#### REQUEST FOR PROPOSALS FOR PROFESSIONAL SERVICES LEGAL SERVICES FOR MANUFACTURED HOME OWNERS ISSUED BY DEPARTMENT OF JUSTICE CONTRACT NUMBER LGL20101-MHLS

# Contents:

- I. Overview
- II. Scope of Services
- III. Required Information
- IV. Professional Services RFP Administrative Information
- V. Contract Terms and Conditions
- VI. RFP Miscellaneous Information
- VII. Attachments

Appendix A - MINIMUM MANDATORY SUBMISSION REQUIREMENTS

Appendix B - SCOPE OF WORK AND TECHNICAL REQUIREMENTS

# \*\* Ctrl+Click on the headings above will take you directly to the section.

# I. Overview

The State of Delaware Department of Justice seeks professional services to provide legal services to manufactured home owners in disputes with manufactured home community owners pursuant to Section 7046 of Title 25 of the Delaware Code. This request for proposals ("RFP") is issued pursuant to 29 *Del. C.* §§ <u>6981 and 6982</u>.

The proposed schedule of events subject to the RFP is outlined below:

Public Notice	Date: June 10, 2020
Deadline for Questions	Date: June 19, 2020
Response to Questions Posted by:	Date: July 2, 2020
Deadline for Receipt of Proposals	Date: July 19, 2020 at 1:00 PM (Local Time)
Estimated Notification of Award	Date: September 1, 2020

Each proposal must be accompanied by a transmittal letter which briefly summarizes the proposing firm's interest in providing the required professional services. The transmittal letter must also clearly state and justify any exceptions to the requirements of the RFP which the applicant may have taken in presenting the proposal. (Applicant exceptions must also be recorded on Attachment 3).

The State of Delaware reserves the right to deny any and all exceptions taken to the RFP requirements.

# MANDATORY PREBID MEETING

A mandatory pre-bid meeting has not been established for this Request for Proposal.

#### II. Scope of Services

Pursuant to Section 7046 of Title 25 of the Delaware Code, the Delaware Department of Justice ("DOJ") is required to enter into a contract with a person to provide legal representation and advocacy to manufactured home owners ("home owners") in disputes with the manufactured home community owners ("community owners"). DOJ anticipates that it will contract with one lawyer, law firm, or legal services organization<sup>1</sup> to provide these services but reserves the right to award multiple contracts if such award is in the best interests of the State. The complete Scope of Services and Technical Requirements can be found in Appendix B.

#### III. Required Information

The following information shall be provided in each proposal in the order listed below. Failure to respond to any request for information within this proposal may result in rejection of the proposal at the sole discretion of the State.

#### A. Minimum Requirements

- 1. Provide Delaware license(s) and/or certification(s) necessary to perform services as identified in the scope of work.
- **2.** Vendor shall provide responses to the Request for Proposal (RFP) scope of work and clearly identify capabilities as presented in the General Evaluation Requirements below.
- 3. Complete all appropriate attachments and forms as identified within the RFP.
- **4.** Proof of insurance and amount of insurance shall be furnished to the Agency prior to the start of the contract period and shall be no less than as identified in the bid solicitation, Section V, Item 8, subsection g (insurance).

# **B.** General Evaluation Requirements

- **1.** Demonstrated ability to perform the services described in the Scope of Services (Appendix B)
- 2. Proposed work plan, soundness of approach, and understanding of the needs of home owners in manufactured home communities
- **3.** Experience and reputation in handling legal matters involving manufactured housing law, landlord-tenant law, and other areas of law likely to be implicated in disputes

<sup>&</sup>lt;sup>1</sup> All references to "law firm" or "firm" herein include law firms, attorneys, legal services agencies, and other organizations capable of providing the services described in this document

between manufactured home owners and community owners, including (a) number of representations involving the foregoing areas; (b) number of cases litigated in the foregoing subject matter areas; and (c) number of arbitrations in the foregoing subject matter areas.

4. Pricing

#### 4. Pricing Professional Services RFP Administrative Information

#### A. RFP Issuance

#### 1. Public Notice

Public notice has been provided in accordance with 29 Del. C. §6981.

#### 2. Obtaining Copies of the RFP

This RFP is available in electronic form through the State of Delaware Procurement website at <u>www.bids.delaware.gov</u>. Paper copies of this RFP will not be available.

#### 3. Assistance to Vendors with a Disability

Vendors with a disability may receive accommodation regarding the means of communicating this RFP or participating in the procurement process. For more information, contact the Designated Contact no later than ten days prior to the deadline for receipt of proposals.

#### 4. RFP Designated Contact

All requests, questions, or other communications about this RFP shall be made in writing to the State of Delaware. Address all communications to the person listed below; communications made to other State of Delaware personnel or attempting to ask questions by phone or in person will not be allowed or recognized as valid and may disqualify the vendor. Vendors should rely only on written statements issued by the RFP designated contact.

Regina S. Schoenberg Deputy Director of Consumer Protection Deputy Attorney General Delaware Department of Justice 820 N. French Street, 5th Floor Wilmington, Delaware 19801

Email: gina.schoenberg@delaware.gov

To ensure that written requests are received and answered in a timely manner, electronic mail (e-mail) correspondence is acceptable, but other forms of delivery, such as postal and courier services can also be used.

#### 5. Consultants and Legal Counsel

The State of Delaware may retain consultants or legal counsel to assist in the review and evaluation of this RFP and the vendors' responses. Bidders shall not contact the State's consultant or legal counsel on any matter related to the RFP.

#### 6. Contact with State Employees

Direct contact with State of Delaware employees other than the State of Delaware Designated Contact regarding this RFP is expressly prohibited without prior consent.

Vendors directly contacting State of Delaware employees risk elimination of their proposal from further consideration. Exceptions exist only for organizations currently doing business in the State who require contact in the normal course of doing that business.

# 7. Organizations Ineligible to Bid

Any individual, business, organization, corporation, consortium, partnership, joint venture, or any other entity including subcontractors currently debarred or suspended is ineligible to bid. Any entity ineligible to conduct business in the State of Delaware for any reason is ineligible to respond to the RFP.

# 8. Exclusions

The Proposal Evaluation Team reserves the right to refuse to consider any proposal from a vendor who:

- **a.** Has been convicted for commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract, or in the performance of the contract or subcontract:
- **b.** Has been convicted under State or Federal statutes of embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or other offense indicating a lack of business integrity or business honesty that currently and seriously affects responsibility as a State contractor:
- **c.** Has been convicted or has had a civil judgment entered for a violation under State or Federal antitrust statutes:
- **d.** Has violated contract provisions such as;
  - 1) Known failure without good cause to perform in accordance with the specifications or within the time limit provided in the contract; or
  - 2) Failure to perform or unsatisfactory performance in accordance with terms of one or more contracts;
- e. Has violated ethical standards set out in law or regulation; and
- **f.** Any other cause listed in regulations of the State of Delaware determined to be serious and compelling as to affect responsibility as a State contractor, including suspension or debarment by another governmental entity for a cause listed in the regulations.

# B. RFP Submissions

# 1. Acknowledgement of Understanding of Terms

By submitting a bid, each vendor shall be deemed to acknowledge that it has carefully read all sections of this RFP, including all forms, schedules and exhibits hereto, and has fully informed itself as to all existing conditions and limitations.

# 2. Proposals

To be considered, all proposals must be submitted in writing and respond to the items outlined in this RFP. The State reserves the right to reject any non-responsive or non-conforming proposals. Each proposal must be submitted with 5 paper copies and 1 electronic copy transmitted by email or on USB memory drive.

All properly sealed and marked proposals are to be sent to the State of Delaware and received no later than **1:00 PM (Local Time)** on July 19, 2020. The Proposals may be delivered by Express Delivery (e.g., FedEx, UPS, etc.), US Mail, or by hand to:

Regina S. Schoenberg Deputy Director of Consumer Protection Delaware Department of Justice 820 N. French Street, 5th Floor Wilmington, Delaware 19801

# Vendors are directed to clearly print "BID ENCLOSED" and "CONTRACT NO. LGL20101-MHLS" on the outside of the bid submission package.

Any proposal received after the Deadline for Receipt of Proposals date shall not be considered and shall be returned unopened. The proposing vendor bears the risk of delays in delivery and any costs for returned proposals. The contents of any proposal shall not be disclosed as to be made available to competing entities during the negotiation process.

Upon receipt of vendor proposals, each vendor shall be presumed to be thoroughly familiar with all specifications and requirements of this RFP. The failure or omission to examine any form, instrument or document shall in no way relieve vendors from any obligation in respect to this RFP.

#### 3. Proposal Modifications

Any changes, amendments or modifications to a proposal must be made in writing, submitted in the same manner as the original response and conspicuously labeled as a change, amendment or modification to a previously submitted proposal. Changes, amendments or modifications to proposals shall not be accepted or considered after the hour and date specified as the deadline for submission of proposals.

#### 4. Proposal Costs and Expenses

The State of Delaware will not pay any costs incurred by any Vendor associated with any aspect of responding to this solicitation, including proposal preparation, printing or delivery, attendance at vendor's conference, system demonstrations or negotiation process.

#### 5. Proposal Expiration Date

Prices quoted in the proposal shall remain fixed and binding on the bidder at least through September 1, 2021. The State of Delaware reserves the right to ask for an extension of time if needed.

#### 6. Late Proposals

Proposals received after the specified date and time will not be accepted or considered. To guard against premature opening, sealed proposals shall be submitted, plainly marked with the proposal title, vendor name, and time and date of the proposal opening. Evaluation of the proposals is expected to begin shortly after the proposal due date. To document compliance with the deadline, the proposal will be date and time stamped upon receipt.

