PROJECT MANUAL

ISSUE FOR BID

TETRA TECH
240 Continental Drive, Suite 200
Newark, Delaware 19713
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State of Delaware

DELAWARE ARMY NATIONAL GUARD

SMYRNA READINESS CENTER

PACKAGED TERMINAL AIR CONDITIONING (PTAC) UNIT REPLACEMENT

New Castle County, Delaware

200-76984-16009/ MC7601000088
DEARNG Contract No.: TBD

6 SEPTEMBER 2017

NOT FOR BIDDING PURPOSES

PACKAGED TERMINAL AIR CONDITIONING (PTAC) UNIT REPLACEMENT
AT THE
SMYRNA READINESS CENTER
DELAWARE ARMY NATIONAL GUARD
103 ARTISAN DRIVE
SMYRNA, DE 19977
DFM CONTRACT # MC7601000088

NOT FOR BIDDING PURPOSES

PROJECT TEAM

OWNER: Delaware Army National Guard
Biden National Guard/Reserve Center
250 Airport Road
New Castle, Delaware 19720

ARCHITECT/ENGINEER: Tetra Tech, Inc.
240 Continental Drive
Suite 200
Newark, DE 19713
Phone: 302-738-7551 Fax: 302-454-5989

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ADVERTISEMENT FOR BIDS

Sealed bids for **DEARNG Contract No. 15-2016 – Smyrna Readiness Center PTAC Replacement**, will be received by the Delaware Army National Guard at the Security Officers desk in the Main Lobby of the Biden National Guard/Reserve Center, 250 Airport Road, New Castle, Delaware, 19720 until **11:00 AM local time on September 21, 2017**, at which time they will be publicly opened and read aloud in the Multi-Purpose Room.

Bidders are required to show identification at the Guard Booth when entering the site and must sign in at the Security Officers desk once inside. Please allow sufficient time to comply with these requirements. No exceptions. Bidder bears the risk of late delivery. Any bids received after the stated time will be returned unopened.

Project involves replacement of the existing Packaged Terminal Air Conditioning (PTAC) Units, serving the perimeter offices with a more efficient Variable Refrigerant Flow System at Delaware Army National Guard, Smyrna Readiness Center, 103 Artisan Drive, Smyrna, Delaware 19977.

Attention is called to the construction schedule as detailed in the Contract Documents.

A **MANDATORY** Pre-Bid Meeting will be held on **September 6, 2017 at 9:00 AM** at the Smyrna Readiness Center, 103 Artisan Drive, Smyrna, Delaware 19977, for the purpose of establishing the listing of subcontractors and to answer questions. You will be required to show identification at the Guard Booth when entering the site. Representatives of each party to any Joint Venture must attend this meeting. **ATTENDANCE OF THIS MEETING IS A PREREQUISITE FOR BIDDING ON THIS CONTRACT**.

Sealed bids shall be addressed to the Delaware Army National Guard, Biden National Guard/Reserve Center, 250 Airport Road, New Castle, Delaware, 19720, ATTN: William R. Davis. The outer envelope should clearly indicate: **DEARNG CONTRACT NO. 15-2016 – Smyrna Readiness Center PTAC Replacement - SEALED BID – DO NOT OPEN.**

Contract Documents (one set hardcopy and one CD) can be obtained at the Pre-Bid Meeting or before, by calling (302)738-7551 upon receipt of $100.00 per set/non-refundable. Checks are to be made payable to "Tetra Tech, Inc."

Construction documents will be available for review at the office of Tetra Tech, 240 Continental Drive, Suite 200, Newark, Delaware 19713, Delaware Contractors Association and the Associated Builders and Contractors, Delaware.

Minority Business Enterprises (MBE), Disadvantaged Business Enterprises (DBE), Women-Owned Business Enterprises (WBE) and Veteran-Owned Business Enterprises (VBE) will be afforded full opportunity to submit bids on this contract and will not be

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subject to discrimination on the basis of race, color, national origin or sex in consideration of this award.

Each bid must be accompanied by a bid security equivalent to ten percent of the bid amount and all additive alternates. The successful bidder must post a performance bond and payment bond in a sum equal to 100 percent of the contract price upon execution of the contract. The Owner reserves the right to reject any or all bids and to waive any informalities therein. The Owner may extend the time and place for the opening of the bids from that described in the advertisement, with not less than two calendar days notice by certified delivery, facsimile machine or other electronic means to those bidders receiving plans.

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ARTICLE 1: GENERAL

1.1 DEFINITIONS

1.1.1 Whenever the following terms are used, their intent and meaning shall be interpreted as follows:

1.2 STATE: The State of Delaware.

1.3 AGENCY: Contracting State Agency as noted on cover sheet.

1.4 DESIGNATED OFFICIAL: The agent authorized to act for the Agency.

1.5 BIDDING DOCUMENTS: Bidding Documents include the Bidding Requirements and the proposed Contract Documents. The Bidding Requirements consist of the Advertisement for Bid, Invitation to Bid, Instructions to Bidders, Supplementary Instructions to Bidders (if any), General Conditions, Supplementary General Conditions, General Requirements, Special Provisions (if any), the Bid Form (including the Non-collusion Statement), and other sample bidding and contract forms. The proposed Contract Documents consist of the form of Agreement between the Owner and Contractor, as well as the Drawings, Specifications (Project Manual) and all Addenda issued prior to execution of the Contract.

1.6 CONTRACT DOCUMENTS: The Contract Documents consist of the, Instructions to Bidders, Supplementary Instructions to Bidders (if any), General Conditions, Supplementary General Conditions, General Requirements, Special Provisions (if any), the form of agreement between the Owner and the Contractor, Drawings (if any), Specifications (Project Manual), and all addenda.

1.7 AGREEMENT: The form of the Agreement shall be AIA Document A101, Standard Form of Agreement between Owner and Contractor where the basis of payment is a STIPULATED SUM. In the case of conflict between the instructions contained therein and the General Requirements herein, these General Requirements shall prevail.

1.8 GENERAL REQUIREMENTS (or CONDITIONS): General Requirements (or conditions) are instructions pertaining to the Bidding Documents and to contracts in general. They contain, in summary, requirements of laws of the State; policies of the Agency and instructions to bidders.

1.9 SPECIAL PROVISIONS: Special Provisions are specific conditions or requirements peculiar to the bidding documents and to the contract under consideration and are supplemental to the General Requirements. Should the Special Provisions conflict with the General Requirements, the Special Provisions shall prevail.

1.10 ADDENDA: Written or graphic instruments issued by the Owner/Architect prior to the execution of the contract which modify or interpret the Bidding Documents by additions, deletions, clarifications or corrections.

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1.11 BIDDER OR VENDOR: A person or entity who formally submits a Bid for the material or Work contemplated, acting directly or through a duly authorized representative who meets the requirements set forth in the Bidding Documents.

1.12 SUB-BIDDER: A person or entity who submits a Bid to a Bidder for materials or labor, or both for a portion of the Work.

1.13 BID: A complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

1.14 BASE BID: The sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents as the base, to which Work may be added or from which Work may be deleted for sums stated in Alternate Bids (if any are required to be stated in the bid).

1.15 ALTERNATE BID (or ALTERNATE): An amount stated in the Bid, where applicable, to be added to or deducted from the amount of the Base Bid if the corresponding change in the Work, as described in the Bidding Documents is accepted.

1.16 UNIT PRICE: An amount stated in the Bid, where applicable, as a price per unit of measurement for materials, equipment or services or a portion of the Work as described in the Bidding Documents.

1.17 SURETY: The corporate body which is bound with and for the Contract, or which is liable, and which engages to be responsible for the Contractor's payments of all debts pertaining to and for his acceptable performance of the Work for which he has contracted.

1.18 BIDDER'S DEPOSIT: The security designated in the Bid to be furnished by the Bidder as a guaranty of good faith to enter into a contract with the Agency if the Work to be performed or the material or equipment to be furnished is awarded to him.

1.19 CONTRACT: The written agreement covering the furnishing and delivery of material or work to be performed.

1.20 CONTRACTOR: Any individual, firm or corporation with whom a contract is made by the Agency.

1.21 SUBCONTRACTOR: An individual, partnership or corporation which has a direct contract with a contractor to furnish labor and materials at the job site, or to perform construction labor and furnish material in connection with such labor at the job site.

1.22 CONTRACT BOND: The approved form of security furnished by the contractor and his surety as a guaranty of good faith on the part of the contractor to execute the work in accordance with the terms of the contract.

ARTICLE 2: BIDDER'S REPRESENTATIONS

2.1 PRE-BID MEETING

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2.1.1 A pre-bid meeting for this project will be held at the time and place designated. Attendance at this meeting is a pre-requisite for submitting a Bid, unless this requirement is specifically waived elsewhere in the Bid Documents.

2.2 By submitting a Bid, the Bidder represents that:

2.2.1 The Bidder has read and understands the Bidding Documents and that the Bid is made in accordance therewith.

2.2.2 The Bidder has visited the site, become familiar with existing conditions under which the Work is to be performed, and has correlated the Bidder's his personal observations with the requirements of the proposed Contract Documents.

2.2.3 The Bid is based upon the materials, equipment, and systems required by the Bidding Documents without exception.

2.3 JOINT VENTURE REQUIREMENTS

2.3.1 For Public Works Contracts, each Joint Venturer shall be qualified and capable to complete the Work with their own forces.

2.3.2 Included with the Bid submission, and as a requirement to bid, a copy of the executed Joint Venture Agreement shall be submitted and signed by all Joint Venturers involved.

2.3.3 All required Bid Bonds, Performance Bonds, Material and Labor Payment Bonds must be executed by both Joint Venturers and be placed in both of their names.

2.3.4 All required insurance certificates shall name both Joint Venturers.

2.3.5 Both Joint Venturers shall sign the Bid Form and shall submit a copy of a valid Delaware Business License with their Bid.

2.3.6 Both Joint Venturers shall include their Federal E.I. Number with the Bid.

2.3.7 In the event of a mandatory Pre-bid Meeting, each Joint Venturer shall have a representative in attendance.

2.3.8 Due to exceptional circumstances and for good cause shown, one or more of these provisions may be waived at the discretion of the State.

2.4 ASSIGNMENT OF ANTITRUST CLAIMS

2.4.1 As consideration for the award and execution by the Owner of this contract, the Contractor hereby grants, conveys, sells, assigns and transfers to the State of Delaware all of its right, title and interests in and to all known or unknown causes of action it presently has or may now or hereafter acquire under the antitrust laws of the United States and the State of Delaware, relating to the particular goods or services purchased or acquired by the Owner pursuant to this contract.

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ARTICLE 3: BIDDING DOCUMENTS

3.1 COPIES OF BID DOCUMENTS

3.1.1 Bidders may obtain complete sets of the Bidding Documents from the Architectural/Engineering firm designated in the Advertisement or Invitation to Bid in the number and for the deposit sum, if any, stated therein.

3.1.2 Bidders shall use complete sets of Bidding Documents for preparation of Bids. The Issuing Agency nor the Architect assumes no responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

3.1.3 Any errors, inconsistencies or omissions discovered shall be reported to the Architect immediately.

3.1.4 The Agency and Architect may make copies of the Bidding Documents available on the above terms for the purpose of obtaining Bids on the Work. No license or grant of use is conferred by issuance of copies of the Bidding Documents.

3.2 INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS

3.2.1 The Bidder shall carefully study and compare the Bidding Documents with each other, and with other work being bid concurrently or presently under construction to the extent that it relates to the Work for which the Bid is submitted, shall examine the site and local conditions, and shall report any errors, inconsistencies, or ambiguities discovered to the Architect.

3.2.2 Bidders or Sub-bidders requiring clarification or interpretation of the Bidding Documents shall make a written request to the Architect at least seven (7) days prior to the date for receipt of Bids. Interpretations, corrections and changes to the Bidding Documents will be made by written Addendum. Interpretations, corrections, or changes to the Bidding Documents made in any other manner shall not be binding.

3.2.3 The apparent silence of the specifications as to any detail, or the apparent omission from it of detailed description concerning any point, shall be regarded as meaning that only the best commercial practice is to prevail and only material and workmanship of the first quality are to be used. Proof of specification compliance will be the responsibility of the Bidder.

3.2.4 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for all permits, labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for the proper execution and completion of the Work.

3.2.5 The Owner will bear the costs for all impact and user fees associated with the project.

3.3 SUBSTITUTIONS

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3.3.1 The materials, products and equipment described in the Bidding Documents establish a standard of quality, required function, dimension, and appearance to be met by any proposed substitution. The specification of a particular manufacturer or model number is not intended to be proprietary in any way. Substitutions of products for those named will be considered, providing that the Vendor certifies that the function, quality, and performance characteristics of the material offered is equal or superior to that specified. It shall be the Bidder's responsibility to assure that the proposed substitution will not affect the intent of the design, and to make any installation modifications required to accommodate the substitution.

3.3.2 Requests for substitutions shall be made in writing to the Architect at least ten (10) days prior to the date of the Bid Opening. Such requests shall include a complete description of the proposed substitution, drawings, performance and test data, explanation of required installation modifications due the substitution, and any other information necessary for an evaluation. The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval shall be final. The Architect is to notify Owner prior to any approvals.

3.3.3 If the Architect approves a substitution prior to the receipt of Bids, such approval shall be set forth in an Addendum. Approvals made in any other manner shall not be binding.

3.3.4 The Architect shall have no obligation to consider any substitutions after the Contract award.

3.4 ADDENDA

3.4.1 Addenda will be mailed or delivered to all who are known by the Architect to have received a complete set of the Bidding Documents.

3.4.2 Copies of Addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.

3.4.3 No Addenda will be issued later than 4 days prior to the date for receipt of Bids except an Addendum withdrawing the request for Bids or one which extends the time or changes the location for the opening of bids.

3.4.4 Each bidder shall ascertain prior to submitting his Bid that they have received all Addenda issued, and shall acknowledge their receipt in their Bid in the appropriate space. Not acknowledging an issued Addenda could be grounds for determining a bid to be non-responsive.

ARTICLE 4: BIDDING PROCEDURES

4.1 PREPARATION OF BIDS

4.1.1 Submit the bids on the Bid Forms included with the Bidding Documents.

4.1.2 Submit the original Bid Form for each bid. Bid Forms may be removed from the project manual for this purpose.

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4.1.3 Execute all blanks on the Bid Form in a non-erasable medium (typewriter or manually in ink).

4.1.4 Where so indicated by the makeup on the Bid Form, express sums in both words and figures, in case of discrepancy between the two, the written amount shall govern.

4.1.5 Interlineations, alterations or erasures must be initialed by the signer of the Bid.

4.1.6 BID ALL REQUESTED ALTERNATES AND UNIT PRICES, IF ANY. If there is no change in the Base Bid for an Alternate, enter "No Change". The Contractor is responsible for verifying that they have received all addenda issued during the bidding period. Work required by Addenda shall automatically become part of the Contract.

4.1.7 Make no additional stipulations on the Bid Form and do not qualify the Bid in any other manner.

4.1.8 Each copy of the Bid shall include the legal name of the Bidder and a statement whether the Bidder is a sole proprietor, a partnership, a corporation, or any legal entity, and each copy shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current Power of Attorney attached, certifying agent's authority to bind the Bidder.

4.1.9 Bidder shall complete the Non-Collusion Statement form included with the Bid Forms and include it with their Bid.

4.1.10 In the construction of all Public Works projects for the State of Delaware or any agency thereof, preference in employment of laborers, workers or mechanics shall be given to bona fide legal citizens of the State who have established citizenship by residence of at least 90 days in the State.

4.1.11 Each bidder shall include in their bid a copy of a valid Delaware Business License.'

4.1.12 Each bidder shall include signed Affidavit(s) for the Bidder and each listed Subcontractor certifying compliance with OMB Regulation 4104- "Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on "Large Public Works Projects." "Large Public Works" is based upon the current threshold required for bidding Public Works as set by the Purchasing and Contracting Advisory Council.

4.2 BID SECURITY

4.2.1 All bids shall be accompanied by a deposit of either a good and sufficient bond to the agency for the benefit of the agency, with corporate surety authorized to do business in this State, the form of the bond and the surety to be approved by the agency, or a security of the bidder assigned to the agency, for a sum equal to at least 10% of the bid plus all add alternates, or in lieu of the bid bond a security deposit in the form of a certified check, bank treasurer's check, cashier's check, money order, or other prior approved secured deposit assigned to the State. The bid bond need not be for a specific sum, but may be stated to be

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for a sum equal to 10% of the bid plus all add alternates to which it relates and not to exceed a certain stated sum, if said sum is equal to at least 10% of the bid. The Bid Bond form used shall be the standard OMB form (attached).

4.2.2 The Agency has the right to retain the bid security of Bidders to whom an award is being considered until either a formal contract has been executed and bonds have been furnished or the specified time has elapsed so the Bids may be withdrawn or all Bids have been rejected.

4.2.3 In the event of any successful Bidder refusing or neglecting to execute a formal contract and bond within 20 days of the awarding of the contract, the bid bond or security deposited by the successful bidder shall be forfeited.

4.3 SUBCONTRACTOR LIST

4.3.1 As required by Delaware Code, Title 29, section 6962(d)(10)b, each Bidder shall submit with their Bid a completed List of Sub-Contractors included with the Bid Form. NAME ONLY ONE SUBCONTRACTOR FOR EACH TRADE. A Bid will be considered non-responsive unless the completed list is included.

4.3.2 Provide the Name and Address for each listed subcontractor. Addresses by City, Town or Locality, plus State, will be acceptable.

4.3.3 It is the responsibility of the Contractor to ensure that their Subcontractors are in compliance with the provisions of this law. Also, if a Contractor elects to list themselves as a Subcontractor for any category, they must specifically name themselves on the Bid Form and be able to document their capability to act as Subcontractor in that category in accordance with this law.

4.4 EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS

4.4.1 During the performance of this contract, the contractor agrees as follows:

A. The Contractor will not discriminate against any employee or applicant for employment because of race, creed, sex, color, sexual orientation, gender identity or national origin. The Contractor will take affirmative action to ensure the applicants are employed, and that employees are treated during employment, without regard to their race, creed, sex, color, sexual orientation, gender identity or national origin. Such action shall include, but not be limited to, the following: Employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places available to employees and applicants for employment notices to be provided by the contracting agency setting forth this nondiscrimination clause.

B. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive

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consideration for employment without regard to race, creed, sex, color, sexual orientation, gender identity or national origin."

4.5 PREVAILING WAGE REQUIREMENT

4.5.1 Wage Provisions: For renovation and new construction projects whose costs exceed the thresholds contained in Delaware Code, Title 29, Section 6960, the minimum wage rates for various classes of laborers and mechanics shall be as determined by the Department of Labor, Division of Industrial Affairs of the State of Delaware.

4.5.2 The employer shall pay all mechanics and labors employed directly upon the site of work, unconditionally and not less often than once a week and without subsequent deduction or rebate on any account, the full amounts accrued at time of payment, computed at wage rates not less than those stated in the specifications, regardless of any contractual relationship which may be alleged to exist between the employer and such laborers and mechanics.

4.5.3 The scale of the wages to be paid shall be posted by the employer in a prominent and easily accessible place at the site of the work.

4.5.4 Every contract based upon these specifications shall contain a stipulation that sworn payroll information, as required by the Department of Labor, be furnished weekly. The Department of Labor shall keep and maintain the sworn payroll information for a period of 6 months from the last day of the work week covered by the payroll.

4.6 SUBMISSION OF BIDS

4.6.1 Enclose the Bid, the Bid Security, and any other documents required to be submitted with the Bid in a sealed opaque envelope. Address the envelope to the party receiving the Bids. Identify with the project name, project number, and the Bidder's name and address. If the Bid is sent by mail, enclose the sealed envelope in a separate mailing envelope with the notation "BID ENCLOSED" on the face thereof. The State is not responsible for the opening of bids prior to bid opening date and time that are not properly marked.

4.6.2 Deposit Bids at the designated location prior to the time and date for receipt of bids indicated in the Advertisement for Bids. Bids received after the time and date for receipt of bids will be marked “LATE BID” and returned.

4.6.3 Bidder assumes full responsibility for timely delivery at location designated for receipt of bids.

4.6.4 Oral, telephonic or telegraphic bids are invalid and will not receive consideration.

4.6.5 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids, provided that they are then fully in compliance with these Instructions to Bidders.

4.7 MODIFICATION OR WITHDRAW OF BIDS

4.7.1 Prior to the closing date for receipt of Bids, a Bidder may withdraw a Bid by personal request and by showing proper identification to the Architect. A request for withdraw by

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letter or fax, if the Architect is notified in writing prior to receipt of fax, is acceptable. A fax directing a modification in the bid price will render the Bid informal, causing it to be ineligible for consideration of award. Telephone directives for modification of the bid price shall not be permitted and will have no bearing on the submitted proposal in any manner.

4.7.2 Bidders submitting Bids that are late shall be notified as soon as practicable and the bid shall be returned.

4.7.3 A Bid may not be modified, withdrawn or canceled by the Bidder during a thirty (30) day period following the time and date designated for the receipt and opening of Bids, and Bidder so agrees in submitting their Bid. Bids shall be binding for 30 days after the date of the Bid opening.

ARTICLE 5: CONSIDERATION OF BIDS

5.1 OPENING/REJECTION OF BIDS

5.1.1 Unless otherwise stated, Bids received on time will be publicly opened and will be read aloud. An abstract of the Bids will be made available to Bidders.

5.1.2 The Agency shall have the right to reject any and all Bids. A Bid not accompanied by a required Bid Security or by other data required by the Bidding Documents, or a Bid which is in any way incomplete or irregular is subject to rejection.

5.1.3 If the Bids are rejected, it will be done within thirty (30) calendar day of the Bid opening.

5.2 COMPARISON OF BIDS

5.2.1 After the Bids have been opened and read, the bid prices will be compared and the result of such comparisons will be made available to the public. Comparisons of the Bids may be based on the Base Bid plus desired Alternates. The Agency shall have the right to accept Alternates in any order or combination.

5.2.2 The Agency reserves the right to waive technicalities, to reject any or all Bids, or any portion thereof, to advertise for new Bids, to proceed to do the Work otherwise, or to abandon the Work, if in the judgment of the Agency or its agent(s), it is in the best interest of the State.

5.2.3 An increase or decrease in the quantity for any item is not sufficient grounds for an increase or decrease in the Unit Price.

5.2.4 The prices quoted are to be those for which the material will be furnished F.O.B. Job Site and include all charges that may be imposed during the period of the Contract.

5.2.5 No qualifying letter or statements in or attached to the Bid, or separate discounts will be considered in determining the low Bid except as may be otherwise herein noted. Cash or separate discounts should be computed and incorporated into Unit Bid Price(s).

NOT FOR BIDDING PURPOSES

5.3 DISQUALIFICATION OF BIDDERS

5.3.1 An agency shall determine that each Bidder on any Public Works Contract is responsible before awarding the Contract. Factors to be considered in determining the responsibility of a Bidder include:

A. The Bidder's financial, physical, personnel or other resources including Subcontracts;

B. The Bidder's record of performance on past public or private construction projects, including, but not limited to, defaults and/or final adjudication or admission of violations of the Prevailing Wage Laws in Delaware or any other state;

C. The Bidder's written safety plan;

D. Whether the Bidder is qualified legally to contract with the State;

E. Whether the Bidder supplied all necessary information concerning its responsibility; and,

F. Any other specific criteria for a particular procurement, which an agency may establish; provided however, that the criteria be set forth in the Invitation to Bid and is otherwise in conformity with State and/or Federal law.

5.3.2 If an agency determines that a Bidder is nonresponsive and/or nonresponsible, the determination shall be in writing and set forth the basis for the determination. A copy of the determination shall be sent to the affected Bidder within five (5) working days of said determination.

5.3.3 In addition, any one or more of the following causes may be considered as sufficient for the disqualification of a Bidder and the rejection of their Bid or Bids.

5.3.3.1 More than one Bid for the same Contract from an individual, firm or corporation under the same or different names.

5.3.3.2 Evidence of collusion among Bidders.

5.3.3.3 Unsatisfactory performance record as evidenced by past experience.

5.3.3.4 If the Unit Prices are obviously unbalanced either in excess or below reasonable cost analysis values.

5.3.3.5 If there are any unauthorized additions, interlineation, conditional or alternate bids or irregularities of any kind which may tend to make the Bid incomplete, indefinite or ambiguous as to its meaning.

5.3.3.6 If the Bid is not accompanied by the required Bid Security and other data required by the Bidding Documents.

NOT FOR BIDDING PURPOSES

5.3.3.7 If any exceptions or qualifications of the Bid are noted on the Bid Form.

5.4 ACCEPTANCE OF BID AND AWARD OF CONTRACT

5.4.1 A formal Contract shall be executed with the successful Bidder within twenty (20) calendar days after the award of the Contract.

5.4.2 Per Section 6962(d)(13) a., Title 29, Delaware Code, "The contracting agency shall award any public works contract within thirty (30) days of the bid opening to the lowest responsive and responsible Bidder, unless the Agency elects to award on the basis of best value, in which case the election to award on the basis of best value shall be stated in the Invitation To Bid."

5.4.3 Each Bid on any Public Works Contract must be deemed responsive by the Agency to be considered for award. A responsive Bid shall conform in all material respects to the requirements and criteria set forth in the Contract Documents and specifications.

5.4.4 The Agency shall have the right to accept Alternates in any order or combination, and to determine the low Bidder on the basis of the sum of the Base Bid, plus accepted Alternates.

5.4.5 The successful Bidder shall execute a formal contract, submit the required Insurance Certificate, and furnish good and sufficient bonds, unless specifically waived in the General Requirements, in accordance with the General Requirement, within twenty (20) days of official notice of contract award. The successful Bidder shall provide two business days prior to contract execution, copies of the Employee Drug Testing Program for the Bidder and all listed Subcontractors. Bonds shall be for the benefit of the Agency with surety in the amount of 100% of the total contract award. Said Bonds shall be conditioned upon the faithful performance of the contract. Bonds shall remain in affect for period of one year after the date of substantial completion.

5.4.6 If the successful Bidder fails to execute the required Contract,Bond and all required information, as aforesaid, within twenty (20) calendar days after the date of official Notice of the Award of the Contract, their Bid guaranty shall immediately be taken and become the property of the State for the benefit of the Agency as liquidated damages, and not as a forfeiture or as a penalty. Award will then be made to the next lowest qualified Bidder of the Work or readvertised, as the Agency may decide.

5.4.7 Each bidder shall supply with its bid its taxpayer identification number (i.e., federal employer identification number or social security number) and a copy of its Delaware business license, and should the vendor be awarded a contract, such vendor shall provide to the agency the taxpayer identification license numbers of such subcontractors. Such numbers shall be provided on the later of the date on which such subcontractor is required to be identified or the time the contract is executed. The successful Bidder shall provide to the agency to which it is contracting, within 30 days of entering into such public works contract, copies of all Delaware Business licenses of subcontractors and/or independent contractors that will perform work for such public works contract. However, if a subcontractor or independent contractor is hired or contracted more than 20 days after the Bidder entered the public works contract the Delaware Business license of such

NOT FOR BIDDING PURPOSES

subcontractor or independent contractor shall be provided to the agency within 10 days of being contracted or hired.

5.4.8 The Bid Security shall be returned to the successful Bidder upon the execution of the formal contract. The Bid Securities of unsuccessful bidders shall be returned within thirty (30) calendar days after the opening of the Bids.

ARTICLE 6: POST-BID INFORMATION

6.1 CONTRACTOR'S QUALIFICATION STATEMENT

6.1.1 Bidders to whom award of a Contract is under consideration shall, if requested by the Agency, submit a properly executed AIA Document A305, Contractor's Qualification Statement, unless such a statement has been previously required and submitted.

6.2 BUSINESS DESIGNATION FORM

6.2.1 Successful bidder shall be required to accurately complete an Office of Management and Budget Business Designation Form for Subcontractors.

ARTICLE 7: PERFORMANCE BOND AND PAYMENT BOND

7.1 BOND REQUIREMENTS

7.1.1 The cost of furnishing the required Bonds, that are stipulated in the Bidding Documents, shall be included in the Bid.

7.1.2 If the Bidder is required by the Agency to secure a bond from other than the Bidder's usual sources, changes in cost will be adjusted as provide in the Contract Documents.

7.1.3 The Performance and Payment Bond forms used shall be the standard OMB forms (attached).

7.2 TIME OF DELIVERY AND FORM OF BONDS

7.2.1 The bonds shall be dated on or after the date of the Contract.

7.2.2 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix a certified and current copy of the power of attorney.

ARTICLE 8: FORM OF AGREEMENT BETWEEN AGENCY AND CONTRACTOR

8.1 Unless otherwise required in the Bidding Documents, the Agreement for the Work will be written on AIA Document A101, Standard Form of Agreement Between Owner and Contractor Where the Basis of Payment is a Stipulated Sum.

END OF SECTION

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SECTION 00 22 13

ADDITIONAL INSTRUCTIONS TO BIDDERS

PART 1 GENERAL

1.01 GENERAL PROVISIONS

A. The provisions of Division 00 herein shall govern in any conflict between Division 00 and other provisions of this Project Manual.

1.02 QUALIFICATION OF BIDDERS

A. The Prime Contractor for this project shall be either an Electrical or General Contractor whose qualifications and reputation are satisfactory to the Owner and Engineer.

B. The Prime Contractor shall be a Contractor who holds a General, Electrical or Fire Alarm Installer license in New Castle County, Delaware and the State of Delaware at the time that bids are taken for this project.

C. The Prime Contractor shall perform at least 10% of the total contract with their own forces, exclusive of general condition items, the purchasing of equipment or material, administrative costs, overhead and profit.

1.03 DEFINITIONS

A. OWNER as defined herein is the Delaware Army National Guard, Biden National Guard/Reserve Center, 250 Airport Road, New Castle, DE 19720.

B. ARCHITECT/ENGINEER as defined wherever in Project Manual is Tetra Tech Inc., 240 Continental Drive, Suite 200, Newark, DE 19713. Email: Scott D. Parlow, PE, Project Manager at scott.parlow@tetratech.com.

1.04 BID FORM

A. All names must be typed or printed below the signature.

B. The Bid shall contain an acknowledgment of receipt of all Addenda by number and date.

1.05 CONTRACT TIME

A. The timing of work to be performed under this contract is critical and set forth in detail in the Contract Documents.

B. It is estimated that project will take up to 120 days to complete.

1.06 REPRESENTATION OF BIDDERS

NOT FOR BIDDING PURPOSES

A. Before submitting their Bid, each Bidder shall thoroughly review Contract Documents prior to visiting site, take Contract Documents to site during scheduled building tour, and thoroughly explore, to any extent necessary, existing conditions as relating to fulfilling the requirements of this Contract.

B. Bidders requiring access to each facility must make prior arrangements through William R. Davis at 302-326-7121 or william.r.davis534.nfg@mail.mil and be prepared to identify themselves satisfactorily (picture ID) before obtaining access.

C. If discrepancies are noted between requirements of Contract Documents and existing conditions or ambiguity, inconsistency or error are determined in Contract Documents, Bidder shall so indicate to Engineer prior to seven (7) days before Bid Date and clarification will be issued in the form of Addenda.

D. The submission of a bid will constitute an incontrovertible representation by the Bidder that they have complied with every requirement of Representation of Bidders Article and that their bid is based upon the contents of the Contract Documents without exception.

1.07 INTERPRETATIONS

A. All questions about the meaning or intent of the Contract Documents or requests for substitutions shall be submitted to the Engineer in writing and shall be in Architect/Engineer's office seven (7) days before Bid Date.

B. Replies will be in the form of numbered and dated Addenda mailed or delivered to all parties recorded by Engineer as having received the Contract Documents.

C. Only the contents of formal written Addenda will be binding. Oral or other interpretations or clarifications will be without legal validity.

D. Prior to submitting their bid, each Bidder shall ascertain that they have received all Addenda issued and shall acknowledge receipt in their bid.

1.08 SUBSTITUTIONS

A. Bids shall be submitted only on the basis of materials, products or equipment specified in the specifications, on the drawings, or as named by Addendum issued prior to bid date and pursuant to requests for approval.

B. Materials, products or equipment specified in these specifications or on the drawings, are specified for the purpose of establishing a standard of quality, cost, design and function. It is not the intent to limit the acceptance of materials, products or equipment specified but rather to name or describe a material, product or piece of equipment as the absolute minimum standard that is desired and acceptable. Where proprietary names are used, whether or not followed by the words "or approved substitute" or "or equivalent equal", they shall be subject to equals only as approved by the Engineer prior to the date for receipt of bids.

C. No substitutions shall be considered unless written requests are submitted to the Engineer for approval ten (10) days prior to the date for receipt of bids. Such requests shall be from a

NOT FOR BIDDING PURPOSES

Prime Contractor and shall include a complete description of the proposed substitute, documentary proof of equal or superior quality, drawings or catalog cuts clearly marking the models or lines, sample of materials, performance and test data, jobs completed locally within the past five years and any other data or information necessary for a complete evaluation.

D. Approved substitutions will be set forth by Addenda to alert all bidders.

E. The contract documents have been prepared to provide for the incorporation of at least one of the specified items or assemblies of every category of materials, products or pieces of equipment. In the event that incorporation of a substituted item or assembly into the work will require revisions or additions to the contractual requirements of the Prime Contractor or any of their Sub-Contractors, the Prime Contractor or Sub-Contractor electing to use such item or assembly shall bear the cost of such revisions or additions to the work of all trades at no change in the contract sum.

F. If no prospective bidder has elected to obtain approval by the means described above, the Owner has no obligation, after award of contract, to consider any brand other than those named in the contract documents.

G. A substitution submitted by a Contractor or Sub-Contractor after the award of contract, for reason that a product is not available will not be permitted unless proof is submitted that a firm order was placed within 30 days after Notice of Award to the Prime Contractor. If an order was placed as stated, and the product is not available, the Contractor shall have the substitute product approved by the Owner and Architect/Engineer.

1.09 WAGE RATES

A. The schedule of prevailing wage rates is included in the Contract Documents under Section 00 73 46.

1.10 START OF WORK

A. The time of completion of this Contract has been established on the basis that the Contractor shall start work within ten (10) days following receipt of an official State of Delaware Purchase Order and it is agreed that work shall accordingly be commenced on that day.

1.11 TABLES OF CONTENTS

A. TABLE OF CONTENTS are included with this Project Manual for reference only and are not part thereof.

B. The Engineer does not guarantee the accuracy of the Table of Contents or the inclusion or exclusion of any category of the work.

C. In case of discrepancy between Table of Contents and the documents bound herein, the bound documents will govern.

1.12 COST OF CONTRACT DOCUMENTS

NOT FOR BIDDING PURPOSES

A. Refer to ADVERTISEMENT FOR BIDS herein.

B. Documents may be obtained at the offices of the Architect/Engineer Tetra Tech, 240 Continental Drive, Suite 200, Newark, DE 19713, upon payment of a non-refundable deposit of $xxx.00. Checks shall be made payable Tetra Tech, Inc.

C. Documents may be examined at the offices of the Architect/Engineer, Tetra Tech Inc., 240 Continental Drive, Suite 200, Newark, DE 19713 or Delaware Contractor's Association (DCA), 527 Christiana-Stanton Road, Newark, DE 19713.

END OF SECTION

NOT FOR BIDDING PURPOSES

PACKAGED TERMINAL AIR CONDITIONING (PTAC) UNIT REPLACEMENT
AT THE
SMYRNA READINESS CENTER
DELAWARE ARMY NATIONAL GUARD
103 ARTISAN DRIVE
SMYRNA, DE 19977

BID FORM

For Bids Due: _____ **To:** **Delaware Army National Guard (DEARNG)**
Biden National Guard/Reserve Center
250 Airport Road
New Castle, Delaware 19720

Name of Bidder: _____

Delaware Business License No.:_____ **Taxpayer ID No.:** _____
(A copy of Bidder's Delaware Business License must be attached to this form.)

(Other License Nos.): _____

Phone No.: ( ) _____ - _____ **Fax No.: ( ) _____ - _____**

The undersigned, representing that he has read and understands the Bidding Documents and that this bid is made in accordance therewith, that he has visited the site and has familiarized himself with the local conditions under which the Work is to be performed, and that his bid is based upon the materials, systems and equipment described in the Bidding Documents without exception, hereby proposes and agrees to provide all labor, materials, plant, equipment, supplies, transport and other facilities required to execute the work described by the aforesaid documents for the lump sum itemized below:

$_____($)

ALLOWANCE CERTIFICATION No. 1: We _____ have included a $25,000.00 Allowance in our Base Bid Price for the bridling of loose cable and/or removal of abandoned wiring.

ALLOWANCE CERTIFICATION No. 2: We _____ have included a $_____ Allowance for the BAS Controls. Contractor shall add to this price their cost for their time and Mark-ups for Supervision and Coordination. Total Allowance Cost to be included in the Contractor's Base Bid price.

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DELAWARE ARMY NATIONAL GUARD
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SMYRNA, DE 19977

BID FORM

I/We acknowledge Addendums numbered _____ and the price(s) submitted include any cost/schedule impact they may have.

This bid shall remain valid and cannot be withdrawn for thirty (30) days from the date of opening of bids (60 days for School Districts and Department of Education), and the undersigned shall abide by the Bid Security forfeiture provisions. Bid Security is attached to this Bid.

The Owner shall have the right to reject any or all bids, and to waive any informality or irregularity in any bid received.

This bid is based upon work being accomplished by the Sub-Contractors named on the list attached to this bid.

Should I/We be awarded this contract, I/We pledge to achieve substantial completion of all the work within _____calendar days of the Notice to Proceed.

The undersigned represents and warrants that he has complied and shall comply with all requirements of local, state, and national laws; that no legal requirement has been or shall be violated in making or accepting this bid, in awarding the contract to him or in the prosecution of the work required; that the bid is legal and firm; that he has not, directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken action in restraint of free competitive bidding.

Upon receipt of written notice of the acceptance of this Bid, the Bidder shall, within twenty (20) calendar days, execute the agreement in the required form and deliver the Contract Bonds, and Insurance Certificates, required by the Contract Documents.

I am / We are an Individual / a Partnership / a Corporation

By _____ Trading as _____
(Individual's / General Partner's / Corporate Name)

_____
(State of Corporation)

Business Address:_____
_____
_____
_____

Witness: _____ **By:** _____
(Authorized Signature)

(SEAL)

_____
(Title)

Date: _____

ATTACHMENTS

Sub-Contractor List
Non-Collusion Statement
Affidavit(s) of Employee Drug Testing Program
Bid Security
(Others as Required by Project Manuals)

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PACKAGED TERMINAL AIR CONDITIONING (PTAC) UNIT REPLACEMENT
AT THE
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SMYRNA, DE 19977

BID FORM

SUBCONTRACTOR LIST

In accordance with Title 29, Chapter 6962 (d)(10)b Delaware Code, the following sub-contractor listing must accompany the bid submittal. The name and address of the sub-contractor **must be listed for each category** where the bidder intends to use a sub-contractor to perform that category of work. In order to provide full disclosure and acceptance of the bid by the *Owner*, **it is required that bidders list themselves as being the sub-contractor for all categories where he/she is qualified and intends to perform such work.** This form must be filled out completely with no additions or deletions. **Note that all subcontractors listed below must have a signed Affidavit of Employee Drug Testing Program included with this bid.**

Subcontractor Category	Subcontractor	Address (City & State)	Subcontractors tax payer ID # or Delaware Business license #
1. Mechanical			
2. Electrical			
3. Insulation			
4. Testing, Adjusting & Balancing			
5.			
6			

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SMYRNA, DE 19977

BID FORM

NON-COLLUSION
SUSPENSION/DEBARMENT DISCLOSURE STATEMENT

This is to certify that the undersigned bidder has neither directly nor indirectly, entered into any agreement, participated in any collusion or otherwise taken any action in restraint of free competitive bidding in connection with this proposal submitted this date to the State of Delaware, Delaware Army National Guard.

AFFIRMATION: Within the past five years, has your firm, any affiliate, any predecessor company or entity, owner, Director, officer, partner or proprietor been the subject of a Federal, State, Local government suspension or debarment?

YES _____ NO _____ If yes, please explain (use separate page and include with Bid Form.)

All the terms and conditions of MC7601000088 have been thoroughly examined and are understood.

NAME OF BIDDER: _____

AUTHORIZED REPRESENTATIVE (TYPED): _____

AUTHORIZED REPRESENTATIVE (SIGNATURE): _____

TITLE: _____

ADDRESS OF BIDDER: _____

_____

_____

E-MAIL: _____

PHONE NUMBER: _____

Sworn to and Subscribed before me this _____ day of _____ 20____.

My Commission expires _____. NOTARY PUBLIC _____.

THIS PAGE MUST BE SIGNED AND NOTARIZED FOR YOUR BID TO BE CONSIDERED.

NOT FOR BIDDING PURPOSES

PACKAGED TERMINAL AIR CONDITIONING (PTAC) UNIT REPLACEMENT
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103 ARTISAN DRIVE
SMYRNA, DE 19977

AFFIDAVIT
OF
EMPLOYEE DRUG TESTING PROGRAM

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors implement a program of mandatory drug testing for Employees who work on Large Public Works Contracts funded all or in part with public funds.

We hereby certify that we have in place or will implement during the entire term of the contract a Mandatory Drug Testing Program for our employees on the jobsite that complies with this regulation:

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____

_____

_____

Authorized Representative (typed or printed): _____

Authorized Representative (signature): _____

Title: _____

Sworn to and Subscribed before me this _____day of _____ 20_____.

My Commission expires _____. NOTARY PUBLIC _____.

THIS PAGE MUST BE SIGNED AND NOTARIZED FOR YOUR BID TO BE CONSIDERED.

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STATE OF DELAWARE
DELAWARE ARMY NATIONAL GUARD

BID BOND

TO ACCOMPANY PROPOSAL
(Not necessary if security is used)

KNOW ALL MEN BY THESE PRESENTS That: _____ _____of _____ in the County of _____ _____and State of _____as **Principal**, and _____ _____ of _____ in the County of _____ and State of _____ as **Surety**, legally authorized to do business in the State of Delaware ("**State**"), are held and firmly unto the **State** in the sum of _____ _____ Dollars ($_____), or _____ percent not to exceed _____ _____ Dollars ($_____) of amount of bid on DEARNG SMYRNA RC – PTAC REPLACEMENT to be paid to the **State** for the use and benefit of Delaware National Guard for which payment well and truly to be made, we do bind ourselves, our and each of our heirs, executors, administrators, and successors, jointly and severally for and in the whole firmly by these presents.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH That if the above bonded **Principal** who has submitted to the Delaware National Guard a certain proposal to enter into this contract for the furnishing of certain material and/or services within the **State**, shall be awarded this Contract, and if said **Principal** shall well and truly enter into and execute this Contract as may be required by the terms of this Contract and approved by the Delaware National Guard this Contract to be entered into within twenty days after the date of official notice of the award thereof in accordance with the terms of said proposal, then this obligation shall be void or else to be and remain in full force and virtue.

Sealed with _____ seal and dated this _____day of _____ in the year of our Lord two thousand and fifteen (2015).

SEALED, AND DELIVERED IN THE
Presence of

_____
Name of Bidder (Organization)

Corporate Seal

By: _____
Authorized Signature

Attest _____

_____
Title

_____
Name of Surety

Witness:_____ By: _____

_____
Title

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SECTION 00 52 13

STANDARD FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR

The Standard Form of Agreement Between Owner and Contractor is as stated in the American Institute of Architects Document AIA A101 (2007 Edition) entitled Standard Form of Agreement Between Owner and Contractor and is part of this project manual as if herein written in full.

A draft copy of this document is included herein as follows.

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AIA® Document A101™ – 2007

Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

AGREEMENT made as of the day of in the year
(In words, indicate day, month and year.)

BETWEEN the Owner:
(Name, legal status, address and other information)

and the Contractor:
(Name, legal status, address and other information)

for the following Project:
(Name, location and detailed description)

The Architect:
(Name, legal status, address and other information)

The Owner and Contractor agree as follows.

ADDITIONS AND DELETIONS: The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

AIA Document A201™–2007, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

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User Notes:

(1767008047)

TABLE OF ARTICLES

1 THE CONTRACT DOCUMENTS

2 THE WORK OF THIS CONTRACT

3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

4 CONTRACT SUM

5 PAYMENTS

6 DISPUTE RESOLUTION

7 TERMINATION OR SUSPENSION

8 MISCELLANEOUS PROVISIONS

9 ENUMERATION OF CONTRACT DOCUMENTS

10 INSURANCE AND BONDS

ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be the date of this Agreement unless a different date is stated below or provision is made for the date to be fixed in a notice to proceed issued by the Owner.
(Insert the date of commencement if it differs from the date of this Agreement or, if applicable, state that the date will be fixed in a notice to proceed.)

If, prior to the commencement of the Work, the Owner requires time to file mortgages and other security interests, the Owner's time requirement shall be as follows:

§ 3.2 The Contract Time shall be measured from the date of commencement.

§ 3.3 The Contractor shall achieve Substantial Completion of the entire Work not later than ( ) days from the date of commencement, or as follows:
(Insert number of calendar days. Alternatively, a calendar date may be used when coordinated with the date of commencement. If appropriate, insert requirements for earlier Substantial Completion of certain portions of the Work.)

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User Notes: (1767008047)

Portion of Work	Substantial Completion Date

, subject to adjustments of this Contract Time as provided in the Contract Documents.
(Insert provisions, if any, for liquidated damages relating to failure to achieve Substantial Completion on time or for bonus payments for early completion of the Work.)

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be ($), subject to additions and deductions as provided in the Contract Documents.

§ 4.2 The Contract Sum is based upon the following alternates, if any, which are described in the Contract Documents and are hereby accepted by the Owner:
(State the numbers or other identification of accepted alternates. If the bidding or proposal documents permit the Owner to accept other alternates subsequent to the execution of this Agreement, attach a schedule of such other alternates showing the amount for each and the date when that amount expires.)

§ 4.3 Unit prices, if any:
(Identify and state the unit price; state quantity limitations, if any, to which the unit price will be applicable.)

Item	Units and Limitations	Price Per Unit ($0.00)

§ 4.4 Allowances included in the Contract Sum, if any:
(Identify allowance and state exclusions, if any, from the allowance price.)

Item	Price

ARTICLE 5 PAYMENTS

§ 5.1 PROGRESS PAYMENTS

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

5.1.3 Provided that a valid Application for Payment is received by the Architect that meets all requirements of the Contract, payment shall be made by the Owner not later than 30 days after the Owner receives the valid application for payment.

(Paragraphs deleted)

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

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§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 Subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

.1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of percent (%). Pending final determination of cost to the Owner of changes in the Work, amounts not in dispute shall be included as provided in Section 7.3.9 of AIA Document A201™–2007, General Conditions of the Contract for Construction;

.2 Add that portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction (or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing), less retainage of percent (%);

.3 Subtract the aggregate of previous payments made by the Owner; and

.4 Subtract amounts, if any, for which the Architect has withheld or nullified a Certificate for Payment as provided in Section 9.5 of AIA Document A201–2007.

§ 5.1.7 The progress payment amount determined in accordance with Section 5.1.6 shall be further modified under the following circumstances:

.1 Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the Architect shall determine for incomplete Work, retainage applicable to such work and unsettled claims; and
(Section 9.8.5 of AIA Document A201–2007 requires release of applicable retainage upon Substantial Completion of Work with consent of surety, if any.)

.2 Add, if final completion of the Work is thereafter materially delayed through no fault of the Contractor, any additional amounts payable in accordance with Section 9.10.3 of AIA Document A201–2007.

§ 5.1.8 Reduction or limitation of retainage, if any, shall be as follows:
(If it is intended, prior to Substantial Completion of the entire Work, to reduce or limit the retainage resulting from the percentages inserted in Sections 5.1.6.1 and 5.1.6.2 above, and this is not explained elsewhere in the Contract Documents, insert here provisions for such reduction or limitation.)

§ 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.

§ 5.2 FINAL PAYMENT

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

.1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Section 12.2.2 of AIA Document A201–2007, and to satisfy other requirements, if any, which extend beyond final payment; and

.2 a final Certificate for Payment has been issued by the Architect.

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect's final Certificate for Payment, or as follows:

ARTICLE 6 DISPUTE RESOLUTION

§ 6.1 INITIAL DECISION MAKER

The Architect will serve as Initial Decision Maker pursuant to Section 15.2 of AIA Document A201–2007, unless the parties appoint below another individual, not a party to this Agreement, to serve as Initial Decision Maker.

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(If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)

§ 6.2 BINDING DISPUTE RESOLUTION

For any Claim subject to, but not resolved by, mediation pursuant to Section 15.3 of AIA Document A201–2007, the method of binding dispute resolution shall be as follows:
(Check the appropriate box. If the Owner and Contractor do not select a method of binding dispute resolution below, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.)

[ ] Arbitration pursuant to Section 15.4 of AIA Document A201–2007

[ ] Litigation in a court of competent jurisdiction

[X] Other *(Specify)*

Any remedies available in law or in equity.

ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2007.

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2007.

ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2007 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.
(Insert rate of interest agreed upon, if any.)

Payments are due 30 days after the receipt of a valid Application for Payment. After that 30 day period, interest may be charged at the rate of 1% per month not to exceed 12% per annum.

§ 8.3 The Owner's representative:
(Name, address and other information)

§ 8.4 The Contractor's representative:
(Name, address and other information)

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§ 8.5 The Contractor's representative shall not be changed without ten days written notice to the Owner.

§ 8.6 Other provisions:

ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 The Contract Documents, except for Modifications issued after execution of this Agreement, are enumerated in the sections below.

§ 9.1.1 The Agreement is this executed AIA Document A101–2007, Standard Form of Agreement Between Owner and Contractor.

§ 9.1.2 The General Conditions are AIA Document A201–2007, General Conditions of the Contract for Construction.

§ 9.1.3 The Supplementary and other Conditions of the Contract:

Document	Title	Date	Pages

§ 9.1.4 The Specifications:
(Either list the Specifications here or refer to an exhibit attached to this Agreement.)

Section	Title	Date	Pages

§ 9.1.5 The Drawings:
(Either list the Drawings here or refer to an exhibit attached to this Agreement.)

Number	Title	Date

§ 9.1.6 The Addenda, if any:

Number	Date	Pages

Portions of Addenda relating to bidding requirements are not part of the Contract Documents unless the bidding requirements are also enumerated in this Article 9.

§ 9.1.7 Additional documents, if any, forming part of the Contract Documents:

.1 AIA Document E201™–2007, Digital Data Protocol Exhibit, if completed by the parties, or the following:

.2 Other documents, if any, listed below:
(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201–2007 provides that bidding requirements such as advertisement or invitation to bid, Instructions to Bidders, sample forms and the Contractor's bid are not part of the Contract Documents

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unless enumerated in this Agreement. They should be listed here only if intended to be part of the Contract Documents.)

ARTICLE 10 INSURANCE AND BONDS

The Contractor shall purchase and maintain insurance and provide bonds as set forth in Article 11 of AIA Document A201–2007.

(State bonding requirements, if any, and limits of liability for insurance required in Article 11 of AIA Document A201–2007.)

Type of insurance or bond	Limit of liability or bond amount ($0.00)

This Agreement entered into as of the day and year first written above.

OWNER *(Signature)*	**CONTRACTOR** *(Signature)*
(Printed name and title)	*(Printed name and title)*

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STATE OF DELAWARE
DELAWARE ARMY NATIONAL GUARD

PERFORMANCE BOND

Bond Number: _____

KNOW ALL PERSONS BY THESE PRESENTS, that we, _____, as principal ("**Principal**"), and _____, a _____ corporation, legally authorized to do business in the State of Delaware, as surety ("**Surety**"), are held and firmly bound unto the Delaware National Guard ("**Owner**") in the amount of _____ ($_____), to be paid to **Owner**, for which payment well and truly to be made, we do bind ourselves, our and each and every of our heirs, executors, administrations, successors and assigns, jointly and severally, for and in the whole, firmly by these presents.

Sealed with our seals and dated this _____ day of _____, 2017.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH that if **Principal**, who has been awarded by **Owner** that certain contract known as DEARNG SMYRNA RC – PTAC REPLACEMENT dated the _____ day of _____, 2017 (the "Contract"), which Contract is incorporated herein by reference, shall well and truly provide and furnish all materials, appliances and tools and perform all the work required under and pursuant to the terms and conditions of the Contract and the Contract Documents (as defined in the Contract) or any changes or modifications thereto made as therein provided, shall make good and reimburse **Owner** sufficient funds to pay the costs of completing the Contract that **Owner** may sustain by reason of any failure or default on the part of **Principal**, and shall also indemnify and save harmless **Owner** from all costs, damages and expenses arising out of or by reason of the performance of the Contract and for as long as provided by the Contract, then this obligation shall be void, otherwise to be and remain in full force and effect.

Surety, for value received, hereby stipulates and agrees, if requested to do so by **Owner**, to fully perform and complete the work to be performed under the Contract pursuant to the terms, conditions and covenants thereof, if for any cause **Principal** fails or neglects to so fully perform and complete such work.

Surety, for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of **Surety** and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition or change in or to the Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any work to be performed or any monies due or to become due thereunder; and **Surety** hereby waives notice of any and all such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other

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transferees shall have the same effect as to **Surety** as though done or omitted to be done by or in relation to **Principal**.

Surety hereby stipulates and agrees that no modifications, omissions or additions in or to the terms of the Contract shall in any way whatsoever affect the obligation of **Surety** and its bond.

Any proceeding, legal or equitable, under this Bond may be brought in any court of competent jurisdiction in the State of Delaware. Notices to **Surety** or Contractor may be mailed or delivered to them at their respective addresses shown below.

IN WITNESS WHEREOF, **Principal** and **Surety** have hereunto set their hand and seals, and such of them as are corporations have caused their corporate seal to be hereto affixed and these presents to be signed by their duly authorized officers, the day and year first above written.

PRINCIPAL

Name: _____

Witness or Attest: Address: _____

_____ By: _____(SEAL)
Name: Name:
Title:

(Corporate Seal)

SURETY

Name: _____

Witness or Attest: Address: _____

_____ By: _____ (SEAL)
Name: Name:
Title:

(Corporate Seal)

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STATE OF DELAWARE
DELAWARE ARMY NATIONAL GUARD

PAYMENT BOND

Bond Number: _____

KNOW ALL PERSONS BY THESE PRESENTS, that we, _____, as principal ("**Principal**"), and _____, a _____ corporation, legally authorized to do business in the State of Delaware, as surety ("**Surety**"), are held and firmly bound unto the Delaware National Guard ("**Owner**") in the amount of _____ ($_____), to be paid to **Owner**, for which payment well and truly to be made, we do bind ourselves, our and each and every of our heirs, executors, administrators, successors and assigns, jointly and severally, for and in the whole firmly by these presents.

Sealed with our seals and dated this _____ day of_____, 2017.

NOW THE CONDITION OF THIS OBLIGATION IS SUCH, that if **Principal**, who has been awarded by **Owner** that certain contract known as DEARNG SMYRNA RC – PACKAGED TERMINAL AIR CONDITIONING (PTAC) UNIT REPLACEMENT dated the _____ day of _____, 2017 (the "Contract"), which Contract is incorporated herein by reference, shall well and truly pay all and every person furnishing materials or performing labor or service in and about the performance of the work under the Contract, all and every sums of money due him, her, them or any of them, for all such materials, labor and service for which **Principal** is liable, shall make good and reimburse **Owner** sufficient funds to pay such costs in the completion of the Contract as **Owner** may sustain by reason of any failure or default on the part of **Principal**, and shall also indemnify and save harmless **Owner** from all costs, damages and expenses arising out of or by reason of the performance of the Contract and for as long as provided by the Contract; then this obligation shall be void, otherwise to be and remain in full force and effect.

Surety, for value received, for itself and its successors and assigns, hereby stipulates and agrees that the obligation of **Surety** and its bond shall be in no way impaired or affected by any extension of time, modification, omission, addition or change in or to the Contract or the work to be performed thereunder, or by any payment thereunder before the time required therein, or by any waiver of any provisions thereof, or by any assignment, subletting or other transfer thereof or of any work to be performed or any monies due or to become due thereunder; and **Surety** hereby waives notice of any and all such extensions, modifications, omissions, additions, changes, payments, waivers, assignments, subcontracts and transfers and hereby expressly stipulates and agrees that any and all things done and omitted to be done by and in relation to assignees, subcontractors, and other transferees shall have the same effect as to **Surety** as though done or omitted to be done by or in relation to **Principal**.

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Surety hereby stipulates and agrees that no modifications, omission or additions in or to the terms of the Contract shall in any way whatsoever affect the obligation of **Surety** and its bond.

Any proceeding, legal or equitable, under this Bond may be brought in any court of competent jurisdiction in the State of Delaware. Notices to **Surety** or Contractor may be mailed or delivered to them at their respective addresses shown below.

IN WITNESS WHEREOF, **Principal** and **Surety** have hereunto set their hand and seals, and such of them as are corporations have caused their corporate seal to be hereto affixed and these presents to be signed by their duly authorized officers, the day and year first above written.

PRINCIPAL

Name: _____

Witness or Attest: Address: _____

_____ By: _____(SEAL)
Name: Name:
Title:

(Corporate Seal)

SURETY

Name: _____

Witness or Attest: Address: _____

_____ By: _____(SEAL)
Name: Name:
Title:

(Corporate Seal)

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SECTION 00 62 76

CHANGE ORDER AIA G701-2001

AIA Document G701™CMa–1992 is for implementing changes in the work agreed to by the owner, contractor, construction manager adviser, and architect. Execution of a completed AIA Document G701™–2001 indicates agreement upon all the terms of the change, including any changes in the Contract Sum (or Guaranteed Maximum Price) and Contract Time. It provides space for the signatures of the owner, contractor, construction manager adviser, and architect, and for a complete description of the change. The major difference between AIA Documents G701CMa–1992 and G701™–2001 is that the signature of the construction manager adviser, along with those of the owner, architect, and contractor, is required to validate the change order.

A draft copy of this document is included herein as follows

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AIA® Document G701™ – 2001

Change Order

PROJECT *(Name and address)*:

CHANGE ORDER NUMBER:

DATE:

TO CONTRACTOR *(Name and address)*:

ARCHITECT'S PROJECT NUMBER:

CONTRACT DATE:

CONTRACT FOR:

OWNER: ☐
ARCHITECT: ☐
CONTRACTOR: ☐
FIELD: ☐
OTHER: ☐

THE CONTRACT IS CHANGED AS FOLLOWS:
(Include, where applicable, any undisputed amount attributable to previously executed Construction Change Directives)

The original Contract Sum was	$	0.00
The net change by previously authorized Change Orders	$	0.00
The Contract Sum prior to this Change Order was	$	0.00
The Contract Sum will be by this Change Order in the amount of	$	0.00
The new Contract Sum including this Change Order will be	$	0.00

The Contract Time will be by ( ) days.
The date of Substantial Completion as of the date of this Change Order therefore is

NOTE: This Change Order does not include changes in the Contract Sum, Contract Time or Guaranteed Maximum Price which have been authorized by Construction Change Directive until the cost and time have been agreed upon by both the Owner and Contractor, in which case a Change Order is executed to supersede the Construction Change Directive.

NOT VALID UNTIL SIGNED BY THE ARCHITECT, CONTRACTOR AND OWNER.

ARCHITECT *(Firm name)*	**CONTRACTOR** *(Firm name)*	**OWNER** *(Firm name)*
ADDRESS	**ADDRESS**	**ADDRESS**
BY *(Signature)*	**BY** *(Signature)*	**BY** *(Signature)*
(Typed name)	*(Typed name)*	*(Typed name)*
DATE	**DATE**	**DATE**

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SECTION 00 62 76

APPLICATION AND CERTIFICATE FOR PAYMENT AIA G702-1992

The Application and Certificate for Payment is as stated in the American Institute of Architects Document AIA G702 (1992 Edition) entitled Application and Certificate for Payment and is part of this project manual as if herein written in full.

A draft copy of this document is included herein as follows

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AIA® Document G702™ – 1992

Application and Certificate for Payment

TO OWNER:	**PROJECT:**	**APPLICATION NO:**	**Distribution to:**
		PERIOD TO:	OWNER: ☐
		CONTRACT FOR:	ARCHITECT: ☐
FROM CONTRACTOR:	**VIA ARCHITECT:**	**CONTRACT DATE:**	CONTRACTOR: ☐
		PROJECT NOS: / /	FIELD: ☐
			OTHER: ☐

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.
Continuation Sheet, AIA Document G703, is attached.

1. ORIGINAL CONTRACT SUM ..... $ _____

2. Net change by Change Orders ..... $ _____

3. CONTRACT SUM TO DATE (Line 1 ± 2) ..... $ _____

4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) ..... $ _____

5. RETAINAGE:

a. _____ % of Completed Work
(Column D + E on G703) $ _____

b. _____ % of Stored Material
(Column F on G703) $ _____

Total Retainage (Lines 5a + 5b or Total in Column I of G703) ..... $ _____

6. TOTAL EARNED LESS RETAINAGE ..... $ _____
(Line 4 Less Line 5 Total)

7. LESS PREVIOUS CERTIFICATES FOR PAYMENT ..... $ _____
(Line 6 from prior Certificate)

8. CURRENT PAYMENT DUE ..... $ _____

9. BALANCE TO FINISH, INCLUDING RETAINAGE
(Line 3 less Line 6) $ _____

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	$	$
Total approved this Month	$	$
TOTALS	$	$
NET CHANGES by Change Order	$	

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR:

By: _____ Date: _____

State of:

County of:

Subscribed and sworn to before me this day of

Notary Public:

My Commission expires:

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... $ _____

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract

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SECTION 00 62 76

APPLICATION FOR PAYMENT CONTINUATION SHEET AIA G703 -1992

AIA Document G702, Application and Certificate for Payment, is to be used in conjunction with AIA Document G703, Continuation Sheet. These documents are designed for use on Projects where the Contractor has a direct Agreement with the Owner. Procedures for their use are covered in AIA Document A201, General Conditions of the Contract for Construction.

A draft copy of this document is included herein as follows.

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AIA® Document G703™ – 1992

Continuation Sheet

AIA Document G702, APPLICATION AND CERTIFICATION FOR PAYMENT, containing Contractor's signed certification is attached.
In tabulations below, amounts are stated to the nearest dollar.
Use Column I on Contracts where variable retainage for line items may apply.

APPLICATION NO:
APPLICATION DATE:
PERIOD TO:
ARCHITECT'S PROJECT NO:

A	B	C	D	E	F	G		H	I
			WORK COMPLETED						
ITEM NO.	DESCRIPTION OF WORK	SCHEDULED VALUE	FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD	MATERIALS PRESENTLY STORED (NOT IN D OR E)	TOTAL COMPLETED AND STORED TO DATE (D+E+F)	% (G ÷ C)	BALANCE TO FINISH (C - G)	RETAINAGE (IF VARIABLE RATE)
	GRAND TOTAL	$	$	$	$	$		$	$

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SECTION 00 62 76

CERTIFICATE OF SUBSTANTIAL COMPLETION AIA G704 - 2000

AIA Document G704™–2000 is a standard form for recording the date of substantial completion of the work or a designated portion thereof. The contractor prepares a list of items to be completed or corrected, and the architect verifies and amends this list. If the architect finds that the work is substantially complete, the form is prepared for acceptance by the contractor and the owner, and the list of items to be completed or corrected is attached. In AIA Document G704–2000, the parties agree on the time allowed for completion or correction of the items, the date when the owner will occupy the work or designated portion thereof, and a description of responsibilities for maintenance, heat, utilities, and insurance.

A draft copy of this document is included herein as follows.

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AIA® Document G704™ – 2000

Certificate of Substantial Completion

PROJECT:
(Name and address):

PROJECT NUMBER: /
CONTRACT FOR:
CONTRACT DATE:

OWNER: ☐
ARCHITECT: ☐
CONTRACTOR: ☐
FIELD: ☐
OTHER: ☐

TO OWNER:
(Name and address):

TO CONTRACTOR:
(Name and address):

PROJECT OR PORTION OF THE PROJECT DESIGNATED FOR PARTIAL OCCUPANCY OR USE SHALL INCLUDE:

The Work performed under this Contract has been reviewed and found, to the Architect's best knowledge, information and belief, to be substantially complete. Substantial Completion is the stage in the progress of the Work when the Work or designated portion is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use. The date of Substantial Completion of the Project or portion designated above is the date of issuance established by this Certificate, which is also the date of commencement of applicable warranties required by the Contract Documents, except as stated below:

Warranty **Date of Commencement**

ARCHITECT	BY	DATE OF ISSUANCE

A list of items to be completed or corrected is attached hereto. The failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents. Unless otherwise agreed to in writing, the date of commencement of warranties for items on the attached list will be the date of issuance of the final Certificate of Payment or the date of final payment.

Cost estimate of Work that is incomplete or defective: $

The Contractor will complete or correct the Work on the list of items attached hereto within ( ) days from the above date of Substantial Completion.

CONTRACTOR	BY	DATE

The Owner accepts the Work or designated portion as substantially complete and will assume full possession at (time) on (date).

OWNER	BY	DATE

The responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance shall be as follows:
(Note: Owner's and Contractor's legal and insurance counsel should determine and review insurance requirements and coverage.)

NOT FOR BIDDING PURPOSES

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SECTION 00 62 76

CONTRACTOR'S AFFIDAVIT OF PAYMENT OF DEBTS AND CLAIMS AIA G706 - 1994

The contractor submits this affidavit with the final request for payment, stating that all payrolls, bills for materials and equipment, and other indebtedness connected with the work for which the owner might be responsible has been paid or otherwise satisfied. AIA Document G706™–1994 requires the contractor to list any indebtedness or known claims in connection with the construction contract that have not been paid or otherwise satisfied. The contractor may also be required to furnish a lien bond or indemnity bond to protect the owner with respect to each exception.

A draft copy of this document is included herein as follows.

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AIA® Document G706™ – 1994

Contractor's Affidavit of Payment of Debts and Claims

PROJECT: *(Name and address)*

ARCHITECT'S PROJECT NUMBER:

CONTRACT FOR:

TO OWNER: *(Name and address)*

CONTRACT DATED:

OWNER: ☐
ARCHITECT: ☐
CONTRACTOR: ☐
SURETY: ☐
OTHER: ☐

STATE OF:
COUNTY OF:

The undersigned hereby certifies that, except as listed below, payment has been made in full and all obligations have otherwise been satisfied for all materials and equipment furnished, for all work, labor and services performed, and for all known indebtedness and claims against the Contractor for damages arising in any manner in connection with the performance of the Contract referenced above for which the Owner or Owner's property might in any way be held responsible or encumbered.

EXCEPTIONS:

SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Consent of Surety to Final Payment. Whenever Surety is involved, Consent of Surety is required. AIA Document G707, Consent of Surety, may be used for this purpose

Indicate Attachment ☐ Yes ☐ No

The following supporting documents should be attached hereto if required by the Owner:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.

2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.

3. Contractor's Affidavit of Release of Liens (AIA Document G706A).

CONTRACTOR: *(Name and address)*

BY: _____
(Signature of authorized representative)

_____
(Printed name and title)

Subscribed and sworn to before me on this date:

Notary Public:
My Commission Expires:

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SECTION 00 62 76

CONTRACTOR'S AFFIDAVIT OF RELEASE OF LIENS AIA G706A - 1994

AIA Document G706A™–1994 supports AIA Document G706™–1994 in the event that the owner requires a sworn statement of the contractor stating that all releases or waivers of liens have been received. In such event, it is normal for the contractor to submit AIA Documents G706–1994 and G706A–1994 along with attached releases or waivers of liens for the contractor, all subcontractors, and others who may have lien rights against the owner's property. The contractor is required to list any exceptions to the sworn statement provided in G706A–1994, and may be required to furnish to the owner a lien bond or indemnity bond to protect the owner with respect to such exceptions.

A draft copy of this document is included herein as follows.

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AIA® Document G706A™ – 1994

Contractor's Affidavit of Release of Liens

PROJECT: *(Name and address)*

TO OWNER: *(Name and address)*

ARCHITECT'S PROJECT NUMBER:

CONTRACT FOR:

CONTRACT DATED:

OWNER: ☐
ARCHITECT: ☐
CONTRACTOR: ☐
SURETY: ☐
OTHER: ☐

STATE OF:
COUNTY OF:

The undersigned hereby certifies that to the best of the undersigned's knowledge, information and belief, except as listed below, the Releases or Waivers of Lien attached hereto include the Contractor, all subcontractors, all suppliers of materials and equipment, and all performers of Work, labor or services who have or may have liens or encumbrances or the right to assert liens or encumbrances against any property of the Owner arising in any manner out of the performance of the Contract referenced above.

EXCEPTIONS:

SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.

2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.

CONTRACTOR: *(Name and address)*

BY:

(Signature of authorized representative)

(Printed name and title)

Subscribed and sworn to before me on this date:

Notary Public:
My Commission Expires:

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SECTION 00 62 76

CONSENT OF SURETY TO FINAL PAYMENT AIA G707 - 1994

AIA Document G707™–1994 is intended for use as a companion to AIA Document G706™–1994 Contractor's Affidavit of Payment of Debts and Claims, on construction projects where the contractor is required to furnish a bond. By obtaining the surety's approval of final payment to the contractor and its agreement that final payment will not relieve the surety of any of its obligations, the owner may preserve its rights under the bond.

A draft copy of this document is included herein as follows.

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AIA® Document G707™ – 1994

Consent Of Surety to Final Payment

PROJECT: *(Name and address)*

TO OWNER: *(Name and address)*

ARCHITECT'S PROJECT NUMBER:

CONTRACT FOR:

CONTRACT DATED:

OWNER: ☐
ARCHITECT: ☐
CONTRACTOR: ☐
SURETY: ☐
OTHER: ☐

In accordance with the provisions of the Contract between the Owner and the Contractor as indicated above the
(Insert name and address of Surety)

, SURETY,

on bond of
(Insert name and address of Contractor)

, CONTRACTOR,

hereby approves of the final payment to the Contractor, and agrees that final payment to the Contractor shall not relieve the Surety of any of its obligations to
(Insert name and address of Owner)

, OWNER,

as set forth in said Surety's bond.

IN WITNESS WHEREOF, the Surety has hereunto set its hand on this date:
(Insert in writing the month followed by the numeric date and year.)

(Surety)

(Signature of authorized representative)

Attest:
(Seal):

(Printed name and title)

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SECTION 00 72 13

GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

The General Conditions of this Contract are as stated in the American Institute of Architects Document AIA A201 (2007 Edition) entitled General Conditions of the Contract for Construction and is part of this project manual as if herein written in full.

A draft copy of this document is included herein as follows.

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AIA® Document A201™ – 2007

General Conditions of the Contract for Construction

for the following PROJECT:
(Name and location or address)

THE OWNER:
(Name, legal status and address)

THE ARCHITECT:
(Name, legal status and address)

ADDITIONS AND DELETIONS:
The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

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ARTICLE 1 GENERAL PROVISIONS

§ 1.1 BASIC DEFINITIONS

§ 1.1.1 THE CONTRACT DOCUMENTS

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding requirements.

§ 1.1.2 THE CONTRACT

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

§ 1.1.3 THE WORK

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

§ 1.1.4 THE PROJECT

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by separate contractors.

§ 1.1.5 THE DRAWINGS

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules and diagrams.

§ 1.1.6 THE SPECIFICATIONS

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

§ 1.1.7 INSTRUMENTS OF SERVICE

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

§ 1.1.8 INITIAL DECISION MAKER

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2 and certify termination of the Agreement under Section 14.2.2.

§ 1.2 CORRELATION AND INTENT OF THE CONTRACT DOCUMENTS

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

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§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.3 CAPITALIZATION

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles or (3) the titles of other documents published by the American Institute of Architects.

§ 1.4 INTERPRETATION

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

§ 1.5 OWNERSHIP AND USE OF DRAWINGS, SPECIFICATIONS AND OTHER INSTRUMENTS OF SERVICE

§ 1.5.1 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and will retain all common law, statutory and other reserved rights, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and material or equipment suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with this Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

§ 1.5.2 The Contractor, Subcontractors, Sub-subcontractors and material or equipment suppliers are authorized to use and reproduce the Instruments of Service provided to them solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and material or equipment suppliers may not use the Instruments of Service on other projects or for additions to this Project outside the scope of the Work without the specific written consent of the Owner, Architect and the Architect's consultants.

§ 1.6 TRANSMISSION OF DATA IN DIGITAL FORM

If the parties intend to transmit Instruments of Service or any other information or documentation in digital form, they shall endeavor to establish necessary protocols governing such transmissions, unless otherwise already provided in the Agreement or the Contract Documents.

ARTICLE 2 OWNER

§ 2.1 GENERAL

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

§ 2.1.2 The Owner shall furnish to the Contractor within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

§ 2.2 INFORMATION AND SERVICES REQUIRED OF THE OWNER

§ 2.2.1 Prior to commencement of the Work, the Contractor may request in writing that the Owner provide reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. Thereafter, the Contractor may only request such evidence if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) a change in the Work materially changes the Contract Sum; or (3) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due. The Owner shall furnish such evidence as a condition precedent to commencement or continuation of the Work or the

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portion of the Work affected by a material change. After the Owner furnishes the evidence, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

§ 2.2.2 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

§ 2.2.3 The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

§ 2.2.4 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

§ 2.2.5 Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

§ 2.3 OWNER'S RIGHT TO STOP THE WORK

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

§ 2.4 OWNER'S RIGHT TO CARRY OUT THE WORK

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of written notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such deficiencies. In such case an appropriate Change Order shall be issued deducting from payments then or thereafter due the Contractor the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect or failure. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect. If payments then or thereafter due the Contractor are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner.

ARTICLE 3 CONTRACTOR

§ 3.1 GENERAL

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

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§ 3.2 REVIEW OF CONTRACT DOCUMENTS AND FIELD CONDITIONS BY CONTRACTOR

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed and correlated personal observations with requirements of the Contract Documents.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.2.3, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

§ 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

§ 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall make Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

§ 3.3 SUPERVISION AND CONSTRUCTION PROCEDURES

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences and procedures and for coordinating all portions of the Work under the Contract, unless the Contract Documents give other specific instructions concerning these matters. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences or procedures, the Contractor shall evaluate the jobsite safety thereof and, except as stated below, shall be fully and solely responsible for the jobsite safety of such means, methods, techniques, sequences or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely written notice to the Owner and Architect and shall not proceed with that portion of the Work without further written instructions from the Architect. If the Contractor is then instructed to proceed with the required means, methods, techniques, sequences or procedures without acceptance of changes proposed by the Contractor, the Owner shall be solely responsible for any loss or damage arising solely from those Owner-required means, methods, techniques, sequences or procedures.

§ 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

§ 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

§ 3.4 LABOR AND MATERIALS

§ 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

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§ 3.4.2 Except in the case of minor changes in the Work authorized by the Architect in accordance with Sections 3.12.8 or 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

§ 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.5 WARRANTY

The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

§ 3.6 TAXES

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

§ 3.7 PERMITS, FEES, NOTICES AND COMPLIANCE WITH LAWS

§ 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

§ 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

§ 3.7.3 If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

§ 3.7.4 **Concealed or Unknown Conditions.** If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature, that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 21 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend an equitable adjustment in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor in writing, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may proceed as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

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§ 3.8 ALLOWANCES

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- **.1** Allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- **.2** Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- **.3** Whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

§ 3.9 SUPERINTENDENT

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall furnish in writing to the Owner through the Architect the name and qualifications of a proposed superintendent. The Architect may reply within 14 days to the Contractor in writing stating (1) whether the Owner or the Architect has reasonable objection to the proposed superintendent or (2) that the Architect requires additional time to review. Failure of the Architect to reply within the 14 day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

§ 3.10 CONTRACTOR'S CONSTRUCTION SCHEDULES

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall prepare and submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall not exceed time limits current under the Contract Documents, shall be revised at appropriate intervals as required by the conditions of the Work and Project, shall be related to the entire Project to the extent required by the Contract Documents, and shall provide for expeditious and practicable execution of the Work.

§ 3.10.2 The Contractor shall prepare a submittal schedule, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, and shall submit the schedule(s) for the Architect's approval. The Architect's approval shall not unreasonably be delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

§ 3.11 DOCUMENTS AND SAMPLES AT THE SITE

The Contractor shall maintain at the site for the Owner one copy of the Drawings, Specifications, Addenda, Change Orders and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and one copy of approved Shop Drawings, Product Data, Samples and similar required submittals. These shall be available to the Architect and shall be delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

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§ 3.12 SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment or workmanship and establish standards by which the Work will be judged.

§ 3.12.4 Shop Drawings, Product Data, Samples and similar submittals are not Contract Documents. Their purpose is to demonstrate the way by which the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve and submit to the Architect Shop Drawings, Product Data, Samples and similar submittals required by the Contract Documents in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of separate contractors.

§ 3.12.6 By submitting Shop Drawings, Product Data, Samples and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples or similar submittals until the respective submittal has been approved by the Architect.

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples or similar submittals unless the Contractor has specifically informed the Architect in writing of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples or similar submittals by the Architect's approval thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such written notice, the Architect's approval of a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. The Contractor shall not be required to provide professional services in violation of applicable law. If professional design services or certifications by a design professional related to systems, materials or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall cause such services or certifications to be provided by a properly licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy, accuracy and

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completeness of the services, certifications and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor all performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review, approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Contractor shall not be responsible for the adequacy of the performance and design criteria specified in the Contract Documents.

§ 3.13 USE OF SITE

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

§ 3.14 CUTTING AND PATCHING

§ 3.14.1 The Contractor shall be responsible for cutting, fitting or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting and patching shall be restored to the condition existing prior to the cutting, fitting and patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or separate contractors by cutting, patching or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter such construction by the Owner or a separate contractor except with written consent of the Owner and of such separate contractor; such consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold from the Owner or a separate contractor the Contractor's consent to cutting or otherwise altering the Work.

§ 3.15 CLEANING UP

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials or rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and Owner shall be entitled to reimbursement from the Contractor.

§ 3.16 ACCESS TO WORK

The Contractor shall provide the Owner and Architect access to the Work in preparation and progress wherever located.

§ 3.17 ROYALTIES, PATENTS AND COPYRIGHTS

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for such defense or loss when a particular design, process or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications or other documents prepared by the Owner or Architect. However, if the Contractor has reason to believe that the required design, process or product is an infringement of a copyright or a patent, the Contractor shall be responsible for such loss unless such information is promptly furnished to the Architect.

§ 3.18 INDEMNIFICATION

§ 3.18.1 To the fullest extent permitted by law the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

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§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts or other employee benefit acts.

ARTICLE 4 ARCHITECT

§ 4.1 GENERAL

§ 4.1.1 The Owner shall retain an architect lawfully licensed to practice architecture or an entity lawfully practicing architecture in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

§ 4.1.2 Duties, responsibilities and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified or extended without written consent of the Owner, Contractor and Architect. Consent shall not be unreasonably withheld.

§ 4.1.3 If the employment of the Architect is terminated, the Owner shall employ a successor architect as to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

§ 4.2 ADMINISTRATION OF THE CONTRACT

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for, the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents, except as provided in Section 3.3.1.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and report to the Owner (1) known deviations from the Contract Documents and from the most recent construction schedule submitted by the Contractor, and (2) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of and will not be responsible for acts or omissions of the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

§ 4.2.4 COMMUNICATIONS FACILITATING CONTRACT ADMINISTRATION

Except as otherwise provided in the Contract Documents or when direct communications have been specially authorized, the Owner and Contractor shall endeavor to communicate with each other through the Architect about matters arising out of or relating to the Contract. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and material suppliers shall be through the Contractor. Communications by and with separate contractors shall be through the Owner.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.5.2 and 13.5.3, whether or not such Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, material and equipment suppliers, their agents or employees, or other persons or entities performing portions of the Work.

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§ 4.2.7 The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5 and 3.12. The Architect's review shall not constitute approval of safety precautions or, unless otherwise specifically stated by the Architect, of any construction means, methods, techniques, sequences or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may authorize minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ 4.2.9 The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ 4.2.10 If the Owner and Architect agree, the Architect will provide one or more project representatives to assist in carrying out the Architect's responsibilities at the site. The duties, responsibilities and limitations of authority of such project representatives shall be as set forth in an exhibit to be incorporated in the Contract Documents.

§ 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

ARTICLE 5 SUBCONTRACTORS

§ 5.1 DEFINITIONS

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a separate contractor or subcontractors of a separate contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

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§ 5.2 AWARD OF SUBCONTRACTS AND OTHER CONTRACTS FOR PORTIONS OF THE WORK

§ 5.2.1 Unless otherwise stated in the Contract Documents or the bidding requirements, the Contractor, as soon as practicable after award of the Contract, shall furnish in writing to the Owner through the Architect the names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for each principal portion of the Work. The Architect may reply within 14 days to the Contractor in writing stating (1) whether the Owner or the Architect has reasonable objection to any such proposed person or entity or (2) that the Architect requires additional time for review. Failure of the Owner or Architect to reply within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person or entity previously selected if the Owner or Architect makes reasonable objection to such substitution.

§ 5.3 SUBCONTRACTUAL RELATIONS

By appropriate agreement, written where legally required for validity, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work, which the Contractor by these Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

§ 5.4 CONTINGENT ASSIGNMENT OF SUBCONTRACTS

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor in writing; and

2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon such assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the

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Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

§ 6.1 OWNER'S RIGHT TO PERFORM CONSTRUCTION AND TO AWARD SEPARATE CONTRACTS

§ 6.1.1 The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and to award separate contracts in connection with other portions of the Project or other construction or operations on the site under Conditions of the Contract identical or substantially similar to these including those portions related to insurance and waiver of subrogation. If the Contractor claims that delay or additional cost is involved because of such action by the Owner, the Contractor shall make such Claim as provided in Article 15.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each separate contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with other separate contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to the construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, separate contractors and the Owner until subsequently revised.

§ 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces, the Owner shall be deemed to be subject to the same obligations and to have the same rights that apply to the Contractor under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6 and Articles 10, 11 and 12.

§ 6.2 MUTUAL RESPONSIBILITY

§ 6.2.1 The Contractor shall afford the Owner and separate contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a separate contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly report to the Architect apparent discrepancies or defects in such other construction that would render it unsuitable for such proper execution and results. Failure of the Contractor so to report shall constitute an acknowledgment that the Owner's or separate contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work, except as to defects not then reasonably discoverable.

§ 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a separate contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a separate contractor's delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or separate contractors as provided in Section 10.2.5.

§ 6.2.5 The Owner and each separate contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

§ 6.3 OWNER'S RIGHT TO CLEAN UP

If a dispute arises among the Contractor, separate contractors and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

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ARTICLE 7 CHANGES IN THE WORK

§ 7.1 GENERAL

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor and Architect; a Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor; an order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents, and the Contractor shall proceed promptly, unless otherwise provided in the Change Order, Construction Change Directive or order for a minor change in the Work.

§ 7.2 CHANGE ORDERS

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.3 CONSTRUCTION CHANGE DIRECTIVES

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.7.

§ 7.3.4 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed in a proposed Change Order or Construction Change Directive so that application of such unit prices to quantities of Work proposed will cause substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

§ 7.3.5 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.6 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.7 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the method and the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount

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for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.7 shall be limited to the following:

.1 Costs of labor, including social security, old age and unemployment insurance, fringe benefits required by agreement or custom, and workers' compensation insurance;

.2 Costs of materials, supplies and equipment, including cost of transportation, whether incorporated or consumed;

.3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;

.4 Costs of premiums for all bonds and insurance, permit fees, and sales, use or similar taxes related to the Work; and

.5 Additional costs of supervision and field office personnel directly attributable to the change.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

§ 7.4 MINOR CHANGES IN THE WORK

The Architect has authority to order minor changes in the Work not involving adjustment in the Contract Sum or extension of the Contract Time and not inconsistent with the intent of the Contract Documents. Such changes will be effected by written order signed by the Architect and shall be binding on the Owner and Contractor.

ARTICLE 8 TIME

§ 8.1 DEFINITIONS

§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

§ 8.2 PROGRESS AND COMPLETION

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, prematurely commence operations on the site or elsewhere prior to the effective date of insurance required by Article 11 to be furnished by the Contractor and Owner. The date of commencement of the Work shall not be changed by the effective date of such insurance.

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§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

§ 8.3 DELAYS AND EXTENSIONS OF TIME

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by an act or neglect of the Owner or Architect, or of an employee of either, or of a separate contractor employed by the Owner; or by changes ordered in the Work; or by labor disputes, fire, unusual delay in deliveries, unavoidable casualties or other causes beyond the Contractor's control; or by delay authorized by the Owner pending mediation and arbitration; or by other causes that the Architect determines may justify delay, then the Contract Time shall be extended by Change Order for such reasonable time as the Architect may determine.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.

§ 8.3.3 This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

ARTICLE 9 PAYMENTS AND COMPLETION

§ 9.1 CONTRACT SUM

The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.2 SCHEDULE OF VALUES

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit to the Architect, before the first Application for Payment, a schedule of values allocating the entire Contract Sum to the various portions of the Work and prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 9.3 APPLICATIONS FOR PAYMENT

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. Such application shall be notarized, if required, and supported by such data substantiating the Contractor's right to payment as the Owner or Architect may require, such as copies of requisitions from Subcontractors and material suppliers, and shall reflect retainage if provided for in the Contract Documents.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or material supplier, unless such Work has been performed by others whom the Contractor intends to pay.

§ 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage and transportation to the site for such materials and equipment stored off the site.

§ 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information and belief, be free and clear of liens, claims, security interests or

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encumbrances in favor of the Contractor, Subcontractors, material suppliers, or other persons or entities making a claim by reason of having provided labor, materials and equipment relating to the Work.

§ 9.4 CERTIFICATES FOR PAYMENT

§ 9.4.1 The Architect will, within seven days after receipt of the Contractor's Application for Payment, either issue to the Owner a Certificate for Payment, with a copy to the Contractor, for such amount as the Architect determines is properly due, or notify the Contractor and Owner in writing of the Architect's reasons for withholding certification in whole or in part as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data comprising the Application for Payment, that, to the best of the Architect's knowledge, information and belief, the Work has progressed to the point indicated and that the quality of the Work is in accordance with the Contract Documents. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion and to specific qualifications expressed by the Architect. The issuance of a Certificate for Payment will further constitute a representation that the Contractor is entitled to payment in the amount certified. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work, (2) reviewed construction means, methods, techniques, sequences or procedures, (3) reviewed copies of requisitions received from Subcontractors and material suppliers and other data requested by the Owner to substantiate the Contractor's right to payment, or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

§ 9.5 DECISIONS TO WITHHOLD CERTIFICATION

§ 9.5.1 The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a separate contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

§ 9.5.2 When the above reasons for withholding certification are removed, certification will be made for amounts previously withheld.

§ 9.5.3 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or material or equipment suppliers to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Architect will reflect such payment on the next Certificate for Payment.

§ 9.6 PROGRESS PAYMENTS

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

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§ 9.6.2 The Contractor shall pay each Subcontractor no later than seven days after receipt of payment from the Owner the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and material and equipment suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay or to see to the payment of money to a Subcontractor, except as may otherwise be required by law.

§ 9.6.5 Contractor payments to material and equipment suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors and suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, shall create any fiduciary liability or tort liability on the part of the Contractor for breach of trust or shall entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.7 FAILURE OF PAYMENT

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' written notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shut-down, delay and start-up, plus interest as provided for in the Contract Documents.

§ 9.8 SUBSTANTIAL COMPLETION

§ 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

§ 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.8.3 Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

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§ 9.8.4 When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion, shall establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance, and shall fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

§ 9.8.5 The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in such Certificate. Upon such acceptance and consent of surety, if any, the Owner shall make payment of retainage applying to such Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

§ 9.9 PARTIAL OCCUPANCY OR USE

§ 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer as required under Section 11.3.1.5 and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Contractor and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

§ 9.10 FINAL COMPLETION AND FINAL PAYMENT

§ 9.10.1 Upon receipt of the Contractor's written notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection and, when the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with terms and conditions of the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

§ 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect and will not be canceled or allowed to expire until at least 30 days' prior written notice has been given to the Owner, (3) a written statement that the Contractor knows of no substantial reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment and (5), if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts, releases and waivers of liens, claims, security interests or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien. If such lien remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging such lien, including all costs and reasonable attorneys' fees.

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§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

§ 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

.1 liens, Claims, security interests or encumbrances arising out of the Contract and unsettled;
.2 failure of the Work to comply with the requirements of the Contract Documents; or
.3 terms of special warranties required by the Contract Documents.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor or material supplier shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 SAFETY PRECAUTIONS AND PROGRAMS

The Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the performance of the Contract.

§ 10.2 SAFETY OF PERSONS AND PROPERTY

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury or loss to

.1 employees on the Work and other persons who may be affected thereby;
.2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody or control of the Contractor or the Contractor's Subcontractors or Sub-subcontractors; and
.3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures and utilities not designated for removal, relocation or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities bearing on safety of persons or property or their protection from damage, injury or loss.

§ 10.2.3 The Contractor shall erect and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards, promulgating safety regulations and notifying owners and users of adjacent sites and utilities.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3, except damage or loss attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

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§ 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

§ 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 INJURY OR DAMAGE TO PERSON OR PROPERTY
If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, written notice of such injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

§ 10.3 HAZARDOUS MATERIALS
§ 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and report the condition to the Owner and Architect in writing.

§ 10.3.2 Upon receipt of the Contractor's written notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of such material or substance or who are to perform the task of removal or safe containment of such material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased in the amount of the Contractor's reasonable additional costs of shut-down, delay and start-up.

§ 10.3.3 To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants and agents and employees of any of them from and against claims, damages, losses and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself) except to the extent that such damage, loss or expense is due to the fault or negligence of the party seeking indemnity.

§ 10.3.4 The Owner shall not be responsible under this Section 10.3 for materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall indemnify the Owner for the cost and expense the Owner incurs (1) for remediation of a material or substance the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

§ 10.3.6 If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall indemnify the Contractor for all cost and expense thereby incurred.

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§ 10.4 EMERGENCIES

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

ARTICLE 11 INSURANCE AND BONDS

§ 11.1 CONTRACTOR'S LIABILITY INSURANCE

§ 11.1.1 The Contractor shall purchase from and maintain in a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located such insurance as will protect the Contractor from claims set forth below which may arise out of or result from the Contractor's operations and completed operations under the Contract and for which the Contractor may be legally liable, whether such operations be by the Contractor or by a Subcontractor or by anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable:

- .1 Claims under workers' compensation, disability benefit and other similar employee benefit acts that are applicable to the Work to be performed;
- .2 Claims for damages because of bodily injury, occupational sickness or disease, or death of the Contractor's employees;
- .3 Claims for damages because of bodily injury, sickness or disease, or death of any person other than the Contractor's employees;
- .4 Claims for damages insured by usual personal injury liability coverage;
- .5 Claims for damages, other than to the Work itself, because of injury to or destruction of tangible property, including loss of use resulting therefrom;
- .6 Claims for damages because of bodily injury, death of a person or property damage arising out of ownership, maintenance or use of a motor vehicle;
- .7 Claims for bodily injury or property damage arising out of completed operations; and
- .8 Claims involving contractual liability insurance applicable to the Contractor's obligations under Section 3.18.

§ 11.1.2 The insurance required by Section 11.1.1 shall be written for not less than limits of liability specified in the Contract Documents or required by law, whichever coverage is greater. Coverages, whether written on an occurrence or claims-made basis, shall be maintained without interruption from the date of commencement of the Work until the date of final payment and termination of any coverage required to be maintained after final payment, and, with respect to the Contractor's completed operations coverage, until the expiration of the period for correction of Work or for such other period for maintenance of completed operations coverage as specified in the Contract Documents.

§ 11.1.3 Certificates of insurance acceptable to the Owner shall be filed with the Owner prior to commencement of the Work and thereafter upon renewal or replacement of each required policy of insurance. These certificates and the insurance policies required by this Section 11.1 shall contain a provision that coverages afforded under the policies will not be canceled or allowed to expire until at least 30 days' prior written notice has been given to the Owner. An additional certificate evidencing continuation of liability coverage, including coverage for completed operations, shall be submitted with the Final Application for Payment as required by Section 9.10.2 and thereafter upon renewal or replacement of such coverage until the expiration of the time required by Section 11.1.2. Information concerning reduction of coverage on account of revised limits or claims paid under the General Aggregate, or both, shall be furnished by the Contractor with reasonable promptness.

§ 11.1.4 The Contractor shall cause the commercial liability coverage required by the Contract Documents to include (1) the Owner, the Architect and the Architect's consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's completed operations.

