Venture Agreement shall be submitted and signed by all Joint Venturers involved.

2.3.3 All required Bid Bonds, Performance Bonds, Material and Labor Payment Bonds must be executed by both Joint Venturers and be placed in both of their names.

2.3.4 All required insurance certificates shall name both Joint Venturers.

2.3.5 Both Joint Venturers shall sign the Bid Form and shall submit a copy of a valid Delaware Business License with their Bid.

2.3.6 Both Joint Venturers shall include their Federal E.I. Number with the Bid.

2.3.7 In the event of a mandatory Pre-bid Meeting, each Joint Venturer shall have a representative in attendance.

2.3.8 Due to exceptional circumstances and for good cause shown, one or more of these provisions may be waived at the discretion of the State.

2.4 ASSIGNMENT OF ANTITRUST CLAIMS

2.4.1 As consideration for the award and execution by the Owner of this contract, the Contractor hereby grants, conveys, sells, assigns and transfers to the State of Delaware all of its right, title and interests in and to all known or unknown causes of action it presently has or may now or hereafter acquire under the antitrust laws of the United States and the State of Delaware, relating to the particular goods or services purchased or acquired by the Owner pursuant to this contract.

ARTICLE 3: BIDDING DOCUMENTS

3.1 COPIES OF BID DOCUMENTS

3.1.1 Bidders may obtain complete sets of the Bidding Documents from the Architectural/Engineering firm designated in the Advertisement or Invitation to Bid in the number and for the deposit sum, if any, stated therein.

3.1.2 Bidders shall use complete sets of Bidding Documents for preparation of Bids. The issuing Agency nor the Architect assumes no responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

3.1.3 Any errors, inconsistencies or omissions discovered shall be reported to the Architect immediately.

3.1.4 The Agency and Architect may make copies of the Bidding Documents available on the above terms for the purpose of obtaining Bids on the Work. No license or grant of use is conferred by issuance of copies of the Bidding Documents.

3.2 INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS

3.2.1 The Bidder shall carefully study and compare the Bidding Documents with each other, and with other work being bid concurrently or presently under construction to the extent that it relates to the Work for which the Bid is submitted, shall examine the site and local conditions, and shall report any errors, inconsistencies, or ambiguities discovered to the Architect.

3.2.2 Bidders or Sub-bidders requiring clarification or interpretation of the Bidding Documents shall make a written request to the Architect at least seven days prior to the date for receipt of Bids. Interpretations, corrections and changes to the Bidding Documents will be made by written Addendum. Interpretations, corrections, or changes to the Bidding Documents made in any other manner shall not be binding.

3.2.2a Direct inquires and request for information (AIA G716) to: Gipe Associates, Inc. ATTN David R. Hoffman, P.E., Email: dhoffman@gipe.net; Fax: 410-822-6306 no later than seven (7) days prior to bid due date.

3.2.3 The apparent silence of the specifications as to any detail, or the apparent omission from it of detailed description concerning any point, shall be regarded as meaning that only the best commercial practice is to prevail and only material and workmanship of the first quality are to be used. Proof of specification compliance will be the responsibility of the Bidder.

3.2.4 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for all permits, labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for the proper execution and completion of the Work.

3.2.5 The Owner will bear the costs for all impact and user fees associated with the project.

3.3 SUBSTITUTIONS

3.3.1 The materials, products and equipment described in the Bidding Documents establish a standard of quality, required function, dimension, and appearance to be met by any proposed substitution. The specification of a particular manufacturer or model number is not intended to be proprietary in any way. Substitutions of products for those named will be considered, providing that the Vendor certifies that the function, quality, and performance characteristics of the material offered is equal or superior to that specified. It shall be the Bidder's responsibility to assure that the proposed substitution will not affect the intent of the design, and to make any installation modifications required to accommodate the substitution.

3.3.2 Requests for substitutions shall be made in writing to the Architect at least ten days prior to the date of the Bid Opening. Such requests shall include a complete description of the proposed substitution, drawings, performance and test data, explanation of required installation modifications due the substitution, and any other information necessary for an evaluation. The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval shall be final. The Architect is to notify Owner prior to any approvals.

3.3.3 If the Architect approves a substitution prior to the receipt of Bids, such approval shall be set forth in an Addendum. Approvals made in any other manner shall not be binding.

3.3.4 The Architect shall have no obligation to consider any substitutions after the Contract award.

3.3.5 Refer to Section 016000 “Product Requirements Substitutions for additional requirement and procedures regarding substitutions.

3.4 ADDENDA

3.4.1 Addenda will be mailed or delivered to all who are known by the Architect to have received a complete set of the Bidding Documents.

3.4.2 Copies of Addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.

3.4.3 No Addenda will be issued later than 4 days prior to the date for receipt of Bids except an Addendum withdrawing the request for Bids or one which extends the time or changes the location for the opening of bids.

3.4.4 Each bidder shall ascertain prior to submitting his Bid that they have received all Addenda issued, and shall acknowledge their receipt in their Bid in the appropriate space. Not acknowledging an issued Addenda could be grounds for determining a bid to be non-responsive.

ARTICLE 4: BIDDING PROCEDURES

4.1 PREPARATION OF BIDS

4.1.1 Submit the bids on the Bid Forms included with the Bidding Documents.

4.1.2 Submit the original Bid Form for each bid. Bid Forms may be removed from the project manual for this purpose.

4.1.3 Execute all blanks on the Bid Form in a non-erasable medium (typewriter or manually in ink).

4.1.4 Where so indicated by the makeup on the Bid Form, express sums in both words and figures, in case of discrepancy between the two, the written amount shall govern.

4.1.5 Interlineations, alterations or erasures must be initialed by the signer of the Bid.

4.1.6 BID ALL REQUESTED ALTERNATES AND UNIT PRICES, IF ANY. If there is no change in the Base Bid for an Alternate, enter “No Change”. The Contractor is responsible for verifying that they have received all addenda issued during the bidding period. Work required by Addenda shall automatically become part of the Contract.

4.1.7 Make no additional stipulations on the Bid Form and do not qualify the Bid in any other manner.

4.1.8 Each copy of the Bid shall include the legal name of the Bidder and a statement whether the Bidder is a sole proprietor, a partnership, a corporation, or any legal entity, and each copy shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current Power of Attorney attached, certifying agent's authority to bind the Bidder.

4.1.9 Bidder shall complete the Non-Collusion Statement form included with the Bid Forms and include it with their Bid.

4.1.10 In the construction of all Public Works projects for the State of Delaware or any agency thereof, preference in employment of laborers, workers or mechanics shall be given to bona fide legal citizens of the State who have established citizenship by residence of at least 90 days in the State.

4.1.11 Each bidder shall include in their bid a copy of a valid Delaware Business License.'

4.1.12 Each bidder shall include a signed Affidavit for the Bidder certifying compliance with OMB Regulation 4104 - "Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on "Large Public Works Projects." "Large Public Works" is based upon the current threshold required for bidding Public Works as set by the Purchasing and Contracting Advisory Council.

4.2 BID SECURITY

4.2.1 All bids shall be accompanied by a deposit of either a good and sufficient bond to the agency for the benefit of the agency, with corporate surety authorized to do business in this State, the form of the bond and the surety to be approved by the agency, or a security of the bidder assigned to the agency, for a sum equal to at least 10% of the bid plus all add alternates, or in lieu of the bid bond a security deposit in the form of a certified check, bank treasurer's check, cashier's check, money order, or other prior approved secured deposit assigned to the State. The bid bond need not be for a specific sum, but may be stated to be for a sum equal to 10% of the bid plus all add alternates to which it relates and not to exceed a certain stated sum, if said sum is equal to at least 10% of the bid. The Bid Bond form used shall be the standard OMB form (attached).

4.2.2 The Agency has the right to retain the bid security of Bidders to whom an award is being considered until either a formal contract has been executed and bonds have been furnished or the specified time has elapsed so the Bids may be withdrawn or all Bids have been rejected.

4.2.3 In the event of any successful Bidder refusing or neglecting to execute a formal contract and bond within 20 days of the awarding of the contract, the bid bond or security deposited by the successful bidder shall be forfeited.

4.3 SUBCONTRACTOR LIST

4.3.1 As required by Delaware Code, Title 29, section 6962(d)(10)b, each Bidder shall submit with their Bid a completed List of Sub-Contractors included with the Bid Form. NAME ONLY ONE SUBCONTRACTOR FOR EACH TRADE. A Bid will be considered non-responsive unless the completed list is included.

4.3.2 Provide the Name and Address for each listed subcontractor. Addresses by City, Town or Locality, plus State, will be acceptable.

4.3.3 It is the responsibility of the Contractor to ensure that their Subcontractors are in compliance with the provisions of this law. Also, if a Contractor elects to list themselves as a Subcontractor for any category, they must specifically name themselves on the Bid Form and be able to document their capability to act as Subcontractor in that category in accordance with this law.

4.4 EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS

4.4.1 During the performance of this contract, the contractor agrees as follows:

A. The Contractor will not discriminate against any employee or applicant for employment because of race, creed, sex, color, sexual orientation, gender identity or national origin. The Contractor will take affirmative action to ensure the applicants are employed, and that employees are treated during employment, without regard to their race, creed, sex, color, sexual orientation, gender identity or national origin. Such action shall include, but not be limited to, the following: Employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places available to employees and applicants for employment notices to be provided by the contracting agency setting forth this nondiscrimination clause.

B. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, creed, sex, color, sexual orientation, gender identity or national origin."

4.5 PREVAILING WAGE REQUIREMENT

4.5.1 Wage Provisions: For renovation and new construction projects whose costs exceed the thresholds contained in Delaware Code, Title 29, Section 6960, the minimum wage rates for various classes of laborers and mechanics shall be as determined by the Department of Labor, Division of Industrial Affairs of the State of Delaware.

4.5.2 The employer shall pay all mechanics and labors employed directly upon the site of work, unconditionally and not less often than once a week and without subsequent deduction or rebate on any account, the full amounts accrued at time of payment, computed at wage rates not less than those stated in the specifications, regardless of any contractual relationship which may be alleged to exist between the employer and such laborers and mechanics.

4.5.3 The scale of the wages to be paid shall be posted by the employer in a prominent and easily accessible place at the site of the work.

4.5.4 Every contract based upon these specifications shall contain a stipulation that sworn payroll information, as required by the Department of Labor, be furnished weekly. The Department of Labor shall keep and maintain the sworn payroll information for a period of 6 months from the last day of the work week covered by the payroll.

4.6 SUBMISSION OF BIDS

4.6.1 Enclose the Bid, the Bid Security, and any other documents required to be submitted with the Bid in a sealed opaque envelope. Address the envelope to the party receiving the Bids. Identify with the project name, project number, and the Bidder's name and address. If the Bid is sent by mail, enclose the sealed envelope in a separate mailing envelope with the notation "BID ENCLOSED" on the face thereof. The State is not responsible for the opening of bids prior to bid opening date and time that are not properly marked.

4.6.2 Deposit Bids at the designated location prior to the time and date for receipt of bids indicated in the Advertisement for Bids. Bids received after the time and date for receipt of bids will be marked “LATE BID” and returned.

4.6.3 Bidder assumes full responsibility for timely delivery at location designated for receipt of bids.

4.6.4 Oral, telephonic or telegraphic bids are invalid and will not receive consideration.

4.6.5 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids, provided that they are then fully in compliance with these Instructions to Bidders.

4.7 MODIFICATION OR WITHDRAW OF BIDS

4.7.1 Prior to the closing date for receipt of Bids, a Bidder may withdraw a Bid by personal request and by showing proper identification to the Architect. A request for withdraw by letter or fax, if the Architect is notified in writing prior to receipt of fax, is acceptable. A fax directing a modification in the bid price will render the Bid informal, causing it to be ineligible for consideration of award. Telephone directives for modification of the bid price shall not be permitted and will have no bearing on the submitted proposal in any manner.

4.7.2 Bidders submitting Bids that are late shall be notified as soon as practicable and the bid shall be returned.

4.7.3 A Bid may not be modified, withdrawn or canceled by the Bidder during a thirty (30) day period following the time and date designated for the receipt and opening of Bids, and Bidder so agrees in submitting their Bid. Bids shall be binding for 30 days after the date of the Bid opening.

ARTICLE 5: CONSIDERATION OF BIDS

5.1 OPENING/REJECTION OF BIDS

5.1.1 Unless otherwise stated, Bids received on time will be publicly opened and will be read aloud. An abstract of the Bids will be made available to Bidders.

5.1.2 The Agency shall have the right to reject any and all Bids. A Bid not accompanied by a required Bid Security or by other data required by the Bidding Documents, or a Bid which is in any way incomplete or irregular is subject to rejection.

5.1.3 If the Bids are rejected, it will be done within thirty (30) calendar day of the Bid opening.

5.2 COMPARISON OF BIDS

5.2.1 After the Bids have been opened and read, the bid prices will be compared and the result of such comparisons will be made available to the public. Comparisons of the Bids may be based on the Base Bid plus desired Alternates. The Agency shall have the right to accept Alternates in any order or combination.

5.2.2 The Agency reserves the right to waive technicalities, to reject any or all Bids, or any portion thereof, to advertise for new Bids, to proceed to do the Work otherwise, or to abandon the Work, if in the judgment of the Agency or its agent(s), it is in the best interest of the State.

5.2.3 An increase or decrease in the quantity for any item is not sufficient grounds for an increase or decrease in the Unit Price.

5.2.4 The prices quoted are to be those for which the material will be furnished F.O.B. Job Site and include all charges that may be imposed during the period of the Contract.

5.2.5 No qualifying letter or statements in or attached to the Bid, or separate discounts will be considered in determining the low Bid except as may be otherwise herein noted. Cash or separate discounts should be computed and incorporated into Unit Bid Price(s).

5.3 DISQUALIFICATION OF BIDDERS

5.3.1 An agency shall determine that each Bidder on any Public Works Contract is responsible before awarding the Contract. Factors to be considered in determining the responsibility of a Bidder include:

A. The Bidder's financial, physical, personnel or other resources including Subcontracts;

B. The Bidder's record of performance on past public or private construction projects, including, but not limited to, defaults and/or final adjudication or admission of violations of the Prevailing Wage Laws in Delaware or any other state;

C. The Bidder's written safety plan;

D. Whether the Bidder is qualified legally to contract with the State;

E. Whether the Bidder supplied all necessary information concerning its responsibility; and,

F. Any other specific criteria for a particular procurement, which an agency may establish; provided however, that, the criteria be set forth in the Invitation to Bid and is otherwise in conformity with State and/or Federal law.

5.3.2 If an agency determines that a Bidder is nonresponsive and/or nonresponsible, the determination shall be in writing and set forth the basis for the determination. A copy of the determination shall be sent to the affected Bidder within five (5) working days of said determination.

5.3.3 In addition, any one or more of the following causes may be considered as sufficient for the disqualification of a Bidder and the rejection of their Bid or Bids.

5.3.3.1 More than one Bid for the same Contract from an individual, firm or corporation under the same or different names.

5.3.3.2 Evidence of collusion among Bidders.

5.3.3.3 Unsatisfactory performance record as evidenced by past experience.

5.3.3.4 If the Unit Prices are obviously unbalanced either in excess or below reasonable cost analysis values.

5.3.3.5 If there are any unauthorized additions, interlineation, conditional or alternate bids or irregularities of any kind which may tend to make the Bid incomplete, indefinite or ambiguous as to its meaning.

5.3.3.6 If the Bid is not accompanied by the required Bid Security and other data required by the Bidding Documents.

5.3.3.7 If any exceptions or qualifications of the Bid are noted on the Bid Form.

5.4 ACCEPTANCE OF BID AND AWARD OF CONTRACT

5.4.1 A formal Contract shall be executed with the successful Bidder within twenty (20) calendar days after the award of the Contract.

5.4.2 Per Section 6962(d)(13) a., Title 29, Delaware Code, "The contracting agency shall award any public works contract within thirty (30) days of the bid opening to the lowest

responsive and responsible Bidder, unless the Agency elects to award on the basis of best value, in which case the election to award on the basis of best value shall be stated in the Invitation To Bid."

5.4.3 Each Bid on any Public Works Contract must be deemed responsive by the Agency to be considered for award. A responsive Bid shall conform in all material respects to the requirements and criteria set forth in the Contract Documents and specifications.

5.4.4 The Agency shall have the right to accept Alternates in any order or combination, and to determine the low Bidder on the basis of the sum of the Base Bid, plus accepted Alternates.

5.4.5 The successful Bidder shall execute a formal contract, submit the required Insurance Certificate, and furnish good and sufficient bonds, unless specifically waived in the General Requirements, in accordance with the General Requirement, within twenty (20) days of official notice of contract award. The successful Bidder shall provide, at least two business days prior to contract execution, copies of the Employee Drug Testing Program for the Bidder and all listed Subcontractors. Bonds shall be for the benefit of the Agency with surety in the amount of 100% of the total contract award. Said Bonds shall be conditioned upon the faithful performance of the contract. Bonds shall remain in affect for period of one year after the date of substantial completion.

5.4.6 If the successful Bidder fails to execute the required Contract, Bond and all required information, as aforesaid, within twenty (20) calendar days after the date of official Notice of the Award of the Contract, their Bid guaranty shall immediately be taken and become the property of the State for the benefit of the Agency as liquidated damages, and not as a forfeiture or as a penalty. Award will then be made to the next lowest qualified Bidder of the Work or readvertised, as the Agency may decide.

5.4.7 Each bidder shall supply with its bid its taxpayer identification number (i.e., federal employer identification number or social security number) and a copy of its Delaware business license, and should the vendor be awarded a contract, such vendor shall provide to the agency the taxpayer identification license numbers of such subcontractors. Such numbers shall be provided on the later of the date on which such subcontractor is required to be identified or the time the contract is executed. The successful Bidder shall provide to the agency to which it is contracting, within 30 days of entering into such public works contract, copies of all Delaware Business licenses of subcontractors and/or independent contractors that will perform work for such public works contract. However, if a subcontractor or independent contractor is hired or contracted more than 20 days after the Bidder entered the public works contract the Delaware Business license of such subcontractor or independent contractor shall be provided to the agency within 10 days of being contracted or hired.

5.4.8 The Bid Security shall be returned to the successful Bidder upon the execution of the formal contract. The Bid Securities of unsuccessful bidders shall be returned within thirty (30) calendar days after the opening of the Bids.

ARTICLE 6: POST-BID INFORMATION

6.1 CONTRACTOR'S QUALIFICATION STATEMENT

6.1.1 Bidders to whom award of a Contract is under consideration shall, if requested by the Agency, submit a properly executed AIA Document A305, Contractor's Qualification Statement, unless such a statement has been previously required and submitted.

6.2 BUSINESS DESIGNATION FORM

6.2.1 Successful bidder shall be required to accurately complete an Office of Management and Budget Business Designation Form for Subcontractors.

ARTICLE 7: PERFORMANCE BOND AND PAYMENT BOND

7.1 BOND REQUIREMENTS

7.1.1 The cost of furnishing the required Bonds, that are stipulated in the Bidding Documents, shall be included in the Bid.

7.1.2 If the Bidder is required by the Agency to secure a bond from other than the Bidder's usual sources, changes in cost will be adjusted as provide in the Contract Documents.

7.1.3 The Performance and Payment Bond forms used shall be the standard OMB forms (attached).

7.2 TIME OF DELIVERY AND FORM OF BONDS

7.2.1 The bonds shall be dated on or after the date of the Contract.

7.2.2 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix a certified and current copy of the power of attorney.

ARTICLE 8: FORM OF AGREEMENT BETWEEN AGENCY AND CONTRACTOR

8.1 Unless otherwise required in the Bidding Documents, the Agreement for the Work will be written on AIA Document A101, Standard Form of Agreement Between Owner and Contractor Where the Basis of Payment is a Stipulated Sum.

ARTICLE 9: ADDITIONAL INSTRUCTIONS TO BIDDERS

9.1 Time of Completion: The Owner desires substantial completion of total project to be no later than **one hundred fifty (150) calendar** days from Notice to Proceed and final completion **thirty (30) calendar days** thereafter (**total contract time is 180 days**). This provision is of the essence.

9.2 Liquidated Damages: Liquidated damages shall be assessed to compensate the Owner by the contractor to compensate the Owner's cost associated with late completion of the project. Liquidated damages shall be deducted from the final payment utilizing a change order. Refer to the Bid Form and Supplementary Conditions for additional information.

END OF INSTRUCTIONS TO BIDDERS

BID FORM

For Bids Due: _____(DATE)_____ **To:** _____(OWNER)_____
_____
_____
_____
_____

Name of Bidder:_____

Delaware Business License No.:_____ **Taxpayer ID No.:** _____
(A copy of Bidder's Delaware Business License must be attached to this form.)

(Other License Nos.): _____

Phone No.: ( ) _____ - _____ **Fax No.: ( ) _____ - _____**

The undersigned, representing that he has read and understands the Bidding Documents and that this bid is made in accordance therewith, that he has visited the site and has familiarized himself with the local conditions under which the Work is to be performed, and that his bid is based upon the materials, systems and equipment described in the Bidding Documents without exception, hereby proposes and agrees to provide all labor, materials, plant, equipment, supplies, transport and other facilities required to execute the work described by the aforesaid documents for the lump sum including allowances itemized below:

$_____
($)

Informational price for roof work performed by C.T.A Roofing and Waterproofing (302-454-8551) $_____ (All costs associated with roof work shall be included in the base bid)

ALTERNATES

Alternate prices conform to applicable project specification section. Refer to Division 01 Specification Section "Alternates" for a complete description of the following Alternates. An "ADD" or "DEDUCT" amount is indicated by the crossed out part that does not apply.

ALTERNATE No. 1: ROOFTOP UNIT COMPRESSORS

Add/Deduct: _____
($)

THOMAS B SHARP CONFERENCE CENTER HVAC RENOVATION
WILMINGTON, DELAWARE
CONTRACT NO.: 2019-1

BID FORM

I/We acknowledge Addendums numbered _____ and the price(s) submitted include any cost/schedule impact they may have.

This bid shall remain valid and cannot be withdrawn for thirty (30) days from the date of opening of bids (60 days for School Districts and Department of Education), and the undersigned shall abide by the Bid Security forfeiture provisions. Bid Security is attached to this Bid.

The Owner shall have the right to reject any or all bids, and to waive any informality or irregularity in any bid received.

This bid is based upon work being accomplished by the Sub-Contractors named on the list attached to this bid.

Should I/We be awarded this contract, I/We pledge to achieve substantial completion of all the work within _____calendar days of the Notice to Proceed.

The undersigned represents and warrants that he has complied and shall comply with all requirements of local, state, and national laws; that no legal requirement has been or shall be violated in making or accepting this bid, in awarding the contract to him or in the prosecution of the work required; that the bid is legal and firm; that he has not, directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken action in restraint of free competitive bidding.

Upon receipt of written notice of the acceptance of this Bid, the Bidder shall, within twenty (20) calendar days, execute the agreement in the required form and deliver the Contract Bonds, and Insurance Certificates, required by the Contract Documents.

I am / We are an Individual / a Partnership / a Corporation

By _____ Trading as _____
(Individual's / General Partner's / Corporate Name)

_____
(State of Corporation)

Business Address:_____
_____
_____
_____

Witness: _____ **By:** _____
(Authorized Signature)

(SEAL)

_____
(Title)

Date: _____

ATTACHMENTS

Sub-Contractor List
Non-Collusion Statement
Affidavit of Employee Drug Testing Program
Bid Security
(Others as Required by Project Manuals)

BID FORM

SUBCONTRACTOR LIST

In accordance with Title 29, Chapter 6962 (d)(10)b Delaware Code, the following sub-contractor listing must accompany the bid submittal. The name and address of the sub-contractor **must be listed for each category** where the bidder intends to use a sub-contractor to perform that category of work. In order to provide full disclosure and acceptance of the bid by the *Owner*, **it is required that bidders list themselves as being the sub-contractor for all categories where he/she is qualified and intends to perform such work.** This form must be filled out completely with no additions or deletions. Note that all subcontractors listed below must have a signed Affidavit of employee drug testing program included with this bid.

Subcontractor Category	Subcontractor	Address (City & State)	Subcontractors tax payer ID # or Delaware Business license #
1. Electrical			
2. Insulation			
3. Fire Alarm			
4. HVAC			
5.			
6.			
7.			
8.			

BID FORM

NON-COLLUSION STATEMENT

This is to certify that the undersigned bidder has neither directly nor indirectly, entered into any agreement, participated in any collusion or otherwise taken any action in restraint of free competitive bidding in connection with this proposal submitted this date *(to the Office of Management and Budget, Division of Facilities Management)*.

All the terms and conditions of *(Project or Contract Number)* have been thoroughly examined and are understood.

NAME OF BIDDER: _____

AUTHORIZED REPRESENTATIVE (TYPED): _____

AUTHORIZED REPRESENTATIVE (SIGNATURE): _____

TITLE: _____

ADDRESS OF BIDDER: _____

_____

_____

E-MAIL: _____

PHONE NUMBER: _____

Sworn to and Subscribed before me this _____ day of _____ 20____.

My Commission expires _____. NOTARY PUBLIC _____.

THIS PAGE MUST BE SIGNED AND NOTARIZED FOR YOUR BID TO BE CONSIDERED.

AFFIDAVIT
OF
EMPLOYEE DRUG TESTING PROGRAM

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors implement a program of mandatory drug testing for Employees who work on Large Public Works Contracts funded all or in part with public funds.

We hereby certify that we have in place or will implement during the entire term of the contract a Mandatory Drug Testing Program for our employees on the jobsite, including subcontractors that complies with this regulation:

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____

_____

_____

Authorized Representative (typed or printed): _____

Authorized Representative (signature): _____

Title: _____

Sworn to and Subscribed before me this _____day of _____ 20_____.

My Commission expires _____. NOTARY PUBLIC _____.

<u>THIS PAGE MUST BE SIGNED AND NOTARIZED FOR YOUR BID TO BE CONSIDERED.</u>

SECTION 00 43 13: BID BOND

Bid Bond attached shall be utilized on this project.

STATE OF DELAWARE
OFFICE OF MANAGEMENT AND BUDGET

BID BOND

TO ACCOMPANY PROPOSAL
(Not necessary if security is used)

KNOW ALL MEN BY THESE PRESENTS That: _____ _____of _____ in the County of _____ _____and State of _____as **Principal**, and _____ _____ of _____ in the County of _____ and State of _____ as **Surety**, legally authorized to do business in the State of Delaware ("**State**"), are held and firmly unto the **State** in the sum of _____ _____ Dollars ($_____), or _____ percent not to exceed _____ _____ Dollars ($_____) of amount of bid on Contract No. _____, to be paid to the **State** for the use and benefit of _____ (***insert State agency name***) for which payment well and truly to be made, we do bind ourselves, our and each of our heirs, executors, administrators, and successors, jointly and severally for and in the whole firmly by these presents.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH That if the above bonded **Principal** who has submitted to the _____ (***insert State agency name***) a certain proposal to enter into this contract for the furnishing of certain material and/or services within the **State**, shall be awarded this Contract, and if said **Principal** shall well and truly enter into and execute this Contract as may be required by the terms of this Contract and approved by the _____ _____ (***insert State agency name***) this Contract to be entered into within twenty days after the date of official notice of the award thereof in accordance with the terms of said proposal, then this obligation shall be void or else to be and remain in full force and virtue.

Sealed with _____ seal and dated this _____day of _____ in the year of our Lord two thousand and _____ (20___).

SEALED, AND DELIVERED IN THE
Presence of

_____
Name of Bidder (Organization)

Corporate Seal

By: _____
Authorized Signature

Attest _____

_____
Title

_____
Name of Surety

Witness:_____

By: _____

_____
Title

STANDARD FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR A101-2007

The contract to be utilized on this project shall be the “Standard Form of Agreement Between Owner and Contractor” AIA Document A101-2007.

AIA® Document A101™ – 2007

Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

AGREEMENT made as of the day of in the year
(In words, indicate day, month and year.)

BETWEEN the Owner:
(Name, legal status, address and other information)

and the Contractor:
(Name, legal status, address and other information)

for the following Project:
(Name, location and detailed description)

The Architect:
(Name, legal status, address and other information)

The Owner and Contractor agree as follows.

ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

AIA Document A201™–2007, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

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ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be the date of this Agreement unless a different date is stated below or provision is made for the date to be fixed in a notice to proceed issued by the Owner.
(Insert the date of commencement if it differs from the date of this Agreement or, if applicable, state that the date will be fixed in a notice to proceed.)

If, prior to the commencement of the Work, the Owner requires time to file mortgages and other security interests, the Owner's time requirement shall be as follows:

§ 3.2 The Contract Time shall be measured from the date of commencement.

§ 3.3 The Contractor shall achieve Substantial Completion of the entire Work not later than ( ) days from the date of commencement, or as follows:
(Insert number of calendar days. Alternatively, a calendar date may be used when coordinated with the date of commencement. If appropriate, insert requirements for earlier Substantial Completion of certain portions of the Work.)

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Portion of Work	Substantial Completion Date

, subject to adjustments of this Contract Time as provided in the Contract Documents.
(Insert provisions, if any, for liquidated damages relating to failure to achieve Substantial Completion on time or for bonus payments for early completion of the Work.)

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be ($), subject to additions and deductions as provided in the Contract Documents.

§ 4.2 The Contract Sum is based upon the following alternates, if any, which are described in the Contract Documents and are hereby accepted by the Owner:
(State the numbers or other identification of accepted alternates. If the bidding or proposal documents permit the Owner to accept other alternates subsequent to the execution of this Agreement, attach a schedule of such other alternates showing the amount for each and the date when that amount expires.)

§ 4.3 Unit prices, if any:
(Identify and state the unit price; state quantity limitations, if any, to which the unit price will be applicable.)

Item	Units and Limitations	Price Per Unit ($0.00)

§ 4.4 Allowances included in the Contract Sum, if any:
(Identify allowance and state exclusions, if any, from the allowance price.)

Item	Price

ARTICLE 5 PAYMENTS

§ 5.1 PROGRESS PAYMENTS

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the day of a month, the Owner shall make payment of the certified amount to the Contractor not later than the day of the month. If an Application for Payment is received by the Architect after the application date fixed above, payment shall be made by the Owner not later than ( ) days after the Architect receives the Application for Payment.
(Federal, state or local laws may require payment within a certain period of time.)

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

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§ **5.1.5** Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ **5.1.6** Subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

.1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of percent (%). Pending final determination of cost to the Owner of changes in the Work, amounts not in dispute shall be included as provided in Section 7.3.9 of AIA Document A201™–2007, General Conditions of the Contract for Construction;

.2 Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of percent (%);

.3 Subtract the aggregate of previous payments made by the Owner; and

.4 Subtract amounts, if any, for which the Architect has withheld or nullified a Certificate for Payment as provided in Section 9.5 of AIA Document A201–2007.

§ **5.1.7** The progress payment amount determined in accordance with Section 5.1.6 shall be further modified under the following circumstances:

.1 Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the Architect shall determine for incomplete Work, retainage applicable to such work and unsettled claims; and
(Section 9.8.5 of AIA Document A201–2007 requires release of applicable retainage upon Substantial Completion of Work with consent of surety, if any.)

.2 Add, if final completion of the Work is thereafter materially delayed through no fault of the Contractor, any additional amounts payable in accordance with Section 9.10.3 of AIA Document A201–2007.

§ **5.1.8** Reduction or limitation of retainage, if any, shall be as follows:
(If it is intended, prior to Substantial Completion of the entire Work, to reduce or limit the retainage resulting from the percentages inserted in Sections 5.1.6.1 and 5.1.6.2 above, and this is not explained elsewhere in the Contract Documents, insert here provisions for such reduction or limitation.)

§ **5.1.9** Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

§ 5.2 FINAL PAYMENT

§ **5.2.1** Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

.1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Section 12.2.2 of AIA Document A201–2007, and to satisfy other requirements, if any, which extend beyond final payment; and

.2 a final Certificate for Payment has been issued by the Architect.

§ **5.2.2** The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect's final Certificate for Payment, or as follows:

ARTICLE 6 DISPUTE RESOLUTION

§ 6.1 INITIAL DECISION MAKER

The Architect will serve as Initial Decision Maker pursuant to Section 15.2 of AIA Document A201–2007, unless the parties appoint below another individual, not a party to this Agreement, to serve as Initial Decision Maker.

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(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)

§ 6.2 BINDING DISPUTE RESOLUTION

For any Claim subject to, but not resolved by, mediation pursuant to Section 15.3 of AIA Document A201–2007, the method of binding dispute resolution shall be as follows:
(Check the appropriate box. If the Owner and Contractor do not select a method of binding dispute resolution below, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.)

[ ] Arbitration pursuant to Section 15.4 of AIA Document A201–2007

[ ] Litigation in a court of competent jurisdiction

[ ] Other *(Specify)*

ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2007.

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2007.

ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2007 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.
(Insert rate of interest agreed upon, if any.)

%

§ 8.3 The Owner's representative:
(Name, address and other information)

§ 8.4 The Contractor's representative:
(Name, address and other information)

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§ 8.5 Neither the Owner's nor the Contractor's representative shall be changed without ten days written notice to the other party.

§ 8.6 Other provisions:

ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 The Contract Documents, except for Modifications issued after execution of this Agreement, are enumerated in the sections below.

§ 9.1.1 The Agreement is this executed AIA Document A101–2007, Standard Form of Agreement Between Owner and Contractor.

§ 9.1.2 The General Conditions are AIA Document A201–2007, General Conditions of the Contract for Construction.

§ 9.1.3 The Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages

§ 9.1.4 The Specifications:
(Either list the Specifications here or refer to an exhibit attached to this Agreement.)

Section	Title	Date	Pages

§ 9.1.5 The Drawings:
(Either list the Drawings here or refer to an exhibit attached to this Agreement.)

Number	Title	Date

§ 9.1.6 The Addenda, if any:

Number	Date	Pages

Portions of Addenda relating to bidding requirements are not part of the Contract Documents unless the bidding requirements are also enumerated in this Article 9.

§ 9.1.7 Additional documents, if any, forming part of the Contract Documents:

.1 AIA Document E201™–2007, Digital Data Protocol Exhibit, if completed by the parties, or the following:

.2 Other documents, if any, listed below:
(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201–2007 provides that bidding requirements such as advertisement or invitation to bid, Instructions to Bidders, sample forms and the Contractor's bid are not part of the Contract Documents

SAMPLE

unless enumerated in this Agreement. They should be listed here only if intended to be part of the Contract Documents.)

ARTICLE 10 INSURANCE AND BONDS

The Contractor shall purchase and maintain insurance and provide bonds as set forth in Article 11 of AIA Document A201–2007.

(State bonding requirements, if any, and limits of liability for insurance required in Article 11 of AIA Document A201–2007.)

Type of insurance or bond	Limit of liability or bond amount ($0.00)

This Agreement entered into as of the day and year first written above.

OWNER *(Signature)*	**CONTRACTOR** *(Signature)*
(Printed name and title)	*(Printed name and title)*

SAMPLE

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User Notes: (2033806930)

SUPPLEMENT TO AGREEMENT BETWEEN OWNER AND CONTRACTOR A101-2007

The following supplements modify the "Standard Form of Agreement Between Owner and Contractor," AIA Document A101-2007. Where a portion of the Standard Form of Agreement is modified or deleted by the following, the unaltered portions of the Standard Form of Agreement shall remain in effect.

ARTICLE 5: PAYMENTS

5.1 PROGRESS PAYMENTS

5.1.3 Delete paragraph 5.1.3 in its entirety and replace with the following:

"Provided that a valid Application for Payment is received by the Architect that meets all requirements of the Contract, payment shall be made by the Owner not later than 30 days after the Owner receives the valid Application for Payment."

ARTICLE 6: DISPUTE RESOLUTION

6.2 BINDING DISPUTE RESOLUTION

Check Other – and add the following sentence:

"Any remedies available in law or in equity."

ARTICLE 8: MISCELLANEOUS PROVISIONS

8.2 Insert the following:

"Payments are due 30 days after receipt of a valid Application for Payment. After that 30 day period, interest may be charged at the rate of 1% per month not to exceed 12% per annum."

8.5 Delete paragraph 8.5 in its entirety and replace with the following:

"The Contractor's representative shall not be changed without ten days written notice to the Owner."

END OF SUPPLEMENT TO AGREEMENT BETWEEN OWNER AND CONTRACTOR

SECTION 00 61 13.13: PERFORMANCE BOND

Performance Bond attached shall be utilized on this project.

STATE OF DELAWARE
OFFICE OF MANAGEMENT AND BUDGET

PERFORMANCE BOND

Bond Number: _____

KNOW ALL PERSONS BY THESE PRESENTS, that we, _____, as principal ("**Principal**"), and _____, a _____ corporation, legally authorized to do business in the State of Delaware, as surety ("**Surety**"), are held and firmly bound unto the _____ ("**Owner**") (***insert State agency name***), in the amount of _____ ($_____), to be paid to **Owner**, for which payment well and truly to be made, we do bind ourselves, our and each and every of our heirs, executors, administrations, successors and assigns, jointly and severally, for and in the whole, firmly by these presents.

Sealed with our seals and dated this _____ day of _____, 20__.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH, that if **Principal**, who has been awarded by **Owner** that certain contract known as Contract No. _____ dated the _____ day of _____, 20__ (the "Contract"), which Contract is incorporated herein by reference, shall well and truly provide and furnish all materials, appliances and tools and perform all the work required under and pursuant to the terms and conditions of the Contract and the Contract Documents (as defined in the Contract) or any changes or modifications thereto made as therein provided, shall make good and reimburse **Owner** sufficient funds to pay the costs of completing the Contract that **Owner** may sustain by reason of any failure or default on the part of **Principal**, and shall also indemnify and save harmless **Owner** from all costs, damages and expenses arising out of or by reason of the performance of the Contract and for as long as provided by the Contract; then this obligation shall be void, otherwise to be and remain in full force and effect.

Surety, for value received, hereby stipulates and agrees, if requested to do so by **Owner**, to fully perform and complete the work to be performed under the Contract pursuant to the terms, conditions and covenants thereof, if for any cause **Principal** fails or neglects to so fully perform and complete such work.

Surety, for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of **Surety** and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition or change in or to the Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any work to be performed or any monies due or to become due thereunder; and **Surety** hereby waives notice of any and all such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other

transferees shall have the same effect as to **Surety** as though done or omitted to be done by or in relation to **Principal**.

Surety hereby stipulates and agrees that no modifications, omissions or additions in or to the terms of the Contract shall in any way whatsoever affect the obligation of **Surety** and its bond.

Any proceeding, legal or equitable, under this Bond may be brought in any court of competent jurisdiction in the State of Delaware. Notices to **Surety** or Contractor may be mailed or delivered to them at their respective addresses shown below.

IN WITNESS WHEREOF, **Principal** and **Surety** have hereunto set their hand and seals, and such of them as are corporations have caused their corporate seal to be hereto affixed and these presents to be signed by their duly authorized officers, the day and year first above written.

PRINCIPAL

Name: _____

Witness or Attest: Address: _____

_____ By: _____(SEAL)
Name: Name:
Title:

(Corporate Seal)

SURETY

Name: _____

Witness or Attest: Address: _____

_____ By: _____ (SEAL)
Name: Name:
Title:

(Corporate Seal)

SECTION 00 61 13.16: PAYMENT BOND

Payment Bond attached shall be utilized on this project.

STATE OF DELAWARE
OFFICE OF MANAGEMENT AND BUDGET

PAYMENT BOND

Bond Number: _____

KNOW ALL PERSONS BY THESE PRESENTS, that we, _____, as principal ("**Principal**"), and _____, a _____ corporation, legally authorized to do business in the State of Delaware, as surety ("**Surety**"), are held and firmly bound unto the _____ ("**Owner**") (***insert State agency name***), in the amount of _____ ($_____), to be paid to **Owner**, for which payment well and truly to be made, we do bind ourselves, our and each and every of our heirs, executors, administrations, successors and assigns, jointly and severally, for and in the whole firmly by these presents.

Sealed with our seals and dated this _____ day of_____, 20__.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH, that if **Principal**, who has been awarded by **Owner** that certain contract known as Contract No. _____dated the _____ day of _____, 20__ (the "Contract"), which Contract is incorporated herein by reference, shall well and truly pay all and every person furnishing materials or performing labor or service in and about the performance of the work under the Contract, all and every sums of money due him, her, them or any of them, for all such materials, labor and service for which **Principal** is liable, shall make good and reimburse **Owner** sufficient funds to pay such costs in the completion of the Contract as **Owner** may sustain by reason of any failure or default on the part of **Principal**, and shall also indemnify and save harmless **Owner** from all costs, damages and expenses arising out of or by reason of the performance of the Contract and for as long as provided by the Contract; then this obligation shall be void, otherwise to be and remain in full force and effect.

Surety, for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of **Surety** and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition or change in or to the Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any work to be performed or any monies due or to become due thereunder; and **Surety** hereby waives notice of any and all such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to **Surety** as though done or omitted to be done by or in relation to **Principal**.

Surety hereby stipulates and agrees that no modifications, omission or additions in or to the terms of the Contract shall in any way whatsoever affect the obligation of **Surety** and its bond.

Any proceeding, legal or equitable, under this Bond may be brought in any court of competent jurisdiction in the State of Delaware. Notices to **Surety** or Contractor may be mailed or delivered to them at their respective addresses shown below.

IN WITNESS WHEREOF, **Principal** and **Surety** have hereunto set their hand and seals, and such of them as are corporations have caused their corporate seal to be hereto affixed and these presents to be signed by their duly authorized officers, the day and year first above written.

PRINCIPAL

Name: _____

Witness or Attest: Address: _____

_____ By: _____(SEAL)
Name: Name:
Title:

(Corporate Seal)

SURETY

Name: _____

Witness or Attest: Address: _____

_____ By: _____(SEAL)
Name: Name:
Title:

(Corporate Seal)

SECTION 00 62 76: APPLICATION AND CERTIFICATE FOR PAYMENT

Application and Certificate for Payment attached herein shall be utilized on this project. As stated in Section 00 54 13 “Supplement to Agreement Between Owner and Contractor A101-2007”, “Provided that a valid Application for Payment is received by the Architect that meets all requirements of the Contract, payment shall be made by the Owner not later than 30 days after the Owner receives the valid Application for Payment.”

AIA® Document G702™ – 1992

Application and Certificate for Payment

TO OWNER:	**PROJECT:** MASTER FORMS	**APPLICATION NO:** 001	**Distribution to:**
		PERIOD TO:	OWNER: ☐
		CONTRACT FOR: General Construction	ARCHITECT: ☐
FROM CONTRACTOR:	**VIA ARCHITECT:**	**CONTRACT DATE:**	CONTRACTOR: ☐
		PROJECT NOS: / /	FIELD: ☐
			OTHER: ☐

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet, AIA Document G703, is attached.

1. **ORIGINAL CONTRACT SUM** ..... $ 0.00
2. **Net change by Change Orders** ..... $ 0.00
3. **CONTRACT SUM TO DATE** (Line 1 ± 2) ..... $ 0.00
4. **TOTAL COMPLETED & STORED TO DATE** (Column G on G703) ..... $ 0.00
5. **RETAINAGE:**
   a. 0 % of Completed Work (Column D + E on G703) $ 0.00
   b. 0 % of Stored Material (Column F on G703) $ 0.00
   Total Retainage (Lines 5a + 5b or Total in Column I of G703) ..... $ 0.00
6. **TOTAL EARNED LESS RETAINAGE** ..... $ 0.00
   (Line 4 Less Line 5 Total)
7. **LESS PREVIOUS CERTIFICATES FOR PAYMENT** ..... $ 0.00
   (Line 6 from prior Certificate)
8. **CURRENT PAYMENT DUE** ..... $ 0.00
9. **BALANCE TO FINISH, INCLUDING RETAINAGE**
   (Line 3 less Line 6) $ 0.00

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	$ 0.00	$ 0.00
Total approved this Month	$ 0.00	$ 0.00
TOTALS	$ 0.00	$ 0.00
NET CHANGES by Change Order	$	0.00

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR:

By: _____ Date: _____

State of:

County of:

Subscribed and sworn to before me this day of

Notary Public:

My Commission expires:

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... $ 0.00

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract

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(1737352988)
User Notes:

AIA® Document G703™ – 1992

Continuation Sheet

AIA Document G702, APPLICATION AND CERTIFICATION FOR PAYMENT,
containing Contractor's signed certification is attached.
In tabulations below, amounts are stated to the nearest dollar.
Use Column I on Contracts where variable retainage for line items may apply.

APPLICATION NO: 001
APPLICATION DATE:
PERIOD TO:
ARCHITECT'S PROJECT NO:

A	B	C	D	E	F	G		H	I
			WORK COMPLETED						
ITEM NO.	DESCRIPTION OF WORK	SCHEDULED VALUE	FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD	MATERIALS PRESENTLY STORED (NOT IN D OR E)	TOTAL COMPLETED AND STORED TO DATE (D+E+F)	% (G ÷ C)	BALANCE TO FINISH (C - G)	RETAINAGE (IF VARIABLE RATE)
	GRAND TOTAL	$ 0.00	$ 0.00	$ 0.00	$ 0.00	$ 0.00	0.00 %	$ 0.00	$ 0.00

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User ! (2131320776)

GENERAL CONDITIONS

TO THE

CONTRACT

The General Conditions of this Contract are as stated in the American Institute of Architects Document AIA A201 (2007 Edition) entitled General Conditions of the Contract for Construction and is part of this project manual as if herein written in full.

AIA® Document A201™ – 2007

General Conditions of the Contract for Construction

for the following PROJECT:
(Name and location or address)

THE OWNER:
(Name and address)

THE ARCHITECT:
(Name and address)

ADDITIONS AND DELETIONS:
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

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ARTICLE 1 GENERAL PROVISIONS

§ 1.1 BASIC DEFINITIONS

§ 1.1.1 THE CONTRACT DOCUMENTS

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding requirements.

§ 1.1.2 THE CONTRACT

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

§ 1.1.3 THE WORK

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

§ 1.1.4 THE PROJECT

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by separate contractors.

§ 1.1.5 THE DRAWINGS

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules and diagrams.

§ 1.1.6 THE SPECIFICATIONS

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

§ 1.1.7 INSTRUMENTS OF SERVICE

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

§ 1.1.8 INITIAL DECISION MAKER

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2 and certify termination of the Agreement under Section 14.2.2.

§ 1.2 CORRELATION AND INTENT OF THE CONTRACT DOCUMENTS

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

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§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.3 CAPITALIZATION

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles or (3) the titles of other documents published by the American Institute of Architects.

§ 1.4 INTERPRETATION

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

§ 1.5 OWNERSHIP AND USE OF DRAWINGS, SPECIFICATIONS AND OTHER INSTRUMENTS OF SERVICE

§ 1.5.1 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and will retain all common law, statutory and other reserved rights, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and material or equipment suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with this Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

§ 1.5.2 The Contractor, Subcontractors, Sub-subcontractors and material or equipment suppliers are authorized to use and reproduce the Instruments of Service provided to them solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and material or equipment suppliers may not use the Instruments of Service on other projects or for additions to this Project outside the scope of the Work without the specific written consent of the Owner, Architect and the Architect's consultants.

§ 1.6 TRANSMISSION OF DATA IN DIGITAL FORM

If the parties intend to transmit Instruments of Service or any other information or documentation in digital form, they shall endeavor to establish necessary protocols governing such transmissions, unless otherwise already provided in the Agreement or the Contract Documents.

ARTICLE 2 OWNER

§ 2.1 GENERAL

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

§ 2.1.2 The Owner shall furnish to the Contractor within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

§ 2.2 INFORMATION AND SERVICES REQUIRED OF THE OWNER

§ 2.2.1 Prior to commencement of the Work, the Contractor may request in writing that the Owner provide reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. Thereafter, the Contractor may only request such evidence if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) a change in the Work materially changes the Contract Sum; or (3) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due. The Owner shall furnish such evidence as a condition precedent to commencement or continuation of the Work or the

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portion of the Work affected by a material change. After the Owner furnishes the evidence, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

§ **2.2.2** Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

§ **2.2.3** The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

§ **2.2.4** The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

§ **2.2.5** Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

§ 2.3 OWNER'S RIGHT TO STOP THE WORK

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

§ 2.4 OWNER'S RIGHT TO CARRY OUT THE WORK

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of written notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such deficiencies. In such case an appropriate Change Order shall be issued deducting from payments then or thereafter due the Contractor the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect or failure. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect. If payments then or thereafter due the Contractor are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner.

ARTICLE 3 CONTRACTOR

§ 3.1 GENERAL

§ **3.1.1** The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ **3.1.2** The Contractor shall perform the Work in accordance with the Contract Documents.

§ **3.1.3** The Contractor shall not be relieved of obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

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§ 3.2 REVIEW OF CONTRACT DOCUMENTS AND FIELD CONDITIONS BY CONTRACTOR

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed and correlated personal observations with requirements of the Contract Documents.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.2.3, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

§ 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

§ 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall make Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

§ 3.3 SUPERVISION AND CONSTRUCTION PROCEDURES

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences and procedures and for coordinating all portions of the Work under the Contract, unless the Contract Documents give other specific instructions concerning these matters. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences or procedures, the Contractor shall evaluate the jobsite safety thereof and, except as stated below, shall be fully and solely responsible for the jobsite safety of such means, methods, techniques, sequences or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely written notice to the Owner and Architect and shall not proceed with that portion of the Work without further written instructions from the Architect. If the Contractor is then instructed to proceed with the required means, methods, techniques, sequences or procedures without acceptance of changes proposed by the Contractor, the Owner shall be solely responsible for any loss or damage arising solely from those Owner-required means, methods, techniques, sequences or procedures.

§ 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

§ 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

§ 3.4 LABOR AND MATERIALS

§ 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

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§ 3.4.2 Except in the case of minor changes in the Work authorized by the Architect in accordance with Sections 3.12.8 or 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

§ 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.5 WARRANTY

The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

§ 3.6 TAXES

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

§ 3.7 PERMITS, FEES, NOTICES, AND COMPLIANCE WITH LAWS

§ 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

§ 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

§ 3.7.3 If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

§ 3.7.4 **Concealed or Unknown Conditions.** If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature, that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 21 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend an equitable adjustment in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor in writing, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may proceed as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

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§ 3.8 ALLOWANCES

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

§ 3.9 SUPERINTENDENT

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall furnish in writing to the Owner through the Architect the name and qualifications of a proposed superintendent. The Architect may reply within 14 days to the Contractor in writing stating (1) whether the Owner or the Architect has reasonable objection to the proposed superintendent or (2) that the Architect requires additional time to review. Failure of the Architect to reply within the 14 day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

§ 3.10 CONTRACTOR'S CONSTRUCTION SCHEDULES

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall prepare and submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall not exceed time limits current under the Contract Documents, shall be revised at appropriate intervals as required by the conditions of the Work and Project, shall be related to the entire Project to the extent required by the Contract Documents, and shall provide for expeditious and practicable execution of the Work.

§ 3.10.2 The Contractor shall prepare a submittal schedule, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, and shall submit the schedule(s) for the Architect's approval. The Architect's approval shall not unreasonably be delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

§ 3.11 DOCUMENTS AND SAMPLES AT THE SITE

The Contractor shall maintain at the site for the Owner one copy of the Drawings, Specifications, Addenda, Change Orders and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and one copy of approved Shop Drawings, Product Data, Samples and similar required submittals. These shall be available to the Architect and shall be delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

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§ 3.12 SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment or workmanship and establish standards by which the Work will be judged.

§ 3.12.4 Shop Drawings, Product Data, Samples and similar submittals are not Contract Documents. Their purpose is to demonstrate the way by which the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve and submit to the Architect Shop Drawings, Product Data, Samples and similar submittals required by the Contract Documents in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of separate contractors.

§ 3.12.6 By submitting Shop Drawings, Product Data, Samples and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples or similar submittals until the respective submittal has been approved by the Architect.

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples or similar submittals unless the Contractor has specifically informed the Architect in writing of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples or similar submittals by the Architect's approval thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such written notice, the Architect's approval of a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. The Contractor shall not be required to provide professional services in violation of applicable law. If professional design services or certifications by a design professional related to systems, materials or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall cause such services or certifications to be provided by a properly licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy, accuracy and

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completeness of the services, certifications and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor all performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review, approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Contractor shall not be responsible for the adequacy of the performance and design criteria specified in the Contract Documents.

§ 3.13 USE OF SITE

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

§ 3.14 CUTTING AND PATCHING

§ 3.14.1 The Contractor shall be responsible for cutting, fitting or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting and patching shall be restored to the condition existing prior to the cutting, fitting and patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or separate contractors by cutting, patching or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter such construction by the Owner or a separate contractor except with written consent of the Owner and of such separate contractor; such consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold from the Owner or a separate contractor the Contractor's consent to cutting or otherwise altering the Work.

§ 3.15 CLEANING UP

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials or rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and Owner shall be entitled to reimbursement from the Contractor.

§ 3.16 ACCESS TO WORK

The Contractor shall provide the Owner and Architect access to the Work in preparation and progress wherever located.

§ 3.17 ROYALTIES, PATENTS AND COPYRIGHTS

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for such defense or loss when a particular design, process or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications or other documents prepared by the Owner or Architect. However, if the Contractor has reason to believe that the required design, process or product is an infringement of a copyright or a patent, the Contractor shall be responsible for such loss unless such information is promptly furnished to the Architect.

§ 3.18 INDEMNIFICATION

§ 3.18.1 To the fullest extent permitted by law the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity which would otherwise exist as to a party or person described in this Section 3.18.

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§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts or other employee benefit acts.

ARTICLE 4 ARCHITECT

§ 4.1 GENERAL

§ 4.1.1 The Owner shall retain an architect lawfully licensed to practice architecture or an entity lawfully practicing architecture in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

§ 4.1.2 Duties, responsibilities and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified or extended without written consent of the Owner, Contractor and Architect. Consent shall not be unreasonably withheld.

§ 4.1.3 If the employment of the Architect is terminated, the Owner shall employ a successor architect as to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

§ 4.2 ADMINISTRATION OF THE CONTRACT

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate For Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for, the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents, except as provided in Section 3.3.1.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and report to the Owner (1) known deviations from the Contract Documents and from the most recent construction schedule submitted by the Contractor, and (2) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of and will not be responsible for acts or omissions of the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

§ 4.2.4 COMMUNICATIONS FACILITATING CONTRACT ADMINISTRATION

Except as otherwise provided in the Contract Documents or when direct communications have been specially authorized, the Owner and Contractor shall endeavor to communicate with each other through the Architect about matters arising out of or relating to the Contract. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and material suppliers shall be through the Contractor. Communications by and with separate contractors shall be through the Owner.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.5.2 and 13.5.3, whether or not such Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, material and equipment suppliers, their agents or employees, or other persons or entities performing portions of the Work.

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§ **4.2.7** The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5 and 3.12. The Architect's review shall not constitute approval of safety precautions or, unless otherwise specifically stated by the Architect, of any construction means, methods, techniques, sequences or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ **4.2.8** The Architect will prepare Change Orders and Construction Change Directives, and may authorize minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ **4.2.9** The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ **4.2.10** If the Owner and Architect agree, the Architect will provide one or more project representatives to assist in carrying out the Architect's responsibilities at the site. The duties, responsibilities and limitations of authority of such project representatives shall be as set forth in an exhibit to be incorporated in the Contract Documents.

§ **4.2.11** The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ **4.2.12** Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either and will not be liable for results of interpretations or decisions rendered in good faith.

§ **4.2.13** The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ **4.2.14** The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

ARTICLE 5 SUBCONTRACTORS

§ 5.1 DEFINITIONS

§ **5.1.1** A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a separate contractor or subcontractors of a separate contractor.

§ **5.1.2** A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

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§ 5.2 AWARD OF SUBCONTRACTS AND OTHER CONTRACTS FOR PORTIONS OF THE WORK

§ 5.2.1 Unless otherwise stated in the Contract Documents or the bidding requirements, the Contractor, as soon as practicable after award of the Contract, shall furnish in writing to the Owner through the Architect the names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for each principal portion of the Work. The Architect may reply within 14 days to the Contractor in writing stating (1) whether the Owner or the Architect has reasonable objection to any such proposed person or entity or (2) that the Architect requires additional time for review. Failure of the Owner or Architect to reply within the 14 day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person or entity previously selected if the Owner or Architect makes reasonable objection to such substitution.

§ 5.3 SUBCONTRACTUAL RELATIONS

By appropriate agreement, written where legally required for validity, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work, which the Contractor, by these Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

§ 5.4 CONTINGENT ASSIGNMENT OF SUBCONTRACTS

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor in writing; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon such assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the

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Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

§ 6.1 OWNER'S RIGHT TO PERFORM CONSTRUCTION AND TO AWARD SEPARATE CONTRACTS

§ 6.1.1 The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and to award separate contracts in connection with other portions of the Project or other construction or operations on the site under Conditions of the Contract identical or substantially similar to these including those portions related to insurance and waiver of subrogation. If the Contractor claims that delay or additional cost is involved because of such action by the Owner, the Contractor shall make such Claim as provided in Article 15.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each separate contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with other separate contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to the construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, separate contractors and the Owner until subsequently revised.

§ 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces, the Owner shall be deemed to be subject to the same obligations and to have the same rights that apply to the Contractor under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6 and Articles 10, 11 and 12.

§ 6.2 MUTUAL RESPONSIBILITY

§ 6.2.1 The Contractor shall afford the Owner and separate contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a separate contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly report to the Architect apparent discrepancies or defects in such other construction that would render it unsuitable for such proper execution and results. Failure of the Contractor so to report shall constitute an acknowledgment that the Owner's or separate contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work, except as to defects not then reasonably discoverable.

§ 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a separate contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a separate contractor's delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or separate contractors as provided in Section 10.2.5.

§ 6.2.5 The Owner and each separate contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

§ 6.3 OWNER'S RIGHT TO CLEAN UP

If a dispute arises among the Contractor, separate contractors and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

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ARTICLE 7 CHANGES IN THE WORK

§ 7.1 GENERAL

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor and Architect; a Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor; an order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents, and the Contractor shall proceed promptly, unless otherwise provided in the Change Order, Construction Change Directive or order for a minor change in the Work.

§ 7.2 CHANGE ORDERS

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.3 CONSTRUCTION CHANGE DIRECTIVES

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.7.

§ 7.3.4 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed in a proposed Change Order or Construction Change Directive so that application of such unit prices to quantities of Work proposed will cause substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

§ 7.3.5 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.6 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.7 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the method and the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount

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for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.7 shall be limited to the following:

.1 Costs of labor, including social security, old age and unemployment insurance, fringe benefits required by agreement or custom, and workers' compensation insurance;

.2 Costs of materials, supplies and equipment, including cost of transportation, whether incorporated or consumed;

.3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;

.4 Costs of premiums for all bonds and insurance, permit fees, and sales, use or similar taxes related to the Work; and

.5 Additional costs of supervision and field office personnel directly attributable to the change.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

§ 7.4 MINOR CHANGES IN THE WORK

The Architect has authority to order minor changes in the Work not involving adjustment in the Contract Sum or extension of the Contract Time and not inconsistent with the intent of the Contract Documents. Such changes will be effected by written order signed by the Architect and shall be binding on the Owner and Contractor.

ARTICLE 8 TIME

§ 8.1 DEFINITIONS

§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

§ 8.2 PROGRESS AND COMPLETION

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, prematurely commence operations on the site or elsewhere prior to the effective date of insurance required by Article 11 to be furnished by the Contractor and Owner. The date of commencement of the Work shall not be changed by the effective date of such insurance.

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§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

§ 8.3 DELAYS AND EXTENSIONS OF TIME

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by an act or neglect of the Owner or Architect, or of an employee of either, or of a separate contractor employed by the Owner; or by changes ordered in the Work; or by labor disputes, fire, unusual delay in deliveries, unavoidable casualties or other causes beyond the Contractor's control; or by delay authorized by the Owner pending mediation and arbitration; or by other causes that the Architect determines may justify delay, then the Contract Time shall be extended by Change Order for such reasonable time as the Architect may determine.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.

§ 8.3.3 This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

ARTICLE 9 PAYMENTS AND COMPLETION

§ 9.1 CONTRACT SUM

The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.2 SCHEDULE OF VALUES

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit to the Architect, before the first Application for Payment, a schedule of values allocating the entire Contract Sum to the various portions of the Work and prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 9.3 APPLICATIONS FOR PAYMENT

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2., for completed portions of the Work. Such application shall be notarized, if required, and supported by such data substantiating the Contractor's right to payment as the Owner or Architect may require, such as copies of requisitions from Subcontractors and material suppliers, and shall reflect retainage if provided for in the Contract Documents.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or material supplier, unless such Work has been performed by others whom the Contractor intends to pay.

§ 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage and transportation to the site for such materials and equipment stored off the site.

§ 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information and belief, be free and clear of liens, claims, security interests or

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encumbrances in favor of the Contractor, Subcontractors, material suppliers, or other persons or entities making a claim by reason of having provided labor, materials and equipment relating to the Work.

§ 9.4 CERTIFICATES FOR PAYMENT

§ 9.4.1 The Architect will, within seven days after receipt of the Contractor's Application for Payment, either issue to the Owner a Certificate for Payment, with a copy to the Contractor, for such amount as the Architect determines is properly due, or notify the Contractor and Owner in writing of the Architect's reasons for withholding certification in whole or in part as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data comprising the Application for Payment, that, to the best of the Architect's knowledge, information and belief, the Work has progressed to the point indicated and that the quality of the Work is in accordance with the Contract Documents. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion and to specific qualifications expressed by the Architect. The issuance of a Certificate for Payment will further constitute a representation that the Contractor is entitled to payment in the amount certified. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work, (2) reviewed construction means, methods, techniques, sequences or procedures, (3) reviewed copies of requisitions received from Subcontractors and material suppliers and other data requested by the Owner to substantiate the Contractor's right to payment, or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

§ 9.5 DECISIONS TO WITHHOLD CERTIFICATION

§ 9.5.1 The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a separate contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

§ 9.5.2 When the above reasons for withholding certification are removed, certification will be made for amounts previously withheld.

§ 9.5.3 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or material or equipment suppliers to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Architect will reflect such payment on the next Certificate for Payment.

§ 9.6 PROGRESS PAYMENTS

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

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§ **9.6.2** The Contractor shall pay each Subcontractor no later than seven days after receipt of payment from the Owner the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ **9.6.3** The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ **9.6.4** The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and material and equipment suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay or to see to the payment of money to a Subcontractor, except as may otherwise be required by law.

§ **9.6.5** Contractor payments to material and equipment suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ **9.6.6** A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ **9.6.7** Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors and suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, shall create any fiduciary liability or tort liability on the part of the Contractor for breach of trust or shall entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.7 FAILURE OF PAYMENT

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' written notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shut-down, delay and start-up, plus interest as provided for in the Contract Documents.

§ 9.8 SUBSTANTIAL COMPLETION

§ **9.8.1** Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

§ **9.8.2** When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ **9.8.3** Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

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§ **9.8.4** When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion, shall establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance, and shall fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

§ **9.8.5** The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in such Certificate. Upon such acceptance and consent of surety, if any, the Owner shall make payment of retainage applying to such Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

§ 9.9 PARTIAL OCCUPANCY OR USE

§ **9.9.1** The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer as required under Section 11.3.1.5 and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ **9.9.2** Immediately prior to such partial occupancy or use, the Owner, Contractor and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ **9.9.3** Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

§ 9.10 FINAL COMPLETION AND FINAL PAYMENT

§ **9.10.1** Upon receipt of the Contractor's written notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection and, when the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with terms and conditions of the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

§ **9.10.2** Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect and will not be canceled or allowed to expire until at least 30 days' prior written notice has been given to the Owner, (3) a written statement that the Contractor knows of no substantial reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment and (5), if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts, releases and waivers of liens, claims, security interests or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien. If such lien remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging such lien, including all costs and reasonable attorneys' fees.

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§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

§ 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

.1 liens, Claims, security interests or encumbrances arising out of the Contract and unsettled;
.2 failure of the Work to comply with the requirements of the Contract Documents; or
.3 terms of special warranties required by the Contract Documents.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor or material supplier shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 SAFETY PRECAUTIONS AND PROGRAMS

The Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the performance of the Contract.

§ 10.2 SAFETY OF PERSONS AND PROPERTY

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury or loss to

.1 employees on the Work and other persons who may be affected thereby;
.2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody or control of the Contractor or the Contractor's Subcontractors or Sub-subcontractors; and
.3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures and utilities not designated for removal, relocation or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities bearing on safety of persons or property or their protection from damage, injury or loss.

§ 10.2.3 The Contractor shall erect and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards, promulgating safety regulations and notifying owners and users of adjacent sites and utilities.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3, except damage or loss attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

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§ **10.2.6** The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

§ **10.2.7** The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 INJURY OR DAMAGE TO PERSON OR PROPERTY
If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, written notice of such injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

§ 10.3 HAZARDOUS MATERIALS
§ **10.3.1** The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and report the condition to the Owner and Architect in writing.

§ **10.3.2** Upon receipt of the Contractor's written notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of such material or substance or who are to perform the task of removal or safe containment of such material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased in the amount of the Contractor's reasonable additional costs of shut-down, delay and start-up.

§ **10.3.3** To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants and agents and employees of any of them from and against claims, damages, losses and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss or expense is due to the fault or negligence of the party seeking indemnity.

§ **10.3.4** The Owner shall not be responsible under this Section 10.3 for materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ **10.3.5** The Contractor shall indemnify the Owner for the cost and expense the Owner incurs (1) for remediation of a material or substance the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

§ **10.3.6** If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall indemnify the Contractor for all cost and expense thereby incurred.

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§ 10.4 EMERGENCIES

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

ARTICLE 11 INSURANCE AND BONDS

§ 11.1 CONTRACTOR'S LIABILITY INSURANCE

§ 11.1.1 The Contractor shall purchase from and maintain in a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located such insurance as will protect the Contractor from claims set forth below which may arise out of or result from the Contractor's operations and completed operations under the Contract and for which the Contractor may be legally liable, whether such operations be by the Contractor or by a Subcontractor or by anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable:

- **.1** Claims under workers' compensation, disability benefit and other similar employee benefit acts that are applicable to the Work to be performed;
- **.2** Claims for damages because of bodily injury, occupational sickness or disease, or death of the Contractor's employees;
- **.3** Claims for damages because of bodily injury, sickness or disease, or death of any person other than the Contractor's employees;
- **.4** Claims for damages insured by usual personal injury liability coverage;
- **.5** Claims for damages, other than to the Work itself, because of injury to or destruction of tangible property, including loss of use resulting therefrom;
- **.6** Claims for damages because of bodily injury, death of a person or property damage arising out of ownership, maintenance or use of a motor vehicle;
- **.7** Claims for bodily injury or property damage arising out of completed operations; and
- **.8** Claims involving contractual liability insurance applicable to the Contractor's obligations under Section 3.18.

§ 11.1.2 The insurance required by Section 11.1.1 shall be written for not less than limits of liability specified in the Contract Documents or required by law, whichever coverage is greater. Coverages, whether written on an occurrence or claims-made basis, shall be maintained without interruption from the date of commencement of the Work until the date of final payment and termination of any coverage required to be maintained after final payment, and, with respect to the Contractor's completed operations coverage, until the expiration of the period for correction of Work or for such other period for maintenance of completed operations coverage as specified in the Contract Documents.

§ 11.1.3 Certificates of insurance acceptable to the Owner shall be filed with the Owner prior to commencement of the Work and thereafter upon renewal or replacement of each required policy of insurance. These certificates and the insurance policies required by this Section 11.1 shall contain a provision that coverages afforded under the policies will not be canceled or allowed to expire until at least 30 days' prior written notice has been given to the Owner. An additional certificate evidencing continuation of liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment as required by Section 9.10.2 and thereafter upon renewal or replacement of such coverage until the expiration of the time required by Section 11.1.2. Information concerning reduction of coverage on account of revised limits or claims paid under the General Aggregate, or both, shall be furnished by the Contractor with reasonable promptness.

§ 11.1.4 The Contractor shall cause the commercial liability coverage required by the Contract Documents to include (1) the Owner, the Architect and the Architect's Consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's completed operations.

§ 11.2 OWNER'S LIABILITY INSURANCE

The Owner shall be responsible for purchasing and maintaining the Owner's usual liability insurance.

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§ 11.3 PROPERTY INSURANCE

§ 11.3.1 Unless otherwise provided, the Owner shall purchase and maintain, in a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located, property insurance written on a builder's risk "all-risk" or equivalent policy form in the amount of the initial Contract Sum, plus value of subsequent Contract Modifications and cost of materials supplied or installed by others, comprising total value for the entire Project at the site on a replacement cost basis without optional deductibles. Such property insurance shall be maintained, unless otherwise provided in the Contract Documents or otherwise agreed in writing by all persons and entities who are beneficiaries of such insurance, until final payment has been made as provided in Section 9.10 or until no person or entity other than the Owner has an insurable interest in the property required by this Section 11.3 to be covered, whichever is later. This insurance shall include interests of the Owner, the Contractor, Subcontractors and Sub-subcontractors in the Project.

§ 11.3.1.1 Property insurance shall be on an "all-risk" or equivalent policy form and shall include, without limitation, insurance against the perils of fire (with extended coverage) and physical loss or damage including, without duplication of coverage, theft, vandalism, malicious mischief, collapse, earthquake, flood, windstorm, falsework, testing and startup, temporary buildings and debris removal including demolition occasioned by enforcement of any applicable legal requirements, and shall cover reasonable compensation for Architect's and Contractor's services and expenses required as a result of such insured loss.

§ 11.3.1.2 If the Owner does not intend to purchase such property insurance required by the Contract and with all of the coverages in the amount described above, the Owner shall so inform the Contractor in writing prior to commencement of the Work. The Contractor may then effect insurance that will protect the interests of the Contractor, Subcontractors and Sub-subcontractors in the Work, and by appropriate Change Order the cost thereof shall be charged to the Owner. If the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain insurance as described above, without so notifying the Contractor in writing, then the Owner shall bear all reasonable costs properly attributable thereto.

§ 11.3.1.3 If the property insurance requires deductibles, the Owner shall pay costs not covered because of such deductibles.

§ 11.3.1.4 This property insurance shall cover portions of the Work stored off the site, and also portions of the Work in transit.

§ 11.3.1.5 Partial occupancy or use in accordance with Section 9.9 shall not commence until the insurance company or companies providing property insurance have consented to such partial occupancy or use by endorsement or otherwise. The Owner and the Contractor shall take reasonable steps to obtain consent of the insurance company or companies and shall, without mutual written consent, take no action with respect to partial occupancy or use that would cause cancellation, lapse or reduction of insurance.

§ 11.3.2 BOILER AND MACHINERY INSURANCE

The Owner shall purchase and maintain boiler and machinery insurance required by the Contract Documents or by law, which shall specifically cover such insured objects during installation and until final acceptance by the Owner; this insurance shall include interests of the Owner, Contractor, Subcontractors and Sub-subcontractors in the Work, and the Owner and Contractor shall be named insureds.

§ 11.3.3 LOSS OF USE INSURANCE

The Owner, at the Owner's option, may purchase and maintain such insurance as will insure the Owner against loss of use of the Owner's property due to fire or other hazards, however caused. The Owner waives all rights of action against the Contractor for loss of use of the Owner's property, including consequential losses due to fire or other hazards however caused.

§ 11.3.4 If the Contractor requests in writing that insurance for risks other than those described herein or other special causes of loss be included in the property insurance policy, the Owner shall, if possible, include such insurance, and the cost thereof shall be charged to the Contractor by appropriate Change Order.

§ 11.3.5 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment

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property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, the Owner shall waive all rights in accordance with the terms of Section 11.3.7 for damages caused by fire or other causes of loss covered by this separate property insurance. All separate policies shall provide this waiver of subrogation by endorsement or otherwise.

§ 11.3.6 Before an exposure to loss may occur, the Owner shall file with the Contractor a copy of each policy that includes insurance coverages required by this Section 11.3. Each policy shall contain all generally applicable conditions, definitions, exclusions and endorsements related to this Project. Each policy shall contain a provision that the policy will not be canceled or allowed to expire, and that its limits will not be reduced, until at least 30 days' prior written notice has been given to the Contractor.

§ 11.3.7 WAIVERS OF SUBROGATION

The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents and employees, each of the other, and (2) the Architect, Architect's consultants, separate contractors described in Article 6, if any, and any of their subcontractors, sub-subcontractors, agents and employees, for damages caused by fire or other causes of loss to the extent covered by property insurance obtained pursuant to this Section 11.3 or other property insurance applicable to the Work, except such rights as they have to proceeds of such insurance held by the Owner as fiduciary. The Owner or Contractor, as appropriate, shall require of the Architect, Architect's consultants, separate contractors described in Article 6, if any, and the subcontractors, sub-subcontractors, agents and employees of any of them, by appropriate agreements, written where legally required for validity, similar waivers each in favor of other parties enumerated herein. The policies shall provide such waivers of subrogation by endorsement or otherwise. A waiver of subrogation shall be effective as to a person or entity even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, did not pay the insurance premium directly or indirectly, and whether or not the person or entity had an insurable interest in the property damaged.

§ 11.3.8 A loss insured under the Owner's property insurance shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.3.10. The Contractor shall pay Subcontractors their just shares of insurance proceeds received by the Contractor, and by appropriate agreements, written where legally required for validity, shall require Subcontractors to make payments to their Sub-subcontractors in similar manner.

§ 11.3.9 If required in writing by a party in interest, the Owner as fiduciary shall, upon occurrence of an insured loss, give bond for proper performance of the Owner's duties. The cost of required bonds shall be charged against proceeds received as fiduciary. The Owner shall deposit in a separate account proceeds so received, which the Owner shall distribute in accordance with such agreement as the parties in interest may reach, or as determined in accordance with the method of binding dispute resolution selected in the Agreement between the Owner and Contractor. If after such loss no other special agreement is made and unless the Owner terminates the Contract for convenience, replacement of damaged property shall be performed by the Contractor after notification of a Change in the Work in accordance with Article 7.

§ 11.3.10 The Owner as fiduciary shall have power to adjust and settle a loss with insurers unless one of the parties in interest shall object in writing within five days after occurrence of loss to the Owner's exercise of this power; if such objection is made, the dispute shall be resolved in the manner selected by the Owner and Contractor as the method of binding dispute resolution in the Agreement. If the Owner and Contractor have selected arbitration as the method of binding dispute resolution, the Owner as fiduciary shall make settlement with insurers or, in the case of a dispute over distribution of insurance proceeds, in accordance with the directions of the arbitrators.

§ 11.4 PERFORMANCE BOND AND PAYMENT BOND

§ 11.4.1 The Owner shall have the right to require the Contractor to furnish bonds covering faithful performance of the Contract and payment of obligations arising thereunder as stipulated in bidding requirements or specifically required in the Contract Documents on the date of execution of the Contract.

§ 11.4.2 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

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ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

§ 12.1 UNCOVERING OF WORK

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, costs of uncovering and replacement shall, by appropriate Change Order, be at the Owner's expense. If such Work is not in accordance with the Contract Documents, such costs and the cost of correction shall be at the Contractor's expense unless the condition was caused by the Owner or a separate contractor in which event the Owner shall be responsible for payment of such costs.

§ 12.2 CORRECTION OF WORK

§ 12.2.1 BEFORE OR AFTER SUBSTANTIAL COMPLETION

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, whether discovered before or after Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

§ 12.2.2 AFTER SUBSTANTIAL COMPLETION

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of an applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of written notice from the Owner to do so unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.4.

§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

§ 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

§ 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

§ 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction, whether completed or partially completed, of the Owner or separate contractors caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

§ 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

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§ 12.3 ACCEPTANCE OF NONCONFORMING WORK

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

ARTICLE 13 MISCELLANEOUS PROVISIONS

§ 13.1 GOVERNING LAW

The Contract shall be governed by the law of the place where the Project is located except that, if the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

§ 13.2 SUCCESSORS AND ASSIGNS

§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns and legal representatives to covenants, agreements and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make such an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

§ 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate such assignment.

§ 13.3 WRITTEN NOTICE

Written notice shall be deemed to have been duly served if delivered in person to the individual, to a member of the firm or entity, or to an officer of the corporation for which it was intended; or if delivered at, or sent by registered or certified mail or by courier service providing proof of delivery to, the last business address known to the party giving notice.

§ 13.4 RIGHTS AND REMEDIES

§ 13.4.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights and remedies otherwise imposed or available by law.

§ 13.4.2 No action or failure to act by the Owner, Architect or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach there under, except as may be specifically agreed in writing.

§ 13.5 TESTS AND INSPECTIONS

§ 13.5.1 Tests, inspections and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of (1) tests, inspections or approvals that do not become requirements until after bids are received or negotiations concluded, and (2) tests, inspections or approvals where building codes or applicable laws or regulations prohibit the Owner from delegating their cost to the Contractor.

§ 13.5.2 If the Architect, Owner or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection or approval not included under Section 13.5.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection or approval by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.5.3, shall be at the Owner's expense.

§ 13.5.3 If such procedures for testing, inspection or approval under Sections 13.5.1 and 13.5.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by

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such failure including those of repeated procedures and compensation for the Architect's services and expenses shall be at the Contractor's expense.

§ 13.5.4 Required certificates of testing, inspection or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.5.5 If the Architect is to observe tests, inspections or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.5.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

§ 13.6 INTEREST

Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at such rate as the parties may agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

§ 13.7 TIME LIMITS ON CLAIMS

The Owner and Contractor shall commence all claims and causes of action, whether in contract, tort, breach of warranty or otherwise, against the other arising out of or related to the Contract in accordance with the requirements of the final dispute resolution method selected in the Agreement within the time period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all claims and causes of action not commenced in accordance with this Section 13.7.

ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

§ 14.1 TERMINATION BY THE CONTRACTOR

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor or a Subcontractor, Sub-subcontractor or their agents or employees or any other persons or entities performing portions of the Work under direct or indirect contract with the Contractor, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency that requires all Work to be stopped;
- .3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or
- .4 The Owner has failed to furnish to the Contractor promptly, upon the Contractor's request, reasonable evidence as required by Section 2.2.1.

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor or a Subcontractor, Sub-subcontractor or their agents or employees or any other persons or entities performing portions of the Work under direct or indirect contract with the Contractor, repeated suspensions, delays or interruptions of the entire Work by the Owner as described in Section 14.3 constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' written notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed, including reasonable overhead and profit, costs incurred by reason of such termination, and damages.

§ 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor or a Subcontractor or their agents or employees or any other persons performing portions of the Work under contract with the Contractor because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' written notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

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§ 14.2 TERMINATION BY THE OWNER FOR CAUSE

§ 14.2.1 The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors for materials or labor in accordance with the respective agreements between the Contractor and the Subcontractors;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

§ 14.2.2 When any of the above reasons exist, the Owner, upon certification by the Initial Decision Maker that sufficient cause exists to justify such action, may without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' written notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

§ 14.3 SUSPENSION BY THE OWNER FOR CONVENIENCE

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay or interruption as described in Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was or would have been so suspended, delayed or interrupted by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

§ 14.4 TERMINATION BY THE OWNER FOR CONVENIENCE

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

§ 14.4.2 Upon receipt of written notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 In case of such termination for the Owner's convenience, the Contractor shall be entitled to receive payment for Work executed, and costs incurred by reason of such termination, along with reasonable overhead and profit on the Work not executed.

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ARTICLE 15 CLAIMS AND DISPUTES

§ 15.1 CLAIMS

§ 15.1.1 DEFINITION

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim.

§ 15.1.2 NOTICE OF CLAIMS

Claims by either the Owner or Contractor must be initiated by written notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party must be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3 CONTINUING CONTRACT PERFORMANCE

Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents. The Architect will prepare Change Orders and issue Certificates for Payment in accordance with the decisions of the Initial Decision Maker.

§ 15.1.4 CLAIMS FOR ADDITIONAL COST

If the Contractor wishes to make a Claim for an increase in the Contract Sum, written notice as provided herein shall be given before proceeding to execute the Work. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

§ 15.1.5 CLAIMS FOR ADDITIONAL TIME

§ 15.1.5.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, written notice as provided herein shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.5.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated and had an adverse effect on the scheduled construction.

§ 15.1.6 CLAIMS FOR CONSEQUENTIAL DAMAGES

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.6 shall be deemed to preclude an award of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

§ 15.2 INITIAL DECISION

§ 15.2.1 Claims, excluding those arising under Sections 10.3, 10.4, 11.3.9, and 11.3.10, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim arising prior to the date final payment is due, unless 30 days have passed after the Claim has been referred to the Initial Decision Maker with no decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

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§ **15.2.2** The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ **15.2.3** In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ **15.2.4** If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of such request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ **15.2.5** The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ **15.2.6** Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ **15.2.6.1** Either party may, within 30 days from the date of an initial decision, demand in writing that the other party file for mediation within 60 days of the initial decision. If such a demand is made and the party receiving the demand fails to file for mediation within the time required, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

§ **15.2.7** In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ **15.2.8** If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

§ 15.3 MEDIATION

§ **15.3.1** Claims, disputes, or other matters in controversy arising out of or related to the Contract except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.6 shall be subject to mediation as a condition precedent to binding dispute resolution.

§ **15.3.2** The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

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§ 15.3.3 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 15.4 ARBITRATION

§ 15.4.1 If the parties have selected arbitration as the method for binding dispute resolution in the Agreement, any Claim subject to, but not resolved by, mediation shall be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. A demand for arbitration shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded.

§ 15.4.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

§ 15.4.2 The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

§ 15.4.3 The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

§ 15.4.4 CONSOLIDATION OR JOINDER

§ 15.4.4.1 Either party, at its sole discretion, may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

§ 15.4.4.2 Either party, at its sole discretion, may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

§ 15.4.4.3 The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as the Owner and Contractor under this Agreement.

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SUPPLEMENTARY GENERAL CONDITIONS A201-2007

The following supplements modify the "General Conditions of the Contract for Construction," AIA Document A201-2007. Where a portion of the General Conditions is modified or deleted by the Supplementary Conditions, the unaltered portions of the General Conditions shall remain in effect.

TABLE OF ARTICLES

1. GENERAL PROVISIONS

2. OWNER

3. CONTRACTOR

4. ADMINISTRATION OF THE CONTRACT

5. SUBCONTRACTORS

6. CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

7. CHANGES IN THE WORK

8. TIME

9. PAYMENTS AND COMPLETION

10. PROTECTION OF PERSONS AND PROPERTY

11. INSURANCE AND BONDS

12. UNCOVERING AND CORRECTION OF WORK

13. MISCELLANEOUS PROVISIONS

14. TERMINATION OR SUSPENSION OF THE CONTRACT

ARTICLE 1: GENERAL PROVISIONS

1.1 BASIC DEFINITIONS

1.1.1 THE CONTRACT DOCUMENTS

Delete the last sentence in its entirety and replace with the following:

"The Contract Documents also include Advertisement for Bid, Instructions to Bidder, sample forms, the Bid Form, the Contractor's completed Bid and the Award Letter."

Add the following Paragraph:

1.1.1.1 In the event of conflict or discrepancies among the Contract Documents, the Documents prepared by the State of Delaware, Division of Facilities Management shall take precedence over all other documents.

1.2 CORRELATION AND INTENT OF THE CONTRACT DOCUMENTS

Add the following Paragraphs:

1.2.4 In the case of an inconsistency between the Drawings and the Specifications, or within either document not clarified by addendum, the better quality or greater quantity of work shall be provided in accordance with the Architect's interpretation.

1.2.5 The word "PROVIDE" as used in the Contract Documents shall mean "FURNISH AND INSTALL" and shall include, without limitation, all labor, materials, equipment, transportation, services and other items required to complete the Work.

1.2.6 The word "PRODUCT" as used in the Contract Documents means all materials, systems and equipment.

1.2.7 "Sections of Division 01 "General Requirements" govern by execution of the work of all sections of the specifications.

1.5 OWNERSHIP AND USE OF DRAWINGS, SPECIFICATIONS AND OTHER INSTRUMENTS OF SERVICE

Delete Paragraph 1.5.1 in its entirety and replace with the following:

"All pre-design studies, drawings, specifications and other documents, including those in electronic form, prepared by the Architect under this Agreement are, and shall remain, the property of the Owner whether the Project for which they are made is executed or not. Such documents may be used by the Owner to construct one or more like Projects without the approval of, or additional compensation to, the Architect. The Contractor, Subcontractors, Sub-subcontractors and Material or Equipment Suppliers are authorized to use and reproduce applicable portions of the Drawings, Specifications and other documents prepared by the Architect and the Architect's consultants appropriate to and for use in the execution of their Work under the Contract Documents. They are not to be used by the Contractor or any Subcontractor, Sub-subcontractor or Material and Equipment Supplier on other Projects or for additions to this Project outside the scope of the Work without the specific written consent of the Owner, Architect and Architect's consultants.

The Architect shall not be liable for injury or damage resulting from the re-use of drawings and specifications if the Architect is not involved in the re-use Project. Prior to re-use of construction documents for a Project in which the Architect is not also involved, the Owner will remove from such documents all identification of the original Architect, including name, address and professional seal or stamp."

Delete Paragraph 1.5.2 in its entirety.

ARTICLE 2: OWNER

2.2 INFORMATION AND SERVICES REQUIRED OF THE OWNER

To Subparagraph 2.2.3 – Add the following sentence:

"The Contractor, at their expense shall bear the costs to accurately identify the location of all underground utilities in the area of their excavation and shall bear all cost for any repairs required, out of failure to accurately identify said utilities."

Delete Subparagraph 2.2.5 in its entirety and substitute the following:

2.2.5 The Contractor shall be furnished free of charge up to five (5) sets of the Drawings and Project Manuals. Additional sets will be furnished at the cost of reproduction, postage and handling.

ARTICLE 3: CONTRACTOR

3.2 REVIEW OF CONTRACT DOCUMENTS AND FIELD CONDITIONS BY CONTRACTOR

Amend Paragraph 3.2.2 to state that any errors, inconsistencies or omissions discovered shall be reported to the Architect and Owner immediately.

Delete the third sentence in Paragraph 3.2.3.

3.3 SUPERVISION AND CONSTRUCTION PROCEDURES

Add the following Paragraphs:

3.3.2.1 The Contractor shall immediately remove from the Work, whenever requested to do so by the Owner, any person who is considered by the Owner or Architect to be incompetent or disposed to be so disorderly, or who for any reason is not satisfactory to the Owner, and that person shall not again be employed on the Work without the consent of the Owner or the Architect.

3.3.4 The Contractor must provide suitable storage facilities at the Site for the proper protection and safe storage of their materials. Consult the Owner and the Architect before storing any materials.

3.3.5 When any room is used as a shop, storeroom, office, etc., by the Contractor or Subcontractor(s) during the construction of the Work, the Contractor making use of these areas will be held responsible for any repairs, patching or cleaning arising from such use.

3.4 LABOR AND MATERIALS

Add the Following Paragraphs:

3.4.4 Before starting the Work, each Contractor shall carefully examine all preparatory Work that has been executed to receive their Work. Check carefully, by whatever means are required, to insure that its Work and adjacent, related Work, will finish to proper contours, planes and levels. Promptly notify the General Contractor/Construction Manager of any defects or imperfections in preparatory Work which will in any way affect satisfactory completion of its Work. Absence of such notification will be construed as an acceptance of preparatory Work and later claims of defects will not be recognized.

3.4.5 Under no circumstances shall the Contractor's Work proceed prior to preparatory Work having been completely cured, dried and/or otherwise made satisfactory to receive this Work. Responsibility for timely installation of all materials rests solely with the Contractor responsible for that Work, who shall maintain coordination at all times.

3.5 WARRANTY

Add the following Paragraphs:

3.5.1 The Contractor will guarantee all materials and workmanship against original defects, except injury from proper and usual wear when used for the purpose intended, for two years after Acceptance by the Owner, and will maintain all items in perfect condition during the period of guarantee.

3.5.2 Defects appearing during the period of guarantee will be made good by the Contractor at his expense upon demand of the Owner, it being required that all work will be in perfect condition when the period of guarantee will have elapsed.

3.5.3 In addition to the General Guarantee there are other guarantees required for certain items for different periods of time than the two years as above, and are particularly so stated in that part of the specifications referring to same. The said guarantees will commence at the same time as the General Guarantee.

3.5.4 If the Contractor fails to remedy any failure, defect or damage within a reasonable time after receipt of notice, the Owner will have the right to replace, repair, or otherwise remedy the failure, defect or damage at the Contractor's expense.

3.5.5 The warranty provided in paragraph 3.5 shall be concurrent with and not limited of any other warranty required by the Contract Documents. The Contractor shall repair or replace those products found not to be in compliance with the warranty terms during the period of two (2) years after the date of substantial completion.

3.5.6 The Contractor shall secure and pay for all other permits including but not limited to HVAC and electrical permits. Costs of all permits shall be included in the Base Bid.

3.5.7 The Superintendent shall be satisfactory to the Engineer and Owner and upon request shall furnish them within seven (7) days his/her qualifications to supervise the project. The Superintendent shall not be changed except with the

consent of the Owner, unless he proves to be unsatisfactory to the contractor and ceases to be in his employment.

3.11 DOCUMENTS AND SAMPLES AT THE SITE

Add the following Paragraphs:

3.11.1 During the course of the Work, the Contractor shall maintain a record set of drawings on which the Contractor shall mark the actual physical location of all piping, valves, equipment, conduit, outlets, access panels, controls, actuators, including all appurtenances that will be concealed once construction is complete, etc., including all invert elevations.

3.11.2 At the completion of the project, the Contractor shall obtain a set of reproducible drawings from the Architect, and neatly transfer all information outlined in 3.11.1 to provide a complete record of the as-built conditions.

3.11.3 The Contractor shall provide two (2) prints of the as-built conditions, along with the reproducible drawings themselves, to the Owner and one (1) set to the Architect. In addition, attach one complete set to each of the Operating and Maintenance Instructions/Manuals.

3.11.4 The Contractor shall, on a daily basis, police and clean the site and surrounding areas of the project of this materials, rubbish, tools, construction equipment, machinery or any materials to be stored thereon, either temporarily or permanently.

3.17 In the second sentence of the paragraph, insert “indemnify” between “shall” and “hold”.

ARTICLE 4: ADMINISTRATION OF THE CONTRACT

4.2 ADMINISTRATION OF THE CONTRACT

Delete the first sentence of Paragraph 4.2.7 and replace with the following:

The Architect will review and approve or take other appropriate action upon the Contractor’s submittals such as Shop Drawings, Product Data and Samples for the purpose of checking for conformance with the Contract Documents.

Delete the second sentence of Paragraph 4.2.7 and replace with the following:

The Architect’s action will be taken with such reasonable promptness as to cause no delay in the Work in the activities of the Owner, Contractor or separate Contractors, while allowing sufficient time in the Owner’s professional judgment to permit adequate review.

Add the following Paragraph:

4.2.10.1 There will be no full-time project representative provided by the Owner or Architect on this project.

Add to Paragraph 4.2.13 “and in compliance with all local requirements.” to the end of the sentence

ARTICLE 5: SUBCONTRACTORS

5.2 AWARD OF SUBCONTRACTS AND OTHER CONTRACTS FOR PORTIONS OF THE WORK

Delete Paragraph 5.2.3 in its entirety and replace with the following:

5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection, subject to the statutory requirements of 29 Delaware Code § 6962(d)(10)b.3 and 4.

ARTICLE 6: CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

6.1 OWNER'S RIGHT TO PERFORM CONSTRUCTION AND TO AWARD SEPARATE CONTRACTS

Delete Paragraph 6.1.4 in its entirety.

6.2 MUTUAL RESPONSIBILITY

6.2.3 In the second sentence, strike the word "shall" and insert the word "may".

ARTICLE 7: CHANGES IN THE WORK

(SEE ARTICLE 7: CHANGES IN WORK IN THE GENERAL REQUIREMENTS)

ARTICLE 8: TIME

8.2 PROGRESS AND COMPLETION

Add the following Paragraphs:

8.2.1.1 Refer to Specification Section SUMMARY OF WORK for Contract time requirements.

8.2.4 If the Work falls behind the Progress Schedule as submitted by the Contractor, the Contractor shall employ additional labor and/or equipment necessary to bring the Work into compliance with the Progress Schedule at no additional cost to the Owner.

8.3 DELAYS AND EXTENSION OF TIME

8.3.1 Strike "arbitration" and insert "remedies at law or in equity".

Add the following Paragraph:

8.3.2.1 The Contractor shall update the status of the suspension, delay, or interruption of the Work with each Application for Payment. (The Contractor shall report the termination of such cause immediately upon the termination thereof.) Failure to comply with this procedure shall constitute a waiver for any claim for adjustment of time or price based upon said cause.

Delete Paragraph 8.3.3 in its entirety and replace with the following:

8.3.3 Except in the case of a suspension of the Work directed by the Owner, an extension of time under the provisions of Paragraph 8.3.1 shall be the Contractor's sole remedy in the progress of the Work and there shall be no payment or compensation to the Contractor for any expense or damage resulting from the delay.

Add the following Paragraph:

8.3.4 By permitting the Contractor to work after the expired time for completion of the project, the Owner does not waive their rights under the Contract.

ARTICLE 9: PAYMENTS AND COMPLETION

9.2 SCHEDULE OF VALUES

Add the following Paragraphs:

9.2.1 The Schedule of Values shall be submitted using AIA Document G702, Continuation Sheet to G703.

9.2.2 The Schedule of Values is to include a line item for Project Closeout Document Submittal. The value of this item is to be no less than 1% of the initial contract amount.

9.3 APPLICATIONS FOR PAYMENT

Add the following Paragraph:

9.3.1.3 Application for Payment shall be submitted on AIA Document G702 “Application and Certificate for Payment”, supported by AIA Document G703 “Continuation Sheet”. Said Applications shall be fully executed and notarized.

Add the following Paragraphs:

9.3.4 Until Closeout Documents have been received and outstanding items completed the Owner will pay 95% (ninety-five percent) of the amount due the Contractor on account of progress payments.

9.3.5 The Contractor shall provide a current and updated Progress Schedule to the Architect with each Application for Payment. Failure to provide Schedule will be just cause for rejection of Application for Payment.

9.5 DECISIONS TO WITHHOLD CERTIFICATION

Add the following to 9.5.1:

.8 failure to provide a current Progress Schedule;
.9 a lien or attachment is filed;
.10 failure to comply with mandatory requirements for maintaining Record Documents.

9.6 PROGRESS PAYMENTS

Delete Paragraph 9.6.1 in its entirety and replace with the following:

9.6.1 After the Architect has approved and issued a Certificate for Payment, payment shall be made by the Owner within 30 days after Owner’s receipt of the Certificate for Payment.

9.7 FAILURE OF PAYMENT

In first sentence, strike “seven” and insert “thirty (30)”. Also strike “binding dispute resolution” and insert “remedies at law or in equity”.

9.8 SUBSTANTIAL COMPLETION

To Subparagraph 9.8.3 - Add the following sentence:

"If the Architect is required to make more than 2 inspections of the same portion of work, the Contractor shall be responsible for all costs associated with subsequent inspections including but not limited to any Architect's fees."

9.8.5 In the second sentence, strike "shall" and insert "may".

ARTICLE 10: PROTECTION OF PERSONS AND PROPERTY

10.1 SAFETY PRECAUTIONS AND PROGRAMS

Add the following Paragraphs:

10.1.1.1.1 Each Contractor shall develop a safety program in accordance with the Occupational Safety and Health Act of 1970. A copy of said plan shall be furnished to the Owner and Architect prior to the commencement of that Contractor's Work.

10.1.2 Each Contractor shall appoint a Safety Representative. Safety Representatives shall be someone who is on site on a full time basis. If deemed necessary by the Owner or Architect, Contractor Safety meetings will be scheduled. The attendance of all Safety Representatives will be required. Minutes will be recorded of said meetings by the Contractor and will be distributed to all parties as well as posted in all job offices/trailers etc.

10.2 SAFETY OF PERSONS AND PROPERTY

Add the following Paragraph:

10.2.4.1 As required in the Hazardous Chemical Act of June 1984, all vendors supplying any material that may be defined as hazardous must provide Material Safety Data Sheets for those products. Any chemical product should be considered hazardous if it has a caution warning on the label relating to a potential physical or health hazard, if it is known to be present in the work place, and if employees may be exposed under normal conditions or in foreseeable emergency situations. Material Safety Data Sheets shall be provided directly to the Owner, along with the shipping slips that include those products.

10.3 HAZARDOUS MATERIALS

Delete Paragraph 10.3.3 in its entirety.

Delete Paragraph 10.3.6 in its entirety.

ARTICLE 11: INSURANCE AND BONDS

11.1 CONTRACTOR'S LIABILITY INSURANCE

11.1.4 Strike "the Owner" immediately following "(1)" and strike "and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's completed operations."

11.2 OWNER'S LIABILITY INSURANCE

Delete Paragraph 11.2 in its entirety.

11.3 PROPERTY INSURANCE

Delete Paragraph 11.3 in its entirety and replace with the following:

11.3 The State will not provide Builder's All Risk Insurance for the Project. The Contractor and all Subcontractors shall provide property coverage for their tools and equipment, as necessary. Any mandatory deductible required by the Contractor's Insurance shall be the responsibility of the Contractor.

11.4 PERFORMANCE BOND AND PAYMENT BOND

11.4.1 Add the following sentence: "The bonds will conform to those forms approved by the Office of Management and Budget."

ARTICLE 12: UNCOVERING AND CORRECTION OF WORK

12.2.2 AFTER SUBSTANTIAL COMPLETION

Add the following Paragraph:

12.2.2.1.1 At any time during the progress of the Work, or in any case where the nature of the defects will be such that it is not expedient to have corrected, the Owner, at its option, will have the right to deduct such sum, or sums, of money from the amount of the Contract as it considers justified to adjust the difference in value between the defective work and that required under contract including any damage to the structure.

12.2.2.1 Strike "one" and insert "two".

12.2.2.2 Strike "one" and insert "two".

12.2.2.3 Strike "one" and insert "two".

12.2.5 In second sentence, strike "one" and insert "two".

ARTICLE 13: MISCELLANEOUS PROVISIONS

13.1 GOVERNING LAW

Strike "except that, if the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4."

13.6 INTEREST

Strike "the date payment is due at such rate as the parties may agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located." Insert "30 days of presentment of the authorized Certificate of Payment at the annual rate of 12% or 1% per month.

13.7 TIME LIMITS ON CLAIMS

Strike the last sentence.

Add the following Paragraph:

13.8 CONFLICTS WITH FEDERAL STATUTES OR REGULATIONS

13.8.1 If any provision, specifications or requirement of the Contract Documents conflict or is inconsistent with any statute, law or regulation of the government of the United State of America, the Contractor shall notify the Architect and Owner immediately upon discovery.

ARTICLE 14: TERMINATION OR SUSPENSION OF THE CONTRACT

14.4 TERMINATION BY THE OWNER FOR CONVENIENCE

Delete Paragraph 14.4.3 in its entirety and replace with the following:

14.4.3 In case of such termination for the Owner's convenience, the Contractor shall be entitled to receive payment for Work executed, and cost incurred by reason of such termination along with reasonable overhead.

ARTICLE 15: CLAIMS AND DISPUTES

15.1.2 Throughout the Paragraph strike "21" and insert "45".

15.1.6 CLAIMS FOR CONSEQUENTIAL DAMAGES

Delete Paragraph 15.1.6 in its entirety.

15.2 INITIAL DECISION

Delete Paragraph 15.2.5 in its entirety and replace with the following:

15.2.5 The Architect will approve or reject Claims by written decision, which shall state the reasons therefore and shall notify the parties of any change in the Contract Sum or Contract Time or both. The approval or rejection of a Claim by the Architect shall be subject to mediation and other remedies at law or in equity.

Delete Paragraph 15.2.6 and its subparagraphs in their entirety.

15.3 MEDIATION

15.3.1 Strike "binding dispute resolution" and insert "any or all remedies at law or in equity".

15.3.2 In the first sentence, delete "administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedure in effect on the date of the Agreement," Strike "binding dispute resolution" and insert "remedies at law and in equity".

15.4 ARBITRATION

Delete Paragraph 15.4 and its sub-sections in its entirety.

ARTICLE 16 - LIQUIDATED DAMAGES

16.1 "It is expressly understood and agreed by and between the Contractor and the Owner that the Contract time stipulated in the bid form is a reasonable time for completion of the work, taking into consideration the average climatic range and the usual conditions prevailing in the locality of the project. Time is an essential element of the contract and it

is important that the work be vigorously prosecuted and conform to the scheduled start and finish dates of the Construction Documents."

16.2 "The Contractor agrees that he can and will substantially complete the total projects work in accordance with the Contract Documents within the stated Contract Time."

16.3 "The Owner and Contractor agree that due to the uniqueness of this contract and the fact that the Owner is a private agency and the relevant factors, damages resulting from failure of the Contractor to perform the contract within the time specified therefore will result in damages to the Owner which shall be difficult, if not impossible, to ascertain; therefore, the provision for damages herein specified shall be applied in the event of such a default. The Owner and the Contractor, both of whom are, by their own admissions, sophisticated business entities with prior experience in dealing with construction contracts, stipulate that damages shall be the sum of $300.00 for each day that the work shall remain uncompleted beyond the time(s) specified elsewhere in the contract, provided, however, that due account shall be taken of any adjustment of specified completion time(s) for completion of work as granted by approved change orders."

16.4 "The Contractor, by the execution of the contract document, does hereby irrevocably constitute, designate and appoint the Owner to be his agent for the limited but express purpose of deducting on a daily basis the liquidated damages as above determined from the balance of the contract funds in the hands of the Owner and due to the Contractor, and the failure of the Owner to deduct such sum for any day or any combination of days, whether consecutive or not, shall not operates as a waiver of such liquidated damages for that period, and such damages for such day or days shall be cumulative and may be subsequently deducted by the Owner from such sums as may be due the Contractor, but work performed. In the event that the amounts due the Contractor are less than the amount of such damages, the Contractor, shall be liable to the Owner for the difference."

16.5 "The power granted by the Contractor to the Owner above is a power coupled with an interest and is irrevocable."

END OF SUPPLEMENTARY GENERAL CONDITIONS

SECTION 00 73 46: WAGE RATE REQUIREMENTS

Owner has requested specific wage scale rates for this project and the same shall be included with the bidding documents.

Enclosed is a copy of the State of Delaware Wage Rate Requirements that shall be utilized on this project.

STATE OF DELAWARE
DEPARTMENT OF LABOR
DIVISION OF INDUSTRIAL AFFAIRS
4425 NORTH MARKET STREET
WILMINGTON, DELAWARE 19802

TELEPHONE (302) 761-8200
FAX (302) 761-6601

Via Electronic and Regular Mail

April 25, 2018

Mr. Tim Kain
Director of Facilities
New Castle County Vo-Tech School District
1417 Newport Road
Wilmington, DE 19804

Re: 2019-1 Thomas B. Sharp Center - HVAC System Replacement, New Castle County, DE

Dear Mr. Kain:

I am responding to your request for a category determination for the 2019-1 Thomas B. Sharp Center - HVAC System Replacement, which is a state funded construction project located in New Castle County, DE. The work consists of replacing HVAC units and duct work tie-ins, interior suspended ceiling repair and replacement and repair and replace roof curbing. You estimate the total cost of construction for this project to be $400,000.00.

Based upon the information you provided the Department of Labor has determined that this project is a Building Construction project.

Delaware's Prevailing Wage Regulations provide that the rates applicable to a project are the rates in effect on the date of publication of the specifications for that project. I have enclosed a certified copy of the March 15, 2018, prevailing wage rates for Building Construction to be included in your bid specification. However, please be advised that, in the event that a contract for a project is not executed within one hundred and twenty (120) days from the earliest date the specifications were published, the rates in effect at the time of the execution of the contract shall be the applicable rates for the project.

This determination is directed solely to the parties identified herein. It is based on the unique facts relevant to this matter. It does not constitute precedent and should not be cited as such by future parties.

Lastly, please see the enclosed debarment list. Entities/individuals listed shall not be permitted to bid on, be awarded or work on Delaware State funded construction projects, in the timeframe specified, as provided for under 29 Del.C. §6960 or other applicable State statutes.

If you have any questions or I can provide any additional assistance, please do not hesitate to contact me at 302 761 8326.

Sincerely,

Michael Hopkins

Michael Hopkins
Labor Law Enforcement Officer
Michael.Hopkins@state.de.us

Enclosures

STATE OF DELAWARE
DEPARTMENT OF LABOR
DIVISION OF INDUSTRIAL AFFAIRS
OFFICE OF LABOR LAW ENFORCEMENT
PHONE: 302 761-8200

Mailing Address:
4425 North Market St., 3rd Fl
Wilmington, DE 19802

Located at:
4425 North Market St., 3rd Fl
Wilmington, DE 19802

PREVAILING WAGES FOR **BUILDING CONSTRUCTION** EFFECTIVE MARCH 15, 2018

CLASSIFICATION	NEW CASTLE	KENT	SUSSEX
ASBESTOS WORKERS	23.35	28.76	41.85
BOILERMAKERS	69.90	35.46	52.14
BRICKLAYERS	53.89	53.89	53.89
CARPENTERS	54.81	54.81	43.57
CEMENT FINISHERS	73.74	51.37	22.64
ELECTRICAL LINE WORKERS	46.44	39.82	30.36
ELECTRICIANS	68.70	68.70	68.70
ELEVATOR CONSTRUCTORS	93.23	65.86	32.62
GLAZIERS	73.10	73.10	57.87
INSULATORS	56.53	56.53	56.53
IRON WORKERS	63.70	63.70	63.70
LABORERS	46.20	46.20	46.20
MILLWRIGHTS	71.60	71.60	57.70
PAINTERS	51.55	51.55	51.55
PILEDRIVERS	76.77	40.19	32.51
PLASTERERS	30.48	30.48	22.59
PLUMBERS/PIPEFITTERS/STEAMFITTERS	70.05	53.97	58.81
POWER EQUIPMENT OPERATORS	69.29	69.29	64.96
ROOFERS-COMPOSITION	24.52	24.20	22.10
ROOFERS-SHINGLE/SLATE/TILE	18.78	22.33	17.56
SHEET METAL WORKERS	68.53	68.53	68.53
SOFT FLOOR LAYERS	52.52	52.52	52.52
SPRINKLER FITTERS	59.49	59.49	59.49
TERRAZZO/MARBLE/TILE FNRS	61.93	61.93	48.52
TERRAZZO/MARBLE/TILE STRS	68.52	68.52	56.19
TRUCK DRIVERS	29.36	28.02	21.39

CERTIFIED: 04/25/2018 BY: [signature]
ADMINISTRATOR, OFFICE OF LABOR LAW ENFORCEMENT

NOTE: THESE RATES ARE PROMULGATED AND ENFORCED PURSUANT TO THE PREVAILING WAGE REGULATIONS ADOPTED BY THE DEPARTMENT OF LABOR ON APRIL 3, 1992.

CLASSIFICATIONS OF WORKERS ARE DETERMINED BY THE DEPARTMENT OF LABOR. FOR ASSISTANCE IN CLASSIFYING WORKERS, OR FOR A COPY OF THE REGULATIONS OR CLASSIFICATIONS, PHONE 302 761-8200

NON-REGISTERED APPRENTICES MUST BE PAID THE MECHANIC'S RATE.

PROJECT: 2019-1 Thomas B. Sharp Center - HVAC System Replacement, New Castle County

PREVAILING WAGE DEBARMENT LIST

The following contractors have been debarred for violations of the prevailing wage law 29Del.C. §6960 or other applicable State statutes.

Therefore, no public construction contract in this State shall be bid on, awarded to, or received by contractors and individuals on this list for a period of (3) three years from the date of the judgment or as deemed by a court of competent jurisdiction.

Contractor	Address	Date of Debarment
Mullen Brothers, Inc. and Daniel Mullen, individually	3375 Garnett Road, Boothwyn, PA 19060	Indefinite/ Civil Contempt
Site Work Safety Supplies, Inc. and Peter Coker, individually	4020 Seven Hickories Road Dover, DE 19904	1/12/2016
Green Granite and Jason Green, individually	604 Heatherbrooke Court Avondale, PA 19311	Indefinite/ Civil Contempt
Pro Image Landscaping, Inc. and Owner(s) individually	23 Commerce Street Wilmington, DE 19801 and/or 2 Cameo Road Claymont, DE 19703	Indefinite/19 Del.C. §108 & 10 Del.C. 542(c)
Liberty Mechanical, LLC and Owner(s), individually	2032 Duncan Road Wilmington, DE 19801	Indefinite/ 19 Del.C. 2374(f)
Integrated Mechanical and Fire Systems Inc. and Allison Sheldon, individually	4601 Governor Printz Boulevard Wilmington, DE 19809	Indefinite/19 Del.C. §108 & 10 Del.C. 542(c)

Updated: March 19, 2018

GENERAL REQUIREMENTS

TABLE OF ARTICLES

1. GENERAL PROVISIONS
2. OWNER
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8. TIME
9. PAYMENTS AND COMPLETION
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12. UNCOVERING AND CORRECTION OF WORK
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ARTICLE 1: GENERAL

1.1 CONTRACT DOCUMENTS

1.1.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary and what is required by one shall be as binding as if required by all. Performance by the Contractor shall be required to an extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the intended results.