#### 7. Proposal Opening

The State of Delaware will receive proposals until the date and time shown in this RFP. Proposals will be opened in the presence of State of Delaware personnel. Any unopened proposals will be returned to the submitting Vendor.

There will be no public opening of proposals but a public log will be kept of the names of all vendor organizations that submitted proposals.

# 8. Non-Conforming Proposals

Non-conforming proposals will not be considered. Non-conforming proposals are defined as those that do not meet the substantive requirements of this RFP. The determination of whether an RFP requirement is substantive or a mere formality shall reside solely within the State of Delaware.

#### 9. Concise Proposals

The State of Delaware discourages overly lengthy and costly proposals. It is the desire that proposals be prepared in a straightforward and concise manner. Unnecessarily elaborate brochures or other promotional materials beyond those sufficient to present a complete and effective proposal are not desired. The State of Delaware's interest is in the quality and responsiveness of the proposal.

#### **10. Realistic Proposals**

It is the expectation of the State of Delaware that vendors can fully satisfy the obligations of the proposal in the manner and timeframe defined within the proposal. Proposals must be realistic and must represent the best estimate of time, materials and other costs including the impact of inflation and any economic or other factors that are reasonably predictable.

The State of Delaware shall bear no responsibility or increase obligation for a vendor's failure to accurately estimate the costs or resources required to meet the obligations defined in the proposal.

# **11. Confidentiality of Documents**

The State of Delaware and its constituent agencies are required to comply with the State of Delaware Freedom of Information Act, <u>29 Del. C. § 10001, et seq. ("FOIA")</u>. FOIA requires that the State of Delaware's records are public records (unless otherwise declared by FOIA or other law to be exempt from disclosure) and are subject to inspection and copying by any person upon a written request. Once a proposal is received by the State of Delaware, the content of selected and non-selected vendor proposals are subject to FOIA's public disclosure obligations.

The State of Delaware wishes to create a business-friendly environment and procurement process. As such, the State respects the vendor community's desire to protect its intellectual property, trade secrets, and confidential business information (collectively referred to herein as "confidential business information"). Proposals must contain sufficient information to be evaluated. If a vendor feels that they cannot submit their proposal without including confidential business information, they must adhere to the following procedure or their proposal may be deemed unresponsive, may not be recommended for selection, and any applicable protection for the vendor's confidential business information may be lost.

In order to allow the State to assess its ability to protect a vendor's confidential business information, vendors will be permitted to designate appropriate portions of their proposal as confidential business information. Marking the entire proposal as confidential will not be accepted.

Vendor(s) may submit portions of a proposal considered to be confidential business information in a separate, sealed envelope labeled "Confidential Business Information" and include the specific RFP number. The envelope must contain a letter from the Vendor's legal counsel describing the documents in the envelope, representing in good faith that the information in each document is not "public record" as defined by 29 *Del. C.* § 10002, and briefly stating the reasons that each document meets the said definitions.

Upon receipt of a proposal accompanied by such a separate, sealed envelope, the State of Delaware will open the envelope to determine whether the procedure described above has been followed. A vendor's allegation as to its confidential business information shall not be binding on the State. The State shall independently determine the validity of any vendor designation as set forth in this section. Any vendor submitting a proposal or using the procedures discussed herein expressly accepts the State's absolute right and duty to independently assess the legal and factual validity of any information designated as confidential business information. Accordingly, Vendor(s) assume the risk that confidential business information included within a proposal may enter the public domain.

#### 12. INTENTIONALLY OMITTED

#### 13. INTENTIONALLY OMITTED.

#### 14. Sub-Contracting

The vendor selected shall be solely responsible for contractual performance and management of all subcontract relationships. This contract allows subcontracting assignments; however, vendors assume all responsibility for work quality, delivery, installation, maintenance, and any supporting services required by a subcontractor.

Use of subcontractors must be clearly explained in the proposal, and subcontractors must be identified by name. Any subcontractors must be approved by State of Delaware.

#### 15. Discrepancies and Omissions

Vendor is fully responsible for the completeness and accuracy of their proposal, and for examining this RFP and all addenda. Failure to do so will be at the sole risk of vendor. Should vendor find discrepancies, omissions, unclear or ambiguous intent or meaning, or should any questions arise concerning this RFP, vendor shall notify the State of Delaware's Designated Contact, in writing, of such findings at least ten (10) days before the proposal opening. This will allow issuance of any necessary addenda. It will also help prevent the opening of a defective proposal and exposure of vendor's proposal upon which award could not be made. All unresolved issues should be addressed in the proposal.

Protests based on any omission or error, or on the content of the solicitation, will be disallowed if these faults have not been brought to the attention of the Designated Contact, in writing, at least ten (10) calendar days prior to the time set for opening of the proposals.

#### a. RFP Question and Answer Process

The State of Delaware will allow written requests for clarification of the RFP. All questions shall be received no later than **June 19, 2020**. All questions will be consolidated into a single set of responses and posted on the State's website at <u>www.bids.delaware.gov</u> by the date of **July 2, 2020**. Vendor names will be removed from questions in the responses released. Questions should be submitted in the following format. Deviations from this format will not be accepted.

Section number

Paragraph number

Page number

Text of passage being questioned

Questions not submitted electronically shall be accompanied by a CD and questions shall be formatted in Microsoft Word.

# 16. State's Right to Reject Proposals

The State of Delaware reserves the right to accept or reject any or all proposals or any part of any proposal, to waive defects, technicalities or any specifications (whether they be in the State of Delaware's specifications or vendor's response), to sit and act as sole judge of the merit and qualifications of each product offered, or to solicit new proposals on the same project or on a modified project which may include portions of the originally proposed project as the State of Delaware may deem necessary in the best interest of the State of Delaware.

# 17. State's Right to Cancel Solicitation

The State of Delaware reserves the right to cancel this solicitation at any time during the procurement process, for any reason or for no reason. The State of Delaware makes no commitments expressed or implied, that this process will result in a business transaction with any vendor.

This RFP does not constitute an offer by the State of Delaware. Vendor's participation in this process may result in the State of Delaware selecting your organization to engage in further discussions and negotiations toward execution of a contract. The commencement of such negotiations does not, however, signify a commitment by the State of Delaware to execute a contract nor to continue negotiations. The State of Delaware may terminate negotiations at any time and for any reason, or for no reason.

# 18. State's Right to Award Multiple Source Contracting

Pursuant to 29 *Del. C.* <u>§ 6986</u>, the State of Delaware may award a contract for a particular professional service to two or more vendors if the Department head makes a determination that such an award is in the best interest of the State of Delaware.

#### **19. Potential Contract Overlap**

Vendors shall be advised that the State, at its sole discretion, shall retain the right to solicit for goods and/or services as required by its agencies and as it serves the best interest of the State. As needs are identified, there may exist instances where contract deliverables, and/or goods or services to be solicited and subsequently awarded, overlap previous awards. The State reserves the right to reject any or all bids in whole or in part, to make partial awards, to award to multiple vendors during the same period, to award by types, on a zone-by-zone basis or on an item-by-item or lump sum basis item by item, or lump sum total, whichever may be most advantageous to the State of Delaware.

#### 20. Supplemental Solicitation

The State reserves the right to advertise a supplemental solicitation during the term of the Agreement if deemed in the best interest of the State.

#### 21. Notification of Withdrawal of Proposal

Vendor may modify or withdraw its proposal by written request, provided that both proposal and request is received by the State of Delaware prior to the proposal due date. Proposals may be re-submitted in accordance with the proposal due date in order to be considered further.

Proposals become the property of the State of Delaware at the proposal submission deadline. All proposals received are considered firm offers at that time.

#### 22. Revisions to the RFP

If it becomes necessary to revise any part of the RFP, an addendum will be posted on the State of Delaware's website at <u>www.bids.delaware.gov</u>. The State of Delaware is not bound by any statement related to this RFP made by any State of Delaware employee, contractor or its agents.

#### 23. Exceptions to the RFP

Any exceptions to the RFP, or the State of Delaware's terms and conditions, must be recorded on Attachment 3. Acceptance of exceptions is within the sole discretion of the evaluation committee.

#### 24. INTENTIONALLY OMITTED

#### **25. Award of Contract**

The final award of a contract is subject to approval by the State of Delaware (Department of Justice). The State of Delaware has the sole right to select the successful vendor(s) for award, to reject any proposal as unsatisfactory or non-responsive, to award a contract to other than the lowest priced proposal, to award multiple contracts, or not to award a contract, as a result of this RFP.

Notice in writing to a vendor of the acceptance of its proposal by the State of Delaware and the subsequent full execution of a written contract will constitute a contract, and no vendor will acquire any legal or equitable rights or privileges until the occurrence of both such events.

#### a. RFP Award Notifications

After reviews of the evaluation committee report and its recommendation, and once the contract terms and conditions have been finalized, the State of Delaware will award the contract.

The contract shall be awarded to the vendor whose proposal is most advantageous, taking into consideration the evaluation factors set forth in the RFP.

It should be explicitly noted that the State of Delaware is not obligated to award the contract to the vendor who submits the lowest bid or the vendor who receives the highest total point score, rather the contract will be awarded to the vendor whose proposal is the most advantageous to the State of Delaware. The award is subject to the appropriate State of Delaware approvals.

After a final selection is made, the winning vendor will be invited to negotiate a contract with the State of Delaware; remaining vendors will be notified in writing of their selection status.

#### 26. Cooperatives

Vendors, who have been awarded similar contracts through a competitive bidding process with a cooperative, are welcome to submit the cooperative pricing for this solicitation. **State of Delaware terms will take precedence.** 

# C. RFP Evaluation Process

An evaluation team composed of representatives of the State of Delaware will evaluate proposals on a variety of quantitative criteria. Neither the lowest price nor highest scoring proposal will necessarily be selected.