§ 11.2 OWNER'S LIABILITY INSURANCE

The Owner shall be responsible for purchasing and maintaining the Owner's usual liability insurance.

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§ 11.3 PROPERTY INSURANCE

§ 11.3.1 Unless otherwise provided, the Owner shall purchase and maintain, in a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located, property insurance written on a builder's risk "all-risk" or equivalent policy form in the amount of the initial Contract Sum, plus value of subsequent Contract Modifications and cost of materials supplied or installed by others, comprising total value for the entire Project at the site on a replacement cost basis without optional deductibles. Such property insurance shall be maintained, unless otherwise provided in the Contract Documents or otherwise agreed in writing by all persons and entities who are beneficiaries of such insurance, until final payment has been made as provided in Section 9.10 or until no person or entity other than the Owner has an insurable interest in the property required by this Section 11.3 to be covered, whichever is later. This insurance shall include interests of the Owner, the Contractor, Subcontractors and Sub-subcontractors in the Project.

§ 11.3.1.1 Property insurance shall be on an "all-risk" or equivalent policy form and shall include, without limitation, insurance against the perils of fire (with extended coverage) and physical loss or damage including, without duplication of coverage, theft, vandalism, malicious mischief, collapse, earthquake, flood, windstorm, falsework, testing and startup, temporary buildings and debris removal including demolition occasioned by enforcement of any applicable legal requirements, and shall cover reasonable compensation for Architect's and Contractor's services and expenses required as a result of such insured loss.

§ 11.3.1.2 If the Owner does not intend to purchase such property insurance required by the Contract and with all of the coverages in the amount described above, the Owner shall so inform the Contractor in writing prior to commencement of the Work. The Contractor may then effect insurance that will protect the interests of the Contractor, Subcontractors and Sub-subcontractors in the Work, and by appropriate Change Order the cost thereof shall be charged to the Owner. If the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain insurance as described above, without so notifying the Contractor in writing, then the Owner shall bear all reasonable costs properly attributable thereto.

§ 11.3.1.3 If the property insurance requires deductibles, the Owner shall pay costs not covered because of such deductibles.

§ 11.3.1.4 This property insurance shall cover portions of the Work stored off the site, and also portions of the Work in transit.

§ 11.3.1.5 Partial occupancy or use in accordance with Section 9.9 shall not commence until the insurance company or companies providing property insurance have consented to such partial occupancy or use by endorsement or otherwise. The Owner and the Contractor shall take reasonable steps to obtain consent of the insurance company or companies and shall, without mutual written consent, take no action with respect to partial occupancy or use that would cause cancellation, lapse or reduction of insurance.

§ 11.3.2 BOILER AND MACHINERY INSURANCE

The Owner shall purchase and maintain boiler and machinery insurance required by the Contract Documents or by law, which shall specifically cover such insured objects during installation and until final acceptance by the Owner; this insurance shall include interests of the Owner, Contractor, Subcontractors and Sub-subcontractors in the Work, and the Owner and Contractor shall be named insureds.

§ 11.3.3 LOSS OF USE INSURANCE

The Owner, at the Owner's option, may purchase and maintain such insurance as will insure the Owner against loss of use of the Owner's property due to fire or other hazards, however caused. The Owner waives all rights of action against the Contractor for loss of use of the Owner's property, including consequential losses due to fire or other hazards however caused.

§ 11.3.4 If the Contractor requests in writing that insurance for risks other than those described herein or other special causes of loss be included in the property insurance policy, the Owner shall, if possible, include such insurance, and the cost thereof shall be charged to the Contractor by appropriate Change Order.

§ 11.3.5 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment

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property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, the Owner shall waive all rights in accordance with the terms of Section 11.3.7 for damages caused by fire or other causes of loss covered by this separate property insurance. All separate policies shall provide this waiver of subrogation by endorsement or otherwise.

§ 11.3.6 Before an exposure to loss may occur, the Owner shall file with the Contractor a copy of each policy that includes insurance coverages required by this Section 11.3. Each policy shall contain all generally applicable conditions, definitions, exclusions and endorsements related to this Project. Each policy shall contain a provision that the policy will not be canceled or allowed to expire, and that its limits will not be reduced, until at least 30 days' prior written notice has been given to the Contractor.

§ 11.3.7 WAIVERS OF SUBROGATION

The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents and employees, each of the other, and (2) the Architect, Architect's consultants, separate contractors described in Article 6, if any, and any of their subcontractors, sub-subcontractors, agents and employees, for damages caused by fire or other causes of loss to the extent covered by property insurance obtained pursuant to this Section 11.3 or other property insurance applicable to the Work, except such rights as they have to proceeds of such insurance held by the Owner as fiduciary. The Owner or Contractor, as appropriate, shall require of the Architect, Architect's consultants, separate contractors described in Article 6, if any, and the subcontractors, sub-subcontractors, agents and employees of any of them, by appropriate agreements, written where legally required for validity, similar waivers each in favor of other parties enumerated herein. The policies shall provide such waivers of subrogation by endorsement or otherwise. A waiver of subrogation shall be effective as to a person or entity even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, did not pay the insurance premium directly or indirectly, and whether or not the person or entity had an insurable interest in the property damaged.

§ 11.3.8 A loss insured under the Owner's property insurance shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.3.10. The Contractor shall pay Subcontractors their just shares of insurance proceeds received by the Contractor, and by appropriate agreements, written where legally required for validity, shall require Subcontractors to make payments to their Sub-subcontractors in similar manner.

§ 11.3.9 If required in writing by a party in interest, the Owner as fiduciary shall, upon occurrence of an insured loss, give bond for proper performance of the Owner's duties. The cost of required bonds shall be charged against proceeds received as fiduciary. The Owner shall deposit in a separate account proceeds so received, which the Owner shall distribute in accordance with such agreement as the parties in interest may reach, or as determined in accordance with the method of binding dispute resolution selected in the Agreement between the Owner and Contractor. If after such loss no other special agreement is made and unless the Owner terminates the Contract for convenience, replacement of damaged property shall be performed by the Contractor after notification of a Change in the Work in accordance with Article 7.

§ 11.3.10 The Owner as fiduciary shall have power to adjust and settle a loss with insurers unless one of the parties in interest shall object in writing within five days after occurrence of loss to the Owner's exercise of this power; if such objection is made, the dispute shall be resolved in the manner selected by the Owner and Contractor as the method of binding dispute resolution in the Agreement. If the Owner and Contractor have selected arbitration as the method of binding dispute resolution, the Owner as fiduciary shall make settlement with insurers or, in the case of a dispute over distribution of insurance proceeds, in accordance with the directions of the arbitrators.

§ 11.4 PERFORMANCE BOND AND PAYMENT BOND

§ 11.4.1 The Owner shall have the right to require the Contractor to furnish bonds covering faithful performance of the Contract and payment of obligations arising thereunder as stipulated in bidding requirements or specifically required in the Contract Documents on the date of execution of the Contract.

§ 11.4.2 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

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ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

§ 12.1 UNCOVERING OF WORK

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, costs of uncovering and replacement shall, by appropriate Change Order, be at the Owner's expense. If such Work is not in accordance with the Contract Documents, such costs and the cost of correction shall be at the Contractor's expense unless the condition was caused by the Owner or a separate contractor in which event the Owner shall be responsible for payment of such costs.

§ 12.2 CORRECTION OF WORK

§ 12.2.1 BEFORE OR AFTER SUBSTANTIAL COMPLETION

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, whether discovered before or after Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

§ 12.2.2 AFTER SUBSTANTIAL COMPLETION

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of an applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of written notice from the Owner to do so unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.4.

§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

§ 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

§ 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

§ 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction, whether completed or partially completed, of the Owner or separate contractors caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

§ 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

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§ 12.3 ACCEPTANCE OF NONCONFORMING WORK
If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

ARTICLE 13 MISCELLANEOUS PROVISIONS

§ 13.1 GOVERNING LAW
The Contract shall be governed by the law of the place where the Project is located except that, if the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

§ 13.2 SUCCESSORS AND ASSIGNS
§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns and legal representatives to covenants, agreements and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make such an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

§ 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate such assignment.

§ 13.3 WRITTEN NOTICE
Written notice shall be deemed to have been duly served if delivered in person to the individual, to a member of the firm or entity, or to an officer of the corporation for which it was intended; or if delivered at, or sent by registered or certified mail or by courier service providing proof of delivery to, the last business address known to the party giving notice.

§ 13.4 RIGHTS AND REMEDIES
§ 13.4.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights and remedies otherwise imposed or available by law.

§ 13.4.2 No action or failure to act by the Owner, Architect or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach there under, except as may be specifically agreed in writing.

§ 13.5 TESTS AND INSPECTIONS
§ 13.5.1 Tests, inspections and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of (1) tests, inspections or approvals that do not become requirements until after bids are received or negotiations concluded, and (2) tests, inspections or approvals where building codes or applicable laws or regulations prohibit the Owner from delegating their cost to the Contractor.

§ 13.5.2 If the Architect, Owner or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection or approval not included under Section 13.5.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection or approval by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.5.3, shall be at the Owner's expense.

§ 13.5.3 If such procedures for testing, inspection or approval under Sections 13.5.1 and 13.5.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by

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such failure including those of repeated procedures and compensation for the Architect's services and expenses shall be at the Contractor's expense.

§ 13.5.4 Required certificates of testing, inspection or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.5.5 If the Architect is to observe tests, inspections or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.5.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

§ 13.6 INTEREST

Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at such rate as the parties may agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

§ 13.7 TIME LIMITS ON CLAIMS

The Owner and Contractor shall commence all claims and causes of action, whether in contract, tort, breach of warranty or otherwise, against the other arising out of or related to the Contract in accordance with the requirements of the final dispute resolution method selected in the Agreement within the time period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all claims and causes of action not commenced in accordance with this Section 13.7.

ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

§ 14.1 TERMINATION BY THE CONTRACTOR

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor or a Subcontractor, Sub-subcontractor or their agents or employees or any other persons or entities performing portions of the Work under direct or indirect contract with the Contractor, for any of the following reasons:

.1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;

.2 An act of government, such as a declaration of national emergency that requires all Work to be stopped;

.3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or

.4 The Owner has failed to furnish to the Contractor promptly, upon the Contractor's request, reasonable evidence as required by Section 2.2.1.

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor or a Subcontractor, Sub-subcontractor or their agents or employees or any other persons or entities performing portions of the Work under direct or indirect contract with the Contractor, repeated suspensions, delays or interruptions of the entire Work by the Owner as described in Section 14.3 constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' written notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed, including reasonable overhead and profit, costs incurred by reason of such termination, and damages.

§ 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor or a Subcontractor or their agents or employees or any other persons performing portions of the Work under contract with the Contractor because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' written notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

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§ 14.2 TERMINATION BY THE OWNER FOR CAUSE

§ 14.2.1 The Owner may terminate the Contract if the Contractor

.1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;

.2 fails to make payment to Subcontractors for materials or labor in accordance with the respective agreements between the Contractor and the Subcontractors;

.3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or

.4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

§ 14.2.2 When any of the above reasons exist, the Owner, upon certification by the Initial Decision Maker that sufficient cause exists to justify such action, may without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' written notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

.1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;

.2 Accept assignment of subcontracts pursuant to Section 5.4; and

.3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

§ 14.3 SUSPENSION BY THE OWNER FOR CONVENIENCE

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay or interruption as described in Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent:

.1 that performance is, was or would have been so suspended, delayed or interrupted by another cause for which the Contractor is responsible; or

.2 that an equitable adjustment is made or denied under another provision of the Contract.

§ 14.4 TERMINATION BY THE OWNER FOR CONVENIENCE

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

§ 14.4.2 Upon receipt of written notice from the Owner of such termination for the Owner's convenience, the Contractor shall

.1 cease operations as directed by the Owner in the notice;

.2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and

.3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 In case of such termination for the Owner's convenience, the Contractor shall be entitled to receive payment for Work executed, and costs incurred by reason of such termination, along with reasonable overhead and profit on the Work not executed.

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ARTICLE 15 CLAIMS AND DISPUTES

§ 15.1 CLAIMS

§ 15.1.1 DEFINITION

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim.

§ 15.1.2 NOTICE OF CLAIMS

Claims by either the Owner or Contractor must be initiated by written notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party must be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3 CONTINUING CONTRACT PERFORMANCE

Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents. The Architect will prepare Change Orders and issue Certificates for Payment in accordance with the decisions of the Initial Decision Maker.

§ 15.1.4 CLAIMS FOR ADDITIONAL COST

If the Contractor wishes to make a Claim for an increase in the Contract Sum, written notice as provided herein shall be given before proceeding to execute the Work. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

§ 15.1.5 CLAIMS FOR ADDITIONAL TIME

§ 15.1.5.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, written notice as provided herein shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.5.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated and had an adverse effect on the scheduled construction.

§ 15.1.6 CLAIMS FOR CONSEQUENTIAL DAMAGES

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes:

.1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and

.2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.6 shall be deemed to preclude an award of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

§ 15.2 INITIAL DECISION

§ 15.2.1 Claims, excluding those arising under Sections 10.3, 10.4, 11.3.9, and 11.3.10, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim arising prior to the date final payment is due, unless 30 days have passed after the Claim has been referred to the Initial Decision Maker with no decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

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§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of such request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ 15.2.6.1 Either party may, within 30 days from the date of an initial decision, demand in writing that the other party file for mediation within 60 days of the initial decision. If such a demand is made and the party receiving the demand fails to file for mediation within the time required, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ 15.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

§ 15.3 MEDIATION

§ 15.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.6 shall be subject to mediation as a condition precedent to binding dispute resolution.

§ 15.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

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§ 15.3.3 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 15.4 ARBITRATION

§ 15.4.1 If the parties have selected arbitration as the method for binding dispute resolution in the Agreement, any Claim subject to, but not resolved by, mediation shall be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. A demand for arbitration shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded.

§ 15.4.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

§ 15.4.2 The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

§ 15.4.3 The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

§ 15.4.4 CONSOLIDATION OR JOINDER

§ 15.4.4.1 Either party, at its sole discretion, may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

§ 15.4.4.2 Either party, at its sole discretion, may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

§ 15.4.4.3 The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as the Owner and Contractor under this Agreement.

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SECTION 00 73 13

SUPPLEMENTARY GENERAL CONDITIONS A201-2007

The following supplements modify the "General Conditions of the Contract for Construction," AIA Document A201-2007. Where a portion of the General Conditions is modified or deleted by the Supplementary Conditions, the unaltered portions of the General Conditions shall remain in effect.

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TABLE OF ARTICLES

1. GENERAL PROVISIONS

2. OWNER

3. CONTRACTOR

4. ADMINISTRATION OF THE CONTRACT

5. SUBCONTRACTORS

6. CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

7. CHANGES IN THE WORK

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9. PAYMENTS AND COMPLETION

10. PROTECTION OF PERSONS AND PROPERTY

11. INSURANCE AND BONDS

12. UNCOVERING AND CORRECTION OF WORK

13. MISCELLANEOUS PROVISIONS

14. TERMINATION OR SUSPENSION OF THE CONTRACT

ARTICLE 1: GENERAL PROVISIONS

1.1 BASIC DEFINITIONS

1.1.1 THE CONTRACT DOCUMENTS

Delete the last sentence in its entirety and replace with the following:

"The Contract Documents also include Advertisement for Bid, Instructions to Bidder, sample forms, the Bid Form, the Contractor's completed Bid and the Award Letter."

Add the following Paragraph:

1.1.2 In the event of conflict or discrepancies among the Contract Documents, the Documents prepared by the State of Delaware, Division of Facilities Management shall take precedence over all other documents.

1.2 CORRELATION AND INTENT OF THE CONTRACT DOCUMENTS

Add the following Paragraphs:

1.2.4 In the case of an inconsistency between the Drawings and the Specifications, or within either document not clarified by addendum, the better quality or greater quantity of work shall be provided in accordance with the Architect's interpretation.

1.2.5 The word "PROVIDE" as used in the Contract Documents shall mean "FURNISH AND INSTALL" and shall include, without limitation, all labor, materials, equipment, transportation, services and other items required to complete the Work.

1.2.6 The word "PRODUCT" as used in the Contract Documents means all materials, systems and equipment.

1.5 OWNERSHIP AND USE OF DRAWINGS, SPECIFICATIONS AND OTHER INSTRUMENTS OF SERVICE

Delete Paragraph 1.5.1 in its entirety and replace with the following:

"All pre-design studies, drawings, specifications and other documents, including those in electronic form, prepared by the Architect under this Agreement are, and shall remain, the property of the Owner whether the Project for which they are made is executed or not. Such documents may be used by the Owner to construct one or more like Projects without the approval of, or additional compensation to, the Architect. The Contractor, Subcontractors, Sub-subcontractors and Material or Equipment Suppliers are authorized to use and reproduce applicable portions of the Drawings, Specifications and other documents prepared by the Architect and the Architect's consultants appropriate to and for use in the execution of their Work under the Contract Documents. They are not to be used by the Contractor or any Subcontractor, Sub-subcontractor or Material and Equipment Supplier on other Projects or for

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additions to this Project outside the scope of the Work without the specific written consent of the Owner, Architect and Architect's consultants.

The Architect shall not be liable for injury or damage resulting from the re-use of drawings and specifications if the Architect is not involved in the re-use Project. Prior to re-use of construction documents for a Project in which the Architect is not also involved, the Owner will remove from such documents all identification of the original Architect, including name, address and professional seal or stamp."

Delete Paragraph 1.5.2 in its entirety.

ARTICLE 2: OWNER

2.2 INFORMATION AND SERVICES REQUIRED OF THE OWNER

To Subparagraph 2.2.3 – Add the following sentence:

"The Contractor, at their expense shall bear the costs to accurately identify the location of all underground utilities in the area of their excavation and shall bear all cost for any repairs required, out of failure to accurately identify said utilities."

Delete Subparagraph 2.2.5 in its entirety and substitute the following:

2.2.5 The Contractor shall be furnished free of charge up to three (3) sets of the Drawings and Project Manuals. Additional sets will be furnished at the cost of reproduction, postage and handling.

ARTICLE 3: CONTRACTOR

3.2 REVIEW OF CONTRACT DOCUMENTS AND FIELD CONDITIONS BY CONTRACTOR

Amend Paragraph 3.2.2 to state that any errors, inconsistencies or omissions discovered shall be reported to the Architect and Owner immediately.

Delete the third sentence in Paragraph 3.2.3.

3.3 SUPERVISION AND CONSTRUCTION PROCEDURES

Add the following Paragraphs:

3.3.2.1 The Contractor shall immediately remove from the Work, whenever requested to do so by the Owner, any person who is considered by the Owner or Architect to be incompetent or disposed to be so disorderly, or who for any reason is not satisfactory to the Owner, and that person shall not again be employed on the Work without the consent of the Owner or the Architect.

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3.3.4 The Contractor must provide suitable storage facilities at the Site for the proper protection and safe storage of their materials. Consult the Owner and the Architect before storing any materials.

3.3.5 When any room is used as a shop, storeroom, office, etc., by the Contractor or Subcontractor(s) during the construction of the Work, the Contractor making use of these areas will be held responsible for any repairs, patching or cleaning arising from such use.

3.4 LABOR AND MATERIALS

Add the Following Paragraphs:

3.4.4 Before starting the Work, each Contractor shall carefully examine all preparatory Work that has been executed to receive their Work. Check carefully, by whatever means are required, to ensure that its Work and adjacent, related Work, will finish to proper contours, planes and levels. Promptly notify the General Contractor/Construction Manager of any defects or imperfections in preparatory Work which will in any way affect satisfactory completion of its Work. Absence of such notification will be construed as an acceptance of preparatory Work and later claims of defects will not be recognized.

3.4.5 Under no circumstances shall the Contractor's Work proceed prior to preparatory Work having been completely cured, dried and/or otherwise made satisfactory to receive this Work. Responsibility for timely installation of all materials rests solely with the Contractor responsible for that Work, who shall maintain coordination at all times.

3.5 WARRANTY

Add the following Paragraphs:

3.5.1 The Contractor will guarantee all materials and workmanship against original defects, except injury from proper and usual wear when used for the purpose intended, for two (2) years after Acceptance by the Owner, and will maintain all items in perfect condition during the period of guarantee.

3.5.2 Defects appearing during the period of guarantee will be made good by the Contractor at his expense upon demand of the Owner, it being required that all work will be in perfect condition when the period of guarantee will have elapsed.

3.5.3 In addition to the General Guarantee there are other guarantees required for certain items for different periods of time than the two (2) years as above, and are particularly so stated in that part of the specifications referring to same. The said guarantees will commence at the same time as the General Guarantee.

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3.5.4 If the Contractor fails to remedy any failure, defect or damage within a reasonable time after receipt of notice, the Owner will have the right to replace, repair, or otherwise remedy the failure, defect or damage at the Contractor's expense.

3.11 DOCUMENTS AND SAMPLES AT THE SITE

Add the following Paragraphs:

3.11.1 During the course of the Work, the Contractor shall maintain a record set of drawings on which the Contractor shall mark the actual physical location of all piping, valves, equipment, conduit, outlets, access panels, controls, actuators, including all appurtenances that will be concealed once construction is complete, etc., including all invert elevations.

3.11.2 At the completion of the project, the Contractor shall obtain a set of reproducible drawings from the Architect, and neatly transfer all information outlined in 3.11.1 to provide a complete record of the as-built conditions.

3.11.3 The Contractor shall provide two (2) prints of the as-built conditions, along with the reproducible drawings themselves, to the Owner and one (1) set to the Architect. In addition, attach one (1) complete set to each of the Operating and Maintenance Instructions/Manuals.

3.17 In the first sentence of the paragraph, insert "indemnify" between "shall" and "hold".

ARTICLE 4: ADMINISTRATION OF THE CONTRACT

4.2 ADMINISTRATION OF THE CONTRACT

Delete the first sentence of Paragraph 4.2.7 and replace with the following:

The Architect will review and approve or take other appropriate action upon the Contractor's submittals such as Shop Drawings, Product Data and Samples for the purpose of checking for conformance with the Contract Documents.

Delete the second sentence of Paragraph 4.2.7 and replace with the following:

The Architect's action will be taken with such reasonable promptness as to cause no delay in the Work in the activities of the Owner, Contractor or separate Contractors, while allowing sufficient time in the Owner's professional judgment to permit adequate review.

Add the following Paragraph:

4.2.10.1 There will be no full-time project representative provided by the Owner or Architect on this project.

Add to Paragraph 4.2.13 "and in compliance with all local requirements." to the end of the sentence

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ARTICLE 5: SUBCONTRACTORS

5.2 AWARD OF SUBCONTRACTS AND OTHER CONTRACTS FOR PORTIONS OF THE WORK

Delete Paragraph 5.2.3 in its entirety and replace with the following:

5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection, subject to the statutory requirements of 29 Delaware Code § 6962(d)(10)b.3 and 4.

ARTICLE 6: CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

6.1 OWNER'S RIGHT TO PERFORM CONSTRUCTION AND TO AWARD SEPARATE CONTRACTS

Delete Paragraph 6.1.4 in its entirety.

6.2 MUTUAL RESPONSIBILITY

6.2.3 In the second sentence, strike the word "shall" and insert the word "may".

ARTICLE 7: CHANGES IN THE WORK

(SEE ARTICLE 7: CHANGES IN WORK IN THE GENERAL REQUIREMENTS)

ARTICLE 8: TIME

8.2 PROGRESS AND COMPLETION

Add the following Paragraphs:

8.2.4.1 Refer to Specification Section SUMMARY OF WORK for Contract time requirements.

8.2.4 If the Work falls behind the Progress Schedule as submitted by the Contractor, the Contractor shall employ additional labor and/or equipment necessary to bring the Work into compliance with the Progress Schedule at no additional cost to the Owner.

8.3 DELAYS AND EXTENSION OF TIME

8.3.1 Strike "arbitration" and insert "remedies at law or in equity".

Add the following Paragraph:

8.3.2.1 The Contractor shall update the status of the suspension, delay, or interruption of the Work with each Application for Payment. (The

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Contractor shall report the termination of such cause immediately upon the termination thereof.) Failure to comply with this procedure shall constitute a waiver for any claim for adjustment of time or price based upon said cause.

Delete Paragraph 8.3.3 in its entirety and replace with the following:

8.3.3 Except in the case of a suspension of the Work directed by the Owner, an extension of time under the provisions of Paragraph 8.3.1 shall be the Contractor's sole remedy in the progress of the Work and there shall be no payment or compensation to the Contractor for any expense or damage resulting from the delay.

Add the following Paragraph:

8.3.4 By permitting the Contractor to work after the expired time for completion of the project, the Owner does not waive their rights under the Contract.

ARTICLE 9: PAYMENTS AND COMPLETION

9.2 SCHEDULE OF VALUES

Add the following Paragraphs:

9.2.1 The Schedule of Values shall be submitted using AIA Document G702 and Continuation Sheet to G703.

9.2.2 The Schedule of Values is to include a line item for Project Closeout Document Submittal. The value of this item is to be no less than 1% of the initial contract amount.

9.3 APPLICATIONS FOR PAYMENT

Add the following Paragraph:

9.3.1.3 Application for Payment shall be submitted on AIA Document G702 "Application and Certificate for Payment", supported by AIA Document G703 "Continuation Sheet". Said Applications shall be fully executed and notarized.

Add the following Paragraphs:

9.3.4 Until Closeout Documents have been received and outstanding items completed the Owner will pay 95% (ninety-five percent) of the amount due the Contractor on account of progress payments.

9.3.5 The Contractor shall provide a current and updated Progress Schedule to the Architect with each Application for Payment. Failure to provide Schedule will be just cause for rejection of Application for Payment.

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9.5 DECISIONS TO WITHHOLD CERTIFICATION

Add the following to 9.5.1:

.8 failure to provide a current Progress Schedule;
.9 a lien or attachment is filed;
.10 failure to comply with mandatory requirements for maintaining Record Documents.

9.6 PROGRESS PAYMENTS

Delete Paragraph 9.6.1 in its entirety and replace with the following:

9.6.1 After the Architect has approved and issued a Certificate for Payment, payment shall be made by the Owner within 30 days after Owner's receipt of the Certificate for Payment.

9.7 FAILURE OF PAYMENT

In first sentence, strike "seven" and insert "thirty (30)". Also strike "binding dispute resolution" and insert "remedies at law or in equity".

9.8 SUBSTANTIAL COMPLETION

To Subparagraph 9.8.3- Add the following sentence:

"If the Architect is required to make more than 2 inspections of the same portion of work, the Contractor shall be responsible for all costs associated with subsequent inspections including but not limited to any Architect's fees."

9.8.5 In the second sentence, strike "shall" and insert "may".

ARTICLE 10: PROTECTION OF PERSONS AND PROPERTY

10.1 SAFETY PRECAUTIONS AND PROGRAMS

Add the following Paragraphs:

10.1.1 Each Contractor shall develop a safety program in accordance with the Occupational Safety and Health Act of 1970. A copy of said plan shall be furnished to the Owner and Architect prior to the commencement of that Contractor's Work.

10.1.2 Each Contractor shall appoint a Safety Representative. Safety Representatives shall be someone who is on site on a full time basis. If deemed necessary by the Owner or Architect, Contractor Safety meetings will be scheduled. The attendance of all Safety Representatives will be required. Minutes will be recorded of said meetings by the Contractor and will be distributed to all parties as well as posted in all job offices/trailers etc.

10.2 SAFETY OF PERSONS AND PROPERTY

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Add the following Paragraph:

10.2.4.1 As required in the Hazardous Chemical Act of June 1984, all vendors supplying any material that may be defined as hazardous must provide Material Safety Data Sheets for those products. Any chemical product should be considered hazardous if it has a caution warning on the label relating to a potential physical or health hazard, if it is known to be present in the work place, and if employees may be exposed under normal conditions or in foreseeable emergency situations. Material Safety Data Sheets shall be provided directly to the Owner, along with the shipping slips that include those products.

10.3 HAZARDOUS MATERIALS

Delete Paragraph 10.3.3 in its entirety.

10.5 Delete Paragraphs 10.3.6 in its entirety.

ARTICLE 11: INSURANCE AND BONDS

11.1 CONTRACTOR'S LIABILITY INSURANCE

11.1.4 Strike "the Owner" immediately following "(1)" and strike "and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's completed operations."

11.2 OWNER'S LIABILITY INSURANCE

Delete Paragraph 11.2 in its entirety.

11.3 PROPERTY INSURANCE

Delete Paragraph 11.3 in its entirety and replace with the following:

11.3 The State will not provide Builder's All Risk Insurance for the Project. The Contractor and all Subcontractors shall provide property coverage for their tools and equipment, as necessary. Any mandatory deductible required by the Contractor's Insurance shall be the responsibility of the Contractor.

11.4 PERFORMANCE BOND AND PAYMENT BOND

Add the following sentence: "The bonds will conform to those forms approved by the Office of Management and Budget."

ARTICLE 12: UNCOVERING AND CORRECTION OF WORK

12.2.2 AFTER SUBSTANTIAL COMPLETION

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Add the following Paragraph:

12.2.2.1.1 At any time during the progress of the Work, or in any case where the nature of the defects will be such that it is not expedient to have corrected, the Owner, at its option, will have the right to deduct such sum, or sums, of money from the amount of the Contract as it considers justified to adjust the difference in value between the defective work and that required under contract including any damage to the structure.

12.2.2.1 Strike "one" and insert "two".

12.2.2.2 Strike "one" and insert "two".

12.2.2.3 Strike "one" and insert "two".

12.2.5 In second sentence, strike "one" and insert "two".

ARTICLE 13: MISCELLANEOUS PROVISIONS

13.1 GOVERNING LAW

Strike "except that, if the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4."

13.6 INTEREST

Strike "the date payment is due at such rate as the parties may agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located." Insert "30 days of presentment of the authorized Certificate of Payment at the annual rate of 12% or 1% per month.

13.7 TIME LIMITS ON CLAIMS

Strike the last sentence.

Add the following Paragraph:

13.8 CONFLICTS WITH FEDERAL STATUTES OR REGULATIONS

13.8.1 If any provision, specifications or requirement of the Contract Documents conflict or is inconsistent with any statute, law or regulation of the government of the United State of America, the Contractor shall notify the Architect and Owner immediately upon discovery.

ARTICLE 14: TERMINATION OR SUSPENSION OF THE CONTRACT

14.4 TERMINATION BY THE OWNER FOR CONVENIENCE

Delete Paragraph 14.4.3 in its entirety and replace with the following:

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14.4.3 In case of such termination for the Owner's convenience, the Contractor shall be entitled to receive payment for Work executed, and cost incurred by reason of such termination along with reasonable overhead.

ARTICLE 15: CLAIMS AND DISPUTES

15.1.2 Throughout the Paragraph strike "21" and insert "45".

15.1.6 CLAIMS FOR CONSEQUENTIAL DAMAGES

Delete Paragraph 15.1.6 in its entirety.

15.2 INITIAL DECISION

Delete Paragraph 15.2.5 in its entirety and replace with the following:

15.2.5 The Architect will approve or reject Claims by written decision, which shall state the reasons therefore and shall notify the parties of any change in the Contract Sum or Contract Time or both. The approval or rejection of a Claim by the Architect shall be subject to mediation and other remedies at law or in equity.

Delete Paragraph 15.2.6 and its subparagraphs in their entirety.

15.3 MEDIATION

15.3.1 Strike "binding dispute resolution" and insert "any or all remedies at law or in equity".

15.3.2 In the first sentence, delete "administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedure in effect on the date of the Agreement," Strike "binding dispute resolution" and insert "remedies at law and in equity".

15.4 ARBITRATION

Delete Paragraph 15.4 and its sub-sections in its entirety.

END OF SECTION

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SECTION 00 73 14

ADDITIONAL SUPPLEMENTAL GENERAL CONDITIONS

PART 1 GENERAL

1.01 GENERAL CONDITIONS

A. The General Conditions of the Contract for Construction, AIA Document A201, 1997 edition, Articles 1 through 14 inclusive, is part of this contract and is bound herewith.

B. Reference to Articles herein are to AIA Document A201.

1.02 SUPPLEMENTARY CONDITIONS

A. The following provisions modify, change, delete from or add to AIA Document A201. Where any article of the General Conditions is modified or any paragraph, subparagraph or clause thereof is modified or deleted by these provisions, the unaltered provisions of that article, paragraph, sub-paragraph or clause shall remain in effect.

1.03 REFERENCE TO DIVISION 1 - GENERAL REQUIREMENTS

A. Certain provisions of Division 1 - GENERAL REQUIREMENTS supplement the administrative and work-related provisions of the GENERAL CONDITIONS.

B. Articles affected are cross referenced in the various sections of Division 1.

C. Throughout the entire document, wherever "Architect" is stated, read "Engineer".

1.04 ARTICLE 1 - CONTRACT DOCUMENTS

A. Paragraph 1.1 - Basic Definitions

Add the following subparagraph:

1.1.8 Terms and Definitions

The following definitions apply to the terms listed below as used on the Drawings and in the Project Manual.

Approved: Accepted by the Engineer or authority enforcing standards.

Described: Refer to Project Manual.

Specified: Refer to Project Manual.

Shown: Refer to Drawings.

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1.05 ARTICLE 3 - CONTRACTOR

A. Paragraph 3.4 - Labor and Materials

Subparagraph 3.4.1 - Add the following sentence:

Refer to Division 1 for detailed requirements concerning Temporary Facilities and Equipment.

Subparagraph 3.4.2 - Add the following sentence:

Refer specifically to Division 1 for detailed procedures regarding substitutions of material and/or equipment.

B. Paragraph 3.7 - Permits, Fees and Notices

Add the following:

3.7.5 Where local law at the site of the building requires a Certificate of Occupancy, the Contractor shall obtain and pay for this Certificate and deliver it to the Owner.

1.06 ARTICLE 5 - SUBCONTRACTORS

A. Paragraph 5.2 - Award of Subcontracts and Other Contracts for Portions of the Work.

Add the following subparagraphs:

5.2.6 For Public Works Contracts, the Contractor shall not subcontract, sublet, sell, or transfer work or materials to an organization other than their own without written permission from the State. In case such permission is given, the Contractor will be permitted to subcontract or sublet a portion thereof but shall perform with their own organization, work amounting to not less than ten percent (10%) of the total contract bid price, exclusive of General Condition Items, Overhead, and Profit.

1.07 ARTICLE 8 - TIME

A. Paragraph 8.1.2

Add the following:

The Contractor shall begin work within ten (10) days following receipt of an Official Purchase Order issued by the State of Delaware.

1.08 ARTICLE 11 - INSURANCE

A. Paragraph 11.5 - Performance Bond and Payment Bond

Add the following subparagraphs:

11.5.3 Prior to delivery of the executed contract, the Contractor must deliver to the Owner an executed performance bond in the amount of 100% of the accepted bid as security

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for the faithful performance of their contract and include the one year guarantee; and an executed labor and material payment bond in the amount of 100% of the accepted bid as security for the payment of all persons performing labor or furnishing materials in connection therewith and conditioned that the Contractor shall well and faithfully pay all daily labor employed by their firm for this contract in full once each week. Performance and payment bond may be in a combined form. The bonding company's standard forms are acceptable provided all coverage requirements are included. Consent of Surety must be included.

11.5.4 Bonds are to be in favor of the Owner and shall be paid for by the Contractor and furnished by a surety company licensed in the State of Delaware. The Owner has the right to demand proof that the parties signing the bonds are duly authorized to do so.

1.09 ARTICLE 15 - PREFERENCE FOR DELAWARE LABOR

A. 15.1 The Contractor shall comply with the following provisions of **Delaware Code**, Title 29, Chapter 69, Section 6962:

In the construction of all public works for the State or any political subdivision or by persons contracting with the State or any political subdivision thereof, preference in employment of laborers, workmen or mechanics, shall be given to bona-fide legal citizens of the State who have established citizenship by residence of at least 90 days in the State. Each Public works contract for the construction of public works for the State or any political subdivision thereof shall contain a stipulation that any person, company, or corporation who violates this Section shall pay a penalty to the Secretary of Finance equal to the amount of compensation paid to any person in violation of this section.

15.2 **Preference for Delaware Labor:** Surety bonds in the amount of 6% of the contract or subcontract price are required for all non-resident contractors for contracts within the State of Delaware in which either:

1. The single contract or subcontract totals $20,000 or more; <u>or</u>

2. The contract or sub-contract is a “cost-plus” contract whose estimated cost - and - profit totals $20,000 or more; <u>or</u>

3. The aggregate of two or more contracts or subcontracts in a calendar year totals $20,000 or more.

The Division of Revenue will accept cash bonds, which may be paid by check on contracts not exceeding $100,000.

The Contractor's bond shall be filed before construction commences on any contract upon which a bond is required, pursuant to Title 30 **Delaware Code** Chapter 375 as outlined above.

For licensing requirements, penalties, definitions, information filing and other requirements, bidders shall refer to Technical Information Memorandum 86-10 and

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Code Sections 375, 2501 and 2503. For additional information, contact 1-800-292-7826.

1.10 ARTICLE 16 - LICENSE, TAX AND STATE LAW REQUIREMENTS

A. 16.1 In the performance of this Contract the successful bidder is required to comply with all applicable Federal, State and Local laws, ordinances, codes and regulations. The cost of permits, insurance, taxes and other relevant costs required in the performance of the Contract shall be borne by the successful bidder. All Delaware Laws in reference to construction shall be as binding as though quoted in full herein and their application shall be fully adhered to by all parties affected hereby. The Contractor shall furnish upon request any or all of the referenced items.

B. 16.2 All bidders shall be properly licensed and authorized to transact business in the State of Delaware as provided for in the Delaware Code Title 30, Chapter §2502 and in any and all Delaware Municipalities having jurisdiction to require such licensing within the geographic boundaries of the site (s) where the work is to be performed prior to the execution of any contract resulting from this bid. In the case of contracts in excess of $50,000.00 which are competitively bid, such person shall have initiated the license application procedure required by this subsection with the Division of Revenue prior to, or in conjunction with, the submission of a bid on a contract, or in the case of a subcontractor, prior to the submission of a bid by the general contractor. Proof of such a license compliance shall be as determined by the Owner or their designee.

C. 16.3 All bidders for public works projects shall insure that they have met all general contractor and/or subcontractor licensing requirements in accord with the requirement of Title 29 Chapter 6962 prior to submitting a bid.

1.11 ARTICLE 19 - INDEMNIFICATION BY THE CONTRACTOR AND SUBCONTRACTORS

A. 19.1 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, the Architect, the Consulting Engineers and their agents and employees from and against all claims, damages, losses and expenses, including but not limited to attorney's fees, arising out of or resulting from the performance of the work, provided that any such claim, damage, loss or expense (1) is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the work itself including the loss of use resulting therefrom, and (2) is caused in whole or in part by an negligent act or omission of the Contractor, any Subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, regardless of whether or not it is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or otherwise reduce any other right or obligation of indemnity which would otherwise exist as to any party or person described in the INDEMNIFICATION BY CONTRACTOR Article.

19.1.2 In any and all claims against the Owner, the Architect, and Consulting Engineers or any of their agents or employees by any employee of the Contractor, any

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Subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, the indemnification obligation shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor or Subcontractor under workers' or workmen's compensation acts, disability benefits acts or other employee benefit acts.

19.1.3.4 The obligations of the Contractor shall not extend to the liability of the Architect, the Consulting Engineers or their agents or employees, arising out of (1) the preparation or approval of maps, drawings, opinions, reports, surveys, change orders, design or specifications or (2) the giving of or the failure to give directions or instructions by the Architect, the Consulting Engineers or their agents or employees provided such giving or failure to give is the primary cause of the injury or damage.

19.2 **INDEMNIFICATION BY THE SUBCONTRACTORS**

19.2.1 Contractor hereby covenants and agrees that it will include the following provisions in all subcontracts entered into by the contractor for performance of any and all portions of the work on the project:

(1) To the fullest extent permitted by law, the Subcontractor shall indemnify and hold harmless the Owner, the Architect, the Consulting Engineers and their agents and employees from and against all claims, damages, losses and expenses, including but not limited to attorneys' fees, arising out of or resulting from the performance of the work, provided that any such claim, damage, loss or expense (1) is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the work itself) including the loss of use resulting therefrom, and (2) is caused in whole or in part by any negligent act or omission of the Subcontractor may be liable, regardless of whether or not it is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or otherwise reduce any other right or obligation or indemnity which would otherwise exist as to any party or person described in the INDEMNIFICATION BY THE SUBCONTRACTORS Article.

a. In any and all claims against the Owner, the Architect, the Consulting Engineers or any of their agents or employees by any employee of the Subcontractor, anyone directly or indirectly employed by the Subcontractor or anyone for whose acts the Subcontractor may be liable, the indemnification obligation under this Article shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor or the Subcontractor under workers' or workmen's compensation acts, disability benefits acts or other employee benefit acts.

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b. The obligation of the Subcontractor under this Article shall not extend to the liability of the Architect, the Consulting Engineers, their agents or employees, arising out of (1) the preparation of approval of maps, drawings, opinions, reports, surveys, change orders, design or specifications, or (2) the giving of or the failure to give direction of instructions by the Architect, the Consulting Engineers, their agents or employees provided such giving or failure to give is the primary cause of the injury or damage.

END OF SECTION

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SECTION 00 73 46

PREVAILING WAGE RATES

These rates are promulgated and enforced pursuant to the prevailing wage regulations adopted by the Department of Labor April 3, 1992.

Classification of workers are determined by the Department of Labor. For assistance in classifying workers, or for a copy of the regulations or classifications, phone (302)451-3423.

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STATE OF DELAWARE
DEPARTMENT OF LABOR
DIVISION OF INDUSTRIAL AFFAIRS
OFFICE OF LABOR LAW ENFORCEMENT
PHONE: (302) 451-3423

Mailing Address:
225 CORPORATE BOULEVARD
SUITE 104
NEWARK, DE 19702

Located at:
225 CORPORATE BOULEVARD
SUITE 104
NEWARK, DE 19702

PREVAILING WAGES FOR **BUILDING CONSTRUCTION** EFFECTIVE MARCH 15, 2017

CLASSIFICATION	NEW CASTLE	KENT	SUSSEX
ASBESTOS WORKERS	22.86	28.16	40.98
BOILERMAKERS	68.44	34.72	51.05
BRICKLAYERS	51.99	51.99	51.99
CARPENTERS	53.81	53.81	42.77
CEMENT FINISHERS	72.28	[illegible]	22.17
ELECTRICAL LINE WORKERS	45.47	[illegible]	29.73
ELECTRICIANS	66.85	66.85	66.85
ELEVATOR CONSTRUCTORS	90.49	64.49	31.94
GLAZIERS	71.20	71.20	56.66
INSULATORS	55.48	55.48	55.48
IRON WORKERS	62.85	62.85	62.85
LABORERS	44.70	44.70	44.70
MILLWRIGHTS	69.18	69.18	55.75
PAINTERS	48.47	48.47	48.47
PILEDRIVERS	75.27	39.35	31.83
PLASTERERS	29.84	29.84	22.12
PLUMBERS/PIPEFITTERS/STEAMFITTERS	65.95	51.49	57.01
POWER EQUIPMENT OPERATORS	67.29	67.29	43.83
ROOFERS-COMPOSITION	24.01	23.70	21.64
ROOFERS-SHINGLE/SLATE/TILE	18.39	21.86	17.19
SHEET METAL WORKERS	67.03	67.03	67.03
SOFT FLOOR LAYERS	51.12	51.12	51.12
SPRINKLER FITTERS	57.29	57.29	57.29
TERRAZZO/MARBLE/TILE FNRS	57.72	57.72	47.51
TERRAZZO/MARBLE/TILE SETTERS	66.02	66.02	55.02
TRUCK DRIVERS	28.75	27.44	20.94

CERTIFIED: 07/21/2017 BY: [signature]
ADMINISTRATOR, OFFICE OF LABOR LAW ENFORCEMENT

NOTE: THESE RATES ARE PROMULGATED AND ENFORCED PURSUANT TO THE PREVAILING WAGE REGULATIONS ADOPTED BY THE DEPARTMENT OF LABOR ON APRIL 3, 1992.

CLASSIFICATIONS OF WORKERS ARE DETERMINED BY THE DEPARTMENT OF LABOR. FOR ASSISTANCE IN CLASSIFYING WORKERS, OR FOR A COPY OF THE REGULATIONS OR CLASSIFICATIONS, PHONE (302) 451-3423.

NON-REGISTERED APPRENTICES MUST BE PAID THE MECHANIC'S RATE.

PROJECT: MC7601000088 DEARNG Smyrna Readiness - Packaged Terminal Air Conditioning Unit Replacement, New Castle County

NOT FOR BIDDING PURPOSES

SECTION 00 81 13

GENERAL REQUIREMENTS

TABLE OF ARTICLES

1. GENERAL PROVISIONS

2. OWNER

3. CONTRACTOR

4. ADMINISTRATION OF THE CONTRACT

5. SUBCONTRACTORS

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7. CHANGES IN THE WORK

8. TIME

9. PAYMENTS AND COMPLETION

10. PROTECTION OF PERSONS AND PROPERTY

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12. UNCOVERING AND CORRECTION OF WORK

13. MISCELLANEOUS PROVISIONS

14. TERMINATION OR SUSPENSION OF THE CONTRACT

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ARTICLE 1: GENERAL

1.1 CONTRACT DOCUMENTS

1.1.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary and what is required by one shall be as binding as if required by all. Performance by the Contractor shall be required to an extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the intended results.

1.1.2 Work including material purchases shall not begin until the Contractor is in receipt of a bonafide State of Delaware Purchase Order. Any work performed or material purchases prior to the issuance of the Purchase Order is done at the Contractor's own risk and cost.

1.2 EQUALITY OF EMPLOYMENT OPPORTUNITY ON PUBLIC WORKS

1.2.1 For Public Works Projects financed in whole or in part by state appropriation the Contractor agrees that during the performance of this contract:

1. The Contractor will not discriminate against any employee or applicant for employment because of race, creed, color, sex or national origin. The Contractor will take positive steps to ensure that applicants are employed and that employees are treated during employment without regard to their race, creed, color, sex or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places available to employees and applicants for employment notices to be provided by the contracting agency setting forth this nondiscrimination clause.

2. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, creed, color, sex or national origin."

ARTICLE 2: OWNER

(NO ADDITIONAL GENERAL REQUIREMENTS – SEE SUPPLEMENTARY GENERAL CONDITIONS)

ARTICLE 3: CONTRACTOR

3.1 Schedule of Values: The successful Bidder shall within twenty (20) days after receiving notice to proceed with the work, furnish to the Owner a complete schedule of values on the various items comprising the work.

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3.2 Subcontracts: Upon approval of Subcontractors, the Contractor shall award their Subcontracts as soon as possible after the signing of their own contract and see that all material, their own and those of their Subcontractors, are promptly ordered so that the work will not be delayed by failure of materials to arrive on time.

3.3 Before commencing any work or construction, the General Contractor is to consult with the Owner as to matters in connection with access to the site and the allocation of Ground Areas for the various features of hauling, storage, etc.

3.4 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for and have control over construction means, methods, techniques, sequences and procedures and for coordinating all portions of the Work under the Contract, unless the Contract Documents give other specific instructions.

3.5 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Contract. The Contractor shall not permit employment of unfit persons or persons not skilled in tasks assigned to them.

3.6 The Contractor warrants to the Owner that materials and equipment furnished will be new and of good quality, unless otherwise permitted, and that the work will be free from defects and in conformance with the Contract Documents. Work not conforming to these requirements, including substitutions not properly approved, may be considered defective. If required by the Owner, the Contractor shall furnish evidence as to the kind and quality of materials and equipment provided.

3.7 Unless otherwise provided, the Contractor shall pay all sales, consumer, use and other similar taxes, and shall secure and pay for required permits, fees, licenses, and inspections necessary for proper execution of the Work.

3.8 The Contractor shall comply with and give notices required by laws, ordinances, rules, regulations, and lawful orders of public authorities bearing on performance of the Work. The Contractor shall promptly notify the Owner if the Drawings and Specifications are observed to be at variance therewith.

3.9 The Contractor shall be responsible to the Owner for the acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons performing portions of the Work under contract with the Contractor.

3.10 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials or rubbish caused by operations under the Contract. At completion of the Work the Contractor shall remove from and about the Project all waste materials, rubbish, the Contractor's tools, construction equipment, machinery and surplus materials. The Contractor shall be responsible for returning all damaged areas to their original conditions.

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3.11 STATE LICENSE AND TAX REQUIREMENTS

3.11.1 Each Contractor and Subcontractor shall be licensed to do business in the State of Delaware and shall pay all fees and taxes due under State laws. In conformance with Section 2503, Chapter 25, Title 30, Delaware Code, "the Contractor shall furnish the Delaware Department of Finance within ten (10) days after entering into any contract with a contractor or subcontractor not a resident of this State, a statement of total value of such contract or contracts together with the names and addresses of the contracting parties."

3.12. The Contractor shall comply with all requirements set forth in Section 6962, Chapter 69, Title 29 of the Delaware Code.

3.13 During the contract Work, the Contractor and each listed Subcontractor, shall implement an Employee Drug Testing Program in accordance with OMB Regulation 4104- "Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on "Large Public Works Projects". "Large Public Works" is based upon the current threshold required for bidding Public Works as set by the Purchasing and Contracting Advisory Council.

ARTICLE 4: ADMINISTRATION OF THE CONTRACT

4.1 CONTRACT SURETY

4.1.1 PERFORMANCE BOND AND LABOR AND MATERIAL PAYMENT BOND

4.1.2 All bonds will be required as follows unless specifically waived elsewhere in the Bidding Documents.

4.1.3 Contents of Performance Bonds – The bond shall be in the form approved by the Office of Management and Budget. The bond shall be conditioned upon the faithful compliance and performance by the successful bidder of each and every term and condition of the contract and the proposal, plans, specifications, and bid documents thereof. Each term and condition shall be met at the time and in the manner prescribed by the Contract, Bid documents and the specifications, including the payment in full to every person furnishing materiel or performing labor in the performance of the Contract, of all sums of money due the person for such labor and materiel. (The bond shall also contain the successful bidder's guarantee to indemnify and save harmless the State and the agency from all costs, damages and expenses growing out of or by reason of the Contract in accordance with the Contract.)

4.1.4 Invoking a Performance Bond – The agency may, when it considers that the interest of the State so require, cause judgement to be confessed upon the bond.

4.1.5 Within twenty (20) days after the date of notice of award of contract, the Bidder to whom the award is made shall furnish a Performance Bond and Labor and Material Payment Bond, each equal to the full amount of the Contract price to guarantee the faithful

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performance of all terms, covenants and conditions of the same. The bonds are to be issued by an acceptable Bonding Company licensed to do business in the State of Delaware and shall be issued in duplicate.

4.1.6 Performance and Payment Bonds shall be maintained in full force (warranty bond) for a period of two (2) years after the date of the Certificate for Final Payment. The Performance Bond shall guarantee the satisfactory completion of the Project and that the Contractor will make good any faults or defects in his work which may develop during the period of said guarantees as a result of improper or defective workmanship, material or apparatus, whether furnished by themselves or their Sub-Contractors. The Payment Bond shall guarantee that the Contractor shall pay in full all persons, firms or corporations who furnish labor or material or both labor and material for, or on account of, the work included herein. The bonds shall be paid for by this Contractor. The Owner shall have the right to demand that the proof parties signing the bonds are duly authorized to do so.

4.2 FAILURE TO COMPLY WITH CONTRACT

4.2.1 If any firm entering into a contract with the State, or Agency that neglects or refuses to perform or fails to comply with the terms thereof, the Agency which signed the Contract may terminate the Contract and proceed to award a new contract in accordance with this Chapter 69, Title 29 of the Delaware Code or may require the Surety on the Performance Bond to complete the Contract in accordance with the terms of the Performance Bond. Nothing herein shall preclude the Agency from pursing additional remedies as otherwise provided by law.

4.3 CONTRACT INSURANCE AND CONTRACT LIABILITY

4.3.1 In addition to the bond requirements stated in the Bid Documents, each successful Bidder shall purchase adequate insurance for the performance of the Contract and, by submission of a Bid, agrees to indemnify and save harmless and to defend all legal or equitable actions brought against the State, any Agency, officer and/or employee of the State, for and from all claims of liability which is or may be the result of the successful Bidder's actions during the performance of the Contract.

4.3.2 The purchase or nonpurchase of such insurance or the involvement of the successful Bidder in any legal or equitable defense of any action brought against the successful Bidder based upon work performed pursuant to the Contract will not waive any defense which the State, its agencies and their respective officers, employees and agents might otherwise have against such claims, specifically including the defense of sovereign immunity, where applicable, and by the terms of this section, the State and all agencies, officers and employees thereof shall not be financially responsible for the consequences of work performed, pursuant to said contract.

4.4 RIGHT TO AUDIT RECORDS

4.4.1 The Owner shall have the right to audit the books and records of a Contractor or any Subcontractor under any Contract or Subcontract to the extent that the books and records relate to the performance of the Contract or Subcontract.

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4.4.2 Said books and records shall be maintained by the Contractor for a period of seven (7) years from the date of final payment under the Prime Contract and by the Subcontractor for a period of seven (7) years from the date of final payment under the Subcontract.

ARTICLE 5: SUBCONTRACTORS

5.1 SUBCONTRACTING REQUIREMENTS

5.1.1 All contracts for the construction, reconstruction, alteration or repair of any public building (not a road, street or highway) shall be subject to the following provisions:

1. A contract shall be awarded only to a Bidder whose Bid is accompanied by a statement containing, for each Subcontractor category, the name and address (city or town and State only – street number and P.O. Box addresses not required) of the subcontractor whose services the Bidder intends to use in performing the Work and providing the material for such Subcontractor category.

2. A Bid will not be accepted nor will an award of any Contract be made to any Bidder which, as the Prime Contractor, has listed itself as the Subcontractor for any Subcontractor unless:

   A. It has been established to the satisfaction of the awarding Agency that the Bidder has customarily performed the specialty work of such Subcontractor category by artisans regularly employed by the Bidder's firm;

   B. That the Bidder is duly licensed by the State to engage in such specialty work, if the State requires licenses; and

   C. That the Bidder is recognized in the industry as a bona fide Subcontractor or Contractor in such specialty work and Subcontractor category.

5.1.2 The decision of the awarding Agency as to whether a Bidder who list itself as the Subcontractor for a Subcontractor category shall be final and binding upon all Bidders, and no action of any nature shall lie against any awarding agency or its employees or officers because of its decision in this regard.

5.1.3 After such a Contract has been awarded, the successful Bidder shall not substitute another Subcontractor for any Subcontractor whose name was set forth in the statement which accompanied the Bid without the written consent of the awarding Agency.

5.1.4 No Agency shall consent to any substitution of Subcontractors unless the Agency is satisfied that the Subcontractor whose name is on the Bidders accompanying statement:

A. Is unqualified to perform the work required;

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B. Has failed to execute a timely reasonable Subcontract;

C. Has defaulted in the performance on the portion of the work covered by the Subcontract; or

D. Is no longer engaged in such business.

5.1.5 Should a Bidder be awarded a contract, such successful Bidder shall provide to the agency the taxpayer identification license numbers of such subcontractors. Such numbers shall be provided on the later of the date on which such subcontractor is required to be identified or the time the contract is executed. The successful Bidder shall provide to the agency to which it is contracting, within 30 days of entering into such public works contract, copies of all Delaware Business licenses of subcontractors and/or independent contractors that will perform work for such public works contract. However, if a subcontractor or independent contractor is hired or contracted more than 20 days after the Bidder entered the public works contract the Delaware Business license of such subcontractor or independent contractor shall be provided to the agency within 10 days of being contracted or hired.

5.2 PENALTY FOR SUBSTITUTION OF SUBCONTRACTORS

5.2.1 Should the Contractor fail to utilize any or all of the Subcontractors in the Contractor's Bid statement in the performance of the Work on the public bidding, the Contractor shall be penalized in the amount of (project specific amount*). The Agency may determine to deduct payments of the penalty from the Contractor or have the amount paid directly to the Agency. Any penalty amount assessed against the Contractor may be remitted or refunded, in whole or in part, by the Agency awarding the Contract, only if it is established to the satisfaction of the Agency that the Subcontractor in question has defaulted or is no longer engaged in such business. No claim for the remission or refund of any penalty shall be granted unless an application is filed within one year after the liability of the successful Bidder accrues. All penalty amounts assessed and not refunded or remitted to the contractor shall be reverted to the State.

*one (1) percent of contract amount not to exceed $10,000

5.3 ASBESTOS ABATEMENT

5.3.1 The selection of any Contractor to perform asbestos abatement for State-funded projects shall be approved by the Office of Management and Budget, Division of Facilities Management pursuant to Chapter 78 of Title 16.

5.4 STANDARDS OF CONSTRUCTION FOR THE PROTECTION OF THE PHYSICALLY HANDICAPPED

5.4.1 All Contracts shall conform to the standard established by the Delaware Architectural Accessibility Board unless otherwise exempted by the Board.

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5.5 CONTRACT PERFORMANCE

5.5.1 Any firm entering into a Public Works Contract that neglects or refuses to perform or fails to comply with its terms, the Agency may terminate the Contract and proceed to award a new Contract or may require the Surety on the Performance Bond to complete the Contract in accordance with the terms of the Performance Bond.

ARTICLE 6: CONSTRUCTION BY OWNER OR SEPARATE CONTRACTORS

6.1 The Owner reserves the right to simultaneously perform other construction or operations related to the Project with the Owner's own forces, and to award separate contracts in connection with other portions of the Project or other Projects at the same site.

6.2 The Contractor shall afford the Owner and other Contractors reasonable opportunity for access and storage of materials and equipment, and for the performance of their activities, and shall connect and coordinate their activities with other forces as required by the Contract Documents.

ARTICLE 7: CHANGES IN THE WORK

7.1 The Owner, without invalidating the Contract, may order changes in the Work consisting of Additions, Deletions, Modifications or Substitutions, with the Contract Sum and Contract completion date being adjusted accordingly. Such changes in the Work shall be authorized by written Change Order signed by the Professional, as the duly authorized agent, the Contractor and the Owner.

7.2 The Contract Sum and Contract Completion Date shall be adjusted only by a fully executed Change Order.

7.3 The additional cost, or credit to the Owner resulting from a change in the Work shall be by mutual agreement of the Owner, Contractor and the Architect. In all cases, this cost or credit shall be based on the 'DPE' wages required and the "invoice price" of the materials/equipment needed.

7.3.1 "DPE" shall be defined to mean "direct personnel expense". Direct payroll expense includes direct salary plus customary fringe benefits (prevailing wage rates) and documented statutory costs such as workman's compensation insurance, Social Security/Medicare, and unemployment insurance (a maximum multiplier of 1.35 times DPE).

7.3.2 "Invoice price" of materials/equipment shall be defined to mean the actual cost of materials and/or equipment that is paid by the Contractor, (or subcontractor), to a material distributor, direct factory vendor, store, material provider, or equipment leasing entity. Rates for equipment that is leased and/or owned by the Contractor or subcontractor(s) shall not exceed those listed in the latest version of the "Means Building Construction Cost Data" publication.

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7.3.3 In addition to the above, the General Contractor is allowed a fifteen percent (15%) markup for overhead and profit for additional work performed by the General Contractor's own forces. For additional subcontractor work, the Subcontractor is allowed a fifteen (15) percent overhead and profit on change order work above and beyond the direct costs stated previously. To this amount, the General Contractor will be allowed a mark-up not exceeding seven and one half percent (7.5%) on the subcontractors work. These mark-ups shall include all costs including, but not limited to: overhead, profit, bonds, insurance, supervision, etc. No markup is permitted on the wor of the subcontractor's subcontractor. No additional costs shall be allowed for changes related to the Contractor's onsite superintendent/staff, or project manager, unless a change in the work changes the project duration and is identified by the CPM schedule. There will be no other costs associated with the change order.

ARTICLE 8: TIME

8.1 Time limits, if any, are as stated in the Project Manual. By executing the Agreement, the Contractor confirms that the stipulated limits are reasonable and that the Work will be completed within the anticipated time frame.

8.2 If progress of the Work is delayed at any time by changes ordered by the Owner, by labor disputes, fire, unusual delay in deliveries, abnormal adverse weather conditions, unavoidable casualties or other causes beyond the Contractor's control, the Contract Time shall be extended for such reasonable time as the Owner may determine.

8.3 Any extension of time beyond the date fixed for completion of the construction and acceptance of any part of the Work called for by the Contract, or the occupancy of the building by the Owner, in whole or in part, previous to the completion shall not be deemed a waiver by the Owner of his right to annul or terminate the Contract for abandonment or delay in the matter provided for, nor relieve the Contractor of full responsibility.

8.4 SUSPENSION AND DEBARMENT

8.4.1 Per Section 6962(d)(14), Title 29, Delaware Code, "Any Contractor who fails to perform a public works contract or complete a public works project within the time schedule established by the Agency in the Invitation To Bid, may be subject to Suspension or Debarment for one or more of the following reasons: a) failure to supply the adequate labor supply ratio for the project; b) inadequate financial resources; or, c) poor performance on the Project."

8.4.2 "Upon such failure for any of the above stated reasons, the Agency that contracted for the public works project may petition the Director of the Office of Management and Budget for Suspension or Debarment of the Contractor. The Agency shall send a copy of the petition to the Contractor within three (3) working days of filing with the Director. If the Director concludes that the petition has merit, the Director shall schedule and hold a hearing to determine whether to suspend the Contractor, debar the Contractor or deny the petition. The Agency shall have the burden of proving, by a preponderance of the evidence, that the Contractor failed to perform or complete the public works project within the time schedule established by the Agency and failed to do so for one or more of

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the following reasons: a) failure to supply the adequate labor supply ratio for the project; b) inadequate financial resources; or, c) poor performance on the project. Upon a finding in favor of the Agency, the Director may suspend a Contractor from Bidding on any project funded, in whole or in part, with public funds for up to 1 year for a first offense, up to 3 years for a second offense and permanently debar the Contractor for a third offense. The Director shall issue a written decision and shall send a copy to the Contractor and the Agency. Such decision may be appealed to the Superior Court within thirty (30) days for a review on the record."

8.5 RETAINAGE

8.5.1 Per Section 6962(d)(5) a.3, Title 29, Delaware Code: The Agency may at the beginning of each public works project establish a time schedule for the completion of the project. If the project is delayed beyond the completion date due to the Contractor's failure to meet their responsibilities, the Agency may forfeit, at its discretion, all or part of the Contractor's retainage.

8.5.2 This forfeiture of retainage also applies to the timely completion of the punchlist. A punchlist will only be prepared upon the mutual agreement of the Owner, Architect and Contractor. Once the punchlist is prepared, all three parties will by mutual agreement, establish a schedule for its completion. Should completion of the punchlist be delayed beyond the established date due to the Contractor's failure to meet their responsibilities, the Agency may hold permanently, at its discretion, all or part of the Contractor's retainage.

ARTICLE 9: PAYMENTS AND COMPLETION

9.1 APPLICATION FOR PAYMENT

9.1.1 Applications for payment shall be made upon AIA Document G702. There will be a five percent (5%) retainage on all Contractor's monthly invoices until completion of the project. This retainage may become payable upon receipt of all required closeout documentation, provided all other requirements of the Contract Documents have been met.

9.1.2 A date will be fixed for the taking of the monthly account of work done. Upon receipt of Contractor's itemized application for payment, such application will be audited, modified, if found necessary, and approved for the amount. Statement shall be submitted to the Owner.

9.1.3 Section 6516, Title 29 of the Delaware Code annualized interest is not to exceed 12% per annum beginning thirty (30) days after the "presentment" (as opposed to the date) of the invoice.

9.2 PARTIAL PAYMENTS

9.2.1 Any public works Contract executed by any Agency may provide for partial payments at the option of the Owner with respect to materials placed along or upon the sites or stored at secured locations, which are suitable for use in the performance of the contract.

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9.2.2 When approved by the agency, partial payment may include the values of tested and acceptable materials of a nonperishable or noncontaminative nature which have been produced or furnished for incorporation as a permanent part of the work yet to be completed, provided acceptable provisions have been made for storage.

9.2.2.1 Any allowance made for materials on hand will not exceed the delivered cost of the materials as verified by invoices furnished by the Contractor, nor will it exceed the contract bid price for the material complete in place.

9.2.3 If requested by the Agency, receipted bills from all Contractors, Subcontractors, and material, men, etc., for the previous payment must accompany each application for payment. Following such a request, no payment will be made until these receipted bills have been received by the Owner.

9.3 SUBSTANTIAL COMPLETION

9.3.1 When the building has been made suitable for occupancy, but still requires small items of miscellaneous work, the Owner will determine the date when the project has been substantially completed.

9.3.2 If, after the Work has been substantially completed, full completion thereof is materially delayed through no fault of the Contractor, and without terminating the Contract, the Owner may make payment of the balance due for the portion of the Work fully completed and accepted. Such payment shall be made under the terms and conditions governing final payment that it shall not constitute a waiver of claims.

9.3.3 On projects where commissioning is included, the commissioning work as defined in the specifications must be complete prior to the issuance of substantial completion.

9.4 FINAL PAYMENT

9.4.1 Final payment, including the five percent (5%) retainage if determined appropriate, shall be made within thirty (30) days after the Work is fully completed and the Contract fully performed and provided that the Contractor has submitted the following closeout documentation (in addition to any other documentation required elsewhere in the Contract Documents):

9.4.1.1 Evidence satisfactory to the Owner that all payrolls, material bills, and other indebtedness connected with the work have been paid,

9.4.1.2 An acceptable RELEASE OF LIENS,

9.4.1.3 Copies of all applicable warranties,

9.4.1.4 As-built drawings,

9.4.1.5 Operations and Maintenance Manuals,

9.4.1.6 Instruction Manuals,

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9.4.1.7 Consent of Surety to final payment.

9.4.1.8 The Owner reserves the right to retain payments, or parts thereof, for its protection until the foregoing conditions have been complied with, defective work corrected and all unsatisfactory conditions remedied.

ARTICLE 10: PROTECTION OF PERSONS AND PROPERTY

10.1 The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract. The Contractor shall take all reasonable precautions to prevent damage, injury or loss to: workers, persons nearby who may be affected, the Work, materials and equipment to be incorporated, and existing property at the site or adjacent thereto. The Contractor shall give notices and comply with applicable laws ordinances, rules regulations, and lawful orders of public authorities bearing on the safety of persons and property and their protection from injury, damage, or loss. The Contractor shall promptly remedy damage and loss to property at the site caused in whole or in part by the Contractor, a Subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable.

10.2 The Contractor shall notify the Owner in the event any existing hazardous material such as lead, PCBs, asbestos, etc. is encountered on the project. The Owner will arrange with a qualified specialist for the identification, testing, removal, handling and protection against exposure or environmental pollution, to comply with applicable regulation laws and ordinances. The Contractor and Architect will not be required to participate in or to perform this operation. Upon completion of this work, the Owner will notify the Contractor and Architect in writing the area has been cleared and approved by the authorities in order for the work to proceed. The Contractor shall attach documentation from the authorities of said approval.

10.3 As required in the Hazardous Chemical Information Act of June 1984, all vendors supplying any materials that may be defined as hazardous, must provide Material Safety Data Sheets for those products. Any chemical product should be considered hazardous if it has a warning caution on the label relating to a potential physical or health hazard, if it is known to be present in the work place, and if employees may be exposed under normal conditions or in any foreseeable emergency situation. Material Safety Data Sheets <u>must</u> be provided <u>directly to the Owner</u> along with the shipping slips that include those products.

10.4 The Contractor shall certify to the Owner that materials incorporated into the Work are free of all asbestos. This certification may be in the form of Material Safety Data Sheet (MSDS) provided by the product manufacturer for the materials used in construction, as specified or as provided by the Contractor.

ARTICLE 11: INSURANCE AND BONDS

11.1 The Contractor shall carry all insurance required by law, such as Unemployment Insurance, etc. The Contractor shall carry such insurance coverage as they desire on their own property such as a field office, storage sheds or other structures erected upon the project site that belong to them and for their own use. The Subcontractors involved with this

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project shall carry whatever insurance protection they consider necessary to cover the loss of any of their personal property, etc.

11.2 Upon being awarded the Contract, the Contractor shall obtain a minimum of two (2) copies of all required insurance certificates called for herein, and submit one (1) copy of each certificate, to the Owner, within 20 days of contract award.

11.3 Bodily Injury Liability and Property Damage Liability Insurance shall, in addition to the coverage included herein, include coverage for injury to or destruction of any property arising out of the collapse of or structural injury to any building or structure due to demolition work and evidence of these coverages shall be filed with and approved by the Owner.

11.4 The Contractor's Property Damage Liability Insurance shall, in addition to the coverage noted herein, include coverage on all real and personal property in their care, custody and control damaged in any way by the Contractor or their Subcontractors during the entire construction period on this project.

11.5 Builders Risk (including Standard Extended Coverage Insurance) on the existing building during the entire construction period, shall not be provided by the Contractor under this contract. The Owner shall insure the existing building and all of its contents and all this new alteration work under this contract during entire construction period for the full insurable value of the entire work at the site. Note, however, that the Contractor and their Subcontractors shall be responsible for insuring building materials (installed and stored) and their tools and equipment whenever in use on the project, against fire damage, theft, vandalism, etc.

11.6 Certificates of the insurance company or companies stating the amount and type of coverage, terms of policies, etc., shall be furnished to the Owner, within 20 days of contract award.

11.7 The Contractor shall, at their own expense, (in addition to the above) carry the following forms of insurance:

11.7.1 <u>Contractor's Contractual Liability Insurance</u>

Minimum coverage to be:

Bodily Injury	$500,000	for each person
	$1,000,000	for each occurrence
	$1,000,000	aggregate
Property Damage	$500,000	for each occurrence
	$1,000,000	aggregate

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11.7.2 Contractor's Protective Liability Insurance

Minimum coverage to be:

Bodily Injury	$500,000	for each person
	$1,000,000	for each occurrence
	$1,000,000	aggregate
Property Damage	$500,000	for each occurrence
	$500,000	aggregate

11.7.3 Automobile Liability Insurance

Minimum coverage to be:

Bodily Injury	$1,000,000	for each person
	$1,000,000	for each occurrence
Property Damage	$500,000	per accident

11.7.4 Prime Contractor's and Subcontractors' policies shall include contingent and contractual liability coverage in the same minimum amounts as 11.7.1 above.

11.7.5 Workmen's Compensation (including Employer's Liability):

11.7.5.1 Minimum Limit on employer's liability to be as required by law.

11.7.5.2 Minimum Limit for all employees working at one site.

11.7.6 Certificates of Insurance must be filed with the Owner guaranteeing fifteen (15) days prior notice of cancellation, non-renewal, or any change in coverages and limits of liability shown as included on certificates.

11.7.7 Social Security Liability

11.7.7.1 With respect to all persons at any time employed by or on the payroll of the Contractor or performing any work for or on their behalf, or in connection with or arising out of the Contractor's business, the Contractor shall accept full and exclusive liability for the payment of any and all contributions or taxes or unemployment insurance, or old age retirement benefits, pensions or annuities now or hereafter imposed by the Government of the United States and the State or political subdivision thereof, whether the same be measured by wages, salaries or other remuneration paid to such persons or otherwise.

11.7.7.2 Upon request, the Contractor shall furnish Owner such information on payrolls or employment records as may be necessary to enable it to fully comply with the law imposing the aforesaid contributions or taxes.

11.7.7.3 If the Owner is required by law to and does pay any and/or all of the aforesaid contributions or taxes, the Contractor shall forthwith reimburse the Owner for the entire amount so paid by the Owner.

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ARTICLE 12: UNCOVERING AND CORRECTION OF WORK

12.1 The Contractor shall promptly correct Work rejected by the Owner or failing to conform to the requirements of the Contract Documents, whether observed before or after Substantial Completion and whether or not fabricated, installed or completed, and shall correct any Work found to be not in accordance with the requirements of the Contract Documents within a period of two years from the date of Substantial Completion, or by terms of an applicable special warranty required by the Contract Documents. The provisions of this Article apply to work done by Subcontractors as well as to Work done by direct employees of the Contractor.

12.2 At any time during the progress of the work, or in any case where the nature of the defects shall be such that it is not expedient to have them corrected, the Owner, at their option, shall have the right to deduct such sum, or sums, of money from the amount of the contract as they consider justified to adjust the difference in value between the defective work and that required under contract including any damage to the structure.

ARTICLE 13: MISCELLANEOUS PROVISIONS

13.1 CUTTING AND PATCHING

13.1.1 The Contractor shall be responsible for all cutting and patching. The Contractor shall coordinate the work of the various trades involved.

13.2 DIMENSIONS

13.2.1 All dimensions shown shall be verified by the Contractor by actual measurements at the project site. Any discrepancies between the drawings and specifications and the existing conditions shall be referred to the Owner for adjustment before any work affected thereby has been performed.

13.3 LABORATORY TESTS

13.3.1 Any specified laboratory tests of material and finished articles to be incorporated in the work shall be made by bureaus, laboratories or agencies approved by the Owner and reports of such tests shall be submitted to the Owner. The cost of the testing shall be paid for by the Contractor.

13.3.2 The Contractor shall furnish all sample materials required for these tests and shall deliver same without charge to the testing laboratory or other designated agency when and where directed by the Owner.

13.4 ARCHAEOLOGICAL EVIDENCE

13.4.1 Whenever, in the course of construction, any archaeological evidence is encountered on the surface or below the surface of the ground, the Contractor shall notify the authorities of the Delaware Archaeological Board and suspend work in the immediate area for a reasonable time to permit those authorities, or persons designated by them, to examine the area and

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ensure the proper removal of the archaeological evidence for suitable preservation in the State Museum.

13.5 GLASS REPLACEMENT AND CLEANING

13.5.1 The General Contractor shall replace without expense to the Owner all glass broken during the construction of the project. If job conditions warrant, at completion of the job the General Contractor shall have all glass cleaned and polished.

13.6 WARRANTY

13.6.1 For a period of two (2) years from the date of substantial completion, as evidenced by the date of final acceptance of the work, the contractor warrants that work performed under this contract conforms to the contract requirements and is free of any defect of equipment, material or workmanship performed by the contractor or any of his subcontractors or suppliers. However, manufacturer's warranties and guarantees, if for a period longer than two (2) years, shall take precedence over the above warranties. The contractor shall remedy, at his own expense, any such failure to conform or any such defect. The protection of this warranty shall be included in the Contractor's Performance Bond.

13.7 REPORTING

13.7.1 Contractor who is awarded contract must report contract amounts awarded to all listed subcontractors. Information to report is included in the chart below. If subcontractor is considered a minority, women or veteran owned business, please identify if they are registered with the State of Delaware, Office of Supplier Diversity.

SUBCONTRACTOR CATEGORY	SUBCONTRACTOR NAME	SUBCONTRACTOR CONTRACT AMOUNT	Is subcontractor minority, women or veteran owned?

13.8 BUY AMERICAN ACT

13.8.1 Section 810 of Article VIII (included elsewhere in this Project Manual) requires compliance with the Buy American Act (41 U.S.C. 10.) The Buy American Act gives preference to domestic end products and domestic construction material. To verify compliance with this Section, Contractor is required to provide proof, acceptable to the Owner, that all major equipment and material installed on the project was manufactured in the United States.

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ARTICLE 14: TERMINATION OF CONTRACT

14.1 If the Contractor defaults or persistently fails or neglects to carry out the Work in accordance with the Contract Documents or fails to perform a provision of the Contract, the Owner, after seven days written notice to the Contractor, may make good such deficiencies and may deduct the cost thereof from the payment then or thereafter due the Contractor. Alternatively, at the Owner's option, and the Owner may terminate the Contract and take possession of the site and of all materials, equipment, tools, and machinery thereon owned by the Contractor and may finish the Work by whatever method the Owner may deem expedient. If the costs of finishing the Work exceed any unpaid compensation due the Contractor, the Contractor shall pay the difference to the Owner.

14.2 "If the continuation of this Agreement is contingent upon the appropriation of adequate state, or federal funds, this Agreement may be terminated on the date beginning on the first fiscal year for which funds are not appropriated or at the exhaustion of the appropriation. The Owner may terminate this Agreement by providing written notice to the parties of such non-appropriation. All payment obligations of the Owner will cease upon the date of termination. Notwithstanding the foregoing, the Owner agrees that it will use its best efforts to obtain approval of necessary funds to continue the Agreement by taking appropriate action to request adequate funds to continue the Agreement."

END OF SECTION

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ARTICLE VIII – APPLICABLE LAWS AND REGULATIONS

Section 801. Applicable Law.

This MCCA is incidental to implementation of a federal program. Accordingly, this MCCA shall be governed by and construed according to federal law as it may affect rights, remedies, and obligations of the United States.

Section 802. Governing Regulations.

To the extent not inconsistent with express terms of this MCCA, provisions of 32 CFR Part 33, Uniform Administrative Requirements for Grants and Cooperative Agreements, the DoD 3210.6R, DoD Grant and Agreement Regulations (4/13/93), OMB Circular A-87, and NGR 5-1/ANGI 63-101, which circular and regulations are hereby incorporated into this MCCA by reference as if fully set forth herein, shall govern this MCCA.

Section 803. Officials Not to Benefit

No member of or delegate to Congress, or resident commissioner, shall be admitted to any share or part of this agreement, or to any benefit arising from it, in accordance with 41 U.S.C. 22.

Section 804. Nondiscrimination.

The State covenants and agrees that by signing this agreement or accepting funds under this agreement, the recipient assures that it will comply with applicable provision of the following, national policies prohibiting discrimination:

a. On the basis of race, color, or national origin, in Title VII of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.), as implemented by DOD regulations 32 CFR Part 195.

b. On the basis of race, color, religion, sex, or national origin, in Executive Order 11246 [3 CFR, 1964-1965 Comp. p. 339], as implemented by Department of Labor regulations issued thereunder (41 CFR Part 60);

c. On the basis of handicap, in Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794) as implemented by Department of Justice regulations at 28 CFR part 41 and DoD Regulations at 32 CFR Part 56; and,

d. On the basis of Age, in the Age Discrimination Act of 1975 (42 U.S.C. § 6101 et seq.) as implemented by Department of Health and Human Services regulations at 45 CFR Part 90.

Section 805. Lobbying.

a. The State covenants and agrees that it will not expend any funds appropriated by Congress to pay any person for influencing or attempting to influence an officer or employee of any agency or a member of Congress in connection with any of the following covered federal actions: The awarding of any federal contract; the making of any federal grant; the making of any federal loan; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

b. New Restrictions on Lobbying, issued by the Office of Management and Budget and the Department of Defense (32 CFR Part 28) to implement provisions of Section 319 of Public Law 102-121 (31 U.S.C. § 1352) is incorporated by reference and the State agrees to comply with provisions thereof, including amendments to the that may hereafter be issued.

Section 806. Drug-Free Work Place.

a. The State covenants and agrees that it will comply with provisions of the Drug-Free Work Place Act of 1988 (Public Law 100-690, Title V, Subtitle D; 41 U.S.C. § 701 et seq.) and will maintain a drug-free workplace.

b. Government-Wide Requirements for Drug-Free Workplace (Grants), issued by the Office of Management and Budget and the Department of Defense (41 USC 702) to implement provisions of the Drug-Free Work Place Act of 1988, is incorporated by reference and the State covenants and agrees to comply with provisions thereof, including amendments that may hereafter be issued.

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Section 807. Environmental Standards. (By signing this agreement or accepting funds under this agreement, the recipient assure that it will):

a. Comply with applicable provision of the Clean Air Act (42 U.S.C. § 7401, et seq) and Clean Water Act (33 USC 1251, et.seq.), as implemented by Executive Order 11738 [3 CFR, 1971-1975 comp., p.799] and Environmental Protection Agency (EPA) rules at 40 CFR Part 15. In accordance with the EPA rules, the recipient further agrees that it will:

- Not use any facility on the EPA's List of Violating Facilities in performing any award that is nonexempt under 40 CFR 15.5 (awards of less than $100,000, and certain other awards, exempt from the EPA regulations), as long as the facility remains on the list.

- Notify the awarding agency if it intends to use a facility in performing this award that is on the List of Violating Facilities or that the recipient knows has been recommended to be placed on the List of Violating Facilities.

b. Identify to the awarding agency any impact this award may have on:

(1) The quality of the human environment, and provide help the agency may need to comply with the National Environmental Policy Act (NEPA, at 42 U.S.C 4321, et.seq.) and to prepare Environment Impact Statements or other required environmental documentation. In such cases, the recipient agrees to take no action that will have an adverse environmental impact (e.g., physical disturbance of a site such as breaking of ground) until the agency provides written notification of compliance with the environmental impact analysis process.

(2) Flood-prone areas, and provide help the agency may need to comply with the National Flood Insurance Act of 1968 and Flood Disaster Protection Act of 1973 (42 U.S.C. 4001, et. Seq.) which require flood insurance, when available, for Federally assisted construction or acquisition in flood-prone areas.

(3) Coastal zones, and provide help the agency may need to comply with the Coastal Zone Management Act of 1972 (16 U.S.C. 1451, et seq.), concerning protection of U.S. coastal resources.

(4) Coastal barriers, and provide help the agency may need to comply with the Coastal Barriers Resource Act (16 U.S.C. 3501 et.seq.), concerning preservation of barrier resources.

(5) Any existing or proposed component of the National Wild and Scenic Rivers system, and provide help the agency may need to comply with eh Wild and Scenic Rivers Act of 1968 (16 U.S.C. 1271 et seq.).

(6) Underground sources of drinking water in areas that have an aquifer that is the sole or principal drinking water source, and provide help the agency may need to comply with the Safe Drinking Water Act (42 U.S.C 300h-3).

Section 808. Preference for U.S. Flag Air Carriers.
(Any agreement under which international air travel may be supported by U.S. Government funds)

Travel supported by U.S. Government funds under this agreement shall use U.S flag air carriers (air carriers holding certificates under 49U.S.C.41102) for international air transportation of people and property to the extent that such service is available, in accordance with the International Air Transportation Fair Competitive Practices Act of 1974 (49 U.S.C. 40118) and the interpretative guidelines issued by the Comptroller General of the United States in the March 31, 1981, amendment to Comptroller General Decision B138942.

Section 809. Debarment and Suspension.

a. The State shall not make any award or permit any award (subgrant or contract) at any tier to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in federal assistance programs under Executive Order 12549 "Debarment and Suspension".

b. Government-Wide Debarment and Suspension (Nonprocurement), issued by the Office of Management and Budget and the Department of Defense (32 CFR Part 25) to implement provisions of Executive Order 12549 "Debarment and Suspension," is incorporated by reference and the State covenants and agrees to comply with provisions thereof, including amendments that may hereafter be issued.

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Section 810. Buy American Act.

The State covenants and agrees that it will not expend any funds appropriated by Congress without complying with The Buy American Act (41 U.S.C. 10). The Buy American Act gives preference to domestic end products and domestic construction material. In addition, the Memorandum of Understanding between the United States of America and the European Economic Community (EEC) on Government Procurement, and the North American Free Trade Agreement (NAFTA), provide that EEC and NAFTA end products and construction materials are exempted from application of the Buy American Act.

Section 811. Relocation Assistance and Real Property Acquisition Policies.

The State covenants and assures that it will comply with 49 CFR part 24, which implements the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (42 U.S.C. § 4601 et seq.) and provides for fair and equitable treatment of persons displaced by Federally assisted programs or persons whose property is acquired as a result of such programs.

Section 812. Copeland "Anti-Kickback" Act. *(All contracts and subgrants for construction or repair)*

The State covenants and agrees that it will comply with the Copeland "Anti-Kickback" Act (18 U.S.C. 874), as supplemented in Department of Labor regulations (29 CFR Part 3). As applied to this MCCA, the Copeland "Anti-Kickback" Act makes it unlawful to induce, by force, intimidation, threat of procuring dismissal from employment, or otherwise any person employed in the construction or repair of public buildings or public works, financed in whole or in part by the United States, to give up any part of the compensation to which that person is entitled under a contract of employment.

Section 813. Contract Work Hours and Safety Standards Act. *(Construction contracts awarded by grantees and subgrantees in excess of $2,000, and in excess of $2,500 for other contracts which involve the employment of mechanics and laborers)*

The State covenants and agrees that it will comply with Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-330), as supplemented by Department of Labor regulations (29 CFR Part 5). As applied to this MCCA, the Contract Work Hours and Safety Standards Act specifies that no laborer or mechanic doing any part of the work contemplated by this MCCA shall be required or permitted to work more than 40 hours in any work week unless paid for all additional hours at not less than 1 1/2 times the basic rate of pay.

Section 814. Davis-Bacon Act. Contractor to comply with State of Delaware prevailing wage requirements, pursuant to Delaware Code, Title 29, Section 6960

~~**DO NOT USE THIS CLAUSE UNLESS AUTHORIZED BY NGB-ARI.**~~

~~The State covenants and agrees that it will comply with the Davis-Bacon (40 U.S.C. 276 a to a-7) as supplemented by U.S. Department of Labor regulations (29 CFR Part 5). (Construction contracts in excess of $2,000 awarded by grantees and subgrantees when required by Federal grant program legislation). All rulings and interpretations of the Davis-Bacon Act contained in 29 CFR Part 5 are incorporated by reference in this MCCA. As applied to this MCCA, the Davis-Bacon Act (40 U.S.C. 276a-276a-7) provides that contracts in excess of $2,000 to which the Federal Government provides assistance funding for construction, alteration, or repair (including painting and decorating) of public buildings or public works within the United States, shall contain a provision that no laborer or mechanic employed directly upon the site of the work shall receive less than the prevailing wage rates as determined by the U.S. Secretary of Labor.~~

Section 815. National Historic Preservation. *(Any construction, acquisition, modernization, or other activity that may impact a historic property.)*

The State covenants and agrees to identify to the awarding agency any property listed or eligible for listing on the National Register of Historic Places that will be affected by this award, and to provide any help the awarding agency may need, with respect to this award, to comply with Section 106 of the National Historic Preservation Act of 1966 (16 U.S.C. 470, et seq.), as implemented by the Advisory Council on Historic Preservation regulations at 36 CFR Part 800 and Executive Order 11593 (3 CFR, 1971-1975 Comp., p. 559).

(36 CFR Part 800 requires Grants Officers to get comments from the Advisory Council on Historic Preservation before proceeding with Federally assisted projects that may affect properties listed on or eligible for listing on the National Register of Historic Places.)

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Section 816. Hatch Act.

The State covenants and agrees to comply with the Hatch Act (5 U.S.C. 1501 - 1508 and 7324 - 7326), as implemented by the Office of Personnel Management at 5 CFR Part 151, which limits political activity of employees or officers of State or local governments whose employment is connected to an activity financed in whole or part with Federal funds.

Section 817. Equal Employment Opportunity. *(All construction contracts awarded in excess of $10,000 by grantees and their contractors or subgrantees.)*

The State covenants and agrees to comply with Executive Order 11246 of September 24, 1965 entitled "Equal Employment Opportunity," as amended by Executive Order 11375 of October 13, 1967 and as supplemented in Department of Labor regulations (41 CFR Chapter 60).

Section 818. Cargo Preference. *(Any agreement under which international air travel may be supported by U.S. Government funds.)*

The State covenants and agrees that it will comply with the Cargo Preference Act of 1954 (46 USC 1241), as implemented by Department of Transportation regulations at 46 CFR 381.7, which require that at least 50 percent of equipment, materials or commodities procured or otherwise obtained with U.S. Government funds under this Grant, and which may be transported by ocean vessel, shall be transported on privately owned U.S. flag commercial vessels, if available.

Section 819. Preservation of Open Competition and Government Neutrality Towards Government Contractors' Labor Relations on Federal and Federally Funded Construction Projects.

The State covenants and agrees that it will comply with Executive Order 13202 of February 17, 2001, Preservation of Open Competition and Government Neutrality Towards Government Contractors' Labor Relations on Federal and Federally Funded Construction Projects, as amended on April 6, 2001.

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EMPLOYEE DRUG TESTING REPORT FORM
Period Ending:_____

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds submit Testing Report Forms to the Owner no less than quarterly.

Project Number: 200-76984-16009/MC7601000088

Project Name: DEARNG Smyrna Readiness – PTAC Replacement

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____
_____
_____

Number of employees who worked on the jobsite during the report period:_____

Number of employees subject to random testing during the report period:_____

Number of Negative Results _____ Number of Positive Results _____

Action taken on employee(s) in response to a failed or positive random test:
_____
_____
_____

Authorized Representative of Contractor/Subcontractor: _____
(typed or printed)

Authorized Representative of Contractor/Subcontractor: _____
(signature)

Date: _____

NOT FOR BIDDING PURPOSES

EMPLOYEE DRUG TESTING
REPORT OF POSITIVE RESULTS

4104 Regulations for the Drug Testing of Contractor and Subcontractor Employees Working on Large Public Works Projects requires that Contractors and Subcontractors who work on Large Public Works Contracts funded all or in part with public funds to notify the Owner in writing of a positive random drug test.

Project Number: 200-76984-16009/MC7601000088

Project Name: DEARNG Smyrna Readiness – PTAC Replacement

Contractor/Subcontractor Name: _____

Contractor/Subcontractor Address: _____
_____
_____

Name of employee with positive test result: _____

Last 4 digits of employee SSN: _____

Date test results received: _____

Action taken on employee in response to a positive test result:
_____
_____
_____

Authorized Representative of Contractor/Subcontractor: _____
(typed or printed)

Authorized Representative of Contractor/Subcontractor: _____
(signature)

Date: _____

This form shall be sent by mail to the Owner within 24 hours of receipt of test results.

Enclose this test results form in a sealed envelope with the notation "Drug Testing Form – DO NOT OPEN" on the face thereof and place in a separate mailing envelope.

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ADDITIONAL GENERAL CONTRACTING REQUIREMENTS

PART 1 GENERAL

1.01 GENERAL PROVISIONS

A. APPLICABLE PROVISIONS of the entire Project Manual including Addenda shall govern this Section as fully as if repeated herein.

1.02 BIDDING AND CONTRACT DOCUMENTS

A. Reference to specific sections of Bidding and Contract Documents further herein will be by Section number only.

1.03 WORK INCLUDED

A. Briefly, and without force, it is the intent of this Project Manual and of the accompanying drawings that this Contractor shall provide the following:

HVAC/Mechanical Equipment Replacement

1. Replace Thirty-Four (34) Packaged Terminal Air Conditioning Units (PTAC's) with Console Type Variable Refrigerant Flow Evaporators. Including Refrigerant Piping, Condensing Units, Electrical and BAS Controls.

1.04 WORK NOT INCLUDED

A. Asbestos Material: If material is encountered which may contain asbestos and must be disturbed, do not touch the material. Notify the Asbestos Section of the Division of Facilities Management in writing. Within fourteen (14) calendar days, the Division of Facilities Management will perform laboratory tests to determine if there is asbestos. If asbestos is not a danger, the Contractor will be directed to proceed without change. If the material is asbestos and must be handled, the Division of Facilities Management will direct a change.

1.05 SECURITY

A. All wall openings must be secured and weather-proofed at the end of each work day.

B. The Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with work. They shall take all reasonable precautions for the safety of, and shall provide all reasonable protection to prevent damage, injury or loss to:

1. All employees on the work and other persons who may be affected thereby.

2. All work, materials and equipment to be incorporated therein.

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3. Other property at the site or adjacent thereto.

C. The Contractor shall comply with all applicable laws, ordinances, rules, regulations and orders of any public authority having jurisdiction for the safety of persons or property or to protect them from damage, injury or loss. All damage or loss to any property or building materials shall be remedied by the Contractor no matter how such damage or loss is caused.

1.06 MAJOR SUB-CONTRACTORS

A. Refer to the Bid Form for the listing of Sub-Contractors and Addresses.

B. For simplicity, all sub-contracts and sub-contractors will be referred to further herein as Contracts and Contractors respectively.

1.07 DRAWINGS AND SPECIFICATIONS

A. It is the intent of the specifications and drawings to include under each item all materials, apparatus and labor necessary to properly install, equip, adjust and put into perfect operation the respective portions of the installations specified or to so interconnect the various items or sections of the work as to form a complete and properly operating whole.

B. Any apparatus, machinery, small items not mentioned in detail which may be found necessary to complete or perfect any portion of the installations in a substantial manner and in compliance with the requirements stated, implied or intended shall be furnished without extra cost to the Owner. This shall include all materials, devices or methods peculiar to the machinery, apparatus or systems furnished and installed by the Prime Contractor or any sub-contractor.

C. In referring to drawings, figured dimensions take precedence over scale measurements. Discrepancies must be referred to the Engineer for decision. Each Contractor shall certify and verify all dimensions before ordering material or commencing work.

