

REVISED MAY 10, 2013



**REQUEST FOR QUALIFICATIONS
(RFQ) & EXPRESSIONS OF INTEREST
CONSULTING SERVICES**
(29 Del.C. §§6981 & 6982)

Agreement Nos. 1650-1653

CULTURAL RESOURCE SERVICES

05/10/13 REVISED RFQ

Removed requirement that consultant must be a member of ACRA... Added: The selected firm(s) will be required to become members of the American Cultural Resource Association (ACRA).

PROJECT DESCRIPTION

The Delaware Department of Transportation (DelDOT) is seeking to establish up to four (4) open-end task order agreements with a 3-year term with multidisciplinary firms to provide statewide cultural resource services for its Environmental Studies Section's cultural preservation efforts. The projects will involve cultural investigations and evaluations on an as-needed basis. All work assignments will be issued on a task order basis for and will be at the discretion of the Department and the availability of funding.

Assigned tasks will involve investigations and evaluations of historic and cultural resource sites (standing structures) as well as Phase I (identification), Phase II (evaluation), and Phase III (treatment) prehistoric and historic archeological surveys, public involvement, and evaluations in conjunction with various types of highway projects throughout the state.

In addition, the consultant may be asked to develop effect documentation, historic research, historic context development, case reports, Memorandums of Agreement (MOA), programmatic agreements, structural and rehabilitation analysis, architectural or rehabilitation plans and specifications, and Section 4(f) documentation. These investigations will be coordinated with the Department of Transportation, Federal Highway Administration, and the Delaware Historic Preservation Office and will be carried out in accordance with the instruction and intents of Section 101(b)(4) of the National Environmental Policy Act; Section 1 (3) and 2 (b) of Executive Order 11593; Section 106 of the Nation Historic Preservation Act; 36 CFR 771, as amended guidelines developed by the Advisory Council of Historic Preservation published November 26, 1980; and the amended Procedures for the Protection of Historic and Cultural Properties as set forth in 36 CFR 800. The type of projects will range from small scale to major transportation construction projects.

The consultants will be expected to supply the entire range of cultural resource requirements and needs as specified within Section 106 of the National Historic Preservation Act, as well as all other applicable legislation, rules, regulations, and amendments as they apply to the U. S. Department of Transportation, Federal Highways Administration, and Federal Aviation Administration. The consultants will be expected to demonstrate in-house Geographic Information Systems (GIS) capabilities. The consultants will be expected to have at least one in-house Archaeologist with a Registered Professional Archaeologist (RPA) status. ~~; as well as be members of the American Cultural Resource Association (ACRA).~~ **The selected firm(s) will be required to become members of the American Cultural Resource Association (ACRA).**

Only firms with experience in cultural resource evaluation, impact assessment and effect documentation, and public involvement will be considered.

CONSULTANT SERVICES REQUIRED

The scope of work for various types of cultural resource services may include, but is not limited to, the following:

- A. Preparation of the entire range of cultural resource studies, including all primary and secondary research, fieldwork, and documentation needs and requirements for Phase I, II and III reconnaissance through mitigation projects, including but not limited to:
 - 1. Archeology
 - 2. Historic preservation
 - 3. The built environment
 - 4. Intergovernmental coordination
 - 5. Archival management
 - 6. Restoration and building rehabilitation/management
 - 7. Public involvement

In addition, the selected consultants should be knowledgeable of historic bridge contexts and survey and evaluation methodology.

- B. The preparation of applicable planning documents, research designs, DOE (Determination of Eligibility) forms, NR (National Register) forms, CRS (Cultural Resource Survey) forms, field, lab, draft and final cultural resource reports with stringent quality and editorial control. These tasks and studies will be coordinated, as needed and as required, as a team with DelDOT (Delaware Department of Transportation), DESHPO (Delaware State Historical Preservation Office), FHWA (Federal Highway Administration), ACHP (Advisory Council on Historic Preservation), NPS (National Park Service), and all interested and consulting parties.
- C. The preparation of management summaries, case reports; findings of adverse effects, data recovery plans, and memorandums of agreement (MOA) on projects where cultural resource impacts are determined to be adverse. These tasks will require complete coordination with the DOT, DESHPO, FHWA, and as needed with the ACHP, NPS, potential contractors, and interested and consulting parties.
- D. Knowledge and application of structural analysis and rehabilitation for buildings and structures. The consultant should have the ability and/or the capacity as a firm or under a sub-consultant to prepare management plans and/or contracts for historic property management, building or structure rehabilitation, architectural renderings/plans, or structural analysis and restoration. Use of a structural engineer and/or architect dealing with the expertise of historic building or structural restoration is helpful, as well as the ability to develop those plans, specifications, estimates, and achieve site plan and building permit approvals.

The consultant must have an understanding and must demonstrate the Section 106 regulations and how those procedures are implemented nationally and at the local level. Examples or experience on how this might be implemented in Delaware must be discussed. A thorough knowledge of the DESHPO Survey Guidelines is also required.