1.1.2 Work including material purchases shall not begin until the Contractor is in receipt of a bonafide State of Delaware Purchase Order. Any work performed or material purchases prior to the issuance of the Purchase Order is done at the Contractor's own risk and cost.

1.2 EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS

1.2.1 For Public Works Projects financed in whole or in part by state appropriation the Contractor agrees that during the performance of this contract:

1. The Contractor will not discriminate against any employee or applicant for employment because of race, creed, sex, color, sexual orientation, gender identity or national origin. The Contractor will take positive steps to ensure that applicants are employed and that employees are treated during employment without regard to their race, creed, sex, color, sexual orientation, gender identity or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places available to employees and applicants for employment notices to be provided by the contracting agency setting forth this nondiscrimination clause.

2. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, creed, sex, color, sexual orientation, gender identity or national origin."

ARTICLE 2: OWNER

(NO ADDITIONAL GENERAL REQUIREMENTS – SEE SUPPLEMENTARY GENERAL CONDITIONS)

ARTICLE 3: CONTRACTOR

3.1 Schedule of Values: The successful Bidder shall within twenty (20) days after receiving notice to proceed with the work, furnish to the Owner a complete schedule of values on the various items comprising the work.

3.2 Subcontracts: Upon approval of Subcontractors, the Contractor shall award their Subcontracts as soon as possible after the signing of their own contract and see that all material, their own and those of their Subcontractors, are promptly ordered so that the work will not be delayed by failure of materials to arrive on time.

3.3 Before commencing any work or construction, the General Contractor is to consult with the Owner as to matters in connection with access to the site and the allocation of Ground Areas for the various features of hauling, storage, etc.

3.4 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for and have control over construction means, methods, techniques, sequences and procedures and for coordinating all portions of the Work under the Contract, unless the Contract Documents give other specific instructions.

3.5 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Contract. The Contractor shall not permit employment of unfit persons or persons not skilled in tasks assigned to them.

3.6 The Contractor warrants to the Owner that materials and equipment furnished will be new and of good quality, unless otherwise permitted, and that the work will be free from defects and in conformance with the Contract Documents. Work not conforming to these requirements, including substitutions not properly approved, may be considered defective. If required by the Owner, the Contractor shall furnish evidence as to the kind and quality of materials and equipment provided.

3.7 Unless otherwise provided, the Contractor shall pay all sales, consumer, use and other similar taxes, and shall secure and pay for required permits, fees, licenses, and inspections necessary for proper execution of the Work.

3.8 The Contractor shall comply with and give notices required by laws, ordinances, rules, regulations, and lawful orders of public authorities bearing on performance of the Work. The Contractor shall promptly notify the Owner if the Drawings and Specifications are observed to be at variance therewith.

3.9 The Contractor shall be responsible to the Owner for the acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons performing portions of the Work under contract with the Contractor.

3.10 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials or rubbish caused by operations under the Contract. At completion of the Work the Contractor shall remove from and about the Project all waste materials, rubbish, the Contractor's tools, construction equipment, machinery and surplus materials. The Contractor shall be responsible for returning all damaged areas to their original conditions.

3.11 STATE LICENSE AND TAX REQUIREMENTS

3.11.1 Each Contractor and Subcontractor shall be licensed to do business in the State of Delaware and shall pay all fees and taxes due under State laws. In conformance with Section 2503, Chapter 25, Title 30, Delaware Code, "the Contractor shall furnish the Delaware Department of Finance within ten (10) days after entering into any contract with a contractor or subcontractor not a resident of this State, a statement of total value of such contract or contracts together with the names and addresses of the contracting parties."

3.12 The Contractor shall comply with all requirements set forth in Section 6962, Chapter 69, Title 29 of the Delaware Code.

3.13 During the contract Work, the Contractor and each Subcontractor, shall implement an Employee Drug Testing Program in accordance with OMB Regulation 4104 - "Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on "Large Public Works Projects". "Large Public Works" is based upon the current threshold required for bidding Public Works as set by the Purchasing and Contracting Advisory Council.

ARTICLE 4: ADMINISTRATION OF THE CONTRACT

4.1 CONTRACT SURETY

4.1.1 PERFORMANCE BOND AND LABOR AND MATERIAL PAYMENT BOND

4.1.2 All bonds will be required as follows unless specifically waived elsewhere in the Bidding Documents.

4.1.3 Contents of Performance Bonds – The bond shall be in the form approved by the Office of Management and Budget. The bond shall be conditioned upon the faithful compliance and performance by the successful bidder of each and every term and condition of the contract and the proposal, plans, specifications, and bid documents thereof. Each term and condition shall be met at the time and in the manner prescribed by the Contract, Bid documents and the specifications, including the payment in full to every person furnishing materiel or performing labor in the performance of the Contract, of all sums of money due the person for such labor and materiel. (The bond shall also contain the successful bidder's guarantee to indemnify and save harmless the State and the agency from all costs, damages and expenses growing out of or by reason of the Contract in accordance with the Contract.)

4.1.4 Invoking a Performance Bond – The agency may, when it considers that the interest of the State so require, cause judgement to be confessed upon the bond.

4.1.5 Within twenty (20) days after the date of notice of award of contract, the Bidder to whom the award is made shall furnish a Performance Bond and Labor and Material Payment Bond, each equal to the full amount of the Contract price to guarantee the faithful performance of all terms, covenants and conditions of the same. The bonds are to be issued by an acceptable Bonding Company licensed to do business in the State of Delaware and shall be issued in duplicate.

4.1.6 Performance and Payment Bonds shall be maintained in full force (warranty bond) for a period of two (2) years after the date of the Certificate for Final Payment. The Performance Bond shall guarantee the satisfactory completion of the Project and that the Contractor will make good any faults or defects in his work which may develop during the period of said guarantees as a result of improper or defective workmanship, material or apparatus, whether furnished by themselves or their Sub-Contractors. The Payment Bond shall guarantee that the Contractor shall pay in full all persons, firms or corporations who furnish labor or material or both labor and material for, or on account of, the work included herein. The bonds shall be paid for by this Contractor. The Owner shall have the right to demand that the proof parties signing the bonds are duly authorized to do so.

4.2 FAILURE TO COMPLY WITH CONTRACT

4.2.1 If any firm entering into a contract with the State, or Agency that neglects or refuses to perform or fails to comply with the terms thereof, the Agency which signed the Contract may terminate the Contract and proceed to award a new contract in accordance with this Chapter 69, Title 29 of the Delaware Code or may require the Surety on the Performance Bond to complete the Contract in accordance with the terms of the Performance Bond. Nothing herein shall preclude the Agency from pursing additional remedies as otherwise provided by law.

4.3 CONTRACT INSURANCE AND CONTRACT LIABILITY

4.3.1 In addition to the bond requirements stated in the Bid Documents, each successful Bidder shall purchase adequate insurance for the performance of the Contract and, by submission of a Bid, agrees to indemnify and save harmless and to defend all legal or equitable actions brought against the State, any Agency, officer and/or employee of the State, for and from all claims of liability which is or may be the result of the successful Bidder's actions during the performance of the Contract.

4.3.2 The purchase or nonpurchase of such insurance or the involvement of the successful Bidder in any legal or equitable defense of any action brought against the successful Bidder based upon work performed pursuant to the Contract will not waive any defense which the State, its agencies and their respective officers, employees and agents might otherwise have against such claims, specifically including the defense of sovereign immunity, where applicable, and by the terms of this section, the State and all agencies, officers and employees thereof shall not be financially responsible for the consequences of work performed, pursuant to said contract.

4.4 RIGHT TO AUDIT RECORDS

4.4.1 The Owner shall have the right to audit the books and records of a Contractor or any Subcontractor under any Contract or Subcontract to the extent that the books and records relate to the performance of the Contract or Subcontract.

4.4.2 Said books and records shall be maintained by the Contractor for a period of seven (7) years from the date of final payment under the Prime Contract and by the Subcontractor for a period of seven (7) years from the date of final payment under the Subcontract.

ARTICLE 5: SUBCONTRACTORS

5.1 SUBCONTRACTING REQUIREMENTS

5.1.1 All contracts for the construction, reconstruction, alteration or repair of any public building (not a road, street or highway) shall be subject to the following provisions:

1. A contract shall be awarded only to a Bidder whose Bid is accompanied by a statement containing, for each Subcontractor category, the name and address (city or town and State only – street number and P.O. Box addresses not required) of the subcontractor whose services the Bidder intends to use in performing the Work and providing the material for such Subcontractor category.

2. A Bid will not be accepted nor will an award of any Contract be made to any Bidder which, as the Prime Contractor, has listed itself as the Subcontractor for any Subcontractor unless:

   A. It has been established to the satisfaction of the awarding Agency that the Bidder has customarily performed the specialty work of such Subcontractor category by artisans regularly employed by the Bidder's firm;

   B. That the Bidder is duly licensed by the State to engage in such specialty work, if the State requires licenses; and

   C. That the Bidder is recognized in the industry as a bona fide Subcontractor or Contractor in such specialty work and Subcontractor category.

5.1.2 The decision of the awarding Agency as to whether a Bidder who list itself as the Subcontractor for a Subcontractor category shall be final and binding upon all Bidders, and no action of any nature shall lie against any awarding agency or its employees or officers because of its decision in this regard.

5.1.3 After such a Contract has been awarded, the successful Bidder shall not substitute another Subcontractor for any Subcontractor whose name was set forth in the statement which accompanied the Bid without the written consent of the awarding Agency.

5.1.4 No Agency shall consent to any substitution of Subcontractors unless the Agency is satisfied that the Subcontractor whose name is on the Bidders accompanying statement:

A. Is unqualified to perform the work required;

B. Has failed to execute a timely reasonable Subcontract;

C. Has defaulted in the performance on the portion of the work covered by the Subcontract; or

D. Is no longer engaged in such business.

5.1.5 Should a Bidder be awarded a contract, such successful Bidder shall provide to the agency the taxpayer identification license numbers of such subcontractors. Such numbers shall be provided on the later of the date on which such subcontractor is required to be identified or the time the contract is executed. The successful Bidder shall provide to the agency to which it is contracting, within 30 days of entering into such public works contract, copies of all Delaware Business licenses of subcontractors and/or independent contractors that will perform work for such public works contract. However, if a subcontractor or independent contractor is hired or contracted more than 20 days after the Bidder entered the public works contract the Delaware Business license of such subcontractor or independent contractor shall be provided to the agency within 10 days of being contracted or hired.

5.1.6 The Contractor may employ additional Subcontractors on the jobsite only after submitting a copy of the Subcontractor's Employee Drug Testing Program to the Owner for approval. A Contractor or Subcontractor shall not commence work until the Owner has concluded its review and determined that the submitted Employee Drug Testing Program complies with OMB Regulation 4104.

5.2 PENALTY FOR SUBSTITUTION OF SUBCONTRACTORS

5.2.1 Should the Contractor fail to utilize any or all of the Subcontractors in the Contractor's Bid statement in the performance of the Work on the public bidding, the Contractor shall be penalized in the amount of (project specific amount*). The Agency may determine to deduct payments of the penalty from the Contractor or have the amount paid directly to the Agency. Any penalty amount assessed against the Contractor may be remitted or refunded, in whole or in part, by the Agency awarding the Contract, only if it is established to the satisfaction of the Agency that the Subcontractor in question has defaulted or is no longer engaged in such business. No claim for the remission or refund of any penalty shall be granted unless an application is filed within one year after the liability of the successful Bidder accrues. All penalty amounts assessed and not refunded or remitted to the contractor shall be reverted to the State.

*one (1) percent of contract amount not to exceed $10,000

5.3 ASBESTOS ABATEMENT

5.3.1 The selection of any Contractor to perform asbestos abatement for State-funded projects shall be approved by the Office of Management and Budget, Division of Facilities Management pursuant to Chapter 78 of Title 16.

5.4 STANDARDS OF CONSTRUCTION FOR THE PROTECTION OF THE PHYSICALLY HANDICAPPED

5.4.1 All Contracts shall conform with the standard established by the Delaware Architectural Accessibility Board unless otherwise exempted by the Board.

5.5 CONTRACT PERFORMANCE

5.5.1 Any firm entering into a Public Works Contract that neglects or refuses to perform or fails to comply with its terms, the Agency may terminate the Contract and proceed to award a new Contract or may require the Surety on the Performance Bond to complete the Contract in accordance with the terms of the Performance Bond.

ARTICLE 6: CONSTRUCTION BY OWNER OR SEPARATE CONTRACTORS

6.1 The Owner reserves the right to simultaneously perform other construction or operations related to the Project with the Owner's own forces, and to award separate contracts in connection with other portions of the Project or other Projects at the same site.

6.2 The Contractor shall afford the Owner and other Contractors reasonable opportunity for access and storage of materials and equipment, and for the performance of their activities, and shall connect and coordinate their activities with other forces as required by the Contract Documents.

ARTICLE 7: CHANGES IN THE WORK

7.1 The Owner, without invalidating the Contract, may order changes in the Work consisting of Additions, Deletions, Modifications or Substitutions, with the Contract Sum and Contract completion date being adjusted accordingly. Such changes in the Work shall be authorized by written Change Order signed by the Professional, as the duly authorized agent, the Contractor and the Owner.

7.2 The Contract Sum and Contract Completion Date shall be adjusted only by a fully executed Change Order.

7.3 The additional cost, or credit to the Owner resulting from a change in the Work shall be by mutual agreement of the Owner, Contractor and the Architect. In all cases, this cost or credit shall be based on the 'DPE' wages required and the "invoice price" of the materials/equipment needed.

7.3.1 "DPE" shall be defined to mean "direct personnel expense". Direct payroll expense includes prevailing wage rates plus a maximum multiplier of 1.35 times DPE. For example, if the prevailing wage rate is $50/hour, the DPE would be $67.50/hour (50 x 1.35).

7.3.2 "Invoice price" of materials/equipment shall be defined to mean the actual cost of materials and/or equipment that is paid by the Contractor, (or subcontractor), to a material distributor, direct factory vendor, store, material provider, or equipment leasing entity. Rates for equipment that is leased and/or owned by the Contractor or subcontractor(s) shall not exceed those listed in the latest version of the "Means Building Construction Cost Data" publication.

7.3.3 In addition to the above, the General Contractor is allowed a fifteen percent (15%) markup for overhead and profit for additional work performed by the General Contractor's own forces. For additional subcontractor work, the Subcontractor is allowed a fifteen (15) percent overhead and profit on change order work above and beyond the direct costs stated previously. To this amount, the General Contractor will be allowed a mark-up not exceeding seven and one half percent (7.5%) on the subcontractors work. These mark-ups shall include all costs including, but not limited to: overhead, profit, bonds, insurance, supervision, etc. No markup is permitted on the work of the subcontractors subcontractor. No additional costs shall be allowed for changes related to the Contractor's onsite superintendent/staff, or project manager, unless a change in the work changes the project duration and is identified by the CPM schedule. There will be no other costs associated with the change order.

ARTICLE 8: TIME

8.1 Time limits, if any, are as stated in the Project Manual. By executing the Agreement, the Contractor confirms that the stipulated limits are reasonable, and that the Work will be completed within the anticipated time frame.

8.2 If progress of the Work is delayed at any time by changes ordered by the Owner, by labor disputes, fire, unusual delay in deliveries, abnormal adverse weather conditions, unavoidable casualties or other causes beyond the Contractor's control, the Contract Time shall be extended for such reasonable time as the Owner may determine.

8.3 Any extension of time beyond the date fixed for completion of the construction and acceptance of any part of the Work called for by the Contract, or the occupancy of the building by the Owner, in whole or in part, previous to the completion shall not be deemed a waiver by the Owner of his right to annul or terminate the Contract for abandonment or delay in the matter provided for, nor relieve the Contractor of full responsibility.

8.4 SUSPENSION AND DEBARMENT

8.4.1 Per Section 6962(d)(14), Title 29, Delaware Code, "Any Contractor who fails to perform a public works contract or complete a public works project within the time schedule established by the Agency in the Invitation To Bid, may be subject to Suspension or Debarment for one or more of the following reasons: a) failure to supply the adequate labor supply ratio for the project; b) inadequate financial resources; or, c) poor performance on the Project."

8.4.2 "Upon such failure for any of the above stated reasons, the Agency that contracted for the public works project may petition the Director of the Office of Management and Budget for Suspension or Debarment of the Contractor. The Agency shall send a copy of the petition to the Contractor within three (3) working days of filing with the Director. If the Director concludes that the petition has merit, the Director shall schedule and hold a hearing to determine whether to suspend the Contractor, debar the Contractor or deny the petition. The Agency shall have the burden of proving, by a preponderance of the evidence, that the Contractor failed to perform or complete the public works project within the time schedule established by the Agency and failed to do so for one or more of the following reasons: a) failure to supply the adequate labor supply ratio for the project; b) inadequate financial resources; or, c) poor performance on the project. Upon a finding in favor of the Agency, the Director may suspend a Contractor from Bidding on any project funded, in whole or in part, with public funds for up to 1 year for a first offense, up to 3 years for a second offense and permanently debar the Contractor for a third offense. The Director shall issue a written decision and shall send a copy to the Contractor and the Agency. Such decision may be appealed to the Superior Court within thirty (30) days for a review on the record."

8.5 RETAINAGE

8.5.1 Per Section 6962(d)(5) a.3, Title 29, Delaware Code: The Agency may at the beginning of each public works project establish a time schedule for the completion of the project. If the project is delayed beyond the completion date due to the Contractor's failure to meet their responsibilities, the Agency may forfeit, at its discretion, all or part of the Contractor's retainage.

8.5.2 This forfeiture of retainage also applies to the timely completion of the punchlist. A punchlist will only be prepared upon the mutual agreement of the Owner, Architect and Contractor. Once the punchlist is prepared, all three parties will by mutual agreement, establish a schedule for its completion. Should completion of the punchlist be delayed beyond the established date due to the Contractor's failure to meet their responsibilities, the Agency may hold permanently, at its discretion, all or part of the Contractor's retainage.

ARTICLE 9: PAYMENTS AND COMPLETION

9.1 APPLICATION FOR PAYMENT

9.1.1 Applications for payment shall be made upon AIA Document G702. There will be a five percent (5%) retainage on all Contractor's monthly invoices until completion of the project. This retainage may become payable upon receipt of all required closeout documentation, provided all other requirements of the Contract Documents have been met.

9.1.2 A date will be fixed for the taking of the monthly account of work done. Upon receipt of Contractor's itemized application for payment, such application will be audited, modified, if found necessary, and approved for the amount. Statement shall be submitted to the Owner.

9.1.3 Section 6516, Title 29 of the Delaware Code annualized interest is not to exceed 12% per annum beginning thirty (30) days after the "presentment" (as opposed to the date) of the invoice.

9.2 PARTIAL PAYMENTS

9.2.1 Any public works Contract executed by any Agency may provide for partial payments at the option of the Owner with respect to materials placed along or upon the sites or stored at secured locations, which are suitable for use in the performance of the contract.

9.2.2 When approved by the agency, partial payment may include the values of tested and acceptable materials of a nonperishable or noncontaminative nature which have been produced or furnished for incorporation as a permanent part of the work yet to be completed, provided acceptable provisions have been made for storage.

9.2.2.1 Any allowance made for materials on hand will not exceed the delivered cost of the materials as verified by invoices furnished by the Contractor, nor will it exceed the contract bid price for the material complete in place.

9.2.3 If requested by the Agency, receipted bills from all Contractors, Subcontractors, and material, men, etc., for the previous payment must accompany each application for payment. Following such a request, no payment will be made until these receipted bills have been received by the Owner.

9.3 SUBSTANTIAL COMPLETION

9.3.1 When the building has been made suitable for occupancy, but still requires small items of miscellaneous work, the Owner will determine the date when the project has been substantially completed.

9.3.2 If, after the Work has been substantially completed, full completion thereof is materially delayed through no fault of the Contractor, and without terminating the Contract, the Owner may make payment of the balance due for the portion of the Work fully completed and accepted. Such payment shall be made under the terms and conditions governing final payment that it shall not constitute a waiver of claims.

9.3.3 On projects where commissioning is included, the commissioning work as defined in the specifications must be complete prior to the issuance of substantial completion.

9.4 FINAL PAYMENT

9.4.1 Final payment, including the five percent (5%) retainage if determined appropriate, shall be made within thirty (30) days after the Work is fully completed and the Contract fully performed and provided that the Contractor has submitted the following closeout documentation (in addition to any other documentation required elsewhere in the Contract Documents):

9.4.1.1 Evidence satisfactory to the Owner that all payrolls, material bills, and other indebtedness connected with the work have been paid,

9.4.1.2 An acceptable RELEASE OF LIENS,

9.4.1.3 Copies of all applicable warranties,

9.4.1.4 As-built drawings,

9.4.1.5 Operations and Maintenance Manuals,

9.4.1.6 Instruction Manuals,

9.4.1.7 Consent of Surety to final payment.

9.4.1.8 The Owner reserves the right to retain payments, or parts thereof, for its protection until the foregoing conditions have been complied with, defective work corrected and all unsatisfactory conditions remedied.

ARTICLE 10: PROTECTION OF PERSONS AND PROPERTY

10.1 The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract. The Contractor shall take all reasonable precautions to prevent damage, injury or loss to: workers, persons nearby who may be affected, the Work, materials and equipment to be incorporated, and existing property at the site or adjacent thereto. The Contractor shall give notices and comply with applicable laws ordinances, rules regulations, and lawful orders of public authorities bearing on the safety of persons and property and their protection from injury, damage, or loss. The Contractor shall promptly remedy damage and loss to property at the site caused in whole or in part by the Contractor, a Subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable.

10.2 The Contractor shall notify the Owner in the event any existing hazardous material such as lead, PCBs, asbestos, etc. is encountered on the project. The Owner will arrange with a qualified specialist for the identification, testing, removal, handling and protection against exposure or environmental pollution, to comply with applicable regulation laws and ordinances. The Contractor and Architect will not be required to participate in or to perform this operation. Upon completion of this work, the Owner will notify the Contractor and Architect in writing the area has been cleared and approved by the authorities in order for the work to proceed. The Contractor shall attach documentation from the authorities of said approval.

10.3 As required in the Hazardous Chemical Information Act of June 1984, all vendors supplying any materials that may be defined as hazardous, must provide Material Safety Data Sheets for those products. Any chemical product should be considered hazardous if it has a warning caution on the label relating to a potential physical or health hazard, if it is known to be present in the work place, and if employees may be exposed under normal conditions or in any foreseeable emergency situation. Material Safety Data Sheets <u>must</u> be provided <u>directly to the Owner</u> along with the shipping slips that include those products.

10.4 The Contractor shall certify to the Owner that materials incorporated into the Work are free of all asbestos. This certification may be in the form of Material Safety Data Sheet (MSDS) provided by the product manufacturer for the materials used in construction, as specified or as provided by the Contractor.

ARTICLE 11: INSURANCE AND BONDS

11.1 The Contractor shall carry all insurance required by law, such as Unemployment Insurance, etc. The Contractor shall carry such insurance coverage as they desire on their own property such as a field office, storage sheds or other structures erected upon the project site that belong to them and for their own use. The Subcontractors involved with this project shall carry whatever insurance protection they consider necessary to cover the loss of any of their personal property, etc.

11.2 Upon being awarded the Contract, the Contractor shall obtain a minimum of two (2) copies of all required insurance certificates called for herein, and submit one (1) copy of each certificate, to the Owner, within 20 days of contract award.

11.3 Bodily Injury Liability and Property Damage Liability Insurance shall, in addition to the coverage included herein, include coverage for injury to or destruction of any property arising out of the collapse of or structural injury to any building or structure due to demolition work and evidence of these coverages shall be filed with and approved by the Owner.

11.4 The Contractor's Property Damage Liability Insurance shall, in addition to the coverage noted herein, include coverage on all real and personal property in their care, custody and control damaged in any way by the Contractor or their Subcontractors during the entire construction period on this project.

11.5 Builders Risk (including Standard Extended Coverage Insurance) on the existing building during the entire construction period, shall not be provided by the Contractor under this contract. The Owner shall insure the existing building and all of its contents and all this new alteration work under this contract during entire construction period for the full insurable value of the entire work at the site. Note, however, that the Contractor and their Subcontractors shall be responsible for insuring building materials (installed and stored) and their tools and equipment whenever in use on the project, against fire damage, theft, vandalism, etc.

11.6 Certificates of the insurance company or companies stating the amount and type of coverage, terms of policies, etc., shall be furnished to the Owner, within 20 days of contract award.

11.7 The Contractor shall, at their own expense, (in addition to the above) carry the following forms of insurance:

11.7.1 Contractor's Contractual Liability Insurance

Minimum coverage to be:

Bodily Injury	$500,000	for each person
	$1,000,000	for each occurrence
	$1,000,000	aggregate
Property Damage	$500,000	for each occurrence
	$1,000,000	aggregate

11.7.2 Contractor's Protective Liability Insurance

Minimum coverage to be:

Bodily Injury	$500,000	for each person
	$1,000,000	for each occurrence
	$1,000,000	aggregate
Property Damage	$500,000	for each occurrence
	$500,000	aggregate

11.7.3 Automobile Liability Insurance

Minimum coverage to be:

Bodily Injury	$1,000,000	for each person
	$1,000,000	for each occurrence
Property Damage	$500,000	per accident

11.7.4 Prime Contractor's and Subcontractors' policies shall include contingent and contractual liability coverage in the same minimum amounts as 11.7.1 above.

11.7.5 Workmen's Compensation (including Employer's Liability):

11.7.5.1 Minimum Limit on employer's liability to be as required by law.

11.7.5.2 Minimum Limit for all employees working at one site.

11.7.6 Certificates of Insurance must be filed with the Owner guaranteeing fifteen (15) days prior notice of cancellation, non-renewal, or any change in coverages and limits of liability shown as included on certificates.

11.7.7 Social Security Liability

11.7.7.1 With respect to all persons at any time employed by or on the payroll of the Contractor or performing any work for or on their behalf, or in connection with or arising out of the Contractor's business, the Contractor shall accept full and exclusive liability for the payment of any and all contributions or taxes or unemployment insurance, or old age retirement benefits, pensions or annuities now or hereafter imposed by the Government of the United States and the State or political subdivision thereof, whether the same be measured by wages, salaries or other remuneration paid to such persons or otherwise.

11.7.7.2 Upon request, the Contractor shall furnish Owner such information on payrolls or employment records as may be necessary to enable it to fully comply with the law imposing the aforesaid contributions or taxes.

11.7.7.3 If the Owner is required by law to and does pay any and/or all of the aforesaid contributions or taxes, the Contractor shall forthwith reimburse the Owner for the entire amount so paid by the Owner.

ARTICLE 12: UNCOVERING AND CORRECTION OF WORK

12.1 The Contractor shall promptly correct Work rejected by the Owner or failing to conform to the requirements of the Contract Documents, whether observed before or after Substantial Completion and whether or not fabricated, installed or completed, and shall correct any Work found to be not in accordance with the requirements of the Contract Documents within a period of two years from the date of Substantial Completion, or by terms of an applicable special warranty required by the Contract Documents. The provisions of this Article apply to work done by Subcontractors as well as to Work done by direct employees of the Contractor.

12.2 At any time during the progress of the work, or in any case where the nature of the defects shall be such that it is not expedient to have them corrected, the Owner, at their option, shall have the right to deduct such sum, or sums, of money from the amount of the contract as they consider justified to adjust the difference in value between the defective work and that required under contract including any damage to the structure.

ARTICLE 13: MISCELLANEOUS PROVISIONS

13.1 CUTTING AND PATCHING

13.1.1 The Contractor shall be responsible for all cutting and patching. The Contractor shall coordinate the work of the various trades involved.

13.2 DIMENSIONS

13.2.1 All dimensions shown shall be verified by the Contractor by actual measurements at the project site. Any discrepancies between the drawings and specifications and the existing conditions shall be referred to the Owner for adjustment before any work affected thereby has been performed.

13.3 LABORATORY TESTS

13.3.1 Any specified laboratory tests of material and finished articles to be incorporated in the work shall be made by bureaus, laboratories or agencies approved by the Owner and reports of such tests shall be submitted to the Owner. The cost of the testing shall be paid for by the Contractor.

13.3.2 The Contractor shall furnish all sample materials required for these tests and shall deliver same without charge to the testing laboratory or other designated agency when and where directed by the Owner.

13.4 ARCHAEOLOGICAL EVIDENCE

13.4.1 Whenever, in the course of construction, any archaeological evidence is encountered on the surface or below the surface of the ground, the Contractor shall notify the authorities of the State Historic Preservation Office and suspend work in the immediate area for a reasonable time to permit those authorities, or persons designated by them, to examine the area and ensure the proper removal of the archaeological evidence for suitable preservation by the Division of Historical and Cultural Affairs.

13.5 GLASS REPLACEMENT AND CLEANING

13.5.1 The General Contractor shall replace without expense to the Owner all glass broken during the construction of the project. If job conditions warrant, at completion of the job the General Contractor shall have all glass cleaned and polished.

13.6 WARRANTY

13.6.1 For a period of two (2) years from the date of substantial completion, as evidenced by the date of final acceptance of the work, the contractor warrants that work performed under this contract conforms to the contract requirements and is free of any defect of equipment, material or workmanship performed by the contractor or any of his subcontractors or suppliers. However, manufacturer's warranties and guarantees, if for a period longer than two (2) years, shall take precedence over the above warranties. The contractor shall remedy, at his own expense, any such failure to conform or any such defect. The protection of this warranty shall be included in the Contractor's Performance Bond.

ARTICLE 14: TERMINATION OF CONTRACT

14.1 If the Contractor defaults or persistently fails or neglects to carry out the Work in accordance with the Contract Documents or fails to perform a provision of the Contract, the Owner, after seven days written notice to the Contractor, may make good such deficiencies and may deduct the cost thereof from the payment then or thereafter due the Contractor. Alternatively, at the Owner's option, and the Owner may terminate the Contract and take possession of the site and of all materials, equipment, tools, and machinery thereon owned by the Contractor and may finish the Work by whatever method the Owner may deem expedient. If the costs of finishing the Work exceed any unpaid compensation due the Contractor, the Contractor shall pay the difference to the Owner.

14.2 “If the continuation of this Agreement is contingent upon the appropriation of adequate state, or federal funds, this Agreement may be terminated on the date beginning on the first fiscal year for which funds are not appropriated or at the exhaustion of the appropriation. The Owner may terminate this Agreement by providing written notice to the parties of such non-appropriation. All payment obligations of the Owner will cease upon the date of termination. Notwithstanding the foregoing, the Owner agrees that it will use its best efforts to obtain approval of necessary funds to continue the Agreement by taking appropriate action to request adequate funds to continue the Agreement.”

END OF GENERAL REQUIREMENTS

SECTION 008114: DRUG TESTING FORMS

PART 1 GENERAL

1.1 SUMMARY

A. Pursuant to 4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds submit Testing Report Forms to the Owner no less than quarterly. See the form attached hereto.

B. The Contractor will notify the Owner in writing of any positive results of random drug testing. See the form attached hereto. The results must be reported to the Owner within 24 hours of receipt of the test results.

PART 2 PRODUCTS

NOT USED

PART 3 EXECUTION

NOT USED

END OF SECTION

SECTION 008114: DRUG TESTING FORMS

EMPLOYEE DRUG TESTING REPORT FORM
Period Ending:

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds submit Testing Report Forms to the Owner no less than quarterly.

Project Number:

_____

Project Name:

_____

Contractor/Subcontractor Name:

_____

Contractor/Subcontractor Address:

_____

_____

_____

Number of employees who worked on the jobsite during the report period:_____

Number of employees subject to random testing during the report period:_____

Number of Negative Results _____Number of Positive Results _____

Action taken on employee(s) in response to a failed or positive random test:

_____

_____

_____

Authorized Representative of Contractor/Subcontractor:

_____

(typed or printed)

Authorized Representative of Contractor/Subcontractor:

_____

(signature)

Date: _____

EMPLOYEE DRUG TESTING
REPORT OF POSITIVE RESULTS

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds to notify the Owner in writing of a positive random drug test.

Project Number:

_____

Project Name:

_____

Contractor/Subcontractor Name:

_____

Contractor/Subcontractor Address:

_____

_____

_____

Name of employee with positive test result:

_____

Last 4 digits of employee SSN:_____

Date test results received:_____

Action taken on employee in response to a positive test result:

_____

_____

_____

Authorized Representative of
Contractor/Subcontractor:_____
(typed or printed)

Authorized Representative of
Contractor/Subcontractor:_____
(signature)

Date: _____

This form shall be sent by mail to the Owner within 24 hours of receipt of test results.

Enclose this test results form in a sealed envelope with the notation "Drug Testing Form – DO NOT OPEN" on the face thereof and place in a separate mailing envelope.

END OF SECTION

EMPLOYEE DRUG TESTING REPORT FORM
Period Ending:_____

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds maintain testing data that includes but is not limited to the data elements below.

Project Number: _____

Project Name: _____

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____
_____
_____

Number of employees who worked on the jobsite during the report period:_____

Number of employees subject to random testing during the report period:_____

Number of Negative Results _____ Number of Positive Results _____

Action taken on employee(s) in response to a failed or positive random test:
_____
_____
_____

Date: _____

This form is not required to be submitted to the Owner. Included as a reference to show information required to be maintained by the Contractor. The Owner shall have the right to periodically audit all Contractor and Subcontractor test results at the Contractor's or Subcontractor's offices (or by other means to make the data available for inspection by the Owner).

EMPLOYEE DRUG TESTING
REPORT OF POSITIVE RESULTS

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds to notify the Owner in writing of a positive random drug test.

Project Number: _____

Project Name: _____

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____
_____
_____

Name of employee with positive test result:_____

Last 4 digits of employee SSN:_____

Date test results received:_____

Action taken on employee in response to a positive test result:
_____
_____
_____

Authorized Representative of Contractor/Subcontractor: _____
(typed or printed)

Authorized Representative of Contractor/Subcontractor: _____
(signature)

Date: _____

This form shall be sent by mail to the Owner within 24 hours of receipt of test results.

Enclose this test results form in a sealed envelope with the notation "Drug Testing Form – DO NOT OPEN" on the face thereof and place in a separate mailing envelope.

4000 Office of Management and Budget
4100 Division of Facilities Management

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects

1.0 Purpose

The Office of Management and Budget ("Office"), has developed these regulations that require Contractors and Subcontractors to implement a program of mandatory drug testing for Employees who work on Large Public Works Contracts funded all or in part with public funds pursuant to 29 **Del.C.** §6908(a)(6). The regulations establish the mechanism, standards and requirements of a Mandatory Drug Testing Program that will be incorporated by reference into all Large Public Works Contracts awarded pursuant to 29 **Del.C.** §6962.

2.0 Definitions

"**Contractor**" means an entity such as, but not limited to, an individual, firm, partnership or corporation that has a contractual obligation to perform work for contracts awarded pursuant to 29 **Del.C.** §6962.

"**Division of Facilities Management**" and "**DFM**" means the Division of Facilities Management within the Office of Management and Budget.

"**Drug Testing Firm**" is an entity engaged in the business of providing drug testing services for businesses, individuals, governments or any entity that requires drug testing of Employees, applicants, licensees, etc., in compliance with these requirements.

"**Employee**" means an individual employed by a Contractor or Subcontractor who works on the Jobsite of a Large Public Works Contract but does not fulfill a clerical or administrative function. For the purpose of this definition, clerical or administrative functions shall refer to job responsibilities that do not generally require an employee to work outside of the Contractor's Jobsite office, home office or other employer-provided office. For the purposes of this regulation, the term "Employee" shall also include supervisors and foremen working on the Jobsite. The term "Employee" shall also include delivery personnel employed by a Contractor or Subcontractor working on or delivering materials and equipment to and from a Jobsite.

"**Impairment**" **or** "**Impaired**" means symptoms that an Employee while working may be under the influence of drugs or alcohol that may decrease or lessen the Employee's performance of the duties or tasks of the Employee's job position, including symptoms of the Employee's speech, walking, standing, physical dexterity, agility, coordination, actions, movement, demeanor, appearance, clothing, odor, irrational or unusual behavior, negligence or carelessness in operating equipment, machinery or production or manufacturing processes, disregard for the safety of the Employee or others, or other symptoms causing a reasonable suspicion of the use of drugs or alcohol.

"**Jobsite**" means the site or area directly or indirectly owned, operated or controlled by the Owner in which the Contractor or Subcontractor performs work or delivers services to the Owner. For the purpose of this definition, "Jobsite" does not mean a remote work site not under the direct or indirect control of the Owner in which work is performed to fulfill the Contractor's or Subcontractor's obligations.

"**Large Public Works Contract**" means a contract for a public works construction awarded pursuant to 29 **Del.C.** §6962.

"**Mandatory Drug Testing Program**" and "**Program**" means a defined set of basic procedures, requirements and rules that must be used by a Contractor or Subcontractor to test Employees for drugs in compliance with these requirements.

"**Owner**" is the state agency, school district or entity that awards a Large Public Works Contract to a Contractor pursuant to 29 **Del.C.** §6962.

"**Positive Test Result**" and "**Fail a Drug Test**" means the result reported by a Health and Human Services certified laboratory when a specimen contains a drug or drug metabolite equal to or greater than the cutoff concentration. For purposes of these regulations, an Employee shall not be considered to have a Positive Test Result nor shall an Employee be considered to "Fail a Drug Test" if:

- The Employee is a Registered Qualifying Patient and;
- The drug detected was marijuana, a component of marijuana, or marijuana metabolites.

"Random Drug Testing" means that an Employee is chosen at random for testing without advance notice, from a pool of Employees working on the Jobsite. Specific requirements for random drug testing conducted under these regulations are described in Section 5.0.

"Registered Qualifying Patient" means a person (1) validly issued and in possession of an unexpired Registry Identification Card as defined by 16 **Del.C.** §4902A (14), and (2) subject to confirmation through a "verification system" as set forth at 16 **Del.C.** §4902A(17).

"Subcontractor" means an entity such as, but not limited to, an individual, firm, partnership or corporation that has a contractual obligation to perform work for, or supply services to a Contractor as defined in section 2.1.

"Testing Result Forms" means a form summarizing drug testing completed monthly by the Contractor and Subcontractor and submitted to the Owner in accordance with requirements contained in the bid solicitation.

3.0 Employee drug testing documentation requirements.

3.1 The following documentation requirements apply:

3.1.1 At bid submission - A solicitation for a Large Public Works Contract must require each Contractor that submits a bid for the work to submit with the bid signed individual affadavit(s) for the Contractor and each listed Subcontractor certifying that the Contractor and Subcontractor has in place or will implement during the entire term of the contract a Mandatory Drug Testing Program for their Employees that complies with this regulation.

3.1.2 Two business days prior to contract execution – The awarded Contractor shall provide to the Owner copies of the Employee Drug Testing Program for the Contractor and for all listed Subcontractors.

3.1.3 During contract execution – Contractors that employ additional Subcontractors on the jobsite may do so only after submitting a copy of the Subcontractor's Employee Drug Testing Program. A Contractor or Subcontractor shall not commence work until the Owner has concluded the Employee Drug Testing Program complies with this Regulation as per Section 3.2.

3.1.4 In the event of an emergency a Contractor may employ additional Subcontractors on the jobsite prior to submitting the Subcontractor's Employee Drug Testing Program provided that said Program is submitted to the Owner as soon as practicable.

3.2 A Contractor or Subcontractor shall be treated as having a Mandatory Drug Testing Program that complies with this regulation if the Program includes the following:

3.2.1 The Program meets the minimum standards in section 4.0 of this regulation.

3.2.2 The Program provides for the frequency of testing of Employees as per section 5.0 of this regulation:

3.2.3 The Program imposes disciplinary measures on an Employee who fails a drug test as per section 6.0 of this regulation.

3.3 Prequalified Contractors and Subcontractors – A Contractor or Subcontractor may meet the provisions of Section 3.1 if they are Prequalified through the DFM Prequalification and if the DFM Prequalification includes provisions requiring an Employee Mandatory Drug Testing Program that meet the requirements of Sections 4.0, 5.0 and 6.0 of this Regulation

3.4 The State shall not be obligated to pay, and the Contractor or Subcontractor shall expressly agree that, any portion of work performed by a Contractor or Subcontractor commenced before that Contractor or Subcontractor has complied with Sections 3.1 and 3.2, provided however that emergency work as referenced in 3.1.4 may not be subject to this provision.

4.0 Minimum Standards for a Mandatory Drug Testing Program

4.1 Testing for the presence of drugs in an Employee's system and the handling of test specimens shall be conducted in accordance with guidelines for the collection, chain-of-custody procedures, laboratory testing, and Medical Officer Review procedures contained within the Mandatory Guidelines for Federal Workplace Drug Testing Programs published by the Substance Abuse and Mental Health Services Administration (SAMHSA). http://workplace.samhsa.gov/DrugTesting/Level_1_Pages/mandatory_guidelines5_1_10.html

All tests must be processed by a federal Health and Human Services certified laboratory. Contractors must provide documentation detailing the procedures used in the collection, testing and reporting of drug tests sufficient to show conformance with SAMHSA guidelines.

4.2 Contractors and Subcontractors subject to these regulations may procure the services of an appropriate Drug Testing Firm to administer their program. A Contractor or Subcontractor may also implement a Mandatory Drug

Testing Program using in-house personnel and resources. However a Contractor or Subcontractor doing so shall have to demonstrate that the program meets or exceeds the requirements specified herein to the satisfaction of the Owner.

4.3 Employees subject to drug testing shall be tested using at a minimum a seven-panel protocol testing plus alcohol screening for the following:

Substance	Common Name	Cutoff
Marijuana metabolite		50 ng/ml
Cocaine metabolite		150 ng/ml
Opiate metabolite		2000 ng/ml
Acetylmorphine	Heroin metabolite	10 ng/ml
Phencyclidine	PCP	25 ng/ml
Amphetamines (including Methamphetamines)	Meth	500 ng/ml
MDMA	Ecstasy	250 ng/ml
Alcohol		0.04% BAC

4.4 The frequency of Random Drug Testing and the methodology for selecting Employees to be screened are defined in section 5.0 and shall be incorporated into Contractor and Subcontractor mandatory testing procedures. A Contractor or Subcontractor may incorporate rules or requirements that exceed the requirements defined herein.

5.0 Drug Testing Requirements – Frequency for the Testing of Employees

5.1 Initial Drug Testing - Employees commencing work on a Jobsite must be tested with the exception that an Employee who has passed a random or scheduled drug test within the past 60 days from the date of commencing work shall be permitted to work at the Jobsite without further testing; however, the Employee is still subject to random testing.

5.2 Random Drug Testing - During the course of a project, each Contractor and Subcontractor with Employees on the Jobsite shall implement Random Drug Testing according to the following requirements.

5.2.1 All Employees will be subject to random, unannounced testing.

5.2.2 The selection of Employees shall be made by a scientifically valid method of randomly generating an Employee identifier from a Contractor or Sub-contractor's pool of Employees.

5.2.3 No less that 10% of a Contractor's or Subcontractor's anticipated workforce based on construction schedules validated by certified payrolls shall be randomly selected each month for testing. Contractors or Subcontractors with less than 10 Employees shall test at least one of their Employees, selected randomly per month. Each Employee shall have an equal chance of selection each time the selection is made. Because the selection process is random, some Employees may not be tested within a year, while others may be tested more than once.

5.2.4 Employees notified that they have been selected must report within four hours for testing to a site specified. Employees so notified must have been given such notification at least four hours before the scheduled closing time of the testing facility. Any failure to report for random testing, or to cooperate with the testing procedure shall be considered a positive result.

5.2.5 Purposely impeding or delaying an Employee's fulfillment of the testing requirements herein by a Contractor or Subcontractor may subject the Contractor or Subcontractor to sanctions listed in Section 8.

5.3 Reasonable Suspicion Testing – An Employee will be required to take a drug test at any time his or her employing Contractor, Subcontractor or the Owner reasonably believes that he or she has an Impairment caused by drugs and/or alcohol. Further, an Employee may be required to take a drug test at any time his or her employing Contractor, Subcontractor or the Owner finds drug paraphernalia and/or open alcohol containers on the Jobsite.

5.4 Return to Duty Testing – As required in Section 6.0.

5.5 Accident Triggered Testing – An Employee will be required to take a drug test and may be subject to an onsite alcohol breathalyzer test at any time there is a Jobsite accident involving loss or significant property damage, injury or death to an Employee of the Contractor, Subcontractor, or Owner or member of the public.

5.5.1 As soon as practicable following an accident, the Contractor will notify the Employee(s) whose performance could have contributed to the accident of the need for the test.

5.5.2 The appropriate Contractor shall ensure that an Employee, required to be tested under this section, is tested as soon as practicable, but no longer than 4 hours after the accident. Employees so notified must have been given such notification at least four hours before the scheduled closing time of the testing facility. If the drug test is not conducted within 4 hours, attempts to conduct the test must cease and the reasons for the failure to test documented.

5.5.3 An Employee who is subject to post-accident testing who fails to remain readily available for such testing, including notifying a supervisor of his or her location if he or she leaves the scene of the accident prior to submission to such test, may be deemed to have refused to submit to testing.

5.5.4 If an Employee fails or refuses to be tested, he/she must be removed from the Jobsite.

5.5.5 Nothing in this section shall be construed to require the delay of necessary medical attention for the injured following an accident, or to prohibit an Employee from leaving the scene of an accident for the period necessary to obtain assistance in responding to the accident, or to obtain necessary emergency medical care.

5.6 All testing required by this section shall be administered according to the standards outlined in Section 4.0.

6.0 Consequences of a Positive Test Result

6.1 The disciplinary measures contained within a Contractor's or Subcontractor's drug testing program for an employee who tests positive to a mandatory drug test must include at a minimum, all of the following:

6.1.1 The Employee is subject to an immediate suspension from any public works Jobsite.

6.1.2 The Employee is not eligible for reinstatement by the Contractor or Subcontractor to any public works Jobsite until 30 days after the Employee tests negative on a seven drug panel plus alcohol test certified by a medical review officer.

6.1.3 The Employee is subject to unscheduled monthly random testing for at least one (1) year after reinstatement, or during the term of the Large Public Works Contract, whichever is less.

6.1.4 An Employee who has tested positive for more than one drug test within a three year period shall be permanently banned from working at public works Jobsites.

6.1.5 An Employee who has tested positive for marijuana, a component of marijuana, or marijuana metabolites and is a Registered Qualifying Patient shall be exempted from the disciplinary actions contained in this section unless:

6.1.5.1 The Employee was Impaired by marijuana at the Jobsite

6.1.5.2 Employment of the Registered Qualifying Patient would cause the Owner to lose monetary or licensing-related benefits under Federal law.

6.2 A Contractor or Subcontractor shall report the Positive Test Result to the Employee's professional licensing board, if applicable.

7.0 Contractor and Subcontractor Certification of Compliance with Regulations

7.1 During the term of the contract:

7.1.1 During the term of the contract, Contractors and Subcontractors shall submit Testing Report Forms to the Owner as set forth herein:

7.1.1.1 The Testing Report Forms shall be submitted to the Owner no less than quarterly.

7.1.1.2 An Owner may require monthly submissions of the Testing Report Forms.

7.1.1.3 A Contractor or Subcontractor that is employed on the Jobsite for less than 30 days shall not be subject to the reporting requirements contained in Sections 7.1.1 and 7.1.2 of this regulation, unless the Owner specifies that such reporting is required in the Invitation to Bid or Specifications relating to the work to be performed.

7.1.2 The forms shall at a minimum contain the following information:

7.1.2.1 The number of Employees who worked on the Jobsite during the previous month.

7.1.2.2 The number of Employees subjected to random testing during the previous month.

7.1.2.3 The number of negative results and the number of positive results.

7.1.2.4 Action taken by the Contractor or Subcontractor on an Employee who failed or tested positive to a random test.

7.1.3 Testing Result Forms may be submitted electronically to an Owner.

7.1.4 Any Positive Test Result including the Employee name and action taken in response by a Contractor or Subcontractor must be reported by the Contractor or Subcontractor to the Owner within 24 hours of the Contractor or Subcontractor receiving the test results. A Positive Test Result must be submitted to the Owner in writing.

7.1.5 The Owner shall have the right to periodically audit all Contractor and Subcontractor test results at the Contractor or Subcontractor's offices.

7.1.6 The failure to comply with these reporting requirements shall be considered a material breach of any agreement relating to the performance of work by the Contractor or Subcontractor.

8.0 Penalties

8.1 A Contractor or Subcontractor on a Large Public Works contract that fails to implement a Mandatory Drug Testing Program in accordance with this regulation or falsifies testing results shall be subject to the following sanctions:

8.1.1 Written warning (1st offense).

8.1.2 Prohibition from bidding on new public works jobs for a period not to exceed three months (2nd offense) and one year (3rd offense).

8.1.3 For subsequent offenses, debarment or bond revocation.

8.2 Notwithstanding any other provision of this regulation, if any failure to comply with the requirements of this regulation are particularly flagrant or egregious, the Owner may seek a termination for cause, a temporary suspension, a determination that the Contractor or Subcontractor is not responsible, debarment or bond revocation, and any other statutory, common law, or equitable remedy.

19 DE Reg. 207 (09/01/15)

DIVISION 01 SECTION 011000
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PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION (Not Used)

SECTION 011000 - SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes:

1. Project information.
2. Work covered by Contract Documents.
3. Work by Owner.
4. Access to site.
5. Coordination with occupants.
6. Work restrictions.
7. Specification and drawing conventions.

B. Related Section:

1. Division 01 Section "Temporary Facilities and Controls" for limitations and procedures governing temporary use of Owner's facilities.

1.3 PROJECT INFORMATION

A. Project Identification: Thomas B Sharp Conference Center HVAC Replacement

1. Project Location: Marshallton Building 1703 School Lane, Wilmington, DE 19808.

B. Owner: New Castle County Votech School District, 1417 Newport Road, Wilmington, DE 19804.
1. Owner's Representative: Tim Kain, Facilities Management Supervisor, 302-683-3664, tkain@nccvt.k12.de.us .

2. Owner's Representative: Al Schrum, Supervisor, 302-299-9106, a.schrum@nccvt.k12.de.us.

C. M/E/P Engineer: Gipe Associates, Inc., 8719 Brooks Drive, Easton, MD 21601.
1. Engineer’s Representative: David R. Hoffman, President, 410-822-8688; dhoffman@gipe.net

1.4 WORK COVERED BY CONTRACT DOCUMENTS

A. The Work of the Project is defined by the Contract Documents and consists of the following:

1. The replacement of the rooftop units, installation of ductless units and supporting work at the Thomas B Sharp Conference Center in Wilmington, DE.

B. Type of Contract

1. Project will be constructed under a single prime contract.

C. Work by specific vendors:

1. All roof work shall be performed under separate contract. Coordinate the work under this contract with the roof replacement project roofer.

1.5 WORK UNDER SEPARATE CONTRACTS

A. General: Cooperate fully with separate contractors so work on those contracts may be carried out smoothly, without interfering with or delaying work under this Contract or other contracts. Coordinate the work of this Contract with work performed under separate contracts.

B. Concurrent Work: Owner will award separate contract(s) for the following construction operations at Project site. Those operations will be conducted simultaneously with work under this Contract.
1. All roof work.

1.6 ACCESS TO SITE

A. General: Contractor shall have limited use of Project site for construction operations as indicated on Drawings by the Contract limits and as indicated by requirements of this Section.

B. Use of Site: Limit use of Project site to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.

1. Limits: Confine construction operations to the Thomas B Sharp Conference Center.
2. Driveways, Walkways and Entrances: Keep driveways and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.

a. Schedule deliveries to minimize use of driveways and entrances by construction operations.
b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.

C. Condition of Existing Building: Maintain portions of existing building affected by construction operations in a weathertight condition throughout construction period. Repair damage caused by construction operations.

1.7 COORDINATION WITH OCCUPANTS

A. Owner Limited Occupancy of Completed Areas of Construction: Owner reserves the right to occupy and to place and install equipment in completed portions of the Work, prior to

Substantial Completion of the Work, provided such occupancy does not interfere with completion of the Work. Such placement of equipment and limited occupancy shall not constitute acceptance of the total Work.

1. Engineer will prepare a Certificate of Substantial Completion for each specific portion of the Work to be occupied prior to Owner acceptance of the completed Work.
2. Obtain a Certificate of Occupancy from authorities having jurisdiction before limited Owner occupancy.
3. Before limited Owner occupancy, mechanical and electrical systems shall be fully operational, and required tests and inspections shall be successfully completed. On occupancy, Owner will operate and maintain mechanical and electrical systems serving occupied portions of Work.
4. On occupancy, Owner will assume responsibility for maintenance and custodial service for occupied portions of Work.

1.8 WORK RESTRICTIONS

A. Work Restrictions, General: Comply with restrictions on construction operations.

1. Comply with limitations on use of public streets and other requirements of authorities having jurisdiction.

B. All finishes and floor must be protected as indicated on Contract Drawing.

C. On-Site Work Hours: Limit work in the existing building to normal business working hours of 7:00 a.m. to 4:30 p.m., Monday through Friday, except as otherwise indicated.

1. Weekend Hours: (As approved by the Owner)
2. Early Morning Hours: (As approved by the Owner)
3. Hours for Utility Shutdowns: (As approved by the Owner)
4. Hours for Core Drilling and Other noisy activity: (As approved by the Owner)
5. Lifting, crane operations, and overhead work shall not occur while staff are in the facility.

D. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after providing temporary utility services according to requirements indicated:

1. Notify Owner not less than seven (7) days in advance of proposed utility interruptions.
2. Obtain Owner's written permission before proceeding with utility interruptions.

E. Noise, Vibration, and Odors: Coordinate operations that may result in high levels of noise and vibration, odors, or other disruption to Owner occupancy with Owner.

1. Notify Owner not less than seven (7) days in advance of proposed disruptive operations.
2. Obtain Owner's written permission before proceeding with disruptive operations.

F. Nonsmoking Building: Smoking is not permitted within the building or within 25 feet (8 m) of entrances, operable windows, or outdoor air intakes.

G. Controlled Substances: Use of tobacco products and other controlled substances within the existing building and on the Project site is not permitted.

H. Employee Identification: Provide identification tags for Contractor personnel working on the Project site. Require personnel to utilize identification tags at all times. Contractor must provide required information to the Owner to allow proper background checks.

I. Employee Screening: Comply with Owner's requirements regarding drug and background screening of Contractor personnel working on the Project site.

1. Maintain list of approved screened personnel with Owner's Representative.

1.9 SPECIFICATION AND DRAWING CONVENTIONS

A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:

1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.

B. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.

C. Drawing Coordination: Requirements for materials and products identified on the Drawings are described in detail in the Specifications. One or more of the following are used on the Drawings to identify materials and products:

1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.
2. Abbreviations: Materials and products are identified by abbreviations.
3. Keynoting: Materials and products are identified by reference keynotes referencing Specification Section numbers found in this Project Manual.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

DIVISION 01 SECTION 011400
WORK RESTRICTIONS
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PART 2 PRODUCTS

NOT USED

PART 3 EXECUTION

NOT USED

SECTION 011400 - WORK RESTRICTIONS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 USE OF PREMISES

A. Use of Site: Limit use of premises to work in areas indicated. Do not disturb portions of site beyond areas in which the Work is indicated.

1. Limits: Confine constructions operations to the Thomas B Sharp Conference Center.
2. Owner Occupancy: Allow for Owner occupancy of site.
3. Driveways and Entrances: Keep driveways and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.

    a. Schedule deliveries to minimize use of driveways and entrances.
    b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.

B. Use of Existing Building: Maintain existing building in a weathertight condition throughout construction period. Repair damage caused by construction operations. Protect building and its occupants during construction period.

C. Background Checks: All workers who perform work on the site shall be subject to a full background check.

D. HVAC and electrical work within the conference center area shall take place after 2018 school year ends.

E. Any crane/rigging work shall be completed when conference room is unoccupied in summer months.

1.3 OCCUPANCY REQUIREMENTS

A. Full Owner Occupancy: Owner will occupy site and existing building during entire construction period. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's operations.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

DIVISION 01 SECTION 012116
ALLOWANCES
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PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION

3.1 EXAMINATION
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3.3 SCHEDULE OF ALLOWANCES

SECTION 012116 - ALLOWANCES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes administrative and procedural requirements governing allowances.

1. Certain items are specified in the Contract Documents by allowances. Allowances have been established in lieu of additional requirements and to defer selection of actual materials and equipment to a later date when direction will be provided to the Contractor. If necessary, additional requirements will be issued by Change Order.

B. Types of allowances include the following: Revise list below to suit Project.

1. Contingency allowances.

C. Related Sections:

1. Division 01 Section "Quality Requirements" for procedures governing the use of allowances for testing and inspecting.
2. Divisions 02 through 28 Sections for items of Work covered by allowances.

1.3 SELECTION AND PURCHASE

A. At the earliest practical date after award of the Contract, advise Engineer of the date when final selection and purchase of each product or system described by an allowance must be completed to avoid delaying the Work.

B. At Engineer's request, obtain proposals for each allowance for use in making final selections. Include recommendations that are relevant to performing the Work.

C. Purchase products and systems selected by Engineer from the designated supplier.

1.4 SUBMITTALS

A. Submit proposals for purchase of products or systems included in allowances, in the form specified for Change Orders.

B. Submit invoices or delivery slips to show actual quantities of materials delivered to the site for use in fulfillment of each allowance.

C. Submit time sheets and other documentation to show labor time and cost for installation of allowance items that include installation as part of the allowance.

D. Coordinate and process submittals for allowance items in same manner as for other portions of the Work.

1.5 COORDINATION

A. Coordinate allowance items with other portions of the Work. Furnish templates as required to coordinate installation.

1.6 LUMP-SUM ALLOWANCES

A. Allowance shall include cost to Contractor of specific products and materials ordered by Owner or selected by Engineer under allowance and shall include freight, and delivery to Project site.

B. Unused Materials: Return unused materials purchased under an allowance to manufacturer or supplier for credit to Owner, after installation has been completed and accepted.

1. If requested by Engineer, retain and prepare unused material for storage by Owner. Deliver unused material to Owner's storage space as directed.

1.7 CONTINGENCY ALLOWANCES

A. Use the contingency allowance only as directed by Engineer for Owner's purposes and only by Allowance Authorization Form (attached) that indicate amounts to be charged to the allowance.

B. Contractor's overhead, profit, and related costs for products and equipment ordered by Owner under the contingency allowance are included in the allowance and are not part of the Contract Sum. These costs include delivery, installation, insurance, equipment rental, and similar costs.

C. Allowance Authorization Form authorizing use of funds from the contingency allowance will include Contractor's related costs and reasonable overhead and profit margins.

D. At Project closeout, credit unused amounts remaining in the contingency allowance to Owner by Change Order.

1.8 ADJUSTMENT OF ALLOWANCES

A. Allowance Adjustment: To adjust allowance amounts, prepare a Change Order proposal based on the difference between purchase amount and the allowance, multiplied by final measurement of work-in-place where applicable. If applicable, include reasonable allowances for cutting losses, tolerances, mixing wastes, normal product imperfections, and similar margins.

1. Include installation costs in purchase amount only where indicated as part of the allowance.
2. If requested, prepare explanation and documentation to substantiate distribution of overhead costs and other margins claimed.

3. Submit substantiation of a change in scope of work, if any, claimed in Change Orders related to unit-cost allowances.
4. Owner reserves the right to establish the quantity of work-in-place by independent quantity survey, measure, or count.

B. Submit claims for increased costs because of a change in scope or nature of the allowance described in the Contract Documents, whether for the purchase order amount or Contractor's handling, labor, installation, overhead, and profit.

1. Do not include Contractor's or subcontractor's indirect expense in the Change Order cost amount unless it is clearly shown that the nature or extent of work has changed from what could have been foreseen from information in the Contract Documents.
2. No change to Contractor's indirect expense is permitted for selection of higher- or lower-priced materials or systems of the same scope and nature as originally indicated.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine products covered by an allowance promptly on delivery for damage or defects. Return damaged or defective products to manufacturer for replacement.

3.2 PREPARATION

A. Coordinate materials and their installation for each allowance with related materials and installations to ensure that each allowance item is completely integrated and interfaced with related work.

3.3 SCHEDULE OF ALLOWANCES

A. Allowance No. 1: Contingency Allowance: Include a contingency allowance of $25,000.00 for use according to Owner's instructions.

END OF SECTION

ALLOWANCE AUTHORIZATION

Project:

Architect: **Project No.**

Contractor:

AAA No.: **Initiation Date:**

The Allowance is allocated as follows:

Total original Contract Allowance was:	$
Amount of Contract Allowance Access previously authorized:	$
Adjusted Contract Allowance prior to this authorization is:	$
The amount of available Allowance will Decrease by this Access Authorization:	$
The remaining Contract Allowance, after this Access Authorization will be:	$

Recommended by:
Architect

By (Signature): _____
Date: _____

Accepted by:
Contractor

By (Signature): _____
Date: _____

Approved by:
Owner

By (Signature): _____
Date: _____

DIVISION 01 SECTION 012300
ALTERNATES
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PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION

3.1 SCHEDULE OF ALTERNATES

SECTION 012300 – ALTERNATES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes administrative and procedural requirements for alternates.

1.3 DEFINITIONS

A. Alternate: An amount proposed by bidders and stated on the Bid Form for certain work defined in the Bidding Requirements that may be added to or deducted from the base bid amount if Owner decides to accept a corresponding change either in the amount of construction to be completed or in the products, materials, equipment, systems, or installation methods described in the Contract Documents.

1. Alternates described in this Section are part of the Work only if enumerated in the Agreement.
2. The cost or credit for each alternate is the net addition to or deduction from the Contract Sum to incorporate alternate into the Work. No other adjustments are made to the Contract Sum.
3. The costs for alternates shall include additional Bonds and Insurance costs as a results of project value increasing if alternate is accepted.

1.4 PROCEDURES

A. Coordination: Modify or adjust affected adjacent work as necessary to completely integrate work of the alternate into Project.

1. Include as part of each alternate, miscellaneous devices, accessory objects, and similar items incidental to or required for a complete installation whether or not indicated as part of alternate.

B. Notification: Immediately following award of the Contract, notify each party involved, in writing, of the status of each alternate. Indicate if alternates have been accepted, rejected, or deferred for later consideration. Include a complete description of negotiated modifications to alternates.

C. Execute accepted alternates under the same conditions as other work of the Contract.

D. Schedule: A schedule of alternates is included at the end of this Section. Specification Sections referenced in schedule contain requirements for materials necessary to achieve the work described under each alternate.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 SCHEDULE OF ALTERNATES

A. Alternate #1– Rooftop Unit Compressors

1. Alternate: Provide additional costs, if any, for rooftop units to be provided with multiple variable speed compressors.

END OF SECTION 012300

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SUBSTITUTION PROCEDURES
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2.1 SUBSTITUTIONS

PART 3 EXECUTION (Not Used)

SECTION 012500 - SUBSTITUTION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes administrative and procedural requirements for substitutions.

B. Related Sections:

1. Division 01 Section "Allowances" for products selected under an allowance.
2. Division 01 Section "Alternates" for products selected under an alternate.
3. Division 01 Section "Product Requirements" for requirements for submitting comparable product submittals for products by listed manufacturers.
4. Divisions 02 through 28 Sections for specific requirements and limitations for substitutions.

1.3 DEFINITIONS

A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.

1. Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.
2. Substitutions for Convenience: Changes proposed by Contractor or Owner that are not required in order to meet other Project requirements but may offer advantage to Contractor or Owner.

1.4 SUBMITTALS

A. Substitution Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.

1. Substitution Request Form: Use CSI Form 13.1A.
2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:

a. Statement indicating why specified product or fabrication or installation cannot be provided, if applicable.

b. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Owner and separate contractors, that will be necessary to accommodate proposed substitution.
c. Detailed comparison of significant qualities of proposed substitution with those of the Work specified. Include annotated copy of applicable specification section. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
e. Samples, where applicable or requested.
f. Certificates and qualification data, where applicable or requested.
g. List of similar installations for completed projects with project names and addresses and names and addresses of engineers, architects, and owners.
h. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
i. Research reports evidencing compliance with building code in effect for Project.
j. Detailed comparison of Contractor's construction schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.
k. Cost information, including a proposal of change, if any, in the Contract Sum.
l. Contractor's certification that proposed substitution complies with requirements in the Contract Documents except as indicated in substitution request, is compatible with related materials, and is appropriate for applications indicated.
m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.

3. Engineer's Action: If necessary, Engineer will request additional information or documentation for evaluation within seven days of receipt of a request for substitution. Engineer will notify Contractor of acceptance or rejection of proposed substitution within 15 days of receipt of request, or seven days of receipt of additional information or documentation, whichever is later.

   a. Forms of Acceptance: Change Order, Construction Change Directive, or Engineer's Supplemental Instructions for minor changes in the Work.
   b. Use product specified if Engineer does not issue a decision on use of a proposed substitution within time allocated.

1.5 QUALITY ASSURANCE

A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage qualified testing agency to perform compatibility tests recommended by manufacturers.

1.6 PROCEDURES

A. Coordination: Modify or adjust affected work as necessary to integrate work of the approved substitutions.

PART 2 - PRODUCTS

2.1 SUBSTITUTIONS

A. Substitutions for Cause: Submit requests for substitution immediately upon discovery of need for change, but not later than 15 days prior to time required for preparation and review of related submittals.

1. Conditions: Engineer will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Engineer will return requests without action, except to record noncompliance with these requirements:

a. Requested substitution is consistent with the Contract Documents and will produce indicated results.
b. Substitution request is fully documented and properly submitted.
c. Requested substitution will not adversely affect Contractor's construction schedule.
d. Requested substitution has received necessary approvals of authorities having jurisdiction.
e. Requested substitution is compatible with other portions of the Work.
f. Requested substitution has been coordinated with other portions of the Work.
g. Requested substitution provides specified warranty.
h. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

B. Substitutions for Convenience: Not allowed, unless otherwise indicated.

PART 3 - EXECUTION (Not Used)

END OF SECTION

Advancement of Construction Technology

SUBSTITUTION REQUEST
(After the Bidding Phase)

Project: _____ Substitution Request Number: _____

_____ From: _____

To: _____ Date: _____

_____ A/E Project Number: _____

Re: _____ Contract For: _____

Specification Title: _____ Description: _____

Section: _____ Page: _____ Article/Paragraph: _____

Proposed Substitution: _____

Manufacturer: _____ Address: _____ Phone: _____

Trade Name: _____ Model No.: _____

Installer: _____ Address: _____ Phone: _____

History: ☐ New product ☐ 2-5 years old ☐ 5-10 yrs old ☐ More than 10 years old

Differences between proposed substitution and specified product: _____

_____

_____

☐ Point-by-point comparative data attached - REQUIRED BY A/E

Reason for not providing specified item: _____

_____

Similar Installation:

Project: _____ Architect: _____

Address: _____ Owner: _____

_____ Date Installed: _____

Proposed substitution affects other parts of Work: ☐ No ☐ Yes; explain _____

_____

Savings to Owner for accepting substitution: _____ ($ _____).

Proposed substitution changes Contract Time: ☐ No ☐ Yes [Add] [Deduct] _____days.

Supporting Data Attached: ☐ Drawings ☐ Product Data ☐ Samples ☐ Tests ☐ Reports ☐ _____

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SUBSTITUTION REQUEST
(Continued)

The Undersigned certifies:

- Proposed substitution has been fully investigated and determined to be equal or superior in all respects to specified product.
- Same warranty will be furnished for proposed substitution as for specified product.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitution will have no adverse effect on other trades and will not affect or delay progress schedule.
- Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent are to be waived.
- Proposed substitution does not affect dimensions and functional clearances.
- Payment will be made for changes to building design, including A/E design, detailing, and construction costs caused by the substitution.
- Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted by: _____

Signed by: _____

Firm: _____

Address: _____

_____

Telephone: _____

Attachments: _____

_____

_____

_____

A/E's REVIEW AND ACTION

☐ Substitution approved - Make submittals in accordance with Specification Section 01330.
☐ Substitution approved as noted - Make submittals in accordance with Specification Section 01330.
☐ Substitution rejected - Use specified materials.
☐ Substitution Request received too late - Use specified materials.

Signed by: Date:

Additional Comments: ☐ Contractor ☐ Subcontractor ☐ Supplier ☐ Manufacturer ☐ A/E ☐ _____

_____

_____

_____

_____

_____

_____

_____

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DIVISION 01 SECTION 012600
CONTRACT MODIFICATION PROCEDURES
TABLE OF CONTENTS

PART 1 GENERAL

1.1 RELATED DOCUMENTS
1.2 SUMMARY
1.3 MINOR CHANGES IN THE WORK
1.4 PROPOSAL REQUESTS
1.5 ADMINISTRATIVE CHANGE ORDERS
1.6 CHANGE ORDER PROCEDURES
1.7 CONSTRUCTION CHANGE DIRECTIVE

PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION (Not Used)

SECTION 012600 - CONTRACT MODIFICATION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section specifies administrative and procedural requirements for handling and processing Contract modifications.

B. Related Sections include the following:
   1. Division 01 Section "Product Requirements" for administrative procedures for handling requests for substitutions made after Contract award.

1.3 MINOR CHANGES IN THE WORK

A. Engineer will issue supplemental instructions authorizing Minor Changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on AIA Document G710, "Engineer's Supplemental Instructions."

1.4 PROPOSAL REQUESTS

A. Owner-Initiated Proposal Requests: Engineer will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.

   1. Proposal Requests issued by Engineer are for information only. Do not consider them instructions either to stop work in progress or to execute the proposed change.

   2. Within (7) seven days after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change.

      a. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
      b. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
      c. Include costs of labor and additional supervision directly attributable to the change.
      d. Include an updated Contractor's Construction Schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.

B. Contractor-Initiated Proposals: If latent or unforeseen conditions require modifications to the Contract, Contractor may propose changes by submitting a request for a change to Engineer.

1. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.
2. Include a list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
3. Indicate applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
4. Include costs of labor and supervision directly attributable to the change.
5. Include an updated Contractor's Construction Schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
6. Comply with requirements in Division 01 Section "Product Requirements" if the proposed change requires substitution of one product or system for product or system specified.

C. The Proposal Request shall include the Allowable Overhead and Profit Mark-Up scheduled in the General Conditions.

1.5 ADMINISTRATIVE CHANGE ORDERS

A. Allowance Adjustment: Refer to Division 01 Section "Allowances" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect actual costs of allowances.

1.6 CHANGE ORDER PROCEDURES

A. On Owner's approval of a Proposal Request, the College's Contracting Officer will issue a Change Order for signatures of Owner, Contractor and Engineer.

1.7 CONSTRUCTION CHANGE DIRECTIVE

A. Construction Change Directive: Engineer may issue a Construction Change Directive on AIA Document G714. Construction Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.

1. Construction Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.

B. Documentation: Maintain detailed records on a time and material basis of work required by the Construction Change Directive.

1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

PROPOSAL REQUEST

AIA DOCUMENT G709 - ELECTRONIC FORMAT

OWNER ☐
ARCHITECT ☐
CONSULTANT ☐
CONTRACTOR ☐
FIELD ☐
OTHER ☐

THIS DOCUMENT HAS IMPORTANT LEGAL CONSEQUENCES; CONSULTATION WITH AN ATTORNEY IS ENCOURAGED WITH RESPECT TO ITS COMPLETION OR MODIFICATION. AUTHENTICATION OF THIS ELECTRONICALLY DRAFTED AIA DOCUMENT MAY BE MADE BY USING AIA DOCUMENT D401.

PROJECT:
(Name and address)

PROPOSAL REQUEST NO.:

DATE OF ISSUANCE:

OWNER:
(Name and address)

CONTRACT FOR:

CONTRACT DATED:

ARCHITECT'S PROJECT NO.:

TO CONTRACTOR:
(Name and address)

ARCHITECT:
(Name and address)

Please submit an itemized proposal for changes in the Contract Sum and Contract Time for proposed modifications to the Contract Documents described herein. Submit proposal within days, or notify the Architect in writing of the date on which you anticipate submitting your proposal.

THIS IS NOT A CHANGE ORDER, A CONSTRUCTION CHANGE DIRECTIVE OR A DIRECTION TO PROCEED WITH THE WORK DESCRIBED IN THE PROPOSED MODIFICATIONS.

Description:
(Insert a written description of the Work.)

Attachments:
(List attached documents that support description.)

REQUESTED BY:

(Signature)

(Printed name and title)

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AIA® Document G701™ – 2001

Change Order

PROJECT *(Name and address)*:	CHANGE ORDER NUMBER: 001	OWNER: ☐
	DATE:	ARCHITECT: ☐
TO CONTRACTOR *(Name and address)*:	ARCHITECT'S PROJECT NUMBER:	CONTRACTOR: ☐
	CONTRACT DATE:	FIELD: ☐
	CONTRACT FOR: General Construction	OTHER: ☐

THE CONTRACT IS CHANGED AS FOLLOWS:
(Include, where applicable, any undisputed amount attributable to previously executed Construction Change Directives)

The original Contract Sum was	$	0.00
The net change by previously authorized Change Orders	$	0.00
The Contract Sum prior to this Change Order was	$	0.00
The Contract Sum will be increased by this Change Order in the amount of	$	0.00
The new Contract Sum including this Change Order will be	$	0.00

The Contract Time will be increased by Zero (0) days.
The date of Substantial Completion as of the date of this Change Order therefore is

NOTE: This Change Order does not include changes in the Contract Sum, Contract Time or Guaranteed Maximum Price which have been authorized by Construction Change Directive until the cost and time have been agreed upon by both the Owner and Contractor, in which case a Change Order is executed to supersede the Construction Change Directive.

NOT VALID UNTIL SIGNED BY THE ARCHITECT, CONTRACTOR AND OWNER.

ARCHITECT *(Firm name)*	CONTRACTOR *(Firm name)*	OWNER *(Firm name)*
ADDRESS	ADDRESS	ADDRESS
BY *(Signature)*	BY *(Signature)*	BY *(Signature)*
(Typed name)	*(Typed name)*	*(Typed name)*
DATE	DATE	DATE

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CONSTRUCTION CHANGE DIRECTIVE

AIA DOCUMENT G714 - ELECTRONIC FORMAT

(This document replaces AIA Document G713, Construction Change Authorization.)

OWNER	☐
ARCHITECT	☐
CONTRACTOR	☐
FIELD	☐
OTHER	☐

THIS DOCUMENT HAS IMPORTANT LEGAL CONSEQUENCES; CONSULTATION WITH AN ATTORNEY IS ENCOURAGED WITH RESPECT TO ITS COMPLETION OR MODIFICATION. AUTHENTICATION OF THIS ELECTRONICALLY DRAFTED AIA DOCUMENT MAY BE MADE BY USING AIA DOCUMENT D401.

PROJECT:
(Name, address)

TO CONTRACTOR:
(Name, address)

DIRECTIVE NO.:

DATE:

ARCHITECT'S PROJECT NO:

CONTRACT DATED:

CONTRACT FOR:

You are hereby directed to make the following change(s) in this Contract:

PROPOSED ADJUSTMENTS

1. The proposed basis of adjustment to the Contract Sum or Guaranteed Maximum Price is:
   [ ] Lump Sum (increase) (decrease) of $
   [ ] Unit Price of $ per
   [ ] as provided in Subparagraph 7.3.6 of AIA Document A201, 1987 edition.
   [ ] as follows:

2. The Contract Time is proposed to (be adjusted) (remain unchanged). The proposed adjustment, if any, is (an increase of days) (a decrease of days).

When signed by the Owner and Architect and received by the Contractor, this document becomes effective IMMEDIATELY as a Construction Change Directive (CCD), and the Contractor shall proceed with the change(s) described above.

Signature by the Contractor indicates the Contractor's agreement with the proposed adjustments in Contract Sum and Contract Time set forth in this Construction Change Directive.

ARCHITECT	OWNER	CONTRACTOR
Address	Address	Address
BY:	BY:	BY:
DATE:	DATE:	DATE:

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CONSTRUCTION CHANGE DIRECTIVE

AIA DOCUMENT G714 - ELECTRONIC FORMAT

(This document replaces AIA Document G713, Construction Change Authorization.)

OWNER	☐
ARCHITECT	☐
CONTRACTOR	☐
FIELD	☐
OTHER	☐

THIS DOCUMENT HAS IMPORTANT LEGAL CONSEQUENCES; CONSULTATION WITH AN ATTORNEY IS ENCOURAGED WITH RESPECT TO ITS COMPLETION OR MODIFICATION. AUTHENTICATION OF THIS ELECTRONICALLY DRAFTED AIA DOCUMENT MAY BE MADE BY USING AIA DOCUMENT D401.

PROJECT:
(Name, address)

TO CONTRACTOR:
(Name, address)

DIRECTIVE NO.:

DATE:

ARCHITECT'S PROJECT NO:

CONTRACT DATED:

CONTRACT FOR:

You are hereby directed to make the following change(s) in this Contract:

PROPOSED ADJUSTMENTS

1. The proposed basis of adjustment to the Contract Sum or Guaranteed Maximum Price is:
   [ ] Lump Sum (increase) (decrease) of $
   [ ] Unit Price of $ per
   [ ] as provided in Subparagraph 7.3.6 of AIA Document A201, 1987 edition.
   [ ] as follows:

2. The Contract Time is proposed to (be adjusted) (remain unchanged). The proposed adjustment, if any, is (an increase of days) (a decrease of days).

When signed by the Owner and Architect and received by the Contractor, this document becomes effective IMMEDIATELY as a Construction Change Directive (CCD), and the Contractor shall proceed with the change(s) described above.

Signature by the Contractor indicates the Contractor's agreement with the proposed adjustments in Contract Sum and Contract Time set forth in this Construction Change Directive.

ARCHITECT	OWNER	CONTRACTOR
Address	Address	Address
BY:	BY:	BY:
DATE:	DATE:	DATE:

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DIVISION 01 SECTION 012900
PAYMENT PROCEDURES
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1.1 RELATED DOCUMENTS
1.2 SUMMARY
1.3 DEFINITIONS
1.4 SCHEDULE OF VALUES
1.5 APPLICATIONS FOR PAYMENT

PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION (Not Used)

SECTION 012900 - PAYMENT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section specifies administrative and procedural requirements necessary to prepare and process Applications for Payment.

B. Related Sections include the following:

1. Division 01 Section "Allowances" for procedural requirements governing the handling and processing of allowances.
2. Division 01 Section "Contract Modification Procedures" for administrative procedures for handling changes to the Contract.
3. Division 01 Section "Construction Progress Documentation" for administrative requirements governing preparation and submittal of Contractor's Construction Schedule and Submittals Schedule.
4. Division 01 Section "Submittal Procedures" for administrative requirements governing the preparation and submittal of submittal schedule.

1.3 DEFINITIONS

A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

1.4 SCHEDULE OF VALUES

A. Coordination: Coordinate preparation of the Schedule of Values with preparation of Contractor's Construction Schedule.

1. Correlate line items in the Schedule of Values with other required administrative forms and schedules, including the following:

   a. Application for Payment forms with Continuation Sheets.
   b. Submittals Schedule.
   c. Contractor's Construction Schedule.

2. Submit the Schedule of Values to Engineer at earliest possible date but no later than the Work Initiation Conference.

3. Subschedules: Where the Work is separated into phases requiring separately phased payments, provide subschedules showing values correlated with each phase of payment.

B. Format and Content: Use the Project Manual table of contents as a guide to establish line items for the Schedule of Values. Provide at least one line item for each Specification Section.

1. Identification: Include the following Project identification on the Schedule of Values:

   a. Project name and location.
   b. Name of Engineer.
   c. Engineer's project number.
   d. Contractor's name and address.
   e. Date of submittal.

2. Submit draft of AIA Document G703 Continuation Sheets.

3. Arrange the Schedule of Values in tabular form with separate columns to indicate the following for each item listed:

   a. Related Specification Section or Division.
   b. Description of the Work.
   c. Name of subcontractor.
   d. Name of manufacturer or fabricator.
   e. Name of supplier.
   f. Change Orders (numbers) that affect value.
   g. Dollar value.

      1) Percentage of the Contract Sum to nearest one-hundredth percent, adjusted to total 100 percent.

4. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with the Project Manual table of contents. Provide several line items for principal subcontract amounts, where appropriate.

5. Round amounts to nearest whole dollar; total shall equal the Contract Sum.

6. Provide a separate line item in the Schedule of Values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.

   a. Differentiate between items stored on-site and items stored off-site. If specified, include evidence of insurance or bonded warehousing.

7. Provide separate line items in the Schedule of Values for initial cost of materials, for each subsequent stage of completion, and for total installed value of that part of the Work.

8. Allowances: Provide a separate line item in the schedule of values for each allowance. Show line-item value of unit-cost allowances, as a product of the unit cost, multiplied by measured quantity. Use information indicated in the Contract Documents to determine quantities.

9. Purchase Contracts: Provide a separate line item in the schedule of values for each purchase contract. Show line-item value of purchase contract. Indicate owner payments or deposits, if any, and balance to be paid by Contractor.

10. Each item in the Schedule of Values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.

    a. Temporary facilities and other major cost items that are not direct cost of actual work-in-place may be shown either as separate line items in the Schedule of Values or distributed as general overhead expense, at Contractor's option.

11. Schedule Updating: Update and resubmit the Schedule of Values before the next Applications for Payment when Change Orders or Construction Change Directives result in a change in the Contract Sum.

1.5 APPLICATIONS FOR PAYMENT

A. Each Application for Payment shall be consistent with previous applications and payments as certified by Engineer and paid for by Owner.

    1. Initial Application for Payment, Application for Payment at time of Substantial Completion, and final Application for Payment involve additional requirements.

B. Payment Application Times: The date for each progress payment is indicated in the Agreement between Owner and Contractor. The period of construction Work covered by each Application for Payment is the period indicated in the Agreement.

C. Payment Application Forms: Use AIA Document G702 and AIA Document G703 Continuation Sheets as form for Applications for Payment.

D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Engineer will return incomplete applications without action.

    1. Entries shall match data on the Schedule of Values and Contractor's Construction Schedule. Use updated schedules if revisions were made.
    2. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application.

E. Stored Materials: Include in Application for Payment amounts applied for materials or equipment purchased or fabricated and stored, but not yet installed. Differentiate between items stored on-site and items stored off-site.

    1. Provide certificate of insurance, evidence of transfer of title to Owner, and consent of surety to payment, for stored materials.
    2. Provide supporting documentation that verifies amount requested, such as paid invoices. Match amount requested with amounts indicated on documentation; do not include overhead and profit on stored materials.
    3. Provide summary documentation for stored materials indicating the following:

a. Materials previously stored and included in previous Applications for Payment.
b. Work completed for this Application utilizing previously stored materials.
c. Additional materials stored with this Application.
d. Total materials remaining stored, including materials with this Application.

F. Transmittal: Submit 3 signed and notarized original copies of each Application for Payment to Engineer by a method ensuring receipt within 24 hours. One copy shall include waivers of lien and similar attachments if required.

1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.

G. Waivers of Mechanic's Lien: With each Application for Payment, submit waivers of mechanic's liens from subcontractors, sub-subcontractors, and suppliers for construction period covered by the previous application.

1. Submit partial waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
2. When an application shows completion of an item, submit conditional final or full waivers.
3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
4. Submit final Application for Payment with or preceded by conditional final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.

H. Waiver Forms: Submit waivers of lien on forms, executed in a manner acceptable to Owner.

I. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:

1. List of subcontractors.
2. Schedule of Values.
3. Contractor's Construction Schedule (preliminary if not final).
4. Products list.
5. Submittals Schedule (preliminary if not final).
6. List of Contractor's staff assignments.
7. List of Contractor's principal consultants.
8. Copies of building permits.
9. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
10. Initial progress report.
11. Report of preconstruction conference.
12. Certificates of insurance and insurance policies.
13. Performance and payment bonds.
14. Data needed to acquire Owner's insurance.

J. Application for Payment at Substantial Completion and in accordance with the applicable sections in the College's General Terms and Conditions: After issuing the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion for portion of the Work claimed as substantially complete.

1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
2. This application shall reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.

K. Final Payment Application and in accordance with the applicable sections in the College's General Terms and Conditions: Submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:

1. Evidence of completion of Project closeout requirements.
2. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
3. Updated final statement, accounting for final changes to the Contract Sum.
4. AIA Document G706, "Contractor's Affidavit of Payment of Debts and Claims."
5. AIA Document G706A, "Contractor's Affidavit of Release of Liens."
6. AIA Document G707, "Consent of Surety to Final Payment."
7. Evidence that claims have been settled.
8. Final, liquidated damages settlement statement.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

AIA® Document G702™ – 1992

Application and Certificate for Payment

TO OWNER:	**PROJECT:** MASTER FORMS	**APPLICATION NO:** 001	**Distribution to:**
		PERIOD TO:	OWNER: ☐
		CONTRACT FOR: General Construction	ARCHITECT: ☐
FROM CONTRACTOR:	**VIA ARCHITECT:**	**CONTRACT DATE:**	CONTRACTOR: ☐
		PROJECT NOS: / /	FIELD: ☐
			OTHER: ☐

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet, AIA Document G703, is attached.

1. **ORIGINAL CONTRACT SUM** ..... $ 0.00
2. **Net change by Change Orders** ..... $ 0.00
3. **CONTRACT SUM TO DATE** (Line 1 ± 2) ..... $ 0.00
4. **TOTAL COMPLETED & STORED TO DATE** (Column G on G703) ..... $ 0.00
5. **RETAINAGE:**
   a. 0 % of Completed Work (Column D + E on G703) $ 0.00
   b. 0 % of Stored Material (Column F on G703) $ 0.00
   Total Retainage (Lines 5a + 5b or Total in Column I of G703) ..... $ 0.00
6. **TOTAL EARNED LESS RETAINAGE** ..... $ 0.00
   (Line 4 Less Line 5 Total)
7. **LESS PREVIOUS CERTIFICATES FOR PAYMENT** ..... $ 0.00
   (Line 6 from prior Certificate)
8. **CURRENT PAYMENT DUE** ..... $ 0.00
9. **BALANCE TO FINISH, INCLUDING RETAINAGE**
   (Line 3 less Line 6) $ 0.00

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	$ 0.00	$ 0.00
Total approved this Month	$ 0.00	$ 0.00
TOTALS	$ 0.00	$ 0.00
NET CHANGES by Change Order	$	0.00

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR:

By: _____ Date: _____

State of:

County of:

Subscribed and sworn to before me this day of

Notary Public:

My Commission expires:

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... $ 0.00

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract

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(1737352988)
User Notes:

AIA® Document G703™ – 1992

Continuation Sheet

AIA Document G702, APPLICATION AND CERTIFICATION FOR PAYMENT,
containing Contractor's signed certification is attached.
In tabulations below, amounts are stated to the nearest dollar.
Use Column I on Contracts where variable retainage for line items may apply.

APPLICATION NO: 001
APPLICATION DATE:
PERIOD TO:
ARCHITECT'S PROJECT NO:

A	B	C	D	E	F	G		H	I
			WORK COMPLETED						
ITEM NO.	DESCRIPTION OF WORK	SCHEDULED VALUE	FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD	MATERIALS PRESENTLY STORED (NOT IN D OR E)	TOTAL COMPLETED AND STORED TO DATE (D+E+F)	% (G ÷ C)	BALANCE TO FINISH (C - G)	RETAINAGE (IF VARIABLE RATE)
	GRAND TOTAL	$ 0.00	$ 0.00	$ 0.00	$ 0.00	$ 0.00	0.00 %	$ 0.00	$ 0.00

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User ! (2131320776)

CONTRACTOR'S AFFIDAVIT OF PAYMENT OF DEBTS AND CLAIMS

AIA DOCUMENT G706 - ELECTRONIC FORMAT

OWNER ☐
ARCHITECT ☐
CONTRACTOR ☐
SURETY ☐
OTHER ☐

THIS DOCUMENT HAS IMPORTANT LEGAL CONSEQUENCES; CONSULTATION WITH AN ATTORNEY IS ENCOURAGED WITH RESPECT TO ITS COMPLETION OR MODIFICATION. AUTHENTICATION OF THIS ELECTRONICALLY DRAFTED AIA DOCUMENT MAY BE MADE BY USING AIA DOCUMENT D401.

TO OWNER:
(Name and address)

ARCHITECT'S PROJECT NO.:

PROJECT:
(Name and address)

CONTRACT FOR:

CONTRACT DATED:

STATE OF:
COUNTY OF:

The undersigned hereby certifies that, except as listed below, payment has been made in full and all obligations have otherwise been satisfied for all materials and equipment furnished, for all work, labor, and services performed, and for all known indebtedness and claims against the Contractor for damages arising in any manner in connection with the performance of the Contract referenced above for which the Owner or Owner's property might in any way be held responsible or encumbered.

EXCEPTIONS:

SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Consent of Surety to Final Payment. Whenever Surety is involved, Consent of Surety is required. AIA Document G707, Consent of Surety, may be used for this purpose.

   Indicate attachment: ☐ yes ☐ no

The following supporting documents should be attached hereto if required by the Owner:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.

2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.

3. Contractor's Affidavit of Release of Liens (AIA Document G706A).

CONTRACTOR:
(Name and address)

BY: _____
(Signature of authorized representative)

_____
(Printed name and title)

Subscribed and sworn to before me on this date:

_____

Notary Public: _____

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CONTRACTOR'S AFFIDAVIT OF RELEASE OF LIENS

AIA DOCUMENT G706A - ELECTRONIC FORMAT

OWNER ☐
ARCHITECT ☐
CONTRACTOR ☐
SURETY ☐
OTHER ☐

THIS DOCUMENT HAS IMPORTANT LEGAL CONSEQUENCES; CONSULTATION WITH AN ATTORNEY IS ENCOURAGED WITH RESPECT TO ITS COMPLETION OR MODIFICATION. AUTHENTICATION OF THIS ELECTRONICALLY DRAFTED AIA DOCUMENT MAY BE MADE BY USING AIA DOCUMENT D401.

TO OWNER:
(Name and address)

ARCHITECT'S PROJECT NO.:

PROJECT:
(Name and address)

CONTRACT FOR:

CONTRACT DATED:

STATE OF:
COUNTY OF:

The undersigned hereby certifies that to the best of the undersigned's knowledge, information and belief, except as listed below, the Releases or Waivers of Lien attached hereto include the Contractor, all Subcontractors, all suppliers of materials and equipment, and all performers of Work, labor or services who have or may have liens or encumbrances or the right to assert liens or encumbrances against any property of the Owner arising in any manner out of the performance of the Contract referenced above.

EXCEPTIONS:

SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.

2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.

CONTRACTOR:
(Name and address)

BY

(Signature of authorized representative)

(Printed name and title)

Subscribed and sworn to before me on this date:

Notary Public:

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My Commission Expires: _____

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CONSENT OF SURETY TO FINAL PAYMENT

AIA DOCUMENT G707 - ELECTRONIC FORMAT

OWNER ☐
ARCHITECT ☐
CONTRACTOR ☐
SURETY ☐
OTHER ☐

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TO OWNER:
(Name and address)

ARCHTECT'S PROJECT NO.:

PROJECT:
(Name and address)

CONTRACT FOR:

CONTRACT DATED:

In accordance with the provisions of the Contract between the Owner and the Contractor as indicated above, the
(Insert name and address of Surety)

, SURETY,

on bond of
(Insert name and address of Contractor)

, CONTRACTOR,

hereby approves of the final payment to the Contractor, and agrees that final payment to the Contractor shall not relieve the Surety of its obligations to
(Insert name and address of Owner)

, OWNER,

as set forth in said Surety's bond.

IN WITNESS WHEREOF, the Surety has hereunto set its hand on this date:
(Insert in writing the month followed by the numeric date and year.)

(Surety)

Attest:

(Signature of authorized representative)

(Seal):

(Printed name and title)

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DIVISION 01 SECTION 013100
PROJECT MANAGEMENT AND COORDINATION
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PART 3 EXECUTION (Not Used)

SECTION 013100 – PROJECT MANAGEMENT AND COORDINATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:

1. General project coordination procedures.
2. Administrative and supervisory personnel.
3. Requests for Information (RFIs).
4. Project meetings.

B. Each contractor shall participate in coordination requirements. Certain areas of responsibility will be assigned to a specific contractor.

C. Related Sections include the following:
1. Division 01 Section "Construction Progress Documentation" for preparing and submitting Contractor's Construction Schedule (Accepted Baseline and Monthly Updates).
2. Division 01 Section "Execution Requirements" for procedures for coordinating general installation and field-engineering services.
3. Division 01 Section "Closeout Procedures" for coordinating Contract closeout.

1.3 DEFINITIONS

A. RFI: Request from Owner, Engineer, or Contractor seeking information from each other during construction.

1.4 COORDINATION

A. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations, included in different Sections, that depend on each other for proper installation, connection, and operation.

1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
2. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.

3. Make adequate provisions to accommodate items scheduled for later installation.

B. Coordination: Each contractor shall coordinate its construction operations with those of other contractors and entities to ensure efficient and orderly installation of each part of the Work. Each contractor shall coordinate its operations with operations, included in different Sections, that depend on each other for proper installation, connection, and operation.

1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
2. Coordinate installation of different components with other contractors to ensure maximum accessibility for required maintenance, service, and repair.
3. Make adequate provisions to accommodate items scheduled for later installation.
4. Where availability of space is limited, coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair of all components, including mechanical and electrical.

C. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.

1. Prepare similar memoranda for Owner and separate contractors if coordination of their Work is required.

D. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities and activities of other contractors to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:

1. Preparation of Contractor's Construction Schedule (Accepted Baseline and Monthly Updates).
2. Preparation of the Schedule of Values.
3. Installation and removal of temporary facilities and controls.
4. Delivery and processing of submittals.
5. Progress meetings.
6. Pre-installation conferences.
7. Project closeout activities.
8. Startup and adjustment of systems.

E. Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials.

1. Salvage materials and equipment involved in performance of, but not actually incorporated into, the Work. Refer to other Sections for disposition of salvaged materials that are designated as Owner's property.

1.5 KEY PERSONNEL

A. Key Personnel Names: Within 15 days of starting construction operations, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project

site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home, office, and cellular telephone numbers and email addresses. Provide names, addresses, and telephone numbers of individuals assigned as standbys in the absence of individuals assigned to Project.

1. Post copies of list in project meeting room, in temporary field office, and by each temporary telephone. Keep list current at all times.

1.6 REQUESTS FOR INFORMATION (RFIs)

A. General: Immediately on discovery of the need for additional information or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.

1. Engineer will return RFIs submitted to Engineer by other entities controlled by Contractor with no response.
2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.

B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:

1. Project name.
2. Project number.
3. Date.
4. Name of Contractor.
5. Name of Engineer.
6. RFI number, numbered sequentially.
7. RFI subject.
8. Specification Section number and title and related paragraphs, as appropriate.
9. Drawing number and detail references, as appropriate.
10. Field dimensions and conditions, as appropriate.
11. Contractor's suggested resolution. If Contractor's solution(s) impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
12. Contractor's signature.
13. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.

    a. Include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments on attached sketches.

C. RFI Forms: AIA Document G716.

D. Engineer’s Action: Engineer will review each RFI, determine action required, and respond. Allow seven working days for Engineer's response for each RFI. RFIs received by Engineer after 1:00 p.m. will be considered as received the following working day.

1. The following RFIs will be returned without action:

    a. Requests for approval of submittals.

b. Requests for approval of substitutions.
c. Requests for coordination information already indicated in the Contract Documents.
d. Requests for adjustments in the Contract Time or the Contract Sum.
e. Requests for interpretation of Engineer's actions on submittals.
f. Incomplete RFIs or inaccurately prepared RFIs.

2. Engineer's action may include a request for additional information, in which case Engineer's time for response will date from time of receipt of additional information.
3. Engineer's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Change Proposal according to Division 01 Section "Contract Modification Procedures."

   a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Engineer in writing within 10 days of receipt of the RFI response.

E. On receipt of Engineer’s action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Engineer within seven days if Contractor disagrees with response.

F. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by the RFI number. Submit log weekly. Use CSI Log Form 13.2B. Include the following:

1. Project name.
2. Name and address of Contractor.
3. Name and address of Engineer.
4. RFI number including RFIs that were dropped and not submitted.
5. RFI description.
6. Date the RFI was submitted.
7. Date Engineer’s response was received.
8. Identification of related Minor Change in the Work, Construction Change Directive, and Proposal Request, as appropriate.
9. Identification of related Field Order, Work Change Directive, and Proposal Request, as appropriate.

1.7 PROJECT MEETINGS

A. General: Schedule and conduct meetings and conferences at Project site, unless otherwise indicated.

1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify Owner and Engineer of scheduled meeting dates and times.
2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
3. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including Owner and Engineer, within three days of the meeting.

B. Preconstruction Conference: Engineer will schedule and conduct a preconstruction conference before starting construction, at a time convenient to Owner and Engineer, but no later than 15 days after execution of the Agreement.

1. Conduct the conference to review responsibilities and personnel assignments.
2. Attendees: Authorized representatives of Owner, Engineer, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the conference. Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work.
3. Agenda: Discuss items of significance that could affect progress, including the following:

    a. Tentative construction schedule.
    b. Phasing.
    c. Critical work sequencing and long-lead items.
    d. Designation of key personnel and their duties.
    e. Lines of communications.
    f. Procedures for processing field decisions and Change Orders.
    g. Procedures for RFIs.
    h. Procedures for testing and inspecting.
    i. Procedures for processing Applications for Payment.
    j. Distribution of the Contract Documents.
    k. Submittal procedures.
    l. Preparation of record documents.
    m. Use of the premises and existing building.
    n. Work restrictions.
    o. Working hours.
    p. Owner's occupancy requirements.
    q. Responsibility for temporary facilities and controls.
    r. Procedures for moisture and mold control.
    s. Procedures for disruptions and shutdowns.
    t. Construction waste management and recycling.
    u. Parking availability.
    v. Office, work, and storage areas.
    w. Equipment deliveries and priorities.
    x. First aid.
    y. Security.
    z. Progress cleaning.

4. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.

C. Preinstallation Conferences: Conduct a preinstallation conference at Project site before each construction activity that requires coordination with other construction.

1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Engineer of scheduled meeting dates.
2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following:

a. Contract Documents.
b. Options.
c. Related RFIs.
d. Related Change Orders.
e. Purchases.
f. Deliveries.
g. Submittals.
h. Review of mockups.
i. Possible conflicts.
j. Compatibility problems.
k. Time schedules.
l. Weather limitations.
m. Manufacturer's written recommendations.
n. Warranty requirements.
o. Compatibility of materials.
p. Acceptability of substrates.
q. Temporary facilities and controls.
r. Space and access limitations.
s. Regulations of authorities having jurisdiction.
t. Testing and inspecting requirements.
u. Installation procedures.
v. Coordination with other work.
w. Required performance results.
x. Protection of adjacent work.
y. Protection of construction and personnel.

3. Record significant conference discussions, agreements, and disagreements, including required corrective measures and actions.
4. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information.
5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.

D. Project Closeout Conference: Schedule and conduct a Project closeout conference, at a time convenient to Owner and Engineer, but no later than 30 days prior to the scheduled date of Substantial Completion.

1. Conduct the conference to review requirements and responsibilities related to Project closeout.
2. Attendees: Authorized representatives of Owner, Engineer, and their consultants; Contractor and its superintendent; major subcontractors; suppliers; and other concerned parties shall attend the meeting. Participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
3. Agenda: Discuss items of significance that could affect or delay Project closeout, including the following:

a. Preparation of record documents.
b. Procedures required prior to inspection for Substantial Completion and for final inspection for acceptance.
c. Submittal of written warranties.

d. Requirements for preparing sustainable design documentation.
e. Requirements for preparing operations and maintenance data.
f. Requirements for demonstration and training.
g. Preparation of Contractor's punch list.
h. Procedures for processing Applications for Payment at Substantial Completion and for final payment.
i. Submittal procedures.
j. Coordination of separate contracts.
k. Owner's partial occupancy requirements.
l. Installation of Owner's furniture, fixtures, and equipment.
m. Responsibility for removing temporary facilities and controls.

4. Minutes: Entity conducting meeting will record and distribute meeting minutes.

E. Progress Meetings: Conduct progress meetings at regular intervals.

1. Coordinate dates of meetings with preparation of payment requests.
2. Attendees: In addition to representatives of Owner and Engineer, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meeting shall be familiar with Project and authorized to conclude matters relating to the Work.
3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.

a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.

1) Review schedule for next period.

b. Review present and future needs of each entity present, including the following:

1) Interface requirements.
2) Sequence of operations.
3) Status of submittals.
4) Deliveries.
5) Off-site fabrication.
6) Access.
7) Site utilization.
8) Temporary facilities and controls.
9) Progress cleaning.
10) Quality and work standards.
11) Status of correction of deficient items.
12) Field observations.
13) Status of RFIs.
14) Status of proposal requests.

15) Pending changes.
16) Status of Change Orders.
17) Pending claims and disputes.
18) Documentation of information for payment requests.

4. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.

   a. Schedule Updating: Revise Contractor's construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.

F. Coordination Meetings: Conduct Project coordination meetings at regular intervals. Project coordination meetings are in addition to specific meetings held for other purposes, such as progress meetings and preinstallation conferences.

1. Attendees: In addition to representatives of Owner and Engineer, each contractor, subcontractor, supplier, and other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings. All participants at the meetings shall be familiar with Project and authorized to conclude matters relating to the Work.
2. Agenda: Review and correct or approve minutes of the previous coordination meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.

   a. Combined Contractor's Construction Schedule: Review progress since the last coordination meeting. Determine whether each contract is on time, ahead of schedule, or behind schedule, in relation to combined Contractor's construction schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
   b. Schedule Updating: Revise combined Contractor's construction schedule after each coordination meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with report of each meeting.
   c. Review present and future needs of each contractor present, including the following:

      1) Interface requirements.
      2) Sequence of operations.
      3) Status of submittals.
      4) Deliveries.
      5) Off-site fabrication.
      6) Access.
      7) Site utilization.
      8) Temporary facilities and controls.
      9) Work hours.
      10) Hazards and risks.
      11) Progress cleaning.
      12) Quality and work standards.
      13) Change Orders.

3. Reporting: Record meeting results and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting.

1.8 SUBMITTALS

A. Contractor Deliverables:

B. Monthly Progress Report Deliverables: Prepare and submit Monthly Deliverables as described below:
   1. Project Narrative providing executive summary, any schedule deviations, and current issues.
   2. Update Schedule providing status of individual Activity Time and Cost Progress projected to end of month.
   3. Safety Log providing to-date summary lists of incidents or illnesses.
   4. Submittal Log.
   5. RFI Log.
   6. Change Order Log.
   7. Quality Assurance/Quality Control Log, with summary statement.

C. Coordination Drawings: Prepare Coordination Drawings.

   1. Content: Project-specific information, drawn accurately to scale. Do not base Coordination Drawings on reproductions of the Contract Documents or standard printed data. Include the following information, as applicable:

      a. Indicate functional and spatial relationships of components of Civil, mechanical, and electrical systems.
      b. Indicate required installation sequences.
      c. Indicate dimensions shown on the Contract Drawings and make specific note of dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternate sketches to Engineer for resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.

   2. Sheet Size: At least 8-1/2 by 11 inches (215 by 280 mm) but no larger than 30 by 40 inches (750 by 1000 mm).
   3. Number of Copies: Submit two opaque copies of each submittal. Engineer will return one copy.

      a. Submit five copies where Coordination Drawings are required for operation and maintenance manuals. Engineer will retain two copies; remainder will be returned.

   4. Refer to individual Sections for Coordination Drawing requirements for Work in those Sections.

D. Key Personnel Names: Within 15 days of receiving Notice To Proceed, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home and office telephone numbers. Provide names, addresses, and

telephone numbers of individuals assigned as standbys in the absence of individuals assigned to Project.

1. Post copies of list in Project meeting room, in temporary field office, and by each temporary telephone. Keep list current at all times.

1.9 ADMINISTRATIVE AND SUPERVISORY PERSONNEL

A. General: In addition to Project superintendent, provide other administrative and supervisory personnel as required for proper performance of the Work.

1. Include special personnel required for coordination of operations with other contractors.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

Advancement of Construction Technology

REQUEST FOR INTERPRETATION LOG

Project: _____ A/E Project Number: _____

Owner: _____ Contractor: _____

R.F.I. NO.	DATE RECEIVED	BRIEF DESCRIPTION OF INFORMATION REQUESTED	DATE OF RESPONSE	R.F.P. NO.

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AIA® Document G716™ – 2004

Request for Information ("RFI")

TO: FROM:

PROJECT: ISSUE DATE: RFI No. 001

REQUESTED REPLY DATE:

PROJECT NUMBERS: / COPIES TO:

RFI DESCRIPTION: *(Fully describe the question or type of information requested.)*

REFERENCES/ATTACHMENTS: *(List specific documents researched when seeking the information requested.)*
SPECIFICATIONS: **DRAWINGS:** **OTHER:**

SENDER'S RECOMMENDATION: *(If RFI concerns a site or construction condition, the sender may provide a recommended solution, including cost and/or schedule considerations.)*

RECEIVER'S REPLY: *(Provide answer to RFI, including cost and/or schedule considerations.)*

BY DATE COPIES TO

Note: This reply is not an authorization to proceed with work involving additional cost, time or both. If any reply requires a change to the Contract Documents, a Change Order, Construction Change Directive or a Minor Change in the work must be executed in accordance with the Contract Documents.

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User Notes: (1934057776)

DIVISION 01 SECTION 013200
CONSTRUCTION PROGRESS DOCUMENTATION
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SECTION 013200 - CONSTRUCTION PROGRESS DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:

1. Baseline Construction Schedule.
2. Update Construction Schedule.
3. Submittals Schedule.
4. Daily construction reports.
5. Material location reports.
6. Field condition reports.
7. Special reports.

B. Related Sections include the following:

1. Division 01 Section "Payment Procedures" for submitting the Schedule of Values.
2. Division 01 Section "Project Management and Coordination" for submitting and distributing meeting and conference minutes.
3. Division 01 Section "Submittal Procedures" for submitting schedules and reports.
4. Division 01 Section "Quality Requirements" for submitting a schedule of tests and inspections.

1.3 DEFINITIONS

A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction project. Activities included in a construction schedule consume time and resources.

1. Critical activities are activities on the critical path. They must start and finish on the planned early start and finish times.
2. Predecessor Activity: An activity that precedes another activity in the network.
3. Successor Activity: An activity that follows another activity in the network.

B. Cost Loading: The allocation of the Schedule of Values for the completion of an activity as scheduled. The sum of costs for all activities must equal the total Contract Sum, unless otherwise approved by Engineer.

C. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.

D. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.

E. Days: Unit of time duration in calendar days, unless specifically indicated to be work days.

F. Event: The starting or ending point of an activity.

G. Float: The measure of leeway in starting and completing an activity.

1. Float time belongs to Owner.
2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.

H. Fragment: A partial or fragmentary network that breaks down activities into smaller activities for greater detail.

I. Major Area: A story of construction, a separate building, or a similar significant construction element.

J. Milestone: A key or critical point in time for reference or measurement.

1.4 INFORMATIONAL SUBMITTALS

A. Format for Submittals: Submit required submittals in the following format:

1. PDF electronic file.

B. Start-up construction schedule.

1. Approval of cost-loaded start-up construction schedule will not constitute approval of schedule of values for cost-loaded activities.

C. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.

1. Submit a working electronic copy of schedule, using software indicated, and labeled to comply with requirements for submittals. Include type of schedule (initial or updated) and date on label.

D. CPM Reports: Concurrent with CPM schedule, submit each of the following reports. Format for each activity in reports shall contain activity number, activity description, cost and resource loading, original duration, remaining duration, early start date, early finish date, late start date, late finish date, and total float in calendar days.

1. Activity Report: List of all activities sorted by activity number and then early start date, or actual start date if known.
2. Logic Report: List of preceding and succeeding activities for all activities, sorted in ascending order by activity number and then early start date, or actual start date if known.
3. Total Float Report: List of all activities sorted in ascending order of total float.