D. Any work called for in the specifications but not mentioned or shown on the drawings, or called for in the drawings but not mentioned in the specifications, shall be provided as though called for in both.

E. When any device or part of equipment is referred to herein in the singular number, such as "the pump", such reference shall be deemed to apply to as many such devices as required to complete the installation.

F. The term "Provide" shall mean "Furnish and Install". Neither term will be used generally in these specifications, but will be assumed. The term "Furnish" shall mean to obtain and deliver on the job for installation by other trades.

1.08 CONTINUITY OF SERVICES

NOT FOR BIDDING PURPOSES

A. Continuous operation of basic services of these facilities during the construction is mandatory. This specifically includes space heating, telephone, storm sewer, domestic hot and cold water services, electrical services, and all security systems.

B. No action shall be taken by these Contractors that will interrupt any of the existing building services or systems unless previous arrangements have been made five (5) days in advance with the Owner or an authorized representative.

C. Should any Contractor inadvertently interrupt any service, they shall immediately furnish all labor, including overtime, material and equipment necessary for prompt restoration of such service and/or system at no additional cost to the Owner.

1.09 METHOD OF PROCEDURE

A. The drawings accompanying these specifications are diagrammatic and intended to cover the approximate and relative location of all material, equipment and systems.

B. Installation, connection and interconnection of all components of these systems shall be, complete and made in accordance with the manufacturers instructions and best practices.

C. Each Contractor shall erect all parts of equipment to be furnished by them under their contract at such time and in such manner as not to delay or interfere with other Contractors on the job.

D. All piping and conduit shall be plugged as required during construction to prevent entrance of dirt and other foreign objects.

E. Before material is ordered or any work performed, each Contractor shall verify all measurements, including lines, grades, pipes, and conduit work elevations at the building and shall be responsible for the correctness thereof. No extra compensation will be allowed on account of differences between actual dimension and measurements and those indicated in the Contract Drawings. Any discrepancies discovered shall be submitted to the Engineer for consideration before proceeding with the work.

F. Each Contractor shall lay out their work and be responsible for the establishment of heights, grades, etc., for all interior piping, and conduit, etc., included in Contract Documents, in strict accordance with the intent expressed thereby; and all the physical conditions to be met at the building and finished grade, and shall be responsible for accuracy thereof. The establishment of the location of all work shall be performed in consideration of the finished work. In case of conflict, equipment shall be relocated without cost to the Owner, as directed by the Engineer, regardless of which equipment was installed first.

G. Each Contractor shall cooperate with other Contractors for the proper securing and anchoring of all work included within these specifications. Extraordinary care shall be used in the erection and installation of all equipment and materials to avoid any damage of the work of other Contractors, as each Contractor will be held financially responsible for all such injury caused by the lack of precaution and due to negligence on the part of their workers.

H. All piping, conduit and other mechanical and electrical materials and equipment mounted below ceilings are to be kept as close to ceiling as possible unless otherwise noted.

NOT FOR BIDDING PURPOSES

1.10 RESPONSIBILITY FOR DAMAGE AND CARE OF STATE PROPERTY

A. The Contractor in the performance of this Contract will be held financially responsible for any damage to the grounds, buildings, or equipment caused by them, their subcontractors or employees, or other persons engaged in the performance of the Contract.

B. Every reasonable effort shall be made by workmen to proceed with the work as described in these specifications in a manner accepted in trade circles as the highest level of workmanship. The successful bidder for this work shall be responsible for all damage to other work caused by his workmen or through the neglect of his workmen on the site.

C. Workmanlike care shall be expected at all times in performing the work. It shall be the responsibility of the successful bidder to repair or replace all damaged property, the damage for which they or anyone working under his direction is responsible.

1.11 MATERIALS AND EQUIPMENT

A. All materials and equipment shall be new and shall conform to the grade, quality and standards specified herein.

B. All equipment offered under these specifications shall be limited to products regularly produced and recommended for service ratings in accordance with engineering data or other comprehensive literature made available to the public and in effect at the time of opening of bids.

C. Items such as valves, motors, starting equipment, vibration isolating devices, and all other equipment and material, where applicable and practicable, shall each be of one manufacturer.

D. Equipment shall be installed in strict accordance with manufacturer's instructions for type and capacity of each piece of equipment used. The Contractors shall obtain these instructions which will be considered part of these specifications. Type, capacity and application of equipment shall be suitable and shall operate satisfactorily for the purposes intended.

1.12 FASTENINGS AND CLAMPS

A. Bolts with expansion shields shall not be used as anchor bolts.

B. All fastenings, clamps and anchors shall be of type made for purpose intended, as follows:

1. Toggle or flat plates in fill with machine screw/bolt fastenings for pre-cast concrete or lath and plaster.

2. Metal expansion shields and machine screws for solid masonry.

3. Lag screws or bolts for wood or material of similar fibrous nature.

4. Screws with wooden or plastic plugs or lead caulking anchors are not acceptable.

1.13 CLEANING

NOT FOR BIDDING PURPOSES

A. Each Contractor and/or Sub-Contractor who is responsible for execution of individual sections of work shall be responsible for the following:

1. Removal of all lumber, refuse, metal, piping and debris resulting from their work to an on-site location as determined by the Prime Contractor.

2. Cleaning drippings resulting from their work, etc., from finished work of other trades.

3. Cleaning, polishing, waxing of their work as required.

B. After testing and acceptance by the Engineer, each Contractor shall thoroughly clean all material and equipment involved in their Contract to the satisfaction of the Engineer.

1.14 PROTECTION

A. Exercise every precaution to exclude dust, dirt and all other foreign materials from rooms during construction.

B. All piping, materials and accessories having finish polished chrome plated surfaces and machine, finished or unpainted surfaces of equipment furnished under these specifications shall be given a thick coat of a neutral protection grease and carefully covered with thick cloth or heavy building paper held securely in place to protect the finish against damage during the entire period of construction.

C. All openings in pipes, fittings, conduit, and all other materials shall be effectively sealed to exclude dirt, sand and other foreign materials.

D. Exercise every precaution to exclude dust, dirt, and all other foreign materials from switchgear rooms, relays, meters and transformer and mechanical equipment rooms during construction. All relays, meters and mechanical equipment contained with electrical components shall be protected with heavy paper held in place with approved mastic tape to exclude fine dust and particles.

1.15 EQUIPMENT DEVIATIONS

A. Refer to SUBSTITUTIONS Article of Section 00 10 00

B. Where Prime or Sub-Contractors propose to use an item of equipment other than that specified as the standard of design and construction or detailed on drawings, the provisions of this referenced article shall apply.

C. Where such approved deviation requires any changes of the structure, partitions, foundations, piping, wiring, or any other part of the Mechanical, Electrical or General Construction Design Documents, all re-design and any new drawings and detailing required therefore, shall with the approval of the Engineer, be prepared and executed by the Contractor at their own expense.

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D. All alternate and/or substitute prices shall include the cost of all items affecting the work of all other trades.

1.16 GUARANTEE

A. All material, equipment and workmanship provided by each Contractor shall be in first class operating condition in every respect at time of acceptance by Owner. Acceptance by the Owner shall be by letter to this effect written to each Contractor.

B. Each Contractor shall unconditionally guarantee in writing all materials, equipment and workmanship for a period of two (2) years from date of acceptance by Owner. During the guarantee period each Contractor shall repair or replace, at their own expense, any materials, equipment or workmanship in which defects may develop and they shall also provide free service for all equipment and systems involved in their contract during this guarantee period.

C. Guarantee shall also include restoration to its original condition of all adjacent work that must be disturbed in fulfilling this guarantee.

D. All such repairs and/or replacements shall be made without delay and at the convenience of the Owner.

E. Guarantees furnished by Sub-Contractors and/or equipment manufacturers shall be counter-signed by the related Contractor for joint and/or individual responsibility for subject item.

F. Manufacturer's equipment guarantees or warranties extending beyond the guarantee period described above shall be transferred to the Owner along with the contractor's guarantees.

1.17 AS-BUILT DRAWINGS

A. During the course of the work, maintain a record set of drawings on which shall be marked the actual physical location of all piping, valves, equipment, conduit, feeders, outlets, access panels, controls, actuators, etc., including all invert elevations.

B. Include all addendum items, response to RFI's (field directives), change order items and underground obstructions.

C. At project completion, obtain a clean set of prints and AutoCAD 2010/2014 CD'S from the Engineer. Make a set of reproducibles. Neatly transfer all the recorded as-built information on to the reproducibles and AutoCAD 2010/2014 CD.

D. Provide five (5) prints of these reproducibles, one (1) set for the State Archives, and one (1) set along with the reproducibles themselves and AutoCAD 2010/2014 CD's to Owner. In addition, attach one (1) complete set of prints to each of the Operating and Maintenance Instructions.

E. In cases where the Prime Contractor or Subcontractors are required to design and/or submit original shop drawing documents, prepared by the respective Contractors for submission to State Agencies (i.e.: sprinkler, fire alarm, etc.), each respective Contractor or Subcontractor shall revise their drawings accordingly and include all As-Built information, thereon. Submit

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As-Builts in the same format, (i.e.: One (1) reproducible and three (3) prints) as with the project As-Builts.

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SECTION 01 10 00

SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Project information.
2. Work covered by Contract Documents.
3. Phased construction.
4. Work by Owner.
5. Work under separate contracts.
6. Future work.
7. Purchase contracts.
8. Owner-furnished products.
9. Contractor-furnished, Owner-installed products.
10. Access to site.
11. Coordination with occupants.
12. Work restrictions.
13. Specification and drawing conventions.
14. Miscellaneous provisions.

B. Related Requirements:

1. Section 01 50 00 "Temporary Facilities and Controls" for limitations and procedures governing temporary use of Owner's facilities.

1.3 PROJECT INFORMATION

A. Project Identification: DEARNG Smyrna Readiness Center – Mechanical Renovations – PTAC Replacement

1. Project Location: 103 Artisan Drive, Smyrna, DE 19977

B. Owner: United States of America

1. Owner's Representative: William R. Davis; Contracting Specialist

NOT FOR BIDDING PURPOSES

a. Owner's Address: DEARNG, Biden National Guard/Reserve Center, 250 Airport Road, New Castle, DE 19720

C. Architect: Tetra Tech.

D. Architect's Consultants: The Architect has retained the following design professionals who have prepared designated portions of the Contract Documents:

1. None

1.4 WORK COVERED BY CONTRACT DOCUMENTS

A. HVAC/Mechanical Equipment Replacement

1. Replace the existing Packaged Terminal Air Conditioning (PTAC) Units serving the perimeter offices with a more efficient Variable Refrigerant Flow System.

2. Work included in Console Type Evaporators, Piping, Condensing Units, Electrical and BAS Controls.

1.1 WORK UNDER SEPARATE CONTRACTS

A. General: Cooperate fully with separate contractors so work on those contracts may be carried out smoothly, without interfering with or delaying work under this Contract or other contracts. Coordinate the Work of this Contract with work performed under separate contracts.

1.2 ACCESS TO SITE

A. General: Contractor shall have full use of Project site for construction operations during construction period. Contractor's use of Project site is limited only by Owner's right to perform work or to retain other contractors on portions of Project.

Or

B. General: Contractor shall have limited use of Project site for construction operations as indicated on Drawings by the Contract limits and as indicated by requirements of this Section.

C. Use of Site: Limit use of Project site to work in areas indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.

1. Limits: Confine construction operations to Limits of Disturbance.
2. Driveways, Walkways and Entrances: Keep driveways and entrances serving premises clear and available to Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.

a. Schedule deliveries to minimize use of driveways and entrances by construction operations.

NOT FOR BIDDING PURPOSES

b. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.

1.3 COORDINATION WITH OCCUPANTS

A. Partial Owner Occupancy: Owner will occupy the premises during entire construction period with the exception of areas under construction. Cooperate with Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with Owner's operations. Maintain existing exits unless otherwise indicated.

1. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from Owner and authorities having jurisdiction.
2. Provide not less than 72 hours' notice to Owner of activities that will affect Owner's operations.

B. Owner Limited Occupancy of Completed Areas of Construction: Owner reserves the right to occupy and to place and install equipment in completed portions of the Work, prior to Substantial Completion of the Work, provided such occupancy does not interfere with completion of the Work. Such placement of equipment and limited occupancy shall not constitute acceptance of the total Work.

1. Architect will prepare a Certificate of Substantial Completion for each specific portion of the Work to be occupied prior to Owner's acceptance of the completed Work.
2. Obtain a Certificate of Occupancy from authorities having jurisdiction before limited Owner occupancy.
3. Before limited Owner occupancy, mechanical and electrical systems shall be fully operational, and required tests and inspections shall be successfully completed. On occupancy, Owner will operate and maintain mechanical and electrical systems serving occupied portions of Work.
4. On occupancy, Owner will assume responsibility for maintenance and custodial service for occupied portions of Work.

1.4 WORK RESTRICTIONS

A. Work Restrictions, General: Comply with restrictions on construction operations.

1. Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.

B. On-Site Work Hours: Limit work in the existing building to normal business working hours of 7:00 a.m. to 3:00 p.m., Monday through Friday, unless otherwise indicated.

1. Weekend Hours: Must be arranged in advance.
2. Early Morning Hours: Provide 72 hours' notice.
3. Hours for Utility Shutdowns:
4. Hours for Core Drilling: Provide 72 hours' notice.

NOT FOR BIDDING PURPOSES

C. Existing Utility Interruptions: Do not interrupt utilities serving facilities occupied by Owner or others unless permitted under the following conditions and then only after providing temporary utility services according to requirements indicated:

1. Notify Architect or Owner not less than three (3) days in advance of proposed utility interruptions.
2. Obtain Architect and Owner written permission before proceeding with utility interruptions.

D. Noise, Vibration, and Odors: Coordinate operations that may result in high levels of noise and vibration, odors, or other disruption to Owner occupancy with Owner.

1. Notify Architect and Owner not less than two (2) days in advance of proposed disruptive operations.
2. Obtain Architect and Owner written permission before proceeding with disruptive operations.

E. Controlled Substances: Use of tobacco products and other controlled substances Project site is not permitted.

F. Employee Identification: Provide identification tags for Contractor personnel working on Project site. Require personnel to use identification tags at all times.

G. Employee Screening: Comply with Owner's requirements drug and background screening of Contractor personnel working on Project site.

1. Maintain list of approved screened personnel with Owner's representative.

1.5 SPECIFICATION AND DRAWING CONVENTIONS

A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:

1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.

B. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.

C. Drawing Coordination: Requirements for materials and products identified on Drawings are described in detail in the Specifications. One or more of the following are used on Drawings to identify materials and products:

1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.
2. Abbreviations: Materials and products are identified by abbreviations published as part of the U.S. National CAD Standard and scheduled on Drawings.

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3. Keynoting: Materials and products are identified by reference keynotes referencing Specification Section numbers found in this Project Manual.

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SECTION 01 21 00

ALLOWANCES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes administrative and procedural requirements governing allowances.

1. Certain items are specified in the Contract Documents by allowances. Allowances have been established in lieu of additional requirements and to defer selection of actual materials and equipment to a later date when direction will be provided to Contractor. If necessary, additional requirements will be issued by Change Order.

B. Types of allowances include the following:

1. Lump-sum allowances.
2. Contingency allowances.

1.3 COORDINATION

A. Coordinate allowance items with other portions of the Work. Furnish templates as required to coordinate installation.

1.4 CONTINGENCY ALLOWANCES

A. Use the contingency allowance only as directed by Architect for Owner's purposes and only by Change Orders that indicate amounts to be charged to the allowance.

B. Contractor's overhead, and profit ordered by Owner under the contingency allowance are already included in the allowance and are part of the Contract Sum.

C. At Project closeout, credit unused amounts remaining in the contingency allowance to Owner by Change Order.

NOT FOR BIDDING PURPOSES

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine products covered by an allowance promptly on delivery for damage or defects. Return damaged or defective products to manufacturer for replacement.

3.2 PREPARATION

A. Coordinate materials and their installation for each allowance with related materials and installations to ensure that each allowance item is completely integrated and interfaced with related work.

3.3 SCHEDULE OF ALLOWANCES

A. Allowance No. 1: Contingency Allowance: Include a contingency allowance of $25,000.00 for use according to Owner's written instructions to bridle loose cabling and remove abandon wiring.

B. Allowance No. 2: BAS Controls Allowance: Include an allowance of $_____for their time and Mark-ups for Supervision and Coordination. Total Allowance Cost to be included in the Contractor's Base Bid price.

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 01 25 00

CONTRACT MODIFICATION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section specifies administrative and procedural requirements for handling and processing Contract modifications.

B. Related Sections include the following:

1. Division 01 Section "Allowances" for procedural requirements for handling and processing allowances.

1.3 MINOR CHANGES IN THE WORK

A. The Architect will issue supplemental instructions authorizing Minor Changes in the Work, not involving adjustment to the Contract Sum or the Contract Time, on AIA Document G710, "Architect's Supplemental Instructions".

1.4 PROPOSAL REQUESTS

A. Owner-Initiated Proposal Requests: The Architect will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications.

1. Proposal Requests issued by the Architect are for information only. Do not consider them instructions either to stop work in progress or to execute the proposed change.
2. Within time specified in Proposal Request after receipt of Proposal Request, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change. Refer to procedures outlined in the *Supplementary Conditions* of the Contract.

B. Contractor-Initiated Proposals: If latent or unforeseen conditions require modifications to the Contract, Contractor may propose changes by submitting a request for a change to the Architect. Refer to Procedures outlined in the *Supplementary Conditions* of the Contract.

1.5 ALLOWANCES

A. Submit claims for increased costs because of a change in scope or nature of the allowance described in the Contract Documents, whether for the Purchase Order amount or Contractor's handling, labor, installation, overhead, and profit. Submit claims within 14 days of receipt of the Change Order or Construction Change Directive authorizing work to proceed. Owner will reject claims submitted later than 21 days after such authorization.

NOT FOR BIDDING PURPOSES

1. Do not include Contractor's or subcontractor's indirect expense in the Change Order cost amount unless it is clearly shown that the nature or extent of work has changed from what could have been foreseen from information in the Contract Documents.
2. No change to Contractor's indirect expense is permitted for selection of higher- or lower-priced materials or systems of the same scope and nature as originally indicated.

1.6 CHANGE ORDER PROCEDURES

A. On Owner's approval of a Proposal Request, the Architect will issue a Change Order for signatures of Owner and Contractor on AIA Document G701.

1.7 CONSTRUCTION CHANGE DIRECTIVE

A. Work Change Directive: The Architect may issue a Work Change Directive on AIA Document G714. Work Change Directive instructs Contractor to proceed with a change in the Work, for subsequent inclusion in a Change Order.

1. Work Change Directive contains a complete description of change in the Work. It also designates method to be followed to determine change in the Contract Sum or the Contract Time.

B. Documentation: Maintain detailed records on a time and material basis of work required by the Work Change Directive.

1. After completion of change, submit an itemized account and supporting data necessary to substantiate cost and time adjustments to the Contract.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 01 31 00

PROJECT MANAGEMENT & COORDINATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative provisions for coordinating construction operations on the Project including, but not limited to, the following:

1. General project coordination procedures.
2. Coordination Drawings.
3. Administrative and supervisory personnel.
4. Project meetings.

B. Each contractor shall participate in coordination requirements. Certain areas of responsibility will be assigned to a specific contractor.

C. Related Sections: The following Sections contain requirements that relate to this Section:

1. Division 01 Section "Closeout Procedures" for coordinating Contract closeout.

1.3 COORDINATION

A. Coordination: Coordinate construction operations included in various Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations, included in different Sections that depend on each other for proper installation, connection, and operation.

1. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
2. Coordinate installation of different components with other contractors to ensure maximum accessibility for required maintenance, service, and repair.
3. Make adequate provisions to accommodate items scheduled for later installation.

B. If necessary, prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.

1. Prepare similar memoranda for the Owner and separate contractors if coordination of their Work is required.

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C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities and activities of other contractors to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:

1. Preparation of the Contractor's Construction Schedule.
2. Preparation of the Schedule of Values.
3. Installation and removal of temporary facilities and controls.
4. Delivery and processing of submittals.
5. Progress meetings.
6. Preinstallation conferences.
7. Project closeout activities.

D. Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials.

1.4 SUBMITTALS

A. Coordination Drawings: Prepare Coordination Drawings if limited space availability necessitates maximum utilization of space for efficient installation of different components or if coordination is required for installation of products and materials fabricated by separate entities.

1. Indicate relationship of components shown on separate Shop Drawings.
2. Indicate required installation sequences.

B. Staff Names: Within 15 days of starting construction operations, submit a list of principal staff assignments, including superintendent and other personnel in attendance at the Project site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home and office telephone numbers. Provide names, addresses, and telephone numbers of individuals assigned as standbys in the absence of individuals assigned to the Project.

1. Post copies of list in the Project meeting room, in temporary field office, and by each temporary telephone.

1.5 PROJECT MEETINGS

A. General: The Architectural/Engineering Consultant shall Schedule and conduct meetings and conferences at the Project site, unless otherwise indicated.

1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify the Owner and the Contractor of scheduled meeting dates and times.
2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
3. Minutes: Record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including the Owner and the Architect, within 3 days of the meeting.

B. Preconstruction Conference: The Architectural/Engineering Consultant shall Schedule a preconstruction conference before starting construction, at a time convenient to the Owner and the Architect, but no later than 15 days after execution of the Agreement. Hold the conference

NOT FOR BIDDING PURPOSES

at the Project site or another convenient location. Conduct the meeting to review responsibilities and personnel assignments.

1. Attendees: Authorized representatives of the Owner, the Contractor, and their consultants; the Contractor and its superintendent; major subcontractors; manufacturers; suppliers; and other concerned parties shall attend the conference. All participants at the conference shall be familiar with the Project and authorized to conclude matters relating to the Work.
2. Agenda: Discuss items of significance that could affect progress, including the following:

    a. Tentative construction schedule.
    b. Phasing.
    c. Critical work sequencing.
    d. Designation of responsible personnel.
    e. Procedures for processing field decisions and Change Orders.
    f. Procedures for processing Applications for Payment.
    g. Distribution of the Contract Documents.
    h. Submittal procedures.
    i. Preparation of Record Documents.
    j. Use of the premises.
    k. Responsibility for temporary facilities and controls.
    l. Parking availability.
    m. Office, work, and storage areas.
    n. Equipment deliveries and priorities.
    o. First aid.
    p. Security.
    q. Progress cleaning.
    r. Working hours.

C. Progress Meetings: The Architectural/Engineering Consultant shall conduct progress meetings at bimonthly intervals. Coordinate dates of meetings with preparation of payment requests.

1. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of the Project.

    a. Contractor's Construction Schedule: Review progress since the last meeting. Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to the Contractor's Construction Schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
    b. Review present and future needs of each entity present, including the following:

        1) Interface requirements.
        2) Sequence of operations.
        3) Status of submittals.
        4) Deliveries.
        5) Off-site fabrication.
        6) Access.

NOT FOR BIDDING PURPOSES

7) Site utilization.
8) Temporary facilities and controls.
9) Work hours.
10) Hazards and risks.
11) Progress cleaning.
12) Quality and work standards.
13) Change Orders.
14) Documentation of information for payment requests.

2. Reporting: The Architectural/Engineering Consultant shall distribute minutes of the meeting to each party present and to parties who should have been present. Include a brief summary, in narrative form, of progress since the previous meeting and report.

   a. Schedule Updating: Revise the Contractor's Construction Schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue revised schedule concurrently with the report of each meeting.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 01 31 20

PAYROLL REPORTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for schedules and reports required for proper performance of the Work, including:

1. State of Delaware Payroll Reports.

B. Related Sections: The following Sections contain requirements that relate to this Section:

1. Division 01 Section "Applications for Payment" specifies requirements for submittal of the Schedule of Values.
2. Division 01 Section "Project Meetings" specifies requirements for submittal and distribution of meeting and conference minutes.

1.3 SUBMITTAL PROCEDURES

A. Coordination: Coordinate preparation and processing of schedules and reports with performance of other construction activities.

1.4 PAYROLL REPORTS

A. State of Delaware Payroll Reports: As required by the State of Delaware, Section 6960, Title 29, of the Delaware Code, payroll wages shall be reported weekly to the Delaware Department of Labor, Division of Industrial Affairs, 4425 North Market Street, Wilmington, DE 19802, phone 302-761-8200. Forms shall be available at the above address. A sample copy of the form is attached under contract forms, State of Delaware Payroll Report.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

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SECTION 01 32 00

CONSTRUCTION PROGRESS DOCUMENTATION

NOT FOR BIDDING PURPOSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:

1. Preliminary Construction Schedule.
2. Contractor's Construction Schedule.
3. Submittals Schedule.
4. Daily construction reports.
5. Material location reports.
6. Field condition reports.
7. Construction photographs.

B. Related Sections include the following:

1. Division 01 Section "Payment Procedures" for submitting the Schedule of Values.
2. Division 01 Section "Project Management and Coordination" for submitting and distributing meeting and conference minutes.
3. Division 01 Section "Submittal Procedures" for submitting schedules and reports.
4. Division 01 Section "Closeout Procedures" for submitting photographic negatives as Project Record Documents at Project closeout.

1.3 DEFINITIONS

A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction project. Activities included in a construction schedule consume time and resources.

1. Critical activities are activities on the critical path. They must start and finish on the planned early start and finish times.
2. Predecessor activity is an activity that must be completed before a given activity can be started.

B. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.

C. Critical Path: The longest continuous chain of activities through the network schedule that establishes the minimum overall Project duration and contains no float.

D. Event: The starting or ending point of an activity.

E. Float: The measure of leeway in starting and completing an activity.

1. Float time is not for the exclusive use or benefit of either Owner or Contractor, but is a jointly owned, expiring Project resource available to both parties as needed to meet schedule milestones and Contract completion date.
2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the following activity.
3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.

F. Major Area: A story of construction, a separate building, or a similar significant construction element.

G. Milestone: A key or critical point in time for reference or measurement.

H. Network Diagram: A graphic diagram of a network schedule, showing activities and activity relationships.

1.4 SUBMITTALS

A. Qualification Data: For firms and persons specified in "Quality Assurance" Article and in-house scheduling personnel to demonstrate their capabilities and experience. Include lists of completed projects with project names and addresses, names and addresses of architects and owners, and other information specified.

B. Submittals Schedule: Submit three copies of schedule. Arrange the following information in a tabular format:

1. Scheduled date for first submittal.
2. Specification Section number and title.
3. Submittal category (action or informational).
4. Name of subcontractor.
5. Description of the Work covered.

C. Contractor's Construction Schedule: Submit three printed copies of initial schedule, one a reproducible print and one a blue- or black-line print, large enough to show entire schedule for entire construction period.

D. CPM Reports: Concurrent with CPM schedule, submit three printed copies of each of the following computer-generated reports. Format for each activity in reports shall contain activity number, activity description, original duration, remaining duration, early start date, early finish date, late start date, late finish date, and total float.

1. Activity Report: List of all activities sorted by activity number and then early start date, or actual start date if known.
2. Logic Report: List of preceding and succeeding activities for all activities, sorted in ascending order by activity number and then early start date, or actual start date if known.
3. Total Float Report: List of all activities sorted in ascending order of total float.

E. Daily Construction Reports: Submit two copies at weekly intervals.

NOT FOR BIDDING PURPOSES

F. Material Location Reports: Submit two copies at weekly intervals.

G. Field Condition Reports: Submit two copies at weekly intervals.

1.5 QUALITY ASSURANCE

A. Scheduling Consultant Qualifications: An experienced specialist in CPM scheduling and reporting.

1.6 COORDINATION

A. Coordinate preparation and processing of schedules and reports with performance of construction activities and with scheduling and reporting of separate contractors.

B. Coordinate Contractor's Construction Schedule with the Schedule of Values, list of subcontracts, Submittals Schedule, progress reports, payment requests, and other required schedules and reports.

1. Secure time commitments for performing critical elements of the Work from parties involved.
2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

PART 2 - PRODUCTS

2.1 SUBMITTALS SCHEDULE

A. Preparation: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, resubmittal, ordering, manufacturing, fabrication, and delivery when establishing dates.

1. Coordinate Submittals Schedule with list of subcontracts, the Schedule of Values, and Contractor's Construction Schedule.

2. Final Submittal: Submit concurrently with the first complete submittal of Contractor's Construction Schedule.

2.2 CONTRACTOR'S CONSTRUCTION SCHEDULE (CPM SCHEDULE)

A. CPM Schedule: Prepare Contractor's Construction Schedule using a CPM network analysis diagram.

1. Develop network diagram in sufficient time to submit CPM schedule so it can be accepted for use no later than 15 days after date established for the Notice to Proceed..
2. Establish procedures for monitoring and updating CPM schedule and for reporting progress. Coordinate procedures with progress meeting and payment request dates.
3. Use "one workday" as the unit of time.

NOT FOR BIDDING PURPOSES

B. CPM Schedule Preparation: Prepare a list of all activities required to complete the Work. Using the preliminary network diagram, prepare a skeleton network to identify probable critical paths.

1. Activities: Indicate the estimated time duration, sequence requirements, and relationship of each activity in relation to other activities. Include estimated time frames for the following activities:

   a. Preparation and processing of submittals.
   b. Purchase of materials.
   c. Delivery.
   d. Fabrication.
   e. Installation.

2. Processing: Process data to produce output data or a computer-drawn, time-scaled network. Revise data, reorganize activity sequences, and reproduce as often as necessary to produce the CPM schedule within the limitations of the Contract Time.
3. Format: Mark the critical path. Locate the critical path near center of network; locate paths with most float near the edges.

   a. Subnetworks on separate sheets are permissible for activities clearly off the critical path.

2.3 REPORTS

A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:

1. List of subcontractors at Project site.
2. List of separate contractors at Project site.
3. Approximate count of personnel at Project site.
4. High and low temperatures and general weather conditions.
5. Accidents.
6. Meetings and significant decisions.
7. Unusual events (refer to special reports).
8. Stoppages, delays, shortages, and losses.
9. Meter readings and similar recordings.
10. Emergency procedures.
11. Orders and requests of authorities having jurisdiction.
12. Change Orders received and implemented.
13. Construction Change Directives received.
14. Services connected and disconnected.
15. Equipment or system tests and startups.
16. Partial Completions and occupancies.
17. Substantial Completions authorized.

B. Material Location Reports: At monthly intervals, prepare a comprehensive list of materials delivered to and stored at Project site. List shall be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site.

NOT FOR BIDDING PURPOSES

C. Field Condition Reports: Immediately on discovery of a difference between field conditions and the Contract Documents, prepare a detailed report. Submit with a request for information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

PART 3 - EXECUTION (not used)

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SECTION 01 33 00

SUBMITTAL PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes requirements for the administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.

1. Process designated submittals for the Project electronically through designated email system.

1.3 DEFINITIONS

A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals."

B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals."

C. Portable Document Format (PDF): An open standard file format licensed by Adobe Systems used for representing documents in a device-independent and display resolution-independent fixed layout document format.

D. Email System: A method to transmit certain electronic submittals between the Contractor, Architect, and Owner, via email.

1. For consistency, the standard file format will be PDF. Convert paper originals and other file formats to PDF prior to submission.

2. In the event of system malfunction, submittals shall be processed in accordance with the Architect’s instructions, until the system malfunction has been corrected.

3. For this Project, process the following submittal types through the designated email system:

a. Product Data.

NOT FOR BIDDING PURPOSES

b. Shop Drawings.
c. Product Schedules.
d. Qualification Data.
e. Certificates (Welding, Installer, Manufacturer, Product, and Material, as applicable).
f. Test Reports (Material, Product, Preconstruction, Compatibility, and Field, as applicable).
g. Research Reports.
h. Warranty (sample).
i. Design Data, including calculations.
j. Coordination Drawings.
k. Delegated-Design Services Certifications.

4. For Samples, provide electronic submittal of Sample cover sheet, identifying location and actual delivery date of Samples. Deliver Samples to location (Architect's office, Project site, etc.) as directed by the Architect.

1.4 SUBMITTAL ADMINISTRATIVE REQUIREMENTS

A. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.

1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.

2. Where indicated, submit all submittal items required for each Specification Section concurrently.

3. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.

a. Architect reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

B. Processing Time: Allow sufficient time for submittal review, including time for resubmittals. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.

C. Electronic Submittals: Identify and incorporate information in each electronic submittal file as follows:

1. Include a cover sheet on each submittal item for identification. Do not combine different submittals under same cover sheet; only one submittal is to be provided per email.

a. Cover Sheet: Use PDF version of sample form included in Project Manual. Complete each item on form, sign and date. Architect will furnish PDF version of sample form.

2. Name submittal file as directed by Architect.

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3. Transmit each submittal via email using subject line as directed by Architect.

4. Send submittal to designated Project-specific email address:

   a. Use the following email address: IER.deng@tetratech.com

5. Contractor must have a color printer and copier in order to use the electronic submittal process.

D. Resubmittals: Make resubmittals in same form and, for non-electronic submittals, in the same number of copies as initial submittal.

1. Note date and content of revision in label or title block and clearly indicate extent of revision.
2. Resubmit submittals until they are marked with approval notation from Architect.

3. Refer to Supplementary Conditions for provisions allowing Owner to obtain reimbursement from the Contractor for amounts paid to the Architect for evaluation of certain resubmittals.

E. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities.

F. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Architect.

PART 2 - PRODUCTS

2.1 SUBMITTAL PROCEDURES, GENERAL

A. General Submittal Procedure Requirements: Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.

2.2 ELECTRONIC SUBMITTAL PROCEDURES

A. Use the designated email system for submittals in this Article.

1. Submit electronic submittals via email as PDF electronic files.

   a. Architect will return annotated file. Annotate and retain one copy of file as an electronic Project record document file.

2. Contractor must have a color printer and copier in order to use the electronic submittal process.

NOT FOR BIDDING PURPOSES

B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.

1. Mark submittal to show which products and options are applicable.

2. Include the following information, as applicable:

a. Manufacturer's catalog cuts.
b. Statement of compliance with specified referenced standards.
c. Testing by recognized testing agency.

3. For equipment, include the following in addition to the above, as applicable:

a. Printed performance curves.
b. Clearances required to other construction, if not indicated on accompanying Shop Drawings.

C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data, unless submittal based on Architect's digital data drawing files is otherwise permitted.

1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:

a. Identification of products.
b. Schedules.
c. Compliance with specified standards.
d. Notation of dimensions established by field measurement.
e. Relationship and attachment to adjoining construction clearly indicated.
f. Seal and signature of professional engineer if specified.

D. Product Schedule: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location. Include the following information in tabular form:

1. Type of product. Include unique identifier for each product indicated in the Contract Documents or assigned by Contractor if none is indicated.
2. Manufacturer and product name, and model number if applicable.
3. Number and name of room or space.

E. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.

F. Certificates:

1. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS forms. Include names of firms and personnel certified.

NOT FOR BIDDING PURPOSES

2. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.

3. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.

4. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.

5. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.

G. Test Reports:

1. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.

2. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.

3. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.

4. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.

5. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.

H. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project.

I. Warranty: Submit sample warranties as required in individual Specification Sections.

J. Design Data: Prepare and submit written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.

NOT FOR BIDDING PURPOSES

K. Coordination Drawing Submittals: Comply with requirements specified in Division 01 Section "Project Management and Coordination."

L. Delegated-Design Services Certification: Submit certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.

1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

2. In addition, for a project in New Jersey, provide three paper copies of certificate, signed and sealed (with raised seal) by the responsible design professional.

2.3 NON-ELECTRONIC SUBMITTAL PROCEDURES

A. Samples: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other elements and for a comparison of these characteristics between submittal and actual component as delivered and installed.

1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.

2. Identification: Attach label on unexposed side of Samples that includes the following:

a. Generic description of Sample.
b. Product name and name of manufacturer.
c. Sample source.
d. Number and title of applicable Specification Section.

3. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.

4. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.

a. Number of Samples: Submit two full sets of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return one submittal with options selected.

5. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.

NOT FOR BIDDING PURPOSES

a. Number of Samples: Submit two sets of Samples. Architect will return one set.

1) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least three sets of paired units that show approximate limits of variations.

B. Shop Drawing Submissions:

1. Submit eight (8) copies for review.

C. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Include the following information in tabular form:

1. Name, address, and telephone number of entity performing subcontract or supplying products.

2. Number and title of related Specification Section(s) covered by subcontract.

3. Submit subcontract list in the following format:

a. Number of Copies: Four paper copies of subcontractor list, unless otherwise indicated. Architect will return one copy.

D. Key Personnel Names: No later than 15 days after date of Notice of Award, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site.

1. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including emergency, office, and cellular telephone numbers and email addresses.

a. Number of Copies: Four paper copies of key personnel list, unless otherwise indicated.

E. Closeout Submittals and Maintenance Material Submittals: Comply with requirements specified in Division 01 Section "Closeout Procedures."

F. Maintenance Data: Comply with requirements specified in Division 01 Section "Operation and Maintenance Data."

2.4 DELEGATED-DESIGN SERVICES

A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.

1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.

NOT FOR BIDDING PURPOSES

PART 3 - EXECUTION

3.1 CONTRACTOR'S REVIEW

A. Action and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Identify any deviations from Contract Document requirements. Mark cover sheet with approval before submitting to Architect.

1. Sign and date statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

3.2 ARCHITECT'S ACTION

A. General: Architect will not review submittals that do not bear Contractor's approval and will return them without action.

B. Action Submittals: Architect will review each submittal, make marks to indicate corrections or revisions required, and return it. Architect will mark submittal appropriately to indicate action, as follows:

1. Final Unrestricted Release: Where the submittal is marked "Approved," the Work covered by the submittal may proceed provided it complies with the Contract Documents. Final acceptance will depend on that compliance.

2. Final-but-Restricted Release: Where the submittal is marked "Approved as Noted," the Work covered by the submittal may proceed provided it complies both with Architect's notations and corrections on the submittal and the Contract Documents. Final acceptance will depend on that compliance.

3. Resubmit: Where the submittal is marked "Approved, Revise and Return Corrected Copies," the Work covered by the submittal may proceed provided it complies both with Architect's notations and corrections on the submittal and the Contract Documents. Revise submittal according to Architect's notations and corrections and return corrected copies. Final acceptance will depend on that compliance.

4. Rejected: Where the submittal is marked "Rejected," do not proceed with the Work covered by the submittal. Prepare a new submittal for a product that complies with the Contract Documents.

5. Incomplete - Resubmit: Where the submittal is marked "Incomplete, Submit Additional Information," do not proceed with the Work covered by the submittal. Prepare additional information requested, or required by the Contract Documents, that indicates compliance with requirements, and resubmit.

C. Informational Submittals: Architect will review each submittal and will not return it, or will return it if it does not comply with requirements.

NOT FOR BIDDING PURPOSES

D. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review.

E. Limit information submitted to specific products indicated. Do not submit extraneous matter. Submittals containing excessive extraneous matter will be returned for resubmittal without review.

F. Submittals not required by the Contract Documents may be returned by the Architect without action.

Attachment: Cover Sheet

END OF SECTION

NOT FOR BIDDING PURPOSES

CONTRACTOR: _____

_____

SUBMITTAL DATE ____ /____ /____

Check following as applicable:
☐ First Submission
☐ Re-submission

ARCHITECT: Tetra Tech Inc.

PROJECT IDENTIFICATION

Architect's
Project No.: 200-76984-16009

Proj. Name: PTAC Replacement

Location: Smyrna Readiness Center

PRODUCT IDENTIFICATION

Specification Section No. _____

Submittal No. _____

Name of Product: _____

_____

Name of Manufacturer: _____

SUBCONTRACTOR

_____

SUPPLIER

_____

RELATIONSHIP TO STRUCTURE

Building
Name _____

_____
(Room #) *(Room Name)*

Contract Drawing No.: _____

RESERVED FOR USE BY TETRA TECH

ACTION SUBMITTAL:

☐ Approved

☐ Approved As Noted

☐ Approved, Revise and Return Corrected Copies

☐ Rejected

☐ Incomplete, Submit Additional Information

INFORMATIONAL SUBMITTAL:

☐ No Action Taken

☐ Returned for Resubmittal

Reviewed By: _____

Date: _____

Reviewed only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. Review not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. Review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences or procedures.

DEVIATION FROM CONTRACT DOCUMENTS: _____

_____

_____

CONTRACTOR COMMENTS: _____

_____

_____

ARCHITECT'S COMMENTS: _____

_____

_____

CONTRACTOR'S STAMP

CONTRACTOR'S CERTIFICATION
I CERTIFY THAT THIS SUBMITTAL HAS BEEN REVIEWED AND APPROVED BY THE CONTRACTOR IN ACCORDANCE WITH THE GENERAL CONDITIONS.

BY _____

NOT FOR BIDDING PURPOSES

SECTION 01 33 01

CADD RELEASE

PART 1 - GENERAL (Not Applicable)

PART 2 - PRODUCTS (Not Applicable)

PART 3 - PART 3 - EXECUTION

3.1 USE AND INDEMNIFICATION AGREEMENT

A. Instructions:

1. Please be aware that Tetra Tech charges contractor(s) for electronic files (this applies to files in AutoCAD (or similar) format).

   a. PDF's, which are simply an electronic scan of the drawings, do not require the use of the indemnification form; however we charge $50 per PDF to cover our expenses. Tetra Tech must receive the contractor's check prior to sending PDF's.

2. For AutoCAD type files, the cost is $100 per electronic drawing, regardless of the number of drawings they are requesting. The Use and Indemnification Agreement is to be signed by the Prime Contractor. Should a subcontractor, such as a steel fabricator, ductwork detailer, desire electronic files, they would need to pursue this request through their Prime Contractor who has the contract with the Client.

3. Due to the inherent value to the company of our typical details and our other standards, we limit the drawings types that we will release via this indemnification form to plan type drawings. Typical detail sheets are not to be released in the form of an electronic AutoCAD drawing file.

4. In addition, our internal individual Base Plans will not be released; we limit what the contractor can purchase to the actual individual contract drawings.

5. After the Prime Contractor has determined the number of drawings that they will need, fill out the following two pages. The second page of the form, marked Use and Indemnification Agreement – Business Office, needs to be sent to the Business Office with the Contractor check made out to Tetra Tech. We will not release electronic files until we receive this form and the check.

NOT FOR BIDDING PURPOSES

TETRA TECH

240 Continental Drive, Suite 200
Newark, Delaware 19713
Tel. (302) 738-7551
Fax (302) 454-5980

Use and Indemnification Agreement

Re: PTAC Replacement – Smyrna Readiness Center Tt Project No. 200-26912-16009

Whereas, _____ (hereinafter the "Contractor"), acknowledges that it has requested certain electronic files and/or media of the Drawings and/or Specifications for the above-referenced Project which are the property of Tetra Tech Engineers, Architects & Landscape Architects, P.C. d/b/a Tetra Tech Architects & Engineers (hereinafter "Tetra Tech").

Whereas, Contractor further acknowledges all requests for electronic files require a pre-payment of $100/file (Each individual drawing in the set of Contract Documents represents 1 file), regardless of the number of files requested, prior to receiving said files from Tetra Tech.

Now, therefore, Contractor hereby warrants and covenants that it will abide by the following provisions:

A. *Indemnification*

1. In consideration of permission to use electronic files or media, including but not limited to electronic files of drawings created by use of computer, for the Work of this Project only, and which the Contractor has requested from Tetra Tech, the Contractor, to the fullest extent permitted by law, hereby agrees to indemnify and hold harmless Tetra Tech, its agents, employees, officers , directors and consultants from and against any and all claims, damages, losses and expenses, including any attorneys' fees, arising out of, resulting from or in connection with any and all use of said electronic materials, but only if such claim, damage, loss or expense is caused in whole or in part by the Contractor, its employees, agents, officers, directors, or any other party directly or indirectly employed by any of them or any party for whose acts any of them may be liable, regardless of whether or not it is caused by a party indemnified hereunder. Such obligation shall not be construed to reduce or negate any other right or obligation of indemnification that would otherwise exist as to any party hereto. This indemnification shall not apply to the liability of the indemnitee arising out of its own negligence. This indemnification shall not be limited in any way because of any limitation on damages, compensation or benefits under any statute, law or governmental requirement of any sort.

2. The following shall be included within the definition of "expenses" herein: (a) any time expended by the indemnified party of its employees, agents, officers and directors at their usual and customary billing rates, as well as all out-of-pocket expenses such as long-distance telephone calls, costs of reproduction, expenses of travel and lodging; (b) all costs and expenses of experts, consultants, engineers, and any other party retained by the indemnified party reasonably required to defend the claim; (c) all costs, including reasonable attorneys' fees, incurred in bringing any action to enforce the provisions of this indemnification. The following shall be included within the definition of "action" herein: any case brought in any state or federal court, any arbitration, any mediation, and any similar forum for resolution of any dispute herein, and shall also include any counterclaim or third-party action in any such forum.

B. *Use and Compatibility*

1. Tetra Tech' instruments of service are furnished without guarantee of compatibility with the Contractor's software or hardware, and Tetra Tech' sole responsibility for the electronic media is to furnish a replacement for defective disks within thirty (30) days after delivery to Contractor.

2. Because data stored on electronic media can deteriorate undetected or be modified without Tetra Tech' knowledge, the Contractor agrees that Tetra Tech will not be held liable for the completeness or correctness of the electronic media after an acceptance period of thirty (30) days after delivery of the electronic files. Tetra Tech does confirm the accuracy of the final sealed hard copy drawings, previously submitted pursuant to the Prime Agreement for this Project.

3. The electronic files are submitted to the Contractor for a thirty (30) day acceptance period. During this period, the Contractor may review and examine these files, and any errors detected during this time will be corrected by Tetra Tech. Any changes requested after the acceptance period will be considered additional services to be performed on a time and materials basis, at Tetra Tech's standard cost plus terms and conditions.

4. Tetra Tech retains ownership of the printed hard copy Drawings and Specifications and the electronic media. The Contractor is granted a license for their use, but only in the operation and maintenance of the Project. Use of these materials for modification, extension, or expansion of this Project or on any other project, unless under the direction of Tetra Tech, shall be without liability to Tetra Tech and Tetra Tech's consultants.

IN WITNESS WHEREOF:

Contractor: _____
Signed name: _____
Printed Name: _____
Title: _____
Date: _____

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240 Continental Drive, Suite 200
Newark, Delaware 19713
Tel. (302) 738-7551
Fax (302) 454-5980

Use and Indemnification Agreement – Business Office

Electronic Drawing Files

Prime Contractor Name

Prime Contractor Address

Contact to Receive Invoices

Project Name PACKAGED TERMINAL AIR CONDITIONING (PTAC) UNIT REPLACEMENT
DEARNG –SMYRNA READINESS CENTER

Project Number 200-26912-16009

Number of Drawing Files (Each individual drawing in the set of Contract Documents represents 1 file)

List each Drawing # Requested

Contractor Signature _____

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END OF SECTION

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DEARNG SECURITY REQUIREMENTS & PROCEDURES

1. REQUIREMENTS

The DEARNG facilities has issued regulations to be observed by all Contractors, their subcontractors (if any), employees and other firms providing services for or otherwise assigned to, or working on, the Project in order to minimize disruption to daily operations, maintain security and to facilitate the construction processes. While working inside DEARNG facilities on a regular or an occasional basis, it must be clearly understood that DEARNG security requirements will at all times take precedence over construction operations. The Contractor shall comply with all such regulations and consider the regulations when preparing his/her bid.

2. WORKING AT A DELAWARE NATIONAL GUARD INSTALLATIONS

a. In order for the DEARNG to ensure security on the job site, the Prime Contractor shall submit a list of all proposed workers who will be working on the site, to the DEANRG Contracting Officer after project has been awarded during the pre-construction meeting, including their name, social security number, age, sex, and date of birth. This list shall include all sub-contractors (if any), and any vendors requiring access to facilities during project construction of the DEARNG facilities. The Contracting Officer will submit a list to the Director of Military Support for review. The Director of Military Support will have background checks conducted and will provide the contracting officer with an approved, or rejected, personnel list. All badges and accesses will be issue by Director of Military Support.

b. Workers will not be permitted in DENG Facilities without approval and proper identification.

c. All tools, equipment, and supplies, shall be removed from the facilities daily or secured in a pre-approved containment system approved by the contracting officer.

d. Weapons of any type are not permitted.

e. Contractors shall include, in their bid, a sufficient amount of time to enter and depart the facility each day. Past projects being completed during increased security levels at a DENG facility may take between one half to one hour to enter or leave the facility.

f. Contractor is also advised that only limited movement will be permitted while inside the DENG facilities.

g. Contractors are requested to notify the Contracting Officer upon arrival and termination of worker's services in order that the identification card on file can be pulled and rendered inactive.

h. Completion of "A" Short Form is required for all employees (see next page for "A" Short Form).

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"A" SHORT FORM
DELAWARE NATIONAL GUARD CONTRACTING SHORT FORM
LIST OF PERSONNEL FOR BACKGROUND CHECK
FOR ON-SITE WORK

DATE: _____

CONTRACTOR: _____

ADDRESS: _____

PHONE/FAX: _____

NAME (Last, First, MI)	Date of Birth	Social Security Number	Driver's License Number	Sex

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SECTION 01 50 00

TEMPORARY FACILITIES AND CONTROLS

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PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, may apply to this Section.

1.2 SUMMARY

A. Section includes requirements for temporary utilities, support facilities, and security and protection facilities.

1.3 USE CHARGES

A. General: Installation and removal of and use charges for temporary facilities shall be included in the Contract Sum unless otherwise indicated. Allow other entities to use temporary services and facilities without cost, including, but not limited to, Owner's construction forces, Architect, Engineer, testing agencies, and authorities having jurisdiction.

B. Sewer Service: Owner will not provide sewer-service for the project. Contractor shall provide all required temporary sanitary facilities.

C. Water Service: Owner will provide on-site access to water service used by all entities for construction operations.

D. Electric Power Service: Owner will provide on-site access to electric-power-service used by all entities for construction operations. Contractor shall furnish all necessary equipment to facilitate connection to power including coordination of permits with any inspection agency and or installation.

E. Upon completion of the project and prior to demobilization the Contractor shall at his or her sole expense, remove any temporary utility service equipment and restore the service location to its pre-construction condition.

1.4 INFORMATIONAL SUBMITTALS

A. Site Plan: Show temporary facilities, utility hookups, staging areas, and parking areas for construction personnel.

B. Dust-Control Plan: Submit coordination drawing and narrative that indicates the dust-control measures proposed for use, proposed locations, and proposed time frame for their operation.

Identify further options if proposed measures are later determined to be inadequate. Include the following:

1. Locations of dust-control partitions at each phase of work.
2. Waste handling procedures.
3. Other dust-control measures.

1.5 QUALITY ASSURANCE

A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.

B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.

C. Accessible Temporary Egress: Comply with applicable provisions in ADA-ABA Accessibility Guidelines.

1.6 PROJECT CONDITIONS

A. Temporary Use of Permanent Facilities: Engage Installer of each permanent service to assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibilities.

PART 2 - PRODUCTS

2.1 TEMPORARY FACILITIES

A. Field Offices, General: Shall be set-up in the building.

B. Keep office clean and orderly. Furnish and equip offices as follows:

1. Furniture required for Project-site documents including file cabinets, plan tables, plan racks, and bookcases.
2. Conference room of sufficient size to accommodate meetings of 6 individuals. Provide electrical power service and 120-V ac duplex receptacles, with no fewer than one receptacle on each wall. Furnish room with conference table, chairs, and 4-foot-square tack and marker boards.
3. Drinking water and private toilet.
4. Coffee machine and supplies.
5. Heating and cooling equipment necessary to maintain a uniform indoor temperature of 68 to 72 deg F.
6. Lighting fixtures capable of maintaining average illumination of 20 fc at desk height.

C. Storage or Fabrication Sheds: Provide sheds sized, furnished, and equipped or fenced around to accommodate materials and equipment for construction operations as needed for secure area for equipment.

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1. Store combustible materials apart from building.

2.2 EQUIPMENT

A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.

B. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.

1. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
2. Heating Units: Listed and labeled for type of fuel being consumed, by a qualified testing agency acceptable to authorities having jurisdiction, and marked for intended location and application.

C. Obtain permission from Owner to us existing Heating and Cooling equipment. Change filters monthly. Provide a set of clean filters when done and two (2) sets of spare filters.

PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.

1. Locate facilities to limit site disturbance as specified in Section 011000 "Summary."

2. Area Available: Designated area as shown on Sheet CC-01.

B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed and remove prior to demobilization.

3.2 TEMPORARY UTILITY INSTALLATION

A. General: Install temporary service or connect to existing service.

1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services.

B. Water Service: Connect to Owner's existing water service facilities. Clean and maintain water service facilities in a condition acceptable to Owner. At Substantial Completion, restore these facilities to condition existing before initial use.

C. Sanitary Facilities: Existing toilets, wash facilities, and drinking water shall be used. Comply with requirements of authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities.

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D. Isolation of Work Areas in Occupied Facilities: Prevent dust, fumes, and odors from entering occupied areas.

1. Prior to commencing work if necessary, isolate the HVAC system in area where work is to be performed according to coordination drawings.

a. Disconnect supply and return ductwork in work area from HVAC systems servicing occupied areas.
b. Maintain negative air pressure within work area using HEPA-equipped air-filtration units, starting with commencement of temporary partition construction, and continuing until removal of temporary partitions is complete.

2. Maintain dust partitions during the Work if needed. Use vacuum collection attachments on dust-producing equipment. Isolate limited work within occupied areas using portable dust-containment devices.
3. Perform daily construction cleanup and final cleanup using approved, HEPA-filter-equipped vacuum equipment.

E. Electric Power Service: Provide electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations.

1. Connect temporary service to Owner’s existing power source, as directed by Owner.

F. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.

1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.

G. Telephone Service: Provide temporary telephone service in common-use facilities for use by all construction personnel. Install a minimum of one telephone line for each field office.

1. Provide additional telephone lines for the following:

a. Provide a dedicated telephone line for each facsimile machine in each field office.

2. At each telephone, post a list of important telephone numbers.

a. Police and fire departments.
b. Ambulance service.
c. Contractor's home office.
d. Contractor's emergency after-hours telephone number.
e. Architect's office.
f. Engineers' offices.
g. Owner's office.
h. Principal subcontractors' field and home offices.

3. Provide superintendent with cellular telephone or portable two-way radio for use when away from field office.

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H. Electronic Communication Service: Provide a desktop computer in the primary field office adequate for use by Architect and Owner to access Project electronic documents and maintain electronic communications. Equip computer with not less than the following:

1. Processor: Intel Pentium D or Intel CoreDuo, 3.0 GHz processing speed.
2. Memory: 4 gigabyte.
3. Disk Storage: 300 gigabyte hard-disk drive and combination DVD-RW/CD-RW drive.
4. Display: 22-inch LCD monitor with 256-Mb dedicated video RAM.
5. Full-size keyboard and mouse.
6. Network Connectivity: 10/100BaseT Ethernet.
7. Operating System: Microsoft Windows XP Professional or Microsoft Windows Vista Business.
8. Productivity Software:

   a. Microsoft Office Professional, XP or higher, including Word, Excel, and Outlook.
   b. Adobe Reader 7.0 or higher.
   c. WinZip 7.0 or higher.

9. Printer: "All-in-one" unit equipped with printer, scanner, combining color printing, photocopying, scanning, and faxing, or separate units for each of these three functions.
10. Internet Service: Broadband modem, router and ISP, equipped with hardware firewall, providing minimum 384 Kbps upload and 2 Mbps download speeds at each computer.
11. Internet Security: Integrated software, providing software firewall, virus, spyware, phishing, and spam protection in a combined application.
12. Backup: External hard drive, minimum 250 gigabyte, with automated backup software providing daily backups.

3.3 SUPPORT FACILITIES INSTALLATION

A. Parking: Use designated areas of Owner's existing parking areas for construction personnel as directed.

B. Project Signs: Provide Project signs as need and approved by the DEARNG. Unapproved signs are not permitted.

1. Identification Signs: Provide Project identification signs as indicated on Drawings.
2. Temporary Signs: Provide other signs as indicated and as required to inform public and individuals seeking entrance to Project or directions to construction field office.

   a. Provide temporary, directional signs for construction personnel and visitors.

3. Maintain and touchup signs so they are legible at all times.

C. Waste Disposal Facilities: Comply with requirements specified in Section 017419 "Construction Waste Management and Disposal."

D. Waste Disposal Facilities: Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply with progress cleaning requirements in Section 017300 "Execution."

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E. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.

1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.

F. Temporary Stairs: Until permanent stairs are available, provide temporary stairs where ladders are not adequate.

G. Existing Stair Usage: Use of Owner's existing stairs will be permitted, provided stairs are cleaned and maintained in a condition acceptable to Owner. At Substantial Completion, restore stairs to condition existing before initial use.

1. Provide protective coverings, barriers, devices, signs, or other procedures to protect stairs and to maintain means of egress. If stairs become damaged, restore damaged areas so no evidence remains of correction work.

H. Temporary Use of Permanent Stairs: Use of new stairs for construction traffic will be permitted, provided stairs are protected and finishes restored to new condition at time of Substantial Completion.

3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION

A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities, and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.

B. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction as required to comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.

1. Comply with work restrictions specified in Section 01 10 00 "Summary."

C. Temporary Erosion and Sedimentation Control: Comply with requirements of 2003 EPA Construction General Permit by following applicable requirements as stipulated on the Erosion and Sediment Plan Sheets CE-01 to CE-04 approved by DNREC, including CCR if required by DNREC or authorities having jurisdiction, whichever is more stringent and requirements specified in Section 31 10 00 "Site Clearing."

D. Temporary Erosion and Sedimentation Control: Provide measures to prevent soil erosion and discharge of soil-bearing water runoff and airborne dust to undisturbed areas and to adjacent properties and walkways, according to Erosion and Sediment Plan Sheets CE-01 to CE-04 approved by DNREC and requirements of 2003 EPA Construction General Permit or authorities having jurisdiction, whichever is more stringent.

1. Verify that flows of water redirected from construction areas or generated by construction activity do not enter or cross tree- or plant- protection zones.
2. Inspect, repair, and maintain erosion- and sedimentation-control measures during construction until permanent vegetation has been established.
3. Clean, repair, and restore adjoining properties and roads affected by erosion and sedimentation from Project site during the course of Project.

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4. Remove erosion and sedimentation controls and restore and stabilize areas disturbed during removal.

E. Stormwater Control: Comply with requirements of authorities having jurisdiction including DNREC and CCR, if required by DNREC. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of stormwater from heavy rains.

F. Pest Control: Engage pest-control service to recommend practices to minimize attraction and harboring of rodents, roaches, and other pests and to perform extermination and control procedures at regular intervals so Project will be free of pests and their residues at Substantial Completion. Perform control operations lawfully, using environmentally safe materials.

G. Site Enclosure Fence: Before construction operations begin, furnish and install site enclosure fence in a manner that will prevent people and animals from easily entering site except by existing entrance gates at south entrance.

1. Extent of Fence: As required to enclose entire Project site or portion determined sufficient to accommodate construction operations.
2. Maintain security of construction area by limiting number of keys and restricting distribution to authorized personnel. Furnish one set of keys to Owner.

H. Security Enclosure and Lockup: Install temporary enclosure around partially completed areas of construction. Provide lockable entrances to prevent unauthorized entrance, vandalism, theft, and similar violations of security. Lock entrances at end of each work day.

I. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.

J. Temporary Egress: Maintain temporary egress from existing occupied facilities as indicated and as required by authorities having jurisdiction.

K. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weathertight enclosure for building exterior.

1. Where heating or cooling is needed and permanent enclosure is incomplete, insulate temporary enclosures.

L. Temporary Partitions: If necessary provide floor-to-ceiling dustproof partitions to limit dust and dirt migration and to separate areas occupied by Owner and tenants from fumes and noise.

1. Construct dustproof partitions with gypsum wallboard with joints taped on occupied side, and fire-retardant-treated plywood on construction operations side.
2. Construct dustproof partitions with two layers of 6-mil polyethylene sheet on each side. Cover floor with two layers of 6-mil polyethylene sheet, extending sheets 18 inches up the sidewalls. Overlap and tape full length of joints. Cover floor with fire-retardant-treated plywood.

a. Construct vestibule and airlock at each entrance through temporary partition with not less than 48 inches between doors. Maintain water-dampened foot mats in vestibule.

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3. Where fire-resistance-rated temporary partitions are indicated or are required by authorities having jurisdiction, construct partitions according to the rated assemblies.
4. Insulate partitions to control noise transmission to occupied areas.
5. Seal joints and perimeter. Equip partitions with gasketed dustproof doors and security locks where openings are required.
6. Protect air-handling equipment.
7. Provide walk-off mats at each entrance through temporary partition.

M. Temporary Fire Protection: If necessary install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241; manage fire-prevention program.

1. Prohibit smoking in construction areas.
2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.
4. Provide temporary standpipes and hoses for fire protection. Hang hoses with a warning sign stating that hoses are for fire-protection purposes only and are not to be removed. Match hose size with outlet size and equip with suitable nozzles.

3.5 MOISTURE AND MOLD CONTROL

A. Contractor's Moisture-Protection Plan: Avoid trapping water in finished work. Document visible signs of mold that may appear during construction.

B. Items C, D, and E below apply only to existing facilities to remain.

C. Exposed Construction Phase: Before installation of weather barriers, when materials are subject to wetting and exposure and to airborne mold spores, protect as follows:

1. Protect porous materials from water damage.
2. Protect stored and installed material from flowing or standing water.
3. Keep porous and organic materials from coming into prolonged contact with concrete.
4. Remove standing water from decks.
5. Keep deck openings covered or dammed.

D. Partially Enclosed Construction Phase: After installation of weather barriers but before full enclosure and conditioning of building, when installed materials are still subject to infiltration of moisture and ambient mold spores, protect as follows:

1. Do not load or install drywall or other porous materials or components, or items with high organic content, into partially enclosed building.
2. Keep interior spaces reasonably clean and protected from water damage.
3. Periodically collect and remove waste containing cellulose or other organic matter.
4. Discard or replace water-damaged material.
5. Do not install material that is wet.
6. Discard, replace, or clean stored or installed material that begins to grow mold.

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7. Perform work in a sequence that allows any wet materials adequate time to dry before enclosing the material in drywall or other interior finishes.

E. Controlled Construction Phase of Construction: After completing and sealing of the building enclosure but prior to the full operation of permanent HVAC systems, maintain as follows:

1. Control moisture and humidity inside building by maintaining effective dry-in conditions.
2. Use permanent HVAC system to control humidity.
3. Comply with manufacturer's written instructions for temperature, relative humidity and exposure to water limits.

   a. Hygroscopic materials that may support mold growth, including wood and gypsum-based products, that become wet during the course of construction and remain wet for 48 hours are considered defective.
   b. Measure moisture content of materials that have been exposed to moisture during construction operations or after installation. Record readings beginning at time of exposure and continuing daily for 48 hours. Identify materials containing moisture levels higher than allowed. Report findings in writing to Architect.
   c. Remove materials that cannot be completely restored to their manufactured moisture level within 48 hours.

3.6 OPERATION, TERMINATION, AND REMOVAL

A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.

B. Maintenance: Maintain facilities in good operating condition until removal.

1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.

C. Temporary Facility Changeover: Change over from using temporary security and protection facilities to permanent facilities must occur before Demobilization and prior to Substantial Completion.

D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.

1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.
2. Remove temporary roads and paved areas not intended for or acceptable for integration into permanent construction. Where area is intended for landscape development, remove soil and aggregate fill that do not comply with requirements for fill or subsoil. Remove materials contaminated with road oil, asphalt and other petrochemical compounds, and other substances that might impair growth of plant materials or lawns. Repair or replace

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street paving, curbs, and sidewalks at temporary entrances, as required by authorities having jurisdiction.

3. At Substantial Completion, repair, renovate, and clean permanent facilities used during construction period. Comply with final cleaning requirements specified in Section 01 77 00 "Closeout Procedures."

END OF SECTION

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SECTION 01 73 29

CUTTING & PATCHING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section includes procedural requirements for cutting and patching.

B. Related Sections include the following:

1. Divisions 03 through 09 Sections for specific requirements and limitations applicable to cutting and patching individual parts of the Work.

1.3 DEFINITIONS

A. Cutting: Removal of in-place construction necessary to permit installation or performance of other Work.

B. Patching: Fitting and repair work required to restore surfaces to original conditions after installation of other Work.

1.4 QUALITY ASSURANCE

A. Structural Elements: Do not cut and patch structural elements in a manner that could change their load-carrying capacity or load-deflection ratio.

B. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that result in increased maintenance or decreased operational life or safety. Operating elements include the following:

1. Fire-suppression systems.
2. Mechanical systems piping and ducts.
3. Control systems.
4. Communication systems.
5. Conveying systems.
6. Electrical wiring systems.

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C. Visual Requirements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch construction exposed on the exterior or in occupied spaces in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.

D. Cutting and Patching Conference: Before proceeding, meet at Project site with parties involved in cutting and patching, including mechanical and electrical trades. Review areas of potential interference and conflict. Coordinate procedures and resolve potential conflicts before proceeding.

PART 2 - PRODUCTS

2.1 MATERIALS

A. General: Comply with requirements specified in other Sections.

B. In-Place Materials: Use materials identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.

1. If identical materials are unavailable or cannot be used, use materials that, when installed, will match the visual and functional performance of in-place materials.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine surfaces to be cut and patched and conditions under which cutting and patching are to be performed.

1. Compatibility: Before patching, verify compatibility with and suitability of substrates, including compatibility with in-place finishes or primers.
2. Proceed with installation only after unsafe or unsatisfactory conditions have been corrected.

3.2 PREPARATION

A. Temporary Support: Provide temporary support of Work to be cut.

B. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.

C. Adjoining Areas: Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.

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D. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to prevent interruption to occupied areas.

3.3 PERFORMANCE

A. General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.

1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.

B. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.

1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots as small as possible, neatly to size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
3. Concrete or Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
4. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
5. Proceed with patching after construction operations requiring cutting are complete.

C. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other Work. Patch with durable seams that are as invisible as possible. Provide materials and comply with installation requirements specified in other Sections.

1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate integrity of installation.
2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.

   a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
   b. Restore damaged pipe covering to its original condition.

3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.

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a. Where patching occurs in a painted surface, apply primer and intermediate paint coats over the patch and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.

4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition.

D. Cleaning: Clean areas and spaces where cutting and patching are performed. Completely remove paint, mortar, oils, putty, and similar materials.

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 01 74 19

CONSTRUCTION WASTE MANAGEMENT

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PART 1 – GENERAL

1.1 SUMMARY

A. Section includes: Administrative and procedural requirements for construction waste management activities.

1.2 DEFINITIONS

A. Construction, Demolition, and Land clearing (CDL) Waste: Includes all non-hazardous solid wastes resulting from construction, remodeling, alterations, repair, demolition and land clearing. Includes material that is recycled, reused, salvaged or disposed as garbage.

B. Salvage: Recovery of materials for on-site reuse, sale or donation to a third party.

C. Reuse: Making use of a material without altering its form. Materials can be reused on-site or reused on other projects off-site. Examples include, but are not limited to the following: Crushing or grinding of concrete for use as sub-base material. Chipping of land clearing debris for use as mulch.

D. Recycling: The process of sorting, cleaning, treating, and reconstituting materials for the purpose of using the material in the manufacture of a new product.

E. Source-Separated CDL Recycling: The process of separating recyclable materials in separate containers as they are generated on the job-site. The separated materials are hauled directly to a recycling facility or transfer station.

F. Co-mingled CDL Recycling: The process of collecting mixed recyclable materials in one container on-site. The container is taken to a material recovery facility where materials are separated for recycling.

G. Approved Recycling Facility: Any of the following:

1. A facility that can legally accept CDL waste materials for the purpose of processing the materials into an altered form for the manufacture of a new product.

2. Material Recovery Facility: A general term used to describe a waste-sorting facility. Mechanical, hand-separation, or a combination of both procedures, are used to recover recyclable materials.

1.3 SUBMITTALS

A. Contractor shall develop a Waste Management Plan: Submit 3 copies of plan within 14 days

of date established for the **Notice to Proceed**.

B. Contractor shall provide Waste Management Report: Concurrent with each Application for Payment, submit **3** copies of report.

1.4 PERFORMANCE REQUIREMENTS

A. General: Divert a minimum of **75%** CDL waste, by weight, from the landfill by one, or a combination of the following activities:

1. Salvage
2. Reuse
3. Source-Separated CDL Recycling
4. Co-mingled CDL Recycling

B. CDL waste materials that can be salvaged, reused or recycled include, but are not limited to, the following:

1. Acoustical ceiling tiles
2. Asphalt
3. Asphalt shingles
4. Cardboard packaging
5. Carpet and carpet pad
6. Concrete
7. Drywall
8. Fluorescent lights and ballasts
9. Land clearing debris (vegetation, stumpage, dirt)
10. Metals
11. Paint (through hazardous waste outlets)
12. Wood
13. Plastic film (sheeting, shrink wrap, packaging)
14. Window glass
15. Wood
16. Field office waste, including office paper, aluminum cans, glass, plastic, and office cardboard.

1.4 QUALITY ASSURANCE

A. Waste Management Coordinator Qualifications: Experienced firm, with a record of successful waste management coordination of projects with similar requirements, that employs a LEED Accredited Professional, certified by the USGBC as waste management coordinator.

B. Refrigerant Recovery Technician Qualifications: Certified by EPA-approved certification program.

C. Regulatory Requirements: Conduct construction waste management activities in accordance with hauling and disposal regulations of all authorities having jurisdiction and all other applicable laws and ordinances.

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D. Preconstruction Conference: Schedule and conduct meeting at Project site prior to construction activities.

1. Attendees: Inform the following individuals, whose presence is required, of date and time of meeting.

a. Owner
b. Architect
c. Contractor's superintendent
d. Major subcontractors
e. Waste Management Coordinator
f. Other concerned parties

2. Agenda Items: Review methods and procedures related to waste management including, but not limited to, the following:

a. Review and discuss waste management plan including responsibilities of Waste Management Coordinator.
b. Review requirements for documenting quantities of each type of waste and its disposition.
c. Review and finalize procedures for materials separation and verify availability of containers and bins needed to avoid delays.
d. Review procedures for periodic waste collection and transportation to recycling and disposal facilities.
e. Review waste management requirements for each trade.

3. Minutes: Record discussion. Distribute meeting minutes to all participants.
Note: If there is a Project Architect they will perform this role.

1.5 WASTE MANAGEMENT PLAN – Contactor shall develop and document the following:

A. Develop a plan to meet the requirements listed in this section at a minimum. Plan shall consist of waste identification, waste reduction plan and cost/revenue analysis. Distinguish between demolition and construction waste. Indicate quantities by weight throughout the plan.

B. Indicate anticipated types and quantities of demolition, site-cleaning and construction waste generated by the project. List all assumptions made for the quantities estimates.

C. List each type of waste and whether it will be salvaged, recycled, or disposed of in an landfill. The plan should included the following information:

1. Types and estimated quantities, by weight, of CDL waste expected to be generated during demolition and construction.

2. Proposed methods for CDL waste salvage, reuse, recycling and disposal during demolition including, but not limited to, one or more of the following:

a. Contracting with a deconstruction specialist to salvage materials generated,

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b. Selective salvage as part of demolition contractor's work,
c. Reuse of materials on-site or sale or donation to a third party.

3. Proposed methods for salvage, reuse, recycling and disposal during construction including, but not limited to, one or more of the following:

   a. Requiring subcontractors to take their CDL waste to a recycling facility;
   b. Contracting with a recycling hauler to haul recyclable CDL waste to an approved recycling or material recovery facility;
   c. Processing and reusing materials on-site;
   d. Self-hauling to a recycling or material recovery facility.

4. Name of recycling or material recovery facility receiving the CDL waste.

5. Handling and Transportation Procedures: Include method that will be used for separating recyclable waste including sizes of containers, container labeling, and designated location on project site where materials separation will be located.

D. Cost/Revenue Analysis: Indicate total cost of waste disposal as if there was no waste management plan and net additional cost or net savings resulting from implementing waste management plan. Include the following:

1. Total quantity of waste.
2. Estimated cost of disposal (cost per unit). Include hauling and tipping fees and cost of collection containers for each type of waste.
3. Total cost of disposal (with no waste management).
4. Revenue from salvaged materials.
5. Revenue from recycled materials.
6. Savings in hauling and tipping fees by donating materials.
7. Savings in hauling and tipping fees that are avoided.
8. Handling and transportation costs. Including cost of collection containers for each type of waste.
9. Net additional cost or net savings from waste management plan.

PART 2 - PRODUCTS (Not Used)

PART 3 – EXECUTION

3.1 CONSTRUCTION WASTE MANAGEMENT, GENERAL

A. Provide containers for CDL waste that is to be recycled clearly labeled as such with a list of acceptable and unacceptable materials. The list of acceptable materials must be the same as the materials recycled at the receiving material recovery facility or recycling processor.

B. The collection containers for recyclable CDL waste must contain no more than 10% non-recyclable material, by volume.

C. Provide containers for CDL waste that is disposed in a landfill clearly labeled as such.

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D. Use detailed material estimates to reduce risk of unplanned and potentially wasteful cuts.

E. To the greatest extent possible, include in material purchasing agreements a waste reduction provision requesting that materials and equipment be delivered in packaging made of recyclable material, that they reduce the amount of packaging, that packaging be taken back for reuse or recycling, and to take back all unused product. Insure that subcontractors require the same provisions in their purchase agreements.

F. Conduct regular visual inspections of dumpsters and recycling bins to remove contaminants.

3.2 SOURCE SEPARATION

A. General: Contractor shall separate recyclable materials from CDL waste to the maximum extent possible.

Separate recyclable materials by type.

1. Provide containers, clearly labeled, by type of separated materials or provide other storage method for managing recyclable materials until they are removed from Project site.
2. Stockpile processed materials on-site without intermixing with other materials. Place, grade, and shape stockpiles to drain surface waters and to minimize pest attraction. Cover to prevent windblown dust.
3. Stockpile materials away from demolition area. Do not store within drip line of remaining trees.
4. Store components off the ground and protect from weather.

3.3 CO-MINGLED RECYCLING

A. General: Do not put CDL waste that will be disposed in a landfill into a co-mingled CDL waste recycling container.

3.4 REMOVAL OF CONSTRUCTION WASTE MATERIALS

A. Remove CDL waste materials from project site on a regular basis. Do not allow CDL waste to accumulate on-site.

B. Transport CDL waste materials off Owner's property and legally dispose of them.

C. Burning of CDL waste is not permitted.

END OF SECTION

NOT FOR BIDDING PURPOSES

WASTE MANAGEMENT PROGRESS REPORT				
MATERIAL CATEGORY	DISPOSED IN MUNICIPAL SOLID WASTE LANDFILL	DIVERTED FROM LANDFILL BY RECYCLING, SALVAGE OR REUSE		
		Recycled	Salvaged	Reused
1. Acoustical Ceiling Tiles				
2. Asphalt				
3. Asphalt Shingles				
4. Cardboard Packaging				
5. Carpet and Carpet Pad				
6. Concrete				
7. Drywall				
8. Fluorescent Lights and Ballasts				
9. Land Clearing Debris (vegetation, stumpage, dirt)				
10. Metals				
11. Paint (through hazardous waste outlets)				
12. Wood				
13. Plastic Film (sheeting, shrink wrap, packaging)				
14. Window Glass				
15. Field Office Waste (office paper, aluminum cans, glass, plastic, and coffee cardboard)				
16. Other (insert description)				
17. Other (insert description)				
Total (In Weight)		(TOTAL OF ALL ABOVE VALUES – IN WEIGHT)		
		Percentage of Waste Diverted	(TOTAL WASTE DIVIDED BY TOTAL DIVERTED)	

NOT FOR BIDDING PURPOSES

SECTION 01 77 00

CLOSEOUT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:

1. Substantial Completion procedures.
2. Final completion procedures.
3. Warranties.
4. Final cleaning.
5. Repair of the Work.

B. Related Requirements:
1. Section 01 73 00 "Execution" for progress cleaning of Project site.

C. Delaware Department of Transportation (DelDOT) Standard Specifications.

D. Delaware Department of Natural Resources and Environmental Control (DNREC) Erosion and Sediment Control Handbook.

1.3 ACTION SUBMITTALS

A. Product Data: For cleaning agents.

B. Contractor's List of Incomplete Items: Initial submittal at Substantial Completion.

C. Certified List of Incomplete Items: Final submittal at Final Completion.

1.4 CLOSEOUT SUBMITTALS

A. Certificates of Release: From authorities having jurisdiction.

B. Certificate of Insurance: For continuing coverage.

C. Field Report: For pest control inspection.

NOT FOR BIDDING PURPOSES

1.5 MAINTENANCE MATERIAL SUBMITTALS

A. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

1.6 SUBSTANTIAL COMPLETION PROCEDURES

A. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's punch list), indicating the value of each item on the list and reasons why the Work is incomplete.

B. Submittals Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.

1. Certificates of Release: Obtain and submit releases from authorities having jurisdiction permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
2. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, property surveys, and similar final record information.
3. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
4. Submit maintenance material submittals specified in individual Sections, including tools, spare parts, extra materials, and similar items, and deliver to location designated by Architect. Label with manufacturer's name and model number where applicable.

   a. Schedule of Maintenance Material Items: Prepare and submit schedule of maintenance material submittal items, including name and quantity of each item and name and number of related Specification Section. Obtain Architect's signature for receipt of submittals.

5. Submit test/adjust/balance records.
6. Submit sustainable design submittals not previously submitted.
7. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.

C. Procedures Prior to Substantial Completion: Complete the following a minimum of 10 days prior to requesting inspection for determining date of Substantial Completion. List items below that are incomplete at time of request.

1. Advise Owner of pending insurance changeover requirements.
2. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
3. Complete startup and testing of systems and equipment.
4. Perform preventive maintenance on equipment used prior to Substantial Completion.
5. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems.

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6. Advise Owner of changeover in heat and other utilities.
7. Participate with Owner in conducting inspection and walkthrough with local emergency responders.
8. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
9. Complete final cleaning requirements, including touchup painting.
10. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.

D. Inspection: Submit a written request for inspection to determine Substantial Completion a minimum of 10 days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.

1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
2. Results of completed inspection will form the basis of requirements for final completion.

1.7 FINAL COMPLETION PROCEDURES

A. Submittals Prior to Final Completion: Before requesting final inspection for determining final completion, complete the following:

1. Certified List of Incomplete Items: Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. Certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
2. Certificate of Insurance: Submit evidence of final, continuing insurance coverage complying with insurance requirements.
3. Submit pest control final inspection report.

B. Inspection: Submit a written request for final inspection to determine acceptance a minimum of 10 days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.

1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

1.8 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.

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1. Organize list of spaces in sequential order.
2. Include the following information at the top of each page:

    a. Project name.
    b. Date.
    c. Name of Architect.
    d. Name of Contractor.
    e. Page number.

3. Submit list of incomplete items in the following format:

    a. MS Excel electronic file. Architect will return annotated file.
    b. PDF electronic file. Architect will return annotated file.
    c. Three paper copies. Architect will return two copies.

1.9 SUBMITTAL OF PROJECT WARRANTIES

A. Time of Submittal: Submit written warranties on request of Architect for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated, or when delay in submittal of warranties might limit Owner's rights under warranty.

B. Partial Occupancy: Submit properly executed warranties within 15 days of completion of designated portions of the Work that are completed and occupied or used by Owner during construction period by separate agreement with Contractor.

C. Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.

1. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.
2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
4. Warranty Electronic File: Scan warranties and bonds and assemble complete warranty and bond submittal package into a single indexed electronic PDF file with links enabling navigation to each item. Provide bookmarked table of contents at beginning of document.

D. Provide additional copies of each warranty to include in operation and maintenance manuals.

NOT FOR BIDDING PURPOSES

PART 2 - PRODUCTS

PART 3 - EXECUTION

3.1 FINAL CLEANING

A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.

B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.

1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a designated portion of Project:

a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
d. Remove tools, construction equipment, machinery, and surplus material from Project site.
e. Leave Project clean and ready for occupancy.

C. Construction Waste Disposal: Comply with waste disposal requirements in Section 01 50 00 "Temporary Facilities and Controls" and Section 01 74 19 "Construction Waste Management and Disposal."

3.2 REPAIR OF THE WORK

A. Complete repair and restoration operations before requesting inspection for determination of Substantial Completion.

B. Repair or remove and replace defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.

NOT FOR BIDDING PURPOSES

3.3 SUMMARY OF CLOSEOUT DOCUMENTS

A. Contractor's Affidavit of Payment of Debts and Claims (AIA Document G706)

B. Contractor's Consent of Surety Company to Final Payment (AIA Document G707) (one copy)

C. Contractor's Affidavit of Release of Liens (AIA Document G706A) (one copy)

D. Copy of Letter of Guarantee and Warranty Information (three copies)

E. Balancing Reports

F. Subcontractor's Release of Liens had been submitted with each previous Application of Payment (AIA Document G706A) (one copy)

G. Operation and Maintenance Manuals

H. Record Shop Drawings and Submittals

I. As-built Drawings: All construction changes should be clouded and marked.

1. Updated CAD files to reflect changes and as-built conditions; AutoCadd dwg file 2010 to 2014 format.

2. Three (3) hard copies of As-builts.

J. Affidavit of Discharge of State Tax Liability (Furnish an affidavit from the State Tax Department that all liabilities thereunder have been discharged by the Contractor and all subcontractors. (Delaware Division of Revenue, Mr. William Kirby, 302-577-8259).

K. Punch List Closeout Letter

L. Electrical Inspection Certificate

M. Bond Certification

N. Air Handling Equipment Start-up Reports.

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 07 84 13

PENETRATION FIRESTOPPING

NOT FOR BIDDING PURPOSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Penetrations in fire-resistance-rated walls.
2. Penetrations in horizontal assemblies.
3. Penetrations in smoke barriers.

1.3 SUBMITTALS

A. Product Data: For each type of product.

B. Product Schedule: For each penetration firestopping system. Include location, illustration of firestopping system, and design designation of qualified testing and inspecting agency.

1. Engineering Judgments: Where Project conditions require modification to a qualified testing and inspecting agency's illustration for a particular penetration firestopping system, submit illustration, with modifications marked, approved by penetration firestopping system manufacturer's fire-protection engineer as an engineering judgment or equivalent fire-resistance-rated assembly. Obtain approval of authorities having jurisdiction prior to submittal.

C. Informational Submittals

1. Qualification Data: For Installer.
2. Product Test Reports: For each penetration firestopping system, for tests performed by a qualified testing agency.

D. Closeout Submittals

1. Installer Certificates: From Installer indicating that penetration firestopping systems have been installed in compliance with requirements and manufacturer's written instructions.

1.4 QUALITY ASSURANCE

A. Installer Qualifications: A firm that has been approved by FM Global according to FM Global 4991, "Approval of Firestop Contractors," or been evaluated by UL and found to comply with its "Qualified Firestop Contractor Program Requirements."

1.5 PROJECT CONDITIONS

A. Environmental Limitations: Do not install penetration firestopping system when ambient or substrate temperatures are outside limits permitted by penetration firestopping system manufacturers or when substrates are wet because of rain, frost, condensation, or other causes.

B. Install and cure penetration firestopping materials per manufacturer's written instructions using natural means of ventilations or, where this is inadequate, forced-air circulation.

1.6 COORDINATION

A. Coordinate construction of openings and penetrating items to ensure that penetration firestopping systems can be installed according to specified firestopping system design.

B. Coordinate sizing of sleeves, openings, core-drilled holes, or cut openings to accommodate penetration firestopping systems.

PART 2 - PRODUCTS

2.1 PENETRATION FIRESTOPPING SYSTEMS

A. Penetration Firestopping Systems: Systems that resist spread of fire, passage of smoke and other gases, and maintain original fire-resistance rating of construction penetrated. Penetration firestopping systems shall be compatible with one another, with the substrates forming openings, and with penetrating items if any.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. 3M Fire Protection Products.
b. Hilti, Inc.
c. Tremco, Inc.

B. Penetrations in Fire-Resistance-Rated Walls: Penetration firestopping systems with ratings determined per ASTM E 814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.

1. F-Rating: Not less than the fire-resistance rating of constructions penetrated.

C. Penetrations in Horizontal Assemblies: Penetration firestopping systems with ratings determined per ASTM E 814 or UL 1479, based on testing at a positive pressure differential of 0.01-inch wg.

NOT FOR BIDDING PURPOSES

1. F-Rating: At least one hour, but not less than the fire-resistance rating of constructions penetrated.
2. T-Rating: At least one hour, but not less than the fire-resistance rating of constructions penetrated except for floor penetrations within the cavity of a wall.
3. W-Rating: Provide penetration firestopping systems showing no evidence of water leakage when tested according to UL 1479.

D. Penetrations in Smoke Barriers: Penetration firestopping systems with ratings determined per UL 1479, based on testing at a positive pressure differential of 0.30-inch wg.

1. L-Rating: Not exceeding 5.0 cfm/sq. ft. of penetration opening at and no more than 50-cfm cumulative total for any 100 sq. ft. at both ambient and elevated temperatures.

E. Exposed Penetration Firestopping Systems: Flame-spread and smoke-developed indexes of less than 25 and 450, respectively, per ASTM E 84.

F. Accessories: Provide components for each penetration firestopping system that are needed to install fill materials and to maintain ratings required. Use only those components specified by penetration firestopping system manufacturer and approved by qualified testing and inspecting agency for conditions indicated.

1. Permanent forming/damming/backing materials.
2. Substrate primers.
3. Collars.
4. Steel sleeves.

2.2 FILL MATERIALS

A. Cast-in-Place Firestop Devices: Factory-assembled devices for use in cast-in-place concrete floors and consisting of an outer sleeve lined with an intumescent strip, a flange attached to one end of the sleeve for fastening to concrete formwork, and a neoprene gasket.

B. Latex Sealants: Single-component latex formulations that do not re-emulsify after cure during exposure to moisture.

C. Firestop Devices: Factory-assembled collars formed from galvanized steel and lined with intumescent material sized to fit specific diameter of penetrant.

D. Intumescent Composite Sheets: Rigid panels consisting of aluminum-foil-faced intumescent elastomeric sheet bonded to galvanized-steel sheet.

E. Intumescent Putties: Nonhardening, water-resistant, intumescent putties containing no solvents or inorganic fibers.

F. Intumescent Wrap Strips: Single-component intumescent elastomeric sheets with aluminum foil on one side.

G. Mortars: Prepackaged dry mixes consisting of a blend of inorganic binders, hydraulic cement, fillers and lightweight aggregate formulated for mixing with water at Project site to form a nonshrinking, homogeneous mortar.

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H. Pillows/Bags: Reusable heat-expanding pillows/bags consisting of glass-fiber cloth cases filled with a combination of mineral-fiber, water-insoluble expansion agents, and fire-retardant additives. Where exposed, cover openings with steel-reinforcing wire mesh to protect pillows/bags from being easily removed.

I. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

J. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants.

2.3 MIXING

A. Penetration Firestopping Materials: For those products requiring mixing before application, comply with penetration firestopping system manufacturer's written instructions for accurate proportioning of materials, water (if required), type of mixing equipment, selection of mixer speeds, mixing containers, mixing time, and other items or procedures needed to produce products of uniform quality with optimum performance characteristics for application indicated.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine substrates and conditions, with Installer present, for compliance with requirements for opening configurations, penetrating items, substrates, and other conditions affecting performance of the Work.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

A. Surface Cleaning: Before installing penetration firestopping systems, clean out openings immediately to comply with manufacturer's written instructions and with the following requirements:

1. Remove from surfaces of opening substrates and from penetrating items foreign materials that could interfere with adhesion of penetration firestopping materials.
2. Clean opening substrates and penetrating items to produce clean, sound surfaces capable of developing optimum bond with penetration firestopping materials. Remove loose particles remaining from cleaning operation.
3. Remove laitance and form-release agents from concrete.

B. Prime substrates where recommended in writing by manufacturer using that manufacturer's recommended products and methods. Confine primers to areas of bond; do not allow spillage and migration onto exposed surfaces.

NOT FOR BIDDING PURPOSES

3.3 INSTALLATION

A. General: Install penetration firestopping systems to comply with manufacturer's written installation instructions and published drawings for products and applications.

B. Install forming materials and other accessories of types required to support fill materials during their application and in the position needed to produce cross-sectional shapes and depths required to achieve fire ratings.

1. After installing fill materials and allowing them to fully cure, remove combustible forming materials and other accessories not forming permanent components of firestopping.

C. Install fill materials by proven techniques to produce the following results:

1. Fill voids and cavities formed by openings, forming materials, accessories and penetrating items to achieve required fire-resistance ratings.
2. Apply materials so they contact and adhere to substrates formed by openings and penetrating items.
3. For fill materials that will remain exposed after completing the Work, finish to produce smooth, uniform surfaces that are flush with adjoining finishes.

3.4 IDENTIFICATION

A. Penetration Identification: Identify each penetration firestopping system with legible metal or plastic labels. Attach labels permanently to surfaces adjacent to and within 6 inches of penetration firestopping system edge so labels are visible to anyone seeking to remove penetrating items or firestopping systems. Use mechanical fasteners or self-adhering-type labels with adhesives capable of permanently bonding labels to surfaces on which labels are placed. Include the following information on labels:

1. The words "Warning - Penetration Firestopping - Do Not Disturb. Notify Building Management of Any Damage."
2. Contractor's name, address, and phone number.
3. Designation of applicable testing and inspecting agency.
4. Date of installation.
5. Manufacturer's name.
6. Installer's name.

3.5 FIELD QUALITY CONTROL

A. Owner will engage a qualified testing agency to perform tests and inspections according to ASTM E 2174.

B. Where deficiencies are found or penetration firestopping system is damaged or removed because of testing, repair or replace penetration firestopping system to comply with requirements.

C. Proceed with enclosing penetration firestopping systems with other construction only after inspection reports are issued and installations comply with requirements.

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3.6 CLEANING AND PROTECTION

A. Clean off excess fill materials adjacent to openings as the Work progresses by methods and with cleaning materials that are approved in writing by penetration firestopping system manufacturers and that do not damage materials in which openings occur.

B. Provide final protection and maintain conditions during and after installation that ensure that penetration firestopping systems are without damage or deterioration at time of Substantial Completion. If, despite such protection, damage or deterioration occurs, immediately cut out and remove damaged or deteriorated penetration firestopping material and install new materials to produce systems complying with specified requirements.

3.7 PENETRATION FIRESTOPPING SYSTEM SCHEDULE

A. Where UL-classified systems are indicated, they refer to system numbers in UL's "Fire Resistance Directory" under product Category XHEZ.

B. Where FM Global-approved systems are indicated, they refer to design numbers listed in FM Global's "Building Materials Approval Guide" under "Wall and Floor Penetration Fire Stops."

C. Penetration Firestopping Systems for Metallic Pipes, Conduit, or Tubing FS-:

1. UL-Classified Systems: C-AJ- /C-BJ- /C-BK- /F-A- /F-B- /F-C-/ F-E- /W-J- /W-K- /W-L- /W-N-.
2. F-Rating: 2 hours
3. T-Rating: 2 hours.
4. W-Rating: No leakage of water at completion of water leakage testing.
5. Type of Fill Materials: As required to achieve rating.

D. Penetration Firestopping Systems for Electrical Cables FS-:

1. UL-Classified Systems: C-AJ- /C-BJ- /C-BK- /F-A-/ F-B- /F-C-/ F-E-/ W-J- /W-K- /W-L-.
2. F-Rating: 2 hours
3. T-Rating: 2 hours.
4. W-Rating: No leakage of water at completion of water leakage testing.
5. Type of Fill Materials: As required to achieve rating.

E. Penetration Firestopping Systems for Miscellaneous Electrical Penetrants FS-:

1. UL-Classified Systems: C-AJ- /C-BJ- /F-A- /W-L- /W-J-.
2. F-Rating: 2 hours
3. T-Rating: 2 hours.
4. W-Rating: No leakage of water at completion of water leakage testing.
5. Type of Fill Materials: As required to achieve rating.

END OF SECTION

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SECTION 23 00 00
GENERAL REQUIREMENTS MECHANICAL AND ELECTRICAL

PART 1 GENERAL

1.1 RELATED DOCUMENTS

A. Work under this Section is subject to the requirements of the Contract Documents, including the Drawings, General and Supplementary Conditions, and Division 1 of the Specifications.

B. This section is hereby made a part of all other sections of Division 21, 22, 23 & 26 as fully as if repeated in each therein.

1.2 GENERAL PROVISIONS

A. The conditions of Division 1 GENERAL REQUIREMENTS apply to each and every Contract and Contractor or other person or persons supplying any material or labor entering this building, either directly or indirectly.