SUBMISSION REQUIREMENTS

- 1. **Expression of Interest submissions** must be received by: **3:00 P.M. Local Time, Wednesday, May 22, 2013.**

Facsimile responses to this Request for Expressions of Interest are not acceptable. No response hand-delivered or otherwise will be accepted after the above date and time. Expressions of Interest arriving after the deadline will be rejected regardless of the reason for late arrival. DelDOT's time is considered the official time for determining the cut-off for accepting Expression of Interest submissions. Firms wishing to be considered for work on this project must submit statements expressing interest as set forth herein. Any variation, including additions, is considered a basis for rejection. Expressions of Interest are to be mailed or delivered to:

Wendy B. Henry, Consultant Control Coordinator
Contract Administration
Delaware Department of Transportation
800 Bay Road, Dover, DE 19901

2. Specific Type Firm Solicited:

The Prime Consultant must be Pre-Registered, or make application for registration with DelDOT and appear on the Department's list of registered consultants in the area of Environmental Services, Item # 13 at the time of submission in order to be considered for evaluation on this project.

3. **DBE Goals** will be established by the DBE Program Office on federally funded tasks issued under this Agreement. Tasks will be evaluated independently for potential DBE participation. Expressions of Interest must include a statement of commitment to achieve goals established for individual tasks. The commitment shall include an understanding that proposed DBE firms to be used for goal attainment purposes shall be certified with DelDOT's DBE Program Office.
4. **The Consultant shall submit six (6) copies** of an Expression of Interest. Receipt of insufficient copies of the Expression of Interest and non-compliance with providing the requested information in the desired format may result in elimination from the overall shortlist and selection process.
5. **In order to comply with the State of Delaware's Freedom of Information Act**, if firms responding to this Request for Qualifications have any proprietary or confidential information, they should prepare one (1) copy (electronic or paper) of their Expression of Interest with this information redacted. This copy should be clearly marked as "Redacted Copy" and submitted along with the other copies. Firms should review Delaware's Freedom of Information Regulations, section 6, Requests for Confidentiality, on the DelDOT Website www.deldot.gov and Section 10002(g) "Public record" of the Delaware Code, <http://delcode.delaware.gov/title29/c100/index.shtml> to determine what information may be considered proprietary or confidential and may be redacted from their Expression of Interest.
6. **Joint venture** submissions will not be considered.
7. **DelDOT reserves the right to reject** any and all Expressions of Interest. All submissions become property of the Delaware Department of Transportation and shall be retained for a period not to exceed 30 days from the date of the approved shortlist. DelDOT reserves the right to any and all ideas included in this response without incurring any obligations to the responding firms or committing to procurement of the proposed services.

RATING CRITERIA

Major factors/criteria for the establishment of a reduced candidate/shortlist and selection:

- a) Key Staff/Project Team
- b) Firm resources/capability to accomplish proposed work on schedule
- c) Experience on similar projects
- d) Project understanding/approach/services required
- e) Completeness of submission to include clarity, readability, and presentation of material.

NOTE: Shortlist and Selection Committee membership appointments are confidential.

QUESTIONS

Questions regarding this RFQ should be submitted via email. Questions received and the Department's response will be provided on the State of Delaware Bid Solicitation Directory Website: <http://www.bids.delaware.gov/>

CONTACTS

Questions concerning submissions and procedures may be obtained from: Wendy B. Henry Consultant Control Coordinator's Office, Telephone: (302) 760-2531. E-mail address: wendy.henry@state.de.us.

OVERVIEW OF SELECTION PROCESS

The Expressions of Interest will be used to determine a reduced candidate's list/short list and also will be used for reference material during the actual selection process. Once a short list has been determined, a mandatory pre-proposal meeting may be established for a briefing and to provide an opportunity for the short-listed candidates to ask questions. Once the Pre-proposal Meeting has been completed, there may be a written submission and/or oral interview sessions scheduled, after which the committee will determine the successful candidate. The Department's Professional Services Procurement Manual may be viewed [here](#).

After the selection process has been completed, applicable price information will be requested from the successful candidate; i.e. salary rates for various classifications of personnel, and an indirect cost derivation for the most current accounting period. It is expected that all firms submitting are prepared for the work and include necessary work materials in their overhead rates. If an interested firm is requested to submit a priced proposal, the proposal should substantially reflect the same composition and area of involvement as the Expression of Interest submission.

Payroll burden and overhead will be computed on direct salary costs only (not including overtime) at the consultant's audited rate, as per Federal Acquisition Regulations Part 31, and Department policies. Computer and CADD costs are not allowable as a direct cost to this project. Rate determination and applicability is subject to audit by the Department. Additionally, candidates should be prepared for the Department to work with your current accounting firm to provide information and backup documentation. Full and immediate cooperation is required to avoid delays in execution of an agreement. Failure to cooperate may result in breaking off of negotiations and moving to the next ranked firm.

EXPRESSION OF INTEREST REQUIREMENTS

The letter portion of the Expression of Interest shall indicate the firms desire to perform services and indicate the specific tasks or areas of expertise, which will be subcontracted, and to whom. Interested firms must submit the material required herein or they will not be considered for the project.