The State of Delaware reserves full discretion to determine the competence and responsibility, professionally and/or financially, of vendors. Vendors are to provide in a timely manner any and all information that the State of Delaware may deem necessary to make a decision.

#### 1. Proposal Evaluation Team

The Proposal Evaluation Team shall be comprised of representatives of the State of Delaware. The Team shall determine which vendors meet the minimum requirements pursuant to selection criteria of the RFP and procedures established in 29 *Del. C.* §§ <u>6981 and 6982</u>. Professional services for this solicitation are considered under 29 *Del. C.* §6982(b). The Team may negotiate with one or more vendors during the same period and may, at its discretion, terminate negotiations with any or all vendors. The Team shall make a recommendation regarding the award to the Director of Consumer Protection for the State of Delaware, who shall have final authority, subject to the provisions of this RFP and 29 *Del. C.* § <u>6982(b)</u>, to award a contract to the successful vendor in the best interests of the State of Delaware.

# 2. Proposal Selection Criteria

The Proposal Evaluation Team shall assign up to the maximum number of points for each Evaluation Item to each of the proposing vendor's proposals. All assignments of points shall be at the sole discretion of the Proposal Evaluation Team.

The proposals shall contain the essential information on which the award decision shall be made. The information required to be submitted in response to this RFP has

been determined by the State of Delaware to be essential for use by the Team in the bid evaluation and award process. Therefore, all instructions contained in this RFP shall be met in order to qualify as a responsive and responsible contractor and participate in the Proposal Evaluation Team's consideration for award. Proposals which do not meet or comply with the instructions of this RFP may be considered non-conforming and deemed non-responsive and subject to disqualification at the sole discretion of the Team.

The Team reserves the right to:

- Select for contract or for negotiations a proposal other than that with lowest costs.
- Reject any and all proposals or portions of proposals received in response to this RFP or to make no award or issue a new RFP.
- Waive or modify any information, irregularity, or inconsistency in proposals received.
- Request modification to proposals from any or all vendors during the contract review and negotiation.
- Negotiate any aspect of the proposal with any vendor and negotiate with more than one vendor at the same time.
- Select more than one vendor pursuant to 29 *Del. C.* §6986. Such selection will be based on the following criteria:

#### Criteria Weight

All proposals shall be evaluated using the same criteria and scoring process. The following criteria shall be used by the Evaluation Team to evaluate proposals:

Criteria	Weight
Demonstrated ability to perform the services described in the Scope of Services (App'x B)	30
Proposed work plan, soundness of approach, and understanding of the needs of home owners in manufactured home communities	30
Experience and reputation in handling legal matters involving manufactured housing law, landlord-tenant law, and other areas of law likely to be implicated in disputes between manufactured home owners and community owners, including (a) number of representations involving the foregoing areas; (b) number of cases litigated in the foregoing subject matter areas; and (c) number of arbitrations in the foregoing subject matter areas	30
Pricing	10
Total	100%

Vendors are encouraged to review the evaluation criteria and to provide a response that addresses each of the scored items. Evaluators will not be able to make assumptions about a vendor's capabilities so the responding vendor should be detailed in their proposal responses.

# 3. Proposal Clarification

The Evaluation Team may contact any vendor in order to clarify uncertainties or eliminate confusion concerning the contents of a proposal. Proposals may not be modified as a result of any such clarification request.

# 4. INTENTIONALLY OMITTED

# 5. Oral Presentations

After initial scoring and a determination that vendor(s) are qualified to perform the required services, selected vendors may be invited to make oral presentations to the Evaluation Team. All vendor(s) selected will be given an opportunity to present to the Evaluation Team.

The selected vendors will have their presentations scored or ranked based on their ability to successfully meet the needs of the contract requirements, successfully demonstrate their product and/or service, and respond to questions about the solution capabilities.

The vendor representative(s) attending the oral presentation shall be technically qualified to respond to questions related to the proposed system and its components. All of the vendor's costs associated with participation in oral discussions and system demonstrations conducted for the State of Delaware are the vendor's responsibility.

# **IV. Contract Terms and Conditions**

# 1. Contract Use by Other Agencies

**REF:** Title 29, Chapter <u>6904</u>(e) Delaware Code. If no state contract exists for a certain good or service, covered agencies may procure that certain good or service under another agency's contract so long as the arrangement is agreeable to all parties. Agencies, other than covered agencies, may also procure such goods or services under another agency's contract when the arrangement is agreeable to all parties.

# 2. Cooperative Use of Award

As a publicly competed contract awarded in compliance with 29 *Del. C.* ch. 69, this contract is available for use by other states and/or governmental entities through a participating addendum. Interested parties should contact the State Contract Procurement Officer identified in the contract for instruction. Final approval for permitting participation in this contract resides with the Director of Government Support Services and in no way places any obligation upon the awarded vendor(s).

# 3. INTENTIONALLY OMITTED

# 4. General Information

- **a.** The term of the contract between the successful bidder and the State shall be for two (2) years with three (3) optional extensions for a period of two (2) years for each extension.
- **b.** The selected vendor will be required to enter into a written agreement with the State of Delaware. The State of Delaware reserves the right to incorporate standard State contractual provisions into any contract negotiated as a result of a proposal submitted in response to this RFP. Any proposed modifications to the terms and conditions of the standard contract are subject to review and approval by the State of Delaware. Vendors will be required to sign the contract for all services, and may be required to sign additional agreements.
- **c.** The selected vendor or vendors will be expected to enter negotiations with the State of Delaware, which will result in a formal contract between parties. Procurement will be in accordance with subsequent contracted agreement. This RFP and the selected vendor's response to this RFP will be incorporated as part of any formal contract.
- **d.** The State of Delaware's standard contract may be supplemented with the vendor's software license, support/maintenance, source code escrow agreements, and any other applicable agreements. The terms and conditions of these agreements will be negotiated with the finalist during actual contract negotiations.
- e. The successful vendor shall promptly execute a contract incorporating the terms of this RFP within twenty (20) days after award of the contract. No vendor is to begin any service prior to receipt of a State of Delaware purchase order signed by two authorized representatives of the agency requesting service, properly processed through the State of Delaware Accounting Office and the Department of Finance. The purchase order shall serve as the authorization to proceed in accordance with the bid specifications and the special instructions, once it is received by the successful vendor.
- **f.** If the vendor to whom the award is made fails to enter into the agreement as herein provided, the award will be annulled, and an award may be made to another vendor. Such vendor shall fulfill every stipulation embraced herein as if they were the party to whom the first award was made.
- **g.** The State reserves the right to extend this contract on a month-to-month basis for a period of up to three months after the term of the full contract has been completed.
- **h.** Vendors are not restricted from offering lower pricing at any time during the contract term.

# 5. Collusion or Fraud

Any evidence of agreement or collusion among vendor(s) and prospective vendor(s) acting to illegally restrain freedom from competition by agreement to offer a fixed price, or otherwise, will render the offers of such vendor(s) void.

By responding, the vendor shall be deemed to have represented and warranted that its proposal is not made in connection with any competing vendor submitting a separate response to this RFP, and is in all respects fair and without collusion or fraud; that the vendor did not participate in the RFP development process and had no knowledge of the specific contents of the RFP prior to its issuance; and that no employee or official of the State of Delaware participated directly or indirectly in the vendor's proposal preparation.

Advance knowledge of information which gives any particular vendor advantages over any other interested vendor(s), in advance of the opening of proposals, whether in response to advertising or an employee or representative thereof, will potentially void that particular proposal.

#### 6. Lobbying and Gratuities

Lobbying or providing gratuities shall be strictly prohibited. Vendors found to be lobbying, providing gratuities to, or in any way attempting to influence a State of Delaware employee or agent of the State of Delaware concerning this RFP or the award of a contract resulting from this RFP shall have their proposal immediately rejected and shall be barred from further participation in this RFP.

The selected vendor will warrant that no person or selling agency has been employed or retained to solicit or secure a contract resulting from this RFP upon agreement or understanding for a commission, or a percentage, brokerage or contingent fee. For breach or violation of this warranty, the State of Delaware shall have the right to annul any contract resulting from this RFP without liability or at its discretion deduct from the contract price or otherwise recover the full amount of such commission, percentage, brokerage or contingent fee.

All contact with State of Delaware employees, contractors or agents of the State of Delaware concerning this RFP shall be conducted in strict accordance with the manner, forum and conditions set forth in this RFP.

# 7. Solicitation of State Employees

Until contract award, vendors shall not, directly or indirectly, solicit any employee of the State of Delaware to leave the State of Delaware's employ in order to accept employment with the vendor, its affiliates, actual or prospective contractors, or any person acting in concert with vendor, without prior written approval of the State of Delaware's contracting officer. Solicitation of State of Delaware employees by a vendor may result in rejection of the vendor's proposal.

This paragraph does not prevent the employment by a vendor of a State of Delaware employee who has initiated contact with the vendor. However, State of Delaware employees may be legally prohibited from accepting employment with the contractor or subcontractor under certain circumstances. Vendors may not knowingly employ a person who cannot legally accept employment under state or federal law. If a vendor discovers that they have done so, they must terminate that employment immediately.

# 8. General Contract Terms

# a. Independent Contractors

The parties to the contract shall be independent contractors to one another, and nothing herein shall be deemed to cause this agreement to create an agency, partnership, joint venture or employment relationship between parties. Each party shall be responsible for compliance with all applicable workers compensation, unemployment, disability insurance, social security withholding and all other similar matters. Neither party shall be liable for any debts, accounts, obligations or other liability whatsoever of the other party or any other obligation of the other party to pay on the behalf of its employees or to withhold from any compensation paid to such employees any social benefits, workers compensation insurance premiums or any income or other similar taxes.