B. Mechanical and Electrical Contractors are bound by provisions of Conditions as described above.

C. Two (2) Sub-Contractors will be covered by these General Requirements. They are:

1. Heating, Ventilating and Air Conditioning.
2. Electrical.

D. For simplicity, these Sub-Contracts and Sub-Contractors will be referred to further herein as the HVAC, EAS Controls and Electrical Contracts or Contractors.

E. The term "Mechanical Contractor" shall mean the HVAC Contractors.

1.3 DESCRIPTION

A. The Drawings and Specifications shall be understood to cover systems of Heating, Ventilation and Air Conditioning, and Insulation and Pipe Covering as shown on the drawings and as specified. The drawings and specifications are to be taken together. Work specified and not shown, or work shown and not specified, shall be as binding as though required by both, the drawings and specifications.

B. Minor items and accessories or devices reasonably inferable as necessary to the complete and proper operation of any system shall be provided for such systems, whether or not they are specifically called for by the specifications or the drawings.

1.4 DEFINITIONS

A. Exposed: Open to view inside the building.

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B. Concealed: Any piping, ductwork or equipment not considered exposed to view. For example, spaces between ceiling and floor construction above; between double walls; furred-in areas; pipe and duct shafts, etc.

C. Conditioned: Forced supply or return air, which has been heated or cooled.

D. HVAC: Heating, Ventilating, and Air-Conditioning.

E. Fixture Runout: Branch pipe connection to a terminal unit.

F. Mechanical Equipment Room: Any room or confined space, such as a penthouse, pump room, fan room, or service room, where mechanical equipment is located.

G. "Where exposed to people's contact" (Not including maintenance personnel): Being capable of being reached without the use of a ladder.

H. Exposed: In plain view of the end-user and occupants of any space other than mechanical or service spaces.

1.5 DRAWINGS AND SPECIFICATIONS

A. It is the intent of the specifications and drawings to include under each item all materials, apparatus and labor necessary to properly install, equip, adjust and put into perfect operation the respective portions of the installations specified and to so interconnect the various items or sections of the work as to form a complete and properly operating whole.

B. Any apparatus, machinery or small items not mentioned in detail which may be found necessary to complete or perfect any portion of the installation in a substantial manner and in compliance with the requirements stated, implied or intended shall be furnished without extra cost to the Owner. This shall include all materials, devices or methods peculiar to the machinery, apparatus or systems furnished and installed by the HVAC, Plumbing, and Electrical Contractors.

C. In referring to drawings, figured dimensions take precedence over scale measurements. Discrepancies must be referred to the Architect for decision. Each Contractor shall certify and verify all dimensions before ordering material or commencing work.

D. Any work called for in the specifications, but not mentioned or shown on the drawings, or called for on the drawings, but not mentioned in the specifications, shall be furnished as though called for in both.

E. When any device or part of equipment is herein referred to in the singular number, such as "the pump" such reference shall be deemed to apply to as many such devices as required to complete the installation.

F. The term "Provide" shall mean "Furnish and Install". Neither term will be used generally in these specifications, but will be assumed. The term "Furnish" shall mean to obtain and deliver on the job for installation by other trades.

G. The Drawings are essentially diagrammatic in nature and show general arrangement of the equipment, piping, ductwork, accessories, etc. Because of the small scale of the

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Drawings, it is not possible to show each offsets, fittings, and accessories, which may be required. Carefully investigate the structural conditions, Architectural Drawings, Equipment Drawings, and the finished conditions of the work and arrange such work accordingly, furnish any fittings, pipe accessories that may be required to meet such conditions.

H. Any changes from the plans necessary to make the work conform to building as constructed and to fit work of other trades, or to conform to rules of the governing authorities and regulations, shall be met by the Contractor without extra cost to the Building Owner/Tenant.

I. The layout of the piping, ductwork, equipment, etc., as shown on the Drawings shall be checked and exact locations shall be determined by the dimensions of equipment approved and Contractor shall obtain the Architect/Engineer's approval for any revised layout before the apparatus is installed. The Contractor shall consult the Architectural, Structural, and Equipment Drawings for the dimensions, locations of partitions, locations and sizes of structural supports, foundations, to coordinate installation and penetrations, etc.

J. Contractor shall also refer to the Architectural Plans for details and large scale Drawings and to approve Shop Drawing of equipment furnished under other Contracts or Sections of the Specifications for exact location of service connections. The equipment Shop Drawings will be furnished to the Contractor before roughing in. Contractor shall not install any piping or ductwork for said equipment until they have received approved Coordination Drawings for same.

1.6 LAWS, ORDINANCES, REGULATIONS AND PERMITS

A. The entire HVAC, and Electrical Systems in all and or part shall conform to all pertinent laws, ordinances and regulations of all bodies having jurisdiction, notwithstanding anything in these drawings or specifications to the contrary.

B. The work shall be installed in conformity with the City, State and Federal, or Board of Underwriters' laws, regulations, rules, or ordinances in effect and governing same, such rules and regulations and local ordinances to be considered part of these Specifications. Contractor shall be held strictly responsible for any violation of same and shall change their work to conform without additional cost to Building Owner/Tenant.

C. Each Contractor shall pay all fees and obtain and pay for all permits and inspections required by any authority having jurisdiction in connection with their work.

D. Electrical work shall comply with the requirements of the National Electrical Code, NFPA and other boards and departments having local jurisdiction. Electrical Contractor shall obtain and pay for Certifications of Inspection by an authorized Electrical Inspection Agency and by local, municipal and state approving agencies. The materials, in general, shall be Underwriters' Laboratories listed and shall bear UL label.

1.7 EXISTING UTILITIES

A. Location of utilities as shown on the drawings has been determined from the best available information and is given for the convenience of the Contractor; however,

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Building Owner/Tenant does not assume responsibility in the event that during construction, utilities other than those shown may be encountered, and that the actual location of those which are shown may be different from the location as shown on the plans.

B. The Contractor shall be responsible for any interference with or damage to any existing utilities, and shall repair or replace same with the least possible delay.

C. The Contractor shall notify Architect/Engineer of any broken or open pipes discovered during construction.

1.8 CONNECTIONS TO UTILITIES

A. Apply for and obtain services from utility companies and municipalities. All charges for which utility companies and municipalities must be reimbursed shall be paid for by the respective Contractor at no additional cost to the Owner.

1.9 TESTS

A. The following requirements are supplementary to tests specified for individual equipment or systems in Mechanical and Electrical work sections.

1. Give written notice of date of test in ample time to all concerned.

B. Concealed or insulated work shall remain uncovered until all required tests have been completed; but if construction schedule requires, arrange for prior tests on parts of systems as approved.

C. As soon as conditions permit, conduct preliminary tests of equipment to ascertain compliance with specified requirements. Make needed changes, adjustments and/or replacements as preliminary tests may indicate, prior to acceptance tests.

D. Conduct pressure, performance and operating tests as specified or required for each system or equipment unit in the presence of the Architect, Engineer or Owner as well as a representative of agencies having jurisdiction.

E. Obtain Certificates of Approval and/or Acceptance as specified or required in compliance with regulations of agencies having jurisdiction. Work shall not be deemed complete until such Certificates have been delivered to the Architect.

F. Testing shall prove conclusively that Mechanical and Electrical systems operate properly, efficiently and quietly in accordance with intent of drawings and specifications.

1.10 CONTINUITY OF SERVICES (SHUTDOWN AND NOTIFICATIONS)

A. It is imperative that service interruptions on the various existing utilities be held to an absolute minimum. Wherever possible, the Contractor shall provide suitable temporary services or connections, where continuity of service for essential systems can be maintained by this means. It will be the Building Owner's/Tenant's final prerogative to decide which systems are to be considered as essential, and to establish the maximum allowable shutdown time, if any, for each system.

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B. Generally, no action shall be taken by the Mechanical and Electrical Contractors that will interrupt any of the existing building services for this building or any other building until previously arranged with the Architect and Owner or their authorized representative.

C. The Building Owner/Tenant will require not less than 72 hours advance notice, in writing, that an interruption of service in any system is desired. Such notice shall identify the system or systems involved, and shall be submitted in duplicate, one (1) copy of which will be signed and returned by the Building Owner's/Tenant's authorized representative stating whether the requested shutdown will be permitted or not.

D. Should any service be interrupted by these Contractors, the Contractor causing such interruption shall provide immediately all labor, including overtime if necessary, and all material and equipment necessary for restoration of such service.

1.11 ENTRANCE OF EQUIPMENT

A. Each Contractor shall perform all necessary rigging required for completion of work under their contract.

B. Contractor shall be responsible to repair all damages as a result of rigging and/or bringing equipment into the building. All damaged items shall be restored back to their original condition at no additional cost to the Owner.

1.12 VISIT TO SITE

A. Due to the nature of the work involved under this contract, all bidders are required to thoroughly examine the site.

B. Bidding Contractors shall thoroughly review Contract Documents prior to visiting the site, take Contract Documents to site and thoroughly explore to any extent necessary, the existing conditions as relating to fulfilling the requirements of this Contract.

C. If discrepancies are noted between requirements of Contract Documents and existing conditions, Contractor shall so indicate to the Architect during bidding period and receive clarification before bidding. Failure to comply with this requirement will result in Architect's interpretation during the construction period and the Architect's decision will be final and binding as the sole interpreter of the contract requirements.

D. Extras will not be considered for any work relating to connections with existing systems or adaptability of new systems to existing structures.

E. Submission of proposals shall be considered evidence that Contractors have complied with the requirements of this Article.

1.13 LINES AND LEVELS

A. At the job site, the Contractor shall layout and establish the lines and levels necessary for this work by using Bench Marks.

1.14 OVERTIME WORK

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A. It is contemplated that work included be done during regular working hours on a "straight time" basis.

B. Where a shutdown of essential utilities is required for final connections or "cross overs", the Building Owner/Tenant and Architect shall be notified well in advance and approval obtained before proceeding with the work. The period of interruption of services shall be held to the minimum required to complete the work. If overtime work is required, this overtime shall be included as a part of the base bid.

1.15 INSTRUCTING OWNER'S PERSONNEL

A. After all tests and adjustments have been made, each Contractor shall fully instruct the representatives of the Owner in all details of operation of the equipment installed under their contract.

B. Each Contractor shall operate their equipment for sufficient length of time to satisfy the Architect that requirements of the Contract Documents have been fulfilled.

C. All training will be videotaped by the Contractor. Provide three (3) copies to the Maintenance Superintendent.

D. Operation & Maintenance Manuals for all Equipment and Systems must be submitted before any Instruction to Owner’s Personnel are scheduled.

1.16 OPERATING AND MAINTENANCE INSTRUCTIONS

A. Each Contractor shall provide three (3) copies of printed instructions to the Architect upon completion of installation. Instructions shall be bound in separate, hardback, 3-ring or 3 D-ring loose leaf binders.

B. Instruction books shall be prepared by sections and contain detailed start-up, operating and maintenance instructions for all components of all systems, including wiring, and piping diagrams necessary for clarity. The cover of each binder shall be identified with the name of the project and the words "Operating and Maintenance Instructions".

C. Each section shall have labeled tabs and be clearly marked with equipment or system name and contain detailed parts list data, ordering information and the name, address and telephone number of the closest supply source.

D. All instructional data shall be neatly and completely prepared to the satisfaction of the Architect.

E. Operation & Maintenance Manuals for all Equipment and Systems must be submitted before any Instruction to Owner’s Personnel are scheduled.

1.17 GUARANTEE

A. All material, equipment and workmanship provided by each Contractor shall be in first class operating condition in every respect at time of acceptance by Owner. Acceptance by the Owner shall be by letter to this effect written to each Contractor.

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B. Each Contractor shall unconditionally guarantee in writing all materials, equipment and workmanship for a period of two (2) year from date of acceptance by Owner unless a longer period is stipulated under specified headings. During the guarantee period each Contractor shall repair or replace, at their own expense, any materials, equipment or workmanship in which defects may develop and they shall also provide free service for all equipment and systems involved in their contract during this guarantee period.

C. Guarantee shall also include restoration to its original condition of all adjacent work that must be disturbed in fulfilling this guarantee.

D. All such repairs and/or replacements shall be made without delay and at the convenience of the Owner.

E. Repairs or replacements shall bear an additional twelve (12) months guarantee from the time repair or replacement is complete. This requirement shall be binding, even though it will exceed product guarantees normally furnished by some manufacturers.

F. Guarantees furnished by Subcontractors and/or Equipment Manufacturers shall be counter-signed by the related Contractor for joint and/or individual responsibility for subject item.

G. Manufacturer’s equipment guarantees or warranties extending beyond the guarantee period described above shall be transferred to the Owner along with the contractor's guarantees.

H. Note that guarantees shall run from the date of final payment for the complete project, not from the date of installation or payment for an item or device.

1.18 MINOR DEVIATIONS

A. The dimensions of equipment hereinafter specified or indicated on the Drawings are intended to establish the outlines and characteristics of such equipment in general. Minor deviations in dimensions will be permitted to allow the manufacturers specified to bid on their nearest stock equipment, provided the specified ratings are met or exceeded.

B. Where manufacturers' catalog numbers or types are mentioned in the Specifications or indicated on the Drawings, they are intended to be used as a guide only and shall not be interpreted as taking precedence over the basic rating and duty specified. In all cases, manufacturers shall verify the duty specified with particular characteristics of the equipment they intend to offer for approval and shall also pay the additional charges as may be required under other Divisions.

1.19 SHOP DRAWINGS

A. Refer to Section 01 33 00 Submittal Procedures.

1.20 AS-BUILT DRAWINGS

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A. During the course of the work, maintain a record set of drawings on which shall be marked the actual physical location of all piping, valves, equipment, conduit, outlets, access panels, controls, actuators, etc.

B. Include all Addendum, Change Orders and construction field directives (responses to RFI's) on the As-Built Drawings.

C. At project completion, obtain a clean set of prints and a AutoCAD 2010/2016 CD from the Engineer, and make a set of reproducibles. Neatly transfer all the recorded as-built information on both the reproducibles and AutoCAD 2010/2016 CD.

D. Provide two (2) prints of these reproducibles, along with the reproducibles themselves and CAD CD, to the Engineer. In addition, attach one (1) complete set of prints to each of the OPERATING AND MAINTENANCE INSTRUCTIONS specified previously herein.

1.21 PUNCH LIST CLOSE-OUT

A. Each Contractor shall carefully read and review each punch list item.

B. Contractors shall review the contract documents and job correspondence minutes relating to punch listed items to assure thorough understanding thereof within three (3) working days after receipt of the punch list.

C. It is the responsibility of the Contractor to contact the author of the punch list to resolve any items in question, including factual inclusion of the punch listed items, as part of the work covered by the contract documents as basic services.

D. In the absence of such contact, the Contractor agrees to comply with all items in the punch list.

E. Upon resolution of the final punch list items, reduce the action taken to writing on the Contractor's company letterhead, and state, for the record, by reference to each punch list item, thereby absolving the Architect of the responsibility of repeatedly visiting the site to verify completion of final punch list items. The Contractor's letter is to be termed "Final Punch List Resolution Letter."

F. Contractor must clearly state where exceptions are taken.

G. Approval of final payments for work done by the Contractor will be granted upon receipt and acceptance of the "Final Resolution Letter."

1.22 TEMPORARY FACILITIES, UTILITIES AND HEATING

A. Refer to Section Temporary Facilities and Controls in Division 1 of these specifications.

1.23 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

A. Summary of Work

B. Project Meetings

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C. Construction Schedules

D. Temporary Facilities

E. Project Closeout

F. Project Record Documents

PART 2 MATERIALS

2.1 MANUFACTURER'S AND SUB-CONTRACTORS LIST

A. Before ordering any material or equipment unit, and not later than twenty (20) working days after signing of contracts each Contractor shall submit a list of Manufacturers, Sub-Contractors and Suppliers showing make, type, manufacturers name and trade designation of all materials, and equipment, proposed for use under this contract. List shall be prepared by reference to specifications.

B. The list, when accepted, shall be supplementary to specifications, and no variations therefrom will be permitted except with the approval of the Architect.

C. No shop drawings will be processed until the Contractor has satisfactorily completed the requirements of this Article.

2.2 MATERIALS AND EQUIPMENT

A. All materials and equipment shall be new, unless noted otherwise, and shall conform to the grade, quality and standards specified herein.

B. All equipment offered under these specifications shall be limited to products regularly produced and recommended for service ratings in accordance with engineering data or other comprehensive literature made available to the public and in effect at the time of opening of bids.

C. Items such as valves, motors, starting equipment, vibration isolating devices, lamps, and all other equipment and material, where applicable and practicable, shall each be of one manufacturer.

D. Equipment shall be installed in strict accordance with manufacturer's instructions for type and capacity of each piece of equipment used. Contractors shall obtain these instructions which will be considered part of these specifications. Type, capacity and application of equipment shall be suitable and shall operate satisfactorily for the purpose intended in the HVAC, Plumbing and Electrical Systems.

2.3 SUBSTITUTIONS

A. See General Conditions.

2.4 EQUIPMENT VARIATIONS

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A. The materials and products mentioned in these specifications are given to establish a standard of quality, design and performance. The phrases "equivalent acceptable", "or equal", "equal to", and “approved substitute” shall be used to indicate that other similar products may be used provided such substitutes are accepted by the Architect as meeting all standards necessary to perform the function intended. Where three (3) or more manufacturers are mentioned for an item, selection shall be made from among those manufacturers. Specific products listed without reference to equals or substitutions shall be provided as specified, unless a written request for substitution is submitted to the Architect for approval ten (10) days prior to the date for receipt of bids. Such request shall include a complete description of the proposed substitute, along with sufficient documentation and other information necessary for a complete evaluation of the proposed substitution. If approved, substitute product will be listed in an addendum so that all bidders are alerted to it.

B. The Contract Documents have been prepared to provide for the incorporation of at least one of the specified items or assemblies of every category of materials, products or pieces of equipment. In the event that the incorporation into the work of an approved substituted item or assembly will require revisions or additions to the contractual requirements of either the contractor proposing the substitution or any other contractor, the contractor proposing the substitution shall bear the cost of such revisions or additions to the work of all trades affected, and shall pay for all engineering or architectural services required at no change in the contract sum.

2.5 VIBRATION ELIMINATION

A. The Mechanical and Electrical Contractors shall provide vibration isolation support provisions for all moving or rotating equipment, machinery and transformers when such provisions are not furnished and/or integrally mounted by the equipment manufacturers. They shall be equal to Amber/Booth Company or Korfund Company, Inc., and installed in accordance with vibration isolation manufacturers' recommendations unless specified otherwise herein.

B. Provide all rotating or moving machinery or equipment suspended from building structure with approved resilient suspension mountings.

C. Provide the equivalent of Flexonics Corp. metallic vibration isolating connections between all pumps and connecting piping.

D. All electrical connections to moving or vibrating equipment, such as motors, generators, transformers, etc., shall be made by use of flexible metallic conduit.

E. No rigid pipes, ducts, conduit or other extended machine assemblies connected to vibration isolated equipment shall be tied in directly with the building construction. Such elements shall be connected to the equipment through flexible fittings, and be supported by isolating equipment as required.

F. All systems shall operate free from objectionable vibration and noise resulting therefrom, and each Contractor shall take all necessary steps required to achieve this result without additional cost to the Owner.

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2.6 SLEEVES

A. Each Contractor shall furnish and set all sleeves required for their work and be fully responsible for the final and permanent locations thereof.

B. Sleeves shall be provided in the following locations:

1. All pipes passing through all cast-in-place concrete construction and masonry walls.
2. All conduits passing through cast-in-place waterproof concrete construction and waterproof masonry walls.

C. Sleeves shall extend through construction and be finished flush with each surface except where noted otherwise. Each sleeve shall provide for a minimum ¼" clearance around pipe, or its covering in the instance of pipe covered with insulation.

D. All sleeves in waterproof walls shall be fitted and sealed with positive hydrostatic "Link Seals" as manufactured by Thunderline Corporation. Sleeves shall be sized accordingly. Link Seals shall be placed around piping and/or conduit and inserted into the void between inner wall of sleeve and piping and/or conduit. Tighten link seals as required for watertight seal.

E. All sleeves shall be Schedule 40 steel pipe finished with smooth edges. Sleeves in waterproof walls shall be fabricated with minimum 1/4" thick rectangular steel plate placed around mid-point of sleeve, continuously welded to sleeve and then the entire/plate assembly placed into proper position prior to erection of walls. Otherwise sleeves shall be provided with a minimum of three (3) lugs for anchoring.

F. Voids between sleeves and piping or conduit, where located in fire partitions or masonry walls, shall be packed with mineral fiber rope, with fire-rate link seals or foamed with proper 3M fire-rate fitted foam.

G. All sleeves shall be set prior to or during erection of walls. Cutting or drilling of walls after erection will not be permitted.

H. If sleeves are omitted or located incorrectly the particular contractor who is at fault shall at their own expense, engage the trade which originally installed the work to cut and patch to the satisfaction of the Architect.

I. Any pipe or conduit that must pass through pre-cast floors and will be exposed in finished areas that have floor drains including areas such as Janitors Closets, Toilet Rooms and the like shall be made watertight by use of "Link Seals" inserted into void between piping and/or conduit and openings thereof.

J. All openings for piping and conduit in existing masonry or concrete work shall be neatly core-drilled.

2.7 MANUFACTURER'S NAMEPLATES

A. Each major component of the equipment shall have the manufacturer's name, address, model number and rating on a plate securely affixed in a conspicuous place. The

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nameplate of a distributing agent is not acceptable. ASME Code ratings, or other data, which is die-stamped into the surface of the equipment, shall be in a visible location.

PART 3 EXECUTION

3.1 METHOD OF PROCEDURE

A. The drawings accompanying these specifications are diagrammatic and intended to cover the approximate and relative locations of the HVAC, Plumbing, DDC Controls and Electrical Systems.

B. Installation, connection and interconnection of all components of these systems shall be complete and made in accordance with the manufacturer's instructions and best trade practices.

C. Each Contractor shall erect all parts of equipment to be furnished under their contract at such time and in such manner as not to delay or interfere with other Contractors on the work.

D. All piping, conduit and duct work shall be plugged as required during construction to prevent entering of dirt.

E. Before material is ordered or any work performed, each Contractor shall verify all measurements, including lines, grades, pipes, conduit and duct work elevations at the building and shall be responsible for the correctness thereof. No extra compensation will be allowed on account of differences between actual dimensions and measurements and those indicated in the Contract Documents. Any discrepancies discovered shall be submitted to the Architect for consideration before proceeding with the work.

F. Each Contractor shall lay out their work and be responsible for the establishment of heights, grades, etc., for all interior and exterior piping, drains, fixtures, conduit, duct work, etc., included in Contract Documents, in strict accordance with the intent expressed thereby; and all the physical conditions to be met at the building and finished grade, and shall be responsible for accuracy thereof. The establishment of the location of all work shall be performed in consideration of the finished work. In case of conflict, equipment and/or materials shall be relocated without cost to the Owner, as directed by the Architect, regardless of which equipment was installed first.

G. Each Contractor shall cooperate with other Contractors for the proper securing and anchoring of all work included within these specifications. Extraordinary care shall be used in the erection and installation of all equipment and materials to avoid marring surfaces of the work of other Contractors, as each Contractor will be held financially responsible for all such injury caused by the lack of precaution and due to negligence on the part of their workers.

H. Do not run pipe or conduit for Mechanical and Electrical Systems in any concrete slab three inches (3") or less in thickness. Do not place any pipe or conduit in any slab where the outside diameter of the pipe or conduit is more than one-quarter the thickness of the slab.

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I. All piping, duct work, conduit and other Mechanical and Electrical materials and equipment shown to be mounted below ceilings are to be kept as close to ceiling areas as possible unless otherwise noted.

J. Items such as valves, dampers, cleanouts, etc. that will be concealed in construction shall be installed and so arranged as to be fully accessible for adjustment, service and maintenance.

3.2 ERECTION AND WORKMANSHIP

A. Contractor shall adapt their work to job conditions and make such changes as required and permitted by the Architect/Engineer such as moving their work to clear beams, joists, light fixtures, etc., adjusting risers, avoiding interferences with windows and openings, etc., raising or lowering their work to permit the passing of ductwork or the work of other trades etc., as required or as job conditions dictate, without any additional cost to the Building Owner/Tenant.

B. The workmanship shall be first class in every respect and shall be performed only by skilled mechanics, recognized as such in their respective trades.

3.3 PROTECTION

A. All piping, materials and accessories having finished polished chromium plated surfaces and machines with finished or unpainted surfaces of equipment furnished under these specifications shall be given a thick coat of a neutral protection grease and carefully covered with thick cloth or heavy building paper held securely in place to protect the finish against damage during the entire period of construction. Equipment shall also be protected by use of canvas tarps, vinyl sheeting or similar materials held securely in place.

B. All openings in pipes, fittings, duct work, conduit and all other materials shall be effectively sealed to exclude dirt, sand, and other foreign materials.

C. Exercise every precaution to exclude dust, dirt and all other foreign materials from switchgear rooms, transformers, and all mechanical equipment rooms during construction. Rooms and equipment contained therein shall be vacuum cleaned at regular intervals. All relays, meters and mechanical equipment contained with electrical components shall be protected with heavy paper held in place with approved mastic tape to exclude fine dust and particles. Sufficient electric heaters shall be installed and maintained in equipment rooms and transformer compartments to keep equipment dry during construction.

D. Any such fixtures, equipment or apparatus damaged prior to final acceptance of the work shall be restored to its original condition or replaced with a new one.

3.4 CUTTING AND PATCHING

A. Existing construction:

1. The Prime Contractor shall perform all cutting and patching required for the work of all trades.

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2. Each of these Contractors shall confer with and give the Prime Contractor complete information as to size of openings in all construction, so that such openings may be provided as the building progresses.
3. If openings are omitted or incorrect through failure of these Contractor to follow these instructions, the particular Contractor shall, at their own expense, engage the trade which originally installed the work to cut and patch to the satisfaction of the Architect.
4. All openings for pipe and conduit shall be neatly coredrilled.

3.5 SUPPORTS

A. Except where noted otherwise in the specifications and shown on drawings, each Contractor shall provide all materials, equipment supports, supplies and labor necessary as required to adequately support, brace and strengthen equipment and materials furnished as part of their contract.

B. The design, materials, fabrication and erection of structural steel supports shall conform to "Specification for Design, Fabrication and Erection of Structural Steel for Buildings" of the American Institute of Steel Construction, "Code of Standard Practice for Steel Buildings and Bridges". Welding where required shall conform to "Code of Arc and Gas Welding in Building Construction" of the American Welding Society.

C. All steel supports shall be primed (primer plus two (2) coats) before and finish-painted after installation.

3.6 LINTELS

A. The General Contractor will furnish and install all lintels required for the installation and completion of all work of Mechanical and Electrical Contractors, provided that the General Contractor is advised in advance of such requirements.

B. Failure to give proper notice and/or to comply with the above requires the Sub-Contractor involved to be financially liable for all work and material necessary for the completion of required work.

3.7 ESCUTCHEONS

A. Except as noted otherwise, the Mechanical and Electrical Contractors shall provide heavy solid pattern, steel, cast iron or malleable iron escutcheons with set screws and prime coat or paint on all uninsulated piping and conduit exposed to view within structure where passing through floors, partitions, walls or ceilings. Escutcheons are not required in equipment rooms, boiler rooms or other unfinished areas.

B. For piping with sleeves extending above floor, provide escutcheons with deep recesses.

C. Provide solid pattern, smooth chrome plated cast brass escutcheons for all chrome plated pipe fixture connections.

D. Provide nickel plated cast iron escutcheons where pipes pass through toilet rooms, walls or ceilings.

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E. Provide collars of angle fabrication for duct passing through floors, walls and ceilings in finished areas.

3.8 MACHINERY GUARDS

A. Provide approved expanded sheet steel metal guards over all belt drives, couplings and other moving equipment to protect personnel from injury.

3.9 FLASHING

A. Base and counter flashings shall be provided by the respective Contractor where work penetrates roof construction.

3.10 PAINTING AND FINISHING

A. All painting, generally, will be provided by the General Contractor, except where specifically noted otherwise in the Mechanical and Electrical Specifications.

B. Equipment and material furnished with factory enamel finish will not be painted unless finish has been damaged, in which case the equipment or material shall be refinished by the Contractor who furnished it, to the satisfaction of the Architect.

C. Do not paint nameplates, labels, tags, stainless steel, or chromium-plated items such as valve stems, motor shafts, levers, handles, trim strips, etc.

D. Ductwork behind the grilles, registers, diffusers, etc. which is exposed to view through the units, shall be given one (1) coat of primer and a finish coat of flat black paint.

E. No work shall be allowed to develop rust during the course of the work. Work showing evidence of rust or other corrosion shall be immediately scraped clean and rust primed with an approved primer.

3.11 LUBRICATION

A. Each Contractor shall be responsible for the proper and necessary lubrication of any items of operating, rotating or moving equipment which they will furnish, install or which must operate as part of the systems on which they work.

B. When an item of operating equipment is furnished and installed by a Contractor, it will be their responsibility to accomplish the lubrication.

C. When an item of operating equipment is furnished by one Contractor and the installation by another, it shall be the responsibility of the Contractor furnishing the equipment to apply the lubricants.

D. All rotating or moving equipment shall be lubricated prior to energizing and operating the equipment. Should the Contractor responsible for the lubrication fail to apply lubricants prior to initial start-up and the equipment is damaged as a result of their negligence, that Contractor shall be required to provide all corrective action necessary including replacement, if required, for the proper operation of equipment.

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E. Lubrication shall be accomplished in the manner prescribed or recommended by the manufacturer of the specific item. For motor driven equipment this precaution of lubrication will apply individually to the driver and the driven.

F. The lubricants shall be of the type, grade, specification and manufacture as prescribed or recommended by the manufacturer of the specific equipment item.

G. The Contractor who supplies any item of rotating equipment will have the responsibility of securing written instructions on the lubricating procedure and shall furnish not less than one year's supply of all necessary lubricants properly identified so they can be replaced.

H. Any moving or rotating equipment furnished by the Owner that is to be installed, reused and/or serviced shall also be lubricated. Except where noted otherwise in the Mechanical and Electrical specifications, the Contractor installing, reusing and/or servicing all such equipment shall be responsible for the proper lubrication thereof including obtaining proper lubricating instructions from the various manufacturers involved, furnishing and applying the necessary lubricants and leaving the Owner with a one (1) year's supply of lubricant.

3.12 MECHANICAL - ELECTRICAL COORDINATION

A. Equipment electrical current characteristics as shown on electrical drawings. Refer to article "Current Characteristics and Load Ratings of Motors and Equipment", Section 16100, ELECTRICAL-BASIC MATERIALS AND METHODS.

B. The nameplate voltage of all motors furnished with mechanical equipment shall be within the range of the voltage shown for use with the motor as the upper limit, and 5% less than this voltage as the lower limit.

C. Each Mechanical Contractor shall furnish all motors, float and pressure switches, temperature control, other special automatic controls as noted in the HVAC and Plumbing Specifications, and all motor starters for all equipment furnished under their contract except where noted otherwise.

D. All starters shall be provided by the electrical contractor. Starters and fuses are sized based on the standard of design for the HVAC equipment. Should any piece of HVAC equipment change in model, size or manufacturer, and subsequently, the fuse, overload or starter size be required to be changed, the mechanical contractor, or contractor initiating such change shall bear all costs thereto, of the electrical contractor or any other contractor affected by such change.

E. All electrical equipment furnished by the Mechanical Contractors shall be as recommended by the Mechanical Equipment manufacturers, in accordance with the Electrical Specification for similar items, and of such type as to work properly with automatic temperature control sequences where required.

F. The Electrical Contractor shall provide all starters, combination starter disconnects, controllers, push-buttons, safety switches for motors, and wiring from starters to motors and install equipment furnished to them by mechanical contractors, unless otherwise indicated in the Mechanical Specifications.

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G. Where controllers and/or starters are furnished as an integral part of any equipment, the contractor supplying the equipment shall furnish complete wiring between controllers, starters and motors.

H. Electrical Contractor shall provide disconnect switches for all equipment under all contracts, except where such switches are an integral part of equipment, or specified with such equipment.

I. Mechanical Contractor shall set all motors and furnish, set and pipe as necessary, float switches, temperature control and other special automatic temperature controls.

J. Mechanical Contractor shall provide all control wiring specified in their respective section of the specification. The Electrical Contractor shall provide all other wiring required for the completion of the work of the Mechanical Contractors.

K. Mechanical Contractor shall furnish the Electrical Contractor with complete wiring diagrams as required.

L. Any electrical work performed by either Mechanical Contractor or their Sub-Contractors shall be performed in accordance with the requirements of the ELECTRICAL Section of these specifications.

3.13 ELECTRICAL MOTORS AND STARTERS

A. All motors furnished by Mechanical Contractors, unless specified to the contrary in Mechanical Specifications, shall conform to the following requirements:

1. Characteristics, dimensions, tolerances, temperature rise, insulation, rating, noise, vibration, and all other characteristics in accordance with the latest standards of IEEE or NEMA.
2. Unless required by the driven unit, motors shall have normal starting torque, NEMA Design B characteristics. Horsepower rating of motors shall be equal to or greater than that required by driven equipment. Current density design of motor rating shall be limited so that overload protection provided by standard motor starters will be adequate to prevent damaging overheating during stall, single phasing or slightly prolonged acceleration.
3. Use NEMA Class A or B insulation with motor frames amply sized to prove 1.15 service factor and an ambient of 40°C. maximum. Insulation systems shall be designed for an average life of 60,000 hours.
4. Each motor shall be mounted on the same bedplate as the equipment driven and be complete with pulleys, slide rails or flexible couplings as required.
5. Each Contractor is responsible in each instance for the proper selection of motors of suitable characteristics with details submitted for approval to the Architect prior to installation.

B. All starters furnished by all Contractors shall conform with the following requirements, unless specified to the contrary in the Electrical Specifications:

1. All starters for 3-phase equipment shall be fully enclosed, across-the-line type equipped with thermal overload protection for all three phases, low voltage

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protection, all necessary auxiliary contacts as required and indicating pilot lights. Starters which are controlled automatically shall have two-wire control with "ON-OFF-AUTO" switches. Starters which are controlled manually shall have 3-wire control with Start-Stop pushbuttons.

2. All 3-phase starters remotely controlled shall have 120 volt coils and control transformers with disconnecting means.
3. Starters for single phase motors shall be manual toggle switches with thermal overload protection and pilot light. Omit pilot light for unit heaters.
4. General Purpose NEMA-1 enclosure for indoor use under normal atmospheric conditions. Watertight enclosure NEMA-4 or NEMA-5 for outdoor use or where starters are subjected to the splashing or dripping of water. Explosion proof enclosure NEMA-7, 9 or 12 for dusty or hazardous locations as required by Article 500 of the National Electrical Code.

C. All controllers, starters and other electrical components furnished as an integral part of any apparatus shall be furnished complete with integral wiring as required.

D. So far as is practicable, all motors and starters shall be of one manufacturer. Equal to General Electric, Westinghouse or Square D.

E. Submit motor and starter data sheet.

3.14 ELECTRICAL PROVISIONS FOR PACKAGED MECHANICAL EQUIPMENT

A. Unless otherwise noted in Mechanical Specifications, all packaged equipment furnished by Mechanical Contractors shall be complete with the following electrical provisions:

1. General compliance with provisions of the preceding Article, ELECTRICAL MOTORS AND STARTERS.
2. All refrigeration compressor motors shall operate at 90% minimum power factor.
3. Starting electrical characteristics of all motors and/or starters as approved by local utility company and Electrical Engineer.

B. Local and National NBFU approved, factory installed and wired starting, operating and control equipment, terminating in terminal strip for single power wiring connections by Electrical Contractor/Sub-Contractor where required. These provisions shall conform with the ELECTRICAL Section of these specifications, generally and specifically, they shall include approved branch fuses for branch power circuits.

3.15 REMOVAL AND RELOCATION

A. Mechanical and Electrical Contractors shall perform all removal and relocation work required for completion of systems in their contracts.

B. Removals shown on drawings are a general indication only, and may not necessarily indicate the full extent of removals which may be required to complete this work.

C. Where existing partitions, walls, ceilings and floors are to be removed, all ducts, piping, conduits, materials, fixtures and equipment attached or fastened thereto or within shall be carefully removed.

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D. Where work under this contract interferes with the existing construction, duct work, piping, conduit or equipment, remove all such materials and reroute to clear the obstruction. Provide additional piping, conduits, ducts, and material of the same design and quality if the piping and/or conduit is to be continued in use.

E. Disconnect and remove all accessible piping, conduit, duct work, materials, fixtures and equipment not required in the new systems. Plug all outlets at the main or riser connection.

F. Removed materials not desired by the Owner and not to be reset and not specified for indicated to be reused, shall become the property of the Contractor and shall be promptly removed from site.

G. All demolition work is subject to the direction and approval of the Architect and shall be performed in such manner as not to interfere with the normal operation of the building.

3.16 DEMOLITION

A. Disconnect, demolish, and remove Work specified in Division 23 Sections.

B. If pipe, ductwork, insulation, or equipment to remain is damaged or disturbed, remove damaged portions and install new products of equal capacity and quality.

C. Accessible Work: Remove indicated exposed pipe and ductwork in its entirety.

D. Work Abandoned in Place: Cut and remove underground pipe a minimum of 2 inches beyond face of adjacent construction. Cap and patch surface to match existing finish.

E. Removal: Remove indicated equipment from Project site.

F. Temporary Disconnection: Remove, store, clean, reinstall, reconnect, and make operational equipment indicated for relocation.

3.17 MECHANICAL WORK EXPOSED TO WEATHER

A. General: Provide protection for the ferrous metal portions of mechanical work exposed to weather including equipped fans, piping and accessories, supports and other items.

3.18 SAFETY MEASURES TO BE TAKEN

A. The Architect/Engineer has not been retained nor compensated to provide design and construction review services relating to the Contractor's safety precautions or to means, methods, techniques, sequences or procedures required for the Contractor to perform their work. The Contractor will be solely and completely responsible for conditions of the job site, including safety of the people and property during performance of the work. This requirement will apply continuously and not be limited to normal working hours. The Architect's/Engineer's observations of the Contractor's performance are not intended to include review of the adequacy of the Contractor's safety measures in, on or near the construction site. It shall be the Contractor's responsibility to comply with "Safety and Health Regulations for Construction", Volume 36, No. 75, part II of the Federal Register by the U.S. Department of Labor. Contractor shall be responsible for providing any such

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safety measures and shall consult with the State or Federal Safety Inspector for interpretation whenever in doubt as to whether safe conditions do or do not exist; or whether they are or are not in compliance with State or Federal Regulations.

3.19 MANUFACTURER'S RECOMMENDATIONS

A. The materials and/or equipment shall be installed in accordance with manufacturer's recommendations and instructions.

3.20 INTERFERENCES

A. Before making any installation, the work of the trades must be coordinated and the necessary changes shall be made to avoid interferences or improper effect on work to be performed by any other Section. In the event that interferences develop, the Architect's/Engineer's decision will be final and no additional compensation will be allowed for moving of misplaced piping, ducts, conduit and/or equipment.

3.21 CLEANING

A. Premises shall be maintained in an orderly fashion at all times during the construction period. Remove any cartons, containers, crates, etc., as soon as their contents have been removed, and remove the debris as soon as possible.

B. Each Contractor and/or Sub-Contractor who is responsible for execution of individual sections of work shall be responsible for the following:

1. Removal of all lumber, refuse, metal, piping and debris from site resulting from their work.
2. Cleaning drippings resulting from their work, etc., from finished work of other trades.
3. Cleaning, polishing, waxing of their work as required.
4. The cartons, debris, etc., shall be removed from the site and premises at the sole expense of the Contractor.

C. After testing, and acceptance of all work by the Architect Engineer and the Owner, each Contractor shall thoroughly clean all equipment and material involved in their Contract to the satisfaction of the Architect Engineer.

D. At the completion of the work, the Contractor shall clean the work, equipment, etc., free from dust, etc., and leave the work area in good housekeeping fashion in a manner acceptable to the Building Owner's/Tenant's Representative.

E. All heating and cooling coils shall be free of residue and oil prior to start-up. Any extraneous cleaning and venting of the facilities caused by a failure to clean coils shall be the direct responsibility of the Mechanical Contractor.

3.22 OPERATING WITHOUT FILTERS

A. Do not operate or permit supply system to be operated without filters.

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B. When directed, during construction period, operate system with the filters in place and the caulking complete.

C. Clean inside of ducts and equipment and perform the repairs with filters in place and the caulking of filter frames completed.

D. After construction is finished, replace the filters with second set of clean filters.

3.23 TEMPORARY HEATING

A. The Contractor shall make provisions for the use of any permanent heating equipment, ducts and fan systems required for temporary heat as soon as the building is enclosed, during the heating season.

B. The Contractor will be responsible for any heating and ventilating equipment used and shall pay for any labor and fuel required for their operation.

C. Under no circumstances shall any items of new air handling equipment be operated for temporary heat or ventilation without filters in place. If the unit is used before construction is completed, the filters shall be replaced before the building is accepted by the Building Owner/Tenant. This set of filters shall not be considered as the spare set of filters hereinafter specified.

3.24 TEMPORARY LIGHT AND POWER

A. The Contractor shall provide temporary light and power feeders throughout the building during the construction as specified in the GENERAL CONDITIONS.

3.25 EXTRA MATERIALS

A. Filter Media:

B. Furnish two (2) extra complete sets of the specified filter media for each air-handling unit to be used after the construction phase. One (1) set shall be installed after the construction phase set has been removed and the second set shall be turned over to the Building Owner/Tenant at the time of Building Owner's/Tenant's acceptance of the air handling system.

C. Fan Belts:

1. Furnish one (1) set of spare fan belts of proper size and type for each main air handling system. Spare belts shall be turned over to the Building Owner/Tenant at the time of Building Owner's/Tenant's acceptance of the air handling system.

3.26 START UP AND SERVICING OF EQUIPMENT AND SYSTEMS

A. After work has been completed under the Mechanical and Electrical contracts, and prior to final acceptance tests, each Contractor shall have manufacturers or their authorized agents of the equipment and material installed, completely check their equipment and put it into actual operation. In each case, the respective Contractor shall have the

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manufacturers thoroughly check the complete installation of the equipment produced by them for proper and correct operation under the service intended.

B. Six (6) months after final acceptance of the work under each of the Mechanical and Electrical contracts, each of the Contractors shall have the manufacturers again check their equipment for proper operation and lubrication. Coincidentally, these contractors shall assure that the building custodian is properly instructed in the servicing of the equipment.

C. Prior to expiration of the guarantee period, each contractor shall check all equipment, materials and systems installed under their contract, make necessary adjustments and/or replacements, and leave systems in first class operating condition.

END OF SECTION

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SECTION 23 05 17

SLEEVES AND SLEEVE SEALS FOR HVAC PIPING

NOT FOR BIDDING PURPOSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Sleeves.
2. Grout.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

PART 2 - PRODUCTS

2.1 SLEEVES

A. Cast-Iron Wall Pipes: Cast or fabricated of cast or ductile iron and equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.

B. Galvanized-Steel Wall Pipes: ASTM A 53/A 53M, Schedule 40, with plain ends and welded steel collar; zinc coated.

2.2 GROUT

A. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.

B. Characteristics: Nonshrink; recommended for interior and exterior applications.

C. Design Mix: 5000-psi, 28-day compressive strength.

D. Packaging: Premixed and factory packaged.

PART 3 - EXECUTION

3.1 SLEEVE INSTALLATION

A. Install sleeves for piping passing through penetrations in floors, partitions, and walls.

B. For sleeves that will have sleeve-seal system installed, select sleeves of size large enough to provide 1-inch annular clear space between piping and concrete slabs and walls.

1. Sleeves are not required for core-drilled holes.

C. Install sleeves for pipes passing through interior partitions.

1. Cut sleeves to length for mounting flush with both surfaces.
2. Install sleeves that are large enough to provide 1/4-inch (6.4-mm) annular clear space between sleeve and pipe or pipe insulation.
3. Seal annular space between sleeve and piping or piping insulation; use joint sealants appropriate for size, depth, and location of joint. Comply with requirements for sealants specified in Section 07 92 00 "Joint Sealants."

D. Fire-Barrier Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at pipe penetrations. Seal pipe penetrations with firestop materials. Comply with requirements for firestopping specified in "Penetration Firestopping."

END OF SECTION

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SECTION 23 05 29

HANGERS AND SUPPORTS FOR HVAC PIPING AND EQUIPMENT

NOT FOR BIDDING PURPOSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes

1. Hangers and supports for (but not limited to) following components:

a. Piping hangers and supports
b. Duct hangers and supports
c. Equipment hangers and supports

2. Miscellaneous components and accessories including (but not limited to):

a. Guides
b. Fasteners
c. Insulation protection systems

1.3 DEFINITIONS

A. MSS: Manufacturers Standardization Society of the Valve and Fittings Industry Inc.

1.4 PERFORMANCE REQUIREMENTS

A. Provide corrosion resistant construction as described below for hangers, hanger rods, supports, fittings, hardware, etc, unless otherwise noted or approved. Note that not all products described below are available in corrosion resistance as required for all applications listed – select appropriate corrosion resistant products as required. Multiple conditions may apply, in which case the more corrosion resistant construction is required:

1. General purpose indoor: ASTM B-633 Fe/Zn 25 minimum zinc plated fasteners, ASTM B-653 G90 minimum sheet steel, factory baked enamel paint, or anodized.
2. In contact with copper: Copper plated for size identification and felt lined or plastic coated.
3. Other special conditions: where noted on drawings, provide materials of special temperature, corrosion resistance, or other properties, as required for durable and safe performance.

B. Allowable Working Loads:

1. Use only manufacturer's load rated hangers, supports, and fasteners designed and rated for the intended service.

2. Do not load connectors, hangers, or supports to more than the manufacturers' recommended working load or the following:

   a. Use a safety factor of 5:1 minimum with respect to manufacturers' published ultimate shear strength.
   b. Use a safety factor of 10:1 minimum with respect to manufacturers' published ultimate tension or pull-out strength.

C. Structural Performance: Hangers and supports for HVAC piping and equipment shall withstand the effects of gravity loads and stresses within limits and under conditions indicated according to ASCE/SEI 7.

1.5 SUBMITTALS

A. Product Data: Submit manufacturer's product literature, technical specifications, and other data required to demonstrate compliance with specified requirements for following components:

1. Hangers and supports
2. Miscellaneous components

B. Shop Drawings: Submit intended custom support construction for approval.

C. All supports, etc., shall meet the approval of the Architects / Engineers. Submit shop drawings showing fabrication and installation details including calculations for the following; include Product Data for components:

1. Trapeze pipe hangers.
2. Metal framing systems.
3. Equipment supports.

1.6 QUALITY ASSURANCE

A. Comply with applicable requirements of following standards for all hangers and supports:

1. MSS-SP-58 Pipe Hangers and Supports – Materials, Design, and Manufacture.
2. MSS-SP-69 Pipe Hangers and Supports – Selection and Application.
3. ASTM standards for corrosion resistant Zinc coatings.
4. AWS D1.1/D1.1M, "Structural Welding Code - Steel."
5. UL 203 Standard for Pipe Hanger Equipment and Fire Protection Service
6. Metal Framing Association MFMA-2
7. ANSI/ NFoPA NDS - National Design Specification for Wood Construction
8. SMACNA – Sheet Metal and Air Conditioning Contractor's National Association, Inc.

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B. All welding shall be approved procedures performed by approved welders. Refer to Section 23 21 13 – HYDRONIC PIPING, for details on welder's qualification requirements.

PART 2 - PRODUCTS

2.1 GENERAL

A. For convenience, details and specifications have been based on product types as defined in MSS SP-58 and 69 where applicable, and, where not applicable, catalog numbers shown have been based on products by the listed manufacturers.

2.2 PIPING ATTACHMENTS

A. Individually Suspended Horizontal Rigid Piping or Tube Attachments:

1. Clevis type:

   a. Any size pipe or tubing.
   b. Formed steel bands top and bottom connected by sheer bolt.
   c. MSS SP-58 type 1.

2. Provide products by one of the following manufacturers:

   a. B-Line Systems, Inc., Highland Illinois.
   b. Grinnell Corporation; Pipe Support Division, Cranston, Rhode Island.

B. Supported from below Horizontal Rigid Piping or Tube Attachments:

1. Stanchion Saddle type:

   a. Any size pipe and tubing.
   b. Radiused pipe saddle support with U-bolt upper restraint. Threaded adjuster rod or pipe integral / welded to saddle for vertical adjustment. Designed to accommodate minimal longitudinal movement only.
   c. MSS SP-58 type 37.

2. Provide products by one of the following manufacturers:

   a. B-Line Systems, Inc., Highland Illinois.
   b. Grinnell Corporation; Pipe Support Division, Cranston, Rhode Island.

C. Group Supported or Suspended (Trapeze) Horizontal Rigid Piping or Tube Attachments (Common Support Beam):

1. Support frame or trapeze beam of load rated brackets or channel strut product.
2. U-Bolt type:

   a. Any size pipe or tubing.

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b. Insulation support system rests directly on beam or shim, with U-bolt or split strut clamp upper restraint. Designed to accommodate minimal longitudinal movement only.
c. U-bolt MSS SP-58 type 24, Strut clamp similar to B-Line "B-2000" series.

3. Provide products by one of the following manufacturers:

a. B-Line Systems, Inc., Highland Illinois.
b. Grinnell Corporation; Pipe Support Division, Cranston, Rhode Island.

D. Individual Vertical Rigid Piping and Tube Attachments:

1. Split Ring type:

a. 1-1/4 inch diameter pipe size and less only.
b. Cast malleable iron split ring with steel pivot and bolt, cast boss on one side threaded for standard rod or pipe attachment. Designed to accommodate minimal longitudinal movement only.
c. MSS SP-58 type 12.

2. Riser clamp type:

a. 1-1/2 inch diameter pipe size and larger.
b. Twin formed steel bands with formed radius to fit pipe and extension wings drilled for clamping bolts, space between extension wings designed to accept various connections to building structure.
c. MSS SP-58 type 8.

3. Provide products by one of the following manufacturers:

a. B-Line Systems, Inc., Highland Illinois.
b. Grinnell Corporation; Pipe Support Division, Cranston, Rhode Island.

2.3 DUCT HANGERS AND SUPPORTS:

A. Per SMACNA Duct Manual standards for size, height, and location of ductwork, and as noted below:

1. Materials and corrosion resistance as listed above.
2. SMACNA load tables allow for no external loads on duct: provide for 200 lb external load on all duct hangers and supports. Increase hanger and support sizes from SMACNA tables accordingly:

a. Minimum band size 20ga. x 1 inch.
b. Duct 48" wide and larger; provide trapeze style support of metal channel framing or angle iron, suspended from threaded rods.

3. Hanger bands to extend down sides and turn under bottom 1 inch minimum for all duct sizes. Minimum (2) #10 sheet metal screws per hanger (one each on side and bottom), (2) screws minimum on sides for duct over 12 inches tall, 12 inches on center max.

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4. Round exposed duct: hang from twin half round bands and rods, or as otherwise detailed.

2.4 BUILDING ATTACHMENTS:

A. Structural Steel Connectors:

1. C-Clamp style:

a. FM approved, U.L. listed, steel or malleable iron C-clamp with hardened set screw and lock nut, tapped for rod size, typically eccentrically loads structure.
b. Hanger rod bypasses structure: MSS SP-58 type 19.
c. Hanger rod in line with set screw: MSS SP-58 type 23.

2. Center Loading Beam and Channel Clamp Style:

a. Forged or formed steel or malleable iron construction, beam clamps with connection for concentrically loading structure, of types as required by loading and configuration.
b. MSS SP-58 types 21, 27, 28, 29, and 30.

3. Provide products by one of the following manufacturers:

a. B-Line Systems, Inc., Highland Illinois, or equal
b. ERICO/Michigan Hanger Co.; Solon, Ohio, or equal
c. Grinnell Corporation; Pipe Support Division, Cranston, Rhode Island, or equal.

B. Existing Concrete Connectors

1. Self-energizing tapered expansion bolt/sleeve: GSA specification FF-S-325, Group II, Type 3, Class 3, UL Listed, FM approved, complete with split expansion sleeve, washer, and hex head nut; similar to “Rawl Lok/Bolt” by Rawlplug.

2. Dual-Interlocking Expansion Wedge Stud: GSA specification FF-S-325, Group II, Type 4, Class 1, UL Listed, FM approved, complete with split expansion sleeve, washer, and hex head nut; similar to “Rawl-Stud” by Rawlplug.
3. Dual-Interlocking Expansion Wedge Threaded Rod Anchors: UL Listed, FM approved, complete with split expansion sleeve; similar to “Rod Hanger Wedge Anchor” by Rawlplug.
4. Provide products by one of the following manufacturers:

a. Hilti, Inc.; Tulsa, Oklahoma, or equal
b. Ramset/Red Head; Michigan City, Indiana, or equal
c. Rawlplug Co. Inc.; New Rochelle, New York, or equal.

C. Hollow Masonry, Hollow Concrete, Pre-cast Plank Connectors

1. Toggle Bolt Type: GSA specification FF-B-588C,Type 1, Class A with ultimate load capacities meeting or exceeding load capacities for hollow concrete block in conformance with ASTM C-90; similar to “Rawl Toggle Bolt” by Rawlplug.

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2. Epoxy/Screen tube type: Manufacturer's load rated epoxy resistant to the chemical exposure of the application and capable of developing the ultimate strength of the threaded rod used, with stainless steel screen tube designed specifically for use with epoxy anchors in hollow masonry. Use manufacturer's recommended mixing/injection device. Similar to the "Foil-Fast", "Chem-Fast", and "Chem-Stud" systems by Rawlplug.
3. Through bolt, nuts, square plate steel washer (thickness equal to half bolt diameter, width equal to six diameters minimum).
4. Provide products by one of the following manufacturers:

   a. Hilti, Inc.; Tulsa, Oklahoma, or equal
   b. Ramset/Red Head; Michigan City, Indiana, or equal
   c. Rawlplug Co. Inc.; New Rochelle, New York, or equal.

D. Flanged Connectors:

1. Applicable for attachment to building steel, concrete, or wood.
2. Malleable iron flange base, with central threaded hole for connection to threaded rod and symmetrical side hole for securing to structure with appropriate fasteners, typically used with split rings, similar to "Model No. 365M" by ERICO/Michigan Hanger.
3. Provide products by one of the following manufacturers:

   a. B-Line Systems, Inc., Highland Illinois, or equal
   b. ERICO/Michigan Hanger Co.; Solon, Ohio, or equal
   c. Red Hed; Lincoln, Rhode Island, or equal.

2.5 EQUIPMENT SUPPORTS

A. Custom Metal Framing Systems, Special Hangers and Supports:

1. Provide custom designed hangers and supports to properly support all contract equipment as required by special circumstances encountered.
2. Use structural carbon steel plate and shapes, secured by welding or bolts as required.
3. Fabricate as required to transmit loads and reaction forces to structure, in accordance with applicable details and layouts shown on Drawings, and as approved by Architect. Submit load calculations and fabrication details for approval for all such supports including verified coordinated dimensions, weights, etc., of mechanical component, support component, and building structure proposed.

2.6 MISCELLANEOUS COMPONENTS AND ACCESSORIES

A. Grout: ASTM C 1107, factory-mixed and -packaged, dry, hydraulic-cement, nonshrink and nonmetallic grout; suitable for interior and exterior applications.

1. Properties: Nonstaining, noncorrosive, and nongaseous.
2. Design Mix: 5000-psi, 28-day compressive strength.

B. Threaded Rods, Bolts, Nuts, Washers, Metals, Hardware, and Miscellaneous Assembly Components:

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1. Provide manufacturer's load rated fasteners with size, strength and corrosion resistance as required for the application.
2. Rods, bolts, machine screws: rolled forged ANSI B1 Class 2A or better thread, bolts and screws with heads as required by the application.
3. Nuts: heavy pattern where space permits and where subject to repeated operation, ANSI B1 Class 2B or better thread.
4. Washers: US pattern where space permits, SAE pattern otherwise, with toothed or split lock washer when attached to equipment with moving or vibrating parts.
5. Sheet metal screws: self drilling, thread forming, hardened steel (hardened SS as required), load rated screws with hex heads designed for power driving
6. Structural Steel: ASTM A 36/A 36M, carbon-steel, black and galvanized, and/or series 300 Stainless Steel plates, bars, angles, channels, and other shapes in thickness and size as required for load.

C. Piping Insulation Protection Systems:

1. Type "A" Insulation Protection System:

   a. 1" piping and smaller only.
   b. Steel Insulation Shield, minimum 18 gauge, 12-inch long, 180 degree circumference, sized for insulation thickness.
   c. MSS SP-58/69 type 40.

2. Type "B" Insulation Protection System:

   a. 1¼" through 8" heating piping only.
   b. Steel Insulation Shield sized as required by the load and compressive strength of the pipe support insulation, minimum 18 gauge, 12-inch long, 180 degree circumference, sized for insulation thickness. MSS SP-58/69 type 40.

   c. Pipe Support Insulation: High density (20 pcf). molded fiberglass blocks consisting of fiberglass wool and urea-phenolic resin cured binder. Provide number and size of support blocks as required to limit deflection to 1% and avoid long-term damage to vapor barrier, and as required for pipe size and insulation thickness, in accordance with manufacturer's written guidelines and project details. Seal cut in piping insulation vapor barrier using manufacturer's recommended matching tape. Similar to AHAMFAB H-Block" by ICA

3. Type "C" Insulation Protection System:

   a. Acceptable for any size heating or cooling piping.
   b. Manufacturer's assembly consisting of insulation shield, high compressive strength insulation, and vapor barrier covering. May include hanger also.
   c. Hanger: As required above, secured to shield and support insulation.
   d. Insulation Shield: Rolled steel sized as required by the load and compressive strength of the pipe support insulation, minimum 18 gauge, 12-inch long, 180 degree circumference, sized for insulation thickness. MSS SP-58/69 type 40.
   e. High Compressive Strength Insulation: 180 or 360-degree circumference insulation insert formed of water resistance treated hydrous calcium silicate (untreated cal-sil not acceptable) or cellular glass insulation, same thickness as adjacent insulation.

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f. Vapor Barrier Covering: White kraft outer surface bonded to aluminum foil, sandwiching reinforcing fiberglass skrim yarn, permanently treated for fire and smoke safety and to prevent corrosion of the foil, with a vapor transmission perm rating of 0.02 or less. Seal to piping insulation vapor barrier using manufacturer's recommended matching tape.

g. Similar to Models "123", "124", "1031", and "4031" by ERICO/Michigan Hanger.

4. Provide products by one of the following manufacturers:

a. B-Line Systems, Inc., Highland Illinois.
b. ICA Inc.; Lehighton, Pennsylvania.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Verification of Conditions: Examine conditions under which hangers and supports are to be installed and notify Architect in writing of any conditions detrimental to proper and timely installation. Do not proceed with installation until unsatisfactory conditions have been corrected in an acceptable manner.

1. When Contractor confirms conditions as acceptable to ensure proper and timely installation and to ensure requirements for applicable warranty or guarantee can be satisfied, submit to Architect written confirmation. Failure to submit written confirmation and subsequent installation will be assumed to indicate conditions are acceptable to Contractor.

2. Identify any discrepancies between specifications and field conditions or changes required due to specific equipment selection, prior to installation. Corrective work required by discrepancies after installation at Contractor's expense.

3.2 GENERAL INSTALLATION

A. Provide complete hanger and support systems for piping and ductwork systems and equipment, including all necessary attachments, fasteners, threaded rods, bolts, miscellaneous hardware, and associated work as required.

B. Provide specified products, installed in accordance with applicable sections of this specification, in accordance with the manufacturer's recommended installation instructions, and as detailed on the Drawings.

C. Support pipe, duct, and equipment from the building structure.

1. Provide approved miscellaneous support structure as required to attach hangers and supports to building structure in conformance with all applicable standards and related specification sections.
2. Do not use chain, perforated hanger strapping or band, wire hangers, or kinked, bent, or otherwise damaged hangers and supports.

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3. Do not support one pipe from another, one duct from another, pipe from duct or equipment, or any similar combination.
4. Install lateral bracing with pipe hangers and supports as required to prevent swaying.
5. Provide special hangers and supports as shown on the drawings, as required to suit existing conditions, and as required for proper installation of equipment.

D. Coordinate the installation with applied fireproofing and where possible install attachments to structure prior to fireproofing. Where prior installation is not possible, repair fireproofing as required.

1. Repair or replace any fireproofing removed or damaged during installation of components.
2. Ensure repaired or replacement fireproofing continuously matches or exceeds rating of adjacent fireproofing and ensure that all warranties are maintained.

E. Load Distribution: Install hangers and supports so that live and dead loads and stresses from movement will not be transmitted to connected equipment.

3.3 PIPE HANGER AND SUPPORT INSTALLATION

A. Comply with MSS SP-58 and MSS SP-89 and as specified below. Install hangers, supports, clamps, and attachments as required properly supporting piping from the building structure.

B. Trapeze Pipe-Hanger Installation: Arrange for grouping of parallel runs of horizontal piping, and support together on field-fabricated trapeze pipe hangers.

1. Pipes of Various Sizes: Support together and space trapezes for smallest pipe size or install intermediate supports for smaller diameter pipes as specified for individual pipe hangers.
2. Field fabricate from manufactured slotted channel system or structural shapes selected for loads being supported.

C. Size piping attachments for insulated piping to fit outside insulation. Size piping attachments for un-insulated piping to fit outside diameter of pipe.

D. Pipe Slopes: Install hangers and supports to provide indicated pipe slopes and to not exceed maximum pipe deflections allowed by ASME B31.9 for building services piping. Provide for slope of trapeze supported piping systems with adjustable individual piping attachments.

E. Accommodate thermal movement of piping systems.

1. Install hangers and supports to allow controlled thermal and seismic movement of piping systems, to permit freedom of movement between pipe anchors, and to facilitate action of expansion joints, expansion loops, expansion bends, and similar units.
2. Provide rods of sufficient length for ample swing. Hang rods from high points to allow maximum swing.
3. Hang piping so that rods are vertical at the design temperature.
4. Where length of rod and thermal expansion combine to cause more than 4 degrees angular movement of rod (or 1 inch lateral movement in a 12 inch rod), provide suitable linkage to permit swing and limit rods to tensile loading only, or, provide pipe roll.

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5. Where length of rod and thermal expansion would combine to cause more than 10 degrees angular movement of rod (or 2 inch lateral movement in a 12 inch rod), provide pipe roll.
6. More thermal movement is expected as the straight line distance from piping anchor points increases. Unless unusually long hanging rod length allows swing as indicated above, provide pipe roll hangers and supports at or above the following distances from piping anchor points indicated on drawing or installed in field. Deviations from below values subject to pre-approval:

	Piping Service English	Distance from Anchorage
a.	Individual Copper Cooling Service	100 ft.
b.	Individual Copper Heating Service.	35 ft.
c.	Trapeze Copper Cooling Service	60 ft.
d.	Trapeze Copper Heating Service.	20 ft
e.	Individual Steel Cooling Service	140 ft.
f.	Individual Steel Heating Service.	60 ft.
g.	Trapeze Steel Cooling Service	80 ft.
h.	Trapeze Steel Heating Service.	50 ft.
i.	Trapeze with both Heating & Cooling Service	20 ft.

F. Threaded Rod for Pipe Hangers:

1. Double nut each end of each rod. Threaded clamp, turnbuckle, etc., counts as one nut.
2. Rod size for individual pipe hangers and two rod / two pipe trapeze style supports:

Rod Size:	For Pipe Size:
3/8”	2” and smaller.
1/2”	2-1/2" and 3".
5/8”	4" and 5".

3. For multiple pipe trapeze style supports with two rods for more than two pipes, size rods according to manufacturers recommended safe working loads taking into account total hung weight, 200 pound live load, as well as capacity of structure; each rod not smaller than size shown above for largest pipe in trapeze. Submit details of all such supports and connectors for approval before construction, including schedule of proposed sizes and capacities.

G. Pipe Hangers and Supports Spacing (Maximum):

1. Provide hanger or support as close as possible to and within 24 inches of any elbow.
2. Provide hanger or support on branch pipe within 24 inches of main at takeoff / tee.
3. All Horizontal / Sloped Heating and Cooling Piping Systems:

	Piping Material	Maximum spacing of hangers
a.	Copper ¾ in. and smaller	5 ft.
b.	Copper 1 in. and 1-¼ in.	6 ft.
c.	Copper 1½ in. and larger	8 ft.

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d.	Steel 1¼ in. and smaller.	7 ft
e.	Steel 1½ in.	9 ft.
f.	Steel 2 in. and larger	10 ft.
g.	Annealed copper	3 ft.
h.	Flexible piping or hose	Continuous

4. Vertical Piping:

a.	Steel and Copper 1¼ in and smaller	Two per floor level.
b.	Steel and Copper 1½ in and larger	One per floor level.

H. Insulated Piping

1. Center insulation shields at piping attachments and secure shield from lateral movements by wrapping PVC tape around circumference of piping insulation and shield at both ends of shield.
2. At all piping attachments provide piping insulation protection system of strength and configuration required to guarantee integrity of pipe insulation and associated vapor barrier. Refer also to SECTION 23 07 00 INSULATION.

3.4 BUILDING ATTACHEMENTS INSTALLATION

A. Fastener Systems: Provide screws, bolts, approved anchors, etc., to secure piping, duct, equipment, supports, and miscellaneous components and accessories to structure. Nailing not permitted.

1. Install building attachments within concrete slabs or attach to structural steel. Install additional attachments at concentrated loads, including valves, flanges, and strainers, NPS 3" and larger and at changes in direction of piping. Install concrete inserts before concrete is placed; fasten inserts to forms and install reinforcing bars through openings at top of inserts.
2. Minimize eccentric loading of structure as follows:

   a. For paired point loads eccentrically suspended (C-clamp attached supply and return pipes, etc), suspend from opposite edges of structural member.
   b. For point loads over 400 pounds, use center loading beam clamps or other structurally concentric building attachment, and confirm proposed configuration with Engineer by submittal.

3. For connections cast in place to new concrete, assume concrete strength as specified. Do not apply loads to freshly cured concrete until written approval is received from contractor responsible for concrete strength.
4. For connection to existing concrete:

   a. Connect only to sound concrete free of evidence of deterioration.
   b. For older existing concrete and in the absence of ASTM approved tests certifying otherwise, assume a concrete compressive strength of $f'c = 3000$ psi.
   c. Install powder-actuated fasteners for use in lightweight concrete or concrete slabs less than 4 inches thick in concrete after concrete is placed and completely cured. Use operators that are licensed by powder-actuated tool manufacturer. Install

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fasteners according to powder-actuated tool manufacturer's operating manual. Do not use powder-actuated fasteners in precast concrete or in pull-out tension.

5. For connection to wooden structures: Apply NDS criteria to all structural wood connections.

3.5 DUCT HANGERS AND SUPPORTS:

A. Install per SMACNA duct manual and as modified by the requirements of this section.

B. Provide support spacing per building structural system but not greater than 8 feet. Provide extra support structure as required.

3.6 ELECTRICAL WORK HANGER AND SUPPORT INSTALLATION

A. Refer to Division 26 complete.

3.7 METAL FRAMING AND EQUIPMENT SUPPORT INSTALLATION

A. Provide miscellaneous metal, beams, angles pipe bars, structural steel shapes, bases, braces, etc. accessories required to attach hangers and supports to walls, floors, structural members, etc. in conformance with Section 05 12 00 – “STRUCTURAL STEEL FRAMING”.

B. Fabricate structural-steel stands to suspend equipment from structure overhead or to support equipment above floor.

C. Custom Hangers and Supports: Install as required by special circumstances encountered, in accordance with applicable details and layouts shown on Drawings, and as approved by Architect.

D. Provide lateral bracing to prevent swaying for equipment supports.

E. Provide vibration isolation and thermal movement capability for hangers and supports. Refer to Section 23 05 00 - Article Vibration Elimination.

F. Grouting: Place grout under supports for equipment and make bearing surface smooth.

G. Fit exposed connections together to form hairline joints. Field weld connections that cannot be shop welded because of shipping size limitations.

H. Field Welding: Comply with AWS D1.1/D1.1M procedures for shielded, metal arc welding; appearance and quality of welds; and methods used in correcting welding work; and with the following:

1. Use materials and methods that minimize distortion and develop strength and corrosion resistance of base metals.
2. Obtain fusion without undercut or overlap.
3. Remove welding flux immediately.

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4. Finish welds at exposed connections so no roughness shows after finishing and so contours of welded surfaces match adjacent contours.

3.8 ADJUSTING

A. Adjust all hangers and supports after installation of piping and associated equipment to distribute loads equally on attachments and to achieve proper pitch for the applicable piping system.

B. Trim excess length of continuous-thread hanger and support rods as required - avoid hazardous protrusion.

3.9 PAINTING

A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.

1. Apply paint by brush or spray to provide a minimum dry film thickness of 2.0 mils.

B. Touchup: Cleaning and touchup painting of field welds, bolted connections, and abraded areas of shop paint on miscellaneous metal are specified in Division 09.

C. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

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SECTION 23 05 53

IDENTIFICATION FOR HVAC PIPING AND EQUIPMENT

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Equipment labels.
2. Pipe labels.
3. Stencils.
4. Valve tags.

1.3 SUBMITTALS, GENERAL

A. General: Submit all action submittals required by this Section concurrently.

1.4 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

B. Samples: For color, letter style, and graphic representation required for each identification material and device.

C. Equipment Label Schedule: Include a listing of all equipment to be labeled with the proposed content for each label.

D. Valve numbering scheme.

E. Valve Schedules: For each piping system to include in maintenance manuals.

1.5 COORDINATION

A. Coordinate installation of identifying devices with completion of covering and painting of surfaces where devices are to be applied.

B. Coordinate installation of identifying devices with locations of access panels and doors.

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C. Install identifying devices before installing acoustical ceilings and similar concealment.

PART 2 - PRODUCTS

2.1 EQUIPMENT LABELS

A. Metal Labels for Equipment:

1. Material and Thickness: Brass, 0.032-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
2. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
3. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
4. Fasteners: Stainless-steel rivets or self-tapping screws.
5. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.

B. Plastic Labels for Equipment:

1. Material and Thickness: Multilayer, multicolor, plastic labels for mechanical engraving, 1/8 inch thick, and having predrilled holes for attachment hardware.
2. Letter Color: White.
3. Background Color: Black.
4. Maximum Temperature: Able to withstand temperatures up to 160 deg F.
5. Minimum Label Size: Length and width vary for required label content, but not less than 2-1/2 by 3/4 inch.
6. Minimum Letter Size: 1/4 inch for name of units if viewing distance is less than 24 inches, 1/2 inch for viewing distances up to 72 inches, and proportionately larger lettering for greater viewing distances. Include secondary lettering two-thirds to three-fourths the size of principal lettering.
7. Fasteners: Stainless-steel rivets or self-tapping screws.
8. Adhesive: Contact-type permanent adhesive, compatible with label and with substrate.

C. Label Content: Include equipment's Drawing designation or unique equipment number, function, Drawing numbers where equipment is indicated (plans, details, and schedules), plus the Specification Section number and title where equipment is specified.

D. Equipment Label Schedule: For each item of equipment to be labeled, on 8-1/2-by-11-inch bond paper. Tabulate equipment identification number and identify Drawing numbers where equipment is indicated (plans, details, and schedules), plus the Specification Section number and title where equipment is specified. Equipment schedule shall be included in operation and maintenance data.

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2.2 PIPE LABELS

A. General Requirements for Manufactured Pipe Labels: Preprinted, color-coded, with lettering indicating service, and showing flow direction.

B. Pretensioned Pipe Labels: Precoiled, semi-rigid plastic formed to cover full circumference of pipe and to attach to pipe without fasteners or adhesive.

C. Pipe Label Contents: Include identification of piping service using abbreviations indicated below, pipe size, and an arrow indicating flow direction.

1. Flow-Direction Arrows: Integral with piping system service lettering to accommodate both directions, or as separate unit on each pipe label to indicate flow direction.
2. Lettering Size: At least 1-1/2 inches high.

D. Piping Systems: Identify the following systems as indicated:

1. Heating Hot Water Supply (HWS)
2. Heating Hot Water Return (HWR)
3. Radiant Floor Supply (RFS)
4. Radiant Floor Return (RFR)
5. Domestic Hot Water Supply (DHWS)
6. Domestic Hot Water Recirculation (DHWR)

2.3 STENCILS

A. Stencils: Prepared with letter sizes according to ASME A13.1 for piping; minimum letter height of 1-1/4 inches for ducts, and minimum letter height of 3/4 inch for access panel and door labels, equipment labels, and similar operational instructions.

1. Stencil Material: Fiberboard or metal.
2. Stencil Paint: Exterior, gloss acrylic enamel black unless otherwise indicated. Paint may be in pressurized spray-can form.
3. Identification Paint: Exterior, acrylic enamel in colors according to ASME A13.1 unless otherwise indicated.

2.4 VALVE TAGS

A. Valve Tags: Stamped or engraved with 1/4-inch letters for piping system abbreviation and 1/2-inch numbers.

1. Tag Material: Brass, 0.032-inch minimum thickness, and having predrilled or stamped holes for attachment hardware.
2. Fasteners: Brass wire-link or beaded chain.

B. Valve Schedules: For each piping system, on 8-1/2-by-11-inch bond paper. Tabulate valve number, piping system, system abbreviation (as shown on valve tag), location of valve (room or space), normal-operating position (open, closed, or modulating), and variations for identification. Mark valves for emergency shutoff and similar special uses.

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1. Valve-tag schedule shall be included in operation and maintenance data.

PART 3 - EXECUTION

3.1 PREPARATION

A. Clean piping and equipment surfaces of substances that could impair bond of identification devices, including dirt, oil, grease, release agents, and incompatible primers, paints, and encapsulants.

B. Beginning installation constitutes Contractor's acceptance of substrates and conditions.

3.2 EQUIPMENT LABEL INSTALLATION

A. Install or permanently fasten labels on each major item of mechanical equipment.
B. Locate equipment labels where accessible and visible.

3.3 PIPE LABEL INSTALLATION

A. Piping Color-Coding: Painting of piping is specified in Division 09 Section "Interior Painting."

B. Stenciled Pipe Label Option: Stenciled labels may be provided instead of manufactured pipe labels, at Installer's option. Install stenciled pipe labels with painted, color-coded bands or rectangles, complying with ASME A13.1, on each piping system.

1. Identification Paint: Use for contrasting background.
2. Stencil Paint: Use for pipe marking.

C. Locate pipe labels where piping is exposed or above accessible ceilings in finished spaces; machine rooms; accessible maintenance spaces such as shafts, tunnels, and plenums; and exterior exposed locations as follows:

1. Near each valve and control device.
2. Near each branch connection, excluding short takeoffs for fixtures and terminal units. Where flow pattern is not obvious, mark each pipe at branch.
3. Near penetrations through walls, floors, ceilings, and inaccessible enclosures.
4. At access doors, manholes, and similar access points that permit view of concealed piping.
5. Near major equipment items and other points of origination and termination.
6. Spaced at maximum intervals of 12 feet along each run.
7. On piping above removable acoustical ceilings. Omit intermediately spaced labels.

D. Pipe Label Color Schedule:

	Item	Color
1.	Heating hot water……...	Yellow
2.	Hot water supply & return……...	Yellow
3.	Condensate (drain)……..	Blue

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3.4 VALVE-TAG INSTALLATION

A. Install tags on valves and control devices in piping systems, except check valves; valves within factory-fabricated equipment units; shutoff valves; faucets; convenience and lawn-watering hose connections; and HVAC terminal devices and similar roughing-in connections of end-use fixtures and units. List tagged valves in a valve schedule.

1. New construction: Provide a neat typewritten valve directory listing valve function, location and identification number. Minimum size to be 8-1/2 by 11 inches, encased within plastic laminate. Mount securely where directed.
2. Valve Numbering System: Extension of and compatible with existing valve numbering system, where valves are installed in existing building or in addition to existing building. Do not duplicate existing numbers; verify existing numbers in the field.
3. Verification: Verify existing valve numbers in field and provide valve numbering avoiding duplication of existing numbers.

B. Valve Identification For New Construction:

1. Provide 1-1/2 inch diameter brass tag with brass jack chain (#16).
2. Stamp "H" and valve number for each main, riser, zone, and branch heating valve and at all other valves whose function is not readily apparent.
3. Stamp "C" and valve number for each main, riser, zone, and branch cooling valve and at all other valves whose function is not readily apparent.
4. Provide a special tag at compression tank(s) shut off valve with legend as follows: "Always keep this valve open except when draining compression tank"

3.5 WARNING-TAG INSTALLATION

A. Write required message on, and attach warning tags to, equipment and other items where required.

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SECTION 23 09 50

BUILDING AUTOMATION SYSTEM (BAS) GENERAL

PART 1 - GENERAL

1.1 SECTION INCLUDES

A. General Requirements

B. Description of Work

C. Quality Assurance

D. System Architecture

E. Distributed Processing Units/Quantity and Location

F. Demolition and Reuse of Existing Materials and Equipment

G. Sequence of Work

1.2 RELATED DOCUMENTS

A. Section 23 09 51 - Building Automation System (BAS) Basic Materials, Interface Devices, and Sensors

B. Section 23 09 53 - BAS Field Panels

C. Section 23 09 54 - BAS Communication Devices

D. Section 23 09 55 - BAS Software and Programming

E. Section 23 09 58 - Sequences of Operation

F. Section 23 09 59 - BAS Commissioning

1.3 DESCRIPTION OF WORK

A. The building automation system (BAS) defined in this specification shall interface with the Delaware Army National Guards Network , and shall utilize the BACnet communication requirements as defined by ASHRAE/ANSI 135 (current version and addendum) for all communication.

B. Contractor shall extend the existing Siemen's Building Automation System (BAS). The extended BAS shall utilize electronic sensing, microprocessor-based digital control, and electronic actuation of dampers and valves to perform control sequences and functions specified. The BAS for this project will generally consist of monitoring and control of systems listed below. Reference also control drawings, sequences of operation, and points lists.

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C. The systems to be controlled under work of this section basically comprise of the New VRF Console Evaporators and Corresponding Condensing Units. The HVAC systems being controlled under this contract are the New VRF Console Evaporators and Corresponding Condensing Units. This Section defines the manner and method by which these controls function.

1.4 APPLICATION OF OPEN PROTOCOLS

A. Subject to the detailed requirements provided throughout the specifications, the BAS and digital control and communications components installed, as work of this contract shall be an integrated distributed processing system utilizing BACnet. System components shall communicate using native BACnet in accordance with ASHRAE Standard 135 and current addenda and annexes, including all workstations, all building controllers, and all application specific controllers. Gateways to other communication protocols are not acceptable.

1.5 QUALITY ASSURANCE

A. Product Line Demonstrated History: The product line being proposed for the project must have an installed history of demonstrated satisfactory operation for a length of 2 years since date of final completion in at least 10 installations of comparative size and complexity. Submittals shall document this requirement with references.

The following requirement relates to the actual installing contractor.

B. Installer's Qualifications: Firms specializing and experienced in control system installations for not less than 5 years. Firms with experience in BAS installation projects with point counts equal to this project and systems of the same character as this project. Experience starts with awarded Final Completion of previous projects. Submittals must document this experience with references.

C. Installer's Experience with Proposed Product Line: Firms shall have specialized in and be experienced with the installation of the proposed product line for not less than one year from date of final completion on at least 3 projects of similar size and complexity. Submittals shall document this experience with references.

D. Installer's Field Coordinator and Sequence Programmer Qualifications: Individual(s) shall specialize in and be experienced with control system installation for not less than 5 years. Proposed field coordinator shall have experience with the installation of the proposed product line for not less than 2 projects of similar size and complexity. Installer shall submit the names of the proposed individual and at least one alternate for each duty. Submittals shall document this experience with references. The proposed individuals must show proof of the following training:

1. Product Line Training: Individuals overseeing the installation and configuration of the proposed product line must provide evidence of the most advanced training offered by the Manufacturer on that product line for installation and configuration
2. Programming Training: Individuals involved with programming the site-specific sequences shall provide evidence of the most advanced programming

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training offered by the vendor of the programming application offered by the Manufacturer.

E. Installer's Service Qualifications: The installer must be experienced in control system operation, maintenance and service. Installer must document a minimum 5 year history of servicing installations of similar size and complexity. Installer must also document at least a one year history of servicing the proposed product line.

F. Installer's Response Time and Proximity
1. Installer must maintain a fully capable service facility within a 45 mile radius of the project site. Service facility shall manage the emergency service dispatches and maintain the inventory of spare parts.
2. Emergency response times are listed below in this section. Installer must demonstrate the ability to meet the response times.

1.6 CODES AND STANDARDS

A. American Society of Heating, Refrigeration and Air Conditioning Engineers (ASHRAE)
1. ASHRAE 135: BACnet - A Data Communication Protocol for Building Automation and Control Networks. American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc. current edition including all related addenda shall apply.

B. Electronics Industries Alliance
1. EIA-709.1-A-99: Control Network Protocol Specification
2. EIA-709.3-99: Free-Topology Twisted-Pair Channel Specification
3. EIA-232: Interface between Data Terminal Equipment and Data Circuit-Terminating Equipment Employing Serial Binary Data Interchange.
4. EIA-458: Standard Optical Fiber Material Classes and Preferred Sizes
5. EIA-485: Standard for Electrical Characteristics of Generator and Receivers for use in Balanced Digital Multipoint Systems.
6. EIA-472: General and Sectional Specifications for Fiber Optic Cable
7. EIA-475: Generic and Sectional Specifications for Fiber Optic Connectors and all Sectional Specifications
8. EIA-573: Generic and Sectional Specifications for Field Portable Polishing Device for Preparation Optical Fiber and all Sectional Specifications
9. EIA-590: Standard for Physical Location and Protection of Below-Ground Fiber Optic Cable Plant and all Sectional Specifications

C. Underwriters Laboratories
1. UL 916: Energy Management Systems.
2. UUKL 864: UL Supervised Smoke Control

D. NEMA Compliance
1. NEMA 250: Enclosure for Electrical Equipment
2. NEMA ICS 1: General Standards for Industrial Controls.

E. NFPA Compliance

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1. NFPA 90A "Standard for the Installation of Air Conditioning and Ventilating Systems" where applicable to controls and control sequences.
2. NFPA 70 National Electrical Code (NEC)

F. Institute of Electrical and Electronics Engineers (IEEE)
1. IEEE 142: Recommended Practice for Grounding of Industrial and Commercial Power Systems
2. IEEE 802.3: CSMA/CD (Ethernet - Based) LAN
3. IEEE 802.4: Token Bus Working Group (ARCNET - Based) LAN

1.7 DEFINITIONS

A. Advanced Application Controller (AAC): A device with limited resources relative to the Building Controller (BC). It may support a level of programming and may also be intended for application specific applications.

B. Application Protocol Data Unit (APDU): A unit of data specified in an application protocol and consisting of application protocol control information and possible application user data (ISO 9545).

C. Application Specific Controller (ASC): A device with limited resources relative to the Advanced Application Controller (AAC). It may support a level of programming and may also be intended for application specific applications. .

D. BACnet/BACnet Standard: BACnet communication requirements as defined by ASHRAE/ANSI 135 (Current edition and addendum).

E. BACnet Interoperability Building Blocks (BIBB): A BIBB defines a small portion of BACnet functionality that is needed to perform a particular task. BIBBS are combined to build the BACnet functional requirements for a device in a specification.

F. Binding: In the general sense, binding refers to the associations or mappings of the sources network variable and their intended opr required destinations.

G. Building Automation System (BAS): The entire integrated management and control system.

H. Building Controller (BC): A fully programmable device capable of carrying out a number of tasks including control and monitoring via direct digital control (DDC) of specific systems, acting as a communications router between the controlled devices / equipment and the CSS, and temporary data storage for trend information, time schedules, and alarm data.

I. Change of Value (COV): An event that occurs when a measured or calculated analog value changes by a predefined amount (ASHRAE/ANSI 135 (current version and addendum)).

J. Client: A device that is the requestor of services from a server. A client device makes requests of and receives responses from a server device.

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K. Continuous Monitoring: A sampling and recording of a variable based on time or change of state (e.g. trending an analog value, monitoring a binary change of state).

L. Controller or Control Unit (CU): Intelligent stand-alone control device. Controller is a generic reference and shall include BCs, AACs, and ASCs as appropriate.

M. Control Systems Server (CSS): A server class computer(s) that maintains the systems configuration and programming database. This server is located at the State of Delaware's data center in a virtual environment and serves as an access point to BAS.

N. Controlling LAN: High speed, peer-to-peer controller LAN connecting BCs, AACs and ASCs. Refer to System Architecture below.

O. Direct Digital Control (DDC): Microprocessor-based control including Analog/Digital conversion and program logic

P. Functional Profile: A collection of variables required to define a the key parameters for a standard application. As this applies to the HVAC industry, this would include applications like VAV terminal, fan coil units, and the like.

Q. Gateway (GTWY): A device, which contains two or more dissimilar networks/protocols, permitting information exchange between them.

R. Hand Held Device (HHD): Manufacturer's microprocessor based device for direct connection to a Controller.

S. LAN Interface Device (LANID): Device or function used to facilitate communication and sharing of data throughout the BAS

T. Local Area Network (LAN): General term for a network segment within the architecture. Various types and functions of LANs are defined herein.

U. Local Supervisory LAN: Also known as the State's Network: Ethernet-based network connecting Primary Controlling LANs with each other and OWSs and CSSs. See System Architecture below.

V. Master-Slave/Token Passing (MS/TP): Data link protocol as defined by the BACnet standard.

W. Open Database Connectivity (ODBC): An open standard application-programming interface (API) for accessing a database developed. ODBC compliant systems make it possible to access any data from any application, regardless of which database management system (DBMS) is handling the data.

X. Operator Interface (OI): A device used by the operator to manage the BAS including OWSs, POTs, and HHDs.

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Y. Operator Workstation (OWS): The user's interface with the BAS system. As the BAS network devices are stand-alone, dedicated OWS is not required for communications to occur. The

Z. OWS can be any computer on the State's Network that has a compatible Web browser. Point-to-Point (PTP): Serial communication as defined in the BACnet standard.

AA. Portable Operators Terminal (POT): Mobile computer used both for direct connection to a controller as well as network connection.

BB. Protocol Implementation Conformance Statement (PICS): A written document, created by the manufacturer of a device, which identifies the particular options specified by BACnet that are implemented in the device (ASHRAE/ANSI 135 (current version and addendum)).

CC. Router: A device that connects two or more networks at the network layer.

DD. Secondary Controlling LAN: LAN connecting AACs and ASCs, generally lower speed and less reliable than the Controlling LAN. Refer to System Architecture below.

EE. Server: A device that is a provider of services to a client. A client device makes requests of and receives responses from a server device.

FF. Standardized Query Language (SQL): A database computer language designed for managing data in relational database management system (RDBMS). Its scope includes data insert, query, update and delete, schema creation and modification, and data access control.

GG. Smart Device: A control I/O device such as a sensor or actuator that can directly communicate with a controller through the network. This differs from an ASC in that it typically deals only with one variable.

HH. Extensible Markup Language (XML): A specification developed by the World Wide Web Consortium. XML is a pared-down version of SGML, designed especially for Web documents. It is a set of rules for encoding documents in machine-readable form that allows designers to create their own customized tags, enabling the definition, transmission, validation, and interpretation of data between applications and between organizations.

1.8 FUNCTIONAL INTENT

A. Throughout Sections 23 09 50 through 23 09 55, the Sequences of Operation, and Section 23 09 59 detailed requirements are specified, some of which indicate a means, method or configuration acceptable to meet that requirement. Contractor may submit products that utilize alternate means, methods, and configurations that meet the functional intent. However these will only be allowed with prior approval.

1.9 SUBMITTALS

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A. Submit under provisions of Section 01 30 00 .

B. Electronic Submittals: While all requirements for hard copy submittal apply, control submittals and O&M information shall also be provided in electronic format as follows.

1. Drawings and Diagrams: Shop drawings shall be provided on electronic media as an AutoCAD (current version) and/or Adobe Portable Document Format file. All 'X reference' and font files must be provided with AutoCAD files.
2. Other Submittals: All other submittals shall be provided in Adobe Portable Document Format (PDF).

C. Qualifications: Manufacturer, Installer, and Key personnel qualifications as indicated for the appropriate item above.

D. Product Data: Submit manufacturer's technical product data for each control device, panel, and accessory furnished, indicating dimensions, capacities, performance and electrical characteristics, and material finishes. Also include installation and start-up instructions.

E. Shop Drawings: Submit shop drawings for each control system, including a complete drawing for each variable refrigerant flow, system, evaporators, condensing units, device, etc. with all point descriptors, addresses and point names indicated. Each shop drawing shall contain the following information:

1. System Architecture and System Layout:

a. One-line diagram indicating schematic locations of all control units, workstations, LAN interface devices, gateways, etc. Indicate network number, device ID, , instance number, MAC address, drawing reference number, and controller type for each control unit. Indicate media, protocol, baud rate, and type of each LAN. Indicate media, protocol, baud rate, and type of each LAN. All optical isolators, repeaters, end-of-line resistors, junctions, ground locations etc. shall be located on the diagram.

b. Provide electronic floor plans locating all control units, workstations, LAN interface devices, gateways, etc. Include all network communication wiring routing, power wiring, power originating sources, and low voltage power wiring. Indicate network number, device ID, instance number, MAC address, drawing reference number, and controller type for each control unit. Indicate media, protocol, baud rate, and type of each LAN. All optical isolators, repeaters, end-of-line resistors, junctions, ground locations etc. shall be located on the floor plans. Wiring routing as-built conditions shall be maintained accurately throughout the construction period and the drawing shall be updated to accurately reflect accurate, actual installed conditions.

2. Schematic flow diagram of each air and refrigerant system showing fans, coils, dampers, heat exchange equipment and control devices. Include verbal description of sequence of operation.

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3. All physical points on the schematic flow diagram shall be indicated with names, descriptors, and point addresses identified as listed in the point summary table.
4. With each schematic, provide a point summary table listing building number and abbreviation, system type, equipment type, full point name, point description, Ethernet backbone network number, network number, device ID, object ID (object type, instance number). See Section 23 09 55 - Part III for additional requirements.
5. Label each control device with setting or adjustable range of control.
6. Label each input and output with the appropriate range.
7. Provide a Bill of Materials with each schematic. Indicate device identification to match schematic and actual field labeling, quantity, actual product ordering number, manufacturer, description, size, voltage range, pressure range, temperature range, etc. as applicable.
8. With each schematic, provide valve and actuator information including size, Cv, design flow, design pressure drop, manufacturer, model number, close off rating, etc. Indicate normal positions of spring return valves and dampers.
9. Indicate all required electrical wiring. Electrical wiring diagrams shall include both ladder logic type diagram for motor starter, control, and safety circuits and detailed digital interface panel point termination diagrams with all wire numbers and terminal block numbers identified. Provide panel termination drawings on separate drawings. Ladder diagrams shall appear on system schematic. Clearly differentiate between portions of wiring, which are existing, factory-installed and portions to be field-installed.
10. Details of control panels, including controls, instruments, and labeling shown in plan or elevation indicating the installed locations.
11. Sheets shall be consecutively numbered.
12. Each sheet shall have a title indicating the type of information included and the HVAC system controlled.
13. Table of Contents listing sheet titles and sheet numbers.
14. Legend and list of abbreviations.
15. Memory allocation projections.
16. Submit along with shop drawings but under separate cover calculated and guaranteed system response times of the most heavily loaded LAN in the system.

F. Open Protocol Information
1. BACnet Systems:
   a. BACnet object description, object ID, and device ID, for each I/O point.
   b. Documentation for any non-standard BACnet objects, properties, or enumerations used detailing their structure, data types, and any associated lists of enumerated values.
   c. Submit PICS indicating the BACnet functionality and configuration of each controller.

G. Framed Control Drawings: Laminated control drawings including system control schematics, sequences of operation and panel termination drawings, shall be

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provided in panels for major pieces of equipment. Terminal unit drawings shall be located in the central plant equipment panel or mechanical room panel.

H. Control Logic Documentation

1. Submit control logic program listings (for graphical programming) and logic flow charts (for line type programs) to document the control software of all control units.
2. Control logic shall be annotated to describe how it accomplishes the sequence of operation. Annotations shall be sufficient to allow an operator to relate each program component (block or line) to corresponding portions of the specified Sequence of Operation.
3. Include written description of each control sequence.
4. Include control response, settings, setpoints, throttling ranges, gains, reset schedules, adjustable parameters and limits.
5. Sheets shall be consecutively numbered.
6. Each sheet shall have a title indicating the controller designations and the HVAC system controlled.
7. Include Table of Contents listing sheet titles and sheet numbers
8. Submit one complete set of programming and operating manuals for all digital controllers concurrently with control logic documentation. This set will count toward the required number of Operation and Maintenance materials specified below and in Section 01 30 00.