E. Field Condition Reports: Submit at time of discovery of differing conditions.

F. Special Reports: Submit at time of unusual event.

G. Qualification Data: For scheduling consultant.

1.5 SUBMITTALS

A. Submittals Schedule: Submit three copies of schedule. Arrange the following information in a tabular format:

1. Scheduled date for first submittal.
2. Specification Section number and title.
3. Submittal category (action or informational).
4. Name of subcontractor.
5. Description of the Work covered.
6. Scheduled date for Engineer's final release or approval.

B. Baseline Construction Schedule: Submit two opaque copies.

1. Approval of cost-loaded Baseline Construction Schedule will not constitute approval of Schedule of Values for cost-loaded activities.

C. Update Construction Schedule: Submit two opaque copies of Update Construction Schedule, large enough to show entire schedule for entire construction period.

1. Submit an electronic copy of schedule, using software indicated, on CD-R, and labeled to comply with requirements for submittals. Include type of schedule (Initial or Updated) and date on label.

D. Daily Construction Reports: Submit two copies at monthly intervals.

E. Material Location Reports: Submit two copies at monthly intervals.

F. Field Condition Reports: Submit two copies at time of discovery of differing conditions.

1.6 QUALITY ASSURANCE

A. Scheduling Consultant Qualifications: An experienced specialist in CPM scheduling and reporting, with capability of producing CPM reports and diagrams within 24 hours of Engineer's request.

B. Prescheduling Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination." Review methods and procedures

related to the preliminary construction schedule and Contractor's construction schedule, including, but not limited to, the following:

1. Review software limitations and content and format for reports.
2. Verify availability of qualified personnel needed to develop and update schedule.
3. Review delivery dates for Owner-furnished products.
4. Review schedule for work of Owner's separate contracts.
5. Review time required for review of submittals and resubmittals.
6. Review requirements for tests and inspections by independent testing and inspecting agencies.
7. Review time required for completion and startup procedures.
8. Review and finalize list of construction activities to be included in schedule.
9. Review submittal requirements and procedures.
10. Review procedures for updating schedule.

1.7 COORDINATION

A. Coordinate preparation and processing of schedules and reports with performance of construction activities and with scheduling and reporting of separate contractors.

B. Coordinate Contractor's Baseline and Update Construction Schedules with the Schedule of Values, list of subcontracts, Submittals Schedule, progress reports, payment requests, and other required schedules and reports.

1. Secure time commitments for performing critical elements of the Work from parties involved.
2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

PART 2 - PRODUCTS

2.1 SUBMITTALS SCHEDULE

A. Preparation: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, resubmittal, ordering, manufacturing, fabrication, and delivery when establishing dates.

1. Coordinate Submittals Schedule with list of subcontracts, the Schedule of Values, and Contractor's Baseline and Update Construction Schedule.
2. Initial Submittal: Submit concurrently with preliminary bar-chart schedule. Include submittals required during the first 60 days of construction. List those required to maintain orderly progress of the Work and those required early because of long lead time for manufacture or fabrication.

   a. At Contractor's option, show submittals on the Baseline Construction Schedule, instead of tabulating them separately.

3. Final Submittal: Submit concurrently with the first complete submittal of Contractor's Baseline Construction Schedule.

2.2 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

A. Procedures: Comply with procedures contained in AGC's "Construction Planning & Scheduling."

B. Contractor’s Construction Schedule Acceptance is represented in two forms: 1) Accepted Baseline Schedule, and 2) Accepted Monthly Update Schedule.

C. Time Frame: Extend schedule from date established for the Notice to Proceed to date of Substantial Completion.

1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.

D. Activities: Treat each story or separate area as a separate numbered activity for each principal element of the Work. Comply with the following:

1. Activity Duration: Define activities so no activity is longer than 30 days, unless specifically allowed by Engineer.
2. Procurement Activities: Include procurement process activities for the following long lead items and major items, requiring a cycle of more than 30 days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.

   a. Single Zone VAV Unit
   b. Electrical Gear
   c. ATC Controls
   d. Ductless Units

3. Submittal Review Time: Include review and re-submittal times indicated in Division 01 Section "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's Construction Schedule with Submittals Schedule.
4. Startup and Testing Time: Include not less than 14 days for startup and testing.
5. Substantial Completion: Indicate completion in advance of date established for Substantial Completion, and allow time for Engineer's administrative procedures necessary for certification of Substantial Completion.
6. Punch List and Final Completion: Include not more than 30 days for punch list and final completion.

E. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule, and show how the sequence of the Work is affected.

1. Phasing: Arrange list of activities on schedule by phase.
2. Work under More Than One Contract: Include a separate activity for each contract.
3. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.
4. Work Restrictions: Show the effect of the following items on the schedule:

a. Coordination with existing construction.
b. Limitations of continued occupancies.
c. Uninterruptible services.
d. Partial occupancy before Substantial Completion.
e. Use of premises restrictions.
f. Provisions for future construction.
g. Seasonal variations.
h. Environmental control.

5. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:

a. Subcontract awards.
b. Submittals.
c. Purchases.
d. Mockups.
e. Fabrication.
f. Sample testing.
g. Deliveries.
h. Installation.
i. Tests and inspections.
j. Adjusting.
k. Curing.
l. Startup and placement into final use and operation.

6. Construction Areas: Identify each major area of construction for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:

a. Structural completion.
b. Permanent space enclosure.
c. Completion of mechanical installation.
d. Completion of ductwork.
e. Completion of electrical installation.
f. Completion of testing/balancing.
g. Substantial Completion.

F. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Equipment Deliveries Complete, Equipment in Place, Systems Ready for Test, Substantial Completion, and Final Completion

G. Contract Modifications: For each proposed contract modification and concurrent with its submission, prepare a time-impact analysis using fragments to demonstrate the effect of the proposed change on the overall project schedule.

H. Cost Correlation: At the head of schedule, provide a cost correlation line, indicating planned and actual costs. On the line, show dollar volume of the Work performed as of dates used for preparation of payment requests.

1. Refer to Division 01 Section "Payment Procedures" for cost reporting and payment procedures.

I. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:

1. Unresolved issues.
2. Unanswered RFIs.
3. Rejected or unreturned submittals.
4. Notations on returned submittals.

J. Recovery Schedule: When periodic update indicates the Work is fourteen (14) or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, and equipment required to achieve compliance, and date by which recovery will be accomplished.

K. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules.

1. Utilize Microsoft Project, Primavera, or Prolog, for Windows XP operating system.

2.3 REPORTS

A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:

1. List of subcontractors at Project site.
2. List of separate contractors at Project site.
3. Approximate count of personnel at Project site.
4. Equipment at Project site.
5. Material deliveries.
6. High and low temperatures and general weather conditions.
7. Accidents.
8. Meetings and significant decisions.
9. Unusual events (refer to special reports).
10. Stoppages, delays, shortages, and losses.
11. Emergency procedures.
12. Orders and requests of authorities having jurisdiction.
13. Change Orders received and implemented.
14. Construction Change Directives received and implemented.
15. Services connected and disconnected.
16. Equipment or system tests and startups.
17. Substantial Completions authorized.

B. Material Location Reports: At monthly intervals, prepare and submit a comprehensive list of materials delivered to and stored at Project site. List shall be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site.

C. Field Condition Reports: Immediately on discovery of a difference between field conditions and the Contract Documents, prepare and submit a detailed report. Submit with a request for

interpretation on CSI Form 13.2A. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

PART 3 - EXECUTION

3.1 CONTRACTOR'S CONSTRUCTION SCHEDULE

A. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule one week before each regularly scheduled progress meeting.

1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
2. Include a report with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
3. As the Work progresses, indicate Actual Completion percentage for each activity.

B. Distribution: Distribute copies of approved schedule to Engineer, Owner, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.

1. Post copies in Project meeting rooms and temporary field offices.
2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

END OF SECTION

Advancement of Construction Technology

REQUEST FOR INTERPRETATION

Project: _____ R.F.I. Number: _____

_____ From: _____

To: _____ Date: _____

_____ A/E Project Number: _____

Re: _____ Contract For: _____

Specification Section: Paragraph: Drawing Reference: Detail:

Request:

Signed by: Date:

Response:

☐ Attachments

Response From: To: Date Rec'd: Date Ret'd:

Signed by: Date:

Copies: ☐ Owner ☐ Consultants ☐ _____ ☐ _____ ☐ _____ ☐ _____ ☐ File

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July 1994
CSI Form 13.2A

DIVISION 01 SECTION 013300
SUBMITTAL PROCEDURES
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SECTION 013300 - SUBMITTAL PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.

B. Related Sections include the following:

1. Division 01 Section "Payment Procedures" for submitting Applications for Payment and the Schedule of Values.
2. Division 01 Section "Project Management and Coordination" for submitting and distributing meeting and conference minutes and for submitting Coordination Drawings.
3. Division 01 Section "Construction Progress Documentation" for submitting schedules and reports, including Contractor's Construction Schedule and the Submittals Schedule.
4. Division 01 Section "Closeout Procedures" for submitting warranties.
5. Division 01 Section "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.
6. Division 01 Section "Operation and Maintenance Data" for submitting operation and maintenance manuals.
7. Division 01 Section "Demonstration and Training" for training of Owner's personnel.
8. Divisions 02 through 26 Sections for specific requirements for submittals in those Sections.

1.3 DEFINITIONS

A. Action Submittals: Written and graphic information that requires Engineer's responsive action.

B. Informational Submittals: Written information that does not require Engineer's responsive action. Submittals may be rejected for not complying with requirements.

C. File Transfer Protocol (FTP): Communications protocol that enables transfer of files to and from another computer over a network and that serves as the basis for standard Internet protocols. An FTP site is a portion of a network located outside of network firewalls within which internal and external users are able to access files.

D. Portable Document Format (PDF): An open standard file format licensed by Adobe Systems used for representing documents in a device-independent and display resolution-independent fixed-layout document format.

1.4 SUBMITTAL PROCEDURES

A. General: Electronic copies of CAD Drawings of the Contract Drawings will be provided by Engineer for Contractor's use in preparing submittals at the cost identified in Paragraph 1.5.

B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.

1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
2. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.

a. Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

C. Submittals Schedule: Comply with requirements in Division 01 Section "Construction Progress Documentation" for list of submittals and time requirements for scheduled performance of related construction activities.

D. Processing Time: Allow enough time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Engineer's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.

1. Initial Review: Allow 15 days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Engineer will advise Contractor when a submittal being processed must be delayed for coordination.
2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
3. Resubmittal Review: Allow 15 days for review of each resubmittal.
4. Concurrent Consultant Review: Where the Contract Documents indicate that submittals may be transmitted simultaneously to Engineer and to Engineer's consultants, allow 15 days for review of each submittal. Submittal will be returned to Engineer before being returned to Contractor.

E. Identification: Place a permanent label or title block on each submittal for identification.

1. Indicate name of firm or entity that prepared each submittal on label or title block.
2. Provide a space approximately 6 by 8 inches (150 by 200 mm) on label or beside title block to record Contractor's review and approval markings and action taken by Engineer.
3. Include the following information on label for processing and recording action taken:

a. Project name.
b. Date.
c. Name and address of Engineer.
d. Name and address of Contractor.
e. Name and address of subcontractor.
f. Name and address of supplier.
g. Name of manufacturer.

h. Submittal number or other unique identifier, including revision identifier.

1) Submittal number shall use Specification Section number followed by a decimal point and then a sequential number (e.g., 06100.01). Resubmittals shall include an alphabetic suffix after another decimal point (e.g., 06100.01.A).

i. Number and title of appropriate Specification Section.
j. Drawing number and detail references, as appropriate.
k. Location(s) where product is to be installed, as appropriate.
l. Other necessary identification.

F. Deviations: Highlight, encircle, or otherwise specifically identify deviations from the Contract Documents on submittals.

G. Additional Copies: Unless additional copies are required for final submittal, and unless Engineer observes noncompliance with provisions in the Contract Documents, initial submittal may serve as final submittal.

1. Submit one copy of submittal to concurrent reviewer in addition to specified number of copies to Engineer.
2. Additional copies submitted for maintenance manuals will be marked with action taken and will be returned.

H. Transmittal: Package each submittal individually and appropriately for transmittal and handling. Transmit each submittal using a transmittal form. Engineer will return submittals, without review, received from sources other than Contractor.

1. Transmittal Form: Use CSI Form 12.1A at the end of Section.
2. Transmittal Form: Provide locations on form for the following information:

a. Project name.
b. Date.
c. Destination (To:).
d. Source (From:).
e. Names of subcontractor, manufacturer, and supplier.
f. Category and type of submittal.
g. Submittal purpose and description.
h. Specification Section number and title.
i. Drawing number and detail references, as appropriate.
j. Transmittal number.
k. Submittal and transmittal distribution record.
l. Remarks.
m. Signature of transmitter.

3. On an attached separate sheet, prepared on Contractor's letterhead, record relevant information, requests for data, revisions other than those requested by Engineer on previous submittals, and deviations from requirements in the Contract Documents, including minor variations and limitations. Include same label information as related submittal.

I. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.

1. Note date and content of previous submittal.
2. Note date and content of revision in label or title block and clearly indicate extent of revision.
3. Resubmit submittals until they are marked " Approved" or "Approved as Noted."
4. For any submittal requiring more than two (2) reviews by the Engineer (including those caused by a change in subcontractor or supplier) the Owner will withhold contractor's funds by a change order to the contract to cover the cost of additional reviews. One review is counted for each action including rejection or return of any reason.

J. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.

K. Use for Construction: Use only final submittals with mark indicating "Approved" taken by Engineer

1.5 CONTRACTOR'S USE OF ENGINEER'S CAD FILES

A. General: At Contractor's written request, copies of Engineer's CAD files will be provided to Contractor for Contractor's use in connection with Project, subject to the following conditions:

B. General: Electronic copies of CAD Drawings of the Contract Drawings may be provided by Engineer for Contractor's use in preparing submittals.

1. The Documents for this project were prepared using commercial software on computers.
2. Drawing files (in AutoCAD V2000) are available to the Contractor.
3. These digital files will only be released with original signature on the "Digital Information Electronic Release Agreement Form enclosed at the end of Part 3.

PART 2 - PRODUCTS

2.1 ACTION SUBMITTALS

A. General: Prepare and submit Action Submittals required by individual Specification Sections.

1. Submit electronic submittals via email as PDF electronic files.

a. Engineer will return annotated file. Annotate and retain one copy of file as an electronic Project record document file.

B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.

1. If information must be specially prepared for submittal because standard printed data are not suitable for use, submit as Shop Drawings, not as Product Data.
2. Mark each copy of each submittal to show which products and options are applicable.

3. Include the following information, as applicable:

    a. Manufacturer's written recommendations.
    b. Manufacturer's product specifications.
    c. Manufacturer's installation instructions.
    d. Standard color charts.
    e. Manufacturer's catalog cuts.
    f. Wiring diagrams showing factory-installed wiring.
    g. Printed performance curves.
    h. Operational range diagrams.
    i. Standard product operation and maintenance manuals.
    j. Compliance with specified referenced standards.
    k. Testing by recognized testing agency.
    l. Application of testing agency labels and seals.
    m. Notation of coordination requirements.

4. Submit Product Data before or concurrent with Samples.
5. Number of Copies: Submit one PDF electronic file. Engineer will return one electronic copy with comment page. Mark up and retain one returned copy as a Project Record Document.

C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data, unless submittal of Engineer's CAD Drawings are otherwise permitted.

1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:

    a. Dimensions.
    b. Identification of products.
    c. Fabrication and installation drawings.
    d. Roughing-in and setting diagrams.
    e. Wiring diagrams showing field-installed wiring, including power, signal, and control wiring.
    f. Shopwork manufacturing instructions.
    g. Templates and patterns.
    h. Schedules.
    i. Design calculations.
    j. Compliance with specified standards.
    k. Notation of coordination requirements.
    l. Notation of dimensions established by field measurement.
    m. Relationship to adjoining construction clearly indicated.
    n. Seal and signature of professional engineer if specified.
    o. Wiring Diagrams: Differentiate between manufacturer-installed and field-installed wiring.

2. Sheet Size: Except for templates, patterns, and similar full-size drawings, submit Shop Drawings on sheets at least 8-1/2 by 11 inches (215 by 280 mm) but no larger than 30 by 40 inches (750 by 1000 mm).
3. Number of Copies: Submit two opaque (bond) copies of each submittal. Engineer will return one copy.

4. Number of Copies: Submit three opaque copies of each submittal, unless copies are required for operation and maintenance manuals. Submit five copies where copies are required for operation and maintenance manuals. Engineer will retain two copies; remainder will be returned.

5. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.

    a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
    b. Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.

D. Contractor's Construction Schedule: Comply with requirements specified in Division 01 Section "Construction Progress Documentation" for Construction Manager's action.

E. Submittals Schedule: Comply with requirements specified in Division 01 Section "Construction Progress Documentation."

F. Application for Payment: Comply with requirements specified in Division 01 Section "Payment Procedures."

G. Schedule of Values: Comply with requirements specified in Division 01 Section "Payment Procedures."

H. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Use CSI Form 1.5A. Include the following information in tabular form:

1. Name, address, and telephone number of entity performing subcontract or supplying products.
2. Number and title of related Specification Section(s) covered by subcontract.
3. Drawing number and detail references, as appropriate, covered by subcontract.
4. Number of Copies: Submit three copies of subcontractor list, unless otherwise indicated. Engineer will return two copies.

    a. Mark up and retain one returned copy as a Project Record Document.

2.2 INFORMATIONAL SUBMITTALS

A. General: Prepare and submit Informational Submittals required by other Specification Sections.

1. Number of Copies: Submit two copies of each submittal, unless otherwise indicated. Engineer will not return copies.
2. Certificates and Certifications: Provide a notarized statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be

signed by an officer or other individual authorized to sign documents on behalf of that entity.

3. Test and Inspection Reports: Comply with requirements specified in Division 01 Section "Quality Requirements."

B. Coordination Drawings: Comply with requirements specified in Division 01 Section "Project Management and Coordination."

C. Contractor's Construction Schedule: Comply with requirements specified in Division 01 Section "Construction Progress Documentation."

D. Schedule of Tests and Inspections: Comply with requirements specified in Division 01 Section "Quality Requirements."

E. Preconstruction Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.

F. Field Test Reports: Prepare reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.

G. Maintenance Data: Prepare written and graphic instructions and procedures for operation and normal maintenance of products and equipment. Comply with requirements specified in Division 01 Section "Operation and Maintenance Data."

H. Manufacturer's Instructions: Prepare written or published information that documents manufacturer's recommendations, guidelines, and procedures for installing or operating a product or equipment. Include name of product and name, address, and telephone number of manufacturer. Include the following, as applicable:

1. Preparation of substrates.
2. Required substrate tolerances.
3. Sequence of installation or erection.
4. Required installation tolerances.
5. Required adjustments.
6. Recommendations for cleaning and protection.

I. Manufacturer's Field Reports: Prepare written information documenting factory-authorized service representative's tests and inspections. Include the following, as applicable:

1. Name, address, and telephone number of factory-authorized service representative making report.
2. Statement on condition of substrates and their acceptability for installation of product.
3. Statement that products at Project site comply with requirements.
4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
6. Statement whether conditions, products, and installation will affect warranty.

7. Other required items indicated in individual Specification Sections.

J. Insurance Certificates and Bonds: Prepare written information indicating current status of insurance or bonding coverage. Include name of entity covered by insurance or bond, limits of coverage, amounts of deductibles, if any, and term of the coverage.

K. Material Safety Data Sheets (MSDSs): Submit information directly to Owner; do not submit to Engineer.

1. Engineer will not review submittals that include MSDSs and will return the entire submittal for resubmittal.

L. Coordination Drawings: Comply with requirements specified in Division 01 Section "Project Management and Coordination."

M. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of engineers, architects, and owners, and other information specified.

N. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.

O. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.

P. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.

Q. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.

R. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.

S. Product Test Reports: Submit written reports indicating current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.

T. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:

1. Name of evaluation organization.
2. Date of evaluation.
3. Time period when report is in effect.
4. Product and manufacturers' names.
5. Description of product.

6. Test procedures and results.
7. Limitations of use.

U. Schedule of Tests and Inspections: Comply with requirements specified in Division 01 Section "Quality Requirements."

V. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.

W. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.

X. Field Test Reports: Submit reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.

Y. Maintenance Data: Comply with requirements specified in Division 01 Section "Operation and Maintenance Data."

Z. Design Data: Prepare and submit written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.

PART 3 - EXECUTION

3.1 CONTRACTOR'S REVIEW

A. Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Engineer.

B. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

3.2 ENGINEER'S / ACTION

A. General: Engineer will not review submittals that do not bear Contractor's approval stamp and will return them without action.

B. Action Submittals: Engineer will review each submittal, make marks to indicate corrections or modifications required, and return it. Engineer will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action taken, as follows:

1. Reviewed: Approved.
2. Reviewed: Submittals which require no corrections.
3. Rejected: Not Approved. Constricting to requirement of the Contract Documents. Do not perform work illustrated.
4. Resubmit as specified: Item required major correction, future clarification and/or completion. Do not perform work illustrated.
5. For Information only/Not Reviewed: Submittals that are not required by Contract Documents to be reviewed by Engineer.
6. Comments Noted: Make corrections noted.
7. Revise & Resubmit: To be corrected and returned for approval. Do not perform work as illustrated.
8. Resubmit for Record Only: Proceed with work and re-submit information submitted for Engineers records.

C. Informational Submittals: Engineer will review each submittal and will not return it, or will return it if it does not comply with requirements. Engineer will forward each submittal to appropriate party.

D. Partial submittals are not acceptable, will be considered nonresponsive, and will be returned without review.

E. Submittals not required by the Contract Documents may not be reviewed and may be discarded.

END OF SECTION

Gipe Associates, Inc.
CONSULTING ENGINEERS

RELEASE OF ELECTRONIC MEDIA (DRAWINGS, SPECIFICATIONS, ETC.)

PROJECT:_____ DATE:_____

PROJECT NO:_____ RECORD DOCUMENT DATE _____

In accepting and utilizing any drawings or other data on any form of electronic media generated and provided by Gipe Associates, Inc., the Owner, its agents, other design professionals, or contractors covenants and agrees that all such drawings and data are instruments of service of Gipe Associates, Inc., and its Consultants (hereinafter referred to as the Engineer), who shall be deemed the author of the drawings and data, and shall retain all common law, statutory law and other rights, including copyrights.

For documentation purposes, the original electronic media (disks) will be retained by the Engineer, and both parties acknowledge that the referenced, dated Record Document is the actual contract deliverable. The Owner shall be permitted to retain copies of Drawings and Specifications prepared in electronic form for the Owner's convenience in connection with the specific project for which this information was prepared. Due to the potential that the information set forth on the electronic media can be modified, unintentionally or otherwise, the Engineer reserves the right to remove all indicia of its ownership and/or involvement from each document on the electronic media.

No warranty is made or implied as to the suitability of these files or the information they contain for such purpose. In all cases, the Contract Drawings and Specifications shall define all requirements. The Contractor is responsible for verification of Drawings and field conditions and/recognizing the impermanence and changeability of electronic files, assumes all responsibility for their use and alteration.

The Owner, its Agents or other design professionals further agree not to use these drawings and data, in whole or in part, for any purpose or project other than the project which is the subject of this Agreement. The Owner, its Agents, other design professionals, or contractors agree to waive all claims against the Engineer, and to the fullest extent permitted by law, to indemnify and hold the Engineer harmless from any damage, liability or cost, including reasonable attorneys' fees and cost of defense, arising from any changes or use of the Drawings and data made by anyone other than the Engineer without the prior written consent of the Engineer. Any such unauthorized use or reuse will be at the Owner's sole risk and without liability or legal exposure to the Engineer.

Under no circumstances shall transfer of the Drawings and other instruments of service on electronic media for use by the Owner, its Agents or other design professionals be deemed a sale by the Engineer, and the Engineer makes no warranties, either express or implied, of merchantability and fitness for any particular purpose.

_____
Accepted - Owner or Owner's Representative

_____
Printed Name and Title

_____
Date

8719 Brooks Drive, Easton, Maryland 21601 Tel: 410/822-8688 Fax: 410/822-6306

Advancement of Construction Technology

SUBMITTAL TRANSMITTAL

Project: _____ Date: _____

_____ A/E Project Number: _____

TRANSMITTAL A

To (Contractor): _____ Date: _____ Submittal No. _____

From (Subcontractor): _____ By: _____ ☐ Resubmission

Qty.	Reference / Number	Title / Description / Manufacturer	Spec. Section Title and Paragraph / Drawing Detail Reference

☐ Submitted for review and approval
☐ Resubmitted for review and approval
☐ Complies with contract requirements
☐ Will be available to meet construction schedule
☐ A/E review time included in construction schedule

☐ Substitution involved - Substitution request attached
☐ If substitution involved, submission includes point-by-point comparative data or preliminary details
☐ Items included in submission will be ordered immediately upon receipt of approval

Other remarks on above submission:

☐ One copy retained by sender

TRANSMITTAL B

To (A/E): _____ Attn: _____ Date Rec'd by Contractor: _____

From (Contractor): _____ By: _____ Date Trnsmt'd by Contractor: _____

☐ Approved
☐ Approved as noted

☐ Revise / Resubmit
☐ Rejected / Resubmit

Other remarks on above submission:

☐ One copy retained by sender

TRANSMITTAL C

To (Contractor): _____ Attn: _____ Date Rec'd by A/E: _____

From (A/E): _____ ☐ Other By: _____ Date Trnsmt'd by A/E: _____

☐ Approved
☐ Approved as noted
☐ Not subject to review
☐ No action required
☐ Revise / Resubmit
☐ Rejected / Resubmit
☐ Approved as noted / Resubmit

☐ Provide file copy with corrections identified
☐ Sepia copies only returned

☐ Point-by-point comparative data required to complete approval process

☐ Submission Incomplete / Resubmit

Other remarks on above submission:

☐ One copy retained by sender

TRANSMITTAL D

To (Subcontractor): _____ Attn: _____ Date Rec'd by Contractor: _____

From (Contractor): _____ By: _____ Date Trnsmt'd by Contractor: _____

Copies: ☐ Owner ☐ Consultants ☐ _____ ☐ _____ ☐ _____ ☐ One copy retained by sender

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106 Madison Street, Alexandria, VA 22314-1791

September 1996
CSI Form 12.1A

DIVISION 01 SECTION 014000
QUALITY REQUIREMENTS
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SECTION 014000 - QUALITY REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes administrative and procedural requirements for quality assurance and quality control.

B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.

1. Specific quality-assurance and -control requirements for individual construction activities are specified in the Sections that specify those activities. Requirements in those Sections may also cover production of standard products.
2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and -control procedures that facilitate compliance with the Contract Document requirements.
3. Requirements for Contractor to provide quality-assurance and -control services required by Engineer, Owner, or authorities having jurisdiction are not limited by provisions of this Section.

C. Related Sections:
1. Division 01 Section "Construction Progress Documentation" for developing a schedule of required tests and inspections.
2. Divisions 02 through 28 Sections for specific test and inspection requirements.

1.3 DEFINITIONS

A. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.

B. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Engineer.

C. Preconstruction Testing: Tests and inspections performed specifically for the Project before products and materials are incorporated into the Work to verify performance or compliance with specified criteria.

D. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.

E. Source Quality-Control Testing: Tests and inspections that are performed at the source, i.e., plant, mill, factory, or shop.

F. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.

G. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.

H. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.

1. Use of trade-specific terminology in referring to a trade or entity does not require that certain construction activities be performed by accredited or unionized individuals, or that requirements specified apply exclusively to specific trade or trades.

I. Experienced: When used with an entity or individual, "experienced" means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.

1.4 CONFLICTING REQUIREMENTS

A. Referenced Standards: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer conflicting requirements that are different, but apparently equal, to Engineer for a decision before proceeding.

B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Engineer for a decision before proceeding.

1.5 ACTION SUBMITTALS

A. Shop Drawings: For ductwork, provide plans, sections, and elevations, indicating materials and size of mockup construction.

1. Indicate manufacturer and model number of individual components.
2. Provide axonometric drawings for conditions difficult to illustrate in two dimensions.

1.6 INFORMATIONAL SUBMITTALS

A. Contractor's Quality-Control Plan: For quality-assurance and quality-control activities and responsibilities.

B. Contractor's Quality-Control Manager Qualifications: For supervisory personnel.

C. Contractor's Statement of Responsibility: When required by authorities having jurisdiction, submit copy of written statement of responsibility sent to authorities having jurisdiction before starting work on the following systems.

1. Seismic-force resisting system, designated seismic system, or component listed in the designated seismic system quality assurance plan prepared by the Engineer.
2. Main wind-force resisting system or a wind-resisting component listed in the wind-force-resisting system quality assurance plan prepared by the Engineer.

D. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.

E. Schedule of Tests and Inspections: Prepare in tabular form and include the following:

1. Specification Section number and title.
2. Entity responsible for performing tests and inspections.
3. Description of test and inspection.
4. Identification of applicable standards.
5. Identification of test and inspection methods.
6. Number of tests and inspections required.
7. Time schedule or time span for tests and inspections.
8. Requirements for obtaining samples.
9. Unique characteristics of each quality-control service.

1.7 REPORTS AND DOCUMENTS

A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:

1. Date of issue.
2. Project title and number.
3. Name, address, and telephone number of testing agency.
4. Dates and locations of samples and tests or inspections.
5. Names of individuals making tests and inspections.
6. Description of the Work and test and inspection method.
7. Identification of product and Specification Section.
8. Complete test or inspection data.
9. Test and inspection results and an interpretation of test results.
10. Record of temperature and weather conditions at time of sample taking and testing and inspecting.
11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.

12. Name and signature of laboratory inspector.
13. Recommendations on retesting and reinspecting.

B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:

1. Name, address, and telephone number of technical representative making report.
2. Statement on condition of substrates and their acceptability for installation of product.
3. Statement that products at Project site comply with requirements.
4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
6. Statement whether conditions, products, and installation will affect warranty.
7. Other required items indicated in individual Specification Sections.

C. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:

1. Name, address, and telephone number of factory-authorized service representative making report.
2. Statement that equipment complies with requirements.
3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
4. Statement whether conditions, products, and installation will affect warranty.
5. Other required items indicated in individual Specification Sections.

D. Permits, Licenses, and Certificates: For Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents, established for compliance with standards and regulations bearing on performance of the Work.

1.8 QUALITY ASSURANCE

A. General: Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.

B. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.

C. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.

D. Installer Qualifications: A firm or individual experienced in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.

E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar to those indicated for this Project in material, design, and extent.

F. Specialists: Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.

1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.

G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 329; and with additional qualifications specified in individual Sections; and where required by authorities having jurisdiction, that is acceptable to authorities.

1. NRTL: A nationally recognized testing laboratory according to 29 CFR 1910.7.
2. NVLAP: A testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program.

H. Manufacturer's Technical Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.

I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.

J. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:

1. Contractor responsibilities include the following:

a. Provide test specimens representative of proposed products and construction.
b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
d. Build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
e. Build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
f. When testing is complete, remove test specimens, assemblies, mockups; do not reuse products on Project.

2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Engineer, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.

1.9 QUALITY CONTROL

A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.

1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
2. Payment for these services will be made from testing and inspecting allowances, as authorized by Change Orders.
3. Costs for retesting and reinspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor, and the Contract Sum will be adjusted by Change Order.

B. Contractor Responsibilities: Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Perform additional quality-control activities required to verify that the Work complies with requirements, whether specified or not.

1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
2. Where services are indicated as Contractor's responsibility, engage a qualified testing agency to perform these quality-control services.

   a. Contractor shall not employ same entity engaged by Owner, unless agreed to in writing by Owner.

3. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspecting will be performed.
4. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
5. Testing and inspecting requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
6. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.

C. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Division 01 Section "Submittal Procedures."

D. Manufacturer's Technical Services: Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in preinstallation conferences, examination of substrates and

conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.

E. Retesting/Reinspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and reinspecting, for construction that replaced Work that failed to comply with the Contract Documents.

F. Testing Agency Responsibilities: Cooperate with Engineer and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.

1. Notify Engineer and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
2. Determine the location from which test samples will be taken and in which in-situ tests are conducted.
3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
6. Do not perform any duties of Contractor.

G. Associated Services: Cooperate with agencies performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:

1. Access to the Work.
2. Incidental labor and facilities necessary to facilitate tests and inspections.
3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
4. Facilities for storage and field curing of test samples.
5. Delivery of samples to testing agencies.
6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
7. Security and protection for samples and for testing and inspecting equipment at Project site.

H. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.

1. Schedule times for tests, inspections, obtaining samples, and similar activities.

I. Schedule of Tests and Inspections: Prepare a schedule of tests, inspections, and similar quality-control services required by the Contract Documents. Coordinate and submit concurrently with Contractor's construction schedule. Update as the Work progresses. .

1. Distribution: Distribute schedule to Owner, Engineer, testing agencies, and each party involved in performance of portions of the Work where tests and inspections are required.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 TEST AND INSPECTION LOG

A. Prepare a record of tests and inspections. Include the following:

1. Date test or inspection was conducted.
2. Description of the Work tested or inspected.
3. Date test or inspection results were transmitted to Engineer.
4. Identification of testing agency or special inspector conducting test or inspection.

B. Maintain log at Project site. Post changes and modifications as they occur. Provide access to test and inspection log for Engineer's reference during normal working hours.

3.2 REPAIR AND PROTECTION

A. General: On completion of testing, inspecting, sample taking, and similar services, repair damaged construction and restore substrates and finishes.

1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Division 01 Section "Execution Requirements."

B. Protect construction exposed by or for quality-control service activities.

C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

END OF SECTION

DIVISION 01 SECTION 015000
TEMPORARY FACILITIES AND CONTROLS
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SECTION 015000 - TEMPORARY FACILITIES AND CONTROLS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes requirements for temporary utilities, support facilities, and security and protection facilities.

B. Related Sections include the following:

1. Division 01 Section "Summary" for limitations on utility interruptions and other work restrictions.
2. Division 01 Section "Submittal Procedures" for procedures for submitting copies of implementation and termination schedule and utility reports.
3. Division 01 Section "Execution Requirements" for progress cleaning requirements.
4. Divisions 02 through 26 Sections for temporary heat, ventilation, and humidity requirements for products in those Sections.

1.3 USE CHARGES

A. General: Utility usage shall not be metered. Allow other entities to use temporary services and facilities without cost, including, but not limited to, Engineer, testing agencies, and authorities having jurisdiction.

B. Sewer Service: Owner will pay sewer service use charges for sewer usage by all entities for construction operations.

C. Water Service: Owner will pay water service use charges for water used by all entities for construction operations.

D. Electric Power Service: Utility usage shall not be metered. Provide connections and extensions of services as required for construction operations.

E. Water and Sewer Service from Existing System: Water from Owner's existing water system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.

F. Electric Power Service from Existing System: Electric power from Owner's existing system is available for use without metering and without payment of use charges. Provide connections and extensions of services as required for construction operations.

1.4 SUBMITTALS

A. Site Plan: Show temporary facilities, staging areas, **crane mobilization area,** and parking areas for construction personnel.

B. Moisture-Protection Plan: Describe procedures and controls for protecting materials and construction from water absorption and damage, including delivery, handling, and storage provisions for materials subject to water absorption or water damage, discarding water-damaged materials, protocols for mitigating water intrusion into completed Work, and replacing water damaged Work.

1.5 QUALITY ASSURANCE

A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.

B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.

1.6 PROJECT CONDITIONS

A. Temporary Use of Permanent Facilities: Installer of each permanent service shall assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

PART 2 - PRODUCTS

2.1 TEMPORARY FACILITIES

A. Field Offices, General: Space within building shall be utilized as field offices.

2.2 EQUIPMENT

A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.

B. HVAC Equipment: Provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.

1. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
2. Heating Units: Listed and labeled for type of fuel being consumed, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
3. Permanent HVAC equipment shall not be utilized for temporary heating.

PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.

1. Locate facilities to limit site disturbance as specified in Division 01 Section "Summary."

B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

3.2 TEMPORARY UTILITY INSTALLATION

A. General: Install temporary service or connect to existing service.

1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services.

B. Water Service: Use of Owner's existing water service facilities will be permitted, as long as facilities are cleaned and maintained in a condition acceptable to Owner. At Substantial Completion, restore these facilities to condition existing before initial use.

1. Where installations below an outlet might be damaged by spillage or leakage, provide a drip pan of suitable size to minimize water damage. Drain accumulated water promptly from pans.

C. Sanitary Facilities: Provide temporary toilets, wash facilities, and drinking water for use of construction personnel. Comply with requirements of authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities. Owner will not allow use of onsite sanitary facilities.

D. Electric Power Service: Use of Owner's existing electric power service will be permitted, as long as equipment is maintained in a condition acceptable to Owner.

E. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.

1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.

3.3 SUPPORT FACILITIES INSTALLATION

A. General: Comply with the following:

1. Provide construction for temporary offices, shops, and sheds located within construction area or within 30 feet (9 m) of building lines that is noncombustible according to ASTM E 136. Comply with NFPA 241.

2. Maintain support facilities until Engineer schedules Substantial Completion inspection. Remove before Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to Owner.

B. Traffic Controls: Comply with requirements of authorities having jurisdiction.

1. Protect existing site improvements to remain including curbs, pavement, and utilities.
2. Maintain access for fire-fighting equipment and access to fire hydrants.

C. Parking: Use designated areas of Owner's existing parking areas for construction personnel.

D. Project Identification and Temporary Signs: Provide Project identification and other signs. Install signs where indicated to inform public and individuals seeking entrance to Project. Unauthorized signs are not permitted.

1. Provide temporary, directional signs for construction personnel and visitors.
2. Maintain and touchup signs so they are legible at all times.

E. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.

1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.

F. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water.

1. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties nor endanger permanent Work or temporary facilities.

G. Waste Disposal Facilities: Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply with Division 01 Section "Execution Requirements" for progress cleaning requirements.

H. Existing Stair Usage: Use of Owner's existing stairs will be permitted, provided stairs are cleaned and maintained in a condition acceptable to Owner. At Substantial Completion, restore stairs to condition existing before initial use.

1. Provide protective coverings, barriers, devices, signs, or other procedures to protect stairs and to maintain means of egress. If stairs become damaged, restore damaged areas so no evidence remains of correction work.

3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION

A. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction in ways and by methods that comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.

1. Comply with work restrictions specified in Division 01 Section "Summary."

B. Security Enclosure and Lockup: Install temporary enclosure around partially completed areas of construction. Provide lockable entrances to prevent unauthorized entrance, vandalism, theft, and similar violations of security. Lock entrances at end of each work day.

C. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.

1. Construct covered walkways using scaffold or shoring framing.
2. Provide overhead decking, protective enclosure walls, handrails, barricades, warning signs, exit signs, lights, safe and well-drained walkways, and similar provisions for protection and safe passage.
3. Paint and maintain appearance of walkway for duration of the Work.

D. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities.

E. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241.

1. Prohibit smoking in construction areas.
2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.

3.5 OPERATION, TERMINATION, AND REMOVAL

A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.

B. Maintenance: Maintain facilities in good operating condition until removal.

1. Maintain operation of temporary enclosures, heating, cooling, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.

C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.

D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.

1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.

2. Remove temporary paving not intended for or acceptable for integration into permanent paving. Where area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant materials or lawns. Repair or replace street paving, curbs, and sidewalks at temporary entrances, as required by authorities having jurisdiction.
3. At Substantial Completion, clean and renovate permanent facilities used during construction period. Comply with final cleaning requirements specified in Division 01 Section "Closeout Procedures."

END OF SECTION

DIVISION 01 SECTION 016000
PRODUCT REQUIREMENTS
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SECTION 016000 - PRODUCT REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; product substitutions; and comparable products.

B. Related Sections include the following:

1. Division 01 Section "Closeout Procedures" for submitting warranties for Contract closeout.
2. Division 01 Section "Alternates" for products selected under an alternate.
3. Division 01 Section "Substitution Procedures" for requests for substitutions.
4. Division 01 Section "References" for applicable industry standards for products specified.
5. Divisions 02 through 26 Sections for specific requirements for warranties on products and installations specified to be warranted.

1.3 DEFINITIONS

A. Products: Items purchased for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.

1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature, that is current as of date of the Contract Documents.
2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
3. Comparable Product: Product that is demonstrated and approved through submittal process, or where indicated as a product substitution, to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.

B. Basis-of-Design Product Specification: Where a specific manufacturer's product is named and accompanied by the words "basis of design," including make or model number, or first named product, or other designation, to establish the significant qualities related to type, function,

dimension, in-service performance, physical properties, appearance, and other characteristics for purposes of evaluating comparable products of other named manufacturers.

1.4 SUBMITTALS

A. Product List: Submit a list, in tabular from, showing specified products. Include generic names of products required. Include manufacturer's name and proprietary product names for each product.

1. Coordinate product list with Contractor's Construction Schedule and the Submittals Schedule.
2. Form: Tabulate information for each product under the following column headings:

a. Specification Section number and title.
b. Generic name used in the Contract Documents.
c. Proprietary name, model number, and similar designations.
d. Manufacturer's name and address.
e. Supplier's name and address.
f. Installer's name and address.
g. Projected delivery date or time span of delivery period.
h. Identification of items that require early submittal approval for scheduled delivery date.

3. Initial Submittal: Within 30 days after date of commencement of the Work, submit 3 copies of initial product list. Include a written explanation for omissions of data and for variations from Contract requirements.

a. At Contractor's option, initial submittal may be limited to product selections and designations that must be established early in Contract period.

4. Completed List: Within 60 days after date of commencement of the Work, submit 3 copies of completed product list. Include a written explanation for omissions of data and for variations from Contract requirements.
5. Engineer's Action: Engineer will respond in writing to Contractor within 15 days of receipt of completed product list. Engineer's response will include a list of unacceptable product selections and a brief explanation of reasons for this action. Engineer's response, or lack of response, does not constitute a waiver of requirement to comply with the Contract Documents.

B. Substitution Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.

1. Substitution Request Form: Use CSI Form 13.1A.
2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:

a. Statement indicating why specified material or product cannot be provided.

b. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Owner and separate contractors, that will be necessary to accommodate proposed substitution.
c. Detailed comparison of significant qualities of proposed substitution with those of the Work specified. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
e. Samples, where applicable or requested.
f. List of similar installations for completed projects with project names and addresses and names and addresses of Engineers and owners.
g. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
h. Research/evaluation reports evidencing compliance with building code in effect for Project, from a model code organization acceptable to authorities having jurisdiction.
i. Detailed comparison of Contractor's Construction Schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating lack of availability or delays in delivery.
j. Cost information, including a proposal of change, if any, in the Contract Sum.
k. Contractor's certification that proposed substitution complies with requirements in the Contract Documents and is appropriate for applications indicated.
l. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.

3. Engineer's Action: If necessary, Engineer will request additional information or documentation for evaluation within 7 days of receipt of a request for substitution. Engineer will notify Contractor of acceptance or rejection of proposed substitution within 15 days of receipt of request, or 7 days of receipt of additional information or documentation, whichever is later.

   a. Form of Acceptance: Change Order.
   b. Use product specified if Engineer cannot make a decision on use of a proposed substitution within time allocated.

C. Comparable Product Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.

1. Engineer's Action: If necessary, Engineer will request additional information or documentation for evaluation within one week of receipt of a comparable product request. Engineer will notify Contractor of approval or rejection of proposed comparable product request within 15 days of receipt of request, or 7 days of receipt of additional information or documentation, whichever is later.

   a. Form of Approval: As specified in Division 01 Section "Submittal Procedures."

b. Use product specified if Engineer cannot make a decision on use of a comparable product request within time allocated.

D. Basis-of-Design Product Specification Submittal: Comply with requirements in Division 01 Section "Submittal Procedures." Show compliance with requirements.

1.5 QUALITY ASSURANCE

A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, product selected shall be compatible with products previously selected, even if previously selected products were also options.

1. Each contractor is responsible for providing products and construction methods compatible with products and construction methods of other contractors.
2. If a dispute arises between contractors over concurrently selectable but incompatible products, Engineer will determine which products shall be used.

1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft. Comply with manufacturer's written instructions.

B. Delivery and Handling:

1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
4. Inspect products on delivery to ensure compliance with the Contract Documents and to ensure that products are undamaged and properly protected.

C. Storage:

1. Store products to allow for inspection and measurement of quantity or counting of units.
2. Store materials in a manner that will not endanger Project structure.
3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
4. Store cementitious products and materials on elevated platforms.
5. Store foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
6. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
7. Protect stored products from damage and liquids from freezing.
8. Provide a secure location and enclosure at Project site for storage of materials and equipment by Owner's construction forces. Coordinate location with Owner.

1.7 PRODUCT WARRANTIES

A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.

1. Manufacturer's Warranty: Preprinted written warranty published by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
2. Special Warranty: Written warranty required by or incorporated into the Contract Documents, either to extend time limit provided by manufacturer's warranty or to provide more rights for Owner.

B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution. Submit a draft for approval before final execution.

1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
2. Specified Form: When specified forms are included with the Specifications, prepare a written document using appropriate form properly executed.
3. Refer to Divisions 02 through 26 Sections for specific content requirements and particular requirements for submitting special warranties.

C. Submittal Time: Comply with requirements in Division 01 Section "Closeout Procedures."

PART 2 - PRODUCTS

2.1 PRODUCT SELECTION PROCEDURES

A. General Product Requirements: Provide products that comply with the Contract Documents, that are undamaged and, unless otherwise indicated, that are new at time of installation.

1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
3. Owner reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
4. Where products are accompanied by the term "as selected," Engineer will make selection.
5. Where products are accompanied by the term "match sample," sample to be matched is Engineer's.
6. Descriptive, performance, and reference standard requirements in the Specifications establish "salient characteristics" of products.
7. Or Equal: Where products are specified by name and accompanied by the term "or equal" or "or approved equal" or "or approved," comply with provisions in Part 2 "Comparable Products" Article to obtain approval for use of an unnamed product.

B. Product Selection Procedures:

1. Product: Where Specifications name a single product and manufacturer, provide the named product that complies with requirements.
2. Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements.
3. Products: Where Specifications include a list of names of both products and manufacturers, provide one of the products listed that complies with requirements.
4. Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements.
5. Available Products: Where Specifications include a list of names of both products and manufacturers, provide one of the products listed, or an unnamed product, that complies with requirements. Comply with provisions in Part 2 "Comparable Products" Article for consideration of an unnamed product.
6. Available Manufacturers: Where Specifications include a list of manufacturers, provide a product by one of the manufacturers listed, or an unnamed manufacturer, that complies with requirements. Comply with provisions in Part 2 "Comparable Products" Article for consideration of an unnamed product.
7. Product Options: Where Specifications indicate that sizes, profiles, and dimensional requirements on Drawings are based on a specific product or system, provide the specified product or system. Comply with provisions in Part 2 "Product Substitutions" Article for consideration of an unnamed product or system.
8. Basis-of-Design Product: Where Specifications name a product and include a list of manufacturers, provide the specified product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with provisions in Part 2 "Comparable Products" Article for consideration of an unnamed product by the other named manufacturers.
9. Visual Matching Specification: Where Specifications require matching an established Sample, select a product that complies with requirements and matches Engineer's sample. Engineer's decision will be final on whether a proposed product matches.

    a. If no product available within specified category matches and complies with other specified requirements, comply with provisions in Part 2 "Product Substitutions" Article for proposal of product.

10. Visual Selection Specification: Where Specifications include the phrase "as selected from manufacturer's colors, patterns, textures" or a similar phrase, select a product that complies with other specified requirements.

    a. Standard Range: Where Specifications include the phrase "standard range of colors, patterns, textures" or similar phrase, Engineer will select color, pattern, density, or texture from manufacturer's product line that does not include premium items.
    b. Full Range: Where Specifications include the phrase "full range of colors, patterns, textures" or similar phrase, Engineer will select color, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

2.2 PRODUCT SUBSTITUTIONS

A. Timing: Engineer will consider requests for substitution if received within 15 days after the Notice to Proceed. Requests received after that time may be considered or rejected at discretion of Engineer.

B. Conditions: Engineer will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Engineer will return requests without action, except to record noncompliance with these requirements:

1. Requested substitution offers Owner a substantial advantage in cost, time, energy conservation, or other considerations, after deducting additional responsibilities Owner must assume. Owner's additional responsibilities may include compensation to Engineer for redesign and evaluation services, increased cost of other construction by Owner, and similar considerations.
2. Requested substitution does not require extensive revisions to the Contract Documents.
3. Requested substitution is consistent with the Contract Documents and will produce indicated results.
4. Substitution request is fully documented and properly submitted.
5. Requested substitution will not adversely affect Contractor's Construction Schedule.
6. Requested substitution has received necessary approvals of authorities having jurisdiction.
7. Requested substitution is compatible with other portions of the Work.
8. Requested substitution has been coordinated with other portions of the Work.
9. Requested substitution provides specified warranty.
10. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.

2.3 COMPARABLE PRODUCTS

A. Conditions: Engineer will consider Contractor's request for comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Engineer will return requests without action, except to record noncompliance with these requirements:

1. Evidence that the proposed product does not require extensive revisions to the Contract Documents, that it is consistent with the Contract Documents and will produce the indicated results, and that it is compatible with other portions of the Work.
2. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
3. Evidence that proposed product provides specified warranty.
4. List of similar installations for completed projects with project names and addresses and names and addresses of Engineers and owners, if requested.
5. Samples, if requested.

PART 3 - EXECUTION (Not Used)

END OF SECTION

Advancement of Construction Technology

SUBSTITUTION REQUEST
(After the Bidding Phase)

Project: _____ Substitution Request Number: _____

_____ From: _____

To: _____ Date: _____

_____ A/E Project Number: _____

Re: _____ Contract For: _____

Specification Title: _____ Description: _____

Section: _____ Page: _____ Article/Paragraph: _____

Proposed Substitution: _____

Manufacturer: _____ Address: _____ Phone: _____

Trade Name: _____ Model No.: _____

Installer: _____ Address: _____ Phone: _____

History: ☐ New product ☐ 2-5 years old ☐ 5-10 yrs old ☐ More than 10 years old

Differences between proposed substitution and specified product: _____

_____

_____

☐ Point-by-point comparative data attached - REQUIRED BY A/E

Reason for not providing specified item: _____

_____

Similar Installation:

Project: _____ Architect: _____

Address: _____ Owner: _____

_____ Date Installed: _____

Proposed substitution affects other parts of Work: ☐ No ☐ Yes; explain _____

_____

Savings to Owner for accepting substitution: _____ ($ _____).

Proposed substitution changes Contract Time: ☐ No ☐ Yes [Add] [Deduct] _____days.

Supporting Data Attached: ☐ Drawings ☐ Product Data ☐ Samples ☐ Tests ☐ Reports ☐ _____

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SUBSTITUTION REQUEST
(Continued)

The Undersigned certifies:

- Proposed substitution has been fully investigated and determined to be equal or superior in all respects to specified product.
- Same warranty will be furnished for proposed substitution as for specified product.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitution will have no adverse effect on other trades and will not affect or delay progress schedule.
- Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent are to be waived.
- Proposed substitution does not affect dimensions and functional clearances.
- Payment will be made for changes to building design, including A/E design, detailing, and construction costs caused by the substitution.
- Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted by: _____

Signed by: _____

Firm: _____

Address: _____

_____

Telephone: _____

Attachments: _____

_____

_____

_____

A/E's REVIEW AND ACTION

☐ Substitution approved - Make submittals in accordance with Specification Section 01330.
☐ Substitution approved as noted - Make submittals in accordance with Specification Section 01330.
☐ Substitution rejected - Use specified materials.
☐ Substitution Request received too late - Use specified materials.

Signed by: Date:

Additional Comments: ☐ Contractor ☐ Subcontractor ☐ Supplier ☐ Manufacturer ☐ A/E ☐ _____

_____

_____

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DIVISION 01 SECTION 017300
EXECUTION REQUIREMENTS
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SECTION 017300 - EXECUTION REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes general procedural requirements governing execution of the Work including, but not limited to, the following:

1. Construction layout.
2. Field engineering and surveying.
3. General installation of products.
4. Coordination of Owner-installed products.
5. Progress cleaning.
6. Starting and adjusting.
7. Protection of installed construction.
8. Correction of the Work.

B. Related Sections include the following:

1. Division 01 Section "Project Management and Coordination" for procedures for coordinating field engineering with other construction activities.
2. Division 01 Section "Submittal Procedures" for submitting surveys.
3. Division 01 Section "Cutting and Patching" for procedural requirements for cutting and patching necessary for the installation or performance of other components of the Work.
4. Division 01 Section "Closeout Procedures" for submitting final property survey with Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, and final cleaning.

1.3 DEFINITIONS

A. Cutting: Removal of in-place construction necessary to permit installation or performance of other work.

B. Patching: Fitting and repair work required to restore construction to original conditions after installation of other work.

1.4 INFORMATIONAL SUBMITTALS

A. Cutting and Patching Plan: Submit plan describing procedures at least 10 days prior to the time cutting and patching will be performed. Include the following information:

1. Extent: Describe reason for and extent of each occurrence of cutting and patching.
2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building appearance and other significant visual elements.
3. Products: List products to be used for patching and firms or entities that will perform patching work.
4. Dates: Indicate when cutting and patching will be performed.
5. Utilities and Mechanical and Electrical Systems: List services and systems that cutting and patching procedures will disturb or affect. List services and systems that will be relocated and those that will be temporarily out of service. Indicate how long services and systems will be disrupted.

B. Landfill Receipts: Submit copy of receipts issued by a landfill facility, licensed to accept hazardous materials, for hazardous waste disposal.

1.5 QUALITY ASSURANCE

A. Land Surveyor Qualifications: A professional land surveyor who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing land-surveying services of the kind indicated.

B. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.

1. Structural Elements: When cutting and patching structural elements, notify Engineer of locations and details of cutting and await directions from the Engineer before proceeding. Shore, brace, and support structural element during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection

2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety. Operational elements include the following:

   a. Primary operational systems and equipment.
   b. Fire separation assemblies.
   c. Air or smoke barriers.
   d. Fire-suppression systems.
   e. Mechanical systems piping and ducts.
   f. Control systems.
   g. Communication systems.
   h. Conveying systems.
   i. Electrical wiring systems.

3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety. Other construction elements include but are not limited to the following:

a. Water, moisture, or vapor barriers.
b. Membranes and flashings.
c. Equipment supports.
d. Piping, ductwork, vessels, and equipment.
e. Noise- and vibration-control elements and systems.

4. Visual Elements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner that would, in Engineer's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.

C. Cutting and Patching Conference: Before proceeding, meet at Project site with parties involved in cutting and patching, including mechanical and electrical trades. Review areas of potential interference and conflict. Coordinate procedures and resolve potential conflicts before proceeding.

D. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment.

1.6 WARRANTY

A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.

PART 2 - PRODUCTS

2.1 MATERIALS

A. General: Comply with requirements specified in other Sections.

B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.

1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to the Engineer for the visual and functional performance of in-place materials.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Existing Conditions: The existence and location of site improvements, utilities, and other construction indicated as existing are not guaranteed. Before beginning work, investigate and verify the existence and location of mechanical and electrical systems and other construction affecting the Work.

1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services, and other utilities.

2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.

B. Acceptance of Conditions: Examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.

1. Written Report: Where a written report listing conditions detrimental to performance of the Work is required by other Sections, include the following:

   a. Description of the Work.
   b. List of detrimental conditions, including substrates.
   c. List of unacceptable installation tolerances.
   d. Recommended corrections.

2. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
3. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
4. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
5. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

3.2 PREPARATION

A. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.

B. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.

C. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents, submit a request for information to Engineer. Include a detailed description of problem encountered, together with recommendations for changing the Contract Documents. Submit requests on CSI Form 13.2A, "Request for Interpretation", form enclosed in Division 01 Section "Construction Progress Documentation."

D. Surface and Substrate Preparation: Comply with manufacturer's recommendations for preparation of substrates to receive subsequent work.

3.3 INSTALLATION

A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.

1. Make vertical work plumb and make horizontal work level.
2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
3. Conceal pipes, ducts, and wiring in finished areas, unless otherwise indicated.
4. Maintain minimum headroom clearance of 96 inches (2440 mm) in occupied spaces and 90 inches (2300 mm) in unoccupied spaces.

B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.

C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.

D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.

E. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.

F. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.

G. Anchors and Fasteners: Provide anchors and fasteners as required to anchor each component securely in place, accurately located and aligned with other portions of the Work.

1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Engineer.
2. Allow for piping, building movement, including thermal expansion and contraction.
3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.

H. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.

I. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

3.4 CUTTING AND PATCHING

A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.

1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.

B. Temporary Support: Provide temporary support of work to be cut.

C. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.

D. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.

1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
4. Excavating and Backfilling: Comply with requirements in applicable Division 2 Sections where required by cutting and patching operations.
5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
6. Proceed with patching after construction operations requiring cutting are complete.

E. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable.

1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.

    a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
    b. Restore damaged pipe covering to its original condition.

3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.

    a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final

paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.

4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition.

F. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

3.5 OWNER-INSTALLED PRODUCTS

A. Site Access: Provide access to Project site for Owner's construction personnel.

B. Coordination: Coordinate construction and operations of the Work with work performed by Owner's construction personnel.

1. Construction Schedule: Inform Owner of Contractor's preferred construction schedule for Owner's portion of the Work. Adjust construction schedule based on a mutually agreeable timetable. Notify Owner if changes to schedule are required due to differences in actual construction progress.
2. Preinstallation Conferences: Include Owner's construction personnel at preinstallation conferences covering portions of the Work that are to receive Owner's work. Attend preinstallation conferences conducted by Owner's construction personnel if portions of the Work depend on Owner's construction.

3.6 PROGRESS CLEANING

A. General: Clean Project site and work areas daily, including common areas. Coordinate progress cleaning for joint-use areas where more than one installer has worked. Enforce requirements strictly. Dispose of materials lawfully.

1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
2. Do not hold materials more than 7 days during normal weather or 3 days if the temperature is expected to rise above 80 deg F (27 deg C).
3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.

B. Site: Maintain Project site free of waste materials and debris.

C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.

1. Remove liquid spills promptly.

D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials

specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.

E. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.

F. Waste Disposal: Burying or burning waste materials on-site will not be permitted. Washing waste materials down sewers or into waterways will not be permitted.

G. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.

H. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.

I. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

3.7 STARTING AND ADJUSTING

A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.

B. Adjust operating components for proper operation without binding. Adjust equipment for proper operation.

C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

D. Manufacturer's Field Service: If a factory-authorized service representative is required to inspect field-assembled components and equipment installation, comply with qualification requirements in Division 01 Section "Quality Requirements."

3.8 PROTECTION OF INSTALLED CONSTRUCTION

A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.

B. Comply with manufacturer's written instructions for temperature and relative humidity.

3.9 CORRECTION OF THE WORK

A. Repair or remove and replace defective construction. Restore damaged substrates and finishes. Comply with requirements in Division 01 Section "Cutting and Patching."

1. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment.

B. Restore permanent facilities used during construction to their specified condition.

C. Remove and replace damaged surfaces that are exposed to view if surfaces cannot be repaired without visible evidence of repair.

D. Repair components that do not operate properly. Remove and replace operating components that cannot be repaired.

E. Remove and replace chipped, scratched, and broken glass or reflective surfaces.

END OF SECTION

DIVISION 01 SECTION 017310
CUTTING AND PATCHING
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SECTION 017310 - CUTTING AND PATCHING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes procedural requirements for cutting and patching.

B. Related Sections include the following:

1. Division 01 Section "Selective Demolition" for demolition of selected portions.
2. Divisions 02 through 28 Sections for specific requirements and limitations applicable to cutting and patching individual parts of the Work.
3. Division 07 Section "Fire Protection, HVAC, and Plumbing Penetration Firestopping" for patching fire-rated construction.

1.3 DEFINITIONS

A. Cutting: Removal of in-place construction necessary to permit installation or performance of other Work.

B. Patching: Fitting and repair work required to restore surfaces to original conditions after installation of other Work.

1.4 SUBMITTALS

A. Cutting and Patching Proposal: Submit a proposal describing procedures at least 10 days before the time cutting and patching will be performed, requesting approval to proceed. Include the following information:

1. Extent: Describe cutting and patching, show how they will be performed, and indicate why they cannot be avoided.
2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building's appearance and other significant visual elements.
3. Products: List products to be used and firms or entities that will perform the Work.
4. Dates: Indicate when cutting and patching will be performed.
5. Utility Services and Mechanical/Electrical Systems: List services/systems that cutting and patching procedures will disturb or affect. List services/systems that will be relocated and those that will be temporarily out of service. Indicate how long services/systems will be disrupted.

6. Structural Elements: Where cutting and patching involve adding reinforcement to structural elements, submit details and engineering calculations showing integration of reinforcement with original structure.
7. Engineer's Approval: Obtain approval of cutting and patching proposal before cutting and patching. Approval does not waive right to later require removal and replacement of unsatisfactory work.

1.5 QUALITY ASSURANCE

A. Structural Elements: Do not cut and patch structural elements in a manner that could change their load-carrying capacity or load-deflection ratio.

B. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety. Operating elements include the following:

1. Primary operational systems and equipment.
2. Air or smoke barriers.
3. Fire-suppression systems.
4. Mechanical systems piping and ducts.
5. Control systems.
6. Communication systems.
7. Conveying systems.
8. Electrical wiring systems.

C. Miscellaneous Elements: Do not cut and patch miscellaneous elements or related components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety. Miscellaneous elements include the following:

1. Water, moisture, or vapor barriers.
2. Membranes and flashings.
3. Exterior curtain-wall construction.
4. Equipment supports.
5. Piping, ductwork, vessels, and equipment.
6. Noise- and vibration-control elements and systems.

D. Visual Requirements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch construction exposed on the exterior or in occupied spaces in a manner that would, in Engineer's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.

E. Cutting and Patching Conference: Before proceeding, meet at Project site with parties involved in cutting and patching, including mechanical and electrical trades. Review areas of potential interference and conflict. Coordinate procedures and resolve potential conflicts before proceeding.

1.6 WARRANTY

A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during cutting and patching operations, by methods and with materials so as not to void existing warranties.

PART 2 - PRODUCTS

2.1 MATERIALS

A. General: Comply with requirements specified in other Sections.

B. In-Place Materials: Use materials identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.

1. If identical materials are unavailable or cannot be used, use materials that, when installed, will match the visual and functional performance of in-place materials.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine surfaces to be cut and patched and conditions under which cutting and patching are to be performed.

1. Compatibility: Before patching, verify compatibility with and suitability of substrates, including compatibility with in-place finishes or primers.
2. Proceed with installation only after unsafe or unsatisfactory conditions have been corrected.

3.2 PREPARATION

A. Temporary Support: Provide temporary support of Work to be cut.

B. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.

C. Adjoining Areas: Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.

D. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to minimize interruption to occupied areas.

3.3 PERFORMANCE

A. General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.

1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.

B. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.

1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots as small as possible, neatly to size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
3. Concrete: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
4. Excavating and Backfilling: Comply with requirements in applicable Division 02 Sections where required by cutting and patching operations.
5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
6. Proceed with patching after construction operations requiring cutting are complete.

C. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other Work. Patch with durable seams that are as invisible as possible. Provide materials and comply with installation requirements specified in other Sections.

1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate integrity of installation.
2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.

   a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
   b. Restore damaged pipe covering to its original condition.

3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance.

   a. Where patching occurs in a painted surface, apply primer and intermediate paint coats over the patch and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.

4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance. Where ceiling tiles are removed and are damaged, the same shall be replaced with new ceiling tiles to match existing. Where ceiling grid is damaged replace with new ceiling grid to match existing.
5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition.

D. Cleaning: Clean areas and spaces where cutting and patching are performed. Completely remove paint, mortar, oils, putty, and similar materials.

END OF SECTION

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SELECTIVE DEMOLITION
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SECTION 017320 - SELECTIVE DEMOLITION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes the following:

1. Demolition and removal of selected portions of building or structure.
2. Demolition and removal of selected site elements.
3. Salvage of existing items to be reused or recycled.

B. Related Sections include the following:

1. Division 01 Section "Summary" for use of premises and Owner-occupancy requirements.
2. Division 01 Section "Temporary Facilities and Controls" for temporary construction and environmental-protection measures for selective demolition operations.
3. Division 01 Section "Cutting and Patching" for cutting and patching procedures.

1.3 DEFINITIONS

A. Remove: Detach items from existing construction and legally dispose of them off-site, unless indicated to be removed and salvaged or removed and reinstalled.

B. Remove and Salvage: Detach items from existing construction and deliver them to Owner.

C. Remove and Reinstall: Detach items from existing construction, prepare them for reuse, and reinstall them where indicated.

D. Existing to Remain: Existing items of construction that are not to be removed and that are not otherwise indicated to be removed, removed and salvaged, or removed and reinstalled.

1.4 MATERIALS OWNERSHIP

A. Items of interest or value to Owner that may be encountered during selective demolition remain Owner's property. Carefully remove each item or object in a manner to prevent damage and deliver promptly to Owner.

1.5 SUBMITTALS

A. Qualification Data: For refrigerant recovery technician.

B. Schedule of Selective Demolition Activities: Indicate the following:

1. Detailed sequence of selective demolition and removal work, with starting and ending dates for each activity. Ensure Owner's building manager's on-site operations are uninterrupted.
2. Interruption of utility services. Indicate how long utility services will be interrupted.
3. Coordination for shutoff, capping, and continuation of utility services.
4. Use of elevator and stairs.
5. Locations of proposed dust- and noise-control temporary partitions and means of egress.
6. Coordination of Owner's continuing occupancy of portions of existing building and of Owner's partial occupancy of completed Work.
7. Means of protection for items to remain and items in path of waste removal from building.

C. Inventory: After selective demolition is complete, submit a list of items that have been removed and salvaged.

D. Predemolition Videotapes: Show existing conditions of adjoining construction, including finish surfaces, that might be misconstrued as damage caused by selective demolition operations.

E. Landfill Records: Indicate receipt and acceptance of hazardous wastes by a landfill facility licensed to accept hazardous wastes.

1.6 QUALITY ASSURANCE

A. Demolition Firm Qualifications: An experienced firm that has specialized in demolition work similar in material and extent to that indicated for this Project.

B. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.

C. Standards: Comply with ANSI A10.6 and NFPA 241.

D. Refrigerant Recovery Technician Qualifications: Certified by an EPA – approved certification program.

E. Predemolition Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination." Review methods and procedures related to selective demolition including, but not limited to, the following:

1. Inspect and discuss condition of construction to be selectively demolished.
2. Review structural load limitations of existing structure.
3. Review and finalize selective demolition schedule and verify availability of materials, demolition personnel, equipment, and facilities needed to make progress and avoid delays.
4. Review requirements of work performed by other trades that rely on substrates exposed by selective demolition operations.
5. Review areas where existing construction is to remain and requires protection.

1.7 PROJECT CONDITIONS

A. Owner will occupy portions of building and site immediately adjacent to selective demolition area. Conduct selective demolition so Owner's operations will not be disrupted.

1. Comply with requirements specified in Division 01 Section "Summary."

B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.

C. Notify Engineer of discrepancies between existing conditions and Drawings before proceeding with selective demolition. Video tape all existing areas prior to selective demolition work.

D. Hazardous Materials: It is not expected that hazardous materials will be encountered in the Work.

1. Hazardous materials will be removed by Owner before start of the Work.
2. If materials suspected of containing hazardous materials are encountered, do not disturb; immediately notify Engineer and Owner. Owner will remove hazardous materials under a separate contract.

E. Hazardous Materials: Hazardous materials are present in construction to be selectively demolished. A report on the presence of hazardous materials is on file for review and use. Examine report to become aware of locations where hazardous materials are present.

1. Hazardous material remediation is specified elsewhere in the Contract Documents.
2. Do not disturb hazardous materials or items suspected of containing hazardous materials except under procedures specified elsewhere in the Contract Documents.

F. Storage or sale of removed items or materials on-site is not permitted.

G. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective demolition operations.

1. Maintain existing site fire-protection facilities in service during selective demolition operations.

1.8 WARRANTY

A. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during selective demolition, by methods and with materials so as not to void existing warranties.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 EXAMINATION

A. Verify that utilities have been disconnected and capped.

B. Survey existing conditions and correlate with requirements indicated to determine extent of selective demolition required.

C. Inventory and record the condition of items to be removed and reinstalled and items to be removed and salvaged.

D. When unanticipated mechanical, electrical, or structural elements that conflict with intended function or design are encountered, investigate and measure the nature and extent of conflict. Promptly submit a written report to Engineer.

E. Engage a professional engineer to survey condition of building to determine whether removing any element might result in structural deficiency or unplanned collapse of any portion of structure or adjacent structures during selective demolition operations.

F. Survey of Existing Conditions: Record existing conditions by use of measured drawings and preconstruction digital videotapes.
   1. Before selective demolition or removal of existing building elements that will be reproduced or duplicated in final Work, make permanent record of measurements, materials, and construction details required to make exact reproduction.

3.2 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

A. Existing Services/Systems: Maintain services/systems indicated to remain and protect them against damage during selective demolition operations.

   1. Comply with requirements for existing services/systems interruptions specified in Division 01 Section "Summary."

B. Service/System Requirements: Locate, identify, disconnect, and seal or cap off indicated utility services and mechanical/electrical systems serving areas to be selectively demolished.

   1. Owner will arrange to shut off indicated services/systems when requested by Contractor.
   2. Arrange to shut off indicated utilities with utility companies.
   3. If services/systems are required to be removed, relocated, or abandoned, before proceeding with selective demolition provide temporary services/systems that bypass area of selective demolition and that maintain continuity of services/systems to other parts of building.
   4. Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit after bypassing.

a. Where entire wall is to be removed, existing services/systems may be removed with removal of the wall.

3.3 PREPARATION

A. Site Access and Temporary Controls: Conduct selective demolition and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.

1. Comply with requirements for access and protection specified in Division 01 Section "Temporary Facilities and Controls."

B. Temporary Facilities: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.

1. Provide protection to ensure safe passage of people around selective demolition area and to and from occupied portions of building.
2. Provide temporary weather protection, during interval between selective demolition of existing construction on exterior surfaces and new construction, to prevent water leakage and damage to structure and interior areas.
3. Protect walls, ceilings, floors, and other existing finish work that are to remain or that are exposed during selective demolition operations.
4. Cover and protect furniture, furnishings, and equipment that have not been removed.
5. Comply with requirements for temporary enclosures, dust control, heating, and cooling specified in Division 01 Section "Temporary Facilities and Controls."

C. Temporary Shoring: Provide and maintain shoring, bracing, and structural supports as required to preserve stability and prevent movement, settlement, or collapse of construction and finishes to remain, and to prevent unexpected or uncontrolled movement or collapse of construction being demolished.

1. Strengthen or add new supports when required during progress of selective demolition.

3.4 SELECTIVE DEMOLITION, GENERAL

A. General: Demolish and remove existing construction only to the extent required by new construction and as indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:

1. Proceed with selective demolition systematically, from higher to lower level. Complete selective demolition operations above each floor or tier before disturbing supporting members on the next lower level.
2. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping, to minimize disturbance of adjacent surfaces. Temporarily cover openings to remain.
3. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.

4. Do not use cutting torches until work area is cleared of flammable materials. At concealed spaces, such as duct and pipe interiors, verify condition and contents of hidden space before starting flame-cutting operations. Maintain fire watch and portable fire-suppression devices during flame-cutting operations.
5. Maintain adequate ventilation when using cutting torches.
6. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
7. Remove structural framing members and lower to ground by method suitable to avoid free fall and to prevent ground impact or dust generation.
8. Locate selective demolition equipment and remove debris and materials so as not to impose excessive loads on supporting walls, floors, or framing.
9. Dispose of demolished items and materials promptly.

B. Removed and Salvaged Items:

1. Clean salvaged items.
2. Pack or crate items after cleaning. Identify contents of containers.
3. Store items in a secure area until delivery to Owner.
4. Transport items to Owner's storage area on-campus.
5. Protect items from damage during transport and storage.

C. Removed and Reinstalled Items:

1. Clean and repair items to functional condition adequate for intended reuse. Paint equipment to match new equipment.
2. Pack or crate items after cleaning and repairing. Identify contents of containers.
3. Protect items from damage during transport and storage.
4. Reinstall items in locations indicated. Comply with installation requirements for new materials and equipment. Provide connections, supports, and miscellaneous materials necessary to make item functional for use indicated.

D. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective demolition. When permitted by Engineer, items may be removed to a suitable, protected storage location during selective demolition and cleaned and reinstalled in their original locations after selective demolition operations are complete.

E. Air-Conditioning Equipment: Remove equipment without releasing refrigerants.

3.5 SELECTIVE DEMOLITION PROCEDURES FOR SPECIFIC MATERIALS

A. Roofing: Remove no more existing roofing than can be covered in one day by new roofing and so that building interior remains watertight and weathertight. New roofing shall comply with existing Roof Warranty.

1. Remove existing roof membrane, flashings, copings, and roof accessories.
2. Remove existing roofing system down to substrate.

B. Air-Conditioning Equipment: Remove equipment without releasing refrigerants.

3.6 DISPOSAL OF DEMOLISHED MATERIALS

A. General: Except for items or materials indicated to be reused, salvaged, reinstalled, or otherwise indicated to remain Owner's property, remove demolished materials from Project site and legally dispose of them in an EPA-approved landfill.

1. Do not allow demolished materials to accumulate on-site.
2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
3. Remove debris from elevated portions of building by chute, hoist, or other device that will convey debris to grade level in a controlled descent.

B. Burning: Do not burn demolished materials.

C. Disposal: Transport demolished materials off Owner's property and legally dispose of them.

3.7 CLEANING

A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective demolition operations. Return adjacent areas to condition existing before selective demolition operations began.

END OF SECTION

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SECTION 017700 - CLOSEOUT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:

1. Inspection procedures.
2. Substantial Completion procedures.
3. Final completion procedures.
4. Warranties.
5. Final cleaning.

B. Related Sections include the following:

1. Division 01 Section "Payment Procedures" for requirements for Applications for Payment for Substantial and Final Completion.
2. Division 01 Section "Execution Requirements" for progress cleaning of Project site.
3. Division 01 Section "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.
4. Division 01 Section "Operation and Maintenance Data" for operation and maintenance manual requirements.
5. Division 01 Section "Demonstration and Training" for requirements for instructing Owner's personnel.
6. Divisions 02 through 26 Sections for specific closeout and special cleaning requirements for the Work in those Sections.

1.3 SUBSTANTIAL COMPLETION

A. Preliminary Procedures: Before requesting inspection for determining date of Substantial Completion, complete the following. List items below that are incomplete in request.

1. Prepare a list of items to be completed and corrected (punch list), the value of items on the list, and reasons why the Work is not complete.
2. Advise Owner of pending insurance changeover requirements.
3. Submit specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
4. Obtain and submit releases permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.

5. Prepare and submit Project Record Documents, operation and maintenance manuals, damage or settlement surveys, property surveys, and similar final record information.
6. Deliver tools, spare parts, extra materials, and similar items to location designated by Owner. Label with manufacturer's name and model number where applicable.
7. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
8. Complete startup testing of systems.
9. Submit test/adjust/balance records.
10. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
11. Advise Owner of changeover in heat and other utilities.
12. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
13. Complete final cleaning requirements, including touchup painting.
14. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.

B. Inspection: Submit a written request for inspection for Substantial Completion. On receipt of request, Engineer will either proceed with inspection or notify Contractor of unfulfilled requirements. Engineer will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Engineer, that must be completed or corrected before certificate will be issued.

1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
2. Results of completed inspection will form the basis of requirements for Final Completion.

1.4 FINAL COMPLETION

A. Preliminary Procedures: Before requesting final inspection for determining date of Final Completion, complete the following:

1. Submit a final Application for Payment according to Division 01 Section "Payment Procedures."
2. Submit certified copy of Engineer's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Engineer. The certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
3. Submit evidence of final, continuing insurance coverage complying with insurance requirements.
4. Submit pest-control final inspection report and warranty.
5. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems. Submit demonstration and training videotapes.

B. Inspection: Submit a written request for final inspection for acceptance. On receipt of request, Engineer will either proceed with inspection or notify Contractor of unfulfilled requirements. Engineer will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.

1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

1.5 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

A. Preparation: Submit three copies of list. Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction. Use CSI Form 14.1A , enclosed at the end of Part 3.

1. Organize list of spaces in sequential order, starting with exterior areas first and proceeding from lowest floor to highest floor.
2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.
3. Include the following information at the top of each page:

a. Project name.
b. Date.
c. Name of Engineer.
d. Name of Contractor.
e. Page number.

1.6 WARRANTIES

A. Submittal Time: Submit written warranties on request of Engineer for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated.

B. Organize warranty documents into an orderly sequence based on the table of contents of the Project Manual.

1. Bind warranties and bonds in heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch (215-by-280-mm) paper.
2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
4. Scan warranties and bonds and assemble complete warranty and bond submittal package into a single indexed electronic PDF file with links enabling navigation to each item. Provide table of contents at beginning of document.

C. Provide additional copies of each warranty to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 MATERIALS

A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

PART 3 - EXECUTION

3.1 FINAL CLEANING

A. General: Provide final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.

B. Cleaning: Employ experienced workers for final cleaning. Comply with manufacturer's written instructions.

1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a portion of Project:

a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
d. Remove tools, construction equipment, machinery, and surplus material from Project site.
e. Remove snow and ice to provide safe access to building.
f. Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
g. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
h. Sweep concrete floors broom clean in unoccupied spaces.
i. Vacuum carpet and similar soft surfaces, removing debris and excess nap; shampoo if visible soil or stains remain.
j. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials. Polish mirrors and glass, taking care not to scratch surfaces.
k. Remove labels that are not permanent.

l. Touch up and otherwise repair and restore marred, exposed finishes and surfaces. Replace finishes and surfaces that cannot be satisfactorily repaired or restored or that already show evidence of repair or restoration.

1) Do not paint over "UL" and other required labels and identification, including mechanical and electrical nameplates.

m. Wipe surfaces of mechanical and electrical equipment and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.

n. Replace parts subject to operating conditions during construction that may impede operation or reduce longevity.

o. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.

p. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.

q. Clean ducts, blowers, and coils if units were operated without filters during construction or that display contamination with particulate matter upon inspection.

r. Clean light fixtures, lamps, globes, and reflectors to function with full efficiency. Replace burned-out bulbs, and those noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.

s. Leave Project clean and ready for occupancy.

C. Comply with safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on Owner's property. Do not discharge volatile, harmful, or dangerous materials into drainage systems. Remove waste materials from Project site and dispose of lawfully.

D. Construction Waste Disposal: Comply with waste disposal requirements in Division 01 Section "Temporary Facilities and Controls."

END OF SECTION

Advancement of Construction Technology

PUNCH LIST

Project: _____

_____

To (Contractor): _____

_____

From (A/E): _____

Site Visit Date: _____

A/E Project Number: _____

Contract For: _____

The following items require the attention of the Contractor for completion or correction. This list may not be all-inclusive, and the failure to include any items on this list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

Item Number	Room Number	Location (Area)	Description	Correction/Completion Date	Verification A/E Check

☐ Attachments

Signed by: Date:

Copies: ☐ Owner ☐ Consultants ☐ _____ ☐ _____ ☐ _____ ☐ _____ ☐ _____ ☐ _____ ☐ _____ ☐ File

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DIVISION 01 SECTION 017823
OPERATION AND MAINTENANCE DATA
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PART 1 GENERAL

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PART 3 EXECUTION

3.1 MANUAL PREPARATION

SECTION 017823 - OPERATION AND MAINTENANCE DATA

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:

1. Operation and maintenance documentation directory.
2. Emergency manuals.
3. Operation manuals for systems, subsystems, and equipment.
4. Maintenance manuals for the care and maintenance of systems and equipment.

B. Related Sections include the following:
1. Division 01 Section "Submittal Procedures" for submitting copies of submittals for operation and maintenance manuals.
2. Division 01 Section "Closeout Procedures" for submitting operation and maintenance manuals.
3. Division 01 Section "Project Record Documents" for preparing Record Drawings for operation and maintenance manuals.
4. Divisions 02 through 26 Sections for specific operation and maintenance manual requirements for the Work in those Sections.

1.3 DEFINITIONS

A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.

B. Subsystem: A portion of a system with characteristics similar to a system.

1.4 SUBMITTALS

A. Initial Submittal: Submit 2 draft copies of each manual at least 15 days before requesting inspection for Substantial Completion. Include a complete operation and maintenance directory. Engineer will return both copy of draft and mark whether general scope and content of manual are acceptable.

B. Final Submittal: Submit one copy of each manual in final form at least 15 days before final inspection. Engineer will return copy with comments within 15 days after final inspection.

1. Correct or modify each manual to comply with Engineer's comments. Submit 3 copies of each corrected manual within 15 days of receipt of Engineer's comments.

1.5 COORDINATION

A. Where operation and maintenance documentation includes information on installations by more than one factory-authorized service representative, assemble and coordinate information furnished by representatives and prepare manuals.

PART 2 - PRODUCTS

2.1 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY

A. Organization: Include a section in the directory for each of the following:

1. List of documents.
2. List of equipment.
3. Table of contents.

B. List of Systems and Subsystems: List systems alphabetically. Include references to operation and maintenance manuals that contain information about each system.

C. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.

D. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.

E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

2.2 MANUALS, GENERAL

A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:

1. Title page.
2. Table of contents.
3. Manual contents.

B. Title Page: Enclose title page in transparent plastic sleeve. Include the following information:

1. Subject matter included in manual.
2. Name and address of Project.

3. Name and address of Owner.
4. Date of submittal.
5. Name, address, and telephone number of Contractor.
6. Name and address of Engineer.
7. Cross-reference to related systems in other operation and maintenance manuals.

C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.

1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.

D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.

1. Binders: Heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch (215-by-280-mm) paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.

   a. If two or more binders are necessary to accommodate data of a system, organize data in each binder into groupings by subsystem and related components. Cross-reference other binders if necessary to provide essential information for proper operation or maintenance of equipment or system.
   b. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents. Indicate volume number for multiple-volume sets.

2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software diskettes for computerized electronic equipment.
4. Supplementary Text: Prepared on 8-1/2-by-11-inch (215-by-280-mm) white bond paper.
5. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.

   a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
   b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

2.3 OPERATION MANUALS

A. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and the following information:

1. System, subsystem, and equipment descriptions.
2. Performance and design criteria if Contractor is delegated design responsibility.
3. Operating standards.
4. Operating procedures.
5. Operating logs.
6. Wiring diagrams.
7. Control diagrams.
8. Piped system diagrams.
9. Precautions against improper use.
10. License requirements including inspection and renewal dates.

B. Descriptions: Include the following:

1. Product name and model number.
2. Manufacturer's name.
3. Equipment identification with serial number of each component.
4. Equipment function.
5. Operating characteristics.
6. Limiting conditions.
7. Performance curves.
8. Engineering data and tests.
9. Complete nomenclature and number of replacement parts.

C. Operating Procedures: Include the following, as applicable:

1. Startup procedures.
2. Equipment or system break-in procedures.
3. Routine and normal operating instructions.
4. Regulation and control procedures.
5. Instructions on stopping.
6. Normal shutdown instructions.
7. Seasonal and weekend operating instructions.
8. Required sequences for electric or electronic systems.
9. Special operating instructions and procedures.

D. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.

2.4 PRODUCT MAINTENANCE MANUAL

A. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.

B. Source Information: List each product included in manual, identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual.

C. Product Information: Include the following, as applicable:

1. Product name and model number.
2. Manufacturer's name.
3. Color, pattern, and texture.
4. Material and chemical composition.
5. Reordering information for specially manufactured products.

D. Maintenance Procedures: Include manufacturer's written recommendations and the following:

1. Inspection procedures.
2. Types of cleaning agents to be used and methods of cleaning.
3. List of cleaning agents and methods of cleaning detrimental to product.
4. Schedule for routine cleaning and maintenance.
5. Repair instructions.

E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.

F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.

1. Include procedures to follow and required notifications for warranty claims.

PART 3 - EXECUTION

3.1 MANUAL PREPARATION

A. Operation and Maintenance Documentation Directory: Prepare a separate manual that provides an organized reference to emergency, operation, and maintenance manuals.

B. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.

C. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.

D. Operation and Maintenance Manuals: Assemble a complete set of operation and maintenance data indicating operation and maintenance of each system, subsystem, and piece of equipment not part of a system.

1. Engage a factory-authorized service representative to assemble and prepare information for each system, subsystem, and piece of equipment not part of a system.

2. Prepare a separate manual for each system and subsystem, in the form of an instructional manual for use by Owner's operating personnel.

E. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.

1. Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.

F. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in Record Drawings to ensure correct illustration of completed installation.

1. Do not use original Project Record Documents as part of operation and maintenance manuals.
2. Comply with requirements of newly prepared Record Drawings in Division 01 Section "Project Record Documents."

G. Comply with Division 01 Section "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

END OF SECTION

DIVISION 01 SECTION 017839
PROJECT RECORD DOCUMENTS
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PART 3 EXECUTION

3.1 RECORDING AND MAINTENANCE

SECTION 017839 - PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for Project Record Documents, including the following:

1. Record Drawings.
2. Record Specifications.
3. Record Product Data.

B. Related Sections include the following:

1. Division 01 Section "Execution Requirements" for final property survey.
2. Division 01 Section "Closeout Procedures" for general closeout procedures.
3. Division 01 Section "Operation and Maintenance Data" for operation and maintenance manual requirements.
4. Divisions 02 through 28 Sections for specific requirements for project record documents of the Work in those Sections

1.3 SUBMITTALS

A. Record Drawings: Comply with the following:

1. Number of Copies: Submit one set(s) of marked-up Record Prints.

B. Record Specifications: Submit one copy of Project's Specifications, including addenda and contract modifications.

C. Record Product Data: Submit one copy of each Product Data submittal.

1. Where Record Product Data is required as part of operation and maintenance manuals, submit marked-up Product Data as an insert in manual instead of submittal as Record Product Data.

PART 2 - PRODUCTS

2.1 RECORD DRAWINGS

A. Record Prints: Maintain one set of blue- or black-line white prints of the Contract Drawings and Shop Drawings.

1. Preparation: Mark Record Prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to prepare the marked-up Record Prints.

   a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
   b. Accurately record information in an understandable drawing technique.
   c. Record data as soon as possible after obtaining it. Record and check the markup before enclosing concealed installations.

2. Content: Types of items requiring marking include, but are not limited to, the following:

   a. Dimensional changes to Drawings.
   b. Revisions to details shown on Drawings.
   c. Revisions to routing of piping and conduits.
   d. Revisions to electrical circuitry.
   e. Actual equipment locations.
   f. Locations of concealed internal utilities.
   g. Changes made by Change Order or Construction Change Directive.
   h. Changes made following Engineer's written orders.
   i. Details not on the original Contract Drawings.
   j. Field records for variable and concealed conditions.
   k. Record information on the Work that is shown only schematically.

3. Mark the Contract Drawings or Shop Drawings, whichever is most capable of showing actual physical conditions, completely and accurately. If Shop Drawings are marked, show cross-reference on the Contract Drawings.
4. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
5. Mark important additional information that was either shown schematically or omitted from original Drawings.
6. Note Construction Change Directive numbers, alternate numbers, Change Order numbers, and similar identification, where applicable.

B. Record Transparencies: Immediately before inspection for Certificate of Substantial Completion, review marked-up Record Prints with Engineer. When authorized, prepare a full set of corrected transparencies of the Contract Drawings and Shop Drawings.

1. Incorporate changes and additional information previously marked on Record Prints. Erase, redraw, and add details and notations where applicable.
2. Refer instances of uncertainty to Engineer for resolution.

3. Owner will furnish Contractor one set of transparencies of the Contract Drawings for use in recording information.
4. Print the Contract Drawings and Shop Drawings for use as Record Transparencies. Engineer will make the Contract Drawings available to Contractor's print shop.

C. Format: Identify and date each Record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.

1. Record Prints: Organize Record Prints and newly prepared Record Drawings into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
2. Record Transparencies: Organize into unbound sets matching Record Prints. Place transparencies in durable tube-type drawing containers with end caps. Mark end cap of each container with identification. If container does not include a complete set, identify Drawings included.
3. Identification: As follows:

   a. Project name.
   b. Date.
   c. Designation "PROJECT RECORD DRAWINGS."
   d. Name of Engineer.
   e. Name of Contractor.

2.2 RECORD SPECIFICATIONS

A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.

1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made.
4. For each principal product, indicate whether Record Product Data has been submitted in operation and maintenance manuals instead of submitted as Record Product Data.
5. Note related Change Orders, Record Product Data, and Record Drawings where applicable.

2.3 RECORD PRODUCT DATA

A. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.

1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.

3. Note related Change Orders, Record Specifications, and Record Drawings where applicable.

2.4 MISCELLANEOUS RECORD SUBMITTALS

A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.

PART 3 - EXECUTION

3.1 RECORDING AND MAINTENANCE

A. Recording: Maintain one copy of each submittal during the construction period for Project Record Document purposes. Post changes and modifications to Project Record Documents as they occur; do not wait until the end of Project.

B. Maintenance of Record Documents and Samples: Store Record Documents and Samples in the field office apart from the Contract Documents used for construction. Do not use Project Record Documents for construction purposes. Maintain Record Documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to Project Record Documents for Engineer's reference during normal working hours.

END OF SECTION

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DEMONSTRATION AND TRAINING
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3.1 PREPARATION
3.2 INSTRUCTION
3.3 DEMONSTRATION AND TRAINING VIDEO RECORDINGS

SECTION 017900 - DEMONSTRATION AND TRAINING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for instructing Owner's personnel, including the following:

1. Demonstration of operation of systems, subsystems, and equipment.
2. Training in operation and maintenance of systems, subsystems, and equipment.
3. Demonstration and training video recordings.

B. Related Sections include the following:

1. Division 01 Section "Project Management and Coordination" for requirements for preinstruction conferences.
2. Divisions 02 through 26 Sections for specific requirements for demonstration and training for products in those Sections.

1.3 SUBMITTALS

A. Instruction Program: Submit two copies of outline of instructional program for demonstration and training, including a schedule of proposed dates, times, length of instruction time, and instructors' names for each training module. Include learning objective and outline for each training module.

1. At completion of training, submit one complete training manual(s) for Owner's use.

B. Qualification Data: For instructor.

C. Attendance Record: For each training module, submit list of participants and length of instruction time.

D. Evaluations: For each participant and for each training module, submit results and documentation of performance-based test.

1.4 CLOSEOUT SUBMITTALS

A. Demonstration and Training Video Recordings: Submit two copies within seven days of end of each training module.

1. Identification: On each copy, provide an applied label with the following information:

    a. Name of Project.
    b. Name of Engineer.
    c. Name of Contractor.
    d. Date of video recording.

2. Transcript: Prepared on 8-1/2-by-11-inch (215-by-280-mm) paper, punched and bound in heavy-duty, three-ring, vinyl-covered binders. Mark appropriate identification on front and spine of each binder. Include a cover sheet with same label information as the corresponding video recording. Include name of Project and date of video recording on each page.
3. At completion of training, submit complete training manual(s) for Owner's use.

1.5 QUALITY ASSURANCE

A. Facilitator Qualifications: A firm or individual experienced in training or educating maintenance personnel in a training program similar in content and extent to that indicated for this Project, and whose work has resulted in training or education with a record of successful learning performance.

B. Instructor Qualifications: A factory-authorized service representative, complying with requirements in Division 01 Section "Quality Requirements," experienced in operation and maintenance procedures and training.

C. Preinstruction Conference: Conduct conference at Project site to comply with requirements in Division 01 Section "Project Management and Coordination." Review methods and procedures related to demonstration and training including, but not limited to, the following:

1. Inspect and discuss locations and other facilities required for instruction.
2. Review and finalize instruction schedule and verify availability of educational materials, instructors' personnel, audiovisual equipment, and facilities needed to avoid delays.
3. Review required content of instruction.
4. For instruction that must occur outside, review weather and forecasted weather conditions and procedures to follow if conditions are unfavorable.

1.6 COORDINATION

A. Coordinate instruction schedule with Owner's operations. Adjust schedule as required to minimize disrupting Owner's operations.

B. Coordinate instructors, including providing notification of dates, times, length of instruction time, and course content.

C. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data has been reviewed and approved by Engineer.

PART 2 - PRODUCTS

2.1 INSTRUCTION PROGRAM

A. Program Structure: Develop an instruction program that includes individual training modules for each system and equipment not part of a system, as required by individual Specification Sections, and as follows:

1. Electrical components
2. Ductless Units
3. ATC System
4. Single Zone VAV Unit

B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following:

1. Basis of System Design, Operational Requirements, and Criteria: Include the following:

   a. System, subsystem, and equipment descriptions.
   b. Performance and design criteria if Contractor is delegated design responsibility.
   c. Operating standards.
   d. Regulatory requirements.
   e. Equipment function.
   f. Operating characteristics.
   g. Limiting conditions.
   h. Performance curves.

2. Documentation: Review the following items in detail:
   a. Operations manuals.
   b. Maintenance manuals.
   c. Project Record Documents.
   d. Identification systems.
   e. Warranties and bonds.
   f. Maintenance service agreements and similar continuing commitments.

3. Emergencies: Include the following, as applicable:

   a. Instructions on meaning of warnings, trouble indications, and error messages.
   b. Instructions on stopping.
   c. Shutdown instructions for each type of emergency.
   d. Operating instructions for conditions outside of normal operating limits.
   e. Sequences for electric or electronic systems.
   f. Special operating instructions and procedures.

4. Operations: Include the following, as applicable:

   a. Startup procedures.
   b. Equipment or system break-in procedures.
   c. Routine and normal operating instructions.
   d. Regulation and control procedures.

e. Control sequences.
f. Safety procedures.
g. Instructions on stopping.
h. Normal shutdown instructions.
i. Operating procedures for emergencies.
j. Operating procedures for system, subsystem, or equipment failure.
k. Seasonal and weekend operating instructions.
l. Required sequences for electric or electronic systems.
m. Special operating instructions and procedures.

5. Adjustments: Include the following:

a. Alignments.
b. Checking adjustments.
c. Noise and vibration adjustments.
d. Economy and efficiency adjustments.

6. Troubleshooting: Include the following:

a. Diagnostic instructions.
b. Test and inspection procedures.

7. Maintenance: Include the following:

a. Inspection procedures.
b. Types of cleaning agents to be used and methods of cleaning.
c. List of cleaning agents and methods of cleaning detrimental to product.
d. Procedures for routine cleaning
e. Procedures for preventive maintenance.
f. Procedures for routine maintenance.
g. Instruction on use of special tools.

8. Repairs: Include the following:

a. Diagnosis instructions.
b. Repair instructions.
c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
d. Instructions for identifying parts and components.
e. Review of spare parts needed for operation and maintenance.

PART 3 - EXECUTION

3.1 PREPARATION

A. Assemble educational materials necessary for instruction, including documentation and training module. Assemble training modules into a combined training manual organized in coordination with requirements in Division 01 Section "Operations and Maintenance Data."

B. Set up instructional equipment at instruction location.

3.2 INSTRUCTION

A. Facilitator: Engage a qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate between Contractor and Owner for number of participants, instruction times, and location.

B. Engage qualified instructors to instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.

1. Engineer will furnish an instructor to describe basis of system design, operational requirements, criteria, and regulatory requirements.
2. Owner will furnish an instructor to describe Owner's operational philosophy.
3. Owner will furnish Contractor with names and positions of participants.

C. Scheduling: Provide instruction at mutually agreed on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.

1. Schedule training with Owner, through Engineer, with at least seven days' advance notice.

D. Cleanup: Collect used and leftover educational materials and give to Owner. Remove instructional equipment. Restore systems and equipment to condition existing before initial training use.

3.3 DEMONSTRATION AND TRAINING VIDEO RECORDINGS

A. General: Record demonstration and training video recordings. Record each training module separately. Include classroom instructions and demonstrations, board diagrams, and other visual aids, but not student practice.

1. At beginning of each training module, record each chart containing learning objective and lesson outline.

B. Video Recording Format: Provide high-quality color video recordings with menu navigation in format acceptable to Engineer.

C. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to show area of demonstration and training. Display continuous running time.

D. Narration: Describe scenes on video recording by video recording is recorded. Include description of items being viewed.

E. Transcript: Provide a transcript of the narration. Display images and running time captured from videotape opposite the corresponding narration segment.

F. Pre-Produced Video Recordings: Provide video recordings used as a component of training modules in same format as recordings of live training.

END OF SECTION

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SECTION 019113
GENERAL COMMISSIONING REQUIREMENTS
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3.1. OPERATION AND MAINTENANCE TRAINING REQUIREMENTS

SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes general requirements that apply to implementation of commissioning without regard to systems, subsystems, and equipment being commissioned.

B. Related Sections include the following:

1. Division 01 Section *"HVAC Commissioning Requirements"* for specific requirements for commissioning HVAC systems.

2. Division 01 Section *"Contract Closeout"* for specific requirements for closeout at substantial and final completion.

3. Division 01 Section *"Contract Closeout"* for Specific Requirements for training and demonstration of systems to Owner.

4. Division 01 Section *"Contract Closeout"* for Specific Requirements related to the Preparation of systems operation and maintenance manuals.

1.3 DEFINITIONS

A. CxA: Commissioning Authority.

B. OPR: Owner's Project Requirements.

C. Systems, Subsystems, and Equipment: Where these terms are used together or separately, they shall mean "as-built" systems, subsystems, and equipment.

D. TAB: Testing, Adjusting, and Balancing.

1.4 COMMISSIONING TEAM

A. Members Appointed by Contractor(s): Individuals, each having authority to act on behalf of the entity he or she represents, explicitly organized to implement the commissioning process through coordinated actions. The commissioning team shall consist of, but not be limited to, representatives of Contractor, including Project superintendent and subcontractors, installers, suppliers, and specialists deemed appropriate by the CxA.

B. Members Appointed by Owner:

1. CxA: The designated person, company, or entity that plans, schedules, and coordinates the commissioning team to implement the commissioning process. Owner will engage the CxA under a separate contract. The CxA for this project shall be performed by Gipe Associates, Inc., 8719 Brooks Drive, Easton, Maryland 21601, (410) 822-8688 - telephone, (410) 822-6306 – fax.
2. All contractor commissioning requirements and costs associated with commissioning the project shall be included in the base bid.
3. Representatives of the facility user and operation and maintenance personnel.
4. Engineering design professionals.

1.5 OWNER'S RESPONSIBILITIES

A. Assign operation and maintenance personnel and schedule them to participate in commissioning team activities including, but not limited to, the following:

1. Coordination meetings.
2. Training in operation and maintenance of systems, subsystems, and equipment.
3. Testing meetings.
4. Demonstration of operation of systems, subsystems, and equipment.

1.6 CONTRACTOR'S RESPONSIBILITIES

A. Provide utility services required for the commissioning process.

B. Contractor shall assign representatives with expertise and authority to act on behalf of the Contractor and schedule them to participate in and perform commissioning team activities including, but not limited to, the following:

1. Participate in commissioning and construction-phase coordination meetings.
2. Participate in maintenance orientation and inspection.
3. Participate in operation and maintenance training sessions.
4. Participate in final review at acceptance meeting.
5. Certify that Work is complete and systems are operational according to the Contract Documents, including calibration of instrumentation and controls.
6. Evaluate performance deficiencies identified in test reports and, in collaboration with entity responsible for system and equipment installation, recommend corrective action.
7. Review and approve final commissioning documentation.
8. Certify that all pre-test work and pre-testing of functional performance tests are complete and operational prior to scheduling performed testing by CxA. Submit completed functional performance test forms with data from pre-testing.
9. During functional performance testing, a representative from the mechanical contractor, controls contractor, and test/balance engineer must be present and participate in testing.

C. Subcontractors shall assign representatives with expertise and authority to act on behalf of subcontractors and schedule them to participate in and perform commissioning team activities including, but not limited to, the following:

1. Pre-test all systems/equipment prior to engaging CxA for Functional Performance Testing.
2. Participate in commissioning and construction-phase coordination meetings.
3. Participate in maintenance orientation and inspection.
4. Participate in procedures meeting for testing.
5. Participate in final review at acceptance meeting.
6. Provide schedule for operation and maintenance data submittals, equipment startup, and testing to CxA for incorporation into the commissioning plan. Update schedule on a weekly basis throughout the construction period.
7. Provide information to the CxA for developing construction-phase commissioning plan.
8. Participate in training sessions for Owner's operation and maintenance personnel.
9. Provide updated Project Record Documents to the CxA on a daily basis.
10. Gather and submit operation and maintenance data for systems, subsystems, and equipment to the CxA, as specified in Division 01 Section "Operation and Maintenance Data."
11. Provide technicians who are familiar with the construction and operation of installed systems and who shall develop specific test procedures and participate in testing of installed systems, subsystems, and equipment.
12. The test/balance subcontractor, mechanical contractor, and automatic temperature controls subcontractor must be on-site and provide assistance during all functional performance testing.

1.7 CxA'S RESPONSIBILITIES

A. Organize and lead the commissioning team.

B. Prepare a construction-phase commissioning plan. Collaborate with Contractor and with subcontractors to develop test and inspection procedures. Include design changes and scheduled commissioning activities coordinated with overall Project schedule. Identify commissioning team member responsibilities, by name, firm, and trade specialty, for performance of each commissioning task.

C. Convene commissioning team meetings for the purpose of coordination, communication, and conflict resolution; discuss progress of the commissioning processes. Responsibilities include arranging for facilities, preparing agenda and attendance lists, and notifying participants. The CxA shall prepare and distribute minutes to commissioning team members and attendees within five workdays of the commissioning meeting.

D. At a mutually agreed upon time, conduct an initial construction-phase coordination meeting for the purpose of reviewing the commissioning activities and establishing tentative schedules for operation and maintenance submittals; operation and maintenance training sessions; TAB Work; and Project completion.

E. Observe and inspect construction and report progress and deficiencies. In addition to compliance with the Contract Documents, inspect systems and equipment installation for adequate accessibility for maintenance and component replacement or repair.

F. Prepare Project-specific test and inspection procedures and checklists.

G. Schedule, direct, witness, and document tests, inspections, and systems startup.

H. Compile test data, inspection reports, and certificates and include them in the systems manual and commissioning report.

I. Certify date of acceptance and startup for each item of equipment for start of warranty periods.

J. Review Project Record Documents for accuracy. Request revisions from Contractor to achieve accuracy. Project Record Documents requirements are specified in Division 01 Section "Project Record Documents."

K. Review and comment on operation and maintenance documentation and systems manual outline for compliance with the Contract Documents. Operation and maintenance documentation requirements are specified in Division 01 Section "Operation and Maintenance Data."

L. Assemble the final commissioning documentation, including the commissioning report and Project Record Documents.

1.8 COMMISSIONING DOCUMENTATION

A. Commissioning Plan: A document, prepared by CxA, that outlines the schedule, allocation of resources, and documentation requirements of the commissioning process, and shall include, but is not limited to the following:

1. Plan for delivery and review of submittals, systems manuals, and other documents and reports. Identification of the relationship of these documents to other functions and a detailed description of submittals that are required to support the commissioning processes. Submittal dates shall include the latest date approved submittals must be received without adversely affecting commissioning plan.
2. Description of the organization, layout, and content of commissioning documentation (including systems manual) and a detailed description of documents to be provided along with identification of responsible parties.
3. Identification of systems and equipment to be commissioned.
4. Description of schedules for testing procedures along with identification of parties involved in performing and verifying tests.
5. Identification of items that must be completed before the next operation can proceed.
6. Description of responsibilities of commissioning team members.
7. Description of observations to be made.
8. Description of requirements for operation and maintenance training, including required training materials.
9. Description of expected performance for systems, subsystems, equipment, and controls.
10. Schedule for commissioning activities with specific dates coordinated with overall construction schedule.
11. Identification of installed systems, subsystems, and equipment, including design changes that occurred during the construction phase.
12. Process and schedule for documenting changes on a continuous basis to appear in Project Record Documents.
13. Process and schedule for completing prestart and startup checklists for systems, subsystems, and equipment to be verified and tested.
14. Step-by-step procedures for testing systems, subsystems, and equipment with descriptions for methods of verifying relevant data, recording the results obtained, and listing parties involved in performing and verifying tests.

B. Test Checklists: CxA, with assistance of Contractor and Subcontractors, shall develop test checklists for each system, subsystem, or equipment including interfaces and interlocks, and include a separate entry, with space for comments, for each item to be tested. Prepare separate checklists for each mode of operation and provide space to indicate whether the mode under test responded as required. Provide space for testing personnel to sign off on each checklist. Specific checklist content requirements are specified in Division 01 Section *"HVAC Commissioning Requirements"*. Test checklists will be jointly developed as the project progresses. Each checklist, regardless of system, subsystem, or equipment being tested, shall include, but not be limited to, the following:

1. Name and identification code of tested item.
2. Test number.
3. Time and date of test.
4. Indication of whether the record is for a first test or retest following correction of a problem or issue.
5. Dated signatures of the person performing test and of the witness, if applicable.
6. Individuals present for test.
7. Deficiencies.
8. Issue number, if any, generated as the result of test.

C. Certificate of Readiness: Certificate of Readiness shall be signed by Contractor, Subcontractor(s), Installer(s), and CxA certifying that systems, subsystems, equipment, and associated controls are ready for testing. Completed test checklists signed by the responsible parties shall accompany this certificate.

D. Test and Inspection Reports: CxA shall record test data, observations, and measurements on test checklists. Photographs, forms, and other means appropriate for the application shall be included with data. CxA shall compile test and inspection reports and test and inspection certificates and include them in systems manual and commissioning report.

E. Corrective Action Documents: CxA shall document corrective action taken for systems and equipment that fail tests. Include required modifications to systems and equipment and revisions to test procedures, if any. Retest systems and equipment requiring corrective action and document retest results.

F. Issues Log: CxA shall prepare and maintain an issues log that describes design, installation, and performance issues that are at variance with the Contract Documents. Identify and track issues as they are encountered, documenting the status of unresolved and resolved issues.

1. Creating an Issues Log Entry:

   a. Identify the issue with unique numeric or alphanumeric identifier by which the issue may be tracked.
   b. Assign a descriptive title of the issue.
   c. Identify date and time of the issue.
   d. Identify test number of test being performed at the time of the observation, if applicable, for cross-reference.
   e. Identify system, subsystem, and equipment to which the issue applies.
   f. Identify location of system, subsystem, and equipment.
   g. Include information that may be helpful in diagnosing or evaluating the issue.
   h. Note recommended corrective action.

i. Identify commissioning team member responsible for corrective action.
j. Identify expected date of correction.
k. Identify person documenting the issue.

2. Documenting Issue Resolution:

a. Log date correction is completed or the issue is resolved.
b. Describe corrective action or resolution taken. Include description of diagnostic steps taken to determine root cause of the issue, if any.
c. Identify changes to the Contract Documents that may require action.
d. State that correction was completed and system, subsystem, and equipment is ready for retest, if applicable.
e. Identify person(s) who corrected or resolved the issue.
f. Identify person(s) documenting the issue resolution.

3. Issues Log Report: On a periodic basis, but not less than for each commissioning team meeting, CxA shall prepare a written narrative for review of outstanding issues and a status update of the issues log. As a minimum, CxA shall include the following information in the issues log and expand it in the narrative:

a. Issue number and title.
b. Date of the identification of the issue.
c. Name of the commissioning team member assigned responsibility for resolution.
d. Expected date of correction.

G. Commissioning Report: CxA shall document results of the commissioning process including unresolved issues and performance of systems, subsystems, and equipment. The commissioning report shall indicate whether systems, subsystems, and equipment have been completed and are performing according to the Contract Documents. The commissioning report shall include, but is not limited to, the following:

1. Lists and explanations of substitutions; compromises; variances in the Contract Documents; record of conditions; and, if appropriate, recommendations for resolution. This report shall be used to evaluate systems, subsystems, and equipment and shall serve as a future reference document during Owner occupancy and operation. It shall describe components and performance that exceed requirements of the Contract Documents and those that do not meet requirements of the Contract Documents. It may also include a recommendation for accepting or rejecting systems, subsystems, and equipment.
2. Commissioning plan.
3. Testing plans and reports.
4. Corrective modification documentation.
5. Issues log.
6. Completed test checklists.
7. Listing of off-season test(s) not performed and a schedule for their completion.
8. All commissioning documents must be submitted to the building Owner within 90 days of the date of receipt of the Certificate of Occupancy.

H. Systems Manual: CxA shall gather required information and compile systems manual. Systems manual shall include, but is not limited to, the following:
1. Project Record Documents as specified in Division 01 Section "Project Record Documents."

2. Final commissioning plan.
3. Commissioning report.
4. Operation and maintenance data as specified in Division 01 Section "Operation and Maintenance Data."

1.9 SUBMITTALS

A. Test Checklists and Report Forms: CxA shall submit sample checklists and forms to Contractor quality-control manager and subcontractors for review and comment. Submit two copies of each checklist and report form.