# b. INTENTIONALLY OMITTED

#### c. INTENTIONALLY OMITTED

#### d. Licenses and Permits

In performance of the contract, the vendor will be required to comply with all applicable federal, state and local laws, ordinances, codes, and regulations, including the Delaware Lawyers' Rules of Professional Conduct, as amended. The cost of permits and other relevant costs required in the performance of the contract shall be borne by the successful vendor. The vendor shall be properly licensed and authorized to transact business in the State of Delaware as provided in 30 *Del. C*. § 2502.

Prior to receiving an award, the successful vendor shall either furnish the State of Delaware with proof of State of Delaware Business Licensure or initiate the process of application where required. An application may be requested in writing to: Division of Revenue, Carvel State Building, P.O. Box 8750, 820 N. French Street, Wilmington, DE 19899 or by telephone to one of the following numbers: (302) 577-8200—Public Service, (302) 577-8205—Licensing Department.

Information regarding the award of the contract will be given to the Division of Revenue. Failure to comply with the State of Delaware licensing requirements may subject vendor to applicable fines and/or interest penalties.

#### e. Notice

Any notice to the State of Delaware required under the contract shall be sent by registered mail to:

Regina S. Schoenberg Deputy Director of Consumer Protection Deputy Attorney General Delaware Department of Justice 820 N. French Street, 5th Floor Wilmington, Delaware 19801

#### f. Indemnification

# 1. General Indemnification

By submitting a proposal, the proposing vendor agrees that in the event it is awarded a contract, it will indemnify and otherwise hold harmless the State of Delaware, its agents and employees from any and all liability, suits, actions, or claims, together with all costs, expenses for attorney's fees, arising out of the vendor's, its agents and employees' performance work or services in connection with the contract.

# 2. Proprietary Rights Indemnification

Vendor shall warrant that all elements of its solution, including all equipment, software, documentation, services and deliverables, do not and will not infringe upon or violate any patent, copyright, trade secret or other proprietary rights of any third party. In the event of any claim, suit or action by any third party against the State of Delaware, the State of Delaware shall promptly notify the

vendor in writing and vendor shall defend such claim, suit or action at vendor's expense, and vendor shall indemnify the State of Delaware against any loss, cost, damage, expense or liability arising out of such claim, suit or action (including, without limitation, litigation costs, lost employee time, and counsel fees) whether or not such claim, suit or action is successful.

If any equipment, software, services (including methods) products or other intellectual property used or furnished by the vendor (collectively ""Products") is or in vendor's reasonable judgment is likely to be, held to constitute an infringing product, vendor shall at its expense and option either:

- **a.** Procure the right for the State of Delaware to continue using the Product(s);
- **b.** Replace the product with a non-infringing equivalent that satisfies all the requirements of the contract; or
- **c.** Modify the Product(s) to make it or them non-infringing, provided that the modification does not materially alter the functionality or efficacy of the product or cause the Product(s) or any part of the work to fail to conform to the requirements of the Contract, or only alters the Product(s) to a degree that the State of Delaware agrees to and accepts in writing.

# g. Insurance

- 1. Vendor recognizes that it is operating as an independent contractor and that it is liable for any and all losses, penalties, damages, expenses, attorney's fees, judgments, and/or settlements incurred by reason of injury to or death of any and all persons, or injury to any and all property, of any nature, arising out of the vendor's negligent performance under this contract, and particularly without limiting the foregoing, caused by, resulting from, or arising out of any act of omission on the part of the vendor in their negligent performance under this contract.
- 2. The vendor shall maintain such insurance as will protect against claims under Worker's Compensation Act and from any other claims for damages for personal injury, including death, which may arise from operations under this contract. The vendor is an independent contractor and is not an employee of the State of Delaware.
- **3.** As a part of the contract requirements, the contractor must obtain at its own cost and expense and keep in force and effect during the term of this contract, including all extensions, the minimum coverage limits specified below with a carrier satisfactory to the State. All contractors must carry the following coverage depending on the type of service or product being delivered.
  - a. Worker's Compensation and Employer's Liability Insurance in accordance with applicable law.
  - b. Commercial General Liability \$1,000,000 per occurrence/\$3,000,000 per aggregate.
  - c. Automotive Liability Insurance covering all automotive units used in the work (including all units leased from and/or provided by the State to Vendor pursuant to this Agreement as well as all units used by Vendor, regardless of the identity of the registered owner, used by Vendor for

completing the Work required by this Agreement to include but not limited to transporting Delaware clients or staff), providing coverage on a primary non-contributory basis with limits of not less than:

- 1. \$1,000,000 combined single limit each accident, for bodily injury;
- 2. \$250,000 for property damage to others;
- 3. \$25,000 per person per accident Uninsured/Underinsured Motorists coverage;
- 4. \$25,000 per person, \$300,000 per accident Personal Injury Protection (PIP) benefits as provided for in 21 *Del. C.* §2118; and
- 5. Comprehensive coverage for all leased vehicles, which shall cover the replacement cost of the vehicle in the event of collision, damage or other loss.

The successful vendor must carry at least one of the following depending on the scope of work being performed.

- a. Medical/Professional Liability \$1,000,000 per occurrence/\$3,000,000 per aggregate
- b. Miscellaneous Errors and Omissions \$1,000,000 per occurrence/\$3,000,000 per aggregate
- c. Product Liability \$1,000,000 per occurrence/\$3,000,000 aggregate

Should any of the above described policies be cancelled before expiration date thereof, notice will be delivered in accordance with the policy provisions.

Before any work is done pursuant to this Agreement, the Certificate of Insurance and/or copies of the insurance policies, referencing the contract number stated herein, shall be filed with the State. The certificate holder is as follows:

> Department of Justice Contract No: **LGL20101-MHLS** c/o Regina S. Schoenberg 820 N. French St., 5<sup>th</sup> Floor Wilmington, DE 19801

Nothing contained herein shall restrict or limit the Vendor's right to procure insurance coverage in amounts higher than those required by this Agreement. To the extent that the Vendor procures insurance coverage in amounts higher than the amounts required by this Agreement, all said

additionally procured coverages will be applicable to any loss or claim and shall replace the insurance obligations contained herein.

To the extent that Vendor has complied with the terms of this Agreement and has procured insurance coverage for all vehicles Leased and/or operated by Vendor as part of this Agreement, the State of Delaware's self-insured insurance program shall not provide any coverage whether coverage is sought as primary, co-primary, excess or umbrella insurer or coverage for any loss of any nature.

In no event shall the State of Delaware be named as an additional insured on any policy required under this agreement.

- **4.** The vendor shall provide a Certificate of Insurance (COI) as proof that the vendor has the required insurance. The COI shall be provided to agency contact prior to any work being completed by the awarded vendor(s).
- 5. The State of Delaware shall not be named as an additional insured.
- **6.** Should any of the above described policies be cancelled before expiration date thereof, notice will be delivered in accordance with the policy provisions.

#### h. Performance Requirements

The selected Vendor will warrant that it possesses, or has arranged through subcontractors, all capital and other equipment, labor, materials, and licenses necessary to carry out and complete the work hereunder in compliance with any and all Federal and State laws, and County and local ordinances, regulations and codes.

#### i. BID BOND

There is no Bid Bond Requirement.

# j. PERFORMANCE BOND

There is no Performance Bond requirement.

#### k. INTENTIONALLY OMITTED

#### I. INTENTIONALLY OMITTED

#### m. Costs and Payment Schedules

All contract costs must be as detailed specifically in the Vendor's cost proposal. No charges other than as specified in the proposal shall be allowed without written consent of the State of Delaware. The proposal costs shall include full compensation for all taxes that the selected vendor is required to pay.

#### n. INTENTIONALLY OMITTED

# o. Dispute Resolution

At the option of the parties, they shall attempt in good faith to resolve any dispute arising out of or relating to this Agreement promptly by negotiation between executives who have authority to settle the controversy and who are at a higher

level of management than the persons with direct responsibility for administration of this Agreement. All offers, promises, conduct and statements, whether oral or written, made in the course of the negotiation by any of the parties, their agents, employees, experts and attorneys are confidential, privileged and inadmissible for any purpose, including impeachment, in arbitration or other proceeding involving the parties, provided evidence that is otherwise admissible or discoverable shall not be rendered inadmissible.

If the matter is not resolved by negotiation, as outlined above, or, alternatively, Department of Justice elects to proceed directly to mediation, then the matter will proceed to mediation as set forth below. Any disputes, claims or controversies arising out of or relating to this Agreement shall be submitted to mediation by a mediator selected by the parties. If the matter is not resolved through mediation, it may be submitted for arbitration or litigation. Department of Justice reserves the right to proceed directly to arbitration or litigation without negotiation or mediation. Any such proceedings held pursuant to this provision shall be governed by Delaware law and venue shall be in Delaware. Each party shall bear its own costs of mediation, arbitration or litigation, including attorneys' fees.

# p. Remedies

Except as otherwise provided in this solicitation, including but not limited to Section 8.0 above, all claims, counterclaims, disputes, and other matters in question between the State of Delaware and the Contractor arising out of, or relating to, this solicitation, or a breach of it may be decided by arbitration if the parties mutually agree, or in a court of competent jurisdiction within the State of Delaware.

# q. Termination of Contract

The contract resulting from this RFP may be terminated as follows by Department of Justice.

# 1. Termination for Cause

If, for any reasons, or through any cause, the Vendor fails to fulfill in timely and proper manner its obligations under this Contract, or if the Vendor violates any of the covenants, agreements, or stipulations of this Contract, the State shall thereupon have the right to terminate this contract by giving written notice to the Vendor of such termination and specifying the effective date thereof, at least thirty (30) days before the effective date of such termination.