I. Operation and Maintenance Materials:

1. Submit documents under provisions of Section 01 03 00. One copy of the materials shall be delivered directly to the State facilities operation staff, in addition to the copies required by other Sections.
2. Submit maintenance instructions and spare parts lists for each type of control device, control unit, and accessory.
3. Submit BAS User's Guides (Operating Manuals) for each controller type .
4. Submit BAS Advanced Programming Manuals for each controller type.
5. Include all submittals (product data, shop drawings, control logic documentation, hardware manuals, software manuals, installation guides or manuals, maintenance instructions and spare parts lists) in maintenance manual; in accordance with requirements of Division 1.

J. Controls Contractor shall provide the State with all product line technical manuals and technical bulletins, to include new and upgraded products, by the same distribution channel as to dealers or branches. This service will be provided for 5 years as part of the contract price, and will be offered to the State thereafter for the same price as to a dealer or branch.

K. Manufacturers Certificates: For all listed and/or labeled products, provide certificate of conformance.

L. Product Warranty Certificates: submit manufacturers product warranty certificates covering the hardware provided.

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1.2 PROJECT RECORD DOCUMENTS

A. Submit documents under provisions of Section 01 30 00.

B. Record copies of product data and control shop drawings updated to reflect the final installed condition.

C. Record copies of approved control logic programming and database on paper and on CD's.
Accurately record actual setpoints and settings of controls, final sequence of operation, including changes to programs made after submission and approval of shop drawings and including changes to programs made during specified testing.

D. Record copies of approved project specific graphic software on CD's.

E. Record copies shall include individual floor plans with controller locations with all interconnecting wiring routing including space sensors, LAN wiring, power wiring, low voltage power wiring. Indicate device instance, MAC address and drawing reference number.

F. Provide record riser diagram showing the location of all controllers.

G. Maintain project record documents throughout the warranty period and submit final documents at the end of the warranty period

1.3 SYSTEM ARCHITECTURE

A. The system provided shall incorporate hardware resources sufficient to meet the functional requirements of these Specifications. The Contractor shall include all items not specifically itemized in these Specifications that are necessary to implement, maintain, and operate the system in compliance with the functional intent of these Specifications.

B. The system shall be configured as a distributed processing network(s) capable of expansion as specified below.

C. The system architecture shall consist of the Ethernet-based State Network, and Controlling LANs that support BCs, AACs, ASCs, Operator Workstations (OWS), Smart Devices (SD), and Remote Communication Devices (RCDs) as applicable. The following indicates a functional description of the BAS structure.

1. State Network: Internet-based network connecting multiple facilities with a central data and application server, accessible via standard web-browser. This is an existing infrastructure and contractor is not required to configure any components of this network. Refer to Section 23 09 54 for requirements. This contractor shall integrate the controlling devices and the CCS together.

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2. Local Supervisory LAN: The Local Supervisory LAN shall be an Ethernet-based, 100 Mbps LAN connecting Primary Control LANs and OWSs. The LAN serves as the inter-BC gateway and OWS-to-BC gateway and communications path. Contractor shall provide this as a dedicated LAN for the control system. LAN shall be IEEE 802.3 Ethernet over Fiber or Category 5 cable with switches and routers that support 100 Mbps throughput. Power-line carrier communication shall not be acceptable for communications. The physical media will be that installed for the IT infrastructure of the facility and as such network drops will be provided under that scope of work to facilitate work of this scope. This network will be 100 Mbps and therefore all network interface cards shall support that speed. The higher level layers of this network shall be BACnet as described below:

   a. BACnet Supervisory LAN: Shall be BACnet/IP as defined in the BACnet standard, and shall share a common network number for the Ethernet backbone, as defined in the BACnet standard. Point/Object naming conventions are specified in 23 09 55 - Part III.

3. Controlling LAN: High-speed, peer-to-peer communicating LAN used to connect AACs, ASCs and Building Controllers (BCs) and communicate exclusively control information. Acceptable technologies include:

   a. Ethernet (IEEE802.3)
   b. ARCNET (IEEE802.4)
   c. Communication to/from building controller (BC) and the control system server (CSS) shall utilize standard TCP/IP, BACnet/IP ports (80and/or 47808).

4. Secondary Controlling LAN : Network used to connect AACs, ASCs or SDs. These can be Master Slave/ Token Passing or polling, in addition to those allowed for Primary Controller LANs. Network speed vs. the number of controllers on the LAN shall be dictated by the response time and reading requirements.

D. Dynamic Data Access: Any data throughout any level of the network shall be available to and accessible by all other devices, Controllers and OWS, whether directly connected or connected remotely.

E. Remote Data Access: The system shall support the following methods of remote access to the building data.

1. Browser-based access: A remote user using a standard browser shall be able to access all control system facilities and graphics with proper authentication. The State shall maintain continuous network connection. The following paradigms are acceptable for browser-based access:

   a. Native Internet-based user interface (HTML, Java, XML, etc.) via a standard freely distributed web browser that does not require a Windows client software installation.

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F. The communication speed between the controllers, LAN interface devices, and operator interface devices shall be sufficient to ensure fast system response time under any loading condition. Contractor shall submit guaranteed response times with shop drawings including calculations to support the guarantee. In no case shall delay times between an event, request, or command initiation and its completion be greater than those listed herein. Contractor shall recommend reconfiguring the LAN as necessary to accomplish these performance requirements.:

1. 5 seconds between a Level 1 (critical) alarm occurrence and enunciation at operator workstation.
2. 10 seconds between a Level 2 alarm occurrence and enunciation at operator workstation.
3. 20 seconds between and a Level 3-5 alarm occurrence and enunciation at operator workstation.
4. 10 seconds between an operator command via the operator interface to change a setpoint and the subsequent change in the controller.
5. 5 seconds between an operator command via the operator interface to start/stop a device and the subsequent command to be received at the controller.
6. 10 seconds between a change of value or state of an input and it being updated on the operator interface.
7. 10 seconds between an operator selection of a graphic and it completely painting the screen and updating at least 10 points.

G. Control Systems Server (CSS): A server class computer(s) that maintains the systems configuration and programming database. This server is located at the State of Delaware's data center in a virtual environment and serves as an access point to BAS. It shall hold the backup files of the information downloaded into the individual controllers and as such support uploading and downloading that information directly to/from the controllers. It shall also act as a control information server to non-control system based programs. It shall allow secure multiple access to the control information. Refer to Section 23 09 52 - BAS Operator Interfaces for its requirements.

H. The Operator Interface shall provide for overall system supervision, graphical user interface, management report generation, alarm annunciation, and remote monitoring. Refer to Section 23 09 52 - BAS Operator Interfaces.

I. The BCs, AACs, ASCs, and SDs shall monitor, control, and provide the field interface for all points specified. Each BC, AAC, or ASC shall be capable of performing all specified energy management functions, and all DDC functions, independent of other BCs, AACs, or ASCs and operator interface devices as more fully specified in Section 23 09 53 - BAS Field Panels.

J. Systems Configuration Database: The system architecture shall support maintaining the systems configuration database on the CSS. User tools provided to the State shall allow configuring, updating, maintaining, etc. current configurations and settings whether they are initiated at the server or the end device.

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1. Database Schema shall be published and provided to the State to facilitate easy access to the data.
2. Database shall be ODBC compliant.

K. Interruptions or fault at any point on any Primary Controller LAN shall not interrupt communications between other nodes on the network. If a LAN is severed, two separate networks shall be formed and communications within each network shall continue uninterrupted.

L. All line drivers, signal boosters, and signal conditioners etc. shall be provided as necessary for proper data communication.

M. Anytime any controller's database or program is changed in the field, the controller shall be capable of automatically uploading the new data to the CSS.

1.4 WARRANTY MAINTENANCE

A. Contractor shall warrant all products and labor for a period of two (2) years after Substantial Completion.

B. The State reserves the right to make changes to the BAS during the warranty period. Such changes do not constitute a waiver of warranty. The Contractor shall warrant parts and installation work regardless of any such changes made by the State, unless the Contractor provides clear and convincing evidence that a specific problem is the result of such changes to the BAS.

C. At no cost to the State, during the warranty period, the Contractor shall provide maintenance services for software and hardware components as specified below:

1. Maintenance services shall be provided for all devices and hardware specified in sections 23 09 51 through 23 09 59. Service all equipment per the manufacturer's recommendations. All devices shall be calibrated within the last month of the warranty period.
2. Emergency Service: Any malfunction, failure, or defect in any hardware component or failure of any control programming that would result in property damage or loss of comfort control shall be corrected and repaired following notification by the State to the Contractor.

   a. Response by telephone to any request for service shall be provided within two (2) hours of the State's initial telephone request for service.
   b. In the event that the malfunction, failure, or defect is not corrected through the telephonic communication, at least one (1) hardware and software technician, trained in the system to be serviced, shall be dispatched to the State's site within eight (8) hours of the State's initial telephone request for such services, as specified.

3. Normal Service: Any malfunction, failure, or defect in any hardware component or failure of any control programming that would not result in

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property damage or loss of comfort control shall be corrected and repaired following telephonic notification by the State to the Contractor.

a. Response by telephone to any request for service shall be provided within eight (8) working hours (contractor specified 40 hr per week normal working period) of the State's initial telephone request for service.

b. In the event that the malfunction, failure, or defect is not corrected through the telephonic communication, at least one (1) hardware and software technician, trained in the system to be serviced, shall be dispatched to the State's site within three (3) working days of the State's initial telephone request for such services, as specified.

4. Telephonic Request for Service: Contractor shall specify a maximum of three telephone numbers for The State to call in the event of a need for service. At least one of the lines shall be attended at any given time at all times. Alternatively, pagers can be used for technicians trained in system to be serviced. One of the three paged technicians shall respond to every call within 15 minutes.

5. Technical Support: Contractor shall provide technical support by telephone throughout the warranty period.

6. Preventive maintenance shall be provided throughout the warranty period in accordance with the hardware component manufacturer's requirements.

1.5 DELIVERY, STORAGE, AND HANDLING

A. Provide factory-shipping cartons for each piece of equipment and control device. Maintain cartons during shipping, storage and handling as required to prevent equipment damage, and to eliminate dirt and moisture from equipment. Store equipment and materials inside and protect from weather.

1.6 LISTING AND LABELING

A. The BAS and components shall be listed by Underwriters Laboratories (UL 916) as an Energy Management System.

PART 2 – PRODUCTS

2.1 MANUFACTURERS

A. Extension of the exiting Siemen’s Building Automation System.

2.2 MATERIALS AND EQUIPMENT

A. Materials shall be new, the best of their respective kinds without imperfections or blemishes and shall not be damaged in any way. Used equipment shall not used in any

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way for the permanent installation except where drawings or specs specifically allow existing materials to remain in place.

2.3 UNIFORMITY

A. To the extent practical, all equipment of the same type serving the same function shall be identical and from the same manufacturer.

PART 3 - EXECUTION

3.1 INSPECTION

A. Examine areas and conditions under which control systems are to be installed. Do not proceed with work until unsatisfactory conditions have been corrected in manner acceptable to Installer.

3.2 INSTALLATION OF CONTROL SYSTEMS

A. General: Install systems and materials in accordance with manufacturer's instructions, roughing-in drawings and details shown on drawings.

B. Network Connectivity: The BAS contractor shall provide two network connections with Cat-6 cables from the Building Controller to the State's IT network.
1. The BAS contractor shall terminate one end of the two Cat-6 cables at or around the State's patch panel and make connections to the State's switch with green patch cables, following the instruction of the DFM's IT personnel.
2. The BAS contractor shall terminate the other end of the two Cat-6 cables near or within the building controller cabinet with dual RJ-45 terminal box and make connection of one cable to the building controller. Note: the second connection is for on-site operator interface through a mobile computer. Exposed cable shall be protected by conduit or wire mold.
3. The BAS contractor shall label the two network connections BAC-1 and BAC-2 on both ends.

C. Refer to additional requirements in other sections of this specification.

3.3 SURGE PROTECTION

A. The Contractor shall furnish and install any power supply surge protection, filters, etc. as necessary for proper operation and protection of all BCs, AAC/ASCS operator interfaces, printers, routers, gateways and other hardware and interface devices. All equipment shall be capable of handling voltage variations 10% above or below measured nominal value, with no effect on hardware, software, communications, and data storage.

3.4 DEMOLITION AND REUSE OF EXISTING MATERIALS AND EQUIPMENT

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A. Contractor shall assume that existing equipment that specifically is indicated to be reused is in good condition and is operable. Contractor, during the course of work, shall inspect these devices and determine if any devices are in need of replacement or repair. Contractor shall prepare an itemized list of suggested repairs/replacement. This repair/replacement will be at the discretion of the State and will be accomplished by expanding this contract.

B. Existing wire, conduit, and control panel cabinets may be reused at the State Project Engineer's discretion, but only if such materials or equipment comply with the applicable specification for new materials and equipment. Such materials shall not be reused if visibly damaged or otherwise unsuitable for the intended service.

C. Where such materials are reused, the contractor's shop drawings shall reflect the existing wiring designation. If existing labeling is illegible or otherwise does not comply with the applicable specification for labeling, wiring runs shall be relabeled in accordance with the requirements specified elsewhere.

D. Existing pneumatic tubing located between the existing EMCS panels and the pneumatic operators shall not be reused.

E. Other materials and equipment not specifically mentioned herein may be reused only if specifically allowed by indications on the drawings.

F. For HVAC systems which are indicated to receive a new BAS, all existing materials and equipment associated with the existing EMCS shall be removed unless otherwise specified or indicated to remain, or unless reused in accordance with the above requirements, except for the following: 1) conduit and electrical boxes (but not wiring within conduit) may remain in place if not reused (leave a pull line); 2) inaccessible pneumatic tubing may remain in place if not reused. Existing materials and equipment to be removed shall be removed subject to the requirements in paragraph “Sequence of Work”. For HVAC systems, which are not to receive a new DDC BAS, the existing pneumatic control system shall remain fully functional.

3.5 CONTROL POWER SOURCE AND SUPPLY

A. Section 23 09 50 Contractor shall extend all power source wiring required for operation of all equipment and devices provided under Sections 23 09 50 through 23 09 55 and Sequences of Operation.

The following item will have to be customized for each system and project. The consideration is where to power controllers from. For distributed controllers that are associated with one unit, it is convenient to power them along with the system so the controller can take action based on the presence of power. However on large centralized panels, it may be best to put these on the most reliable source of power that serves the equipment being controlled and then provide for individual monitoring of the various system's power sources by the controller. The object here is to make a robust system that does not interpret power failures as device failure and therefore in some instances have to take down the unit for manual acknowledged reset. This can

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compromise reliability.

B. General requirements for obtaining power include the following:

1. Obtain power from a source that feeds the equipment being controlled such that both the control component and the equipment are powered from the same panel. Where equipment is powered from a 460V source, obtain power from the electrically most proximate 120v source fed from a common origin.
2. Where control equipment is located inside a new equipment enclosure, coordinate with the equipment manufacturer and feed the control with the same source as the equipment. If the equipment's control transformer is large enough and of the correct voltage to supply the controls, it may be used. If the equipment's control transformer is not large enough or of the correct voltage to supply the controls, provide separate transformer.
3. Where a controller controls multiple systems on varying levels of power reliability (normal, emergency, and/or interruptible), the controller shall be powered by the highest level of reliability served. Furthermore, the controller in that condition shall monitor each power type served to determine so logic can assess whether a failure is due to a power loss and respond appropriately. A three-phase monitor into a digital input shall suffice as power monitoring.
4. Standalone Functionality: Refer to Section 23 09 53.

3.6 BAS STARTUP, COMMISSIONING AND TRAINING

A. Refer to Section 23 09 59

3.7 SEQUENCE OF OPERATION

A. Refer to Section 23 09 58 - Sequences of Operation

END OF SECTION

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SECTION 23 09 51

BAS BASIC MATERIALS, INTERFACE DEVICES, AND SENSORS

PART 1 – GENERAL

1.1 SECTION INCLUDES

A. Wiring

B. Control Valves and Actuators

C. Control Dampers and Actuators

D. Control Panels

E. Sensors

F. Electric Control Components (Switches, Thermostats, Relays, Smoke Detectors, etc.)

G. Transducers

H. Air Flow Measuring Stations

I. Current Switches

J. Nameplates

K. Testing Equipment

1.2 RELATED DOCUMENTS

A. Section 23 09 50 - Building Automation System (BAS) General

B. Section 23 09 53 - BAS Field Panels

C. Section 23 09 54 - BAS Communications Devices

D. Section 23 09 55 - BAS Software

E. Section 23 09 58 - Sequences of Operation

F. Section 23 09 59 - BAS Commissioning

1.3 DESCRIPTION OF WORK

A. Refer to Section 23 09 50 for general requirements.

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B. Refer to other Division 23 sections for installation of instrument wells, valve bodies, and dampers in mechanical systems; not work of this section.

C. Provide the following electrical work of this section, complying with requirements of Division 26 sections:

1. Control wiring between field-installed controls, indicating devices, and unit control panels.
2. Interlock wiring between electrically interlocked devices, sensors, and between a hand or auto position of motor starters as indicated for all mechanical and controls.
3. Wiring associated with indicating and alarm panels (remote alarm panels) and connections to their associated field devices.
4. All other necessary wiring for fully complete and functional control system as specified.

1.4 WORK BY OTHERS

A. Control Dampers furnished under this section shall be installed under the applicable air distribution or air handling equipment section under the direction of Section 23 09 51 Contractor who will be fully responsible for the proper operation of the damper

B. Controlled Equipment Power Wiring shall be furnished and installed under Division 26. Where control involves 120V control devices controlling 120V equipment, Division 26 Contractor shall extend power wiring to the equipment. Section 23 09 51 Contractor shall extend it from the equipment to the control device.

PART 2 – PRODUCTS

2.1 MATERIALS AND EQUIPMENT

A. General: Provide electronic control products in sizes and capacities indicated, consisting of thermostats, clocks, controllers, sensors, and other components as required for complete installation and reviewed and approved by the State. Except as otherwise indicated, provide manufacturer's standard materials and components as published in their product information; designed and constructed as recommended by manufacturer, and as required for application indicated.

B. Communication Wiring: All wiring shall be in accordance with National Electrical Codes and Division 26 of this specification.

1. Contractor shall supply all communication wiring between Building Controllers, Routers, Gateways, AAC's, ASC's and local and remote peripherals (e.g., operator workstations, printers, and modems).
2. Local Supervisory LAN: For any portions of this network required under this section of the specification, contractor shall use Fiber or Category 6 of standard TIA/EIA (100/1000BaseT). Network shall be run with no splices and separate from any wiring over thirty (30) volts.
3. Primary and Secondary Controller LANs: Communication wiring shall be individually 100% shielded pairs per manufacturers recommendations for distances installed, with overall PVC cover, Class 2, plenum-rated run with no

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splices and separate from any wiring over thirty (30) volts. Shield shall be terminated and wiring shall be grounded as recommended by BC manufacturer.

C. Signal Wiring: Contractor shall run all signal wiring in accordance with National Electric Codes and Division 26 of this Specification.

1. Signal wiring to all field devices, including, but not limited to, all sensors, transducers, transmitters, switches, etc. shall be twisted, 100% shielded pair, minimum 18-gauge wire, with PVC cover. Signal wiring shall be run with no splices and separate from any wiring above thirty (30) volts.
2. Signal wiring shield shall be grounded at controller end only unless otherwise recommended by the controller manufacturer.

D. Low Voltage Analog Output Wiring: Contractor shall run all low voltage control wiring in accordance with National Electric Codes and Division 16 of this Specification.

1. Low voltage control wiring shall be minimum 16 gauge, twisted pair, 100% shielded, with PVC cover, Class 2 plenum-rated. Low voltage control wiring shall be run with no splices separate from any wiring above thirty (30) volts.

E. Control Panels: Provide control panels with suitable brackets for wall mounting for each control system. Locate panel adjacent to systems served.

1. Fabricate panels of 16-gage furniture grade steel, or 6063-T5 extruded aluminum alloy, totally enclosed on four sides, with hinged door and keyed lock, with manufacturer's standard shop- painted finish and color.
2. Provide UL-listed cabinets for use with line voltage devices.
3. Control panel shall be completely factory wired and piped, and all electrical connections made to a terminal strip. Control panel shall have standard manufacturer's color.
4. All gauges and control components shall be identified by means of nameplates.
5. All control tubing and wiring shall be run neatly and orderly in open slot wiring duct with cover.
6. Complete wiring and tubing termination drawings shall be mounted in or adjacent to panel.

2.2 GENERAL FIELD DEVICES

A. Provide field devices for input and output of digital (binary) and analog signals into controllers (BCs, AACs, ASCs). Provide signal conditioning for all field devices as recommended by field device manufacturers, and as required for proper operation in the system.

B. It shall be the Contractor's responsibility to assure that all field devices are compatible with controller hardware and software.

C. Field devices specified herein are generally 'two-wire' type transmitters, with power for the device to be supplied from the respective controller. If the controller provided is not equipped to provide this power, or is not designed to work with

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'two-wire' type transmitters, or if field device is to serve as input to more than one controller, or where the length of wire to the controller will unacceptably affect the accuracy, the Contractor shall provide 'four-wire' type equal transmitter and necessary regulated DC power supply or 120 VAC power supply, as required.

D. For field devices specified hereinafter that require signal conditioners, signal boosters, signal repeaters, or other devices for proper interface to controllers, Contractor shall furnish and install proper device, including 120V power as required. Such devices shall have accuracy equal to, or better than, the accuracy listed for respective field devices.

E. Accuracy: As stated in this Section, accuracy shall include combined effects of nonlinearity, nonrepeatability and hysteresis.

2.3 TEMPERATURE SENSORS (TS)

A. Sensor range: When matched with A/D converter of BC, AAC/ASC, or SD, sensor range shall provide a resolution of no worse than 0.3°F (0.1°C) (unless noted otherwise). Where thermistors are used, the stability shall be better than 0.25°F over 5 years.

B. Room Temperature Sensor: Shall be an element contained within a ventilated cover, suitable for wall mounting. Provide insulated base. Following sensing elements are acceptable:

1. Sensing element shall be platinum RTD, thermistor, or integrated circuit, +/- 0.4°F accuracy at calibration point.
2. Provide setpoint adjustment where indicated. The setpoint adjustment shall be a warmer/cooler indication that shall be scalable via the BAS.
3. Provide an occupancy override button on the room sensor enclosure where indicated. This shall be a momentary contact closure
4. Provide current temperature indication via an LCD or LED readout where indicated.

C. Single Point Duct Temperature Sensor: Shall consist of sensing element, junction box for wiring connections and gasket to prevent air leakage or vibration noise. Temperature range as required for resolution indicated in paragraph A. Sensor probe shall be 304 stainless steel.

1. Sensing element shall be platinum RTD, thermistor, or integrated circuit, +/- 0.2°F accuracy at calibration point

D. Averaging Duct Temperature Sensor: Shall consist of an averaging element, junction box for wiring connections and gasket to prevent air leakage. Provide sensor lengths and quantities to result in one lineal foot of sensing element for each three square feet of cooling coil/duct face area. Temperature range as required for resolution indicated in paragraph A.

1. Sensing element shall be platinum RTD, or thermistor, +/- 0.2°F accuracy at calibration point.

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2.4 TEMPERATURE TRANSMITTERS

A. Where required by Controller, or where wiring runs are over 50 feet, sensors as specified above may be matched with transmitters outputting 4-20 mA linearly across the specified temperature range. Transmitters shall have zero and span adjustments, an accuracy of 0.1°F when applied to the sensor range.

2.5 HUMIDITY TRANSMITTERS

A. Units shall be suitable for duct, wall (room) or outdoor mounting. Unit shall be two-wire transmitter utilizing bulk polymer resistance change or thin film capacitance change humidity sensor. Unit shall produce linear continuous output of 4-20 mA for percent relative humidity (% RH). A combination temperature and humidity sensor may be used for zone level monitoring. Sensors shall have the following minimum performance and application criteria:

1. Input Range: 0 to 100% RH.
2. Accuracy(% RH): +/- 2% (when used for enthalpy calculation, dewpoint calculation or humidifier control) or +/- 3% (monitoring only) between 20-90% RH at 77°F, including hysteresis, linearity, and repeatability.
3. Sensor Operating Range: As required by application
4. Long Term Stability: Less than 1% drift per year.

B. Acceptable Manufacturers: Units shall be Vaisala HM Series, General Eastern, Microline, or Hy-Cal HT Series. Substitutions shall be allowed per Division 1.

2.6 DIFFERENTIAL PRESSURE SWITCHES (DPS)

A. General Service - Air: Diaphragm with adjustable setpoint and differential and snap acting form C contacts rated for the application. Provide manufacturer's recommended static pressure sensing tips and connecting tubing

B. General Service - Water: Diaphragm with adjustable setpoint, 2 psig or adjustable differential, and snap-acting Form C contacts rated for the application. 60 psid minimum pressure differential range. 0°F to 160°F operating temperature range.

2.7 PRESSURE SWITCHES (PS)

A. Diaphragm or bourdon tube with adjustable setpoint and differential and snap-acting Form C contacts rated for the application. Pressure switches shall be capable of withstanding 150% of rated pressure.

B. Acceptable Manufacturers: Square D, ITT Neo-Dyn, ASCO, Penn, Honeywell, and Johnson Controls. Substitutions shall be allowed per Division 1.

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2.8 TRANSDUCERS

A. Binary to Analog Transducers ([Pulse Width Modulating] or Tri-State-to-Voltage or -Current):

1. Adjustable zero and span.
2. Failure Mode on Power Loss: Shall be provided with memory feature to allow the transducer to return to last value on power failure.
3. Accuracy: ± 1% of span
4. Output Span: 4-20 mA, 0-5 Vdc, 1-5 Vdc, 0-10Vdc, 2-10Vdc, 0-15Vdc, 3-15Vdc
5. Input: 4-20 mA, pulse width modulated or tri-state input.
6. Pulse Width Modulated] and Tri-state Input Time Base: Dip switch selectable.
7. Enclosure: Polymer designed for surface or panel mount.
8. Failure Mode on Power Loss: Non-failsafe transducers shall have no output air loss. Failsafe transducers shall exhaust output upon power loss.
9. Acceptable Manufacturers: RE Technologies Model PWA Series. Substitutions shall be allowed per Division 1.

B. Electronic-to Electronic (Voltage or Current to Current or Voltage):

1. Adjustable zero and span.
2. Failure Mode on Power Loss: Memory feature to allow the transducer to return to last value on power failure.
3. Accuracy: ± 1% of span.
4. Output Span: 4-20 mA, 0-5 Vdc, 1-5 Vdc, 0-10 Vdc, 2-10 Vdc, 0-15 Vdc, 3-15 Vdc.
5. Input: 0-20 Vdc, 0-20 mA, 0-10 kOhm.
6. Pulse Width Modulated] and Tri-state Input Time Base: Dip switch selectable
7. Enclosure: Polymer enclosure designed for surface or panel mount.
8. Acceptable Manufacturers: RE Technologies Model PWA Series. Substitutions shall be allowed per Division 1.

2.9 CURRENT SWITCHES (CS)

A. Clamp-On or Solid-Core Design Current Operated Switch (for Constant Speed Motor Status Indication)
1. Range: 1.5 to 150 amps.
2. Trip Point: Adjustable.
3. Switch: Solid state, normally open, 1 to 135 Vac or Vdc, 0.3 Amps. Zero off state leakage.
4. Lower Frequency Limit: 6 Hz.
5. Trip Indication: LED
6. Approvals: UL, CSA
7. Max. Cable Size: 350 MCM
8. Acceptable Manufacturers: Veris Industries H-708/908; Inc., RE Technologies SCS1150A-LED. Substitutions shall be allowed per Division 1.

B. Clamp-on or Solid-Core Wire Through Current Switch (CS/CR) (for Constant Speed

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Motors): Same as CS with 24v command relay rated at 5A @ 240 Vac resistive, 3A @ 240 Vac inductive, load control contact power shall be induced from monitored conductor (minimum conductor current required to energize relay 5A, max. rating of 135A). Acceptable Manufacturers shall be Veris Industries, Inc., Model # H938/735; or RE Technologies RCS 1150. Substitutions shall be allowed per Division 1.

1. Where used for single-phase devices, provide the CS/CR in a self-contained unit in a housing similar with override switch to Kele RIBX. Substitutions shall be allowed per Division 1.

C. Clamp-On Design Current Operated Switch for Variable Speed Motor Status Indication

1. Range: 1.5 to 135 Amps.
2. Trip Point: Self-calibrating based on VA memory associated with frequency to detect loss of belt with subsequent increase of control output to 60 Hz.
3. Switch: Solid state, normally open, 1 to 135 Vac or Vdc, 0.3 Amps. Zero off state leakage.
4. Frequency Range: 5-75 Hz
5. Trip Indication: LED
6. Approvals: UL, CSA
7. Max. Cable Size: 350 MCM
8. Acceptable Manufacturers: Veris Industries, Inc. H-904. Substitutions shall be allowed per Division 1.

D. Clamp-On Wire Through Current Switch (CS/CR) (for Variable Speed Motors): Same as CS with 24v command relay rated at 5A @ 240 Vac resistive, 3A @ 240 Vac inductive, load control contact power shall be induced from monitored conductor (minimum conductor current required to energize relay 5A, max. rating of 135A). Acceptable manufacturer shall be Veris Industries, Inc., Model # H934. Substitutions shall be allowed per Division 1.

E. Variable Speed Status: Where current switches are used to sense the status for variable speed devices, the CT shall include on-board VA/Hz memory to allow distinction between a belt break and subsequent ramp up to 60 Hz, versus operation at low speed. The belt break scenario shall be indicated as a loss of status and the operation at low speed shall indicate normal status.

2.10 CURRENT TRANSFORMERS (CT)

A. Clamp-On Design Current Transformer (for Motor Current Sensing)

1. Range: 1-10 amps minimum, 20-200 amps maximum
2. Trip Point: Adjustable
3. Output: 0-5 VDC.
4. Accuracy: ±0.2% from 20 to 100 Hz.
5. Acceptable Manufacturers: KELE SA100. Substitutions shall be allowed per Division 1.

2.11 CO2 SENSORS/TRANSMITTERS (CO2)

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A. CO2 sensors shall use silicon based, diffusion aspirated, infrared single beam, dual-wavelength sensor.

B. Accuracy: ±36ppm at 800 ppm and 68°F.

C. Stability: 5% over 5 years.

D. Output: 4-20 mA, 0-10 Vdc or relay.

E. Mounting: Duct or Wall as indicated.

F. Acceptable Manufacturer: Vaisala, Inc. GMD20 (duct) or GMW20 (wall).

2.12 ELECTRIC CONTROL COMPONENTS

A. Limit Switches (LS): Limit switches shall be UL listed, SPDT or DPDT type, with adjustable trim arm. Limit switches shall be as manufactured by Square D, Allen Bradley. Substitutions shall be allowed per Division 1.

B. Low Temperature Detector ('Freezestat') (FZ): Low temperature detector shall consist of a 'cold spot' element which responds only to the lowest temperature along any one foot of entire element, minimum bulb size of 1/8" x 20' (3.2mm x 6.1m), junction box for wiring connections and gasket to prevent air leakage or vibration noise, DPST (4 wire, 2 circuit) with manual reset. Temperature range 15 to 55°F (-9.4 to 12.8°C), factory set at 38°F.

C. High Temperature Detectors ('Firestat') (FS): High temperature detector shall consist of 3-pole contacts, a single point sensor, junction box for wiring connections and gasket to prevent air leakage or vibration noise, triple-pole, with manual reset. Temperature range 25 to 215°F (-4 to 102°C).

D. Surface Mounted Thermostat: Surface-mounted thermostat shall consist of SPDT contacts, operating temperature range of 50 to 150° F (10 to 65°C) , and a minimum 10°F fixed setpoint differential.

E. Low Voltage Wall Thermostat: Wall-mounted thermostat shall consist of SPDT sealed mercury contacts, operating temperature range of 50 to 90°F (10 to 32°C), switch rating of 24 Vac (30 Vac max.), and both manual and automatic fan operation in both the heat and cool modes.

F. Control Relays: All control relays shall be UL listed, with contacts rated for the application, and mounted in minimum NEMA-1 enclosure for indoor locations, NEMA-4 for outdoor locations.

1. Control relays for use on electrical systems of 120 volts or less shall have, as a minimum, the following:
   a. AC coil pull-in voltage range of +10%, -15% or nominal voltage.
   b. Coil sealed volt-amperes (VA) not greater than four (4) VA.
   c. Silver cadmium Form C (SPDT) contacts in a dustproof enclosure, with

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8 or 11 pin type plug.

d. Pilot light indication of power-to-coil and coil retainer clips.

e. Coil rated for 50 and 60 Hz service.

f. Acceptable Manufacturers: Relays shall be Potter Brumfield, Model KRPA.
Substitutions shall be allowed per Division 1.

g. Relays used for across-the-line control (start/stop) of 120V motors 1/4 HP, and 1/3 HP, shall be rated to break minimum 10 Amps inductive load. Relays shall be IDEC. Substitutions shall be allowed per Division 1.

h. Relays used for stop/start control shall have low voltage coils (30 VAC or less), and shall be provided with transient and surge suppression devices at the controller interface.

G. General Purpose Power Contactors: NEMA ICS 2, AC general-purpose magnetic contactor. ANSI/NEMA ICS 6, NEMA type 1enclosure. Manufacturer shall be Square 'D', Cutler-Hammer or Westinghouse.

H. Control Transformers: Furnish and install control transformers as required. Control transformers shall be machine tool type, and shall be US and CSA listed. Primary and secondary sides shall be fused in accordance with the NEC. Transformer shall be proper size for application, and mounted in minimum NEMA-1 enclosure.

1. Transformers shall be manufactured by Westinghouse, Square 'D', or Jefferson. Substitutions shall be allowed per Division 1.

I. Time Delay Relays (TDR): TDRs shall be capable of on or off delayed functions, with adjustable timing periods, and cycle timing light. Contacts shall be rated for the application with a minimum of two (2) sets of Form C contacts, enclosed in a dustproof enclosure.

1. TDRs shall have silver cadmium contacts with a minimum life span rating of one million operations. TDRs shall have solid state, plug-in type coils with transient suppression devices.

2. TDRs shall be UL and CSA listed, Crouzet type. Substitutions shall be allowed per Division 1.

J. Electric Push Button Switch: Switch shall be momentary contact, oil tight, push button, with number of N.O. and/or N.C. contacts as required. Contacts shall be snap-action type, and rated for minimum 120 Vac operation. Switch shall be 800T type, as manufactured by Allen Bradley. Substitutions shall be allowed per Division 1.

K. Pilot Light: Panel-mounted pilot light shall be NEMA ICS 2 oil tight, transformer type, with screw terminals, push-to-test unit, LED type, rated for 120 VAC. Unit shall be 800T type, as manufactured by Allen-Bradley. Substitutions shall be allowed per Division 1.

L. Alarm Horn: Panel-mounted audible alarm horn shall be continuous tone, 120 Vac Sonalert solid-state electronic signal, as manufactured by Mallory. Substitutions

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shall be allowed per Division 1.

M. Electric Selector Switch (SS): Switch shall be maintained contact, NEMA ICS 2, oil-tight selector switch with contact arrangement, as required. Contacts shall be rated for minimum 120 Vac operation. Switch shall be 800T type, as manufactured by Allen-Bradley. Substitutions shall be allowed per Division 1.

2.13 REFRIGERANT MONITOR

A. General: Contractor shall provide a refrigerant sensitive infrared-based stationary refrigerant gas leak monitor system designed to continuously measure refrigerants. Refrigerant monitor shall be coordinated to detect refrigerant type on project. The alarm system shall comply with ANSI/ASHRAE 15-2007 and local code requirements.

B. The refrigerant monitor shall be capable of monitoring multiple refrigerant gas compounds at multiple locations in concentrations of 0 PPM to a minimum of 1000 PPM. The Monitor shall have a low range resolution of 1 PPM in the range of 1 PPM through 100 PPM. Readings above 100 PPM must be accurate to within ±5% of reading. Accuracy shall be maintained within ambient environmental ranges of 0ºC. through 50ºC., (32ºF. through 122ºF.) and 5% through 90% relative humidity, non-condensing.

C. The refrigerant monitor shall automatically and continuously monitor the areas through a sample draw type tubular pick up system with an internal pump and filter. The installation of the monitoring control and the tubing shall be in strict accordance with the manufactures instructions. The location, routing, and final position of the sample tubes shall be submitted to the engineer with all necessary shop drawings and monitor specifications and installation instructions. Tubing size, tubing material, and tube length limitations shall be within the specifications of the monitor manufacture. The location and method of tube support and hangers must be identified on the shop drawings. Each of the sampling tubes shall have end of line filters.

D. The analyzer will be based on infrared detection technology, and will be factory tested and calibrated for the specified refrigerant or refrigerants. Factory certification of the calibrations shall be provided with the O&M manuals. . The analyzer shall provide a menu driven or automatic method of checking both zero, span calibration for each sensor, and allow for adjustment.

E. The monitor shall be equipped with 4 outputs. Three relays shall energize at an adjustable user defined set point based on refrigerant concentration levels. The relay threshold adjustment shall be protected by keyed or password access controls. Adjustments and observations shall be made at the front panel operator interface. The relay threshold values can be viewed without a password. The digital display will continuously display the refrigerant concentration level and alarm status. The fourth output shall indicate a monitor malfunction alarm. The monitor shall also have an analog output that will provide a liner scaled reference to the refrigerant concentration in parts per million. The analog output signal shall be an industry standard DC voltage, or mA current signal.

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F. The monitor shall have a NEMA-4 moisture resistant enclosure with a gasketed, hinged front cover. Conduits and tube connections shall be located on the bottom of the enclosure. The enclosure shall have a rust and corrosion resistant finish.

G. The following alarm modes will be provided by the refrigerant monitor:

1. ALARM LEVEL ONE - Low level of refrigerant concentration at one of the sampling points has detected the presence of a possible refrigerant leak. The initial alarm threshold shall be set to 5 PPM (adj.) and increased if there are nuisance alarms. This alarm level shall be displayed on the refrigerant monitor interface panel, indicating which sensor has triggered the alarm, and the associated concentration of refrigerant in PPM. This event will also send an Alarm Level One signal to the BAS through a digital output from the monitor relay. This alarm will remain active until the refrigerant concentration is reduced below set point.
2. ALARM LEVEL TWO - This alarm shall indicate that one of the sensors has detected a refrigerant concentration that is approaching dangerous levels in the area being monitored. This alarm shall be set to 25% below the maximum calculated refrigerant level specified in ANSI/ASHRAE 15-1994 and ASHRAE 34-1992. This alarm will be displayed on the monitor interface, and will indicate which of the sensors has caused the alarm, and the highest concentration in PPM. This event will also activate the beacon and audible alarm mounted on the refrigerant monitoring enclosure. This alarm will also be sent to the BAS through the digital output of the relay. In this mode the audible alarm can be silenced, but the beacon shall remain active until the fault is cleared.
3. ALARM LEVEL THREE - This alarm shall be set at the maximum calculated refrigerant level specified in ANSI/ASHRAE 15-1994 and ASHRAE 34-1992 whichever is the lowest concentration. The refrigerant monitor interface will display which sensor has caused the alarm, and the associated concentration in PPM. This event will also activate the beacon and audible alarm mounted on the refrigerant monitoring enclosure. If the audible alarm had been silenced by an earlier alarm, the activation of this level three alarm will cause the audible alarm to be activated again. The relay in the refrigerant monitoring panel shall activate the space ventilation system, and will disable all combustion or flame-producing equipment via hardwired control interlocks. In addition, this event and will de-energize the energy source for any hot surface (850°F or 454°C) located in the space. Interlocks must also be provided to close any normally open doors or openings to the space for proper ventilation and isolation during this alarm condition. This alarm level will also signal the BAS through the digital output through the same relay. In this mode, the audible alarm can be silenced, but the beacon shall remain active until the fault is cleared.

H. All alarm conditions shall be report to the BAS system as follows:

1. ALARM LEVEL ONE - The lowest refrigerant alarm level shall detect the presence of refrigerant in low concentrations and energize a relay to signal a low level alarm to the BAS operator terminal(s). The alarm shall display an alarm message stating that there is a potential refrigerant leak in the

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designated area.

2. ALARM LEVEL TWO - The second refrigerant level alarm shall be a high refrigerant alarm alert. This alarm shall energize a relay to signal the BAS system indicating a high level alarm on the BAS operator terminal(s). This BAS alarm shall state that high levels of refrigerant have been detected in the designated area.
3. FAULT ALARM - Reports a high level alarm to the BAS operator terminal(s) that there is a fault in the refrigerant monitoring alarm system.

2.14 NAMEPLATES

A. Provide engraved phenolic or micarta nameplates for all equipment, components, and field devices furnished. Nameplates shall be 1/8 thick, black, with white center core, and shall be minimum 1" x 3", with minimum 1/4" high block lettering. Nameplates for devices smaller than 1" x 3" shall be attached to adjacent surface.

B. Each nameplate shall identify the function for each device.

2.15 TESTING EQUIPMENT

A. Contractor shall test and calibrate all signaling circuits of all field devices to ascertain that required digital and accurate analog signals are transmitted, received, and displayed at system operator terminals, and make all repairs and recalibrations required to complete test. Contractor shall be responsible for test equipment required to perform these tests and calibrations. Test equipment used for testing and calibration of field devices shall be at least twice as accurate as respective field device (e.g., if field device is +/-0.5% accurate, test equipment shall be +/-0.25% accurate over same range).

PART 3 - EXECUTION

3.1 INSPECTION

A. Examine areas and conditions under which control systems are to be installed. Do not proceed with work until unsatisfactory conditions have been corrected in manner acceptable to Installer.

3.2 INSTALLATION OF CONTROL SYSTEMS

A. General: Install systems and materials in accordance with manufacturer's instructions, roughing-in drawings and details shown on drawings. Install electrical components and use electrical products complying with requirements of National Electric Code and all local codes.

B. Control Wiring: The term "control wiring" is defined to include providing of wire, conduit and miscellaneous materials as required for mounting and connection of electric control devices.

1. Wiring System: Install complete wiring system for electric control systems. Conceal wiring except in mechanical rooms and areas where other conduit

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and piping are exposed. Installation of wiring shall generally follow building lines. Install in accordance with National Electrical Code and Division 16 of this Specification. Fasten flexible conductors bridging cabinets and doors, neatly along hinge side, and protect against abrasion. Tie and support conductors neatly.

2. Control Wiring Conductors: Install control wiring conductors, without splices between terminal points, color-coded. Install in neat workmanlike manner, securely fastened. Install in accordance with National Electrical Code and Division 16 of this Specification.
3. Communication wiring, signal wiring and low voltage control wiring shall be installed separate from any wiring over thirty (30) volts. Signal wiring shield shall be grounded at controller end only, unless otherwise recommended by the controller manufacturer.
4. All WAN and LAN Communication wiring shield shall be terminated as recommended by controller manufacturer. All WAN and LAN Communication wiring shall be labeled with a network number, device ID at each termination and shall correspond with the WAN and LAN system architecture and floor plan submittals.
5. Install all control wiring external to panels in electric metallic tubing or raceway. However, communication wiring, signal wiring and low voltage control wiring may be run without conduit in concealed, accessible locations if noise immunity is ensured. Contractor will be fully responsible for noise immunity and rewire in conduit if electrical or RF noise affects performance. Accessible locations are defined as areas inside mechanical equipment enclosures, such as heating and cooling units, instrument panels etc.; in accessible pipe chases with easy access, or suspended ceilings with easy access. Installation of wiring shall generally follow building lines. Run in a neat and orderly fashion, bundled where applicable, and completely suspended (strapped to rigid elements or routed through wiring rings) away from areas of normal access. Tie and support conductors neatly with suitable nylon ties. Conductors shall not be supported by the ceiling system or ceiling support system. Conductors shall be pulled tight and be installed as high as practically possible in ceiling cavities. Wiring shall not be laid on the ceiling or duct. Conductors shall not be installed between the top cord of a joist or beam and the bottom of roof decking.

Contractor shall be fully responsible for noise immunity and rewire in conduit if electrical or RF noise affects performance.

6. Number-code or color-code conductors appropriately for future identification and servicing of control system. Code shall be as indicated on approved installation drawings.

C. Freezestats: Install freezestats in a serpentine fashion where shown on drawing. Provide one foot of element for each square foot of coil face area. Where coil face area exceeds required length of element, provide multiple devices, wired in parallel for normally open close on trip application, wired in series for normally closed, open on trip application. Adequately support with coil clips.

D. Averaging Temperature Sensors: Cover no more than two square feet per linear foot of sensor length except where indicated. Generally where flow is sufficiently homogeneous/adequately mixed at sensing location, consult AE for requirements.

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AE must specifically show locations of all flow meters and design in the straight length of duct or pipe required for accurate sensors. This length must be specifically shown on the drawing.

E. Relative Humidity Sensors: Provide element guard as recommended by manufacturer for high velocity installations. For high limit sensors, position remote enough to allow full moisture absorption into the air stream before reaching the sensor.

F. Flow Switches: Where possible, install in a straight run of pipe at least 15 diameters in length to minimize false indications.

G. Current Switches for Motor Status Monitoring: Adjust so that setpoint is below minimum operating current and above motor no load current.

H. Cutting and Patching Insulation: Repair insulation to maintain integrity of insulation and vapor barrier jacket. Use hydraulic insulating cement to fill voids and finish with material matching or compatible with adjacent jacket material.

END OF SECTION

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SECTION 23 09 53

BAS FIELD PANELS

PART 1 - GENERAL

1.1 SECTION INCLUDES:

A. Building Controller (BC) Advance Application Specific Controller (AAC)

B. Application Specific Controller (ASC)

1.2 RELATED DOCUMENTS:

A. Section 23 09 50 - Building Automation System (BAS) General. Refer to this section for definitions of terminology

B. Section 23 09 51 - BAS Basic Materials, Interface Devices, and Sensors

C. Section 23 09 54 - BAS Communications Devices

D. Section 23 09 55 - BAS Software

E. Section 23 09 58 - Sequence of Operation

F. Section 23 09 59 - BAS Commissioning

1.3 DESCRIPTION OF WORK:

A. Furnish and install DDC Control units and/or Smart Devices required to support specified building automation system functions.

B. Refer to Section 23 09 50 for general requirements.

PART 2 - PRODUCTS

2.1 STAND-ALONE FUNCTIONALITY

A. General: These requirements clarify the requirement for stand-alone functionality relative to packaging I/O devices with a controller. Stand-alone functionality is specified with the controller and for each Application Category specified in Part 3. This item refers to acceptable paradigms for associating the points with the processor.

B. Functional Boundary: Provide controllers so that all points associated with and common to one unit or other complete system/equipment shall reside within a single control unit. The boundaries of a standalone system shall be as dictated in the contract documents. Generally systems specified for the Application Category will dictate the boundary of the standalone control functionality. See related restrictions below. When referring to the

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controller as pertains to the standalone functionality, reference is specifically made to the processor. One processor shall execute all the related I/O control logic via one operating system that uses a common programming and configuration tool.

C. The following configurations are considered acceptable with reference to a controller's standalone functionality:

1. Points packaged as integral to the controller such that the point configuration is listed as an essential piece of information for ordering the controller (having a unique ordering number).
2. Controllers with processors and modular back planes that allow plug-in point modules as an integral part of the controller.
3. I/O point expander boards, plugged directly into the main controller board to expand the point capacity of the controller.
4. I/O point expansion devices connected to the main controller board via wiring and as such may be remote from the controller and that communicate via a sub LAN protocol. These arrangements to be considered standalone shall have a sub LAN that is dedicated to that controller and include no other controller devices (AACs or ASCs). All wiring to interconnect the I/O expander board shall be:

   a. Contained in the control panel enclosure;
   b. Or run in conduit. Wiring shall only be accessible at the terminations.

D. The following configurations are considered unacceptable with reference to a controller's standalone functionality:

1. Multiple controllers enclosed in the same control panel to accomplish the point requirement.

2.2 BUILDING CONTROLLER (BC)

A. General Requirements:

1. The BC(s) shall provide fully distributed control independent of the operational status of the OWSs and CSS. All necessary calculations required to achieve control shall be executed within the BC independent of any other device. All control strategies performed by the BC(s) shall be both operator definable and modifiable through the Operator Interfaces.
2. BCs shall perform overall system coordination, accept control programs, perform automated HVAC functions, control peripheral devices and perform all necessary mathematical and logical functions. BCs shall share information with the entire network of BCs and AACs/ASCs for full global control. Each controller shall be accessed through the CSS in normal operations. In the event that the CSS is not available, the controller shall permit multi-user operation from multiple OWS and mobile computers connected either locally or over the network. Each unit shall have its own internal RAM, non-volatile memory, microprocessor, battery backup, regulated power supply, power conditioning equipment, ports for connection of operating interface devices, and control enclosure. BCs shall be programmable from the CSS, OWS, mobile computer,

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or hand held device. BC shall contain sufficient memory for all specified global control strategies, user defined reports and trending, communication programs, and central alarming.

3. BCs shall be connected to a controller network that qualifies as a controlling LAN.
4. All BCs shall be provided with a UPS to protect against memory loss and allow for continuous communication with the CSS in the event of a loss of power.

   a. The UPS shall be a 500 VA UPS equal to APC Back-UPS CS, 300 Watts / 500 VA, Input 120V / Output 120V, Interface Port DB-9 RS-232, USB

5. In addition BCs may provide intelligent, standalone control of BAS functions. Each BC may be capable of standalone direct digital operation utilizing its own processor, non-volatile memory, input/output, wiring terminal strips, A/D converters, real-time clock/calendar and voltage transient and lightning protection devices. Refer to standalone functionality specified above.
6. The BC may provide for point mix flexibility and expandability. This requirement may be met via either a family of expander boards, modular input/output configuration, or a combination thereof. Refer to stand alone functionality specified above.
7. All BC point data, algorithms and application software shall be modifiable from the CSS and OWS.
8. Each BC shall execute application programs, calculations, and commands via a microprocessor resident in the BC. The database and all application programs for each BC shall be stored in non-volatile or battery backed volatile memory within the BC and will be able to upload/download to/from the CSS.
9. BC shall provide buffer for holding alarms, messages, trends etc.
10. Each BC shall include self-test diagnostics, which allow the BC to automatically alarm any malfunctions, or alarm conditions that exceed desired parameters as determined by programming input.
11. Each BC shall contain software to perform full DDC/PID control loops.
12. For systems requiring end-of-line resistors those resistors shall be located in the BC.
13. Input-Output Processing

    a. Digital Outputs (DO): Outputs shall be rated for a minimum 24 Vac or Vdc, 1 amp maximum current. Each shall be configurable as normally open or normally closed. Each output shall have an LED to indicate the operating mode of the output and a manual hand off or auto switch to allow for override. Each DO shall be discrete outputs from the BC's board (multiplexing to a separate manufacturer's board is unacceptable). Provide suppression to limit transients to acceptable levels.
    b. Analog Inputs (AI): AI shall be 0-5 Vdc, 0-10 Vdc, 0-20 Vdc, and 0-20 mA. Provide signal conditioning, and zero and span calibration for each input. Each input shall be a discrete input to the BC's board (multiplexing to a separate manufacturers board is unacceptable unless specifically indicated otherwise). A/D converters shall have a minimum resolution of 12 bits.

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c. Digital Inputs (DI): Monitor dry contact closures. Accept pulsed inputs of at least one per second. Source voltage for sensing shall be supplied by the BC and shall be isolated from the main board. Software multiplexing of an AI and resistors may only be done in non-critical applications and only with prior approval of Architect/Engineer.

d. Universal Inputs (UI-AI or DI): To serve as either AI or DI as specified above.

e. Electronic Analog Outputs (AO): Voltage mode: 0-5 Vdc and 0-10 Vdc; Current mode:
4-20 mA. Provide zero and span calibration and circuit protection. Pulse Width Modulated (PWM) analog via a DO [and transducer] is acceptable only with State approval (Generally these will not be allowed on loops with a short time constant such as discharge temperature loops, economizer loops, pressure control loops and the like. They are generally acceptable for standard room temperature control loops.). Where these are allowed, transducer/actuator shall be programmable for normally open, normally closed, or hold last position and shall allow adjustable timing. Each DO shall be discrete outputs from the BC's board (multiplexing to a separate manufacturers board is unacceptable). D/A converters shall have a minimum resolution of 10 bits.

f. Pulsed Inputs: Capable of counting up to 8 pulses per second with buffer to accumulate pulse count. Pulses shall be counted at all times.

14. A communication port for operator interface through a mobile computer shall be provided in each BC. It shall be possible to perform all program and database back-up, system monitoring, control functions, and BC diagnostics through this port. Standalone BC panels shall allow temporary use of portable devices without interrupting its normal operation.

15. Each BC shall be equipped with loop tuning algorithm for precise proportional, integral, derivative (PID) control. Loop tuning tools provided with the CSS software is acceptable. In any case, tools to support loop tuning must be provided such that P, I, and D gains are automatically calculated.

16. All analog output points shall have a selectable failure setpoint. The BC shall be capable of maintaining this failure setpoint in the event of a system malfunction, which causes loss of BC control, or loss of output signal, as long as power is available at the BC. The failure setpoint shall be selectable on a per point basis.

17. Slope intercepts and gain adjustments shall be available on a per-point basis.

18. BC Power Loss:

a. Upon a loss of power to any BC, the other units on the controlling LAN shall not in any way be affected.

b. Upon a loss of power to any BC, the battery backup shall ensure that the energy management control software, the Direct Digital Control software, the database parameters, and all other programs and data stored in the RAM are retained for a minimum of fifty (50) hours. An alarm diagnostic message shall indicate that the BC is under battery power.

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c. Upon restoration of power within the specified battery backup period, the BC shall resume full operation without operator intervention. The BC shall automatically reset its clock such that proper operation of any time dependent function is possible without manual reset of the clock. All monitored functions shall be updated.

d. Should the duration of a loss of power exceed the specified battery back-up period or BC panel memory be lost for any reason, the panel shall automatically report the condition (upon resumption of power) and be capable of receiving a download via the network from the CSS or a mobile computer. In addition, the State shall be able to upload the most current versions of all energy management control programs, Direct Digital Control programs, database parameters, and all other data and programs in the memory of each BC to the CSS or a mobile computer via the network or the local USB or RS-232C port.

19. BC Failure:

a. Building Controller LAN Data Transmission Failure: BC shall continue to operate in stand-alone mode. BC shall store loss of communication alarm along with the time of the event. All control functions shall continue with the global values programmable to either the last value or a specified value. Peer BCs shall recognize the loss and report alarm.

b. BC Hardware Failure: BC shall cease operation and terminate communication with other devices. All outputs shall go to their specified fail position.

20. Each BC shall be equipped with firmware resident self-diagnostics for sensors and be capable of assessing an open or shorted sensor circuit and taking an appropriate control action (close valve, damper, etc.).

21. BCs may include network communications interface functions for controlling secondary controlling LANs Refer to Section 23 09 54 - BAS System Communications Devices for requirements if this function is packaged with the BC.

22. A minimum of four levels of privileges shall be provided at each BC.

23. All local user accounts shall be password protected. Strong password shall be used and complies with the State security standard.

24. BCs shall be mounted on equipment, in packaged equipment enclosures, or locking wall-mounted in a NEMA 1 enclosure, as specified elsewhere.

B. BACnet Building Controller Requirements:

1. The BC(s) shall support all BIBBs defined in the BACnet-IP (B-BC) device profile as defined in the BACnet standard.
2. BCs shall communicate over the BACnet-IP LAN.
3. Each BC shall be connected to the BACnet-IP LAN communicating to/from other BCs.

2.3 ADVANCED APPLICATION SPECIFIC CONTROLLER (AAC) AND APPLICATION SPECIFIC CONTROLLER (ASC)

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A. General Requirements:

1. AACs and ASCs shall provide intelligent, standalone control of HVAC equipment. Each unit shall have its own internal RAM, non-volatile memory and will continue to operate all local control functions in the event of a loss of communications on the ASC LAN or sub-LAN. Refer to standalone requirements by application specified in Part 3 of this section. In addition, it shall be able to share information with every other BC and AAC /ASC on the entire network.
2. Each AAC and ASC shall include self-test diagnostics that allow the AAC /ASC to automatically relay to the BC, or LAN Interface Device, any malfunctions or abnormal conditions within the AAC /ASC or alarm conditions of inputs that exceed desired parameters as determined by programming input.
3. AACs and ASCs shall include sufficient memory to perform the specific control functions required for its application and to communicate with other devices.
4. Each AAC and ASC must be capable of stand-alone direct digital operation utilizing its own processor, non-volatile memory, input/output, minimum 8 bit A to D conversion, voltage transient and lightning protection devices. All volatile memory shall have a battery backup of at least fifty- (50) hrs with a battery life of (5) five years.
5. All point data; algorithms and application software within an AAC /ASC shall be modifiable from the OWS.
6. AAC and ASC Input-Output Processing.

   a. Digital Outputs (DO): Outputs shall be rated for a minimum 24 VAC or VDC, 1 amp maximum current. Each shall be configurable as normally open or normally closed. Each output shall have an LED to indicate the operating mode of the output and a manual hand off or auto switch to allow for override (Only AAC requires HOA). Each DO shall be discrete output from the AAC/ASC's board (multiplexing to a separate manufacturer's board is unacceptable). Provide suppression to limit transients to acceptable levels.
   b. Analog Inputs (AI): AI shall be O-5 Vdc, 0-10Vdc, 0-20Vdc, and 0-20 mA. Provide signal conditioning, and zero and span calibration for each input. Each input shall be a discrete input to the BC's board (multiplexing to a separate manufacturers board is unacceptable unless specifically indicated otherwise). A/D converters shall have a minimum resolution of 8-10 bits depending on application.
   c. Digital Inputs (DI): Monitor dry contact closures. Accept pulsed inputs of at least one per second. Source voltage for sensing shall be supplied by the BC and shall be isolated from the main board. Software multiplexing of an AI and resistors may only be done in non-critical applications and only with prior approval of Architect/Engineer
   d. Universal Inputs (UI-AI or DI): To serve as either AI or DI as specified above.
   e. Electronic Analog Outputs (AO) as required by application: voltage mode, 0-5VDC and 0-10VDC; current mode (4-20 mA). Provide zero and span calibration and circuit protection. Pulse Width Modulated (PWM) analog via a DO [and transducer] is acceptable only with State approval (Generally, PWM will not be allowed on

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loops with a short time constant such as discharge temperature loops, economizer loops, pressure control loops and the like. They are generally acceptable for standard room temperature control loops.). Where PWM is allowed, transducer/actuator shall be programmable for normally open, normally closed, or hold last position and shall allow adjustable timing. Each DO shall be discrete outputs from the BC's board (multiplexing to a separate manufacturers board is unacceptable). D/A converters shall have a minimum resolution of 8 bits.

B. BACnet AAC(s) and ASC(s) Requirements:

1. The AAC(s) and ASC(s) shall support all BIBBs defined in the BACnet Building Controller (B-AAC and B-ASC) device profile as defined in the BACnet standard.
2. AAC(s) and ASC(s) shall communicate over the BACnet Building Controller LAN or the ASC LAN or sub-LAN.
3. Each BC shall be connected to the BACnet Building Controller LAN communicating to/from other BCs.

C. Terminal Box Controllers:

1. Terminal box controllers controlling damper positions to maintain a quantity of supply or exhaust air serving a space shall have an automatically initiated function that resets the volume regulator damper to the fully closed position on a scheduled basis. The controllers shall initially be set up to perform this function once every 24 hours. The purpose of this required function is to reset and synchronize the actual damper position with the calculated damper position and to assure the damper will completely close when commanded. The software shall select scheduled boxes randomly and shall not allow more than 5% of the total quantity of controllers in a building to perform this function at the same time. This reset shall be performed while the AHU is operating. The BAS shall send an alarm for any terminal box that has been reset and does not indicate 0 cfm flow with the damper commanded closed.

PART 3 - EXECUTION

3.1 INSPECTION:

A. Examine areas and conditions under which control systems are to be installed. Do not proceed with work until unsatisfactory conditions have been corrected in manner acceptable to Installer.

3.2 INSTALLATION OF CONTROL SYSTEMS:

A. General: Install systems and materials in accordance with manufacturer's instructions, specifications roughing-in drawings and details shown on drawings. Contractor shall

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install all controllers in accordance with manufacturer's installation procedures and practices.

3.3 HARDWARE APPLICATION REQUIREMENTS

A. General: The functional intent of this specification is to allow cost effective application of manufacturers standard products while maintain the integrity and reliability of the control functions. A BC as specified above is generally fully featured and customizable whereas the AAC/ASC refers to a more cost-effective unit designed for lower-end applications. Specific requirements indicated below are required for the respective application. Manufacturer may apply the most cost-effective unit that meets the requirement of that application.

B. Standalone Capability: Each Control Unit shall be capable of performing the required sequence of operation for the associated equipment. All physical point data and calculated values required to accomplish the sequence of operation shall originate within the associated CU with only the exceptions enumerated below. Refer to Item 2.01 above for physical limitations of standalone functionality. Listed below are functional point data and calculated values that shall be allowed to be obtained from or stored by other CUs or SDs via LAN.

C. Where associated control functions involve functions from different categories identified below, the requirements for the most restrictive category shall be met.

D. Application Category 0 (Distributed monitoring)

1. Applications in this category include the following:

a. Monitoring of variables that are not used in a control loop, sequence logic, or safety.

2. Points on BCs, AACs, and ASCs may be used in these applications as well as SDs and/or general-purpose I/O modules.

3. Where these points are trended, contractor shall verify and document that the network bandwidth is acceptable for such trends and is still capable of acceptable and timely control function.

E. Application Category 1 (Application Specific Controller):

1. Applications in this category include the following:

a. Fan Coil Units
b. Airflow Control Boxes (VAV and Constant Volume Terminal Units)
c. Misc. Heaters
d. Unitary equipment <15 tons (Package Terminal AC Units, Package Terminal Heat Pumps, Split-System AC Units, Split-System Heat Pumps, Water-Source Heat Pumps)
e. Induction Units

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f. Variable Speed Drive (VSD) controllers not requiring safety shutdowns of the controlled device.

2. ASCs may be used in these applications.

3. Standalone Capability: Provide capability to execute control functions for the application for a given setpoint or mode, which shall generally be occupied mode control. Only the following data (as applicable) may be acquired from other controllers via LANs. In the event of a loss of communications with any other controller, or any fault in any system hardware that interrupts the acquisition of any of these values, the ASC shall use the last value obtained before the fault occurred. If such fault has not been corrected after the specified default delay time, specified default value(s) shall then be substituted until such fault has been corrected.

Physical/Virtual Point	Default Value
Scheduling Period	Normal
Morning Warm-Up	Off (cold discharge
air) Load Shed	Off (no shedding)
Summer/Winter	Winter
[Trend Data	N/A]
[Smoke Pressurization Mode	Normal Mode]

4. Mounting:

a. ASCs that control equipment located above accessible ceilings shall be mounted on the equipment in an accessible enclosure that does not hinder maintenance of mechanical equipment and shall be rated for plenum use.

b. ASCs that control equipment mounted in a mechanical room may either be mounted in, on the equipment, or on the wall of the mechanical room at an adjacent, accessible location.

c. ASCs that control equipment located in occupied spaces or outside shall either be mounted within the equipment enclosure (responsibility for physical fit remains with the contractor) or in a nearby mechanical/utility room in which case it shall be enclosed in a NEMA 1, locking enclosure.

d. Section 23 09 53 contractor may furnish ASCs to the terminal unit manufacturer for factory mounting.

5. Programmability: Operator shall be able to modify all setpoints (temperature and airflow), scheduling parameters associated with the unit, tuning and set up parameters, interstage timing parameters, and mode settings. Application-specific block control algorithms may be used to meet the sequence of operations. The ability to customize the control algorithm is not required unless specifically indicated otherwise.

6. LAN Restrictions: Limit the number of nodes on the network to the maximum recommended by the manufacturer.

F. Application Category 2 (General Purpose Terminal Controller)

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1. Applications in this category include the following:

   a. Unitary Equipment >= 15 tons (Air Conditioners, Heat Pumps, Packaged Heating/Cooling Units, and the like)<
   b. Small, Constant Volume Single Zone Air Handling Units
   c. Constant Volume Pump Start/Stop
   d. Misc. Equipment (Exhaust Fan) Start/Stop
   e. Misc. Monitoring (not directly associated with a control sequence and where trending is not critical)
   f. Steam Converter Control

2. BCs may be used in these applications.
3. ASC's may be used in these applications provided the ASC meets all requirements specified below. This category requires a general-purpose ASC to which application-specific control algorithms can be attached.
4. Standalone Capability: Only the following data (as applicable) may be acquired from other ASCs via LANs. In the event of a loss of communications with any other ASCs, or any fault in any system hardware that interrupts the acquisition of any of these values, the AAC/ASC shall use the last value obtained before the fault occurred. If such fault has not been corrected after the specified default delay time, specified default value(s) shall then be substituted until such fault has been corrected.

Physical/Virtual Point	Default Delay Time	Default Value
Outside Air Temperature	3 minutes	80°F
Outside Air Humidity	3 minutes	60%RH
Outside Air Enthalpy	3 minutes	30 Btu/lb
Trend Data		N/A
Cooling/Heating Requests	3 minutes	None
Smoke Pressurization Mode	3 minutes	Normal Mode
Smoke Exhaust Command	3 minutes	Normal Mode

5. Mounting:

   a. ASCs that control equipment located above accessible ceilings shall be mounted on the equipment so as not to hinder mechanical maintenance and shall be rated for plenum use.
   b. ASCs that control equipment located in occupied spaces or outside shall either be mounted within the equipment enclosure (responsibility for physical fit remains with the contractor) or in a nearby mechanical/utility room in which case it shall be enclosed in a NEMA 1, locking enclosure.

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6. Programmability: Operator shall be able to modify all setpoints (temperature and airflow), scheduling parameters associated with the unit, tuning and set up parameters, interstage timing parameters, and mode settings. Operator shall be able to address and configure spare inputs for monitoring. [Operator shall be able to address and configure spare outputs for simple single loop control actions or event initiated actions.] Application-specific block control algorithms shall used to meet the sequence of operations. The ability to customize the control algorithm is not required unless specifically indicated otherwise.
7. LAN Restrictions: Limit the number of nodes servicing any one of these applications on the AAC/ASC LAN to 32.

3.4 CONTROL UNIT REQUIREMENTS

A. Refer to Section 23 09 50 for requirements pertaining to control unit quantity and location.

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SECTION 23 09 54

BAS COMMUNICATION DEVICES

PART 1 - GENERAL

1.1 SECTION INCLUDES

A. Network Integration Devices

1.2 RELATED DOCUMENTS:

A. Section 23 09 50 - Building Automation System (BAS) General
B. Section 23 09 51 - BAS Basic Materials, Interface Devices, and Sensors
C. Section 23 09 53 - BAS Field Panels
D. Section 23 09 55 - BAS Software
E. Section 23 09 58 - Sequences of Operation
F. Section 23 09 59 - BAS Commissioning

1.3 DESCRIPTION OF WORK

A. Contractor shall provide all interface devices and software to provide an integrated system connecting BCs, AACs, ASCs and Gateways to the State network.

PART 2 – PRODUCTS

2.1 NETWORK CONNECTION

A. State WAN: Refer Section 23 09 50 Part 1.11.C.1 - Building Automation System (BAS) General for description of System Architecture.

B. The following BIBBs must be supported on the Local Supervisory LAN using Ethernet either directly or through a gateway:

1. BACnet Data Sharing Objects (DS-):
   a. Read Property (RP-A) Initiate
   b. Read Property (RP-B) Execute
   c. Read Property Multiple (RPM-A) Initiate
   d. Read Property Multiple (RPM-B) Execute
   e. Write Property (WP-A) Initiate
   f. Write Property (WP-B) Execute
   g. Write Property Multiple (WPM-A) Initiate
   h. Write Property Multiple (WPM-B) Execute
   i. COV Unsubscribed (COVU-A) Initiate

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j. COV Unsubscribed (COVU-B) Execute

2. BACnet Alarm and Event Object (AE-)
   a. Confirmed Event Notification (N-B) Initiate
   b. Unconfirmed Event Notification (N-B) Initiate

C. Refer to Section 23 09 55 Part III for the BACnet Object naming convention.

2.2 BACnet GATEWAYS

A. Gateways shall be provided to link non-BACnet control products to the BACnet inter-network. All of the functionality described in this section is to be provided by using the BACnet capabilities. Each Gateway shall have the ability to expand the number of BACnet objects of each type supported by 20% to accommodate future system changes.

B. Each Gateway shall provide values for all points on the non-BACnet side of the Gateway to BACnet devices as if the values were originating from BACnet objects. The Gateway shall also provide a way for BACnet devices to modify (write) all points specified by the AOC using standard BACnet services. All points are required to be writable for each site.

C. The Gateway shall implement BACnet schedule objects and permit both read and write access to the schedules from the EC.

D. Each Gateway shall provide a way to collect and archive or trend (time, value) data pairs.

E. Each Gateway and any devices that the Gateway represents which have time-of-day information shall respond to workstation requests to synchronize the date and time. Each Gateway and any devices that the Gateway represents shall support dynamic device binding and dynamic object binding.

F. All points in the system shall be made network visible through the use of standard BACnet objects or through proprietary BACnet extensions that the workstation also supports. All points shall be writable using standard BACnet services.

G. All devices have a Device Object instance number that is unique throughout the entire inter-network. All BACnet devices shall be configured with a Device Object instance number that is based on the format specified (shown in decimal notation). This includes all physical devices as well as any logical BACnet devices that are physically represented by Gateways.

H. All BACnet Interoperability Building Blocks (BIBBs) are required to be supported for each native BACnet device or Gateway. The Gateway shall support all BIBBs defined in the BACnet Gateway's device profile as defined in the BACnet standard.

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2.3 CONTROLLER LOCAL AREA NETWORK INTERFACE DEVICES (LANID)

A. The LANID shall be a microprocessor-based communications device which acts as a gateway/router between the Primary Controlling LAN and the Secondary Controlling LAN. It provides an operator interface. These may be provided within a BC or as a separate device.

B. The LANID shall perform information translation between the Primary Controlling LAN and the Secondary Controlling LAN, supervise communications on a polling Secondary Controlling LAN, and be applicable to systems in which the same functionality is not provided in the BC. In systems where the LANID is a separate device, it shall contain its own microprocessor, RAM, battery, real-time clock, communication ports, and power supply as specified for a BC in Section 23 09 53. Each LANID shall be mounted in a lockable enclosure.

C. Each LANID shall support interrogation, full control, and all utilities associated with all BCs on the Primary Controlling LAN, all AACs and ASCs connected to all Secondary Controlling LANs under the Primary Controlling LAN, and all points connected to those PCUs and SCUs.

D. Upon loss of power to a LANID, the battery shall provide for minimum 100-hour backup of all programs and data in RAM. The battery shall be sealed and self-charging.

E. The LANID shall be transparent to control functions and shall not be required to control information routing on the Primary Controlling LANControlling LANControlling LANControlling LANControlling LAN

F. All BACnet Interoperability Building Blocks (BIBBs) are required to be supported for each native BACnet device or Gateway. The Gateway shall support all BIBBs defined in the BACnet Gateway's device profile as defined in the BACnet standard.

2.4 LOCAL SUPERVISORY LAN GATEWAYS/ROUTERS

A. The gateway/router shall be a microprocessor-based communications device that acts as a gateway/router between the Supervisory LAN CSSs or OWS and the Controlling LAN.

B. The gateway/router shall perform information translation between the Controlling LAN and the Local Supervisory LAN, and shall use BACnet over IP. When BACnet is used, refer to the requirements of the BACnet Gateways specified herein.

C. The gateway/router shall contain its own microprocessor, RAM, battery, real-time clock, communication ports, and power supply as specified for a BC in Section 23 09 53. Each gateway/router shall be mounted in a lockable enclosure.

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D. The gateway/router shall allow centralized overall system supervision, operator interface, management report generation, alarm annunciation, acquisition of trend data, and communication with control units. It shall allow system operators to perform the following functions from the CSS, and OWSs:

1. Configure systems.
2. Monitor and supervise control of all points.
3. Change control setpoints.
4. Override input values.
5. Override output values
6. Enter programmed start/stop time schedules.
7. View and acknowledge alarms and messages.
8. Receive, store and display trend logs and management reports.
9. Upload/Download programs, databases, etc. as specified.

E. Upon loss of power to the gateway/router, the battery shall provide for minimum 100 hour backup of all programs and data in RAM. The battery shall be sealed and self-charging.

F. The gateway/router shall be transparent to control functions and shall not be required to control information routing on the controlling LAN

PART 3 - EXECUTION

3.1 INSPECTION:

A. Examine areas and conditions under which control systems are to be installed. Do not proceed with work until unsatisfactory conditions have been corrected in manner acceptable to Installer.

3.2 INSTALLATION OF CONTROL SYSTEMS:

A. General: Install systems and materials in accordance with manufacturer's instructions, roughing-in drawings and details shown on drawings.

B. Contractor shall provide all interface devices and software to provide an integrated system.

C. Contractor shall closely coordinate with the State, or designated representative, to establish IP addresses and communications to assure proper operation of the building control system on the State (DE) network.

END OF SECTION

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SECTION 23 09 55

BAS SOFTWARE AND PROGRAMMING

PART 1 - GENERAL

1.1 SECTION INCLUDES

A. System Software

B. Programming Description

C. Control Algorithms

D. Energy Management Applications

E. Password Protection

F. Alarm Reporting

G. Trending

H. Data Acquisition and Storage

I. Point Structuring

J. Dynamic Color Graphics

1.2 RELATED DOCUMENTS:

A. Section 23 09 50 - Building Automation System (BAS) General

B. Section 23 09 51 - BAS Basic Materials, Interface Devices, and Sensors

C. Section 23 09 53 - BAS Field Panels

D. Section 23 09 54 - BAS Communications Devices

E. Section 23 09 58 - Sequences of Operation

F. Section 23 09 59 - BAS Commissioning

1.3 DESCRIPTION OF WORK:

A. Fully configure systems and furnish and install all software, programming and dynamic color graphics for a complete and fully functioning system as specified.

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B. Refer to Section 23 09 50 - Building Automation System (BAS) for general requirements

C. Refer to 23 09 58 - Sequence of Operation for specific sequences of operation for controlled equipment.

1.4 LICENSING

A. Include licensing for all software packages at all required workstations.

B. All operator interface, programming environment, networking, database management and any other software used by the Contractor to install the system or needed to operate the system to its full capabilities shall be licensed and provided to the State.

C. All BAS software should be available on CSS(s) provided, and on all Portable Operator Terminals. All software keys to provide all rights shall be installed on CSS. At least 2 sets of media (CD or DVD) shall be provided with backup software and configurations for all software provided, so that the State may reinstall any software as necessary.

D. Provide licensing and original software media for each device. Include all BAS software licenses and all required third party software licenses.

E. Upgrade all software packages to the release (version) in effect at the end of the Warranty Period.

F. Refer to Section 23 09 50 - Building Automation System (BAS) General for further requirements.

PART 2 - PRODUCTS

2.1 SYSTEM SOFTWARE-GENERAL

A. Functionality and Completeness: The Contractor shall furnish and install all software and programming necessary to provide a complete and functioning system as specified. The Contractor shall include all software and programming not specifically itemized in these Specifications, which is necessary to implement, maintain, operate, and diagnose the system in compliance with these Specifications.

B. Configuration: The software shall support the system as a distributed processing network configuration.

2.2 CONTROLLER SOFTWARE

A. BC Software Residency: Each BC as defined below shall be capable of controlling and monitoring of all points physically connected to it. All software including the following shall reside and execute at the BC:

1. Real-Time Operating System software
2. Real-Time Clock/Calendar and network time synchronization
3. BC diagnostic software

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4. LAN Communication software/firmware
5. Direct Digital Control software
6. Alarm Processing and Buffering software
7. Energy Management software
8. Data Trending, Reporting, and Buffering software
9. I/O (physical and virtual) database
10. Remote Communications software

B. AAC/ASC Software Residency: Each AAC/ASC as defined below shall be capable of controlling and monitoring of all points physically connected to it. As a minimum, software including the following shall reside and execute at the AAC/ASC. Other software to support other required functions of the AAC/ASC may reside at the BC or LAN interface device (specified in Section 23 09 54) with the restrictions/exceptions per application provided in Section 23 09 53:

1. Real-Time Operating System software
2. AAC/ASC diagnostic software
3. LAN Communications software
4. Control software applicable to the unit it serves that will support a single mode of operation.
5. I/O (physical and virtual) database to support one mode of operation.

C. Standalone Capability: BC shall continue to perform all functions independent of a failure in other BC/AAC/ASC, CSS, or other communication links to other BCs/AACs/ASCs or CSSs. Trends and runtime totalization shall be retained in memory. Runtime totalization shall be available on all digital input points that monitor electric motor status. Refer also to Section 23 09 53 for other aspects of standalone functionality.

D. Operating System: Controllers shall include a real-time operating system resident in ROM. This software shall execute independently from any other devices in the system. It shall support all specified functions. It shall provide a command prioritization scheme to allow functional override of control functions. Refer also to Section 23 09 53 for other aspects of the controller's operating system.

E. Network Communications: Each controller shall include software/firmware that supports the networking of CUs on a common communications trunk that forms the respective LAN. Network support shall include the following:

1. Controller communication software shall include error detection, correction, and re-transmission to ensure data integrity.
2. Operator/System communication software shall facilitate communications between other BCs, all subordinate AACs/ASCs, Gateways and LAN Interface Devices or CSS. Software shall allow point interrogation, adjustment, addition/deletion, and programming while the controller is online and functioning without disruption to unaffected points. The software architecture shall allow networked controllers to share selected physical and virtual point information throughout the entire system.

F. Diagnostic Software: Controller software shall include diagnostic software that checks memory and communications and reports any malfunctions.

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G. Alarm/Messaging Software: Controller software shall support alarm/message processing and buffering software as more fully specified below.

H. Application Programs: CUs shall support and execute application programs as more fully specified below:

1. All Direct Digital Control software, Energy Management Control software, and functional block application programming software templates shall be provided in a 'ready-to-use' state, and shall not require (but shall allow) user programming.

I. Security: Controller software shall support multiple level privileges access restriction as more fully specified below.

J. Direct Digital Control: Controller shall support application of Direct Digital Control Logic. All logic modules shall be provided pre-programmed with written documentation to support their application. Provide the following logic modules as a minimum:

1. Proportional-Integral-Derivative (PID) control with analog, PWM and floating output
2. Two Position control (Hi or Low crossing with deadband)
3. Single-Pole Double-Throw relay
4. Delay Timer (delay-on-make, delay-on-break, and interval)
5. Hi/Low Selection
6. Reset or Scaling Module
7. Logical Operators (AND, OR, NOT, XOR)

K. Psychrometric Parameters: Controller software shall provide preprogrammed functions to calculated and present psychrometric parameters (given temperature and relative humidity) including the following as a minimum: Enthalpy, Wet Bulb Temperature.

L. Updating/Storing Application Data: Site-specific programming residing in volatile memory shall be uploadable/downloadable from an OWS or CSS using BACnet services connected locally or through the network. Initiation of an upload or download shall include all of the following methods: Manual, Scheduled, and Automatic upon detection of a loss or change.

M. Restart: System software shall provide for orderly shutdown upon loss of power and automatic restart upon power restoration. Volatile memory shall be retained; outputs shall go to programmed fail-safe (open, closed, or last) position. Equipment restart shall include a user definable time delay on each piece of equipment to stagger the restart. Loss of power shall be alarmed at operator interface indicating date and time.

N. Time Synchronization: Automatic time synchronization shall be provided using BACnet services. Operators shall be able to set the time and date in any device on the network that supports time-of-day functionality. The operator shall be able to select to set the time and date for an individual device, devices on a single network, or all devices simultaneously.

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O. Misc. Calculations: System software shall automate calculation of psychometric functions, calendar functions, kWh/kW, and flow determination and totalization from pulsed or analog inputs, curve-fitting, look-up table, input/output scaling, time averaging of inputs and A/D conversion coefficients.

2.3 APPLICATION PROGRAMMING DESCRIPTION

A. The application software shall be user programmable.

B. This specification generally requires a programming convention that is logical, easy to learn, use, and diagnose. General approaches to application programming shall be provided by one, or a combination, of the following conventions:

1. Point Definition: Provide templates customized for point type, to support input of individual point information. Use standard BACnet Objects as applicable.
2. Graphical Block Programming: Manipulation of graphic icon 'blocks', each of which represents a subroutine, in a functional/logical manner forming a control logic diagram. Blocks shall allow entry of adjustable settings and parameters via pop-up windows. Provide a utility that shall allow the graphic logic diagrams to be directly compiled into application programs. Logic diagrams shall be viewable either off-line, or on-line with real-time block output values.
3. Functional Application Programming: Pre-programmed application specific programs that allow/require limited customization via 'fill-in-the-blanks' edit fields. Typical values would be setpoints gains, associated point names, alarm limits, etc.

C. Provide a means for testing and/or debugging the control programs both off-line and on-line.

2.4 ENERGY MANAGEMENT APPLICATIONS

A. System shall have the ability to perform all of the following energy management routines via preprogrammed function blocks or template programs. As a minimum provide the following whether or not required in the software:

1. Time-of-Day Scheduling
2. Calendar-Based Scheduling
3. Holiday Scheduling
4. Temporary Schedule Overrides
5. Optimal Start / Optimal Stop based on space temperature offset, outdoor air temperature, and building heating and cooling capacitance factors as a minimum
6. Night Setback and Morning Recovery Control, with ventilation only during occupancy
7. Economizer Control (enthalpy or dry-bulb)
8. Peak Demand Limiting / Load Shedding
9. Dead Band Control

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B. All programs shall be executed automatically without the need for operator intervention, and shall be flexible enough to allow operator customization. Programs shall be applied to building equipment as described in Section 23 09 58 - Sequence of Operation.

2.5 ACCESS PRIVILEGES

A. Multiple-level access privileges shall be provided. A minimum of four (4) levels of access shall be supported.

B. The highest level of access, Administrator Level access, shall allow the BAS administrator to perform application, database, and user management functions.

C. Each login credentials shall be assigned to a pre-defined level of access. Alternately, a comprehensive list of accessibility/functionality items shall be provided, to be enabled or disabled for each user according to the level of access granted.

D. Operators shall be able to perform only those commands available for the access level assigned to their login credentials.

E. Login credentials are stored in the BC's local database. A minimum of 20 user names shall be supported and programmed per the State's direction.

F. Login credentials can be looked up using the Lightweight Directory Access (LDAP) through the BAS server.

G. Strong password shall be used on all login credentials.

H. User-definable, automatic log off timers from 1 to 60 minutes shall be provided to prevent users from inadvertently leaving interface device unattended.

I. At system handover, all default and Contractor created login credentials for the system shall be provided to the State and all temporary login credentials shall be removed.

2.6 ALARM AND EVENT MANAGEMENT REPORTING

A. Alarm management shall be provided to monitor, buffer, and direct alarms and messages to operator devices and memory files. Each BC shall perform distributed, independent alarm analysis and filtering to minimize operator interruptions due to non-critical alarms, minimize network traffic, and prevent alarms from being lost. At no time shall a BCs ability to report alarms be affected by either operator activity at an OWS or local handheld device, or by communications with other panels on the network.

1. Alarm Descriptor: Each alarm or point change shall include that point's English language description, and the time and date of occurrence. In addition to the alarm's descriptor and the time and date, the user shall be able to print, display and store an alarm message to more fully describe the alarm condition or direct operator response.

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2. Alarm Prioritization: The software shall allow users to define the handling and routing of each alarm by their assignment to discrete priority levels. A minimum of five (5) priority levels shall be provided - Level 1 Life Safety (i.e. smoke detector), Level 2 Critical (i.e. controller failure), Level 3 Abnormal (i.e. out-of-range temperature), Level 4 Energy Waste (i.e. fighting valves), Level 5 Maintenance Message (i.e. runtime monitor, filter status). For each priority level, users shall have the ability to enable or disable an audible tone whenever an alarm is reported and whenever an alarm returns to normal condition. Users shall have the ability to manually inhibit alarm reporting for each individual alarm and for each priority level. Contractor shall coordinate with the State on establishing alarm priority definitions.
3. Alarm Report Routing: Each alarm priority level shall be associated with a unique user-defined list of operator devices including any combination of local or remote workstations, printers and workstation disk files. All alarms associated with a given priority level shall be routed to all operator devices on the user-defined list and/or email to designated State email address (mailbox resource) associated with that priority level. For each priority level, alarms shall be automatically routed to a default operator device in the event that alarms are unable to be routed to any operator device assigned to the priority level.
4. Auto-Dial Alarm Routing: For alarm priority levels that include a mobile device as one of the listed reporting destinations, the BC shall initiate a call to report the alarm, and shall terminate the call after alarm reporting is complete. System shall be capable of multiple retries and buffer alarms until a connection is made. If no connection is made, system shall attempt connection to an alternate mobile device. System shall also be able to dial multiple mobile devices upon alarm activation.
5. Alarm Acknowledgment: For alarm priority levels that are directed to a OWS, an indication of alarm receipt shall be displayed immediately regardless of the application is in use at the OWS, and shall remain on the screen until acknowledged by a user having a privilege that allows alarm acknowledgment. Upon acknowledgment, the complete alarm message string (including date, time, and user name of acknowledging operator) shall be stored in a selected file on the BC or CSS.

B. It shall be possible for any operator to receive a summary of all alarms regardless of acknowledgement status; for which a particular recipient is enrolled for notification; based on current event state; based on the particular BACnet event algorithm (e.g., change of value, change of state, out of range, and so on); alarm priority; and notification class.

C. BACnet Alarming Services: All alarms and events shall be implemented using standard BACnet event detection and notification mechanisms. The workstation shall receive BACnet alarm and event notifications from any gateway or BACnet controller in the system and display them to an operator. Either intrinsic reporting or algorithmic change reporting may be used but the intrinsic reporting method is preferred. The workstation shall also log alarms and events, provide a way for an operator with sufficient privilege to acknowledge alarms, and log acknowledgements of alarms. It shall be possible for an operator to receive, at any time, a summary of all alarms that are currently in effect at any site whether or not they have been acknowledged. Operators shall also be able to view and change alarm limits for any alarm at the appropriate access level.

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D. Alarm Historical Database: The database shall store all alarms and events object occurrences in an ODBC or an OLE database-compliant relational database. Provide a commercially available ODBC driver or OLE database data provider, which would allow applications to access the data using standard Microsoft Windows data access services.

2.7 TRENDING

A. The software shall display historical data in both a tabular and graphical format. The requirements of this trending shall include the following:

1. Provide trends for all physical points, virtual points and calculated variables.
2. BACnet Trend Objects are preferred but where not possible trend data shall be stored in relational database format as specified in herein under Data Acquisition and Storage.
3. In the graphical format, the trend shall plot at least 4 different values for a given time period superimposed on the same graph. The 4 values shall be distinguishable by using unique colors. In printed form the 4 lines shall be distinguishable by different line symbology. Displayed trend graphs shall indicate the engineering units for each trended value.
4. The sample rate and data selection shall be selectable by the operator.
5. The trended value range shall be selectable by the operator.
6. Where trended values on one table/graph are COV, software shall automatically fill the trend samples between COV entries.

B. Control Loop Performance Trends: Controllers incorporating PID control loops shall also provide high resolution sampling in less than six second increments for verification of control loop performance.

C. Data Buffering and Archiving: Trend data shall be buffered at the BC, and uploaded to hard disk storage when archival is desired. All archived trends shall be transmitted to the CSS. Uploads shall occur based upon a user-defined interval, manual command, or automatically when the trend buffers become full.

D. Time Synchronization: Provide a time master that is installed and configured to synchronize the clocks of all BACnet devices supporting time synchronization. Synchronization shall be done using Coordinated Universal Time (UTC). All trend sample times shall be able to be synchronized. The frequency of time synchronization message transmission shall be selectable by the operator.

2.8 DYNAMIC PLOTTING

A. Provide a utility to dynamically plot in real-time at least four (4) values on a given 2-dimensional dynamic plot/graph with at least two Y-axes. At least five (5) dynamic plots shall be allowed simultaneously.

2.9 DATA ACQUISITION AND STORAGE

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A. All points included in the typical equipment point list must be represented in a common, open or accessible format. All points should be provided as BACnet standard analog, binary, schedule, or trend objects when possible. Naming conventions for these points and network addressing are discussed in the 'Point Naming Conventions' paragraph below.

B. Non-BACnet data from the BAS shall be stored in relational database format. The format and the naming convention used for storing the database files shall remain consistent across the database and across time. The relational structure shall allow for storage of any additional data points, which are added to the BAS in future. The metadata/schema or formal descriptions of the tables, columns, domains, and constraints shall be provided for each database.

C. The database shall allow applications to access the data while the database is running. The database shall not require shutting down in order to provide read-write access to the data. Data shall be able to be read from the database without interrupting the continuous storage of trend data being carried by the BAS.

D. The database shall be ODBC or OLE database compliant. Provide a commercially-available ODBC driver or OLE database data provider, which would allow applications to access the data using standard Microsoft Windows data access services.

2.10 TOTALIZATION

A. The software shall support totalizing analog, digital, and pulsed inputs and be capable of accumulating, storing, and converting these totals to engineering units used in the documents. These values shall generally be accessible to the Operator Interfaces to support management-reporting functions.

B. Totalization of electricity use/demand shall allow application of totals to different rate periods, which shall be user definable.

C. When specified to provide electrical or utility Use/Demand, the Contractor shall obtain from the local utility all information required to obtain meter data, including k factors, conversion constants, and the like.

2.11 EQUIPMENT SCHEDULING

A. Provide a graphic utility for user-friendly operator interface to adjust equipment-operating schedules.

B. All schedules shall be implemented using BACnet objects and messages. All building systems with date and time scheduling requirements shall have schedules represented by the BACnet Schedule object. All operators shall be able to view the entries for a schedule. Operators with sufficient privilege shall be able to modify schedule entries from any BACnet workstation.

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C. Scheduling feature shall include multiple seven-day master schedules, plus holiday schedule, each with start time and stop time. Master schedules shall be individually editable for each day and holiday.

D. Scheduling feature shall allow for each individual equipment unit to be assigned to one of the master schedules.

E. Timed override feature shall allow an operator to temporarily change the state of scheduled equipment. An override command shall be selectable to apply to an individual unit, all units assigned to a given master schedule, or to all units in a building. Timed override shall terminate at the end of an operator selectable time, or at the end of the scheduled occupied/unoccupied period, whichever comes first. A privilege level that does not allow assignment of master schedules shall allow a timed override feature.

F. A yearly calendar feature shall allow assignment of holidays, and automatic reset of system real time clocks for transitions between daylight savings time and standard time.

2.12 POINT STRUCTURING AND NAMING

A. General: The intent of this section is to require a consistent means of naming points across all State facilities. Contractor shall configure the systems from the perspective of the Enterprise, not solely the local project. The following requirement establishes a standard for naming points and addressing Buildings, Networks, Devices, Instances, and the like. The convention is tailored towards the BACnet-based format and as such, the interface shall always use this naming convention. Native BACnet systems shall also use this naming convention. For non-BACnet systems, the naming convention shall be implemented as much as practical, and any deviations from this naming convention shall be approved by the State. The Contractor shall contact the State to determine the Building number and abbreviation.

B. Point Summary Table

1. The term 'Point' is a generic description for the class of object represented by analog and binary inputs, outputs, and values in accordance with ASHARE 135 standard.
2. With each schematic, Contractor shall provide a Point Summary Table listing:

   a. Building number and abbreviation
   b. System type
   c. Equipment type
   d. Point suffix
   e. Full point name (see Point Naming Convention paragraph)
   f. Point description
   g. Ethernet backbone network number
   h. Network number
   i. Device ID
   j. Device MAC address

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k. Object ID (object type, instance number)
l. Engineering units.

3. Additional fields for non-BACnet systems shall be appended to each row. Point Summary Table shall be provided in both hard copy and in electronic format (ODBC-compliant).
4. Point Summary Table shall also illustrate Network Variables/BACnet Data Links Bindings.
5. The Contractor shall coordinate with the State's representative and compile and submit a proposed Point Summary Table for review prior to any object programming or project startup.
6. The Point Summary Table shall be kept current throughout the duration of the project by the Contractor as the Master List of all points for the project. Project closeout documents shall include an up-to-date accurate Point Summary Table. The Contractor shall deliver to the State the final Point Summary Table prior to Substantial Completion of the system. The Point Summary Table shall be used as a reference and guide during the commissioning process.
7. The Point Summary Table shall contain all data fields on a single row per point. The Point Summary Table is to have a single master source for all point information in the building that is easily sorted and kept up-to-date. Although a relational database of Device ID-to-point information would be more efficient, the single line format is required as a single master table that will reflect all point information for the building. The point description shall be an easily understandable English-language description of the point.