B. Test and Inspection Reports: CxA shall submit test and inspection reports.

C. Corrective Action Documents: CxA shall submit corrective action documents.

1.10 QUALITY ASSURANCE

A. Instructor Qualifications: Factory-authorized service representatives, experienced in training, operation, and maintenance procedures for installed systems, subsystems, and equipment.

B. Test Equipment Calibration: Comply with test equipment manufacturer's calibration procedures and intervals. Recalibrate test instruments immediately whenever instruments have been repaired following damage or dropping. Affix calibration tags to test instruments. Instruments shall have been calibrated within six months prior to use.

1.11 COORDINATION

A. Coordinating Meetings: CxA shall conduct coordination meetings of the commissioning team to review progress on the commissioning plan, to discuss scheduling conflicts, and to discuss upcoming commissioning process activities.

B. Pretesting Meetings: CxA shall conduct pretest meetings of the commissioning team to review startup reports, pretest inspection results, testing procedures, testing personnel and instrumentation requirements, and manufacturers' authorized service representative services for each system, subsystem, equipment, and component to be tested.

C. Testing Coordination: CxA shall coordinate sequence of testing activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.

1. Schedule times for tests, inspections, obtaining samples, and similar activities.

D. Manufacturers' Field Services: CxA and Contractor shall coordinate services of manufacturers' field services.

1.12 ALTERNATES

A. Refer to Division 01 Section, “Alternates” for description of work under this section affected by alternates.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 OPERATION AND MAINTENANCE TRAINING REQUIREMENTS

A. Training Preparation Conference: Before operation and maintenance training, CxA shall convene a training preparation conference to include Owner's operation and maintenance personnel, Contractor, and subcontractors. In addition to requirements specified in Division 01 Section "Demonstration and Training," perform the following:

1. Review installed systems, subsystems, and equipment.
2. Review instructor qualifications.
3. Review instructional methods and procedures.
4. Review training module outlines and contents.
5. Review course materials (including operation and maintenance manuals).
6. Inspect and discuss locations and other facilities required for instruction.
7. Review and finalize training schedule and verify availability of educational materials, instructors, audiovisual equipment, and facilities needed to avoid delays.
8. For instruction that must occur outside, review weather and forecasted weather conditions and procedures to follow if conditions are unfavorable.

B. Training Modules: Develop an instruction program that includes individual training modules for each system, subsystem, and equipment as specified in Division 01 Section "Demonstration and Training."

END OF SECTION

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SECTION 019115
HVAC COMMISSIONING REQUIREMENTS
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SECTION 019115 - HVAC COMMISSIONING REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes requirements for commissioning the HVAC system and its subsystems and equipment. This Section supplements the general requirements specified in Division 01 Section "General Commissioning Requirements."

B. Related Sections include the following:

1. Division 01 Section "General Commissioning Requirements" for general requirements for commissioning processes that apply to this Section.

C. The following systems and/or equipment shall be commissioned:

1. Air Flow Monitoring Stations.
2. Existing Exhaust Fan #1
3. Automatic Temperature Control System.
4. Condensate overflow alarms.
5. Duct detectors.
6. Ductless heat pumps units.
7. Gas Furnaces.
8. HVAC controls and sequences of operation.
9. Single Zone VAV Units.
10. Variable frequency drives.

1.3 DEFINITIONS

A. Architect: Includes Architect identified in the Contract for Construction between Owner and Contractor, plus consultant/design professionals responsible for design of HVAC, electrical, communications, controls for HVAC systems, and other related systems.

B. CxA: Commissioning Authority.

C. Systems, Subsystems, and Equipment: Where these terms are used together or separately, they shall mean "as-built" systems, subsystems, and equipment.

D. TAB: Testing, Adjusting, and Balancing.

1.4 CONTRACTOR'S RESPONSIBILITIES

A. The following responsibilities are in addition to those specified in Division 01 Section "General Commissioning Requirements."

B. Contractor:

1. Attend procedures meeting for TAB Work.
2. Certify that TAB Work is complete.
3. Assist performing functional performance tests.

C. Mechanical Contractor:

1. Attend TAB verification testing.
2. Provide measuring instruments and logging devices to record test data, and data acquisition equipment to record data for the complete range of testing for the required test period.
3. Assist performing functional performance tests.

D. HVAC Instrumentation and Control Contractor: With the CxA, review control designs for compliance with the Contract Documents, controllability with respect to actual equipment to be installed, and recommend adjustments to control designs and sequence of operation descriptions.

E. TAB Subcontractor:

1. Contract Documents Review: With the CxA, review the Contract Documents before developing TAB procedures.

a. Verify the following:

1) Accessibility of equipment and components required for TAB Work.
2) Adequate number and placement of duct balancing dampers to allow proper balancing while minimizing sound levels in occupied spaces.
3) Adequate number and placement of balancing valves to allow proper balancing and recording of water flow.
4) Adequate number and placement of test ports and test instrumentation to allow reading and compilation of system and equipment performance data needed to conduct both TAB and commissioning testing.
5) Air and water flow rates have been specified and compared to central equipment output capacities.

b. Identify discontinuities and omissions in the Contract Documents.
c. This review of the Contract Documents by the TAB Subcontractor satisfies requirements for a design review report as specified in Division 23 Section "Testing Adjusting & Balancing for HVAC & Plumbing."
d. Assist performing functional performance tests.

2. Additional Responsibilities: Participate in tests specified in Division 23 Sections "Instrumentation & Controls of HVAC & Plumbing Systems."

F. Electrical Contractor:

1. With the Mechanical Contractor, coordinate installations and connections between and among electrical and HVAC systems, subsystems, and equipment.
2. Attend TAB verification testing.

1.5 COMMISSIONING DOCUMENTATION

A. The following are in addition to documentation specified in Division 01 Section "General Commissioning Requirements."

B. Test Checklists: CxA with assistance of Contractor shall develop test checklists for HVAC systems, subsystems, and equipment, including interfaces and interlocks with other systems. CxA shall prepare separate checklists for each mode of operation and provide space to indicate whether the mode under test responded as required. In addition to the requirements specified in Division 01 Section "General Commissioning Requirements," checklists shall include, but not be limited to, the following:

1. Calibration of sensors and sensor function.
2. Testing conditions under which test was conducted, including (as applicable) ambient conditions, set points, override conditions, and status and operating conditions that impact the results of test.
3. Control sequences for HVAC systems.
4. Strength of control signal for each set point at specified conditions.
5. Responses to control signals at specified conditions.
6. Sequence of response(s) to control signals at specified conditions.
7. Electrical demand or power input at specified conditions.
8. Power quality and related measurements.
9. Expected performance of systems, subsystems, and equipment at each step of test.
10. Narrative description of observed performance of systems, subsystems, and equipment. Notation to indicate whether the observed performance at each step meets the expected results.
11. Interaction of auxiliary equipment.
12. Issues log.

1.6 SUBMITTALS

A. The following submittals are in addition to those specified in Division 01 Section "General Commissioning Requirements."

B. Testing Procedures: CxA shall submit detailed testing plan, procedures, and checklists for each series of tests. Submittals shall include samples of data reporting sheets that will be part of the reports.

C. Certificate of Readiness: CxA shall compile certificates of readiness from Contractor certifying that systems, subsystems, equipment, and associated controls are ready for testing.

D. Certificate of Completion of Installation, Prestart, and Startup: CxA shall certify that installation, prestart, and startup activities have been completed. Certification shall include

completed checklists provided by TAB Subcontractor as specified in Division 23 Section "Testing Adjusting & Balancing for HVAC & Plumbing."

E. Test and Inspection Reports: CxA shall compile and submit test and inspection reports and certificates, and shall include them in systems manual and commissioning report.

F. Corrective Action Documents: CxA shall submit corrective action documents.

G. Certified TAB Reports: CxA shall submit verified, certified TAB reports.

1.7 ALTERNATES

A. Refer to Division 01 Section, “Alternates” for description of work under this section affected by alternates.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 TESTING PREPARATION

A. Prerequisites for Testing:

1. Certify that HVAC systems, subsystems, and equipment have been completed, calibrated, and started; are operating according to the Contract Documents; and that Certificates of Readiness are signed and submitted.
2. Certify that HVAC instrumentation and control systems have been completed and calibrated; are operating according to the Contract Documents; and that pretest set points have been recorded.
3. Certify that TAB procedures have been completed, and that TAB reports have been submitted, discrepancies corrected, and corrective work approved.
4. Test systems and intersystem performance after approval of test checklists for systems, subsystems, and equipment.
5. Set systems, subsystems, and equipment into operating mode to be tested (e.g., normal shut down, normal auto position, normal manual position, unoccupied cycle, emergency power, and alarm conditions).
6. Verify each operating cycle after it has been running for a specified period and is operating in a steady-state condition.
7. Inspect and verify the position of each device and interlock identified on checklists. Sign off each item as acceptable, or failed. Repeat this test for each operating cycle that applies to system being tested.
8. Check safety cutouts, alarms, and interlocks with duct detectors and life-safety systems during each mode of operation.
9. Annotate checklist or data sheet when a deficiency is observed.
10. Verify equipment interface with monitoring and control system and TAB criteria; include the following:
    a. All temperature alarms.

b. Supply and return flow rates for VAV and constant volume systems in each operational mode.
c. Operation of heat pump units in both heating and cooling cycles.
d. Minimum outdoor-air intake in each operational mode and at minimum and maximum airflows.
e. Total exhaust airflow and total outdoor-air intake.
f. Supply, outside air, exhaust and return air flow rates for Single Zone VAV Units in each operating mode.
g. Sequences of operation of all HVAC equipment.
h. Ductless heat pumps units with air flow rates, and temperatures.
i. Variable speed drive parameters at each operated mode.
j. Supply and return air flow rates for all HVAC equipment.
k. Operation/Accuracy of air flow measuring stations at various flow rates.
l. Gas furnace operation control and leaving air temperature.
m. Test operation and air temperatures of hot gas re-heat coils.

11. Verify proper responses of monitoring and control system controllers and sensors to include the following:

    a. For each controller or sensor, record the indicated monitoring and control system reading and the test instrument reading. If initial test indicates that the test reading is outside of the control range of the installed device, check calibration of the installed device and adjust as required. Retest malfunctioning devices and record results on checklist or data sheet.
    b. Report deficiencies and prepare an issues log entry.

12. Verify that HVAC equipment field quality-control testing has been completed and approved. CxA shall direct, witness, and document field quality-control tests, inspections, and startup specified in individual Division 23 Sections.

B. Testing Instrumentation: Install measuring instruments and logging devices to record test data for the required test period. Instrumentation shall monitor and record full range of operating conditions and shall allow for calculation of total capacity of system for each mode of operation. For individual room cooling tests, provide temporary heaters to impose a cooling load. Operational modes include the following:

1. Heating/Cooling Mode.
2. Occupied and unoccupied.
3. Warm up and cool down.
4. Economizer cycle.
5. Emergency power supply.
6. Life-safety and safety systems.
7. Duct detectors.
8. Fire safety.
9. Temporary upset of system operation.
10. Partial occupancy conditions.
11. Special cycles.
12. Single Zone VAV Unit supply/exhaust air flow at partial CO2 levels.
13. Ductless heat pump units in heating/cooling modes.
14. All alarms.
15. Condensate overflow safety switch shut-down and alarm.

3.2 TAB VERIFICATION

A. TAB Subcontractor shall coordinate with CxA for work required in Division 23 Section "Testing Adjusting & Balancing for HVAC & Plumbing." TAB Subcontractor shall copy CxA with required reports, sample forms, checklists, and certificates.

B. Contractor, HVAC Contractor, and CxA shall witness TAB Work.

C. TAB Preparation:

1. TAB Subcontractor shall provide CxA with data required for "Pre-Field TAB Engineering Reports" specified in Division 23 Section "Testing Adjusting & Balancing for HVAC & Plumbing."

a. CxA shall use this data to certify that prestart and startup activities have been completed for systems, subsystems, and equipment installation.

D. Verification of Final TAB Report:

1. CxA shall select, at random, 10 percent of report for field verification.
2. CxA shall notify TAB Subcontractor 10 days in advance of the date of field verification; however, notice shall not include data points to be verified. The TAB Subcontractor shall use the same instruments (by model and serial number) that were used when original data were collected.
3. Failure of an item is defined as follows:

a. For all readings a deviation of more than 10 percent.

4. Failure of more than 10 percent of selected items shall result in rejection of final TAB report.

E. If deficiencies are identified during verification testing, CxA shall notify the HVAC Contractor and Engineer, and shall take action to remedy the deficiency. Engineer shall review final tabulated checklists and data sheets to determine if verification is complete and that system is operating according to the Contract Documents.

F. CxA shall certify that TAB Work has been successfully completed.

3.3 TESTING

A. Test systems and intersystem performance after test checklists for systems, subsystems, and equipment have been approved.

B. Perform tests using design conditions whenever possible.

1. Simulate conditions by imposing an artificial load when it is not practical to test under design conditions and when written approval for simulated conditions is received from CxA. Before simulating conditions, calibrate testing instruments. Set and document simulated conditions and methods of simulation. After tests, return settings to normal operating conditions.

2. Alter set points when simulating conditions is not practical and when written approval is received from CxA.
3. Alter sensor values with a signal generator when design or simulating conditions and altering set points are not practical. Do not use sensor to act as signal generator to simulate conditions or override values.

C. Scope of HVAC Contractor Testing:

1. Testing scope shall include entire HVAC installation, from central equipment for heat generation and refrigeration through distribution systems to each conditioned space. It shall include measuring capacities and effectiveness of operational and control functions.
2. Test all operating modes, interlocks, control responses, responses to abnormal or emergency conditions, and verify proper response of building automation system controllers and sensors.

D. Detailed Testing Procedures: CxA, with HVAC Contractor, TAB Subcontractor, and HVAC Instrumentation and Control Contractor, shall prepare detailed testing plans, procedures, and checklists for HVAC systems, subsystems, and equipment.

E. HVAC Instrumentation and Control System Testing:

1. Field testing plans and testing requirements are specified in Division 23 Section "Instrumentation & Controls of HVAC & Plumbing Systems". The CxA, HVAC Contractor, Equipment Provider/Manufacturer and the HVAC Instrumentation and Control Contractor shall collaborate to prepare testing plans.
2. CxA shall convene a meeting of appropriate entities to review test report of HVAC instrumentation and control systems.

F. Energy Supply System Testing: HVAC Contractor shall prepare a testing plan to verify performance of gas systems and equipment. Plan shall include the following:

1. Sequence of testing and testing procedures for each equipment item and pipe section to be tested, identified by pipe zone or sector identification marker. Markers shall be keyed to Drawings for each pipe sector showing the physical location of each designated pipe test section. Drawings keyed to pipe zones or sectors shall be formatted to allow each section of piping to be physically located and identified when referred to in system testing plan.
2. Tracking checklist for managing and ensuring that all pipe sections have been tested.

G. Heat-Generation System Testing: HVAC Contractor shall prepare a testing plan to verify performance of single zone VAV units, ductless units, gas furnaces, hot gas re-heat coils. Plan shall include the following:

1. Sequence of testing and testing procedures for each item of equipment and section of pipe to be tested, identified by identification marker. Markers shall be keyed to Drawings for each pipe sector showing the physical location of each item of equipment and pipe test section. Drawings shall be formatted to allow each item of equipment and section of piping to be physically located and identified when referred to in the system testing plan.
2. Tracking checklist for managing and ensuring that all pipe sections have been tested.

H. Refrigeration System Testing: HVAC Contractor shall prepare a testing plan to verify performance of ductless units, single zone VAV units, condensing units and other refrigeration systems. Plan shall include the following:

1. Sequence of testing and testing procedures for each item of equipment and section of pipe to be tested, identified by identification marker. Markers shall be keyed to Drawings showing the physical location of each item of equipment and pipe test section. Drawings shall be formatted to allow each item of equipment and section of piping to be physically located and identified when referred to in the system testing plan.
2. Tracking checklist for managing and ensuring that all pipe sections have been tested.

I. HVAC Distribution System Testing: HVAC Contractor shall prepare a testing plan to verify performance of air, air handling units, single zone VAV units and other distribution systems. Include HVAC terminal equipment and unitary equipment. Plan shall include the following:

1. Sequence of testing and testing procedures for each item of equipment and section of pipe to be tested, identified by identification marker. Markers shall be keyed to Drawings showing the physical location of each item of equipment and pipe test section. Drawings shall be formatted to allow each item of equipment and section of piping to be physically located and identified when referred to in the system testing plan.
2. Tracking checklist for managing and ensuring that all pipe sections have been tested.
3. Equipment, air flow rates, air temperatures, safeties, and demand controlled ventilation.

J. Deferred Testing:

1. If tests cannot be completed because of a deficiency outside the scope of the HVAC system, the deficiency shall be documented and reported to Owner. Deficiencies shall be resolved and corrected by appropriate parties and test rescheduled.
2. If the testing plan indicates specific seasonal testing, appropriate initial performance tests shall be completed and documented and additional tests scheduled.

K. Testing Reports:

1. Reports shall include measured data, data sheets, and a comprehensive summary describing the operation of systems at the time of testing.
2. Include data sheets for each controller to verify proper operation of the control system, the system it serves, the service it provides, and its location. For each controller, provide space for recording its readout, the reading at the controller's sensor(s), plus comments. Provide space for testing personnel to sign off on each data sheet.
3. Prepare a preliminary test report. Deficiencies will be evaluated by Engineer to determine corrective action. Deficiencies shall be corrected and test repeated.

END OF SECTION

SECTION 078413
FIRE PROTECTION, HVAC & PLUMBING PENETRATION FIRESTOPPING
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SECTION 078413 FIRE PROTECTION, HVAC & PLUMBING PENETRATION FIRESTOPPING

PART 1 GENERAL

1.1 SUMMARY

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

B. Section includes:

1. Through-penetration firestopping in fire rated construction.

2. Through-penetration smoke-stopping in smoke partitions.

1.2 REFERENCES

A. Underwriters Laboratories

1. UL Fire Resistance Directory

a. Through-penetration firestop devices (XHCR)

b. Fire resistance rating (BXUV)

c. Through-penetration firestop systems (XHEZ)

d. Fill, void, or cavity material (XHHW)

B. American Society for Testing and Materials Standards:

1. ASTM E 814-88: Standard Test Method for Fire Tests of Through-Penetration Firestops.

1.3 DEFINITIONS

A. Assembly: Particular arrangement of materials specific to given type of construction described or detailed in referenced documents.

B. Barriers: Time-rated fire walls, smoke barrier walls, time-rated ceiling/floor assemblies and structural floors.

C. Firestopping: Methods and materials applied in penetrations and unprotected openings to limit spread of heat, fire, gasses and smoke.

D. Penetration: Opening or foreign material passing through or into barrier or structural floor such that full thickness of rated materials is not obtained.

E. System: Specific products and applications, classified and numbered by Underwriters Laboratories, Inc. to close specific barrier penetrations.

F. Sleeve: Metal fabrication or pipe section extended through thickness of barrier and used to permanently guard penetration. Sleeves are described as part of penetrating system in other sections and may or may not be required.

1.4 SYSTEM DESCRIPTION

A. Design Requirements

1. Fire-rated construction: Maintain barrier and structural floor fire resistance ratings including resistance to cold smoke at all penetrations.

2. Smoke barrier construction: Maintain barrier and structural floor resistance to cold smoke at all penetrations.

1.5 SUBMITTALS

A. Submit in accordance with Division 01 Section *Submittal Procedures*, unless otherwise indicated.

B. Product data: Manufacturer's specifications and technical data including the following:

1. Detailed specification of construction and fabrication.

2. Manufacturer's installation instructions.

C. Shop drawings: Indicate dimensions, description of materials and finishes, general construction, specific modifications, component connections, anchorage methods, hardware, and installation procedures, plus the following specific requirements.

1. Details of each proposed assembly identifying intended products and applicable UL system number, or UL classified devices.

2. Manufacturer or manufacturer's representative shall provide qualified engineering judgment and drawings relating to non-standard applications as needed.

D. Quality control submittals:

1. Statement of qualifications.

E. Applicators' qualifications statement:

1. List past projects indicating required experience.

1.6 QUALITY ASSURANCE

A. Installer's qualifications: Fire experienced in installation or application of systems similar in complexity to those required for this project, plus the following:

1. Acceptable to or licensed by manufacturer, State or local authority where

applicable.

2. At least 2 years experience with systems.

3. Successfully completed at least 5 comparable scale projects using this system.

B. Local and State regulatory requirements: Submit forms or acceptance for proposed assemblies not conforming to specific UL Firestop System numbers, or UL classified devices.

C. Materials shall have been tested to provide fire rating at least equal to that of the construction.

1.7 DELIVERY, STORAGE, AND HANDLING

A. Packing and shipping:

1. Deliver products in original unopened packaging with legible manufacturer's identification.

2. Coordinate delivery with scheduled installation date, allow minimum storage at site.

B. Storage and protection: Store materials in a clean, dry, ventilated location. Protect from soiling, abuse, moisture and freezing when required. Follow manufacturer's instructions.

1.8 PROJECT CONDITIONS

A. Existing condition:

1. Verify existing conditions and substrates before starting work. Correct unsatisfactory conditions before proceeding.

2. Proceed with installation only after penetrations of the substrate and supporting brackets have been installed.

B. Environmental requirements:

1. Furnish adequate ventilation if using solvent.

2. Furnish forced air ventilation during installation if required by manufacturer.

3. Keep flammable materials away from sparks or flame.

4. Provide masking and drop cloths to prevent contamination of adjacent surfaces by firestopping materials.

1.9 WARRANTY

A. Submit copies of written warranty agreeing to repair or replace joint sealers which fail in joint adhesion, extrusion resistance, migration resistance, or general durability or appear

to deteriorate in any other manner not clearly specified by submitted manufacturer's data as an inherent quality of the material for the exposure indicated. The warranty period shall be two (2) years from date of substantial completion unless otherwise noted.

PART 2 PRODUCTS

2.1 THROUGH-PENETRATION FIRESTOPPING OF FIRE-RATED CONSTRUCTION

A. Systems of devices listed in the UL Fire Resistance Directory under categories XHCR and XHEZ may be used, providing that it conforms to the construction type, penetrant type, annular space requirements and fire rating involved in each separate instance, and that the system be symmetrical for wall applications. Systems or devices must be asbestos-free.

1. Additional requirements: Withstand the passage of cold smoke either as an inherent property of the system, or by the use of a separate product included as a part of the UL system or device, and designed to perform this function.

2. Acceptable manufacturers and products.

a. Those listed in the UL Fire Resistance directory for the UL System involved and as further defined in the System and Applications Schedule in Part 3.6 of this section.

3. All firestopping products must be from a single manufacturer. All trades shall use products from the same manufacturer unless otherwise noted.

2.2 SMOKE-STOPPING AT SMOKE PARTITIONS

A. Through-penetration smoke-stopping: Any system complying with the requirements for through-penetration firestopping in fire-rated construction, as specified in The Systems and Applications Schedule in Part 3.6 of this section, is acceptable, provided that the system includes the specified smoke seal or will provide a smoke seal. The length of time of the fire resistance may be disregarded.

2.3 ACCESSORIES

A. Fill, void or cavity materials: As classified under category XHHW in the UL Fire Resistance Directory.

B. Forming materials: As classified under category XHKU in the UL Fire Resistance Directory.

PART 3 EXECUTION

3.1 EXAMINATION

A. Verification of conditions: Examine areas and conditions under which work is to be performed and identify conditions detrimental to proper or timely completion.

1. Verify barrier penetrations are properly sized and in suitable condition for

application of materials.

2. Do not proceed until unsatisfactory conditions have been corrected.

B. Coordinate an inspection of all Mechanical Firestopping systems with the Fire Marshal prior to installation of ceilings, walls, etc.

3.2 PREPARATION

A. Clean surfaces to be in contact with penetration seal materials of dirt, grease, oil, loose materials, rust, or other substances that may affect proper fitting, adhesion, or the required fire resistance.

3.3 INSTALLATION

A. Install penetration seal materials in accordance with printed instructions of the UL Fire Resistance Directory and in accordance with manufacturer's instruction.

B. Seal holes or voids made by penetrations to ensure an effective smoke barrier.

C. Protect materials from damage on surfaces subject to traffic.

D. When large openings are created in walls or floors to permit installation of pipes, ducts, or other items, close unused portions of opening with firestopping materials tested for the application. See UL Fire Resistance Directory or Section 3.6 of this document.

E. Install smoke stopping as specified for firestopping.

3.4 FIELD QUALITY CONTROL

A. Examine penetration sealed areas to ensure proper installation before concealing or enclosing areas.

B. Keep areas of work accessible until inspection by applicable code authorities.

C. Perform under this section patching and repairing of firestopping caused by cutting or penetration by other trades.

3.5 ADJUSTING AND CLEANING

A. Clean up spills of liquid components.

B. Neatly cut and trim materials as required.

C. Remove equipment, materials and debris, leaving area in undamaged, clean condition.

3.6 SYSTEMS AND APPLICATION SCHEDULES*

PENETRATING ITEM	CONCRETE	GYPSUM	WOOD FLOOR/CEILING
Metal Pipe	CAJ1001 CP25S/L, CP25N/S CAJ1006 CS-195+, FS-195+ CAJ1007 FS-195+, 1-inch& 2-inch Wide CAJ1009 2000, 2000+, 2003 CAJ1010 2000, 2000+, 2003 CAJ1012 2000, 2000+, 2003 CAJ1013 2000, 2000+, 2003 CAJ1014 2000, 2000+, 2003 CAJ1015 2000, 2000+, 2003 CAJ1017 FD 150 CAJ1021 FD 150 CAJ1027 MPS-2+ CAJ1044 CP 25WB+ CAJ1052 CP 25S/L, CP 25N/S CAJ1058 2000, 2000+, 2003 CAJ1060 2000, 2000+, 2003 CAJ1063 2000, 2000+, 2003 CAJ1066 CP 25N/S,CP 25S/L, CP 25WB+ CAJ1091 CP 25N/S,CP 25S/L, CP 25WB+ CAJ1092 CP 25WB+ CAJ1112 FS-195+ CAJ1160 CP 25S/L, CP 25N/S CAJ1175 CP 25WB+ CAJ1176 CP 25WB+ CAJ1188 2000+ CBJ1020 CS-195+, FS-195+ CBJ1021 CS-195+, MPS-2+ CBJ1031 2001 CBJ1032 2001 FA1002 CP 25WB+ WJ1010 CP25WB+ WJ1023 2001	WL1001 CP 25 WL1002 FS-195+ WL1003 CP 25WB+,CP 25N/S WL1008 2000+ WL1009 2000+ WL1010 2000+ WL1016 CP 25WB+ WL1017 CP 25WB+,CP 25N/S WL1032 CP 25WB+,CP 25N/S WL1036 FD 150 WL1037 CS-195+,FS-195+ WL1067 CP 25N/S WL1073 CP 25WB+ WL1080 MPS2+ WL1082 2000+	FC1002 CP 25 FC1003 2000,2000+,20003 FC1006 CP 25WB+
Non-Metallic	CAJ2001 FS-195+, 1-inch& 2-inch WIDE, PPD'S CAJ2002 FS-195+ CAJ2003 CS-195+, FS-195+ CAJ2005 FS-195 CAJ2006 FS-195+ CAJ2013 FS-195+ CAJ2019 2000, 2000+, 2003 CAJ2027 FS-195+, CP 25N/S, CP 25S/L, CP 25WB+ CAJ2028 FS-195, MPS-2+ CAJ2029 FS-195+, PPD'S CAJ2030 CS-195+, FS-195+ CAJ2040 FS-195+, CP 25WB+ CAJ2044 FS-195+, CP 25N/S, CP 25S/L CP 25 WB+ CAJ2090 FS-195+ CAJ2177 FS-195+, PPD'S FA2001 FS-195+, PPD'S FS2002 CS-195+, FS-195+, MPS-2+, PPD'S FA2011 FS-195+ WJ2012 FS-195+ 1-inch WIDE	WL2002 FS-195+, PPD'S WL2003 FS-195+ WL2004 FS-195+ WL2005 FS-195+ 4' WIDE WL2006 FS-195+ WL2013 FS-195+ WL2031 CS-195+, FS-195+ WL2032 CS-195+, FS-195+ WL2033 FS-195+ WL2073 FS-195+ 1-inch WIDE	FC2002 FS-195+, PPD'S FC2007 FS-195+, PPD'S FC2008 FS-195+ FC2009 FS-195+, PPD'S FC2024 FS-195 FC2026 FS-195+ FC2028 FS-195, 1' & 2-inch WIDE, PPD'S

PENETRATING ITEM	CONCRETE	GYPSUM	WOOD FLOOR/CEILING
Insulated Metallic Pipe	CAJ5001 CP 25N/S, CP 25S/L, CP 25WB+ CAJ5002 FS-195+ CAJ5003 FS-195+ CAJ5005 MPS-2+ CAJ5009 2000+, 2003 CAJ5017 FS-195+, CP 25 CAJ5022 FS-195+ CAJ5024 FS-195+ CAJ5030 CS-195+, FS-195+ CAJ5041 2000, 2000+, 2003 CAJ5060 CP 25WB+ CAJ5074 2000+ CBJ5002 CP 25 CBJ5003 FS-195+ FA5001 FS-195+, CP 25WB+	WL5001 FS-195+ WL5002 FS-195+ WL5009 FS-195+ WL5010 FS-195+ WL5011 CP 25WB+ WL5032 2000+ WL5038 CP 25WB+ WL5039 CP 25WB+ WL5040 CP 25WB+ WL5045 CP 25WB+ WL5053 2000+	FC5002 FS-195+ FC5008 FS-195+
Miscellaneous Mechanical HVAC Ducts	CAJ7001 CP 25N/S CP 25S/L CAJ7003 CP 25WB+ CAJ7009 DUCT WRAP, BULK PUTTY		FC7001 CP 25S/L, CP 25N/S
Mixed Penetrating Items Combos	CAJ8001 CS-195+ FS-195 CAJ8003 2000, 2000+, 20003 CAJ8004 2000, 2000+, 20003 CAJ8006 2001 CAJ8013 FS-195+, CP 25 CBJ8004 CS-195, FS-195+ CBJ8005 CS-195+, MPS-2+ CBJ8008 2001 FA8001 FS-195+, CP 25WB+	WL8002 CS-195+, FS-195+	

* Underwriter's Laboratories, Inc., Fire Resistance Directory.

END OF SECTION

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SECTION 220500 - COMMON WORK RESULTS FOR PLUMBING

PART 1 GENERAL

1.1 SUMMARY

A. All work under Division 22 is subject to the Division 01, *General Requirements, the General Conditions and Supplementary Conditions.*

B. Provide all labor, materials, equipment, and services necessary for and incidental to the complete installation and operation of all plumbing work.

C. Unless otherwise specified, all submissions shall be made to, and acceptances and approvals made by the Engineer.

D. Contract Drawings are generally diagrammatic and all offsets, fittings, transitions and accessories are not necessarily shown. Furnish and install all such items as may be required to fit the work to the conditions encountered. Arrange piping, equipment, and other work generally as shown on the contract drawings, providing proper clearance and access. Where departures are proposed because of field conditions or other causes, prepare and submit detailed shop drawings for approval in accordance with *Submittals* specified below. The right is reserved to make reasonable changes in location of equipment, piping, up to the time of rough-in or fabrication.

E. Conform to the requirements of all rules, regulations and codes of local, state and federal authorities having jurisdiction.

F. Coordinate the work under Division 22 with the work of all other construction trades.

G. Be responsible for all construction means, methods, techniques, procedures, and phasing sequences used in the work. Furnish all tools, equipment and materials necessary to properly perform the work in first class, substantial, and workmanlike manner, in accordance with the full intent and meaning of the contract documents.

1.2 PERMITS AND FEES

A. Obtain all permits and pay taxes, fees and other costs in connection with the work. File necessary plans, prepare documents, give proper notices and obtain necessary approvals. Deliver inspection and approval certificates to Owner prior to final acceptance of the work.

B. Permits and fees shall comply with the Division 01, *General Requirements* of the specification.

1.3 EXAMINATION OF SITE

A. Examine the site, determine all conditions and circumstances under which the work must be done, and make all necessary allowances for same. No additional cost to the Owner will be permitted for contractors failure to do so.

B. Examine and verify specific conditions described in individual specifications sections.

C. Verify that utility services are available, of the correct characteristics, and in the correct locations.

1.4 CONTRACTOR QUALIFICATION

A. Any Contractor or Subcontractor performing work under Division 22 shall be fully qualified and acceptable to the Engineer and Owner. Submit the following evidence when requested:

1. A list of not less than five comparable projects which the Contractor completed.

2. Letter of reference from not less than three registered professional engineers, general contractors or building owners.

3. Local and/or State License, where required.

4. Membership in trade or professional organizations where required.

B. A Contractor is any individual, partnership, or corporation, performing work by contract or subcontract on this project.

C. Acceptance of a Contractor or Subcontractor will not relieve the Contractor or subcontractor of any contractual requirements or his responsibility to supervise and coordinate the work, of various trades.

1.5 MATERIALS AND EQUIPMENT

A. Materials and equipment installed as a permanent part of the project shall be new, unless otherwise indicated or specified, and of the specified type and quality.

B. Where material or equipment is identified by proprietary name, model number and/or manufacturer, furnish named item, or its equal, subject to approval by Engineer. Substituted items shall be equal or better in quality and performance and must be suitable for available space, required arrangement, and application. Submit all data necessary to determine suitability of substituted items, for approval.

C. The suitability of named item only has been verified. Where more than one item is named, only the first named item has been verified as suitable. Substituted items, including items other than first named shall be equal or better in quality and performance to that of specified items, and must be suitable for available space, required arrangement and application. Contractor, by providing other than the first named manufacturer, assumes responsibility for all necessary adjustments and modifications necessary for a satisfactory installation. Adjustments and modifications shall include but not be limited to electrical, structural, support, and architectural work.

D. Substitution will not be permitted for specified items of material or equipment where noted.

E. All items of equipment furnished shall have a service record of at least five (5) years.

1.6 FIRE SAFE MATERIALS

A. Unless otherwise indicated, materials and equipment shall conform to UL, NFPA and ASTM standards for fire safety with smoke and fire hazard rating not exceeding flame spread of 25 and smoke developed of 50.

1.7 REFERENCED STANDARDS, CODES AND SPECIFICATIONS

A. Specifications, Codes and Standards listed below are included as part of this specification, latest edition.

B.	ASHRAE	-	American Society of Heating, Refrigerating and Air Conditioning Engineers
C.	ASME	-	American Society of Mechanical Engineers
D.	ASPE	-	American Society of Plumbing Engineers
E.	ASTM	-	American Society for Testing and Materials
F.	CS	-	Commercial Standard
G.	FM	-	Factory Mutual
H.	IBC	-	International Building Code
I.	IEEE	-	Institute of Electrical and Electronics Engineers
J.	MSSP	-	Manufacturers Standards Society of the Valve and Fittings Industry
K.	NEC	-	National Electrical Code
L.	NEMA	-	National Electrical Manufacturers Association
M.	UL	-	Underwriters' Laboratories

N. All plumbing equipment and materials shall comply with the codes and standards listed in the latest edition of ASHRAE HVAC Applications Handbook, Chapter entitled *Codes and Standards*.

1.8 SUBMITTALS, REVIEW AND ACCEPTANCE

A. Equipment, materials, installation, workmanship and arrangement of work are subject to review and acceptance. No substitution will be permitted after acceptance of equipment or materials except where such substitution is considered by the Engineer to be in best interest of Owner.

B. After acceptance of Material and Equipment List, submit six (6) copies or more as required under General Conditions of complete descriptive data for all items. Data shall consist of specifications, data sheets, samples, capacity ratings, performance curves, operating characteristics, catalog cuts, dimensional drawings, wiring diagrams, installation instructions, and any other information necessary to indicate complete compliance with Contract Documents. Edit submittal data specifically for application to this project.

C. Thoroughly review and stamp all submittals to indicate compliance with contract requirements prior to submission. Coordinate installation requirements and any electrical requirements for equipment submitted. Contractor shall be responsible for correctness of all submittals.

D. Submittals will be reviewed for general compliance with design concept in accordance with contract documents, but dimensions, quantities, or other details will not be verified.

E. Identify submittals, indicating intended application, location and service of submitted items. Refer to specification sections or paragraphs and drawings where applicable. Clearly indicate exact type, model number, style, size and special features of proposed item. Submittals of a general nature will not be acceptable. For substituted items, clearly list on the first page of the submittal all differences between the specified item and the proposed item. The contractor shall be responsible for corrective action and maintaining the specification requirements if differences have not been clearly indicated in the submittal.

F. Submit actual operating conditions or characteristics for all equipment where required capacities are indicated. Factory order forms showing only required capacities will not be acceptable. Call attention, in writing, to deviation from contract requirements.

G. Acceptance will not constitute waiver of contract requirements unless deviations are specifically indicated and clearly noted. Use only final or corrected submittals and data prior to fabrication and/or installation.

H. For any submittal requiring more than two (2) reviews by the Engineer (including those caused by a change in subcontractor or supplier) the Owner will withhold contractor's funds by a change order to the contract to cover the cost of additional reviews. One review is counted for each action including rejection or return of any reason.

I. For resubmissions, the Contractor must address in writing all of the Engineer's comments on the original submission to verify compliance.

1.9 SHOP DRAWINGS

A. Prepare and submit shop drawings for all plumbing equipment, specially fabricated items, modifications to standard items, specially designed systems where detailed design is not shown on the contract drawings, or where the proposed installation differs from that shown on contract drawings.

B. Submit data and shop drawings including but not limited to the list below, in addition to provisions of the paragraph above. Identify all shop drawings by the name of the item and system and the applicable specification paragraph number and drawing number.

C. Every submittal including, but not limited to the list below, shall be forwarded with its own transmittal as a separate, distinct shop drawing. Grouping of items/systems that are not related shall be unacceptable.

D. Items and Systems

Access Doors/Panels including layout and location
Drip Pans
Exterior Pipe Supports
Fire Stopping - Methods and Materials
Gas Pressure Regulating Valves
Identification System
Material and Equipment List
Operations and Maintenance Manuals

Pipe Materials Including Itemized Schedule
Strainers
Test Certificates
Valves

E. Contractor, additionally, shall submit for review any other shop drawings as required by the Engineer. No item shall be delivered to the site, or installed, until the Contractor has received a submittal from the Engineer marked *Reviewed* or *Comments Noted*. After the proposed materials have been reviewed, no substitution will be permitted except where approved by the Engineer.

1.10 SUPERVISION AND COORDINATION

A. Provide complete supervision, direction, scheduling, and coordination of all work under the Contract, including that of subcontractors.

B. Coordinate rough-in of all work and installation of sleeves, anchors, and supports for piping, equipment, and other work performed under Division 22.

C. Arrange for pipe spaces, chases, slots, and openings in building structure during progress of construction to allow for mechanical installations.

D. Coordinate electrical work required under Division 22 with that under Division 26. Coordinate all work under Division 22 with work under all other Divisions.

E. Supply services of an experienced (10 years minimum) and competent Project Manager to be in constant charge of work at site.

F. Where a discrepancy exists within the specifications or drawings or between the specifications and drawings, the more stringent (or costly) requirement shall apply until clarification can be obtained from the Engineer. Failure to clarify such discrepancies with the Engineer will not relieve the Contractor of the responsibility of conforming to the requirements of the Contract.

G. Failure of contractor to obtain a full and complete set of contract documents (either before or after bidding) will not relieve the contractor of the responsibility of complying with the intent of the contract documents.

1.11 CUTTING AND PATCHING

A. Accomplish all cutting and patching necessary for the installation of work under Division 22. Damage resulting from this work to other work already in place, shall be repaired at Contractor's expense. Where cutting is required, perform work in neat and workmanlike manner. Restore disturbed work to match and blend with existing construction and finish, using materials compatible with the original. Use mechanics skilled in the particular trades required.

B. Do not cut structural members without approval from the Engineer.

1.12 PENETRATION OF WATERPROOF CONSTRUCTION

A. Coordinate the work to minimize penetration of waterproof construction, including roofs, exterior walls, and interior waterproof construction. Where such penetrations are necessary, furnish and install all necessary curbs, sleeves, flashings, fittings and caulking to make penetrations absolutely watertight.

B. Where plumbing vents or other pipes penetrate roofs, flash pipe with Stoneman *Stormtite,* Pate or approved equal, roof flashing assemblies with skirt and caulked counter flashing sleeve.

C. Furnish and install pitch pockets or weather tight curb assemblies where required.

D. Furnish and install roof drains, curbs, and vent assemblies specifically designed for application to the particular roof construction, and install in accordance with the manufacturer's instructions. The Contractor shall be responsible for sleeve sizes and locations. All roof penetrations shall be installed in accordance with manufacturer's instructions, the National Roofing Contractors Association, SMACNA, and as required by other divisions of these specifications.

E. All work associated with the existing roof shall be performed so as to maintain the roof warranty.

1.13 CONNECTIONS AND ALTERATIONS TO EXISTING WORK

A. Unless otherwise noted on the drawings, where existing plumbing work is removed all pipes, valves, etc., shall be removed, including hangers, to a point below finished floors or behind finished walls and capped. Such point shall be far enough behind finished surfaces to allow for installation of normal thickness of required finish material.

B. Where work specified in Division 22 connects to existing equipment, piping, etc., Contractor shall perform all necessary alterations, cuttings, fittings, etc., of existing work as may be necessary to make satisfactory connections between new and existing work, and to leave completed work in a finished and workmanlike condition.

C. Where the work specified under Division 22, or under other Divisions, requires relocation of existing equipment, piping, etc., Contractor shall perform all work and make necessary changes to existing work as may be required to leave completed work in a finished and workmanlike condition. Where existing insulation is disturbed, replace insulation where removed or damaged equal to existing, in type, thickness, density, finish and thermal resistance (R-value) value.

D. Where the relocation of existing equipment is required for access or the installation of new equipment, the contractor shall temporarily remove and/or relocate and re-install as required to leave the existing and new work in a finished and workman like condition.

1.14 DEMOLITION

A. Unless otherwise noted all existing equipment, piping, etc., shall remain.

B. Where existing equipment is indicated to be removed, all associated piping, conduit, power, controls, insulation, hangers, supports and housekeeping pads, etc., patch, paint and repair walls/roof/floor to match existing and/or new finishes.

C. Provide necessary piping, valves, etc., as required. Drain and refill piping systems as often as necessary to accommodate phasing and to minimize time lengths of outages.

D. The Contractor shall be responsible for visiting the site and determining the existing conditions in which the work is to be performed.

E. Where any abandoned pipes in existing floors, walls, pipe tunnels, ceilings, etc., conflict with new work, remove abandoned pipes as necessary to accommodate new work.

F. The location of all existing equipment, piping, etc., indicated is approximate only and shall be checked and verified. Install all new plumbing work to connect to or clear existing work as applicable.

G. Maintain egress at all times. Coordinate egress requirements with the State Fire Marshal, the Owner and the authorities having jurisdiction.

H. When applicable, make provisions and include in bid all costs associated with confined entry/space requirements in crawl spaces, tunnels and all other applicable OSHA regulations.

I. Where required to maintain the existing systems in operation, temporarily backfeed existing systems from new equipment. Contractor shall temporarily extend existing piping systems to new piping systems with the appropriate shut-off valves.

J. At completion of project all temporary piping, valves, controls, etc., shall be removed in their entirely.

K. Existing piping, equipment, materials, etc., not required for re-use or re-installation in this project, shall be removed from the project site.

L. Deliver to the Owner, on the premises where directed, existing equipment and materials which are removed and which are desired by the Owner or are indicated to remain the property of the Owner.

M. All other materials and equipment which are removed shall become property of the Contractor and shall be promptly removed, from the premises, and disposed of by the Contractor, in an approved manner.

N. Where piping is removed, remove all pipe hangers which were supporting the removed piping. Patch the remaining penetration voids with like materials and paint to match existing construction.

O. Where required, provide and coordinate removal and re-installation of existing equipment. Take care to protect materials and equipment indicated for reuse. Contractor shall repair or replace items which are damaged. Contractor shall have Owner's representative present to confirm condition of equipment prior to demolition.

P. Before demolition begins, and in the presence of the Owners representative, test and note all deficiencies in all existing systems affected by demolition but not completely removed by demolition. Provide a copy of the list of system deficiencies to the Owner and the

Engineer. Videotape existing conditions in each space prior to beginning demolition work.

Q. The Owner shall have the first right of refusal for all plumbing fixtures, devices and equipment removed by the Contractor.

R. All plumbing fixtures, devices and equipment designated by the Owner to remain the property of the Owner shall be moved and stored by the Contractor at a location on site as designated by the Owner. It shall be the Contractor's responsibility to store all plumbing fixtures, devices and equipment in a safe manner to prevent damage while stored.

S. All existing equipment refused by the Owner shall become the property of the Contractor and shall be removed from the site by the Contractor in a timely manner and disposed of in a legal manner.

T. Work Abandoned in Place: cut and remove underground pipe a minimum of 2 inches beyond face of adjacent construction. Cap and patch surface to match existing finish.

U. Temporary Disconnection: Remove, store, clean, reinstall, reconnect, and make operational equipment indicated for relocation.

V. Terminate services and utilities in accordance with local laws, ordinances, rules and regulations.

1.15 ALTERNATES

A. Refer to Division 01 Section, "Alternates" for description of work under this section affected by alternates.

1.16 DEFINITIONS

A. *Approve* - to permit use of material, equipment or methods conditional upon compliance with contract documents requirements.

B. *Furnish and install* or *provide* means to supply, erect, install, and connect to complete for readiness for regular operation, the particular work referred to.

C. *Contractor* means the mechanical contractor and any of his subcontractors, vendors, suppliers, or fabricators.

D. *Piping* includes pipe, all fittings, valves, hangers, insulation, identification, and other accessories relative to such piping.

E. *Concealed* means hidden from sight in chases, formed spaces, shafts, hung ceilings, embedded in construction or in crawl space.

F. *Exposed* means not installed underground or *concealed* as defined above.

G. *Invert Elevation* means the elevation of the inside bottom of pipe.

H. *Finished Spaces*: Spaces other than mechanical and electrical equipment rooms, furred

spaces, pipe and duct shafts, unheated spaces immediately below roof, spaces above ceiling, unexcavated spaces, crawl spaces, and tunnels.

I. *Review* - limited observation or checking to ascertain general conformance with design concept of the work and with information given in contract documents. Such action does not constitute a waiver or alteration of the contract requirements.

J. *Building Line:* Exterior wall of building.

PART 2 ELECTRICAL REQUIREMENTS – NOT USED

PART 3 EXECUTION

3.1 EQUIPMENT INSTALLATION - COMMON REQUIREMENTS

A. Install equipment to provide maximum possible headroom, if mounting heights are not indicated.

B. Install equipment according to approved submittal data. Portions of the work are shown only in diagrammatic form. Refer conflicts to the Engineer.

C. Install equipment level and plumb, parallel and perpendicular to other building systems and components in exposed interior spaces, unless otherwise indicated.

D. Install plumbing equipment to facilitate service, maintenance, and repair or replacement of components. Connect equipment for ease of disconnecting, with minimum interference to other installations. Extend grease fittings to accessible locations.

E. Install equipment giving right of way to piping installed at required slope.

F. Install flexible connectors on equipment side of shutoff valves, horizontally and parallel to equipment shafts if possible.

G. Do not install equipment or piping over electrical gear, electrical panels, motor controllers, and similar electrical equipment. Install equipment and piping to maintain clear space above and in front of all electrical components per the National Electric Code.

3.2 SUPPORTS, HANGERS AND FOUNDATIONS

A. Provide supports, hangers, braces, attachments and foundations required for the work. Support and set the work in a thoroughly substantial and workmanlike manner without placing strains on materials, equipment, or building structure, submit shop drawings for approval. Coordinate all work with the requirements of the structural division.

B. Supports, hangers, braces, and attachments shall be standard manufactured items or fabricated structural steel shapes. All interior hangers shall be galvanized or steel with rust inhibiting paint. For un-insulated copper piping provide copper hanger to prevent contact of dissimilar metals. All exterior hangers shall be constructed of stainless steel utilizing stainless steel rods, nuts, washers, bolts, etc.

3.3 PROVISIONS FOR ACCESS

A. The contractor shall provide access panels and doors for all concealed equipment, valves, strainers, dampers, filters, controls, control devices, and other devices requiring maintenance, service, adjustment, balancing or manual operation.

B. Where access doors are necessary, furnish and install manufactured painted steel door assemblies consisting of hinged door, key locks, and frame designed for the particular wall or ceiling construction. Properly locate each door. Door sizes shall be a 12 inches x 12 inches for hand access, 18 inches x 18 inches for shoulder access and 24 inches x 24 inches for full body access where required. Review locations and sizes with Engineer prior to fabrication. Provide U.L. approved and labeled access doors where installed in fire rated walls or ceilings. Doors shall be Milcor Metal Access Doors as manufactured by Inland-Ryerson, Mifab, or approved equal.

1. Acoustical or Cement Plaster: Style B
2. Hard Finish Plaster: Style K or L
3. Masonry or Dry Wall: Style M

C. Where access is by means of liftout ceiling tiles or panels, mark each ceiling grid using small color-coded and numbered tabs. Provide a chart or index for identification. Place markers within ceiling grid <u>not</u> on ceiling tiles.

D. Access panels, doors, etc. described herein shall be furnished under the section of specifications providing the particular service and to be turned over to the pertinent trade for installation. Coordinate installation with installing contractor. All access doors shall be painted in baked enamel finish to match ceiling or wall finish.

E. Submit shop drawings indicating the proposed location of all access panels/doors. Access doors in finished spaces shall be coordinated with air devices, lighting and sprinklers to provide a neat and symmetrical appearance.

F. Where access doors are installed in wet locations (i.e. shower rooms, toilet rooms, kitchen rooms, kitchens, dishwasher rooms, can wash rooms, and similar spaces, etc.) provide aluminum access doors/frame.

3.4 PAINTING AND FINISHES

A. Provide protective finishes on all materials and equipment. Use coated or corrosion-resistant materials, hardware and fittings throughout the work. Paint bare, untreated ferrous surfaces with rust-inhibiting paint. All exterior components including supports, hangers, nuts, bolts, washers, vibration isolators, etc. shall be stainless steel.

B. Clean surfaces prior to application of insulation, adhesives, coatings, paint, or other finishes.

C. Provide factory-applied finishes where specified. Unless otherwise indicated factory-applied paints shall be baked enamel with proper pretreatment.

D. Protect all finishes and restore any finishes damaged as a result of work under Division 22 to their original condition.

E. The preceding requirements apply to all work, whether exposed or concealed.

F. Remove all construction marking and writing from exposed equipment, piping and building surfaces. Do not paint manufacturer's labels or tags.

G. All exposed piping, equipment, etc. shall be painted. Colors shall be as stated in this division or as selected by the Owner and conform to ANSI Standards.

H. All exterior roof mounted equipment, piping and vents shall be painted to match roof in color as selected by Owner.

I. All exposed piping, equipment, etc. in finished spaces shall be painted. Colors shall be as selected by the Owner and conform to ANSI Standards.

J. All exposed piping, equipment, etc., in Mechanical Rooms, Penthouses, Mezzanines, and Storage where PVC jacketed shall not require painting. Label and identify and color code as specified.

3.5 CLEANING OF SYSTEMS

A. Thoroughly clean systems after satisfactory completion of pressure tests and before permanently connecting fixtures, equipment, traps, strainers, and other accessory items. Blow out and flush piping until interior surfaces are free of foreign matter.

B. Flush piping in re-circulating water systems to remove cutting oil, excess pipe joint compound, solder slag and other foreign materials. Do not use system pumps until after cleaning and flushing has been accomplished to the satisfaction of the Engineer. Employ chemical cleaners, including a non-foaming detergent, not harmful to system components. After cleaning operation, final flushing and refilling, the residual alkalinity shall not exceed 300 parts per million. Submit a certificate of completion to Engineer stating name of service company used.

C. Maintain strainers and dirt pockets in clean condition.

D. Pay for labor and materials required to locate and remove obstructions from systems that are clogged with construction refuse after acceptance. Replace and repair work disturbed during removal of obstructions.

E. Leave systems clean, and in complete running order.

3.6 COLOR SELECTION

A. Color of finishes shall be as selected by the Owner.

B. Submit color of factory-finished equipment for acceptance prior to ordering.

3.7 PROTECTION OF WORK

A. Protect work, material and equipment from weather and construction operations before and after installation. Properly store and handle all materials and equipment.

B. Cover temporary openings in piping and equipment to prevent the entrance of water, dirt, debris, or other foreign matter. Deliver pipes and tubes with factory applied end caps.

C. Cover or otherwise protect all finishes.

D. Replace damaged materials, devices, finishes and equipment.

E. Protect stored pipes and tubes from moisture and dirt. Elevate above grade. Do not exceed structural capacity of floor, where stored inside.

3.8 OPERATION OF EQUIPMENT

A. Clean all systems and equipment prior to initial operation for testing, balancing, or other purposes. Lubricate, adjust, and test all equipment in accordance with manufacturer's instructions. Do not operate equipment unless all proper safety devices or controls are operational. Provide all maintenance and service for equipment that is authorized for operation during construction.

B. Where specified, or otherwise required, provide the services of the manufacturer's factory-trained servicemen or technicians to start up the equipment. Where factory start-up of equipment is not specified, provide field start-up by qualified technician.

C. Submit factory start-up sheets or field start-ups sheets for all equipment prior to the commencement of testing and balancing work. Testing and balancing work shall not commence until start-up reports have been completed, reviewed by Engineer and forwarded to Testing and Balancing Agency.

D. Upon completion of work, clean and restore all equipment to new conditions; replace expendable items such as filters.

3.9 IDENTIFICATIONS, FLOW DIAGRAMS, ELECTRICAL DIAGRAMS AND OPERATING INSTRUCTIONS

A. Contractor shall submit for approval schematic piping diagrams of each piping system installed in the building. Diagrams shall indicate the location and the identification number of each valve in the particular system. Following approval by all authorities, the diagrams shall be framed, mounted under safety glass and hung in each Mechanical Room where directed. Contractor shall deliver the tracing or sepia from which the diagrams were reproduced to the Owner.

B. All valves shall be plainly tagged. For any bypass valves, install sign indicating valve position as “Normally Open” or “Normally Closed” as required.

C. All items of equipment, including motor starters and disconnects shall be furnished with white on black plastic permanent identification cards. Lettering shall be a minimum of ¼ inch high. Identification plates shall be secured, affixed to each piece of equipment, starters, disconnects, panels by screw or adhesive (tuff bond #TB2 or as approved equal). Equipment identification and room name or area served shall be on each panel.

D. Provide six (6) copies of operating and maintenance instructions for all principal items of equipment furnished. This material shall be bound as a volume of the *Operations and*

Maintenance Manuals as hereinafter specified.

E. All lines piping installed under this contract shall be stenciled with *direction of flow* arrows and with stenciled letters naming each pipe and service. Refer to Division 22 Section, *Plumbing Piping, Fittings, Valves, Etc*. Color code all direction of flow arrows and labels. In finished spaces omit labeling and direction of flow arrows. Paint in color as selected by Owner.

F. Submit list of wording, symbols, letter size, and color coding for plumbing identification. Submit samples of equipment identification cards, piping labels, labels, and valve tags to Engineer for review prior to installation.

G. Provide at least 4 hours of straight time instruction to the operating personnel. Time of instruction shall be designated by the Owner. Additional instruction time for the automatic temperature control (ATC) system is specified in Division 23 Section, *Instrumentation and Controls of HVAC and Plumbing Systems*.

H. Contractor shall demonstrate Sequences of Operation of all plumbing equipment in presence of Owner's representative, Engineer, and ATC subcontractor.

3.10 WALL AND FLOOR PENETRATION

A. All penetrations of partitions, ceilings, roofs and floors by piping or conduit under Division 22 shall be sleeved, sealed, and caulked airtight for sound and air transfer control. Penetrations of mechanical room partitions, ceilings, and floors shall be as specified in Division 07 Section, *Fire Protection, HVAC and Plumbing Penetration Firestopping*.

B. All penetration of fire rated assemblies shall be sleeved, sealed, caulked and protected to maintain the rating of the wall, roof, or floor. Fire Marshal approved U.L. assemblies shall be utilized. See Division 07 Section, *Fire Protection, HVAC and Plumbing Penetration Firestopping.*

C. Where piping extends through exterior walls or below grade, provide waterproof pipe penetration seals, as specified in another division of these specifications.

D. Provide pipe escutcheons and duct flanges for sleeved pipes and ducts in finished areas.

E. Piping sleeves:

1. Galvanized steel pipe, standard weight where pipes are exposed and roofs and concrete and masonry walls. On exterior walls provide anchor flange welded to perimeter.

2. Twenty-two (22) gauge galvanized steel elsewhere.

3.11 RECORD DRAWINGS

A. Upon completion of the mechanical installations, the Contractor shall deliver to the Engineer one complete set of prints of the mechanical contract drawings which shall be legibly marked in red pencil to show all changes and departures of the installation as

compared with the original design. They shall be suitable for use in preparation of Record Drawings.

B. Contractor shall incorporate all sketches, addendums, value engineering, change orders, etc., into record drawings prior to delivering to Engineer.

3.12 WARRANTY

A. Contractor's attention is directed to warranty obligations contained in the *General Conditions and Supplementary Conditions*.

B. The above shall not in any way void or abrogate equipment manufacturer's guarantee or warranty. Certificates of equipment manufacturer's warranties shall be included in the operations and maintenance manuals.

C. The contractor guarantees for a two year period from the time of final acceptance by the Owner.

1. That the work contains no faulty or imperfect material or equipment or any imperfect, careless, or unskilled workmanship.

2. That all work, equipment, machines, devices, etc. shall be adequate for the use to which they are intended, and shall operate with ordinary care and attention in a satisfactory and efficient manner.

3. That the contractor will re-execute, correct, repair, or remove and replace with proper work, without cost to the Owner, any work found to be deficient. The contractor shall also make good all damages caused to their work or materials in the process of complying with this section.

4. That the entire work shall be water-tight and leak-proof.

3.13 OPERATIONS AND MAINTENANCE MANUALS

A. The Contractor shall have prepared six (6) hardcopies and one (1) electronic copy of the *Operations and Maintenance Manuals* and deliver these copies of the manuals to the Owner. The manuals shall be as specified herein. The manuals must be approved and will not be accepted as final until so stamped.

B. The manuals shall be bound in a three-ring loose-leaf binder similar to National No. 3881 with the following title lettered on the front: *Operations and Maintenance Manual Thomas B Sharp Conference Center – Plumbing Systems.* No sheets larger than 8-1/2 inches x 11 inches shall be used, except sheets that are neatly folded to 8-1/2 inches x 11 inches and used as a pull-out. Provide divider tabs and table of contents for organizing and separating information.

C. Provide the following data in the booklet:

1. As first entry, an approved letter indicating the starting/ending time of Contractor's warranty period.
2. Catalog data on each piece of plumbing equipment furnished.

3. Maintenance operation and lubrication instructions on each piece of plumbing equipment furnished.
4. Complete catalog data on each piece of plumbing equipment furnished including approved shop drawing.
5. Chart form indicating frequency and type of routine maintenance for all plumbing equipment. The chart shall also indicate model number of equipment, location and service.
6. Provide sales and authorized service representatives names, address, and phone numbers of all equipment and subcontractors.
7. Provide supplier and subcontractor's names, address, and phone number.
8. Catalog data of all equipment, valves, etc. shall include wiring diagrams, parts list and assembly drawing.
9. Provide and install in locations as directed by the Owner, valve charts including valve tag number, valve type, valve model number, valve manufacturer, style, service and location. Each valve chart shall be enclosed in a durable polymer based frame with a cover safety glass. .
10. Access panel charts with index illustrating the location and purpose of access panels.
11. Approved Plumbing Certificates.

D. Submit *Operations and Maintenance Manuals* prior to the anticipated date of substantial completion for Engineer review and approval. Substantial completion requires that *Operations and Maintenance Manuals* reviewed and approved.

3.14 PIPING SYSTEMS TESTING

A. All gas piping shall be pressure tested in accordance with NFPA-54. Gas piping systems shall be proven tight under the following gauge pressures for a duration of four(4) hours:

SYSTEM	**TEST PRESSURE**
Gas Piping	100 psig

B. Testing and acceptance thereof shall be in accordance with local requirements and shall meet approval of authority having jurisdiction. Submit certificates and approved permits and insert one (1) copy in the *Operations and Maintenance Manuals*.

3.15 OUTAGES

A. Provide a minimum of fourteen (14) days notice to schedule outages. The Contractor shall include in their bid outages and/or work in occupied areas to occur on weekends, holidays, or at night. Coordinate and get approval of all outages with the Owner.

B. Submit *Outage Request form*, attached at end of this Section, to Owner for approval.

END OF SECTION

OUTAGE REQUEST

DATE APPLIED: _____BY: _____

DATE FOR OUTAGE: _____ FIRM: _____

START OUTAGE-TIME: _____ DATE:_____

END OUTAGE -- TIME: _____ DATE:_____

AREAS AND ROOMS: _____

FLOOR(S): _____

AREA(S): _____

ROOM(S): _____

WORK TO BE PERFORMED: _____

SYSTEM(S): _____

REQUEST APPROVED BY: _____

(FOREMAN OR OTHER PERSON IN CHARGE)

(FOR OWNER'S USE ONLY):

APPROVED: _____

YES _____ NO ____ BY: _____DATE: _____

DATE/TIME-AS REQUESTED: _____ OTHER : _____

OWNER'S PRESENCE REQUIRED: _____

YES: ____ NO: ____ NAME: _____

POINT OF CONTACT: _____ PHONE: _____

DIVISION 22 SECTION 220505
PLUMBING PIPING, FITTINGS AND VALVES
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SECTION 220505 - PLUMBING PIPING, FITTINGS AND VALVES

PART 1. GENERAL

1.1. SUMMARY

A. The conditions of the contract and other general requirements apply to the work specified in this section. All work under this section shall also be subject to the requirements of Division 22 Section, Common Work Results for Plumbing and Division 01, *General Requirements*.

B. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2. SYSTEM DESCRIPTION CONDITIONS

A. Provide all labor and materials necessary to furnish and install all piping systems on this project as herein specified and/or shown on the drawings. Final connections to equipment furnished in other sections of the specifications shall be included under this section.

B. All piping and insulation installed in ceiling plenums must be plenum rated and comply with NFPA and International Building Code (IBC).

C. Where more than one piping system material is specified, ensure system components are compatible and joined to ensure the integrity of the system is not jeopardized. Provide necessary joining fittings. Ensure flanges, union, and couplings for servicing are consistently provided.

D. Use unions, flanges, and couplings downstream of valves and at equipment or apparatus connections. Do not use direct welded or threaded connections to valves, equipment or other apparatus.

E. Use non-conducting dielectric connections whenever jointing dissimilar metals in open systems.

F. Provide pipe hangers and supports in accordance with ASTM B31.9 and MSS SP69 unless indicated otherwise.

1.3. QUALITY ASSURANCE

A. Valves: Manufacturer's name and pressure rating marked on valve body.

B. Welding Materials and Procedures: Conform to ASME Code and applicable state labor regulation. Provide certificate of compliance from authority having jurisdiction indicating approval of welders.

C. Welders Certification: In accordance with ASME Section 9.

D. All grooved joint couplings, fittings, valves, and specialties shall be the products of a single manufacturer. Grooving tools shall be of the same manufacturer as the grooved components.

E. All castings used for coupling housings, fittings, and valve bodies shall be date stamped for

quality assurance and traceability.

F. Maintain one copy of each document on site.

1.4. DELIVERY, STORAGE AND HANDLING

A. Deliver, store, protect and handle products to site under as hereinbefore specified.

B. Accept valves on site in shipping containers with labeling in place. Inspect for damage.

C. Provide temporary protective coating on cast iron and steel valves.

D. Provide temporary end caps and closures on piping and fittings. Maintain in place until installation.

E. Protect piping systems from entry of foreign materials by temporary covers, completing sections of the work, and isolating parts of completed systems.

1.5. ENVIRONMENTAL REQUIREMENTS

A. Do not install underground piping when bedding is wet or frozen.

1.6. ALTERNATES

A. Refer to Division 01 Section, "Alternates" for description of work under this section affected by alternates.

PART 2. PRODUCTS

2.1. PIPE MATERIALS

A. All materials, unless otherwise specified, shall be new and of the best quality of their respective kinds, and shall conform to the requirements and ordinances of local, state and insurance authorities having jurisdiction.

1. Gas Piping:

a). Pipe: Inside Building Above Ground: Schedule 40 uncoated black steel pipe, ASTMA 53 or A120.

b). Outside Building, Below Ground: Copper type *L* tubing, ASTM B88 Below Ground.

c). Outside building above grade/roof: Schedule 40 black steel pipe, ASTM A53 or A120.

d). Fittings & Joints: 150 lb. screwed malleable iron ASTM B16.3 with joints sealed with litharge and glycerin. Piping 2 ½ inches and larger and any concealed piping within walls must be welded, ASTM A24 forged steel welded type joints shall be threaded or welded to ANSI B31.1 or ASME

Sec. 1.

e). Plug Valves: 2- ½ inches & larger ASME B16.38 and MSS SP-78 cast iron lubricated plug valves with 125 psig pressure rating, 3 turn type. Gas valves are prohibited above ceilings.

f). Cocks: 2 inches& smaller - bronze, Crane 270. AGA certified bronze body, plug type with bronze plug, ball type with chrome plated brass ball, for 5 psig or less gas. Include AGA stamp, flat or square head or lever handle, and threaded ends conforming to ASME B1.20.1

g). Ball Valves: Full flow, double seal, ball type with bronze body, Buna-N seals and O-ring packing, chrome plated brass ball and designed for working pressures up to 175 psig. Valves shall be 3 turn type. MSS SP-78, class 175 WOG.

h). Gas Vents: Install vent piping for gas pressure regulators and gas trains, extend outside building to a non-hazardous location away from any potential source of ignition, and vent to atmosphere. Pipe material shall be identical to gas piping here-in before specified. Terminate vents with turned down, reducing elbow fittings with corrosion-resistant insect screen in large end.

i). Finish: All gas piping downstream of regulator or piping installed on a roof shall be primed and finished with two coats of rust resistant paint with pewter gray finish. Paint shall be two part epoxy-exterior paint as manufactured by Pittsburgh Paint, Themeco, or approved equal. Painting shall be provided under another Section of these Specifications.

B. Steel pipe shall be similar and equal to National Tube Company, Grinnell, Republic, or Bethlehem black or zinc-coated (galvanized) as hereinafter specified. Pipe shall be free from all defects which may affect the durability for the intended use. Each length of pipe shall be stamped with the manufacturer's name.

C. Copper pipe shall be Revere, Anaconda or Chase with approved solder fittings.

D. Welding fittings for steel pipe shall meet the requirements of ASTM Standard A-23 and shall be standard catalog products. Fittings fabricated by metering and notching pipe will not be accepted.

2.2. PIPE HANGERS, ROLLER SUPPORTS, ANCHORS, GUIDES, AND SADDLES

A. All hangers for metallic piping shall be adjustable, wrought clevis type, or adjustable malleable split ring swivel type, having rods with machine threads. Hangers shall be Grinnell Company's Figure 260, Carpenter and Patterson, or approved equal for pipe ¾-inch and larger, and Figure 65 for pipe 2-inches and smaller, or approved equal. Adjustable pipe stanchion with U-bolt shall be Grinnell Company's Figure 191. Pipe roller supports shall be Grinnell's Figure 181 or Figure 271. Exterior pipe hangers shall be galvanized or stainless steel construction. For copper piping in direct contact with the hanger, hanger construction shall be copper coated to prevent contact of dissimilar metals similar to Grinnell's Figure CT-

65. Hanger spacing and rod sizes for steel and copper pipe shall not be less than the following:

NOMINAL PIPE SIZE IN	STD. STEEL PIPE	MAXIMUM SPAN FT. COPPER TUBE	MINIMUM ROD DIAMETER INCHES OF ASTM A36 STEEL THREADED RODS
3/4 & 1	6	5	3/8
1 - ½	6	8	3/8
2	8	8	3/8

B. Anchors, guides, and roller supports shall be installed in accordance with the contract drawings and manufacturer's recommendations to provide pipe support and control pipe movement for all piping systems. Anchors and guides shall be securely attached to the pipe support structure. Submit shop drawing for proposed pipe support structure for guides and anchors for approval of the Structural Engineer. Pipe alignment guides shall be Fig. 255 Grinnell, or as approved equal. Guides shall be sized to accommodate the pipe with insulation. Guides shall be steel factory, fabricated, with bolted two section outer cylinder and base for alignment of piping and two section guiding spider for bolting to pipe.

C. Hangers for pipe sizes ½ to 1 ½ inch (13 to 38 mm): Carbon steel, adjustable swivel, split ring.

D. Hangers for cold pipe sizes 2 inches (50 mm) and over: Carbon steel, adjustable, clevis.

E. Hangers for cold pipe sizes 2 to 4 inches (50 to 100 mm): Carbon steel, adjustable, clevis.

F. Multiple or Trapeze hangers: Steel channels with welded spacers and hanger rods.

G. Vertical Support: Steel riser clamp.

H. Floor support for hot pipe sizes 6 inches (150 mm) and over: Adjustable cast iron roll and stand, steel screws, and concrete pier or steel support.

I. Copper pipe support: Carbon steel ring, adjustable, copper plated.

J. Hanger rods: Mild steel threaded both ends, threaded one end, or continuous threaded.

K. Inserts: Malleable iron case of galvanized steel shell and expander plug for threaded connection with lateral adjustment, top slot for reinforcing rods, lugs for attaching to forms; size inserts to suit threaded hanger rods.

2.3. VALVES

A. Provide parts list and assembly drawings (exploded view) for all valves in shop drawing submittals. Provide valves of the same type by the same manufacturer.

2.4. UNIONS, FLANGES, AND COUPLINGS

A. Unions in steel pipe 2-inches and smaller shall be malleable iron with brass inserted seats designed for a working pressure of 150 psig.

B. Unions in copper pipe 2-inches and smaller shall be sweat fittings with bronze seats designed for a working pressure of 125 psig.

C. Flanges for steel pipe over 2 inches shall be 150 psig, forged steel, slip on. Gaskets shall be 1/16 inch thick pre-formed neoprene.

D. Flanges for copper pipe over 2 inches shall be bronze. Gaskets shall be 1/16 inch thick preformed neoprene.

2.5. PIPING SPECIALTIES

A. Gas relief valve piping shall be sized and installed in accordance with the latest edition of ASME Boiler & Pressure Vessel Code, CSD-1 including amendments. Pipe material shall be as specified for gas piping. Gas relief valve piping material shall be the same as hereinbefore specified for gas piping.

2.6. ESCUTCHEONS

A. Provide chromium plated escutcheons properly fitted and secured with set screws on all exposed piping which passes through walls, floors or ceilings of finished spaces.

B. All escutcheon plates shall be chrome plated spun brass of plain pattern, and shall be set tight on the pipe and to the building surface. Plastic escutcheon plates will not be accepted.

2.7. SLEEVES

A. Sleeves shall be provided around all pipes through walls, floors, ceilings, partitions, roof structure members or other building parts. Sleeves shall be standard weight galvanized iron pipe two sizes larger than the pipe or insulation so that pipe or insulation shall pass through masonry or concrete walls or floors. Provide 20 gauge galvanized steel sheet or galvanized pipe sleeves for all piping passing through frame walls.

B. Sleeves through floors shall be flush with the floor except for sleeves passing through Equipment Rooms which shall extend ¾-inch above the floor. Refer to Division 23 Section, *Vibration Controls for HVAC, Plumbing and Fire Protection Equipment* for mechanical equipment room penetrations additional requirements. Space between the pipe and sleeve shall be caulked. Escutcheon plates shall be constructed to conceal the ends of sleeves. Each trade shall be responsible for drilling existing floors and walls for necessary sleeve holes. Drilling methods and tools shall be as hereinbefore specified.

C. Sleeves through walls and floors shall be sealed with a waterproof caulking compound.

D. Firestop at sleeves that penetrate smoke barriers smoke partitions and/or rated walls/floors.

2.8. GAS PRESSURE REGULATORS

A. Gas pressure regulators shall be as manufactured by Equimeter, Inc., Maxitrol Co., Rockwell, Fisher Controls, American Meter Co., or approved equal. Gas pressure regulators shall maintain a constant downstream pressure with a variable inlet pressure.

B. Gas pressure regulators shall comply with ANSI Z21.18 and shall be single stage, steel jacketed, corrosion-resistant type. Include atmospheric vent, elevation compensator, with threaded ends conforming to ASME B1.20.1 for 2 inch NPS and smaller and flanged ends for 2 ½ inch NPS and larger.

C. Each pressure regulator shall have an identification stamped on diaphragm cover and shall be painted AGA grey. Regulator inlet and outlet pressures, and flow volume in cubic feet per hour of specified gas are as indicated on the contract drawings.

D. Regulators installed exposed on roof or outside shall be designed and listed for outside installation.

2.9. ROOFTOP GAS PIPING SUPPORTS/BASES

A. Furnish and install rooftop gas piping supports for all piping installed on the roof. Entire system shall be as manufactured by Eberl Iron Works or approved equal.

B. Bases: The base supports shall be the non-penetrating type preventing need for additional barriers. Base material shall be heavy duty rubber manufactured from 100% recycled ground crumb rubber.

C. Pipe Supports: The pipe supports shall be elevated pipe roller supports. To allow for expansion and contraction the supports shall include hot dipped galvanized pipe rollers, threaded rods, and supporting hardware. All supports shall be type 304 stainless steel with stainless steel hardware. Furnish with pipe straps to restrain pipes on the rollers.

PART 3. EXECUTION

3.1. GENERAL PIPING INSTALLATION REQUIREMENTS

A. All pipes shall be cut accurately to measurements established at the building, and shall be worked into place without springing or forcing, properly clearing all windows, doors and other openings. Excessive cutting or other weakening of the building structure to facilitate piping installation will not be permitted. All pipes shall be so installed as to permit free expansion and contraction without causing damage. All open ends of pipe lines, equipment, etc., shall be properly capped or plugged during installation to keep dirt or other foreign material out of the system. All pipes shall be run parallel with the lines of the building and as close to walls, columns and ceilings as may be practical, with proper pitch. All piping shall be arranged so as not to interfere with removal of other equipment on devices not to block access to doors, windows, manholes, or other access openings. Flanges or unions, as applicable for the type of piping specified, shall be provided in the piping at connections to all items of equipment, coils, etc., and installed so that there will be no interference with the installation of the equipment, ducts, etc. All valves and specialties shall be placed to permit easy operation and access and all valves shall be regulated, packed and

glands adjusted at the completion of the work before final acceptance. Ends of pipe shall be reamed so as to remove all burrs.

B. All piping shall be run to provide a minimum clearance of 2-inches between finished covering on such piping and all adjacent work. Group piping wherever practical at common elevations.

C. All valves, strainers, caps, and other fittings shall be readily accessible.

D. Rough-in and final connections are required to all equipment and fixtures provided under this contract.

E. Cutoff valves shall be provided on each branch line from the mains on all plumbing lines.

F. Spring clamp plates (escutcheons) shall be provided where pipes are exposed in the building and run through walls, floors, or ceilings. Plates shall be chrome plated spun brass of plain pattern, and shall be set tight on the pipe and to the building surface.

G. If the size of any piping is not clearly evident in the drawings, the Contractor shall request instructions for the Engineer as to the proper sizing. Any changes resulting from the Contractor's failure to request clarification shall be at his expense. Where pipe size discrepancies or conflicts exist in the drawings, the larger pipe size shall govern.

H. Install all valves with stem upright or horizontal, not inverted.

I. Where pipe support members are welded to structural building framing, scrape, brush clean, weld and apply one coat of zinc rich primer.

J. Provide clearance for installation of insulation and access to valves and fittings.

K. Protect piping systems from entry of foreign materials by temporary covers, completing sections of the work, and isolating parts of completed system.

L. Where access doors are required, install piping so that valves can be grouped together to minimize the quantity of access doors.

3.2. VALVE INSTALLATION REQUIREMENTS

A. Examine piping system for compliance with requirements for installation tolerances and other conditions affecting performance of valves. Do not proceed with installation until unsatisfactory conditions have been corrected.

B. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.

C. Operate valves from fully open to fully closed positions. Examine guides and seats made accessible by such operation.

D. Examine threads on valve and mating pipe for form and cleanliness.

E. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Check gasket material for proper size, material composition suitable for service, and freedom from defects and damage.

F. Do not attempt to repair defective valves; replace with new valves.

G. Install valves as indicated, according to manufacturer's written instructions.

H. Piping installation requirements are specified in other Division 22 Sections. Drawings indicate the general arrangement of piping, fittings, and specialties.

I. Install valves with unions or flanges at each piece of equipment arranged to allow servicing, maintenance, and equipment removal without system shutdown.

J. Locate valves for easy access and provide separate support where necessary.

K. Install valves in horizontal piping with stem at or above the center of the pipe.

L. Install valves in a position to allow full stem movement.

M. Adjust or replace packing after piping systems have been tested and put into service, but before final adjusting and balancing. Replace valves if leak persists.

3.3. PIPE JOINTS INSTALLATION REQUIREMENTS

A. Screwed Joints: All screwed joints shall be made with tapered threads properly cut. Screwed joints shall be made perfectly tight with a stiff mixture of graphite and oil, applied with a brush to the male threads on the fittings.

B. Soldered Joints and Copper Piping: Joints in copper piping shall conform to the following minimum standards.

1. The pipes shall be cut to a length making certain that the ends are square, using a fins hacksaw blade or tube cutter. The ends of all pipes shall be reamed and all burrs removed.

2. The outside end of the pipe and the cut end of the fitting shall be cleaned with steel wool, sand cloth, or steel wire brush. All dark spots shall be removed.

3. The flux shall be applied evenly and sparingly to the outside end of the pipe and the inside of the outer end of the fitting until all surfaces to be jointed are completely covered. The piping and fitting shall be slipped together and reworked several times to insure an even distribution of the flux.

4. The correct amount of solder per joint for each size pipe shall be used in accordance with the manufacturer's recommendations.

5. Solder joints shall be made by using a direct flame from a torch.

6. On pipe sizes larger than ¼-inch, the fittings and valves in the pipe shall be moved or tapped with a hammer when the solder starts to melt to insure an even distribution of the solder.

7. The excess solder shall be removed while it is still in the plastic state leaving a fillet around the cup of the fitting.

8. Solder joints shall be suitable for working pressure of 100 psig and for working temperature of not less than 250 degrees F. The type of solder and flux used will be submitted for approval. Type 95-5 shall be the minimum standard.

9. Lead and antimony-based solders shall not be used for potable water systems. Brazing and silver solders are acceptable.

C. Where copper piping joins steel piping, approved bronze adapters shall be used.

D. Prohibited Connections: No direct weld, soldered, or brazed connections, without unions or flanges, shall be made to valves, strainers, apparatus, or related equipment. Right and left couplings, long threads, or caulking of pipe threads or gasket joints will not be permitted.

3.4. HANGERS AND SUPPORTS INSTALLATION REQUIREMENTS

A. General: All hangers shall be of an approved type arranged to maintain the required grading and pitching of lines to prevent vibration and to provide for expansion and contraction. Provide protection saddles between hangers and insulation on heating water insulated pipe. Saddles shall be Grinnells Figure 173/273 or approved equal. Provide approved spacers between saddles and pipe where flexible insulation is specified. Provide insulation protection shields for insulated piping without saddles. Shield shall be Grinnell Figure 167 or as approved equal.

B. Spacing: Regardless of spacing, hangers shall be provided at or near all changes in direction, both vertical and horizontal, for all piping.

C. Vertical Lines: Shall be supported at their bases, using either a suitable hanger placed in a horizontal line near the riser, or a base type fitting set on a pedestal, foundation or support. All vertical lines extending through more than one floor level shall be supported at each floor with a riser clamp. Riser clamp shall be Grinnell Co.'s Figure 261, or approved equal.

D. Racks and Brackets: All horizontal piping on vertical walls shall be properly supported by suitable racks securely anchored into the wall construction. Where not practical to obtain ceiling anchorage, all piping near walls shall be supported by approved brackets securely anchored into the wall construction. Washer plates (Fib. 60, 60L) and other miscellaneous attachments, fasteners, etc., shall be Grinnell or as approved equal. All exterior hanger and bracket systems in their entirety shall be hot dipped galvanized.

E. Pipe Hangers and supports shall be attached to the panel point at the top chord of bar joist or at a location approved by the engineer.

F. Select hangers and components for loads imposed. Secure rods with double nuts.

G. Support of horizontal piping shall allow for vertical adjustment after installation of piping.

H. Support overhead piping with clevis hangers.

I. Do not support all parallel piping from the same joist. Stagger all supports in accordance with the engineer's recommendations.

3.5. DIRT POCKETS INSTALLATION

A. Dirt pockets shall be installed at the base of all risers and ahead of all gas equipment and as indicated on the drawings.

3.6. PIPING IDENTIFICATION REQUIREMENTS

A. All piping shall be identified with painted background marked with the name of the service with arrows to indicate flow direction. Color code and system identification shall comply with ANSI Standards and piping identification system shall comply with ASME A13.1-81., scheme for the identification of piping systems and ASHRAE Fundamentals Handbook, latest edition.

B. Markings shall be plain block letters, stenciled on pipes, and shall be located near each branch connection, near each valve, and at least every 10 feet on straight runs of pipe. Where pipes are adjacent to each other, markings shall be neatly lined up. All markings shall be located in such manner as to be easily legible from the floor. Pipe identification schedule shall be as follows:

OUTSIDE DIAMETER OF PIPE OR COVERING (INCHES)	LENGTH OF COLOR FIELD (INCHES)	SIZE OF LETTERS (INCHES)
½ to 1 ¼	8	½
1-½ to 2	8	¾
2 ½ to 6	12	1 ¼
8 to 10	24	2 ½
Over 10	32	3 ½

3.7. VALVE IDENTIFICATION REQUIREMENTS

A. <u>All</u> valves shall be tagged with a numbered tag.

B. The tags shall be made of 1-inch diameter brass tags fastened to the valve by means of brass chains. Numbers shall agree with valve numbers on diagrammatic herein before specified.

C. Provide a minimum of six (6) valve charts with valve numbers indicating valve type, size, manufacturer and service.

D. Additional valve charts shall be mounted behind glazed wooden frames and be hung in each mechanical equipment room including each air handling unit mechanical equipment room.

Additional copies shall be provided in each copy of the O&M manuals.

END OF SECTION

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COMMON WORK RESULTS FOR HVAC
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SECTION 230500 COMMON WORK RESULTS FOR HVAC

PART 1. GENERAL

1.1. SUMMARY

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

B. Provide all labor, materials, equipment, and services necessary for and incidental to the complete installation and operation of all mechanical work.

C. Unless otherwise specified, all submissions shall be made to, and acceptances and approvals made by the Engineer.

D. Contract Drawings are generally diagrammatic and all offsets, fittings, transitions and accessories are not necessarily shown. Furnish and install all such items as may be required to fit the work to the conditions encountered. Arrange piping, ductwork, equipment, and other work generally as shown on the contract drawings, providing proper clearance and access. Where departures are proposed because of field conditions or other causes, prepare and submit detailed shop drawings for approval in accordance with *Submittals* specified below. The right is reserved to make reasonable changes in location of equipment, piping, and ductwork, up to the time of rough-in or fabrication.

E. Conform to the requirements of all rules, regulations and codes of local, state and federal authorities having jurisdiction.

F. Coordinate the work under Division 23 with the work of all other construction trades.

G. Be responsible for all construction means, methods, techniques, procedures, and phasing sequences used in the work. Furnish all tools, equipment and materials necessary to properly perform the work in first class, substantial, and workmanlike manner, in accordance with the full intent and meaning of the contract documents.

1.2. PERMITS AND FEES

A. Obtain all permits and pay taxes, fees and other costs in connection with the work. File necessary plans, prepare documents, give proper notices and obtain necessary approvals. Deliver inspection and approval certificates to Owner prior to final acceptance of the work.

B. Permits and fees shall comply with the Division 01, *General Requirements* of the specification.

1.3. EXAMINATION OF SITE

A. Examine the site, determine all conditions and circumstances under which the work must be done, and make all necessary allowances for same. No additional cost to the Owner will be permitted for contractors' failure to do so.

B. Examine and verify specific conditions described in individual specifications sections.

C. Verify that utility services are available, of the correct characteristics, and in the correct locations.

1.4. CONTRACTOR QUALIFICATION

A. Any Contractor or Subcontractor performing work under Division 23 shall be fully qualified and acceptable to the Engineer and Owner. Submit the following evidence when requested:

1. A list of not less than five comparable projects which the Contractor completed.

2. Letter of reference from not less than three registered professional engineers, general contractors or building owners.

3. Local and/or State License, where required.

4. Membership in trade or professional organizations where required.

B. A Contractor is any individual, partnership, or corporation, performing work by contract or subcontract on this project.

C. Acceptance of a Contractor or Subcontractor will not relieve the Contractor or subcontractor of any contractual requirements or his responsibility to supervise and coordinate the work, of various trades.

1.5. MATERIALS AND EQUIPMENT

A. Materials and equipment installed as a permanent part of the project shall be new, unless otherwise indicated or specified, and of the specified type and quality.

B. Where material or equipment is identified by proprietary name, model number and/or manufacturer, furnish named item, or its equal, subject to approval by Engineer. Substituted items shall be equal or better in quality and performance and must be suitable for available space, required arrangement, and application. Submit all data necessary to determine suitability of substituted items, for approval.

C. The suitability of named item only has been verified. Where more than one item is named, only the first named item has been verified as suitable. Substituted items, including items other than first named shall be equal or better in quality and performance to that of specified items, and must be suitable for available space, required arrangement and application. Contractor, by providing other than the first named manufacturer, assumes responsibility for all necessary adjustments and modifications necessary for a satisfactory installation. Adjustments and modifications shall include but not be limited to electrical, structural, support, and architectural work.

D. Substitution will not be permitted for specified items of material or equipment where noted.

E. All items of equipment furnished shall have a service record of at least five (5) years.

1.6. FIRE SAFE MATERIALS

A. Unless otherwise indicated, materials and equipment shall conform to UL, NFPA and ASTM standards for fire safety with smoke and fire hazard rating not exceeding flame spread of 25 and smoke developed of 50.

1.7. REFERENCED STANDARDS, CODES AND SPECIFICATIONS

A. Specifications, Codes and Standards listed below are included as part of this specification, latest edition.

B. AABC - Associated Air Balance Council
C. ACCA - Air Conditioning Contractors of America
D. ADC - Air Diffusion Council
E. AGA - American Gas Association
F. AMCA - Air Movement and Control Association
G. ANSI - American National Standards Institute
H. ARI - Air Conditioning and Refrigeration Institute
I. ASHRAE - American Society of Heating, Refrigerating and Air Conditioning Engineers
J. ASTM - American Society for Testing and Materials
K. IBC - International Building Code
L. IEEE - Institute of Electrical and Electronics Engineers
M. MSSP - Manufacturers Standards Society of the Valve and Fittings Industry
N. NEC - National Electrical Code
O. NEMA - National Electrical Manufacturers Association
P. NFPA - National Fire Protection Association
Q. SMACNA - Sheet Metal and Air Conditioning Contractors National Association
R. UL - Underwriters' Laboratories

S. All mechanical equipment and materials shall comply with the codes and standards listed in the latest edition of ASHRAE HVAC Applications Handbook, Chapter entitled *Codes and Standards*.

1.8. SUBMITTALS, REVIEW AND ACCEPTANCE

A. Equipment, materials, installation, workmanship and arrangement of work are subject to review and acceptance. No substitution will be permitted after acceptance of equipment or materials except where such substitution is considered by the Engineer to be in best interest of Owner.

B. After acceptance of Material and Equipment List, submit six (6) copies or more as required under General Conditions of complete descriptive data for all items. Data shall consist of specifications, data sheets, samples, capacity ratings, performance curves, operating characteristics, catalog cuts, dimensional drawings, wiring diagrams, installation instructions, and any other information necessary to indicate complete compliance with Contract Documents. Edit submittal data specifically for application to this project.

C. Thoroughly review and stamp all submittals to indicate compliance with contract requirements prior to submission. Coordinate installation requirements and any electrical

requirements for equipment submitted. Contractor shall be responsible for correctness of all submittals.

D. Submittals will be reviewed for general compliance with design concept in accordance with contract documents, but dimensions, quantities, or other details will not be verified.

E. Identify submittals, indicating intended application, location and service of submitted items. Refer to specification sections or paragraphs and drawings where applicable. Clearly indicate exact type, model number, style, size and special features of proposed item. Submittals of a general nature will not be acceptable. For substituted items, clearly list on the first page of the submittal all differences between the specified item and the proposed item. The contractor shall be responsible for corrective action and maintaining the specification requirements if differences have not been clearly indicated in the submittal.

F. Submit actual operating conditions or characteristics for all equipment where required capacities are indicated. Factory order forms showing only required capacities will not be acceptable. Call attention, in writing, to deviation from contract requirements.

G. Acceptance will not constitute waiver of contract requirements unless deviations are specifically indicated and clearly noted. Use only final or corrected submittals and data prior to fabrication and/or installation.

H. For any submittal requiring more than two (2) reviews by the Engineer (including those caused by a change in subcontractor or supplier) the Owner will withhold contractor's funds by a change order to the contract to cover the cost of additional reviews. One review is counted for each action including rejection or return of any reason.

I. For resubmissions, the Contractor must address in writing all of the Engineer's comments on the original submission to verify compliance.

1.9. SHOP DRAWINGS

A. Prepare and submit shop drawings for all mechanical equipment, specially fabricated items, modifications to standard items, specially designed systems where detailed design is not shown on the contract drawings, or where the proposed installation differs from that shown on contract drawings.

B. Submit data and shop drawings including but not limited to the list below, in addition to provisions of the paragraph above. Identify all shop drawings by the name of the item and system and the applicable specification paragraph number and drawing number.

C. Every submittal including, but not limited to the list below, shall be forwarded with its own transmittal as a separate, distinct shop drawing. Grouping of items/systems that are not related shall be unacceptable.

D. Items and Systems

Access Doors/Panels including layouts and locations
Airflow Monitoring Stations
Air Distribution Systems

Automatic Temperature Control Systems and Equipment
Carbon Dioxide Sensors
Carbon Monoxide Detectors
Coordinated Drawings
Drip Pans
Duct Materials
Equipment Rails
Exterior Equipment/Duct Piping Supports
Exterior Pipe Supports
Fans
Filters
Filter Housings
Fire Stopping - Methods and Materials
Grilles, Registers, Diffusers
Identification Systems
Operations and Maintenance Manuals
Pipe Enclosures
Pipe Materials Including Itemized Schedules
Preliminary Testing and Balancing Reports
Roof Curbs
Screen shots of ATC System Graphics
Single Zone VAV Units
Split System Heat Pumps, Ductless
Test Certificates
Thermal Insulation Materials Include Table Summaries
Variable Frequency Drive Motor Bearing Protective Rings
Variable Speed Drives
Vibration Isolation Materials
Weatherproof Assembly Components
Wiring Diagrams, Flow Diagrams and Operating Instructions

E. Contractor, additionally, shall submit for review any other shop drawings as required by the Engineer. No item shall be delivered to the site, or installed, until the Contractor has received a submittal from the Engineer marked *Reviewed* or *Comments Noted*. After the proposed materials have been reviewed, no substitution will be permitted except where approved by the Engineer.

F. For any shop drawing requiring more than two (2) reviews by the Engineer (including those caused by a change in subcontractor or supplier) the Owner will withhold contractor's funds by a change order to the contract to cover the cost of additional reviews. One review is counted for each action including rejection or return of any reason.

1.10. SUPERVISION AND COORDINATION

A. Provide complete supervision, direction, scheduling, and coordination of all work under the Contract, including that of subcontractors.

B. Coordinate rough-in of all work and installation of sleeves, anchors, and supports for piping, ductwork, equipment, and other work performed under Division 23.

C. Arrange for pipe spaces, chases, slots, and openings in building structure during progress of construction to allow for mechanical installations.

D. Coordinate electrical work required under Division 23 with that under Division 26. Coordinate all work under Division 23 with work under all other Divisions.

E. Supply services of an experienced (10 year minimum) and competent Project Manager to be in constant charge of work at site.

F. Where a discrepancy exists within the specifications or drawings or between the specifications and drawings, the more stringent (or costly) requirement shall apply until clarification can be obtained from the Engineer. Failure to clarify such discrepancies with the Engineer will not relieve the Contractor of the responsibility of conforming to the requirements of the Contract.

G. Failure of contractor to obtain a full and complete set of contract documents (either before or after bidding) will not relieve the contractor of the responsibility of complying with the intent of the contract documents.

1.11. CUTTING AND PATCHING

A. Accomplish all cutting and patching necessary for the installation of work under Division 23. Damage resulting from this work to other work already in place, shall be repaired at Contractor's expense. Where cutting is required, perform work in neat and workmanlike manner. Restore disturbed work to match and blend with existing construction and finish, using materials compatible with the original. Use mechanics skilled in the particular trades required.

B. Do not cut structural members without approval from the Engineer.

1.12. PENETRATION OF WATERPROOF CONSTRUCTION

A. Coordinate the work to minimize penetration of waterproof construction, including roofs, exterior walls, and interior waterproof construction. Where such penetrations are necessary, furnish and install all necessary curbs, sleeves, flashings, fittings and caulking to make penetrations absolutely watertight.

B. Where pipes penetrate roofs, flash pipe with Stoneman *Stormtite,* Pate or approved equal, roof flashing assemblies with skirt and caulked counter flashing sleeve.

C. Furnish and install pitch pockets or weather tight curb assemblies where required.

D. Furnish and install roof drains, curbs, vent assemblies, and duct sleeves specifically designed for application to the particular roof construction, and install in accordance with the manufacturer's instructions. The Contractor shall be responsible for sleeve sizes and locations. All roof penetrations shall be installed in accordance with manufacturer’s instructions, the National Roofing Contractors Association, SMACNA, and as required by other divisions of these specifications.

E. All work associated with the existing roof shall be performed so as to maintain the existing roof warranty.

1.13. CONNECTIONS AND ALTERATIONS TO EXISTING WORK

A. Unless otherwise noted on the drawings, where existing mechanical work is removed, pipes, valves, ductwork, etc., shall be removed, including hangers, to a point below finished floors or behind finished walls and capped. Such point shall be far enough behind finished surfaces to allow for installation of normal thickness of required finish material.

B. Where work specified in Division 23 connects to existing equipment, piping, ductwork, etc., Contractor shall perform all necessary alterations, cuttings, fittings, etc., of existing work as may be necessary to make satisfactory connections between new and existing work, and to leave completed work in a finished and workmanlike condition.

C. Where the work specified under Division 23, or under other Divisions, requires relocation of existing equipment, piping, ductwork, etc., Contractor shall perform all work and make necessary changes to existing work as may be required to leave completed work in a finished and workmanlike condition. Where existing insulation is disturbed, replace insulation where removed or damaged equal to existing, in type, thickness, density, finish and thermal resistance (R-value) value.

D. Where the relocation of existing equipment is required for access or the installation of new equipment, the contractor shall temporarily remove and/or relocate and re-install as required to leave the existing and new work in a finished and workman like condition.

1.14. DEMOLITION

A. Unless otherwise noted all existing equipment, piping, ductwork, etc., shall remain.

B. Where existing equipment is indicated to be removed, all associated piping, conduit, power, controls, insulation, hangers, ductwork, supports and housekeeping pads, etc., patch, paint and repair walls/roof/floor to match existing and/or new finishes.

C. Provide necessary piping, valves, traps, temporary feeds, drips, etc., as required. Drain and refill piping systems as often as necessary to accommodate phasing and to minimize time lengths of outages.

D. The Contractor shall be responsible for visiting the site and determining the existing conditions in which the work is to be performed.

E. Where any abandoned pipes in existing floors, walls, pipe tunnels, ceilings, etc., conflict with new work, remove abandoned pipes as necessary to accommodate new work.

F. The location of all existing equipment, piping, ductwork, etc., indicated is approximate only and shall be checked and verified. Install all new mechanical/plumbing/fire protection work to connect to or clear existing work as applicable.

G. Maintain egress at all times. Coordinate egress requirements with the State Fire Marshal, the Owner and the authorities having jurisdiction.

H. Make provisions and include in bid all costs associated with confined entry/space

requirements in crawl spaces and all other applicable OSHA regulations.

I. Where required to maintain the existing systems in operation, temporarily backfeed existing systems from new equipment. Contractor shall temporarily extend existing piping systems to new piping systems with the appropriate shut-off valves.

J. At completion of project all temporary piping, valves, controls, etc., shall be removed in their entirely.

K. Existing piping, equipment, ductwork, materials, etc., not required for re-use or re-installation in this project, shall be removed from the project site.

L. Deliver to the Owner, on the premises where directed, existing equipment and materials which are removed and which are desired by the Owner or are indicated to remain the property of the Owner.

M. All other materials and equipment which are removed shall become property of the Contractor and shall be promptly removed, from the premises, and disposed of by the Contractor, in an approved manner. Contractor shall be responsible for proper disposal of all removed equipment containing refrigerants. Contractor shall include in his bid all cost associated with the evacuation, removal and disposal of all existing equipment containing refrigerants in accordance with EPA and Health Department requirements.

N. Where piping and/or ductwork is removed, remove all pipe or ductwork hangers which were supporting the removed piping or ductwork. Patch the remaining penetration voids with like materials and paint to match existing construction.

O. Before demolition begins, and in the presence of the Owners representative, test and note all deficiencies in all existing systems affected by demolition but not completely removed by demolition. Provide a copy of the list of system deficiencies to the Owner and the Engineer. Videotape existing conditions in each space prior to beginning demolition work.

P. The Owner shall have the first right of refusal for all fixtures, devices and equipment removed by the Contractor.

Q. All devices and equipment designated by the Owner to remain the property of the Owner shall be moved and stored by the Contractor at a location on site as designated by the Owner. It shall be the Contractor's responsibility to store all devices and equipment in a safe manner to prevent damage while stored.

R. All existing equipment refused by the Owner shall become the property of the Contractor and shall be removed from the site by the Contractor in a timely manner and disposed of in a legal manner.

S. Work Abandoned in Place: Cut and remove underground pipe a minimum of 2 inches beyond face of adjacent construction. Cap and patch surface to match existing finish.

T. Temporary Disconnection: Remove, store, clean, reinstall, reconnect, and make operational equipment indicated for relocation.

U. Terminate services and utilities in accordance with local laws, ordinances, rules and regulations.

1.15. DRIVE GUARDS

A. Provide safety guards on all exposed belt drives, motor couplings, and other rotating machinery. Provide fully enclosed guards where machinery is exposed from more than one direction.

B. When available, guards shall be factory fabricated and furnished with the equipment. Otherwise fabricate guards of heavy gauge steel, rigidly braced, removable, and finish to match equipment served. Provide openings for tachometers. Guards shall meet local, State and O.S.H.A. requirements.

1.16. VIBRATION ISOLATION

A. Furnish and install vibration isolators, flexible connections, supports, anchors and/or foundations required to prevent transmission of vibration from equipment, piping or ductwork to building structure. See Division 23 Section, "Vibration Control for HVAC, Plumbing and Fire Protection Equipment".

1.17. ALTERNATES

A. Refer to Division 01 Section, "Alternates" for description of work under this section affected by alternates.

1.18. FASTENERS/CAPS

A. For all exterior grade and roof mounted equipment containing refrigerant install lockable caps on service valves to prevent tampering. Lockable caps shall be Model NPR as manufactured by Rector Seal or approved equal. Provide Model NPR Novent screwdriver tool with swiveling tip. Caps shall be suitable and specific for the refrigerant type utilized.

1.19. DEFINITIONS

A. *Approve* - to permit use of material, equipment or methods conditional upon compliance with contract documents requirements.

B. *ATC* – Automatic Temperature Control, including all instrumentation and work related to HVAC, plumbing and electrical equipment. ATC system shall also be known as Building Automation Management System (BAS) or Central Control and Monitoring System (CCMS).

C. *Furnish and install* or *provide* means to supply, erect, install, and connect to complete for readiness for regular operation, the particular work referred to.

D. *Contractor* means the mechanical contractor and any of his subcontractors, vendors, suppliers, or fabricators.

E. *Piping* includes pipe, all fittings, valves, hangers, insulation, identification, and other

accessories relative to such piping.

F. *Ductwork* includes duct material, fittings, hangers, insulation, sealant, identification and other accessories

G. *Concealed* means hidden from sight in chases, formed spaces, shafts, hung ceilings, embedded in construction or in crawl space.

H. *Exposed* means not installed underground or *concealed* as defined above.

I. *Invert Elevation* means the elevation of the inside bottom of pipe.

J. *Finished Spaces*: Spaces other than mechanical and electrical equipment rooms, furred spaces, pipe and duct shafts, unheated spaces immediately below roof, spaces above ceiling, unexcavated spaces, crawl spaces, and tunnels.

K. *Review* - limited observation or checking to ascertain general conformance with design concept of the work and with information given in contract documents. Such action does not constitute a waiver or alteration of the contract requirements.

L. *ECM*: Electronically Commutating Motor.

M. *Building Line:* Exterior wall of building.

1.20. MINIMUM EFFICIENCY REQUIREMENTS

A. All heating, ventilating, and air conditioning equipment shall be manufactured to provide the minimum efficiency requirements as specified in ASHRAE Standard 90.1, latest edition.

B. All piping, ductwork, and equipment insulation shall comply with ASHRAE Standard 90.1, latest edition.

C. All mechanical devices, controls, accessories, and components shall be manufactured to provide the minimum efficiency requirements as specified in ASHRAE Standard 90.1, latest edition.

1.21. SYSTEM INTEGRATION

A. For all HVAC equipment specified to be provided with packaged controls and interfaced with the automatic temperature control system, provide system integration between the equipment manufacturer and the automatic temperature control subcontractor.

B. HVAC equipment submittals requiring system integration as defined above must identify all required system integration points.

C. HVAC equipment manufacturers must coordinate with ATC subcontractor regarding system integration prior to submitting on the equipment.

D. A system integration meeting must be arranged by the Mechanical Contractor and include, but not be limited to the systems integrator for the HVAC equipment

manufacturer and the ATC Subcontractor. This portion of systems integration must occur prior to HVAC equipment being delivered to the project.

E. Once the HVAC equipment is on site, a second systems integration meeting must be arranged by the Mechanical Contractor to coordinate the packaged controls with the ATC system. The HVAC equipment manufacturer's representative familiar with system integration and the ATC subcontractor familiar with programming must be present.

F. A final system integrations meeting shall occur once all equipment is in place and ready for operation. The Mechanical Contractor, the HVAC equipment systems' integrator, and the ATC Subcontractor shall meet on site to jointly program, schedule, verify points, interlock devices, and fully set up all systems integration components.

G. All systems integration coordination, programming, and graphics must be completed prior to requesting commissioning and/or inspections by the Engineer of Record.

PART 2. ELECTRICAL REQUIREMENTS

2.1. GENERAL MOTOR AND ELECTRICAL REQUIREMENTS

A. Furnish and install control and interlock wiring for the equipment furnished. In general, power wiring and motor starting equipment will be provided under Division 26. Carefully review the contract documents to coordinate the electrical work under Division 23 with the work under Division 26. Where the electrical requirements of the equipment furnished differ from the provisions made under Division 26, make the necessary allowances under Division 23. Where no electrical provisions are made under Division 26, include all necessary electrical work under Division 23.

B. All electrical work performed under Division 23 shall conform to the applicable requirements of Division 26 and conforming to the National Electrical Code. All wiring, conduit, etc., installed in ceiling plenums must be plenum rated per NFPA and the International Building Code.

C. Provide wiring diagrams with electrical characteristics and connection requirements.

D. Test Reports: Indicate test results verifying nominal efficiency and power factor for three phase motors larger than five (5) horsepower.

E. Protect motors stored on site from weather and moisture by maintaining factory covers and suitable weatherproof covering. For extended outdoor storage, remove motors from equipment and store separately.

F. All motors shall be furnished with visible nameplate indicating motor horsepower, voltage, phase, cycles, RPM, full load amps, locked rotor amps, frame size, manufacturer's name and model number, service factor, power factor and efficiency.

G. Motors located in exterior locations, wet air streams, air cooled condensers, and outdoors shall be totally enclosed weatherproof epoxy-treated type.

H. Nominal efficiency and power factor shall be as scheduled at full load and rated voltage when tested in accordance with IEEE 112.

I. Brake horsepower load requirement at specified duty shall not exceed 85 percent of nameplate horsepower times NEMA service factor for motors with 1.0 and 1.15 service factors.

J. All single phase motors shall be provided with thermal protection: Internal protection shall automatically open power supply circuit to motor when winding temperature exceeds a safe value calibrated to temperature ratings of motor insulation. Thermal protection device shall automatically reset when motor temperature returns to normal range, unless otherwise indicated.

2.2. MOTORS AND CONTROLS

A. Motors and controls shall conform to the latest requirements of IEEE, NEMA, NFPA-70 and shall be UL listed. Motor sizes are specified with the driven equipment. Motor starting and control equipment is specified either with the motor which is controlled or in an electrical specification section. The Contractor is advised to consult all specification sections to determine responsibility for motors and controls.

B. Motors shall be designed, built and tested in accordance with the latest revision of NEMA Standard MG l.

C. Motors used with variable-frequency controllers shall have ratings, characteristics, and features coordinated with and approved by the variable frequency controller (drive) manufacturer. As a minimum the following shall apply to variable frequency controlled motors:

1. Motors shall be manufactured to withstand peak voltages of 1600 volts with .1 microsecond rise time per NEMA MG-1.

2. Critical vibration frequencies of motor shall not be within operating range of variable frequency controller output.

3. Temperature rise: Match rating for Class B insulation.

4. Insulation: Class F.

5. Thermal Protection: Conform to MG1 requirements for thermally protected motors.

D. Motors shall be suitable for use under the conditions and with the equipment to which applied, and designed for operation on the electrical systems specified or indicated.

1. Motor capacities shall be such that the horsepower rating and the rated full-load current will not be exceeded while operating under the specified operating conditions. Under no condition shall the motor current exceed that indicated on the nameplates.

2. Motor sizes noted in the individual equipment specifications are minimum requirements only. It is the responsibility of the equipment manufacturers and of the Contractor to furnish motors, electrical circuits and equipment of ample

capacity to operate the equipment without overloading, exceeding the rated full-load current, or overheating at full-load capacity under the most severe operating service of this equipment. Motors shall have sufficient torque to accelerate the total WR^2 of the driven equipment to operating speed.

3. Motors shall be continuous duty type and shall operate quietly at all speeds and loads.

4. Motors shall be designed for operation on 60 hertz power service. Unless otherwise specified or shown, motors less than ½ horsepower shall be single phase, and motors ½ horsepower and larger shall be 3 phase unless otherwise noted.

5. Motors shall be mounted so that the motor can be removed without removing the entire driven unit.

E. Single phase motors, smaller than 1/20 horsepower shall be ball or sleeve bearing; drip-proof, totally enclosed or explosion proof, as specified; 120 volts; permanent-split capacitor or shaded pole type. These motors shall not be used for general power purposes, and shall only be provided as built-in components of such mechanical equipment as fans, unit heaters, humidifiers and damper controllers. When approved by the Engineer, deviations from the specifications will be permitted as follows:

1. Open motors may be installed as part of an assembly where enclosure within a cabinet provides protection against moisture.

2. Motors used in conjunction with low voltage control systems may have a voltage rating less than 115 volts.

F. Single phase motors, greater than 1/20 horsepower and less than ½ horsepower shall be ball bearing; drip-proof, totally enclosed or explosion proof, as specified, with Class A or B insulation, as standard with the motor manufacturer; 115 or 120/208/240 volts as required; capacitor start-induction run, permanent split capacitor, or repulsion start-induction run type with minimum efficiency of 70 percent and a minimum full load power of 77 percent.