On receipt of the contract cancellation notice from the State, the Vendor shall have no less than five (5) days to provide a written response and may identify a method(s) to resolve the violation(s). A vendor response shall not affect or prevent the contract cancellation unless the State provides a written acceptance of the vendor response. If the State does accept the Vendor's method and/or action plan to correct the identified deficiencies, the State will define the time by which the Vendor must fulfill its corrective obligations. Final retraction of the State's termination for cause will only occur after the Vendor successfully rectifies the original violation(s). At its discretion the State may reject in writing the Vendor's proposed action plan and proceed with the original contract cancellation timeline.

# 2. Termination for Convenience

The State may terminate this Contract at any time by giving written notice of such termination and specifying the effective date thereof, at least twenty (20) days before the effective date of such termination. In that event, all finished or unfinished documents, data, studies, surveys, drawings, models, photographs, reports, supplies, and other materials shall, at the option of the State, become its property and the Vendor shall be entitled to receive compensation for any satisfactory work completed on such documents and other materials, and which is usable to the State.

# 3. Termination for Non-Appropriations

In the event the General Assembly eliminates the Delaware Manufactured Home Owner Attorney Fund ("the Fund") or otherwise reduces or eliminates the funds available under the Fund, the agreement shall be terminated as to any obligation of the State requiring the expenditure of money for which no funds are available in the Fund. This is not a termination for convenience and will not be converted to such.

# r. Non-discrimination

In performing the services subject to this RFP the vendor, as set forth in Title 19 Delaware Code Chapter 7 section 711, will agree that it will not discriminate against any employee or applicant with respect to compensation, terms, conditions or privileges of employment because of such individual's race, marital status, genetic information, color, age, religion, sex, sexual orientation, gender identity, or national origin. The successful vendor shall comply with all federal and state laws, regulations and policies pertaining to the prevention of discriminatory employment practice. Failure to perform under this provision constitutes a material breach of contract.

# s. Covenant against Contingent Fees

The successful vendor will warrant that no person or selling agency has been employed or retained to solicit or secure this contract upon an agreement of understanding for a commission or percentage, brokerage or contingent fee excepting bona-fide employees, bona-fide established commercial or selling agencies maintained by the Vendor for the purpose of securing business. For breach or violation of this warranty the State of Delaware shall have the right to annul the contract without liability or at its discretion to deduct from the contract price or otherwise recover the full amount of such commission, percentage, brokerage or contingent fee.

# t. Vendor Activity

No activity is to be executed in an off shore facility, either by a subcontracted firm or a foreign office or division of the vendor. The vendor must attest to the fact that no activity will take place outside of the United States in its transmittal letter. Failure to adhere to this requirement is cause for elimination from future consideration.

# u. Vendor Responsibility

The State will enter into a contract with the successful Vendor(s). The successful Vendor(s) shall be responsible for all products and services as required by this RFP whether or not the Vendor or its subcontractor provided final fulfillment of the

order. Subcontractors, if any, shall be clearly identified in the Vendor's proposal by completing Attachment 6, and are subject the approval and acceptance of Department of Justice.

# v. Personnel, Equipment and Services

- **1.** The Vendor represents that it has, or will secure at its own expense, all personnel required to perform the services required under this contract.
- 2. All of the equipment and services required hereunder shall be provided by or performed by the Vendor or under its direct supervision, and all personnel, including subcontractors, engaged in the work shall be fully qualified and shall be authorized under State and local law to perform such services.
- **3.** None of the equipment and/or services covered by this contract shall be subcontracted without the prior written approval of the State. Only those subcontractors identified in Attachment 6 are considered approved upon award. Changes to those subcontractor(s) listed in Attachment 6 must be approved in writing by the State.

# w. Fair Background Check Practices

Pursuant to 29 *Del. C.* <u>§6909B</u>, the State does not consider the criminal record, criminal history, credit history or credit score of an applicant for state employment during the initial application process unless otherwise required by state and/or federal law. Vendors doing business with the State are encouraged to adopt fair background check practices. Vendors can refer to 19 *Del. C.* <u>§711(g)</u> for applicable established provisions.

# x. Vendor Background Check Requirements

Vendor(s) selected for an award that access state property or come in contact with vulnerable populations, including children and youth, shall be required to complete background checks on employees serving the State's on premises contracts. Unless otherwise directed, at a minimum, this shall include a check of the following registry:

 Delaware Sex Offender Central Registry at: <u>https://sexoffender.dsp.delaware.gov/</u>

Individuals that are listed in the registry shall be prevented from direct contact in the service of an awarded state contract, but may provide support or off-site premises service for contract vendors. Should an individual be identified and the Vendor(s) believes their employee's service does not represent a conflict with this requirement, may apply for a waiver to the primary agency listed in the solicitation. The Agency's decision to allow or deny access to any individual identified on a registry database is final and at the Agency's sole discretion.

By Agency request, the Vendor(s) shall provide a list of all employees serving an awarded contract, and certify adherence to the background check requirement. Individual(s) found in the central registry in violation of the terms stated, shall be immediately prevented from a return to state property in service of a contract award. A violation of this condition represents a violation of the contract terms and conditions, and may subject the Vendor to penalty, including contract cancellation for cause.

Individual contracts may require additional background checks and/or security clearance(s), depending on the nature of the services to be provided or locations accessed, but any other requirements shall be stated in the contract scope of work or be a matter of common law. The Vendor(s) shall be responsible for the background check requirements of any authorized Subcontractor providing service to the Agency's contract.

# y. INTENTIONALLY OMITTED

# z. INTENTIONALLY OMITTED

# aa. Contract Documents

The RFP, the purchase order, the executed contract and any supplemental documents between the State of Delaware and the successful vendor shall constitute the contract between the State of Delaware and the vendor. In the event there is any discrepancy between any of these contract documents, the following order of documents governs so that the former prevails over the latter: contract, State of Delaware's RFP, Vendor's response to the RFP and purchase order. No other documents shall be considered. These documents will constitute the entire agreement between the State of Delaware and the vendor.

# bb. Applicable Law

The laws of the State of Delaware shall apply, except where Federal Law has precedence. The successful vendor consents to jurisdiction and venue in the State of Delaware.

In submitting a proposal, Vendors certify that they comply with all federal, state and local laws applicable to its activities and obligations including:

- 1. the laws of the State of Delaware;
- 2. the applicable portion of the Federal Civil Rights Act of 1964;
- **3.** the Equal Employment Opportunity Act and the regulations issued there under by the federal government;
- **4.** a condition that the proposal submitted was independently arrived at, without collusion, under penalty of perjury; and
- **5.** that programs, services, and activities provided to the general public under resulting contract conform with the Americans with Disabilities Act of 1990, and the regulations issued there under by the federal government.

If any vendor fails to comply with (1) through (5) of this paragraph, the State of Delaware reserves the right to disregard the proposal, terminate the contract, or consider the vendor in default.

The selected vendor shall keep itself fully informed of and shall observe and comply with all applicable existing Federal and State laws, and County and local ordinances, regulations and codes, and those laws, ordinances, regulations, and codes adopted during its performance of the work, including the Delaware Lawyers' Rules of Professional Conduct, as amended.

# cc. Severability

If any term or provision of this Agreement is found by a court of competent jurisdiction to be invalid, illegal or otherwise unenforceable, the same shall not affect the other terms or provisions hereof or the whole of this Agreement, but such term or provision shall be deemed modified to the extent necessary in the court's opinion to render such term or provision enforceable, and the rights and obligations of the parties shall be construed and enforced accordingly, preserving to the fullest permissible extent the intent and agreements of the parties herein set forth.

#### dd. Assignment Of Antitrust Claims

As consideration for the award and execution of this contract by the State, the Vendor hereby grants, conveys, sells, assigns, and transfers to the State of Delaware all of its right, title and interest in and to all known or unknown causes of action it presently has or may now or hereafter acquire under the antitrust laws of the United States and the State of Delaware, regarding the specific goods or services purchased or acquired for the State pursuant to this contract. Upon either the State's or the Vendor notice of the filing of or reasonable likelihood of filing of an action under the antitrust laws of the United States or the State and Vendor shall meet and confer about coordination of representation in such action.

#### ee. Scope of Agreement

If the scope of any provision of the contract is determined to be too broad in any respect whatsoever to permit enforcement to its full extent, then such provision shall be enforced to the maximum extent permitted by law, and the parties hereto consent and agree that such scope may be judicially modified accordingly and that the whole of such provisions of the contract shall not thereby fail, but the scope of such provisions shall be curtailed only to the extent necessary to conform to the law.

# ff. Affirmation

The Vendor must affirm that within the past five (5) years the firm or any officer, controlling stockholder, partner, principal, or other person substantially involved in the contracting activities of the business is not currently suspended or debarred and is not a successor, subsidiary, or affiliate of a suspended or debarred business.

# gg. Audit Access to Records

The Vendor shall maintain books, records, documents, and other evidence pertaining to this Contract to the extent and in such detail as shall adequately reflect performance hereunder. The Vendor agrees to preserve and make available to the State, upon request, such records for a period of five (5) years from the date services were rendered by the Vendor. Records involving matters in litigation shall be retained for one (1) year following the termination of such litigation. The Vendor agrees to make such records available for inspection, audit, or reproduction to any official State representative in the performance of their duties under the Contract. Upon notice given to the Vendor, representatives of the State or other duly authorized State or Federal agency may inspect, monitor, and/or evaluate the cost and billing records or other material relative to this Contract. The cost of any Contract audit disallowances resulting from the examination of the Vendor's financial records will be borne by the Vendor. Reimbursement to the State for disallowances shall be drawn from the Vendor's

own resources and not charged to Contract cost or cost pools indirectly charging Contract costs.