Point Summary Table Example
Row Headers and Examples
(Transpose for a single point per row format)

Campus	RK
Building Number	006
Building Association	ZZ = no association (default to ZZ)
System Type	Cooling
Equipment Type	Chiller
Point Suffix	CHLR1KW
*Point Name (Object Name)	CA0006ZZ.COOLING.CHILLER.CHLR1KW
*Point Description (Object Description)	Chiller 1
LW Ethernet Network Number	600
Network Number	610
Device ID	1024006
Device MAC address	24
Object Type	AI
Instance Number	4
Engineering Units	KW
Network Variable?	True
Server Device	1024006
Client Devices	1028006

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Included with Functional

*Represents information that shall reside in the relevant BACnet property for the object

C. Point Naming Convention

1. All point names shall adhere to the format as established below. Said objects shall include all physical I/O points, calculated points used for standard reports, and all application program parameters. For each BAS object, a specific and unique BACnet object name shall be required.
2. For each point, four (4) distinct descriptors shall be linked to form each unique object name: Building, System, Equipment, and Point. Use alphanumeric characters. Space and special characters are not allowed. Each of the four descriptors must be bound by a period to form the entire object name. Reference the paragraphs below for an example of these descriptors.
3. The State shall designate the Building descriptor. The System descriptor shall further define the object in terms of air handling, cooling, heating, or other system. The Equipment descriptor shall define the equipment category; e.g., Chiller, Air Handler, or other equipment. The Point descriptor shall define the hardware or software type or function associated with the equipment; e.g., supply temperature, water pressure, alarm, mixed air temperature setpoint, etc. and shall contain any numbering conventions for multiples of equipment; e.g., CHLR1KW, CHLR2KW, BLR2AL (Boiler 2 Alarm), HWP1ST (Hot Water Pump 1 Status).
4. A consistent object (point) naming convention shall be utilized to facilitate familiarity and operational ease across the BAS network. Inter-facility consistency shall be maintained to ensure transparent operability to the greatest degree possible. The table below details the object naming convention and general format of the descriptor string.

BACnet Object Name Requirements

Descriptors		Comment
Campus, Building Number & Building Association	RK0006ZZ AZ0134ZZ	The Master Building List also has the correct abbreviations for each building.
System	AIRHANDLING – EXHAUST – HEATING – COOLING – UTILITY – ENDUSE – MISC	Boilers and ancillary equipment Chillers and ancillary equipment Main electrical and gas meters Specific building loads by type
Equipment	BOILERS – CHILLERS – FACILITY – TOWERS – WEATHER	Non-specific boiler system points – Non-specific chiller system points
Point Suffix	See Input/Output point summary table for conventions	

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5. Examples: Within each object name, the descriptors shall be bound by a period. Within each descriptor, words shall not be separated by dashes, spaces, or other separators as follows:

   a. RK0006ZZ.COOLING.CHILLERS.CHWP1ST
   b. RK0006ZZ.HEATING.BOILERS.BLR1CFH

D. Device Addressing Convention:

1. BACnet network numbers and Device Object IDs shall be unique throughout the network.
2. All assignment of network numbers and Device Object IDs shall be coordinated with the State.
3. Each Network number shall be unique throughout all facilities and shall be assigned in the following manner unless specified otherwise:

   a. BBBFF, where: BBB = 1-655 assigned to each building, FF = 00 for building backbone network, 1-35 indicating floors or separate systems in the building.

4. Each Device Object Identifier property shall be unique throughout the system and shall be assigned in the following manner unless specified otherwise:

   a. XXFFBBB, where: XX = number 0 to 40, FF = 00 for building backbone network, 1-35 indicating floors or separate systems in the building. BBB = 1-655 assigned to each building.

5. The BAS Contractor shall coordinate with designated State representative to ensure that no duplicate Device Object IDs occur.
6. Alternative Device ID schemes or cross project Device ID duplication if allowed shall be approved before project commencement by the State.

2.13 OPERATOR INTERFACE GRAPHIC SOFTWARE

A. Graphic software shall facilitate user-friendly interface to all aspects of the System Software specified above. The intent of this specification is to require a graphic package that provides for intuitive operation of the systems without extensive training and experience. It shall facilitate logical and simple system interrogation, modification, configuration, and diagnosis.

B. Graphic software shall support multiple simultaneous screens to be displayed and resizable in a web-based environment. All functions excepting text entry functions shall be executable with a mouse.

C. Graphic software shall display current operating mode (i.e. warm-up, dehumidification, et al) for equipment with multiple modes of operation.

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D. Graphic software shall provide for multitasking such that other application can be used while the operator is accessing the BAS. Software shall provide the ability to alarm graphically even when operator is in another software package.

E. The software shall be compatible to the current and current minus one versions of Microsoft Windows operating system. The software shall allow for the State's creation of user-defined, color graphic displays of geographic maps, building plans, floor plans, and mechanical and electrical system schematics. These graphics shall be capable of displaying all point information from the database including any attributes associated with each point (i.e., engineering units, etc.). In addition, operators shall be able to command equipment or change setpoints from a graphic through the use of a pointing device; e.g. mouse and touch screen.

F. Screen Penetration: The operator interface shall allow users to access the various system graphic screens via a graphical penetration scheme by using the pointing device to select from menus or 'button' icons. Each graphic screen shall be capable of having a unique list of other graphic screens that are directly linked through the selection of a menu item or button icon.

G. Dynamic Data Displays: Dynamic physical point values shall automatically updated at a minimum frequency of 6 updates per minute without operator intervention. Point value fields shall be displayed with a color code depicting normal, abnormal, override and alarm conditions.

H. Point Override Feature: Each displayed point shall be individually enabled/disabled to allow pointing device driven override of digital points or changing of analog points. Such overrides or changes shall occur in the control unit, not just in the BAS software. The graphic point override feature shall be subject to privilege level protection. Points that are overridden shall be reported as an alarm, and shall be displayed in a coded color. The alarm message shall include the operator's login name. A list of points that are currently in an override state shall be available through menu selection and include the time/date of the override along with the operator's login name that initiated that override.

I. Dynamic Symbols: Provide a selection of standard symbols that change in appearance based on the value of an associated point.

1. Analog symbol: Provide a symbol that represents the value of an analog point as the length of a line or linear bar.
2. Digital symbol: Provide symbols such as switches, pilot lights, rotating fan wheels, etc. to represent the value of digital input and output points.
3. Point Status Color: Graphic presentations shall indicate different colors for different point statuses. (For instance, green = normal, red = alarm, gray (or '???') for non-response.

J. Graphics Development Package: Graphic development and generation software shall be provided to allow the user to add, modify, or delete system graphic displays.

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1. The Contractor shall provide libraries of pre-engineered screens and symbols depicting standard air handling unit components (e.g. fans, cooling coils, filters, dampers, etc.), mechanical system components (e.g., pumps, chillers, cooling towers, boilers, etc.), complete mechanical systems (e.g. constant volume-terminal reheat, VAV, etc.) and electrical symbols.
2. The Graphic Development Package shall use a pointing device to allow the user to perform the following:

   a. Define symbols
   b. Position items on graphic screens
   c. Attach physical or virtual points to a graphic
   d. Define background screens
   e. Define connecting lines and curves
   f. Locate, orient and size descriptive text
   g. Define and display colors for all elements
   h. Establish correlation between symbols or text and associated system points or other displays
   i. Create hot spots or link triggers to other graphic displays or other functions in the software

K. Graphic images shall reside on the CSS.

L. The software shall be capable of initiating communication between the BC and the CSS:

1. Upon user command, to perform all specified functions.
2. In accordance with user programmed time schedules to report alarms and upload trend and report data to the CSS.

M. The software shall automatically terminate the communication when all specified functions are completed.

PART 3 - EXECUTION

3.1 SYSTEM CONFIGURATION

A. Contractor shall thoroughly and completely configure BAS system software, supplemental software, network communications, BC and CSS, if necessary.

3.2 SITE-SPECIFIC APPLICATION PROGRAMMING

A. Provide all database creation and site-specific application control programming as required by these Specifications, national and local standards and for a fully functioning system. Contractor shall provide all initial site-specific application programming and thoroughly document programming. Generally meet the intent of the written sequences of operation.

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It is the Contractor's responsibility to request clarification on sequence issues that require such clarification.

B. All site-specific programming shall be fully documented and submitted for review and approval, both prior to downloading into the panel, at the completion of functional performance testing, and at the end of the warranty period.

C. All programming, graphics and data files must be maintained in a logical system of directories with self-explanatory file names. All files developed for the project will be the property of the State and shall remain on the BC and CSS at the completion of the project.

3.3 PRIVILEGE LEVELs SETUP

A. Set up the following privilege levels to include the specified capabilities:

1. Level 1: (State's BAS Administrator)

   a. Level 2 capabilities
   b. Configure system software
   c. Modify graphic software
   d. View, add, change and delete user login credentials and privilege levels
   e. All unrestricted system capabilities including all network management functions.

2. Level 1a (Contractor Technician)

   a. Level 2 capabilities
   b. Configure system software
   c. Modify graphic software

3. Level 2: (Maintenance Manager)

   a. Level 3 capabilities
   b. Modify control unit programs

4. Level 3: (Senior BAS Technician)

   a. Level 4 capabilities
   b. Override output points
   c. Change setpoints
   d. Change equipment schedules

5. Level 4: (Junior BAS Technician and Trainee)

   a. Level 5 capabilities
   b. Acknowledge alarms

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c. Temporarily override equipment schedules

6. Level 5: (Read Only)

a. Display all graphic data
b. Trend point data

B. Contractor shall assist:

1. State's BAS Administrator with assigning user login credentials and privilege levels, configure system software and modify graphic software.
2. Maintenance Manager with modifying control unit programs.

3.4 POINT PARAMETERS

A. Provide the following minimum programming for each analog input:

1. Name
2. Address
3. Scanning frequency or COV threshold
4. Engineering units
5. Offset calibration and scaling factor for engineering units
6. High and low alarm values and alarm differentials for return to normal condition
7. High and low value reporting limits (reasonableness values), which shall prevent control logic from using shorted or open circuit values.
8. Default value to be used when the actual measured value is not reporting. This is required only for points that are transferred across the primary and/or secondary controlling networks and used in control programs residing in control units other than the one in which the point resides. Events causing the default value to be used shall include failure of the control unit in which the point resides, or failure of any network over which the point value is transferred.
9. Selectable averaging function that shall average the measured value over a user selected number of scans for reporting.

B. Provide the following minimum programming for each analog output:

1. Name
2. Address
3. Output updating frequency
4. Engineering units
5. Offset calibration and scaling factor for engineering units
6. Output Range
7. Default value to be used when the normal controlling value is not reporting.

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C. Provide the following minimum programming for each digital input:

1. Name
2. Address
3. Engineering units (on/off, open/closed, freeze/normal, etc.)
4. Debounce time delay
5. Message and alarm reporting as specified
6. Reporting of each change of state, and memory storage of the time of the last change of state
7. Totalization of on-time (for all motorized equipment status points), and accumulated number of off-to-on transitions.

D. Provide the following minimum programming for each digital output:

1. Name
2. Address
3. Output updating frequency
4. Engineering units (on/off, open/closed, freeze/normal, etc.)
5. Direct or Reverse action selection
6. Minimum on-time
7. Minimum off-time
8. Status association with a DI and failure alarming (as applicable)
9. Reporting of each change of state, and memory storage of the time of the last change of state.
10. Totalization of on-time (for all motorized equipment status points), and accumulated number of off-to-on transitions.
11. Default value to be used when the normal controlling value is not reporting.

3.5 TRENDS

A. Contractor shall establish and store trend logs. Trend logs shall be prepared for each physical input and output point, and all dynamic virtual points such as setpoints subject to a reset schedule, intermediate setpoint values for cascaded control loops, and the like as directed by the State.

B. The State will analyze trend logs of the system operating parameters to evaluate normal system functionality. Contractor shall establish these trends and ensure they are being stored properly.

1. Data shall include a single row of field headings and the data thereafter shall be contiguous. Each record shall include a date and time field or single date stamp.
   Recorded parameters for a given piece of equipment or component shall be trended at the same intervals and be presented in a maximum of two separate 2-dimensional formats with time being the row heading and field name being the

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column heading.

C. Sample times indicated as COV (±) or change-of-value mean that the changed parameter only needs to be recorded after the value changes by the amount listed. When output to the trending file, the latest recorded value shall be listed with any given time increment record. The samples shall be filled with the latest values also if the points include different time intervals. If the BAS does not have the capability to record based on COV, the parameter shall be recorded based on the interval common to the unit.

D. Trending intervals or COV thresholds shall be dictated by the State upon system start-up.

E. The Contractor shall demonstrate functional trends as specified for a period of 30 days after successful system demonstration before Substantial Completion of the system.

3.6 TREND GRAPHS

A. Prepare controller and graphic software to display graphical format trends. Trended values and intervals shall be the same as those specified.

B. Lines shall be labeled and shall be distinguishable from each other by using either different line types, or different line colors.

C. Indicate engineering units of the y-axis values; e.g. degrees F., inches w.g., Btu/lb, percent open, etc.

D. The y-axis scale shall be chosen so that all trended values are in a readable range. Do not mix trended values on one graph if their unit ranges are incompatible.

E. Trend outside air temperature, humidity, and enthalpy during each period in which any other points are trended.

F. All points trended for one subsystem (e.g. air handling unit, chilled water system, etc.) shall be trended during the same trend period.

G. Each graph shall be clearly labeled with the subsystem title, date, and times.

3.7 ALARMS

A. Override Alarms: Any point that is overridden through the override feature of the graphic software shall be reported as a Level 3 alarm.

B. Analog Input Alarms: For each analog input, program an alarm message for reporting whenever the analog value is outside of the programmed alarm limits. Report a 'Return-to-Normal' message after the analog value returns to the normal range, using a programmed alarm differential. The alarm limits shall be individually selected by the Contractor based on the following criteria:

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1. Space temperature, except as otherwise stated in sequence of operation: Level 3

   a. Low alarm: 64°F
   b. Low return-to-normal: 68°F
   c. High alarm: 85°F
   d. High return-to-normal: 80°F

2. Controlled media temperature other than space temperature (e.g. AHU discharge air temperature, steam converter leaving water temperature, condenser water supply, chilled water supply, etc.): Level 3 (If controlled media temperature setpoint is reset, alarm setpoints shall be programmed to follow setpoint)

   a. Low alarm: 3°F below setpoint
   b. Low return-to-normal: 2°F below setpoint
   c. High alarm: 3°F above setpoint
   d. High return-to-normal: 2°F above setpoint

3. AHU mixed air temperature: Level 4

   a. Low alarm: 45°F
   b. Low return-to-normal: 46°F
   c. High alarm: 90°F
   d. High return-to-normal: 89°F

4. Duct Pressure:

   a. Low alarm: 0.5”w.g. below setpoint
   b. Low return-to-normal: 0.25”w.g. below setpoint
   c. High alarm: 0.5”w.g. above setpoint
   d. High return-to-normal: 0.25”w.g. above setpoint

5. Space humidity:

   a. Low alarm: 35%
   b. Low return-to-normal: 40%
   c. High alarm: 75%
   d. High return-to-normal: 70%

C. HOA Switch Tampering Alarms: The Sequences of Operation are based on the presumption that motor starter Hand-Off-Auto (HOA) switches are in the 'Auto' position. [If a motorized equipment unit starts without a prior start command from the FMS, (as sensed by status sensing device), then FMS shall perform the remaining sequence as specified.] BAS shall also enunciate the following Level 5 alarm message if status indicates a unit is operational when the run command is not present:

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1. DEVICE XXXX FAILURE: Status is indicated on the device even though it has been commanded to stop. Check the HOA switch, control relay, status sensing device, contactors, and other components involved in starting the unit. Acknowledge this alarm when the problem has been corrected.

D. Maintenance Alarms: Enunciate Level 5 alarms when runtime accumulation exceeds a value specified by the operator

1. DEVICE XXXX REQUIRES MAINTENANCE. Runtime has exceeded specified value since last reset.

E. See requirements for additional equipment-specific alarms specified in Section 23 09 59 - Sequences of Operation.

3.8 GRAPHIC SCREENS

A. Floor Plan Screens: The contract document drawings will be made available to the Contractor in AutoCAD (current version) format upon request. These drawings may be used only for developing backgrounds for specified graphic screens; however the State does not guarantee the suitability of these drawings for the Contractor's purpose.

1. Provide graphic floor plan screens for each [floor] [wing] [tower] [other] of the building.
Indicate the location of all equipment that is not located on the equipment room screens. Indicate the location of temperature sensors associated with each temperature-controlled zone (i.e., VAV terminals, fan-coils, single-zone AHUs, etc.) on the floor plan screens. [Zone background color shall change based on the temperature offset from setpoint]. Display the space temperature point adjacent to each temperature sensor symbol. Use a distinct line symbol to demarcate each terminal unit zone boundary. Use distinct colors to demarcate each air handling unit zone. [Mechanical floor plan drawings will be made available to the contractor upon request for the purpose of determining zone boundaries.]
Indicate room numbers as provided by the State. Provide a drawing link from each space temperature sensor symbol and equipment symbol shown on the graphic floor plan screens to each corresponding equipment schematic graphic screen.
2. Provide graphic floor plan screens for each mechanical equipment room and a plan screen of the roof. Indicate the location of each item of mechanical equipment. Provide a drawing link from each equipment symbol shown on the graphic plan view screen to each corresponding mechanical system schematic graphic screen.
3. If multiple floor plans are necessary to show all areas, provide a graphic building key plan.
Use elevation views and/or plan views as necessary to graphically indicate the location of all of the larger scale floor plans. Link graphic building key plan to larger scale partial floor plans. Provide links from each larger scale graphic floor plan screen to the building key plan and to each of the other graphic floor

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plan screens.

4. Provide a graphic site plan with links to and from each building plan.

B. System Schematic Screens: Provide graphic system schematic screen for each subsystem controlled with each I/O point in the project appearing on at least one graphic screen. System graphics shall include flow diagrams with status, setpoints, current analog input and output values, operator commands, etc. as applicable. General layout of the system shall be schematically correct. Input/output devices shall be shown in their schematically correct locations. Include appropriate engineering units for each displayed point value. Verbose names (English language descriptors) shall be included for each point on all graphics; this may be accomplished by the use of a hover box when the operator moves the cursor over the displayed point. Indicate all adjustable setpoints on the applicable system schematic graphic screen or, if space does not allow, on a supplemental linked-setpoint screen.

1. Provide graphic screens for each air handling system. Indicate outside air temperature and enthalpy, and mode of operation as applicable (i.e., occupied, unoccupied, warm-up, cool-down). Link screens for air handlers to the heating system and cooling system graphics. Link screens for supply and exhaust systems if they are not combined onto one screen.
2. Provide a graphic screen for each zone. Provide links to graphic system schematic screens of air handling units that serve the corresponding zone.
3. Provide a cooling system graphic screen showing all points associated with the chillers, cooling towers and pumps. Indicate outside air dry-bulb temperature and calculated wet-bulb temperature. Link screens for chilled water and condenser water systems if they cannot fit onto one cooling plant graphic screen.
4. Link screens for heating and cooling system graphics to utility history reports showing current and monthly electric uses, demands, peak values, and other pertinent values.

C. Bar Chart Screens: On each graphic Bar Chart Screen, provide drawing links to the graphic air handling unit schematic screens.

1. Provide a graphic chilled water valve screen showing the analog output signal of all chilled water valves in a bar chart format, with signals expressed as percentage of fully open valve (percentage of full cooling). Indicate the discharge air temperature and setpoint of each air handling unit, cooling system chilled water supply and return temperatures and the outside air temperature and humidity on this graphic. Provide drawing links between the graphic cooling plant screen and this graphic screen.
2. Provide a graphic heating water valve screen showing the analog output signal of all air handling unit heating water valves in a bar chart format, with signals expressed as percentage of fully open valve (percentage of full heating). Indicate the temperature of the controlled medium (such as AHU discharge air temperature or zone hot water supply temperature) and the associated setpoint and the outside air temperature and humidity.

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D. Alarms: Each programmed alarm shall appear on at least one graphic screen. In general, alarms shall be displayed on the graphic system schematic screen for the system that the alarm is associated with (for example, chiller alarm shall be shown on graphic cooling system schematic screen). For all graphic screens, display analog values that are in a 'high alarm' condition in a red color, 'low alarm' condition in a blue color. Indicate digital values that are in alarm condition in a red color.

END OF SECTION

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SECTION 23 09 58

SEQUENCE OF OPERATION

PART 1 - GENERAL

1.1 SECTION INCLUDES

A. Air Handling Units

B. Condensing Units

C. Heating & Ventilating Units

D. Terminal Units

E. Exhaust Fans

1.2 RELATED DOCUMENTS:

A. Section 23 09 50 - Building Automation System (BAS) General

B. Section 23 09 51 - BAS Basic Materials, Interface Devices, and Sensors

C. Section 23 09 53 - BAS Field Panels

D. Section 23 09 54 - BAS Communications Devices

E. Section 23 09 55 - BAS Software

F. Section 23 09 59 - BAS Commissioning

1.3 SYSTEM DESCRIPTION

A. The systems to be controlled under work of this section basically comprise (describe the scope of the project). The systems being controlled are (describe the configuration of and the type of systems included in the project).

B. This Section defines the manner and method by which controls function.

1.4 SUBMITTALS

A. Refer to Section 23 09 50 and Division 1 for requirements for control shop drawings, product data, User Manual, etc.

B. Programming Manual: Provide BAS system programming manual as well as documentation of site-specific programming prior to the start of Acceptance Phase.

1.5 PROJECT RECORD DOCUMENTS

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A. Within two weeks of the completion of commissioning, provide record documents to represent the final control configuration with actual setpoints and tuning parameters as existed at acceptance.

B. Record documents shall be modified control drawings with the actual installed information.
Drawings shall be delivered in both reproducible hard copy and electronic format in AutoCAD (current version) drawing files. Provide all supporting files, blocks, fonts, etc. required by the drawings.

C. Provide final points list as described above.

D. Provide final detailed wiring diagrams with all wire numbers and termination points indicated.

E. Accurately record final sequences and control logic made after submission of shop drawings.

PART 2 - PRODUCTS (NOT USED)

PART 3 – EXECUTION

3.1 GENERAL

A. Sequences specified herein indicate the functional intent of the systems operation and may not fully detail every aspect of the programming that may be required to obtain the indicated operation. Contractor shall provide all programming necessary to obtain the sequences/system operation indicated.

B. When an air handling unit is not in operation, control devices shall remain in their “off” positions. “Off” positions may differ from the “normal” (meaning failed) position. Except as specified otherwise, “off” and “normal” positions of control devices shall be as follows:

Device	"Off Position"	"Normal
Heating coil valves	closed	open
Cooling coil valves	closed	closed
Outside air damper	closed	closed
Return air damper	open	open
Exhaust/relief air damper	closed	closed
Var. Freq. Drive	off	Min. speed

C. Except as specified otherwise, throttling ranges, proportional bands, and cycle differentials shall be centered on the associated setpoint. All modulating feedback control loops shall include the capability of having proportional, integral, and derivative action. Unless the loop is specified “proportional only” or “P+I”, Contractor shall apply appropriate elements

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of integral and derivative gain to each control loop which shall result in stable operation, minimum settling time, and shall maintain the primary variable within the specified maximum allowable variance.

D. Scheduling Terminology: When air handlers are scheduled throughout the day, the following defines the terminology used (Designer coordinate with The State regarding actual occupancy schedules and initial setpoints):

1. Occupied Period: Period of time when the building is in use and occupied. Unless indicated otherwise, this period is defined as X:XX AM - X:XX PM weekdays and X:XX AM to 12:00PM (noon) Saturdays. Exclude all national holidays. Generally systems will be fully operational throughout this period and ventilation air shall be continuously introduced. Space temperature setpoints will generally be in the "normal" range of 69-77°F.
2. Unoccupied period: Period of time when the building or zone is not in use and unoccupied.
   Ventilation air shall not be introduced.
3. Preoccupancy Period: Time prior to the Occupied period when the systems are returning the space temperatures from setback to "normal" or occupied setpoints (warm-up and cool-down). Ventilation air shall not be introduced unless outside air conditions permit free-cooling. Time period shall be determined by an optimum start strategy unless otherwise specified.
4. Setback Period: Setback will typically coincide start with the end of the occupied period and end with the start of the preoccupancy period, however it shall be provided with its own schedule. Generally systems will be off except to maintain a "setback" temperature.

E. Where any sequence or occupancy schedule calls for more than one motorized unit to start simultaneously, the BAS start commands shall be staggered by 5 second (adj.) intervals to minimize inrush current.

F. Alarm messages specified throughout the sequences are assigned to discrete priority levels.
Priority levels dictate the handling and destination of alarm reports, and are defined in Section 23 09 55 - ATC System Software and Programming.

G. Wherever a value is indicated as adjustable (adj.), it shall be modifiable, with the proper privilege level, from the operator interface or via a function block menu. For these points, it is unacceptable to have to modify programming statements to change the setpoint.

H. When a power failure is detected in any phase, the BAS start commands shall be retracted immediately from all electrically powered units served by the failed power source. If the associated primary control unit (PCU) is powered by normal or emergency power, it may monitor its own power source as an indication of power status. If the PCU is powered by uninterruptable power supply (UPS), or if PCU is not capable of monitoring its own power for use in sequences, Contractor shall provide at least one voltage monitor (three phase when applicable) per building. When the BAS detects that power has been restored, all equipment for which the BAS start command had been retracted shall be automatically restarted on staggered 5 second intervals to minimize inrush current. When loss of equipment status coincides with a power failure, system shall not alarm individual equipment failures. Instead, only a single Level 2 alarm shall be enunciated as follows:

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1. BUILDING XXXX POWER FAILURE: Notify electric shop. Acknowledge alarm when power is restored.

I. Where reset action is specified in a sequence of operation, but a reset schedule is not indicated on the drawings, one of the following methods shall be employed:

1. Contractor shall determine a fixed reset schedule which shall result in stable operation and shall maintain the primary variable within the specified maximum allowable variance.
2. A floating reset algorithm shall be used which increments the secondary variable setpoint (setpoint of control loop being reset) on a periodic basis to maintain primary variable setpoint. The recalculation time and reset increment shall be chosen to maintain the primary variable within the specified maximum allowable variance.
3. Primary variable shall control the devices directly using a PID feedback control loop without resetting the secondary variable. However, the control devices shall still modulate as necessary to maintain upper and lower limits on the secondary variable. Proportional band, integral gain, and derivative term shall be selected to maintain the primary variable within the specified maximum allowable tolerance while minimizing overshoot and settling time. Contractor shall gain prior approval for implementing this method of reset.

J. Where a supply air temperature or duct pressure setpoint is specified to be reset by the space temperature of the zones calling for the most cooling/heating, the following method shall be employed:

1. A floating reset algorithm shall be used which increments the secondary variable (e.g., supply air temperature or duct pressure) setpoint on a periodic basis to maintain primary variable (e.g. space temperature) setpoint. The reset increment shall be determined by the quantity of “need heat” or “need cool” requests from individual SCU's. A SCU's “need heat” virtual point shall activate whenever the zone's space temperature falls below the currently applicable (occupied or unoccupied) heating setpoint throttling range. A SCU's “need cool” virtual point shall activate whenever the zone's space temperature rises above the currently applicable (occupied, unoccupied, or economy) cooling setpoint throttling range. The recalculation time and reset increment shall be chosen to maintain the primary variable within the specified maximum allowable variance while minimizing overshoot and settling time. Reset range maximum and minimum values shall limit the setpoint range.

K. Where “prove operation” of a device (generally controlled by a digital output) is indicated in the sequence, it shall require that the BAS shall, after an adjustable time delay after the device is commanded to operate (feedback delay) , confirm that the device is operational via the status input. If the status point does not confirm operation after the time delay or anytime thereafter for an adjustable time delay (debounce delay) while the device is commanded to run, an alarm shall be enunciated audibly and via an alarm message at the operator interface and print at the alarm printers. A descriptive message shall be attached to the alarm message indicating the nature of the alarm and actions to be taken. Contractor shall provide messages to meet this intent.

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[Upon failure of equipment with redundant backup, run command shall be removed from equipment and the device shall be locked out until the alarm is manually acknowledged. Upon failure of equipment without redundant backup, run command shall remain energized and the alarm shall be latched until reset by an operator.

L. Wherever a value is indicated to be dependent on another value (i.e.: setpoint plus 5°F) BAS shall use that equation to determine the value. Simply providing a virtual point that the operator must set is unacceptable. In this case three virtual points shall be provided. One to store the parameter (5°F), one to store the setpoint, and one to store the value which is the result of the equation.

3.2 AIR HANDLING UNITS - GENERAL

A. Logic Strategies: The BAS shall fully control the air handlers. Generally the BAS shall energize the AH (start the fans and activate control loops) as dictated for each air handle. The following indicates when and how the BAS shall energize the AHs and control various common aspects of them. The following "logic strategies" shall be included by reference with each air handler with any specific clarifications required:

1. Scheduled Occupancy: BAS shall determine the occupancy periods (occupied, unoccupied, preoccupancy, and setback) as defined above. The following details the common control aspects related to the scheduled occupancy.

a. Occupied Period: BAS shall energize the AH during all occupied periods. Note that the beginning of the occupancy period shall be set sufficiently before the actual start of occupancy to obtain the required building component or ventilation per ASHREA
62. Specific times shall be as directed by the A/E. Minimum OA flow setpoint shall be as scheduled on the drawings. "Normal" setpoints shall apply.

b. Unoccupied Period: Minimum OA flow shall be 0 CFM or the minimum OA damper position shall be 0%. If during the unoccupied period there is a request for occupancy override, the occupancy mode shall become active for an adjustable period. The unoccupied period and the preoccupancy period will typically overlap.

c. Setback Period: BAS shall deenergize the unit except as required to maintain a setback temperature as indicated in the individual sequences with a 5°F cycle differential. Generally, where setback temperatures apply in multiple zones, the worst zone shall control the system. Setback setpoints generally apply except during preoccupancy [and night purge]. If during the unoccupied period there is a request for occupancy override, the occupancy mode shall become active for an adjustable period.

d. Preoccupancy: BAS shall energize the AH continuously during the preoccupancy period. Minimum OA flow shall be 0 CFM or the minimum OA damper position shall be 0%. "Normal" setpoints shall apply. Preoccupancy duration shall be one of the following as specified by reference:

(1) Fixed: The duration of the preoccupancy period shall be fixed as scheduled by the operator.

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(2) Optimum: The duration of the morning warm-up period shall vary according to outside air temperature and space temperature such that the space temperature rises to occupied period heating setpoint at the beginning of, but not before, the scheduled occupied period. The duration of the cool-down period shall vary according to outside air temperature and space temperature such that the space temperature falls to the occupied period cooling setpoint at the beginning of, but not before, the scheduled occupied period.

2. Minimum OA Control: BAS shall maintain minimum ventilation during the occupied period.

The following strategies may apply:

a. Balanced Position: During the occupied period, applicable mixing and OA dampers shall never be positioned less than the position set for the required minimum OA ventilation rate. If the air handler has a single OA damper that is capable of economizer, the minimum position output shall be determined by the balancer. If the AH has a two position minimum OA damper, that position shall be fully open to its balanced position. This logic strategy is only applicable to constant volume Ahs.

b. Reset Balanced Position: During the occupied period, applicable mixing and OA dampers shall never be positioned less than the minimum position. Minimum position shall be reset between limits of a position delivering system exhaust make-up air CFM and the design minimum position delivering design minimum CFM to maintain a CO2 setpoint of 900 ppm (adj.). Loop shall be a “sample and bump” or dynamic proportional only loop tuned for the slow response. The balancer shall determine the minimum position outputs at both extreme points. This logic strategy is only applicable to constant volume AHs.

c. Damper Controlled Fixed: During the occupied period, applicable mixing dampers shall be modulated to maintain an OA flow rate of no less than the MVR as dictated in the design and required by ASHRAE 62. Setpoint flow rates shall be provided by the A/E. Flow rate shall be determined in any of the following ways as specified for the particular AH:

(1) Measured directly by an OA flow station

(2) As determined by CO2 mixing equations using the SA, OA, and RA CO2 sensors d. Damper Controlled Reset: During the occupied period, applicable mixing dampers shall be modulated to maintain an OA flow rate setpoint. Setpoint shall be reset between limits of system exhaust make-up air CFM and the design minimum CFM to maintain an RA CO2 setpoint of 900 ppm (adj.). Loop shall be a “sample and bump” or dynamic proportional only loop tuned for the slow response. Setpoint flow rates shall be provided by the A/E. Flow rate shall be determined in any of the following ways as specified for the particular AH:

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(a) Measured directly by an OA flow station

(b) As determined by CO2 mixing equations using the SA, OA, RA, and/or Space CO2 sensors

d. Mixed Air Plenum Pressure Control: Minimum position of the OA damper shall be set to obtain the design required minimum OA. This balanced position shall remain fixed whenever to minimum loop is active BAS shall control the return air damper to maintain a mixed air plenum pressure (relative to outside) setpoint which will be specified by the balancer (-.5”). Ensure the OA reference pressure is adequately dampened against wind fluctuations using a wind resistance static tip, restrictors, and air volume capacitance.

3. Airside Economizer: BAS shall modulate the mixing dampers to provide “free cooling” when conditions merit. The free cooling shall generally be staged before any mechanical cooling. While conditions merit, dampers shall be modulated in a DA PID loop to maintain mixed air temperature at a setpoint as specified for the individual unit. Economizer logic shall remain enabled during setback cooling where applicable. One of the following strategies shall be used to enable the economizer mode:

a. Dry Bulb Comparison: Economizer mode shall be active while the unit is energized AND when OA enthalpy fall below 28 btu/# AND outside air temperature falls below return air temperature (with 2°F cycle differential). Economizer mode shall be inactive when OA enthalpy rises above 29 btu/# OR outside air temperature rises above return air temperature (with 2°F cycle differential), dampers shall return to their scheduled minimum positions as specified above. Economizer shall remain enabled during setback cooling.

b. Dry Bulb Switch: Economizer mode shall be active while the unit is energized AND when OA enthalpy fall below 28 btu/# AND outside air temperature falls below the switching setpoint of 70°F (adj.) (with 5°F cycle differential). Economizer mode shall be inactive when OA enthalpy rises above 29 btu/# OR outside air temperature rises above switching setpoint, dampers shall return to their scheduled minimum positions as specified above.

c. Enthalpy Comparison: Economizer mode shall be active while the unit is energized AND when outside air enthalpy falls below return air enthalpy (with 2btu/# cycle differential). Economizer mode shall be inactive when outside air enthalpy rises above return air enthalpy, dampers shall return to their scheduled minimum positions as specified above.

4. Sequenced Heating and Cooling: BAS shall control the heating and cooling coils and air side economizer as detailed for the particular AH. Program logic shall directly prohibit the heating and cooling valves as well as the heating valve and economizer damper to be open (or above minimum) simultaneously. This does not apply to cooling and reheat valves that are used simultaneously for dehumidification.

5. Mixed Air Low Limit Override: BAS shall override the signal to the OA damper via a proportional only loop to maintain a minimum mixed air temperature of 45°F

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(adj.) (loop shall output 0% at 45°F which shall be passed to the output via a low selector).

6. Freeze Safety: Upon operation of a freezestat, unit shall be deenergized with the exception of the heating loops. Typically supply and return fans where applicable shall be deenergized via a hardwired interlock, [, and an indication of the operation shall be sensed by the BAS. BAS shall enunciate appropriate alarm and remove and lock out the start command], [which shall initiate "fan failure" alarms]. OA dampers shall close and heating loops shall remain active.
7. Smoke Safety: Upon indication of smoke by a smoke detector, FAC shall deenergize the AH. Smoke detector shall notify the fire alarm system and BAS, shut down the fans, and close the smoke dampers via hard-wired interlock.
8. High or Low Pressure Safety: Upon activation of a high or low pressure safety switch, AH shall be deenergized, fans shall be deenergized via a hard wired interlock [, and an indication of the operation shall be sensed by the BAS. BAS shall enunciate appropriate alarm and remove and lock out the start command], [which shall initiate "fan failure" alarms].
9. Vibration Safety (Applicable To Units >50,000 cfm): Upon activation of a vibration safety switch, respective fan shall be deenergized, fan shall be deenergized via a hard wired interlock and an indication of the operation shall be sensed by the BAS]. BAS shall enunciate appropriate alarm and remove and lock out the start command.

B. The detailed “logic strategies” above shall be required by reference to them in each of the individual sequences specified below.

3.3 AIR HANDLING UNIT DIAGNOSTICS - GENERAL

A. Diagnostic Strategies: In addition to the standard alarm limits specified for all sensed variables the BAS monitor and diagnose anomalies in the operation of the air handlers. The following “diagnostic strategies” shall be included by reference with each air handler with any specific clarifications required:

1. Run Time Limit: BAS shall accumulate the runtime of the status of associated rotating equipment and enunciate a level 5 alarm to indicate that the unit is in need of service.
2. Filter Monitoring: BAS shall monitor the differential pressure transmitter across the filter bank(s). A level 5 alarm shall be reported when pressure drop exceeds the transmitter's setting.
3. Start Monitoring: BAS shall accumulate the starts of cycling equipment. BAS shall further enunciate a level 5 alarm when the number of starts exceeds the specified value within the specified time period. (ie: more than 3 starts in a 30 min period)
4. Heating Valve Leak: While heating valve is closed, if the temperature increase across the heating coil exceeds 2°F continuously for 30 minutes; or if the discharge temperature is more than 5°F above setpoint for more than 30 minutes continuously, enunciate the following alarm at level 3 and 4 priority:

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a. ENERGY WASTE: An unexpected temperature rise is occurring across the heating coil. Please check for leaking valve or faulty controls.

5. Cooling Valve Leak: While cooling valve is closed, if the temperature drop across the cooling coil exceeds 2°F continuously for 30 minutes; or if the discharge temperature is more than 5°F below setpoint for more than 30 minutes continuously, enunciate the following alarm at level 3 and 4 priority:

   a. ENERGY WASTE: An unexpected temperature drop is occurring across the cooling coil. Please check for leaking valve or faulty controls.

6. Cooling Capacity Shortage: BAS shall monitor the output to the valve. If the output exceeds 99% open for 1 hour continuously, enunciate the following alarm

   a. Lack of Capacity: The cooling valve of XXX has been commanded to the full open position for an extended time period. Ensure that the setpoint for the control loop is at a reasonable value and that flow to the coil has not been obstructed as in a plugged strainer, throttled balancing valve, debris in the control valve, etc.

7. Economizer Anomaly: If mixed air temperature is less than low limit mixed air temperature °F or greater than [85], or if the outside air temperature is between 55°F and 65°F and the mixed air temperature is more than 2°F different from the outside air temperature for more than 30 minutes continuously, enunciate the following alarm at level 3 and 4 priority:

   a. ENERGY WASTE: An unexpected mixed air temperature indicates a possible problem with the economizer damper controls. Please check for faulty dampers or controls.

8. Fighting Valves: BAS shall monitor the valve positions of the preheat and cooling coils and shall enunciate the following level 3 alarm if the valve positions are both over 10% open.

   a. Fighting Valves: The preheat and the cooling valves are opening simultaneously on XXX. Coordinate the control loops.

9. Fighting Thermal Zones: BAS shall monitor the mode of multiple terminal zones within a thermal zone and enunciate the following level 3 alarm if some are in heating mode, and others are in cooling mode:

   a. FIGHTING TERMINAL UNITS: Simultaneous heating and cooling exists in XXX. Coordinate the setpoints.

10. Fighting Humidity Zones: BAS shall monitor the mode of multiple terminal zones within a humidity zone and enunciate the following level 3 alarm if some are in heating mode, and others are in cooling mode:

   a. FIGHTING TERMINAL UNITS: simultaneous humidification and dehumidification exists in XXX. Coordinate the setpoints.

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11. Unstable Control: BAS shall monitor the output to the actuator. BAS shall calculate the average change in output per second over a 30-min. period. The average change in output signal shall be calculated as follows: å [(Abs(Current Output(%)-Last Output(%)) / (Scan Interval(s))] / (# of Scans in 30 min). The program shall execute the check once every 14 hours (start the 30-min. interval change accumulation, after 30 min. perform the check and clear the sum). BAS shall enunciate the following alarm if the average rate of change exceeds 1%/sec or one half of the maximum rate of change programmed for the point.

    a. Unstable Control: The control loop on XXX appears to be unstable. Establish a plot of the valve output to validate this. If the damper is hunting unacceptably, tune the loop.

3.4 SEQUENCE OF OPERATION

A. Refer to Drawings for Sequence of Operation for the Variable Refrigerant Flow System and Corresponding Equipment Spec Sections for BACnet Interface of readable and writeable points.

END OF SECTION

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SECTION 23 09 59

BAS SYSTEM COMMISSIONING

PART 1 - GENERAL

1.1 SECTION INCLUDES

A. BAS and equipment testing and start-up

B. Validation of proper and thorough installation of BAS and equipment

C. Functional testing of control systems

D. Documentation of tests, procedures, and installations

E. Coordination of BAS training

F. Documentation of BAS Operation and Maintenance materials

1.2 RELATED SECTIONS:

A. Section 23 09 50 - BAS General Requirements

B. Section 23 09 51 - BAS Basic Materials and Devices

C. Section 23 09 53 - BAS Field Panels

D. Section 23 09 54 - BAS Communication Devices

E. Section 23 09 55 - BAS Software and Programming

F. Section 23 09 58 - Sequence of Operation

1.3 GENERAL DESCRIPTION

A. This section defines responsibilities of the Controls Contractor to commission the BAS.

The following is written based on the use of a separate Commissioning Authority (CA). If that is not the case on the project, the Contractor must still start up and commission the BAS. Therefore edit the responsibilities as appropriate for the project commissioning requirements.

1.4 CONTRACTOR RESPONSIBILITIES

A. Completely install and thoroughly inspect, startup, test, adjust, balance, and document all systems and equipment.

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B. Assist Commissioning Authority in performing verification and performance testing. This will generally include the following:

1. Attend Commissioning (Cx) progress and coordination meetings.
2. Prepare and submit required draft forms and systems information.
3. Establish trend logs of system operation as specified herein.
4. Demonstrate system operation.
5. Manipulate systems and equipment to facilitate testing.
6. Provide instrumentation necessary for verification and performance testing.
7. Manipulate control systems to facilitate verification and performance testing.
8. Train State's Representatives as specified in Part III of this section.

C. Provide a BAS Technician to work at the direction of Commissioning Authority for software optimization assistance for a minimum of 80 hours. Refer to Part 3 for a description of the software optimization.

1.5 SEQUENCING

A. The following list outlines the general sequence of events for submittals and commissioning:

1. Submit product data and shop drawings, and receive approval.
2. Submit BAS logic documentation and receive approval.
3. Submit Start-Up Checklists and manufacturer's start-up procedures for all equipment provided by the BAS Contractor.
4. Install BAS.
5. Submit BAS Start-Up Test Agenda and Schedule for review.
6. Receive BAS start up Test Agenda/schedule approval.
7. Submit Training Plan.
8. Simulate sequencing and debug program off-line to the extent practical.
9. Place systems under BAS control where applicable during a scheduled outage.
10. Perform BAS start up where applicable during a scheduled outage.
11. Prepare and initiate trend log data storage and format trend graphs.
12. Submit completed BAS Start-Up Reports and initial draft of the O&M Manuals.
13. Receive BAS Start Up Report approval and approval to schedule Demonstrations and Commissioning.
14. Demonstrate systems to Commissioning Authority and The State.
15. Submit Trend Logs in format specified.
16. Receive demonstration approval and approval to schedule Acceptance Period.
17. Train The State on BAS operation and maintenance.
18. Substantial Completion.
19. Begin Acceptance Phase.
20. Two week Operational Test.
21. Perform Functional Performance Testing.
22. Receive Acceptance Period approval, which is Functional Completion for the BAS.
23. Train The State on final sequences and modes of operation.
24. Install framed control drawings. (See Section 23 09 50/1.09/G)

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25. Provide Level 1 password access to the State.
26. Revise and re-submit record drawings and O&M Manuals.
27. Substantial Completion.
28. Begin Warranty Phase.
29. Schedule and begin Opposite Season acceptance period.
30. Receive Opposite Season acceptance period approval.
31. Submit as-built drawings and O&M Manuals.
32. Update framed control drawings. (See Section 23 09 50/1.09/G)
33. Complete State personnel Training.
34. End-of-Warranty date/period.

PART 2 – PRODUCTS

2.1 INSTRUMENTATION

A. Instrumentation required to verify readings and test the system and equipment performance shall be provided by Contractor and made available to Commissioning Authority. Generally, no testing equipment will be required beyond that required to perform Contractors work under these Contract Documents. All equipment used for testing and calibration shall be NIST/NBS traceable and calibrated within the preceding 6-month period. Certificates of calibration shall be submitted.

2.2 TAB & COMMISSIONING Portable operators terminal

A. For new projects, Contractor shall provide a portable operators terminal or hand held device to facilitate Testing, Adjusting, and Balancing (TAB) and calibration. This device shall support all functions and allow querying and editing of all parameters required for proper calibration and start up.

B. Connections shall be provided local to the device being calibrated. For instance, for VAV boxes, connection of the operator's terminal shall be either at the sensor or at the terminal box. Otherwise a wireless system shall be provided to facilitate this local functionality.

PART 3 - EXECUTION

3.1 BAS Start-Up TESTING, ADJUSTING, CALIBRATION

A. Work and/or systems installed under this Division shall be fully functioning prior to Demonstration and Acceptance Phase. Contractor shall start, test, adjust, and calibrate all work and/or systems under this Contract, as described below:

1. Inspect the installation of all devices. Review the manufacturer's installation instructions and validate that the device is installed in accordance with them.
2. Verify proper electrical voltages and amperages, and verify that all circuits are free from faults.
3. Verify integrity/safety of all electrical connections.

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4. For the following control settings, initially use the control setting that was used by existing control system, unless otherwise indicated. For AHUs that use a throttled outside air damper position when minimum outside air is required, contractor shall mark existing minimum outside air damper position to allow replication by new controls.
5. Coordinate with TAB subcontractor to obtain control settings that are determined from balancing procedures. Record the following control settings as obtained from TAB contractor, and note any TAB deficiencies in the BAS Start-Up Report:

    a. Optimum duct static pressure setpoints for VAV air handling units.
    b. Minimum outside air damper settings for air handling units.
    c. Optimum differential pressure setpoints for variable speed pumping systems.
    d. Calibration parameters for flow control devices such as VAV boxes and flow measuring stations.

        (1) BAS contractor shall provide hand-held device as a minimum to the TAB and CA to facilitate calibration. Connection for any given device shall be local at it (i.e. at the VAV box or at the thermostat). Hand-held device or portable operator's terminal shall allow querying and editing of parameters required for proper calibration and start-up.

6. Test, calibrate, and set all digital and analog sensing and actuating devices. Calibrate each instrumentation device by making a comparison between the BAS display and the reading at the device, using an instrument traceable to the National Bureau of Standards, which shall be at least twice as accurate as the device to be calibrated (e.g., if field device is +/-0.5% accurate, test equipment shall be +/-0.25% accurate over same range). Record the measured value and displayed value for each device in the BAS Start Up Report.
7. Check and set zero and span adjustments for all transducers and transmitters.
8. For dampers and valves:

    a. Check for adequate installation including free travel throughout range and adequate seal.
    b. Where loops are sequenced, check for proper control without overlap.

9. For actuators:

    a. Check to insure that device seals tightly when the appropriate signal is applied to the operator.
    b. Check for appropriate fail position, and that the stroke and range is as required.
    c. For pneumatic operators, adjust the operator spring compression as required to achieve close-off. If positioner or volume booster is installed on the operator, calibrate per manufacturer's procedure to achieve spring range indicated. Check split-range positioners to verify proper operation. Record settings for each device in the BAS Pre-Commissioning Report.

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d. For sequenced electronic actuators, calibrate per manufacturer's instructions to required ranges.

10. Check each digital control point by making a comparison between the control command at the CU and the status of the controlled device. Check each digital input point by making a comparison of the state of the sensing device and the Operator Interface display. Record the results for each device in the BAS Start Up Report.
11. For outputs to reset other manufacturer's devices (for example, VSDs) and for feedback from them, calibrate ranges to establish proper parameters. Coordinate with representative of the respective manufacturer and obtain their approval of the installation.
12. Verify proper sequences by using the approved checklists to record results and submit with BAS Start-Up Report. Verify proper sequence and operation of all specified functions.
13. Verify that all safety devices trip at appropriate conditions. Adjust setpoints accordingly.
14. Tune all control loops to obtain the fastest stable response without hunting, offset or overshoot. Record tuning parameters and response test results for each control loop in the BAS Start Up Report. Except from a startup, maximum allowable variance from set point for controlled variables under normal load fluctuations shall be as follows. Within 3 minutes of any upset (for which the system has the capability to respond) in the control loop, tolerances shall be maintained (exceptions noted):

    a. Duct air temperature: ±1°F.
    b. Space Temperature: ±2°F
    c. Chilled Water: ±1°F
    d. Hot water temperature: ±3°F.
    e. Condenser water temperature: ±3°F. f. Duct pressure: ± 0.25” w.g.
    f. Water pressure: ±1 psid
    g. Duct or space Humidity: ±5%
    h. Air flow control: ±5% of setpoint velocity. [For fume hoods ±10% on full sash travel (from min to max in 3 seconds) within 3 seconds. For minimum OA flow loops being reset from CO2, response to upset max time is one hour.
    i. Space Pressurization (on active control systems): ±0.05” wg with no door or window movements.

15. For interface and DDC control panels:

    a. Ensure devices are properly installed with adequate clearance for maintenance and with clear labels in accordance with the record drawings.
    b. Ensure that terminations are safe, secure and labeled in accordance with the record drawings.
    c. Check power supplies for proper voltage ranges and loading.
    d. Ensure that wiring and tubing are run in a neat and workman-like manner, either bound or enclosed in trough.
    e. Check for adequate signal strength on communication networks.

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f. Check for standalone performance of controllers by disconnecting the controller from the LAN. Verify the event is annunciated at Operator Interfaces. Verify that the controlling LAN reconfigures as specified in the event of a LAN disconnection.

g. Ensure that all outputs and devices fail to their proper positions/states.

h. Ensure that buffered and/or volatile information is held through power outage.

i. With all system and communications operating normally, sample and record update/annunciation times for critical alarms fed from the panel to the Operator Interface.

j. Check for adequate grounding of all DDC panels and devices.

16. For Operator Interfaces:

a. Verify that all elements on the graphics are functional and are properly bound to physical devices and/or virtual points, and that hot links or page jumps are functional and logical.

b. Output all specified BAS reports for review and approval.

c. Verify that the alarm printing and logging is functional and per requirements.

d. Verify that trends are archiving to disk and provide a sample to the [Commissioning Authority and] State for review.

e. Verify that paging/dial-out alarm annunciation is functional.

f. Verify the functionality of remote Operator Interfaces and that a robust connection can be established consistently.

g. Verify that required third party software applications required with the bid are installed and are functional.

17. Start-up and check out control air compressors, air drying, and filtering systems in accordance with the appropriate section and with manufacturer's instructions.

18. Verify proper interface with fire alarm system.

B. Submit Start-Up Test Report: Report shall be completed, submitted, and approved prior to Substantial Completion.

3.2 SENSOR CHECKOUT AND CALIBRATION

A. General Checkout: Verify that all sensor locations are appropriate and are away from causes of erratic operation. Verify that sensors with shielded cable are grounded only at one end. For sensor pairs that are used to determine a temperature or pressure difference, make sure they are reading within 0.2°F of each other for temperature and within a tolerance equal to 2% of the reading of each other for pressure. Tolerances for critical applications may be tighter.

B. Calibration: Calibrate all sensors using one of the following procedures:

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1. Sensors Without Transmitters - Standard Application: Make a reading with a calibrated test instrument within 6 inches of the site sensor at various points across the range. Verify that the sensor reading (via the permanent thermostat, gage or BAS) is within the tolerances specified for the sensor. If not, adjust offset and range, or replace sensor.
   Where sensors are subject to wide variations in the sensed variable, calibrate sensor within the highest and lowest 20% of the expected range.
2. Sensors With Transmitters - Standard Application: Disconnect sensor. Connect a signal generator in place of sensor. Connect ammeter in series between transmitter and BAS control panel. Using manufacturer's resistance-temperature data, simulate minimum desired temperature. Adjust transmitter potentiometer zero until the ammeter reads 4 mA. Repeat for the maximum temperature matching 20 mA to the potentiometer span or maximum and verify at the OI. Record all values and recalibrate controller as necessary to conform to tolerances. Reconnect sensor. Make a reading with a calibrated test instrument within 6 inches of the site sensor. Verify that the sensor reading (via the permanent thermostat, gage or BAS) is within the tolerances specified. If not, replace sensor and repeat. For pressure sensors, perform a similar process with a suitable signal generator.

C. Sensor Tolerance: Sensors shall be within the tolerances specified for the device. Refer to Section 23 09 51.

3.3 BAS DEMONSTRATION

A. Demonstrate the operation of the BAS hardware, software, and all related components and systems to the satisfaction of the Commissioning Authority and State. Schedule the demonstration with the State's representative 1 week in advance. Demonstration shall not be scheduled until all hardware and software submittals, and the Start-Up Test Report are approved. If the Work fails to be demonstrated to conform with Contract specifications, so as to require scheduling of additional site visits by the Commissioning Authority for re-demonstration, Contractor shall reimburse The State for costs of subsequent Commissioning Authority site visits.

B. The Contractor shall supply all personnel and equipment for the demonstration, including, but not limited to, instruments, ladders, etc. Contractor-supplied personnel must be competent with and knowledgeable of all project-specific hardware, software, and the HVAC systems. All training documentation and submittals shall be at the job site.

C. Demonstration shall typically involve small representative samples of systems/equipment randomly selected by the State and CA.

D. The system shall be demonstrated following the same procedures used in the Start-Up Test by using the approved Commissioning Checklists. Demonstration shall include, but not necessarily be limited to, the following:
   1. Demonstrate that required software is installed on BAS workstations. Demonstrate that graphic screens, alarms, trends, and reports are installed as submitted and approved.

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2. Demonstrate that points specified and shown can be interrogated and/or commanded (asapplicable) from all workstations, as specified.
3. Demonstrate that remote dial-up communication abilities are in accordance with these Specifications.
4. Demonstrate correct calibration of input/output devices using the same methods specified for the Start-Up Tests. A maximum of 10 percent of I/O points shall be selected at random by the Commissioning Authority and/or State for demonstration. Upon failure of any device to meet the specified end-to-end accuracy, an additional 10 percent of I/O points shall be selected at random by Commissioning Authority for demonstration. This process shall be repeated until 100 percent of randomly selected I/O points have been demonstrated to meet specified end-to-end accuracy.
5. Demonstrate that all DDC and other software programs exist at respective field panels. The Direct Digital Control (DDC) programming and point database shall be as submitted and approved.
6. Demonstrate that all DDC programs accomplish the specified sequences of operation.
7. Demonstrate that the panels automatically recover from power failures, as specified.
8. Demonstrate that the stand-alone operation of panels meets the requirements of these Specifications. Demonstrate that the panels' response to LAN communication failures meets the requirements of these Specifications.
9. Identify access to equipment selected by Commissioning Authority. Demonstrate that access is sufficient to perform required maintenance.
10. Demonstrate that required trend graphs and trend logs are set up per the requirements. Provide a sample of the data archive. Indicate the filenames and locations.

E. BAS Demonstration shall be completed and approved prior to Substantial Completion.

F. Any tests successfully completed during the demonstration will be recorded as passed for the functional performance testing and will not have to be retested.

3.4 BAS ACCEPTANCE PERIOD

A. After approval of the BAS Demonstration and prior to Contract Close Out Acceptance Phase shall commence. Acceptance Period shall not be scheduled until all HVAC systems are in operation and have been accepted, all required cleaning and lubrication has been completed (i.e., filters changed, piping flushed, strainers cleaned, and the like), and TAB report has been submitted and approved. Acceptance Period and its approval will be performed on a system-by-system basis if mutually agreed upon by the Contractor and the Government.

B. Operational Test: At the beginning of the Acceptance Phase, the system shall operate properly for two weeks without malfunction, without alarm caused by control action or device failure, and with smooth and stable control of systems and equipment in conformance with these specifications. At the end of the two weeks, contractor shall

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forward the trend logs to the Commissioning Authority for review. Commissioning Authority shall determine if the system is ready for functional performance testing and document any problems requiring contractor attention.

1. If the systems are not ready for functional performance testing, Contractor shall correct problems and provide notification to the State's representative that all problems have been corrected. The Acceptance Period shall be restarted at a mutually scheduled time for an additional one-week period. This process shall be repeated until Commissioning Authority issues notice that the BAS is ready for functional performance testing.

C. During the Acceptance Period, the contractor shall maintain a hard copy log of all alarms generated by the BAS. For each alarm received, Contractor shall diagnose the cause of the alarm, and shall list on the log for each alarm, the diagnosed cause of the alarm, and the corrective action taken. If in the Contractor's opinion, the cause of the alarm is not the responsibility of the Contractor, Contractor shall immediately notify the State's representative.

3.5 TREND LOGS

A. Contractor shall configure and analyze all trends required under Section 23 09 55.

3.6 TREND GRAPHS

A. Trend graphs as specified in Section 23 09 55 shall generally be used during the Acceptance Phase to facilitate and document testing. Prepare controller and workstation software to display graphical format trends during the Acceptance Period. Trend graphs shall demonstrate compliance with contract documents.

B. Each graph shall be clearly labeled with HVAC subsystem title, date, and times.

3.7 WARRANTY PHASE BAS OPPOSITE SEASON TRENDING AND TESTING:

A. Trending: throughout the Warranty Phase, trend logs shall be maintained as required for the Acceptance Period. Contractor shall forward archive trend logs to the Commissioning Authority/ State for review upon Commissioning Authority/ State's request. Commissioning Authority/ The State will review these and notify contractor of any warranty work required.

B. Opposite Season Testing: Within 6 months of completion of the Acceptance Phase, Commissioning Authority/ The State shall schedule and conduct Opposite Season functional performance testing. Contractor shall participate in this testing and remedy any deficiencies identified.

3.8 SOFTWARE OPTIMIZATION ASSISTANCE

A. The Contractor shall provide the services of a BAS Technician as specified above at the project site to be at the disposal of the Commissioning Authority. The purpose of this requirement is to make changes, enhancements and additions to control unit and/or workstation software that have been identified by the Commissioning Authority during the construction and commissioning of the project and that are

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beyond the specified Contract requirements. The cost for this service shall be included with the bid. Requests for assistance shall be for contiguous or non-contiguous 8-hour days, unless otherwise mutually agreed upon by Contractor, Commissioning Authority, and State. The State's representative shall notify contractor 2 days in advance of each day of requested assistance.

B. The BAS Technician provided shall be thoroughly trained in the programming and operation of the controller and workstation software. If the BAS Technician provided cannot perform every software task requested by the Commissioning Authority in a timely fashion, contractor shall provide additional qualified personnel at the project site as requested by the Commissioning Authority, to meet the total specified requirement on-site.

3.9 BAS OPERATOR TRAINING AND O & M MANUALS

A. Provide up to 4 complete sets of the approved Operations and Maintenance (O&M) Manuals (hard copy and one electronic copy) to be used for training.

B. Contractor shall submit a Training Plan for the scope of training for which they are responsible.
Training Plan shall be forwarded to the Division 23 Contractor who will compile, organize, format, and forward to the Engineer for review.

C. On-Site Training: Provide services of controls contractor's qualified technical personnel for [five] 8-hour days to instruct State's personnel in operation and maintenance of BAS. Instruction shall be in classroom setting at the project site for appropriate portions of the training. Training may be in non-contiguous days at the request of the State. The State's representative shall notify contractor 1 week in advance of each day of requested training. The Contractor's designated training personnel shall meet with the Engineer and State's representative for the purpose of discussing and fine-tuning the training agenda prior to the first training session. Training agenda shall generally be as follows:

1. Basic Operator Workstation (OWS) Training - For all potential users of the OWS:

   a. Brief walk-through of building, including identification of all controlled equipment and condensed demonstration of controller portable and built-in operator interface device display capabilities.

   b. Brief overview of the various parts of the O&M Manuals, including hardware and software programming and operating publications, catalog data, controls installation drawings, and DDC programming documentation.

   c. Demonstration of workstation login/logout procedures, password setup, and exception reporting.

   d. Demonstration of workstation menu penetration and broad overview of the various workstation features.

   e. Overview of systems installed.

   f. Present all site-specific point naming conventions and points lists, open protocol information, configuration databases, back-up sequences,

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upload/download procedures, and other information as necessary to maintain the integrity of the BAS.

g. Overview of alarm features.

h. Overview of trend features.

i. Overview of workstation reports.

2. BAS Hardware Training – For Maintenance and Control Technicians.

j. Review of installed components and how to install/replace, maintain, commission, and diagnose them.

3. BAS Technician Training

a. Introduction to controller programming and overview of the programming application interface.

b. General review of sequence of operation and control logic for the project site, including standalone and fail-safe modes of operation. Uploading/Downloading and backing up Network administration.

c. Review of setpoint optimization and fine-tuning concepts.

4. Advanced Training: Advanced Training shall be provided for one (1) individual and be provided at an off-site training facility containing installations of the proposed system. Contractor shall pay training registration and materials fee and the State shall pay all employee expenses (travel, per diem, salary).

a. Contractor shall provide the standard, advanced training offering on all Control Programming Applications.

b. Contractor shall provide the standard, advanced training offering on Advanced Installation, Configuration, Maintenance, and Network Administration.

c. For Echelon-based systems, advanced training shall include a Lon systems integration course.

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SECTION 23 21 13

HYDRONIC PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes pipe and fitting materials and joining methods for the following:

1. Hot-water heating piping.
2. Condensate-drain piping.
3. Air-vent piping.

1.3 SUBMITTALS

A. Product Data: For each type of the following:

1. Chemical treatment.

B. Informational Submittals

1. Coordination Drawings: Piping layout, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

a. Suspended ceiling components.
b. Other building services.
c. Structural members.

2. Qualification Data: For Installer.
3. Welding certificates.
4. Field quality-control reports.
5. Water Analysis: Submit a copy of the water analysis to illustrate water quality available at Project site.

1.4 QUALITY ASSURANCE

A. Steel Support Welding: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."

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PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. Hydronic piping components and installation shall be capable of withstanding the following minimum working pressure and temperature unless otherwise indicated:

1. Hot-Water Heating Piping: 50 psi at 200 deg F.
2. Condensate-Drain Piping: 150 deg F.
3. Air-Vent Piping: 200 deg F.

2.2 COPPER TUBE AND FITTINGS

A. Drawn-Temper Copper Tubing: ASTM B 88, Type L.

B. Annealed-Temper Copper Tubing: ASTM B 88, Type K.

C. DWV Copper Tubing: ASTM B 306, Type DWV.

2.3 STEEL PIPE AND FITTINGS

A. Steel Pipe: ASTM A 53/A 53M, black steel with plain ends; welded and seamless, Grade B, and wall thickness as indicated in "Piping Applications" Article.

B. Cast-Iron Threaded Fittings: ASME B16.4; Classes 125 and 250 as indicated in "Piping Applications" Article.

C. Malleable-Iron Threaded Fittings: ASME B16.3, Classes 150 and 300 as indicated in "Piping Applications" Article.

D. Malleable-Iron Unions: ASME B16.39; Classes 150, 250, and 300 as indicated in "Piping Applications" Article.

E. Cast-Iron Pipe Flanges and Flanged Fittings: ASME B16.1, Classes 25, 125, and 250; raised ground face, and bolt holes spot faced as indicated in "Piping Applications" Article.

F. Wrought-Steel Fittings: ASTM A 234/A 234M, wall thickness to match adjoining pipe.

G. Wrought Cast- and Forged-Steel Flanges and Flanged Fittings: ASME B16.5, including bolts, nuts, and gaskets of the following material group, end connections, and facings:

1. Material Group: 1.1.
2. End Connections: Butt welding.
3. Facings: Raised face.

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2.4 JOINING MATERIALS

A. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.

1. ASME B16.21, nonmetallic, flat, asbestos free, 1/8-inch maximum thickness unless otherwise indicated.

a. Full-Face Type: For flat-face, Class 125, cast-iron and cast-bronze flanges.
b. Narrow-Face Type: For raised-face, Class 250, cast-iron and steel flanges.

B. Flange Bolts and Nuts: ASME B18.2.1, carbon steel, unless otherwise indicated.

C. Solder Filler Metals: ASTM B 32, lead-free alloys. Include water-flushable flux according to ASTM B 813.

D. Brazing Filler Metals: AWS A5.8/A5.8M, BCuP Series, copper-phosphorus alloys for joining copper with copper; or BAg-1, silver alloy for joining copper with bronze or steel.

E. Welding Filler Metals: Comply with AWS D10.12M/D20.1M for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.

F. Gasket Material: Thickness, material, and type suitable for fluid to be handled and working temperatures and pressures.

2.5 DIELECTRIC FITTINGS

A. General Requirements: Assembly of copper alloy and ferrous materials with separating nonconductive insulating material. Include end connections compatible with pipes to be joined.

B. Dielectric Unions:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include the following:

a. Watts; a Watts Water Technologies company.
b. Zurn Industries, LLC.

2. Description:

a. Standard: ASSE 1079.
b. Pressure Rating: 125 psig minimum at 180 deg F.
c. End Connections: Solder-joint copper alloy and threaded ferrous.

C. Dielectric Flanges:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include the following:

a. Watts; a Watts Water Technologies company.
b. Zurn Industries, LLC.

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2. Description:

a. Standard: ASSE 1079.
b. Factory-fabricated, bolted, companion-flange assembly.
c. Pressure Rating: 125 psig minimum at 180 deg F.
d. End Connections: Solder-joint copper alloy and threaded ferrous; threaded solder-joint copper alloy and threaded ferrous.

D. Dielectric-Flange Insulating Kits:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include the following:

a. Advance Products & Systems, Inc.
b. Calpico, Inc.
c. Central Plastics Company.
d. Pipeline Seal and Insulator, Inc.

2. Description:

a. Nonconducting materials for field assembly of companion flanges.
b. Pressure Rating: 150 psig.
c. Gasket: Neoprene or phenolic.
d. Bolt Sleeves: Phenolic or polyethylene.
e. Washers: Phenolic with steel backing washers.

E. Dielectric Nipples:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include the following:

a. Elster Perfection Corporation.
b. Grinnell Mechanical Products.
c. Matco-Norca.
d. Precision Plumbing Products.
e. Victaulic Company.

2. Description:

a. Standard: IAPMO PS 66.
b. Electroplated steel nipple, complying with ASTM F 1545.
c. Pressure Rating: 300 psig at 225 deg F.
d. End Connections: Male threaded or grooved.
e. Lining: Inert and noncorrosive, propylene.

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PART 3 - EXECUTION

3.1 PIPING APPLICATIONS

A. Hot-water heating piping, aboveground, NPS 2 and smaller, shall be the following:

1. Type L, drawn-temper copper tubing, wrought-copper fittings, and soldered joints.

B. Condensate-Drain Piping: Type M or Type DWV, drawn-temper copper tubing, wrought-copper fittings, and soldered joints.

C. Air-Vent Piping:

1. Inlet: Same as service where installed with metal-to-plastic transition fittings for plastic piping systems according to piping manufacturer's written instructions.
2. Outlet: Type K, annealed-temper copper tubing with soldered or flared joints.

3.2 PIPING INSTALLATIONS

A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.

B. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.

C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.

D. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.

E. Install piping to permit valve servicing.

F. Install piping at indicated slopes.

G. Install piping free of sags and bends.

H. Install fittings for changes in direction and branch connections.

I. Install piping to allow application of insulation.

J. Select system components with pressure rating equal to or greater than system operating pressure.

K. Install groups of pipes parallel to each other, spaced to permit applying insulation and servicing of valves.

L. Install drains, consisting of a tee fitting, NPS 3/4ball valve, and short NPS 3/4 threaded nipple with cap, at low points in piping system mains and elsewhere as required for system drainage.

M. Install piping at a uniform grade of 0.2 percent upward in direction of flow.

N. Reduce pipe sizes using eccentric reducer fitting installed with level side up.

O. Install branch connections to mains using tee fittings in main pipe, with the branch connected to the bottom of the main pipe. For up-feed risers, connect the branch to the top of the main pipe.

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P. Install valves according to Section 23 05 23.11 Section 23 05 23.12 "Ball Valves for HVAC Piping," and Section 23 05 23.14 "Check Valves for HVAC Piping."

Q. Install unions in piping, NPS 2 and smaller, adjacent to valves, at final connections of equipment, and elsewhere as indicated.

R. Install shutoff valve immediately upstream of each dielectric fitting.

S. Comply with requirements in Section 23 05 53 "Identification for HVAC Piping and Equipment" for identifying piping.

T. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 23 05 17 "Sleeves and Sleeve Seals for HVAC Piping."

U. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 23 05 17 "Sleeves and Sleeve Seals for HVAC Piping."

V. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 23 05 18 "Escutcheons for HVAC Piping."

3.3 DIELECTRIC FITTING INSTALLATION

A. Install dielectric fittings in piping at connections of dissimilar metal piping and tubing.

B. Dielectric Fittings for NPS 2 and Smaller: Use dielectric nipples or unions.

3.4 HANGERS AND SUPPORTS

A. Comply with requirements in Section 23 05 29 "Hangers and Supports for HVAC Piping and Equipment" for hanger, support, and anchor devices. Comply with the following requirements for maximum spacing of supports.

B. Install the following pipe attachments:

1. Adjustable steel clevis hangers for individual horizontal piping less than 20 feetlong.

C. Install hangers for steel piping with the following maximum spacing and minimum rod sizes:

1. NPS 3/4: Maximum span, 7 feet.
2. NPS 1: Maximum span, 7 feet.
3. NPS 1-1/2: Maximum span, 9 feet.

D. Install hangers for drawn-temper copper piping with the following maximum spacing and minimum rod sizes:

1. NPS 3/4: Maximum span, 5 feet; minimum rod size, 1/4 inch.
2. NPS 1: Maximum span, 6 feet; minimum rod size, 1/4 inch.
3. NPS 1-1/4Maximum span, 7 feet; minimum rod size, 3/8 inch.
4. NPS 1-1/2: Maximum span, 8 feet; minimum rod size, 3/8 inch.

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E. Support vertical runs at roof, at each floor, and at 10-foot intervals between floors.

3.5 PIPE JOINT CONSTRUCTION

A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.

B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.

C. Soldered Joints: Apply ASTM B 813, water-flushable flux, unless otherwise indicated, to tube end. Construct joints according to ASTM B 828 or CDA's "Copper Tube Handbook," using lead-free solder alloy complying with ASTM B 32.

D. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter, using copper-phosphorus brazing filler metal complying with AWS A5.8/A5.8M.

E. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:

1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.

F. Welded Joints: Construct joints according to AWS D10.12M/D10.12, using qualified processes and welding operators according to "Quality Assurance" Article.

G. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.

3.6 TERMINAL EQUIPMENT CONNECTIONS

A. Sizes for supply and return piping connections shall be the same as or larger than equipment connections.

B. Install control valves in accessible locations close to connected equipment.

C. Install bypass piping with globe valve around control valve. If parallel control valves are installed, only one bypass is required.

3.7 CHEMICAL TREATMENT

A. Perform an analysis of makeup water to determine type and quantities of chemical treatment needed to keep system free of scale, corrosion, and fouling, and to sustain the following water characteristics:

1. pH: 9.0 to 10.5.
2. "P" Alkalinity: 100 to 500 ppm.

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3. Boron: 100 to 200 ppm.
4. Chemical Oxygen Demand: Maximum of 100 ppm. Revise this value if closed system contains glycol.
5. Corrosion Inhibitor:

    a. Sodium Nitrate: 1000 to 1500 ppm.
    b. Molybdate: 200 to 300 ppm.
    c. Chromate: 200 to 300 ppm.
    d. Sodium Nitrate Plus Molybdate: 100 to 200 ppm each.
    e. Chromate Plus Molybdate: 50 to 100 ppm each.

6. Soluble Copper: Maximum of 0.20 ppm.
7. Tolyiriazole Copper and Yellow Metal Corrosion Inhibitor: Minimum of 10 ppm.
8. Total Suspended Solids: Maximum of 10 ppm.
9. Ammonia: Maximum of 20 ppm.
10. Free Caustic Alkalinity: Maximum of 20 ppm.
11. Microbiological Limits:

    a. Total Aerobic Plate Count: Maximum of 1000 organisms/mL.
    b. Total Anaerobic Plate Count: Maximum of 100 organisms/mL.
    c. Nitrate Reducers: 100 organisms/mL.
    d. Sulfate Reducers: Maximum of zero organisms/mL.
    e. Iron Bacteria: Maximum of zero organisms/mL.

B. Fill system with fresh water and add liquid alkaline compound with emulsifying agents and detergents to remove grease and petroleum products from piping. Circulate solution for a minimum of 24 hours, drain, clean strainer screens, and refill with fresh water.

C. Add initial chemical treatment and maintain water quality in ranges noted above for the first year of operation.

D. Fill systems that have antifreeze or glycol solutions with the following concentrations:

    1. Hot-Water Heating Piping: Minimum of 15% percent propylene glycol.

3.8 FIELD QUALITY CONTROL

A. Prepare hydronic piping according to ASME B31.9 and as follows:

    1. Leave joints, including welds, uninsulated and exposed for examination during test.
    2. Provide temporary restraints for expansion joints that cannot sustain reactions due to test pressure. If temporary restraints are impractical, isolate expansion joints from testing.
    3. Flush hydronic piping systems with clean water; then remove and clean or replace strainer screens.
    4. Isolate equipment from piping. If a valve is used to isolate equipment, its closure shall be capable of sealing against test pressure without damage to valve. Install blinds in flanged joints to isolate equipment.
    5. Install safety valve, set at a pressure no more than one-third higher than test pressure, to protect against damage by expanding liquid or other source of overpressure during test.

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B. Perform the following tests on hydronic piping:

1. Use ambient temperature water as a testing medium unless there is risk of damage due to freezing. Another liquid that is safe for workers and compatible with piping may be used.
2. While filling system, use vents installed at high points of system to release air. Use drains installed at low points for complete draining of test liquid.
3. Isolate expansion tanks and determine that hydronic system is full of water.
4. Subject piping system to hydrostatic test pressure that is not less than 1.5 times the system's working pressure. Test pressure shall not exceed maximum pressure for any vessel, pump, valve, or other component in system under test. Verify that stress due to pressure at bottom of vertical runs does not exceed 90 percent of specified minimum yield strength or 1.7 times the "SE" value in Appendix A in ASME B31.9, "Building Services Piping."
5. After hydrostatic test pressure has been applied for at least 10 minutes, examine piping, joints, and connections for leakage. Eliminate leaks by tightening, repairing, or replacing components, and repeat hydrostatic test until there are no leaks.
6. Prepare written report of testing.

C. Perform the following before operating the system:

1. Open manual valves fully.
2. Inspect pumps for proper rotation.
3. Set makeup pressure-reducing valves for required system pressure.
4. Inspect air vents at high points of system and determine if all are installed and operating freely (automatic type), or bleed air completely (manual type).
5. Set temperature controls so all coils are calling for full flow.
6. Inspect and set operating temperatures of hydronic equipment, such as boilers, chillers, cooling towers, to specified values.
7. Verify lubrication of motors and bearings.

END OF SECTION

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SECTION 23 21 16

HYDRONIC PIPING SPECIALTIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes special-duty valves and specialties for the following:

1. Hot-water heating piping.
2. Condensate-drain piping.
3. Air-vent piping.

1.3 SUBMITTALS

A. Product Data: For each type of the following:

1. Valves: Include flow and pressure drop curves based on manufacturer's testing for calibrated-orifice balancing valves and automatic flow-control valves.
2. Air-control devices.
3. Hydronic specialties.

B. Closeout Submittals

1. Operation and Maintenance Data: For air-control devices, hydronic specialties, and special-duty valves to include in emergency, operation, and maintenance manuals.

C. Maintenance Material Submittals

1. Differential Pressure Meter: For each type of balancing valve and automatic flow control valve, include flowmeter, probes, hoses, flow charts, and carrying case.

1.4 QUALITY ASSURANCE

A. Pipe Welding: Qualify procedures and operators according to ASME Boiler and Pressure Vessel Code: Section IX.

1. Safety valves and pressure vessels shall bear the appropriate ASME label. Fabricate and stamp air separators and expansion tanks to comply with ASME Boiler and Pressure Vessel Code: Section VIII, Division 1.

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PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. Hydronic piping components and installation shall be capable of withstanding the following minimum working pressure and temperature unless otherwise indicated:

1. Hot-Water Heating Piping: 50 psi at 200 deg F.
2. Condensate-Drain Piping: 150 deg F.
3. Air-Vent Piping: 200 deg F.

2.2 VALVES

A. Ball Valves: Comply with requirements specified in Section 23 05 23.12 "Ball Valves for HVAC Piping."

B. Automatic Temperature-Control Valves, Actuators, and Sensors: Comply with requirements specified in Section 23 09 23.11 "Control Valves."

C. Bronze, Calibrated-Orifice, Balancing Valves:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include the following:

a. Armstrong Pumps, Inc.
b. Bell & Gossett; a Xylem brand.
c. Flow Design, Inc.
d. Griswold Controls.
e. Taco.
f. Tour & Andersson; available through Victaulic Company.

2. Body: Bronze, ball or plug type with calibrated orifice or venturi.

3. Ball: Brass or stainless steel.
4. Plug: Resin.
5. Seat: PTFE.
6. End Connections: Threaded or socket.
7. Pressure Gage Connections: Integral seals for portable differential pressure meter.
8. Handle Style: Lever, with memory stop to retain set position.
9. CWP Rating: Minimum 125 psig.
10. Maximum Operating Temperature: 250 deg F.

2.3 AIR-CONTROL DEVICES

A. Manual Air Vents:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include the following:

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a. AMTROL, Inc.
b. Armstrong Pumps, Inc.
c. Bell & Gossett; a Xylem brand.
d. Taco, Inc.

2. Body: Bronze.

3. Internal Parts: Nonferrous.
4. Operator: Screwdriver or thumbscrew.
5. Inlet Connection: NPS 1/2.
6. Discharge Connection: NPS 1/8.
7. CWP Rating: 150 psig.
8. Maximum Operating Temperature: 225 deg F.

B. Automatic Air Vents:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include the following:

a. AMTROL, Inc.
b. Armstrong Pumps, Inc.
c. Bell & Gossett; a Xylem brand.
d. Taco, Inc.

2. Body: Bronze or cast iron.

3. Internal Parts: Nonferrous.
4. Operator: Noncorrosive metal float.
5. Inlet Connection: NPS 1/2.
6. Discharge Connection: NPS 1/4.
7. CWP Rating: 150 psig.
8. Maximum Operating Temperature: 240 deg F.

2.4 HYDRONIC PIPING SPECIALTIES

A. Y-Pattern Strainers:

1. Body: ASTM A 126, Class B, cast iron with bolted cover and bottom drain connection.
2. End Connections: Threaded ends for NPS 2 and smaller; flanged ends for NPS 2-1/2 and larger.
3. Strainer Screen: Stainless-steel, 20-mesh strainer, or perforated stainless-steel basket.
4. CWP Rating: 125 psig.

PART 3 - EXECUTION

3.1 VALVE APPLICATIONS

A. Install shutoff-duty valves at each branch connection to supply mains and at supply connection to each piece of equipment.

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B. Install calibrated-orifice, balancing valves at each branch connection to return main.

C. Install calibrated-orifice, balancing valves in the return pipe of each heating or cooling terminal.

3.2 HYDRONIC SPECIALTIES INSTALLATION

A. Install manual air vents at high points in piping, at heat-transfer coils, and elsewhere as required for system air venting.

B. Install automatic air vents at high points of system piping in mechanical equipment rooms only. Install manual vents at heat-transfer coils and elsewhere as required for air venting.

END OF SECTION

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SECTION 23 23 00

REFRIGERANT PIPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Refrigerant pipes and fittings.
2. Refrigerant piping valves and specialties.
3. Refrigerants.

1.3 SUBMITTALS

A. Product Data: For each type of valve, refrigerant piping, and piping specialty.

1. Include pressure drop, based on manufacturer's test data, for the following:

a. Thermostatic expansion valves.
b. Solenoid valves.
c. Hot-gas bypass valves.
d. Filter dryers.
e. Strainers.
f. Pressure-regulating valves.

B. Shop Drawings

1. Show layout of refrigerant piping and specialties, including pipe, tube, and fitting sizes; flow capacities; valve arrangements and locations; slopes of horizontal runs; oil traps; double risers; wall and floor penetrations; and equipment connection details.
2. Show piping size and piping layout, including oil traps, double risers, specialties, and pipe and tube sizes to accommodate, as a minimum, equipment provided, elevation difference between compressor and evaporator, and length of piping to ensure proper operation and compliance with warranties of connected equipment.
3. Show interface and spatial relationships between piping and equipment.
4. Shop Drawing Scale: 1/4 inch equals 1 foot.

C. Informational Submittals

1. Welding certificates.

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2. Field quality-control reports.

D. Closeout Submittals

1. Operation and Maintenance Data: For refrigerant valves and piping specialties to include in maintenance manuals.

1.4 QUALITY ASSURANCE

A. Welding Qualifications: Qualify procedures and personnel according to 2010 ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."

B. Comply with ASHRAE 15, "Safety Code for Refrigeration Systems."

C. Comply with ASME B31.5, "Refrigeration Piping and Heat Transfer Components."

1.5 PRODUCT STORAGE AND HANDLING

A. Store piping with end caps in place to ensure that piping interior and exterior are clean when installed.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. Line Test Pressure for Refrigerant R-410A:

1. Suction Lines for Air-Conditioning Applications: 300 psig.
2. Suction Lines for Heat-Pump Applications: 535 psig.
3. Hot-Gas and Liquid Lines: 535 psig.

2.2 COPPER TUBE AND FITTINGS

A. Copper Tube: ASTM B 88, Type K or L.

B. Wrought-Copper Fittings: ASME B16.22.

C. Wrought-Copper Unions: ASME B16.22.

D. Solder Filler Metals: ASTM B 32. Use 95-5 tin antimony or alloy HB solder to join copper socket fittings on copper pipe.

E. Brazing Filler Metals: AWS A5.8/A5.8M.

F. Flexible Connectors:

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1. Body: Tin-bronze bellows with woven, flexible, tinned-bronze-wire-reinforced protective jacket.
2. End Connections: Socket ends.
3. Offset Performance: Capable of minimum 3/4-inch misalignment in minimum 7-inch-long assembly.
4. Working Pressure Rating: Factory test at minimum 500 psig.
5. Maximum Operating Temperature: 250 deg F.

2.3 VALVES AND SPECIALTIES

A. Diaphragm Packless Valves:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Parker Hannifin Corp.
   c. Paul Mueller Company.

2. Body and Bonnet: Forged brass or cast bronze; globe design with straight-through or angle pattern.
3. Diaphragm: Phosphor bronze and stainless steel with stainless-steel spring.
4. Operator: Rising stem and hand wheel.
5. Seat: Nylon.
6. End Connections: Socket, union, or flanged.
7. Working Pressure Rating: 500 psig.
8. Maximum Operating Temperature: 275 deg F.

B. Packed-Angle Valves:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Parker Hannifin Corp.
   c. Paul Mueller Company.

2. Body and Bonnet: Forged brass or cast bronze.
3. Packing: Molded stem, back seating, and replaceable under pressure.
4. Operator: Rising stem.
5. Seat: Nonrotating, self-aligning polytetrafluoroethylene.
6. Seal Cap: Forged-brass or valox hex cap.
7. End Connections: Socket, union, threaded, or flanged.
8. Working Pressure Rating: 500 psig.
9. Maximum Operating Temperature: 275 deg F.

C. Check Valves:

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1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Emerson Climate Technologies.
   c. Parker Hannifin Corp.
   d. Paul Mueller Company.

2. Body: Ductile iron, forged brass, or cast bronze; globe pattern.
3. Bonnet: Bolted ductile iron, forged brass, or cast bronze; or brass hex plug.
4. Piston: Removable polytetrafluoroethylene seat.
5. Closing Spring: Stainless steel.
6. Manual Opening Stem: Seal cap, plated-steel stem, and graphite seal.
7. End Connections: Socket, union, threaded, or flanged.
8. Maximum Opening Pressure: 0.50 psig.
9. Working Pressure Rating: 500 psig.
10. Maximum Operating Temperature: 275 deg F.

D. Service Valves:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Emerson Climate Technologies.
   c. Heldon Products; Henry Technologies.
   d. Parker Hannifin Corp.
   e. Paul Mueller Company.
   f. Refrigeration Sales, Inc.

2. Body: Forged brass with brass cap including key end to remove core.
3. Core: Removable ball-type check valve with stainless-steel spring.
4. Seat: Polytetrafluoroethylene.
5. End Connections: Copper spring.
6. Working Pressure Rating: 500 psig.

E. Solenoid Valves: Comply with AHRI 760 and UL 429; listed and labeled by a National Recognized Testing Laboratory (NRTL).

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Emerson Climate Technologies.
   c. Parker Hannifin Corp.
   d. Paul Mueller Company.

2. Body and Bonnet: Plated steel.

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3. Solenoid Tube, Plunger, Closing Spring, and Seat Orifice: Stainless steel.
4. Seat: Polytetrafluoroethylene.
5. End Connections: Threaded.
6. Electrical: Molded, watertight coil in NEMA 250 enclosure of type required by location with 1/2-inch conduit adapter, and 24-V ac coil.
7. Working Pressure Rating: 400 psig.
8. Maximum Operating Temperature: 240 deg F.

F. Safety Relief Valves: Comply with 2010 ASME Boiler and Pressure Vessel Code; listed and labeled by an NRTL.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Parker Hannifin Corp.
   c. Paul Mueller Company.

2. Body and Bonnet: Ductile iron and steel, with neoprene O-ring seal.
3. Piston, Closing Spring, and Seat Insert: Stainless steel.
4. Seat: Polytetrafluoroethylene.
5. End Connections: Threaded.
6. Working Pressure Rating: 400 psig.
7. Maximum Operating Temperature: 240 deg F.

G. Thermostatic Expansion Valves: Comply with AHRI 750.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Emerson Climate Technologies.
   c. Paul Mueller Company.

2. Body, Bonnet, and Seal Cap: Forged brass or steel.
3. Diaphragm, Piston, Closing Spring, and Seat Insert: Stainless steel.
4. Packing and Gaskets: Non-asbestos.
5. Capillary and Bulb: Copper tubing filled with refrigerant charge.
6. Suction Temperature: 40 deg F.
7. Superheat: Adjustable.
8. Reverse-flow option (for heat-pump applications).
9. End Connections: Socket, flare, or threaded union.
10. Working Pressure Rating: 450 psig.

H. Hot-Gas Bypass Valves: Comply with UL 429; listed and labeled by an NRTL.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

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a. Danfoss Inc.
b. Parker Hannifin Corp.

2. Body, Bonnet, and Seal Cap: Ductile iron or steel.
3. Diaphragm, Piston, Closing Spring, and Seat Insert: Stainless steel.
4. Packing and Gaskets: Non-asbestos.
5. Solenoid Tube, Plunger, Closing Spring, and Seat Orifice: Stainless steel.
6. Seat: Polytetrafluoroethylene.
7. Equalizer: Internal.
8. Electrical: Molded, watertight coil in NEMA 250 enclosure of type required by location with 1/2-inch conduit adapter and 24-V ac coil.
9. End Connections: Socket.
10. Set Pressure: <Insert psig>.
11. Throttling Range: Maximum 5 psig.
12. Working Pressure Rating: 500 psig.
13. Maximum Operating Temperature: 240 deg F.

I. Straight-Type Strainers:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

a. Danfoss Inc.
b. Parker Hannifin Corp.

2. Body: Welded steel with corrosion-resistant coating.
3. Screen: 100-mesh stainless steel.
4. End Connections: Socket or flare.
5. Working Pressure Rating: 500 psig.
6. Maximum Operating Temperature: 275 deg F.

J. Angle-Type Strainers:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

a. Danfoss Inc.
b. Parker Hannifin Corp.

2. Body: Forged brass or cast bronze.
3. Drain Plug: Brass hex plug.
4. Screen: 100-mesh monel.
5. End Connections: Socket or flare.
6. Working Pressure Rating: 500 psig.
7. Maximum Operating Temperature: 275 deg F.

K. Moisture/Liquid Indicators:

NOT FOR BIDDING PURPOSES

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Emerson Climate Technologies.
   c. Parker Hannifin Corp.

2. Body: Forged brass.
3. Window: Replaceable, clear, fused glass window with indicating element protected by filter screen.
4. Indicator: Color coded to show moisture content in parts per million (ppm).
5. Minimum Moisture Indicator Sensitivity: Indicate moisture above 60 ppm.
6. End Connections: Socket or flare.
7. Working Pressure Rating: 500 psig.
8. Maximum Operating Temperature: 240 deg F.

L. Replaceable-Core Filter Dryers: Comply with AHRI 730.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Danfoss Inc.
   b. Emerson Climate Technologies.
   c. Parker Hannifin Corp.

2. Body and Cover: Painted-steel shell with ductile-iron cover, stainless-steel screws, and neoprene gaskets.
3. Filter Media: 10 microns, pleated with integral end rings; stainless-steel support.
4. Desiccant Media: Activated alumina.
5. Designed for reverse flow (for heat-pump applications).
6. End Connections: Socket.
7. Access Ports: NPS 1/4 connections at entering and leaving sides for pressure differential measurement.
8. Maximum Pressure Loss: 2 psig.
9. Rated Flow:
10. Working Pressure Rating: 500 psig.
11. Maximum Operating Temperature: 240 deg F.

M. Receivers: Comply with AHRI 495.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Heldon Products; Henry Technologies.

2. Comply with 2010 ASME Boiler and Pressure Vessel Code; listed and labeled by an NRTL.
3. Comply with UL 207; listed and labeled by an NRTL.

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4. Body: Welded steel with corrosion-resistant coating.
5. Tappings: Inlet, outlet, liquid level indicator, and safety relief valve.
6. End Connections: Socket or threaded.
7. Working Pressure Rating: 500 psig.
8. Maximum Operating Temperature: 275 deg F.

N. Liquid Accumulators: Comply with AHRI 495.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Emerson Climate Technologies.
   b. Heldon Products; Henry Technologies.
   c. Parker Hannifin Corp.

2. Body: Welded steel with corrosion-resistant coating.
3. End Connections: Socket or threaded.
4. Working Pressure Rating: 500 psig.
5. Maximum Operating Temperature: 275 deg F.

2.4 REFRIGERANTS

A. ASHRAE 34, R-410A: Pentafluoroethane/Difluoromethane.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to the following:

   a. Arkema Inc.
   b. DuPont Fluorochemicals Div.
   c. Genetron Refrigerants; Honeywell International Inc.
   d. Mexichem Fluor Inc.

PART 3 - EXECUTION

3.1 PIPING APPLICATIONS FOR REFRIGERANT R-410A

A. Suction Lines NPS 3-1/2and Smaller for Conventional Air-Conditioning Applications: Copper, Type ACR, Type K or Type L, drawn-temper tubing and wrought-copper fittings with brazed or soldered joints.

3.2 VALVE AND SPECIALTY APPLICATIONS

A. Install diaphragm packless valves in suction and discharge lines of compressor.

B. Install service valves for gage taps at inlet and outlet of hot-gas bypass valves and strainers if they are not an integral part of valves and strainers.

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C. Install a check valve at the compressor discharge and a liquid accumulator at the compressor suction connection.

D. Except as otherwise indicated, install diaphragm packless valves on inlet and outlet side of filter dryers.

E. Install a full-size, three-valve bypass around filter dryers.

F. Install solenoid valves upstream from each expansion valve and hot-gas bypass valve. Install solenoid valves in horizontal lines with coil at top.

G. Install thermostatic expansion valves as close as possible to distributors on evaporators.

1. Install valve so diaphragm case is warmer than bulb.
2. Secure bulb to clean, straight, horizontal section of suction line using two bulb straps. Do not mount bulb in a trap or at bottom of the line.
3. If external equalizer lines are required, make connection where it will reflect suction-line pressure at bulb location.