G. Except as otherwise specified in the various specification sections, 3 phase motors 60 horsepower and smaller shall be NEMA design B squirrel cage induction type meeting the requirements of this paragraph. Motors shall be drip-proof, totally enclosed or explosion proof, as specified or indicated. Insulation shall be Class B or F, at 40 degrees C ambient temperature. Drip-proof motors shall have a 1.15 service factor and totally enclosed and explosion proof motors shall have a service factor of 1.00 or higher. Motors specified for operation at 480, 240, and 208 volts shall be nameplated 460, 230, 200 volts, respectively. Efficiencies and percent power factor at full load for three phase motors shall be not less than the values listed below for premium efficiency motors:

MOTOR NAMEPLATE	MINIMUM PERCENT EFFICIENCY AT NOMINAL SPEED AND RATED LOAD	MINIMUM PERCENT POWER FACTOR

MOTOR NAMEPLATE	MINIMUM PERCENT EFFICIENCY AT NOMINAL SPEED AND RATED LOAD	MINIMUM PERCENT POWER FACTOR
1HP and above to	85.5 percent	84 percent
1-½ HP	86.5 percent	85 percent
2HP	86.5 percent	85 percent
3HP	89.5 percent	86 percent
5HP	89.5 percent	87 percent
7½ HP	91 percent	86 percent
10HP	91.7 percent	85 percent
15HP	93.0 percent	85 percent
20HP	93.0 percent	86 percent
25HP	93.6 percent	85 percent
50HP and above	94.5 percent	88 percent
60 HP	95.0 percent	90 percent
75HP	95.0 percent	90 percent
100 HP	95.4 percent	90 percent
125 HP	95.8 percent	95 percent
150 HP and above	96.0 percent	95 percent

H. Three phase motors ½ HP or greater shall be the Duty Master XE by Reliance Electric Company, Super-E Premium Efficiency of Baldor Motor and Drives, E-plus Efficient Standard Duty Motor of the Electric Motor Division of Gould, Inc., the MAC II High Efficiency motor of Westinghouse Electric Corp., the equivalent product of General Electric, or approved equal.

I. For motors serving equipment being controlled by a variable speed drive, motor shall be premium efficiency inverter duty rated.

J. Motor frames shall be NEMA Standard T-Frames of steel, aluminum, or cast iron with end brackets of cast-iron or aluminum with steel inserts.

K. Control of each motor shall be manual or automatic as specified for each in the various mechanical sections. In general, and unless otherwise specified for a particular item in the various mechanical sections of the specifications, motor starters and controls shall be specified and provided under the various electrical sections of these specifications.

L. Provide manufacturer's warranty for all motors for minimum of 5 years including all labor and materials.

2.3. MOTOR INSTALLATION

A. Install in accordance with manufacturer's instructions.

B. Install securely on firm foundation. Mount ball bearing motors to support shaft regardless of shaft position.

C. Check line voltage and phase and ensure agreement with nameplate. Check that proper thermal overloads have been installed prior to operating motors.

D. Use adjustable motor mounting bases for belt-driven motors.

E. Align pulleys and install belts.

F. Tension belts according to manufacturer's written instructions.

2.4. WIRING DIAGRAMS

A. The Contractor is responsible for obtaining and submitting wiring diagrams for all major items of equipment.

B. Wiring diagrams shall be provided with shop drawings for all equipment requiring electric power.

C. Provide wiring diagrams for all major mechanical items of equipment to electrical contractor and ATC subcontractor for coordination.

2.5. VARIABLE FREQUENCY DRIVE MOTOR BEARING PROTECTIVE RINGS:

A. For all motors driven by a variable frequency PWM drive include a maintenance free, circumferential, conductive micro fiber shaft grounding ring to discharge shaft currents. Grounding rings shall be manufactured by AEGIS SGR or approved equal.

B. Furnish units with one year warranty.

C. Size and select Bearing Protective Rings per the manufacturer requirements based on the motor size, shaft diameter, and shaft shoulder length. For motors with slingers furnish and install NEMA /IEC kit as required.

D. Furnish and apply Colloidal silver shaft coating to all shafts with Bearing Protective Rings to improve shaft voltage discharge capability.

PART 3. EXECUTION

3.1. EQUIPMENT INSTALLATION - COMMON REQUIREMENTS

A. Install equipment to provide maximum possible headroom, if mounting heights are not indicated.

B. Install equipment according to approved submittal data. Portions of the work are shown

only in diagrammatic form. Refer conflicts to Engineer.

C. Install equipment level and plumb, parallel and perpendicular to other building systems and components in exposed interior spaces, unless otherwise indicated.

D. Install mechanical equipment to facilitate service, maintenance, and repair or replacement of components. Connect equipment for ease of disconnecting, with minimum interference to other installations. Extend grease fittings to accessible locations.

E. Install equipment giving right of way to piping installed at required slope.

F. Do not install equipment, ductwork, or piping over electrical gear, electrical panels, motor controllers, and similar electrical equipment. Install equipment, ductwork, and piping to maintain clear space above and in front of all electrical components per the National Electric Code.

3.2. SUPPORTS, HANGERS AND FOUNDATIONS

A. Provide supports, hangers, braces, attachments and foundations required for the work. Support and set the work in a thoroughly substantial and workmanlike manner without placing strains on materials, equipment, or building structure, submit shop drawings for approval. Coordinate all work with the requirements of the structural division.

B. Supports, hangers, braces, and attachments shall be standard manufactured items or fabricated structural steel shapes. All interior hangers shall be galvanized or steel with rust inhibiting paint. For un-insulated copper piping provide copper hanger to prevent contact of dissimilar metals. All exterior hangers shall be constructed of stainless steel utilizing stainless steel rods, nuts, washers, bolts, etc.

3.3. PROVISIONS FOR ACCESS

A. The contractor shall provide access panels and doors for all concealed equipment, valves, strainers, dampers, filters, controls, control devices, fire dampers, damper operators, traps, and other devices requiring maintenance, service, adjustment, balancing or manual operation.

B. Where access doors are necessary, furnish and install manufactured painted steel door assemblies consisting of hinged door, key locks, and frame designed for the particular wall or ceiling construction. Properly locate each door. Door sizes shall be a 12 inches x 12 inches for hand access, 18 inches x 18 inches for shoulder access and 24 inches x 24 inches for full body access where required. Review locations and sizes with Engineer prior to fabrication. Mark each access door within finished spaces with a small color coded and numbered tab. Provide a chart or index for identification. Provide U.L. approved and labeled access doors where installed in fire rated walls or ceilings. Doors shall be Milcor Metal Access Doors as manufactured by Inland-Ryerson, Mifab, or approved equal.

1. Acoustical or Cement Plaster: Style B
2. Hard Finish Plaster: Style K or L
3. Masonry or Dry Wall: Style M

C. Where access is by means of liftout ceiling tiles or panels, mark each ceiling grid using small color-coded and numbered tabs. Provide a chart or index for identification. Place markers within ceiling grid not on ceiling tiles.

D. Access panels, doors, etc. described herein shall be furnished under the section of specifications providing the particular service and to be turned over to the pertinent trade for installation. Coordinate installation with installing contractor. All access doors shall be painted in baked enamel finish to match ceiling or wall finish.

E. Submit shop drawings indicating the proposed location of all access panels/doors. Access doors in finished spaces shall be coordinated with air devices, lighting and sprinklers to provide a neat and symmetrical appearance.

3.4. PAINTING AND FINISHES

A. Provide protective finishes on all materials and equipment. Use coated or corrosion-resistant materials, hardware and fittings throughout the work. Paint bare, untreated ferrous surfaces with rust-inhibiting paint. All exterior components including supports, hangers, nuts, bolts, washers, vibration isolators, etc. shall be stainless steel.

B. Clean surfaces prior to application of insulation, adhesives, coatings, paint, or other finishes.

C. Provide factory-applied finishes where specified. Unless otherwise indicated factory-applied paints shall be baked enamel with proper pretreatment.

D. Protect all finishes and restore any finishes damaged as a result of work under Division 23 to their original condition.

E. The preceding requirements apply to all work, whether exposed or concealed.

F. Remove all construction marking and writing from exposed equipment, ductwork, piping and building surfaces. Do not paint manufacturer's labels or tags.

G. All exposed ductwork, piping, equipment, etc. shall be painted. Colors shall be as stated in this division or as selected by the Owner and conform to ANSI Standards.

H. All exterior roof mounted ductwork, equipment, piping, breeching, and vents shall be painted to match roof in color as selected by Owner.

I. All exposed ductwork, piping, equipment, etc. in finished spaces shall be painted. Colors shall be as selected by the Owner and conform to ANSI Standards.

J. All exposed ductwork, piping, equipment, etc., in where PVC jacketed shall not require painting. Label and identify and color code as specified.

3.5. CLEANING OF SYSTEMS

A. Thoroughly clean systems after satisfactory completion of pressure tests and before permanently connecting fixtures, equipment, traps, strainers, and other accessory items. Blow out and flush piping until interior surfaces are free of foreign matter.

B. Maintain strainers and dirt pockets in clean condition.

C. Clean fans, ductwork, enclosures, registers, grilles, and diffusers at completion of work.

D. Install filters of equal efficiency to those specified in permanent air systems operated for temporary heating during construction. Replace with clean filters as specified prior to acceptance and after cleaning of system.

E. Pay for labor and materials required to locate and remove obstructions from systems that are clogged with construction refuse after acceptance. Replace and repair work disturbed during removal of obstructions.

F. Leave systems clean, and in complete running order.

3.6. COLOR SELECTION

A. Color of finishes shall be as selected by the Owner.

B. Submit color of factory-finished equipment for acceptance prior to ordering.

3.7. PROTECTION OF WORK

A. Protect work, material and equipment from weather and construction operations before and after installation. Properly store and handle all materials and equipment.

B. Cover temporary openings in piping, ductwork, and equipment to prevent the entrance of water, dirt, debris, or other foreign matter. Deliver pipes and tubes with factory applied end caps.

C. Cover or otherwise protect all finishes.

D. Replace damaged materials, devices, finishes and equipment.

E. Protect stored pipes and tubes from moisture and dirt. Elevate above grade. Do not exceed structural capacity of floor, where stored inside.

3.8. OPERATION OF EQUIPMENT

A. Clean all systems and equipment prior to initial operation for testing, balancing, or other purposes. Lubricate, adjust, and test all equipment in accordance with manufacturer's instructions. Do not operate equipment unless all proper safety devices or controls are operational. Provide all maintenance and service for equipment that is authorized for operation during construction.

B. Where specified, or otherwise required, provide the services of the manufacturer's factory-trained servicemen or technicians to start up the equipment. Where factory start-up of equipment is not specified, provide field start-up by qualified technician.

C. Submit factory start-up sheets or field start-ups sheets for all equipment prior to the commencement of testing and balancing work. Testing and balancing work shall not

commence until start-up reports have been completed, reviewed by Engineer and forwarded to Testing and Balancing Agency.

D. Do not use mechanical systems for temporary services or temporary conditioning during construction, unless approved by Owner in writing.

E. Upon completion of work, clean and restore all equipment to new conditions; replace expendable items such as filters.

3.9. DEMONSTRATION AND TRAINING VIDEO RECORDINGS

A. General: Record demonstration and training video recordings. Record each training module separately.

1. At beginning of each training module, record each chart containing learning objective and lesson outline.

B. Video Recording Format: Provide high-quality color video recordings with menu navigation in format acceptable to Engineer

C. Recording: Mount camera on tripod before starting recording, unless otherwise necessary to show area of demonstration and training. Display continuous running time.

D. Narration: Describe scenes on video recording by audio narration by microphone while video recording is recorded. Include description of items being viewed.

E. Transcript: Provide a transcript of the narration. Display images and running time captured from videotape opposite the corresponding narration segment.

3.10. IDENTIFICATIONS, FLOW DIAGRAMS, ELECTRICAL DIAGRAMS AND OPERATING INSTRUCTIONS

A. Contractor shall submit for approval schematic piping diagrams of each piping system installed in the building. Diagrams shall indicate the location and the identification number of each valve in the particular system. Following approval by all authorities, the diagrams shall be framed, mounted under safety glass and hung in each Mechanical Room where directed. Contractor shall deliver the tracing or sepia from which the diagrams were reproduced to the Owner.

B. All valves shall be plainly tagged. For any bypass valves, install sign indicating valve position as “Normally Open” or “Normally Closed” as required.

C. All items of equipment, including motor starters, disconnects and ATC panels shall be furnished with white on black plastic permanent identification cards. Lettering shall be a minimum of ¼ inch high. Identification plates shall be secured, affixed to each piece of equipment, starters, disconnects, panels by screw or adhesive (tuff bond #TB2 or as approved equal).

D. Provide six (6) copies of operating and maintenance instructions for all principal items of equipment furnished. This material shall be bound as a volume of the *Record and Information Booklet* as hereinafter specified.

E. All lines piping and ductwork installed under this contract shall be stenciled with *direction of flow* arrows and with stenciled letters naming each pipe and ductwork and service. Refer to Division 23 Section, "HVAC Piping, Fittings, Valves, Etc." and Division 23 Section, "HVAC Air Distribution". Color-code all direction of flow arrows and labels. In finished spaces omit labeling and direction of flow arrows. Paint in color as selected by Owner.

F. Submit list of wording, symbols, letter size, and color coding for mechanical identification. Submit samples of equipment identification cards, piping labels, ductwork labels, and valve tags to Engineer for review prior to installation.

G. Provide at least 8 hours of straight time instruction to the operating personnel. Time of instruction shall be designated by the Owner. Additional instruction time for the automatic temperature control (ATC) system is specified in Division 23 Section, "Instrumentation & Controls of HVAC & Plumbing Systems".

H. Contractor shall demonstrate Sequences of Operation of all equipment in presence of Owner's representative, Engineer, and ATC subcontractor.

3.11. WALL AND FLOOR PENETRATION

A. All penetrations of partitions, ceilings, roofs and floors by ducts, piping or conduit under Division 23 shall be sleeved, sealed, and caulked airtight for sound and air transfer control. Penetrations of mechanical room partitions, ceilings, and floors shall be as specified in Division 23 Section, "Vibration Control for HVAC, Plumbing and Fire Protection Equipment".

B. All penetration of fire rated assemblies shall be sleeved, sealed, caulked and protected to maintain the rating of the wall, roof, or floor. Fire Marshal approved U.L. assemblies shall be utilized. See Division 07 Section, "Fire Protection, HVAC & Plumbing Penetration Firestopping".

C. Where piping extends through exterior walls or below grade, provide waterproof pipe penetration seals, as specified in another division of these specifications.

D. Provide pipe escutcheons and duct flanges for sleeved pipes and ducts in finished areas.

E. Piping sleeves:

1. Galvanized steel pipe, standard weight where pipes are exposed and roofs and concrete and masonry walls. On exterior walls provide anchor flange welded to perimeter.

2. Twenty-two (22) gauge galvanized steel elsewhere.

F. Ductwork sleeves: 20 gauge galvanized steel.

3.12. RECORD DRAWINGS

A. Upon completion of the mechanical installations, the Contractor shall deliver to the

Engineer one complete set of prints of the mechanical contract drawings which shall be legibly marked in red pencil to show all changes and departures of the installation as compared with the original design. They shall be suitable for use in preparation of Record Drawings.

B. Contractor shall incorporate all sketches, addendums, value engineering, change orders, etc., into record drawings prior to delivering to Engineer.

3.13. WARRANTY

A. Contractor's attention is directed to warranty obligations contained in the GENERAL CONDITIONS.

B. The above shall not in any way void or abrogate equipment manufacturer's guarantee or warranty. Certificates of equipment manufacturer's warranties shall be included in the operations and maintenance manuals.

C. The Contractor guarantees for a two year period from the time of final acceptance by the Owner.

1. That the work contains no faulty or imperfect material or equipment or any imperfect, careless, or unskilled workmanship.

2. That all work, equipment, machines, devices, etc. shall be adequate for the use to which they are intended, and shall operate with ordinary care and attention in a satisfactory and efficient manner.

3. That the contractor will re-execute, correct, repair, or remove and replace with proper work, without cost to the Owner, any work found to be deficient. The contractor shall also make good all damages caused to their work or materials in the process of complying with this section.

4. That the entire work shall be water-tight and leak-proof.

3.14. LUBRICATION

A. All bearings, motors, and all equipment requiring lubrication shall be provided with accessible fittings for same. Before turning over the equipment to the Owner, the Contractor shall fully lubricate each item of equipment, shall provide one year's supply of lubricant for each, and shall provide Owner with complete written lubricating instructions, together with diagram locating the points requiring lubrication. Include this information in the Record and Information Booklet.

B. In general, all motors and equipment shall be provided with grease lubricated roller or ball bearings with Alemite or equal accessible or extended grease fittings and drain plugs.

3.15. OPERATIONS AND MAINTENANCE MANUALS

A. The Contractor shall have prepared six (6) hardcopies and one (1) electronic copy of the *Operations and Maintenance Manuals* and deliver these copies of the booklet to the Owner. The booklet shall be as specified herein. The booklet must be approved and will

not be accepted as final until so stamped.

B. The booklet shall be bound in a three-ring loose-leaf binder similar to National No. 3881 with the following title lettered on the front: *Operations and Maintenance Manuals – Thomas B. Sharp Conference Center Modifications - HVAC.* No sheets larger than 8-1/2 inches x 11 inches shall be used, except sheets that are neatly folded to 8-1/2 inches x 11 inches and used as a pull-out. Provide divider tabs and table of contents for organizing and separating information.

C. Provide the following data in the booklet:

1. As first entry, an approved letter indicating the starting/ending time of Contractor's warranty period.
2. Maintenance operation and lubrication instructions on each piece of equipment furnished.
3. Complete catalog data on each piece of heating and air conditioning equipment furnished including approved shop drawing.
4. Manufacturer's extended limited warranties on equipment including but not limited to variable frequency drives, air conditioning compressors, and ductless units.
5. Chart form indicating frequency and type of routine maintenance for all mechanical equipment. The chart shall also indicate model number of equipment, location and service.
6. Provide sales and authorized service representatives names, address, and phone numbers of all equipment and subcontractors.
7. Provide supplier and subcontractor's names, address, and phone number.
8. Catalog data of all equipment, valves, etc. shall include wiring diagrams, parts list and assembly drawing.
9. Provide and install in locations as directed by the Owner, valve charts including valve tag number, valve type, valve model number, valve manufacturer, style, service and location. Each valve chart shall be enclosed in a durable polymer based frame with a cover safety glass.
10. Copy of the approved balancing report.
11. ATC systems including as-built ATC drawings of systems including internal of all panels.
12. Access panel charts with index illustrating the location and purpose of access panels.
13. Approved HVAC and Electrical Certificates.
14. Start-up reports for equipment.
15. Provide and install in locations as directed by Owner, filter charts, including filter type, size, model number, manufacturer, quantity and size for each filter utilized on the project. Filter charts shall be enclosed in a durable polymer based frame with a cover safety glass.
16. Insert color graphic with embedded parameters for ATC system into record and information booklet.
17. Filter charts indicating equipment served, size, and type of filter required.

D. Submit Record and Information Booklets prior to anticipated date of substantial completion for Engineer review and approval. Substantial completion requires that Record and Information booklets be reviewed and approved.

3.16. PIPING SYSTEMS TESTING

A. The entire new HVAC piping systems shall be tested hydrostatically before insulation covering is applied and proven tight under the following gauge pressures for a duration of four (4) hours. Testing to be witnessed by Owner's representative and documented in writing.

SYSTEM	**TEST PRESSURE**
Refrigerant Piping	550 psig with Nitrogen

B. Ductwork pressure testing shall be as specified in another division of these specifications.

C. Testing and acceptance thereof shall be in accordance with local requirements and shall meet approval of authority having jurisdiction. Submit certificates and approved permits and insert one (1) copy in the *Operations and Maintenance Manuals*.

D. Refrigerant piping shall be tested utilizing nitrogen per equipment manufacturer's requirements.

3.17. EQUIPMENT BY OTHERS

A. This Contractor shall make all system connections required to equipment furnished and installed under other divisions or furnished by the Owner. Connections shall be complete in all respects to render this equipment functional to its fullest intent.

B. It shall be the responsibility of the supplier of this equipment to furnish complete instructions for connections. Failure to do so will not relieve this contractor of any responsibility for improper equipment operation.

3.18. ADDITIONAL FILTERS AND BELTS

A. One complete set of additional filters and belts shall be turned over to the owner upon final acceptance of the building by the owner. Provide correspondence to the Engineer (copy) documenting that additional filters and belts have been turned over to Owner.

B. All filters and belts shall be tagged and identified for equipment served. Furnish filters in protection wrap.

3.19. OUTAGES

A. Provide a minimum of fourteen (14) days' notice to schedule outages. The Contractor shall include in their bid outages and/or work in occupied areas to occur on weekends, holidays, or at night. Coordinate and get approval of all outages with the Owner.

B. Submit *Outage Request form*, attached at end of this Section, to Owner for approval.

END OF SECTION

OUTAGE REQUEST

DATE APPLIED: _____ BY: _____

DATE FOR OUTAGE: _____ FIRM: _____

START OUTAGE-TIME: _____ DATE: _____

END OUTAGE -- TIME: _____ DATE: _____

AREAS AND ROOMS: _____

FLOOR(S): _____

AREA(S): _____

ROOM(S): _____

WORK TO BE PERFORMED: _____

SYSTEM(S): _____

REQUEST APPROVED BY: _____
(FOREMAN OR OTHER PERSON IN CHARGE)

(FOR OWNER'S USE ONLY):

APPROVED: _____

YES _____ NO ____ BY: _____ DATE: _____

DATE/TIME-AS REQUESTED: _____ OTHER : _____

OWNER'S PRESENCE REQUIRED: _____

YES: ____ NO: ____ NAME: _____

POINT OF CONTACT: _____ PHONE: _____

DIVISION 23 SECTION 230505
HVAC PIPING, FITTINGS AND VALVES
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SECTION 230505 - HVAC PIPING, FITTINGS AND VALVES

PART 1. GENERAL

1.1. SUMMARY

A. The conditions of the contract and other general requirements apply to the work specified in this section. All work under this section shall also be subject to the requirements of Division 23 Section, *Common Work Results for HVAC* and Division 01, *General Requirements*.

B. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2. SYSTEM DESCRIPTION CONDITIONS

A. Provide all labor and materials necessary to furnish and install all piping systems on this project as herein specified and/or shown on the drawings. Final connections to equipment furnished in other sections of the specifications shall be included under this section.

B. All piping and insulation installed in ceiling plenums must be plenum rated and comply with NFPA and International Building Code (IBC).

C. Where more than one piping system material is specified, ensure system components are compatible and joined to ensure the integrity of the system is not jeopardized. Provide necessary joining fittings. Ensure flanges, union, and couplings for servicing are consistently provided.

D. Use unions, flanges, and couplings downstream of valves and at equipment or apparatus connections. Do not use direct welded or threaded connections to valves, equipment or other apparatus.

E. Provide pipe hangers and supports in accordance with ASTM B31.9 and MSS SP69 unless indicated otherwise.

1.3. QUALITY ASSURANCE

A. Valves: Manufacturer's name and pressure rating marked on valve body.

B. Welding Materials and Procedures: Conform to ASME Code and applicable state labor regulation. Provide certificate of compliance from authority having jurisdiction indicating approval of welders.

C. Welders Certification: In accordance with ASME Section 9.

D. All grooved joint couplings, fittings, valves, and specialties shall be the products of a single manufacturer. Grooving tools shall be of the same manufacturer as the grooved components.

1. All castings used for coupling housings, fittings, and valve bodies shall be date stamped for quality assurance and traceability.

E. Maintain one copy of each document on site.

1.4. DELIVERY, STORAGE AND HANDLING

A. Deliver, store, protect and handle products to site under as hereinbefore specified.

B. Accept valves on site in shipping containers with labeling in place. Inspect for damage.

C. Provide temporary protective coating on cast iron and steel valves.

D. Provide temporary end caps and closures on piping and fittings. Maintain in place until installation.

E. Protect piping systems from entry of foreign materials by temporary covers, completing sections of the work, and isolating parts of completed systems.

1.5. ALTERNATES

A. Refer to Division 01 Section, "Alternates" for description of work under this section affected by alternates.

PART 2. PRODUCTS

2.1. PIPE MATERIALS

A. All materials, unless otherwise specified, shall be new and of the best quality of their respective kinds, and shall conform to the requirements and ordinances of local, state and insurance authorities having jurisdiction.

1. Refrigeration Piping:

a). Concealed: Tube Size ¾ -inch & Smaller:

ASTM B280, copper tube; Type ACR, soft annealed temper fittings; cast copper-alloy fittings for flared copper tubes; flared joints. Fittings shall be ASME B16.22, wrought copper. Joints shall be brazed, AWS A5.8, BCUP silver/phosphorous/copper alloy with melting range 1190 to 1480 degrees F.

b). Concealed: Tube Size 7/8 inch through 4-1/8inches:

Copper tube, Type ACR, soft annealed temper; wrought-copper, brazed-joint fittings; brazed joints.

c). Exposed: Tube Size ¾ Inch and Smaller:

Copper pipe, Type ASTM B88, Type K with brazed wrought-copper fittings conforming to ASME B16.22. Filler metal shall be brazing type conform to AWS A5.8.

d). Exposed: Tube Sizes 7/8 Inch and Larger:

Copper pipe, Type ASTM B88, Type K with brazed wrought-copper fittings conforming to ASME B16-22. Filler metal shall be brazing type conforming to AWS A5.8.

e). Brazed Joints: Braze joints using American Welding Society (AWS) classification BCuP-4 for brazing filler metal.

f). Flexible connectors: 500-psig (3450-kPa) minimum operating pressure; stainless-steel core and high-tensile stainless-steel-braid covering; dehydrated, pressure tested, minimum 7 inches (180 mm) long.

g). Service Valves: 500-psig (3450-kPa) pressure rating; forged-brass body with copper stubs, brass caps, removable valve core, integral ball check valve, and with brazed-end connections.

2. Cooling Coil A/C Condensate Drain Piping:

a). Pipe & Fittings: All A/C condensate drain piping, including floor drain piping that is collecting A/C condensate, shall be constructed of Type *L* copper tubing, with sweat fittings made with 95-5 solder. Washout plugs (cleanouts) shall be strategically located to allow periodic flush out of system. At a minimum, provide washout plugs at equipment connections and at direction changes of 90 degrees F or greater.

B. Steel pipe shall be similar and equal to National Tube Company, Grinnell, Republic, or Bethlehem black or zinc-coated (galvanized) as hereinafter specified. Pipe shall be free from all defects which may affect the durability for the intended use. Each length of pipe shall be stamped with the manufacturer's name.

C. Copper pipe shall be Revere, Anaconda or Chase with approved solder fittings.

2.2. PIPE HANGERS

A. All hangers for metallic piping shall be adjustable, wrought clevis type, or adjustable malleable split ring swivel type, having rods with machine threads. Hangers shall be Grinnell Company's Figure 260 for pipe ¾-inch and larger, and Figure 65 for pipe 2-inches and smaller, or approved equal. Adjustable pipe stanchion with U-bolt shall be Grinnell Company's Figure 191. Pipe roller supports shall be Grinnell's Figure 181 or Figure 271. Exterior pipe hangers shall be galvanized or stainless steel construction. For copper piping in direct contact with the hanger, hanger construction shall be copper coated to prevent contact of dissimilar metals similar to Grinnell's Figure CT-65. Hanger spacing and rod sizes for steel and copper pipe shall not be less than the following:

NOMINAL PIPE SIZE IN	STD. STEEL PIPE	MAXIMUM SPAN FT. COPPER TUBE	MINIMUM ROD DIAMETER INCHES OF ASTM A36 STEEL THREADED RODS

NOMINAL PIPE SIZE IN	STD. STEEL PIPE	MAXIMUM SPAN FT. COPPER TUBE	MINIMUM ROD DIAMETER INCHES OF ASTM A36 STEEL THREADED RODS
3/4 & 1	6	5	3/8
1 - ½	6	8	3/8
2	8	8	3/8
2 – ½	10	9	½
3	12	10	½
4	14	12	5/8
5	14	12	5/8
6	16	14	3/4
8	18	16	7/8
10	20	18	7/8
12	20	18	7/8

B. Anchors, guides, and roller supports shall be installed in accordance with the contract drawings and manufacturer's recommendations to provide pipe support and control pipe movement for all piping systems. Anchors and guides shall be securely attached to the pipe support structure. Submit shop drawing for proposed pipe support structure for guides and anchors for approval of the Structural Engineer. Pipe alignment guides shall be Fig. 255 Grinnell, or as approved equal. Guides shall be sized to accommodate the pipe with insulation. Guides shall be steel factory, fabricated, with bolted two section outer cylinder and base for alignment of piping and two section guiding spider for bolting to pipe.

C. Hangers for pipe sizes ½ to 1 ½ inch (13 to 38 mm): Carbon steel, adjustable swivel, split ring.

D. Hangers for cold pipe sizes 2 inches (50 mm) and over: Carbon steel, adjustable, clevis.

E. Multiple or Trapeze hangers: Steel channels with welded spacers and hanger rods.

F. Vertical Support: Steel riser clamp.

G. Floor support for cold pipe: Cast iron adjustable pipe saddle, lock nut, nipple, floor flange, and concrete pier or steel support.

H. Copper pipe support: Carbon steel ring, adjustable, copper plated.

I. Hanger rods: Mild steel threaded both ends, threaded one end, or continuous threaded.

J. Inserts: Malleable iron case of galvanized steel shell and expander plug for threaded connection with lateral adjustment, top slot for reinforcing rods, lugs for attaching to forms; size inserts to suit threaded hanger rods.

K. For exterior pipe supports provide stainless steel brackets and anchors.

2.3. VALVES

A. Provide parts list and assembly drawings (exploded view) for all valves in shop drawing submittals. Provide valves of the same type by the same manufacturer.

2.4. UNIONS, FLANGES, AND COUPLINGS

A. Unions in steel pipe 2-inches and smaller shall be malleable iron with brass inserted seats designed for a working pressure of 150 psig.

B. Unions in copper pipe 2-inches and smaller shall be sweat fittings with bronze seats designed for a working pressure of 125 psig.

C. Unions in stainless steel pipe 2-inches and smaller shall be hexagonal threaded type stainless steel unions, with VicPress ends. Basis of Design: Victaulic Style P584.

D. Flanges for steel pipe over 2 inches shall be 150 psig, forged steel, slip on. Gaskets shall be 1/16 inch thick pre-formed neoprene.

E. Flanges for copper pipe over 2 inches shall be bronze. Gaskets shall be 1/16 inch thick preformed neoprene.

2.5. PIPING SPECIALTIES

A. Gas relief valve piping shall be sized and installed in accordance with the latest edition of ASME Boiler & Pressure Vessel Code, CSD-1 including amendments. Pipe material shall be as specified for gas piping. Gas relief valve piping material shall be the same as hereinbefore specified for gas piping.

2.6. ESCUTCHEONS

A. Provide chromium plated escutcheons properly fitted and secured with set screws on all exposed piping which passes through walls, floors or ceilings of finished spaces.

B. All escutcheon plates shall be chrome plated spun brass of plain pattern, and shall be set tight on the pipe and to the building surface. Plastic escutcheon plates will not be accepted.

2.7. SLEEVES

A. Sleeves shall be provided around all pipes through walls, floors, ceilings, partitions, roof structure members or other building parts. Sleeves shall be standard weight galvanized iron pipe two sizes larger than the pipe or insulation so that pipe or insulation shall pass through masonry or concrete walls or floors. Provide 20 gauge galvanized steel sheet or galvanized pipe sleeves for all piping passing through frame walls.

B. Sleeves through floors shall be flush with the floor except for sleeves passing through Equipment Rooms which shall extend ¾-inch above the floor. Refer to Division 23 Section, *Vibration Controls for HVAC, Plumbing and Fire Protection Equipment* for mechanical equipment room penetrations additional requirements. Space between the pipe and sleeve shall be caulked. Escutcheon plates shall be constructed to conceal the ends of sleeves. Each trade shall be responsible for drilling existing floors and walls for necessary sleeve holes. Drilling methods and tools shall be as hereinbefore specified.

C. Sleeves through walls and floors shall be sealed with a waterproof caulking compound.

D. Firestop at sleeves that penetrate smoke barriers smoke partitions and/or rated walls/floors.

PART 3. EXECUTION

3.1. GENERAL PIPING INSTALLATION REQUIREMENTS

A. All pipes shall be cut accurately to measurements established at the building, and shall be worked into place without springing or forcing, properly clearing all windows, doors and other openings. Excessive cutting or other weakening of the building structure to facilitate piping installation will not be permitted. All pipes shall be so installed as to permit free expansion and contraction without causing damage. All horizontal mains shall pitch down in the direction of flow with a grade of not less than 1 inch in 40 feet. All open ends of pipe lines, equipment, etc., shall be properly capped or plugged during installation to keep dirt or other foreign material out of the system. All pipes shall be run parallel with the lines of the building and as close to walls, columns and ceilings as may be practical, with proper pitch. All piping shall be arranged so as not to interfere with removal of other equipment on devices not to block access to doors, windows, manholes, or other access openings. Flanges or unions, as applicable for the type of piping specified, shall be provided in the piping at connections to all items of equipment, coils, etc., and installed so that there will be no interference with the installation of the equipment, ducts, etc. All valves and specialties shall be placed to permit easy operation and access and all valves shall be regulated, packed and glands adjusted at the completion of the work before final acceptance. All piping shall be installed so as to avoid air or liquid pockets throughout the work. Ends of pipe shall be reamed so as to remove all burrs.

B. All piping shall be run to provide a minimum clearance of 2-inches between finished covering on such piping and all adjacent work. Group piping wherever practical at common elevations.

C. All valves, strainers, caps, and other fittings shall be readily accessible.

D. Unions shall be installed on all bypasses, ahead of all traps, at all connections to equipment, where shown on drawings or where required to facilitate removal of equipment whether shown or not.

E. Spring clamp plates (escutcheons) shall be provided where pipes are exposed in the building and run through walls, floors, or ceilings. Plates shall be chrome plated spun brass of plain pattern, and shall be set tight on the pipe and to the building surface.

F. If the size of any piping is not clearly evident in the drawings, the Contractor shall request instructions for the Engineer as to the proper sizing. Any changes resulting from the Contractor's failure to request clarification shall be at his expense. Where pipe size discrepancies or conflicts exist in the drawings, the larger pipe size shall govern.

G. Install all valves with stem upright or horizontal, not inverted.

H. Where pipe support members are welded to structural building framing, scrape, brush clean, weld and apply one coat of zinc rich primer.

I. Provide clearance for installation of insulation and access to valves and fittings.

J. Protect piping systems from entry of foreign materials by temporary covers, completing sections of the work, and isolating parts of completed system.

3.2. VALVE INSTALLATION REQUIREMENTS

A. Examine piping system for compliance with requirements for installation tolerances and other conditions affecting performance of valves. Do not proceed with installation until unsatisfactory conditions have been corrected.

B. Examine valve interior for cleanliness, freedom from foreign matter, and corrosion. Remove special packing materials, such as blocks, used to prevent disc movement during shipping and handling.

C. Operate valves from fully open to fully closed positions. Examine guides and seats made accessible by such operation.

D. Examine threads on valve and mating pipe for form and cleanliness.

E. Examine mating flange faces for conditions that might cause leakage. Check bolting for proper size, length, and material. Check gasket material for proper size, material composition suitable for service, and freedom from defects and damage.

F. Do not attempt to repair defective valves; replace with new valves.

G. Install valves as indicated, according to manufacturer's written instructions.

H. Piping installation requirements are specified in other Division 23 Sections. Drawings indicate the general arrangement of piping, fittings, and specialties.

I. Install valves with unions or flanges at each piece of equipment arranged to allow servicing, maintenance, and equipment removal without system shutdown.

J. Locate valves for easy access and provide separate support where necessary.

K. Install valves in horizontal piping with stem at or above the center of the pipe.

L. Install valves in a position to allow full stem movement.

M. Adjust or replace packing after piping systems have been tested and put into service, but before final adjusting and balancing. Replace valves if leak persists.

3.3. REFRIGERANT PIPING AND ACCESSORIES INSTALLATION REQUIREMENTS

A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems; indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on Shop Drawings.

B. Install refrigerant piping according to ASHRAE 15.

C. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.

D. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise. All exposed piping shall be hard copper tubing with brazed joints. Refer to Architectural Contract Documents to determine exposed areas.

E. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.

F. Install piping adjacent to units to allow service and maintenance.

G. Install piping free of sags and bends. Install VEE clevis hangers and VEE troughs on pipes less than ¾”inch in diameter.

H. Install fittings for changes in direction and branch connections.

I. Select system components with pressure rating equal to or greater than system operating pressure.

J. Install piping as short and direct as possible, with a minimum number of joints, elbows, and fittings.

K. Arrange piping to allow inspection and service of refrigeration equipment. Install valves and specialties in accessible locations to allow for service and inspection. Install access doors or panels as specified if valves or equipment requiring maintenance is concealed behind finished surfaces.

L. Install refrigerant piping in protective conduit where installed below ground.

M. Install refrigerant piping in rigid or flexible conduit in locations where exposed to mechanical injury.

N. Slope refrigerant piping as follows:

1. Install horizontal hot-gas discharge piping with a uniform slope downward away from compressor.
2. Install horizontal suction lines with a uniform slope downward to compressor.

3. Install traps and double risers to entrain oil in vertical runs.
4. Liquid lines may be installed level.

O. When brazing, remove solenoid-valve coils and sight glasses; also remove valve stems, seats and packing, and accessible internal parts of refrigerant specialties. Do not apply heat near expansion-valve bulb.

P. Install piping with adequate clearance between pipe and adjacent walls and hangers or between pipes for insulation installation.

Q. Identify refrigerant piping and valves.

R. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Division 23 Section, "Common Work Results for HVAC".

S. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Division 23 Section, "Common Work Results for HVAC".

T. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Division 23 Section, "Common Work Results for HVAC".

U. Install the following pipe attachments:

1. Adjustable steel clevis hangers for individual horizontal runs less than 20 feet (6m) long.
2. Roller hangers and spring hangers for individual horizontal runs 20 feet (6m) or longer.
3. Pipe Roller: MSS SP-58, Type 44 for multiple horizontal piping 20 feet (6m) or longer, supported on a trapeze.
4. Spring hangers to support vertical runs.
5. Copper-clad hangers and supports for hangers and supports in direct contact with copper pipe.

V. Install hangers for copper tubing with the following maximum spacing and minimum rod sizes:

1. NPS ½ (DN 15): Maximum span, 60 inches (1500mm); minimum rod size, ¼ inch (6.4mm).
2. NPS 5/8 (DN 18): Maximum span, 60 inches (1500mm); minimum rod size, ¼ inch (6.4mm).
3. NPS 1 (DN 25): Maximum span, 72 inches (1800mm); minimum rod size, ¼ inch (6.4mm).
4. NPS 1-1/4 (DN 32): Maximum span, 96 inches (2400mm); minimum rod size, 3/8 inch (9.5mm).
5. NPS 1-1/2 (DN 40): Maximum span, 96 inches (2400mm); minimum rod size, 3/8 inch (9.5mm).
6. NPS 2 (DN 50): Maximum span, 96 inches (2400mm); minimum rod size, 3/8 inch

(9.5mm).

W. For all interior refrigerant pipe/tubing that is less than 3/4inch in diameter, utilize VEE type clevis hanger Model 200 V and VEE type trough Model 200 VT; as manufactured by Carpenter and Patterson or approved equal. VEE trough materials shall be carbon steel with pre-galvanized finish. Install as required to maintain maximum hanger spacing requirements.

X. Furnish and install complete refrigerant piping systems between the indoor units and outdoor units. Support piping in accordance with Division 23 Section, *HVAC Piping, Fittings, Valves, Etc.* Piping shall be sized as recommended by unit manufacturer taking into account length of vertical and horizontal runs, and refrigerant type.

Y. Furnish and install all required piping accessories including, but not limited to, thermal expansion valves, Sporlan, or approved equal; Packless isolation valves at condenser and evaporator coil, Henry or approved equal, charging valve with chained seal cap, Henry or approved equal, sight glasses, Henry or approved equal; filter dryer with replaceable cartridge, sporland, or approved equal, liquid line solenoid valve l20V/1/60 Hz., Sporlan, or approved equal. Contractor shall provide traps and double suction risers if required by equipment manufacturer. Pitch piping for proper oil return. Submit shop drawings on all components, and piping arrangements.

Z. All accessories shall be ARI rated. Furnish required nitrogen and refrigerant to fully test and charge system. Flood piping system with nitrogen when brazing.

AA. Refrigerant piping shall be Type 1 hard temper (ACR) copper tubing with wrought copper brazed fittings. Make joints with brazed wrought copper fittings.

BB. Refrigerant piping shall be cleaned, dehydrated and evacuated. Piping shall be evacuated and held to less than 2.5 mm Hg vacuum for a period of not less than 12 hours without appreciable pressure rise. Vacuum shall then be broken with refrigerant or dry nitrogen and re-evacuated to 2.5 mm Hg vacuum for an additional 12 hours. Piping test to be witnessed by Owner's representative and documented in writing. Submit results of tests to Engineer.

CC. All refrigerant/suction lines sets shall be fully insulated. Exterior pipe insulation shall be fully jacketed as specified in Division 23 Section, “HVAC Insulation”. Exposed interior pipe insulation shall be fully jacketed as specified in Division 23 Section, “HVAC Insulation”.

DD. Follow ASHRAE 15, latest edition procedures for charging and purging of systems and for disposal of refrigerant.

EE. Provide replaceable cartridge filter-driers, with isolation valves and valved bypass.

FF. Locate expansion valve sensing bulb immediately downstream of evaporator on suction line.

GG. Provide external equalizer piping on expansion valves with refrigerant distributor connected to evaporator.

HH. Fully charge completed system with refrigerant after tested.

II. Provide electrical connection to solenoid valves.

JJ. Install liquid indicators in liquid line leaving condenser, in liquid line leaving and on leaving side of liquid solenoid valves.

KK. Install moisture-liquid indicators in liquid lines between filter-dryers and thermostatic expansion valves and in liquid line to receiver.

LL. Test and inspect refrigerant piping according to ASME B31.5, Chapter VI.

1. Test refrigerant piping and specialties. Isolate compressor, condenser, evaporator, and safety devices from test pressure.
2. Test high- and low-pressure side piping of each system at not less than the lower of the design pressure or the setting of pressure relief device protecting high and low side of system.

    a). System shall maintain test pressure at the manifold gage throughout duration of test.
    b). Test joints and fittings by brushing a small amount of soap and glycerin solution over joint.
    c). Fill system with nitrogen to raise a test pressure of 150 psig (1035 kPa) or higher as required by authorities having jurisdiction.
    d). Remake leaking joints using new materials, and retest until satisfactory results are achieved.

MM. Adjust thermostatic expansion valve to obtain proper evaporator superheat requirements.

NN. Adjust high- and low-pressure switch settings to avoid short cycling in response to fluctuating suction pressure.

OO. Adjust set-point temperature of the conditioned air or chilled-water controllers to the system design temperature.

PP. Perform the following adjustments before operating the refrigeration system, according to manufacturer's written instructions:

1. Open shutoff valves in condenser water circuit.

2. Check compressor oil level above center of sight glass.

3. Open compressor suction and discharge valves.

4. Open refrigerant valves, except bypass valves that are used for other purposes.

5. Check compressor-motor alignment, and lubricate motors and bearings.

QQ. Before installing copper tubing other than Type ACR, clean tubing and fittings with trichloroethylene.

RR. Replace core of filter-dryer after system has been adjusted and design flow rates and

pressures are established.

SS. Charge system using the following procedures:

1. Install core in filter-dryer after leak test but before evacuation.

2. Evacuate entire refrigerant system with a vacuum pump to a vacuum of 500 micrometers (67 Pa). If vacuum holds for 12 hours, system is ready for charging.

3. Break vacuum with refrigerant gas, allowing pressure to build up to 2 psig (14 kPa).

4. Charge system with a new filter-dryer core in charging line. Provide full-operating charge.

3.4. PIPE JOINTS INSTALLATION REQUIREMENTS

A. Screwed Joints: All screwed joints shall be made with tapered threads properly cut. Screwed joints shall be made perfectly tight with a stiff mixture of graphite and oil, applied with a brush to the male threads on the fittings.

B. Soldered Joints and Copper Piping: Joints in copper piping shall conform to the following minimum standards.

1. The pipes shall be cut to a length making certain that the ends are square, using a fins hacksaw blade or tube cutter. The ends of all pipes shall be reamed and all burrs removed.

2. The outside end of the pipe and the cut end of the fitting shall be cleaned with steel wool, sand cloth, or steel wire brush. All dark spots shall be removed.

3. The flux shall be applied evenly and sparingly to the outside end of the pipe and the inside of the outer end of the fitting until all surfaces to be jointed are completely covered. The piping and fitting shall be slipped together and reworked several times to insure an even distribution of the flux.

4. The correct amount of solder per joint for each size pipe shall be used in accordance with the manufacturer's recommendations.

5. Solder joints shall be made by using a direct flame from a torch.

6. On pipe sizes larger than ¼-inch, the fittings and valves in the pipe shall be moved or tapped with a hammer when the solder starts to melt to insure an even distribution of the solder.

7. The excess solder shall be removed while it is still in the plastic state leaving a fillet around the cup of the fitting.

8. Solder joints shall be suitable for working pressure of 100 psig and for working temperature of not less than 250 degrees F. The type of solder and flux used will be submitted for approval. Type 95-5 shall be the minimum standard.

9. Lead and antimony-based solders shall not be used for potable water systems. Brazing and silver solders are acceptable.

C. Where copper piping joins steel piping, approved bronze adapters shall be used.

D. Prohibited Connections: No direct weld, soldered, or brazed connections, without unions or flanges, shall be made to valves, strainers, apparatus, or related equipment. Right and left couplings, long threads, or caulking of pipe threads or gasket joints will not be permitted.

3.5. HANGERS INSTALLATION REQUIREMENTS

A. General: All hangers shall be of an approved type arranged to maintain the required grading and pitching of lines to prevent vibration and to provide for expansion and contraction. Provide protection saddles between hangers and insulation on heating water insulated pipe. Saddles shall be Grinnells Figure 173/273 or approved equal. Provide approved spacers between saddles and pipe where flexible insulation is specified. Provide insulation protection shields for insulated piping without saddles. Shield shall be Grinnell Figure 167 or as approved equal.

B. Spacing: Regardless of spacing, hangers shall be provided at or near all changes in direction, both vertical and horizontal, for all piping.

C. Vertical Lines: Shall be supported at their bases, using either a suitable hanger placed in a horizontal line near the riser, or a base type fitting set on a pedestal, foundation or support. All vertical lines extending through more than one floor level shall be supported at each floor with a riser clamp. Riser clamp shall be Grinnell Co.'s Figure 261, or approved equal.

D. Racks and Brackets: All horizontal piping on vertical walls shall be properly supported by suitable racks securely anchored into the wall construction. Where not practical to obtain ceiling anchorage, all piping near walls shall be supported by approved brackets securely anchored into the wall construction. Washer plates (Fib. 60, 60L) and other miscellaneous attachments, fasteners, etc., shall be Grinnell or as approved equal. All exterior hanger and bracket systems in their entirety shall be stainless steel.

E. Pipe Hangers and supports shall be attached to the panel point at the top chord of bar joist or at a location approved by the structural engineer.

F. Select hangers and components for loads imposed. Secure rods with double nuts.

G. Support of horizontal piping shall allow for vertical adjustment after installation of piping.

H. Support overhead piping with clevis hangers.

I. Do not support all parallel piping from the same joist. Stagger all supports in accordance with the engineer's recommendations.

J. Install anchors at locations to prevent stresses from exceeding those permitted by ASME B31.9 and to prevent transfer of loading and stresses to connected equipment.

K. Fabricate and install steel anchors by welding steel shapes, plates, and bars to piping and to structure. Comply with ASME B31.9 and AWS D1.1.

3.6. PIPING IDENTIFICATION INSTALLATION REQUIREMENTS

A. All piping shall be identified with painted background marked with the name of the service with arrows to indicate flow direction. Color code and system identification shall comply with ANSI Standards and piping identification system shall comply with ASME A13.1-81., scheme for the identification of piping systems and ASHRAE Fundamentals Handbook, latest edition.

B. Markings shall be plain block letters, stenciled on pipes, and shall be located near each branch connection, near each valve, and at least every 10 feet on straight runs of pipe. Where pipes are adjacent to each other, markings shall be neatly lined up. All markings shall be located in such manner as to be easily legible from the floor. Pipe identification schedule shall be as follows:

OUTSIDE DIAMETER OF PIPE OR COVERING (INCHES)	LENGTH OF COLOR FIELD (INCHES)	SIZE OF LETTERS (INCHES)
½ to 1 ¼	8	½
1-½ to 2	8	¾
2 ½ to 6	12	1 ¼
8 to 10	24	2 ½
Over 10	32	3 ½

3.7. VALVE IDENTIFICATION REQUIREMENTS

A. <u>All</u> valves shall be tagged with a numbered tag.

B. The tags shall be made of 1-inch diameter brass tags fastened to the valve by means of brass chains. Numbers shall agree with valve numbers on diagrammatic herein before specified.

C. Provide a minimum of six (6) valve charts with valve numbers indicating valve type, size, manufacturer and service.

D. Additional valve charts shall be mounted behind glazed wooden frames and be hung in each mechanical equipment room including each air handling unit mechanical equipment room. Additional copies shall be provided in each copy of the O&M manuals.

END OF SECTION

DIVISION 23 SECTION 230548
VIBRATION CONTROLS FOR HVAC, PLUMBING AND FIRE PROTECTION EQUIPMENT

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SECTION 230548 - VIBRATION CONTROLS FOR HVAC, PLUMBING AND FIRE PROTECTION EQUIPMENT

PART 1 RELATED DOCUMENTS

1.1 GENERAL

A. Drawings and General Provisions of Contract, including General and Supplementary Conditions and Division 0I Specification Sections apply to work of this section.

B. All work under this section shall also be subject to the requirements of Division 23 Section, *Common Work Results for HVAC*.

1.2 SUMMARY

A. Provide all labor and materials necessary to furnish and install vibration control systems on this project as herein specified and/or shown on the drawings.

B. Mount all mechanical equipment on suitable vibration isolators so as to prevent transmission of vibration into or through the building structure. Isolators shall be as manufactured by Mason Industries, Inc., Korfund, Inc., Amber Booth, Vibration Mounting and Controls, or approved equal, and shall be selected by the isolator manufacturer for each item of equipment in accordance with requirements hereinafter specified.

C. The equipment manufacturer shall supply all pump and motor bases, fan and motor bases, cradles, isolation pipe/duct hangers, spring and/or neoprene isolators, neoprene pads, flexible connectors, etc. as a coordinated package by a single manufacturer.

D. Select isolators for uniform static deflections according to distribution of weight; and for not less than the indicated isolation efficiency with the lowest rotational speed of equipment as the disturbing frequency.

E. Isolators and bases shall be stable during stopping and starting of equipment without transverse or eccentric movement of equipment, and shall be designed to resist horizontal forces of equipment which may operate unbalanced.

F. In general, select isolators on the basis of criteria as specified in the ASHRAE Applications Handbook, Latest Edition.

1.3 SUBMITTALS

A. Shop Drawings: Indicate inertia bases and locate vibration isolators, with static and dynamic load on each.

B. Product Data: Provide schedule of vibration isolator type with location and load on each.

C. Manufacturer’s Installation Instructions: Indicate special procedures and setting dimensions.

D. Manufacturer’s Certificate: Certify that isolators are properly installed and adjusted to meet or exceed specified requirements.

1.4 PROJECT RECORD DOCUMENTS

A. Record actual locations of hangers including attachment points.

1.5 ALTERNATES

A. Refer to Division 01 Section, *Alternates* - Alternates for description of work under this section affected by alternates.

PART 2 PRODUCTS

2.1 MANUFACTURER

A. Isolators shall be the equivalent of the following types by Mason Industries, Inc., Korfund, Inc. or approved equal.

2.2 CORROSION PROTECTION FOR STEEL PARTS

A. Where steel parts are exposed to weather or humid environments provide hot-dipped galvanized coating of at least 2 ounces of zinc per square foot of surface. Coat springs with neoprene.

2.3 SPRING MOUNTS AND SOUND PADS

A. All sound pads shall be size for minimum deflection of .05 inch; meet requirements for neoprene pad isolators.

2.4 NEOPRENE

A. Grade durometer 40, 50 OR 60 AND OIL RESISTANT.

2.5 NEOPRENE PAD ISOLATORS

A. Rubber or neoprene waffle pads.

1. 30 durometer
2. Minimum 2 inch (13mm) thick
3. Maximum loading 40 psi (275 kPa)
4. Height of ribs shall not exceed 0.7 times width.

B. Configuration: ½ inch (13mm) thick waffle pads bonded each side of ¼ inch (6 mm) thick steel plate.

PART 3 EXECUTION

3.1 GENERAL PROVISIONS

A. Install vibration-and-noise isolation materials and equipment as indicated and in accordance with machinery manufacturer's instructions.

B. Where neoprene elements of vibration isolator may be subjected to high pipe temperatures above 160ºF, provide metal heat shields or thermal isolators.

C. All vibration isolators exposed to weather or humid environment shall be hot dipped galvanized with springs coated with neoprene in accordance with paragraph hereinbefore described.

D. Anchor Bolts and Grout: Secure machinery to foundations and inertia bases with anchor bolts. Grout equipment with baseplates, the full area under baseplates with premixed non-shrinking grout. After grout has set, remove wedges, shims, and jack bolts and fill spaces with grout.

E. Common Machinery Foundations: Mount electrical motors on the same foundations as driven machinery. Support piping connections, strainers, valves, and risers on the same foundation as the pumps.

F. Machinery: Provide vibration isolators, flexible connectors and seismic snubbers in accordance with manufacturer's recommendations. Machinery with spring isolators or protected spring isolators shall rock or move freely within limits of stops or seismic snubber restraints.

G. Stability: Isolators shall be stable during starting and stopping of machinery without traverse and eccentric movement of machinery that would damage or adversely affect the machinery or attachments.

H. Lateral Motion: The installed vibration isolation systems for each piece of floor or ceiling mounted machinery shall have a maximum lateral motion under machinery start up and shut down conditions of not more than ¼ -inch. Restrain motions in excess by approved spring mountings.

I. Unbalanced Machinery: Provide foundation suspension systems specifically designed to resist horizontal forces for machinery with large unbalanced horizontal forces. Vibration isolator systems shall conform to the machinery manufacturer's recommendations.

J. Nonrotating Machinery: Mount nonrotating machinery in systems which includes rotating or vibrating machinery on isolators having the same deflection as the hangers and supports for the pipe connected to.

K. Vibration isolation ceiling hangers shall be installed so that the hanger rods do not touch the sides of the isolator housing, thereby seriously degrading the vibration isolation performance. Vibration isolation ceiling hangers shall be located so that the hanger housing may rotate 360º without touching any object.

L. Electrical Connections: Provide flexible conduit or multiple conductor cable connections for machinery with sufficient extra length to permit 2 inch minimum displacement in any direction without damage.

M. Systems Not To Be Vibration Isolated: Do not provide vibration isolation for electrical raceways and conduits or for fire protection, storm, sanitary, and domestic water piping systems which do not include pumps or other vibrating, rotating, or pulsating equipment including control and pressure reducing valves.

N. Install in accordance with manufacturer’s instructions.

O. Install isolation for motor driven equipment.

P. Bases:

1. Set steel bases for one inch (25mm) clearance between housekeeping pad and base.
2. Set concrete inertia bases for 2 inch (50mm) clearance between housekeeping pad and base.
3. Adjust equipment level.

Q. Install spring hangers without binding.

R. On closed spring isolators, adjust so side stabilizers are clear under normal operating conditions.

S. Prior to making piping connections to equipment with operating weights substantially different from installed weights, block up equipment with temporary shims to final height. When full load is applied, adjust isolators to load to allow shim removal.

T. Connect wiring to isolated equipment with flexible hanging loop.

3.2 ISOLATION FOR SPECIFIC EQUIPMENT

A. The vibration isolator manufacture shall provide isolators for all pieces of equipment provided for the job. Isolator shall be selected by the isolator manufacturer on the basis of criteria as specified in the ASHRAE Applications Handbook, latest edition, unless a more stringent requirement is indicated on the drawings.

END OF SECTION

DIVISION 23 SECTION 230593
TESTING, ADJUSTING, AND BALANCING FOR HVAC AND PLUMBING
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SECTION 230593 - TESTING, ADJUSTING, AND BALANCING FOR HVAC AND PLUMBING

PART 1. GENERAL

1.1. GENERAL

A. This section covers performance testing, adjusting and balancing of heating, ventilating, air conditioning and domestic re-circulating systems as specified in Division 23 Section, *Heating, Ventilating, and Air Conditioning Equipment* and in Division 22 Section, *Plumbing Fixtures and Plumbing Equipment.*

B. For *Common Work Results of HVAC,* See Division 23. See Division 01 for *General Requirements*.

C. The mechanical contractor shall select and employ an impartial, independent balancing agency to provide testing and balancing services for the heating, ventilating and air conditioning (HVAC) systems and other specified systems of this project.

D. The work included in this section consists of furnishing labor, instruments, and tools required in testing, adjusting and balancing the HVAC and plumbing systems, as described in these specifications or shown on accompanying drawings. Services shall include checking equipment performance, taking the specified measurements, and recording and reporting the results.

E. The items requiring testing, adjusting, and balancing include, but are not limited to, the following:

<u>Air Systems</u>:
Air Flow Monitoring Stations
Coils (Air Temperatures & Static Pressure Drops)
Diffusers, Registers and Grilles
Ductless Split System Units (Indoors and Outdoor units)
Existing Exhaust Air Fan #1
Gas Furnaces
Hot Gas Re-heat Coils
Single Zone VAV Units
Ventilation Fans
Zone Branch and Main Ducts

1.2. EXAMINATION

A. Verify that systems are complete and operable before commencing work. Ensure the following conditions:

1. Systems are started and operating in a safe and normal condition.

2. Temperature control systems are installed complete and operable.

3. Proper thermal overload protection is in place for electrical equipment.

4. Final filters are clean and in place. If required, install temporary media in

addition to final filters.

5. Duct systems are clean of debris.

6. Fans are rotating correctly.

7. Volume dampers are in place and open.

8. Air coil fins are cleaned and combed.

9. Access doors are closed and duct end caps are in place.

10. Air outlets are installed and connected.

11. Duct system leakage is minimized.

B. Submit field reports. Report defects and deficiencies noted during performance of services which prevent system balance.

C. Beginning of work means acceptance of exiting conditions.

1.3. QUALIFICATIONS OF THE BALANCE AGENCY

A. The balancing agency shall be a member of the Associated Air Balance Council (AABC).

B. The certified test and balance engineer shall be responsible for supervision and certification for the total work herein specified.

C. All final reports shall be signed by the certified test and balance engineer.

1.4. PRE-BALANCING CONFERENCE

A. Convene a conference one week prior to commencing work of this Section with all appropriate individuals.

1.5. STANDARDS

A. The balancing agency shall perform the services specified herein in accordance with the Associated Air Balance Council's National Standards, including revisions, to the date of the contract.

B. All terms in this specification shall have their meaning defined as stated in the National Standards.

C. ADC: Test Code for grilles, registers, and diffusers.

D. ASHRAE III: Practice for measurement, testing, adjusting and balancing of building heating, ventilation, air conditioning, and refrigeration systems.

E. NEBB: Procedure standards for testing, adjusting, and balancing of environmental systems.

F. SMACNA: HVAC systems testing, adjusting, and balancing.

G. AABC: Associated Air Balance Council

1.6. COORDINATION

A. It will be necessary for the balancing agency to perform its services in close coordination with the mechanical contractor.

B. The plans and specifications have indicated meters, valves, dampers, and other devices for the purpose of adjusting the system to obtain optimum operating conditions. It will be the responsibility of the mechanical contractor to install these devices in a manner that will leave them accessible and readily adjustable. The balancing agency shall provide guidance if there is a questionable arrangement of a control or balancing device.

C. The general contractor, mechanical contractor, temperature control contractor and suppliers of the HVAC equipment shall all cooperate with the balancing agency to provide all necessary data on the design and proper application of the system components.

1.7. INSTALLATION TOLERANCE

A. Unless otherwise indicated, all air devices shall be adjusted to within plus or minus 10 percent of design. All fans shall be adjusted to within plus or minus 5 percent of design.

1.8. RESPONSIBILITIES OF THE MECHANICAL CONTRACTOR

A. The mechanical contractor shall sufficiently complete the installation and start all HVAC systems to insure they are working properly and shall perform all other items as described hereinafter to assist the balancing agency in performing the testing and balancing of the HVAC system.

B. Record equipment manufacturer's standard start-up information and submit to Engineer for review. Testing and balancing work shall not commence on any equipment until start-up reports have been completed, reviewed by Engineer, and forwarded to Testing and Balancing Agency.

C. Air Distribution Systems

1. Verify installation for conformity to design.

2. Ensure that all volume dampers are properly located and functional. Dampers serving requirements of minimum and maximum outside - return - relief, and exhaust air shall provide tight closure and full opening, with a smooth and free operation.

3. Verify that all supply - return - exhaust and transfer grilles; registers, and diffusers are installed and operational.

4. Ensure that air-handling systems, units, and associated apparatus, such as heating

and cooling coils, filter sections, access doors, etc., are blanked and/or sealed to eliminate excessive bypass or leakage of air.

5. Ensure that all fans are operating and free of vibration. All fans and drives shall be checked for proper fan rotation and belt tension. Overload protection shall be of proper size and rating. A record of motor current and voltage shall be made to verify that the motors do not exceed nameplate rating. Record thermal overload ratings for all motors in the Test and Balance Report.

6. Make any necessary changes to the sheaves, belts, and dampers, as required by the balancing agency, at no additional cost to the owner.

7. Install clean filters.

1.9. RESPONSIBILITIES OF THE TEMPERATURE CONTROL CONTRACTOR

A. The temperature control contractor shall complete the installation of the temperature control system, and operate and test all control systems to ensure they are functioning properly as designed. The temperature control contractor shall assist the balancing agency in testing and balancing the HVAC systems, as described hereinafter.

1. Verify that all control components are installed in accordance with project requirements and are functional, including all electrical interlocks, damper sequences, air and water reset, freeze stats and duct smoke detectors.

2. Verify that all controlling instruments are calibrated and set for design operating conditions.

3. Calibrate temperature sensors after installation, and before the temperature sensors control verification tests are performed. The balancing agency shall prove the accuracy of final settings by taking temperature readings. The readings shall be in a typical conditional space for each separately controlled zone.

4. The temperature control contractor shall allow sufficient time in the project to provide assistance and instruction to the balancing agency in the proper use and setting of control components such as, but not limited to, computers, static pressure controllers, or any other device that may need set points changed so that the testing and balancing work can be performed.

B. All control sequences, software, equipment, and components shall be started-up by a qualified technician. Start-up report shall be submitted to Engineer prior to the commencement of testing and balancing work. Testing and balancing shall not commence until start-up reports are completed, reviewed by Engineer and forwarded to Testing and Balancing Agency.

1.10. NOTIFICATION FOR TESTING AND BALANCING WORK TO BEGIN

A. The mechanical contractor shall notify the balancing agency in writing when all heating, ventilating, and air conditioning systems are complete and ready for testing and balancing. The mechanical contractor shall attest that he has completed all items as herein described.

B. The following must be completed prior to start of system balancing:

1. All duct work and associated grilles/registers/diffusers installed and completed.

2. Equipment properly started by qualified personnel or start-up technicians.

3. Ceiling tiles installed.

4. Automation system (temperature controls) installed and completed for both air and water systems.

5. All equipment controlled in automatic ("Auto") mode.

6. Access granted to the balancing contractor to the automation/controls system provided.

1.11. DEFICIENCIES

A. Any deficiencies in the installation or performance of a system or component observed by the TAB agency shall be brought to the attention of the appropriate responsible person.

B. The work necessary to correct items on the deficiency listing shall be performed and verified by the affected Contractor before the TAB Agency returns to retest. Unresolved deficiencies shall be noted in the final report.

1.12. ADJUSTING

A. Ensure recorded data represents actual measured observed conditions.

B. Permanently mark settings of valves, dampers, and other adjustment devices allowing settings to be restored. Set and lock memory stops.

C. After adjustment, take measurements to verify balance has not been disrupted or that such disruption has been rectified.

D. Leave systems in proper working order, replacing belt guards, closing access doors, closing doors to electrical switch boxes, and restoring all sensors to specified settings.

E. At final inspection, recheck random selections of data recorded in report. Recheck points or areas as selected and witnessed by the Owner.

F. Check and adjust systems approximately six months after final acceptance and submit report.

G. Permanently mark the locations of all duct traverses on the exterior surface of the duct insulation.

1.13. ALTERNATES

A. Refer to Division 01 Section, *Alternates* for description of work under this section affected by alternates.

1.14. GENERAL COMMISSIONING REQUIREMENTS

A. Refer to Division 01 Section, "General Commissioning" for description of work under this Division affected by General Commissioning.

PART 2. PRODUCTS (NOT APPLICABLE)

PART 3. EXECUTION

3.1. GENERAL

A. Perform all testing and balancing in complete accordance with AABC National Standards for Field Measurements and Instrumentation.

B. Furnish all test instruments and equipment. All instruments must have been calibrated within twelve (12) months prior to use and shall be checked for accuracy prior to and during the work. Submit certificate for calibration of all equipment utilized on project with date of calibration clearly identified.

C. Review all systems designs and equipment, manufacturers' data, and be completely familiar with the work before proceeding.

D. Report all malfunctions or deficiencies to the contractor so that corrective action can be taken. Test and Balance Report shall not be submitted for review until all malfunctions or deficiencies are corrected. Repeat tests where required until design conditions are achieved.

E. Where systems or equipment cannot be balanced or adjusted to design conditions, determine the cause and submit a complete report to the Engineer.

F. Retest or rebalance the system as required during the warranty period.

G. Test and balance all systems under adequate load condition. If, in the opinion of the Engineer, there is insufficient load to properly test and balance the systems, perform sufficient preliminary balancing and adjustment to permit operation of the systems until such time as final testing and balancing can be done. Provide in writing the future date when systems shall be tested under sufficient load.

H. At project completion provide a complete set of ½ scale drawings indicating the locations of all duct traverses.

3.2. EXAMINATION

A. Examine the Contract Documents to become familiar with Project requirements and to discover conditions in systems' designs that may preclude proper TAB of systems and equipment.

B. Examine systems for installed balancing devices, such as test ports, and manual volume

dampers. Verify that locations of these balancing devices are accessible.

C. Examine the approved submittals for HVAC systems and equipment.

D. Examine design data including HVAC system descriptions, statements of design assumptions for environmental conditions and systems' output, and statements of philosophies and assumptions about HVAC system and equipment controls.

E. Examine equipment performance data including fan curves.

1. Relate performance data to Project conditions and requirements, including system effects that can create undesired or unpredicted conditions that cause reduced capacities in all or part of a system.
2. Calculate system-effect factors to reduce performance ratings of HVAC equipment when installed under conditions different from the conditions used to rate equipment performance. To calculate system effects for air systems, use tables and charts found in AMCA 201, "Fans and Systems," or in SMACNA's "HVAC Systems – Duct Design". Compare results with the design data and installed conditions.

F. Examine system and equipment installations and verify that field quality-control testing, cleaning and adjusting specified in individual Sections have been performed.

G. Examine test reports specified in individual system and equipment Sections.

H. Examine HVAC equipment and filters and verify that bearings are greased, belts are aligned and tight, and equipment with functioning controls is ready for operation.

I. Examine heat-transfer coils for correct piping connections and for clean and straight fins.

J. Examine operating safety interlocks and controls on HVAC equipment.

K. Report deficiencies discovered before and during performance of TAB procedures. Observe and record system reactions to changes in conditions. Record default set points if different from indicated values.

3.3. AIR SYSTEM PROCEDURES

A. The balancing agency shall perform the following testing and balancing functions in accordance with the Associated Air Balance Council's National Standards:

1. Fan Speeds - Test and adjust fan RPM to achieve design CFM requirements.

2. Current and Voltage - Measure and record motor current and voltage. Check and record thermal overload ratings for all motors.

3. Pitot-Tube Traverse - Perform a Pitot-tube traverse of main supply, return and exhaust ducts to obtain total CFM. If a Pitot-tube traverse is not practical, the summation of the outlets or inlets may be used. An explanation why a traverse was not made must appear on the appropriate data sheet.

4. Outside Air - Test and adjust system minimum outside air by Pitot-tube traverse. If a Pitot-tube traverse is not practical, the percentage of outside air may be determined by calculations from the return air, outside air, and mixed air temperatures. Make allowances for heat of compression and motor heat where applicable.

5. Static Pressure - Test and record system static pressures, including suction and discharge static pressure of each fan. Measure static air pressure conditions on air supply units, including filter and coil pressure drops, and total pressure across the fan. Make fan RPM allowances for 50 percent loading of filters.

6. Air Temperature - Take wet-bulb and dry-bulb air temperatures on the entering and leaving side of each cooling coil and/or heat recovery coil. Dry-bulb temperature shall be taken on the entering and leaving side of each heating coil.

7. Zone Ducts - Adjust zone ducts to within design CFM requirements. At least one zone balancing damper shall be completely open.

8. Main Ducts - Adjust main ducts to within design CFM requirements and traverse for total CFM quantities.

9. Branch Ducts - Adjust branch ducts to within design CFM requirements. Multi-diffuser branch ducts shall have at least one outlet or inlet volume damper completely open.

10. Tolerances - Test and balance each diffuser, grille, and register to within 10 percent of design requirements. Test and balance all fans to within 5 percent of design requirements.

11. Identification - Identify the location and area of each grille, diffuser, and register. This information shall be recorded on air outlet data sheets.

12. Description - Record the size, type, and manufacturer of each diffuser, grille, and register on air outlet data sheets.

13. Minimizing Drafts - Adjust all diffusers, grilles, and registers to minimize drafts in all areas.

14. Where modulating dampers are provided, take measurements and balance at extreme conditions. Balance variable air volume systems at maximum air flow rate, full cooling, and at minimum airflow rate, full heating.

15. Measure temperature conditions across outside air, return air, and exhaust dampers to check leakage.

16. For all equipment specified with condensate overflow safety switches/floats test operation of such device and record results. Verify interlock with ATC system.

17. Outside air and exhaust/relief air measurements must be measured and submitted in all modes of operation including the following:

a). Min Min Outside Air/Exhaust/Relief air mode (square footage outside air).
b). Min Max Outside Air/Exhaust/Relief air mode (square footage and people outside air).
c). Maximum outside air/exhaust/relief mode (full 100% economizer mode).

18. For all outside air modes of operation record and submit full static pressure profiles, amperage, BHP, air flow rates, external static pressure, and internal static pressure. Verify airflow rates with air flow monitoring stations and record results.

3.4. TESTING AND BALANCING OF EXISTING SYSTEMS

A. The balancing agency shall perform testing and balancing of existing air handling, fan and pump systems to the extent indicated. Existing air devices and terminals shall be re-tested and balanced where effected by new ductwork modifications.

B. Test and Balance Agency shall assist the mechanical contractor in selection of new sheaves and belts, if required. Re-sheaving of existing air handling units or fans shall be done at no additional cost to owner. Where required, new sheave and belt size calculations shall be forwarded to the Engineer for review and approval.

C. The Test and Balance Agency shall perform air system procedures (here-in before specified) on the following existing systems.

1. Existing Exhaust Air Fan #1

3.5. LIFE SAFETY CONTROLS TESTING PROCEDURES

A. The TAB agency shall test and record life safety control operation on the HVAC equipment. It shall verify the installation of required smoke detectors in air handling equipment (AHE), and shall verify operation of the smoke detector by activating the smoke detector and observing air handler shutdown. With the controls and alarm contractors, the TAB agency shall verify the operation of interconnected systems such as the AHU smoke detector's activation of the fire alarm system and the alarm system's activation of the life safety control sequences. Record results of tests within TAB report.

3.6. VERIFICATION OF TEMPERATURE CONTROL

A. The balancing agency shall be assisted by the temperature control contractor in verifying the operation and calibration of all temperature control systems. The following tests shall be conducted:

1. Verify that all control components are installed in accordance with project requirements and are functional, including all electrical interlocks, damper sequences, air and water reset.

2. Verify that all controlling instruments are calibrated and set for design operating conditions.

3. Verify the accuracy of the final settings by taking temperature readings. The

readings shall be in a typical conditioned space for each separately controlled zone.

4. Test and calibrate all air flow monitoring stations for proper air flow.

5. Test and calibrate all differential pressure sensors. Record set point in Record and Information Books.

3.7. TEST AND BALANCE REPORTS

A. The test and balance report shall be complete with logs, data, and records as required herein. All logs, data, and records shall be typed on white bond paper and bound. The report shall be certified accurate and complete by the balancing agency's certified test and balance engineer.

B. Six (6) copies of the test and balance report are required and shall be submitted to the Engineer. If, in the opinion of the Engineer, test results or portions thereof are incomplete or inconclusive, repeat necessary portions of the work to the satisfaction of the Engineer.

C. The report shall contain the following general data in a format selected by the balancing agency:

1. Project Number

2. Contract Number

3. Project Title

4. Project Location

5. Project Mechanical Engineer

6. Test & Balance Agency

7. Test & Balance Engineer

8. General Contractor

9. Mechanical Subcontractor

10. Dates tests were performed

11. Certification

12. Phone Numbers of all Individuals Listed Above

D. The test and balance report shall be recorded on report forms conforming to the recommended forms in the AABC National Standards.

3.8. TEST REPORT FORMS

A. Air Moving Equipment and Fan Test Forms - Submit fan curve showing design and operating points of operation. Also, record the following on each air-handling equipment test form:

1. Manufacturer, model number, serial number, arrangement.

2. All design and manufacturer-rated data.

3. Total actual CFM by traverse if practical. If not practical, the sum of the outlets may be used, or a combination of each of these procedures. For specific systems, such as ones with diversity, see the AABC National Standards.

4. Suction and discharge static pressure of each fan, as applicable. Include pressure drops across coils, filters, mixing boxes, and similar devices.

5. Outside-air, return-air, and exhaust air total CFM.

6. Actual operating current, voltage and brake horsepower of each fan motor. For packaged equipment, this includes supply fans, relief air fans, and condenser fans.

7. Final RPM of each fan.

8. Fan and motor sheave manufacturer, model, size, number of grooves, bore, and center distance.

9. Belt size, quantity and make.

10. Static-pressure controls final operating set points (if applicable).

11. Total and external static pressure.

12. Room differential static pressures.

B. Heating and Cooling-Coil Test Forms - Record the following items on each test form:

1. Manufacturer, location, service.

2. All design and manufacturer's rated data.

3. Rated and actual static pressure drop across each coil.

4. Wet-bulb and dry-bulb temperatures entering and leaving each cooling coil; dry-bulb temperatures entering and leaving each heating coil.

5. Air flow (Design and Actual).

6. For DX-coil, provide design and actual saturated suction temperature.

7. For DX-Coil, provide design and actual discharge pressures.

C. Air Monitoring Station Test Forms:

1. Identification /location.
2. Manufacturer.
3. Systems.
4. Size and Model Number.
5. Area.
6. Design Velocity.
7. Design Airflow.
8. Test Velocity.
9. Test Airflow.
10. Static Pressure Drop and Velocity Pressure.
11. Station Calibrated Setting.

D. Electric Motors Test Forms: (Applies to all motors, including pumps, fans and HVAC equipment)

1. Manufacturer.
2. Model/Frame.
3. HP/BHP.
4. Phase, voltage, amperage; nameplate, actual, no load.
5. RPM.
6. Service factor.
7. Starter size, rating, heater elements.
8. Sheave Make/Size/Bore.
9. Thermal overload settings

E. V-Belt Drive Test Forms:

1. Identification/location.
2. Required driven RPM.

3. Driven sheave, diameter and RPM.
4. Belt, size and quantity.
5. Motor sheave diameter and RPM.
6. Center to center distance, maximum, minimum, and actual.

F. Duct Traverse Test Forms:

1. System zone/branch.
2. Duct size.
3. Area.
4. Design velocity.
5. Design air flow.
6. Test velocity.
7. Test airflow.
8. Duct static pressure.
9. Air temperature.
10. Air correction factor.

G. Air Distribution Test Sheet:

1. Air terminal number.
2. Room number/location.
3. Area factor.
4. Design velocity.
5. Design air flow.
6. Test (final) velocity.
7. Test (final) air flow.
8. Percent of design air flow.

H. Gas Furnace Test Forms:

1. Manufacturer.
2. Identification/number.
3. Location.
4. Model number.
5. Phase, voltage, amperage.
6. Test voltage
7. Test amperage
8. Air flow, specified and actual.
9. Temperature rise, specified and actual.
10. Pressure drop, specified and actual.
11. Delivered Gas Pressure, Specified and Actual.

I. Ductless Unit Test Forms:

1. Manufacturer
2. Type, air conditioning, heat pump
3. Identification number
4. Location
5. All design and manufacturer's rated data.
6. Rated and actual entering and leaving dry bulb temperatures.
7. Rated and actual entering and leaving wet bulb temperatures.
8. Air flow (design and actual)
9. Provide actual saturated suction temperature.
10. Actual operating current, voltage and brake horsepower of each fan motor.
11. Final fan RPM.

J. Condensate Over Flow Switches/Floats

1. Manufacturer
2. Type

3. Location

4. Equipment shut down verification

5. ATC interlock verification

K. Single Zone VAV Unit Test Forms: Submit fan curve showing design and operating points of operation. Also, record the following on each air-handling equipment test form:

1. Manufacturer, model number, serial number, arrangement.

2. All design and manufacturer-rated data.

3. Total actual CFM by traverse if practical. If not practical, the sum of the outlets may be used, or a combination of each of these procedures. For specific systems, such as ones with diversity, see the AABC National Standards.

4. Suction and discharge static pressure of each fan, as applicable. Include pressure drops across coils, filters, energy wheels, and similar devices.

5. Outside-air, and exhaust air total CFM.

6. Actual operating current, voltage and brake horsepower of each fan motor.

7. Final RPM of each fan.

8. Fan and motor sheave manufacturer, model, size, number of grooves, bore, and center distance.

9. Belt size, quantity and make.

10. Total and external static pressure.

11. Rated and actual static pressure drop across each energy wheel.

12. Wet-bulb and dry-bulb temperatures entering and leaving each cooling coil, heat pipe and energy wheel. Dry-bulb temperatures entering and leaving each heating coil.

13. For DX-coil, provide design and actual saturated suction temperature.

14. Record carbon dioxide set points and actual readings for exhaust air stream at each single zone VAV unit and global CO_2 sensor.

15. Entering and leaving air temperatures at hot gas re-heat coils.

16. Record the supply fan and exhaust fan maximum hertz/speed, and minimum hertz/speed. Provide measurements to ATC subcontractor for fan tracking control.

17. Test minimum air flow rate, maximum air flow rate and economizer air flow rate.

END OF SECTION

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HEATING, VENTILATING AND AIR CONDITIONING EQUIPMENT
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SECTION 230600 - HEATING, VENTILATING, AND AIR CONDITIONING EQUIPMENT

PART 1 GENERAL

1.1 GENERAL

A. The Conditions of the Contract and other General Requirements apply to the work specified in this section. All work under this section shall also be subject to the requirements of Division 23 Section, *Common Work Results for HVAC* and Division 01 Section *General Requirements.*

1.2 DESCRIPTION

A. The work to be performed shall include all labor, materials and equipment necessary to furnish and install complete, all mechanical equipment as shown on drawings, hereinafter specified or reasonably implied, and leaving the same in satisfactory operation condition. It is the intent that systems be installed complete with all items necessary to accomplish this purpose.

1.3 SUBMITTALS

A. Shop Drawings: Indicate assembly, equipment dimensions, weight loading, required clearances, construction details, field connection details, and electrical characteristics and connection requirements.

B. Product Data:

1. Provide literature which indicates dimensions, weights, capacities, ratings, performance, gages and finishes of materials, and electrical characteristics and connection requirements.
2. Provide data of filter media, filter performance data, filter assembly, and filters frames.
3. Provide fan curves with specified operating point clearly plotted.
4. Submit sound power level data for both fan outlet and casing radiation at rated capacity. Submit sound power levels by octave band or sound pressure levels by octave band for all equipment.
5. Submit electrical requirements for power supply wiring including wiring diagrams for interlock and control wiring, clearly indicating factory-installed and field-installed wiring.

1.4 OPERATION AND MAINTENANCE DATA

A. Maintenance Data: Include instructions for lubrication, filter replacement, motor and drive replacement, spare parts lists, and wiring diagrams.

1.5 DELIVERY, STORAGE, AND HANDLING

A. Deliver, store, protect and handle products to site under provisions of General Requirements.

B. Accept products on site in factory-fabricated protective containers, with factory-installed

shipping skids and lifting lugs. Inspect for damage.

C. Store all equipment in clean dry place and protect from weather and construction traffic. Handle carefully to avoid damage to components, enclosures, and finish.

D. Comply with manufacturer's installation instructions for rigging, unloading and transporting equipment.

E. Protect all motors, shafts, and bearings from weather and construction dust.

1.6 ENVIRONMENTAL REQUIREMENTS

A. Do not operate any equipment for any purpose, temporary or permanent, until ductwork/piping is clean, filters/strainers are in place, bearings lubricated, and equipment has been test run under observation.

1.7 ALTERNATES

A. Refer to Division 01 Section, "Alternates" for description of work under this section affected by alternates.

PART 2 PRODUCTS

2.1 DUCTLESS SPLIT SYSTEM HEAT PUMPS

A. The heat pump air conditioning system shall be a Mitsubishi Electric, Sanyo, LG, Daikin, Samsung, or approved equal split system. The system shall consist of a slim silhouette, compact ceiling recessed packaged evaporator section with matching Slim Line outdoor unit. The units shall be listed by Electrical Testing Laboratories (ETL) and bear the ETL label. All wiring to be in accordance with the National Electrical Code (N.E.C.). The units shall be rated in accordance with ARI standard 240 and bear the ARI label. A full charge of R-410A for 100 feet of refrigerant tubing shall be provided in the condensing unit. A dry nitrogen holding charge shall be provided in the condensing unit. A dry nitrogen holding charge shall be provided in the evaporator. System SEER shall meet or exceed Federal Standards latest edition

B. The units shall have a manufacturer's warranty for a period of two (2) years from date of installation. The compressor shall have a warranty of six years from date of installation. If, during this period, any part should fail to function properly due to defects in workmanship or material, it shall be replaced or repaired at the discretion of Mitsubishi Electronics America, Inc. This warranty does not include labor. Manufacturer shall have ten years experience in the U.S. market. Capacity shall be as scheduled on the contract drawings.

C. The indoor unit shall be completely factory assembled and wired. The casing shall be galvanized sheet with grey heat insulation. This unit shall fit in the ceiling and have the capability of attaching a branch supply duct as well as a fresh air duct. The evaporator fan shall be an assembly with a high performance, fan direct driven by a single motor. The fans shall be statically and dynamically balanced and run on permanently lubricated bearings. The indoor unit shall have an adjustable air outlet system offering 4-way air flow, 3-way air flow, or 2-way air flow. The auto air swing vanes shall automatically

swing up and down for uniform air distribution. Return air shall be filtered by a long-life filter to provide approximately, 2500 hours of use in a normal office environment before cleaning. The indoor unit shall be covered with a flat panel which protrudes only 1 inch below the ceiling to provide a neat and clean installation. The coils shall be of nonferrous construction with smooth plate fins bonded to copper tubing. The tubing shall have inner grooves for high efficiency heat exchange. All tubes joints shall be brazed with phoscopper or silver alloy. The coils shall be pressure tested at the factory. A condensate pan shall extend under the coil and piping. An integral drain pan pump capable of lifting condensate 12 inches shall be provided. An integral booster heater shall not be provided to supplement the unit during the heating mode. The unit variable speed compressor shall allow full heating capability and defrost without the need for a booster heater. The unit electrical power requirements shall be as scheduled on the contract drawings.

D. The control system shall consist of two (2) microprocessors interconnected by a single non-polar two wire cable as supplied. Wiring shall run from indoor unit to controller direct. NO SPLICES. When running longer lengths or more than one set of hardwired remote controller wires together, a double insulated, two wire cable equivalent to that provided e.g. Belden 9407 cable, is mandatory or use shielded two wire cable. One microprocessor shall be factory wired and located within the indoor unit. It shall have the capability of sensing return air temperature and indoor coil temperature; receive and process commands from the hardwired remote controller provide emergency operation; control the booster heater and control the outdoor unit. The microprocessor within the wall mounted hardwired remote controller shall provide automatic cooling and heating system changeover; display set point and room temperature; a 24 hour on/off timer so that automatic operation can be set on the timer at one hour intervals from one to twenty-four hours; have self-diagnostic function display; check mode for memory of most recent problem; System shall control continued operation of the air sweep louvers; and provide on-off and system/mode function switching. The heating system shall be controlled so that only warm air is discharged whenever the fan speed exceeds the very low (VLO) speed. Normal operation of the hardwired remote controller provides individual system control in which one hardwired remote controller and one indoor unit are installed in the same room. The hardwired remote controller shall have the capability of controlling up to a maximum of 50 systems at a maximum developed control cable distance of 1650 feet. The control voltage between the hardwired remote controller and the indoor unit shall be 12 volts, D.C. The control voltage between the indoor unit and the outdoor unit shall be 12 volts D.C. Both 12 VDC shall be generated from the indoor unit microprocessor board. The system shall be capable of automatic restart when power is restored after power interruption. System shall include twenty function self diagnostics including total hours of compressor run time.

E. The outdoor unit shall be completely factory assembled, piped, and wired. The casing shall be fabricated of galvanized steel, bonderized and finished with baked enamel. The unit shall be furnished with one (1) direct drive, propeller type fan arranged for horizontal discharge. The motors shall have inherent protection be of the permanently lubricated type, and resiliently mounted for quiet operation. Each fan shall be provided with a raised guard to prevent contact with moving parts. The variable speed compressor shall be of the high-performance, rotary type with crankcase heater, accumulator and internal thermal overloads. The variable speeed compressor shall be mounted so as to avoid the transmission of vibration. The refrigeration system shall be equipped with pressure switch and have the capability to operate with a maximum height difference of 130 feet

and overall refrigerant tubing length of 130 feet between indoor and outdoor sections without the need for line size changes, traps or additional oil. Refrigerant flow from the condenser to be controlled by means of a capillary tube. The condenser coil shall be of nonferrous construction with smooth plate fins bonded to copper tubing. The coil shall be protected with an integral metal guard. The unit shall be controlled by the microprocessor located in the matching indoor unit. A built-in, low-ambient controller shall allow cooling to 23 degrees F outdoor temperature. The unit electrical power requirements shall be as scheduled on the contract drawings. The outdoor unit shall be placed on vibration isolators and mounted on a concrete pad.

F. High condensate water safety shutdown: Each indoor unit's detection unit shall be interlocked to alarm and stop the outdoor unit if a high condensate water level is sensed.

2.2 EXTERIOR EQUIPMENT/DUCT SUPPORT

A. Exterior Equipment Supports shall be Pate Model ES suitable for roof construction. Equipment supports shall be constructed of 18 gauge galvanized steel, unitized construction with integral base plate, continuous welded corner seams, pressure treated wood nailer counterflasing and lag screws. Units shall be internally reinforced. Minimum height shall be 12-inches above the finished roof or as shown on the detail(s) on the drawing(s)**.**

2.3 EXTERIOR PIPE ROLLER SUPPORTS

A. Furnish and install pipe roller supports for all exterior piping as indicated on contract drawings. Pipe roller supports shall be constructed of heavy gauge galvanized steel, continuous welded corner seams, 2 x 4 treated wood nailer, heavy gauge galvanized steel counterflashing with galvanized steel channel track attached.

B. Roller assembly shall consist of hot dipped galvanized steel channel track, stainless steel fittings, washers, nuts, and hot dipped galvanized roller. Installation shall permit both vertical and horizontal adjustment. Units shall be Pate Model RAC or approved equal.

2.4 CARBON MONOXIDE DETECTORS

A. Provide and install plug-in type, U.L. listed, carbon monoxide (CO) detector at all locations indicated on the Contract Drawings.

B. The carbon monoxide detectors shall alarm whenever the CO concentration is exceeded. Carbon monoxide detectors shall be manufactured by First Alert, Night Hawk or approved equal.

C. Units shall be suitable for electrical characteristics as scheduled on the Contract Drawings.

2.5 AIR MONITORING STATIONS (DUCT MOUNTED)

A. General: Provide complete air monitoring station for single zone VAV units, as indicated on drawings. The air monitoring station shall include airflow measuring stations, static pressure probes and electronic velocity pressure transmitter. All components shall be of the same manufacturer. The manufacturer shall be Air Monitor, Gold Series Ebtron

Thermistar, or as approved equal. An air monitor station shall be provided for each duct main, as indicated on contract drawings. All air flow monitoring stations shall be fully externally insulated to prevent condensation.

B. Air Monitor Airflow Measuring Stations

1. Provide where indicated, airflow measuring stations capable of continuously monitoring the fan or duct capacities (air volumes) they serve.

2. Each airflow measuring station shall contain multiple total and static pressure sensors positioned at the center of equal area of the station cross-section and interconnected by their respective averaging manifolds. For stations of 4 square feet or less, one total and one static pressure sensor shall be present for every 16 square inches of station area respectively. For stations of larger area, one total and one static pressure sensor shall be present for every 36 square inches of station area respectively.

3. The airflow measuring station shall be fabricated of a minimum of 14 ga. galvanized steel, welded casing in 8-inch depth with 90 degree connecting flanges in a configuration and size equal to that of the duct it is to be mounted into. Each station shall be complete with an open parallel cell air straightener or air equalizer honeycomb mechanically fastened to the casing, total and static pressure sensors located on an equal area basis and connected to symmetrical averaging manifolds, internal piping, and external pressure transmitter ports. An identification label shall be placed on each station casing listing model number, size, area, and specified airflow capacity.

4. Cell construction shall be 3/8-inch .003-inch, type 3003 aluminum, expanded.

5. The maximum allowable pressure loss through the station shall not exceed .015-inch wc at 1000 fpm, or .085-inch wc at 2000 fpm. Each station shall be capable of measuring the airflow rate within an accuracy of 2 percent as determined by U.S.G.S.A. certification tests. The stations shall have a self-generated sound rating of less than NC 40, and the sound level within the duct shall not be amplified, nor shall additional sound be generated.

6. Stations shall be Fan-E type as manufactured by Air Monitor Corporation, Paragon or as approved equal.

C. Air Monitor Duct Static Pressure Traverse Probes

1. Provide where indicated duct static traverse probe capable of continuously monitoring the duct or system static pressure it serves.

2. Each duct static traverse probe shall contain multiple static pressure sensors located along the exterior surface of the cylindrical probe. Said sensors shall not protrude beyond the surface of the probe.

3. The duct static traverse probe shall be of extruded aluminum construction and (except for ¾-inch diameter probes with lengths of 24-inches or less) be complete with threaded end support rod, sealing washer and nut and mounting

plate with gasket and static pressure signal fitting.

4. The static traverse probe shall be capable of producing a steady, non-pulsating signal of standard static pressure, without need for correction factors, with an instrument accuracy of 0.5 percent.

5. The duct static pressure traverse probe shall be the STAT-probe/1 as manufactured by the Air Monitor Corporation, Paragon or as approved equal.

D. Air Monitor Electronic Velocity Pressure Transmitters

1. The electronic control-instrument components shall be of industrial process control quality with operating features described herein and capable of producing the outlined performances. Commercial grade control-instruments, devices, are not acceptable.

2. The electronic differential pressure transmitter shall include an automatic zeroing circuit capable of automatically readjusting the transmitter zero at predetermined (adjustable) time intervals while retaining (locking in) the output signal. The electronic differential pressure transmitter shall be capable of receiving signals of duct total and static pressures, and of amplifying and scaling the sensed differential pressure into a 4-20 mADC or 0-5 (0-10) VDC output signal linear to differential pressure, within the following minimum performance criteria:

Zeroing	Automatic, within 0.1 percent of operating span, on 4 to 256 minute intervals (selectable)
Spans	Factory custom spanned, coordinated with system, ranges from 0 to .01-inch to 0 to 10.0-inches. Field adjustment ±20 percent of span.
Accuracy	± 0.25 percent of span
DeadBand and Hysteresis (Combined):	Less than 0.2 percent of span
Linearity:	± 0.2 percent of span
Repeatability:	0.15 percent of span
Response:	0.5 second for 98 percent full span input
Power Supply:	24 VAC, 20 to 40 VDC, selectable; 4 wire

3. Coordinate requirements with the buildings direct digital control system to perform the required sequence of operation.

4. The pressure transmitter shall be the VELTRON series 5000AZ as manufactured by the Air Monitor Corporation, Paragon, Greenheck, Johnson Controls, or as approved equal.

2.6 AIR MONITORING STATIONS (FIELD INSTALLED AT INLET)

A. Furnish outside air flow measuring station for field installation on outside air inlet. Outside air flow measuring station shall be as manufactured by Paragon or approved equal. The Model OAFE-1500 shall be an AMCA certified outdoor airflow measurement system with integral signal processor that is capable of producing an overall ±0.5% accuracy through the velocity range of 200 to 1,200 fpm and ±5% accuracy at 100 fpm. The air flow measurement station shall consist of multiple airflow elements, factory mounted and pre-piped in a casing designed for flanged connection to control dampers, louvers, etc… An optional inlet bell shall be available for plenum applications. Standard materials consist of a G90 galvanized casing and 6063-T5 anodized aluminum flow sensors. The airflow averaging elements shall be head type devices, which generate a differential (velocity) pressure signal similar to the orfice, venture, and other head producing primary elements. The air flow measurement station shall be constructed so as to comply with ASHRAE Standard 111 for equal area traversing of an airflow measurement plane. Multiple elements shall be manifolded together for connection to the integral airflow signal processor. The signal processor shall utilize current state-of-the-art digital microprocessor technology capable of producing unequaled 20-bit (1,048,576 steps) A/D and 12 bit (4,096 steps) D/A signal conversion resolution. Having a twelve-point linearization capability, the signal processor shall be field calibrated to accurately determine true airflow rates even when the primary airflow measurement stations do not meet their minimum installation requirements. The ultra low operating ranges and the auto zeroing function of the signal processor shall provide accurate airflow measurement down to 100 fpm. The signal processor shall accept a temperature input signal for air temperature indication, temperature signal transmission for remote readout, and air density compensation for standard or actual airflow calculations. A password protected configuration menu shall provide quick and simple field configuration by authorized personnel. Field configuration of engineering units, process noise filtering, operating range, alarm set points, etc… shall be performed via user friendly menus and a six button touch pad. An optional temperature transmitter with 4 to 20 mA output and temperature range of -30 to 130°F shall be provide a temperature input signal to the signal processor for air density compensation.

2.7 SINGLE ZONE VAV ROOFTOP UNIT

A. Provide and install Single zone VAV roof top unit(s) as shown on contract drawings. Single zone VAV rooftop units shall be Model RN as manufactured by Aaon, York, Petra, or Valent.

1. Single zone VAV rooftop units shall be as manufactured by Aaon. Units shall be listed per UL 1812 and bear the UL label. Performance to be as scheduled on plans. Exhaust discharge and outside air intake shall not be located on the same side on rooftop units.

2. Unit shall be of internal frame type construction of galvanized steel. All frame and panel shall be G90 galvanized steel. Where top panels are joined there shall be a standing seam to insure positive weather protection. All metal-to-metal surfaces shall be sealed, requiring no caulking at job site. Unit base to be designed for roof mounting.

3. Unit casing to be insulated with minimum thermal resistance R-value of 13.

Foam insulation shall have minimum density of 2 pounds/cubic foot and shall be tested in accordance with ASTM D-1929 for minimum flash ignition temperature of 610 degrees Fahrenheit. Insulation in accordance with NFPA 90A and tested to meet UL 181 erosion requirements and secured to unit with water proof adhesive and permanent mechanical fasteners.

4. All components shall be easily accessible through removable hinged doors for both return fan, supply fan, filter, refrigerant components, energy wheel, and damper compartments.

5. All piping within the unit enclosure shall be insulated with close cell insulation 1-inch thickness.

6. Furnish and install motor bearing protective rings at all variable frequency drive motors. Refer to Division 23 Section, *Common Work Results for HVAC.*

7. Furnish and install outside air and exhaust air flow monitoring stations as shown on Contract Drawings.

B. Fans

1. Fans shall be direct drive unhoused, backward curved plenum fans. All blower wheels shall be statically and dynamically balanced. Ground and polished steel fan shafts shall be mounted in permanently sealed ball bearing pillow blocks. Bearings shall be selected for a minimum life in excess of 200,000 hours at maximum cataloged operating speeds. Blowers shall enable independent balancing of exhaust and supply airflow with adjustable sheaves for motors 10 horse power and below. Fans shall be located in draw-through position in referenced to the energy recovery wheel. Variable frequency drives shall be factory wired and mounted in the unit. Fan motors shall be inverter duty premium efficiency. Furnish units with extended lube lines with grease plugs.

2. All internal electrical components shall be pre-wired for single point power connection. All electrical components shall be UL listed, approved or classified where applicable and wired in compliance with the National Electrical Code. The control center shall include a weatherproof disconnect switch, motor starters, variable frequency drives, control circuit fusing, control transformer for 24 VAC circuit and motor starters. Motor starters shall consist of a contactor and Class 20 adjustable overload protection and shall be provided for all motors in the unit.

3. Roof Top Unit housings shall be factory primed and painted in color as selected by Owner. Submit color chart to Owner for color selection.

4. Return air and outside air streams shall be filtered prior to cooling coil. Furnish and install 30 percent pleated filters (Farr 30/30 or approved equal), filter racks and access panels. Provide one (1) set of additional filter media to Owner for each unit.

5. In addition to standard filters, unit shall include 1 inch aluminum mesh pre-filters upstream of outside air opening.

6. Unit shall include 2 inch thick, pleated panel outside air and return air filters with an ASHRAE efficiency of 30% and MERV rating of 8, upstream of the wheels.

7. Furnish outside air inlet with hoods provided with bird screens and waterproof sound lining.

C. Air Monitoring Stations (Field Installed At Outside Air Inlet)

1. Furnish outside air flow measuring station for field installation on outside air inlet. Outside air flow measuring station shall be as manufactured by Paragon or approved equal. The Model OAFE-1500 shall be an AMCA certified outdoor airflow measurement system with integral signal processor that is capable of producing an overall ±0.5% accuracy through the velocity range of 200 to 1,200 fpm and ±5% accuracy at 100 fpm. The air flow measurement station shall consist of multiple airflow elements, factory mounted and pre-piped in a casing designed for flanged connection to control dampers, louvers, etc… An optional inlet bell shall be available for plenum applications. Standard materials consist of a G90 galvanized casing and 6063-T5 anodized aluminum flow sensors. The airflow averaging elements shall be head type devices, which generate a differential (velocity) pressure signal similar to the orfice, venture, and other head producing primary elements. The air flow measurement station shall be constructed so as to comply with ASHRAE Standard 111 for equal area traversing of an airflow measurement plane. Multiple elements shall be manifolded together for connection to the integral airflow signal processor. The signal processor shall utilize current state-of-the-art digital microprocessor technology capable of producing unequaled 20-bit (1,048,576 steps) A/D and 12 bit (4,096 steps) D/A signal conversion resolution. Having a twelve-point linearization capability, the signal processor shall be field calibrated to accurately determine true airflow rates even when the primary airflow measurement stations do not meet their minimum installation requirements. The ultra low operating ranges and the auto zeroing function of the signal processor shall provide accurate airflow measurement down to 100 fpm. The signal processor shall accept a temperature input signal for air temperature indication, temperature signal transmission for remote readout, and air density compensation for standard or actual airflow calculations. A password protected configuration menu shall provide quick and simple field configuration by authorized personnel. Field configuration of engineering units, process noise filtering, operating range, alarm set points, etc… shall be performed via user friendly menus and a six button touch pad. An optional temperature transmitter with 4 to 20 mA output and temperature range of -30 to 130°F shall be provide a temperature input signal to the signal processor for air density compensation.

2. Funish within inlet bell to match air flow monitoring unit and NEMA 4 enclosure and NEMA 4 temperature sensor/transmitter.

D. Direct Expansion (DX) Cooling System

1. Air-Cooled Condenser Section:

a. The condensing section shall be equipped with vertical discharge axial flow direct drive fans. Direct drive fans shall be directly connected to

and supported by the motor shaft.

b. Coils shall be designed for use with R-410A refrigerant.
c. Coils shall be helium leak tested.
d. The condenser coils shall be sloped at least 30° to protect the coils from damage.
e. Condenser coils shall be copper tubes with aluminum fins mechanically bonded to the tubes.
f. Condenser coil fin design shall be sine wave rippled.
g. Condenser coils to be sized for a minimum of 10°F of refrigerant sub-cooling.
h. Refrigerant system shall include a minimum of two (2) independent refrigerant circuits.

2. Evaporator Coils:

a. Evaporator coil shall be copper tube with aluminum fins mechanically bonded to the tubes.
b. Evaporator coil fin design shall be sine wave rippled.
c. Evaporator coil shall have galvanized steel end casings.
d. Evaporator coil shall have equalizing type vertical tube headers.
e. Evaporator coil shall be furnished with a thermostatic expansion valve.
f. Evaporator coil shall be furnished with a double sloped drain pan for the positive drainage of condensate.
g. Coils shall be designed for use with R-410A refrigerant.
h. Coils shall be helium leak tested.

D. Gas Heating:

1. Stainless steel heat exchanger furnace shall carry a 25 year non-prorated warranty.

2. Gas furnace shall consist of stainless steel heat exchangers with multiple concavities, an induced draft blower and an electronic pressure switch to lockout the gas valve until the combustion chamber is purged and combustion airflow is established.

3. Furnace shall include a gas ignition system consisting of an electronic igniter to a pilot system, which will be continuous when the heater is operating, but will shut off the pilot when heating is not required.

4. Unit shall include a single gas connection and have gas supply piping entrances in the unit base for through-the-curb gas piping and in the outside cabinet wall for across the roof gas piping. Refer to Contract Drawings for gas piping routing.

5. Natural gas furnace shall be equipped with modulating gas valves, adjustable speed combustion blowers, stainless steel tubular heat exchangers, and electronic controller. Combustion blowers and gas valves shall be capable of modulation. Electronic controller includes a factory wired, field installed supply air temperature sensor. Sensor shall be field installed in the supply air ductwork. Supply air temperature setpoint shall be adjustable on the electronic controller within the controls compartment. Gas heating assemblies shall be capable of

operating at any firing rate between 100% and 30% of their rated capacity.

E. Refrigeration System:

1. Compressors shall be scroll type with internal thermal overload protection and mounted on the compressor manufacturer's recommended rubber vibration isolators.

2. Compressors shall carry a 5 year non pro-rated warranty.

3. Unit shall include variable capacity scroll compressors on the lead (Quantity of 2) refrigeration circuit(s) which shall be capable of modulation from 10-100% of its capacity. Furnish quantity of compressors as scheduled.

4. Lead refrigeration circuit(s) shall be provided with hot gas reheat coil, modulating valves, electronic controller, supply air temperature sensor and a dehumidification control signal terminal which allow the unit to have a dehumidification mode of operation, which includes supply air temperature control to prevent supply air temperature swings and overcooling of the space.

5. Compressors shall be mounted in an isolated compartment to permit operation of the unit without affecting air flow when the door to the compartment is open.

6. Compressors shall be isolated from the base pan and supply air to avoid any transmission of noise from the compressor into the building area.

7. Each refrigerant circuit shall be equipped with electronic thermostatic expansion valve type refrigerant flow control.

8. Each refrigerant circuit shall be equipped with automatic reset low pressure and manual reset high pressure refrigerant controls. Each refrigeration circuit shall be equipped with Schrader type service fittings on both the high pressure and low pressure sides.

9. Each refrigeration circuit shall be equipped with refrigerant liquid line driers and site glasses.

10. Unit shall be fully factory charged with R-410A refrigerant.

11. Each compressor shall be equipped with suction and discharge service/isolation valves and tamper proof service caps.

12. Unit shall dehumidify using a hot gas reheat coil, modulating hot gas reheat control valves piped to the lead refrigerant system, and an electronic controller. A factory-wired, field installed, supply air temperature sensor and a field-installed space humidity sensor shall be provided to control the amount of reheat. The supply air temperature set point shall be adjusted on the electronic controller within the controls compartment.

13. All compressors shall be provided with a (5) five year parts and labor warranty.

E. Furnish each unit with an insulated, stainless steel IAQ drain pan under the coil extending past the coil to ensure condensate retention.

F. Outside Air/Economizer

1. Unit shall include 0-100% economizer consisting of a motor operated outside air damper and return air damper assembly constructed of extruded aluminum, hollow core, airfoil blades with rubber edge seals and aluminum end seals. Damper blades shall be gear driven and designed to have no more than 15 CFM of leakage per sq. ft. of damper area when subjected to 2 inches w.g. air pressure differential across the damper. Damper assembly shall be controlled by spring return sensible temperature activated fully modulating actuator. Unit shall include outside air opening bird screen, sound lined outside air hood with rain lip.

2. Economizer shall be furnished with space air CO_2 override.

3. Furnish outside flow measuring station for field installation in the outside air stream. Interlock with single zone VAV rooftop unit control panel to measure and trend outside air flow rate and also report the same on the ATC system.

4. During economizer mode the energy recovery wheel shall be bypassed.

G. Energy Recovery Section:

1. Unit shall contain a factory mounted and tested energy recovery wheel(s). The energy recovery wheel(s) shall be mounted in a rigid frame containing the wheel drive motor, drive belt, wheel seals and bearings. Frame shall slide out for service and removal from the cabinet.

2. The energy recovery component shall incorporate a rotary wheel in an insulated cassette frame complete with seals, drive motor and drive belt.

3. Wheels shall be wound continuously with one flat and one structured layer in an ideal parallel plate geometry providing laminar flow and minimum pressure drop-to-efficiency ratios. The layers shall be effectively captured in stainless steel wheel frames or aluminum and stainless steel segment frames that provide a rigid and self-supporting matrix.

4. Wheels shall be provided with removable energy transfer matrix. Wheel frame construction shall be a welded hub, spoke and rim assembly of stainless, plated and/or coated steel and shall be self-supporting without matrix segments in place. Segments shall be removable without the use of tools to facilitate maintenance and cleaning. Wheel bearings shall be selected to provide an L-10 life in excess of 400,000 hours. Rim shall be continuous rolled stainless steel and the wheel shall be connected to the shaft by means of taper locks.

5. All diameter and perimeter seals shall be provided as part of the cassette assembly and shall be factory set. Drive belts of stretch urethane shall be provided for wheel rim drive without the need for external tensioners or adjustment.

6. The energy recovery cassette shall be an Underwriters Laboratories Recognized Component for electrical and fire safety. The wheel drive motor shall be an Underwriters Laboratory Recognized Component and shall be mounted in the cassette frame and supplied with a service connector or junction box. Thermal performance shall be certified by the manufacturer in accordance with *ASHRAE Standard 84, Method of Testing Air-to-Air Heat Exchangers* and *AHRI Standard 1060*, *Rating Air-to-Air Energy Recovery Ventilation Equipment. Cassettes shall be listed in the AHRI Certified Products.*

7. Energy recovery wheel cassette shall carry a 5 year non-prorated warranty.

8. Hinged service access door shall allow access to the wheel(s).

9. Total energy recovery wheels shall be coated with silica gel desiccant permanently bonded by a process without the use of binders or adhesives, which may degrade desiccant performance. The substrate shall be lightweight polymer and shall not degrade nor require additional coatings for application in marine or coastal environments. Coated segments shall be washable with detergent or alkaline coil cleaner and water. Desiccant shall not dissolve nor deliquesce in the presence of water or high humidity.

10. Unit shall include energy recovery wheel rotation detection sensors and a set of normally open and normally closed contacts for field indication of wheel rotation. Interlock energy recovery wheel rotation detector sensor with ATC system.

H. Extra Materials:

1. Furnish extra materials described below that match products installed, are packaged with protective covering for storage, and are identified with labels describing contents.

   a. Filters: Furnish one set of each type of filter.

   b. Belts: Furnish one set of belts for each belt drive including energy recovery wheel in rooftop unit.

I. Roof Curb

1. An insulated, pre-fabricated roof curb shall be provided and shipped knocked down. The roof curb will be made of 16-gauge galvanized steel with 4” flanges, minimum 36-inches high with a factory installed 2” x 3” wood nailer strip. Coordinate curb height with duct connections. Curbs shall be fully insulated with 1 ½ inch thick rigid insulation with duct adapters.

2. Electrical and piping shall enter unit concealed within roof curb. All piping whitin the unit enclosure shall be insulated with insulation type, thickness, and jacketing as specified in Division 23 Section, “HVAC Insulation”.

3. Unit shall be provided with base discharge and return air openings. All openings

through the base pan of the unit shall have upturned flanges of at least ½ inch in height around the opening. Unit shall be provided with insulated plenum base for side ductwork connections.