# hh. INTENTIONALLY OMITTED

# ii. Other General Conditions

- 1. Current Version "Packaged" application and system software shall be the most current version generally available as of the date of the physical installation of the software.
- 2. Current Manufacture Equipment specified and/or furnished under this specification shall be standard products of manufacturers regularly engaged in the production of such equipment and shall be the manufacturer's latest design. All material and equipment offered shall be new and unused.
- **3. Volumes and Quantities** Activity volume estimates and other quantities have been reviewed for accuracy; however, they may be subject to change prior or subsequent to award of the contract.
- 4. Prior Use The State of Delaware reserves the right to use equipment and material furnished under this proposal prior to final acceptance. Such use shall not constitute acceptance of the work or any part thereof by the State of Delaware.
- 5. Status Reporting The selected vendor will be required to lead and/or participate in status meetings and submit status reports covering such items as progress of work being performed, milestones attained, resources expended, problems encountered and corrective action taken, until final system acceptance.
- 6. **Regulations** All equipment, software and services must meet all applicable local, State and Federal regulations in effect on the date of the contract.
- **7. Assignment** Any resulting contract shall not be assigned except by express prior written consent from the Agency.
- 8. Changes No alterations in any terms, conditions, delivery, price, quality, or specifications of items ordered will be effective without the written consent of the State of Delaware.
- **9. Billing** The successful vendor is required to "Bill as Shipped" to the respective ordering agency(s). Ordering agencies shall provide contract number, ship to and bill to address, contact name and phone number.
- 10. Payment The State reserves the right to pay by Automated Clearing House (ACH), Purchase Card (P-Card), or check. The agencies will authorize and process for payment of each invoice within thirty (30) days after the date of receipt of a correct invoice. Vendors are invited to offer in their proposal value added discounts (i.e. speed to pay discounts for specific payment terms). Cash or separate discounts should be computed and incorporated as invoiced.
- **11. W-9** The State of Delaware requires completion of the <u>Delaware Substitute</u> Form W-9 through the Supplier Public Portal at <u>https://esupplier.erp.delaware.gov</u> to make payments to vendors. Successful completion of this form enables the creation of a State of Delaware vendor record.
- **12. Purchase Orders** Agencies that are part of the First State Financial (FSF) system are required to identify the contract number **LGL20101-MHLS** on all Purchase Orders (P.O.) and shall complete the same when entering P.O. information in the state's financial reporting system.

- 13. Purchase Card The State of Delaware intends to maximize the use of the P-Card for payment for goods and services provided under contract. Vendors shall not charge additional fees for acceptance of this payment method and shall incorporate any costs into their proposals. Additionally there shall be no minimum or maximum limits on any P-Card transaction under the contract.
- **14. Additional Terms and Conditions** The State of Delaware reserves the right to add terms and conditions during the contract negotiations.

# V. RFP Miscellaneous Information

#### 1. No Press Releases or Public Disclosure

The State of Delaware reserves the right to pre-approve any news or broadcast advertising releases concerning this solicitation, the resulting contract, the work performed, or any reference to the State of Delaware with regard to any project or contract performance. Any such news or advertising releases pertaining to this solicitation or resulting contract shall require the prior express written permission of the State of Delaware.

The State will not prohibit or otherwise prevent the awarded vendor(s) from direct marketing to the State of Delaware agencies, departments, municipalities, and/or any other political subdivisions, however, the Vendor shall not use the State's seal or imply preference for the solution or goods provided.

#### 2. Definitions of Requirements

To prevent any confusion about identifying requirements in this RFP, the following definition is offered: The words *shall*, will and/or *must* are used to designate a mandatory requirement. Vendors must respond to all mandatory requirements presented in the RFP. Failure to respond to a mandatory requirement may cause the disqualification of your proposal.

#### 3. Production Environment Requirements

The State of Delaware requires that all hardware, system software products, and application software products included in proposals be currently in use in a production environment by a least three other customers, have been in use for at least six months, and have been generally available from the manufacturers for a period of six months. Unreleased or beta test hardware, system software, or application software will not be acceptable.

#### **VI. Attachments**

The following attachments and appendixes shall be considered part of the solicitation:

- Attachment 1 No Proposal Reply Form
- Attachment 2 Non-Collusion Statement
- Attachment 3 Exceptions
- Attachment 4 Confidentiality and Proprietary Information
- Attachment 5 **INTENTIONALLY OMITTED**

- Attachment 6 Subcontractor Information Form
- Attachment 7 Monthly Usage Report
- Attachment 8 Subcontracting (2<sup>nd</sup> Tier Spend) Report
- Attachment 9 Office of Supplier Diversity Application
- Appendix A Minimum Response Requirements
- Appendix B Scope of Work / Technical Requirements

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# **IMPORTANT – PLEASE NOTE**

# • Attachments 2, 3, and 4 <u>must</u> be included in your proposal

- Attachment 6 must be included in your proposal if subcontractors will be involved
- Attachments 7 and 8 represent required reporting on the part of awarded vendors. Those bidders receiving an award will be provided with active spreadsheets for reporting.

# REQUIRED REPORTING

One of the primary goals in administering this contract is to keep accurate records regarding its actual value/usage. This information is essential in order to update the contents of the contract and to establish proper bonding levels if they are required. The integrity of future contracts revolves around our ability to convey accurate and realistic information to all interested parties.

A complete and accurate Usage Report (Attachment 7) shall be furnished and submitted electronically, no later than the 30th (or next business day after the 30th day) of January and July, detailing the purchasing of all items and/or services on this contract. The reports shall be completed semi-annually using the template provided and submitted as an attachment to Regina S. Schoenberg, gina.schoenberg@delaware.gov, with a copy going to the contract officer identified as your point of contact. Submitted reports shall cover the full preceding six months (Report due by July 30<sup>th</sup> will cover the period of January 1 through June 30.), contain accurate descriptions of the products, goods or services procured, purchasing agency information, quantities procured and prices paid. Reports are required quarterly, including the possible cancellation of the award. Failure to provide the report with the minimum required information may also negate any contract extension clauses. Additionally, Vendors who are determined to be in default of this mandatory report requirement may have such conduct considered against them, in assessment of responsibility, in the evaluation of future proposals.

In accordance with Executive Order 44, the State of Delaware is committed to supporting its diverse business industry and population. The successful Vendor will be required to accurately report on the participation by Diversity Suppliers which includes: minority (MBE), woman (WBE), veteran owned business (VOBE), or service disabled veteran owned business (SDVOBE) under this awarded contract. The reported data elements shall include but not be limited to; name of state contract/project, the name of the Diversity Supplier, Diversity Supplier contact information (phone, email), type of product or service provided by the Diversity Supplier and any minority, women, veteran, or service disabled veteran certifications for the subcontractor (State OSD certification, Minority Supplier Development Council, Women's Business Enterprise Council, VetBiz.gov). The format used for Subcontracting 2<sup>nd</sup> Tier report is shown as in Attachment 8.

Accurate 2nd tier reports shall be submitted to the contracting Agency's Office of Supplier Diversity at <u>vendorusage@delaware.gov</u> on the 15<sup>th</sup> (or next business day) of the month following each quarterly period. For consistency quarters shall be considered to end the last day of March, June, September and December of each calendar year. Contract spend during the covered periods shall result in a report even if the contract has expired by the report due date.

# Attachment 1

#### NO PROPOSAL REPLY FORM

Contract No. LGL20101-MHLS	Contract Title: I	_egal	Services	for
	Manufactured H	lome Owne	rs	

To assist us in obtaining good competition on our Request for Proposals, we ask that each firm that has received the Request For Proposals, but does not wish to bid, state their reason(s) below and return in a clearly marked envelope displaying the contract number. This information will not preclude receipt of future invitations unless you request removal from the Vendor's List by so indicating below, or do not return this form or bona fide proposal.

Unfortunately, we must offer a "No Proposal" at this time because:

- 1. We do not wish to participate in the proposal process.
  - 2. We do not wish to bid under the terms and conditions of the Request for Proposal document. Our objections are:
- 3. We do not feel we can be competitive.
  - 4. We cannot submit a Proposal because of the marketing or franchising policies of the manufacturing company.
- 5. We do not wish to sell to the State. Our objections are:
- 6. We do not sell the items/services on which Proposals are requested.

7. Other:\_\_\_\_\_

FIRM NAM	1E	SIGNATURE
	We wish to remain on the Vendor's Lis	st for these goods or services.
	We wish to be deleted from the Vendo	or's List <b>for these goods or services</b> .

#### PLEASE FORWARD NO PROPOSAL REPLY FORM TO THE CONTRACT OFFICER IDENTIFIED.

#### CONTRACT NO.: LGL20101-MHLS CONTRACT TITLE: Legal Services for Manufactured Home Owners DEADLINE TO RESPOND: July 19, 2020 at 1:00 PM (Local Time)

#### NON-COLLUSION STATEMENT

This is to certify that the undersigned Vendor has neither directly nor indirectly, entered into any agreement, participated in any collusion or otherwise taken any action in restraint of free competitive bidding in connection with this proposal, and further certifies that it is not a subcontractor to another Vendor who also submitted a proposal as a primary Vendor in response to this solicitation submitted this date to the State of Delaware, Department of Justice

It is agreed by the undersigned Vendor that the signed delivery of this bid represents, subject to any express exceptions set forth at Attachment 3, the Vendor's acceptance of the terms and conditions of this solicitation including all specifications and special provisions.

NOTE: Signature of the authorized representative MUST be of an individual who legally may enter his/her organization into a formal contract with the State of Delaware, Department of Justice.