1. Please submit the firm's mailing address, phone number, and an e-mail address for the firm's point of contact person on page 1 of the Letter of Interest. Future contacts by DelDOT will be done via e-mail, whenever possible.
2. The Expression of Interest submission should be tabbed and collated in the following order:

A. Table of Contents

Table of Contents (1 per set) - Limited to One (1) page on 1 sheet of paper

B. Letter of Interest

Letter of Interest (1 per set) - Limited to four (4) pages on two (2) sheets of paper

Indicate the following:

- (1) An understanding of the anticipated assignments, services required, and approach to providing the services required
- (2) Identify who the proposed project manager will be and what office location they will be working from.
- (3) The location, size, and description of the firm
- (4) Availability of personnel for immediate placement
- (5) Sub-consultant usage if anticipated. Indicate the percentage of work estimated to be performed by the sub vs. the prime. Also, indicate if the prime consultant has previously worked with the proposed sub and give a brief example of the previous relationship(s).
- (6) The Prime/Lead consultant must indicate the present workload either as a Prime Consultant or a Sub-Consultant with the Delaware Department of Transportation by Location, Agreement No. (to include Supplementals), Total Dollar Upset Limit, total paid-to-date, and the amount still available for use on the project(s). Also, include the estimated date of completion. If possible, include the estimated fees for any Delaware DOT projects for which your firm has been selected and does not have an executed agreement in place.
- (7) Provide a listing of contracts with DelDOT for the past five (5) years. Clearly indicate if your firm has not been short listed for a DelDOT project within the past five (5) years.

C. Project Organization Chart

Project Organization Chart (1 per set) - Limited to one (1) side of one sheet of paper.

D. Architect-Engineer Qualifications

Provide either form SF330, or; SF254 and SF255

- (1) GSA form SF330
[http://contacts.gsa.gov/webforms.nsf/0/21DBF5BF7E860FC185256E13005C6AA6/\\$file/SF%20330.pdf](http://contacts.gsa.gov/webforms.nsf/0/21DBF5BF7E860FC185256E13005C6AA6/$file/SF%20330.pdf)

Or:

- (2) GSA forms SF254 and SF255 (forms are obsolete but may be used)

Instructions for completing the SF 255 form:

- a) **Item # 4,** Personnel by Discipline, the consultant shall document clearly

personnel by discipline presently employed at the work location proposed and their availability for assignment to this project.

- b) If more than one (1) location is being proposed, the consultant must clearly document all locations proposed and show the total number of personnel by discipline for all locations proposed.
- c) **Item #7, Key Staff**, is limited to eight (8) individuals who are expected to spend a significant amount of productive time on the project. Staffing information can be either shown as two (2) individuals per page/sheet, or one (1) individual per page/sheet. Resume information presented may pertain to individual(s) that is/are not connected with the Prime/Lead consultant. It should be clearly noted what the affiliation is for any SF 255 resume information submitted other than for the Prime/Lead consultant firm. Resume information is limited to eight (8) individuals regardless of affiliation. Experience listed should be limited to that within the last five (5) years.

If the proposed project personnel have been with the lead firm for less than one (1) year, indicate the name(s) of the previous employer(s) and the length of employment with the previous employer (s) for the last five (5) years.

- d) **Information for Item #8, Similar Projects**, shall be limited to five (5) similar projects and shall not exceed one (1) page in length.
- e) **Information for Item #10, Additional Information**, shall not exceed one (1) page.

NOTE: Submit either a SF255 or a SF330 as prescribed above.
CANNOT SUBMIT BOTH OR INTERMINGLE THE FORMS.

Also, item limitations are the same regardless of the form being used (i.e. if using SF330 submit 8 resumes (1 per page), 5 similar projects (1 project per page) and one (1) page additional information)

References

Provide a list of References who have personal knowledge of the prime consultant's and the sub-consultant's previous performance. Provide three (3) client references each for both the prime and the sub-consultant(s). The references must include **verified** addresses and telephone numbers, contact persons, and a brief description of services that have been provided similar to those described by Delaware DOT for this project.

- (1) References shall be shown on separate sheets (limited to one (1) single-sided sheet; one sheet for the prime and one sheet for each sub proposed). These shall not be included in the four page Letter of Interest.

No promotional materials or brochures to be included as part of the Expression of Interest package.

The Department is not liable for any cost incurred by the consultant in the preparation or presentation of the Statement of Qualifications.

Any individual, business, organization, corporation, consortium, partnership, joint venture, or any other entity including subconsultants currently debarred or suspended is ineligible to participate as a candidate for this process. Any entity ineligible to conduct business in the State of Delaware for any reason is ineligible to respond to the RFQ.

The Department of Transportation will affirmatively insure individuals and businesses will not be discriminated against on the grounds of race, creed, color, sex, or national origin in

consideration for an award. Minority business enterprises will be afforded full opportunity to submit bids/proposals in response to this invitation.

Department of Transportation

State of Delaware

By: Shailen P. Bhatt

Secretary

Dover, DE

April 26, 2013