J. Demand Control Ventilation Components:

1. RTU shall be equipped with demand control ventilation capabilities that enable the varying of outdoor air and return air volumes based on building occupancy. A sensor shall be located in the exhaust air stream and in the space to monitor average CO_2 levels of the occupied spaces. A variable frequency drive shall receive a 0-10 volt signal from the CO_2 senosr and control the outdoor air volume to maintain a maximum of 1,000 ppm of CO_2 in the occupied space. Supply air and exhaust air fans shall be controlled simultaneously to maintain desired building pressure. Variable frequency drive shall be pre-programmed at the factory and shall assure that minimum outdoor air and exhaust air volumes are always maintained. The sensor and variable frequency drive shall be factory mounted and wired. CO2 sensors (space and outside air) shall be provided and interlocked under Division 23 Section, "Instrumentation and Controls for HVAC and Plumbing Systems".

2. Furnish each single zone VAV rooftop air handling unit with the following:

   a. Supply air fan variable frequency drive.
   b. Return air fan variable frequency drive.
   c. All controls necessary for economizer operation.
   d. Mixed air temperature controller/sensor.
   e. Outside airflow monitoring station.
   f. Motor operated outside air, relief air, return air, bypass air, and economizer dampers.
   g. All other unit and space sensors shall be furnished under Division 23 Section, "Instrumentation and Controls for HVAC and Plumbing Systems". Refer to Division 01 Section "Alternates" for additional information.

K. Digital Precise Air Controller

1. The unit shall include a field installed microprocessor based unit controller as manufactured by Johnson Controls installed by Modern Controls which controls the operation of the unit including the compressors, condenser fan motors, supply fan motor, relief air fan motor discharge air temperature, spact temperature, economizer, demand controlled ventilation, modulating gas valve train/heat and modulating hot gas reheat. Labeled terminal strip for field wiring of controls shall be provided by manufacturer. Rooftop unit manufacturer shall provide protective circuit controls.

2. Field mounted and wired is an outside air temperature sensor and suction pressure transducer. Field wired for field installation is a supply air temperature sensor. Field install a space air temperature sensor with temperature set point reset, unoccupied override and a space humidity sensor.
3. Field Installed Controller:
   a). Field installed controller shall be capable of independent stand alone

operation and have the ability to communicate and integrate with widely-used building automation systems. Controller shall be IP addressable and be able to reside on a TCP/IP network. Controller shall have 2 RJ-45 Ethernet ports, I RS-232 port, and I RS-485 port.

b). Controller shall require a PC with the configuration tool software for configuration and programming. Furnish with graphical user interface over IP option controller so that the unit can be configured through a browser over the internet.

c). Controller shall have a full calendar schedule for occupied, unoccupied, and holiday scheduling. Interlock with existing ATC system.

d). Controller shall retain all programmed values in non-volatile memory in the event of a power failure.

e). Configuration tool software, when connected to unit controller, shall indicate unit status, set points, and faults.

f). With modulating hot gas reheat a field installed space humidity sensor and a field installed supply air temperature sensor shall be furnished to control the amount of reheat. An electronic modulating reheat controller shall also be furnished. The supply air temperature set point shall be set on the modulating reheat controller.

g). Furnish controls with the necessary interfaces to communicate via BACNET/IP or LonWorks to the building automation system.

4. Field installed controller devices, sensors, and controls shall be provided to interface and control single zone VAV unit.

5. As a minimum the field installed controller shall connect to the following available terminals required for controlling of the unit.

a). Supply fan enable.
b). Cooling stage 1 enable and isolation relay.
c). Cooling stage 2 enable and isolation relay.
d). Cooling stage 3 enable and isolation relay.
e). Cooling stage 4 enable and isolation relay.
f). Variable capacity compressor 1 (1.44-5VDC) Signal
g). Suction Pressure Sensor – Compressor 1 (0-5VDC)
h). Suction Pressure Sensor – Compressor 2 (0-5VDC)
i). Heating Stage 1 Enable and Isolation Relay
j). Gas heat reset signal (0-10VDC)
k). Energy Recovery Wheel Enable and Isolation Relay
l). Normally Open and Normally Closed Energy Recovery Wheel Rotation Detection
m). Power Exhaust Enable and Isolation Relay
n). Exhaust Fan 1 & 2 – w/1 VFD: Signal (0-10VDC)
o). Economizer Signal (0-10VDC)
p). Remote Start/Stop of the Unit
q). Supply Fan 1 & 2 w/2 VFD: Signal (0-10VDC)
r). Clogged Filter Switch
s). Reheat Enable and Isolation Replay
t). Reheat Reset Signal (0-10VDC)
u). Remote Safety Shutdown
v). Phase and Brown Out
w). V1000: std 7.5-20HP VFD

x). Run Status
y). Current Feedback (0-10VDC = 0-100%)
z). Fault
aa). V1000: std 7.5-20 HP VFD
bb). Run Status
cc). Current Feedback (0-10VDC = 0-100%)
dd). Fault

6. All inputs and outputs on the manufacturer's controller shall be viewable via the interface.

7. All septoints and schedules shall be editable via the interface by the Building Automation System.

8. In addition to standard inputs/outputs provide additional inputs/outputs as required to accomplish sequence of operation and items listed on point list.

L. Unit manufacturer shall furnish all protective circuits and safeties. Field install all controls and control devices under Division 23 Section, "Instrumentation and Controls for HVAC and Plumbing System". Factory furnish a labeled terminal strip and location within unit for mounting field installed DDC controls.

M. Refer to alternates section for additional information.

N. Electrical

1. Unit shall be provided with standard power block for connecting power to the unit.

2. Unit shall be provided with factory installed and factory wired, non-fused disconnect switch.

3. Unit shall be provided with phase and brown out protection which shuts down all motors in the unit if the electrical phases are more than 10% out of balance on the voltage, the voltage is more than 10% under design voltage, or on phase reversal.

4. Unit shall be provided with manual reset low temperature limit controls which shut off the unit when the discharge temperature reaches a field adjustable setpoint.

O. Sequence of Operation

1. Refer to Division 23 Section, "Instrumentation and Controls for HVAC and Plumbing Systems" for unit sequence of operation.

PART 3 EXECUTION

3.1 EXAMINATION

A. Verify all dimensions by field measurements. Verify that all equipment may be installed

in accordance with pertinent codes and regulations, the original design, and the referenced standards.

B. Verify structure, mounting supports and membrane installations are completed to the proper point to allow installation of roof mounted equipment, where applicable.

C. Examine rough-in requirements for all piping systems to verify actual locations of piping connections prior to installation.

D. Verify that electrical work installation is in accordance with manufacture's submittal and installation requirements of Division 26 sections. Do not proceed with equipment start-up until electrical work is acceptable to equipment installer. Coordinate sizes of all thermal overloads with Division 26.

E. Do not proceed until unsatisfactory conditions have been corrected.

F. Provide wiring diagrams of all equipment as specified in Division 23 Section, *Common Work Results for HVAC.*

3.2 GENERAL INSTALLATION REQUIREMENTS

A. Install all equipment in accordance with manufacturer's installation instructions, in accordance with state and local code requirements, and in accordance with the contract drawings. Install all equipment plumb and level, to tolerances as required by the manufacturer of each item of equipment. Maintain manufacturer recommended clearances around and over all equipment.

B. Coordinate vibration isolation requirements with all equipment in accordance with Division 23 Section, *Vibration Controls for HVAC, Plumbing and Fire Protection Equipment*.

C. Coordinate all electrical requirements with Division 26.

D. Coordinate all indoor and outdoor equipment pad locations and sizes with approved shop drawing submittals. Provide operating weights of equipment to Structural Engineer for review. Coordinate equipment pad locations and sizes with the Concrete Contractor or General Contractor. Furnish anchor bolts which are to be inserted in concrete pads to concrete installer.

E. Verify piping arrangements of all equipment with the contract drawings. Piping details shall be strictly adhered to concerning valves, fittings, components, etc. At coils, where a rebuildable and repairable autoflow valve is installed in the line without the need for draining or shutting of the water, the same may be utilized as the isolation valve and additional shut-off valve is not required.

F. Connect all equipment, devices and components to wiring systems and to ground as indicated and instructed by manufacturer. Tighten connectors and terminals, including screws and bolts, according to equipment manufacturer's published torque-tightening values for equipment connectors. Where manufacturer's torquing requirements are not indicated, tighten connectors and terminals according to tightening requirements specified in UL 486A.

G. Testing: After installing HVAC equipment, devices and components and after electrical circuitry has been energized, demonstrate product capability and compliance with requirements.

H. Remove and replace malfunctioning units with new units and retest.

I. All mechanical penetrations or terminations in exterior walls shall be flashed and caulked watertight.

J. Arrange for equipment such as single zone VAV units to be shipped to project in modules where space constraints require the same. Field erect components as required.

3.3 FIELD QUALITY CONTROL

A. Where indicated provide the services of a factory authorized service representative to examine the field assembly of components, installation, piping, electrical connections, controls, and clearances. Submit factory start-up check list to Engineer for information purposes. Testing and balancing work shall not commence until start-up reports have been completed, reviewed by Engineer, and forwarded to Testing and Balancing Agency.

B. Where factory start-up of equipment is not specified, provide field start-up by qualified technician to examine the field assembly of components, installation, piping, electrical connections, controls and clearances. Record equipment manufacturers standard start-up information and submit to Engineer for review. Testing and balancing work shall not commence until start-up reports have been completed, reviewed by Engineer, and forwarded to Testing and Balancing Agency.

C. Charge all refrigerant systems with refrigerant and oil and test for leaks. Repair leaks and replace lost refrigerant and oil.

D. Verify proper motor sizes, voltages, thermal overloads, nameplate data, etc. All equipment voltages and current shall be recorded to insure that motors are operating below their service factors. Test and Balance Engineer shall record electrical data before continuous or permanent operation.

3.4 DEMONSTRATION

A. Provide the services of a factory authorized service representative to provide start-up and to demonstrate and train the Owner's maintenance personnel.

B. Place equipment into operation and adjust controls and safeties. Replace damaged or malfunctioning components and controls.

C. Training:

1. Train the Owner's maintenance personnel on start-up and shut-down procedures, trouble shooting procedures, lubrication, servicing procedures and preventative maintenance schedules/procedures. Review with the Owner's personnel, the contents of the operation and maintenance data specified in Division 23 Section, *Common Work Results for HVAC.*

2. Submit operation and maintenance data as soon as possible prior to project close-out. Operations and maintenance data shall be submitted to the Owner for review and comment prior to submission to the Engineer.

3. Schedule training with the Owner through the Engineer with at least seven (7) days prior notice.

D. Contractor shall demonstrate removal and replacement of filters at all pieces of equipment with filters in the prescence of the Owners representative.

3.5 CLEANING

A. After completing installation, inspect exposed finish. Remove burrs, dirt, and construction debris, and repair damaged finishes including chips, scratches, and abrasions.

B. Clean fan and equipment interiors to remove foreign material and construction dirt and dust. Vacuum clean fan wheels, cabinets, and coils' entering air face.

3.6 DUCTLESS UNITS EQUIPMENT INSTALLATION REQUIREMENTS

A. Mount indoor and outdoor units as detailed on contract drawings.

B. Supply initial charge of refrigerant and oil as required.

C. Install all interlock and control wiring between indoor units, outdoor units thermostats, and condensate pumps.

D. Install indoor ceiling cassette on vibration isolators.

E. Install outdoor units on roof curbs as indicated on drawings.

F. Comb out fins on condensing unit where deformed or bent. Replace or repair broken fins.

G. Install condensate lift pumps, float switches, alarm, unit shut down wiring and detection block units per manufacturer's recommendations.

H. Install wind baffles when required for low ambient operation. Locate wind baffles facing the predominant wind direction in winter.

3.7 SINGLE ZONE VAV UNITS INSTALLATION REQUIREMENTS

A. Examine areas and conditions for compliance with requirements for installation tolerances, other specific conditions, and other conditions affecting performance of single zone VAV units. Do not proceed with installation until unsatisfactory conditions have been corrected.

B. Examine piping and electric rough installations for single zone VAV units to verify actual locations of piping connections before installation.

C. Install single zone VAV units according to manufacturer's written instructions.

D. Install units level and plumb, firmly anchored in locations indicated, and maintain manufacturer's recommended clearances.

E. Piping Connections: Drawings indicate the general arrangement of piping, fittings, and specialties. Specific connection requirements are as follows:

1. Connect heat-pump drain pan to nearest indirect waste connection, air conditioning condensate pump or as indicated.

F. Duct Connections: Connect supply, return, relief and outside air ducts to single zone VAV units with flexible duct connections. Provide transitions to match unit duct-connection size. Completely seal and insulate where ductwork connects to unit and filter rack.

G. Install electrical devices furnished by manufacturer but not specified to be factory mounted.

H. Ground equipment.

1. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

I. Replace filters used during construction. Seal all return air ducts to filter racks. Seal air tight all filter racks.

J. Manufacturer's Field Service: Provide services of a factory-authorized service representative to supervise the field assembly of components and installation of water-source heat pumps, including piping and electrical connections. Report results in writing.

1. Test and adjust controls and safeties.

2. Replace damaged and malfunctioning controls and equipment.

3. Test and record refrigerant pressures, air flow rates, water flow rates, electrical characteristics. Start-up company and Test and Balance Engineer must both be present during start-up to simultaneously record the above data.

K. Engage a factory-authorized service representative to train Owner's maintenance personnel as specified below:

1. Train Owner's maintenance personnel on procedures and schedules related to startup and shutdown, troubleshooting, servicing, and preventive maintenance.

2. Review data in the maintenance manuals specified in Division 01.

3. Schedule training with Owner with at least 7 days' advance notice.

L. Maintain minimum of 24 inches clear space at unit filter access. Provide manufacturer

required clearances for service at ATC control panel, fan section, compressor section and electrical section. Maintain sufficient clear space below units to allow lowering and raising of units in the future.

M. All single zone VAV units shall be provided with auto-restart in the event of a power outage. Units shall automatically be enabled to re-start when power is restored.

N. Install CO_2 sensor/control/interlock wiring to variable frequency drives and to ATC system. Install global CO_2 sensor to monitor ambient outside air CO_2 level.

O. Install and interlock space CO_2 sensors.

P. Install and interlock outside air flow monitoring station and exhaust air flow monitoring station.

Q. Startup Services: Engage a factory-authorized service representative to commission units as specified below:

1. Energize and verify correct rotation of heat wheels and fans.

2. Adjust seals and purge.

3. Test and adjust controls and safeties. Replace damaged or malfunctioning controls and equipment.

4. Test refrigerant circuit and controls

5. Record refrigerant pressures.

6. Verify sequence of operation.

7. Verify and record minimum and maximum air flow rates for the supply and exhaust air fan.

8. Verify and record the minimum and maximum supply/exhaust fan speeds/ hertz and incorporate into the fan tracking sequence of operation.

R. Install and interlock outside air flow monitoring station. Interlock with ATC system.

S. Install and interlock exhaust air flow monitoring station. Interlock with exhaust fan variable frequency drive.

T. Install CO_2 sensor/control/interlock wiring to variable frequency drives and to ATC system.

U. Install new filters at completion of equipment installation and before testing, adjusting, and balancing.

END OF SECTION

DIVISION 23 SECTION 230701
HVAC INSULATION

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SECTION 230701 - HVAC INSULATION

PART 1. GENERAL

1.1. REFERENCE

A. The Conditions of the Contract and other General Requirements apply to the work specified in this Section. All work under this Section shall be subject to the requirements of Division 23 Section, *Common Work Results for HVAC*.

B. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section

1.2. DESCRIPTION

A. All piping, ductwork, and equipment installed under this Contract shall be covered as specified.

1.3. SCOPE

A. The work covered by this specification consists of furnishing all labor, equipment, materials and accessories, and performing all operations required, for the correct fabrication and installation of thermal insulation applied to all piping, equipment, and duct systems, in accordance with applicable project specifications and drawings, subject to the terms and conditions of the contract.

1.4. STANDARDS

A. Thermal insulation materials shall meet the property requirements of one or more of the following specifications as applicable to the specific product or use:

1. American Society for Testing of Materials Specifications:

a). ASTM C 547, "Standard Specification for Mineral Fiber Preformed Pipe Insulation".

b). ASTM C 533, "Standard Specification for Calcium Silicate Pipe & Block Insulation".

c). ASTM C 55, "Standard Specification for Mineral Fiber Blanket and Felt Insulation".

d). ASTM E 96, “Standard Test Methods for Water Vapor Transmission of Material”.

e). ASTM C 585, "Recommended Practice for Inner and Outer Diameters of Rigid Pipe Insulation for Nominal Sizes of Pipe and Tubing (NPS System)".

f). ASTM C 612, "Standard Specification for Mineral Fiber Block and Board Thermal Insulation".

g). ASTM C 1136, "Standard Specification for Barrier Material, Vapor, "Type 1 or 2 (Jacket only).

2. ASHRAE 90.1 "Energy efficient design of new buildings except low-rise residential buildings", latest edition.

3. International Energy Conservation Code, latest edition.

B. Insulation materials, including all weather and vapor barrier materials, closures, hangers, supports, fitting covers, and other accessories, shall be furnished and installed in strict accordance with project drawings, plans, and specifications.

1.5. SYSTEM PERFORMANCE

A. Insulation materials furnished and installed hereunder should meet the minimum economic insulation thickness requirements of the North American Insulation Manufacturers' Association (NAIMA) (formerly known as TIMA), to ensure cost-effective energy conservation performance. Alternatively, materials should meet the minimum thickness requirements of National Voluntary Consensus Standard 90.1, (latest edition) and "Energy Efficient Design of New Buildings," of the American Society of Heating, Refrigeration, and Air-Conditioning Engineers (ASHRAE), latest edition. However, if other factors such as condensation control or personnel protection are to be considered, the selection of the thickness of insulation should satisfy the controlling factor. As minimum, all insulation thicknesses shall be as hereinafter specified.

B. Insulation materials furnished and installed hereunder shall meet the fire hazard requirements of any one of the following specifications:

1. American Society for Testing of Materials ASTM E 84

2. Underwriters' Laboratories, Inc. UL 723

3. National Fire Protection Association NFPA 255

4. ASTM E 96, “Standard Test Methods for Water Vapor Transmission of Materials”.

C. Calcium silicate products shall include a visual identification system to permit positive field determination of their asbestos-free characteristics.

1.6. QUALITY ASSURANCE

A. Insulation materials and accessories furnished and installed hereunder shall, where required, be accompanied by manufacturers' current submittal or data sheets showing compliance with applicable specifications listed in Section 1.4 above.

B. Insulation materials and accessories shall be installed in a workmanlike manner by skilled and experienced workers who are regularly engaged in commercial insulation work.

1.7. DELIVERY AND STORAGE OF MATERIALS

A. All of the insulation materials and accessories covered by this specification shall be delivered to the job site and stored in a safe, dry place with appropriate labels and/or other product identification.

B. The Contractor shall use whatever means are necessary to protect the insulation materials and accessories before, during, and after installation. No insulation material shall be installed that has become damaged in any way. The Contractor shall also use all means necessary to protect work and materials installed by other trades.

C. If any insulation material has become wet because of transit or job site exposure to moisture or water, the Contractor shall not install such material, and shall remove it from the job site. An exception may be allowed in cases where the Contractor is able to demonstrate that wet insulation when fully dried out (either before installation, or afterward following exposure to system operating temperatures) will provide installed performance that is equivalent in all respects to new, completely dry insulation. In such cases, consult the insulation manufacturer in writing for technical assistance.

D. Maintain ambient temperatures and conditions required by manufacturers of adhesives, mastics, and insulation cements. Protect all insulation from water, construction traffic, dirt, chemical and mechanical damage.

1.8. ALTERNATES

A. Refer to Division 01 Section, “Alternates” for description of work under this section affected by alternates.

PART 2. PRODUCTS

2.1. GENERAL

A. All materials to be insulated shall be thoroughly cleaned, after completion of successful tests, and shall be covered as specified below. Fiberglass insulation shall be Owens-Corning, Manville, Armstrong, or P.P.G, or as approved equal.

2.2. PIPE INSULATION MATERIALS

A. Unless otherwise noted, insulation shall be one piece or half sectional molded fibrous glass with "K" rating of .23 at 75 degrees Fahrenheit mean temperature, for service temperatures between -60 degrees Fahrenheit and +450 degrees Fahrenheit with all service poly-encapsulated jacket. Pipe insulation shall be fiberglass ASJMax SSL II with double closure system as manufactured by Owens Corning, Johns Manville, Knauf or approved equal.

B. Exterior refrigerant pipe insulation shall be Armacell, or approved equal, foam insulation with exterior field applied aluminum jacketing. Interior refrigerant piping shall be Armacell or approved equal foam insulation. Where interior refrigerant piping is exposed also install field applied PVC jacketing.

C. Unless otherwise noted, pipe insulation jacket shall be factory-applied vinyl coated, embossed and reinforced vapor barrier laminate, with a perm rating of not more than 0.02 perms. All hot and cold, concealed and exposed butt strips shall be of the same material as the jacket. Jacket and butt strips shall be sealed with field-applied Foster 85-20/85-60 or Childers CP-82 (5 gal cans only) adhesive. Jacket and butt strips shall be off-white color and shall be equivalent to Owens-Corning Fiberglass 25-ASJ.

D. For fittings on all piping, valves and flanges, apply fiberglass molded or segmented insulation equal in thickness to the adjoining insulation and securely fasten in place using wire. Cold piping: Apply a tack coat of vapor barrier coating and reinforcing mesh. After ½ hour, apply second coat of same vapor barrier coating, UL labeled, Type C, for cold water piping, Hot piping Type H for hot water piping: Apply tack of breather mastic. Wrap fitting with fiberglass reinforcing cloth overlapping adjoining sections of pipe insulation by 2-inches. Apply a second coat of breather mastic over the reinforcing cloth, working it to a smooth finish.

1. Vapor Barrier Coating: Foster 30-65; Childers CP-34 or Vimasco 749. Permeanie shall be 0.03 perms or less at 45 mils dry as test by ASTM E96.
2. Breather mastic: Foster 46-50; Childers CP-10/11 or Vimasco WC-5
3. Reinforcing Mesh: Foster Mast a Fab; Childers Chil Glas #10 or Vimasco Elastafab

E. All pipe insulation, jackets, or facings, and adhesives used to adhere jacket or facing to the insulation, including fittings and butt strips, shall have non-combustible fire and smoke hazard system rating and label as tested by ASTM E-84, NFPA 225, and UL 73, not exceeding Flame Spread 25, Fuel Contributed 50, Smoke Developed 50. Accessories such as adhesives, mastic cements, tapes and cloth for fittings shall have the same ratings as listed above. All products or their shipping cartons shall bear the Underwriter's label indicating that flame and smoke ratings do not exceed the above criteria.

F. For piping having a vapor barrier insulation and for all insulated piping requiring supports, hangers and supports shall be installed outside the insulation. Wherever hangers and supports are installed outside the insulation, pipe insulation protecting shields shall be provided. Where insulation is a load bearing material, of sufficient strength to support the weight of the piping, pipe shields one-third the circumference of the insulation and of a length not less than three times the diameter of the insulation (maximum length 24-inches) shall be provided. Insulation of 7-1/4 pound or greater density will be considered as load bearing for pipe sizes up to and including 2-inches. Where insulation is not of sufficient strength to support the weight of the piping, a half section of high density fiberglass or foam inserts, shall be provided. Vapor barrier and finish shall be applied as required to match adjoining insulation. In addition, shields shall be furnished as specified above.

G. For piping located outside of the building, a corrugated aluminum weatherproof jacketing system shall be provided. This system shall be Micro-Lot ML as manufactured by Manville, Polyweld by Pabco Metals Corp., Childers, or as approved equal, and installed per the manufacturer's recommendations. Pipe jacketing shall be corrugated (3/16-inch) deep aluminum, .016-inch thickness of H-14 temper with aluminum strapping of .75-inch width and .020 inch thickness with moisture barrier. Aluminum jacketing elbows shall be smooth, .016-inch thickness and 1100 alloy. All jacketing shall have an integrally bonded moisture barrier over the entire surface in contact with the insulation. Longitudinal joints shall be applied so they will shed water and shall be sealed completely with metal jacketing sealant.

Sealant shall be Foster 95-44 or Childers CP-76. Circumferential joints shall be closed using preformed butt strips following manufacturer's recommendations for securement. Jacket seams shall be located on the bottom side of the horizontal piping.

H. All disturbed piping insulation in existing areas shall be re-insulated with insulation type, density, and thickness as specified for new piping. Insulation damaged due to new work and demolition only shall be replaced unless otherwise noted.

I. On cold systems such as refrigerant piping cooling coil drain piping, vapor barrier performance is extremely important. All penetrations and seams of the ASJ and exposed ends of insulation must be sealed with vapor barrier coating. The ASJ must be protected with either a coating or a suitable vapor retarding outer jacket. Vapor seals at butt joints shall be applied at every fourth pipe section joint and at each fitting to provide isolation of water incursion. Vapor Barrier Coating: Foster 30-65; Childers CP-34 or Vimasco 749. Permeance shall be 0.03 perms or less at 45 mils dry as test by ASTM E96.

J. Fittings and valves shall be insulated with pre-formed fiberglass fittings, fabricated sections of fiberglass pipe insulation, Fiberglass pipe and tank insulation, Fiberglass blanket insulation, or insulating cement. Thickness shall be equal to adjacent pipe insulation. Finish shall be with pre-formed PVC fitting covers or as otherwise specified on contract drawings. Where applicable, Victaulic PVC fitting valve and coupling covers shall be utilized. Victaulic PVC covers shall be installed with matching pipe insulation jacketing material, vinyl tape solvent weld adhesive and appropriate fasteners.

1. Flanges, couplings and valve bonnets shall be covered with an oversized pipe insulation section sized to provide the same insulation thickness as on the main pipe section. An oversized insulation section shall be used to form a collar between the two insulation sections with low density blanket insulation being used to fill gaps. Jacketing shall match that used on straight pipe sections. Rough cut ends shall be coated with a suitable weather or vapor-resistant mastic as dictated by the system location and service. Finish valve installation with a Tyvac jacket with ends that secure to adjacent piping.

2. On hot systems where fittings are to be left exposed, insulation ends should be beveled away from bolts for easy access.

3. On cold systems, particular care must be given to vapor sealing the fitting cover or finish to the pipe insulation vapor barrier. All valve stems must be sealed with caulking which allows free movement of the stem but provides a seal against moisture incursion. All gauge and thermometer penetrations and extensions shall be correctly sealed and insulated to prevent surface condensation. Install oversized hangers to prevent penetrations of pipe insulation vapor barrier.

K. All piping shall be supported in such a manner that neither the insulation or the vapor/weather barrier is compromised by the hanger or the effects of the hanger. In all cases, hanger spacing must be such that the circumferential joint may be made outside the hanger. On cold systems, vapor barrier must be continuous, including material covered by the hanger saddle.

1. Piping systems 3-inches (7.5cm) in diameter or less, insulated with Fiberglass insulation, may be supported by placing saddles of the proper length and spacing, as designated in Owens-Corning Pub. 1-IN-12534, under the insulation. Hangers saddles shall be minimum 16 gauge with a saddle arc of 120 degrees minimum.

2. For hot or cold piping systems larger than 3-inches (7.5 cm) in diameter, operating at temperatures less than +200 degrees F (93 degrees C) and insulated with fiber glass, high density inserts such as foam or wood blocks with sufficient compressive strength shall be used to support the weight of the piping system. At temperatures exceeding ±200 degrees F (93 degrees C), Owens-Corning Pink Calcium Silicate, IIG, or approved equal pipe insulation shall be used for high density inserts.

3. Owens-Corning Pink Calcium Silicate pipe insulation may be used to support the entire weight of the piping system provided the hanger saddle is designed so the maximum compressive load does not exceed 100 psi (7kg/cm).

4. Where pipe shoes and roller supports are required, insulation shall be inserted in the pipe shoe to minimize pipe heat loss. Where possible, the pipe shoe shall be sized to be flush with the outer pipe insulation diameter.

5. Thermal expansion and contraction of the piping and insulation system shall generally be taken care of by utilizing double layers of insulation and staggering both longitudinal and circumferential joints. Where long runs are encountered, expansion joints may be required where single layers of insulation are being used and should be so noted on the contract drawings.

6. On vertical runs, insulation support rings shall be used.

2.3. PIPING INSULATION THICKNESSES SCHEDULE

A. All piping shall be insulated with pipe insulation of the thicknesses indicted below:

PIPING INSULATION THICKNESS SCHEDULE SERVICES	**THICKNESS**
All Drain Piping from Cooling Coils/Evaporators	1-inch thickness
All Refrigerant Piping	1-inch thickness

2.4. DUCTWORK INSULATION MATERIALS AND THICKNESSES

A. Insulate all supply, return, relief, exhaust, and outside air intake ductwork with fiberglass exterior duct insulation with factory-applied foil facing. All exposed fiberglass duct insulation shall be 2-inch rigid or non-flexible board type 3.0 pcf minimum density, 0.23 max. "K" factor at 75 degrees F mean temperature, with white vinyl A.S.J. max, polymer coating vapor barrier facing. All concealed fiberglass duct insulation shall be 2-inch flexible blanket type, 1.0 pcf minimum density. All concealed insulation shall be 0.27 max. "K" factor at 75 degrees F mean temperature with reinforced foil-scrim Kraft vapor barrier facing. Unless otherwise noted, the minimum installed R-value shall be 6.0 HR x ft^2 x ºF/btu.

B. Refer to Division 23 Section, *HVAC Air Distribution System* and contract drawings for location of all sound-lined ductwork. Sound-lined ductwork from the discharge or supply side of all single zone VAV unit shall require external insulation in addition to internal lining specified hereinafter. All other ducts indicated to be provided with interior lining shall not require additional exterior insulation.

C. Where a vapor barrier is required, all joints, seams, tears, punctures, and other penetrations shall be closed with 3-inch (7.5cm) pressure-sensitive tape matching the facing or with vapor barrier coating reinforced with 3-inch (7.5cm) glass scrim tape.

D. Contractor-applied internal linings shall be as specified and installed as hereinafter specified.

E. For exposed Fiberglass duct insulation, tightly butt all edges and seams. Secure insulation with flush mechanical fasteners spaced not less than one per square foot. Insulation may be secured with 100 percent coverage of adhesive with mechanical fasteners on the underside of the duct only, in addition to adhesive. Adhesive shall be water based Foster 85-60 or Childers CP-127. Cover all seams, joints and fasteners with not less than 3-inch wide tape matching the insulation facing. Pre-finished white fastener caps may be left exposed if the spacing and pattern is uniform in appearance. Staples will not be permitted.

F. All dual wall exterior ductwork shall be insulated with 2-inch rigid type 6.0 pcf minimum density, .25 max "K" factor with white vinyl A.S.J. vapor barrier facing. Insulation shall be sandwiched between inner galvanized ductwork and exterior aluminum ductwork.

G. All disturbed ductwork insulation in existing areas shall be re-insulated with insulation type and thickness as specified for new ductwork. Duct insulation damaged due to installation of new work and demolition only shall be replaced.

H. All supply air diffusers and supply air registers shall be fully insulated on the rear exposed surface to prevent condensation. Insulation shall be 1 ½" inch flexible blanket type 1 ½ pcf minimum density with reinforced foil-scrim-Kraft vapor barrier facing, .25 max "k" factor.

I. All airflow monitoring stations shall be externally insulated similar to adjacent ductwork as hereinbefore specified.

2.5. ACCESSORY MATERIALS

A. Accessory materials installed as part of insulation work under this section shall include, but not be limited to:

1. Closure Materials - Butt strips, bands, wires, staples, mastics, adhesives; pressure-sensitive tapes.

2. Field-applied jacketing materials - sheet metal, plastic, canvas, fiber glass cloth, insulating cement; PVC fitting covers, PVC jacketing.

3. Support Materials - Hanger straps, hanger rods, saddles.

4. Fasteners, weld pins/studs, speed clips, insulation washers.

5. Metal mesh or expanded metal lagging.

B. All accessory materials shall be installed in accordance with project drawings and specifications, manufacturer's instructions, and/or in conformance with the current edition of the Midwest Insulation Contractors Association (MICA) "Commercial & Industrial Insulation Standards."

2.6. EXTERIOR ROOF MOUNTED DUCTWORK INSULATION SYSTEM

A. Insulate all exterior roof mounted ductwork with an interlocking, four-piece, Techna-Duc insulation panel system as manufactured by P.T.M. Manufacturing, L.L.C., Fabrite as manufactured by County Group, or Flex Clad – 400 jacketing system as manufactured by Tri-State Insulation, Foster Vapor Fas 62-05 as manufactured by MFM Building Products, Weatherguard as manufactured by MKT Metal Manufacturing, or an approved equal. Insulation system shall be constructed of glass-reinforced polyisocyanurate foam insulation encased in 1.25 mil aluminum foil vapor barrier facing. All insulation shall be a combined minimum thickness of 2-inch rigid or non-flexible board type, 2.0 pcf minimum density, .25 max "K" factor at 75 degrees Fahrenheit mean temperature. Exterior weather barrier shall be fabricated of embossed aluminum sheeting, minimum 0.032-inches in thickness, and laminated to insulation foil facing. Total installed R-value shall be R-16 at 2 inch thickness.

B. Refer to Division 23 Section, *HVAC Air Distribution* and contract drawings for location of all exterior roof mounted ductwork.

C. All roof mounted ductwork shall meet the specifications set forth in Division 23 Section, *HVAC Distribution* before installation of the insulation system, to include sealing of joints, testing and duct leakage and installation of duct accessories.

D. All vapor barrier joints, seams, tears, punctures, and other penetrations shall be closed with 3-inches by 1.25 mil minimum aluminum foil faced tape, and/or non-setting vapor barrier coating as applicable. Material shall be fitted so that the vapor barrier seal is continuous and does not allow for water vapor infiltration.

E. Insulation panel system shall be fitted into place on the ductwork. Each insulation panel shall be constructed so that all vertical and horizontal insulation seams shall have an interlocking and overlapping shiplap style joint to provide a thermal seal. The overlap shall be a minimum of 2-inches thick. Fasten panel system together at overlapping joints using #10 self tapping, stainless steel, vapor seal screws with weather seal washers on a maximum of 12-inches center. For ducts wider than 48-inches, a bottom fastener should be utilized.

F. At all circumferential joints, apply butyl compound putty using a laminate roller. The butyl compound shall be covered with a 3-inch wide cap of embossed aluminum sheeting, minimum 0.032-inches in thickness. All seams of the aluminum cap and all weather barrier abutments shall be sealed with a bead of RTV caulk, colored to match the panel system.

G. Insulation and jacketing shall cover all duct flanges to prevent collection of water or leaks.

H. All exterior access doors shall be covered with removable insulation system and shall be labeled.

I. At all static pressure measurement points and pitot tube traverse points install inspection plugs as manufactured by Inspection Plug Strategies. Inspection plugs shall be installed in ductwork per manufacturer's requirements and shall be located where indicated by the Test/Balance Engineer. The inspection plugs shall comply with the following:

1. 50 Durometer EPDM Rublen rated for 340°F.
2. Temperature resistant range= -67°F to 340°F.
3. U.V. resistant.
4. Ozone resistant.
5. Comply with ASTM D470 0624 D2132 D865 D395. Furnish each inspection plug with EPDM sealing flange, EPDM cap, and stainless steel attached lanyard.
6. Provide waterproof label identifying all inspection plugs.

2.7. FIELD-APPLIED JACKET

A. Field-applied jackets shall comply with ASTM C 921, Type I, unless otherwise indicated.

B. PVC Jacket: High-impact-resistant, UV-resistant PVC complying with ASTM D 1784, Class 16354-C; thickness as scheduled; roll stock ready for shop or field cutting and forming. Thickness is indicated in field-applied jacket schedules.

1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
   a). Johns Manville; Zeston.
   b). P.I.C. Plastics, Inc.; FG Series.
   c). Proto PVC Corporation; LoSmoke.
   d). Speedline Corporation; SmokeSafe.

2. Adhesive: As recommended by jacket material manufacturer.

3. Color: High gloss white.

4. Factory-fabricated fitting covers to match jacket if available; otherwise, field fabricate.
   a). Shapes: 45- and 90-degree, short- and long-radius elbows, tees, valves, flanges, unions, reducers, end caps, soil-pipe hubs, traps, mechanical joints, and P-trap and supply covers for lavatories.

PART 3. EXECUTION

3.1. WORKMANSHIP

A. The Contractor shall take special care to prevent soiling equipment below or adjacent to areas being insulated. He shall be completely responsible for removing insulation cement splashes and smears and all surfaces that he mars or otherwise soils or defaces, and he will be totally responsible for restoring these damaged surfaces to their like-new condition when delivered to the site.

3.2. SITE INSPECTION

A. Before starting work under this section, carefully inspect the site and installed work of other trades and verify that such work is complete to the point where installation of materials and accessories under this section can begin.

B. Verify that all materials and accessories can be installed in accordance with project drawings and specifications and material manufacturers' recommendations.

C. Verify, by inspecting product labeling, submittal data, and/or certifications which may accompany the shipments, that all materials and accessories to be installed on the project comply with applicable specifications and standards and meet specified thermal and physical properties.

3.3. PREPARATION

A. Ensure that all pipe and equipment surfaces over which insulation is to be installed are clean and dry.

B. Ensure that insulation is clean, dry, and in good mechanical condition with all factory-applied vapor or weather barriers intact and undamaged. Wet, dirty, or damaged insulation shall not be acceptable for installation.

C. Ensure that pressure testing of piping or duct systems has been completed prior to installing insulation.

3.4. INSTALLATION

A. Piping Systems

1. General:

a). Install all insulation materials and accessories in accordance with manufacturer's published instructions and recognized industry practices to ensure that it will serve its intended purpose.

b). Install insulation on piping subsequent to installation of heat tracing, painting, testing, and acceptance tests.

c). Install insulation materials with smooth and even surfaces. Insulate each continuous run of piping with full-length units of insulation, with single cut piece to complete run. Do not use cut pieces or scraps abutting each other. Butt insulation joints firmly to ensure complete, tight fit over all piping surfaces.

d). Maintain the integrity of factory-applied vapor barrier jacketing on all pipe insulation, protecting it against puncture, tear or other damage. Seal all tears, punctures and other penetrations of the pipe insulation vapor barrier coating.

e). On exposed piping, locate insulation and cover seams in least visible location.

2. Fittings: Cover valves, fittings, unions, flanges, strainers, flexible connections, expansion joints, pump bodies, strainers, blowdowns, backflow preventers, autoflow valves and similar items in each piping system using one of the following:

a). Mitered sections of insulation equivalent in thickness and composition to that installed on straight pipe runs.

b). Cold pipe fittings: Apply a tack coat of vapor barrier coating and reinforcing mesh to produce a smooth surface. After ½ hour, apply a second coat of same vapor barrier coating, UL labeled, Type C, for cold water piping.

c). Hot pipe fittings and Type H for hot water piping: Apply tack of breather mastic. Wrap fitting with fiberglass reinforcing cloth overlapping adjoining sections of pipe insulation by 2-inches. Apply a second coat of Type C or Type H breather mastic over the reinforcing cloth, working it to a smooth finish.

d). Insulation cement equal in thickness to the adjoining insulation.

e). PVC fitting covers insulated with material equal in thickness and composition to adjoining insulation.

3. Penetrations: Extend piping insulation without interruption through walls, floors, and similar piping penetrations, except where otherwise specified.

4. Joints:

a). Butt pipe insulation against hanger inserts. For hot pipes, apply 3-inch (7.5cm) wide vapor barrier tape or bank over butt joints. For cold piping, apply wet coat of vapor barrier lap cement on butt joints, and seal joints with 3-inch (7.5cm) wide vapor barrier tape or band.

b). All pipe insulation ends shall be tapered and sealed, regardless of service.

B. Ductwork Insulation:

1. General:

a). Before installing insulation, ensure that all seams and joints in ductwork have been sealed and leak tested by the contractor responsible for the duct system. Before applying duct insulation, air ducts shall be clean and dry.

b). Install insulation in accordance with manufacturer's published instructions and recognized industry practice to ensure that it will serve its intended purpose.

c). Install insulation materials with smooth and even surfaces. Butt joints firmly together to ensure complete and tight fit over surfaces to be covered.

d). Maintain the integrity of factory-applied vapor barrier jacketing on all insulation, protecting it against puncture, tears or other damage. All staples used on ductwork insulation shall be coated with suitable sealant to maintain vapor barrier integrity and covered with pressure sensitive vapor barrier tape and vapor barrier coating as specified.

e). Insulate entire system including fittings, joints, flanges, fire dampers, flexible connections, and exposed joints. All portions of duct designated to receive duct wrap shall be completely covered with duct wrap.

f). To ensure installed thermal performance, duct wrap insulation shall be cut to "stretch-out" dimensions. Maintain specified duct insulation thickness and vapor barrier at all fittings, obstructions, and duct flanges.

g). A 2-inch (50mm) piece of insulation shall be removed from the facing at the end of the piece of duct wrap to form an overlapping stapling and taping flap.

h). Install duct wrap insulation with facing outside so that the tape flap overlaps the insulation and facing at the other end of the piece of duct wrap. Adjacent sections of duct wrap insulation shall be tightly butted with the 2-inch (50mm) stapling and taping flap overlapping. If ducts are rectangular or square, install so insulation is not excessively compressed at corners. Seams shall be stapled approximately 6-inches (150mm) on center with 2-inch (13mm) (min) steel outward clinching staples.

i). Seams, joints and staples shall be sealed with pressure-sensitive tape matching the insulation facing (either plain foil or FRK backing stock) and glass fabric and vapor barrier coating. Cloth duct tape of any color or finish using reclaimed rubber adhesives shall not be utilized on duct wrap insulation. Adjacent sections of duct wrap shall be tightly butted with the 2-inch (50mm) tape flap overlapping.

j). Where rectangular ducts are 24-inch (600mm) in width or greater, duct wrap insulation shall be additionally secured to the bottom of the duct with mechanical fasteners such as pins and speed clip washers, spaced on 18-inch (425mm) centers (maximum) to prevent sagging of insulation.

k). Seal all tears, punctures and other penetrations of the duct wrap facing using one of the above methods to provide a vapor tight system.

l). Upon completion of installation of duct wrap and before operation is to commence, visually inspect the system and verify that it has been correctly installed.

m). Open all system dampers and turn on fans to blow all scraps and other loose pieces of material out of the duct system. Allow for a means for removal of such material.

n). Check the duct system to ensure that there are no air leaks through joints.

o). No ductwork insulation shall be supported utilizing tie wire or bailing wire. Penetrations of ductwork insulation vapor barrier are prohibited.

p). Bevel and terminate insulation at access doors. Paint edges with vapor barrier mastic.

q). Install insulation board between volume dampers and sheet metal standoffs.

r). Provide removable insulation section at all pitot tube traverse points. Insulation section shall contain tether that attaches to adjacent ductwork.

2. Penetrations: Extend ductwork insulation without interruption through walls, floors and similar ductwork penetrations, except where otherwise specified.

3. Ductwork Exposed to Weather: Protect outdoor insulation from weather by installing outdoor weather barrier mastic or jacketing as recommended by the insulation manufacturer.

4. Rigid Insulation:

a). Rigid duct insulation may be impaled over welded pins and secured with insulation caps and washers matching the color of the vapor barrier facing. All seams shall be firmly butted and sealed with pressure-sensitive vapor barrier tape matching the facing and vapor barrier coating.

b). Corner angles shall be installed on all external corners of rigid duct insulation in exposed finished areas before jacketing, except oven and hood exhaust duct insulation, which shall have no corner angles.

5. Duct Wrap Insulation: Duct wrap insulation shall be applied with all joints butted firmly together. All joints in the insulation covering shall be sealed with adhesive. Duct wrap insulation shall be secured to bottom of rectangular or oval ducts over 24inches (60cm) wide with mechanical fasteners on 16-inch (40 cm) (approx.) centers to prevent sagging.

6. Duct Lining Insulation: Duct liner insulation shall be applied with all joints tightly butted using 90 percent coverage of adhesive meeting the requirements of ASTM C 916 plus mechanical fasteners spaced according to the liner manufacturer's schedule for the interior width of the plenum, housing, or air shaft. (Also refer to Division 23 Section, *HVAC Air Distribution System*.)

3.5. FIELD QUALITY ASSURANCE

A. Upon completion of all insulation work covered by this specification, visually inspect the work and verify that it has been correctly installed. This may be done while work is in progress, to assure compliance with requirements herein to cover and protect insulation materials during installation.

3.6. PROTECTION

A. Replace damaged insulation which cannot be satisfactorily repaired, including insulation with vapor barrier damage and moisture-saturated insulation.

B. The insulation contractor shall advise the general and/or the mechanical contractor as to requirements for protection of the insulation work during the remainder of the construction period, to avoid damage and deterioration of the finished insulation work.

3.7. SAFETY PRECAUTIONS

A. Insulation contractor's employees shall be properly protected during installation of all insulation. Protection shall include proper attire when handling and applying insulation materials, and shall include (but not be limited to) disposable dust respirators, gloves, hard hats, and eye protection.

B. The insulation contractor shall conduct all job site operations in compliance with applicable provisions of the Occupational Safety and Health Act, as well as with all state and/or local safety and health codes and regulations that may apply to the work.

3.8. INSULATION COVERING

A. Unless otherwise noted, all exposed duct insulation shall have a field applied PVC jacket cover neatly cut and pasted over ductwork insulation. PVC shall be high gloss white and shall be 20 mils thick.

B. Unless otherwise noted, all exposed pipe insulation required to be insulated shall be jacketed with a PVC Jacketing with fitting covers. PVC jacket shall be color fade resistant, white high gloss, U.S.D.A. authorized as manufactured by Proto Corporation or approved equal. PVC jacketing shall be high impact, ultraviolet resistant PVC. Minimum thickness shall be 20 mils, roll stock ready for shop or field cutting and forming.

C. Exposed areas include, but are not limited to, all mechanical equipment rooms/fan rooms, piping and ductwork exposed in an occupied space.

D. Where PVC jackets are indicated, install with 1 inch overlap at longitudinal seams and end joints, for horizontal applications, install with longitudinal seams along top and bottom of tanks and vessels. Seal with manufacturers recommended adhesive.

1. Apply two continuous beads of adhesive to seams and joints, one bead under lap and the finish bead along seam and joint edge.

E. Exterior exposed pipe insulation required to be insulated shall be jacketed with a corrugated aluminum jacketing system as previously described. Seal all laps with 1/8” bead metal jacketing sealant.

END OF SECTION

DIVISION 23 SECTION 230900
INSTRUMENTATION AND CONTROLS OF HVAC SYSTEMS

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SECTION 230900 - INSTRUMENTATION AND CONTROLS OF HVAC AND PLUMBING SYSTEMS

PART 1. GENERAL

1.1. SUMMARY

A. For General Mechanical Requirements, see Division 23 Section, *Common Work Results for HVAC*, and Division 01 Sections.

B. Comply with all code requirements and fire safety requirements as specified in Division 23 Section, *Common Work Results for HVAC*.

C. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

D. This Section includes control equipment for HVAC systems and components, including control components for terminal heating and cooling units not supplied with factory wired controls.

E. The automatic temperature control system ATC and central control and monitoring system (CCMS) shall be electric/electronic direct digital control (DDC), Johnson Controls FX as installed by Modern Controls. All work associated with the automatic temperature control system shall be performed by personnel regularly and directly employed by the Automatic Temperature Controls Contractor. Control System shall be web based, allowing the client access via a standard web browser.

F. Coordinate controls with controlled equipment. Upon completion of the work, calibrate and adjust all controls for proper function. Electric wiring, including interlock wiring for equipment such as fans, switches, ductless units, single zone VAV units, etc., shall be furnished and installed under this section. All electrical work shall conform to the applicable requirements of Division 26.

G. All automatic temperature control dampers, valves and separable wells for immersion elements furnished by the Control Manufacturer shall be installed by the Mechanical Contractor or his sheet metal subcontractor under the Control Manufacturer's supervision.

H. Reference is hereby made for this contractor to become familiar with Division 26 of these specifications. Familiarization is for coordination purposes only. The control contractor shall provide all necessary relays, contacts, interlock wiring etc. not provided under Division 26 for the automation of the ATC and CCMS systems as required by the sequence of operation and input/output schedule. The control contractor shall coordinate all requirements with the building Fire Alarm System. The control contractor shall provide all additional devices and interlock wiring required for the automation of the ATC system and monitoring of the CCMS system.

I. Furnish all labor, materials, software, equipment and services necessary for and incidental to furnishing and installing a complete direct digital control, automatic temperature control system to meet the requirements of the sequence of operation described on the Drawings.

J. Unless the necessary items are specified to be provided with mechanical equipment by Division 23, the ATC contractor shall coordinate with Division 23, Mechanical, and shall furnish and install all items necessary to meet the requirements of the Sequence of Operation and the Central Control and Monitoring System (CCMS) indicated on the drawings and as required in this specification.

K. The control system shall include all necessary and specified control equipment properly installed in accordance with the specifications and drawings and shall include, but not be limited to the automatic temperature control and energy management system of the following:

1. Airflow Monitoring Stations
2. Carbon Dioxide Sensors
3. Ductless Units
4. Duct Detector Fan Interlocks
5. General Exhaust Systems
6. Hot Gas Re-heat Coils
7. Single Zone VAV Units
8. Variable Speed Drives

L. All labor, material, equipment and software to meet the functional intent of the system, as specified herein and as shown on the drawings, shall be included. Drawings are diagrammatic only. Equipment and labor not specifically referred to herein or on the plans, that are required to meet the functional intent, shall be provided without additional cost to the owner.

M. Where equipment is specified to be provided by equipment manufacturer or where packaged controls are specified map out all points provided by the manufacturer so the same can be viewed by ATC system. As a minimum all points indicated in the point list and control diagram must be viewable and adjustable from the ATC system. Coordinate with equipment manufacturer.

1.2. DEFINITIONS

A. DDC: Direct digital control.

B. I/O: Input/output.

C. LonWorks: A control network technology platform for designing and implementing interoperable control devices and networks.

D. MS/TP: Master slave/token passing.

E. PC: Personal computer.

F. PID: Proportional plus integral plus derivative.

G. RTD: Resistance temperature detector.

H. UPS: Uninterruptible Power Supply.

I. NAE: Network Automated Engine.

1.3. SYSTEM PERFORMANCE

A. Comply with the following performance requirements:

1. Graphic Refresh: Update graphic with minimum 20 dynamic points with current data within 8 seconds.
2. Object Command: Reaction time of less than two seconds between operator command of a binary object and device reaction.
3. Object Scan: Transmit change of state and change of analog values to control units or workstation within six seconds.
4. Alarm Response Time: Annunciate alarm at workstation within 45 seconds. Multiple workstations must receive alarms within five seconds of each other.
5. Program Execution Frequency: Run capability of applications as often as five seconds, but selected consistent with mechanical process under control.
6. Performance: Programmable controllers shall execute DDC PID control loops, and scan and update process values and outputs at least once per second.
7. Reporting Accuracy and Stability of Control: Report values and maintain measured variables within tolerances as follows:
   a). Space Temperature: Plus or minus 1 deg F (0.5 deg C).
   b). Ducted Air Temperature: Plus or minus 1 deg F (0.5 deg C).
   c). Outside Air Temperature: Plus or minus 2 deg F (1.0 deg C).
   d). Temperature Differential: Plus or minus 0.25 deg F (0.15 deg C).
   e). Relative Humidity: Plus or minus 5 percent.
   f). Airflow (Measuring Stations): Plus or minus 5 percent of full scale.
   g). Air Pressure (Space): Plus or minus 0.01-inch wg (2.5 Pa).
   h). Air Pressure (Ducts): Plus or minus 0.1-inch wg (25 Pa).
   i). Carbon Dioxide: Plus or minus 50 ppm.
   j). Electrical: Plus or minus 5 percent of reading.

1.4. DELIVERY, STORAGE, AND HANDLING

A. Factory-Mounted Components: Where control devices specified in this Section are indicated to be factory mounted on equipment, arrange for shipping of control devices to equipment manufacturer.

B. System Software: Update to latest version of software at Project completion.

1.5. COORDINATION

A. Coordinate location of thermostats, humidistats, CO_2 sensors, and other exposed control sensors with plans and room details before installation.

B. Coordinate equipment with Division 28 Section, *"Fire Alarm System"* to achieve compatibility with equipment that interfaces with that system.

C. Coordinate supply of conditioned electrical branch circuits for control units and operator workstation.

D. Coordinate equipment with Division 26 Section, *Panelboards* to achieve compatibility

with starter coils and annunciation devices.

E. Coordinate equipment with Division 26 Section, *Motor-Controllers* to achieve compatibility with motor starters and annunciation devices.

1.6. WORK BY OTHERS

A. Automatic temperature control valves, air flow stations, pipe taps, flow meters, and separable wells for immersion elements furnished by the control manufacturer shall be installed by the mechanical contractor under the control manufacturer's supervision. The control contractor shall deliver to the mechanical contractor valves and wells for installation within the various systems.

B. All automatic dampers furnished by the control manufacturer shall be installed by the mechanical contractor under the control manufacturer's supervision.

1.7. QUALITY ASSURANCE

A. The automatic temperature control (ATC) system and the central control and monitoring system (CCMS) shall be as manufactured by Johnson Controls and installed by Modern Controls shall be an acceptable installer of the ATC system.

B. Supplier shall have an in-place support facility with technical staff, spare parts inventory and all necessary test and diagnostic equipment. The fully staffed and equipped office shall be within a 60 mile radius of the job site.

C. The systems shall be complete in all respects, and shall be installed by skilled personnel. The Control Contractor shall have a successful history in the installation and maintenance of automatic temperature control systems similar in size and performance to that specified herein.

D. All electrical wiring in connection with the Automatic Temperature Control System shall be furnished and installed by the ATC Contractor. This shall include all interlock wiring between the fans, dampers, ductless units, condensing units, single zone VAV units, etc.

E. Bids by wholesalers, contractors or franchised dealers or any other firm whose principal business is not that of manufacturing or installing automatic temperature control systems, shall not be acceptable. Bid documents that are not complete in their response to these documents or take exception to any of the capabilities defined within these documents shall not be acceptable.

F. Installer Qualifications: Automatic control system manufacturer's authorized representative who is trained and approved for installation of system components required for this Project.

G. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.

H. Comply with ASHRAE 135 for DDC system components.

1.8. GUARANTEE AND INSTRUCTION

A. The control system including all components, system software, parts and assemblies herein specified shall be free from defects in workmanship and materials under normal use and service. After completion of the installation, the Control Manufacturer shall regulate and adjust all thermostats, control valves, control motors, and other equipment provided under this contract. If within two (2) years from the date of acceptance by Owner any of the equipment herein described is proved to be defective in workmanship or materials, it will be replaced or repaired at no additional cost to the Owner. The Control Manufacturer shall, after completion, provide any service incidental to the proper performance of the Control System under guarantees outlined above for a period of two (2) years. Normal maintenance of the system is not to be considered part of the guarantee. All corrective modifications made during warranty service periods shall be updated on all user documentation including "as-built" shop drawings and on user and manufacturer archived software disks.

B. The control contractor shall completely check out, calibrate and test all connected hardware to insure that the system performs in accordance with the approved specifications and sequences of operation submitted.

C. Upon completion of the work, the control drawings encased in heavy plastic shall be provided where directed. Layout shall show all control equipment and the function of each item indicated.

D. The temperature control contractor's office shall be within a 100 mile radius of the job site.

E. The contractor shall respond to the job site with qualified technicians within a 4 hour period for any emergency relating to the control system or energy management systems.

F. This agreement shall include emergency service during normal working hours.

1.9. SUBMITTALS

A. Product Data: Include manufacturer's technical literature for each control device. Indicate dimensions, capacities, performance characteristics, electrical characteristics, finishes for materials, and installation and startup instructions for each type of product indicated.

1. DDC System Hardware: Bill of materials of equipment indicating quantity, manufacturer, and model number. Include technical data for operator workstation equipment, interface equipment, control units, transducers/transmitters, sensors, actuators, valves, relays/switches, control panels, and operator interface equipment.

2. Control System Software: Include technical data for operating system software, operator interface, color graphics, and other third-party applications.

3. Controlled Systems: Instrumentation list with element name, type of device, manufacturer, model number, and product data. Include written description of sequence of operation including schematic diagram.

B. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.

1. Bill of materials of equipment indicating quantity, manufacturer, and model number.

2. Schematic flow diagrams showing equipment, fans, pumps, coils, dampers, valves, and control devices.

3. Wiring Diagrams: Power, signal, and control wiring.

4. Details of control panel faces, including controls, instruments, and labeling.

5. Written description of sequence of operation.

6. Schedule of dampers including size, leakage, and flow characteristics.

7. Schedule of valves including flow characteristics.

8. DDC System Hardware:
   a). Wiring diagrams for control units with termination numbers.
   b). Schematic diagrams and floor plans for field sensors and control hardware.
   c). Schematic diagrams for control, communication, and power wiring, showing trunk data conductors and wiring between operator workstation and control unit locations.

9. Control System Software: List of color graphics indicating monitored systems, data (connected and calculated) point addresses, output schedule, and operator notations.

10. Controlled Systems:
    a). Schematic diagrams of each controlled system with control points labeled and control elements graphically shown, with wiring.
    b). Scaled drawings showing mounting, routing, and wiring of elements including bases and special construction.
    c). Written description of sequence of operation including schematic diagram.
    d). Points list.

C. Data Communications Protocol Certificates: Certify that each proposed DDC system component complies with LonWorks or Bacnet.

D. Software and Firmware Operational Documentation: Include the following:

1. Software operating and upgrade manuals.

2. Program Software Backup: On a magnetic media or compact disc, complete with data files.

3. Device address list.

4. Printout of software application and graphic screens.

5. Software license required by and installed for DDC workstations and control systems.

E. Software Upgrade Kit: For Owner to use in modifying software to suit future systems revisions or monitoring and control revisions.

F. Qualification Data: For Installer and manufacturer.

G. Field quality-control test reports.

H. Submit screen shots of ATC system graphics at substantial completion.

I. Operation and Maintenance Data: For HVAC instrumentation and control system to include in emergency, operation, and maintenance manuals. In addition to items specified in Division 01 Section, *Operation and Maintenance Data*, and Division 23 Section, *Common Work Results for HVAC* include the following:

1. Maintenance instructions and lists of spare parts for each type of control device.

2. Interconnection wiring diagrams with identified and numbered system components and devices.

3. Keyboard illustrations and step-by-step procedures indexed for each operator function.

4. Inspection period, cleaning methods, cleaning materials recommended, and calibration tolerances.

5. Calibration records and list of set points.

J. Upon completion of the work, provide a complete set of "as-built" drawings and application software on CD, USB, or other type of electronic storage device. Drawings shall be provided in format as acceptable to the Owner's files. Submit as-built drawings and specification to Owner's representative for review and approval prior to final project closeout.

1.10. SOFTWARE LICENSE AGREEMENT

A. The owner shall sign a copy of the manufacturer's standard software and firmware licensing agreement as a condition of this contract. Such license shall grant use of all programs and application software to owner as defined by the manufacturer's license agreement, but shall protect manufacturer's rights to disclosure of trade secrets contained within such software.

B. Software license agreement shall not apply on projects where existing ATC system is being extended.

1.11. ELECTRICAL SURGE PROTECTION

A. It is the responsibility of the ATC/FMS contractor to provide adequate surge protection for all wall mounted control panels required for this project.

1. Devices under surge protection shall be of design that loss of memory will not occur in the event of the surge protection device being activated due to surge/spike conditions.

2. Surge protection devices will be required to be hard wired, with the exception of peripheral devices that use standard 110VAC plugs for connections (i.e. Modems).

3. Surge protection devices are to be rated for 120 VAC single phase, 20 (or greater) amps capacity.

4. Surge Protection devices to include internal fuse protection, audible surge alarm & LED indicators.

5. Surge protectors to have clamping voltage of 480V peak, maximum surge current rating of 50,000 amps. Unit to have NEMA 12 enclosure with wall mounting bracket and conduit connection.

1.12. TRAINING

A. The training time period shall be coordinated with the school system's facility Engineer. The schedule training period shall be arranged at the owner's convenience.

B. Cost shall include all training material, instruction books, and two copies of video tape with sound DVD of training session.

C. Upon completion of the work, the Control Contractor shall have completely adjusted the entire control system. He shall arrange to instruct the Owner's representative on the operation of the control system for a period of not less than eight (8) hours. All training shall be by the control contractor and shall utilize specified manuals and as-built documentation.

D. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain control systems and components.

1. Train Owner's maintenance personnel on procedures and schedules for starting and stopping, troubleshooting, servicing, and maintaining equipment and schedules.
2. Provide operator training on data display, alarm and status descriptors, requesting data, executing commands, calibrating and adjusting devices, resetting default values, and requesting logs. Include a minimum of 40 hours' dedicated instructor time on-site.
3. Review data in maintenance manuals. Refer to Division 01 Section, *Contract Closeout.*
4. Review data in maintenance manuals. Refer to Division 01 Section, *Operation and Maintenance Data*.

5. Schedule training with Owner, through Engineer, with at least seven days' advance notice.

1.13. ALTERNATES

A. Refer to Division 01 Section, *Alternates* for description of work under this section affected by alternates.

1.14. NEW CASTLE COUNTY VOTECH SCHOOL DISTRICT SPECIFIC REQUIREMENTS

A. The ATC Subcontractor shall include in his bid all costs associated with incorporating the following specific requirements:

1. All holiday schedules shall incorporate a 12 month block. Coordinate exact holidays, schedules, calendars, occupied, unoccupied periods with Owner prior to writing software. All schedules shall be reviewed and approved by the Owner.

2. Relays for ATC equipments shall not be located in ceilings. All relays shall be located in equipment control panels and/or mechanical rooms.

3. All exhaust fans shall be assigned a designated point. Utilizing relays to provide digital point for exhaust fans shall not be acceptable.

4. Graphics on ATC computer shall in addition to basic requirements indicate the percentage open or closed on all valves and dampers.

5. The ATC Computer Graphics shall incorporate the final room numbers actually utilized in the school. All room names utilized in the graphic display shall be reviewed and approved by the Owner.

6. The ATC Computer Graphics shall indicate for each item of equipment the “on” or “off” status and command shall be “run” or “stop”.

7. The ATC Computer Graphic shall indicate for each duct smoke detector the “on” or “off” status and command.

8. All Temperature Sensors, equipment, humidity sensors, current sensors, CO_2 sensors, differential pressure sensors, etc. indicated on ATC Control Diagrams and point list shall be displayed on the ATC Computer Graphic. Measured value or status shall be displayed.

9. For any multi-stage HVAC units, the quantity of compressor stages and the quantity of gas heating heat stages shall be displayed on the Computer Graphics.

10. The exact space temperature set points, humidity set points, changeover set points. etc., shall be coordinated with Owner prior to final data entry. All items indicated in sequences of Operation as “adjustable” shall be reviewed and approved by Owner prior to implementation of the same.

11. The outside air humidity and outside air temperature shall be monitored on ATC system and reported on ATC Computer Graphics. See Floor plans for exact locations.

12. Provide a graphic of all floor plans indicating location of all equipment interlocked with ATC System including all control panels.

13. Graphic shall also indicate area of building served by each item of equipment. Graphics shall indicate all global sensor readings.

14. All equipment shall be labeled with name of equipment, area served, and area location (room name/number).

1.15. GLOBAL SENSORS

A. General

1. Furnish and install global sensors and report the same on the automatic temperature control system.

2. Global sensors shall monitor and trend the following conditions:

a). Outside air temperature.

b). Outside air humidity.

c). Outside air carbon dioxide level.

d). All fan speeds where variable frequency drives are specified.

e). All fan amperages where variable speed fans are indicated. Graphic shall also indicate area of building served by each item of equipment.

f). Global holiday schedules:

i. Provide all interlock wiring and programming to allow a global holiday schedule for all equipment except the administration equipment. Global holiday schedule shall allow the Owner to shut down the entire school's HVAC systems if an unscheduled event occurs when school is cancelled.

ii. System shall also be capable of individual scheduling of equipment as specified or all can be globally modified at once.

g). All equipment interlocked with ATC system shall be able to be turned on/off via ATC system as specified. Changing temperature set point alone is not acceptable method for turning equipment on/off.

h). Where damper position is indicated ATC graphic shall indicate percentage open or percentage closed.

PART 2. PRODUCTS

2.1. BUILDING MANAGEMENT SYSTEM

A. The Building Management System (BMS) shall use an open architecture and fully support a multi-vendor environment. To accomplish this effectively, the BMS shall support open communication protocol standards and integrate a wide variety of third-party devices and applications. The system shall be designed for use on the Internet, or intranets using off the shelf, industry standard technology compatible with other owner provided networks.

B. The Building Management System shall consist of the following:

1. Standalone Network Automation Engine(s)

2. Field Equipment Controller(s)

3. Input/Output Module(s)

4. Local Display Device(s)

5. Portable Operator's Terminal(s)

6. Distributed User Interface(s)

7. Network processing, data storage and communications equipment

8. Other components required for a complete and working BMS

C. The system shall be modular in nature, and shall permit expansion of both capacity and functionality through the addition of sensors, actuators, controllers and operator devices, while re-using existing controls equipment.

D. System architectural design shall eliminate dependence upon any single device for alarm reporting and control execution. The failure of any single component or network connection shall not interrupt the execution of control strategies at other operational devices.

E. Acceptable Manufacturers

1. Johnson Controls.

F. Automation Network

1. The automation network shall be based on a PC industry standard of Ethernet TCP/IP. Where used, LAN controller cards shall be standard “off the shelf” products available through normal PC vendor channels.

2. The automation network shall be capable of operating at a communication speed of 100 Mbps, with full peer-to-peer network communication.

3. Network Automation Engines (NAE) and/or system controllers shall reside on the automation network.

4. The automation network will be compatible with other enterprise-wide networks. Where indicated, the automation network shall be connected to the enterprise network and share resources with it by way of standard networking devices and practices.

G. Control Network

1. Network Automation Engines and/or system controllers shall provide supervisory control over the control network and shall support all three (3) of the following communication protocols:

   a). BACnet Standard MS/TP Bus Protocol ASHRAE SSPC-135, Clause 9.
   b). The Johnson Controls N2 Field Bus or equivalent.
   c). Tridium FX-40

2. Control networks shall provide either "Peer-to-Peer," Master-Slave, or Supervised Token Passing communications, and shall operate at a minimum communication speed of 9600 baud.

3. DDC Controllers shall reside on the control network.

4. Control network communication protocol shall be BACnet Standard MS/TP Bus Protocol ASHRAE SSPC-135.

5. A BACnet Protocol Implementation Conformance Statement shall be provided for each controller device (master or slave) that will communicate on the BACnet MS/TP Bus.

6. The Conformance Statements shall be submitted 10 day prior to bidding.

H. Integration

1. Hardwired

   a). Analog and digital signal values shall be passed from one system to another via hardwired connections.
   b). There will be one separate physical point on each system for each point to be integrated between the systems.

2. BACnet Protocol Integration – BACnet

   a). The neutral protocol used between systems will be BACnet over Ethernet and comply with the ASHRAE BACnet standard 135-2003.
   b). A complete Protocol Implementation Conformance Statement (PICS) shall be provided for all BACnet system devices.
   c). The ability to command, share point object data, change of state (COS) data and schedules between the host and BACnet systems shall be provided.

I. Dedicated Web Based User Interface

1. Dedicated User Interface Architecture – The architecture of the computer shall be implemented to conform to industry standards, so that it can accommodate applications provided by the BMS Contractor and by other third party applications suppliers, including but not limited to Microsoft Office Applications. Specifically it must be implemented to conform to the following interface standards.

   a). Microsoft Internet Explorer for user interface functions
   b). Microsoft Office Professional for creation, modification and maintenance of reports, sequences other necessary building management functions
   c). Microsoft Outlook or other e-mail program for supplemental alarm functionality and communication of system events, and reports
   d). Required network operating system for exchange of data and network functions such as printing of reports, trends and specific system summaries.

2. Computer Hardware – The personal computer(s) shall be configured as follows:

   a). Description: A tower or all-in-one computer designed for normal use at a single, semipermanent location.

   b). Performance Requirements:

      1). Performance requirements may dictate equipment exceeding minimum requirements indicated.
      2). Energy Star compliant.

   c). Personal Computer:

      1). Minimum Processor Speed: 3 gigahertz (GHz).

   d). RAM:
      1). Capacity: 8GB.
      2). Speed and Type: 1333 MHz, SDRAM.

   e). Hard Drive:
      1). Media: Solid state.
      2). Number of Hard Drives: One.
      3). Capacity: 250GB.

   f). Optical Read and Write Drive:
      1). Include with at least 2 MB of data buffer.
      2). Type: SCS1 CD-ROM Drive with Read/Write Capability.
      3). Average access time of 150 ms or less.

   g). At least four expansion slots.

   h). Video Card:

1). Resolution: 1920 by 1200 pixels.
2). RAM: 4 GB.
3). Controller Speed: 4GHz.

i). Sound Card:
1). At least 128 voice wavetable synthesis.
2). Capable of delivering three-dimensional sound effects.
3). High-resolution 16-bit stereo digital audio recording and playback with user-selectable sample rates up to 48,000 Hz.

j). Network Interface Card: Include card with connection, as applicable.
1). 10-100-1000 base TX Ethernet with RJ45 connector port.
2). 100 base FX Ethernet with SC or ST port.

k). Wireless Ethernet, 802.11 a/b/g/n.

l). Optical Modem: Full duplex link for connection to optical fiber cable provided.

m). I/O Ports:
1). Two USB 3.0 ports on front panel, six on back panel, and three internal on motherboard.
2). One serial port.
3). One parallel port.
4). Two PS/2 ports.
5). One RJ-45.
6). One stereo line-in and headphone/line-out on back panel.
7). One microphone and headphone connector on front panel.
8). One IEEE 1394 on front and back panel with PCI-e card.
9). One ESATA port on back panel.

n). Battery: Life of at least three years to maintain system clock/calendar and ROM, as a minimum.

o). Keyboard:

1). 101 enhanced keyboard.
2). Full upper- and lowercase ASCII keyset, numeric keypad, dedicated cursor control keypad, and 12 programmable function keys.
3). Wireless operation within up to 72 inches (1800 mm) in front of workstation.

p). Pointing Device:

1). Either a two- or three-button mouse.
2). Wireless operation within up to 72 inches (1800 mm) in front of workstation.

q). Flat Panel Display Monitor:
1). Display:

i Color display with 21 inches diagonal viewable area.
ii Digital input signal.
iii Aspect Ratio: 16 to 9.
iv Antiglare display.
v Tilt adjustable base.
vi Energy Star compliant.
vii Resolution: 1920 by 1200 pixels at 60 Hz.
viii Number of Displays: One.

r). Speakers:
1). Two, with individual controls for volume, bass and treble.
2). Signal to Noise Ratio: At least 65 dB.
3). Power: At least 4 W per speaker/channel.
4). Magnetic shielding to prevent distortion on the video monitor.

s). I/O Cabling: Include applicable cabling to connect I/O devices.

J. User Interface Application Components

1. Operator Interface

a). An integrated browser based client application shall be used as the user operator interface program.
b). All Inputs, Outputs, Setpoints, and all other parameters as defined within Part 3 or on the drawings, shown on the design drawings, or required as part of the system software, shall be displayed for operator viewing and modification from the operator interface software.
c). The user interface software shall provide help menus and instructions for each operation and/or application.
d). All controller software operating parameters shall be displayed for the operator to view/modify from the user interface. These include: setpoints, alarm limits, time delays, PID tuning constants, run-times, point statistics, schedules, and so forth.
e). The Operator Interface shall incorporate comprehensive support for functions including, but not necessarily limited to, the following:

i. User access for selective information retrieval and control command execution
ii. Monitoring and reporting
iii. Alarm, non-normal, and return to normal condition annunciation
iv. Selective operator override and other control actions
v. Information archiving, manipulation, formatting, display and reporting
vi. FMS internal performance supervision and diagnostics
vii. On-line access to user HELP menus
viii. On-line access to current FMS as-built records and documentation
ix. Means for the controlled re-programming, re-configuration of FMS operation and for the manipulation of FMS database information in compliance with the prevailing codes, approvals and regulations for individual FMS applications.

x. The operation of the control system shall be independent of the user interface, which shall be used for operator communications only. Systems that rely on an operator workstation to provide supervisory control over controller execution of the sequences of operations or system communications shall not be acceptable.

2. Navigation Trees

a). The system will have the capability to display multiple navigation trees that will aid the operator in navigating throughout all systems and points connected. At minimum provide a tree that identifies all systems on the networks.

b). Provide the ability for the operator to add custom trees. The operator will be able to define any logical grouping of systems or points and arrange them on the tree in any order. It shall be possible to nest groups within other groups. Provide at minimum 5 levels of nesting.

c). The navigation trees shall be "dockable" to other displays in the user interface such as graphics. This means that the trees will appear as part of the display, but can be detached and then minimized to the Windows task bar or closed altogether. A simple keystroke will reattach the navigation to the primary display of the user interface.

3. Alarms

a). Alarms shall be routed directly from Network Automation Engines to PCs and servers. It shall be possible for specific alarms from specific points to be routed to specific PCs and servers. The alarm management portion of the user interface shall, at the minimum, provide the following functions:

i. Log date and time of alarm occurrence.

ii. Generate a "Pop-Up" window, with audible alarm, informing a user that an alarm has been received.

iii. Allow a user, with the appropriate security level, to acknowledge, temporarily silence, or discard an alarm.

iv. Provide an audit trail on hard drive for alarms by recording user acknowledgment, deletion, or disabling of an alarm. The audit trail shall include the name of the user, the alarm, the action taken on the alarm, and a time/date stamp.

v. Provide the ability to direct alarms to an e-mail address or alphanumeric pager. This must be provided in addition to the pop up window described above. Systems that use e-mail and pagers as the exclusive means of annunciating alarms are not acceptable.

vi. Any attribute of any object in the system may be designated to report an alarm.

b). The FMS shall annunciate diagnostic alarms indicating system failures and non-normal operating conditions

c). The FMS shall annunciate application alarms as required.

4. Reports and Summaries

a). Reports and Summaries shall be generated and directed to the user interface displays, with subsequent assignment to printers, or disk. As a minimum, the system shall provide the following reports:

i. All points in the BMS
ii. All points in each BMS application
iii. All points in a specific controller
iv. All points in a user-defined group of points
v. All points currently in alarm
vi. All points locked out
vii. All BMS schedules
viii. All user defined and adjustable variables, schedules, interlocks and the like.

b). Summaries and Reports shall be accessible via standard UI functions and not dependent upon custom programming or user defined HTML pages.

c). Selection of a single menu item, tool bar item, or tool bar button shall print any displayed report or summary on the system printer for use as a building management and diagnostics tool.

d). The system shall allow for the creation of custom reports and queries via a standard web services XML interface and commercial off-the-shelf software such as Microsoft Access, Microsoft Excel, or Crystal Reports.

5. Schedules

a). A graphical display for time-of-day scheduling and override scheduling of building operations shall be provided. At a minimum, the following functions shall be provided:

i. Weekly schedules
ii. Exception Schedules
iii. Monthly calendars

b). Weekly schedules shall be provided for each group of equipment with a specific time use schedule.

c). It shall be possible to define one or more exception schedules for each schedule including references to calendars

d). Monthly calendars shall be provided that allow for simplified scheduling of holidays and special days for a minimum of five years in advance. Holidays and special days shall be user-selected with the pointing device or keyboard, and shall automatically reschedule equipment operation as previously defined on the exception schedules.

e). Changes to schedules made from the User Interface shall directly modify the Network Automation Engine schedule database.

f). Schedules and Calendars shall comply with ASHRAE SP135/2003 BACnet Standard.

g). Selection of a single menu item or tool bar button shall print any displayed schedule on the system printer for use as a building management and diagnostics tool.

6. Password

   a). Multiple-level password access protection shall be provided to allow the user/manager to user interface control, display, and database manipulation capabilities deemed appropriate for each user, based on an assigned password.
   b). Each user shall have the following: a user name (24 characters minimum), a password (12 characters minimum), and access levels.
   c). The system shall allow each user to change his or her password at will.
   d). When entering or editing passwords, the system shall not echo the actual characters for display on the monitor.
   e). A minimum of five levels of access shall be supported individually or in any combination as follows:
      i. Level 1 = View Data
      ii. Level 2 = Command
      iii. Level 3 = Operator Overrides
      iv. Level 4 = Database Modification
      v. Level 5 = Database Configuration
      vi. Level 6 = All privileges, including Password Add/Modify
   f). A minimum of 100 unique passwords shall be supported.
   g). Operators shall be able to perform only those commands available for their respective passwords. Display of menu selections shall be limited to only those items defined for the access level of the password used to log-on.
   h). The system shall automatically generate a report of log-on/log-off and system activity for each user. Any action that results in a change in the operation or configuration of the control system shall be recorded, including: modification of point values, schedules or history collection parameters, and all changes to the alarm management system, including the acknowledgment and deletion of alarms.

7. Screen Manager - The User Interface shall be provided with screen management capabilities that allow the user to activate, close, and simultaneously manipulate a minimum of 4 active display windows plus a network or user defined navigation tree.

8. Dynamic Color Graphics

   a). The graphics application program shall be supplied as an integral part of the User Interface. Browser or Workstation applications that rely only upon HTML pages shall not be acceptable.
   b). The graphics applications shall include a create/edit function and a runtime function. The system architecture shall support an unlimited number of graphics documents (graphic definition files) to be generated and executed.
   c). The graphics shall be able to display and provide animation based on real-time data that is acquired, derived, or entered.
   d). Graphics runtime functions – A maximum of 16 graphic applications shall be able to execute at any one time on a user interface or workstation with 4 visible to the user. Each graphic application shall be capable of

the following functions:

i. All graphics shall be fully scalable
ii. The graphics shall support a maintained aspect ratio.
iii. Multiple fonts shall be supported.
iv. Unique background shall be assignable on a per graphic basis.
v. The color of all animations and values on displays shall indicate the status of the object attribute.

e). Operation from graphics – It shall be possible to change values (setpoints) and states in system controlled equipment by using drop-down windows accessible via the pointing device

f). Graphic editing tool – A graphic editing tool shall be provided that allows for the creation and editing of graphic files. The graphic editor shall be capable of performing/defining all animations, and defining all runtime binding.

i. The graphic editing tool shall in general provide for the creation and positioning of point objects by dragging from tool bars or drop-downs and positioning where required.
ii. In addition, the graphic editing tool shall be able to add additional content to any graphic by importing backgrounds in the SVG, BMP or JPG file formats.

g). Aliasing – Many graphic displays representing part of a building and various building components are exact duplicates, with the exception that the various variables are bound to different field values. Consequently, it shall be possible to bind the value of a graphic display to aliases, as opposed to the physical field tags.

9. Historical trending and data collection

a). Each Automation Engine shall store trend and point history data for all analog and digital inputs and outputs, as follows:

i. Any point, physical or calculated, may be designated for trending. Two (2) methods of collection shall be allowed:
- Defined time interval
- Upon a change of value.

ii. Each Automation Engine shall have the capability to store multiple samples for each physical point and software variable based upon available memory, including an individual sample time/date stamp. Points may be assigned to multiple history trends with different collection parameters.

b). Trend and change of value data shall be stored within the engine and uploaded to a dedicated trend database or exported in a selectable data format via a provided data export utility. Uploads to a dedicated database shall occur based upon one of the following: user-defined interval, manual command, or when the trend buffers are full. Exports shall be as

requested by the user or on a time scheduled basis.

10. Trend data viewing and analysis

    a). Provide a trend viewing utility that shall have access to all database points.
    b). It shall be possible to retrieve any historical database point for use in displays and reports by specifying the point name and associated trend name.
    c). The trend viewing utility shall have the capability to define trend study displays to include multiple trends
    d). Displays shall be able to be single or stacked graphs with on-line selectable display characteristics, such as ranging, color, and plot style.
    e). Display magnitude and units shall both be selectable by the operator at any time without reconfiguring the processing or collection of data. This is a zoom capability.
    f). Display magnitude shall automatically be scaled to show full graphic resolution of the data being displayed.
    g). Trend studies shall be capable of calculating and displaying calculated variables including highest value, lowest value and time based accumulation.

K. Network Automation Engine (NAE)/ System Controllers

1. The Network Automation Engine (NAE)/ System Controllers or approved equal shall be a fully user-programmable, supervisory controller. The NAE shall monitor the network of distributed application-specific controllers, provide global strategy and direction, and communicate on a peer-to-peer basis with other Network Automation Engines.
2. Automation network – The NAE shall reside on the automation network and shall support a subnet of system controllers.
3. User Interface – Each NAE shall have the ability to deliver a web based User Interface (UI) as previously described. All computers connected physically or virtually to the automation network shall have access to the web based UI.

    a). The web based UI software shall be imbedded in the NAE. Systems that require a local copy of the system database on the user's personal computer are not acceptable.
    b). The NAE shall support up four (4) concurrent users.
    c). The web based user shall have the capability to access all system data through one NAE.
    d). Remote users connected to the network through an Internet Service Provider (ISP) or telephone dial up shall also have total system access through one NAE.
    e). Systems that require the user to address more than one NAE to access all system information are not acceptable.
    f). The NAE shall have the capability of generating web based UI graphics. The graphics capability shall be imbedded in the NAE.
    g). Systems that support UI Graphics from a central database or require the graphics to reside on the user's personal computer are not acceptable.
    h). The web based UI shall support the following functions using a standard

version of Microsoft Internet Explorer:

i. Configuration
ii. Commissioning
iii. Data Archiving
iv. Monitoring
v. Commanding
vi. System Diagnostics

i). Systems that require workstation software or modified web browsers are not acceptable.

j). The NAE shall allow temporary use of portable devices without interrupting the normal operation of permanently connected modems.

4. Processor – The NAE shall be microprocessor-based with a minimum word size of 32 bits. The NAE shall be a multi-tasking, multi-user, and real-time digital control processor. Standard operating systems shall be employed. NAE size and capability shall be sufficient to fully meet the requirements of this Specification.

5. Memory – Each NAE shall have sufficient memory to support its own operating system, databases, and control programs, and to provide supervisory control for all control level devices.

6. Hardware Real Time Clock – The NAE shall include an integrated, hardware-based, real-time clock.

7. The NAE shall include troubleshooting LED indicators to identify the following conditions:

a). Power - On/Off
b). Ethernet Traffic – Ethernet Traffic/No Ethernet Traffic
c). Ethernet Connection Speed – 10 Mbps/100 Mbps
d). FC Bus – Normal Communications/No Field Communications
e). Peer Communication – Data Traffic Between NAE Devices
f). Run – NAE Running/NAE In Startup/NAE Shutting Down/Software Not Running
g). Bat Fault – Battery Defective, Data Protection Battery Not Installed
h). Fault – General Fault
i). Modem RX – NAE Modem Receiving Data
j). Modem TX – NAE Modem Transmitting Data

8. Communications Ports – The NAE shall provide the following ports for operation of operator Input/Output (I/O) devices, such as industry-standard computers, modems, and portable operator's terminals.

a). Up to two (2) USB port
b). Up to two (2) URS-232 serial data communication port
c). Up to two (2) RS-485 port
d). One (1) Ethernet port

9. Diagnostics – The NAE shall continuously perform self-diagnostics, communication diagnosis, and diagnosis of all panel components. The Network Automation Engine shall provide both local and remote annunciation of any

detected component failures, low battery conditions, or repeated failures to establish communication.

10. Power Failure – In the event of the loss of normal power, The NAE shall continue to operate for a user adjustable period of up to 10 minutes after which there shall be an orderly shutdown of all programs to prevent the loss of database or operating system software.

    a). During a loss of normal power, the control sequences shall go to the normal system shutdown conditions. All critical configuration data shall be saved into Flash memory.
    b). Upon restoration of normal power and after a minimum off-time delay, the controller shall automatically resume full operation without manual intervention through a normal soft-start sequence.

11. Certification – The NAE shall be listed by Underwriters Laboratories (UL).

12. Controller network – The NAE shall support the following communication protocols on the controller network:

    a). The NAE shall support BACnet Standard MS/TP Bus Protocol ASHRAE SSPC-135, Clause 9 on the controller network.

        i. A BACnet Protocol Implementation Conformance Statement shall be provided for each controller device (master or slave) that will communicate on the BACnet MS/TP Bus.
        ii. The Conformance Statements shall be submitted 10 day prior to bidding.
        iii. The NAE shall support a minimum of 100 control devices.

    b). The NAE shall support the Johnson Controls N2, Tridium FX-40, or approved equal Field Bus.
        i. The NAE shall support a minimum of 100 N2 control devices.
        ii. The Bus shall conform to Electronic Industry Alliance (EIA) Standard RS-485.
        iii. The Bus shall employ a master/slave protocol where the NAE is the master.
        iv. The Bus shall employ a four (4) level priority system for polling frequency.
        v. The Bus shall be optically isolated from the NAE.

2.2. WIRING

A. The multi-conductor cable for field wiring of electronic analog sensors shall be minimum No. 22 AWG, 300 volt, thermoplastic with stranded copper wire and 100 percent shield coverage. The number of conductors in each sensor cable shall be as determined by the Contractor. 2/c #22 shielded cables shall be Belden Cat. #8451 3/c #20 shielded cables shall be Belden Cat. #9770 or approved equal.

B. Conductors for digital sensors or contact control shall be the same as for the analog sensors, except the grounded shield is not required.

C. Individual conductors shall be color coded and in addition shall be numbered in the field to identify the particular terminal to which attached. Field numbering shall be performed with Brady or approved equal markers wrapped around the wire near the terminal connection. All wires shall be terminated with pressure type connectors suitable for wire size, material and terminal connection.

D. All exposed wiring or wiring concealed in partitions shall be installed in a designated conduit raceway. The conduit shall conform to Division 26 of the specification. Where wiring is installed in an air plenum the same shall be plenum rated cable.

E. All junction boxes shall have covers painted *safety green*, and be rigid steel.

F. All wiring between air flow monitoring stations and variable frequency drive fan controllers shall be shielded and grounded at the fan controller end. Directly route the variable frequency drive fan controller to the static pressure controller(s) and/or air flow monitoring stations.

2.3. CONTROLLERS

A. Temperature, humidity, and CO2 sensor covers shall be stainless steel wire guard type with vandal proof screws. All room humidity, CO2, and temperature sensors shall be mounted 4'-0 inches above the finished floor, except in stairways, corridors and toilets, which shall be 7'-0 inches. Provide insulating bases where temperature sensors are located on exterior or unconditioned walls. Each temperature sensor shall have adjustable limit stops and adjustable sensitivity. User adjustment shall be 2 degrees F above and below set points or as determined by the Owner. Room temperature sensors shall include range of 55 degrees F to 85 degrees F set point adjustment. Temperature sensors shall include set-point adjustors, U.L. approved for mounting base in air plenums, and RJ-11 jack for communications. Room temperature sensors shall be fully adjustable and shall display set point and actual temperature.

B. Space sensor wiring shall be installed concealed where possible. Should the Division 23 Contractor be unable to do so then surface metal raceway shall be utilized as specified in Division 26.

C. Low Limit Thermostats: Freezestats shall have a minimum 20 foot (averaging sensing element) capillary tube sized to the basis of one linear foot of capillary tube for each square foot of coil surface. Thermostat sensitivity shall be adjustable. Freezestats shall stop supply and return fans and close the outside air damper if mixed air temperature drops below 35 degrees F and open hot water heating valves. Additional requirements are indicated in Sequence of Operation.

D. Room temperature sensors shall be accessible to ADA occupants.

2.4. DAMPERS

A. Control Dampers

1. The temperature control contractor shall provide all automatic control dampers of the types indicated on the plans and not specified to be integral with other

equipment. Frames shall be not less than 16 gauge galvanized steel. Blades shall not be over 6 inches wide airfoil shaped double skin construction of 14 gauge equivalent thickness. Bearings shall be stainless steel sleeves with 2 inch shafts. Blade edge seals shall be vinyl blade with flexible metal compressible jamb seals of the tight-seal spring type. Dampers and seals shall be suitable for temperature ranges of -40 to 250 degrees F.

2. All proportional control dampers shall be opposed blade type and all two-position dampers shall be parallel blade type.

3. Dampers shall be sized to meet flow requirements of the application. The sheet metal contractor shall furnish and install baffles to fit the damper to duct size. Baffles shall not exceed 6 inches.

4. Dampers shall be minimum leakage type to conserve energy and the temperature control manufacturer shall submit leakage and flow characteristic data for all control dampers with the temperature control submittal. Maximum leakage shall be 3 CFM/Sq. Ft. at static pressure of 1 inch W.C. for a damper width of 48 inches.

5. Ultra-low leakage dampers shall have blade edges shall to be fitted with replaceable, snap-on, inflatable seals to limit damper leakage to 2 percent at applied static pressure.

6. Low pressure rectangular control dampers shall be Type CD60 airfoil low leakage damper as manufactured by Ruskin or as approved equal of American Warming and Ventilating, Air Balance and Arrow.

7. Round control dampers shall be Type CERS25 with blade edge seals as manufactured by Ruskin or as approved equal.

8. Provide damper end switch for all control dampers where indicated. Damper end switch shall be independent of the damper actuator and shall provide "proof of open" prior to allowing fan to energize. Damper end switch shall be Model TS-475 Mechanical Damper Arm Switch (no-mercury) as manufactured by MDI, Inc. or approved equal. Install per manufacturer's recommendations on control damper. End switch shall have the following features:

   a). Housing Material: Glass filled PBT (polybutylene terephthalate).
   b). Bracket Material: Plated steel.
   c). Operation: Steel ball actuated sub-miniature snap action switch.
   d). Operating angle: 15 degrees. (Contact closes at 10 degrees above horizontal and contact opens at 5 degrees below horizontal).

B. Smoke Detectors

1. Smoke detectors shall be provided by the Electrical Contractor and installed by the Mechanical Contractor. All wiring, interlocks, etc., to be provided by Electrical Contractor. Wiring from duct smoke detectors to fans shall be by ATC Contractor. Duct smoke detectors shall be tested by the Test and Balance Engineer as specified in Division 23 Section, *Testing, Adjusting & Balancing*

for HVAC and Plumbing.

C. Damper Operators

1. Electric damper actuators shall be properly sized to provide sufficient torque to position the damper throughout its operating range.

2. Use devices which are quiet in operation and which in the event of power failure, will "fail safe" by spring action in either the normally open or normally closed position as required for freeze, moisture, smoke, or fire protection.

3. Electric actuators requiring a 24 VAC power supply will be utilized. Motors shall be specifically designed and sized with proper torque according to requirements of the device it is to be used on (i.e.: valve, damper). Each actuator will accept the proper control input as the system is designed, (i.e,: floating, 0-10VDC, 4-20Ma etc.) without the need for any additional interface devices.

4. For all exterior damper operators provide NEMA 4X stainless steel corrosion resistant enclosure. Damper operator enclosure shall be model ZS-300 as manufactured by Belimo or approved equal.

2.5. CONTROL PANELS

A. Furnish and install local panels for ATC devices. Control panels shall be fully enclosed cabinets, all steel construction and shall meet the requirements of NEMA 1 enclosures. Cabinet shall have piano hinged door with a locking latch. All cabinet locks shall use common key. Provide means of storing control system instructions and drawings inside cabinet for future reference. Panel shall be wall mounted or free standing and located where directed by the Contract Drawings or Engineer.

1. Each panel shall have all internal devices factory wired to a numbered terminal strip. Controllers and associated devices shall be mounted within the panel, accessible through a hinged door.

2. All ATC panels shall be provided with integral disconnect, wiring, and control transformers.

3. Any ATC control panel that is serving equipment on the emergency generator must be powered by an emergency generator fed circuit/electrical panel. Refer to electrical contract documents for all emergency powered equipment.

2.6. MISCELLANEOUS ELECTRICAL DEVICES

A. Electric Actuators. All automatically controlled devices, unless specified otherwise elsewhere, shall be provided with electric actuators which shall be sized to operate their appropriate loads with sufficient reserve power to provide smooth modulating action or two-position action and tight close off as specified.

2.7. UNINTERRUPTIBLE POWER SUPPLY

A. Furnish, size and install uninterruptible power supplies (UPS's) at all ATC panels.

B. Provide all interlock and power wiring from U.P.S. to control panels as required such that all components are powered via the UPS. For hard-wired equipment furnished with pigtails/wire leads, e.g. control power transformers, splice pigtails/wire leads in junction box to a flexible cord with NEMA 5-15P Plug, which shall be plugged into the UPS.

C. UPS's shall be sized for the ATC panel load and shall provide at least 10 minutes of full load power in the event of a power outage.

D. UPS shall be furnished with plug and cord and shall be powered from power receptacle(s) in ATC panels.

2.8. CENTRAL CONTROL AND MONITORING SYSTEM (CCMS) (HARDWARE DESCRIPTION)

A. General

1. The Facilities Management Control System (FMCS) shall be comprised of a network of various independent, Stand-alone Digital Controllers (SDC'S), Air Handler Digital Controllers (AHDC's), together with Centralized Control Stations (CCS), and Centralized Host Stations (CHS) as specified, to provide centralized access and facility wide control functions. The SDC's, AHDC's shall be interconnected in a communicating network to provide facility wide access and sharing of information. A Gateway Digital Controller (GDC's) shall be provided to allow interface with third party microprocessor based control systems that are specified for integration within specification. A Local Area Network (LAN) shall be provided to interconnect SDC's for high-speed data transmission.

2. Specification Nomenclature

FMCS	Facility Management Control System
SDC	Stand-alone Digital Controller
AHDC	Air Handler Digital Controller
GP	Graphical Programmer
CHS	Central Host Station
CCS	Central Control Station
RPTR	Communications Repeater

B. Centralized Host Stations (CHS)

1. The FMCS shall include Centralized Host Stations. CHS's shall, in conjunction with the full compliment of Digital Controllers, provide the performance requirements within this specification. Each CHS shall include all hardware and software components to serve as a centralized facility operator station, providing color graphics, facility wide access, operator initiation of global control strategies, and centralized documentation.

The CHS shall be capable of simultaneously interfacing with the following:

-mouse pointing device
-two parallel printers
-high resolution VGA color graphics monitor
-seven auto answer/auto dial modems
-color inkjet printer
-two serial printers
-three FMCS LAN interface
-Alarm Graphic and Report FAX dial out service interface
-Mass storage tape system

As a minimum, the temperature control contractor shall provide the types and quantities of CHS, CCS, SDC, and AHDC as required.

2. Computer

a). The existing FMS computer located in the School District's Central Maintenance Office shall be utilized with the new CCMS System. Provide modem terminal, and wiring as required to interface this school's CCMS system with FMS computer. I/P data drop shall be provided adjacent to the network panels.

b). Coordinate IP address with Owners' I.T. Department for network connection. The CCMS must be fully networkable.

c). Provide fiber optic cable as required.

C. Centralized Control Stations (CCS)

The FMCS shall include Centralized Control Stations, as required. CCS's shall, in conjunction with the network of SDC's and additional CCS components as required, provide the performance requirements within this section of the specification. Each CCS shall include all hardware and software components to serve as a centralized facility operator station, providing facility wide access, for review and modification of global control strategies, real time system monitoring, controller database editing or creation, and centralized documentation.

D. Local Area Networks

1. The LAN shall utilize packetized transmissions, CRC 16 error checking, and distributed error recovery. Single or multiple SDC failures shall not cause loss of communication between other LAN-connected SDC's.

2. LAN connected SDC's shall be provided with a communications watchdog to assure that an individual SDC cannot permanently occupy the LAN. If an SDC is determined to be monopolizing communications, it shall be automatically shut down and an exception reported to annunciate this fact.

3. The LAN shall employ a token passing, peer-to-peer convention, same as or similar to the industry standard format IEEE 802.4. The content of messages shall be the manufacturer's standard. The Local Area Network components shall be manufacturer's standard or available from third party vendors which

utilize the same chip implementation as used by the manufacturer.

4. Industry standard ANSI, RS-485 Network Communication System, Lon, or Bacnet, or Equivalent shall be utilized.

a). Trunk Wiring Practices - General
The distributed communication network system shall consist of a multi-drop RS-485 bus architecture connecting SDC's, and, AHDC's. The trunk shall consist of:

i. A twisted pair of wires (24 awg) completely encased in continuous metallic conduit.

ii. A twisted shielded pair of wires (24awg) with the shield grounded in accordance with the manufacturer's wiring practices.

iii. Or a dual channel, 62.5 micron fiber cabling system with ST type connectors.

There shall be no power wiring, in excess of 30 VAC rms voltage, run in conduit with communications trunk wiring. In cases where power or signal wiring is run in conduit with trunk wiring, all communications trunk wiring and power wiring shall be run using separate twisted shielded pairs (24awg) with the shields grounded in accordance with the manufacturer's wiring practices.

b). Communication Transient Protection

i. The manufacturer's catalog data sheet shall provide evidence that all FMCS products offered by the manufacturer are tested and comply with the standard for Transient Surge withstand capabilities for electrical devices ANSI C62.41, IEEE-587-1980, Categories A and B. Such testing shall have included power and communication trunk wiring. Compliance with IEEE-587 shall imply conformance with IEEE-472 transient standards based on the stated position of ANSI and IEEE regarding applicability of the rated standards.

ii. In addition, at each building entry and exit point, the wire communications trunk wiring shall be protected with a transient surge protection device providing the minimal protection specifications of the General semiconductor, Model #422E device. Transient surge protection is not necessary if the communication trunk, external to the building, is fiber optic in nature.

iii. The communications circuitry and input/output circuitry, of the SDC's, and AHDC's shall provide protection against a 1000 volt, 3 amp transient signal, directly applied to the communication or input/output terminations. The manufacturer's catalog data sheet shall provide evidence of conformance with this requirement.

Systems not complying with this requirement shall provide equivalent protection external to the FMCS controller. Protection shall be provided for the individual communications and input/output terminations for each FMCS controller. Submittal documentation shall clearly define how this requirement will be met and how the external protection will not affect the performance of the controllers.

c). RS-485 Trunk Distance and Topology

The manufacturer's RS-485 trunk shall provide operation over end to end linear distances of 4000 feet for wire connections and 6,500 feet for fiber optic connections, without repeaters, at communication data rates of up to 64 kbps. The trunk may be extended up to 20,000 feet through the use of wire repeaters or 80,000 feet through the use of fiber optic repeaters.

At data rates of up to 19.2 kbps, the trunk distance shall be extendible to distances of up to 20,000 feet using RS-485 communication wire or fiber optic repeaters. A repeater shall be used each 4,000 feet of linear distance for wire or every 6,500 feet for fiber optics. Repeating devices shall contain separate LED indication for each communication interface trunk to indicate proper operation of the repeater as well as the communications trunks.

Contractors shall provide devices which are of FMCS control system manufacturer's design.

It shall be possible for the trunk to be "T" eed or "starred", at any location using a repeater, to facilitate the installation. Systems which do not provide this capability shall provide a trunk riser diagram showing end to end distances and locations of system topology necessary to meet the trunk diagram shown on the plans.

d). Fiber Optic Communication Trunk

The temperature control contractor shall provide a dual channel fiber optic data link, as required, to minimize the effects of transient surges caused by lightning or external EMI generating equipment. The data link shall be comprised of a single duplex cable containing two fibers (transmit and receive), of 62.5 micron construction, to accommodate data rates of up to 64 kbps.

The fiber optic trunk shall be connected to SDC devices using manufacturer's standard RS-485 to fiber optic data link modem. Repeating devices shall contain separate LED indication for each communication interface and the fiber modem, to indicate proper operation of all aspects of the device. Fiber modem devices shall be tested and conform with transient surge withstand tests for electrical devices, ANSI C62.41 IEEE-587 Categories A and B. Manufacturer's data sheet shall provide evidence of compliance with this requirement. Manufacturer's products which do not meet this minimum performance requirement shall not be acceptable.

Systems which require a special gateway controller to accommodate the fiber optic trunks, shall provide such a controller per point where the fiber optic cable enters and leaves the building. Gateway controllers shall not inhibit transfer of point data values between SDC controllers throughout the LAN. Such inhibitive systems shall not be acceptable.

In lieu of the above two options, the contractor may provide a fiber optic link to each SDC controller within the LAN. All controllers shall have access to the fiber optic link for LAN.

Fiber optic cable shall be fully tested and terminated by the temperature control contractor.

E. Standalone Digital Controllers (SDC)

1. General

Standalone Digital Controllers (SDC) shall be 16 bit microcomputer based, utilizing a multi-tasking, multi-user operating system.

The SDC controllers shall permit the simultaneous operation of all control, communication facilities management and operator interface software, as programmed by the Contractor or User. Modification of the on-board SDC controller database shall be performed on-line using the built-in or HHOT interface. Systems which require the SDC to be removed from service while DDC control sequences are modified shall not be acceptable.

SDC controllers shall utilize true floating point arithmetic capabilities. To accommodate totalization of large totalized values, SDC's with reporting capability shall support the calculation, accumulation and display of values within the range of +/-10 to the 10th power.

2. Database and Memory Back-up

All programming defining the functions to be performed by the SDC, including but not limited to application programs and point database within each SDC, shall be protected from loss due to power failure for a minimum of six months. Systems providing non-volatile memory for these functions are preferred. Systems not providing non-volatile memory shall provide a system rechargeable battery backup system sufficient to provide protection for the specified 6 month period. Systems not in compliance shall provide for uninterrupted power to each SDC.

3. Service Ports

SDC controllers shall be equipped with a minimum of one operator service port for the connection of a HHOT. The service port shall be either a built-in RS-232 data terminal port or an RJ-11 type jack which connects to the manufacturer's standard HHOT.

Connection of a service device, to a service port, shall not cause the SDC controller to lose communications with its peers or other networked device controllers.

4. Display and Readout Capability

The SDC controller shall provide manufacturer's standard display and readout capability.

5. Manual/Auto Control and Notification

The SDC controller shall provide commanded override capability from the HHOT or the built-in operator interface. Such overrides shall be annunciated to the CHS's. Such overrides shall be valid as long as power is applied to the controller.

Manual service overrides, such as Hand/Off/Auto switches, shall be provided as indicated on the drawings. Such overrides shall be located at the controlled device location and conform with OSHA Manual lockout regulations, as appropriate, for safety reasons. SDC indication of such manual override actions shall be provided as feedback status indication points shown on the drawings, in conjunction with the application programs within the SDC. Systems which provide built-in H/O/A switching devices with integral feedback shall provide external manual service overrides, as indicated, to comply with OSHA manual lockout regulations. H/O/A switches remotely located at the SDC controller are not acceptable.

6. Adjustments

Every control panel shall provide adjustments for the functions specified. In general, adjustments shall be provided for all set points used by controllers within each control panel. In addition, adjustments shall be provided for throttling ranges, mixed air damper minimum positions, or other items as specified. Adjustments shall be integral to each individual SDC. The built-in operator interfaces shall allow the easy execution of the adjustment through named identifiers within the SDC. From a single SDC user interface, any other SDC shall be accessible and full adjustment capabilities shall be provided.

7. Sensing and Control Outputs Requirements

a). Sensing

All sensing inputs shall be provided via industry standard signals. Temperatures, humidities, differential pressure signals, and other signal inputs shall be one of the following types:

0-20 mA
4-20 mA
0-5 VDC
0-12 VDC
1000 ohm platinum (at O{SYMBOL 176\f"Symbol"}C,2.62 ohms/C)
1000 ohm Balco (2.2 ohms/{SYMBOL 176\f"Symbol"}F)
10 k ohm Thermistor (at 25{SYMBOL 176\f"Symbol"}C/77{SYMBOL 176\f "SYMBOL"}F)

Custom, definable input signals (accept sensor inputs from RTD devices, other than those of the manufacturer).

All signal inputs shall be compatible with the controllers used, and with the requirements for readout of variables in true scaled engineering units as specified.

b). Control Outputs

i. On/Off Outputs

Control panel shall internally provide test points for the circuit driving the equipment contactor, for the purpose of troubleshooting the 120 VAC or 240 VAC circuit to the contactor. All such relays or digital output modules shall provide a pilot light or LED display of the same status. On/Off output modules shall be of the modular construction that can be easily and quickly replaced, on an individual basis, if the module were to be damaged.

i. Modulating Outputs

Modulating outputs shall be industry standard 0-5 VDC, or 0-12 VDC with definable output spans, to adapt to industry available control products. Milliamp outputs of 0-20 mA or 4-20 mA are also acceptable. Drive open/Drive closed type modulating outputs are acceptable provided that they also comply with the following requirements.

All modulating outputs shall provide within the control panel, a meter gauge, or display indication via on board display or HHOT, the commanded position signal for the actuating device. This meter, gauge, or display must provide either a 0-100 percent position indication, or read out directly in the engineering unit of the signal being used. Drive open/Drive closed type controllers shall include sufficient components and control algorithms to comply with this requirement. In the case of Drive open/closed technology, position feedback shall be provided to insure positive indication that the control device is at the commanded position.

F. Air Handler /Single Zone VAV Unit Digital Controller (AHDC)

1. General

a). Controls shall be microprocessor based, Air Handler Digital Controllers (AHDC's). AHDC’s shall be provided for single zone VAV unit, and other applications as required. AHDC's shall be based on a minimum 16 bit microprocessor working from software program memory which is physically located in the AHDC. The application control program shall be resident within the same enclosure as the input/output circuitry which translates the sensor signals. All input/output signal conversion shall be performed through a minimum of a 10 bit A to D converter. All input points shall be universal in nature allowing their individual function definition to be assigned through the application software. All unused input points must be available as universally definable at the discretion of the owner. If the input points are not fully universal in nature, unused

points must be equal in quantity between Analog Inputs and Digital Inputs.

Contractor shall provide a minimum of one AHDC controller per air handling system as shown on the drawings.

The BAS contractor shall provide and field install all AHDC's specified under this section. Mechanical equipment manufacturers desiring to provide AHDC type controls as factory mounted equipment, shall provide a separate bid for their products less all controls, actuators, valve assemblies and sensors, which are specified to be provided by the BAS/Temperature control contractor.

b). All input/output signals shall be directly hardwired to the AHDC. Troubleshooting of input/output signals shall be easily executed with a volt-ohm meter (VOM). As a result of this intent, it is specified that power line carrier systems, or other systems which command multiple outputs over a single pair of wires, shall not be utilized.

c). AHDC's shall be in continuous direct communication with the network which forms the facility wide Building Automation System. The AHDC's shall communicate with the SDC at a baud rate of not less than 19,200 baud.

2. Non-Volatile Memory

a). All control sequences programmed into the AHDC shall be stored in non-volatile memory, which is not dependent upon the presence of a battery, to be retained. Power failures shall not cause the AHDC memory to be lost, nor shall there be any need for batteries to be recharged or replaced to maintain the integrity of the controller database. The AHDC shall allow for the creation of unique application control sequences. Systems that only allow selection of sequences from a library or table, are not acceptable.

b). All control sequences shall be fully programmable at the AHDC, allowing for the creation and editing of an application control sequence, while at the unit.

c). The AHDC shall be provided with an interface port for the HHOT. The interface port shall allow the HHOT to have full functionality as described. From the interface port, the HHOT shall be able to directly access any AHDC in the network.

d). The AHDC shall provide an input/output point trending utility that is capable of accumulating 48 analog point samples and 10 digital point samples, per Input/Output point. Each sample shall be taken on a user defined interval, ranging from 1 second to 255 hours per sample. The digital readings shall be on a change of state occurrence for the digital points. All samples shall be recorded with the engineering units for the value, along with a time and date identifier for each sample taken. The

samples shall be protected against loss due to power interruptions through a battery or capacitor backup method for a minimum of 30 days.

Systems unable to provide the above capability shall provide for the individual Input/Output point trending at the SDC. Specifics as to how each AHDC point will be trended, at the SDC, shall be provided in the submittal documents. Included in the explanation shall be the sample intervals, the memory allocation in the SDC and the number of AHDC's per SDC that can be expected.

e). The AHDC shall provide LED indication of transmit/receive communications performance, as well as for the proper/improper operation of the controller itself.

f). The AHDC shall be provided with a battery backed time clock that is capable of maintaining the time of day and calendar for up to thirty days, upon loss of power to the AHDC, without loss of setting. The battery for the time clock shall be replaceable by the customer. The AHDC shall be provided with integral time schedules; as a minimum, two seven day schedules with eight on/off periods per day shall be provided. Holiday override of weekly schedules shall be provided for pre-scheduling of holidays, for the year in advance.

3. Controller Location

a). To simplify controls and mechanical service troubleshooting, the AHDC shall be mounted directly in or on the controls compartment of the air handling system. The AHDC shall be provided in a NEMA 1 enclosure to accommodate direct mounting on the equipment to be controlled. The AHDC shall be constructed in a modular orientation such that service of the failed components can be done quickly and easily. The modular construction should limit the quantities of printed circuit boards to a maximum of two. All logic, control system, power supply and input/output circuitry shall be contained on a single plug-in circuit board. When required to replace a printed circuit board, it shall not be necessary to disconnect any field wiring. This shall allow all controls maintenance and troubleshooting to be made while at the air handling unit. The AHDC shall be directly wired to sensory devices, staging relays or modulating valves for heating and cooling.

b). For compatibility to the environment of the air handling unit, AHDC's shall have wide ambient ratings. AHDC's shall be rated for service from -40 Deg F (Degrees Fahrenheit) to 140 Deg F.

c). Contractor shall submit description of location of AHDC's on all mechanical and air handling equipment.