		Corpor	auun
COMPANY NAME	Check one)	Partner	rship
NAME OF AUTHORIZED R	EPRESENTATIVE	Individu	ual
(Please type			
	. , _		-
SIGNATURE	TITLE		_
COMPANY ADDRESS			-
PHONE NUMBER	FAX NUMBER		
			-
EMAIL ADDRESS			
	STATE OF DELAWARE		
FEDERAL E.I. NUMBER	LICENSE NUMBER		_
	Certification type(s)	Circle	all that
		apply	
	Minority Business Enterprise (MBE)	Yes	No
COMPANY	Woman Business Enterprise (WBE)	Yes	No
CLASSIFICATIONS:	Disadvantaged Business Enterprise (DBE)	Yes	No
CERT. NO	Veteran Owned Business Enterprise (VOBE)	Yes	No
CERT. INC	D.: Service Disabled Veteran Owned Business Enterprise (SDVOBE)	Yes	No
(COMPANY NAME) ADDRESS			-
CONTACT			_
PHONE NUMBER	FAX NUMBER		_
			_
	past five years, has your firm, any affiliate, any predecessor company or e roprietor been the subject of a Federal, State, Local government suspension		
YESNO	if yes, please explain		-
THIS PAGE SHALL HAVE	ORIGINAL SIGNATURE, BE NOTARIZED AND BE RETURNED WITH Y	OUR PROP	POSAL
SWORN TO AND SUBSCR	BED BEFORE ME this day of, 20		
Notary Public	My commission expires		_
City of	County of State of		_

Attachment 3

#### Contract No. **LGL20101-MHLS** Contract Title: Legal Services for Manufactured Home Owners

#### EXCEPTION FORM

Proposals must include all exceptions to the specifications, terms or conditions contained in this RFP. If the vendor is submitting the proposal without exceptions, please state so below.

By checking this box, the Vendor acknowledges that they take no exceptions to the specifications, terms or conditions found in this RFP.

Paragraph # and page #	Exceptions to Specifications, terms or conditions	Proposed Alternative

Note: Vendor may use additional pages as necessary, but the format shall be the same as provided above.

Attachment 4

#### Contract No. **LGL20101-MHLS** Contract Title: Legal Services for Manufactured Home Owners

# CONFIDENTIAL INFORMATION FORM

By checking this box, the Vendor acknowledges that they are not providing any information they declare to be confidential or proprietary for the purpose of production under 29 *Del. C.* ch. 100, Delaware Freedom of Information Act.

Confidentiality and Proprietary Information

Note: Vendor may use additional pages as necessary, but the format shall be the same as provided above.

# Attachment 6

# SUBCONTRACTOR INFORMATION FORM

PART I – STATEMENT BY PROPOSING VENDOR									
1. CONTRACT NO. ENTER CONTRACT NUMBER	2. Propo	osing Vendor Name:	3. Mailing Address						
4. SUBCONTRACTOR									
a. NAME	4c. Con	pany OSD Classification	on:						
	Certifica	tion Number:							
<ul> <li>b. Mailing Address:</li> <li>5. DESCRIPTION OF WORK BY SUB</li> </ul>	4d. Wor 4e. Min 4f. Disa 4g. Vete 4h. Ser Busines	4d. Women Business Enterprise       Yes         4e. Minority Business Enterprise       Yes         4f. Disadvantaged Business Enterprise       Yes         4g. Veteran Owned Business Enterprise       Yes         4h. Service Disabled Veteran Owned       Business Enterprise         Yes       Yes							
6a. NAME OF PERSON SIGNING	7. BY (Signature)	8. DATE	SIGNED						
6b. TITLE OF PERSON SIGNING									
PART II – ACKNOWLEDGEME	NT BY SUBCONTRA	CTOR							
9a. NAME OF PERSON SIGNING	10. BY (Signature)	11. DAT	E SIGNED						
9b. TITLE OF PERSON SIGNING									

\* Use a separate form for each subcontractor

# Attachment 7

# Contract No. LGL20101-MHLS

# Contract Title: Legal Services for Manufactured Home Owners

# **SEMI-ANNUAL REPORT**

# SAMPLE REPORT – FOR ILLUSTRATION PURPOSES ONLY

Contractor Name:\_\_\_\_\_ Contractor Phone:\_\_\_\_\_

REPORTING PERIOD:

- I. Hours spent assisting home owners under the contract during this reporting period:
- 1. Attorney hours:\_\_\_\_\_
- 2. Paralegal hours:
- 3. \*Awarded vendor may add categories to this section as it deems appropriate

#### II. Case work statistics during this reporting period.

- a. Overall Statistics:
  - 1. Intakes:2
  - 2. Initial consultations:<sup>3</sup>
  - 3. Cases<sup>4</sup> opened:
  - 4. Cases closed:
  - 5. Litigation:

Complaints filed/entries of appearance entered: Settlements: Trials:

- Appeals:
- b. Rent Justification Cases (25 Del. C. §§ 7050-7056):

<sup>&</sup>lt;sup>2</sup> An intake is herein defined as a contact from a home owner seeking assistance with a manufactured housing matter <sup>3</sup> An initial consultation is herein defined as communication with a potential client, after the intake, for the purpose of

gathering information from the potential client. It may include provision of limited legal advice or referral to another agency for matters outside the scope of this contract.

<sup>&</sup>lt;sup>4</sup> A case is herein defined as the provision of legal advice, an agreement to further investigate a matter to determine if representation is appropriate, or an agreement to represent a client in a matter. Any matter involving more than one hour of substantive legal work, including a matter wherein limited advice is provided, is considered a "case."

Arbitrations – Complete the table below. Include copies of arbitration decisions received during this reporting period

Community	Home Owners involved (at time of filing)	DEMHRA Docket Number	Date of Petition	Date of Arbitration	Result

Appeals – Complete the table below.	For appeals with decisions that are unavailable on
Westlaw, include copy of decision	

Case title and number	Prevailing Party in Arbitration	Date of Notice of Appeal	Date of Decision	Result	Westlaw citation if available

- c. Non-rent justification cases (provide number of cases opened and closed during this reporting period.)
  - 1. Eviction Defense
    - a. Number of cases opened:
    - b. Number of cases closed (by resolution type)
      - i. Settlement before trial:
      - ii. Single Judge Trial (include cases that proceeded to trial *de novo*):
        - 1. Eviction successfully prevented:
        - 2. Community Owner successful at trial:
        - 3. Settled at or after trial:
      - iii. Trial de novo
        - 1. Eviction successfully prevented:
        - 2. Community Owner successful at trial:
  - 2. Challenge to allegedly illegal lease provision or community rule:
    - a. Number of cases:
    - b. Number of households affected:
  - 3. Assist with formation of a home owners' association:
    - a. Number of HOAs formed:
    - b. Number of households involved:

- 4. Remedy failures of community owner to maintain community as required by relevant health and safety laws and regulations
  - a. Number of cases:
    - i. Resolved without litigation:
    - ii. Resolved with litigation:
  - b. Number of households affected:
- 5. Enforcing lease provisions to remedy community owner's breach of lease provisions:
  - a. Number of cases
    - i. Resolved without litigation:
    - ii. Resolved with litigation:
  - b. Number of households affected:
- 6. Other notable cases:
  - a. [NOTE: awarded vendor may add additional information about cases that it deems to be significant]

**Note:** A copy of this usage report will be sent by electronic mail to the Awarded Vendor. The report shall be submitted electronically and sent as an attachment to <u>gina.schoenberg@delaware.gov</u> and <u>consumer.protection@delaware.gov</u>.

# Attachment 8

#### SAMPLE REPORT - FOR ILLUSTRATION PURPOSES ONLY

State	State of Delaware														
Subo	Subcontracting (2nd tier) Quarterly Report														
Prime	Prime Name:						Report Sta	art Date:							
Contra	Contract Name/Number					Report En	d Date:								
Contac	ct Name						Today's D	ate:							
Contac	ct Phone	<b>)</b> :					*Minimum	Required	Request	ted detail	_				
Vendor Name*	Vendor TaxID*	Contract Name/ Number*	Vendor Contact Name*	Vendor Contact Phone*	Report Start Date*		Amount Paid to Subcontractor*	nount Paid to Performed by M/WBE Contifiing Contifiing		2nd tier Supplier Address	Supplier	Description of Work Performed	2nd tier Sup plier Tax Id		

**Note:** A copy of the Subcontracting Quarterly Report will be sent by electronic mail to the Awarded Vendor.

Completed reports shall be saved in an Excel format, and submitted to the following email address: <u>vendorusage@delaware.gov</u>

Attachment 9



# The Office of Supplier Diversity (OSD) has moved to the Division of Small Business (DSB)

Supplier Diversity Applications can be found here: <u>https://gss.omb.delaware.gov/osd/</u>

Completed Applications can be emailed to: OSD@Delaware.gov

For more information, please send an email to OSD: OSD@Delaware.gov or call 302-577-8477

Self-Register to receive business development information here: <a href="http://directory.osd.gss.omb.delaware.gov/self-registration.shtml">http://directory.osd.gss.omb.delaware.gov/self-registration.shtml</a>

New Address for OSD: Office of Supplier Diversity (OSD) State of Delaware Division of Small Business 820 N. French Street, 10<sup>th</sup> Floor Wilmington, DE 19801

Telephone: 302-577-8477 Fax: 302-736-7915 Email: <u>OSD@Delaware.gov</u> Web site: <u>https://gss.omb.delaware.gov/osd/</u>

**Dover address for the Division of Small Business** 

Local applicants may drop off applications here:

Division of Small Business 99 Kings Highway Dover, DE 19901 Phone: 302-739-4271

Submission of a completed Office of Supplier Diversity (OSD) application is optional and does not influence the outcome of any award decision.