H. Install safety relief valves where required by 2010 ASME Boiler and Pressure Vessel Code. Pipe safety-relief-valve discharge line to outside according to ASHRAE 15.

I. Install moisture/liquid indicators in liquid line at the inlet of the thermostatic expansion valve or at the inlet of the evaporator coil capillary tube.

J. Install strainers upstream from and adjacent to the following unless they are furnished as an integral assembly for the device being protected:

1. Solenoid valves.
2. Thermostatic expansion valves.
3. Hot-gas bypass valves.
4. Compressor.

K. Install filter dryers in liquid line between compressor and thermostatic expansion valve.

L. Install receivers sized to accommodate pump-down charge.

M. Install flexible connectors at compressors.

3.3 PIPING INSTALLATION

A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems; indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on Shop Drawings.

B. Install refrigerant piping according to ASHRAE 15.

C. Install piping in concealed locations unless otherwise indicated and except in equipment rooms and service areas.

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D. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.

E. Install piping above accessible ceilings to allow sufficient space for ceiling panel removal.

F. Install piping adjacent to machines to allow service and maintenance.

G. Install piping free of sags and bends.

H. Install fittings for changes in direction and branch connections.

I. Select system components with pressure rating equal to or greater than system operating pressure.

J. Refer to Section 23 09 23 "Direct Digital Control (DDC) System for HVAC" and Section 23 09 93.11 "Sequence of Operations for HVAC DDC" for solenoid valve controllers, control wiring, and sequence of operation.

K. Install piping as short and direct as possible, with a minimum number of joints, elbows, and fittings.

L. Arrange piping to allow inspection and service of refrigeration equipment. Install valves and specialties in accessible locations to allow for service and inspection. Install access doors or panels as specified in Section 08 31 13 "Access Doors and Frames" if valves or equipment requiring maintenance is concealed behind finished surfaces.

M. Install refrigerant piping in protective conduit where installed belowground.

N. Install refrigerant piping in rigid or flexible conduit in locations where exposed to mechanical injury.

O. Slope refrigerant piping as follows:

1. Install horizontal hot-gas discharge piping with a uniform slope downward away from compressor.
2. Install horizontal suction lines with a uniform slope downward to compressor.
3. Install traps and double risers to entrain oil in vertical runs.
4. Liquid lines may be installed level.

P. When brazing or soldering, remove solenoid-valve coils and sight glasses; also remove valve stems, seats, and packing, and accessible internal parts of refrigerant specialties. Do not apply heat near expansion-valve bulb.

Q. Before installation of steel refrigerant piping, clean pipe and fittings using the following procedures:

1. Shot blast the interior of piping.
2. Remove coarse particles of dirt and dust by drawing a clean, lint-less cloth through tubing by means of a wire or electrician's tape.

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3. Draw a clean, lint-less cloth saturated with trichloroethylene through the tube or pipe. Continue this procedure until cloth is not discolored by dirt.
4. Draw a clean, lint-less cloth, saturated with compressor oil, squeezed dry, through the tube or pipe to remove remaining lint. Inspect tube or pipe visually for remaining dirt and lint.
5. Finally, draw a clean, dry, lint-less cloth through the tube or pipe.
6. Safety-relief-valve discharge piping is not required to be cleaned but is required to be open to allow unrestricted flow.

R. Install piping with adequate clearance between pipe and adjacent walls and hangers or between pipes for insulation installation.

S. Identify refrigerant piping and valves according to Section 23 05 53 "Identification for HVAC Piping and Equipment."

T. Install sleeves for piping penetrations of walls, ceilings, and floors. Comply with requirements for sleeves specified in Section 23 05 17 "Sleeves and Sleeve Seals for HVAC Piping."

U. Install sleeve seals for piping penetrations of concrete walls and slabs. Comply with requirements for sleeve seals specified in Section 23 05 17 "Sleeves and Sleeve Seals for HVAC Piping."

V. Install escutcheons for piping penetrations of walls, ceilings, and floors. Comply with requirements for escutcheons specified in Section 23 05 18 "Escutcheons for HVAC Piping."

3.4 PIPE JOINT CONSTRUCTION

A. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.

B. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.

C. Fill pipe and fittings with an inert gas (nitrogen or carbon dioxide), during brazing or welding, to prevent scale formation.

D. Soldered Joints: Construct joints according to ASTM B 828 or CDA's "Copper Tube Handbook."

E. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," Chapter "Pipe and Tube."

1. Use Type BCuP (copper-phosphorus) alloy for joining copper socket fittings with copper pipe.
2. Use Type BAg (cadmium-free silver) alloy for joining copper with bronze or steel.

F. Threaded Joints: Thread steel pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and to restore full ID. Join pipe fittings and valves as follows:

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1. Apply appropriate tape or thread compound to external pipe threads unless dry-seal threading is specified.
2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.

G. Steel pipe can be threaded, but threaded joints must be seal brazed or seal welded.

H. Welded Joints: Construct joints according to AWS D10.12M/D10.12.

I. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.

3.5 HANGERS AND SUPPORTS

A. Comply with requirements for pipe hangers and supports specified in Section 23 05 29 "Hangers and Supports for HVAC Piping and Equipment."

B. Install the following pipe attachments:

1. Adjustable steel clevis hangers for individual horizontal runs less than 20 feet long.
2. Roller hangers and spring hangers for individual horizontal runs 20 feet or longer.
3. Pipe Roller: MSS SP-58, Type 44 for multiple horizontal piping 20 feet or longer, supported on a trapeze.
4. Spring hangers to support vertical runs.
5. Copper-clad hangers and supports for hangers and supports in direct contact with copper pipe.

C. Install hangers for copper tubing with the following maximum spacing and minimum rod diameters:

1. NPS 1/2: Maximum span, 60 inches; minimum rod, 1/4 inch.
2. NPS 5/8: Maximum span, 60 inches; minimum rod, 1/4 inch.
3. NPS 1: Maximum span, 72 inches; minimum rod, 1/4 inch.
4. NPS 1-1/4: Maximum span, 96 inches; minimum rod, 3/8 inch.
5. NPS 1-1/2: Maximum span, 96 inches; minimum rod, 3/8 inch.
6. NPS 2: Maximum span, 96 inches; minimum rod, 3/8 inch.
7. NPS 2-1/2: Maximum span, 108 inches; minimum rod, 3/8 inch.
8. NPS 3: Maximum span, 10 feet; minimum rod, 3/8 inch.
9. NPS 4: Maximum span, 12 feet; minimum rod, 1/2 inch.

D. Install hangers for steel piping with the following maximum horizontal spacing and minimum rod diameters:

1. NPS 2: Maximum span, 10 feet; minimum rod, 3/8 inch.
2. NPS 2-1/2: Maximum span, 11 feet; minimum rod, 3/8 inch.
3. NPS 3: Maximum span, 12 feet; minimum rod, 3/8 inch.
4. NPS 4: Maximum span, 14 feet; minimum rod, 1/2 inch.

E. Support multi-floor vertical runs at least at each floor.

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3.6 FIELD QUALITY CONTROL

A. Perform the following tests and inspections:

1. Comply with ASME B31.5, Chapter VI.
2. Test refrigerant piping, specialties, and receivers. Isolate compressor, condenser, evaporator, and safety devices from test pressure if they are not rated above the test pressure.
3. Test high- and low-pressure side piping of each system separately at not less than the pressures indicated in "Performance Requirements" Article.

   a. Fill system with nitrogen to the required test pressure.
   b. System shall maintain test pressure at the manifold gage throughout duration of test.
   c. Test joints and fittings with electronic leak detector or by brushing a small amount of soap and glycerin solution over joints.
   d. Remake leaking joints using new materials, and retest until satisfactory results are achieved.

B. Prepare test and inspection reports.

3.7 SYSTEM CHARGING

A. Charge system using the following procedures:

1. Install core in filter dryers after leak test but before evacuation.
2. Evacuate entire refrigerant system with a vacuum pump to 500 micrometers. If vacuum holds for 12 hours, system is ready for charging.
3. Break vacuum with refrigerant gas, allowing pressure to build up to 2 psig.
4. Charge system with a new filter-dryer core in charging line.

3.8 ADJUSTING

A. Adjust thermostatic expansion valve to obtain proper evaporator superheat.

B. Adjust high- and low-pressure switch settings to avoid short cycling in response to fluctuating suction pressure.

C. Adjust set-point temperature of air-conditioning or chilled-water controllers to the system design temperature.

D. Perform the following adjustments before operating the refrigeration system, according to manufacturer's written instructions:

1. Open shutoff valves in condenser water circuit.
2. Verify that compressor oil level is correct.
3. Open compressor suction and discharge valves.
4. Open refrigerant valves except bypass valves that are used for other purposes.
5. Check open compressor-motor alignment and verify lubrication for motors and bearings.

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E. Replace core of replaceable filter dryer after system has been adjusted and after design flow rates and pressures are established.

END OF SECTION

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SECTION 23 31 13

METAL DUCTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Single-wall rectangular ducts and fittings.
2. Sheet metal materials.
3. Sealants and gaskets.
4. Hangers and supports.

B. Related Sections:

1. Section 23 05 93 "Testing, Adjusting, and Balancing for HVAC" for testing, adjusting, and balancing requirements for metal ducts.
2. Section 23 31 16 "Nonmetal Ducts" for fibrous-glass ducts, thermoset fiber-reinforced plastic ducts, thermoplastic ducts, PVC ducts, and concrete ducts.
3. Section 23 33 00 "Air Duct Accessories" for dampers, sound-control devices, duct-mounting access doors and panels, turning vanes, and flexible ducts.

1.3 PERFORMANCE REQUIREMENTS

A. Duct construction, including sheet metal thicknesses, seam and joint construction, reinforcements, and hangers and supports, shall comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" and performance requirements and design criteria indicated in "Duct Schedule" Article.

B. Structural Performance: Duct hangers and supports shall withstand the effects of gravity loads and stresses within limits and under conditions described in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible".

C. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.

1.4 SUBMITTALS

A. Product Data: For each type of the following products:

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1. Liners and adhesives.
2. Sealants and gaskets.

B. Shop Drawings:

1. Fabrication, assembly, and installation, including plans, elevations, sections, components, and attachments to other work.
2. Factory- and shop-fabricated ducts and fittings.
3. Duct layout indicating sizes, configuration, liner material, and static-pressure classes.
4. Elevation of top of ducts.
5. Dimensions of main duct runs from building grid lines.
6. Fittings.
7. Reinforcement and spacing.
8. Seam and joint construction.
9. Penetrations through fire-rated and other partitions.
10. Equipment installation based on equipment being used on Project.
11. Locations for duct accessories, including dampers, turning vanes, and access doors and panels.
12. Hangers and supports, including methods for duct and building attachment and vibration isolation.

C. Informational Submittals

1. Coordination Drawings: Plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

   a. Duct installation in congested spaces, indicating coordination with general construction, building components, and other building services. Indicate proposed changes to duct layout.
   b. Suspended ceiling components.
   c. Structural members to which duct will be attached.
   d. Size and location of initial access modules for acoustical tile.
   e. Penetrations of smoke barriers and fire-rated construction.
   f. Items penetrating finished ceiling including the following:

      1) Lighting fixtures.
      2) Air outlets and inlets.
      3) Speakers.
      4) Sprinklers.
      5) Access panels.
      6) Perimeter moldings.

2. Welding certificates.
3. Field quality-control reports.

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1.5 QUALITY ASSURANCE

A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel," for hangers and supports.

B. Welding Qualifications: Qualify procedures and personnel according to the following:

1. AWS D1.1/D1.1M, "Structural Welding Code - Steel," for hangers and supports.

C. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and System Start-up."

D. ASHRAE/IESNA Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6.4.4 - "HVAC System Construction and Insulation."

PART 2 - PRODUCTS

2.1 SINGLE-WALL RECTANGULAR DUCTS AND FITTINGS

A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" based on indicated static-pressure class unless otherwise indicated.

B. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-1, "Rectangular Duct/Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

C. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-2, "Rectangular Duct/Longitudinal Seams," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

D. Elbows, Transitions, Offsets, Branch Connections, and Other Duct Construction: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 4, "Fittings and Other Construction," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

2.2 SHEET METAL MATERIALS

A. General Material Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

B. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.

1. Galvanized Coating Designation: G90.
2. Finishes for Surfaces Exposed to View: Mill phosphatized.

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C. PVC-Coated, Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.

1. Galvanized Coating Designation: G90.
2. Minimum Thickness for Factory-Applied PVC Coating: 4 mils thick on sheet metal surface of ducts and fittings exposed to corrosive conditions, and minimum 1 mil thick on opposite surface.
3. Coating Materials: Acceptable to authorities having jurisdiction for use on ducts listed and labeled by an NRTL for compliance with UL 181, Class 1.

D. Factory- or Shop-Applied Antimicrobial Coating:

1. Apply to the surface of sheet metal that will form the interior surface of the duct. An untreated clear coating shall be applied to the exterior surface.
2. Antimicrobial compound shall be tested for efficacy by an NRTL and registered by the EPA for use in HVAC systems.
3. Coating containing the antimicrobial compound shall have a hardness of 2H, minimum, when tested according to ASTM D 3363.
4. Surface-Burning Characteristics: Maximum flame-spread index of 25 and maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.
5. Shop-Applied Coating Color: White.
6. Antimicrobial coating on sheet metal is not required for duct containing liner treated with antimicrobial coating.

E. Reinforcement Shapes and Plates: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.

1. Where black- and galvanized-steel shapes and plates are used to reinforce aluminum ducts, isolate the different metals with butyl rubber, neoprene, or EPDM gasket materials.

F. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

2.3 SEALANT AND GASKETS

A. General Sealant and Gasket Requirements: Surface-burning characteristics for sealants and gaskets shall be a maximum flame-spread index of 25 and a maximum smoke-developed index of 50 when tested according to UL 723; certified by an NRTL.

B. Water Based Joint and Seam Sealant:

1. Application Method: Brush on.
2. Solids Content: Minimum 65 percent.
3. Shore A Hardness: Minimum 20.
4. Water resistant.
5. Mold and mildew resistant.
6. VOC: Maximum 75 g/L (less water).
7. Maximum Static-Pressure Class: 10-inch wg, positive and negative.
8. Service: Indoor or outdoor.
9. Substrate: Compatible with galvanized sheet steel (both PVC coated and bare), stainless steel, or aluminum sheets.

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2.4 HANGERS AND SUPPORTS

A. Hanger Rods for Noncorrosive Environments: Cadmium-plated steel rods and nuts.

B. Hanger Rods for Corrosive Environments: Electrogalvanized, all-thread rods or galvanized rods with threads painted with zinc-chromate primer after installation.

C. Strap and Rod Sizes: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct."

D. Steel Cables for Galvanized-Steel Ducts: Galvanized steel complying with ASTM A 603.

E. Steel Cables for Stainless-Steel Ducts: Stainless steel complying with ASTM A 492.

F. Steel Cable End Connections: Cadmium-plated steel assemblies with brackets, swivel, and bolts designed for duct hanger service; with an automatic-locking and clamping device.

G. Duct Attachments: Sheet metal screws, blind rivets, or self-tapping metal screws; compatible with duct materials.

H. Trapeze and Riser Supports:

1. Supports for Galvanized-Steel Ducts: Galvanized-steel shapes and plates.
2. Supports for Stainless-Steel Ducts: Stainless-steel shapes and plates.
3. Supports for Aluminum Ducts: Aluminum or galvanized steel coated with zinc chromate.

PART 3 - EXECUTION

3.1 DUCT INSTALLATION

A. Drawing plans, schematics, and diagrams indicate general location and arrangement of duct system. Indicated duct locations, configurations, and arrangements were used to size ducts and calculate friction loss for air-handling equipment sizing and for other design considerations. Install duct systems as indicated unless deviations to layout are approved on Shop Drawings and Coordination Drawings.

B. Install ducts according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" unless otherwise indicated.

C. Install round ducts in maximum practical lengths.

D. Install ducts with fewest possible joints.

E. Install factory- or shop-fabricated fittings for changes in direction, size, and shape and for branch connections.

F. Unless otherwise indicated, install ducts vertically and horizontally, and parallel and perpendicular to building lines.

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G. Install ducts close to walls, overhead construction, columns, and other structural and permanent enclosure elements of building.

H. Install ducts with a clearance of 1 inch, plus allowance for insulation thickness.

I. Route ducts to avoid passing through transformer vaults and electrical equipment rooms and enclosures.

J. Where ducts pass through non-fire-rated interior partitions and exterior walls and are exposed to view, cover the opening between the partition and duct or duct insulation with sheet metal flanges of same metal thickness as the duct. Overlap openings on four sides by at least 1-1/2 inches.

K. Where ducts pass through fire-rated interior partitions and exterior walls, install fire dampers. Comply with requirements in Section 23 33 00 "Air Duct Accessories" for fire and smoke dampers.

L. Protect duct interiors from moisture, construction debris and dust, and other foreign materials. Comply with SMACNA's "IAQ Guidelines for Occupied Buildings Under Construction," Appendix G, "Duct Cleanliness for New Construction Guidelines."

3.2 DUCT SEALING

A. Seal ducts for duct static-pressure, seal classes, and leakage classes specified in "Duct Schedule" Article according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

B. Seal ducts to the following seal classes according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible":

1. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
2. Conditioned Space, Supply-Air Ducts in Pressure Classes 2-Inch wg and Lower: Seal Class C.
3. Conditioned Space, Supply-Air Ducts in Pressure Classes Higher Than 2-Inch wg: Seal Class B.
4. Conditioned Space, Exhaust Ducts: Seal Class B.
5. Conditioned Space, Return-Air Ducts: Seal Class C.

3.3 HANGER AND SUPPORT INSTALLATION

A. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 5, "Hangers and Supports."

B. Building Attachments: Concrete inserts, powder-actuated fasteners, or structural-steel fasteners appropriate for construction materials to which hangers are being attached.

1. Where practical, install concrete inserts before placing concrete.
2. Install powder-actuated concrete fasteners after concrete is placed and completely cured.

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3. Use powder-actuated concrete fasteners for standard-weight aggregate concretes or for slabs more than 4 inches thick.
4. Do not use powder-actuated concrete fasteners for lightweight-aggregate concretes or for slabs less than 4 inches thick.
5. Do not use powder-actuated concrete fasteners for seismic restraints.

C. Hanger Spacing: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 5-1, "Rectangular Duct Hangers Minimum Size," and Table 5-2, "Minimum Hanger Sizes for Round Duct," for maximum hanger spacing; install hangers and supports within 24 inches of each elbow and within 48 inches of each branch intersection.

D. Hangers Exposed to View: Threaded rod and angle or channel supports.

E. Support vertical ducts with steel angles or channel secured to the sides of the duct with welds, bolts, sheet metal screws, or blind rivets; support at each floor and at a maximum intervals of 16 feet.

F. Install upper attachments to structures. Select and size upper attachments with pull-out, tension, and shear capacities appropriate for supported loads and building materials where used.

3.4 CONNECTIONS

A. Make connections to equipment with flexible connectors complying with Section 23 33 00 "Air Duct Accessories."

B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for branch, outlet and inlet, and terminal unit connections.

3.5 PAINTING

A. Paint interior of metal ducts that are visible through registers and grilles and that do not have duct liner. Apply one coat of flat, black, latex paint over a compatible galvanized-steel primer. Paint materials and application requirements are specified in Section 09 91 13 "Exterior Painting" and Section 09 91 23 "Interior Painting."

3.6 FIELD QUALITY CONTROL

A. Perform tests and inspections.

B. Leakage Tests:

1. Comply with SMACNA's "HVAC Air Duct Leakage Test Manual." Submit a test report for each test.
2. Test the following systems:
   a. Supply Ducts with a Pressure Class of 2-Inch wg or Higher: Test representative duct sections, selected by Architect from sections installed, totaling no less than 100 percent of total installed duct area for each designated pressure class.
   b. Return Ducts with a Pressure Class of 2-Inch wg or Higher: Test representative duct sections, selected by Architect from sections installed, totaling no less than 100 percent of total installed duct area for each designated pressure class.

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c. Exhaust Ducts with a Pressure Class of 2-Inch wg or Higher: Test representative duct sections, selected by Architect from sections installed, totaling no less than 100 percent of total installed duct area for each designated pressure class.

3. Disassemble, reassemble, and seal segments of systems to accommodate leakage testing and for compliance with test requirements.
4. Test for leaks before applying external insulation.
5. Conduct tests at static pressures equal to maximum design pressure of system or section being tested. If static-pressure classes are not indicated, test system at maximum system design pressure. Do not pressurize systems above maximum design operating pressure.
6. Give seven days' advance notice for testing.

C. Duct System Cleanliness Tests:

1. Visually inspect duct system to ensure that no visible contaminants are present.
2. Test sections of metal duct system, chosen randomly by Owner, for cleanliness according to "Vacuum Test" in NADCA ACR, "Assessment, Cleaning and Restoration of HVAC Systems."

a. Acceptable Cleanliness Level: Net weight of debris collected on the filter media shall not exceed 0.75 mg/100 sq. cm.

D. Duct system will be considered defective if it does not pass tests and inspections.

E. Prepare test and inspection reports.

3.7 START UP

A. Air Balance: Comply with requirements in Section 23 05 93 "Testing, Adjusting, and Balancing for HVAC."

3.8 DUCT SCHEDULE

A. Fabricate ducts with galvanized sheet steel except as otherwise indicated and as follows:

B. Supply Ducts:

1. Ducts Connected to Constant-Volume Air-Handling Units:

a. Pressure Class: Positive 2-inch wg.
b. Minimum SMACNA Seal Class: B.
c. SMACNA Leakage Class for Rectangular: 12.
d. SMACNA Leakage Class for Round and Flat Oval: 6.

C. Return Ducts:

1. Ducts Connected to Air-Handling Units:

a. Pressure Class: Positive or negative 2-inch wg.
b. Minimum SMACNA Seal Class: B.
c. SMACNA Leakage Class for Rectangular: 12.

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d. SMACNA Leakage Class for Round and Flat Oval: 6.

D. Exhaust Ducts:

1. Ducts Connected to Fans Exhausting (ASHRAE 62.1, Class 1 and 2) Air:

a. Pressure Class: Negative 2-inch wg.
b. Minimum SMACNA Seal Class: B if negative pressure, and A if positive pressure.
c. SMACNA Leakage Class for Rectangular: 12.
d. SMACNA Leakage Class for Round and Flat Oval: 6.

2. Ducts Connected to Air-Handling Units:

a. Pressure Class: Positive or negative 2-inch wg.
b. Minimum SMACNA Seal Class: B if negative pressure, and A if positive pressure.
c. SMACNA Leakage Class for Rectangular: 12.
d. SMACNA Leakage Class for Round and Flat Oval: 6.

E. Elbow Configuration:

1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-2, "Rectangular Elbows."

a. Velocity 1000 fpm or Lower:

1) Radius Type RE 1 with minimum 0.5 radius-to-diameter ratio.
2) Mitered Type RE 4 without vanes.

b. Velocity 1000 to 1500 fpm:

1) Radius Type RE 1 with minimum 1.0 radius-to-diameter ratio.
2) Radius Type RE 3 with minimum 0.5 radius-to-diameter ratio and two vanes.
3) Mitered Type RE 2 with vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."

c. Velocity 1500 fpm or Higher:

1) Radius Type RE 1 with minimum 1.5 radius-to-diameter ratio.
2) Radius Type RE 3 with minimum 1.0 radius-to-diameter ratio and two vanes.
3) Mitered Type RE 2 with vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."

2. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-2, "Rectangular Elbows."

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a. Radius Type RE 1 with minimum 1.5 radius-to-diameter ratio.
b. Radius Type RE 3 with minimum 1.0 radius-to-diameter ratio and two vanes.
c. Mitered Type RE 2 with vanes complying with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-3, "Vanes and Vane Runners," and Figure 4-4, "Vane Support in Elbows."

3. Round Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-4, "Round Duct Elbows."

a. Minimum Radius-to-Diameter Ratio and Elbow Segments: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Table 3-1, "Mitered Elbows." Elbows with less than 90-degree change of direction have proportionately fewer segments.

1) Velocity 1000 fpm or Lower: 0.5 radius-to-diameter ratio and three segments for 90-degree elbow.
2) Velocity 1000 to 1500 fpm: 1.0 radius-to-diameter ratio and four segments for 90-degree elbow.
3) Velocity 1500 fpm or Higher: 1.5 radius-to-diameter ratio and five segments for 90-degree elbow.
4) Radius-to Diameter Ratio: 1.5.

b. Round Elbows, 12 Inches and Smaller in Diameter: Stamped or pleated.
c. Round Elbows, 14 Inches and Larger in Diameter: Welded.

F. Branch Configuration:

1. Rectangular Duct: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 4-6, "Branch Connection."

a. Rectangular Main to Rectangular Branch: 45-degree entry.
b. Rectangular Main to Round Branch: Spin in.

2. Round and Flat Oval: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 3-5, "90 Degree Tees and Laterals," and Figure 3-6, "Conical Tees." Saddle taps are permitted in existing duct.

a. Velocity 1000 to 1500 fpm: Conical tap.
b. Velocity 1500 fpm or Higher: 45-degree lateral.

END OF SECTION

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SECTION 23 33 00

AIR DUCT ACCESSORIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Manual volume dampers.
2. Flange connectors.
3. Turning vanes.
4. Duct-mounted access doors.
5. Flexible connectors.
6. Flexible ducts.

B. Related Requirements:

1. Section 23 37 23 "HVAC Gravity Ventilators" for roof-mounted ventilator caps.

1.3 SUBMITTALS

A. Product Data: For each type of product.

B. Shop Drawings: For duct accessories. Include plans, elevations, sections, details and attachments to other work.

1. Detail duct accessories fabrication and installation in ducts and other construction. Include dimensions, weights, loads, and required clearances; and method of field assembly into duct systems and other construction. Include the following:

a. Special fittings.
b. Manual volume damper installations.
c. Wiring Diagrams: For power, signal, and control wiring.

C. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which ceiling-mounted access panels and access doors required for access to duct accessories are shown and coordinated with each other, using input from Installers of the items involved.

D. Source quality-control reports.

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E. Operation and Maintenance Data: For air duct accessories to include in operation and maintenance manuals.

PART 2 - PRODUCTS

2.1 ASSEMBLY DESCRIPTION

A. Comply with NFPA 90A, "Installation of Air Conditioning and Ventilating Systems," and with NFPA 90B, "Installation of Warm Air Heating and Air Conditioning Systems."

B. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.

2.2 MATERIALS

A. Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.

1. Galvanized Coating Designation: G90.
2. Exposed-Surface Finish: Mill phosphatized.

B. Reinforcement Shapes and Plates: Galvanized-steel reinforcement where installed on galvanized sheet metal ducts; compatible materials for aluminum and stainless-steel ducts.

C. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

2.3 MANUAL VOLUME DAMPERS

A. Low-Leakage Steel, Manual Volume Dampers:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

   a. American Warming and Ventilating; a Mestek Architectural Group company.
   b. Nailor Industries Inc.
   c. Pottorff.
   d. Ruskin Company.

2. Comply with AMCA 500-D testing for damper rating.
3. Low-leakage rating, with linkage outside airstream, and bearing AMCA's Certified Ratings Seal for both air performance and air leakage.
4. Suitable for horizontal or vertical applications.
5. Frames:

   a. U shaped.
   b. 0.094-inch-thick, galvanized sheet steel.

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c. Mitered and welded corners.
d. Flanges for attaching to walls and flangeless frames for installing in ducts.

6. Blades:

a. Multiple or single blade.
b. Parallel- or opposed-blade design.
c. Stiffen damper blades for stability.
d. Galvanized, roll-formed steel, 0.064 inch thick.

7. Blade Axles: Nonferrous metal.
8. Bearings:

a. Molded synthetic.
b. Dampers in ducts with pressure classes of 3-inch wg or less shall have axles full length of damper blades and bearings at both ends of operating shaft.

9. Blade Seals: Neoprene.
10. Jamb Seals: Cambered aluminum.
11. Tie Bars and Brackets: Aluminum.
12. Accessories:

a. Include locking device to hold single-blade dampers in a fixed position without vibration.

2.4 FLANGE CONNECTORS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Ductmate Industries, Inc.
2. Duro-Dyne.
3. Ward Industries; a brand of Hart & Cooley, Inc.

B. Description: roll-formed, factory-fabricated, slide-on transverse flange connectors, gaskets, and components.

C. Material: Galvanized steel.

D. Gage and Shape: Match connecting ductwork.

2.5 TURNING VANES

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Ductmate Industries, Inc.
2. Duro Dyne Inc.
3. Ward Industries; a brand of Hart & Cooley, Inc.

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B. Manufactured Turning Vanes for Metal Ducts: Curved blades of galvanized sheet steel; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.

1. Acoustic Turning Vanes: Fabricate airfoil-shaped aluminum extrusions with perforated faces and fibrous-glass fill.

C. Manufactured Turning Vanes for Nonmetal Ducts: Fabricate curved blades of resin-bonded fiberglass with acrylic polymer coating; support with bars perpendicular to blades set; set into vane runners suitable for duct mounting.

D. General Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 4-3, "Vanes and Vane Runners," and 4-4, "Vane Support in Elbows."

E. Vane Construction: Double wall.

F. Vane Construction: Single wall for ducts up to 48 inches wide and double wall for larger dimensions.

2.6 DUCT-MOUNTED ACCESS DOORS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Ductmate Industries, Inc.
2. Duro-Dyne, Inc.
3. Metalaire, Inc.
4. Ward Industries; a brand of Hart & Cooley, Inc.

B. Duct-Mounted Access Doors: Fabricate access panels according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible"; Figures 7-2, "Duct Access Doors and Panels," and 7-3, "Access Doors - Round Duct."

1. Door:

a. Double wall, rectangular.
b. Galvanized sheet metal with insulation fill and thickness as indicated for duct pressure class.
c. Vision panel.
d. Hinges and Latches: 1-by-1-inchbutt or piano hinge and cam latches.
e. Fabricate doors airtight and suitable for duct pressure class.

2. Frame: Galvanized sheet steel, with bend-over tabs and foam gaskets.
3. Number of Hinges and Locks:

a. Access Doors Less Than 12 Inches Square: No hinges and two sash locks.
b. Access Doors up to 18 Inches Square: Continuous and two sash locks.
c. Access Doors up to 24 by 48 Inches: Continuous and two compression latches with outside and inside handles.
d. Access Doors Larger Than 24 by 48 Inches: Two compression latches with outside and inside handles.

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2.7 FLEXIBLE CONNECTORS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Ductmate Industries, Inc.
2. Duro Dyne Inc.
3. Ventfabrics, Inc.
4. Ward Industries; a brand of Hart & Cooley, Inc.

B. Materials: Flame-retardant or noncombustible fabrics.

C. Coatings and Adhesives: Comply with UL 181, Class 1.

D. Metal-Edged Connectors: Factory fabricated with a fabric strip 3-1/2 inches wide attached to two strips of 2-3/4-inch-wide, 0.028-inch-thick, galvanized sheet steel or 0.032-inch-thick aluminum sheets. Provide metal compatible with connected ducts.

E. Indoor System, Flexible Connector Fabric: Glass fabric double coated with neoprene.

1. Minimum Weight: 26 oz./sq. yd..
2. Tensile Strength: 480 lbf/inch in the warp and 360 lbf/inch in the filling.
3. Service Temperature: Minus 40 to plus 200 deg F.

F. Thrust Limits: Combination coil spring and elastomeric insert with spring and insert in compression, and with a load stop. Include rod and angle-iron brackets for attaching to fan discharge and duct.

1. Frame: Steel, fabricated for connection to threaded rods and to allow for a maximum of 30 degrees of angular rod misalignment without binding or reducing isolation efficiency.
2. Outdoor Spring Diameter: Not less than 80 percent of the compressed height of the spring at rated load.
3. Minimum Additional Travel: 50 percent of the required deflection at rated load.
4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
5. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.
6. Elastomeric Element: Molded, oil-resistant rubber or neoprene.
7. Coil Spring: Factory set and field adjustable for a maximum of 1/4-inch movement at start and stop.

2.8 FLEXIBLE DUCTS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Flexmaster U.S.A., Inc.
2. McGill AirFlow LLC.
3. Ward Industries; a brand of Hart & Cooley, Inc.

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B. Insulated, Flexible Duct: UL 181, Class 1, black polymer film supported by helically wound, spring-steel wire; fibrous-glass insulation; polyethylene vapor-barrier film.

1. Pressure Rating: 4-inch wg positive and 0.5-inch wg negative.
2. Maximum Air Velocity: 4000 fpm.
3. Temperature Range: Minus 20 to plus 175 deg F.
4. Insulation R-Value: Comply with ASHRAE/IESNA 90.1.

C. Flexible Duct Connectors:

1. Clamps: Stainless-steel band with cadmium-plated hex screw to tighten band with a worm-gear action in sizes 3 through 18 inches, to suit duct size.

2.9 DUCT ACCESSORY HARDWARE

A. Instrument Test Holes: Cast iron or cast aluminum to suit duct material, including screw cap and gasket. Size to allow insertion of pitot tube and other testing instruments and of length to suit duct-insulation thickness.

B. Adhesives: High strength, quick setting, neoprene based, waterproof, and resistant to gasoline and grease.

PART 3 - EXECUTION

3.1 INSTALLATION

A. Install duct accessories according to applicable details in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for metal ducts and in NAIMA AH116, "Fibrous Glass Duct Construction Standards," for fibrous-glass ducts.

B. Install duct accessories of materials suited to duct materials; use galvanized-steel accessories in galvanized-steel and fibrous-glass ducts, stainless-steel accessories in stainless-steel ducts, and aluminum accessories in aluminum ducts.

C. Install volume dampers at points on supply, return, and exhaust systems where branches extend from larger ducts. Where dampers are installed in ducts having duct liner, install dampers with hat channels of same depth as liner, and terminate liner with nosing at hat channel.

1. Install steel volume dampers in steel ducts.
2.

D. Set dampers to fully open position before testing, adjusting, and balancing.

E. Install test holes at fan inlets and outlets and elsewhere as indicated.

F. Install duct access doors on sides of ducts to allow for inspecting, adjusting, and maintaining accessories and equipment at the following locations:

1. On both sides of duct coils.

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2. Upstream from duct filters.
3. At outdoor-air intakes and mixed-air plenums.
4. At drain pans and seals.
5. Downstream from manual volume dampers, control dampers, backdraft dampers, and equipment.
6. Adjacent to and close enough to fire or smoke dampers, to reset or reinstall fusible links. Access doors for access to fire or smoke dampers having fusible links shall be pressure relief access doors and shall be outward operation for access doors installed upstream from dampers and inward operation for access doors installed downstream from dampers.
7. At each change in direction and at maximum 50-foot spacing.
8. Upstream from turning vanes.
9. Upstream or downstream from duct silencers.
10. Control devices requiring inspection.
11. Elsewhere as indicated.

G. Install access doors with swing against duct static pressure.

H. Access Door Sizes:

1. One-Hand or Inspection Access: 8 by 5 inches.
2. Two-Hand Access: 12 by 6 inches.
3. Head and Hand Access: 18 by 10 inches.
4. Head and Shoulders Access: 21 by 14 inches.
5. Body Access: 25 by 14 inches.
6. Body plus Ladder Access: 25 by 17 inches.

I. Label access doors according to Section 23 05 53 "Identification for HVAC Piping and Equipment" to indicate the purpose of access door.

J. Install flexible connectors to connect ducts to equipment.

K. Connect diffusers or light troffer boots to ducts with maximum 60-inch lengths of flexible duct clamped or strapped in place.

L. Connect flexible ducts to metal ducts with draw bands.

M. Install duct test holes where required for testing and balancing purposes.

N. Install thrust limits at centerline of thrust, symmetrical on both sides of equipment. Attach thrust limits at centerline of thrust and adjust to a maximum of 1/4-inch movement during start and stop of fans.

3.2 FIELD QUALITY CONTROL

A. Tests and Inspections:

1. Operate dampers to verify full range of movement.
2. Inspect locations of access doors and verify that purpose of access door can be performed.

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3. Operate fire, smoke, and combination fire and smoke dampers to verify full range of movement and verify that proper heat-response device is installed.
4. Inspect turning vanes for proper and secure installation.
5. Operate remote damper operators to verify full range of movement of operator and damper.

END OF SECTION

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SECTION 23 37 13.13

AIR DIFFUSERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Rectangular and square ceiling diffusers.

B. Related Requirements:

1. Section 23 37 13.23 "Air Registers and Grilles" for adjustable-bar register and grilles, fixed-face registers and grilles, and linear bar grilles.

1.3 SUBMITTALS

A. Product Data: For each type of product.

1. Data Sheet: Indicate materials of construction, finish, and mounting details; and performance data including throw and drop, static-pressure drop, and noise ratings.
2. Diffuser Schedule: Indicate drawing designation, room location, quantity, model number, size, and accessories furnished.

B. Samples: For each exposed product and for each color and texture specified. Actual size of smallest diffuser indicated.

C. Samples for Initial Selection: For diffusers with factory-applied color finishes. Actual size of smallest diffuser indicated.

D. Samples for Verification: For diffusers, in manufacturer's standard sizes to verify color selected. Actual size of smallest diffuser indicated.

E. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

1. Ceiling suspension assembly members.
2. Method of attaching hangers to building structure.
3. Size and location of initial access modules for acoustical tile.

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4. Ceiling-mounted items including lighting fixtures, diffusers, grilles, speakers, sprinklers, access panels, and special moldings.
5. Duct access panels.

F. Source quality-control reports.

PART 2 - PRODUCTS

2.1 RECTANGULAR AND SQUARE CEILING DIFFUSERS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Agitair.
2. Price Industries.
3. Titus.
4. Tuttle & Bailey.

B. Devices shall be specifically designed for variable-air-volume flows.

C. Material: Steel.

D. Finish: Baked enamel, white.

E. Face Size: 24 by 24 inches.

F. Face Style: Four cone.

G. Mounting: T-bar.

H. Pattern: Adjustable.

I. Dampers: Combination damper and grid.

J. Accessories:

1. Equalizing grid.
2. Sectorizing baffles.
3. Induction Vanes.

2.2 SOURCE QUALITY CONTROL

A. Verification of Performance: Rate diffusers according to ASHRAE 70, "Method of Testing for Rating the Performance of Air Outlets and Inlets."

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PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine areas where diffusers are installed for compliance with requirements for installation tolerances and other conditions affecting performance of equipment.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

A. Install diffusers level and plumb.

B. Ceiling-Mounted Outlets and Inlets: Drawings indicate general arrangement of ducts, fittings, and accessories. Air outlet and inlet locations have been indicated to achieve design requirements for air volume, noise criteria, airflow pattern, throw, and pressure drop. Make final locations where indicated, as much as practical. For units installed in lay-in ceiling panels, locate units in the center of panel. Where architectural features or other items conflict with installation, notify Architect for a determination of final location.

C. Install diffusers with airtight connections to ducts and to allow service and maintenance of dampers, air extractors, and fire dampers.

3.3 ADJUSTING

A. After installation, adjust diffusers to air patterns indicated, or as directed, before starting air balancing.

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SECTION 23 37 13.23

AIR REGISTERS AND GRILLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Adjustable blade face registers and grilles.

B. Related Requirements:

1. Section 23 37 13.13 "Air Diffusers" for various types of air diffusers.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Data Sheet: Indicate materials of construction, finish, and mounting details; and performance data including throw and drop, static-pressure drop, and noise ratings.
2. Register and Grille Schedule: Indicate drawing designation, room location, quantity, model number, size, and accessories furnished.

B. Samples: For each exposed product and for each color and texture specified. Smallest size register and grille indicated.

C. Samples for Initial Selection: For registers and grilles with factory-applied color finishes. Smallest size register and grille indicated.

D. Samples for Verification: For registers and grilles, in manufacturer's standard sizes to verify color selected. Smallest size register and grille indicated.

E. Coordination Drawings: Reflected ceiling plans, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

1. Ceiling suspension assembly members.
2. Method of attaching hangers to building structure.
3. Size and location of initial access modules for acoustical tile.
4. Ceiling-mounted items including lighting fixtures, diffusers, grilles, speakers, sprinklers, access panels, and special moldings.

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5. Duct access panels.

F. Source quality-control reports.

PART 2 - PRODUCTS

2.1 REGISTERS

A. Adjustable Blade Face Register:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. Agitair.
b. E.H. Price.
c. Titus.
d. Tuttle & Bailey.

2. Material: Steel.
3. Finish: Baked enamel, white.
4. Face Blade Arrangement: Horizontal spaced 3/4 inch apart.
5. Core Construction: Removable.
6. Rear-Blade Arrangement: Vertical spaced 3/4 inch apart.
7. Frame: 1-1/4 inches wide.
8. Mounting: Lay in.
9. Damper Type: Adjustable opposed blade.
10. Accessories:

a. Front-blade gang operator.

2.2 GRILLES

A. Adjustable Blade Face Grille:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. Agitair
b. E.H. Price.
c. Titus.
d. Tuttle & Bailey.

2. Material: Steel.

3. Finish: Baked enamel, white.
4. Face Blade Arrangement: Horizontal spaced 3/4 inch apart.
5. Core Construction: Removable.
6. Rear-Blade Arrangement: Vertical spaced 3/4 inch apart.
7. Frame: 1-1/4 inches wide.

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8. Mounting: Lay in.
9. Accessories:

   a. Front-blade gang operator.
   b. Filter.

2.3 SOURCE QUALITY CONTROL

A. Verification of Performance: Rate registers and grilles according to ASHRAE 70, "Method of Testing for Rating the Performance of Air Outlets and Inlets."

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine areas where registers and grilles are installed for compliance with requirements for installation tolerances and other conditions affecting performance of equipment.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

A. Install registers and grilles level and plumb.

B. Outlets and Inlets Locations: Drawings indicate general arrangement of ducts, fittings, and accessories. Air outlet and inlet locations have been indicated to achieve design requirements for air volume, noise criteria, airflow pattern, throw, and pressure drop. Make final locations where indicated, as much as practical. For units installed in lay-in ceiling panels, locate units in the center of panel. Where architectural features or other items conflict with installation, notify Architect for a determination of final location.

C. Install registers and grilles with airtight connections to ducts and to allow service and maintenance of dampers, air extractors, and fire dampers.

3.3 ADJUSTING

A. After installation, adjust registers and grilles to air patterns indicated, or as directed, before starting air balancing.

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SECTION 23 63 13

VARIABLE REFRIGERANT FLOW (VRF) OUTSIDE CONDENSING UNITS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes packaged, air-cooled refrigerant condensers for outdoor installation.

B. SUBMITTALS

C. Product Data: For each air-cooled refrigerant condenser. Include rated capacities, operating characteristics, furnished specialties, and accessories. Include equipment dimensions, weights and structural loads, required clearances, method of field assembly, components, and location and size of each field connection.

D. Shop Drawings: For air-cooled refrigerant condensers. Include plans, elevations, sections, details, and attachments to other work.

1. Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
2. Wiring Diagrams: For power, signal, and control wiring.

E. Informational Submittals

1. Coordination Drawings: Plans, drawn to scale, on which the following items are shown and coordinated with each other, based on input from installers of the items involved:

a. Structural members to which air-cooled refrigerant condensers will be attached.
b. Liquid and vapor pipe sizes.
c. Refrigerant specialties.
d. Piping including connections, oil traps, and double risers.
e. Evaporators.

2. Field quality-control reports.

F. Closeout Submittals

1. Operation and Maintenance Data: For air-cooled refrigerant condensers to include in emergency, operation, and maintenance manuals.

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1.3 QUALITY ASSURANCE

A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

B. Fabricate and label refrigeration system according to ASHRAE 15, "Safety Standard for Refrigeration Systems."

C. ASHRAE/IESNA 90.1 Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6 - "Heating, Ventilating, and Air-Conditioning."

PART 2 - PRODUCT

2.1 MANUFACTURER'S

A. Subject to compliance with requirement, available manufacturer's offering product that may be incorporated into the work include the following:

1. LG.
2. Samsung.
3. Dailcom.

2.2 PRODUCT DESCRIPTION

A. Variable Refrigerant Flow (VRF) HVAC system shall be a variable capacity, direct expansion (DX) heat recovery and heat pump engineered system. The outdoor unit shall consist of one or more cabinet(s) connected through common refrigerant piping. Each system shall have single or multiple, inverter compressor(s). Each system shall be connected to multiple indoor units (IDUs - ducted, non-ducted or combination thereof) through a common refrigerant piping and integrated system controls. Each indoor unit shall be controlled individually. Additionally heat recovery system shall be capable of simultaneous heating and cooling individual zone(s).

1. Simultaneous Cooling and Heating VRF System
2. LG VRF (Heat Recovery System)
3. LG Heat recovery system shall be an air cooled, system consisting of one to three outdoor unit(s) connected to Heat Recovery (HRU) unit(s) and indoor unit(s). Multi-port heat recovery units shall allow simultaneous heating and cooling of individual zone(s). Communication between components shall be provided as an integrated feature of the VRF system.
4. Electrical Characteristics as indicated on the drawings.

2.3 STANDARDS/CERTIFICATIONS

A. LG VRF (variable refrigerant flow) heat recovery systems shall have published performance ratings certified by AHRI (Air-Conditioning, Heating, and Refrigeration Institute) and listed in the AHRI Standard 1230 certified product directory www.AHRInet.org.

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B. LG VRF heat recovery system components shall be manufactured in production facilities maintaining the following ISO certifications:

1. ISO 9001 Quality Management System
2. ISO 14001 Environmental Management System

C. LG VRF heat recovery system components shall comply with Underwriters Laboratories (UL) 1995 Heating and Cooling Equipment Standard for Safety and bear the Electrical Testing Laboratories (ETL) label.

D. LG VRF heat recovery system field provided electrical power wiring shall be installed according to National Electrical Code (NEC) and all local authorities having jurisdiction (AHJs).

2.4 LIMITED WARRANTY

A. Provide a ten (10) year extended Compressor Warranty.

2.5 LG VRF (HEAT RECOVERY SYSTEM)

A. Outdoor Unit shall be capable of maintaining continuous compressor operation under all of the following operating ambient air conditions.

1. Heat Recovery System

   a. All IDUs Cooling: 14°F DB to 122°F DB> <-9.9°F optional low ambient kit.
   b. All IDUs Heating: -13°F WB to 61°F WB
   c. Cooling based synchronous: 14°F DB to 81°F DB
   d. Heating-based synchronous: 14°F WB to 61°F WB

2. The VRF system shall maintain normal heating and/or cooling operation at all IDUs while any one IDU is powered down for service. When power is restored to the IDU serviced, normal operation shall be restored with no system shutdown, interruption, reset, or power cycling of the outdoor unit.

B. General

1. The air-conditioning system shall use R410A refrigerant.
2. Each system shall have one, two or three air source outdoor units.
3. Multi-frame configurations shall be field piped together using manufacturer's designed and supplied Y-branch kit and field provided interconnecting pipe to form a common refrigerant circuit.
4. Refrigerant circuit configuration for Heat Recovery System

   a. The refrigerant circuit shall be constructed using field provided copper piped together with manufacturer supplied Heat Recovery unit(s) and Y- branches or Header fittings connected to multiple (ducted, non-ducted or combination thereof) indoor units to effectively and efficiently control the simultaneous heating and cooling operation of the VRF system.

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b. Each refrigerant pipe, y-branch, header kit, elbows and valves shall be individually insulated with no air gaps. All joints shall be glued and sealed.

5. Factory installed microprocessor controls in the outdoor unit(s), HR unit(s), and indoor unit(s) shall perform functions to efficiently operate the VRF system and communicate in a daisy chain configuration between outdoor unit and HR unit(s) and indoor unit(s) over a RS485 18AWG stranded and twisted wire data link.
6. The system shall be designed to accept connection up to 64 indoor units.
7. The total nominal capacity of all indoor units shall be no less than 50% and no more than 130% of outdoor unit's nominal capacity to ensure the VRF system will have sufficient capacity to meet the building's cooling and heating load at design day weather conditions.
8. The maximum allowable system combination ratio shall be 130%. Systems designed with a combination ratio above 130% will not be accepted.
9. Each outdoor unit refrigerant circuit shall have a high-pressure safety threaded rupture disk or threaded fusible plug fitting.
10. The outdoor unit assembly, indoor unit assembly and/or heat recovery unit assembly shall be shipped from the factory assembled and pressure tested including internal refrigerant piping, compressor, contacts, relay(s), control components, power and communications wiring necessary.
11. Each outdoor unit refrigeration circuit shall have the following components:

    a. Inverter variable speed compressor(s)
    b. Outdoor unit heat exchanger
    c. Refrigerant strainer(s)
    d. Check valve(s)
    e. Oil separator
    f. Accumulator
    g. Heat exchanger circuiting control
    h. Electronic expansion valve(s)
    i. 4-way reversing valve
    j. Sub-cooler circuit with controls
    k. High and low side Schrader valve service ports with caps.
    l. Service valves

C. System

1. Each outdoor unit frame shall have a stand-alone microprocessor control that varies the use of the outdoor coil circuits to optimize the use of heat transfer surface. Control shall be able to dynamically change the path and coil circuiting based on one of the following operating parameters: head pressure, suction pressure, system sub-cooling requirements, available refrigerant charge, system mode of operation, coil heat transfer efficiency shall have a variable flow path heat exchanger function to vary the refrigerant flow path based on system operating mode and operating conditions.
2. System inverter compressors shall have a mid-stage, medium pressure vapor economizer apparatus to maximize refrigerant compression efficiency.
3. System accumulator shall be provided with controls that continuously monitors, modifies, and controls the amount of refrigerant in circulation (active refrigerant charge) while the system is operating. The active refrigerant charge microprocessor shall monitor system high and low side gas pressure, coil approach temperature, liquid line temperature and pressure, and system sub-cooling requirement to control the refrigerant charge.

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4. System shall comprise of the following frame configurations.

   a. 6 through 14 ton systems shall be a single frame only.
   b. 16 through 28 ton systems shall be dual frame only.
   c. 30 to 42 ton systems shall be triple frame only

D. Refrigerant Pipe System Design Parameters

1. The outdoor unit shall be capable of operating at an elevation difference of up to 360 feet above or below the lowest or highest indoor unit respectively.
2. The outdoor unit shall be capable of operating with up to 3280 equivalent length feet of interconnecting liquid line refrigerant pipe in the network.
3. The outdoor unit shall be capable of operating with up to 656 actual feet or 738 equivalent length feet of liquid line refrigerant pipe spanning between outdoor unit and farthest indoor unit.

E. Defrost Operations

1. The outdoor unit(s) shall be capable of Intelligent defrost operation to melt accumulated frost, snow and ice that may have accumulated on the outdoor unit heat exchanger. The defrost cycle length and sequence shall be based on outdoor ambient temperatures, outdoor unit heat exchanger temperature, and various differential pressure variables.
2. Defrost Mode Selection: The outdoor unit shall be provided with three field-selectable defrost operation modes; Normal, Fast, or Forced.

   a. Normal Defrost operation intended for use in areas of the country with mild winter temperatures and light to moderate humidity levels. The strategy minimizes defrost cycle frequency allowing frozen precipitation to build longer in between cycles. Minimum time between defrost cycles shall be 20 minutes. Intelligent Defrost shall choose between split coil/frame and full system methods to minimize energy consumption and cycle time.
   b. Fast Defrost operation intended for use in areas of the country that experience adverse winter weather with periods of heavy winter precipitation and extremely low temperatures. This strategy shall maximize the systems heating performance and maintain operational efficiency. When the ambient temperature is above 32°F, Intelligent Defrost shall continue to heat until the discharge temperature declines. At temperatures below 32°F, the time between defrost cycles shall be a minimum of 90 minutes. At temperatures below 4°F, a defrost cycle shall occur every two hours to optimize system heating efficiency.
   c. Forced Defrost operation shall be available for the service provider to test defrost operations at any weather condition and to manually clear frozen water from the outdoor coil surfaces.

3. Defrost Method Selection: The outdoor unit shall be provided with two field-selectable defrost operation methods; Split Coil/Frame and Full System.

   a. Split Coil/Frame method shall be available when Normal Defrost mode is selected. Split Coil method shall be available on all Heat Pump and Heat Recovery single-frame VRF systems. Split Frame defrost shall be available on all Heat Pump and Heat Recovery multi-frame outdoor units.

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b. Split Coil method shall remove ice from the bottom half of the outdoor unit coil first for six minutes, then the bottom half for six minutes. Next the bottom coil shall be heated again for an additional three minutes to remove any frozen water that may have dripped onto the lower coil during the top coil defrost operation.

c. When Split Coil/Frame method is selected, a Full System defrost shall occur every third defrost cycle to assure 100% of the frozen precipitation has been removed to maintain optimum efficient performance.

d. Full System method shall be available as a field selectable option. Outdoor units located in areas of the country where large volumes of frozen precipitation are common, the commissioning agent shall select the Full System defrost method.

4. Indoor Unit Fan Operation During Defrost

a. During partial defrost operation indoor units operating in cooling or dry mode shall continue normal operation.

b. During partial defrost operation, indoor units that are commissioned with fans set for continuous operation shall maintain normal fan speed unless the leaving air temperature drops, then the fan speed will be reduced to low speed for the remainder of the defrost cycle.

c. During full system defrost operation, indoor unit fans will cycle off and remain off during the remainder of the defrost cycle.

F. Oil Management

1. Each outdoor unit shall have an independently operating Hi-POR (High Pressure Oil Return) system to maximize compressor efficiency and ensure a consistent film of oil on all moving compressor parts at all speeds.
2. The oil return system shall include a dedicated centrifugal oil separator for each compressor designed to extract oil from the oil/refrigerant gas stream leaving the compressor.
3. Oil collected by each compressors independent oil return system shall be returned directly to the compressor oil sump passively without the use of mechanical pumps or other apparatus
4. Oil return systems that depend on differential pressure to return oil to the compressor sump, for example bleeding off or bypassing any amount of high pressure gas to push oil back to the compressor sump or the suction inlet of the compressor chamber, shall not be accepted.
5. Compressor oil shall be maintained at the same temperature as the discharge gas leaving the compressor to prevent any blending of refrigerant and oil to maintain stable oil viscosity during compressor operation.
6. The oil return system shall not inject, blend, or otherwise mix collected oil with suction vapor refrigerant before entering the compressor scroll or other gas compression apparatus.
7. The oil return system shall provide an oil level monitor for each compressor that provides continuous feedback to the outdoor unit microprocessor.
8. The microprocessor shall initiate an oil return cycle when the oil level monitoring sensor indicates a low oil level in the compressor sump.
9. Timed and/or scheduled unmonitored oil return operations and/or any oil return system that does not initiate an oil return cycle based on compressor sump low level reading shall not be permitted.

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G. Cabinet

1. Outdoor unit cabinet shall be made of 20 gauge galvanized steel with an enamel finish.
2. Outdoor unit cabinet shall have a heavy gauge coated wire coil guard.
3. Outdoor unit cabinet finish shall have been tested in accordance with ASTM B-117 salt spray test procedure for a minimum of 1000 hours.
4. All internal serviceable components shall be accessible by removing the front panel of the unit. Outdoor units that require the removal of side and/or rear service panels shall not be permitted.
5. A controls maintenance and unit diagnostic access port shall be provided in front of the microprocessor to allow quick access to read service codes, set DIP switches, perform microprocessor operational checks, address system components and extract operational data without removing the unit's front panel(s).
6. The controls access port shall be no larger than 6-1/4" x 6-3/4" to the possibility of weather related moisture entering the control panel while service is in progress.
7. A baked galvanized steel access port cover with a baked enamel finish (color matching unit cabinet) shall be provided and easily removed.
8. Controls access port cover shall be secured to the unit with a factory provided braided steel wire lanyard to prevent loss/damage to the port cover.
9. The cabinet shall be designed with pre-punched pipe and electrical knockouts. Cabinet shall be designed to accept connection of refrigerant pipe, power cable, and communications wiring either:

   a. Through the front panel
   b. Through the right side
   c. Through the unit's base pan (bottom).

H. Fan Assembly(s)

1. Each 6 ton cabinet shall be equipped with one direct drive variable speed propeller fan with Brushless Digitally Controlled (BLDC) motor mounted in a vertical top air discharge configuration.
2. Each 8 to 14 ton cabinet shall be equipped with two direct drive variable speed propeller fans with independent BLDC motors mounted in a vertical top air discharge configuration.
3. The fan blades shall be made of non-metallic light-weight Acrylonitrile Butadiene Styrene (ABS) material.
4. Fan blade design shall be a quiet, deep-V designed to minimize air turbulence as air passes over and leaves the trailing edge of the fan blade. Fan shroud shall be designed in conjunction with fan blade to minimize air turbulence along the edge of the blades to minimize noise generation.
5. Outdoor unit fan motors shall be powered using a dc inverter drive capable of operating the fans at a maximum speed of 1100 RPM.
6. Each fan motor case/frame shall be made with a lightweight non-ferrous metal alloy. Bearing shall be sealed and permanently lubricated.
7. Only one fan blade per fan motor shall be accepted. Dual fan assemblies driven by a double-end shaft motor shall not be permitted.
8. Each fan blade and motor assembly shall be balanced, tested, and mounted to the unit frame using a means of isolation that will eliminate any objectionable audible harmonic or vibration being transferred to the unit frame.

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9. A raised ferrous wire metal guard with a baked enamel finish (color matching unit cabinet) shall be provided to prevent large object and animal contact with moving parts.
10. The outdoor fan inverter drive shall be provided with a DIP switch that reprograms the DC inverter drive to allow outdoor unit fan assemblies to operate under high discharge static conditions (up to 0.32 in-wg external static pressure) such as a ducted discharge application.

I. Outdoor Unit Coil

1. Shall be a variable path design.
2. Shall be provided and built by the VRF outdoor unit provider.
3. It shall be comprised of aluminum fins mechanically bonded on copper tubing.
4. The copper tubes shall be internally ribbed to maximize heat transfer. Smooth bore tubes are not acceptable.
5. The aluminum fin heat transfer surfaces shall be treated to maximize the life of the fin material. Coil fin heat transfer surfaces shall be treated with a factory applied corrosion resistant GoldFin™ coating. Coating of fins shall be a two-step process. Base coat shall be an anticorrosive paint specifically engineered for bonding to bare aluminum. The top coat shall be a Hydrophilic paint with a gloss finish to protect the anti-corrosion coat. Hydrophilic paint shall be specifically formulated to promote liquid precipitation runoff and assist in minimizing particulate debris from sticking to the fin's heat transfer surfaces.
6. Fin material coating shall be tested in accordance with ASTM B-117 salt spray test procedure for a minimum of 1000 hours.
7. The outdoor unit coil assembly shall be factory pressure tested to a pressure of 551 psig.
8. Coil fin series shall be up to 22 Fins per Inch (FPI).
9. All the outdoor units shall have a minimum of a 3 row heat exchanger.

J. Compressor(s)

1. Each 6, 8, 10 ton frames shall be equipped with one hermetically sealed, inverter driven, High Side Shell (HSS) scroll compressor.
2. The 12 and 14 ton frames shall be equipped with two hermetically-sealed, inverter-speed controlled scroll compressors.
3. Outdoor unit frames containing constant speed 50-60 Hz compressor(s) or containing a constant speed 50-60 Hz compressor in combination with an inverter compressor(s) are not acceptable.
4. Each inverter driven, HSS scroll compressor shall be capable of operating in a frequency range from 15 Hz to 150 Hz with control in 0.5 Hz increments.
5. Each compressor shall be equipped with a minimum of a 60 Watt crankcase heater.
6. The compressor shall be provided from the factory with a full charge of Polyvinyl Ether (PVE) oil. Ester based oils are not acceptable (POE) to prevent gum from forming in the system in the case of a motor burn.
7. All compressor bearing(s) shall have Teflon™ coating.
8. All compressors shall be protected with:

   a. High Pressure switch
   b. Over-current/under current protection
   c. Phase failure
   d. Phase reversal

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K. Sound Levels

1. Outdoor unit noise levels shall not exceed 60 dB A. Test protocol includes a sound level measurement taken at an elevation of 5 ft. above the mounting surface at the center point of the width of the outdoor unit frame at a distance of 1 meter in front of the front panel surface with all fans running at absolute maximum motor design speed at all unit operating modes including high heating mode in an anechoic chamber using ISO3745 test standard protocol.

L. Sensors

1. Each single cabinet shall have

   a. Suction temperature sensor
   b. Discharge temperature sensor
   c. High Pressure sensor
   d. Low Pressure sensor
   e. Outdoor temperature sensor
   f. Outdoor unit heat exchanger temperature sensor.

2.6 HEAT RECOVERY UNIT (HRU) (HEAT RECOVERY SYSTEMS ONLY)

A. General

1. HR unit shall be designed and manufactured by the same manufacturer of VRF indoor unit(s) and outdoor unit(s).
2. HR units shall be available in a 2, 3, and 4-port design. Single port designs and pipe system designs that require a dedicated HR unit is not acceptable.
3. HR unit casing shall be made with galvanized steel and have a galvanized steel finish.
4. HR unit shall be powered using a 208-230V/1-phase/60Hz power supply.
5. HR Unit shall be an intermediate refrigerant control device between the air source outdoor unit and the indoor units to control the systems simultaneous cooling and heating operation.
6. HR unit shall be engineered to work with a three pipe VRF system comprised of the following:

   a. High Pressure Vapor Pipe
   b. Low Pressure Vapor Pipe
   c. Liquid Pipe

7. HR unit shall be designed to be piped in a series or parallel pipe configuration relative to each other.
8. HR unit shall be a multi-port design capable of serving between one and 8 indoor units per HR unit port with a combined cooling nominal capacity of 54 Mbh per IDU port. HR units shall be able to accept/service at least two indoor units per HR unit up to a combined connected IDU cooling capacity of 192 Mbh.
9. VRF system controller shall be capable of accommodating up to 16 HR units connected to HR units piped in a single series string.
10. Each port shall be capable of operating in cooling or heating independently regardless of the operating mode of any other port on the HR unit or in the system.

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11. HR unit shall be internally piped, wired, assembled, leak and run tested at the factory.
12. HR unit shall be designed for installation in a conditioned environment and provided with factory applied insulation on all cold surfaces.
13. HR unit shall have a liquid bypass circuit between the high pressure vapor and the low pressure vapor pipes.
14. Each port IDU port shall have a pair (2) two-position solenoid valves.
15. HR unit shall have a balancing valve to control the pressure between the high pressure and low pressure pipe during mode switching.
16. HR unit shall contain a sub-cooler circuit with stand-alone controls for each HR unit.
17. HR cold surface pipes shall be factory insulated to prevent condensation.
18. HR unit shall not require a condensate drain. Manufacturer's providing HR models that require a condensate drain line shall reimburse the pipe fitter or others responsible for the portion of the cost associated with the condensate drain system design, parts, and installation.
19. All field provided refrigerant piping and VRF system refrigerant piping components between outdoor unit and HR unit and from HR unit to indoor unit shall be field insulated.
20. The HR unit shall not exceed a net unit weight of 49 lbs.

B. 3-phase VRF system piping capabilities

1. The elevation difference between indoor units on heat pump systems shall be 131 feet.
2. The elevation differences for heat recovery systems shall be:

   a. Heat recovery unit (HRU) to connected indoor unit shall be 49 feet.
   b. HRU to HRU shall be 49 feet.
   c. Indoor unit to indoor unit connected to same HRU shall be 49 feet.
   d. Indoor unit to indoor unit connected to separate parallel HRU's shall be 131 feet.

3. The acceptable elevation difference between two series connected HR units shall be 16 feet.

C. Controls

1. HR unit(s) shall have factory installed unit mounted control boards and integral microprocessor to communicate with indoor units and outdoor units over a single stranded, shielded, twisted wire pair.
2. Manufacturer shall provide screw terminal connections at the HR unit to terminate power wiring and communications cables.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of air-cooled refrigerant condensers.

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B. Examine roughing-in for refrigerant piping systems to verify actual locations of piping connections before equipment installation.

C. Examine walls, floors, and roofs for suitable conditions where air-cooled condensers will be installed.

D. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

A. Install units level and plumb, firmly anchored in locations indicated; maintain manufacturer's recommended clearances.

B. Equipment Mounting:

1. Comply with requirements for vibration isolation devices specified in Section 23 00 00 “General Requirements; Mechanical and Electrical.”

C. Maintain manufacturer's recommended clearances for service and maintenance.

D. Loose Components: Install electrical components, devices, and accessories that are not factory mounted.

3.3 CONNECTIONS

A. Piping installation requirements are specified in Section 23 21 13 "Hydronic Piping" and Section 23 21 16 Hydronic Piping Specialties." Drawings indicate general arrangement of piping, fittings, and specialties.

B. Install piping adjacent to machine to allow service and maintenance.

C. Refrigerant Piping: Connect piping to unit with pressure relief, service valve, filter-dryer, and moisture indicator on each refrigerant-circuit liquid line. Refrigerant piping and specialties are specified in Section 23 23 00 "Refrigerant Piping."

3.4 FIELD QUALITY CONTROL

A. Perform tests and inspections.

1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust components, assemblies, and equipment installations, including connections, and to assist in testing.

B. Tests and Inspections:

1. Perform electrical test and visual and mechanical inspection.
2. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.

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3. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation. Complete manufacturer's starting checklist.
4. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
5. Verify proper airflow over coils.

C. Verify that vibration isolation and flexible connections properly dampen vibration transmission to structure.

D. Air-cooled refrigerant condensers will be considered defective if they do not pass tests and inspections.

E. Prepare test and inspection reports.

3.5 STARTUP SERVICE

A. Engage a factory-authorized service representative to perform startup service.

1. Complete installation and startup checks according to manufacturer's written instructions and perform the following:

   a. Inspect for physical damage to unit casing.
   b. Verify that access doors move freely and are weathertight.
   c. Clean units and inspect for construction debris.
   d. Verify that all bolts and screws are tight.
   e. Adjust vibration isolation and flexible connections.
   f. Verify that controls are connected and operational.

2. Lubricate bearings on fan motors.
3. Verify that fan wheel is rotating in the correct direction and is not vibrating or binding.
4. Adjust fan belts to proper alignment and tension.
5. Start unit according to manufacturer's written instructions and complete manufacturer's startup checklist.
6. Measure and record airflow and air temperature rise over coils.
7. Verify proper operation of capacity control device.
8. Verify that vibration isolation and flexible connections properly dampen vibration transmission to structure.
9. After startup and performance test, lubricate bearings.

3.6 DEMONSTRATION

A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain air-cooled refrigerant condensers.

END OF SECTION

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SECTION 23 82 19

VARIABLE REFRIGERANT FLOW (VRF) INDOOR UNITS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Ductless fan coil units and accessories.
2. Ducted fan coil units and accessories.

1.3 SUBMITTALS

A. Product Data: For each type of product.

1. Include rated capacities, operating characteristics, and furnished specialties and accessories.

B. Shop Drawings:

1. Include details of equipment assemblies. Indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
2. Include diagrams for power, signal, and control wiring.

C. Samples for Initial Selection: For units with factory-applied color finishes.

D. Samples for Verification: For each type of fan coil unit indicated.

E. Coordination Drawings: Floor plans, reflected ceiling plans, and other details, drawn to scale, on which the following items are shown and coordinated with each other, based on input from installers of the items involved:

1. Suspended ceiling components.
2. Structural members to which fan coil units will be attached.
3. Method of attaching hangers to building structure.
4. Size and location of initial access modules for acoustical tile.
5. Items penetrating finished ceiling, including the following:

a. Lighting fixtures.

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b. Air outlets and inlets.
c. Speakers.
d. Sprinklers.
e. Access panels.

6. Perimeter moldings.

F. Field quality-control reports.

G. Sample Warranty: For special warranty.

H. CLOSEOUT SUBMITTALS

1. Operation and Maintenance Data: For fan coil units to include in emergency, operation, and maintenance manuals.

a. In addition to items specified in Spec Section 23 00 09 "General Requirements; Mechanical and Electrical," Article "Operation and Maintenance Data" include the following:

1) Maintenance schedules and repair part lists for motors, coils, integral controls, and filters.

I. MAINTENANCE MATERIAL SUBMITTALS

1. Furnish extra materials that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

a. Fan Coil Unit Filters: Furnish three (3) spare filters for each filter installed.
b. Fan Belts: Furnish one (1) spare fan belts for each unit installed.

1.4 QUALITY ASSURANCE

A. Comply with NFPA 70.

B. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and Startup."

C. ASHRAE/IES 90.1 Compliance: Applicable requirements in ASHRAE/IES 90.1, Section 6 - "Heating, Ventilating, and Air-Conditioning."

1.5 COORDINATION

A. Coordinate layout and installation of fan coil units and suspension system components with other construction that penetrates or is supported by ceilings, including light fixtures, HVAC equipment, fire-suppression-system components, and partition assemblies.

B. Coordinate size and location of wall sleeves for outdoor-air intake.

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1.6 WARRANTY

A. Special Warranty: Manufacturer agrees to repair or replace components of condensing units that fail in materials or workmanship within specified warranty period.

1. Failures include, but are not limited to, the following:

a. Compressor failure.
b. Condenser coil leak.

2. Warranty Period: two (2) years from date of Substantial Completion.
3. Warranty Period (Compressor Only): Five (5) years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 Manufacturers:

A. LG Multi V VRF Console Type indoor evaporators and cassette units as the standard of Design and construction. Acceptable equivalent Manufacturer include:
a. Daikin
b. Samsung.
c. Pre-Approved equivalent acceptable.

B. Indoor unit components shall be manufactured in production facilities maintaining the following ISO certifications:

1. ISO 9001 Quality Management System
2. ISO 14001 Environmental Management System

2.2 Indoor unit components shall comply with Underwriters Laboratories (UL) 1995 Heating and Cooling Equipment Standard for Safety and bear the Intertek Electrical Testing Laboratories (ETL) mark.

2.3 FLOOR STANDING CONSOLE TYPE

A. Casing

1. The case is designed to be free standing on the floor against a vertical surface. The backplane of the unit allows secure attachment of the unit to a vertical surface. Supply air is vertical from the top of the unit with a bottom front return through a toe slot at floor level. The supply air opening is covered with an architectural grille. The unit is manufactured using coated metal with an off-white ABS architectural polymeric resin exterior case. Cold surfaces are covered with a coated polystyrene insulating material. Flip open controller access doors cover the controller mounting bays located on both ends of the top panel. A polymeric resin coated metal safety grille is provided behind the removable filters located in the toe space to prevent reach access to the fan wheel.

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B. Fan Assembly and Control

1. 7–15 MBh

a. The unit has three Sirocco fans mounted on a common shaft and made of high strength ABS HT-700 polymeric resin. The fan shaft is directly driven by a single digitally-controlled inverter fan motor.

2. 18–24 MBh

a. The unit has two independent fan assemblies consisting of two motors and four fans. Each assembly consists of two Sirocco fans made of high strength ABS HT-700 polymeric resin. Each pair of fans are mounted on a common shaft and driven directly by a single digitally controlled inverter motor.

C. Fan Motors

1. The fan motors are a Brushless Digitally Controlled (BLDC) design with permanently lubricated and sealed ball bearings. The fan motor includes thermal, overcurrent and low RPM protection. The fan/motor assembly is mounted in vibration attenuating rubber grommets. The fan impeller is statically and dynamically balanced. The fan speed is controlled using a microprocessor-based direct digital control algorithm that provides a high fan speed in cooling thermal ON and low fan speed in cooling thermal OFF, high fan speed in heating thermal ON and fan off in heating thermal OFF. The fan speeds can be field adjusted between low, medium and high speeds. The fan speed algorithm provides a field-selectable fixed or auto-speed setting that adjusts fan speed to simulate natural airflow.

D. Air Filter

1. Return air is filtered using two (2) removable, washable filters with anti-fungal treatment on the 7-15 MBh models and three (3) removable, washable filters with anti-fungal treatment on the 18-24 MBh models. Access to the filter media is from the return air toe slot located on the front of the unit without removing unit panels.

E. Microprocessor Control

1. The unit is provided with an integrated microprocessor-based controller. A temperature thermistor is factory-mounted in the return air stream. The controller is capable of performing functions necessary to operate the system without the use of a separate unit or wall-mounted controller. All unit operation parameters, excluding the operation schedule, are stored in non-volatile memory resident on the unit microprocessor. Operating schedules are stored in select models of the optional unit or wall-mounted, local or central controller. The field-supplied communication cable between the indoor unit(s) and outdoor unit is to be a minimum of 18 AWG, 2 conductor, stranded, and shielded cable (RS-485), terminated via screw terminals on the control boards. The microprocessor control provides the following functions: auto addressing, self-diagnostics, auto restart following power restoration, test run, and will operate the indoor unit using one of five operating modes:

a. Auto Changeover (Heat Recovery only)

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b. Heating
c. Cooling
d. Dry
e. Fan Only

F. For Heat Recovery systems the Auto Changeover setting automatically switches between cooling and heating modes based on room temperature conditions.

1. In Heating mode, the microprocessor control will activate the indoor unit when indoor room temperature falls below set-point temperature and signals the outdoor unit to begin the heating cycle. The indoor unit fan operation is delayed until coil pipe temperature reaches 76ºF. Significant airflow is generated when pipe temperature reaches 80°F. In lieu of factory return air thermistor, screw terminals on the microprocessor circuit board accommodate various models of wall or unit-mounted local controllers and/or a wall-mounted remote temperature sensor. The unit microprocessor is capable of accepting space temperature readings concurrently or individually from either:

a. Wall or unit mounted wired controller(s)
b. Factory mounted return air thermistor or the optional wall-mounted wired remote temperature sensor.

2. The microprocessor controls space temperature using the value provided by the temperature sensor sensing a space temperature that is farthest away from the temperature set-point. The microprocessor control provides a cooling or heating mode test cycle that operates the unit for 18 minutes without regard to the space temperature. If the system is provided with an optional local or central controller, displayed diagnostic codes are specific, alpha numeric, and provide the service technician with the reason for the code displayed.

G. Handling Condensate

1. The unit is designed to provide gravity draining of condensate. LG provides a factory insulated flexible drain hose. If condensate lifts/ pumps are needed for the application, they are to be field-provided. Condensate float safety switch connections are available on the main control board for connection of a field supplied float safety switch.

H. Condensate Drain Pan

1. The condensate drain pan is constructed of expandable polystyrene resin (EPS).

I. Coil

1. The indoor unit coil is constructed with grooved design copper tubes with slit coil fins, two (2) rows, nineteen (19) fins per inch.

J. Control Features:

1. Auto changeover (Heat Recovery only)
2. Auto operation
3. Auto restart
4. External on/off control

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5. Dual thermistor control
6. Dual set-point control*
7. Filter life and power consumption display*
8. Multiple auxiliary heater applications*
9. Fan speed control
10. Group control
11. Hot start
12. Self-diagnostics
13. Timer (on / off)
14. Weekly schedule
15. *To enable Generation 4 features, outdoor unit DIP Switch No. 3 must be set to ON. Please refer to the Multi V IV, Multi V Water IV, Multi V S Engineering Manual for additional information.

2.4 CEILING CASSETTE – 4 WAY

A. General:

1. Unit shall be factory assembled, wired, piped and run tested.
2. Unit shall be designed to be installed for indoor application.
3. Unit shall be designed to mount recessed in the ceiling and has a surface mounted grille on the bottom of the unit.
4. The unit shall be available in both 2’ x 2’ and 3’ x 3’ chassis.
5. Unit shall be capable to be installed with heat pump or heat recovery or cooling VRF system.

B. Casing/Panel

1. Unit case shall be manufactured using galvanized steel plate.
2. The unit shall be provided with an off-white Acrylonitrile Butadiene Styrene (ABS) polymeric resin architectural grille.
3. The grille shall have a tapered trim edge, and a hinged, spring clip (screw-less) return air filter-grille door.
4. Unit shall be provided with metal ears designed to support the unit weight on four (4) corners.
5. Ears shall have pre-punched holes designed to accept field supplied all thread rod hangers.

C. Cabinet Assembly:

1. Unit shall have four (4) supply air outlets and one (1) return air inlet.
2. The supply air outlet shall be through four-directional slot diffuser each equipped with independent oscillating motorized guide vane designed to change the airflow direction.
3. The grille shall have a discharge range of motion of 40° in an up/down direction with capabilities of locking the vanes.
4. The unit shall have a guide vane algorithm designed to sequentially change the predominant discharge airflow direction in counterclockwise pattern.
5. Guide vanes shall provide airflow in all directions.
6. Unit shall be equipped with factory installed temperature thermistors for:

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a. Return air
b. Refrigerant entering coil
c. Refrigerant leaving coil

7. Unit shall have a factory assembled, piped and wired electronic expansion valve (EEV) for refrigerant control.
8. Unit shall have a built-in control panel to communicate with other indoor units and to the outdoor unit.
9. The unit shall have factory designated branch duct knockouts on the unit case.
10. The unit shall have provision of fresh air ventilation through a knock-out on the cabinet.
11. The branch duct knockouts shall have the ability to duct up to ½ the unit airflow capacity.
12. The branch duct cannot be ducted to another room.
13. Unit shall have the following functions as standard:

a. Self-diagnostic function
b. Auto addressing
c. Auto restart function
d. Auto changeover function (Heat Recovery system only)
e. Auto operation function
f. Child lock function
g. Forced operation
h. Dual thermistor control
i. Sleep mode
j. Dual setpoint control
k. Multiple aux heater applications
l. Filter life and power consumption display

D. Fan Assembly:

1. The unit shall have a single, direct drive, turbo fan made of high strength ABS HT-700 polymeric resin.
2. The fan impeller shall be statically and dynamically balanced.
3. The fan motor is Brushless Digitally controlled (BLDC) with permanently lubricated and sealed ball bearings.
4. The fan motor shall include thermal, overcurrent and low RPM protection.
5. The fan/motor assembly shall be mounted on vibration attenuating rubber grommets.
6. The fan speed shall be controlled using microprocessor based direct digitally controlled algorithm.
7. In cooling mode, the indoor fan shall have the following settings: Low, Med, High, Power Cool, and Auto.
8. In heating mode, the indoor fan shall have the following settings: Low, Med, High, and Auto.
9. Unit shall have factory installed motorized louver to provide flow of air in up and down direction for uniform airflow.

E. Filter Assembly:

1. The return air inlet shall have a factory supplied removable, washable filter with antifungal treatment.
2. The unit shall have the option for a secondary plasma filter accessory.
3. The filter access shall be from the bottom of the unit.

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4. The unit shall have provision for an optional auto-elevating grille kit designed to provide motorized ascent/descent of the return air grille/pre filter assembly.

   a. The ascent/descent of the return air grille shall be up to a distance of 14-3/4 feet allowing access to remove and clean the filter.
   b. The auto-elevating grille shall have a control algorithm to accept up, down and stop control commands from the controller.
   c. The auto-elevating grille shall have a control to stop the descent automatically if a contact is made with any obstacle.

F. Coil Assembly:

1. Unit shall have a factory built coil comprised of aluminum fins mechanically bonded on copper tubing.
2. The copper tubing shall have inner grooves for high efficiency heat exchanger.
3. Unit shall have a minimum 1 or 2 row coil, 18-19 fins per inch.
4. Unit shall have a factory supplied condensate drain pan below the coil constructed of EPS (expandable polystyrene resin).
5. Unit shall include an installed and wired condensate drain pump capable of providing minimum 27.5 inch lift from bottom surface of the unit.
6. The drain pump shall have a safety switch to shut off the unit if condensate rises too high in the drain pan.
7. Unit shall have provision of 45° flare refrigerant pipe connections.
8. The coil shall be factory pressure tested at a minimum of 551 psig.
9. All refrigerant piping from outdoor unit to indoor unit shall be field insulated.

G. Microprocessor Control:

1. The unit shall have a factory installed microprocessor controller capable of performing functions necessary to operate the system.
2. The unit shall be able to communicate with other indoor units and the outdoor unit using a field supplied minimum of 18 AWG, 2 core, stranded and shielded communication cable.
3. The unit controls shall operate the indoor unit using one of the five operating modes:

   a. Auto changeover (Heat Recovery System only)
   b. Heating
   c. Cooling
   d. Dry
   e. Fan only

4. Refer to the Controls Article in this Specification.

H. Electrical:

1. The unit electrical power shall be 208-230/1/60 (V/Ph/Hz)
2. The unit shall be capable of operating within voltage limits of +/- 10% of the rated voltage.

I. Controls:

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1. Unit shall use controls provided by the manufacturer to perform all functions necessary to operate the system effectively and efficiently and communicate with the outdoor unit over an RS485 daisy chain.

2. Refer to the Controls Article in this Specification.

2.5 DUCTED – HIGH STATIC

A. General:

1. Unit shall be factory assembled, wired, piped and run tested.
2. Unit shall be designed to be installed for indoor application.
3. Unit shall be designed to mount fully concealed above the finished ceiling.
4. Unit shall have opening to supply air from front horizontal and a dedicated rear horizontal return.
5. The supply air shall be flanged for field installed ductwork that shall not exceed the external static pressure limitation of the unit.
6. Unit shall be capable to be installed with heat pump or heat recovery or cooling VRF system.

B. Casing/Panel

1. Unit case shall be manufactured using galvanized steel plate.
2. The cold surfaces of the unit shall be covered internally with a coated polystyrene insulating material.
3. The cold surfaces of the unit shall be covered externally with sheet insulation made of Ethylene Propylene Diene Monomer (M-Class) (EPDM)
4. The external insulation shall be plenum rated and conform to ASTM Standard D-1418.
5. Unit shall be provided with hanger brackets designed to support the unit weight on four corners.
6. Hanger brackets shall have pre-punched holes designed to accept field supplied, all thread rod hangers.

C. Cabinet Assembly:

1. Unit shall have supply air discharge outlets horizontal and a return air inlet horizontal.
2. Unit shall be equipped with factory installed temperature thermistors for:

   a. Return air
   b. Refrigerant entering coil
   c. Refrigerant leaving coil

3. Unit shall have a factory assembled, piped and wired electronic expansion valve (EEV) for refrigerant control.
4. Unit shall have a built-in control panel to communicate with other indoor units and to the outdoor unit.
5. Unit shall have the following functions as standard:

   a. Self-diagnostic function
   b. Auto addressing

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c. Auto restart function
d. Auto changeover function (Heat Recovery system only)
e. Auto operation function
f. Child lock function
g. Forced operation
h. Dual thermistor control
i. Sleep mode
j. External static pressure (ESP) control
k. Dual setpoint control
l. Multiple aux heater applications
m. Filter life and power consumption display

D. Fan Assembly:

1. The unit shall have two direct drive Sirocco fans made of high strength ABS GP-2200 polymeric resin.
2. The fan impeller shall be statically and dynamically balanced.
3. The fans shall be mounted on a common shaft.
4. The fan motor is Brushless Digitally controlled (BLDC) with permanently lubricated and sealed ball bearings.
5. The fan motor shall include thermal, overcurrent and low RPM protection.
6. The fan/motor assembly shall be mounted on vibration attenuating rubber grommets.
7. The fan speed shall be controlled using microprocessor based direct digitally controlled algorithm.
8. In cooling mode, the indoor fan shall have the following settings: Low, Med, High, Power Cool, and Auto.
9. In heating mode, the indoor fan shall have the following settings: Low, Med, High, and Auto.
10. Each of the settings can be field adjusted from the factory setting (RPM/ESP).
11. Unit shall be designed for high speed air volume against an external static pressure of up to 0.98” water gauge.

E. Filter Assembly:

1. The return air inlet shall have a factory supplied removable, washable filter with antifungal treatment.
2. The filter access shall be from the rear of the unit.

F. Coil Assembly:

1. Unit shall have a factory built coil comprised of aluminum fins mechanically bonded on copper tubing.
2. The copper tubing shall have inner grooves for high efficiency heat exchanger.
3. Unit shall have a minimum 2-3 row coil, 19-21 fins per inch.
4. Unit shall have a factory supplied condensate drain pan below the coil constructed of HIPS (high impact polystyrene resin).
5. Unit shall include an installed and wired condensate drain pump capable of providing minimum 27.5 inch lift from bottom surface of the unit.
6. The drain pump shall have a safety switch to shut off the unit if condensate rises too high in the drain pan.
7. Unit shall have provision of 45° flare refrigerant pipe connections.

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8. The coil shall be factory pressure tested at a minimum of 551 psig.
9. All refrigerant piping from outdoor unit to indoor unit shall be field insulated.

G. Microprocessor Control:

1. The unit shall have a factory installed microprocessor controller capable of performing functions necessary to operate the system.
2. The unit shall be able to communicate with other indoor units and the outdoor unit using a field supplied minimum of 18 AWG, 2 core, stranded and shielded communication cable.
3. The unit controls shall operate the indoor unit using one of the five operating modes:

   a. Auto changeover (Heat Recovery System only)
   b. Heating
   c. Cooling
   d. Dry
   e. Fan only

4. Refer to the Controls Article in this Specification.

H. Electrical:

1. The unit electrical power shall be 208-230/1/60 (V/Ph/Hz)
2. The unit shall be capable of operating within voltage limits of +/- 10% of the rated voltage.

I. Controls:

1. Unit shall use controls provided by the manufacturer to perform all functions necessary to operate the system effectively and efficiently and communicate with the outdoor unit over an RS485 daisy chain.
2. Refer to the Controls Article in this Specification.

2.6 CONTROLS

A. General

1. Systems Description:

   a. The Controls Network shall be the integrated controls platform for Variable Refrigerant Flow (VRF) systems. The customizable Controls Network allows the level of control to match the needs of the building and its occupants. Controls Network offers several controller options for the occupant(s) of the space, central controller options for facilities management personnel, application controllers for third-party equipment control, and BACnet™ interfaces for integration with Building Management Systems (BMS).

2. Quality Assurance:

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a. The units shall be manufactured in a facility registered to ISO 9001 and ISO 14001, which are environmental protection standards set by the International Organization for Standardization (ISO).
b. All wiring shall be in accordance with the National Electrical Code (NEC).
c. The units shall be listed by Electrical Testing Laboratories (ETL) and bear the ETL label.

3. Storage and Handling

a. All VRF controllers shall be stored and protected from weather, extreme temperature, etc., as suggested by the manufacturer. All LG VRF controllers shall be moved, lifted, etc., as recommended by the manufacturer.

B. Limited Warranty

1. All VRF controllers shall be stored and protected from weather, extreme temperature, etc., as suggested by the manufacturer. All VRF controllers shall be moved, lifted, etc., as suggested by the manufacturer.

C. Remote Controllers

1. Simple Remote Controller

a. Overview: The Simple Remote Controller for shall be capable of monitoring and controlling up to 16 indoor units (1 group). The Simple Remote Controller shall be capable of controlling the group in terms of On/Off, Mode of Operation, Fan Speed, and space temperature set point based on the available functions of the connected system. Additionally, the Simple Remote Controller Remote Controller will be available with or without Mode of Operation control, and offered in two colors, black or white.
b. General:
1) The Simple Remote Controller shall be compatible with the VRF indoor unit.
2) The Simple Remote Controller shall communicate to the VRF indoor unit via included communications cable.
3) The Simple Remote Controller shall be approximately 2-3/4” W x 4-3/4” H x 9/16” D in size.
4) The Simple Remote Controller shall have an internal infrared receiver for use with the Handheld Wireless Remote Controller for VRF systems.
5) The Simple Remote Controller shall be able to display temperature in °F or °C based on user settings.
6) The Simple Remote Controller shall be able to monitor and control up to sixteen indoor units (one group) as a single zone.
7) Up to two Simple Remote Controllers shall be connectable to a single group and operate in a master/slave configuration.
8) The Simple Remote Controller shall be shipped with a communications cable for connection to VRF indoor units.

c. Basic Function

Function	Description	Monitor	Control
On/Off	On/Off operation for group	X	X

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Mode of Operation**	Mode of Operation for group (Heat/Cool/Fan/Dry/Auto)	X	X
Set Point	Space temperature set point for group. Setting temperature range 64°F-84°F depending on operation mode and connected equipment.	X	X
Space Temperature	Display measured space temperature	X	
Fan Speed	Select fan speeds	X	X

d. Electrical
   1) The Simple Remote Controller shall be powered via the LG Multi V™ VRF indoor units.

2. Remote Temperature Sensor:

   a. Overview: The Remote Temperature Sensor for VRF systems shall be capable of measuring space temperature remotely for VRF indoor units.

   b. General:
      1) The Remote Temperature Sensor shall be compatible with VRF indoor units.
      2) The Remote Temperature Sensor shall communicate to the VRF indoor unit via included fifty-foot communications cable.
      3) The Remote Temperature Sensor shall be approximately 2-3/4" W x 4-3/4" H x 9/16" D in size.
      4) The Simple Remote Controller shall be shipped with a communications cable for connection to VRF indoor units.

   c. Basic Functions:

Function	Description	Monitor	Control
Space Temperature	Measured space temperature.	X	

D. Integration Solutions and BMS Interface

1. ACP BACnet Gateway
   a. The Advanced Control Platform (ACP) BACnet™ Gateway shall be capable of controlling up to 256 indoor units and 64 outdoor units through its embedded web browser. The ACP BACnet Gateway provides multiple energy management schemes and integrates with third-party Building Automation Systems. Additionally, the ACP BACnet Gateway shall be capable of providing daily, weekly, yearly, and holiday programmable scheduling of Occupied/Unoccupied settings, On/Off, Mode of Operation, set point and fan speed based on the available functions of the connected system.

   b. General:
      1) The ACP BACnet Gateway shall communicate to the VRF indoor unit via the VRF RS-485 daisy chain communication protocol.

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2) The ACP BACnet Gateway shall communicate to a third-party Building Automation System via BACnet/IP.
3) The ACP BACnet Gateway shall have web browser graphical user interface access with user control.
4) The ACP BACnet Gateway shall have operation and error history log with reporting capabilities.
5) The ACP BACnet Gateway shall be able to monitor and control up to 256 indoor units in groups or as a single zone.
6) The ACP BACnet Gateway shall have two setpoint auto changeover.
7) The ACP BACnet Gateway shall have occupied/unoccupied setpoint control.
8) The ACP BACnet Gateway shall have remote zone controller lockout (Setpoint, Mode).
9) The ACP BACnet Gateway shall be BTL certified.
10) The ACP BACnet Gateway shall be able to support registration as a foreign device.

c. Basic Function:

Function	Description	Monitor	Control
On/Off	On/Off operation for group	X	X
Mode of Operation	Mode of Operation for group (Heat/Cool/Fan/Auto/Dry)	X	X
Set Point	Space temperature setpoint for group. Setting temperature range 64°F-84°F depending on operation mode and connected equipment.	X	X
Space Temperature	Display measured space temperature through graphical interface	X	
Fan Speed	Select fan speeds Hi-Mid1-Mid2-Low-Auto	X	X
Airflow Direction	Select air direction settings Auto/Swing/Fixed	X	X
Group Control	Control and Monitor a group or multiple groups	X	X
Selectable Temperature	Degree °F or Degree °C		

Available functions/features may differ based on connected system.

d. Advanced Functions:

Function	Description	Monitor	Control
Schedule	Daily, Weekly, Yearly and Holiday programmable schedule Minimum of 5 events per day with On/Off, Occupied/Unoccupied, Mode, Set temperature, and Fan	X	X
Timed Override	Timed override of Unoccupied set-	X	X

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	tings		
Occupied/Unoccupied Setting	Ability to have different settings for both modes	X	X
Energy Use Display	Display actual operational time and power consumption.	X	X
Operation Run Time Limit	Limit the run time of an indoor unit	X	X
Auto Changeover Deadband Adjust	Automatically manage room temperature for heating and cooling	X	X
Web Browser Interface	Graphical web browser interface	X	X

e. Electrical:
   1) Advanced Control Platform (ACP) BACnet Gateway Power shall be 24VAC.

E. BACnet Interface:
   1. Manufacturer's Control Systems shall allow existing Building Automation System (BAS) (Siemen's BAS Controls) to interface the BACnet Open Communication protocol for the following readable and writable points.