2.9. SYSTEM SOFTWARE DESCRIPTION

A. General

1. Contractor shall provide all software for a complete and operational system as described herein. Software shall include manufacturer's standard multi-tasking, multi-user operating system for operator consoles and controllers, network communication software for dial-up and hard trunk applications, operator man-machine interface software, control application software and all other software necessary to provide the functions specified herein.

2. System software shall be as manufactured by Johnson Controls or approved equal.

2.10. EXCEPTION REPORTING SEQUENCES

A. Alarm/COS Reports

1. For those digital points indicated on the drawings, the Contractor shall provide a unique change-of-state alarm message of up to 70 characters. The message shall report to all devices assigned to the alarm class.

2. For those points indicated on the drawings which are designated as interrupt priority, the Contractor shall provide an interrupting process display at the CHS location which displays the current conditions for the operator.

   In addition, the CHS computer shall automatically send a picture of the process graphic display to the remote locations specified on the drawings as receiving facsimile copies of interrupting alarms.

3. For those points designated in paragraph 3 above, the FMCS shall also send a history log to the system report printer of the immediate prior history of the points causing the interrupt priority. This log shall contain 1 minutes samples of the previous 15 minutes of operation.

4. For those points on the drawings designed as Hard Facts points, the Contractor shall provide an alarm message to a remote facsimile location designated by the Owner. The FMCS system shall provide at the remote location, a facsimile printout showing location, time/date of alarm and alarm message of the point. For interrupt priority fax alarms, the remote facsimile machine shall receive a hard copy of the interrupt process screen showing on-line dynamic data values of the current conditions.

B. Off Hours Exception Reporting

The Owner shall specify up to five sites to which off hours exceptions shall be auto-dialed and reported. This shall allow the owner to assign off hours exception responses to various facility personnel as necessary. Selection of the site to be dialed can be programmed by the Owner, and set to change automatically per time of day and day of week.

2.11. MONITORING SYSTEM, SENSORS AND WIRING

A. Sensors and other Devices for Input/Output Summary Schedule:

1. Provide all necessary sensors, relays, panels, conduits and wire for the points indicated in the input/output summary as shown on the contract drawings.

2. Analog sensing elements for remote indication shall be independent of local sensors used for local control loops.

3. Temperature sensors shall be Resistance Temperature Detector (RTD) type of 1000 ohm balco. Space (60-90 degrees F); Duct/Well (-30-250 degrees F); Averaging Duct (-30-225 degrees F) or as required under Division 26.
   a). Space temperature sensors shall be provided with blank commercial type locking satin chrome covers.

   b). Duct temperature sensors shall be rigid stem or averaging type as specified in the sequence of operation. Water sensors shall be provided with a separable copper, monel or stainless steel well. Outside air wall mounted sensors shall be provided with a sun shield.

4. Relative humidity sensors shall be capacitance type with 10 percent to 90 percent range. Duct mounted humidity sensors shall be provided with a sampling chamber. Wall mounted sensors shall be provided with covers identical to temperature sensors. Space 10 percent -90 percent RH; Duct 10 percent - 90 percent RH.

5. All wall mounted temperature sensors, humidity sensors, and CO_2 sensors shall be installed with stainless steel wire guard. Set point adjustment shall be achievable without removing the wire guard.

6. Differential and Static Pressure Sensors and Switches

   a). Fan proof-of-flow switches shall be U.L. listed adjustable set point and differential pressure type. Switches shall be piped to fan discharge except where fans operate at less than one inch WG, they shall be piped across the fan. For fractional horsepower and non-ducted fans, relays or auxiliary contacts may be used. Maximum pressure rating shall be at least 10 inches WG. with .05-12 inch W.C. range.

   b). Air flow and static pressure analog sensors shall be high accuracy suitable for the low velocity pressures to be encountered, be selected for approximately 50 percent overrange, and have a 4 to 20 ma output. These differential pressure sensors shall be connected to the air flow measuring station with valved lines for testing and calibration, and shall have adjustments for zero and span. 5 inch W.C. range.

7. Overall system accuracy, including electronic analog sensing elements, shall be as follows:

   a). Air: Plus or minus 1.0 degrees F temperature, plus or minus 2.5 percent r.h., plus or minus 2.0 percent static pressure.

   b). Proof of fan operating status, or alarm conditions shall be through positive feedback from differential pressure switches across fan or pump.

Auxiliary dry contacts may be used for proof of fans or pumps if the motors are fractional H.P., and other non-ducted fans.

8. Digital inputs from devices with isolated, dry type contacts (no grounds, no voltage) of either normally open (N.O.) or normally closed (N.C.) configuration shall be provided. Live contact inputs, those that have voltage present, shall be provided with isolating devices to meet dry contact requirements.

9. Start-stop relay module shall contain relays for start-stop function at the remote point, with relays mounted and factory wired to numbered terminal strips.

10. Outage Devices:

a). Control Relays: Control relay contacts shall be rated for the application, with a minimum of two sets of Form C contacts, enclosed in a dustproof enclosure. Relays shall have silver-cadmium contacts with a minimum life span rating of one million operations. Operating time shall be 20 milliseconds or less, with release time of 10 milliseconds or less. Relays shall be equipped with coil transient suppression limiting transients to nondamaging levels.

b). Time Delay Relays: Time delay relay contacts shall be rated for the application with a minimum of two sets of Form C contacts enclosed in a dustproof enclosure. Relays shall have silver-cadmium contacts with a minimum life span rating of one million operations. Relays shall be equipped with coil transient suppression devices to limit transients to nondamaging levels. Delays contact opening or closing shall be adjustable from one to 60 seconds with a minimum accuracy of plus or minus 2 percent of setting.

c). Latching Relays: Latching relay contacts shall be rated for the application with a minimum of two sets of Form C contacts enclosed in a dustproof enclosure. Relays shall have silver-cadmium contacts with a minimum life span rating of one million operations. Operating time shall be 20 milliseconds or less, with release time of 10 milliseconds or less. Relays shall be equipped with coil transient suppression devices to limit transients to nondamaging levels.

d). Reed Relays: Reed relays shall be encapsulated in a glass-type container housed in a plastic or epoxy case. Contacts shall be rated for the application. Operating and release times shall be one millisecond or less. Reed relays shall have a minimum life span rating of 10 million operations.

e). Contactors: Contactors shall be of the single-coil, electrically operated, mechanically held type. Positive locking shall be obtained without the use of hooks, latches, or semi-permanent magnets. Contacts shall be double-break silver-to-silver type protected by arcing contacts. Number of contacts and ratings shall be selected for the application. Operating and release times shall be 100 milliseconds or less. Contactors shall be equipped with coil transient suppression devices to limit transients to

nondamaging levels.

f). Solid-State Relays: Input-output isolation shall be greater than 1000 megohms with a breakdown voltage of 1500 V rms or greater at 60 Hz. The contact life shall be 10 million operations or greater. The ambient temperature range shall be minus 20 degrees to plus 140 degrees F. Input impedance shall not be less than 500 ohms. Relays shall be rated for the application. Operating and release times shall be one millisecond or less. Transient suppression shall be provided as an integral part of the relay to limit transients to nondamaging levels.

11. Audible Alarm:

a). All alarms shall annunciate on the ATC system front end computer and via pagers.

2.12. FIELD INSTALLED CONDENSATE OVERFLOW SWITCHES

A. Condensate overflow switches must be tested to comply with U.L. 508.

B. Interlock condensate overflow switches to shut-down cooling equipment and alarm on ATC system where overflow condition exists.

2.13. CO_2 SENSORS/TRANSMITTER

A. Furnish and install wall mount CO_2 sensor/transmitters at locations indicated on floor plans. CO_2 sensor/transmitter shall be model CD-W00 as manufactured by Johnson Controls or approved equal.

B. Measuring Range: 0 to 2,000 ppm CO_2.

C. Response Time: 1 minute

D. Output Signal: As required by ATC system

E. Max power consumption: Less than 2 watts.

F. Listing: U.L. Listed

G. Accessories: Mounting Kit, Transformer required.

H. Where installed in toilet rooms, locker rooms, and corridors install heavy duty stainless steel guards.

PART 3. EXECUTION

3.1. GENERAL

A. The Automatic Temperature Control System and Central Control and Management System, shall be designed, installed, and commissioned in a turnkey fully implemented

and operational manner.

3.2. BMS SPECIFIC REQUIREMENTS

A. Graphic Displays

1. Provide a color graphic system flow diagram display for each new system with all points as indicated on the point list. All terminal unit graphic displays shall be from a standard design library.

2. User shall access the various system schematics via a graphical penetration scheme and/or menu selection.

B. Custom Reports:

1. Provide custom reports as required for this project:

3.3. INSTALLATION & SUPERVISION

A. All wiring shall be properly supported and run in a neat and workmanlike manner. All wiring exposed and in equipment rooms shall run parallel to or at right angles to the building structure. All piping and wiring within enclosures shall be neatly bundled and anchored to prevent restriction to devices and terminals.

B. The control contractor shall be responsible for all electrical installation required for a fully functional control and automation system and not shown on the electrical plans or required by the electrical specifications. All wiring shall be in accordance to all local and national codes.

1. All line voltage wiring, all wiring exposed, and all wiring in equipment rooms shall be installed in conduit in accordance to the electrical specifications.

2. All electric and electronic wiring shall be minimum #20 AWG minimum THHN and shielded if required.

3. All wiring in the central control room shall be concealed in an approved manner.

C. Verify locations of temperature sensors, humidity sensors, CO_2 sensors, and other exposed control sensors with plans and Owner prior to installation.

D. The installation and supervision of this project shall be carried out by factory trained personnel who are employed by the Contractor and licensed for this type of work.

E. Install control units and other hardware in position on permanent walls where not subject to excessive vibration.

F. Install software in control units and in operator work station. Implement all features of programs to specified requirements and appropriate to sequence of operation.

G. Install in accordance with manufacturer's instructions.

H. Check and verify location of space temperature sensors, humidity sensors, CO_2 sensors, and other exposed control sensors with plans and room details before installation. Align with lighting switches and humidistats.

I. Mount freeze protection thermostats using flanges and element holders.

J. Mount outdoor reset thermostats and outdoor sensors indoors, with sensing elements outdoors with sun shield.

K. Provide separable sockets for liquids and flanges for air bulb elements.

L. Mount control panels adjacent to associated equipment on vibration free walls or free standing angle iron supports. One cabinet may accommodate more than one system in same equipment room. Provide engraved plastic nameplates for instruments and controls inside cabinet and engraved plastic nameplates on cabinet face.

M. Install equipment plumb and level.

N. Install all equipment to be accessible for service and maintenance.

3.4. ACCEPTANCE TESTING

A. Point Verification

To verify end-to-end operation of the system the Contractor shall provide a hard copy of an All Points Summary Listing to the Owner of each part or system to be placed in warranty by the Owner. For CHS systems, the Contractor shall additionally provide a print screen of the process display showing real time dynamic point information for all points on the subsystem(s) to be accepted.

B. Sequence Verification

1. The Contractor shall notify the Owner's representative of systems which perform all specified sequences.

2. The warranty acceptance test shall be of 5 days duration and the system shall perform as follows:

a). During the five days, the FMCS system shall not report any system diagnostics from the subsystem under test.

b). The subsystem shall be performance verified as operational using temporary trends of each control loop located in the SDC or MSDC.

During the occupied periods, BAS control loops, under test, shall maintain control of the process variable within the following scales:

Duct Static Pressure	+/-0.3 inch WC
Duct Temperature Loops	+/-2 degrees F
Room Temperature Loops	+/-1degrees F

Duct Humidity	+/-2x rated error of Humidity Transmitter

The contractor shall provide a hard copy printout of the process variable, process variable set point and control loop output percent for the period of 2 hours prior to occupancy to 2 hours after occupancy with samples taken every 15 minutes.

3.5. COORDINATE WITH TAB AGENCY

A. Verify that all control components are installed in accordance with project requirements and are functional, including all electrical interlocks, damper sequences, air and water reset, freeze stats and duct smoke detectors.

B. Verify that all controlling instruments are calibrated and set for design operating conditions prior to commencement of TAB work.

C. Calibrate sensors after installation, and before the sensor control verification tests are performed. Prove the accuracy of final settings by taking temperature readings. The readings shall be in a typical conditional space for each separately controlled zone.

D. Allow sufficient time in the project to provide assistance and instruction to the balancing agency in the proper use and setting of control components such as, but not limited to, computers, static pressure controllers, or any other device that may need set points changed so that the testing and balancing work can be performed.

E. All control sequences, software, equipment, and components shall be started-up by a qualified technician. Start-up report shall be submitted to Engineer prior to the commencement of testing and balancing work. Testing and balancing shall not commence until start-up reports are completed, reviewed by Engineer and forwarded to Testing and Balancing Agency.

3.6. EXAMINATION

A. Verify existing conditions before starting work.

B. Verify that systems are ready to receive work.

C. Beginning of installation means installer accepts existing conditions.

D. Sequence work to ensure installation of components is complementary to installation of similar components in other systems.

E. Coordinate installation of system components with installation of mechanical systems equipment such as air handling units and air terminal units.

F. Verify that conditioned power supply is available to the control units and to the operator work station. Verify that field end devices, wiring, and tubing is installed prior to installation proceeding.

3.7. INTERLOCK REQUIREMENTS

A. The fan and equipment interlock requirements are as scheduled on the contract drawings.

B. Furnish and install all necessary relays, transformer, contactors, wiring, conduit, and accessories to perform fan, equipment, and damper interlocks.

C. Unless otherwise noted, fan interlocks shall be arranged such that dampers associated with fan shall be open when fan starts and close when fan stops.

3.8. SUBMITTALS AT PROJECT CLOSEOUT

A. Project Record Documents: Record actual locations of components and set points of controls, including changes to sequences made after submission of shop drawings.

3.9. CONNECTIONS

A. Piping installation requirements are specified in other Division 23 Sections. Drawings indicate general arrangement of piping, fittings, and specialties.

1. Install piping adjacent to machine to allow service and maintenance.

B. Ground equipment.

1. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

C. Connect hand-off-auto selection switches to override automatic interlock controls when switch is in hand position.

3.10. FIELD QUALITY CONTROL

A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust field-assembled components and equipment installation, including connections, and to assist in field testing. Report results in writing.

B. Perform the following field tests and inspections and prepare test reports:

1. Operational Test: After electrical circuitry has been energized, start units to confirm proper unit operation. Remove and replace malfunctioning units and retest.

2. Test and adjust controls and safeties.

3. Test calibration of electronic controllers by disconnecting input sensors and stimulating operation with compatible signal generator.

4. Test each point through its full operating range to verify that safety and operating control set points are as required.

5. Test each control loop to verify stable mode of operation and compliance with

sequence of operation. Adjust PID actions.

6. Test each system for compliance with sequence of operation.

7. Test software and hardware interlocks.

8. Test all end switches and verify status is reported on the ATC system.

C. DDC Verification:

1. Verify that instruments are installed before calibration, testing, and loop or leak checks.

2. Check instruments for proper location and accessibility.

3. Check instrument installation for direction of flow, elevation, orientation, insertion depth, and other applicable considerations.

4. Check flow instruments. Inspect tag number and line and bore size, and verify that inlet side is identified and that meters are installed correctly.

5. Check pressure instruments, piping slope, installation of valve manifold, and self-contained pressure regulators.

6. Check temperature instruments and material and length of sensing elements.

7. Check control valves. Verify that they are in correct direction.

8. Check DDC system as follows:

a). Verify that DDC controller power supply is from emergency power supply, if applicable.
b). Verify that wires at control panels are tagged with their service designation and approved tagging system.
c). Verify that spare I/O capacity has been provided.
d). Verify that DDC controllers are protected from power supply surges.

D. Replace damaged or malfunctioning controls and equipment and repeat testing procedures.

E. All temperature control and interlock wiring shall be installed in conduit unless otherwise noted on the plans. Power or interlock wiring shall be run in separate conduit from sensor and communications wiring.

3.11. ADJUSTING

A. Calibrating and Adjusting:

1. Calibrate instruments.

2. Make three-point calibration test for both linearity and accuracy for each analog

instrument.

3. Calibrate equipment and procedures using manufacturer's written recommendations and instruction manuals. Use test equipment with accuracy at least double that of instrument being calibrated.

4. Control System Inputs and Outputs:

   a). Check analog inputs at 0, 50, and 100 percent of span.
   b). Check analog outputs using volt-ohm-milli-amp meter (VOMA) at 0, 50, and 100 percent output.
   c). Check digital inputs using jumper wire.
   d). Check digital outputs using ohmmeter to test for contact making or breaking.
   e). Check resistance temperature inputs at 0, 50, and 100 percent of span using a precision-resistant source.

5. Flow:

   a). Set differential pressure flow transmitters for 0 and 100 percent values with 3-point calibration accomplished at 0, 50, 90, and 100 percent of span.
   b). Manually operate flow switches to verify that they make or break contact.

6. Pressure:

   a). Calibrate pressure transmitters at 0, 50, and 100 percent of span.
   b). Calibrate pressure switches to make or break contacts, with adjustable differential set at minimum.

7. Temperature:

   a). Calibrate resistance temperature transmitters at 0, 50, and 100 percent of span using a precision-resistance source.
   b). Calibrate temperature switches to make or break contacts.

8. Stroke and adjust control valves and dampers.

9. Provide diagnostic and test instruments for calibration and adjustment of system.

10. Provide written description of procedures and equipment for calibrating each type of instrument. Submit procedures review and approval before initiating startup procedures.

B. Adjust initial temperature and humidity set points.

C. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to three visits to Project during other than normal occupancy hours

for this purpose.

3.12. ON-SITE ASSISTANCE

A. Occupancy Adjustments: Within one year of date of Substantial Completion, provide up to three Project site visits, when requested by Owner, to adjust and calibrate components and to assist Owner's personnel in making program changes and in adjusting sensors and controls to suit actual conditions.

3.13. SCHEDULING

A. Submit spreadsheet to Owner indicating occupied/unoccupied times for each item controlled by ATC system. Incorporate all scheduling requirements into sequence of operation.

3.14. STAGING

A. Coordinate staging requirements with equipment being controlled. Where multistage units are scheduled or specified, provide all devices, controllers, wiring to control and sequence all stages.

3.15. SEQUENCES OF OPERATION

A. Refer to Contract Drawings for sequences of operation, control diagrams, and points list.

END OF SECTION

DIVISION 23 SECTION 233000
HVAC AIR DISTRIBUTION

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SECTION 233000 - HVAC AIR DISTRIBUTION

PART 1 GENERAL

1.1 SUMMARY

A. For General Mechanical Requirements, see Division 23 Section, “Common Work Results for HVAC” and Division 01, “General Requirements”.

B. The fabrication and installation of all ductwork, together with related equipment, shall comply with the standards of the National Fire Protection Association, as set forth in NFPA Standard No. 90A, as well as with the requirements of the Sheet Metal and Air Conditioning Contractors' National Association, Inc., and the latest edition of the ASHRAE Guide.

C. All duct sizes shown are net inside clear dimensions. Where internal duct lining is used, increase duct sizes accordingly to provide the indicated net free area. Unless otherwise indicated size runouts, drops, and connections to grilles, registers, diffusers, fans, coils, louvers, filters, and other equipment to the full size of the equipment connection.

D. Minor changes may be made in duct sizes where required to fit the available space, provided the indicated net free area and approximate aspect ratio are maintained.

E. Smoothly transition all ductwork to prevent excessive or unnecessary turbulence or pressure loss.

F. All exposed ductwork in finished areas shall be painted in color as indicated by Owner. All ductwork requiring paint shall be constructed of paint grade galvanized sheet steel with a paintable finish.

1.2 REFERENCES

A. ASTM A 36 - Structural Steel.

B. ASTM A 90 - Weight of coating on Zinc-Coated (Galvanized) Iron or Steel Articles.

C. ASTM A 167 - Stainless and Heat-Resisting Chromium-Nickel Steel Plate, Sheet, and Strip.

D. ASTM C 916 Type II – Standard Specification for Adhesives for Duct Thermal Insulation.

E. ASTM A 366 - Steel, Sheet, Carbon, Cold Rolled, Commercial Quality.

F. ASTM A 480 - General Requirements for Flat-Rolled Stainless and Heat-Resisting Steel Plate, Sheet, and Strip.

G. ASTM A 525 - General Requirements for Steel Sheet, Zinc-Coated (Galvanized) by the Hot-Dip Process.

H. ASTM A 527 - Steel Sheet, Zinc-Coated (Galvanized) by Hot-Dip Process, Lock

Forming Quality.

I. ASTM A 568 - Steel, Sheet, Carbon, and High-Strength, Low-Alloy, Hot-Rolled and Cold-Rolled.

J. ASTM A 569 - Steel, Carbon (0.15 Maximum, Percent), Hot-Rolled Sheet and Strip, Commercial Quality.

K. NFPA 90A - Installation of Air Conditioning and Ventilating Systems.

L. NFPA 90B - Installation of Warm Air Heating and Air Conditioning Systems.

M. SMACNA - HVAC Air Duct Leakage Test Manual.

N. UL 181 - Factory-Made Air Ducts and Connectors.

O. NFPA 90A - Installation of Air Conditioning and Ventilating Systems.

P. NFPA 70 - National Electrical Code.

Q. SMACNA - HVAC Duct Construction Standards - Metal and Flexible.

1.3 PERFORMANCE REQUIREMENTS

A. No variation of duct configuration or sizes permitted except by written permission. Size round ducts installed in place of rectangular ducts in accordance with ASHRAE Table of Equivalent Rectangular and Round Ducts.

1.4 QUALIFICATIONS

A. Manufacturer: Company specializing in manufacturing the projects specified in this section with minimum five (5) years documented experience.

B. Installer: Company specializing in performing the work of this section with minimum five (5) years' experience.

1.5 REGULATORY REQUIREMENTS

A. Construct ductwork to NFPA- 90A, and NFPA-90B.

1.6 ENVIRONMENTAL REQUIREMENTS

A. Do not install duct sealants when temperatures are less than those recommended by sealant manufacturer.

B. Maintain temperatures during and after installation of duct sealants.

1.7 ALTERNATES

A. Refer to Division 01 Section, "Alternates" for description of work under this section affected by alternates.

PART 2 PRODUCTS

2.1 DUCTWORK

A. Unless otherwise indicated or specified, fabricate ductwork of galvanized sheet steel, stainless steel, or aluminum conforming to Commercial Designation 3003 Temper H14 and Duct Sheet. Duct gages, jointing and reinforcement shall conform to Tables 4, 5, 6 and 7, as applicable, Chapter I of the latest *ASHRAE Guide and Data Book*. Construction details shall conform to Section I and Section II, as applicable, of *Duct Manual and Sheet Metal Construction for Ventilation and Air Conditioning Systems* as published by Sheet Metal and Air Conditioning Contractors' Association, Inc.

B. Erect sheet metal ductwork in a first-class, workmanlike manner secured in place rigidly and permanently. Provide suitable hangers, securely attached to building construction with bolts, clips or inserts. Hangers shall be structural shapes, flat bars, or formed strap hangers; use of wire will not be permitted. Hangers shall not pass through or be inside duct. Support vertical ducts passing through floors by angles riveted to duct and resting either on floor or on brackets secured to building construction. All space around ducts where they pass through any walls, floors, ceilings, or roofs shall be sealed tight with incombustible inert material. Do not arrange ducts so as to impair the effectiveness of fireproofing around structural members. Provide sheet metal flanged collars around exposed ducts passing through walls, floors, or ceilings to provide finished appearance. Seal all duct joints and seams including supply, return, outside air, combustion air, relief air, ventilation air and exhaust ductwork with *Hardcast* Sealing System as manufactured by Hardcast, Inc., Foster, Childers, or approved equal.

C. Flexible connections of neoprene or other NFPA approved non-inflammable fabric shall be provided in the duct system at all fan inlet and outlet connections.

D. Provide cut turning vanes in all duct turns where centerline radius is located. Turning vanes shall be air-foil type with extended trailing edges. Fabricate to comply with SMACNA Sheet Metal Construction for Ventilation and Air Conditioning Systems Manual.

E. Provide duct collars and angle iron framework for mounting of automatic dampers.

F. Fabricate and support in accordance with SMACNA HVAC Duct Construction Standards - Metal and Flexible, and as indicated. Provide duct material, gauges, reinforcing, and sealing for operating pressures indicated.

G. Construct T's, bends, and elbows with radius of not less than 1-1/2 times width of duct on centerline. Where not possible and where rectangular elbows are used, provide air foil turning vanes. Where acoustical lining is indicated, provide turning vanes of perforated metal with glass fiber insulation.

H. Increase duct sizes gradually, not exceeding 15 degrees divergence wherever possible; maximum 30 degrees divergence upstream of equipment and 45 degrees convergence downstream.

I. Fabricate continuously welded round and oval duct fittings two gauges heavier than duct

gauges indicated in SMACNA Standard. Joints shall be minimum 4-inch (100 mm) cemented slip joint, brazed or electric welded. Prime coat welded joints.

J. Provide standard 45 degree lateral wye takeoffs unless otherwise indicated where 90 degree conical tee connections may be used.

K. Fasteners: Rivets, bolts, or sheet metal screws.

L. Hanger Rods: ASTM A36 - Galvanized steel; threaded both ends, threaded one end, or continuously threaded.

2.2 DUCT SYSTEMS

A. All supply, return, exhaust, fresh air intake, relief, outside air ductwork shall be constructed for low pressure service (2 inch W.G.).

2.3 DUCT CONSTRUCTION

A. Rectangular and/or Round Ductwork (Low Pressure):

1. Galvanized Steel Ducts: ASTM A525 and ASTM A527 galvanized steel sheet, lock-forming quality, having G-90 Zinc coating in conformance with ASTM A90.

2. Make allowance for internal duct lining where required. Sizes shown on the drawings are inside clear dimensions.

3. Determine duct gauges for the longest duct side and use for all four sides. Joints and reinforcing requirements apply to the longest duct side.

4. Reinforce all ducts to prevent buckling, vibration, or noise as recommended in the referenced construction standards, and as required to suit the installed conditions.

5. Do not cross break duct which will receive rigid insulation covering.

6. Where tap sizes of divided-flow fittings are not indicated, make branch and main/connection sizes proportional to their respective air flows and maintain uniform transverse velocities in the fitting.

7. Make radius elbows and radius tee connection with throat radius equal to or greater than the width of the duct. Use vaned elbows where shown and where radius elbows will not fit the space, and in all square bends.

8. Turning vanes shall be the air-foil type with extended trailing edges, 36-inch maximum vane length. Where longer vanes are required, use two or more sets of vanes with intermediate runners securely fastened together.

9. Bolt, screw, rivet, or spot weld reinforcing members securely to the duct on not less than 6-inch centers.

10. Where ducts are open-ended without grilles, registers, or other means of stiffening, reinforce and stiffen the open end with standing seams or an angle frame. Provide rolled edges to prevent any exposed sharp edges.

11. Paint all cut ends on galvanized angles, rods, and other uncoated surfaces with aluminum paint.

12. Where ductwork is not painted or otherwise finished, remove all exposed traces of joint sealers, manufacturer's identification and other markings.

13. Determine duct gauges per SMACNA based on duct size and pressure indicated.

2.4 AIR TRANSFER OPENINGS

A. Furnish and install metal sleeves or frames, of the same material as the duct or air terminals attached thereto, in all air transfer openings through walls, partitions, floors and other building construction, extending completely through the opening. Securely fasten the sleeves or frames in place and provide face flanges on both sides. Where grilles or registers are required, attach them to the sleeve or frame, or extend ductwork where shown on the drawings. If no grilles, registers or duct connections are required, furnish and install ½ inch x ½ inch removable galvanized wire mesh on one face.

2.5 AIR VOLUME CONTROLS

A. Furnish and install air volume control devices where indicated and where required to adjust and balance air flow in the systems, whether indicated or not. Volume dampers shall be provided in all branch ducts serving air outlets and inlets. For existing air devices install a new volume damper and where required for access, a new access door to allow access and adjustment.

B. Manual volume dampers in ductwork shall be factory-assembled units with rigid frame, opposed-blade action, and locking quadrant operator. Mark the extended damper shaft and align the operating handle to indicate the blade position. Dampers shall be as manufactured by Ruskin, American Warming and Ventilating, Inc., Arrow, or approved equal. Rectangular dampers shall be Type MD35, with steel channel frame, 16 gauge steel blades, 9 inch maximum blade spacing, low pressure, nylon bearings, galvanized finish with aluminum paint touch up. Round manual balancing dampers shall be Type MDRS25 manufactured by Ruskin, Arrow, American Warming and Ventilating, Inc., or as approved equal. When external insulation is to be applied, provide sheet metal standoffs on all manual volume dampers.

C. Motor-operated dampers shall be as hereinafter specified under Division 23 Section, “Instrumentation and Controls of HVAC & Plumbing System”.

D. Duct turning vanes shall be Tuttle & Bailey *Ducturns*, or approved equal.

E. Furnish and install duct collars and angle iron frames for the installation of ATC dampers.

F. Provide Type 316 stainless steel motor-operated dampers for installation in stainless steel ductwork.

G. Where volume dampers are installed in exposed finished spaces locate damper handle on top of duct.

H. Where volume dampers are installed above ceilings attach a colored piece of tape so that Test and Balance Engineer can easily locate for air flow adjustment.

2.6 INSTRUMENT TEST PORTS

A. Furnish and install instrument test ports in the ductwork to allow use of pitot tube length. Equip holes with Ventlok #699 instrument ports. Fittings shall extend beyond duct covering and insulation.

2.7 DUCT ACCESS DOORS

A. Furnish and install adequately sized duct access doors at air measuring devices, motor-operated dampers, duct smoke detectors, duct coils and other locations where indicated and required for duct access. Doors shall be the continuous piano-hinged type with approved latches and neoprene compression-type gaskets with 1 inch thick fiberglass double skin and shall be Ruskin Model ADH22, Air Balance, Inc., FSA-100 or as approved equal. Stiffen ductwork at door openings. Where doors are installed in insulated ductwork, provide equivalent insulation in the door assembly. Where access doors are installed in the fire-rated partitions, provide *Fire Seal* access doors as manufactured by Air Balance, Inc., or approved equal, UL approved, meeting the rating of the enclosure in which the access door is installed.

B. Seal around frame attachment to duct and door to frame with neoprene or foam rubber.

2.8 DUCT LINING (LOW PRESSURE DUCTWORK)

A. All low pressure supply and return ductwork within 10 feet of single zone VAV units, and as additionally shown on Contract Drawings, shall be lined on the interior for sound attenuation and thermal insulation.

B. All low pressure ductwork within 10 feet of return or exhaust air fans and as additionally shown on Contract Drawings shall be lined on the interior for sound attenuation and thermal insulation.

C. All internal duct lining for low pressure duct systems shall be provided with an interior galvanized perforated liner.

D. Provide additional exterior insulation where required and as indicated in Division 23 Section, “HVAC Insulation”.

E. The lining insulation shall be 1 inch thick, 3.0 pcf density, Aeroflex plus Duct Liner Type 300, Owens Corning Quiet R Rotary Duct Liner, Manville, Knauf, or approved equal. The material shall be specifically designed for this application, shall have a black, fire-resistant coating, shall meet NFPA Standards 90A and 90B and shall have a UL Fire Hazard Classification of Flame Spread 25 or less and smoke developed of 10 or less. The black-coated surface shall face the air stream.

F. All exposed edges and the leading edge of all cross joints of the liner shall be coated with the same adhesive used to secure the duct liner to metal surface. All air stream surfaces shall be treated with EPA registered fungicide Foster 40-20. Coating shall meet ASTM D 5590 with 0 growth rating.

G. The duct liner shall be adhered to the metal with 100 percent coverage of adhesive. Adhesive shall conform to Adhesive and Sealant Council Standards for adhesives for duct liner; ASTM C916, Type II (ASC-A-7001-A-1971). Adhesive shall be Foster 85-60, Childers CP-127 or approved equal.

H. The duct liner shall be additionally secured with mechanical fasteners, which shall compress the duct liner sufficiently to hold it firmly in place. Mechanical fasteners shall conform to Mechanical Fastener Standard MF-1-1971, available from Sheet Metal and Air Conditioning Contractors National Association.

I. All duct lining shall be installed in complete accordance with the Sheet Metal and Air Conditioning Contractors National Association (SMACNA) Duct Liner Application Standard, First Edition and Green Guard Indoor Air Quality certification program requirements.

J. Dimensions on drawings indicate inside clear opening of rectangular ductwork. Increase duct dimensions 2 inches each way for accommodating insulation on all shop or field-fabricated rectangular ductwork where lining is specified.

2.9 AIR TERMINAL DEVICES

A. Furnish and install air supply, return, exhaust devices of sizes and capacities as scheduled on the drawings. Catalog numbers shown are Metalaire, Inc., products for equipment which have been found suitable for the application. Products of Tuttle & Bailey, Anemostat, Division of Hart & Cooley, Carnes, Titus, Price, Nailor, or approved equal will be considered only if performance characteristics including throw, drop, pressure loss, sound pressure level, etc., are equal to or better than the performance characteristics of the specified products. All air devices shall be ADC certified. Ductwork behind registers, grilles and diffusers shall be given two coats of flat black paint. Perimeter of all ceiling diffusers shall be caulked to provide a neat, aesthetic appearance.

B. Device Schedule:

AIR DEVICE SCHEDULE		
Device	**Accessories**	**Finish**
Return, Transfer Register, Lay-in Tile		
MetalAire, Model RHD Rectangular Registers	Integral opposed blade damper	Off-white baked enamel finish
	45 degree angled deflecting vanes	
	All aluminum construction	

AIR DEVICE SCHEDULE		
	Auxiliary panel for lay-in tile installation	

C. Where air terminal devices are installed in duct collars or branches, furnish and install air extractors. Furnish and install control grids, volume dampers, and/or other accessories necessary to ensure uniform air flow across the terminal devices. Accessories shall be of the same material as the terminal device. Install fixed blade terminals so that blades block the normal line of vision. Furnish three (3) of each type of removable key operators.

D. Contractor shall determine frame and mounting type as per type of ceiling as shown on Architectural drawings.

E. Noise Criteria: All air devices shall be sized and selected to limit maximum NC (noise criteria) levels to 30.

2.10 OPEN END DUCTS (OED)

A. Whether indicated on plans or not, all open-ended ducts shall be provided with a protective screen.

B. All open-ended ducts shall be furnished with a 12 gauge ½ inch x ½ inch aluminum mesh screen. Screens shall be permanently installed in a removable frame, and the frame shall be attached to the open-ended duct in a neat, workmanship-like manner without any exposed edges or sharp surfaces.

C. Screen shall be attached to a ¾ inch x 1/8 inch continuous galvanized perimeter frame. Install duct stiffeners greater than 16 inches in any direction at open-ended ducts.

2.11 DRIP PANS

A. Furnish and install suitable watertight, aluminum drip pans where water or drain piping is routed over electrical switchgear, transformers, computers, elevator machine equipment, dry storage rooms, etc. Each drip pan shall have a 1 inch copper type *M* drain piped to discharge where shown on drawings; or, if not shown, to discharge over nearest available open drain. Size and arrangement shall be as approved by Engineer. Sides shall be minimum 1.5 inches deep.

B. Drain pans shall be of 16 gauge welded construction. Provide drawings of typical drain pan construction for approval before construction. See Submittals in Division 01 Section, “Product Requirements”.

2.12 DUCT SEALANTS AND ADHESIVES

A. All ductwork shall be sealed, including low pressure exhaust systems. Transverse joints and longitudinal seams in duct systems shall be sealed with a duct sealant of the type specified hereinafter in Section 1, 2, or 3, or with a tape sealing system as specified in

Section 4. Spiral lockseams are not longitudinal seams and do not require duct sealant. All seams and joints shall require duct sealant suitable for the pressure rating and installation application. All sealants shall exceed 500 hours without becoming brittle under ASTM-D572 test conditions (oxygen bomb), unless specified otherwise. No surface preparation or solvent cleaning shall be necessary to remove light coatings of oil and dust before applying sealant unless specified otherwise. Flanged joints shall be sealed according to Section 5. Construction joints that are not fully welded shall be sealed according to Section 6. Adhesive to secure insulation to metal surfaces shall be that specified in Section 7.

1. Assembly joints to be installed indoors or outdoors shall be sealed with Foster 32-19, Childers CP-146, United Duct Sealer WB, or equivalent, which is a water-based sealant formulated to withstand service temperatures from 20 degrees F to +200 degrees F. Sealant shall have a UL Classification marking with a flame spread of 15 and smoke developed of 0 when applied to inorganic reinforced cement board, both at a coverage of 31 square feet per gallon. Store and apply between 40°F (4°C) and 100°F (38°C); protect from freezing.

2. Assembly joints to be installed indoors shall be sealed with Foster 32-19, childers CP-146, UNI-GRIP™ duct sealer or equivalent, which is a water-based (vinyl-acrylic polymer) sealant formulated to withstand temperatures from –20 degrees to +200 degrees Fahrenheit. Surfaces to be sealed should be clean, dry, and free from oil, grease, and dirt. Sealant shall be nonflammable (wet) and fire retardant. Sealant shall have a UL Classification marking with a flame spread of 5 and smoke developed of 5 when applied to 18-gauge galvanized steel and a flame spread of 0 and smoke developed of 0 when applied to inorganic reinforced cement board, both at a coverage of 40 square feet per gallon.

3. Assembly joints shall be sealed with UNI-CAST® tape sealing system or equivalent, which is a combination of an adhesive activator and woven-fiber tape impregnated with a gypsum mineral compound. Modified acrylic/silicone activator (MTA-20 for indoor use) reacts exothermically with the tape to form a hard, airtight seal. Sealant shall be formulated to withstand temperatures from –40 degrees F to +200 degrees Fahrenheit. Combination of tape and MTA-20 adhesive shall have a flame spread and smoke developed of 0. Do not use for outdoors.

4. Flanged joints to be installed indoors shall be sealed with UNI-GASKET™ flange sealer or equivalent, which has a synthetic elastomer base and is formulated to withstand temperatures from –20 degrees F to +150 degrees F. Sealant shall have a UL Classification marking with a flame spread of 5 and smoke developed of 5 when applied to 18-gauge galvanized steel and a flame spread of 0 and smoke developed of 5 when applied to inorganic reinforced cement board, both at a coverage of 80 square feet per gallon.

5. Where duct fittings are constructed with standing seam or spot-welded techniques, all construction joints shall be sealed with UNI-WELD™ metal cement or equivalent, which is composed of neoprene rubber, resins, and inert reinforcing material dispersed in a petroleum distillate. Sealant shall be formulated to withstand temperatures from –20 degrees F to +225 degrees F. Sealant shall have a UL Classification marking with a flame spread of 0 and

smoke developed of 0 when applied to 18-gauge galvanized steel and a flame spread of 0 and smoke developed of 0 when applied to inorganic reinforced cement board, tested as applied in two 1/8 inch beads 8 inches on center.

6. Where insulation is to be secured to metal surfaces, the adhesive used shall be Foster 85-60, Childers CP-127, UNI-TACK™ duct liner adhesive or equivalent, which are water-based, vinyl-acrylic copolymer adhesives formulated to withstand temperatures from –20 degrees Fahrenheit to +200 degrees Fahrenheit. Adhesive shall have a UL Classification marking with a flame spread of 0 and smoke developed of 0 when applied to 18-gauge galvanized steel and a flame spread of 0 and smoke developed of 0 when applied to inorganic reinforced cement board, both at a coverage of 267 square feet per gallon. Adhesive shall conform to ASTM C916, Type II.

B. Manufacturers: Duct Mate, United McGill, MKT Metal Manufacturers, Semco, Elgen, Childers, Foster, or as approved equal.

PART 3 EXECUTION

3.1 DUCT INSTALLATION REQUIREMENTS

A. Coordinate ductwork with other work and install ducts at proper elevations and locations to maintain indicated ceiling heights and clearances. Provide all elbows, transitions, offsets, connections, and other fittings necessary to fit the work into place or to connect to equipment or diffusers. Method of duct support connection to structure and slabs shall be approved by Structural Engineer, and Shop Drawings shall be submitted.

B. Substantially support ductwork with structural shapes, flat bars, or formed strap hangers securely attached to the building structure by means of bolts, clamps, or inserts. Support vertical ducts by angles attached to the duct and resting on the floor or supported by brackets or hangers attached to the building structure. Strap hangers shall be 16-gauge minimum galvanized steel formed under the bottom edge of duct. Use square ¼ inch thick washers tight against the bend on upper strap attachments to horizontal surfaces. Place all supports external to the ductwork and out of the air stream. Provide additional supports at coils and other concentrated loads. Arrange supports so that duct weight is not transmitted to ceilings, fans or other equipment.

C. Prevent direct contact between ductwork and building surfaces or other equipment. Where ducts pass through walls, partitions, floors, ceilings, or roofs, pack and seal the space around the duct with an approved fire-safe inert material. Provide flanged duct escutcheons at all exposed ducts that pass through walls, partitions, floors, and ceilings.

D. Use galvanized (compatible) corrosion-resistant hangers, supports, brackets, and hardware.

E. Furnish and install NFPA-approved duct connections where shown and at all connections to fans, air handling units, and similar rotating equipment. Use glass-reinforced neoprene fabric, roll-formed to sheet metal strips or flanges. Support adjacent ductwork to provide sufficient slack in the connection.

F. See NFPA 90A, and latest publication of SMACNA. Prevent direct contact between

ductwork and building surfaces or other equipment. The opening in the construction around the duct shall not exceed one-inch average clearance on all sides. Where ducts pass through walls, partitions, floors, ceilings, or roofs, pack and seal the space around the duct with an approved fire-safe inert material capable of preventing the passage of flame and hot gases sufficiently to ignite cotton waste when subjected to the same NFPA 251 Time-Temperature Conditions required for fire barrier penetration. All exposed duct penetrations shall be finished with a sheet metal field erected flange escutcheon to form a neat appearance.

G. Coordinate duct installation with the requirements of Division 23 Section, "Vibration Controls for HVAC, Plumbing & Fire Protection Equipment".

H. Install in accordance with manufacturer's instructions.

I. Install and seal ducts in accordance with SMACNA *HVAC Duct Construction Standards - Metal and Flexible.*

J. Duct Sizes are inside clear dimensions. For lined ducts, maintain sizes inside lining.

K. Provide openings in ductwork where required to accommodate thermometers and controllers. Provide pitot tube openings where required for testing of systems, complete with metal can with spring device or screw to ensure against air leakage. Where openings are provided in insulated ductwork, install insulation material inside a metal ring.

L. Locate ducts with sufficient space around equipment to allow normal operating and maintenance activities.

M. Use crimp joints, with or without bead, for joining round duct sizes eight (8) inches and smaller with crimp in direction of air flow.

N. Use double nuts and lock washers on threaded rod supports.

O. Set plenum doors 6 to 12 inches (150 to 300 mm) above floor. Arrange door swings so that fan static pressure holds door in closed position.

P. During construction, provide temporary closures of metal or taped polyethylene on open ductwork to prevent construction dust from entering ductwork systems.

3.2 ACCESSORY INSTALLATION REQUIREMENTS

A. Install accessories in accordance with manufacturer's instruction, NFPA 90A, and SMACNA HVAC Duct Construction Standards - Metal and Flexible.

B. Provide duct access doors for inspection and cleaning before and after filters, coils, fans, automatic dampers, duct detectors, air flow monitoring stations, duct-mounted equipment, and elsewhere as indicated. Review locations prior to fabrication.

C. Provide duct test holes where required for testing and balancing purposes. Review locations with Test and Balance Engineer prior to installation.

D. Provide flexible connections immediately adjacent to equipment in ducts associated with

fans and motorized equipment and supported by vibration isolators. Refer to Division 23 Section, "Vibration Control for HVAC and Plumbing Systems".

E. Provide balancing dampers at points on supply, return, and exhaust systems where branches are taken from larger ducts as required for air balancing. Install minimum duct widths from duct take-off.

F. Provide balancing dampers on duct take-off to diffusers, grilles, and registers, regardless of whether dampers are specified as part of the diffuser, grille, or register assembly.

G. Install diffusers, registers, and grilles to ductwork with airtight construction.

H. Check location of all air outlets and inlets and make necessary adjustments in position to conform with architectural features, symmetry, and lighting arrangements.

I. Install duct thermometer support flanges in wall of duct. Attach to duct with screws. Locate duct mounted thermometers, minimum 10 feet downstream of mixing dampers, coils or other devices causing air turbulence.

J. Install remote - reading duct dial thermometers in control panels with tubing connecting panel and thermometer bulb supported to prevent kinks. Use minimum tubing length. Mount control panel 60 inches above finished floor and label each dial thermometer.

K. Install duct accessories according to applicable details shown in SMACNA's *HVAC Duct Construction Standards--Metal and Flexible* for metal ducts.

L. Install volume dampers in lined duct; avoid damage to and erosion of duct liner.

M. Provide test holes at fan inlet and outlet and elsewhere as indicated.

N. Adjust duct accessories for proper settings.

3.3 DUCT LINING INSTALLATION REQUIREMENTS

A. All portions of duct designated to receive duct liner shall be completely covered with duct liner. Transverse joints shall be neatly butted and there shall be no interruptions or gaps. The black pigmented or mat faced surface of the duct liner shall face the airstream.

B. Duct liner shall be adhered to the sheet metal. with 90 percent coverage of adhesive complying with requirements of ASTM C916. All exposed leading edges and transverse joints shall be factory coated or coated with adhesive during fabrication. Install perforated galvanized inner liner where indicated

C. Duct liner shall be additionally secured with mechanical fasteners, either weld-secured or impact-driven, which shall compress the duct liner sufficiently to hold it firmly in place. Adhesive bonded pins are not permitted due to long term adhesive aging characteristics. Spacing of mechanical fasteners with respect to duct liner interior width shall be in accordance with SMACNA HVAC DGS. Maximum spacing for mechanical fasteners shall be as follows:

Velocity = 0 to 2,500 feet per minute (0 to 12.8m/s):

From transverse end of liner	3: (75mm)
Across width of duct	12 inches (300 mm) O.C.
From corners of duct	4 inches (100mm)
Along length of duct	18 inches (450mm) O.C.

Velocity = 2,501 to 5,000 feet per minute (12.8 to 25.4 m/s):

From transverse end of liner	3 inches (75m)
Across width of duct	6 inches (150mm) O.C.
From corners of duct	4 inches (100mm)
Along length of duct	16 inches (400mm) O.C.

D. When air velocities exceed 4,000 fpm (20.3m/s), galvanized sheet metal nosing shall be applied to all leading edges of duct liner.

E. Acoustical Duct Liner shall be cut to assure overlapping and compressed longitudinal corner joints.

F. Upon completion of installation of duct liner and before operation is to commence, visually inspect the system and verify that the duct liner insulation has been correctly installed.

G. Open all system dampers and turn on fans to blow all scraps and other loose pieces of material out of the duct system. Allow for a means of removal of such material.

H. Check the duct system to ensure that there are no air leaks through joints.

3.4 CLEANING

A. Clean duct system and force air at high velocity through ducts to remove accumulated dust. To obtain sufficient air, clean half the system at a time. Protect equipment which may be harmed by excessive dirt with temporary filters, or bypass during cleaning.

B. Clean duct systems with high power vacuum machines. Protect equipment which may be harmed by excessive dirt with filters, or bypass during cleaning. Provide adequate access into ductwork for cleaning purposes.

C. Ductwork shall be cleaned in accordance with “Duct Cleanliness for New Construction (SMACNA 2000)”, and shall achieve a “Basic” cleanliness level.

3.5 DUCTWORK IDENTIFICATION

A. Degrease and clean surfaces to receive adhesive for identification materials.

B. All ductwork shall be identified with painted background marked with the name of the service with arrows to indicate flow direction. Color Code and System Identification shall comply with ANSI Standards.

C. Marking shall be plain block letters, stenciled on ductwork (above and below ceilings) and shall be located near each branch connection and at least every ten feet on straight runs of ductwork. Where ductwork is aligned adjacent to each other, markings shall be neatly lined up. All markings shall be located in such a manner as to be easily legible

from the floor.

D. Identify ductwork with plastic nameplates or stenciled painting. Identify with air handling unit identification and area served.

E. Length of color field for ductwork shall be 32 inches. Lettering shall be minimum 3-1/2 inches high.

END OF SECTION

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SECTION 260501 - COMMON WORK RESULTS FOR ELECTRICAL

PART 1 - GENERAL

1.1 GENERAL

A. Provide all labor, materials, equipment and services necessary for and incidental to the complete installation and operation of all electrical work.

B. All work under this Division is subject to the General Conditions and Special Requirements for the entire contract.

C. Unless otherwise specified, all shop drawings and submissions required under Division 26 shall be made to, and acceptances and approvals made by, the ENGINEER.

D. Conform to the requirements of all rules, regulations, and codes of local, state, and federal authorities having jurisdiction. Conform to the National Electrical Code and all NECA – National Electrical Installation Standards (NEIS).

E. Perform the work in a first-class, substantial, and workmanlike manner. Any materials installed which do not present an orderly and neat workmanlike appearance shall be removed and replaced when so directed by the Engineer, at the Contractor's expense.

F. Coordinate the work of all trades.

G. Arrange conduit, wiring, equipment, and other work generally as shown, providing proper clearances and access. Carefully examine all contract drawings and fit the work in each location without substantial alteration. Where departures are proposed because of field conditions or other causes, prepare and submit detailed drawings for approval in accordance with “Submittals” specified below. The right is reserved to make reasonable changes in location of equipment, conduit, and wiring up to the time of rough-in or fabrication.

H. The contract drawings are generally diagrammatic and all offsets, bends, fittings, and accessories are not necessarily shown. Provide all such items as may be required to fit the work to the conditions.

I. Be responsible for all construction means, methods, techniques, procedures, and phasing sequences used in the work. Furnish all tools, equipment and materials necessary to properly perform the work in a first class, substantial, and workmanlike manner, in accordance with the full intent and meaning of the Contract Documents.

J. The Contractor shall provide other work and services not otherwise included in the Contract Documents that are customarily forwarded in accordance with generally-accepted construction practices.

1.2 PERMITS, INSPECTIONS, AND FEES:

A. The Contractor shall obtain and pay for all charges and fees, and deliver all permits, licenses, certificates of inspection, etc., required by the authorities having jurisdiction. Deliver inspection, approval, and other certificates to the Owner prior to final acceptance of the work.

B. File necessary plans, prepare documents, give proper notices, and obtain necessary approvals.

C. Permits and fees shall comply with the General Requirements of the Specification.

D. Notify Inspection Authorities to schedule inspections of work. All work shall be subject to field inspections.

E. Notify Engineer in advance of scheduled inspections.

F. An electrical foreman, superintendent or other supervisor shall be in attendance for all scheduled inspections.

G. The Contractor shall provide an electrical certificate from an independent electrical inspection agency approved by the Owner and the State of Delaware Fire Marshal. The Contractor shall submit certificate prior to final payment invoice. The Contractor shall pay all fees, including filing fees.

1.3 ELECTRICAL WORK UNDER OTHER DIVISIONS:

A. Mechanical Equipment and Systems

1. In general, power wiring and motor starting equipment for mechanical equipment and systems are furnished and installed under Electrical Division 26.
2. Certain mechanical units contain starters, contacts, transformers, fuses, wiring, etc., required for fans, pumps, etc., furnished with the equipment from the factory. When this equipment is supplied from the factory, the Contractor must supply power circuit(s) to the unit and a disconnecting means. Coordinate with Contractor so that one, and only one, set of starters, fuses, switches, etc., is provided and installed.
3. In general, control and interlock equipment for HVAC systems (including associated wiring, conduit, transformers, relays, contacts, etc.) is furnished under Mechanical Divisions. Contractor shall install and connect all such equipment as necessary.
4. Controls, wiring, conduit, transformers, etc., for smoke, fire, and motor-operated dampers are provided by Mechanical. Electrical shall install and connect all such equipment.

B. Architectural Equipment: In general, any electrically operated or controlled equipment furnished under architectural divisions shall be supplied with control wiring, transformers, contacts, etc. Contractor shall provide power circuits to such equipment and install all electrical control equipment related thereto.

C. Carefully review the contract documents and coordinate the electrical work under the various Divisions.

1.4 CONTRACTOR QUALIFICATION:

A. Any Contractor performing work under this Division shall be fully qualified and acceptable to the Engineer. Submit the following evidence for approval:

1. A list of not less than five (5) comparable projects that the Contractor completed.

2. Letters of reference from not less than three (3) registered professional engineers, contractors, or building owners, explaining Contractor proficiency, quality of work, or other attribute on projects of similar size or substance.

3. Local or State license.
4. Membership in trade or professional organization where required.
5. Copy of Master Electrician's License.

B. Contractor is any individual, partnership, corporation, or firm performing work by Contract or subcontract on this project.

C. Acceptance of a subcontractor will not relieve the Contractor of any contractual requirements or his responsibility to supervise and coordinate the various trades.

D. Supervisory Qualifications: The electrical work on the project shall be under the direct supervision of a licensed Master Electrician.

E. Qualifications of Installers:

1. For the actual fabrication, installation, and testing of the work, the Contractor shall use only thoroughly trained and experienced personnel who are completely familiar with the requirements of this work and with the installation recommendations of the manufacturers of the specified items.
2. The Electrical Installer shall utilize a full time project foreman in charge of all electrical work. This person shall be fully qualified and experienced in such work and shall be available, on site, at all times during Construction. All problems, questions, coordination, etc., relating to electrical work shall take place through this person to the Architect.

1.5 FIRE SAFE MATERIALS:

A. Unless otherwise indicated, materials and equipment shall conform to UL, NFPA, or ASTM Standards for Fire Safety with Smoke and Fire Hazard Rating not exceeding flame spread of 25 and smoke developed of 50.

1.6 REFERENCED STANDARDS, CODES, ORDINANCES AND SPECIFICATIONS

A. Specifications, Codes and Standards listed below are included as part of this specification, latest edition.

ADA	Americans with Disabilities Act
ANSI	American National Standards Institute
ASHRAE	American Society of Heating, Refrigerating and Air Conditioning Engineers
ASME	American Society of Mechanical Engineers

ASTM	American Society for Testing and Materials
IBC	International Building Code
CABO	Council of American Building Officials
FM	Factory Mutual
IEEE	Institute of Electrical and Electronics Engineers
NEC	National Electrical Code
NECA	National Electrical Contractors Association
NEMA	National Electrical Manufacturers Association
NFPA	National Fire Protection Association
OSHA	Occupational Safety & Health Administration
UL	Underwriters Laboratories
	Applicable State and Local Codes

B. All electrical equipment and materials shall comply with the Codes and Standards listed in the latest edition of IEEE Standard 241, *Electric Power Systems in Commercial Buildings*, Chapter 1, Section 1.6, entitled "Codes and Standards".

C. Comply with all Codes applicable to the work:

1. Bidders shall inform themselves of all local and state codes and regulations.
2. In case of conflict between Contract Documents and governing Codes, the most stringent shall take precedence. Where, in any specific case, different sections of any applicable codes or when Drawings and Specifications specify different materials, methods of Construction, or other requirements, the most restrictive shall govern.
3. Where Contract Documents exceed minimum Code requirements, and are permitted under the Code, the Contract Documents take precedence and shall govern.
4. No extra payment will be allowed for work or changes required by local Code enforcement authorities.

D. Underwriters Laboratories Labels shall apply to all materials and devices, etc., except specified items not covered by existing UL Standards.

E. Conflicts with applicable regulations:

1. Resolve at Contractor's expense.
2. Prepare and submit details of alternate construction:

    a. Acceptable solution of conflict.

b. List of substitute materials:

For approval of inspecting authorities.
For approval of Engineer.

F. Comply with all NECA's National Electrical Installation Standards (NEIS), including NECA 1-2000 "Standard Practices for Good Workmanship in Electrical Contracting".

1.7 INTERPRETATION OF DOCUMENTS

A. Any discrepancies between Drawings, Specifications, Drawings and Specifications, or within Drawing and Specifications shall be promptly brought to the attention of the Owner during the bidding period. No allowance shall subsequently be made to the Contractor by reason of his failure to have brought said discrepancies to the attention of the Owner during the bidding period or of any error on the Contractor's part.

B. The locations of products shown on Drawings are approximate. The Contractor shall place the devices to eliminate all interference with above-ceiling ducts, piping, etc. Where any doubt exists, the exact location shall be determined by the Owner.

C. All general trades and existing conditions shall be checked before installing any outlets, power wiring, etc.

D. Equipment sizes shown on the Drawings are estimated. Before installing any wire or conduit, the Contractor shall obtain the exact equipment requirements and install wire, conduit, or other item of the correct size for the equipment actually installed. However, wire and conduit sizes shown on the Drawings shall be taken as a minimum and shall not be reduced without written approval from the Owner.

E. Where variances occur between the drawings and specifications or within either document itself, the item or arrangement of better quality, greater quality, or higher cost shall be included in the Contract Price. The Engineer will decide on the item and manner in which the work shall be installed.

F. Contract Drawings are generally diagrammatic and all offsets, fittings, transitions, and accessories are not necessarily shown. Furnish and install all such items as may be required to fit the work to the conditions encountered. Arrange conduits, equipment, and other work generally as shown on the Contract Drawings, providing proper clearance and access. Where departures are proposed because of field conditions or other causes, prepare and submit detailed Shop Drawings for approval in accordance with "submittals" specified below. The right is reserved to make reasonable changes in location of equipment, piping, and ductwork, up to the time of rough-in or fabrication.

G. Work not specifically outlined, but reasonably incidental to the completion of the work, shall be included without additional compensation from the Owner.

1.8 COORDINATION

A. Coordinate arrangement, mounting, and support of electrical equipment:

1. To allow maximum possible headroom unless specific mounting heights that reduce headroom are indicated.
2. To provide for ease of disconnecting the equipment with minimum interference to other installations.
3. To allow right of way for piping and conduit installed at required slope.
4. So connecting raceways, cables, wireways, cable trays, and busways will be clear of obstructions and of the working and access space of other equipment.

B. Coordinate installation of required supporting devices and set sleeves in cast-in-place concrete, masonry walls, and other structural components as they are constructed.

C. Coordinate sleeve selection and application with selection and application of firestopping specified in Division 07 Section "Penetration Firestopping."

PART 2 - PRODUCTS

2.1 MATERIALS AND EQUIPMENT

A. Material and equipment installed as a part of the permanent installation shall be new, unless otherwise indicated or specified, and shall be approved by the Underwriters' Laboratories, Inc., for installation in each particular case where standards have been established.

B. Where material or equipment is identified by proprietary name, model number, and/or manufacturer, furnish the named item or equivalent thereof, subject to acceptance.

C. Material submissions shall conform to requirements outlined in SUBMITTALS, REVIEW, AND ACCEPTANCE.

D. The suitability of named item only has been verified. Where more than one Manufacturer is named, only the first named Manufacturer has been verified as suitable. Manufacturers and items other than the first named shall be equal or better in quality and performance to that of specified items, and must be suitable for available space, required arrangement, and application. The Contractor, by providing other than the first named Manufacturer, assumes responsibility for all necessary adjustments and modifications necessary for a satisfactory installation.

E. The Contractor shall only submit those manufacturers indicated in the Specification. Proposed alternate manufacturers will not be considered unless the specific item indicates “or as approved equal”. Submit all data necessary to determine suitability of substituted items for approval.

F. All items of equipment furnished shall have a service record of at least five (5) years.

2.2 SUBSTITUTIONS

A. Substituted items or items other than those named shall be equal or better in quality and performance and must be suitable for the available space, required arrangement, and application. Submit any and all data necessary to determine the suitability of substituted items. The Contractor shall be responsible for correct application, placement, and installation of substituted equipment. Cost savings data shall

also be submitted with submittal data for substituted items. Total cost savings or a per-unit saving to the Owner shall be clearly indicated. If a substituted item is accepted, all cost savings shall be returned to the Owner as a credit.

B. Substitutions will not be permitted for specific items of material or equipment where specifically indicated.

C. For substituted items, clearly list on the first page of the submittal all differences between the specified item and the proposed item. The Contractor shall be responsible for corrective action (or replacement with the specified item) while maintaining the specification requirements if differences have not been clearly indicated in the submittal.

D. Where the Contractor proposes to use an item of equipment or application other than that specified or detailed on the Drawings, which requires any redesign of the structure, partitions, foundation, HVAC, piping, wiring, or any other part of the mechanical, electrical, or architectural layout, all such redesign and all new drawings and detailing required thereafter shall be prepared by the Contractor at his own expense for review by the Owner representative before any such work is implemented.

E. All Contractor-proposed changes and revisions shall be at the Contractor's risk and expense. The Contractor shall fully coordinate all revisions, substitutions and changes with other trades. The Contractor shall provide all necessary provisions, including HVAC, ventilation, foundations, access, etc., for a complete, code compliant, and fully functional installation.

F. Where the Contractor elects to submit a substitution for equipment or materials, he shall:

1. Submit Shop Drawings that show complete compliance to each statement or requirement of the Specifications.
2. Submit certified test data from an independent testing laboratory for each product.
3. Submit one complete working sample of the equipment or materials to be furnished. In cases involving large or heavy items of equipment, the Owner may waive the requirement to submit the sample.

G. Failure to comply with the above-required submissions shall constitute an automatic rejection of the substitution.

2.3 SUBMITTALS, REVIEW, AND ACCEPTANCE

A. General:

1. The equipment, material, installation, workmanship, arrangement of work, final instruction, and final documentation is subject to review and acceptance. No substitution will be permitted after acceptance of equipment or materials except where such substitution is considered by the Engineer to be in the best interest of the Owner. Submit for review in clear and legible form the following documents:

a. Material and Equipment List
b. Descriptive Data
c. Shop Drawings
d. Installation and Coordination Drawings
e. Contractor As-Built Drawings

f. Owner Instructions and Manuals
g. Construction Phasing and Outage Schedule

2. Prepare all submittals specifically for this project and stamp each submittal in a form indicating that the documents have been Contractor reviewed, are complete, and are in compliance with the requirements of the plans and specifications. Each submittal item shall be clearly identified and numbered. Each submittal shall contain a complete schedule of Manufacturer's part numbers and quantity listings of all supplied components. Each proposed item shall be highlighted and tagged with a star, an arrow, etc., including all options and accessories.
3. Coordinate the installation requirements and any mechanical requirements for the equipment submitted. Submittals will be reviewed for general compliance with design concept in accordance with the contract documents. The Contractor is responsible for the correctness of all submittals. Reviews will not verify dimensions, quantities, or other details.
4. Identify all submittals, indicating the intended application, location, or service of the submitted item. Refer to specification sections or paragraphs where applicable. Clearly indicate the exact type, model number, size, and special features of the proposed item. Clearly list on the first page of the Submittal all differences between the specified item and the proposed item. The Contractor shall be responsible for corrective action (or replacement with the specified item) while maintaining the specification requirements, if differences have not been clearly indicated in the submittal. Submittals of a general nature will not be acceptable.
5. Submit actual operating conditions or characteristics for all equipment where required capacities are indicated. Factory order forms showing only required capacities will not be acceptable. Indicate all options used to meet the specifications. It is not the responsibility of the Engineer or Owner to make selections of factory options other than colors. Submittals lacking proper selection of factory options or special features required by the specification shall be RETURNED WITHOUT REVIEW.
6. Acceptance will not constitute waiver of contract requirements unless deviations are specifically indicated and clearly noted.
7. Documents of general form indicating options shall be clearly marked to show what is specifically proposed for this project.
8. Submittals NOT IN COMPLIANCE with the requirements of this section will be RETURNED WITHOUT REVIEW.

B. Material, Equipment, Manufacturer and Subcontractor List: Within 30 calendar days after the award of contract, submit a complete MATERIAL, EQUIPMENT, MANUFACTURER AND SUBCONTRACTOR LIST for preliminary review. List all proposed materials and equipment, the associated proposed Manufacturer, and any proposed subcontractors. After the receipt of reviewed Material and Equipment List, submit complete Shop Drawings for approval. List all materials and equipment, indicating manufacturer, type, class, model, curves, and other general identifying information. Submittals shall be specific for each building as contained in the individual building Specifications and Drawings.

C. Upon approval of the List of Materials, the Contractor shall prepare a complete Master Submittal Register, listing all products and materials that will be submitted for approval. Items shall be listed by referenced specification paragraph in ascending order. This master list shall be included with each submittal, updated to reflect the status of approval for each item, and shall highlight the items pertaining to the submittal. A suggested Submittal Register Format is shown below:

SUBMITTAL REGISTER					
Item/Material	Ref'd Spec. Paragraph	Specified or Substitute	Submittal Date	Status	Remarks

D. No Shop Drawing Submittals will be considered for approval until the complete List of Subcontractors and the complete List of Materials/Manufacturers and Equipment have been approved.

E. Descriptive Data: After acceptance of the MATERIAL and EQUIPMENT LIST, submit additional DESCRIPTIVE DATA for all items. Data shall consist of specifications, data sheets, samples, capacity ratings, performance curves, operating characteristics, catalog cuts, dimensional drawings, installation instructions, and any other information necessary to indicate complete compliance with the contract documents. Where several ratings or sizes are shown or available, clearly indicate the exact size or rating relating to the particular device being proposed.

F. Submit complete descriptive data for all items. Data shall consist of Specifications, data sheets, samples, capacity ratings, performance curves, operating characteristics, catalog cuts, dimensional drawings, wiring diagrams, specific electrical/wiring requirements and connections including control and interlock wiring, installation instructions, and any other information necessary to indicate complete compliance with the Contract Documents. Edit submittal data specifically for application to this project.

G. Shop Drawings shall be submitted and approved for all materials and equipment prior to installation. If any material and/or equipment is installed prior to receipt by the Contractor of approved Shop Drawings, the Contractor is liable for its replacement at no additional cost to the Owner.

H. Data submitted shall include information on all materials and equipment to demonstrate compliance with the Contract Drawings and Specifications. Where installation procedures or any part thereof are required to be in accordance with manufacturer's recommendations, furnish printed copies of the recommendations prior to installation. Installation of the item shall not proceed until recommendations are received. Failure to furnish recommendations shall be cause for rejection of the equipment or material.

I. Any deviation of submitted material or equipment from the Contract Drawings or Specifications shall be clearly marked in red ink on Submittals, and itemized in a transmittal letter, in order to receive consideration for approval.

J. Approval of material or equipment submittals containing deviations not specifically identified by Contractor shall not relieve the Contractor from compliance with specified requirements.

K. All major items of mechanical equipment shall be the latest standard catalog products of reputable manufacturers. Where two (2) or more items of the same kind of equipment are required, they shall be the products of a single manufacturer.

L. Thoroughly review and stamp all submittals to indicate compliance with Contract requirements prior to submission. Coordinate installation requirements and any electrical requirements for equipment submitted. Contractor shall be responsible for correctness of all submittals.

M. Submittals will be reviewed for general compliance with design concept in accordance with Contract Documents, but dimensions, quantities, or other details will not be verified.

N. Increase, by the quantity listed below, the number of electrical related Shop Drawings, product data, and samples submitted, to allow for required distribution plus two copies of each submittal required, which will be retained by the Electrical Consulting Engineer.

1. Shop Drawings - Initial Submittal: 1 additional blue- or black-line print.
2. Shop Drawings - Final Submittal: 1 additional blue- or black-line print.
3. Product Data: 1 additional copy of each item.

O. Additional copies may be required by individual sections of these Specifications.

P. Shop Drawings:

1. Prepare and submit SHOP DRAWINGS AND/OR DIAGRAMS for all specially fabricated items, modifications to standard items, specially designed systems where detailed design is not shown on the contract drawings, or where the proposed installation differs from that shown on the contract drawings.
2. Shop drawings shall include plans, elevations, sections, mounting details of component parts, point to point interconnection diagrams, elementary diagrams, single line diagrams, and any other drawings necessary to show the fabrication and connection of the complete item or system.
3. Shop drawings shall be provided for, but not limited to the following items:
   Basic Electrical Materials
   Cable - 600 volt
   Circuit Breakers
   Conduit and Surface Raceway
   Contractor and Subcontractor Qualifications
   Controllers & Control Devices
   Electrical Connection Coordination Schedule
   Equipment Connections
   Equipment Pads
   Fire Alarm System
   Firestopping
   Fuses
   Ground Conductors, Rods
   Identification System
   Material and Equipment List
   Motor Starters
   Outlet Boxes
   Panelboards
   Receptacles
   Record and Information Booklet
   Safety Switches
   Schedule of Values

Sleeves, Hangers, Supports
Submittal Schedule
Tests and Reports
Wiring Devices
Wiring Diagrams

Q. The Contractor, additionally, shall submit for approval any other shop drawings as required by the Engineer. No item listed above shall be delivered to the site, or installed, until approved. After the proposed materials have been approved, no substitution will be permitted except where approved by the Engineer.

R. The Contractor shall prepare and submit a Detail Schedule of Values indicating the Contract costs for the major work items. The Contractor shall provide additional detail and information as requested by the Engineer.

S. The Contractor shall prepare and submit a complete Submittal Schedule. The Schedule shall include a listing of all Submittals, Shop Drawings, and Coordination Drawings.

2.4 COORDINATION DRAWINGS:

A. Prepare, submit, and use composite installation and coordination drawings to assure proper coordination and installation of the work. Drawings shall include, but not be limited to the following:

1. Electrical Rooms indicating switchboard assemblies, transformers, equipment pads, panels, etc.
2. Mechanical Equipment Rooms, including panels, transformers, starters, equipment, etc.

B. Draw plans to a scale not less than 1/4 inch equals one foot. Include plans of the proposed work, showing all equipment, major elements, conduit, and wiring in the areas involved. Fully dimension all work, horizontally and vertically. Show coordination with other work including piping, ductwork and other mechanical work, walls, doors, ceilings, columns, beams, joists, and other architectural and structural work.

C. Identify all equipment and devices on wiring diagrams. Where field connections are shown to factory-wired terminals, furnish manufacturer's literature showing internal wiring.

2.5 RECORD DRAWINGS:

A. As the work progresses, record on a set of white prints the installed locations, sizes of electric feeders, equipment, etc. Upon completion of the work, submit one (1) complete set of white prints with "As-Built" information neatly recorded thereon in red ink. Use other colors to distinguish between variations in separate categories of the work. Note related change-order numbers where applicable.

B. Write step-by-step detailed instructions for turn-on, turn-off, seasonal changeover, and periodic checks of all systems and equipment. Include all precautions and warnings.

C. Prepare a list of the manufacturers of all major equipment, their local service representative and procedures for obtaining service.

D. Post one (1) copy of all instructions, lists, charts, and diagrams at the equipment or where indicated, mounted under glass or approved plastic cover.

E. Furnish to the Owner two (2) copies of the Manufacturer's installation and operations instructions. Include replacement parts lists where applicable. Also include copies of all posted instructions, lists and charts. Assemble the material in one or more heavy duty 8- 1/2" x 11" loose leaf binders with tab separators. Submit for approval before final delivery. Binder shall be labeled on spine and on cover with Project Name.

F. Deliver all instruction materials to the Owner prior to the formal instruction period.

G. Deliver two (2) complete sets of all approved submittals to the Owner for filing.

H. Prepare record documents in accordance with the requirements in the specifications. In addition to the requirements specified, indicate installed conditions for:

1. Major raceway systems, size and location, for both exterior and interior; locations of control devices; distribution and branch electrical circuitry; and circuit breaker size and arrangements.
2. Approved Substitutions, Contract Modifications, and actual equipment and materials installed.

I. The Contractor shall keep at the site at all times during construction, one set of up-to-date Contract prints for the express purpose of showing any and all changes made during construction. The Contractor shall make the prints showing each change and shall incorporate all changes in “Record/As-Built Drawings” to be submitted to the Engineer upon completion of the project.

J. The Contractor shall show proof of up-to-date record drawings to the Owner prior to submitting monthly invoice.

K. The Contractor shall conform to all drawings, including all revisions, addendums, alternates, change orders, deletions, existing conditions, and as-built conditions without extra cost to the Owner.

2.6 DEMONSTRATION AND OPERATING INSTRUCTIONS

A. Furnish the necessary technicians, skilled workers, and helpers to operate the electrical systems and equipment of the entire project. The Contractor shall provide a minimum of eight (8) hours of system demonstration and eight (8) hours of system operation for each system.

B. Where specified in technical sections, provide longer periods required for specialized equipment.

C. Contractor shall provide start-up of all systems in an orderly, organized, and coordinated manner to ensure that all systems are functioning as designed. The Contractor shall provide a detailed start-up, testing, and demonstration plan for all systems in a coordinated manner that is documented in writing at least 45 days prior to system start-up. Start-up, testing and demonstration plans shall include detailed point-by-point checklists that clearly show that systems are, in fact, functioning as designed. Instruct the Owner or designated personnel in operation, maintenance, lubrication, and adjustment of systems and equipment.

D. The Operating and Maintenance Manual shall be available at the time of the instructions, for use by Instructors and Owner personnel.

E. Videotape each instruction session, including both the sessions specified above and added sessions required in technical sections for specialized equipment. Provide one complete set of DVD video disks with each Operating and Maintenance Manual.

F. Schedule the general and specialized instruction periods for a time agreed upon by he Owner and Engineer. All operation training and demonstrations shall be complete prior to Owner acceptance of any given system.

2.7 SLEEVES FOR RACEWAYS AND CABLES

A. Steel Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, galvanized steel, plain ends.

B. Sleeves for Rectangular Openings: Galvanized sheet steel.

1. Minimum Metal Thickness:

a. For sleeve cross-section rectangle perimeter less than 50 inches (1270 mm) and no side more than 16 inches (400 mm), thickness shall be 0.052 inch (1.3 mm).
b. For sleeve cross-section rectangle perimeter equal to, or more than, 50 inches (1270 mm) and 1 or more sides equal to, or more than, 16 inches (400 mm), thickness shall be 0.138 inch (3.5 mm).

2.8 SLEEVE SEALS

A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable.

1. Basis-of-Design Product: Subject to compliance with requirements, provide product by one of the following:

a. Advance Products & Systems, Inc.
b. Calpico, Inc.
c. Metraflex Co.
d. Pipeline Seal and Insulator, Inc.

2. Sealing Elements: EPDM interlocking links shaped to fit surface of cable or conduit. Include type and number required for material and size of raceway or cable.
3. Pressure Plates: Carbon steel. Include two for each sealing element.
4. Connecting Bolts and Nuts: Carbon steel with corrosion-resistant coating of length required to secure pressure plates to sealing elements. Include one for each sealing element.

2.9 GROUT

A. Nonmetallic, Shrinkage-Resistant Grout: ASTM C 1107, factory-packaged, nonmetallic aggregate grout, noncorrosive, nonstaining, mixed with water to consistency suitable for application and a 30-minute working time.

PART 3 - EXECUTION

3.1 EXAMINATION OF SITE, SURVEYS, AND MEASUREMENTS:

A. Examine the site, determine all conditions and circumstances under which the work must be performed, and make all necessary allowances for same. No additional cost to the Owner shall be permitted for Contractor's failure to do so.

B. Examine the site and observe the conditions under which the work will be done or other circumstances which will affect the contemplated work. Special attention shall be given to areas where work is to be done in existing buildings. No allowance will be made subsequently in this connection for any error or negligence on the Contractor's part.

C. The Contractor shall base all measurements, both horizontal and vertical, from established benchmarks. All work shall agree with these established lines and levels. Verify all measurements at the site and check the correctness of same as related to the work.

D. Any discovery of discrepancy between actual measurements and those indicated which prevents following good practice or the intent of the Drawings and Specifications shall be brought to the attention of the Owner's Representative. Work shall not proceed until receiving instructions from the Owner's Representative.

E. The Contractor shall follow Drawings in laying out the work and check Drawings of other trades to verify spaces in which work will be installed. Maintain maximum headroom and space conditions at all points. Where headroom or space conditions appear inadequate, the Owner's Representative shall be notified before proceeding with the installation.

F. To prevent conflict with the work of other trades and for proper execution of the work, the Contractor, as directed by the Owner's Representative, shall make the necessary modifications in the layout as needed, at no extra charge to the Owner.

G. The Contractor shall be solely responsible for the proper arrangement of his conduit and equipment.

H. The Engineer shall make all final decisions as to any conditions that require the changing of any work.

I. The Contractor shall have competent supervision on the site at all times to lay out, check, coordinate, and supervise the installation of all electrical work and be responsible for the accuracy thereof. He shall plan the installation of all electrical work, giving consideration to the work of other trades, to prevent interference.

J. The Contractor shall determine the location, size, etc., of all chases, sleeve openings, etc., required for the proper installation of the electrical work and see that such are provided. All chases, sleeves, openings, etc., shall be set prior to erection of new work to prevent delay in the progress of other work or trades.

K. Conditions and/or situations that prevent the proper installation of any equipment or item where shown on the Drawings shall be called to the attention of the Engineer for instructions.

L. The Contractor shall have equipment shipped or fabricated in sections of suitable size for entering the building and being removed from the finished building in the future, if necessary.

M. The Contractor shall fully investigate all peculiarities and space limitations for all materials and equipment.

N. Outlet, pull, and junction boxes and other appliances that require operation, examination, adjustment, servicing or maintenance shall be readily accessible.

O. The Contractor shall take all field measurements necessary for this work and shall assume responsibility for their accuracy.

P. The Contractor shall coordinate the electrical work with all other sub-contractors. All work shall be so arranged that there will be no delay in the proper installation and completion of any part or parts of electrical equipment. All electrical work shall be installed in proper sequence with other trades without any unnecessary delay.

Q. The Drawings are to some extent diagrammatic and indicate the general arrangement of the equipment, the runs of conduit, and the manner of connection.

R. The Contractor shall confer with all sub-contractors engaged in the construction of the project, regarding the work that may, in any way, affect his installation. Whenever interference occurs, before installing any of the work in question, the Contractor shall consult with all sub-contractors and shall come to an agreement with them as to the exact location and level of his conduit parts of his equipment.

S. The Contractor shall be responsible for determining exact property lines and area of work. The Contractor shall not install any equipment or conduits outside of the property lines and/or area of work without written direction from the Owner. Any work indicated diagrammatically on the Contract Documents to be installed beyond the property lines and/or area of work shall be verified with the Owner prior to installation.

3.2 GENERAL RESPONSIBILITIES:

A. The Contractor shall be responsible for systems and related damages possible, and shall hold harmless the Owner, the Architect and his consultants from malfunction of systems and equipment installed under this Contract as defined in the laws of the State of Delaware pertaining to real property for the period of time as defined by such laws.

B. It is the intent of these Specifications to fully cover without exception all required labor and materials so that the finished work will be delivered to the Owner in a complete and satisfactory working installation. Excavation, wiring, distribution, etc., shall be performed in compliance with the Contract Documents.

C. Work not specifically outlined, but reasonably incidental to the completion of the work, shall be included without additional compensation from the Owner.

D. Conflicting points in the Specifications or on the Drawings shall be called to the attention of the Architect prior to the execution of the Contract.

3.3 STORAGE AND PROTECTION OF EQUIPMENT

A. All electrical equipment to be used in the construction shall be properly stored and protected against the elements. All equipment shall be stored under cover, and shall not be stored at the construction site on the ground, in mud, water, snow, rain, sleet or dust. Large diameter cables may be stored on reels with weatherproof materials. Such weatherproof materials shall be heavy-duty, securely fastened and made impervious to the elements.

B. Conventional electrical construction materials such as building wire, outlet and junction boxes, wiring devices, conduit, lighting fixtures, fittings, etc., shall be stored in construction buildings, covered trailers or portable covered warehouses. Any equipment subject to damage or corrosion from excessive moisture shall be stored in dry, heated areas. Any equipment containing plastic or material subject to damage caused by excessive heat or sunlight shall be stored to prevent such damage. This includes plastic ducts and lenses.

C. Switchboard, motor controllers, panelboards, breakers, emergency lighting, and supervisory equipment, if delivered to the construction site before the building is under cover, shall be warehoused and protected as follows: All gear and equipment shall be covered and protected from the elements and other damage and shall be stored in a clean, dry, heated atmosphere, under cover.

D. All gear and equipment delivered to the construction site after the building is under cover shall be protected as described above and in addition shall be provided with auxiliary heat to prevent condensation damage. The gear shall also be protected against damage caused by installation of any building systems and equipment; or damage caused by carelessness of workmen who are installing equipment connected to or adjacent to the above electrical equipment.

E. Equipment damaged as a result of the above conditions shall be properly repaired at the Contractor's expense or shall be replaced at the Contractor's expense, if, in the opinion of the Engineer the equipment has been damaged to such an extent it cannot operate properly after repairs are made.

F. All electrical enclosures exposed to construction damages such as paint spots, spackling or plaster spatter, grout splashes, waterproofing compound, tar spots or runs and pipe covering compound splashes, shall be completely covered and protected against damage.

G. In the event leakage into the building of any foreign material or fluid occurs or may occur, the Contractor shall take all steps as described above to protect any and all equipment.

H. After connections to electrical equipment are complete and the equipment is ready for operation, all construction debris shall be removed from all enclosures. Such debris includes dust, dirt, wire clippings, tape and insulation removed in order to make the connection.

3.4 ELECTRICAL INSTALLATIONS

A. General: Sequence, coordinate, and integrate the various elements of electrical systems, materials, and equipment. Comply with the following requirements:

1. Coordinate electrical systems, equipment, materials, and installation with landscape/irrigation contractor(s).
2. Verify all dimensions by field measurements.

3. Install systems, materials, and equipment to conform with approved submittal data, including coordination drawings, to greatest extent possible. Conform to arrangements indicated by the Contract Documents, recognizing that portions of the Work are shown only in diagrammatic form. Where coordination requirements conflict with individual system requirements, refer conflict to the Engineer.
4. Install systems, materials, and equipment level and plumb, parallel and perpendicular to other building systems and components where installed exposed in finished spaces.
5. Install electrical equipment to facilitate servicing, maintenance, and repair or replacement of equipment components. As much as practical, connect equipment for ease of disconnecting, with minimum of interference with other installations. All equipment and disconnects shall maintain proper working space to conform to NEC.
6. Install systems, materials, and equipment giving right-of-way priority to systems that require installation at a specified slope.
7. Arrange for chases, slots and openings in other building components during progress of construction, to allow for electrical installation.
8. Space, coordinate, and integrate installations of electrical materials and equipment for efficient flow of the work.

3.5 SUPERVISION AND COORDINATION:

A. Provide complete supervision, direction, scheduling and coordination of all work under the contract, including that of subcontractors, using full attention and the best skill. Be responsible for all work and make all subcontractors, suppliers and manufacturers fully aware of all requirements of the contract.

B. Coordinate the rough-in of all work performed under Divisions Mechanical and Electrical Divisions.

C. The Contractor shall coordinate all electrical rough-ins with approved shop drawings and coordination drawings. Any rough-in installed without complete coordination shall be at the Contractor's risk and expense.

D. Coordinate the installation of all necessary rough-in of work, sleeves, anchors and supports for conduit, wiring, and other work performed under Mechanical and Electrical Divisions. Coordinate Division 26 work with all trades.

E. Where a discrepancy exists within the Specifications or drawings or between the Specifications and Drawings, the more stringent (or costly) requirement shall apply until a clarification can be obtained from the Engineer. Failure to clarify such discrepancies with the Engineer will not relieve the Contractor of the responsibility of conforming to the requirements of the Contract.

F. Failure of the Contractor to obtain a full and complete set of Contract Documents (either before or after bidding) will not relieve the Contractor of the responsibility of complying with the intent of the Contract Documents.

G. To insure proper electrical coordination between the electrical components supplied under the Electrical Divisions and the equipment supplied under the Mechanical Divisions, a schedule shall be submitted, prior to start of work, for review by the Engineer with the following column headings:

1.Equip.	2. HP or KVA	3.Voltage and	4. Power Factor	5. Capacitor	6.Motor Starter	7.Discon.	8.Controls	9.Remarks

or Item		Phase						

Description of Column Headings:

1. List all the approved equipment furnished under Mechanical Division that requires electrical connections and designate the equipment as it appears in the Mechanical Divisions. Indicate the quantity, if more than one, in parentheses of identical equipment being supplied.
2. Indicate the supplied horsepower of the equipment listed under Column No. 1. If equipment listed has more than one motor, indicate each motor and its respective horsepower. Indicate the kVA rating for all other equipment requiring an electrical connection, unless the electrical connection is for a control circuit only.
3. Indicate the voltage and phase requirements for equipment listed under Column No. 1. If more than one electrical circuit or voltage is required for the listed equipment, it shall be so indicated. Indicate wiring required for connection, including all phase, neutral, and ground conductors.
4. Indicate the power factor rating for all motors listed under Column No. 2.
5. Where a capacitor is to be provided, indicate specification it is supplied under and indicate the KVAR size for any capacitor provided under Division 26.
6. Where a motor starter is required, indicate the specification division it is supplied under and the type of motor starter; across-the-line, reversible, variable speed, two speed-single winding, etc. Indicate in Column No. 9 if the motor starter provided under Division 26 is not compatible with the motor specified.
7. Where a disconnect switch is required by the National Electric Code or by the contract documents for the equipment listed under Column No. 1, indicate under which Division the disconnect switch is supplied.
8. Indicate the Division under which the controls for the equipment listed under Column No. 1 are provided.
9. Indicate any discrepancies between what is indicated in the contract documents and what is actually being provided.

H. The Contractor shall fully coordinate the electrical connections to all equipment prior to installations, with the approved Shop Drawings and the trades involved. Coordination shall include voltage, phases, quantity and size of wiring, device sizes, terminations, rough-in work, and other coordination for a complete installation.

I. Coordinate the spacing and arrangement of lighting fixtures, diffusers, grilles and access panels in ceilings to establish a symmetrical pattern.

J. This Contractor shall make all system connections required to equipment furnished and installed under other divisions. Connections shall be complete in all respects to render this equipment functional to its fullest intent. The Contractor shall make all system connections required to equipment furnished under other Divisions. Circuits shall be extended to all equipment which is incidental to, but not necessarily shown, for equipment specified under other divisions such as magnetic flow meters, ATC panels, liquid level controls, leak detection systems, etc. Connections shall be complete in all respects to render this equipment functional to its fullest extent.

M. Install work with proper clearances and access. Carefully examine all contract drawings and fit the work in each location without substantial alteration. Where departures are proposed or required, submit detailed drawings for acceptance. The right is reserved to make reasonable changes in location of equipment, conduit and wiring up to the time of rough-in or fabrication.

N. It shall be the responsibility of the Contractor to obtain complete instructions for connections.

3.6 GUARANTEE:

A. Guarantee obligations shall be as hereinbefore specified in the GENERAL AND SPECIAL CONDITIONS of these specifications, except as follows:

1. Guarantee the complete electrical system free from all mechanical and electrical defects for the period of two (2) years beginning from the day of final acceptance of the work by the Owner.
2. Also, during the guarantee period, be responsible for the proper adjustments of all systems, equipment and apparatus installed by the Contractor and do all work necessary to ensure efficient and proper functioning of the systems and equipment.
3. Upon receipt of notice from the Owner of failure of any part of the electrical installation during the guarantee period, new replacement parts shall be furnished and installed promptly at no cost.
4. Warranty From the Manufacturer: Contractor shall obtain all warranty papers and records from the Original Equipment Manufacturer according to their warranty policy and deliver the same to the Owner. Contractor shall fulfill all the Original Manufacturer's requirements to validate the warranty as offered by the Original Equipment Manufacturer.

B. Provide 24-hour service for any and all warranty problems experience in the operation of the equipment provided.

C. Any equipment or system in need of warranty work whether during regular hours or on an emergency basis, shall be immediately serviced and repaired. The warranty work and guarantee shall include all parts and labor and shall be furnished at no cost to the Owner.

D. The Contractor shall guarantee to make good any and all defects in his work, exclusive of lamps, which may develop due to defective workmanship or materials, within one year from the date of final acceptance of the work by the Owner.

E. In addition to the warranty and correction of work obligations contained in the General and supplementary Conditions, correct the work of the system as embraced by the Specification, free from Mechanical and Electrical defects for the warranty period.

F. During the warranty period, take responsibility for the proper adjustments of systems, equipment and apparatus installed and perform work necessary to ensure the efficient and proper functioning of the systems and equipment.

G. Certain items of equipment hereinafter specified shall be guaranteed for a longer time than the general warranty period. These guarantees shall be strictly adhered to and the Contractor shall be responsible for service or replacement required in connection with guarantee of these items. These guarantees shall commence on the same date as the final acceptance by the Engineer.

H. Submission of a bid proposal for this Project warrants that the Contractor has reviewed the Contract Documents and has found them free from ambiguities and sufficient for the construction and proper operation of systems installed for this project. If discrepancies are found, have them clarified by Addendum.

I. It is possible that certain areas of the building or certain systems will be accepted at a time different than as specified. The date of acceptance by the Architect for beneficial use of the Owner for these building areas or systems will be adjusted accordingly.

3.7 SCHEDULING OF WORK:

A. The Contractor shall not be permitted to do any work in any area of any occupied building during normal hours, except in areas specifically assigned.

B. Coordination of work by the Contractor is essential such that power outages are kept to a minimum in quantity and duration. All required outages shall be approved by the Owner for optimum time scheduling. Written notice of not less than 15 calendar days shall precede all power outages.

3.8 TEMPORARY FACILITIES:

A. General: Refer to the Division 1 Sections for general requirements on temporary facilities.

B. Remove all temporary power installations and connections after permanent power is established and/or prior to completion of the project.

3.9 DEMONSTRATION:

A. As a part of this contract, the Contractor shall provide for the services of equipment manufacturers or their established representatives to demonstrate to selected maintenance personnel the correct operation, safety and maintenance of all electrical equipment under this contract.

3.10 PAINTING AND FINISHES:

A. Provide protective finishes on all materials and equipment. Use coated or corrosion-resistant materials, hardware and fittings throughout the work. Paint bare, untreated ferrous surfaces with rust-inhibiting paint. All exterior components including supports, hangers, nuts, bolts, washers, vibration isolators, etc., shall be galvanized or stainless steel.

B. Clean surfaces prior to application of coatings, paint, or other finishes.

C. Provide factory-applied finishes where specified. Unless otherwise indicated factory-applied paints shall be baked enamel with proper pre-treatment.

D. Protect all finishes and restore any finishes damaged as a result of work under Division 26 to their original condition.

E. The preceding requirements apply to all work, whether exposed or concealed.

F. Remove all construction marking and writing from exposed equipment, conduit, and building surfaces. Do not paint manufacturer's labels or tags.

G. All exposed conduit, etc., shall be painted, except in electrical rooms, mechanical rooms, storage rooms, and crawl spaces. Colors shall be selected by the Architect and conform to ANSI Standards.

H. Submit color of factory-finished equipment for approval prior to ordering.

3.11 PROTECTION OF WORK:

A. Protect work, material and equipment from weather and construction operations before and after installation. Properly store and handle all materials and equipment.

B. Cover temporary openings in conduit and equipment to prevent the entrance of water, dirt, debris, or other foreign matter.

C. Cover or otherwise protect all finishes.

D. Replace damaged materials, devices, finishes and equipment.

3.12 OPERATION OF EQUIPMENT:

A. Clean all systems and equipment prior to initial operation for testing, retesting, or other purposes. Set, adjust, and test all equipment in accordance with manufacturer's instructions. Do not operate equipment unless all proper safety devices or controls are operational. Provide all maintenance and service for equipment that is authorized for operation during construction.

B. Where specified, or otherwise required, provide the services of the manufacturer's factory-trained servicemen or technicians to start up the equipment.

C. Do not use electrical systems for temporary services during construction unless authorized in writing by the Owner. Where such authorization is granted, temporary use of equipment shall in no way limit or otherwise affect warranties or guaranty period of the work.

D. Upon completion of work, clean and restore all equipment to new conditions; replace expendable items such as filters.

3.13 TESTING AND ADJUSTMENT

A. Perform all tests which are specified or required to demonstrate that the work is installed and operating properly. Where formal tests are required, give proper notices and perform all necessary preliminary tests to assure that the work is complete and ready for final test.

B. Adjust all systems, equipment and controls to operate in a safe, efficient and stable manner.

C. On all circuits, 600 volts or less, provide circuits that are free from ground faults, short circuits and open circuits.

D. Other tests of a specific nature for special equipment shall be as specified under the respective equipment.

3.14 IDENTIFICATIONS, ELECTRICAL DIAGRAMS AND OPERATING INSTRUCTIONS:

A. Contractor shall submit for approval schematic diagrams of each electrical system installed in the building. Diagrams shall indicate device location, service, type, make, model number and the identification number of each device in the particular system. Following approval by all authorities, the diagrams shall be framed, mounted under glass and hung in each Main Equipment Room where directed. Contractor shall deliver the tracing or sepia from which the diagrams were reproduced to the Owner.

B. All equipment shall be plainly tagged.

C. All items of equipment, including motor starters, panels, etc., shall be furnished with white letters and numbers on black plastic identification plates or aluminum letters and numbers on black engraved aluminum identification plates. Lettering shall be a minimum of 1/4" high. Identification plates shall be securely affixed to each piece of equipment, starters, panels, etc., by screws or adhesive (Tuff-Bond #TB2 or as approved equal). Pressure sensitive tape backing is prohibited.

D. Provide three (3) copies of operating and maintenance instructions for all principal items of equipment furnished. This material shall be bound as a volume of the "Record and Information Booklet" as hereinafter specified.

E. Provide at least 24 hours of straight time instruction to the operating personnel. This instruction period shall consist of not less than three (3) consecutive 8-hour days. Time of instruction shall be designated by the Owner. Provide two DVD copies of all instructional periods/demonstrations.

3.15 RECORD DRAWINGS AND SPECIFICATIONS:

A. Upon completion of the Electrical installations, the Contractor shall deliver to the Engineer one complete set of prints of the Electrical Contract Drawings which shall be legibly marked in red pencil to show all Addenda, approved Shop Drawings, Change Orders, changes and departures of the installation as compared with the original design. They shall be suitable for use in preparation of Record Drawings.

B. The Contractor shall provide a record specification including all Addenda and other modifications. Record substantial variations in actual work performed. Identify all substitutions.

3.16 RECORD AND INFORMATION BOOKLET:

A. The Contractor shall have prepared three (3) copies of the Record and Information Booklet and deliver these copies of the booklet to the Owner. The booklet shall be as specified herein. The booklet must be approved and will not be accepted as final until so stamped.

B. The booklet shall be bound in a three-ring loose-leaf binder similar to "National" No. 3881 with the following title lettered on the front and on the spine of the binder: "Record and Information Booklet (insert name of the project)". No sheets larger than 8-1/2" x 11" shall be used, except sheets that may be neatly folded to 8-1/2" x 11" and used as a pull-out. An Index will include the section tabs for each subject included. If more than one binder is required, print covers and spines with Volume numbers. Include in the front of every binder an index to all binders.

1. Internally subdivide the binder contents with permanent page dividers, logically organized as described below; with tab titling clearly printed under reinforced laminated plastic tabs.
2. Contents: Prepare a Table of Contents for each volume, with each product or system description identified, typed on white paper.
3. Part 1: Directory, listing names, addresses, and telephone numbers of Electrical Engineers; Contractor; Electrical Subcontractors; and major Electrical equipment suppliers. Provide sales and service representative names and phone numbers of all equipment.
4. Part 2: Operation and Maintenance Instructions, arranged by Specification Section. For each category, identify names, addresses, and telephone numbers of Subcontractors and suppliers. Identify the following:

    a. Significant design criteria.
    b. List of equipment. Complete record of material list. Catalog brochures and product data for all components. Include all submittal comments, and corrected catalog data and shop drawings on each piece of equipment and each system.
    c. Parts list for each component, including recommended spare parts list. Include motor starter overload schedules.
    d. Operating instructions, including sequence of operation.

        1) Description of function, normal operating characteristics and limitations, engineering data and tests, and complete nomenclature and commercial numbers of replacement parts. Provide a description of each system installed.
        2) Manufacturer's printed operating procedures to include start-up, break-in, and routine and normal operating instructions; control, stopping.

    e. Maintenance instructions for equipment and systems. Detailed checkout procedures to insure operation of systems and gear, including recommended cleaning methods and materials and special precautions identifying detrimental agents.
    f. Servicing, diagnostic and troubleshooting instructions and procedures for systems and major equipment.
    g. Recommended preventative maintenance program, including a list of items requiring inspection and servicing. Provide Chart Form indicating time and type of routine and preventative maintenance of electrical equipment, etc. The chart shall also indicate tag number, model number of equipment, location and service.

        1) For replacement items, indicate type, size and quantity of the replaceable items.
        2) Provide lubrication schedule, including type, grade, temperature range and frequency.
        3) Provide a list of each type of lighting fixture lamp used, lamp fixture used, and source.
        4) Include estimated mean time between failures for major parts.

    h. Wiring Diagrams, Block Diagrams, and Assembly Drawings.
    i. Panelboard Circuit Directory for each panelboard, including Panel Name, Panel Location, Panel Ratings, spare circuit breakers, spaces for additional circuit breakers.
    j. List of equipment keys turned over to the Owner.

5. Part 3: Project Documents and Certificates, including the following:

    a. Shop Drawings and Product Data. Record Documents of the systems.
    b. Photocopies of certificates.
    c. Photocopies of Manufacturers' and Contractors' warranties, guarantees.
    d. Test Reports: Copies of the approved results of all tests required under all sections of specifications.
    e. Inspection Certificates.
    f. Manufacturer's Conformance Certificates.

6. Provide one copy (DVD video disk) of video instruction session with each booklet set. Label video disk with all pertinent information.
7. Submit one copy of completed volumes in final form 15 days prior to final Inspection. This copy will be returned with Engineer comments. Revise content of documents as required prior to final submittal.
8. Submit final volumes revised, within ten days after final inspection.

C. Upon completion of the project, the Contractor shall furnish the Owner a complete list of suppliers of equipment for parts and maintenance purposes. The list shall include the name, address, and telephone number of the parts and maintenance firm on a single 8-1/2" x 11" sheet of paper.

D. This item shall include the furnishing of a complete list of equipment installed on the project, including the Manufacturer's name, the make and model number of the equipment, and address and telephone number of the nearest supplier who stocks maintenance and/or replacement parts. The list should be submitted along with as-built drawings and be typed in an organized manner.

3.17 INSTALLATION AND COORDINATION DRAWINGS:

A. In congested areas, prepare, submit, and use composite installation and coordination drawings to assure proper coordination and installation of work. Drawings shall include, but not be limited, to the following: Complete Electrical Drawings showing coordination with lights, electrical equipment, mechanical, plumbing, HVAC, structural, and architectural elements and provision for access.

B. Draw plans to a scale not less than 3/8-inch equals one foot. Include plans, sections, and elevations of proposed work, showing all equipment and conduit in areas involved. Fully dimension all work including lighting fixtures, conduits, pullboxes, panelboards, and other electrical work, walls, doors, ceilings, columns, beams, joists, mechanical equipment, and other architectural and structural work.

C. Identify all equipment and devices on wiring diagrams and schematics. Where field connections are shown to factory-wired terminals, include manufacturer's literature showing internal wiring.

3.18 CUTTING AND PATCHING

A. The cutting of walls, floors, partitions, etc., for the passage and/or accommodation of conduits, etc., the closing of superfluous openings and the removal of all debris caused by said work under this contract shall be performed by and at the expense of the Contractor.

B. No cutting of any structure or finishes shall be done until the condition requiring such cutting has been examined and approved by the Architect.

C. All surfaces disturbed as a result of such cutting shall be restored under this division to match original work and all materials used for any patching, mending or finishing must conform to the class of materials originally installed.

D. Openings through precast planks for the passage of hanger rods, conduits, outlet boxes, etc., shall be drilled with power driven carbine tip drills. This drilling shall be done by the trades needing the openings and shall be in accordance with Architect's instructions. No reinforcing bars shall be cut without specific approval of the Structural Engineer.

3.19 WATERPROOFING

A. Coordinate the work to minimize penetration of waterproof construction, including roofs, exterior walls and interior waterproof construction. Where such penetrations are necessary, furnish and install all necessary sleeves, flashings, fittings, and caulking to make penetrations absolutely watertight.

B. Where conduits or other openings penetrate roofs, flash pipe with Stoneman "Stormtite", or approved equal, roof flashing assemblies, with 4-pound lead, 6 inch skirt, and caulked counterflashing sleeve similar to No. 1000-4.

C. Furnish and install pitch pockets where required.

D. Furnish and install conduit sleeves specifically designed for application to the particular roof construction, and install in accordance with the manufacturer’s instructions, the National Roofing Contractors’ Association, and as required by other divisions of this specification. The Contractor shall be responsible for sleeve sizes and locations.

3.20 SLEEVE INSTALLATION FOR ELECTRICAL PENETRATIONS

A. Electrical penetrations occur when raceways, cables, wireways, cable trays, or busways penetrate concrete slabs, concrete or masonry walls, or fire-rated floor and wall assemblies.

B. Concrete Slabs and Walls: Install sleeves for penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of slabs and walls.

C. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.

D. Fire-Rated Assemblies: Install sleeves for penetrations of fire-rated floor and wall assemblies unless openings compatible with firestop system used are fabricated during construction of floor or wall.

E. Cut sleeves to length for mounting flush with both surfaces of walls.

F. Extend sleeves installed in floors 2 inches (50 mm) above finished floor level.

G. Size pipe sleeves to provide 1/4-inch (6.4-mm) annular clear space between sleeve and raceway or cable, unless indicated otherwise.

H. Seal space outside of sleeves with grout for penetrations of concrete and masonry

1. Promptly pack grout solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect grout while curing.

I. Interior Penetrations of Non-Fire-Rated Walls and Floors: Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Division 07 Section "Joint Sealants".

J. Fire-Rated-Assembly Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at raceway and cable penetrations. Install sleeves and seal raceway and cable penetration sleeves with firestop materials. Comply with requirements in Division 07 Section "Through-Penetration Firestop Systems."

K. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.

L. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel or cast-iron pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch (25-mm) annular clear space between pipe and sleeve for installing mechanical sleeve seals.

M. Underground, Exterior-Wall Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch (25-mm) annular clear space between raceway or cable and sleeve for installing mechanical sleeve seals.

3.21 SLEEVE-SEAL INSTALLATION

A. Install to seal exterior wall penetrations.

B. Use type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

3.22 FIRESTOPPING

A. Apply firestopping to penetrations of fire-rated floor and wall assemblies for electrical installations to restore original fire-resistance rating of assembly. Firestopping materials and installation requirements are specified in Division 26 Section "Electrical Firestopping."

END OF SECTION

DIVISION 26 SECTION 260502
ELECTRICAL DEMOLITION FOR REMODELING
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SECTION 260502 - ELECTRICAL DEMOLITION FOR REMODELING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections apply to this Section.

1.2 SCOPE

A. Electrical demolition shall be carried out per the Contract Documents.

B. Provide all cutting and patching for electrical construction.

C. Provide temporary service and provisions to maintain existing systems.

PART 2 - PRODUCTS

2.1 MATERIALS AND EQUIPMENT

A. Materials and equipment for patching and extending work: As specified in individual sections.

PART 3 - EXECUTION

3.1 EXAMINATION

A. The Contractor shall examine the site, determine all conditions and circumstances and gather all data and information required for the work. Field investigations include, but are not limited to, performing surveys, opening of equipment enclosures, and other work as required to maintain existing systems.

B. The Contractor shall survey all new and existing wiring, circuitry, cabling, equipment and devices. Data gathering shall include, but not be limited to, equipment nameplate information, ratings, voltage, wiring configurations, conductor lengths, conductor routing, conductor sizes, equipment connections, and other information as required to maintain existing systems.

C. The Contractor shall provide complete field investigations to determine existing and new conductor, cable, and conduit routing, points of connections, and tracing of existing systems.

D. Verify that field measurements and circuiting arrangements are as shown on the Drawings.

E. Verify that abandoned wiring and equipment serve only abandoned facilities.

F. Demolition Drawings are based on casual field observation. Report discrepancies to the Owner, Engineer before disturbing existing installation.

G. Beginning of demolition means installer accepts existing conditions.

3.2 PREPARATION

A. Disconnect electrical systems in walls, floors, and ceilings scheduled for removal.

B. Coordinate utility service outages with the Owner, Architect, and Engineer. Also, coordinate utility service outages with Utility Company.

3.3 CONNECTIONS AND ALTERATIONS TO EXISTING SYSTEMS

A. Keep all existing electrical systems in operation during the progress of the work. Provide temporary electrical connections to systems of equipment, etc., where necessary to maintain continuous operation until the new systems and equipment are ready for operation.

B. When existing electrical work is removed, remove all conduit, ducts, supports, etc. to a point below the finished floors or behind finished walls and cap. Such points shall be far enough behind finished surfaces to allow for the installation of the normal thickness of finished material.

C. When the work specified hereunder connects to any existing equipment, conduit, wiring, etc., perform all necessary alterations, cuttings, fittings, etc., of the existing work as may be necessary or required to make satisfactory connections between the new and existing work and leave the complete work in a finished and workmanlike condition.

D. When the work specified under other divisions necessitates relocation of existing equipment, conduits, wiring, etc., perform all work and make all necessary changes to existing work as may be required to leave the completed work in a finished and workmanlike condition.

E. Contractor shall be responsible for removing and replacing existing ceiling tile within the lay-in ceiling areas as required. Contractor shall provide all necessary cutting and fitting of bushed holes for cable passage through tiles. Any tiles damaged during the Contract shall be replaced with like kind at no cost to the Owner.

F. Provide temporary wiring and connections to maintain existing systems in service during construction. When work must be performed on energized equipment or circuits, use personnel experienced in such operations. In particular, all security and safety systems must be maintained in operation at all times as required by the Owner. This includes security and safety lighting.

G. Existing Electrical Service: Disable system only to make switchovers and connections. Obtain permission from Owner, Architect/Engineer and other trades at the site at least 24 hours before partially or completely disabling system. Minimize outage duration. Make temporary connections to maintain service in areas adjacent to work area.

H. Trace all circuits and controls to be disconnected to ensure that vital services to other areas are not interrupted.

3.4 PROTECTION

A. Provide protection for all existing and new cabling. Provide inner duct, conduit or other suitable means of protection to prevent damage to cables located in renovated areas.

B. Damage to wiring, cabling or equipment shall be repaired by skilled mechanics for the trade involved at no additional contract amount.

C. Fixtures, materials and equipment shall be protected at all times. The Contractor shall make good any damage caused either directly or indirectly by his workmen. Conduit openings shall be closed with caps or plugs during installation. Fixtures and equipment shall be tightly covered and protected against dirt, water and chemical or other injury. At the completion of all work, the fixtures, materials and equipment shall be thoroughly cleaned and turned over in a condition satisfactory to the Owner.

D. Damage: Where wiring, raceways, lighting fixtures, devices or equipment to remain is inadvertently damaged or disturbed, cut out and remove damaged section and provide new of equal or capacity or quality.

3.5 ELECTRICAL DEMOLITION

A. Remove from the premises and dispose of all existing wiring, conduit, material, fixtures, devices, equipment, etc., not required for re-use or re-installation.

B. Deliver on the premises where directed existing material and equipment which is removed and is desired by the Owner or is indicated to remain the property of the Owner.

C. All other equipment and materials which are removed shall become the property of the Contractor and shall be removed by him from the premises.

D. Where electrical equipment is removed, also remove all wiring back to source panelboard or switch or to last remaining device on the same circuit. All conduit, hangers, supports, etc., shall also be removed unless otherwise noted. Such conduit may remain to be reused for new work provided said conduit is of the proper size and type as that specified and in a condition acceptable to Engineer and Owner.

E. Any conduit abandoned in concrete slabs, walls, or other inaccessible locations shall be left empty except for a nylon pull wire. Ends shall be capped with push plugs for future use.

F. Where an existing system is indicated to be removed, the Contractor shall provide complete removal of entire system including all wiring, conduit, and connected/associated fixtures and devices. The system shall be removed in its entirety unless otherwise noted.

3.6 EXISTING CONDUIT WORK

A. Remove all abandoned raceway, including abandoned raceway above accessible ceiling finishes. Cut raceway flush with walls and floors, and patch surfaces. Remove conduit back to point of penetration/exposure.

B. Remove concealed abandoned raceway to its source.

C. Abandoned Work: buried electrical work abandoned in place, shall be cut out approximately 2 inches beyond the face of adjacent construction, capped and the adjacent surface patched to match the existing finish.

D. Disconnect abandoned outlets and remove devices. Remove abandoned outlets if raceway servicing them is abandoned and removed. Provide blank cover for abandoned outlets that are not removed.