# Appendix A - MINIMUM MANDATORY SUBMISSION REQUIREMENTS

Each vendor solicitation response should contain at a minimum the following information:

- 1. Transmittal Letter as specified on page 1 of the Request for Proposal including an Applicant's experience, if any, providing similar services.
- 2. The remaining vendor proposal package shall identify how the vendor proposes meeting the contract requirements and shall include pricing. Vendors are encouraged to review the Evaluation criteria identified to see how the proposals will be scored and verify that the response has sufficient documentation to support each criteria listed.
- 3. Pricing as identified in the solicitation
- One (1) complete, signed and notarized copy of the non-collusion agreement (See Attachment 2). Bid marked "ORIGINAL", <u>MUST HAVE ORIGINAL SIGNATURES AND NOTARY MARK .</u> All other copies may have reproduced or copied signatures – Form must be included.
- 5. One (1) completed RFP Exception form (See Attachment 3) please check box if no information Form must be included.
- 6. One (1) completed Confidentiality Form (See Attachment 4) please check if no information is deemed confidential Form must be included.

# 7. INTENTIONALLY OMITTED

- 8. One (1) complete and signed copy of the Subcontractor Information Form (See Attachment 6) for each subcontractor only provide if applicable.
- 9. One (1) complete OSD application (See link on Attachment 9) only provide if applicable

The items listed above provide the basis for evaluating each vendor's proposal. **Failure to provide all appropriate information may deem the submitting vendor as "non-responsive" and exclude the vendor from further consideration.** If an item listed above is not applicable to your company or proposal, please make note in your submission package.

Vendors shall provide proposal packages in the following formats:

- 1. Five (5) paper copies of the vendor proposal paperwork. One (1) paper copy must be an original copy, marked "ORIGINAL" on the cover, and contain original signatures.
- 2. One (1) electronic copy of the vendor proposal by email or on USB memory stick. Copy of electronic price file shall be a separate file from all other files on the electronic copy. (If Agency has requested multiple electronic copies, each electronic copy must be on a separate computer disk or media).

# Appendix B - SCOPE OF WORK AND TECHNICAL REQUIREMENTS

#### SUMMARY:

Pursuant to Section 7046 of Title 25 of the Delaware Code, the Delaware Department of Justice ("DOJ") is required to enter into a contract with a person to provide legal representation and advocacy to manufactured home owners ("home owners") in disputes with the manufactured home community owners ("community owners"). DOJ anticipates that it will contract with one lawyer, law firm, or legal services organization to provide these services but reserves the right to award multiple contracts if such award is in the best interests of the State.

# 1.0 GENERAL INFORMATION

#### 1.01 Project Scope and Objectives

The Delaware Department of Justice ("DOJ") seeks to enter into a contract with a law firm<sup>5</sup> (the "Contracted Firm") to provide advice and legal representation to manufactured home owners throughout the State of Delaware whose homes are on leased land and who are required to contribute to the Delaware Manufactured Home Relocation Trust Fund. Pursuant to 25 *Del. C.* § 7046, the Contracted Firm shall assist and represent such home owners in disputes with the owners of the manufactured home communities in which they live. In circumstances consistent with the mandate of 25 *Del. C.* § 7046, the Contracted Firm may represent home owners' associations when those associations are acting on behalf of their member-home owners in a dispute with a community owner.

The Contracted Firm shall receive quarterly payments from funds available in the Manufactured Home Owner Attorney Fund for providing services under the contract. The Contracted Firm shall establish program priorities, to be approved by the DOJ and updated annually, to ensure that the resources available under the contract are used efficiently.

The Contracted Firm must also be free of any conflict of interest and must comply with the conflicts policy referred to in Section 3.02 below.

Representation of home owners in particular matters may include significant evaluative and investigative preparatory work. Litigation may include drafting pleadings, motions, briefs, and all other papers to be filed in court; conducting and responding to discovery; attending all pre-trial, trial and post-trial court appearances; and handling appeals.

#### 1.02 Procedures

The Contracted Firm shall use the funds received from the Delaware Manufactured Home Owner Attorney Fund under the contract to do all of the following: (1) handle intake for all home owners seeking assistance under the contract; (2) screen home owners for eligibility under 25 *Del. C.* § 7046 for legal merit, and for consistency with approved program priorities; (3) refer ineligible home owners to appropriate resources; (4) provide appropriate services to eligible home owners; and (5) provide semi-annual reports to the DOJ. In addition, the Contracted Firm may designate in its budget a reasonable fraction of the funds received to pay for litigation expenses incurred in its representation of home owners.

When representing eligible home owners under the contract, the Contracted Firm shall enter into an attorney-client relationship with the eligible home owners per its ordinary procedures for entering into attorney-client relationships. Neither the existence of the contract between the DOJ and the Contracted Firm nor the representation of home owners under the terms of the contract shall create an attorney-client relationship between the DOJ and the Contracted Firm or between the DOJ and one or more home owners.

<sup>&</sup>lt;sup>5</sup> All references to "law firm" or "firm" herein include law firms, attorneys, legal services agencies, and other organizations capable of providing the services described in this document

# 2.0 TECHNICAL PROPOSAL FORMAT

The following information shall be provided in each Proposal in the order listed below. An Applicant is expected to provide a response for each requirement listed in this RFP. Failure to respond to any request for information within this RFP may result in rejection of the Proposal at the sole discretion of the DOJ.

#### 2.01 General

All Proposals shall be prepared with a concise description of the Applicant's capabilities to satisfy the minimum qualifications of Section 2.01 and 2.02 and the information requested under Section 3.02 below. Applicants should organize their proposals so that their responses correspond to the specific subsections to the extent possible without unnecessary repetition.

#### 2.02 Required Information

The Proposal shall contain the information described below.

# A. Applicant's Experience

# 1. General Experience and Information:

(a) Within the past three years, have there been any significant developments in your firm such as changes in ownership or restructuring? Do you anticipate any significant changes within the next five (5) years? Please describe.

(b) Describe the on-line services, publications and other resources maintained by your firm dealing with manufactured housing, landlord-tenant law, and other areas of law likely to be implicated in disputes between manufactured home owners and community owners ("Subject Matter Areas"), including subscription services and periodicals.

(c) Has your firm or an attorney in your firm's employ who will have any involvement with the provision of legal assistance, advice, or representation pursuant to this RFP ever been disciplined or censured by any regulatory body? If so, describe the principal facts.

(d) Within the last five (5) years, has your firm, or a partner or attorney in your firm, been involved in litigation or other legal proceedings relating to provision of legal services? If so, provide an explanation and indicate the current status or disposition.

(e) Please describe your firm's backup procedures in the event one or more attorneys assigned to a matter contemplated by this RFP leave the firm.

(f) Describe your disaster recovery plan and facilities.

(g) Describe your firm's experience with providing services to clients in all three counties of the State of Delaware including procedures for providing services to clients in all three counties who may have limited ability to communicate electronically or telephonically.

(h) Describe your firm's grievance procedure for addressing and investigating client complaints. In the alternative, if your firm does not presently have a grievance procedure, describe the procedure that will be put in place as to address the complaints of prospective clients or clients under this contract who were refused representation or were dissatisfied with their representation.

# 2. Subject Matter Experience

Provide a detailed description of the Applicant's experience in the Subject Matter Areas, including identification of the approximate number of engagements involving the Subject Matter Areas during the last five (5) years. Of those engagements, the Applicant should select no more than five (5) illustrative matters and provide the following information:

(1) Nature of the matter and the Applicant's role;

- (2) The year and the approximate dollar amount of the claim;
- (3) Status of case and range of responsibilities; and

(4) Disposition of case, and if relevant, a description of damages negotiated/awarded or other relief obtained.

# B. Applicant's Team (Specific Individuals Responsible for Performance of Services)

Provide a detailed description of the team of attorneys (whether assigned in full or in part to the contract) that would provide services identified in this RFP and include the following information:

1. The areas of law in which each specializes and the type of related transactions and/or litigation which the attorney has handled, the number of years of experience in such areas, and the extent to which each has analyzed laws, provided advice, and conducted investigations and litigation on issues relevant to the purpose of this RFP.

2. Résumés of each member of the team, attached to the Proposal as Appendix A.

3. The courts in which each is admitted to practice, the year of admission, and the location of the principal place or places of business, if not included on a résumé.

4. If the Applicant intends to hire additional staff to provide the services identified in this RFP, the Applicant may, in addition to or in lieu of 1-3 of this section, include a list of required qualifications for such staff and the selection criteria that the Applicant will use to select the new staff. If utilizing this subsection, the Applicant shall include information on how it will train new staff to provide the services identified in this RFP.

# C. Conflicts of Interest

# 1. In General

The Contracted Firm providing legal assistance, advice, or representation to an eligible home owner will have an attorney-client relationship with the eligible home owner, not the State or the DOJ. If a conflict of interest arises, the Applicant should be willing to continue to represent the eligible home owner and be in a position to inform other existing or potential clients that they must find representation elsewhere in particular situations. The DOJ reserves the right, in its sole discretion, to select another approved Applicant to work on a particular matter if a conflict is not resolved to its satisfaction.

# 2. Conflict Check System

Applicant shall describe in detail its existing system for identifying conflicts of interest in undertaking new representations. Applicant must include information about who maintains the records, how often the information is updated and at what stage of representation the check is made. Applicant shall provide a copy of its written conflicts policy or explain in detail why there is no written policy.

# 3. Potential Conflicts

Each Applicant shall identify any known or reasonably anticipated conflicts of interest that may arise if the Applicant serves as the Contracted Firm and how it proposed to deal with such conflicts.

# 4. Conflict Counsel

Each Applicant shall, as part of its Proposal, include a system by which eligible home owners who cannot be served by the Applicant due to conflicts of interest can receive the assistance to which they would otherwise be entitled.

# D. Contract to supplement, not replace, existing work on behalf of home owners.

If the Applicant currently provides legal services to home owners who would be eligible home owners under the contract, the Applicant shall, as part of its Proposal, include reasonable assurances that the Applicant will use the funds from the contract to supplement its existing work and will not use funds from the contract as a replacement funding source to support pre-existing work.