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		AC UNIT		
		Function	**Object Type**	**Description**
Control		On/Off (Setting)	BO	Start and Stop the unit
		Lock (Setting)	BO	Sets the remote controller lock function
		Filter Sign reset	BV	Resets the filter limit indicator
		Operation Mode (Setting)	MO	Sets the operation mode of the unit
		Swing (Setting)	BO	Sets the air direction of the unit
		Fan Speed (Setting)	MO	Sets the airflow of the unit
		Set Room Temperature	AV	Sets the room temperature setpoint of the unit
		Set Upper Temperature (Setting)	AV	Sets Setpoint upper range limit value
		Set Lower Temperature (Setting)	AV	Sets Setpoint lower range limit value
		Mode Lock (Setting)	BO	Set the remote controller Mode Lock
		Fan Lock (Setting)	BO	Sets the remote controller Fan Speed Lock
		Occupancy (Setting)	BO	Sets the Occupancy of the unit
		Two Set Cooling Set Temperature (Setting)	AV	Sets the two setpoint cooling setpoint
		Two Set Heating Set Temperature (Setting)	AV	Sets the two setpoint heating setpoint
		Two Set Cooling Upper Temperature (Setting)	AV	Sets the two setpoint upper cooling setpoint
		Two Set Heating Upper Temperature (Setting)	AV	Sets the two setpoint upper heatiing setpoint
		Two Set Cooling Lower Temperature (Setting)	AV	Sets the two setpoint lower cooling setpoint
		Two Set Heating Lower Temperature (Setting)	AV	Sets the two setpoint lower heating setpoint
Monitor		On/Off (Status)	BI	Monitors On/Off status
		Lock (Status)	BI	Indicates of the remote controller lock function is active
		Filter Sign	BI	Monitors the status of the filter indicator
		Operation Mode (Status)	MI	Monitors the operation mode of the unit
		Swing (Status)	BI	Monitors the seing mode of the unit
		Fan Speed (Status)	MI	Monitors the airflow of the unit
		Room Temperature	AI	Monitors the room temperature value
		Alarm	BI	Monitors whether the unit or system is operating properly
		Error Code	AI	Indicates the respective error code that occurred from the system
		Set Temperature (Status)	AI	Monitors room temperature setpoint value
		Accumulator Power Distribution (Status)	AI	Monitors the accumulated power value from the Power Distribution Indicator (PDI) Unit
		Set Upper Tempaerature (Status)	AI	Indicates Setpoint upper range limit value
		Set Lower Temperature (Status)	AI	Indicates Setpoint lower range limit value
		Mode Lock (Status)	BI	Indicates if the remote controller Mode Lock function is active
		Fan Lock (Status)	BI	Indicates if the remote controller Fan Speed Lock function is active
		Occupancy (Status)	BI	Monitors the occupancy of the unit
		Two Set Cooling Set Temperature (Status)	AI	Monitors the two setpoint cooling setpoint
		Two Set Heating Set Temperature (Status)	AI	Monitors the two setpoint heating setpoint
		Two Set Cooling Upper Temperature (Status)	AI	Monitors the two setpoint upper cooling setpoint
		Two Set Heating Upper Temperature (Status)	AI	Monitors the two setpoint upper heatiing setpoint
		Two Set Cooling Lower Temperature (Status)	AI	Monitors the two setpoint lower cooling setpoint
		Two Set Heating Lower Temperature (Status)	AI	Monitors the two setpoint lower heating setpoint
		Thermo Status (Status)	MI	Monitors active Thermo status of unit

		Function	Object Type	Description
Control		ON/OFF (Setting)	BO	Starts and stops the unit
		Lock (Setting)	BO	Sets the remote controller lock function
		Filter Sign Reset	BV	Resets the filter limit indicator
		Operation Mode (Setting)	MO	Sets the operation mode of the unit
		Fan Speed (Setting)	MO	Sets the airflow of the unit
		User Mode (Setting)	MO	Sets the operation mode of the Ventilator
		AC Operation Mode (Setting)	MO	Sets the operation mode of the DX Ventilator
		AC ON/OFF (Setting)	BO	Starts and stops the DX Ventilator
		AC Humidify (Setting)	BO	Starts Humidify function of the DX Ventilator
Monitor		ON/OFF (Status	BI	Monitors ON/OFF status
		Lock (Status)	BI	Indicates if the remote controller lock function is active
		Filter Sign	BI	Monitors the status of the filter indicator
		Operation Mode (Status)	MI	Monitors the operation mode of the unit
		Fan Speed (Status)	MI	Monitors the airflow of the unit
		Alarm	BI	Monitors whether the unit or system is operating properly
		Error Code	AI	Indicates the respective error code that occurred from the system
		User Mode (Status)	MI	Monitors the operation mode status of the Ventilator
		AC Operation Mode (Status)	MI	Monitors the operation mode status of the DX Ventilator
		AC ON/OFF (Status)	BI	Monitors ON/OFF status of the DX Ventilator
		AC Humidify (Status)	BI	Monitors Humidify function status of the DX Ventilator

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		Hydrokit		
		Function	**Object Type**	**Description**
Control		Run/Stop (Setting)	BO	Start and stop the unit
		Lock (Setting)	BO	Sets the remote controller lock function
		Operation Mode (Setting)	MO	Set the operation mode of the unit
		Set Room Temperature (Setting)	AO	Sets the room temperature setpoint of the unit
		Set Hot Water Temperature (Setting)	AV	Sets the hot water temperature setpoint
		Set PipeOut Water Temperature (Setting)	AV	Sets the pipeout hot water temperature setpoint
		HotWater On/Off (Setting)	BO	Sets the hot water supply mode
Monitor		Run/Stop (Status)	BI	Monitors unit ON/OFF status
		Lock (Status)	BI	Indicates if the remote controller lock function is active
		Operation Mode (Status)	MI	Monitors the operation mode of the unit
		Set Room Temperature (Status)	AI	Monitors room temerature setpoint value
		Set Hot Water Temperature (Status)	AI	Monitors hot water temperature setpoint
		Set PipeOut Water Temperature (Status)	AI	Monitors the pipeout hot water temperature setpoint
		Setting Temperature Reference (Air/Water)	BI	Monitors the control of the unit according to air temperature or pipe out water temperature
		Hot Water Only Mode	BI	Indicates if the unit is hot water only
		Current Room Temperature	AI	Monitors the room temperature value
		Alarm Event	BI	Monitors whether the unit or system is operating properly
		Malfunction Code	AI	Monitors the pipe inlet temperature
		HotWater On/Off (Status)	BI	Monitors hot water supply mode
		Pipe Inlet Temperature Status	AI	Monitors the pipe inlet temperature
		Water Tank Temperature Status	AI	Monitors hot water storage tank temperature
		Solar Temperature Status	AI	Monitors solar heating circuit temperature
		Pipe Outlet Temperature Status	AI	Monitors the pipe outlet temperature

PART 3 - EXECUTION

3.1 EXAMINATION

A. Examine areas, with Installer present, to receive fan coil units for compliance with requirements for installation tolerances and other conditions affecting performance of the Work.

B. Examine roughing-in for piping and electrical connections to verify actual locations before fan coil unit installation.

C. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 INSTALLATION

A. Install fan coil units level and plumb.

B. Install fan coil units to comply with NFPA 90A.

C. Verify locations of thermostats, humidistats, and other exposed control sensors with Drawings and room details before installation. Install devices 48 inches above finished floor.

D. Install new filters in each fan coil unit within two (2) weeks after Substantial Completion.

3.3 CONNECTIONS

A. Piping installation requirements are specified in other Sections. Drawings indicate general arrangement of piping, fittings, and specialties. Specific connection requirements are as follows:

1. Install piping adjacent to machine to allow service and maintenance.

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2. Connect piping to fan coil unit factory hydronic piping package. Install piping package if shipped loose.
3. Connect condensate drain to indirect waste.

    a. Install condensate trap of adequate depth to seal against fan pressure. Install cleanouts in piping at changes of direction.

B. Connect supply-air and return-air ducts to fan coil units with flexible duct connectors specified in Section 23 33 00 "Air Duct Accessories." Comply with safety requirements in UL 1995 for duct connections.

C. Ground equipment according to Section 26 05 26 "Grounding and Bonding for Electrical Systems."

D. Connect wiring according to Section 26 05 19 "Low-Voltage Electrical Power Conductors and Cables."

3.4 FIELD QUALITY CONTROL

A. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.

B. Perform the following tests and inspections with the assistance of a factory-authorized service representative:

1. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
2. Operate electric heating elements through each stage to verify proper operation and electrical connections.
3. Test and adjust controls and safety devices. Replace damaged and malfunctioning controls and equipment.

C. Remove and replace malfunctioning units and retest as specified above.

D. Prepare test and inspection reports.

3.5 ADJUSTING

A. Adjust initial temperature and humidity set points.

B. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two (2) visits to Project during other-than-normal occupancy hours for this purpose.

3.6 DEMONSTRATION

A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain fan coil units.

END OF SECTION

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SECTION 26 05 19

LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Building wires and cables rated 2000 V and less.
2. Connectors, splices, and terminations rated 2000 V and less.

B. Related Requirements:

1. Section 26 05 23 "Control-Voltage Electrical Power Cables" for control systems communications cables and Classes 1, 2, and 3 control cables.

1.3 DEFINITIONS

A. EMT: Electrical Metallic Tubing.

B. GRC: Galvanized Rigid Conduit.

C. PVC: Polyvinyl chloride.

D. VFC: Variable-frequency controller.

1.4 ACTION SUBMITTALS

A. Product Data: For each type of product.

B. Product Schedule: Indicate type, use, location, and termination locations.

1.5 INFORMATIONAL SUBMITTALS

A. Qualification Data: For testing agency.

B. Field quality-control reports.

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1.6 QUALITY ASSURANCE

A. Testing Agency Qualifications: Member company of NETA.

1. Testing Agency's Field Supervisor: Certified by NETA to supervise on-site testing.

PART 2 - PRODUCTS

2.1 CONDUCTORS AND CABLES

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Alpha Wire Company.
2. American Bare Conductor.
3. Belden Inc.
4. Cerro Wire LLC.
5. Encore Wire Corporation.
6. General Cable Technologies Corporation.
7. Service Wire Co.
8. Southwire Company.
9. WESCO.

B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

C. Conductor and Cable Marking: Comply with wire and cable marking according to UL's "Wire and Cable Marking and Application Guide."

D. Comply with UL 1277, UL 1685, and NFPA 70 for Type TC-ER cable used in VFC circuits.

E. Conductors: Copper, complying with NEMA WC 70/ICEA S-95-658.

1. Conductor Insulation: Comply with NEMA WC 70/ICEA S-95-658 for Type THHN/THWN-2, Type XHHW-2 and Type SO.
2. PV Conductor Insulation: Comply with UL 4703.

F. Cable: Comply with NEMA WC 70/ICEA S-95-658 for armored cable, Type AC metal-clad cable, Type MC Type SE and Type SO with ground wire.

2.2 CONNECTORS AND SPLICES

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. 3M Electrical Products.
2. AFC Cable Systems; a part of Atkore International.
3. Gardner Bender.
4. Hubbell Power Systems, Inc.

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5. Ideal Industries, Inc.
6. ILSCO.
7. NSi Industries LLC.
8. O-Z/Gedney; a brand of Emerson Industrial Automation.
9. Service Wire Co.
10. TE Connectivity Ltd.
11. Thomas & Betts Corporation; A Member of the ABB Group.

B. Description: Factory-fabricated connectors and splices of size, ampacity rating, material, type, and class for application and service indicated; listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

PART 3 - EXECUTION

3.1 CONDUCTOR MATERIAL APPLICATIONS

A. Feeders: Copper; solid for No. 10 AWG and smaller; stranded for No. 8 AWG and larger.

B. Branch Circuits: Copper. Solid for No. 12 AWG and smaller; stranded for No. 10 AWG and larger.

3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS

A. Service Entrance: Type THHN/THWN-2, single conductors in raceway, Type XHHW-2, single conductors in raceway (GRC, unless otherwise noted).

B. Exposed Feeders: Type THHN/THWN-2, single conductors in raceway, Type XHHW-2, single conductors in raceway (GRC).

C. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspaces: Type THHN/THWN-2, single conductors in raceway, Metal-clad cable, Type MC.

D. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN/THWN-2, single conductors in raceway and Type XHHW-2, single conductors in raceway.

E. Exposed Branch Circuits, Including in Crawlspaces: Type THHN/THWN-2, single conductors in raceway (GRC). However, EMT may be used at or above 18 feet of finished floor. Metal-clad cable, Type MC can be used for lighting fixture connection at ceiling level only from box to fixed mounted outlet/device lighting fixture.

F. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type THHN/THWN-2, single conductors in raceway, Armored cable, Type AC and Metal-clad cable, Type MC.

G. Branch Circuits Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN/THWN-2, single conductors in raceway and Type XHHW-2, single conductors in raceway (GRV or PVC).

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H. Cord Drops and Portable Appliance Connections: Type SO, hard service cord with stainless-steel, wire-mesh, strain relief device at terminations to suit application.

3.3 INSTALLATION OF CONDUCTORS AND CABLES

A. Conceal cables in finished walls, ceilings, and floors unless otherwise indicated.

B. Complete raceway installation between conductor and cable termination points according to Section 26 05 33 "Raceways and Boxes for Electrical Systems" prior to pulling conductors and cables.

C. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.

D. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.

E. Install exposed conduit parallel and perpendicular to surfaces or metal walls panels exposed structural members, and follow surface contours where possible.

F. Support cables according to Section 26 05 29 "Hangers and Supports for Electrical Systems."

3.4 CONNECTIONS

A. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A-486B.

B. Make splices, terminations, and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.

C. Wiring at Outlets: Install conductor at each outlet, with at least 6 inches of slack.

3.5 IDENTIFICATION

A. Identify and color-code conductors and cables according to Section 26 05 53 "Identification for Electrical Systems."

B. Identify each spare conductor at each end with identity number and location of other end of conductor, and identify as spare conductor.

3.6 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 26 05 44 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

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3.7 FIRESTOPPING

A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly according to Section 07 84 13 "Penetration Firestopping."

3.8 FIELD QUALITY CONTROL

A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.

B. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.

C. Perform the following tests and inspections:

1. After installing conductors and cables and before electrical circuitry has been energized, test service entrance and feeder conductors for compliance with requirements.

2. Perform each of the following visual and electrical tests:

a. Inspect exposed sections of conductor and cable for physical damage and correct connection according to the single-line diagram.
b. Test bolted connections for high resistance using one of the following:

1) A low-resistance ohmmeter.

c. Inspect compression applied connectors for correct cable match and indentation.
d. Inspect for correct identification.
e. Inspect cable jacket and condition.
f. Insulation-resistance test on each conductor with respect to ground and adjacent conductors. Apply a potential of 500-V dc for 300-V rated cable and 1000-V dc for 600-V rated cable for a one-minute duration.
g. Continuity test on each conductor and cable.
h. Uniform resistance of parallel conductors.

D. Cables will be considered defective if they do not pass tests and inspections.

E. Prepare test and inspection reports to record the following:

1. Procedures used.
2. Results that comply with requirements.
3. Results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

END OF SECTION

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SECTION 26 05 23

CONTROL-VOLTAGE ELECTRICAL POWER CABLES

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PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Multimode optical-fiber cabling.
2. UTP cabling.
3. RS-485 cabling.
4. Low-voltage control cabling.
5. Control-circuit conductors.
6. Identification products.

1.3 DEFINITIONS

A. EMI: Electromagnetic interference.

B. Low Voltage: As defined in NFPA 70 for circuits and equipment operating at less than 50 V or for remote-control and signaling power-limited circuits.

C. Plenum: A space forming part of the air distribution system to which one or more air ducts are connected. An air duct is a passageway, other than a plenum, for transporting air to or from heating, ventilating, or air-conditioning equipment.

D. RCDD: Registered Communications Distribution Designer.

E. UTP: Unshielded twisted pair.

1.4 ACTION SUBMITTALS

A. Product Data: For each type of product.

1.5 INFORMATIONAL SUBMITTALS

A. Source quality-control reports.

B. Field quality-control reports.

1.6 QUALITY ASSURANCE

A. Testing Agency Qualifications: Accredited by NETA.

1. Testing Agency's Field Supervisor: Currently certified by BICSI as an RCDD to supervise on-site testing.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

2.2 PERFORMANCE REQUIREMENTS

A. Flame Travel and Smoke Density in Plenums: As determined by testing identical products according to NFPA 262, by a qualified testing agency. Identify products for installation in plenums with appropriate markings of applicable testing agency.

1. Flame Travel Distance: 60 inches or less.
2. Peak Optical Smoke Density: 0.5 or less.
3. Average Optical Smoke Density: 0.15 or less.

B. Flame Travel and Smoke Density for Riser Cables in Non-Plenum Building Spaces: As determined by testing identical products according to UL 1666.

C. Flame Travel and Smoke Density for Cables in Non-Riser Applications and Non-Plenum Building Spaces: As determined by testing identical products according to UL 1685.

2.3 BACKBOARDS

A. Description: Plywood, fire-retardant treated, 3/4 by 48 by 96 inches unless noted otherwise. Comply with requirements for plywood backing panels in Section 061000 "Rough Carpentry."

B. Painting: Paint plywood on all sides and edges with 2 coats of white alkyd paint. Comply with requirements in Section 099123 "Interior Painting."

2.4 OPTICAL-FIBER CABLE

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. 3M.

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2. AMP NETCONNECT; a TE Connectivity Ltd. company.
3. Belden CDT Networking Division/NORDX.
4. Belden Inc.
5. Berk-Tek Leviton; a Nexans/Leviton alliance.
6. CommScope, Inc.
7. Corning Cable Systems.
8. Emerson Connectivity Solutions.
9. General Cable; General Cable Corporation.
10. Mohawk; a division of Belden Networking, Inc.
11. Nexans.
12. Optical Connectivity Solutions Division.
13. Siemon.
14. Siemon Co. (The).
15. Superior Essex Inc.
16. SYSTIMAX Solutions; a CommScope Inc. brand.
17. TE Connectivity Ltd.

B. Description: Multimode, 50/125 and 62.5/125-micrometer, 12 Strand-fiber, nonconductive, tight-buffer, optical-fiber cable.

1. Comply with ICEA S-83-596 for mechanical properties.
2. Comply with TIA-568-C.3 for performance specifications.
3. Comply with TIA-492AAAB-A and TIA-492AAAA-B for detailed specifications.
4. Listed and labeled by an NRTL acceptable to authorities having jurisdiction as complying with UL 444, UL 1651, and NFPA 70 for the following types:

   a. Plenum Rated, Nonconductive: Type OFNP, complying with NFPA 262.
   b. Plenum Rated, Nonconductive: Type OFNP in listed plenum communications raceway.
   c. Plenum Rated, Nonconductive: Type OFN, Type OFNG, Type OFNP, or Type OFNR in metallic conduit.
   d. Plenum Rated, Nonconductive: Type OFNP, complying with NFPA 262; Type OFNP in listed plenum communications raceway; or Type OFN, Type OFNG, Type OFNP, or Type OFNR in metallic conduit.
   e. Riser Rated, Nonconductive: Type OFNR or Type OFNP, complying with UL 1666.
   f. Riser Rated, Nonconductive: Type OFNP or Type OFNR in listed riser or plenum communications raceway.
   g. Riser Rated, Nonconductive: Type OFN, Type OFNG, Type OFNP, or Type OFNR in metallic conduit installed per NFPA 70, Article 300.22, "Wiring in Ducts, Plenums, and Other Air-Handling Spaces."
   h. General Purpose, Nonconductive: Type OFN or Type OFNG.
   i. General Purpose, Nonconductive: Type OFNR or Type OFNP.
   j. General Purpose, Nonconductive: Type OFN, Type OFNG, Type OFNP, or Type OFNR in listed communications raceway.
   k. General Purpose, Nonconductive: Type OFN, Type OFNG, Type OFNP, or Type OFNR in metallic conduit.
   l. Plenum Rated, Conductive: Type OFCP or Type OFNP, complying with NFPA 262.
   m. Plenum Rated, Conductive: Type OFCP, or Type OFNP in listed plenum communications raceway.

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n. Plenum Rated, Conductive: Type OFC, Type OFN, Type OFCG, Type OFNG, Type OFCP, Type OFNP, Type OFCR, or Type OFNR in metallic conduit installed per NFPA 70, Article 300.22, "Wiring in Ducts, Plenums, and Other Air-Handling Spaces."
o. Riser Rated, Conductive: Type OFCR; or Type OFNR, Type OFCP, or Type OFNP; complying with UL 1666 and ICEA S-103-701.
p. Riser Rated, Conductive: Type OFCP, Type OFNP, or Type OFCR or Type OFNR in listed riser or plenum communications raceway.
q. Riser Rated, Conductive: Type OFC, Type OFN, Type OFCG, Type OFNG, Type OFCP, Type OFNP, Type OFCR, or Type OFNR in metallic conduit.
r. General Purpose, Conductive: Type OFC or Type OFCG; or Type OFC, Type OFN, Type OFCG, Type OFNG, Type OFCR, Type OFNR, Type OFCP, or Type OFNP.
s. General Purpose, Conductive: Type OFC, Type OFN, Type OFCG, Type OFNG, Type OFCP, Type OFNP, Type OFCR, or Type OFNR in listed communications raceway.
t. General Purpose, Conductive: Type OFC, Type OFN, Type OFCG, Type OFNG, Type OFCP, Type OFNP, Type OFCR, or Type OFNR in metallic conduit.

5. Conductive cable shall be steel or aluminum-armored type.
6. Maximum Attenuation: 3.5 dB/km at 850 nm; 1.5 dB/km at 1300 nm.
7. Minimum Modal Bandwidth: 160 MHz-km at 850 nm; 500 MHz-km at 1300 nm.

C. Jacket:

1. Jacket Color: Aqua for 50/125 and Orange for 62.5/125-micrometer cable.
2. Cable cordage jacket, fiber, unit, and group color shall be according to TIA-598-C.
3. Imprinted with fiber count, fiber type, and aggregate length at regular intervals not to exceed 40 inches.

2.5 OPTICAL-FIBER CABLE HARDWARE

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. ADC.
2. American Technology Systems Industries, Inc.
3. Belden CDT Networking Division/NORDX.
4. Belden Inc.
5. Berk-Tek Leviton; a Nexans/Leviton alliance.
6. Corning Cable Systems.
7. Dynacom Corporation.
8. Hubbell Premise Wiring.
9. Molex Premise Networks.
10. Optical Connectivity Solutions Division.
11. Panduit Corp.
12. Siemon Co. (The).

B. Cross-Connects and Patch Panels: Modular panels housing multiple-numbered, duplex cable connectors.

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1. Number of Connectors per Field: One for each fiber of cable or cables assigned to field, plus spares and blank positions adequate to suit specified expansion criteria.

C. Patch Cords: Factory-made, dual-fiber cables in 36-inch lengths.

D. Cable Connecting Hardware:

1. Comply with Optical Fiber Connector Intermateability Standards (FOCIS) specifications of TIA-604-2-B for Type ST connectors, TIA-604-3-B for Type SC connectors, TIA-604-10-B for Type LC connectors, TIA/EIA-604-12 for Type MT-RJ connectors, and TIA-604-5-D for Type MPO connectors. Comply with TIA-568-C.3.
2. Quick-connect, simplex and duplex, Type SC, Type ST, Type LC, Type MT-RJ and Type MPO Insert type connectors. Insertion loss of not more than 0.25 dB.
3. Type SFF connectors may be used in termination racks, panels, and equipment packages.

2.6 UTP CABLE

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. 3M.
2. ADC.
3. Alpha Wire Company.
4. AMP NETCONNECT; a TE Connectivity Ltd. company.
5. Belden CDT Networking Division/NORDX.
6. Berk-Tek Leviton; a Nexans/Leviton alliance.
7. CommScope, Inc.
8. Draka USA.
9. General Cable; General Cable Corporation.
10. Genesis Cable Products; Honeywell International, Inc.
11. KRONE Incorporated.
12. Mohawk; a division of Belden Networking, Inc.
13. Nexans.
14. Siemon.
15. Siemon Co. (The).
16. Superior Essex Inc.
17. SYSTIMAX Solutions; a CommScope Inc. brand.

B. Description: 100-ohm, four-pair UTP, 25-pair UTP covered with a thermoplastic jacket.

1. Comply with ICEA S-90-661 for mechanical properties of Category 5e cables.
2. Comply with ICEA S-102-700 for mechanical properties of Category 6 cables.
3. Comply with TIA-568-C.1 for performance specifications.
4. Comply with TIA-568-C.2, Category 6A. Match existing facility cabling unless noted otherwise.
5. Listed and labeled by an NRTL acceptable to authorities having jurisdiction as complying with NEMA WC 66, UL 444 and NFPA 70 for the following types:

   a. Communications, Plenum Rated: Type CMP complying with UL 1685 or Type CMP in listed plenum communications raceway.

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b. Communications, Plenum Rated: Type CM, Type CMG, Type CMP, Type CMR, or Type CMX in metallic conduit installed per NFPA 70, Article 300.22, "Wiring in Ducts, Plenums, and Other Air-Handling Spaces."

c. Communications, Riser Rated: Type CMR complying with UL 1666 and ICEA S-103-701.

2.7 UTP CABLE HARDWARE

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. ADC.
2. American Technology Systems Industries, Inc.
3. AMP NETCONNECT; a TE Connectivity Ltd. company.
4. Belden CDT Networking Division/NORDX.
5. Belden Inc.
6. Corning Cable Systems.
7. Dynacom Corporation.
8. Hubbell Incorporated; Wiring Device-Kellems.
9. Hubbell Premise Wiring.
10. KRONE Incorporated.
11. Leviton Manufacturing Co., Inc.
12. Molex Premise Networks.
13. Panduit Corp.
14. Siemon Co. (The).

B. General Requirements for Cable Connecting Hardware: Comply with TIA-568-C.2, IDC type, with modules designed for punch-down caps or tools. Cables shall be terminated with connecting hardware of same category or higher.

C. Connecting Blocks: 110 style IDC for Category 6. Provide blocks for the number of cables terminated on the block plus 25 percent spare. Integral with connector bodies, including plugs and jacks where indicated.

D. Cross-Connect: Modular array of connecting blocks arranged to terminate building cables and permit interconnection between cables.

1. Number of Terminals per Field: One for each conductor in assigned cables.

E. Patch Panel: Modular panels housing multiple-numbered jack units with IDC-type connectors at each jack for permanent termination of pair groups of installed cables.

1. Number of Jacks per Field: One for each four-pair conductor group of indicated cables, plus spares and blank positions adequate to suit specified expansion criteria.

F. Jacks and Jack Assemblies: 100-ohm, balanced, twisted-pair connector; four-pair, eight-position modular. Comply with TIA-568-C.1.

G. Patch Cords: Factory-made, four-pair cables in 36-inch lengths; terminated with eight-position modular plug at each end.

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1. Patch cords shall have bend-relief-compliant boots and color-coded icons to ensure Category 6 performance. Patch cords shall have latch guards to protect against snagging.
2. Patch cords shall have color-coded boots for circuit identification.

H. Workstation Outlets: Two-port-connector assemblies mounted in single or multigang faceplate.

I. Faceplates:

1. Plastic Faceplate: High-impact plastic. Coordinate color with Section 26 27 26 "Wiring Devices." The color shall be White unless noted otherwise.
2. For use with snap-in jacks accommodating any combination of UTP, optical-fiber, and coaxial work area cords.

a. Flush-mounted jacks, positioning the cord at a 45-degree angle.

J. Legend:

1. Machine printed, in the field, using adhesive-tape label. Clear with black letters
2. Snap-in, clear-label covers and machine-printed paper inserts.

2.8 TWIN-AXIAL DATA HIGHWAY CABLE

A. Standard Cable: NFPA 70, Type CM.

1. Paired, pairs, No. 20 No. 22 No. 24 AWG, stranded (7x28) (7x30) (7x32) tinned-copper conductors.
2. Polypropylene insulation.
3. Individual aluminum foil-polyester tape shielded pairs with 100 percent shield coverage.
4. PVC jacket.
5. Pairs are cabled on common axis with No. 24 AWG, stranded (7x32) tinned-copper drain wire.
6. Flame Resistance: Comply with UL 1685.

B. Plenum-Rated Cable: NFPA 70, Type CMP.

1. Paired, pairs, No. 20 No. 22 No. 24 AWG, stranded (7x28) (7x30) (7x32) tinned-copper conductors.
2. Plastic insulation.
3. Individual aluminum foil-polyester tape shielded pairs with 100 percent shield coverage.
4. Plastic jacket.
5. Pairs are cabled on common axis with No. 24 AWG, stranded (7x32) tinned-copper drain wire.
6. Flame Resistance: Comply with NFPA 262.

2.9 RS-485 CABLE

A. Standard Cable: NFPA 70, Type CMG.

1. Paired, two pairs, twisted, No. 22 AWG, stranded (7x30) tinned-copper conductors.

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2. PVC insulation.
3. Unshielded.
4. PVC jacket.
5. Flame Resistance: Comply with UL 1685.

B. Plenum-Rated Cable: NFPA 70, Type CMP.

1. Paired, two pairs, No. 22 AWG, stranded (7x30) tinned-copper conductors.
2. Fluorinated ethylene propylene insulation.
3. Unshielded.
4. Fluorinated ethylene propylene jacket.
5. Flame Resistance: NFPA 262.

2.10 LOW-VOLTAGE CONTROL CABLE

A. Paired Cable: NFPA 70, Type CMG.

1. Multi-pair, twisted, No. 16 AWG, stranded (19x29) tinned-copper conductors.
2. PVC insulation.
3. Unshielded.
4. PVC jacket.
5. Flame Resistance: Comply with UL 1685.

B. Plenum-Rated, Paired Cable: NFPA 70, Type CMP.

1. Multi-pair, twisted, No. 16 AWG, stranded (19x29) tinned-copper conductors.
2. PVC insulation.
3. Unshielded.
4. PVC jacket.
5. Flame Resistance: Comply with NFPA 262.

2.11 CONTROL-CIRCUIT CONDUCTORS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Encore Wire Corporation.
2. General Cable; General Cable Corporation.
3. Service Wire Co.
4. Southwire Company.

B. Class 1 Control Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway, Type THW, complying with UL 83 in raceway, Type XHHW-2, complying with UL 44 in raceway, Type TC, complying with UL 1277 in raceway and Type MC, complying with UL 1569.

C. Class 2 Control Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway, Type XHHW-2, complying with UL 44 in raceway and power-limited cable, concealed in building finishes.

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D. Class 3 Remote-Control and Signal Circuits: Stranded copper, Type THHN/THWN-2, complying with UL 83 in raceway. Type XHHW-2, complying with UL 44 in raceway, power-limited cable, concealed in building finishes and Type TW or Type TF, complying with UL 83, in raceway.

E. Class 2 Control Circuits and Class 3 Remote-Control and Signal Circuits That Supply Critical Circuits: Circuit Integrity (CI) cable.

1. Smoke control signaling and control circuits.

2.12 SOURCE QUALITY CONTROL

A. Testing Agency: Engage a qualified testing agency to evaluate cables.

B. Factory test UTP cables according to TIA-568-C.2.

C. Factory test optical-fiber cables according to TIA-568-C.3.

D. Cable will be considered defective if it does not pass tests and inspections.

E. Prepare test and inspection reports.

PART 3 - EXECUTION

3.1 EXAMINATION

A. Test cables on receipt at Project site.

1. Test optical-fiber cable to determine the continuity of the strand end to end. Use optical loss test set.
2. Test optical-fiber cable on reels. Use an optical time domain reflectometer to verify the cable length and locate cable defects, splices, and connector; include the loss value of each. Retain test data and include the record in maintenance data.
3. Test each pair of UTP cable for open and short circuits.

3.2 INSTALLATION OF RACEWAYS AND BOXES

A. Comply with requirements in Section 26 05 33 "Raceways and Boxes for Electrical Systems" for raceway selection and installation requirements for boxes, conduits, and wireways as supplemented or modified in this Section.

1. Outlet boxes shall be no smaller than 2 inches wide, 3 inches high, and 2-1/2 inches deep.
2. Outlet boxes for optical-fiber cables shall be no smaller than 4 inches square by 1-1/2 inches deep with extension ring sized to bring edge of ring to within 1/8 inch of the finished wall surface.
3. Flexible metal conduit shall not be used.

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B. Comply with TIA-569-C for pull-box sizing and length of conduit and number of bends between pull points.

C. Install manufactured conduit sweeps and long-radius elbows if possible.

D. Raceway Installation in Equipment Rooms:

1. Position conduit ends adjacent to a corner on backboard if a single piece of plywood is installed, or in the corner of the room if multiple sheets of plywood are installed around perimeter walls of the room.
2. Install cable trays to route cables if conduits cannot be located in these positions.
3. Secure conduits to backboard if entering the room from overhead.
4. Extend conduits 20 inches above finished floor unless noted otherwise.
5. Install metal conduits with grounding bushings and connect with grounding conductor to grounding system.

E. Backboards: Install backboards with 96-inch dimension vertical. Butt adjacent sheets tightly and form smooth gap-free corners and joints.

3.3 INSTALLATION OF CONDUCTORS AND CABLES

A. Comply with NECA 1.

B. General Requirements for Cabling:

1. Comply with TIA-568-C Series of standards.
2. Comply with BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems" and Ch. 6, "Optical Fiber Structured Cabling Systems."
3. Terminate all conductors and optical fibers; no cable shall contain unterminated elements. Make terminations only at indicated outlets, terminals, and cross-connect and patch panels.
4. Cables may not be spliced.
5. Secure and support cables at intervals not exceeding 30 inches and not more than 6 inches from cabinets, boxes, fittings, outlets, racks, frames, and terminals.
6. Bundle, lace, and train conductors to terminal points without exceeding manufacturer's limitations on bending radii, but not less than radii specified in BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems" and Ch. 6, "Optical Fiber Structured Cabling Systems." Install lacing bars and distribution spools.
7. Do not install bruised, kinked, scored, deformed, or abraded cable. Do not splice cable between termination, tap, or junction points. Remove and discard cable if damaged during installation and replace it with new cable.
8. Cold-Weather Installation: Bring cable to room temperature before dereeling. Do not use heat lamps for heating.
9. Pulling Cable: Comply with BICSI ITSIMM, Ch. 5, "Copper Structured Cabling Systems" and Ch. 6, "Optical Fiber Structured Cabling Systems." Monitor cable pull tensions.
10. Support: Do not allow cables to lay on removable ceiling tiles.
11. Secure: Fasten securely in place with hardware specifically designed and installed so as to not damage cables.

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C. UTP Cable Installation:

1. Comply with TIA-568-C.2.
2. Install termination hardware as specified in Section 27 15 00 "Communications Horizontal Cabling" unless otherwise indicated.
3. Do not untwist UTP cables more than 1/2 inch at the point of termination to maintain cable geometry.

D. Installation of Control-Circuit Conductors:

1. Install wiring in raceways. Comply with requirements specified in Section 26 05 33 "Raceways and Boxes for Electrical Systems."

E. Optical-Fiber Cable Installation:

1. Comply with TIA-568-C.3.
2. Terminate cable on connecting hardware that is rack or cabinet mounted.

F. Open-Cable Installation:

1. Install cabling with horizontal and vertical cable guides in telecommunications spaces with terminating hardware and interconnection equipment.
2. Suspend copper cable not in a wireway or pathway a minimum of 8 inches above ceilings by cable supports not more than 30 inches apart.
3. Cable shall not be run through or on structural members or in contact with pipes, ducts, or other potentially damaging items. Do not run cables between structural members and corrugated panels.

G. Separation from EMI Sources:

1. Comply with BICSI TDMM and TIA-569-C recommendations for separating unshielded copper voice and data communications cable from potential EMI sources including electrical power lines and equipment.
2. Separation between open communications cables or cables in nonmetallic raceways and unshielded power conductors and electrical equipment shall be as follows:

   a. Electrical Equipment or Circuit Rating Less Than 2 kVA: A minimum of 5 inches.
   b. Electrical Equipment or Circuit Rating between 2 and 5 kVA: A minimum of 12 inches.
   c. Electrical Equipment or Circuit Rating More Than 5 kVA: A minimum of 24 inches.

3. Separation between communications cables in grounded metallic raceways and unshielded power lines or electrical equipment shall be as follows:

   a. Electrical Equipment or Circuit Rating Less Than 2 kVA: A minimum of 2-1/2 inches.
   b. Electrical Equipment or Circuit Rating between 2 and 5 kVA: A minimum of 6 inches.
   c. Electrical Equipment or Circuit Rating More Than 5 kVA: A minimum of 12 inches.

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4. Separation between communications cables in grounded metallic raceways and power lines and electrical equipment located in grounded metallic conduits or enclosures shall be as follows:

   a. Electrical Equipment or Circuit Rating Less Than 2 kVA: No requirement.
   b. Electrical Equipment or Circuit Rating between 2 and 5 kVA: A minimum of 3 inches.
   c. Electrical Equipment or Circuit Rating More Than 5 kVA: A minimum of 6 inches.

5. Separation between Communications Cables and Electrical Motors and Transformers, 5 kVA or 5 HP and Larger: A minimum of 48 inches.
6. Separation between Communications Cables and Fluorescent Fixtures: A minimum of 5 inches.

3.4 REMOVAL OF CONDUCTORS AND CABLES

A. Remove abandoned conductors and cables. Abandoned conductors and cables are those installed that are not terminated at equipment and are not identified with a tag for future use.

3.5 CONTROL-CIRCUIT CONDUCTORS

A. Minimum Conductor Sizes:

   1. Class 1 remote-control and signal circuits; No 14 AWG.
   2. Class 2 low-energy, remote-control, and signal circuits; No. 16 AWG.
   3. Class 3 low-energy, remote-control, alarm, and signal circuits; No 12 AWG.

3.6 FIRESTOPPING

A. Comply with requirements in Section 07 84 13 "Penetration Firestopping."

B. Comply with TIA-569-C, Annex A, "Firestopping."

C. Comply with BICSI TDMM, "Firestopping" Chapter.

3.7 GROUNDING

A. For data communication wiring, comply with TIA-607-B and with BICSI TDMM, "Bonding and Grounding (Earthing)" Chapter.

B. For low-voltage control wiring and cabling, comply with requirements in Section 26 05 26 "Grounding and Bonding for Electrical Systems."

NOT FOR BIDDING PURPOSES

3.8 IDENTIFICATION

A. Comply with requirements for identification specified in Section 26 05 53 "Identification for Electrical Systems."

B. Identify data and communications system components, wiring, and cabling according to TIA-606-A; label printers shall use label stocks, laminating adhesives, and inks complying with UL 969.

3.9 FIELD QUALITY CONTROL

A. Manufacturer's Field Service: Engage a factory-authorized service representative to test and inspect components, assemblies, and equipment installations, including connections.

B. Perform tests and inspections with the assistance of a factory-authorized service representative.

C. Tests and Inspections:

1. Visually inspect UTP and optical-fiber cable jacket materials for UL or third-party certification markings. Inspect cabling terminations to confirm color-coding for pin assignments, and inspect cabling connections to confirm compliance with TIA-568-C.1.
2. Visually inspect cable placement, cable termination, grounding and bonding, equipment and patch cords, and labeling of all components.
3. Test UTP cabling for direct-current loop resistance, shorts, opens, intermittent faults, and polarity between conductors. Test operation of shorting bars in connection blocks. Test cables after termination, but not after cross-connection.

   a. Test instruments shall meet or exceed applicable requirements in TIA-568-C.2. Perform tests with a tester that complies with performance requirements in its "Test Instruments (Normative)" Annex, complying with measurement accuracy specified in its "Measurement Accuracy (Informative)" Annex. Use only test cords and adapters that are qualified by test equipment manufacturer for channel or link test configuration.

4. Optical Fiber Cable Tests:

   a. Test instruments shall meet or exceed applicable requirements in TIA-568-C.0. Use only test cords and adapters that are qualified by test equipment manufacturer for channel or link test configuration.
   b. Link End-to-End Attenuation Tests:

      1) Multimode Link Measurements: Test at 850 or 1300 nm in one direction according to TIA/EIA-526-14-A, Method B, One Reference Jumper.
      2) Attenuation test results for links shall be less than 2.0 dB that calculated according to equation in TIA-568-C.0.

D. Document data for each measurement. Print data for submittals in a summary report that is formatted using Table 10.1 in BICSI TDMM as a guide, or transfer the data from the instrument to the computer, save as text files, print, and submit.

NOT FOR BIDDING PURPOSES

E. End-to-end cabling will be considered defective if it does not pass tests and inspections.

F. Prepare test and inspection reports.

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 26 05 26

GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section includes grounding and bonding systems and equipment.

B. Section includes grounding and bonding systems and equipment, plus the following special applications:

1. Underground distribution grounding.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product indicated.

1.4 INFORMATIONAL SUBMITTALS

A. As-Built Data: Plans showing dimensioned as-built locations of grounding features specified in "Field Quality Control" Article, including the following:

1. Ground rods.
2. Ground rings.
3. Grounding arrangements and connections for separately derived systems.

B. Qualification Data: For testing agency and testing agency's field supervisor.

C. Field quality-control reports.

1.5 CLOSEOUT SUBMITTALS

A. Operation and Maintenance Data: For grounding to include in emergency, operation, and maintenance manuals.

NOT FOR BIDDING PURPOSES

1.6 QUALITY ASSURANCE

A. Testing Agency Qualifications: Certified by NETA.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Burndy; Part of Hubbell Electrical Systems.
2. Dossert; AFL Telecommunications LLC.
3. ERICO International Corporation.
4. Fushi Copperweld Inc.
5. Galvan Industries, Inc.; Electrical Products Division, LLC.
6. Harger Lightning & Grounding.
7. ILSCO.
8. O-Z/Gedney; a brand of Emerson Industrial Automation.
9. Robbins Lightning, Inc.
10. Siemens Power Transmission & Distribution, Inc.
11. Thomas & Betts Corporation; A Member of the ABB Group.
12. Topaz Electric; a division of Topaz Lighting Corp.

2.2 SYSTEM DESCRIPTION

A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

B. Comply with UL 467 for grounding and bonding materials and equipment.

2.3 CONDUCTORS

A. Insulated Conductors: Copper or tinned-copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.

B. Bare Copper Conductors:

1. Solid Conductors: ASTM B 3.
2. Stranded Conductors: ASTM B 8.
3. Tinned Conductors: ASTM B 33.
4. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG conductor, 1/4 inch in diameter.
5. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.
6. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.
7. Tinned Bonding Jumper: Tinned-copper tape, braided conductors terminated with copper ferrules; 1-5/8 inches wide and 1/16 inch thick.

NOT FOR BIDDING PURPOSES

C. Grounding Bus: Predrilled rectangular bars of annealed copper, 1/4 by 4 inches in cross section, with 9/32-inch holes spaced 1-1/8 inches apart. Stand-off insulators for mounting shall comply with UL 891 for use in switchboards, 600 V and shall be Lexan or PVC, impulse tested at 5000 V.

2.4 CONNECTORS

A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.

B. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.

C. Bus-Bar Connectors: Mechanical type, cast silicon bronze, solderless, compression-type wire terminals, and long-barrel, two-bolt connection to ground bus bar.

D. Bus-Bar Connectors: Compression type, copper or copper alloy, with two wire terminals.

E. Beam Clamps: Mechanical type, terminal, ground wire access from four directions, with dual, tin-plated or silicon bronze bolts.

F. Cable-to-Cable Connectors: Compression type, copper or copper alloy.

G. Conduit Hubs: Mechanical type, terminal with threaded hub.

H. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex head bolt or socket set screw.

I. Service Post Connectors: Mechanical type, bronze alloy terminal, in short- and long-stud lengths, capable of single and double conductor connections.

J. U-Bolt Clamps: Mechanical type, copper or copper alloy, terminal listed for direct burial.

K. Water Pipe Clamps:

1. Mechanical type, two pieces with stainless-steel bolts.

   a. Material: Die-cast zinc alloy.
   b. Listed for direct burial.

2. U-bolt type with malleable-iron clamp and copper ground connector or copper ground connector rated for direct burial.

NOT FOR BIDDING PURPOSES

PART 3 - EXECUTION

3.1 APPLICATIONS

A. Conductors: Install solid conductor for No. 8 AWG and smaller, and stranded conductors for No. 6 AWG and larger unless otherwise indicated.

B. Underground Grounding Conductors: Install bare copper conductor, No. 2/0 AWG minimum.

1. Bury at least 24 inches below grade.

C. Isolated Grounding Conductors: Green-colored insulation with continuous yellow stripe. On feeders with isolated ground, identify grounding conductor where visible to normal inspection, with alternating bands of green and yellow tape, with at least three bands of green and two bands of yellow.

D. Conductor Terminations and Connections:

1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
2. Connections to Ground Rods at Test Wells: Bolted connectors.
3. Connections to Structural Steel and all interior and exterior steel siding: Bolted connectors.

3.2 GROUNDING AT THE SERVICE

A. Equipment grounding conductors and grounding electrode conductors shall be connected to the ground bus. Install a main bonding jumper between the neutral and ground buses.

3.3 EQUIPMENT GROUNDING

A. Install insulated equipment grounding conductors with all feeders and branch circuits.

B. Air-Duct Equipment Circuits: Install insulated equipment grounding conductor to duct-mounted electrical devices operating at 120 V and more, including air cleaners, heaters, dampers, humidifiers, and other duct electrical equipment. Bond conductor to each unit and to air duct and connected metallic piping.

C. Water Heater, Heat-Tracing, and Antifrost Heating Cables: Install a separate insulated equipment grounding conductor to each electric water heater and heat-tracing cable. Bond conductor to heater units, piping, connected equipment, and components.

D. Isolated Grounding Receptacle Circuits: Install an insulated equipment grounding conductor connected to the receptacle grounding terminal. Isolate conductor from raceway and from panelboard grounding terminals. Terminate at equipment grounding conductor terminal of the applicable derived system or service unless otherwise indicated.

E. Poles Supporting Outdoor Lighting Fixtures: Install grounding electrode and a separate insulated equipment grounding conductor in addition to grounding conductor installed with branch-circuit conductors.

NOT FOR BIDDING PURPOSES

F. Metallic Fences: Comply with requirements of IEEE C2.

1. Grounding Conductor: Bare copper, not less than No. 8 AWG.
2. Gates: Shall be bonded to the grounding conductor with a flexible bonding jumper.

3.4 INSTALLATION

A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.

B. Ground Rods: Drive rods until tops are 2 inches below finished floor or final grade unless otherwise indicated.

1. Interconnect ground rods with grounding electrode conductor 24 inches below grade and as otherwise indicated. Make connections within the top 4 inches of the ground rod without exposing steel or damaging coating if any.
2. For grounding electrode system, install at least three rods spaced at least one-rod length from each other and located at least the same distance from other grounding electrodes, and connect to the service grounding electrode conductor.

C. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.

1. Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate any adjacent parts.
2. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
3. Use bolted clamp connectors for outdoor locations.

D. Grounding and Bonding for Piping:

1. Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes; use a bolted clamp connector or bolt a lug-type connector to a pipe flange by using one of the lug bolts of the flange. Where a dielectric main water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.
2. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with a bolted connector.
3. Bond each aboveground portion of gas and pneumatic piping system downstream from equipment shutoff valve.

E. Bonding Interior Metal Ducts: Bond metal air ducts to equipment grounding conductors of associated fans, blowers, electric heaters, and air cleaners. Install bonding jumper to bond across flexible duct connections to achieve continuity.

NOT FOR BIDDING PURPOSES

3.5 FIELD QUALITY CONTROL

A. Testing Agency: Engage a qualified testing agency to perform tests and inspections.

B. Tests and Inspections:

1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
3. Test completed grounding system at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal, and at individual ground rods. Make tests at ground rods before any conductors are connected.

   a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
   b. Perform tests by fall-of-potential method according to IEEE 81.

C. Grounding system will be considered defective if it does not pass tests and inspections.

D. Prepare test and inspection reports.

E. Report measured ground resistances that exceed the following values:

1. Power and Lighting Equipment or System with Capacity of 500 kVA and Less: 10 ohms.
2. Power Distribution Units or Panelboards Serving Electronic Equipment: 3 ohm(s).
3. Manhole Grounds: 10 ohms.

F. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 26 05 29

HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Steel slotted support systems.
2. Conduit and cable support devices.
3. Support for conductors in vertical conduit.
4. Structural steel for fabricated supports and restraints.
5. Mounting, anchoring, and attachment components, including powder-actuated fasteners, mechanical expansion anchors, concrete inserts, clamps, through bolts, toggle bolts, and hanger rods.
6. Fabricated metal equipment support assemblies.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for the following:

a. Slotted support systems, hardware, and accessories.
b. Clamps.
c. Hangers.
d. Sockets.
e. Eye nuts.
f. Fasteners.
g. Anchors.
h. Saddles.
i. Brackets.

2. Include rated capacities and furnished specialties and accessories.

B. Shop Drawings: For fabrication and installation details for electrical hangers and support systems.

1. Hangers. Include product data for components.

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2. Slotted support systems.
3. Equipment supports.
4. Vibration Isolation Base Details: Detail fabrication including anchorages and attachments to structure and to supported equipment. Include adjustable motor bases, rails, and frames for equipment mounting.

C. Delegated-Design Submittal: For hangers and supports for electrical systems.

1. Include design calculations and details of hangers.
2. Include design calculations for seismic restraints.

1.4 INFORMATIONAL SUBMITTALS

A. Coordination Drawings: Reflected ceiling plan(s) and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:

1. Suspended ceiling components.
2. Ductwork, piping, fittings, and supports.
3. Structural members to which hangers and supports will be attached.
4. Size and location of initial access modules for acoustical tile.
5. Items penetrating finished ceiling, including the following:

a. Luminaires.
b. Air outlets and inlets.
c. Speakers.
d. Sprinklers.
e. Access panels.
f. Projectors.

B. Welding certificates.

1.5 QUALITY ASSURANCE

A. Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M and AWS D1.2/D1.2M.

B. Welding Qualifications: Qualify procedures and personnel according to the following:

1. AWS D1.1/D1.1M.
2. AWS D1.2/D1.2M.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. Delegated Design: Engage a qualified professional engineer, as defined in Section 01 40 00 "Quality Requirements," to design hanger and support system.

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B. Surface-Burning Characteristics: Comply with ASTM E 84; testing by a qualified testing agency. Identify products with appropriate markings of applicable testing agency.

1. Flame Rating: Class 1.
2. Self-extinguishing according to ASTM D 635.

2.2 SUPPORT, ANCHORAGE, AND ATTACHMENT COMPONENTS

A. Steel Slotted Support Systems: Preformed steel channels and angles with minimum 13/32-inch-diameter holes at a maximum of 8 inches o.c. in at least one surface.

1. Standard: Comply with MFMA-4 factory-fabricated components for field assembly.
2. Material for Channel, Fittings, and Accessories: Galvanized steel.
3. Channel Width: Selected for applicable load criteria 1-5/8 inches, 1-1/4 inches or 13/16 inches.
4. Metallic Coatings: Hot-dip galvanized after fabrication and applied according to MFMA-4.
5. Nonmetallic Coatings: Manufacturer's standard PVC, polyurethane, or polyester coating applied according to MFMA-4.
6. Painted Coatings: Manufacturer's standard painted coating applied according to MFMA-4.
7. Protect finishes on exposed surfaces from damage by applying a strippable, temporary protective covering before shipping.

B. Conduit and Cable Support Devices: Steel and malleable-iron hangers, clamps, and associated fittings, designed for types and sizes of raceway or cable to be supported.

C. Support for Conductors in Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug or plugs for nonarmored electrical conductors or cables in riser conduits. Plugs shall have number, size, and shape of conductor gripping pieces as required to suit individual conductors or cables supported. Body shall be made of malleable iron.

D. Structural Steel for Fabricated Supports and Restraints: ASTM A 36/A 36M steel plates, shapes, and bars; black and galvanized.

E. Mounting, Anchoring, and Attachment Components: Items for fastening electrical items or their supports to building surfaces include the following:

1. Powder-Actuated Fasteners: Threaded-steel stud, for use in hardened portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.

2. Mechanical-Expansion Anchors: Insert-wedge-type, zinc-coated steel, for use in hardened portland cement concrete, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.

3. Concrete Inserts: Steel or malleable-iron, slotted support system units are similar to MSS Type 18 units and comply with MFMA-4 or MSS SP-58.

NOT FOR BIDDING PURPOSES

4. Clamps for Attachment to Steel Structural Elements: MSS SP-58 units are suitable for attached structural element.
5. Through Bolts: Structural type, hex head, and high strength. Comply with ASTM A 325.
6. Toggle Bolts: All-steel springhead type.
7. Hanger Rods: Threaded steel.

2.3 FABRICATED METAL EQUIPMENT SUPPORT ASSEMBLIES

A. Description: Welded or bolted structural-steel shapes, shop or field fabricated to fit dimensions of supported equipment.

B. Materials: Comply with requirements in Section 05 50 00 "Metal Fabrications" for steel shapes and plates.

PART 3 - EXECUTION

3.1 APPLICATION

A. Comply with the following standards for application and installation requirements of hangers and supports, except where requirements on Drawings or in this Section are stricter:

1. NECA 1.
2. NECA 101
3. NECA 102.
4. NECA 105.
5. NECA 111.

B. Comply with requirements in Section 07 84 13 "Penetration Firestopping" for firestopping materials and installation for penetrations through fire-rated walls, ceilings, and assemblies.

C. Comply with requirements for raceways and boxes specified in Section 26 05 33 "Raceways and Boxes for Electrical Systems."

D. Maximum Support Spacing and Minimum Hanger Rod Size for Raceways: Space supports for EMT, IMC, and RMC as required by NFPA 70. Minimum rod size shall be 5/16 inch in diameter.

E. Multiple Raceways or Cables: Install trapeze-type supports fabricated with steel slotted support system, sized so capacity can be increased by at least 25 percent in future without exceeding specified design load limits.

1. Secure raceways and cables to these supports with two-bolt conduit clamps, single-bolt conduit clamps.

3.2 SUPPORT INSTALLATION

A. Comply with NECA 1 and NECA 101 for installation requirements except as specified in this article.

NOT FOR BIDDING PURPOSES

B. Raceway Support Methods: In addition to methods described in NECA 1, EMT, IMC and RMC may be supported by openings through structure members, according to NFPA 70.

C. Strength of Support Assemblies: Where not indicated, select sizes of components so strength will be adequate to carry present and future static loads within specified loading limits. Minimum static design load used for strength determination shall be weight of supported components plus 200 lb.

D. Mounting and Anchorage of Surface-Mounted Equipment and Components: Anchor and fasten electrical items and their supports to building structural elements by the following methods unless otherwise indicated by code:

1. To Wood: Fasten with lag screws or through bolts.
2. To New Concrete: Bolt to concrete inserts.
3. To Masonry: Approved toggle-type bolts on hollow masonry units and expansion anchor fasteners on solid masonry units.
4. To Existing Concrete: Expansion anchor fasteners.
5. Instead of expansion anchors, powder-actuated driven threaded studs provided with lock washers and nuts may be used in existing standard weight concrete 4 inches thick or greater. Do not use for anchorage to lightweight aggregate concrete or for slabs less than 4 inches thick.
6. To Steel: Beam clamps (MSS SP-58, Type 19, 21, 23, 25, or 27), complying with MSS SP-69.
7. To Light Steel: Sheet metal screws.
8. Items Mounted on Hollow Walls and Nonstructural Building Surfaces: Mount cabinets, panelboards, disconnect switches, control enclosures, pull and junction boxes, transformers, and other devices on slotted-channel racks attached to substrate by means that comply with seismic-restraint strength and anchorage requirements.

E. Drill holes for expansion anchors in concrete at locations and to depths that avoid the need for reinforcing bars.

3.3 INSTALLATION OF FABRICATED METAL SUPPORTS

A. Comply with installation requirements in Section 05 50 00 "Metal Fabrications" for site-fabricated metal supports.

B. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor electrical materials and equipment.

C. Field Welding: Comply with AWS D1.1/D1.1M.

3.4 CONCRETE BASES

A. Construct concrete bases of dimensions indicated, but not less than 4 inches larger in both directions than supported unit, and so anchors will be a minimum of 10 bolt diameters from edge of the base.

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B. Use 3000-psi, 28-day compressive-strength concrete. Concrete materials, reinforcement, and placement requirements are specified in Section 03 30 00 "Cast-in-Place Concrete."

C. Anchor equipment to concrete base as follows:

1. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
2. Install anchor bolts to elevations required for proper attachment to supported equipment.
3. Install anchor bolts according to anchor-bolt manufacturer's written instructions.

3.5 PAINTING

A. Touchup: Clean field welds and abraded areas of shop paint. Paint exposed areas immediately after erecting hangers and supports. Use same materials as used for shop painting. Comply with SSPC-PA 1 requirements for touching up field-painted surfaces.

1. Apply paint by brush or spray to provide minimum dry film thickness of 2.0 mils.

B. Galvanized Surfaces: Clean welds, bolted connections, and abraded areas and apply galvanizing-repair paint to comply with ASTM A 780.

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 26 05 33

RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Metal conduits, tubing, and fittings.
2. Nonmetal conduits, tubing, and fittings.
3. Metal wireways and auxiliary gutters.
4. Nonmetal wireways and auxiliary gutters.
5. Surface raceways.
6. Boxes, enclosures, and cabinets.

1.3 DEFINITIONS

A. EMT: Electrical Metallic Tubing.

B. GRC: Galvanized rigid steel conduit.

C. FMC: Flexible Metal Conduit.

D. IMC: Intermediate metal conduit.

1.4 ACTION SUBMITTALS

A. Product Data: For surface raceways, wireways and fittings, floor boxes, hinged-cover enclosures, and cabinets.

B. Shop Drawings: For custom enclosures and cabinets. Include plans, elevations, sections, and attachment details.

NOT FOR BIDDING PURPOSES

PART 2 - PRODUCTS

2.1 METAL CONDUITS, TUBING, AND FITTINGS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. AFC Cable Systems; a part of Atkore International.
2. Allied Tube & Conduit; a part of Atkore International.
3. Anamet Electrical, Inc.
4. Calconduit.
5. Electri-Flex Company.
6. FSR Inc.
7. Korkap.
8. Opti-Com Manufacturing Network, Inc (OMNI).
9. O-Z/Gedney; a brand of Emerson Industrial Automation.
10. Perma-Cote.
11. Picoma Industries, Inc.
12. Plasti-Bond.
13. Republic Conduit.
14. Southwire Company.
15. Thomas & Betts Corporation; A Member of the ABB Group.
16. Topaz Electric; a division of Topaz Lighting Corp.
17. Western Tube and Conduit Corporation.
18. Wheatland Tube Company.

B. Listing and Labeling: Metal conduits, tubing, and fittings shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

C. GRC: Comply with ANSI C80.1 and UL 6.

D. IMC: Comply with ANSI C80.6 and UL 1242.

E. PVC-Coated Steel Conduit: PVC-coated rigid steel conduit.

1. Comply with NEMA RN 1.
2. Coating Thickness: 0.040 inch, minimum.

F. EMT: Comply with ANSI C80.3 and UL 797.

G. FMC: Comply with UL 1; zinc-coated steel.

H. LFMC: Flexible steel conduit with PVC jacket and complying with UL 360.

I. Fittings for Metal Conduit: Comply with NEMA FB 1 and UL 514B.

1. Conduit Fittings for Hazardous (Classified) Locations: Comply with UL 886 and NFPA 70.
2. Fittings for EMT:

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a. Material: Steel.
b. Type: Setscrew or compression.

3. Expansion Fittings: PVC or steel to match conduit type, complying with UL 651, rated for environmental conditions where installed, and including flexible external bonding jumper.
4. Coating for Fittings for PVC-Coated Conduit: Minimum thickness of 0.040 inch, with overlapping sleeves protecting threaded joints.

J. Joint Compound for IMC, GRC, or ARC: Approved, as defined in NFPA 70, by authorities having jurisdiction for use in conduit assemblies, and compounded for use to lubricate and protect threaded conduit joints from corrosion and to enhance their conductivity.

2.2 NONMETALLIC CONDUITS, TUBING, AND FITTINGS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. AFC Cable Systems; a part of Atkore International.
2. Anamet Electrical, Inc.
3. Arnco Corporation.
4. CANTEX INC.
5. CertainTeed Corporation.
6. Condux International, Inc.
7. Electri-Flex Company.
8. Kraloy.
9. Lamson & Sessions.
10. Niedax Inc.
11. RACO; Hubbell.
12. Thomas & Betts Corporation; A Member of the ABB Group.

B. Listing and Labeling: Nonmetallic conduits, tubing, and fittings shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

C. RNC: Type EPC-40-PVC, complying with NEMA TC 2 and UL 651 unless otherwise indicated.

D. LFNC: Comply with UL 1660.

E. Rigid HDPE: Comply with UL 651A.

F. Continuous HDPE: Comply with UL 651B.

G. Coilable HDPE: Preassembled with conductors or cables, and complying with ASTM D 3485.

H. RTRC: Comply with UL 1684A and NEMA TC 14.

I. Fittings for ENT and RNC: Comply with NEMA TC 3; match to conduit or tubing type and material.

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J. Fittings for LFNC: Comply with UL 514B.

K. Solvents and Adhesives: As recommended by conduit manufacturer.

2.3 METAL WIREWAYS AND AUXILIARY GUTTERS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. B-line, an Eaton business.
2. Hoffman; a brand of Pentair Equipment Protection.
3. MonoSystems, Inc.
4. Square D.

B. Description: Sheet metal, complying with UL 870 and NEMA 250, Type 1, Type 3R, Type 4 and Type 12. Provide type per NEMA 250 requirements and size per NFPA70 (NEC).

1. Metal wireways installed outdoors shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

C. Fittings and Accessories: Include covers, couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.

D. Wireway Covers: Hinged type, Screw-cover type and Flanged-and-gasketed type unless otherwise indicated.

E. Finish: Manufacturer's standard enamel finish.

2.4 BOXES, ENCLOSURES, AND CABINETS

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. Adalet.
2. Crouse-Hinds, an Eaton business.
3. EGS/Appleton Electric.
4. Erickson Electrical Equipment Company.
5. FSR Inc.
6. Hoffman; a brand of Pentair Equipment Protection.
7. Hubbell Incorporated.
8. Kraloy.
9. Milbank Manufacturing Co.
10. MonoSystems, Inc.
11. Oldcastle Enclosure Solutions.
12. O-Z/Gedney; a brand of Emerson Industrial Automation.
13. Plasti-Bond.
14. RACO; Hubbell.
15. Spring City Electrical Manufacturing Company.

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16. Thomas & Betts Corporation; A Member of the ABB Group.
17. Wiremold / Legrand.

B. General Requirements for Boxes, Enclosures, and Cabinets: Boxes, enclosures, and cabinets installed in wet locations shall be listed for use in wet locations.

C. Sheet Metal Outlet and Device Boxes: Comply with NEMA OS 1 and UL 514A.

D. Cast-Metal Outlet and Device Boxes: Comply with NEMA FB 1, ferrous alloy and aluminum, Type FD, with gasketed cover.

E. Nonmetallic Outlet and Device Boxes: Comply with NEMA OS 2 and UL 514C.

F. Small Sheet Metal Pull and Junction Boxes: NEMA OS 1.

G. Cast-Metal Access, Pull, and Junction Boxes: Comply with NEMA FB 1 and UL 1773, cast aluminum and galvanized, cast iron with gasketed cover.

H. Box extensions used to accommodate new building finishes shall be of same material as recessed box.

I. Device Box Dimensions: 4 inches square by 2-1/8 inches deep and 4 inches by 2-1/8 inches by 2-1/8 inches deep.

J. Gangable boxes are prohibited.

K. Hinged-Cover Enclosures: Comply with UL 50 and NEMA 250, Type 1, Type 3R, Type 4 and Type 12 with continuous-hinge cover with flush latch unless otherwise indicated.

1. Metal Enclosures: Steel finished inside and out with manufacturer's standard enamel.
2. Nonmetallic Enclosures: Plastic Fiberglass.
3. Interior Panels: Steel; all sides finished with manufacturer's standard enamel.

L. Cabinets:

1. NEMA 250, Type 1, Type 3R and Type 12 galvanized-steel box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel.
2. Metal barriers to separate wiring of different systems and voltage.
3. Accessory feet where required for freestanding equipment.
4. Nonmetallic cabinets shall be listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.

PART 3 - EXECUTION

3.1 RACEWAY APPLICATION

A. Outdoors: Apply raceway products as specified below unless otherwise indicated:

1. Exposed Conduit: GRC FMC.
2. Concealed Conduit, Aboveground: GRC and EMT.

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3. Underground Conduit: RNC, Type EPC-40-PVC, concrete encased.
4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.
5. Boxes and Enclosures, Aboveground: NEMA 250, Type 4.

B. Indoors: Apply raceway products as specified below unless otherwise indicated:

1. Exposed, Not Subject to Physical Damage: EMT. Not in accessible locations, but above 18' of finished floor.
2. Exposed, Not Subject to Severe Physical Damage: EMT.
3. Exposed and Subject to Severe Physical Damage: GRC IMC. Raceway locations include the following:

   a. Bay.
   b. Corridors used for traffic of mechanized carts, forklifts, and pallet-handling units.
   c. Mechanical/Electrical/Compressor rooms.
   d. Locker Room.
   e. As indicated on drawings.

4. Concealed in Ceilings and Interior Walls and Partitions: EMT.
5. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): FMC, except use LFMC in damp or wet locations.
6. Damp or Wet Locations: GRC IMC.
7. Boxes and Enclosures: NEMA 250, Type 1, except use NEMA 250, Type 4 stainless steel in institutional and commercial kitchens and damp or wet locations.

C. Minimum Raceway Size: 3/4-inch trade size.

D. Raceway Fittings: Compatible with raceways and suitable for use and location.

1. Rigid and Intermediate Steel Conduit: Use threaded rigid steel conduit fittings unless otherwise indicated. Comply with NEMA FB 2.10.
2. PVC Externally Coated, Rigid Steel Conduits: Use only fittings listed for use with this type of conduit. Patch and seal all joints, nicks, and scrapes in PVC coating after installing conduits and fittings. Use sealant recommended by fitting manufacturer and apply in thickness and number of coats recommended by manufacturer.
3. EMT: Use setscrew or compression, steel fittings. Comply with NEMA FB 2.10.
4. Flexible Conduit: Use only fittings listed for use with flexible conduit. Comply with NEMA FB 2.20.

E. Do not install aluminum conduits, boxes, or fittings in this project.

F. Install surface raceways only where indicated on Drawings.

G. Do not install nonmetallic conduit where ambient temperature exceeds 120 deg F.

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3.2 INSTALLATION

A. Comply with NECA 1 and NECA 101 for installation requirements except where requirements on Drawings or in this article are stricter. Comply with NECA 102 for aluminum conduits. Comply with NFPA 70 limitations for types of raceways allowed in specific occupancies and number of floors.

B. Keep raceways at least 6 inches away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.

C. Complete raceway installation before starting conductor installation.

D. Comply with requirements in Section 26 05 29 "Hangers and Supports for Electrical Systems" for hangers and supports.

E. Arrange stub-ups so curved portions of bends are not visible above finished slab.

F. Install no more than the equivalent of three 90-degree bends in any conduit run except for control wiring conduits, for which fewer bends are allowed. Support within 12 inches of changes in direction.

G. Conceal conduit and EMT within finished walls, ceilings, and floors unless otherwise indicated. Install conduits parallel or perpendicular to building lines.

H. Support conduit within 12 inchesof enclosures to which attached.

I. Raceways Embedded in Slabs:

1. Run conduit larger than 1-inch trade size, parallel or at right angles to main reinforcement. Where at right angles to reinforcement, place conduit close to slab support. Secure raceways to reinforcement at maximum 10-footintervals.
2. Arrange raceways to cross building expansion joints at right angles with expansion fittings.
3. Arrange raceways to keep a minimum of 2 inches of concrete cover in all directions.
4. Do not embed threadless fittings in concrete unless specifically approved by Architect for each specific location.
5. Change from ENT to GRC or IMC before rising above floor.

J. Stub-ups to Above Recessed Ceilings:

1. Use EMT, IMC, or RMC for raceways.
2. Use a conduit bushing or insulated fitting to terminate stub-ups not terminated in hubs or in an enclosure.

K. Threaded Conduit Joints, Exposed to Wet, Damp, Corrosive, or Outdoor Conditions: Apply listed compound to threads of raceway and fittings before making up joints. Follow compound manufacturer's written instructions.

L. Coat field-cut threads on PVC-coated raceway with a corrosion-preventing conductive compound prior to assembly.

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M. Raceway Terminations at Locations Subject to Moisture or Vibration: Use insulating bushings to protect conductors including conductors smaller than No. 4 AWG.

N. Terminate threaded conduits into threaded hubs or with locknuts on inside and outside of boxes or cabinets. Install bushings on conduits up to 1-1/4-inch trade size and insulated throat metal bushings on 1-1/2-inch trade size and larger conduits terminated with locknuts. Install insulated throat metal grounding bushings on service conduits.

O. Install raceways square to the enclosure and terminate at enclosures with locknuts. Install locknuts hand tight plus 1/4 turn more.

P. Do not rely on locknuts to penetrate nonconductive coatings on enclosures. Remove coatings in the locknut area prior to assembling conduit to enclosure to assure a continuous ground path.

Q. Cut conduit perpendicular to the length. For conduits 2-inch trade size and larger, use roll cutter or a guide to make cut straight and perpendicular to the length.

R. Install pull wires in empty raceways. Use polypropylene or monofilament plastic line with not less than 200-lb tensile strength. Leave at least 12 inches of slack at each end of pull wire. Cap underground raceways designated as spare above grade alongside raceways in use.

S. Surface Raceways:

1. Install surface raceway with a minimum 2-inch radius control at bend points.
2. Secure surface raceway with screws or other anchor-type devices at intervals not exceeding 48 inches and with not less than two supports per straight raceway section. Support surface raceway according to manufacturer's written instructions. Tape and glue are not acceptable support methods.

T. Install raceway sealing fittings at accessible locations according to NFPA 70 and fill them with listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a finish similar to that of adjacent plates or surfaces. Install raceway sealing fittings according to NFPA 70.

U. Install devices to seal raceway interiors at accessible locations. Locate seals so no fittings or boxes are between the seal and the following changes of environments. Seal the interior of all raceways at the following points:

1. Where conduits pass from warm to cold locations, such as boundaries of refrigerated spaces.
2. Where an underground service raceway enters a building or structure.
3. Where otherwise required by NFPA 70.

V. Comply with manufacturer's written instructions for solvent welding RNC and fittings.

W. Expansion-Joint Fittings:

1. Install in each run of aboveground RNC that is located where environmental temperature change may exceed 30 deg F and that has straight-run length that exceeds 25 feet. Install in each run of aboveground RMC and EMT conduit that is located where environmental

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temperature change may exceed 100 deg F and that has straight-run length that exceeds 100 feet.

2. Install type and quantity of fittings that accommodate temperature change listed for each of the following locations:

   a. Outdoor Locations Not Exposed to Direct Sunlight: 125 deg F temperature change.
   b. Outdoor Locations Exposed to Direct Sunlight: 155 deg F temperature change.
   c. Indoor Spaces Connected with Outdoors without Physical Separation: 125 deg F temperature change.
   d. Attics: 135 deg F temperature change.
   e. Indoor Air Conditioned spaces connected with non Air Conditioned Spaces: 125 deg F.

3. Install fitting(s) that provide expansion and contraction for at least 0.00041 inch per foot of length of straight run per deg F of temperature change for PVC conduits. Install fitting(s) that provide expansion and contraction for at least 0.000078 inch per foot of length of straight run per deg F of temperature change for metal conduits.
4. Install expansion fittings at all locations where conduits cross building or structure expansion joints.
5. Install each expansion-joint fitting with position, mounting, and piston setting selected according to manufacturer's written instructions for conditions at specific location at time of installation. Install conduit supports to allow for expansion movement.

X. Flexible Conduit Connections: Comply with NEMA RV 3. Use a maximum of 72 inches of flexible conduit for recessed and semirecessed luminaires, equipment subject to vibration, noise transmission, or movement; and for transformers and motors.

   1. Use LFMC in damp or wet locations subject to severe physical damage.
   2. Use LFMC or LFNC in damp or wet locations not subject to severe physical damage.

Y. Mount boxes at heights indicated on Drawings. If mounting heights of boxes are not individually indicated, give priority to ADA requirements. Install boxes with height measured to bottom of box unless otherwise indicated.

Z. Recessed Boxes in Masonry Walls: Saw-cut opening for box in center of cell of masonry block, and install box flush with surface of wall. Prepare block surfaces to provide a flat surface for a raintight connection between box and cover plate or supported equipment and box.

AA. Horizontally separate boxes mounted on opposite sides of walls so they are not in the same vertical channel.

BB. Locate boxes so that cover or plate will not span different building finishes.

CC. Support boxes of three gangs or more from more than one side by spanning two framing members or mounting on brackets specifically designed for the purpose.

DD. Fasten junction and pull boxes to or support from building structure. Do not support boxes by conduits.

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3.3 SLEEVE AND SLEEVE-SEAL INSTALLATION FOR ELECTRICAL PENETRATIONS

A. Install sleeves and sleeve seals at penetrations of exterior floor and wall assemblies. Comply with requirements in Section 26 05 44 "Sleeves and Sleeve Seals for Electrical Raceways and Cabling."

3.4 FIRESTOPPING

A. Install firestopping at penetrations of fire-rated floor and wall assemblies. Comply with requirements in Section 07 84 13 "Penetration Firestopping."

3.5 PROTECTION

A. Protect coatings, finishes, and cabinets from damage and deterioration.

1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.
2. Repair damage to PVC coatings or paint finishes with matching touchup coating recommended by manufacturer.

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 26 05 44

SLEEVES AND SLEEVE SEALS FOR ELECTRICAL RACEWAYS AND CABLING

NOT FOR BIDDING PURPOSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Sleeves for raceway and cable penetration of non-fire-rated construction walls and floors.
2. Sleeve-seal systems.
3. Sleeve-seal fittings.
4. Grout.
5. Silicone sealants.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product.

PART 2 - PRODUCTS

2.1 SLEEVES

A. Wall Sleeves:

1. Steel Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, zinc coated, plain ends.
2. Cast-Iron Pipe Sleeves: Cast or fabricated "wall pipe," equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop unless otherwise indicated.

B. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies: Galvanized-steel sheet; 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint, with tabs for screw-fastening the sleeve to the board.

C. Sleeves for Rectangular Openings:

1. Material: Galvanized sheet steel.
2. Minimum Metal Thickness:

a. For sleeve cross-section rectangle perimeter less than 50 inches and with no side larger than 16 inches, thickness shall be 0.052 inch.
b. For sleeve cross-section rectangle perimeter 50 inches or more and one or more sides larger than 16 inches, thickness shall be 0.138 inch.

2.2 SLEEVE-SEAL SYSTEMS

A. Description: Modular sealing device, designed for field assembly, to fill annular space between sleeve and raceway or cable.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. Advance Products & Systems, Inc.
b. CALPICO, Inc.
c. Metraflex Company (The).
d. Pipeline Seal and Insulator, Inc.
e. Proco Products, Inc.

2. Sealing Elements: EPDM/Nitrile (Buna N) rubber interlocking links shaped to fit surface of pipe. Include type and number required for pipe material and size of pipe.
3. Pressure Plates: Carbon steel/Plastic/Stainless steel.
4. Connecting Bolts and Nuts: Carbon steel, with corrosion-resistant coating, Stainless steel of length required to secure pressure plates to sealing elements.

2.3 SLEEVE-SEAL FITTINGS

A. Description: Manufactured plastic, sleeve-type, waterstop assembly made for embedding in concrete slab or wall. Unit shall have plastic or rubber waterstop collar with center opening to match piping OD.

1. Manufacturers: Subject to compliance with requirements, provide products by the following:

a. HOLDRITE.

2.4 GROUT

A. Description: Nonshrink; recommended for interior and exterior sealing openings in non-fire-rated walls or floors.

B. Standard: ASTM C 1107/C 1107M, Grade B, post-hardening and volume-adjusting, dry, hydraulic-cement grout.

C. Design Mix: 5000-psi, 28-day compressive strength.

D. Packaging: Premixed and factory packaged.

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2.5 SILICONE SEALANTS

A. Silicone Sealants: Single-component, silicone-based, neutral-curing elastomeric sealants of grade indicated below.

1. Grade: Pourable (self-leveling) formulation for openings in floors and other horizontal surfaces that are not fire rated.
2. Sealant shall have VOC content of g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
3. Sealant shall comply with the testing and product requirements of the California Department of Health Services' "Standard Practice for the Testing of Volatile Organic Emissions from Various Sources Using Small-Scale Environmental Chambers."

B. Silicone Foams: Multicomponent, silicone-based liquid elastomers that, when mixed, expand and cure in place to produce a flexible, nonshrinking foam.

PART 3 - EXECUTION

3.1 SLEEVE INSTALLATION FOR NON-FIRE-RATED ELECTRICAL PENETRATIONS

A. Comply with NECA 1.

B. Comply with NEMA VE 2 for cable tray and cable penetrations.

C. Sleeves for Conduits Penetrating Above-Grade Non-Fire-Rated Concrete and Masonry-Unit Floors and Walls:

1. Interior Penetrations of Non-Fire-Rated Walls and Floors:

a. Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Spec Section 07 84 13 "Penetration Firestopping."
b. Seal space outside of sleeves with mortar or grout. Pack sealing material solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect material while curing.

2. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
3. Size pipe sleeves to provide 1/4-inch annular clear space between sleeve and raceway or cable unless sleeve seal is to be installed or unless seismic criteria require different clearance.
4. Install sleeves for wall penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of walls. Cut sleeves to length for mounting flush with both surfaces of walls. Deburr after cutting.
5. Install sleeves for floor penetrations. Extend sleeves installed in floors 2 inches above finished floor level. Install sleeves during erection of floors.

D. Sleeves for Conduits Penetrating Non-Fire-Rated Gypsum Board Assemblies:

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1. Use circular metal sleeves unless penetration arrangement requires rectangular sleeved opening.
2. Seal space outside of sleeves with approved joint compound for gypsum board assemblies.

E. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.

F. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.

G. Underground, Exterior-Wall and Floor Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch annular clear space between raceway or cable and sleeve for installing sleeve-seal system.

H. Provide two 4” C conduits (Power & Data) Wall Sections from various under raised floor to nearest interior wall at 18” AFF with end caps for future wiring from under raiser floor area to other rooms.

3.2 SLEEVE-SEAL-SYSTEM INSTALLATION

A. Install sleeve-seal systems in sleeves in exterior concrete walls and slabs-on-grade at raceway entries into building.

B. Install type and number of sealing elements recommended by manufacturer for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

3.3 SLEEVE-SEAL-FITTING INSTALLATION

A. Install sleeve-seal fittings in new walls and slabs as they are constructed.

B. Assemble fitting components of length to be flush with both surfaces of concrete slabs and walls. Position waterstop flange to be centered in concrete slab or wall.

C. Secure nailing flanges to concrete forms.

D. Using grout, seal the space around outside of sleeve-seal fittings.

END OF SECTION

NOT FOR BIDDING PURPOSES

SECTION 26 05 53

IDENTIFICATION FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

A. Section Includes:

1. Identification for raceways.
2. Identification of power and control cables.
3. Identification for conductors.
4. Underground-line warning tape.
5. Warning labels and signs.
6. Instruction signs.
7. Equipment identification labels, including arc-flash warning labels.
8. Miscellaneous identification products.

1.3 ACTION SUBMITTALS

A. Product Data: For each type of product.

1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for electrical identification products.

B. Delegated-Design Submittal: For arc-flash hazard study.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. Comply with ASME A13.1 and IEEE C2.

B. Comply with NFPA 70.

C. Comply with 29 CFR 1910.144 and 29 CFR 1910.145.

D. Comply with ANSI Z535.4 for safety signs and labels.

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E. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.

F. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.

1. Temperature Change: 120 deg F, ambient.

2.2 COLOR AND LEGEND REQUIREMENTS

A. Raceways and Cables Carrying Circuits at 600 V or Less:

1. White letters on a Black Field.
2. Legend: Indicate voltage.

B. Warning labels and signs shall include, but are not limited to, the following legends:

1. Multiple Power Source Warning: "DANGER - ELECTRICAL SHOCK HAZARD - EQUIPMENT HAS MULTIPLE POWER SOURCES", Arc Flash.
2. Workspace Clearance Warning: "WARNING - OSHA REGULATION - AREA IN FRONT OF ELECTRICAL EQUIPMENT MUST BE KEPT CLEAR FOR 36 INCHES."

2.3 LABELS

A. Vinyl Labels for Raceways Carrying Circuits at 600 V or Less: Preprinted, flexible labels laminated with a clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing label ends.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. Brady Corporation.
b. Champion America.
c. emedco.
d. Grafoplast Wire Markers.
e. HellermannTyton.
f. LEM Products Inc.
g. Marking Services, Inc.
h. Panduit Corp.
i. Seton Identification Products.

B. Snap-Around Labels for Raceways and Cables Carrying Circuits at 600 V or Less: Slit, pretensioned, flexible, preprinted, color-coded acrylic sleeves, with diameters sized to suit diameters of raceways they identify, and that stay in place by gripping action.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. Brady Corporation.
b. HellermannTyton.

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c. Marking Services, Inc.
d. Panduit Corp.
e. Seton Identification Products.

C. Self-Adhesive Labels:

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. A'n D Cable Products.
b. Brady Corporation.
c. Brother International Corporation.
d. emedco.
e. Grafoplast Wire Markers.
f. Ideal Industries, Inc.
g. LEM Products Inc.
h. Marking Services, Inc.
i. Panduit Corp.
j. Seton Identification Products.

2.4 BANDS AND TUBES:

A. Snap-Around, Color-Coding Bands for Raceways and Cables: Slit, pretensioned, flexible, solid-colored acrylic sleeves, 2 inches long, with diameters sized to suit diameters of raceways or cables they identify, and that stay in place by gripping action.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. Brady Corporation.
b. HellermannTyton.
c. Marking Services, Inc.
d. Panduit Corp.

2.5 TAPES AND STENCILS:

A. Self-Adhesive Vinyl Tape: Colored, heavy duty, waterproof, fade resistant; not less than 3 mils thick by 1 to 2 inches wide; compounded for outdoor use.

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

a. Brady Corporation.
b. Carlton Industries, LP.
c. emedco.
d. Marking Services, Inc.

B. Floor Marking Tape: 2-inch-wide, 5-mil pressure-sensitive vinyl tape, with yellow and black stripes and clear vinyl overlay.

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1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

   a. Carlton Industries, LP.
   b. Seton Identification Products.

C. Underground-Line Warning Tape

1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

   a. Brady Corporation.
   b. Ideal Industries, Inc.
   c. LEM Products Inc.
   d. Marking Services, Inc.
   e. Reef Industries, Inc.
   f. Seton Identification Products.

2. Tape:

   a. Recommended by manufacturer for the method of installation and suitable to identify and locate underground electrical and communications utility lines.
   b. Printing on tape shall be permanent and shall not be damaged by burial operations.
   c. Tape material and ink shall be chemically inert and not subject to degradation when exposed to acids, alkalis, and other destructive substances commonly found in soils.

3. Color and Printing:

   a. Comply with ANSI Z535.1, ANSI Z535.2, ANSI Z535.3, ANSI Z535.4, and ANSI Z535.5.
   b. Inscriptions for Orange-Colored Tapes: "TELEPHONE CABLE, CATV CABLE, COMMUNICATIONS CABLE, OPTICAL FIBER CABLE".

4. Tag:

   a. Pigmented polyolefin, bright colored, continuous-printed on one side with the inscription of the utility, compounded for direct-burial service.
   b. Width: 3 inches.
   c. Thickness: 4 mils.
   d. Weight: 18.5 lb/1000 sq. ft..
   e. Tensile according to ASTM D 882: 30 lbf and 2500 psi.

2.6 Tags

A. Nonmetallic Preprinted Tags: Polyethylene tags, 0.023 inch thick, color-coded for phase and voltage level, with factory printed permanent designations; punched for use with self-locking cable tie fastener.

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1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

    a. Brady Corporation.
    b. Carlton Industries, LP.
    c. emedco.
    d. Grafoplast Wire Markers.
    e. LEM Products Inc.
    f. Marking Services, Inc.
    g. Panduit Corp.
    h. Seton Identification Products.

2.7 Signs

A. Laminated Acrylic or Melamine Plastic Signs:

1. Engraved legend.
2. Thickness:

    a. For signs up to 20 sq. inches, minimum 1/16 inch-.
    b. For signs larger than 20 sq. inches, 1/8 inch thick.
    c. Engraved legend with white letters on a black background.
    d. Punched or drilled for mechanical fasteners.

3. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

    a. Brady Corporation.
    b. Carlton Industries, LP.
    c. emedco.
    d. Marking Services, Inc.

2.8 CABLE TIES

A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:

1. HellermannTyton.
2. Ideal Industries, Inc.
3. Marking Services, Inc.
4. Panduit Corp.

B. General-Purpose Cable Ties: Fungus inert, self-extinguishing, one piece, self-locking, Type 6/6 nylon.

1. Minimum Width: 3/16 inch.
2. Tensile Strength at 73 deg F according to ASTM D 638: 12,000 psi.
3. Temperature Range: Minus 40 to plus 185 deg F.
4. Color: Black, except where used for color-coding.

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C. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self-extinguishing, one piece, self-locking, Type 6/6 nylon.

1. Minimum Width: 3/16 inch.
2. Tensile Strength at 73 deg F according to ASTM D 638: 12,000 psi.
3. Temperature Range: Minus 40 to plus 185 deg F.
4. Color: Black.

D. Plenum-Rated Cable Ties: Self-extinguishing, UV stabilized, one piece, self-locking.

1. Minimum Width: 3/16 inch.
2. Tensile Strength at 73 deg F according to ASTM D 638: 7000 psi.
3. UL 94 Flame Rating: 94V-0.
4. Temperature Range: Minus 50 to plus 284 deg F.
5. Color: Black.

2.9 MISCELLANEOUS IDENTIFICATION PRODUCTS

A. Paint: Comply with requirements in painting Sections for paint materials and application requirements. Retain paint system applicable for surface material and location (exterior or interior).

B. Fasteners for Labels and Signs: Self-tapping, stainless-steel screws or stainless-steel machine screws with nuts and flat and lock washers.

PART 3 - EXECUTION

3.1 PREPARATION

A. Self-Adhesive Identification Products: Before applying electrical identification products, clean substrates of substances that could impair bond, using materials and methods recommended by manufacturer of identification product.

3.2 INSTALLATION

A. Verify and coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and operation and maintenance manual. Use consistent designations throughout Project.

B. Install identifying devices before installing acoustical ceilings and similar concealment.

C. Verify identity of each item before installing identification products.

D. Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment. Install access doors or panels to provide view of identifying devices.

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E. Apply identification devices to surfaces that require finish after completing finish work.

F. Attach signs and plastic labels that are not self-adhesive type with mechanical fasteners appropriate to the location and substrate.

G. Attach plastic raceway and cable labels that are not self-adhesive type with clear vinyl tape, with adhesive appropriate to the location and substrate.

H. Cable Ties: For attaching tags. Use general-purpose type, except as listed below:

1. Outdoors: UV-stabilized nylon.
2. In Spaces Handling Environmental Air: Plenum rated.

I. Painted Identification: Comply with requirements in painting Sections for surface preparation and paint application.

J. Aluminum Wraparound Marker Labels and Metal Tags: Secure tight to surface of conductor or cable at a location with high visibility and accessibility.

K. System Identification Color-Coding Bands for Raceways and Cables: Each color-coding band shall completely encircle cable or conduit. Place adjacent bands of two-color markings in contact, side by side. Locate bands at changes in direction, at penetrations of walls and floors, at 50-foot maximum intervals in straight runs, and at 25-foot maximum intervals in congested areas.

L. During backfilling of trenches, install continuous underground-line warning tape directly above cable or raceway at 6 to 8 inches below finished grade. Use multiple tapes where width of multiple lines installed in a common trench or concrete envelope exceeds 16 inches overall.

3.3 IDENTIFICATION SCHEDULE

A. Accessible Raceways, Armored and Metal-Clad Cables, More Than 600 V: Self-adhesive vinyl and Snap-around labels. Install labels at 30-foot maximum intervals.

B. Accessible Raceways and Metal-Clad Cables, 600 V or Less, for Service, Feeder, and Branch Circuits, More Than 30 A and 120 V to Ground: Identify with self-adhesive vinyl label or self-adhesive vinyl tape applied in bands. Install labels at 30-foot maximum intervals.

C. Accessible Raceways and Cables within Buildings: Identify the covers of each junction and pull box of the following systems with self-adhesive vinyl labels containing the wiring system legend and system voltage. System legends shall be as follows:

1. "EMERGENCY POWER."
2. "POWER."

D. Power-Circuit Conductor Identification, 600 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use color-coding conductor tape to identify the phase.

1. Color-Coding for Phase- Identification, 600 V or Less: Use colors listed below for ungrounded service, feeder and branch-circuit conductors.

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a. Color shall be factory applied or field applied for sizes larger than No. 8 AWG if authorities having jurisdiction permit.

b. Colors for 208/120-V Circuits:

1) Phase A: Black.
2) Phase B: Red.
3) Phase C: Blue.

c. Colors for 480/277-V Circuits:

1) Phase A: Brown.
2) Phase B: Orange.
3) Phase C: Yellow.

d. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for a minimum distance of 6 inches from terminal points and in boxes where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding. Locate bands to avoid obscuring factory cable markings.

E. Install instructional sign, including the color code for grounded and ungrounded conductors using adhesive-film-type labels.

F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, manholes, and handholes, use self-adhesive vinyl labels with the conductor or cable designation, origin, and destination.

G. Control-Circuit Conductor Termination Identification: For identification at terminations, provide self-adhesive, self-laminating polyester labels or self-adhesive vinyl labels with the conductor designation.

H. Conductors To Be Extended in the Future: Attach write-on tags to conductors and list source.

I. Auxiliary Electrical Systems Conductor Identification: Identify field-installed alarm, control, and signal connections.

1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.
2. Use system of marker-tape designations that is uniform and consistent with system used by manufacturer for factory-installed connections.
3. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and operation and maintenance manual.

J. Locations of Underground Lines: Identify with underground-line warning tape for power, lighting, communication, and control wiring and optical-fiber cable.

1. Install underground-line warning tape for direct-buried cables and cables in raceways.

K. Workspace Indication: Install floor marking tape to show working clearances in the direction of access to live parts. Workspace shall comply with NFPA 70 and 29 CFR 1926.403 unless otherwise indicated. Do not install at flush-mounted panelboards and similar equipment in finished spaces.

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L. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Self-adhesive warning labels.

1. Comply with 29 CFR 1910.145.
2. Identify system voltage with black letters on an orange background.
3. Apply to exterior of door, cover, or other access.
4. For equipment with multiple power or control sources, apply to door or cover of equipment, including, but not limited to, the following:

a. Power-transfer switches.
b. Controls with external control power connections.

M. Arc Flash Warning Labeling: Self-adhesive thermal transfer vinyl labels.

1. Comply with NFPA 70E and ANSI Z535.4.
2. Comply with Section 26 05 74 "Overcurrent Protective Device Arc-Flash Study" requirements for arc-flash warning labels.

N. Operating Instruction Signs: Install instruction signs to facilitate proper operation and maintenance of electrical systems and items to which they connect. Install instruction signs with approved legend where instructions are needed for system or equipment operation.

O. Emergency Operating Instruction Signs: Install instruction signs with white legend on a red background with minimum 3/8-inch-high letters for emergency instructions at equipment used for power transfer.

P. Equipment Identification Labels: On each unit of equipment, install unique designation label that is consistent with wiring diagrams, schedules, and operation and maintenance manual. Apply labels to disconnect switches and protection equipment, central or master units, control panels, control stations, terminal cabinets, and racks of each system. Systems include power, lighting, control, communication, signal, monitoring, and alarm unless equipment is provided with its own identification.

1. Labeling Instructions:

a. Indoor Equipment: Engraved, laminated acrylic or melamine plastic label, punched or drilled for mechanical fasteners. Unless otherwise indicated, provide a single line of text with 1/2-inch-high letters on 1-1/2-inch-high label; where two lines of text are required, use labels 2 inches high.
b. Outdoor Equipment: Engraved, laminated acrylic or melamine label.
c. Elevated Components: Increase sizes of labels and letters to those appropriate for viewing from the floor.
d. Unless labels are provided with self-adhesive means of attachment, fasten them with appropriate mechanical fasteners that do not change the NEMA or NRTL rating of the enclosure.

2. Equipment To Be Labeled:

a. Panelboards: Typewritten directory of circuits in the location provided by panelboard manufacturer. Panelboard identification shall be in the form of a engraved, laminated acrylic or melamine label.

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b. Enclosures and electrical cabinets.
c. Access doors and panels for concealed electrical items.
d. Switchgear.
e. Switchboards.
f. Transformers: Label that includes tag designation shown on Drawings for the transformer, feeder, and panelboards or equipment supplied by the secondary.
g. Substations.
h. Emergency system boxes and enclosures.
i. Enclosed switches.
j. Enclosed circuit breakers.
k. Enclosed controllers.
l. Variable-speed controllers.
m. Push-button stations.
n. Power-transfer equipment.
o. Contactors.
p. Battery-inverter units.
q. Battery racks.
r. Monitoring and control equipment.
s. UPS equipment.

END OF SECTION

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