E. Ensure access to existing boxes and other installations which remain active and which require access. Modify installation or provide access panel as appropriate.

F. Extend existing raceway and box installations using materials and methods compatible with existing electrical installations, or as specified.

G. Clean and repair existing raceway and boxes that remain or are to be reinstalled.

H. Remove all abandoned wiring from exiting conduits and ductbanks. Abandoned wiring that cannot be removed shall be tagged at each end as “Abandoned”.

I. Contractor shall provide all cutting and patching required to connect to and extend existing conduits, wiring, circuits, etc.

3.7 EXISTING WIRING AND CABLING WORK

A. Remove all abandoned and unused wire and cable, including abandoned wire and cable above accessible ceiling finishes. Patch surfaces where removed cables pass through building finishes. Remove abandoned and unused cabling and wiring back to the source.

B. Disconnect abandoned circuits and remove circuit wire and cable. Remove abandoned boxes if wire and cable servicing them is abandoned and removed. Provide blank cover for abandoned boxes that are not removed.

C. Ensure access to existing wiring connections which remain active and which require access. Modify installation or provide access panel as appropriate.

D. Extend existing circuits using materials and methods compatible with existing electrical installations or as specified.

E. Clean and repair existing wire and cable that remain or is to be reinstalled.

F. Provide supports for all wiring and cabling to remain as required by NEC.

G. Contractor shall provide field services for racing out of all existing circuits to be maintained. Contractor shall locate, trace and label, all existing circuits being re-used.

3.8 DEMOLITION AND EXTENSION OF EXISTING ELECTRICAL WORK

A. Demolish and extend existing electrical work to meet all requirements of these specifications.

B. If certain raceways and boxes are abandoned but not scheduled for removal, those items must be shown on the As-Built Drawings.

C. Remove, relocate, and extend existing installations to accommodate new construction.

D. Remove abandoned wiring to source of supply.

E. Disconnect and remove abandoned luminaires. Remove brackets, stems, hangers, and other accessories.

F. Repair adjacent construction and finishes damaged during demolition and extension work.

G. Maintain access to existing electrical installations which remain active. Modify installation or provide access panel as appropriate.

H. Extend existing installations using materials and methods (compatible with existing electrical installations, or) as specified. This includes the extension of the circuit from the last active device to the next device in the system to be activated.

3.9 CLEANING AND REPAIR

A. The Consultant shall show, on the drawings, all items to be cleaned or repaired.

B. Clean and repair existing equipment and materials that remain or are to be reused.

C. Panelboards: Clean exposed surfaces and check tightness of electrical connections. Replace damaged circuit breakers and provide closure plates for vacant positions. Provide typed circuit directory showing revised circuiting arrangement. Trace existing circuits to determine exact location and type of load served by each circuit breaker.

D. Provide new labels on all existing electrical equipment being re-used.

3.10 INSTALLATION

A. Install relocated materials and equipment under the provisions of other sections.

END OF SECTION

DIVISION 26 SECTION 260519
LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES
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SECTION 260519 - LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes the following:

1. Building wires and cables rated 600 V and less.
2. Connectors, splices, and terminations rated 600 V and less.

1.3 SUBMITTALS

A. Product Data: For each type of product indicated.

B. Qualification Data: For testing agency.

C. Field quality-control test reports.

1.4 QUALITY ASSURANCE

A. Testing Agency: Engage a qualified independent testing agency to perform field quality-control testing.

PART 2 - PRODUCTS

2.1 CONDUCTORS AND CABLES

A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

1. Alcan Products Corporation; Alcan Cable Division.
2. American Insulated Wire Corp.; a Leviton Company.
3. General Cable Corporation.
4. Senator Wire & Cable Company.
5. Southwire Company.

B. Copper Conductors: Comply with NEMA WC 70.

C. Conductor Insulation: Comply with NEMA WC 70 for Types THHN-THWN, XHHW, USE, and SO.

D. Multiconductor Cable: Comply with NEMA WC 70/ICEA S-95-658 for metal-clad cable, Type MC, with ground wire.

2.2 CONNECTORS AND SPLICES

A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

1. AFC Cable Systems, Inc.
2. Hubbell Power Systems, Inc.
3. O-Z/Gedney; EGS Electrical Group LLC.
4. 3M; Electrical Products Division.
5. Tyco Electronics Corp.

B. UL-listed, factory-fabricated wiring connectors of size, ampacity rating, material, type, and class for application and service indicated. Comply with Project's installation requirements and as specified in Part 3 "Wire and Insulation Applications" Article.

C. Split Bolt Connectors: Not Acceptable.

D. Solderless Pressure Connectors: High copper alloy terminal. May be used only for cable termination to equipment pads or terminals. Not approved for splicing.

E. Spring Wire Connectors: Solderless spring type pressure connector with insulating covers for copper wire splices and taps. Use for conductor sizes 10 AWG and smaller.

F. All wire connectors used in underground or exterior pull boxes shall be gel-filled twist connectors or a connector designed for damp and wet locations.

G. Mechanical Connectors: Bolted type tin-plated; high conductivity copper alloy; spacer between conductors; beveled cable entrances.

H. Compression (crimp) Connectors: Long barrel; seamless, tin-plated electrolytic high conductivity copper tubing, internally beveled barrel ends. Connector shall be clearly marked with the wire size and type and proper number and location of crimps.

I. Heat shrinkable tubing shall meet the requirements of ANSI C119.1-1986 for buried connections to 90°C and shall be material flame-retarded per IEEE 383 “Vertical Tray Flame Test”. Motor connection kits shall consist of heat-shrinkable, polymeric insulating material over the connection area and a high dielectric strength mastic to seal the ends against ingress of moisture and contamination. Motor connection kits shall accommodate a range of cable sizes for both in-line and stub-type configurations. Connection kits shall be independent of cable manufacturer’s tolerances.

J. Wire Nut Connectors:

1. Wire nuts install in wet locations, exterior, etc., shall be self-contained, waterproof and corrosion-proof units incorporating prefilled silicone grease to block out moisture and air.
2. Connectors shall be UL listed appropriately sized according to manufacturer’s recommendation for the suitable wire sizes and voltage rating (600 volt minimum).
3. Connectors body shall have a color-coded outer shell.
4. Connectors shall be as manufactured by King Technology or approved equal.

2.3 SYSTEM DESCRIPTION

A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

B. Comply with NFPA 70.

PART 3 - EXECUTION

3.1 CONDUCTOR MATERIAL APPLICATIONS

A. Feeders: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.

B. Branch Circuits: Copper. Solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.

3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

A. Service Entrance: Type THHN-THWN, single conductors in raceway.

B. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspaces: Type THHN-THWN, single conductors in raceway.

C. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type RHW, single conductors in raceway.

D. Exposed Branch Circuits, Including in Crawlspaces: Type THHN-THWN, single conductors in raceway.

E. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type THHN-THWH, single conductors in raceway, except the last 10’ from a junction box to recessed lighting fixture may be type MC cable.

F. Branch Circuits Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN-THWN, single conductors in raceway.

G. Conductors shall be rated 75 deg C in wet locations and 90 deg C in dry locations.

3.3 INSTALLATION OF CONDUCTORS AND CABLES

A. Conceal cables in finished walls, ceilings, and floors, unless otherwise indicated.

B. Complete raceway installation between conductor and cable termination points according to Division 26 "Raceways and Boxes for Electrical Systems" prior to pulling conductors and cables.

C. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.

D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.

E. Install exposed cables parallel and perpendicular to surfaces of exposed structural members, and follow surface contours where possible.

F. Support cables according to Section 260429, "Hangers and Supports for Electrical Systems."

G. Identify and color-code conductors and cables according to Section 260553, “Identification for Electrical Systems."

3.4 CONNECTIONS

A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

B. Make splices and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.

C. Wiring at Outlets: Install conductor at each outlet, with at least 12 inches (300 mm) of slack.

A. Joints of #10 AWG and smaller shall be made with properly insulated solderless type pressure connectors. Where stranded conductors or multiple solid conductors are connected to terminals, solderless lugs manufactured by Thomas and Betts Company or equivalent shall be used.

B. Joints of #8 AWG and larger in power and lighting circuits shall be of the type indented into the conductor by means of a hand or hydraulic pressure tool. Connectors shall be Burndy “Hy-dent’, T&B “St-Kon”, or equivalent. Connectors for control wiring shall be Burndy “Hy-Lug”, or equivalent.

C. All circuits for exterior electric work shall be #10 AWG (minimum) and contain an extra #10 AWG (minimum) copper ground conductor. All exterior wiring shall be installed in conduit as specified above, unless otherwise noted as larger on the Drawings.

3.5 SLEEVE INSTALLATION FOR ELECTRICAL PENETRATIONS

A. Coordinate sleeve selection and application with selection and application of firestopping specified in Division 26 Section "Electrical Firestopping." and “Basic Electrical Materials and Methods”.

3.6 SLEEVE-SEAL INSTALLATION

A. Install to seal underground exterior-wall penetrations according to Division 26 Section, “Basic Electrical Materials and Methods”.

3.7 FIRESTOPPING

A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly according to Division 7 Section "Through Penetration Firestop System."

3.8 FIELD QUALITY CONTROL

A. Testing Agency: Engage a qualified testing agency to perform tests and inspections and prepare test reports.

B. Perform tests and inspections and prepare test reports.

C. Tests and Inspections:

1. After installing conductors and cables and before electrical circuitry has been energized, test service entrance and feeder conductors, and conductors feeding the mechanical equipment and services for compliance with requirements.
2. Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.

D. Measure tightness of bolted connections and compare torque measurements with manufacturer’s recommended values.

E. Verify continuity of each branch circuit conductor.

F. Demonstration: Subsequent to wire and cable hook-ups, energize circuit and demonstrate functioning in accordance with requirements. Where necessary, correct malfunctioning units, and then retest to demonstrate compliance.

G. Test Reports: Prepare a written report to record the following:

1. Test procedures used.
2. Test results that comply with requirements.
3. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

H. Remove and replace malfunctioning units and retest as specified above.

END OF SECTION

DIVISION 26 SECTION 260526
GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS
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SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes grounding and bonding systems and equipment.

B. Section includes grounding and bonding systems and equipment, plus the following special applications:

1. Underground distribution grounding.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

1.4 INFORMATIONAL SUBMITTALS

A. As-Built Data: Plans showing dimensioned as-built locations of grounding features specified in "Field Quality Control" Article, including the following:

1. Test wells.
2. Ground rods.
3. Ground rings.
4. Grounding arrangements and connections for separately derived systems.

B. Qualification Data: For testing agency and testing agency's field supervisor.

C. Field quality-control reports.

1.5 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For grounding to include in emergency, operation, and maintenance manuals.

1. In addition to items specified in Division 01 Section "Operating, Maintenance, Project Data," include the following:

a. Instructions for periodic testing and inspection of grounding features at test wells, ground rings, grounding connections for separately derived systems based on NETA MTS.

1) Tests shall determine if ground-resistance or impedance values remain within specified maximums, and instructions shall recommend corrective action if values do not.
2) Include recommended testing intervals.

1.6 QUALITY ASSURANCE

A. Testing Agency Qualifications: Member company of NETA or an NRTL.

1. Testing Agency's Field Supervisor: Certified by NETA to supervise on-site testing.

B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

C. Comply with UL 467 for grounding and bonding materials and equipment.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

1. Burndy; Part of Hubbell Electrical Systems.
2. Dossert; AFL Telecommunications LLC.
3. ERICO International Corporation.
4. Fushi Copperweld Inc.
5. Galvan Industries, Inc.; Electrical Products Division, LLC.
6. Harger Lightning and Grounding.
7. ILSCO.
8. O-Z/Gedney; A Brand of the EGS Electrical Group.
9. Robbins Lightning, Inc.

2.2 SYSTEM DESCRIPTION

A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

B. Comply with UL 467 for grounding and bonding materials and equipment.

2.3 CONDUCTORS

A. Insulated Conductors: Copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.

B. Bare Copper Conductors:

1. Solid Conductors: ASTM B 3.
2. Stranded Conductors: ASTM B 8.
3. Tinned Conductors: ASTM B 33.
4. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG conductor, 1/4 inch (6 mm) in diameter, unless otherwise noted.
5. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor, unless otherwise noted.
6. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches (41 mm) wide and 1/16 inch (1.6 mm) thick.

C. Grounding Bus: Predrilled rectangular bars of annealed copper, 1/4 by 4 inches (6.3 by 100 mm)] in cross section, unless otherwise indicated, with 9/32-inch (7.14-mm) holes spaced 1-1/8 inches (28 mm) apart. Stand-off insulators for mounting shall comply with UL 891 for use in switchboards, 600 V and shall be Lexan or PVC, impulse tested at 5000 V.

2.4 CONNECTORS

A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.

B. Bolted Connectors for Conductors and Pipes: Copper or copper alloy.

C. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.

D. Bus-Bar Connectors: Mechanical type, cast silicon bronze, solderless exothermic-type wire terminals, and long-barrel, two-bolt connection to ground bus bar.

2.5 GROUNDING ELECTRODES

A. Ground Rods: Copper-clad steel,3/4 inch by 10 feet (19 mm by 3 m).

PART 3 - EXECUTION

3.1 APPLICATIONS

A. Conductors: Install solid conductor for No. 10 AWG and smaller, and stranded conductors for No. 8 AWG and larger unless otherwise indicated.

B. Underground Grounding Conductors: Install bare tinned-copper conductor, No. 4/0 AWG minimum.

1. Bury at least 24 inches (600 mm) below grade.

C. Grounding Bus: Install in electrical equipment rooms, in rooms housing service equipment, and elsewhere as indicated.

1. Install bus horizontally, on insulated spacers 2 inches (50 mm) minimum from wall, 6 inches (150 mm) above finished floor unless otherwise indicated.

D. Conductor Terminations and Connections:

1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
2. Underground Connections: Welded connectors except at test wells and as otherwise indicated.
3. Connections to Ground Rods at Test Wells: Bolted connectors.
4. Connections to Structural Steel: Welded connectors.

3.2 GROUNDING AT THE SERVICE

A. Equipment grounding conductors and grounding electrode conductors shall be connected to the ground bus. Install a main bonding jumper between the neutral and ground buses.

3.3 GROUNDING SEPARATELY DERIVED SYSTEMS

A. Generator: Install grounding electrode(s) at the generator location. The electrode shall be connected to the equipment grounding conductor and to the frame of the generator.

3.4 GROUNDING UNDERGROUND DISTRIBUTION SYSTEM COMPONENTS

A. Comply with IEEE C2 grounding requirements.

B. Pad-Mounted Transformers and Switches: Install four ground rods and ground ring around the pad. Ground pad-mounted equipment and noncurrent-carrying metal items associated with substations by connecting them to underground cable and grounding electrodes. Install tinned-copper conductor not less than No. 4/0 AWG for ground ring and for taps to equipment grounding terminals. Bury ground ring not less than 6 inches (150 mm) from the foundation.

C. Grounding Manholes and Handholes: Install a driven ground rod through manhole or handhole floor, close to wall, and set rod depth so 4 inches (100 mm) will extend above finished floor. If necessary, install ground rod before manhole is placed and provide No. 1/0 AWG bare, tinned-copper conductor from ground rod into manhole through a waterproof sleeve in manhole wall. Protect ground rods passing through concrete floor with a double wrapping of pressure-sensitive insulating tape or heat-shrunk insulating sleeve from 2 inches (50 mm) above to 6 inches (150 mm) below concrete. Seal floor opening with waterproof, nonshrink grout.

3.5 EQUIPMENT GROUNDING

A. Install insulated equipment grounding conductors with all feeders and branch circuits.

B. Air-Duct Equipment Circuits: Install insulated equipment grounding conductor to duct-mounted electrical devices operating at 120 V and more, including air cleaners, heaters, dampers, humidifiers, and other duct electrical equipment. Bond conductor to each unit and to air duct and connected metallic piping.

C. Water Heater, Heat-Tracing, and Antifrost Heating Cables: Install a separate insulated equipment grounding conductor to each electric water heater and heat-tracing cable. Bond conductor to heater units, piping, connected equipment, and components.

3.6 INSTALLATION

A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.

B. Ground Rods: Drive rods until tops are 2 inches (50 mm) below finished floor or final grade unless otherwise indicated.

1. Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating if any.
2. For grounding electrode system not detailed on the drawings, install at least three rods spaced at least one-rod length from each other and located at least the same distance from other grounding electrodes, and connect to the service grounding electrode conductor.

C. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.

1. Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate any adjacent parts.
2. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
3. Use exothermic-welded connectors for outdoor locations; if a disconnect-type connection is required, use a bolted clamp.

D. Grounding and Bonding for Piping:

1. Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes; use a bolted clamp connector or bolt a lug-type connector to a pipe flange by using one of the lug bolts of the flange. Where a dielectric main water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.

2. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with a bolted connector.
3. Bond each aboveground portion of gas piping system downstream from equipment shutoff valve.

E. Grounding for Steel Building Structure: Install a driven ground rod at base of each corner column and at intermediate exterior columns at distances not more than 60 feet (18 m) apart.

F. Concrete-Encased Grounding Electrode (Ufer Ground): Fabricate according to NFPA 70; use a minimum of 20 feet (6 m) of bare copper conductor not smaller than No. 4 AWG.

1. If concrete foundation is less than 20 feet (6 m) long, coil excess conductor within base of foundation.
2. Bond grounding conductor to reinforcing steel in at least four locations and to anchor bolts. Extend grounding conductor below grade and connect to building's grounding grid or to grounding electrode external to concrete.

3.7 FIELD QUALITY CONTROL

A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.

B. Perform tests and inspections.

C. Tests and Inspections:

1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
3. Test completed grounding system at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal, at ground test wells, and at individual ground rods. Make tests at ground rods before any conductors are connected.

   a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
   b. Perform tests by fall-of-potential method according to IEEE 81.

4. Prepare dimensioned Drawings locating each test well, ground rod and ground-rod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at each location, and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.

D. Grounding system will be considered defective if it does not pass tests and inspections.

E. Prepare test and inspection reports.

F. Report measured ground resistances that exceed the following values:

1. Power and Lighting Equipment or System with Capacity of 500 kVA and Less: 10 ohms.
2. Power and Lighting Equipment or System with Capacity of 500 to 1000 kVA: 5 ohms.
3. Substations and Pad-Mounted Equipment: 5 ohms.
4. Manhole Grounds: 10 ohms.

G. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

END OF SECTION

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ELECTRICAL FIRESTOPPING
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SECTION 260528 - ELECTRICAL FIRESTOPPING

PART 1 - GENERAL

1.1 SUMMARY

A. Section includes:

1. Through-penetration firestopping in fire rated construction.
2. Through-penetration smoke-stopping in smoke partitions.

B. Related items: Raceway seals and manufactured electrical devices: Refer to Section 260501.

1.2 REFERENCES

A. Underwriters Laboratories

1. UL Fire Resistance Directory

a. Through-penetration firestop devices (XHCR)
b. Fire resistance rating (BXUV)
c. Through-penetration firestop systems (XHEZ)
d. Fill, void, or cavity material (XHHW)

B. American Society for Testing and Materials Standards: ASTM E 814-88: Standard Test Method for Fire Tests of Through-Penetration Firestops.

1.3 DEFINITIONS

A. Assembly: Particular arrangement of materials specific to given type of construction described or detailed in referenced documents.

B. Barriers: Time-rated fire walls, smoke barrier walls, time rated ceiling/floor assemblies and structural floors.

C. Firestopping: Methods and materials applied in penetrations and unprotected openings to limit spread of heat, fire, gasses and smoke.

D. Penetration: Opening or foreign material passing through or into barrier or structural floor such that full thickness of rated materials is not obtained.

E. System: Specific products and applications, classified and numbered by Underwriters Laboratories, Inc. to close specific barrier penetrations.

F. Sleeve: Metal fabrication or pipe section extended through thickness of barrier and used to permanently guard penetration. Sleeves are described as part of penetrating system in other sections and may or may not be required.

1.4 SYSTEM DESCRIPTION

A. Design Requirements

1. Fire-rated construction: Maintain barrier and structural floor fire resistance ratings including resistance to cold smoke at all penetrations, connections with other surfaces or types of construction, at separations required to permit building movement and sound or vibration absorption.
2. Smoke barrier construction: Maintain barrier and structural floor resistance to cold smoke at all penetrations, connections with other surfaces and types of construction and at all separations required to permit building movement and sound or vibration absorption.

1.5 SUBMITTALS

A. Submit in accordance with Section 26 0501, unless otherwise indicated.

B. Product data: Manufacturer's specifications and technical data including the following:

1. Detailed specification of construction and fabrication.
2. Manufacturer’s installation instructions.

C. Shop drawings: Indicate dimensions, description of materials and finishes, general construction, specific modifications, component connections, anchorage methods, hardware, and installation procedures, plus the following specific requirements.

1. Details of each proposed assembly identifying intended products and applicable UL system number, or UL classified devices.
2. Manufacturer or manufacturer's representative shall provide qualified engineering judgment and drawings relating to non-standard applications as needed.

D. Quality control submittals: Statement of qualifications.

E. Applicators' qualifications statement: List past projects indicating required experience.

1.6 QUALITY ASSURANCE

A. Installer's qualifications: Fire experienced in installation or application of systems similar in complexity to those required for this project, plus the following:

1. Acceptable to or licensed by manufacturer, State or local authority where applicable.
2. At least 2 years’ experience with systems.
3. Successfully completed at least 5 comparable scale projects using this system.

B. Local and State regulatory requirements: Submit forms or acceptance for proposed assemblies not conforming to specific UL Firestop System numbers, or UL classified devices.

C. Materials shall have been tested to provide fire rating at least equal to that of the construction.

D. Manufacturer shall be a member of the International Firestop Council (IFC).

1.7 DELIVERY, STORAGE, AND HANDLING

A. Packing and shipping:

1. Deliver products in original unopened packaging with legible manufacturer's identification.
2. Coordinate delivery with scheduled installation date, allow minimum storage at site.

B. Storage and protection: Store materials in a clean, dry, ventilated location. Protect from soiling, abuse, moisture and freezing when required. Follow manufacturer's instructions.

1.8 PROJECT CONDITIONS

A. Existing conditions:

1. Verify existing conditions and substrates before starting work. Correct unsatisfactory conditions before proceeding.
2. Proceed with installation only after penetrations of the substrate and supporting brackets have been installed.

B. Environmental requirements:

1. Furnish adequate ventilation if using solvent.
2. Furnish forced air ventilation during installation if required by manufacturer.
3. Keep flammable materials away from sparks or flame.
4. Provide masking and drop cloths to prevent contamination of adjacent surfaces by firestopping materials.

1.9 GUARANTEE

A. Submit copies of written guarantee agreeing to repair or replace joint sealers which fall in joint adhesion, extrusion resistance, migration resistance, or general durability or appear to deteriorate in any other manner not clearly specified by submitted manufacturer's data as an inherent quality of the material for the exposure indicated. The guarantee period shall be two year from date of substantial completion unless otherwise noted.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

A. Subject to compliance with requirements, manufacturers offering products that may be incorporated into the work include, but are not limited to, the following:

1. Hilti.
2. Nelson.

2.2 THROUGH-PENETRATION FIRESTOPPING OF FIRE-RATED CONSTRUCTION

A. Systems of devices listed in the UL Fire Resistance Directory under categories XHCR and XHEZ may be used, providing that it conforms to the construction type, penetrant type, annular space requirements and fire rating involved in each separate instance, and that the system be symmetrical for wall applications. Systems or devices must be asbestos-free.

1. Additional requirements: Withstand the passage of cold smoke either as an inherent property of the system, or by the use of a separate product included as a part of the UL system or device, and designed to perform this function.
2. Acceptable manufacturers and products.

a. Those listed in the UL Fire Resistance directory for the UL System involved and as further defined in the System and Applications Schedule in Part 3.6 of this section.
b. All firestopping products must be from a single manufacturer. All trades shall use products from the same manufacturer unless otherwise noted.

2.3 SMOKE-STOPPING AT SMOKE PARTITIONS

A. Through-penetration smoke-stopping: Any system complying with the requirements for through-penetration firestopping in fire-rated construction, as specified in The Systems and Applications Schedule in Part 3.6 of this section, is acceptable, provided that the system includes the specified smoke seal or will provide a smoke seal. The length of time of the fire resistance may be disregarded.

2.4 ACCESSORIES

A. Fill, void or cavity materials: As classified under category XHHW in the UL Fire Resistance Directory.

B. Forming materials: As classified under category XHKU in the UL Fire Resistance Directory.

C. Sleeves: Minimum 24 MSG galvanized steel, 12" diameter or smaller steel pipe. Sleeve shall project 1/2" from each surface of the floor/wall. Size as recommended by firestop manufacturer.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Verification of conditions: Examine areas and conditions under which work is to be performed and identify conditions detrimental to proper or timely completion.

1. Verify barrier penetrations are properly sized and in suitable condition for application of materials.
2. Do not proceed until unsatisfactory conditions have been corrected.

3.2 PREPARATION

A. Clean surfaces to be in contact with penetration seal materials of dirt, grease, oil, loose materials, rust, or other substances that may affect proper fitting, adhesion, or the required fire resistance.

3.3 INSTALLATION

A. Install penetration seal materials in accordance with printed instructions of the UL Fire Resistance Directory and in accordance with manufacturer's instruction.

B. Seal holes or voids made by penetrations to ensure an effective smoke barrier.

C. Protect materials from damage on surfaces subject to traffic.

D. When large openings are created in walls or floors to permit installation of conduits, cable tray, or other items, close unused portions of opening with firestopping materials tested for the application. See UL Fire Resistance Directory or Paragraph 2.2 of this document.

E. Install smoke stopping as specified for firestopping.

F. Provide sleeves the full thickness of the assembly being penetrated and cut sleeves to a length of 1"more than the over-all thickness of the penetration, or as recommended by the firestop manufacturer.

G. All holes and voids shall be sealed the same day they are made.

3.4 FIELD QUALITY CONTROL

A. Examine penetration sealed areas to ensure proper installation before concealing or enclosing areas.

B. Keep areas of work accessible until inspection by applicable code authorities.

C. Perform under this section patching and repairing of firestopping caused by cutting or penetration by other trades.

3.5 ADJUSTING AND CLEANING

A. Clean up spills of liquid components.

B. Neatly cut and trim materials as required.

C. Remove equipment, materials and debris, leaving area in undamaged, clean condition.

3.6 SYSTEMS AND APPLICATION SCHEDULES*

SEE NEXT PAGE.

PENETRATING ITEM	CONCRETE	GYPSUM	WOOD FLOOR/ CEILING
Metal Pipe	CAJ1001 CP25S/L, CP25N/S CAJ1006 CS-195+, FS-195+ CAJ1007 FS-195+, 1"& 2" Wide CAJ1009 2000, 2000+, 2003 CAJ1010 2000, 2000+, 2003 CAJ1012 2000, 2000+, 2003 CAJ1013 2000, 2000+, 2003 CAJ1014 2000, 2000+, 2003 CAJ1015 2000, 2000+, 2003 CAJ1017 FD 150 CAJ1021 FD 150 CAJ1027 MPS-2+ CAJ1044 CP 25WB+ CAJ1052 CP 25S/L, CP 25N/S CAJ1058 2000, 2000+, 2003 CAJ1060 2000, 2000+, 2003 CAJ1063 2000, 2000+, 2003 CAJ1066 CP 25N/S,CP 25S/L, CP 25WB+ CAJ1091 CP 25N/S,CP 25S/L, CP 25WB+ CAJ1092 CP 25WB+ CAJ1112 FS-195+ CAJ1160 CP 25S/L, CP 25N/S CAJ1175 CP 25WB+ CAJ1176 CP 25WB+ CAJ1188 2000+ CBJ1020 CS-195+, FS-195+ CBJ1021 CS-195+, MPS-2+ CBJ1031 2001 CBJ1032 2001 FA1002 CP 25WB+ WJ1010 CP 25WB+ WJ1023 2001	WL1001 CP 25 WL1002 FS-195+ WL1003 CP 25WB+,CP 25N/S WL1008 2000+ WL1009 2000+ WL1010 2000+ WL1016 CP 25WB+ WL1017 CP 25WB+,CP 25N/S WL1032 CP 25WB+,CP 25N/S WL1036 FD 150 WL1037 CS-195+,FS-195+ WL1067 CP 25N/S WL1073 CP 25WB+ WL1080 MPS-2+ WL1082 2000+	FC1002 CP 25 FC1003 2000,2000+,20003 FC1006 CP 25WB+

PENETRATING ITEM	CONCRETE	GYPSUM	WOOD FLOOR/ CEILING
Non-Metallic	CAJ2001 FS-195+, 1"& 2" WIDE, PPD'S CAJ2002 FS-195+ CAJ2003 CS-195+, FS-195+ CAJ2005 FS-195+ CAJ2006 FS-195+ CAJ2013 FS-195+ CAJ2019 2000, 2000+, 2003 CAJ2027 FS-195+, CP 25N/S, CP 25S/L, CP 25WB+ CAJ2028 FS-195, MPS-2+ CAJ2029 FS-195+, PPD'S CAJ2030 CS-195+, FS-195+ CAJ2040 FS-195+, CP 25WB+ CAJ2044 FS-195+, CP 25N/S, CP 25S/L CP 25 WB+ CAJ2090 FS-195+ CAJ2177 FS-195+, PPD'S FA2001 FS-195+, PPD'S FS2002 CS-195+, FS-195+, MPS-2+, PPD'S FA2011 FS-195+ WJ2012 FS-195+ 1" WIDE	WL2002 FS-195+, PPD'S WL2003 FS-195+ WL2004 FS-195+ WL2005 FS-195+ 4' WIDE WL2006 FS-195+ WL2013 FS-195+ WL2031 CS-195+, FS-195+ WL2032 CS-195+, FS-195+ WL2033 FS-195+ WL2073 FS-195+ 1" WIDE	FC2002 FS-195+, PPD'S FC2007 FS-195+, PPD'S FC2008 FS-195+ FC2009 FS-195+, PPD'S FC2024 FS-195+ FC2026 FS-195+ FC2028 FS-195+, 1' & 2" WIDE, PPD'S
Insulated Cable	CAJ3001 CP 25N/S, CP 25S/L CAJ3005 CS 195+, FS-195+ CAJ3007 2001 CAJ3009 2000, 2000+, 2003 CAJ3010 2000, 2000+, 2003 CAJ3011 2001 CAJ3014 FD 150 CAJ3015 FD 150 CAJ3021 MPS-2+ CAJ3029 2000, 2000+, 2003 CAJ3030 CP 25WB+ CAJ3031 CP 25N/S, CP 25S/L CAJ3041 2000, 2000+, 2003 CAJ3044 CS-195+, FS-195+	WL3001 CP 25, MPS-2+ WL3008 2000+ WL3009 2000+ WL3015 CP 25WB+, CP 25N/S WL3022 2000+ WL3030 FS-195+ WL3031 MPS-2+ WL3032 CP 25WB+ WL3041 2000+ WL3051 CP 25N/S WL3056 CP25N/S WL3062 CP 25WB+	FC3001 CP 25S/L, CP 25N/S FC3002 2000+ FC3003 2000, 2000+, 20003 FC3007 CP 25WB+, MPS-2+ FC3008 FS-195+

PENETRATING ITEM	CONCRETE	GYPSUM	WOOD FLOOR/ CEILING
	CAJ3058 FS-195+, MPS-2+ CAJ3071 CP 25N/S, CP 25S/L CAJ3074 CP 25N/S, CP 25S/L CAJ3075 2001 CAJ3080 CP 25WB+ CBJ3016 CS-195+, FS-195+ CBJ3017 CS-195+, MPS-2+ FA3001 CP 25WB+ FB3004 CS-195+, MP WJ3015 2001 WJ3016 2001		
Mixed Penetrating Items Combos	CAJ8001 CS-195+ FS-195+ CAJ8003 2000, 2000+, 20003 CAJ8004 2000, 2000+, 20003 CAJ8006 2001 CAJ8013 FS-195+, CP 25 CBJ8004 CS-195, FS-195+ CBJ8005 CS-195+, MPS-2+ CBJ8008 2001 FA8001 FS-195+, CP 25WB+	WL8002 CS-195+, FS-195+	

* Underwriter's Laboratories, Inc., Fire Resistance Directory.

END OF SECTION

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HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS
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SECTION 260529 - HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes the following:

1. Hangers and supports for electrical equipment and systems.

2. Construction requirements for concrete bases.

B. Related Sections include the following:

1. Metal Fabrications – For requirements for miscellaneous metal items involved in supports and fastenings.

2. Joint Sealants – For requirements for firestopping at sleeves through walls and floors that are fire barriers.

1.3 DEFINITIONS

A. EMT: Electrical metallic tubing.

B. IMC: Intermediate metal conduit.

C. RMC: Rigid metal conduit.

1.4 PERFORMANCE REQUIREMENTS

A. Design supports for multiple raceways capable of supporting combined weight of supported systems and its contents.

B. Design equipment supports capable of supporting combined operating weight of supported equipment and connected systems and components.

C. Rated Strength: Adequate in tension, shear, and pullout force to resist maximum loads calculated or imposed for this Project, with a minimum structural safety factor of five times the applied force.

1.5 SUBMITTALS

A. Product Data: For the following:

1. Steel slotted support systems.

1.6 QUALITY ASSURANCE

A. Comply with NFPA 70.

1.7 COORDINATION

A. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 03.

B. Coordinate installation of roof curbs, equipment supports, and roof penetrations. These items are specified in Division 07 Section "Roof Accessories" and Division 23.

PART 2 - PRODUCTS

2.1 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

A. Steel Slotted Support Systems: Comply with MFMA-4, factory-fabricated components for field assembly.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. Allied Tube & Conduit.
b. Cooper B-Line, Inc.; a division of Cooper Industries.
c. ERICO International Corporation.
d. GS Metals Corp.
e. Thomas & Betts Corporation.
f. Unistrut; Tyco International, Ltd.
g. Wesanco, Inc.

2. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
3. Painted Coatings: Manufacturer's standard painted coating applied according to MFMA-4.
4. Channel Dimensions: Selected for applicable load criteria.

B. Raceway and Cable Supports: As described in NECA 1 and NECA 101.

C. Conduit and Cable Support Devices: Steel and malleable-iron hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.

D. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for non-armored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be malleable iron.

E. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.

F. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:

1. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.

   a. Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

      1) Hilti Inc.
      2) ITW Ramset/Red Head; a division of Illinois Tool Works, Inc.
      3) MKT Fastening, LLC.
      4) Simpson Strong-Tie Co., Inc.; Masterset Fastening Systems Unit.

2. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened portland cement concrete with tension, shear, and pullout capacities appropriate for supported loads and building materials in which used.

   a. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

      1) Cooper B-Line, Inc.; a division of Cooper Industries.
      2) Empire Tool and Manufacturing Co., Inc.
      3) Hilti Inc.
      4) ITW Ramset/Red Head; a division of Illinois Tool Works, Inc.
      5) MKT Fastening, LLC.

3. Concrete Inserts: Steel or malleable-iron, slotted support system units similar to MSS Type 18; complying with MFMA-4 or MSS SP-58.
4. Clamps for Attachment to Steel Structural Elements: MSS SP-58, type suitable for attached structural element.
5. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.
6. Toggle Bolts: All-steel springhead type.
7. Hanger Rods: Threaded steel.

2.2 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

A. Description: Welded or bolted, structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.

B. Materials: Comply with requirements in Division 05 Section "Metal Fabrications" for steel shapes and plates.

C. Submit structural calculations for load and strength of each component and detailing of each assembly.

PART 3 - EXECUTION

3.1 APPLICATION

A. Comply with NECA 1 and NECA 101 for application of hangers and supports for electrical equipment and systems except if requirements in this Section are stricter.

B. Maximum Support Spacing and Minimum Hanger Rod Size for Raceway: Space supports for EMT, IMC, and RMC as required by NFPA 70. Minimum rod size shall be 1/4 inch (6 mm) in diameter.

C. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted or other support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.

1. Secure raceways and cables to these supports with two-bolt conduit clamps.

3.2 SUPPORT INSTALLATION

A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this Article.

B. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb (90 kg).

C. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:

1. To Wood: Fasten with lag screws or through bolts.
2. To New Concrete: Bolt to concrete inserts.
3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
4. To Existing Concrete: Expansion anchor fasteners.
5. To Steel: Beam clamps (MSS Type 19, 21, 23, 25, or 27) complying with MSS SP-69.
6. To Light Steel: Sheet metal screws.
7. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate.

D. Drill holes for expansion anchors in concrete at locations and to depths that avoid reinforcing bars.

3.3 INSTALLATION OF FABRICATED METAL SUPPORTS

A. Comply with installation requirements in Division 05 Section "Metal Fabrications" for site-fabricated metal supports.

B. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor electrical materials and equipment.

C. Field Welding: Comply with AWS D1.1/D1.1M.

3.4 CONCRETE BASES

A. Construct concrete bases of dimensions indicated but not less than 4 inches (100 mm) larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.

B. Use 3000-psi (20.7-MPa), 28-day compressive-strength concrete. Concrete materials, reinforcement, and placement requirements are specified in Section 033000 "Cast-in-Place Concrete."

C. Anchor equipment to concrete base as follows:

1. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
2. Install anchor bolts to elevations required for proper attachment to supported equipment.
3. Install anchor bolts according to anchor-bolt manufacturer's written instructions.

3.5 PAINTING

A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.
1. Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils (0.05 mm).

B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

END OF SECTION

DIVISION 26 SECTION 260533
RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS
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SECTION 260533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes raceways, fittings, boxes, enclosures, and cabinets for electrical wiring.

1.3 DEFINITIONS

A. EMT: Electrical metallic tubing.

B. FMC: Flexible metal conduit.

C. IMC: Intermediate metal conduit.

D. LFMC: Liquidtight flexible metal conduit.

E. LFNC: Liquidtight flexible nonmetallic conduit.

F. RNC: Rigid nonmetallic conduit.

1.4 SUBMITTALS

A. Product Data: For surface raceways, wireways and fittings, floor boxes, hinged-cover enclosures, and cabinets.

B. Shop Drawings: For the following raceway components. Include plans, elevations, sections, details, and attachments to other work.

1. Custom enclosures and cabinets.

C. Coordination Drawings: Conduit routing plans, drawn to scale, on which the following items are shown and coordinated with each other, based on input from installers of the items involved:

1. Structural members in the paths of conduit groups with common supports.
2. HVAC and plumbing items and architectural features in the paths of conduit groups with common supports.

D. Source quality-control test reports.

1.5 QUALITY ASSURANCE

A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.

B. Comply with NFPA 70.

PART 2 - PRODUCTS

2.1 METAL CONDUIT AND TUBING

A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

1. AFC Cable Systems, Inc.
2. Allied Tube & Conduit; a Tyco International Ltd. Co.
3. Electri-Flex Co.

B. Rigid Steel Conduit: ANSI C80.1.

C. IMC: ANSI C80.6.

D. PVC-Coated Steel Conduit: PVC-coated rigid steel conduit

1. Comply with NEMA RN 1.
2. Coating Thickness: 0.040 inch (1 mm), minimum.

E. EMT: ANSI C80.3.

F. FMC: Zinc-coated steel.

G. LFMC: Flexible steel conduit with PVC jacket.

H. Fittings for Conduit (Including all Types and Flexible and Liquidtight), EMT, and Cable: NEMA FB 1; listed for type and size raceway with which used, and for application and environment in which installed.

1. Conduit Fittings for Hazardous (Classified) Locations: Comply with UL 886.
2. Fittings for EMT: Steel or die-cast or compression type.
3. Expansion Fittings: PVC or steel to match conduit type, complying with UL 651, rated for environmental conditions where installed, and including flexible external bonding jumper.

I. Joint Compound for Rigid Steel Conduit or IMC: Listed for use in cable connector assemblies, and compounded for use to lubricate and protect threaded raceway joints from corrosion and enhance their conductivity.

2.2 NONMETALLIC CONDUIT AND TUBING

A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

1. AFC Cable Systems, Inc.
2. Anamet Electrical, Inc.; Anaconda Metal Hose.
3. Arnco Corporation.
4. Electri-Flex Co.

B. RNC: NEMA TC 2, Type EPC-40-PVC, unless otherwise indicated.

C. LFNC: UL 1660.

D. Fittings for RNC: NEMA TC 3; match to conduit or tubing type and material.

E. Fittings for LFNC: UL 514B.

2.3 METAL WIREWAYS AND AUXILIARY GUTTERS

A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

1. Cooper B-Line, Inc.
2. Hoffman.
3. Mono-Systems, Inc.
4. Square D.

B. Description: Sheet metal, complying with UL 870 and NEMA 250, Type 1 unless otherwise indicated, and sized according to NFPA 70.

1. Metal wireways installed outdoors shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

C. Fittings and Accessories: Include covers, couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.

D. Wireway Covers: Hinged type unless otherwise indicated.

E. Finish: Manufacturer's standard enamel finish.

2.4 SURFACE RACEWAYS

A. Listing and Labeling: Surface raceways shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

B. Surface Metal Raceways: Galvanized steel, dual compartment type with snap-on covers complying with UL 5. Manufacturer's standard enamel finish in color selected by Architect. Provide devices in multi-outlet assembly as indicated on the drawings. Provide all components, fittings, etc. required for a complete installation.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

    a. Wiremold / Legrand
    b. Mono-Systems, Inc.
    c. Panduit Corp.
    d. Hubbell.

2.5 BOXES, ENCLOSURES, AND CABINETS

A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

1. Cooper Crouse-Hinds; Div. of Cooper Industries, Inc.
2. Erickson Electrical Equipment Company.
3. Hoffman.
4. O-Z/Gedney; a unit of General Signal.
5. Spring City Electrical Manufacturing Company.
6. Thomas & Betts Corporation.
7. Walker Systems, Inc.; Wiremold Company (The).

B. Sheet Metal Outlet and Device Boxes: NEMA OS 1.

C. Cast-Metal Outlet and Device Boxes: NEMA FB 1, aluminum, Type FD, with gasketed cover.

D. Nonmetallic Outlet and Device Boxes: NEMA OS 2.

E. Small Sheet Metal Pull and Junction Boxes: NEMA OS 1.

F. Cast-Metal Access, Pull, and Junction Boxes: NEMA FB 1, cast aluminum with gasketed cover.

G. Box extensions used to accommodate new building finishes shall be of same material as recessed box.

H. Device Box Dimensions: 4 inches square by 2-1/8 inches deep (100 mm square by 60 mm deep.

I. Gangable boxes are allowed.

J. Hinged-Cover Enclosures: NEMA 250, Type 1, with continuous-hinge cover with flush latch, unless otherwise indicated.

1. Metal Enclosures: Steel, finished inside and out with manufacturer's standard enamel.
2. Interior Panels: Steel; all sides finished with manufacturer's standard enamel.

K. Cabinets:

1. NEMA 250, Type 1, galvanized-steel box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel.
2. Hinged door in front cover with flush latch and concealed hinge.
3. Key latch to match panelboards.
4. Metal barriers to separate wiring of different systems and voltage.

5. Accessory feet where required for freestanding equipment.

PART 3 - EXECUTION

3.1 RACEWAY APPLICATION

A. Outdoors: Apply raceway products as specified below, unless otherwise indicated:

1. Exposed Conduit: Rigid steel conduit.
2. Concealed Conduit, Aboveground: Rigid steel conduit.
3. Underground Conduit: RNC, Type EPC-40-PVC, direct buried, unless otherwise noted.
4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC
5. Boxes and Enclosures, Aboveground: NEMA 250, Type 3R.

B. Comply with the following indoor applications, unless otherwise indicated:

1. Exposed, Not Subject to Physical Damage: EMT.
2. Exposed, Not Subject to Severe Physical Damage: EMT.
3. Exposed and Subject to Severe Physical Damage: Rigid steel conduit. Includes raceways in the following locations:

   a. Loading dock.
   b. Corridors used for traffic of mechanized carts, forklifts, and pallet-handling units.
   c. Mechanical rooms to 8’ AFF.

4. Concealed in Ceilings and Interior Walls and Partitions: EMT.
5. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.
6. Damp or Wet Locations: Rigid steel conduit.
7. Boxes and Enclosures: NEMA 250, Type 1, except use NEMA 250, Type 4X, stainless steel in damp or wet locations.

C. Minimum Raceway Size: 3/4-inch (21-mm) trade size.

D. Raceway Fittings: Compatible with raceways and suitable for use and location.

1. Rigid and Intermediate Steel Conduit: Use threaded rigid steel conduit fittings, unless otherwise indicated.
2. EMT: Use compression, steel fittings. Comply with NEMA FB 2.10.
3. Flexible Conduit: Use only fittings listed for use with flexible conduit. Comply with NEMA FB 2.20.

E. Install surface raceways only in finished spaces where concealment of conduit in existing walls is not feasible.

3.2 INSTALLATION

A. Comply with NECA 1 for installation requirements applicable to products specified in Part 2 except where requirements on Drawings or in this Article are stricter.

B. Keep raceways at least 6 inches (150 mm) away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.

C. Complete raceway installation before starting conductor installation.

D. Support raceways as specified in Division 26 Section "Hangers and Supports for Electrical Systems."

E. Arrange stub-ups so curved portions of bends are not visible above the finished slab.

F. Install no more than the equivalent of three 90-degree bends in any conduit run except for communications conduits, for which fewer bends are allowed.

G. Conceal conduit and EMT within accessible ceilings space unless otherwise indicated. Install conduits parallel or perpendicular to building lines.

H. Support conduit within 12 inches (300 mm) of enclosures to which attached .

I. Stub-ups to Above Recessed Ceilings:

1. Use EMT, or RMC for raceways.
2. Use a conduit bushing or insulated fitting to terminate stub-ups not terminated in hubs or in an enclosure.

J. Threaded Conduit Joints, Exposed to Wet, Damp, Corrosive, or Outdoor Conditions: Apply listed compound to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.

K. Raceway Terminations at Locations Subject to Moisture or Vibration: Use insulating bushings to protect conductors, including conductors smaller than No. 4 AWG.

L. Install raceways square to the enclosure and terminate at enclosures with locknuts. Install locknuts hand tight plus 1/4 turn more.

M. Do not rely on locknuts to penetrate nonconductive coatings on enclosures. Remove coatings in the locknut area prior to assembling conduit to enclosure to assure a continuous ground path.

N. Cut conduit perpendicular to the length. For conduits 2-inch (53-mm) trade size and larger, use roll cutter or a guide to make cut straight and perpendicular to the length.

O. Install pull wires in empty raceways. Use polypropylene or monofilament plastic line with not less than 200-lb (90-kg) tensile strength. Leave at least 12 inches (300 mm) of slack at each end of pull wire. Cap underground raceways designated as spare above grade alongside raceways in use.

P. Surface Raceways:

1. Install surface raceway with a minimum 2-inch (50-mm) radius control at bend points.
2. Secure surface raceway with screws or other anchor-type devices at intervals not exceeding 48 inches (1200 mm) and with no less than two supports per straight raceway section. Support surface raceway according to manufacturer's written instructions. Tape and glue are not acceptable support methods.
3. Two-hole straps are required.

Q. Install raceway sealing fittings at suitable, approved, and accessible locations and fill them with listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a finish similar to that of adjacent plates or surfaces. Install raceway sealing fittings at the following points:

1. Where conduits pass from warm to cold locations, such as boundaries of refrigerated spaces.
2. Where otherwise required by NFPA 70.

R. Expansion-Joint Fittings for RNC: Install in each run of aboveground conduit that is located where environmental temperature change may exceed 30 deg F (17 deg C), and that has straight-run length that exceeds 25 feet (7.6 m).

1. Install expansion-joint fittings for each of the following locations, and provide type and quantity of fittings that accommodate temperature change listed for location:

   a. Outdoor Locations Not Exposed to Direct Sunlight: 125 deg F (70 deg C) temperature change.
   b. Outdoor Locations Exposed to Direct Sunlight: 155 deg F (86 deg C) temperature change.
   c. Indoor Spaces: Connected with the Outdoors without Physical Separation: 125 deg F (70 deg C) temperature change.
   d. Attics: 135 deg F (75 deg C) temperature change.

2. Install fitting(s) that provide expansion and contraction for at least 0.00041 inch per foot of length of straight run per deg F (0.06 mm per meter of length of straight run per deg C) of temperature change.
3. Install each expansion-joint fitting with position, mounting, and piston setting selected according to manufacturer's written instructions for conditions at specific location at the time of installation.

3.3 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Division 26 Section "Common Work Results for Electrical."

3.4 FIRESTOPPING

A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly. Firestopping materials and installation requirements are specified in Division 26 Section "Electrical Firestopping."

3.5 PROTECTION

A. Provide final protection and maintain conditions that ensure coatings, finishes, and cabinets are without damage or deterioration at time of Substantial Completion.

1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.

2. Repair damage to PVC or paint finishes with matching touchup coating recommended by manufacturer.

END OF SECTION

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3.2 IDENTIFICATION SCHEDULE

SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Identification for raceways.
2. Identification of power and control cables.
3. Identification for conductors.
4. Underground-line warning tape.
5. Warning labels and signs.
6. Instruction signs.
7. Equipment identification labels.
8. Miscellaneous identification products.

1.3 SUBMITTALS

A. Product Data: For each electrical identification product indicated.

1.4 QUALITY ASSURANCE

A. Comply with ANSI A13.1 and IEEE C2.

B. Comply with NFPA 70.

C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145.

D. Comply with ANSI Z535.4 for safety signs and labels.

E. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.

1.5 COORDINATION

A. Coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and the Operation and Maintenance Manual; and with those required by codes, standards, and 29 CFR 1910.145. Use consistent designations throughout Project.

B. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.

C. Coordinate installation of identifying devices with location of access panels and doors.

D. Install identifying devices before installing acoustical ceilings and similar concealment.

PART 2 - PRODUCTS

2.1 POWER RACEWAY IDENTIFICATION MATERIALS

A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each raceway size.

B. Colors for Raceways Carrying Circuits at 600 V or Less:

1. Black letters on an orange field for normal feeders, black letters on a yellow field for emergency feeders.
2. Legend: Indicate voltage and system or service type.

C. Snap-Around Labels for Raceways Carrying Circuits at 600 V or Less: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeve, with diameter sized to suit diameter of raceway or cable it identifies and to stay in place by gripping action.

2.2 POWER AND CONTROL CABLE IDENTIFICATION MATERIALS

A. Comply with ANSI A13.1 for minimum size of letters for legend and for minimum length of color field for each cable size.

B. Snap-Around Labels: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeve, with diameter sized to suit diameter of cable it identifies and to stay in place by gripping action.

2.3 CONDUCTOR IDENTIFICATION MATERIALS

A. Color-Coding Conductor Tape: Colored, self-adhesive vinyl tape not less than 3 mils (0.08 mm) thick by 1 to 2 inches (25 to 50 mm) wide.

2.4 UNDERGROUND-LINE WARNING TAPE

A. Tape:

1. Recommended by manufacturer for the method of installation and suitable to identify and locate underground electrical and communications utility lines.
2. Printing on tape shall be permanent and shall not be damaged by burial operations.
3. Tape material and ink shall be chemically inert, and not subject to degrading when exposed to acids, alkalis, and other destructive substances commonly found in soils.

B. Color and Printing:

1. Comply with ANSI Z535.1 through ANSI Z535.5.
2. Inscriptions for Red-Colored Tapes: ELECTRIC LINE, HIGH VOLTAGE.
3. Inscriptions for Orange-Colored Tapes: TELEPHONE CABLE, CATV CABLE, COMMUNICATIONS CABLE, OPTICAL FIBER CABLE.

C. Tag:

1. Multilayer laminate consisting of high-density polyethylene scrim coated with pigmented polyolefin, bright-colored, continuous-printed on one side with the inscription of the utility, compounded for direct-burial service.
2. Thickness: 12 mils (0.3 mm).
3. Weight: 36.1 lb/1000 sq. ft. (17.6 kg/100 sq. m).
4. 3-Inch (75-mm) Tensile According to ASTM D 882: 400 lbf (1780 N), and 11,500 psi (79.2 MPa).

2.5 WARNING LABELS AND SIGNS

A. Comply with NFPA 70 and 29 CFR 1910.145.

B. Baked-Enamel Warning Signs:

1. Preprinted aluminum signs, punched or drilled for fasteners, with colors, legend, and size required for application.
2. 1/4-inch (6.4-mm) grommets in corners for mounting.
3. Nominal size, 7 by 10 inches (180 by 250 mm).

C. Metal-Backed, Butyrate Warning Signs:

1. Weather-resistant, nonfading, preprinted, cellulose-acetate butyrate signs with 0.0396-inch (1-mm) galvanized-steel backing; and with colors, legend, and size required for application.
2. 1/4-inch (6.4-mm) grommets in corners for mounting.
3. Nominal size, 10 by 14 inches (250 by 360 mm).

D. Warning label and sign shall include, but are not limited to, the following legends:

1. Multiple Power Source Warning: "DANGER - ELECTRICAL SHOCK HAZARD - EQUIPMENT HAS MULTIPLE POWER SOURCES."
2. Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES (915 MM)."

2.6 EQUIPMENT IDENTIFICATION LABELS

A. Engraved, Laminated Acrylic or Melamine Label: Punched or drilled for screw mounting. White letters on a dark-gray background. Minimum letter height shall be 3/8 inch (10 mm).

B. Stenciled Legend: In nonfading, waterproof, black ink or paint. Minimum letter height shall be 1 inch (25 mm.

2.7 CABLE TIES

A. General-Purpose Cable Ties: Fungus inert, self extinguishing, one piece, self locking, Type 6/6 nylon.

1. Minimum Width: 3/16 inch (5 mm).
2. Tensile Strength at 73 deg F (23 deg C), According to ASTM D 638: 12,000 psi (82.7 MPa).
3. Temperature Range: Minus 40 to plus 185 deg F (Minus 40 to plus 85 deg C).
4. Color: Black except where used for color-coding.

B. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self extinguishing, one piece, self locking, Type 6/6 nylon.

1. Minimum Width: 3/16 inch (5 mm).
2. Tensile Strength at 73 deg F (23 deg C), According to ASTM D 638: 12,000 psi (82.7 MPa).
3. Temperature Range: Minus 40 to plus 185 deg F (Minus 40 to plus 85 deg C).
4. Color: Black.

C. Plenum-Rated Cable Ties: Self extinguishing, UV stabilized, one piece, self locking.

1. Minimum Width: 3/16 inch (5 mm).
2. Tensile Strength at 73 deg F ((23 deg C)), According to ASTM D 638: 7000 psi (48.2 MPa).
3. UL 94 Flame Rating: 94V-0.
4. Temperature Range: Minus 50 to plus 284 deg F (Minus 46 to plus 140 deg C).
5. Color: Black.

2.8 MISCELLANEOUS IDENTIFICATION PRODUCTS

A. Paint: Comply with requirements in painting Sections for paint materials and application requirements. Select paint system applicable for surface material and location (exterior or interior).

B. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.

PART 3 - EXECUTION

3.1 INSTALLATION

A. Verify identity of each item before installing identification products.

B. Location: Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment.

C. Apply identification devices to surfaces that require finish after completing finish work.

D. Self-Adhesive Identification Products: Clean surfaces before application, using materials and methods recommended by manufacturer of identification device.

E. Attach signs and plastic labels that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.

F. System Identification Color-Coding Bands for Raceways and Cables: Each color-coding band shall completely encircle cable or conduit. Place adjacent bands of two-color markings in contact, side by side. Locate bands at changes in direction, at penetrations of walls and floors, at 50-foot (15-m) maximum intervals in straight runs, and at 25-foot (7.6-m) maximum intervals in congested areas.

G. Cable Ties: For attaching tags. Use general-purpose type, except as listed below:

1. Outdoors: UV-stabilized nylon.
2. In Spaces Handling Environmental Air: Plenum rated.

H. Underground-Line Warning Tape: During backfilling of trenches install continuous underground-line warning tape directly above line at 6 to 8 inches (150 to 200 mm) below finished grade. Use multiple tapes where width of multiple lines installed in a common trench or concrete envelope exceeds 16 inches (400 mm) overall.

I. Painted Identification: Comply with requirements in painting Sections for surface preparation and paint application.

3.2 IDENTIFICATION SCHEDULE

A. Accessible Raceways and Metal-Clad Cables, 600 V or Less, for Service, Feeder, and Branch Circuits More Than 50A, and 120 V to ground: Identify with snap around label applied in bands. Install labels at 10-foot (3-m) maximum intervals.

B. Accessible Raceways and Cables within Buildings: Identify the covers of each junction and pull box of the following systems with self-adhesive vinyl labels with the wiring system legend and system voltage. System legends shall be as follows:

1. Emergency Power - Yellow.
2. Power - Orange.
3. Fire Alarm System – Red.
4. Security System – Blue and Yellow.\
5. Mechanical and Electrical Supervisory System – Green and Blue.
6. Telecommunications Systems – Green and Yellow.
7. Control Wiring – Green and Red.

C. Power-Circuit Conductor Identification, 600 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use color-coding conductor tape to identify the phase.

1. Color-Coding for Phase and Voltage Level Identification, 600 V or Less: Use colors listed below for ungrounded service, feeder, and branch-circuit conductors.

   a. Color shall be factory applied or field applied for sizes larger than No. 8 AWG, if authorities having jurisdiction permit.
   b. Colors for 208/120-V Circuits:

      1) Phase A: Black.
      2) Phase B: Red.
      3) Phase C: Blue.

   c. Colors for 480/277-V Circuits:

      1) Phase A: Brown.
      2) Phase B: Orange.
      3) Phase C: Yellow.

   d. All control wiring shall be color coded when using wires of different color from the type used to designate phase wires.
   e. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches (150 mm) from terminal points and in boxes where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding. Locate bands to avoid obscuring factory cable markings.
   f. All emergency wiring shall have the same color-coding, but shall be clearly identified as emergency in all outlets, fixtures, etc.

D. Power-Circuit Conductor Identification, More than 600 V: For conductors in vaults, pull and junction boxes, manholes, and handholes, use nonmetallic plastic tag holder with adhesive-backed phase tags, and a separate tag with the circuit designation.

E. Install instructional sign including thecolor-code for grounded and ungrounded conductors using adhesive-film-type labels.

F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, manholes, and handholes, use self-adhesive vinyl labels with the conductor or cable designation, origin, and destination.

G. Control-Circuit Conductor Termination Identification: For identification at terminations provide self-adhesive vinyl labels with the conductor designation.

H. Conductors to Be Extended in the Future: Attach marker tape to conductors and list source.

I. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.

1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.

2. Use system of marker tape designations that is uniform and consistent with system used by manufacturer for factory-installed connections.
3. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and the Operation and Maintenance Manual.

J. Locations of Underground Lines: Identify with underground-line warning tape for power, lighting, communication, and control wiring and optical fiber cable.

1. Limit use of underground-line warning tape to direct-buried cables.
2. Install underground-line warning tape for both direct-buried cables and cables in raceway.

K. Workspace Indication: Install floor marking tape to show working clearances in the direction of access to live parts. Workspace shall be as required by NFPA 70 and 29 CFR 1926.403 unless otherwise indicated. Do not install at flush-mounted panelboards and similar equipment in finished spaces.

L. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Baked-enamel warning signs or Metal-backed, butyrate warning signs.

1. Comply with 29 CFR 1910.145.
2. Identify system voltage with black letters on an orange background.
3. Apply to exterior of door, cover, or other access.
4. For equipment with multiple power or control sources, apply to door or cover of equipment including, but not limited to, the following:

   a. Power transfer switches.
   b. Controls with external control power connections.

M. Operating Instruction Signs: Install instruction signs to facilitate proper operation and maintenance of electrical systems and items to which they connect. Install instruction signs with approved legend where instructions are needed for system or equipment operation.

N. Emergency Operating Instruction Signs: Install instruction signs with white legend on a red background with minimum 3/8-inch- (10-mm-) high letters for emergency instructions at equipment used for power transfer.

O. Equipment Identification Labels: On each unit of equipment, install unique designation label that is consistent with wiring diagrams, schedules, and the Operation and Maintenance Manual. Apply labels to disconnect switches and protection equipment, central or master units, control panels, control stations, terminal cabinets, and racks of each system. Systems include power, lighting, control, communication, signal, monitoring, and alarm systems unless equipment is provided with its own identification.

1. Labeling Instructions:

   a. Indoor Equipment: Engraved, laminated acrylic or melamine label. Unless otherwise indicated, provide a single line of text with 1/2-inch- (13-mm-) high letters on 1-1/2-inch- (38-mm-) high label; where two lines of text are required, use labels 2 inches (50 mm) high.
   b. Outdoor Equipment: Engraved, laminated acrylic or melamine label.

c. Elevated Components: Increase sizes of labels and letters to those appropriate for viewing from the floor.
d. Unless provided with self-adhesive means of attachment, fasten labels with appropriate mechanical fasteners that do not change the NEMA or NRTL rating of the enclosure.

2. Equipment to Be Labeled:

a. Panelboards: Typewritten directory of circuits in the location provided by panelboard manufacturer. Panelboard identification shall be engraved, laminated acrylic or melamine label, "Panel (designation), Served from (designation)."
b. Enclosures and electrical cabinets.
c. Access doors and panels for concealed electrical items.
d. Switchgear.
e. Switchboards.
f. Transformers: Label that includes tag designation shown on Drawings for the transformer, feeder, and panelboards or equipment supplied by the secondary.
g. Substations.
h. Emergency system boxes and enclosures.
i. Motor-control centers.
j. Enclosed switches.
k. Enclosed circuit breakers.
l. Enclosed controllers.
m. Variable-speed controllers.
n. Push-button stations.
o. Power transfer equipment.
p. Contactors.
q. Remote-controlled switches, dimmer modules, and control devices.
r. Battery-inverter units.
s. Battery racks.
t. Power-generating units.
u. Monitoring and control equipment.
v. UPS equipment.

END OF SECTION

DIVISION 26 SECTION 262726
WIRING DEVICES
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SECTION 262726 - WIRING DEVICES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Receptacles, receptacles with integral GFCI, and associated device plates.
2. Weather-resistant receptacles.
3. Wall-switch.

1.3 DEFINITIONS

A. EMI: Electromagnetic interference.

B. GFCI: Ground-fault circuit interrupter.

C. Pigtail: Short lead used to connect a device to a branch-circuit conductor.

D. RFI: Radio-frequency interference.

E. TVSS: Transient voltage surge suppressor.

F. UTP: Unshielded twisted pair.

1.4 ADMINISTRATIVE REQUIREMENTS

A. Coordination:

1. Receptacles for Owner-Furnished Equipment: Match plug configurations.
2. Cord and Plug Sets: Match equipment requirements.

1.5 ACTION SUBMITTALS

A. Product Data: For each type of product.

B. Shop Drawings: List of legends and description of materials and process used for premarking wall plates.

1.6 INFORMATIONAL SUBMITTALS

A. Field quality-control reports.

1.7 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For wiring devices to include in all manufacturers' packing-label warnings and instruction manuals that include labeling conditions.

1.8 MAINTENANCE MATERIAL SUBMITTALS

A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

1. Floor Service-Outlet Assemblies: One for every 10, but no fewer than one.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

A. Manufacturers' Names: Shortened versions (shown in parentheses) of the following manufacturers' names are used in other Part 2 articles:

1. Hubbell Incorporated; Wiring Device-Kellems (Hubbell).
2. Leviton Mfg. Company Inc. (Leviton).
3. Pass & Seymour/Legrand (Pass & Seymour).

B. Source Limitations: Obtain each type of wiring device and associated wall plate from single source from single manufacturer.

2.2 GENERAL WIRING-DEVICE REQUIREMENTS

A. Wiring Devices, Components, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

B. Comply with NFPA 70.

C. Devices that are manufactured for use with modular plug-in connectors may be substituted under the following conditions:

1. Connectors shall comply with UL 2459 and shall be made with stranding building wire.
2. Devices shall comply with the requirements in this Section.

2.3 STRAIGHT-BLADE RECEPTACLES

A. Convenience Receptacles, 125 V, 20 A: Comply with NEMA WD 1, NEMA WD 6 Configuration 5-20R, UL 498, and FS W-C-596.

1. Products: Subject to compliance with requirements, provide one of the following:

   a. Hubbell; HBL5351 (single), HBL5352 (duplex).
   b. Leviton; 5891 (single), 5352 (duplex).
   c. Pass & Seymour; 5361 (single), 5362 (duplex).

2.4 GFCI RECEPTACLES

A. General Description:

1. Straight blade, non-feed-through type.
2. Comply with NEMA WD 1, NEMA WD 6, UL 498, UL 943 Class A, and FS W-C-596.
3. Include indicator light that shows when the GFCI has malfunctioned and no longer provides proper GFCI protection.

B. Duplex GFCI Convenience Receptacles, 125 V, 20 A:

1. Products: Subject to compliance with requirements provide one of the following:

   a. Hubbell; GFR5352L.
   b. Pass & Seymour; 2095.
   c. Leviton; 7590.

2.5 TOGGLE SWITCHES

A. Comply with NEMA WD 1, UL 20, and FS W-S-896.

B. Switches, 120/277 V, 20 A:

1. Products: Subject to compliance with requirements, provide one of the following:

   a. Single Pole:

      i. Hubbell; HBL1221.
      ii. Leviton; 1221-2.
      iii. Pass & Seymour; CSB20AC1.

   b. Three Way:

      i. Hubbell; HBL1223.
      ii. Leviton; 1223-2.
      iii. Pass & Seymour; CSB20AC3.

C. Single-Pole, Double-Throw, Momentary-Contact, Center-off Switches: 120/277 V, 20 A; for use with mechanically held lighting contactors.

1. Products: Subject to compliance with requirements, provide one of the following:

    a. Hubbell; HBL1557.
    b. Leviton; 1257.
    c. Pass & Seymour; 1251.

2.6 WALL PLATES

A. Single and combination types shall match corresponding wiring devices.

1. Plate-Securing Screws: Metal with head color to match plate finish.
2. Material for Finished Spaces: Steel with white baked enamel, suitable for field painting 0.035-inch- (1-mm-) thick, satin-finished, Type 302 stainless steel.
3. Material for Unfinished Spaces: Galvanized steel.
4. Material for Damp Locations: Cast aluminum with spring-loaded lift cover, and listed and labeled for use in wet and damp locations.

B. Wet-Location, Weatherproof Cover Plates: NEMA 250, complying with Type 3R, weather-resistant, die-cast aluminum with lockable cover.

2.7 FINISHES

A. Device Color:

1. Wiring Devices Connected to Normal Power System: Brown unless otherwise indicated or required by NFPA 70 or device listing.

PART 3 - EXECUTION

3.1 INSTALLATION

A. Comply with NECA 1, including mounting heights listed in that standard, unless otherwise indicated.

B. Coordination with Other Trades:

1. Protect installed devices and their boxes. Do not place wall finish materials over device boxes and do not cut holes for boxes with routers that are guided by riding against outside of boxes.
2. Keep outlet boxes free of plaster, drywall joint compound, mortar, cement, concrete, dust, paint, and other material that may contaminate the raceway system, conductors, and cables.
3. Install device boxes in brick or block walls so that the cover plate does not cross a joint unless the joint is troweled flush with the face of the wall.
4. Install wiring devices after all wall preparation, including painting, is complete.

C. Conductors:

1. Do not strip insulation from conductors until right before they are spliced or terminated on devices.
2. Strip insulation evenly around the conductor using tools designed for the purpose. Avoid scoring or nicking of solid wire or cutting strands from stranded wire.
3. The length of free conductors at outlets for devices shall meet provisions of NFPA 70, Article 300, without pigtails.
4. Existing Conductors:

    a. Cut back and pigtail, or replace all damaged conductors.
    b. Straighten conductors that remain and remove corrosion and foreign matter.
    c. Pigtailing existing conductors is permitted, provided the outlet box is large enough.

D. Device Installation:

1. Replace devices that have been in temporary use during construction and that were installed before building finishing operations were complete.
2. Keep each wiring device in its package or otherwise protected until it is time to connect conductors.
3. Do not remove surface protection, such as plastic film and smudge covers, until the last possible moment.
4. Connect devices to branch circuits using pigtails that are not less than 6 inches (152 mm) in length.
5. When there is a choice, use side wiring with binding-head screw terminals. Wrap solid conductor tightly clockwise, two-thirds to three-fourths of the way around terminal screw.
6. Use a torque screwdriver when a torque is recommended or required by manufacturer.
7. When conductors larger than No. 12 AWG are installed on 15- or 20-A circuits, splice No. 12 AWG pigtails for device connections.
8. Tighten unused terminal screws on the device.
9. When mounting into metal boxes, remove the fiber or plastic washers used to hold device-mounting screws in yokes, allowing metal-to-metal contact.

E. Receptacle Orientation:

1. Install ground pin of vertically mounted receptacles up, and on horizontally mounted receptacles to the left.

F. Device Plates: Do not use oversized or extra-deep plates. Repair wall finishes and remount outlet boxes when standard device plates do not fit flush or do not cover rough wall opening.

G. Arrangement of Devices: Unless otherwise indicated, mount flush, with long dimension vertical and with grounding terminal of receptacles on top. Group adjacent switches under single, multigang wall plates.

H. Adjust locations of floor service outlets to suit arrangement of partitions and furnishings.

3.2 GFCI RECEPTACLES

A. Install non-feed-through-type GFCI receptacles where protection of downstream receptacles is not required.

3.3 IDENTIFICATION

A. Comply with Division 26 Section "Identification for Electrical Systems."

B. Identify each receptacle with panelboard identification and circuit number. Use hot, stamped, or engraved machine printing with black-filled lettering on face of plate, and durable wire markers or tags inside outlet boxes.

3.4 FIELD QUALITY CONTROL

A. Perform the following tests and inspections:

1. Test Instruments: Use instruments that comply with UL 1436.
2. Test Instrument for Convenience Receptacles: Digital wiring analyzer with digital readout or illuminated digital-display indicators of measurement.

B. Tests for Convenience Receptacles:

1. Line Voltage: Acceptable range is 105 to 132 V.
2. Percent Voltage Drop under 15-A Load: A value of 6 percent or higher is unacceptable.
3. Ground Impedance: Values of up to 2 ohms are acceptable.
4. GFCI Trip: Test for tripping values specified in UL 1436 and UL 943.
5. Using the test plug, verify that the device and its outlet box are securely mounted.
6. Tests shall be diagnostic, indicating damaged conductors, high resistance at the circuit breaker, poor connections, inadequate fault current path, defective devices, or similar problems. Correct circuit conditions, remove malfunctioning units and replace with new ones, and retest as specified above.

C. Wiring device will be considered defective if it does not pass tests and inspections.

D. Prepare test and inspection reports.

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SECTION 262813 - FUSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Cartridge fuses rated 600-V ac and less for use in control circuits, enclosed switches, and enclosed controllers.
2. Spare-fuse cabinets.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product indicated. Include construction details, material, dimensions, descriptions of individual components, and finishes for spare-fuse cabinets. Include the following for each fuse type indicated:

1. Ambient Temperature Adjustment Information: If ratings of fuses have been adjusted to accommodate ambient temperatures, provide list of fuses with adjusted ratings.

    a. For each fuse having adjusted ratings, include location of fuse, original fuse rating, local ambient temperature, and adjusted fuse rating.
    b. Provide manufacturer's technical data on which ambient temperature adjustment calculations are based.

2. Dimensions and manufacturer's technical data on features, performance, electrical characteristics, and ratings.
3. Current-limitation curves for fuses with current-limiting characteristics.
4. Time-current coordination curves (average melt) and current-limitation curves (instantaneous peak let-through current) for each type and rating of fuse.
5. Coordination charts and tables and related data.
6. Fuse sizes for elevator feeders and elevator disconnect switches.

1.4 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For fuses to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:

1. Ambient temperature adjustment information.

2. Current-limitation curves for fuses with current-limiting characteristics.
3. Time-current coordination curves (average melt) and current-limitation curves (instantaneous peak let-through current) for each type and rating of fuse.
4. Coordination charts and tables and related data.

1.5 MAINTENANCE MATERIAL SUBMITTALS

A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

1. Fuses: Equal to 10 percent of quantity installed for each size and type, but no fewer than three of each size and type.

1.6 QUALITY ASSURANCE

A. Source Limitations: Obtain fuses, for use within a specific product or circuit, from single source from single manufacturer.

B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

C. Comply with NEMA FU 1 for cartridge fuses.

D. Comply with NFPA 70.

E. Comply with UL 248-11 for plug fuses.

1.7 PROJECT CONDITIONS

A. Where ambient temperature to which fuses are directly exposed is less than 40 deg F (5 deg C) or more than 100 deg F (38 deg C), apply manufacturer's ambient temperature adjustment factors to fuse ratings.

1.8 COORDINATION

A. Coordinate fuse ratings with utilization equipment nameplate limitations of maximum fuse size and with system short-circuit current levels.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Cooper Bussmann, Inc.

2. Edison Fuse, Inc.
3. Ferraz Shawmut, Inc.
4. Littelfuse, Inc.

2.2 CARTRIDGE FUSES

A. Characteristics: NEMA FU 1, nonrenewable cartridge fuses with voltage ratings consistent with circuit voltages.

2.3 SPARE-FUSE CABINET

A. Characteristics: Wall-mounted steel unit with full-length, recessed piano-hinged door and key-coded cam lock and pull.

1. Size: Adequate for storage of spare fuses specified with 15 percent spare capacity minimum.
2. Finish: Gray, baked enamel.
3. Identification: "SPARE FUSES" in 1-1/2-inch- (38-mm-) high letters on exterior of door.
4. Fuse Pullers: For each size of fuse, where applicable and available, from fuse manufacturer.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine fuses before installation. Reject fuses that are moisture damaged or physically damaged.

B. Examine holders to receive fuses for compliance with installation tolerances and other conditions affecting performance, such as rejection features.

C. Examine utilization equipment nameplates and installation instructions. Install fuses of sizes and with characteristics appropriate for each piece of equipment.

D. Evaluate ambient temperatures to determine if fuse rating adjustment factors must be applied to fuse ratings.

E. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 FUSE APPLICATIONS

A. Cartridge Fuses:

1. Feeders: Class J, time delay.
2. Motor Branch Circuits: Class RK1, time delay.
3. Other Branch Circuits: Class RK1, time delay.
4. Control Circuits: Class CC, time delay.

3.3 INSTALLATION

A. Install fuses in fusible devices. Arrange fuses so rating information is readable without removing fuse.

B. Install spare-fuse cabinet(s).

3.4 IDENTIFICATION

A. Install labels complying with requirements for identification specified in Section 260553 "Identification for Electrical Systems" and indicating fuse replacement information on inside door of each fused switch and adjacent to each fuse block, socket, and holder.

END OF SECTION

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ENCLOSED SWITCHES AND CIRCUIT BREAKERS
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SECTION 262816 - ENCLOSED SWITCHES AND CIRCUIT BREAKERS

PART 1. GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Fusible switches.
2. Nonfusible switches.
3. Receptacle switches.
4. Shunt trip switches.
5. Molded-case circuit breakers (MCCBs).
6. Enclosures.

1.3 DEFINITIONS

A. NC: Normally closed.

B. NO: Normally open.

C. SPDT: Single pole, double throw.

1.4 PERFORMANCE REQUIREMENTS

A. Seismic Performance: Enclosed switches and circuit breakers shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.

1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."

1.5 ACTION SUBMITTALS

A. Product Data: For each type of enclosed switch, circuit breaker, accessory, and component indicated. Include dimensioned elevations, sections, weights, and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.

1. Enclosure types and details for types other than NEMA 250, Type 1.
2. Current and voltage ratings.

3. Short-circuit current ratings (interrupting and withstand, as appropriate).
4. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices, accessories, and auxiliary components.
5. Include time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device.

B. Shop Drawings: For enclosed switches and circuit breakers. Include plans, elevations, sections, details, and attachments to other work.

1. Wiring Diagrams: For power, signal, and control wiring.

1.6 INFORMATIONAL SUBMITTALS

A. Qualification Data: For qualified testing agency.

B. Seismic Qualification Certificates: For enclosed switches and circuit breakers, accessories, and components, from manufacturer.

1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.

C. Field quality-control reports.

1. Test procedures used.
2. Test results that comply with requirements.
3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.

1.7 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For enclosed switches and circuit breakers to include in emergency, operation, and maintenance manuals. In addition to items specified in Section 017823 "Operation and Maintenance Data," include the following:

1. Manufacturer's written instructions for testing and adjusting enclosed switches and circuit
2. Time-current coordination curves (average melt) for each type and rating of overcurrent protective device; include selectable ranges for each type of overcurrent protective device.

1.8 MAINTENANCE MATERIAL SUBMITTALS

A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

1. Fuses: Equal to 10 percent of quantity installed for each size and type, but no fewer than three of each size and type.
2. Fuse Pullers: Two for each size and type.

1.9 QUALITY ASSURANCE

A. Testing Agency Qualifications: Member company of NETA or an NRTL.

1. Testing Agency's Field Supervisor: Currently certified by NETA to supervise on-site testing.

B. Source Limitations: Obtain enclosed switches and circuit breakers, overcurrent protective devices, components, and accessories, within same product category, from single source from single manufacturer.

C. Product Selection for Restricted Space: Drawings indicate maximum dimensions for enclosed switches and circuit breakers, including clearances between enclosures, and adjacent surfaces and other items. Comply with indicated maximum dimensions.

D. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

E. Comply with NFPA 70.

1.10 PROJECT CONDITIONS

A. Environmental Limitations: Rate equipment for continuous operation under the following conditions unless otherwise indicated:

1. Ambient Temperature: Not less than minus 22 deg F (minus 30 deg C) and not exceeding 104 deg F (40 deg C).
2. Altitude: Not exceeding 6600 feet (2010 m).

1.11 COORDINATION

A. Coordinate layout and installation of switches, circuit breakers, and components with equipment served and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.

PART 2. PRODUCTS

2.1 FUSIBLE SWITCHES

A. Basis-of-Design Product: Subject to compliance with requirements, provide Square D; a brand of Schneider Electric or comparable product by one of the following:

1. Siemens Energy & Automation, Inc.

2. General Electric Company – GE Commercial & Industrial Electrical Distribution.
3. Eaton Electrical, Inc.; Cutler-Hammer Business Unit.

B. Type HD, Heavy Duty, Single Throw, 600-V ac, 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, with clips or bolt pads to accommodate specified fuses, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.

1. Siemens Energy & Automation, Inc.
2. General Electric Company – GE Commercial & Industrial Electrical Distribution.
3. Eaton Electrical, Inc.; Cutler-Hammer Business Unit.

C. Type HD, Heavy Duty, Double Throw, 600-V ac, 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, with clips or bolt pads to accommodate specified fuses, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.

D. Accessories:

1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.
2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.
3. Class R Fuse Kit: Provides rejection of other fuse types when Class R fuses are specified.
4. Auxiliary Contact Kit: One NO/NC (Form "C") auxiliary contact(s), arranged to activate before switch blades open.
5. Hookstick Handle: Allows use of a hookstick to operate the handle.
6. Lugs: Compression type, suitable for number, size, and conductor material.
7. Service-Rated Switches: Labeled for use as service equipment.
8. Accessory Control Power Voltage: Remote mounted and powered120-V ac. Provide as required with auxiliary conduit kit.

2.2 NONFUSIBLE SWITCHES

A. Basis-of-Design Product: Subject to compliance with requirements, provide Square D; a brand of Schneider Electric or comparable product by one of the following:

1. Siemens Energy & Automation, Inc.
2. General Electric Company – GE Commercial & Industrial Electrical Distribution.
3. Eaton Electrical, Inc.; Cutler-Hammer Business Unit.

B. Type HD, Heavy Duty, Single Throw, 600-V ac, 1200 A and Smaller: UL 98 and NEMA KS 1, horsepower rated, lockable handle with capability to accept three padlocks, and interlocked with cover in closed position.

C. Accessories:

1. Equipment Ground Kit: Internally mounted and labeled for copper and aluminum ground conductors.
2. Neutral Kit: Internally mounted; insulated, capable of being grounded and bonded; labeled for copper and aluminum neutral conductors.

3. Auxiliary Contact Kit: One NO/NC (Form "C") auxiliary contact(s), arranged to activate before switch blades open.
4. Hookstick Handle: Allows use of a hookstick to operate the handle.
5. Lugs: Compression type, suitable for number, size, and conductor material.
6. Accessory Control Power Voltage: Remote mounted and powered; 120-V ac. Provide as required with auxiliary conduit kit.

2.3 RECEPTACLE SWITCHES

A. Basis-of-Design Product: Subject to compliance with requirements, provide Square D; a brand of Schneider Electric or comparable product by one of the following:

1. Siemens Energy & Automation, Inc.
2. General Electric Company – GE Commercial & Industrial Electrical Distribution.
3. Eaton Electrical, Inc.; Cutler-Hammer Business Unit.

B. Type HD, Heavy-Duty, Single-Throw Nonfusible Switch: 600-V ac, UL 98 and NEMA KS 1; horsepower rated, lockable handle with capability to accept three padlocks; interlocked with cover in closed position.

C. Interlocking Linkage: Provided between the receptacle and switch mechanism to prevent inserting or removing plug while switch is in the on position, inserting any plug other than specified, and turning switch on if an incorrect plug is inserted or correct plug has not been fully inserted into the receptacle.

D. Receptacle: Polarized, three-phase, four-wire receptacle (fourth wire connected to enclosure ground lug).

2.4 SHUNT TRIP SWITCHES

A. Subject to compliance with requirements, provide product by one of the following:

1. Cooper Bussmann, Inc.
2. Ferraz Shawmut, Inc.
3. Littelfuse, Inc.

B. General Requirements: Comply with ASME A17.1, UL 50, and UL 98, with 200-kA interrupting and short-circuit current rating when fitted with Class J fuses.

C. Switches: Three-pole, horsepower rated, with integral shunt trip mechanism and Class J fuse block; lockable handle with capability to accept three padlocks; interlocked with cover in closed position.

D. Control Circuit: 120-V ac; obtained from integral control power transformer, with primary and secondary fuses, with a control power transformer of enough capacity to operate shunt trip, connected pilot, and indicating and control devices.

E. Accessories:

1. Oiltight key switch for key-to-test function.
2. Oiltight red ON pilot light.
3. Mechanically interlocked auxiliary contacts that change state when switch is opened and closed.
4. Form C alarm contacts that change state when switch is tripped.
5. Three-pole, double-throw, fire-safety and alarm relay; 120-V ac coil voltage.
6. Three-pole, double-throw, fire-alarm voltage monitoring relay complying with NFPA 72.

2.5 MOLDED-CASE CIRCUIT BREAKERS

A. Basis-of-Design Product: Subject to compliance with requirements, provide Square D; a brand of Schneider Electric or comparable product by one of the following:

1. Siemens Energy & Automation, Inc.
2. General Electric Company – GE Commercial & Industrial Electrical Distribution.
3. Eaton Electrical, Inc.; Cutler-Hammer Business Unit.

B. General Requirements: Comply with UL 489, NEMA AB 1, and NEMA AB 3, with interrupting capacity to comply with available fault currents.

C. Thermal-Magnetic Circuit Breakers: Inverse time-current element for low-level overloads and instantaneous magnetic trip element for short circuits. Adjustable magnetic trip setting for circuit-breaker frame sizes 250A and larger.

D. Adjustable, Instantaneous-Trip Circuit Breakers: Magnetic trip element with front-mounted, field-adjustable trip setting.

E. Ground-Fault, Circuit-Interrupter (GFCI) Circuit Breakers: Single- and two-pole configurations with Class A ground-fault protection (6-mA trip).

F. Ground-Fault, Equipment-Protection (GFEP) Circuit Breakers: With Class B ground-fault protection (30-mA trip).

G. Features and Accessories:

1. Lugs: Compression type, suitable for number, size, trip ratings, and conductor material.
2. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and high-intensity discharge lighting circuits.
3. Shunt Trip: Trip coil energized from separate circuit, with coil-clearing contact.
4. Auxiliary Contacts: One SPDT switch with "a" and "b" contacts; "a" contacts mimic circuit-breaker contacts, "b" contacts operate in reverse of circuit-breaker contacts.
5. Accessory Control Power Voltage: Remote mounted and powered; 120-V ac.

2.6 ENCLOSURES

A. Enclosed Switches and Circuit Breakers: NEMA AB 1, NEMA KS 1, NEMA 250, and UL 50, to comply with environmental conditions at installed location.

1. Indoor, Dry and Clean Locations: NEMA 250, Type 1.
2. Outdoor Locations: NEMA 250, Type 3R.
3. Kitchen Wash-Down Areas: NEMA 250, Type 4X, stainless steel.
4. Other Wet or Damp, Indoor Locations: NEMA 250, Type 4X, stainless steel.
5. Indoor Locations Subject to Dust, Falling Dirt, and Dripping Noncorrosive Liquids: NEMA 250, Type 12.
6. Hazardous Areas Indicated on Drawings: NEMA 250,Type 9.

PART 3. EXECUTION

3.1 EXAMINATION

A. Examine elements and surfaces to receive enclosed switches and circuit breakers for compliance with installation tolerances and other conditions affecting performance of the Work.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

A. Install individual wall-mounted switches and circuit breakers with tops at uniform height unless otherwise indicated.

B. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from enclosures and components.

C. Install fuses in fusible devices.

D. Comply with NECA 1.

3.3 IDENTIFICATION

A. Comply with requirements in Section 260553 "Identification for Electrical Systems."

1. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs.
2. Label each enclosure with engraved metal or laminated-plastic nameplate.

3.4 FIELD QUALITY CONTROL

A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.

B. Perform tests and inspections.

1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and

C. Acceptance Testing Preparation:

1. Test insulation resistance for each enclosed switch and circuit breaker, component, connecting supply, feeder, and control circuit.
2. Test continuity of each circuit.

D. Tests and Inspections:

1. Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Certify compliance with test parameters.
2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.

3. Test and adjust controls, remote monitoring, and safeties. Replace damaged and malfunctioning controls and equipment.

E. Enclosed switches and circuit breakers will be considered defective if they do not pass tests and inspections.

F. Prepare test and inspection reports, including a certified report that identifies enclosed switches and circuit breakers and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

3.5 ADJUSTING

A. Adjust moving parts and operable components to function smoothly, and lubricate as recommended by manufacturer.

END OF SECTION

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FIRE ALARM SYSTEM
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SECTION 283111 - FIRE ALARM SYSTEM

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This specification provides the requirements for the installation, programming and configuration of an extension of the existing Fire Alarm System. The system shall include, but not be limited to: Fire Alarm Control Panel, Automatic and Manually activated alarm Initiating and Indicating Peripheral Devices and Appliances, conduit, wire and accessories required to furnish a complete and operational Fire Alarm System. System shall include, but not be limited to, the following:

1. System smoke detectors.
2. Heat detectors.
3. Carbon monoxide detectors.
4. Addressable interface device.

B. The system shall include all wiring, raceways, pull boxes, terminal cabinets, outlet and mounting boxes, alarm initiating devices, alarm indicating devices, and control equipment, and all other accessories and miscellaneous items required for an operating system.

C. The Fire Alarm System supplied under this specification shall be a microprocessor-based network system. All Control Panel Assemblies and connected Field Appliances shall be both designed and manufactured by the same company, and shall be tested and cross-listed as compatible to ensure that a fully functioning Life Safety System is designed and installed.

D. Existing system devices shall be re-used and maintained fully operational unless otherwise noted. Reconnect and reprogram existing system circuits/devices as required for a fully functional system

E. *Test system prior to performing any modifications and report any defects, etc. to the owner in writing. Any defects, malfunctions of the system not reported in advance of performing work shall be the responsibility of the contractor to correct.*

F. All related work specified in other sections shall be properly coordinated with the fire alarm equipment.

1.3 REFERENCES

A. The system, equipment, installation, and operation shall comply with the current provisions of the following standards and publications:

1. National Electric Code, Article 70.
2. National Fire Protection Association Standards:

    a. NFPA72 National Fire Alarm Code
    b. NFPA 90A Air Conditioning & Ventilation Systems
    c. NFPA101 Life Safety Code

3. Local and State Building Codes.
4. Local Authorities Having Jurisdiction.
5. Underwriters Laboratories Inc.: The system and all components shall be listed by Underwriters Laboratories Inc. for use in fire protective signaling system under the following standards as applicable:

    a. UL 864/UOJZ, APOU - Control Units for Fire Protective Signaling Systems.
    b. UL 268 - Smoke Detectors for Fire Protective Signaling Systems.
    c. UL 268A - Smoke Detectors for Duct Applications.
    d. UL 217 - Smoke Detectors Single Station.
    e. UL 521 - Heat Detectors for Fire Protective Signaling Systems.
    f. UL 228 - Door Holders for Fire Protective Signaling Systems.
    g. UL 464 - Audible Signaling Appliances.
    h. UL 1638 - Visual Signaling Appliances.
    i. UL 38 - Manually Activated Signaling Boxes.
    j. UL 346 - Waterflow Indicators for Fire Protective Signaling Systems.
    k. UL 1971 - Standard for Signaling Devices for the Hearing Impaired.
    l. UL 1481 - Power Supplies for Fire Protective Signaling Systems.
    m. UL 1711 - Amplifiers for Fire Protective Signaling Systems.
    n. UL Fire Protection Equipment Directory.
    o. UL Electrical Construction Materials Directory.

6. Americans with Disabilities Act (ADA)
7. International Standards Organization (ISO)

    a. ISO-9000
    b. ISO-9001

8. Factory Mutual Approval Guide.
9. State of Delaware Fire Prevention Code.
10. American Insurance Association Fire Protection Code (Article 14).
11. Delaware Occupational Safety & Health Act.
12. International Building Code (IBC).

1.4 DEFINITIONS

A. FACP: Fire Alarm Control Panel.

B. HLI: High Level Interface.

C. NICET: National Institute for Certification in Engineering Technologies.

1.5 ACTION SUBMITTALS

A. Product Data: For each type of product, including furnished options and accessories.

1. Include construction details, material descriptions, dimensions, profiles, and finishes.
2. Include rated capacities, operating characteristics, and electrical characteristics.

B. Shop Drawings: For fire-alarm system.

1. Comply with recommendations and requirements in the "Documentation" section of the "Fundamentals" chapter in NFPA 72.
2. Include plans, elevations, sections, details, and attachments to other work.
3. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and locations. Indicate conductor sizes, indicate termination locations and requirements, and distinguish between factory and field wiring.
4. Detail assembly and support requirements.
5. Include voltage drop calculations for notification-appliance circuits.
6. Include battery-size calculations.
7. Include input/output matrix.
8. Include statement from manufacturer that all equipment and components have been tested as a system and meet all requirements in this Specification and in NFPA 72.
9. Include performance parameters and installation details for each detector.
10. Verify that each duct detector is listed for complete range of air velocity, temperature, and humidity possible when air-handling system is operating.
11. Include plans, sections, and elevations of heating, ventilating, and air-conditioning ducts, drawn to scale; coordinate location of duct smoke detectors and access to them.

    a. Show critical dimensions that relate to placement and support of sampling tubes, detector housing, and remote status and alarm indicators.
    b. Locate detectors according to manufacturer's written recommendations.

12. Include voice/alarm signaling-service equipment rack or console layout, grounding schematic, amplifier power calculation, and single-line connection diagram.
13. Include floor plans to indicate final outlet locations showing address of each addressable device. Show size and route of cable and conduits and point-to-point wiring diagrams.
14. Submit wiring diagrams for all equipment connected to fire alarm system. Examples are monitoring of hood extinguishing systems, sprinkler systems, HVAC control, damper control, elevator recall and elevator power shunt trip of the elevator system control.

C. General Submittal Requirements:

1. Submittals shall be approved by authorities having jurisdiction prior to submitting them to Architect.

    a. Secure permits and approvals prior to installation.
    b. Prior to commencement and after completion of work, notify Authorities Having Jurisdiction.
    c. Submit letter of approval for installation before requesting acceptance of system.

2. Shop Drawings shall be prepared by persons with the following qualifications:

    a. Trained and certified by manufacturer in fire-alarm system design.
    b. NICET-certified, fire-alarm technician; Level III minimum.
    c. Licensed or certified by authorities having jurisdiction.

1.6 INFORMATIONAL SUBMITTALS

A. Qualification Data: For Installer.

B. Seismic Qualification Certificates: For fire-alarm control unit, accessories, and components, from manufacturer.

1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.

C. Field quality-control reports.

1.7 WARRANTY

A. For materials and workmanship for a period of two years from final system acceptance.

1.8 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For fire-alarm systems and components to include in emergency, operation, and maintenance manuals.

1. In addition to items specified in Division 01 "Operation and Maintenance Data," include the following and deliver copies to authorities having jurisdiction:

    a. Comply with the "Records" section of the "Inspection, Testing and Maintenance" chapter in NFPA 72.
    b. Provide "Fire Alarm and Emergency Communications System Record of Completion Documents" according to the "Completion Documents" Article in the "Documentation" section of the "Fundamentals" chapter in NFPA 72.
    c. Complete wiring diagrams showing connections between all devices and equipment. Each conductor shall be numbered at every junction point with indication of origination and termination points.
    d. Riser diagram.
    e. Device addresses.
    f. Record copy of site-specific software.
    g. Provide "Inspection and Testing Form" according to the "Inspection, Testing and Maintenance" chapter in NFPA 72, and include the following:

1) Equipment tested.
2) Frequency of testing of installed components.
3) Frequency of inspection of installed components.
4) Requirements and recommendations related to results of maintenance.
5) Manufacturer's user training manuals.

h. Manufacturer's required maintenance related to system warranty requirements.
i. Abbreviated operating instructions for mounting at fire-alarm control unit and each annunciator unit.

B. Software and Firmware Operational Documentation:

1. Software operating and upgrade manuals.
2. Program Software Backup: On magnetic media or compact disk, complete with data files. A total of two copies shall be provided; one read only type and one writeable type.
3. Device address list.
4. Printout of software application and graphic screens.
5. All passcode information required to make alternations to the Fire Alarm Control Panel.

1.9 MAINTENANCE MATERIAL SUBMITTALS

A. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

1. Lamps for Remote Indicating Lamp Units: Quantity equal to 10 percent of amount installed, but no fewer than one unit.
2. Smoke Detectors, Fire Detectors: Quantity equal to 10 percent of amount of each type installed, but no fewer than one unit of each type.
3. Detector Bases: Quantity equal to two percent of amount of each type installed, but no fewer than one unit of each type.
4. Keys and Tools: One extra set for access to locked or tamperproofed components.
5. Audible and Visual Notification Appliances: One of each type installed.
6. Fuses: Two of each type installed in the system. Provide in a box or cabinet with compartments marked with fuse types and sizes.

1.10 QUALITY ASSURANCE

A. Installer Qualifications: Personnel shall be trained and certified by manufacturer for installation of units required for this Project.

B. Installer Qualifications: Installation shall be by personnel certified by NICET as fire-alarm Level III technician.

C. NFPA Certification: Obtain certification according to NFPA 72 by a UL-listed alarm company.

1.11 PROJECT CONDITIONS

A. Use of Devices during Construction: Protect devices during construction unless devices are placed in service to protect the facility during construction.

1.12 WARRANTY

A. Special Warranty: Manufacturer agrees to repair or replace fire-alarm system equipment and components that fail in materials or workmanship within specified warranty period.

1. Warranty Extent: All equipment and components not covered in the Maintenance Service Agreement.
2. Warranty Period: Five years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

A. Source Limitations for Fire-Alarm System and Components: Components shall be compatible with, and operate as one system. Provide system manufacturer's certification that all components provided have been tested as, and will operate as, a system.

B. Noncoded, UL-certified addressable system, with multiplexed signal transmission and horn/strobe evacuation.

C. Automatic sensitivity control of certain smoke detectors.

D. All components provided shall be listed for use with the selected system.

E. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

2.2 SYSTEMS OPERATIONAL DESCRIPTION

A. Fire-alarm signal initiation shall be by one or more of the following devices and systems:

1. Manual stations.
2. Heat detectors.
3. Smoke detectors.
4. Automatic sprinkler system water flow.
5. Fire-extinguishing system operation.
6. Dry system pressure flow switch.

B. Fire-alarm signal shall initiate the following actions:

1. Continuously operate alarm notification appliances, including voice evacuation notices.
2. Identify alarm and specific initiating device at fire-alarm control unit, connected network control panels, and remote annunciators.

3. Transmit an alarm signal to the remote alarm receiving station.
4. Unlock electric door locks in designated egress paths.
5. Release fire and smoke doors held open by magnetic door holders.
6. Activate voice/alarm communication system.
7. Switch heating, ventilating, and air-conditioning equipment controls to fire-alarm mode.
8. Close smoke dampers in air ducts of designated air-conditioning duct systems.
9. Activate emergency shutoffs for gas and fuel supplies.
10. Record events in the system memory.
11. Indicate device in alarm on the graphic annunciator.

C. Supervisory signal initiation shall be by one or more of the following devices and actions:

1. Valve supervisory switch.
2. High- or low-air-pressure switch of a dry-pipe or preaction sprinkler system.
3. Duct smoke detectors.
4. Independent fire-detection and -suppression systems.
5. User disabling of zones or individual devices.
6. Loss of communication with any panel on the network.

D. System trouble signal initiation shall be by one or more of the following devices and actions:

1. Open circuits, shorts, and grounds in designated circuits.
2. Opening, tampering with, or removing alarm-initiating and supervisory signal-initiating devices.
3. Loss of communication with any addressable sensor, input module, relay, control module, remote annunciator, printer interface, or Ethernet module.
4. Loss of primary power at fire-alarm control unit.
5. Ground or a single break in internal circuits of fire-alarm control unit.
6. Abnormal ac voltage at fire-alarm control unit.
7. Break in standby battery circuitry.
8. Failure of battery charging.
9. Abnormal position of any switch at fire-alarm control unit or annunciator.
10. Voice signal amplifier failure.

E. System Supervisory Signal Actions:

1. Identify specific device initiating the event at fire-alarm control unit, connected network control panels, and remote annunciators.
2. After a time delay of 200 seconds, transmit a trouble or supervisory signal to the remote alarm receiving station.
3. Transmit system status to building management system.
4. Display system status on graphic annunciator.

2.3 PERFORMANCE REQUIREMENTS

A. Seismic Performance: Fire-alarm control unit and raceways shall withstand the effects of earthquake motions determined according to ASCE/SEI 7.

1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified and the unit will be fully operational after the seismic event."

2.4 SYSTEM SMOKE DETECTORS

A. General Requirements for System Smoke Detectors:

1. Comply with UL 268; operating at 24-V dc, nominal.
2. Detectors shall be two-wire type.
3. Integral Addressable Module: Arranged to communicate detector status (normal, alarm, or trouble) to fire-alarm control unit.
4. Base Mounting: Detector and associated electronic components shall be mounted in a twist-lock module that connects to a fixed base. Provide terminals in the fixed base for connection to building wiring.
5. Self-Restoring: Detectors do not require resetting or readjustment after actuation to restore them to normal operation.
6. Integral Visual-Indicating Light: LED type, indicating detector has operated and power-on status.
7. Remote Control: Unless otherwise indicated, detectors shall be digital-addressable type, individually monitored at fire-alarm control unit for calibration, sensitivity, and alarm condition and individually adjustable for sensitivity by fire-alarm control unit.

    a. Multiple levels of detection sensitivity for each sensor.
    b. Sensitivity levels based on time of day.

B. Duct Smoke Detectors: Photoelectric type complying with UL 268A.

1. Detector address shall be accessible from fire-alarm control unit and shall be able to identify the detector's location within the system and its sensitivity setting.
2. An operator at fire-alarm control unit, having the designated access level, shall be able to manually access the following for each detector:

    a. Primary status.
    b. Device type.
    c. Present average value.
    d. Present sensitivity selected.
    e. Sensor range (normal, dirty, etc.).

3. Weatherproof Duct Housing Enclosure: NEMA 250, Type 4X; NRTL listed for use with the supplied detector for smoke detection in HVAC system ducts.
4. Each sensor shall have multiple levels of detection sensitivity.
5. Sampling Tubes: Design and dimensions as recommended by manufacturer for specific duct size, air velocity, and installation conditions where applied.
6. Relay fan shutdown: fully programmable relay related to interrupt fan motor-control circuit.

2.5 CARBON MONOXIDE DETECTORS

A. Provide carbon monoxide detector listed for connection to fire-alarm system.

1. Mounting: Adapter plate for outlet box mounting.
2. Testable by introducing test carbon monoxide into the sensing cell.
3. Detector shall provide alarm contacts and trouble contacts.
4. Detector shall send trouble alarm when nearing end-of-life, power supply problems, or internal faults.
5. Comply with UL 2075.
6. Locate, mount, and wire according to manufacturer's written instructions.
7. Provide means for addressable connection to fire-alarm system.
8. Test button simulates an alarm condition

2.6 ADDRESSABLE INTERFACE DEVICE

A. General:

1. Include address-setting means on the module.
2. Store an internal identifying code for control panel use to identify the module type.
3. Listed for controlling HVAC fan motor controllers.

B. Monitor Module: Microelectronic module providing a system address for alarm-initiating devices for wired applications with normally open contacts. Provide for all sprinkler flow and tamper switches, and as required.

C. Integral Relay: Capable of providing a direct signal to elevator controller to initiate elevator recall, to circuit-breaker shunt trip for power shutdown, etc.

1. Allow the control panel to switch the relay contacts on command.
2. Have a minimum of two normally open and two normally closed contacts available for field wiring.

D. Control Module:

1. Operate notification devices.
2. Operate solenoids for use in sprinkler service.
3. Mute sound system(s).
4. Unlock security doors.

2.7 REMOTE TEST/INDICATING STATION

A. Provide keyed test switch with LED indicating light for each duct detector. Locate 12” below accessible ceiling.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine areas and conditions for compliance with requirements for ventilation, temperature, humidity, and other conditions affecting performance of the Work.

1. Verify that manufacturer's written instructions for environmental conditions have been permanently established in spaces where equipment and wiring are installed, before installation begins.

B. Examine roughing-in for electrical connections to verify actual locations of connections before installation.

C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 EQUIPMENT INSTALLATION

A. Comply with NFPA 72, NFPA 101, and requirements of authorities having jurisdiction for installation and testing of fire-alarm equipment. Install all electrical wiring to comply with requirements in NFPA 70 including, but not limited to, Article 760, "Fire Alarm Systems."

1. Devices placed in service before all other trades have completed cleanup shall be replaced.
2. Devices installed but not yet placed in service shall be protected from construction dust, debris, dirt, moisture, and damage according to manufacturer's written storage instructions.

B. Install wall-mounted equipment, with tops of cabinets not more than 78 inches (1980 mm) above the finished floor.

C. Smoke- or Heat-Detector Spacing:

1. Comply with the "Smoke-Sensing Fire Detectors" section in the "Initiating Devices" chapter in NFPA 72, for smoke-detector spacing.
2. Comply with the "Heat-Sensing Fire Detectors" section in the "Initiating Devices" chapter in NFPA 72, for heat-detector spacing.
3. Smooth ceiling spacing shall not exceed 30 feet (9 m).
4. Spacing of detectors for irregular areas, for irregular ceiling construction, and for high ceiling areas shall be determined according to Annex A in NFPA 72.
5. HVAC: Locate detectors not closer than 36 inches (910 mm) from air-supply diffuser or return-air opening.
6. Lighting Fixtures: Locate detectors not closer than 12 inches (300 mm) from any part of a lighting fixture and not directly above pendant mounted or indirect lighting.

D. Install a cover on each smoke detector that is not placed in service during construction. Cover shall remain in place except during system testing. Remove cover prior to system turnover.

E. Duct Smoke Detectors: Comply with NFPA 72 and NFPA 90A. Install sampling tubes so they extend the full width of duct. Tubes more than 36 inches (9100 mm) long shall be supported at both ends.

F. Remote Status and Alarm Indicators: Install in a visible location near each smoke detector, sprinkler water-flow switch, and valve-tamper switch that is not readily visible from normal viewing position.

G. Device Location-Indicating Lights: Locate in public space near the device they monitor.

3.3 PATHWAYS

A. Exposed pathways located less than 96 inches (2440 mm) above the floor shall be installed in EMT.

B. Pathways shall be installed in EMT.

C. Exposed EMT shall be painted red enamel.

3.4 CONNECTIONS

A. Make addressable connections with a supervised interface device to the following devices and systems. Install the interface device less than 36 inches (910 mm) from the device controlled. Make an addressable confirmation connection when such feedback is available at the device or system being controlled.

1. Smoke dampers in air ducts of designated HVAC duct systems.
2. Magnetically held-open doors.
3. Electronically locked doors and access gates.
4. Alarm-initiating connection to activate emergency shutoffs for gas and fuel supplies.
5. Supervisory connections at valve supervisory switches.
6. Supervisory connections at low-air-pressure switch of each dry-pipe sprinkler system.
7. Data communication circuits for connection to building management system.

B. Each addressable analog loop shall be circuited as shown on the drawings but device loading is not to exceed 80% of loop capacity in order to leave space for future devices.

C. Where it is necessary to interface conventional initiating devices, provide intelligent input modules to supervise Class B zone wiring.

3.5 IDENTIFICATION

A. Identify system components, wiring, cabling, and terminals. Comply with requirements for identification specified in Section 26 0553 "Identification for Electrical Systems."

B. Install framed instructions in a location visible from fire-alarm control unit.

3.6 GROUNDING

A. Ground fire-alarm control unit and associated circuits; comply with IEEE 1100. Install a ground wire from main service ground to fire-alarm control unit.

B. Ground shielded cables at the control panel location only. Insulate shield at device location.

3.7 FIELD QUALITY CONTROL

A. Field tests shall be witnessed by authorities having jurisdiction.

B. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.

C. Perform tests and inspections.

D. Perform the following tests and inspections with the assistance of a factory-authorized service representative:

1. Visual Inspection: Conduct visual inspection prior to testing.

    a. Inspection shall be based on completed record Drawings and system documentation that is required by the "Completion Documents, Preparation" table in the "Documentation" section of the "Fundamentals" chapter in NFPA 72.
    b. Comply with the "Visual Inspection Frequencies" table in the "Inspection" section of the "Inspection, Testing and Maintenance" chapter in NFPA 72; retain the "Initial/Reacceptance" column and list only the installed components.

2. System Testing: Comply with the "Test Methods" table in the "Testing" section of the "Inspection, Testing and Maintenance" chapter in NFPA 72.
3. Test audible appliances for the public operating mode according to manufacturer's written instructions. Perform the test using a portable sound-level meter complying with Type 2 requirements in ANSI S1.4.
4. Test audible appliances for the private operating mode according to manufacturer's written instructions.
5. Test visible appliances for the public operating mode according to manufacturer's written instructions.
6. Factory-authorized service representative shall prepare the "Fire Alarm System Record of Completion" in the "Documentation" section of the "Fundamentals" chapter in NFPA 72 and the "Inspection and Testing Form" in the "Records" section of the "Inspection, Testing and Maintenance" chapter in NFPA 72.

E. Reacceptance Testing: Perform reacceptance testing to verify the proper operation of added or replaced devices and appliances.

F. Fire-alarm system will be considered defective if it does not pass tests and inspections.

G. Prepare test and inspection reports.

H. Maintenance Test and Inspection: Perform tests and inspections listed for weekly, monthly, quarterly, and semiannual periods. Use forms developed for initial tests and inspections.

I. Annual Test and Inspection: One year after date of Substantial Completion, test fire-alarm system complying with visual and testing inspection requirements in NFPA 72. Use forms developed for initial tests and inspections.

3.8 MAINTENANCE SERVICE

A. Initial Maintenance Service: Beginning at Substantial Completion, maintenance service shall include 12 months' full maintenance by skilled employees of manufacturer's designated service organization. Include preventive maintenance, repair or replacement of worn or defective components, lubrication, cleaning, and adjusting as required for proper operation. Parts and supplies shall be manufacturer's authorized replacement parts and supplies.

1. Include visual inspections according to the "Visual Inspection Frequencies" table in the "Testing" paragraph of the "Inspection, Testing and Maintenance" chapter in NFPA 72.
2. Perform tests in the "Test Methods" table in the "Testing" paragraph of the "Inspection, Testing and Maintenance" chapter in NFPA 72.
3. Perform tests per the "Testing Frequencies" table in the "Testing" paragraph of the "Inspection, Testing and Maintenance" chapter in NFPA 72.

3.9 SOFTWARE SERVICE AGREEMENT

A. Comply with UL 864.

B. Technical Support: Beginning at Substantial Completion, service agreement shall include software support for two years.

C. Upgrade Service: At Substantial Completion, update software to latest version. Install and program software upgrades that become available within two years from date of Substantial Completion. Upgrading software shall include operating system and new or revised licenses for using software.

1. Upgrade Notice: At least 30 days to allow Owner to schedule access to system and to upgrade computer equipment if necessary.

3.10 DEMONSTRATION

A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain fire-alarm system.

END OF SECTION